

# Digital Forensics Report:

## An Investigation Christopher Gardner



By:Hana Abuzeid  
December 13, 2020

# Introduction

## Background & Scope

This report will include an analysis of the investigation of Christopher Gardner, where his supervisor Kurt Aubuchon does not suspect anything against him, yet he thinks that Gardner had been acting somewhat off before his resignation. Aubuchon is worried that Gardner may have been eliminating Dolos restrictive documentation from the organization before his resignation.

Likewise, one of Gardner's colleagues revealed to Aubuchon that she had caught Gardner having a quiet call with a woman, called "Sharon" or "Cherie". The colleague thinks that Gardner is considering his own financial firm. However, Dolos does employ a woman named Sharron Hammond and she hasn't resigned or been reported for acting abnormally. It isn't clear if she is in this or not, but he left with someone else in the company knowing his future plan.

Aubuchon had visited Gardner's work area after his flight and had found a SanDisk Mini Blaze, 16GB USB that he had forgotten. It is known that any type of USB is precluded at Dolos which makes the supervisor to believe that he had the intention to copy some of Dolos data to take it with him.

With the help of Apex Forensics, giving the opportunity to investigate using industry-standard forensic tools and techniques. Evidence will show us Gardner plans and if he was working with anyone else, either inside or outside the company, and if there was an intention of planning to start a rival financial firm. More details on this investigation below.

## Objective:

Writing this report with everything we have learned this semester. The main content should include how the applications operate, found evidence and came to the conclusion based on our deep searching through this flash drive.

## Evidence handling

Handling the evidence we first prepared the USB to extract the data and all information from it. We then ran the image under a trusted forensic tool (Autopsy) with a verified write blocker, securing the original content, and making a copy for our investigation collection. Sanitization of the flash drive the best method in this case is "purging" since it is leaving Dolos to our Apex Forensic lab.

Chain of Custody, written record with all individuals that have maintained control over items of evidence and that are responsible to present it in a court of law. Document filled out below.

**APEX FORENSICS**  
**CHAIN OF CUSTODY DOCUMENT**

|                                       |  |
|---------------------------------------|--|
| CASE NUMBER<br>001                    | CASE NAME<br>Dolos Financial Services                    |
| EVIDENCE COLLECTED BY<br>Hana Abuzeid | EVIDENCE COLLECTED FROM<br>Kurt Aubuchon (Dolos manager) |
| SIGNATURE<br>hanabuzeid               | SIGNATURE<br>Kurtaubuchon                                |
| LOCATION<br>St. Louis, MO             | DATE/TIME<br>12/13/20, 6am                               |

| EVIDENCE INFORMATION                       |                          |
|--|--------------------------|
| EVIDENCE OWNER<br>Kurt Aubuchon from Dolos |                          |
| DESCRIPTION                                |                          |
| MODEL<br>SanDisk Cruzer Mini USB Device    | TYPE<br>Physical         |
| SERIAL NUMBER<br>4C530110000610110324      | MANUFACTURER<br>SanDisk  |
| CONDITION<br>Good                          | POWERED ON?<br>HP Laptop |
| REMARKS                                    |                          |



| PROPERTY CONTROL |                                |                       |         |
|------------------|--------------------------------|-----------------------|---------|
| DATE/TIME        | RELEASED BY                    | RECEIVED BY           | REMARKS |
| DATE<br>12/13/20 | NAME<br>Kurt Aubuchon<br>Dolos | NAME<br>Apex Forensic |         |
| TIME             | SIGNATURE                      | SIGNATURE             |         |
| DATE             | NAME                           | NAME                  |         |

## Detailed Findings

This section is heavily on evidence and our exploring through this case (fun!). Now let's start with the very first thing we've done which was running the image that was found in the flash

drive through autopsy. There are a couple of files that were interesting at first glance such as “Temp.zip” which contained a huge amount of information. There were images, audios, documents of all sorts of kind, unallocated files, deleted files, encrypted files ,etc..

Searching through the image.001, we find some information but are not that relevant or clear for this investigation which we find images of 3 different families (not identified). Email addresses were found as well.



