#### Task



 Language Modeling (i.e. auto-complete)



- Probabilistic Modeling
  - Probability Theory
  - Logistic Regression
  - Sequence Modeling

#### Task



 Language Modeling (i.e. auto-complete)



- Probabilistic Modeling
  - Probability Theory
  - Logistic Regression
  - Sequence Modeling
- Eventually: Deep Learning
  - Recurrent Neural Nets
  - Transformer Networks

-- assigning a probability to sequences of words.

Version 1: Compute  $P(w_1, w_2, w_3, w_4, w_5) = P(W)$ : probability of a sequence of words

-- assigning a probability to sequences of words.

Version 1: Compute P(w1, w2, w3, w4, w5) = P(W): probability of a sequence of words

Version 2: Compute P(w5 | w1, w2, w3, w4)  $= P(w_n | w_1, w_2, ..., w_{n-1})$ :probability of a next word given history

```
Version 1: Compute P(w1, w2, w3, w4, w5) = P(W)
:probability of a sequence of words
P(He \text{ ate the cake with the fork}) = ?
```

Version 2: Compute 
$$P(w5 | w1, w2, w3, w4)$$

$$= P(w_n | w_1, w_2, ..., w_{n-1})$$
:probability of a next word given history
$$P(fork | He \ ate \ the \ cake \ with \ the) = ?$$

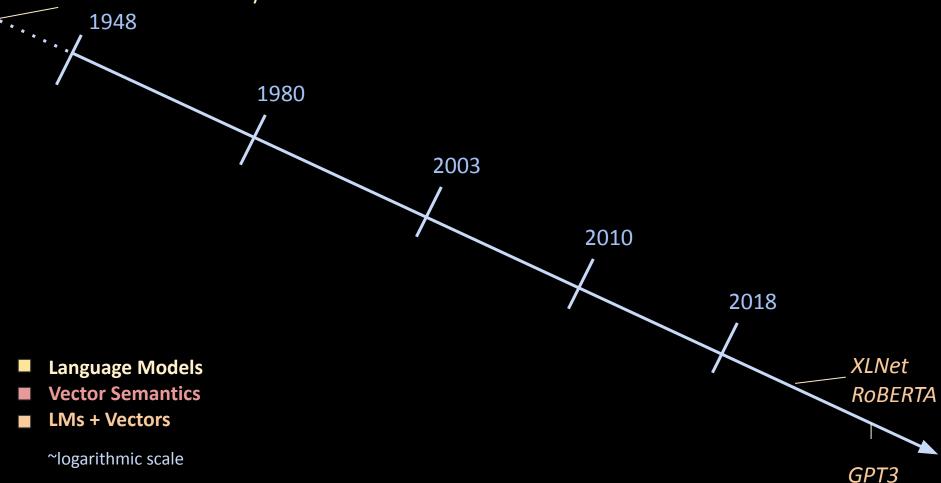
#### **Applications:**

- Auto-complete: What word is next?
- Machine Translation: Which translation is most likely?
- Spell Correction: Which word is most likely given error?
- Speech Recognition: What did they just say? "eyes aw of an"

(example from Jurafsky, 2017; ..did you say "giraffe ski 2,017"?)

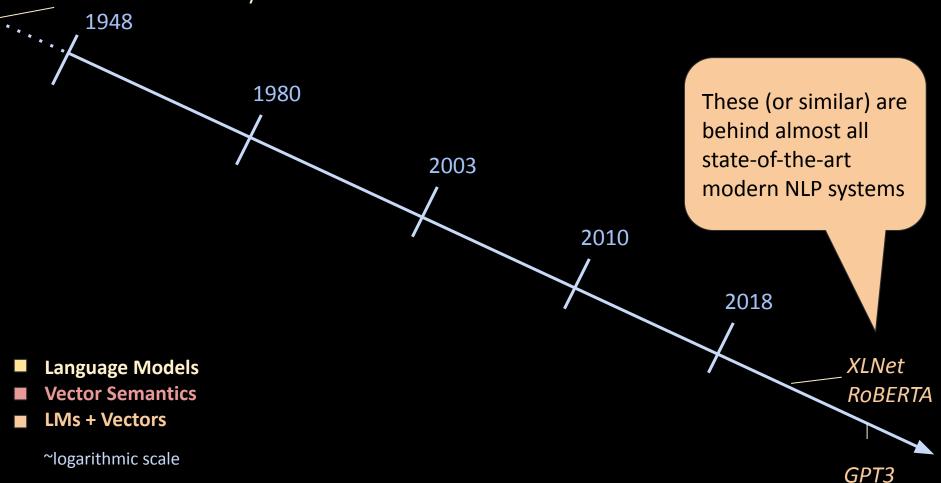
### Timeline: Language Modeling and Vector Semantics

1913 Markov: Probability that next letter would be vowel or consonant.



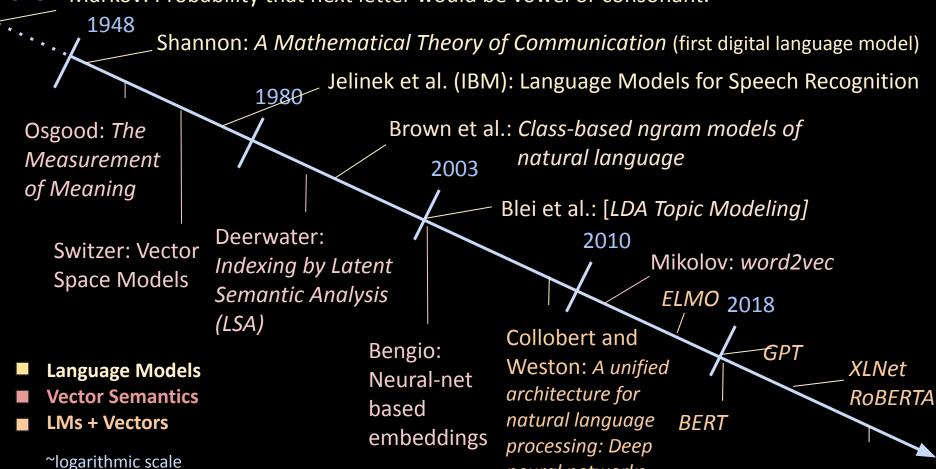
#### Timeline: Language Modeling and Vector Semantics

1913 Markov: Probability that next letter would be vowel or consonant.



#### Timeline: Language Modeling and Vector Semantics

1913 Markov: Probability that next letter would be vowel or consonant.



neural networks...