%4k@2.cy (1)  
cps-requests@verisign.com (2)  
d8n@k.pk (1)  
ditnavn@example.com (1)  
dittnamn@exempel.com (1)  
e@rpbv.af (1)  
eime@example.com (1)  
go@sd.in (1)  
gosc@wiadomosc.info (5)  
ihrname@beispiel.com (1)  
info@diginotar.nl (1)  
jmeno@example.com (1)  
joanna.smith@domain.com (1)  
john.smith@domain.com (1)  
meno@example.com (1)  
n@displayname.mh (1)  
neve@example.com (1)  
noen@example.com (1)  
nome@esempio.com (1)  
omanimi@esimerkki.com (1)  
proverka@primer.com (1)  
seunome@exemplo.com (1)  
seunome@exemplo.com.br (1)  
sunombre@ejemplo.com (1)  
twojanazwa@przyklad.com (1)  
uwnaam@voorbeeld.com (1)  
votrenom@exemple.com (1)  
w@fmi.sy (1)  
w@iupfbw.mp (1)  
w@subscopeust.com (1)  
wyourname@example.com (1)  
vourname@example.com (1)

The file "Temp.zip " was extracted to the laptop then added to autopsy as a data source, from the file extracted we get a file with all the documents (more details later) and the other file "file machine" which had several files with different extensions. We ran

**ChrisGardnerApat-disk1.vmdk** under autopsy, took over 20 hours to fully load and reveal the truth.

Steganography is the practice of hiding messages or data files inside another. In this investigation there were files inside of other files making our evidence tree longer, most importantly is the information found important to our case? Now an encrypted file to crack a password did not require a specific one and in the photo below it gives us a hint of what it would possibly be but isn't required to enter it.



| Type              | Value   |
|-------------------|---|
| User ID           | S-1-5-21-3463664321-2923530833-3546627382-1000  |
| Username          | IEUser  |
| Date Created      | 2013-10-23 11:22:39                             |
| Date Accessed     | 2017-08-09 20:39:46                             |
| Count             | 42  |
| Account Type      | Default Admin User                              |
| Password Hint     | Passw0rd!                                       |
| Password Fail Dat | 2017-08-09 20:54:08                             |
| Password Settings | Password does not expire, Password not required |
| Flag              | Normal user account                             |
| Date Created      | 2013-10-23 11:22:39                             |
| Date Accessed     | 2017-08-10 14:20:45                             |
| Count             | 45  |
| Account Type      | Default Admin User                              |
| Password Hint     | Passw0rd!                                       |
| Password Fail Dat | 2017-08-09 20:54:08                             |

Metadata did reveal documents that were found but needed to be extracted to view them. Documents that say Dolos\_stock\_analysis\_spreadsheet as well as history\_and\_ratios which the header says “confidential not for external distribution”.

A document with a person named “William Busch” with information from a financial analysis report. A file called custlist (customer list) had information of customers in Dolos network (email, location, \$\$\$)(images below)

| Source File                                   | S | C | O | Owner | Data Source                  | Date Modified   |
|---|---|---|---|-------|------------------------------|-----------------|
| ADV_SBD_CurrentReport_InvestmentAnalysis.docx |   |   |   |       | ChrisGardnerApate-disk1.vmdk | 2017-06-30 21:2 |
| Dolos_stock_analysis_spreadsheet.xlsx         |   |   |   |       | ChrisGardnerApate-disk1.vmdk | 2017-06-30 20:4 |
| financial_history_and_ratios.xls              |   |   |   |       | ChrisGardnerApate-disk1.vmdk | 2017-06-30 20:5 |
| Investment Analysis Report William Busch.docx |   |   |   |       | ChrisGardnerApate-disk1.vmdk | 2017-06-30 21:3 |

Hex Text Application File Metadata Context Results Annotations Other Occurrences

Strings Indexed Text Translation

Page: 1 of 1 Page
Matches on page: - of - Match
100%
Reset
Text Source: File Text

Dolos  
Financial  
Services  
  
Investment Analysis Report  
PROPRIETARY AND CONFIDENTIAL  
NOT FOR EXTERNAL DISTRIBUTION  
  
Christopher Gardner  
  
Return/Standard Deviation Scatterplot  
  
The performance data quoted represents past performances and does not guarantee future results. The investment return and principal value of an investment will fluctuate; thus an investor's shares, when sold or redeemed, may be worth more or less than their original cost. Current performance may be lower or higher than return data quoted herein.  
Please see the Disclosure Statements for Standardized Performance.  
  
The performance data quoted represents past performances and does not guarantee future results. The investment return and principal value of an investment will fluctuate; thus an investor's shares, when sold or redeemed, may be worth more or less than their original cost. Current performance may be lower or higher than return data quoted herein.  
Please see the Disclosure Statements for Standardized Performance.

|        |            |             |                             |                          |               |              |       |             |                  |    |  |
|--------|------------|-------------|-----------------------------|--------------------------|---------------|--------------|-------|-------------|------------------|----|--|
| 809840 | Isidro     | Bolles      | ibollesqk@fda.gov           | 2 Debs Center            | Santa Barbara | California   | 93106 | 460-89-6842 | \$48,019,142.01  | 4  |  |
| 155847 | Wynham     | Bolt        | wbolting@comcast.net        | 8 Transport Terrace      | Minneapolis   | Minnesota    | 55428 | 881-08-8862 | \$194,084,487.45 | 8  |  |
| 427391 | Francine   | Bondesen    | fbondesen6@man.com          | 10 Acker Place           | San Diego     | California   | 92115 | 661-92-1401 | \$21,744,824.98  | 10 |  |
| 245135 | Andrej     | Bonhan      | abonhan4@intel.com          | 210 Gulbeth Circle       | Phoenix       | Arizona      | 85099 | 526-96-7759 | \$90,055,548.76  | 1  |  |
| 859244 | Emma       | Boog        | eboog@google.ru             | 2 Shelley Lane           | Pittsburgh    | Pennsylvania | 15284 | 458-22-2774 | \$195,434,465.14 | 6  |  |
| 149322 | Jimmy      | Booj        | jbooj19@tst-manage.com      | 469 Bowman Avenue        | Sacramento    | California   | 94257 | 713-02-3963 | \$40,799,663.74  | 10 |  |
| 121428 | Fancy      | Borgnol     | fborgnol@nature.com         | 7149 Express Parkway     | Waco          | Texas        | 76711 | 534-25-5290 | \$153,725,244.69 | 9  |  |
| 321259 | Marcile    | Bosket      | mbosketik@adobe.com         | 88240 Valley Edge Street | Alpharetta    | Georgia      | 30022 | 770-47-9719 | \$139,113,536.99 | 8  |  |
| 673889 | Javier     | Bottlestone | jbottlestone@utexas.edu     | 3 Hooker Center          | Austin        | Texas        | 78769 | 501-66-0178 | \$120,798,740.38 | 5  |  |
| 451187 | Lula       | Branhall    | lbranhall@cafepress.com     | 65236 Brentwood Crossing | Oklahoma City | Oklahoma     | 73190 | 421-20-6596 | \$95,097,575.58  | 8  |  |
| 555754 | Eryn       | Branton     | erbrantonh@cdc.gov          | 717 Armistice Alley      | Columbus      | Ohio         | 43210 | 277-40-3512 | \$96,046,124.15  | 2  |  |
| 170956 | Leyla      | Brasher     | lbrasher@freewebs.com       | 5 Luster Center          | Panama City   | Florida      | 32408 | 666-67-2396 | \$107,650,189.16 | 9  |  |
| 97309  | Janet      | Brede       | jbredehd@merchamps.com      | 15 Farmco Circle         | San Francisco | California   | 94110 | 209-99-0962 | \$20,464,489.40  | 9  |  |