GPT3

```
Version 1: Compute P(w1, w2, w3, w4, w5) = P(W)
:probability of a sequence of words
P(He \text{ ate the cake with the fork}) = ?
```

Version 2: Compute 
$$P(w5 | w1, w2, w3, w4)$$

$$= P(w_n | w_1, w_2, ..., w_{n-1})$$
:probability of a next word given history
$$P(fork | He \ ate \ the \ cake \ with \ the) = ?$$

## Simple Solution

```
Version 1: Compute P(w1, w2, w3, w4, w5) = P(W)
:probability of a sequence of words
P(He \text{ ate the cake with the fork}) =
```

```
count(He ate the cake with the fork)
count(* * * * * * * *)
```

#### Simple Solution: The Maximum Likelihood Estimate

```
Version 1: Compute P(w1, w2, w3, w4, w5) = P(W)
:probability of a sequence of words
P(He \ ate \ the \ cake \ with \ the \ fork) =
```

total number of observed 7grams

count(He ate the cake with the fork)
count(\* \* \* \* \* \* \* \* \* \*)

#### Simple Solution: The Maximum Likelihood Estimate

```
P(He ate the cake with the fork) =

<u>count(He ate the cake with the fork)</u>

count(* * * * * * * *)
```

P(fork | He ate the cake with the) =

count(He ate the cake with the fork)
count(He ate the cake with the \*)

#### Simple Solution: The Maximum Likelihood Estimate

**Problem:** even the Web isn't large enough to enable good estimates of most phrases.

```
P(He ate the cake with the fork) =

<u>count(He ate the cake with the fork)</u>

count(* * * * * * * *)
```

P(fork | He ate the cake with the) =

count(He ate the cake with the fork)
count(He ate the cake with the \*)

$$P(B|A) = P(B,A) / P(A) \Leftrightarrow P(A)P(B|A) = P(B,A) = P(A,B)$$

$$P(B|A) = P(B,A) / P(A) \Leftrightarrow P(A)P(B|A) = P(B,A) = P(A,B)$$

$$P(A, B, C) = P(A)P(B|A)P(C|A, B)$$

**Solution:** Estimate from shorter sequences, use more sophisticated probability theory.

$$P(B|A) = P(B,A) / P(A) \Leftrightarrow P(A)P(B|A) = P(B,A) = P(A,B)$$

$$P(A, B, C) = P(A)P(B|A)P(C|A, B)$$

#### The Chain Rule:

$$P(X_1, X_2,..., X_n) = P(X_1)P(X_2|X_1)P(X_3|X_1, X_2)...P(X_n|X_1, ..., X_{n-1})$$

$$P(B|A) = P(B,A) / P(A) \Leftrightarrow P(A)P(B|A) = P(B,A) = P(A,B)$$

$$P(A, B, C) = P(A)P(B|A)P(C|A, B)$$

The Chain Rule: 
$$P(X_1, X_2, ..., X_n) = \prod P(X_i | X_1, X_2, ..., X_i)$$

$$P(X1, X2,..., Xn) = P(X1)P(X2|X1)P(X3|X1, X2)...P(Xn|X1, ..., Xn-1)$$

**Markov Assumption:** 

$$P(X_1, X_2, ..., X_n) = \prod_{i=1}^n P(X_i | X_{i-k}, X_{i-(k-1)}, ..., X_i)$$

**Solution:** Estimate from shorter sequences, use more sophisticated probability theory.

$$P(B|A) = P(B,A) / P(A) \Leftrightarrow P(A)P(B|A) = P(B,A) = P(A,B)$$

$$P(A, B, C) = P(A)P(B|A)P(C|A, B)$$

The Chain Rule:  $P(X_1, X_2, ..., X_n) = \prod_{i=1}^n P(X_i | X_1, X_2, ..., X_i)$ 

$$P(X1, X2,..., Xn) = P(X1)P(X2|X1)P(X3|X1, X2)...P(Xn|X1, ..., Xn-1)$$

Markov Assumption:  $P(X_1, X_2, ..., X_n) = \prod P(X_i | X_{i-k}, X_{i-(k-1)}, ..., X_i)$  $P(Xn | X_1..., X_{n-1}) \approx P(X_n | X_{n-k}, ..., X_{n-1})^{i-1}$  where k < n

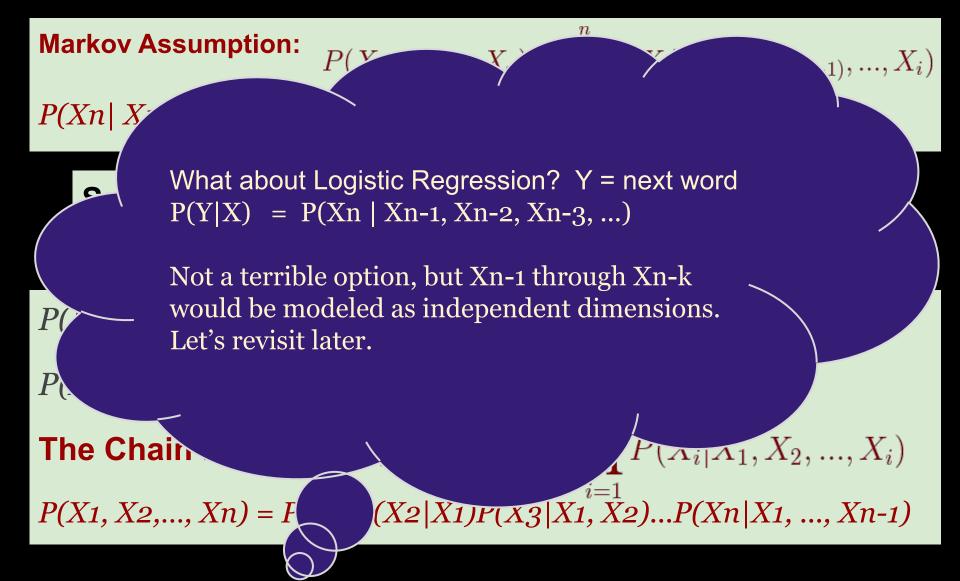
Solution: Estimate from shorter sequences, use more sophisticated probability theory.

$$P(B|A) = P(B,A) / P(A) \Leftrightarrow P(A)P(B|A) = P(B,A) = P(A,B)$$

$$P(A, B, C) = P(A)P(B|A)P(C|A, B)$$

The Chain Rule:  $P(X_1, X_2, ..., X_n) = \prod P(X_i | X_1, X_2, ..., X_i)$ 

$$P(X1, X2,..., Xn) = P(X1)P(X2|X1)P(X3|X1, X2)...P(Xn|X1, ..., Xn-1)$$



Markov Assumption:  $P(X_1, X_2, ..., X_n) = \prod P(X_i | X_{i-k}, X_{i-(k-1)}, ..., X_i)$  $P(Xn | X_1..., X_{n-1}) \approx P(X_n | X_{n-k}, ..., X_{n-1})^{i-1} \text{ where } k < n$ 