| 961780 | Anny       | Brellin     | abrellin@dot.gov            | 1 Elgar Terrace          | Sandy         | Utah         | 84093 | 805-16-8324 | \$116,928,151.75 | 8  |  |
| 646583 | Poul       | Bremmer     | pbremmer@naver.com          | 22784 Valley Edge Circle | San Diego     | California   | 92121 | 298-31-7466 | \$89,373,727.47  | 9  |  |
| 836627 | Milty      | Brennans    | mbrennans@berkeley.edu      | 3 Debra Center           | Chicago       | Illinois     | 60614 | 246-74-3486 | \$146,179,712.72 | 2  |  |
| 514061 | Ly         | Brennen     | lbrennen@ask.com            | 7035 Kipling Plaza       | Nashville     | Tennessee    | 37210 | 627-26-2742 | \$141,516,127.17 | 9  |  |
| 105215 | Teresita   | Brewer      | tbrewer@fastcompany.com     | 41 Randy Point           | Cincinnati    | Ohio         | 45284 | 760-19-0524 | \$160,617,209.21 | 6  |  |
| 451418 | Shelley    | Briatt      | sbriatt@jaguar.com          | 8 Duke Road              | Augusta       | Georgia      | 30905 | 119-19-1168 | \$174,786,720.34 | 1  |  |
| 145652 | Esequiel   | Bridal      | ebbridal@myspace.com        | 5906 Detterville Street  | Boulder       | Colorado     | 80305 | 224-98-5877 | \$29,584,639.25  | 7  |  |
| 438065 | Kippy      | Bridat      | kbridat@dmn.com             | 5278 Helena Trail        | Austin        | Texas        | 78737 | 949-38-5792 | \$128,564,008.63 | 8  |  |
| 387782 | Noak       | Bridge      | nbridge@bluehost.com        | 5891 Rigney Way          | Zephyrhills   | Florida      | 33543 | 290-42-1490 | \$15,342,595.94  | 6  |  |
| 589575 | Joli       | Briereton   | jbriereton@biblegateway.com | 93 Sugar Court           | Glendale      | Arizona      | 85305 | 608-44-4710 | \$127,487,754.14 | 6  |  |
| 681152 | Jennie     | Brilon      | jbrilon@about.me            | 5 Mayer Circle           | Rochester     | New York     | 14604 | 584-04-5300 | \$51,107,057.28  | 2  |  |
| 23887  | Reinaldo   | Brilon      | rbrilon@bbw.org             | 37960 Spaight Place      | Chicago       | Illinois     | 60686 | 362-82-9360 | \$71,857,203.83  | 2  |  |
| 598643 | Halleigh   | Brindley    | hbrindley@flickr.com        | 7554 Ridgeway Lane       | Miami         | Florida      | 33142 | 977-65-5661 | \$111,800,570.69 | 3  |  |
| 433575 | Alain      | Brookwell   | abrookwell@whitehouse.gov   | 43787 Linden Avenue      | Irvine        | California   | 92619 | 977-14-2736 | \$37,649,570.79  | 9  |  |
| 105785 | Dyanne     | Brookfield  | dbrookfield@usnews.com      | 652 Bobwhite Center      | Green Bay     | Wisconsin    | 54313 | 252-04-6805 | \$63,369,962.94  | 1  |  |
| 573769 | Jeramey    | Brookwood   | jbrookwood@adman.ch         | 71482 Merrick Circle     | Philadelphia  | Pennsylvania | 19160 | 743-27-2466 | \$105,013,764.17 | 7  |  |
| 357552 | Washington | Bruster     | wbruster@hstream.tv         | 48 Independence Road     | Los Angeles   | California   | 90030 | 429-29-4572 | \$144,389,573.66 | 6  |  |