Unigram Model: k = 0;  $P(X_1, X_2, ..., X_n) = \prod P(X_i)$ 

$$P(B|A) = P(B,A) / P(A) \Leftrightarrow P(A)P(B|A) = P(B,A) = P(A,B)$$

P(A, B, C) = P(A)P(B|A)P(C|A, B)

The Chain Rule:  $P(X_1, X_2, ..., X_n) = \prod P(X_i | X_1, X_2, ..., X_i)$ 

P(X1, X2,..., Xn) = P(X1)P(X2|X1)P(X3|X1, X2)...P(Xn|X1, ..., Xn-1)

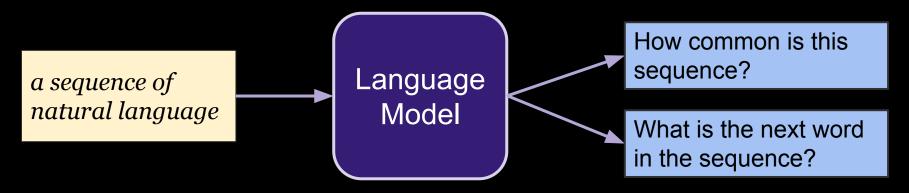
Markov Assumption: 
$$P(X_1, X_2, ..., X_n) = \prod_{i=1}^{n} P(X_i | X_{i-k}, X_{i-(k-1)}, ..., X_i)$$
  
 $P(X_i | X_{i-k}, X_{i-(k-1)}, ..., X_n) = \prod_{i=1}^{n} P(X_i | X_{i-k}, X_{i-(k-1)}, ..., X_i)$ 

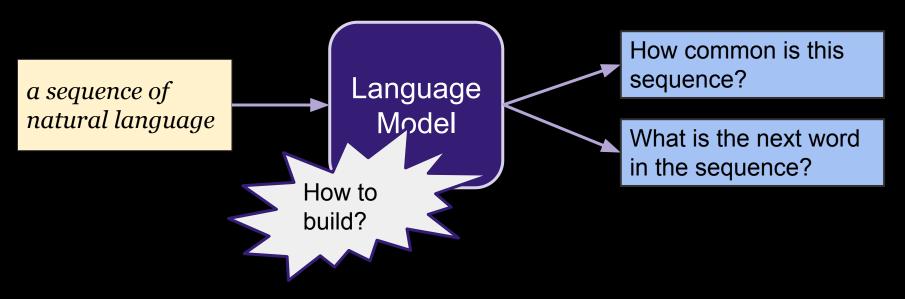
Bigram Model: k = 1; 
$$P(X_1, X_2, ..., X_n) = \prod_{i=1}^n P(X_i | X_{i-1})$$

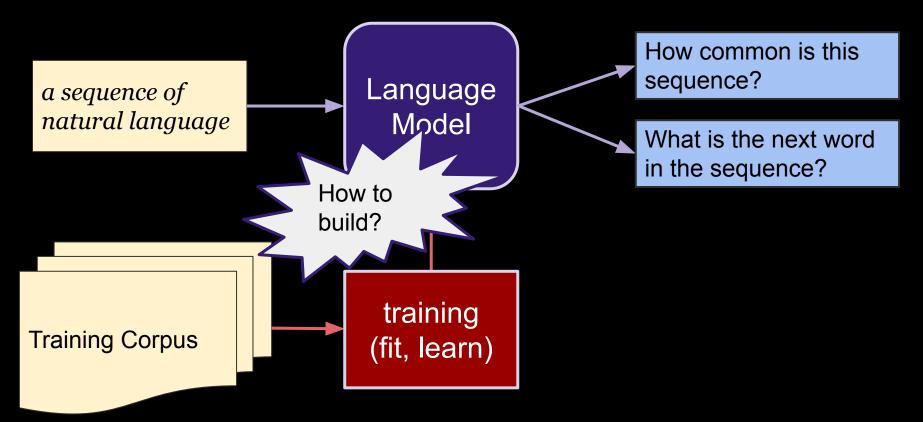
Example generated sentence:

outside, new, car, parking, lot, of, the, agreement, reached

$$P(X1 = "outside", X2 = "new", X3 = "car", ....)$$
  
  $\approx P(X1 = "outside") * P(X2 = "new" | X1 = "outside) * P(X3 = "car" | X2 = "new") * ...$ 







# Language Mo

Building a model

a sequence of natural language

Food corpus from Jurafsky (2018). Samples:

can you tell me about any good cantonese restaurants close by

mid priced thai food is what i'm looking for

tell me about chez panisse

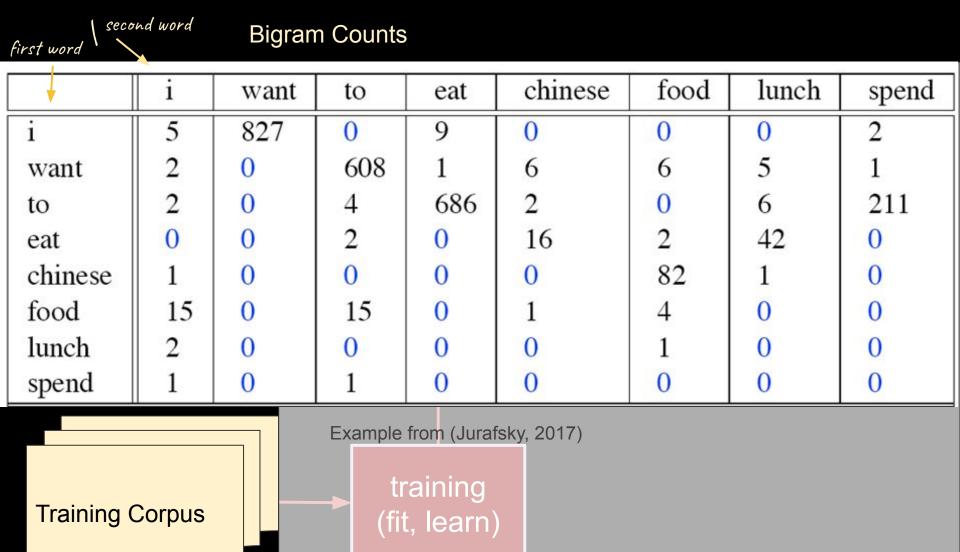
can you give me a listing of the kinds of food that are available

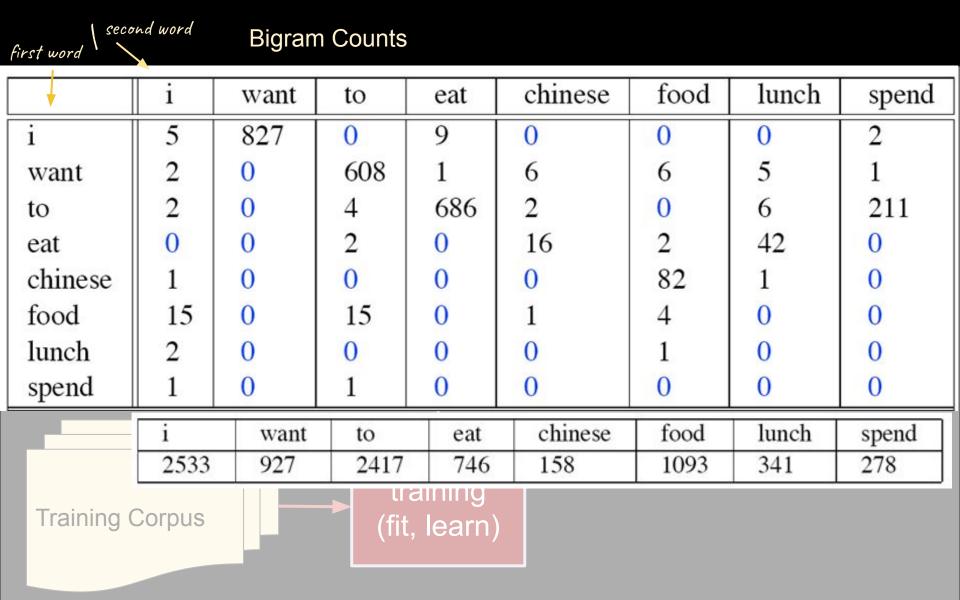
i'm looking for a good place to eat breakfast

when is caffe venezia open during the day

Training Corpus

training (fit, learn)





| first word   |      | Bigram | Counts |     |         |      |       |       |  |  |
|--|------|--------|--------|-----|---------|------|-------|-------|--|--|
| <u> </u>   | i    | want   | to     | eat | chinese | food | lunch | spend |  |  |
| i  | 5    | 827    | 0      | 9   | 0       | 0    | 0     | 2     |  |  |
| want   | 2    | 0      | 608    | 1   | 6       | 6    | 5     | 1     |  |  |
| to   | 2    | 0      | 4      | 686 | 2       | 0    | 6     | 211   |  |  |
| eat  | 0    | 0      | 2      | 0   | 16      | 2    | 42    | 0     |  |  |
| chinese  | 1    | 0      | 0      | 0   | 0       | 82   | 1     | 0     |  |  |
| food   | 15   | 0      | 15     | 0   | 1       | 4    | 0     | 0     |  |  |
| lunch  | 2    | 0      | 0      | 0   | 0       | 1    | 0     | 0     |  |  |
| spend  | 1    | 0      | 1      | 0   | 0       | 0    | 0     | 0     |  |  |
|  | i    | want   | to     | eat | chinese | food | lunch | spend |  |  |
|  | 2533 | 927    | 2417   | 746 | 158     | 1093 | 341   | 278   |  |  |
| Bigram model: $P(X_1, X_2,, X_n) = \prod_{i=1}^n P(X_i   X_{i-1})$<br>Need to estimate: $P(X_i   X_{i-1}) = \text{count}(X_{i-1} X_i) / \text{count}(X_{i-1})$ |      |        |        |     |         |      |       |       |  |  |



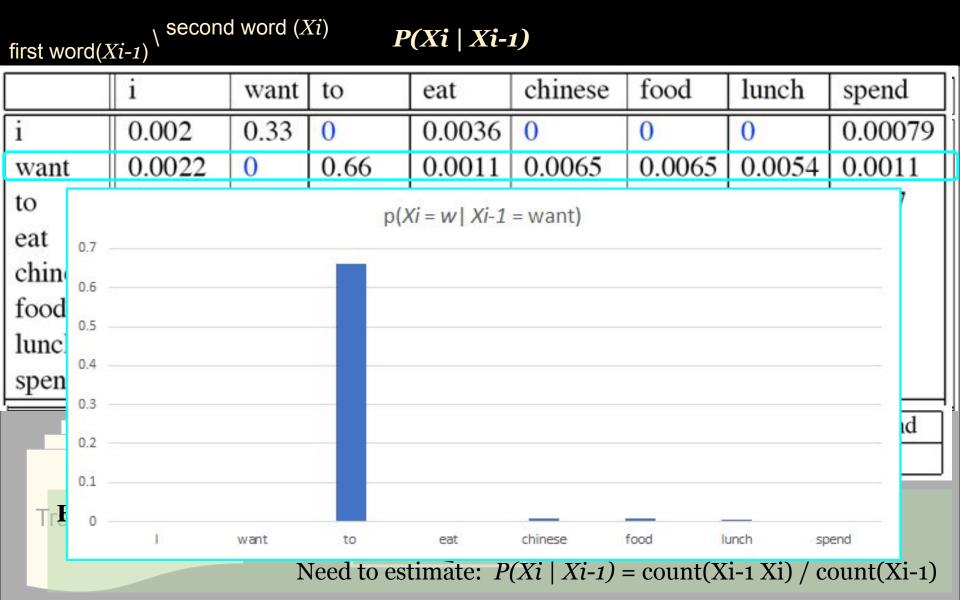
# *P(Xi | Xi-1)*

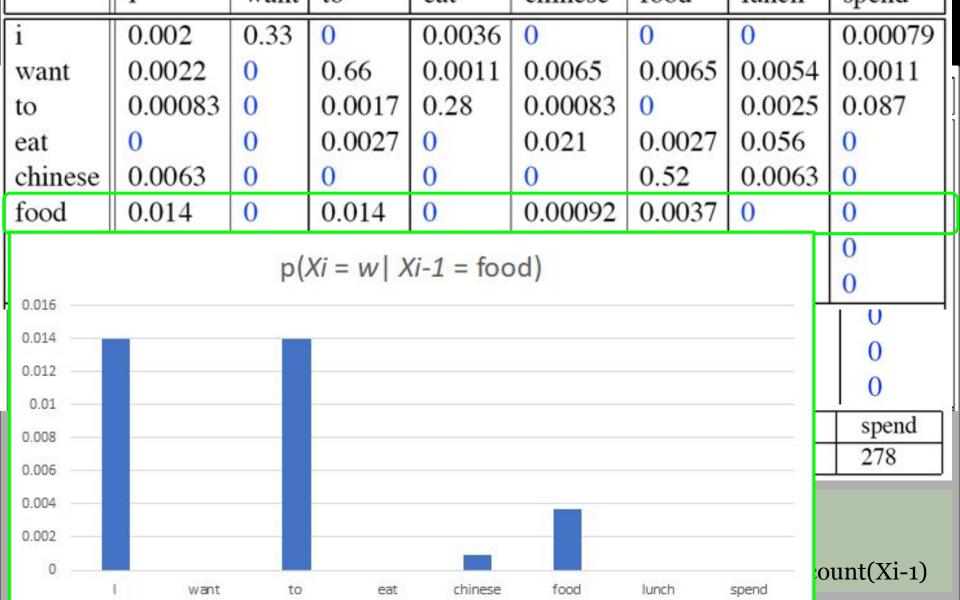
eat

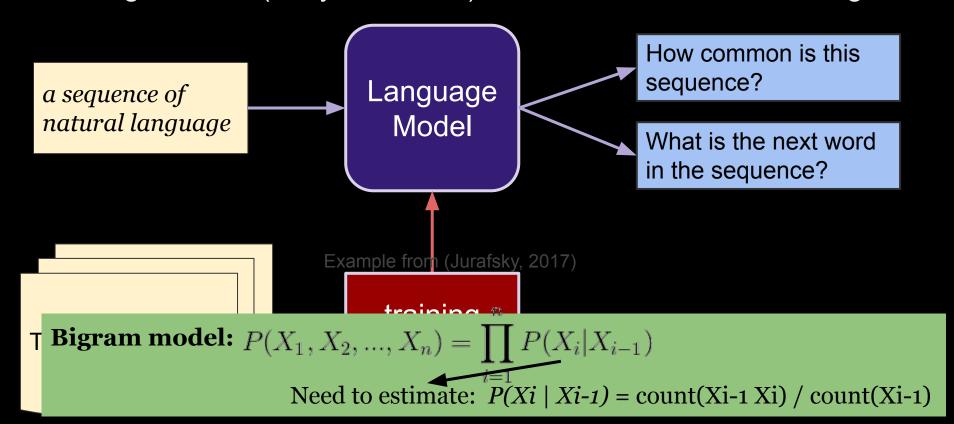
| <b>*</b> | 1       | want | ιο     | eat    | cilliese | 1000   | Tunch  | spend   |
|----------|---------|------|--------|--------|----------|--------|--------|---------|
| i        | 0.002   | 0.33 | 0      | 0.0036 | 0        | 0      | 0      | 0.00079 |
| want     | 0.0022  | 0    | 0.66   | 0.0011 | 0.0065   | 0.0065 | 0.0054 | 0.0011  |
| to       | 0.00083 | 0    | 0.0017 | 0.28   | 0.00083  | 0      | 0.0025 | 0.087   |
| eat      | 0       | 0    | 0.0027 | 0      | 0.021    | 0.0027 | 0.056  | 0       |
| chinese  | 0.0063  | 0    | 0      | 0      | 0        | 0.52   | 0.0063 | 0       |
| food     | 0.014   | 0    | 0.014  | 0      | 0.00092  | 0.0037 | 0      | 0       |
| lunch    | 0.0059  | 0    | 0      | 0      | 0        | 0.0029 | 0      | 0       |
| spend    | 0.0036  | 0    | 0.0036 | 0      | 0        | 0      | 0      | 0       |
|          | i       | want | to     | eat    | chinese  | food   | lunch  | spend   |

2533 746 927 2417 158 **Bigram model:**  $P(X_1, X_2, ..., X_n) = \prod P(X_i | X_{i-1})$ 

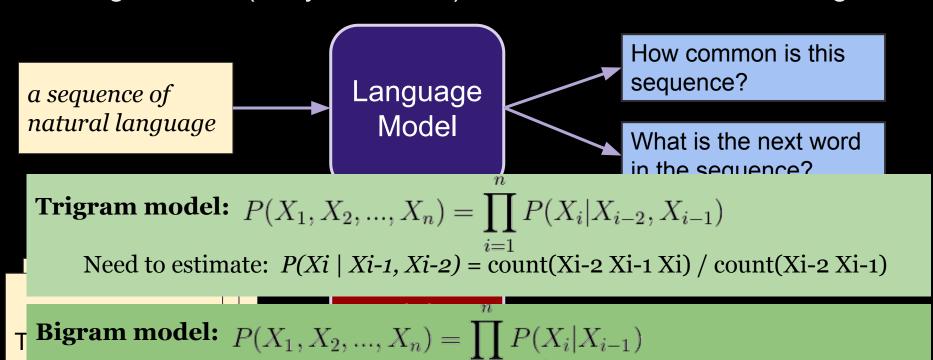
Need to estimate:  $P(Xi \mid Xi-1) = \text{count}(Xi-1 \mid Xi) / \text{count}(Xi-1)$ 



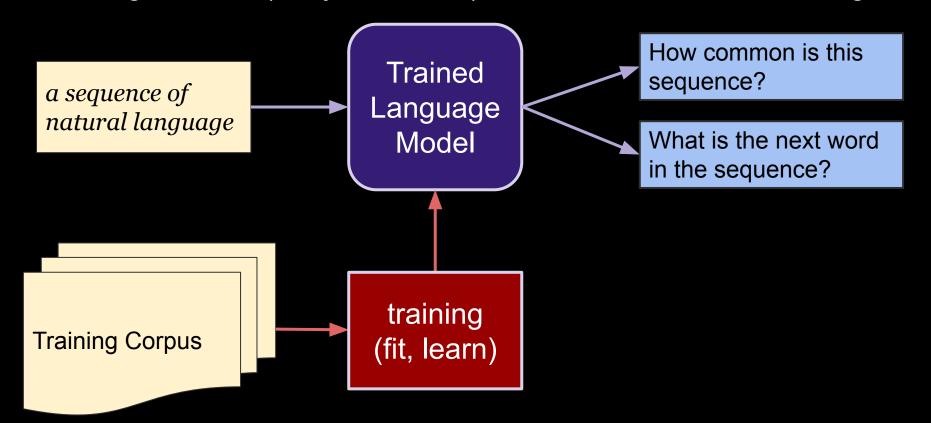


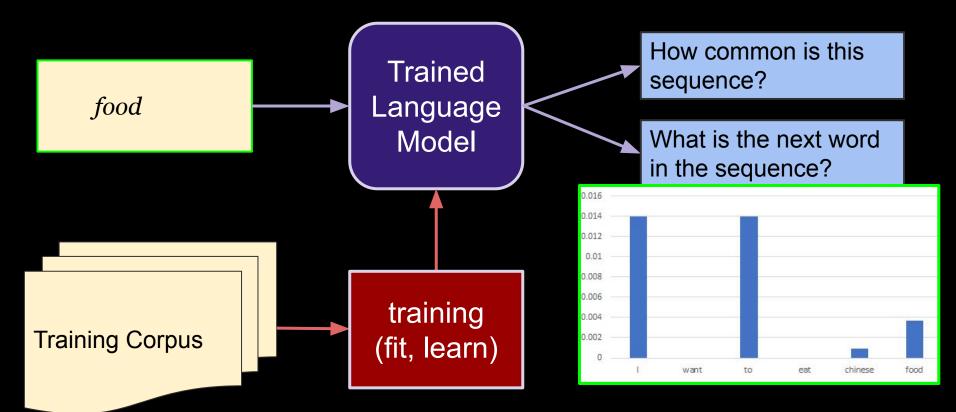


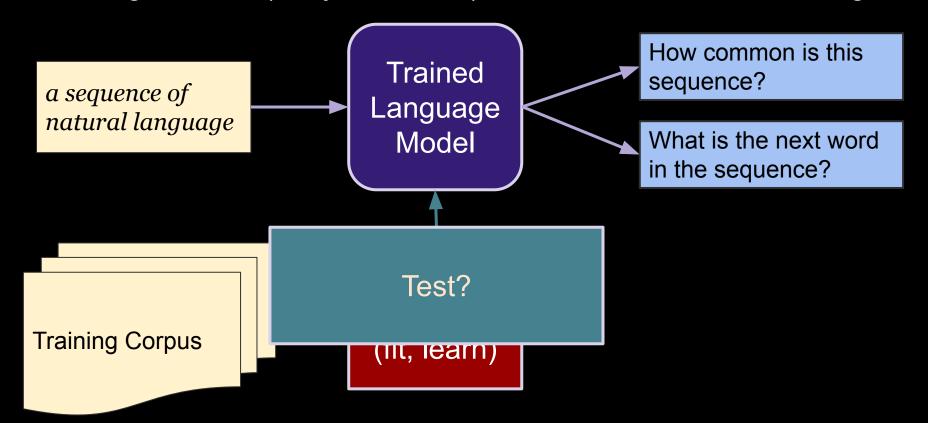
Building a model (or system / API) that can answer the following:

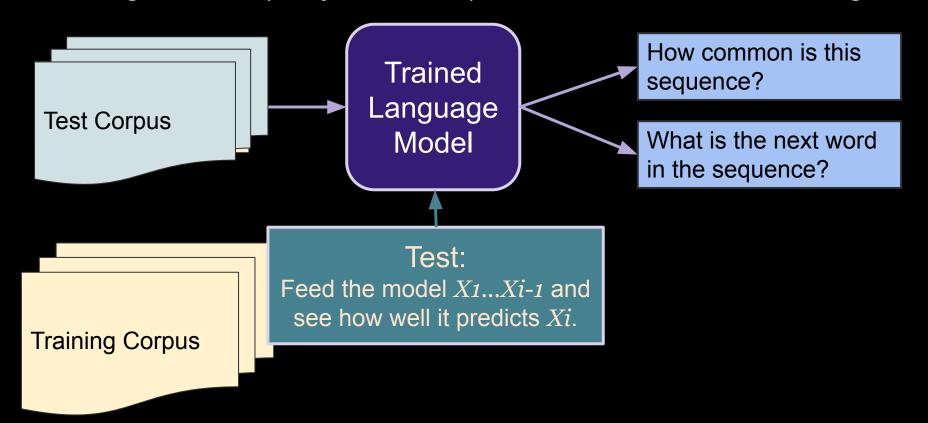


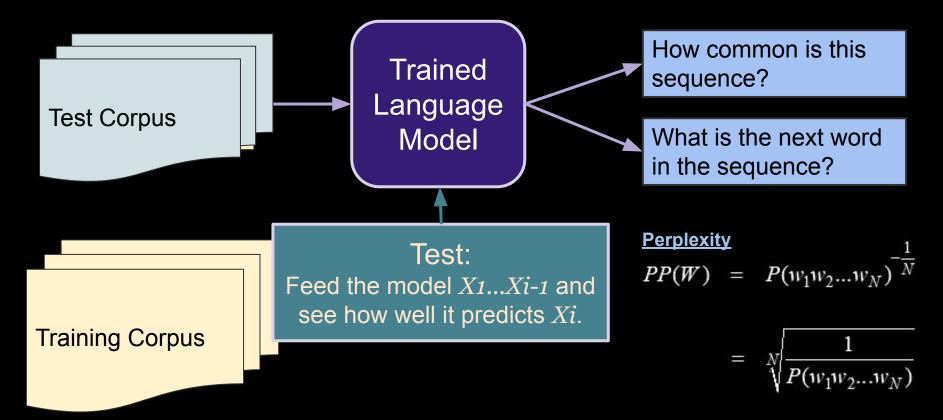
Need to estimate:  $P(Xi \mid Xi-1) = \text{count}(Xi-1 \mid Xi) / \text{count}(Xi-1)$ 



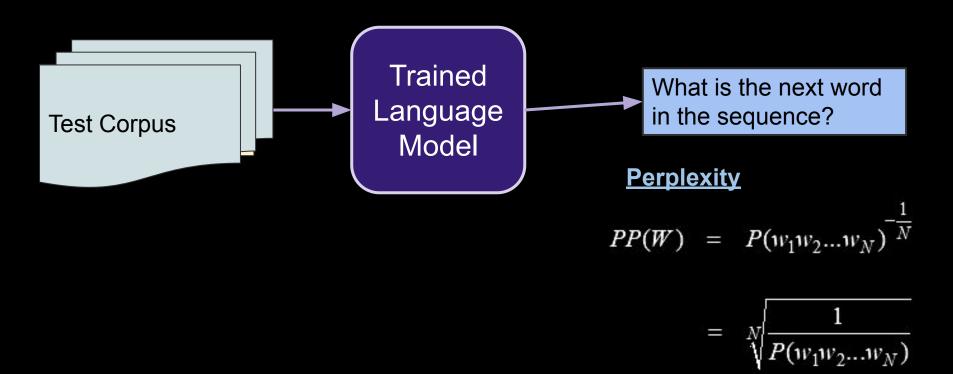




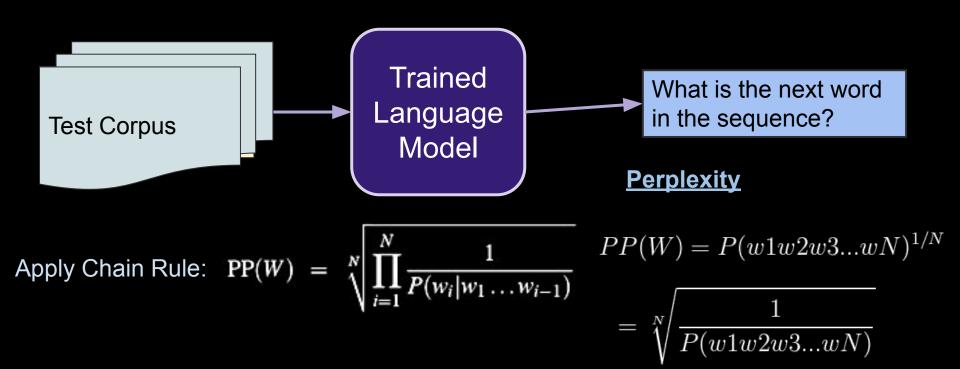




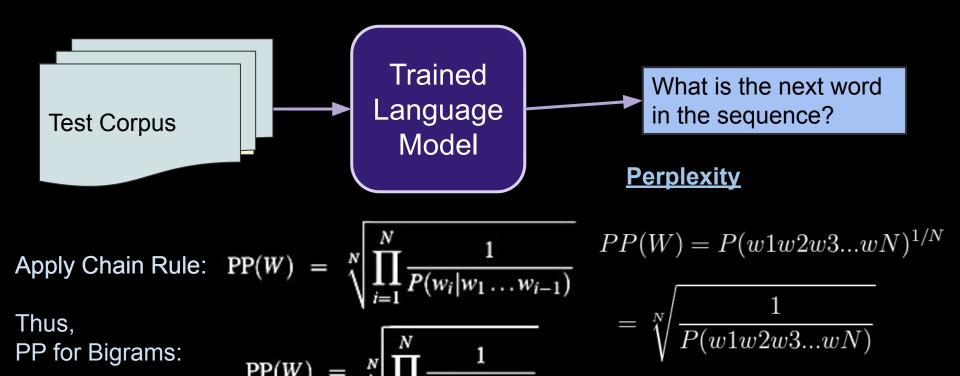
#### Evaluation



#### Evaluation

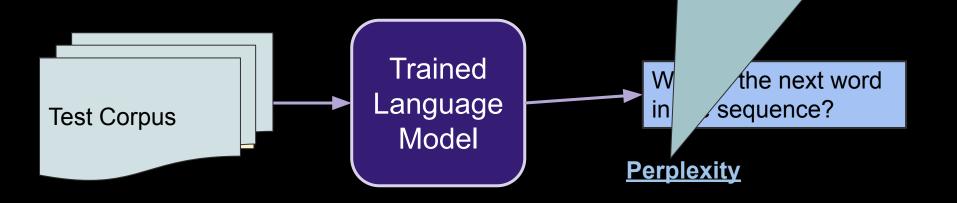


#### **Evaluation**





- Reasoning:
  - Inverse of probability (i.e. minimize perplexity = maximize likelihood)
- (weighted) average branching factor



Apply Chain Rule: 
$$PP(W)$$
 Thus,

PP for Bigrams:

or Bigrams: 
$$PP(W) = \sqrt[N]{\prod_{i=1}^{N} \frac{1}{P(w_i|w_{i-1})}}$$

 $PP(W) = P(w1w2w3...wN)^{1/N}$ 

#### **Practical Considerations:**

- Use log probability to keep numbers reasonable and save computation.
   (uses addition rather than multiplication)
- Out-of-vocabulary (OOV)
   Choose minimum frequency and mark as <OOV>
- Sentence start and end: <s> this is a sentence </s> Advantage: models word probability at beginning or end.

# Zeros and Smoothing

| first word( $Xi$ -1) second word ( $Xi$ ) $P(Xi \mid Xi$ -1) |         |      |        |        |         |        |        |         |  |  |
|--|---------|------|--------|--------|---------|--------|--------|---------|--|--|
|  | i       | want | to     | eat    | chinese | food   | lunch  | spend   |  |  |
| i  | 0.002   | 0.33 | 0      | 0.0036 | 0       | 0      | 0      | 0.00079 |  |  |
| want   | 0.0022  | 0    | 0.66   | 0.0011 | 0.0065  | 0.0065 | 0.0054 | 0.0011  |  |  |
| to   | 0.00083 | 0    | 0.0017 | 0.28   | 0.00083 | 0      | 0.0025 | 0.087   |  |  |
| eat  | 0       | 0    | 0.0027 | 0      | 0.021   | 0.0027 | 0.056  | 0       |  |  |
| chinese  | 0.0063  | 0    | 0      | 0      | 0       | 0.52   | 0.0063 | 0       |  |  |
| food   | 0.014   | 0    | 0.014  | 0      | 0.00092 | 0.0037 | 0      | 0       |  |  |
| lunch  | 0.0059  | 0    | 0      | 0      | 0       | 0.0029 | 0      | 0       |  |  |
| spend  | 0.0036  | 0    | 0.0036 | 0      | 0       | 0      | 0      | 0       |  |  |

# Zeros and Smoothing

first word \( \)

**Bigram Counts** 

|         | i  | want | to  | eat | chinese | food | lunch | spend |
|---------|----|------|-----|-----|---------|------|-------|-------|
| i       | 5  | 827  | 0   | 9   | 0       | 0    | 0     | 2     |
| want    | 2  | 0    | 608 | 1   | 6       | 6    | 5     | 1     |
| to      | 2  | 0    | 4   | 686 | 2       | 0    | 6     | 211   |
| eat     | 0  | 0    | 2   | 0   | 16      | 2    | 42    | 0     |
| chinese | 1  | 0    | 0   | 0   | 0       | 82   | 1     | 0     |
| food    | 15 | 0    | 15  | 0   | 1       | 4    | 0     | 0     |
| lunch   | 2  | 0    | 0   | 0   | 0       | 1    | 0     | 0     |
| spend   | 1  | 0    | 1   | 0   | 0       | 0    | 0     | 0     |

Laplace ("Add one") smoothing: add 1 to all counts

# Zeros and Smoothing

first word \( \)

**Bigram Counts** 

|         | i  | want | to  | eat | chinese | food | lunch | spend |
|---------|----|------|-----|-----|---------|------|-------|-------|
| i       | 6  | 828  | 1   | 10  | 1       | 1    | 1     | 3     |
| want    | 3  | 1    | 609 | 2   | 7       | 7    | 6     | 2     |
| to      | 3  | 1    | 5   | 687 | 3       | 1    | 7     | 212   |
| eat     | 1  | 1    | 3   | 1   | 17      | 3    | 43    | 1     |
| chinese | 2  | 1    | 1   | 1   | 1       | 83   | 2     | 1     |
| food    | 16 | 1    | 16  | 1   | 2       | 5    | 1     | 1     |
| lunch   | 3  | 1    | 1   | 1   | 1       | 2    | 1     | 1     |
| spend   | 2  | 1    | 2   | 1   | 1       | 1    | 1     | 1     |

Laplace ("Add one") smoothing: add 1 to all counts

# Unsmoothed probs

 $\lambda$  second word (Xi)

| first $word(Xi-1)$ $P(Xi \mid Xi-1)$ |         |      |        |        |         |        |        |         |  |
|--------------------------------------|---------|------|--------|--------|---------|--------|--------|---------|--|
|                                      | i       | want | to     | eat    | chinese | food   | lunch  | spend   |  |
| i                                    | 0.002   | 0.33 | 0      | 0.0036 | 0       | 0      | 0      | 0.00079 |  |
| want                                 | 0.0022  | 0    | 0.66   | 0.0011 | 0.0065  | 0.0065 | 0.0054 | 0.0011  |  |
| to                                   | 0.00083 | 0    | 0.0017 | 0.28   | 0.00083 | 0      | 0.0025 | 0.087   |  |
| eat                                  | 0       | 0    | 0.0027 | 0      | 0.021   | 0.0027 | 0.056  | 0       |  |
| chinese                              | 0.0063  | 0    | 0      | 0      | 0       | 0.52   | 0.0063 | 0       |  |
| food                                 | 0.014   | 0    | 0.014  | 0      | 0.00092 | 0.0037 | 0      | 0       |  |
| lunch                                | 0.0059  | 0    | 0      | 0      | 0       | 0.0029 | 0      | 0       |  |
| spend                                | 0.0036  | 0    | 0.0036 | 0      | 0       | 0      | 0      | 0       |  |

Example from (Jurafsky, 2017)

#### Smoothed

$$P_{Add-1}(w_i \mid w_{i-1}) = \frac{c(w_{i-1}, w_i) + 1}{c(w_{i-1}) + V}$$
second word (Xi)
$$P(Vi \mid Vi \mid 1)$$

first word(Xi-1)

P(Xi | Xi-1)

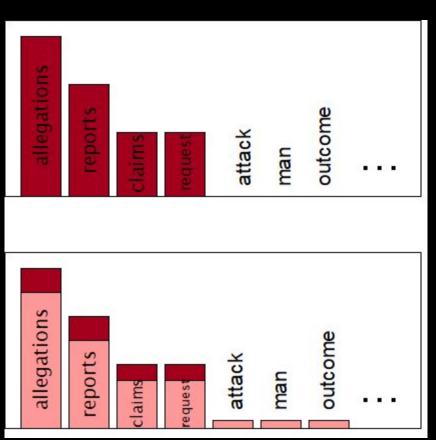
|         | i       | want    | to      | eat     | chinese | food    | lunch   | spend   |
|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| i       | 0.0015  | 0.21    | 0.00025 | 0.0025  | 0.00025 | 0.00025 | 0.00025 | 0.00075 |
| want    | 0.0013  | 0.00042 | 0.26    | 0.00084 | 0.0029  | 0.0029  | 0.0025  | 0.00084 |
| to      | 0.00078 | 0.00026 | 0.0013  | 0.18    | 0.00078 | 0.00026 | 0.0018  | 0.055   |
| eat     | 0.00046 | 0.00046 | 0.0014  | 0.00046 | 0.0078  | 0.0014  | 0.02    | 0.00046 |
| chinese | 0.0012  | 0.00062 | 0.00062 | 0.00062 | 0.00062 | 0.052   | 0.0012  | 0.00062 |
| food    | 0.0063  | 0.00039 | 0.0063  | 0.00039 | 0.00079 | 0.002   | 0.00039 | 0.00039 |
| lunch   | 0.0017  | 0.00056 | 0.00056 | 0.00056 | 0.00056 | 0.0011  | 0.00056 | 0.00056 |
| spend   | 0.0012  | 0.00058 | 0.0012  | 0.00058 | 0.00058 | 0.00058 | 0.00058 | 0.00058 |

# Why Smoothing? Generalizes

Original

With Smoothing

(Example from Jurafsky / Originally Dan Klein)



# Why Smoothing? Generalizes

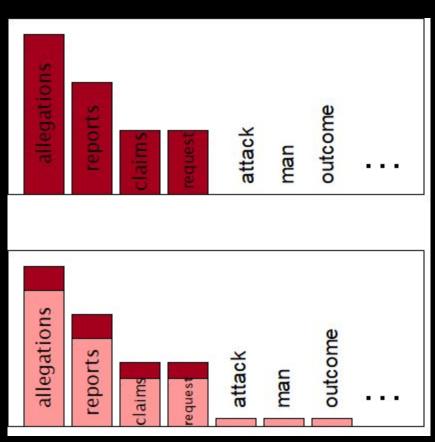
Add-one is blunt: can lead to very large changes.

More Advanced:

Good-Turing Smoothing Kneser-Nay Smoothing

These are outside scope for now.

We will eventually cover, even stronger,
deep learning based models.



Why Smooth 2

What about Logistic Regression? Y = next wordP(Y|X) = P(Xn | Xn-1, Xn-2, Xn-3, ...)

Not a terrible option, but Xn-1 through Xn-k would be modeled as independent dimensions. Let's revisit later.

Why Smooth 2

What about Logistic Regression? Y = next wordP(Y|X) = P(Xn | Xn-1, Xn-2, Xn-3, ...)

Not a terrible option, but Xn-1 through Xn-k would be modeled as independent dimensions. Let's revisit later. Could use:

P(Xn | Xn-1, [Xn-1 Xn-2], [Xn-1 Xn-2 Xn-3], ...)

# Example how to produce language generator

- 1. Count unigrams, bigrams, and trigrams
- 2. Train probabilities for unigram, bigram, and trigram models (over training)
  - a. with smoothing
  - b. without smoothing
- 3. Generate language: Given previous word or previous 2 words, take a random draw from what words are most likely to be next.

Trigram model when good evidence (high counts)

Backing off to bigram or even unigram

# Limitation: Long distance dependencies

The horse which was raced past the barn tripped.

# Language Modeling Summary

- Two versions of assigning probability to sequence of words
- Applications
- The Chain Rule, The Markov Assumption:  $P(X_1, X_2, ..., X_n) = \prod_{i=1}^n P(X_i | X_{i-k}, X_{i-(k-1)}, ..., X_i)$
- Training a unigram, bigram, trigram model based on counts
- Evaluation: Perplexity
- Zeros, Low Counts, and Generalizability
- Add-one smoothing