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### 2017 Dolos Financial Services Stock Analysis Spreadsheet

| Stock Scorecard   |               | How to analyze a new stock  |                  |
|---|---------------|---|------------------|
| Stock Ticker:   |               | 1. Create a new workbook from your template worksheet or download a new copy of the workbook at: <a href="http://Smartstockresearch.com">Smartstockresearch.com</a> |                  |
| Company Name:   |               | 2. Log onto Morningstar.com. If you don't already have a free Morningstar account, click here: <a href="http://Morningstar.com">Morningstar</a>                     |                  |
| Industry/Sector:  |               | 3. Search for stock on Morningstar  |                  |
| Date Analysis Started:  |               | 4. Enter stock info in the upper left corner of this sheet.   |                  |
| Overall Score:  | NA            | 5. Load data from Morningstar into: <a href="#">Balance Sheet</a> , <a href="#">Income Statement</a> and <a href="#">Cash Flow</a> worksheets.                      |                  |
| Select your stock rating criteria below and fill in your rating criteria. We've put in some sample criteria to show you how this part of the spreadsheet works. |               | *Enter Data into cell A1 in each respective sheet. Always delete any old data completely before loading new data.   |                  |
| Measure   | Actual Result | Target Points (Higher is Better)  | Score (out of 5) |
|   |               | 1 2 3 4 5   |                  |
| Gross Margin: 3 Year Avg  | NA            | 0% 10% 20% 30% 40%  |                  |
| Avg Gross Margin Growth: Past 3 Years   | NA            | 0% 10% 20% 30% 40%  |                  |
| Revenue Growth: 4 Year Avg  | NA            | 0% 20% 30% 40% 60%  |                  |
| Net Income Growth: Most Recent Year   | NA            | 0% 10% 20% 30% 40%  |                  |

Go To:

- [All Scorecard Measures](#)
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Most Recent Year of Financial Data:

2012

If you like this spreadsheet, check out our brand new [Smart Financial Planning Spreadsheet](#)! It can help you get a better grasp on how much your household is spending and saving, and how you're positioned to meet your goals.

#### Financial history & ratios

#### DOLOS FINANCIAL SERVICES

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| In Thousands                  |               | Jun-30                          |         |          |                 |          |    |               |         |          |               |
|-------------------------------|---------------|---------------------------------|---------|----------|-----------------|----------|----|---------------|---------|----------|---------------|
| Fiscal Year Ends              |               |                                 |         |          |                 |          |    |               |         |          |               |
|                               | RMA Average % | Current from 01/2017 to 06/2017 | %       | 2016     | %               | 2015     | %  | 2014          | %       |          |               |
| <b>Assets</b>                 |               |                                 |         |          |                 |          |    |               |         |          |               |
| Cash/Equivalents              | \$            | 12,346,052.9                    | 2.12%   | \$       | 21,659,742.0    | 2.12%    | \$ | 21,226,547.2  | 2.12%   | \$       | 20,589,750.7  |
| Trade Receivables             | \$            | 559,378,557.9                   | 96.27%  | \$       | 981,365,891.0   | 96.27%   | \$ | 981,738,573.2 | 96.27%  | \$       | 932,886,416.0 |
| Inventory Value               | \$            | 5,328,657.0                     | 0.92%   | \$       | 9,348,521.0     | 0.92%    | \$ | 9,161,550.6   | 0.92%   | \$       | 8,886,704.1   |
| All other current             | \$            | 3,092,907.8                     | 0.53%   | \$       | 5,426,154.0     | 0.53%    | \$ | 5,317,630.9   | 0.53%   | \$       | 5,158,102.0   |
| Total Current Assets          | \$            | 580,146,175.6                   | 99.84%  | \$       | 1,017,800,308.0 | 99.84%   | \$ | 997,444,301.8 | 99.84%  | \$       | 967,520,972.8 |
| Fixed Assets (net)            | \$            | 486,901.4                       | 0.08%   | \$       | 854,213.0       | 0.08%    | \$ | 837,128.7     | 0.08%   | \$       | 812,014.9     |
| Intangibles (net)             | \$            | 89,474.0                        | 0.02%   | \$       | 156,972.0       | 0.02%    | \$ | 153,832.6     | 0.02%   | \$       | 149,217.6     |
| All other                     | \$            | 342,185.2                       | 0.06%   | \$       | 600,325.0       | 0.06%    | \$ | 588,318.5     | 0.06%   | \$       | 570,668.9     |
| Total Assets                  | \$            | 581,064,736.3                   | 100.00% | \$       | 1,019,411,818.0 | 100.00%  | \$ | 999,023,581.6 | 100.00% | \$       | 969,052,874.2 |
| <b>Liabilities/Equity</b>     |               |                                 |         |          |                 |          |    |               |         |          |               |
| Notes payable (ST)            | \$            | -                               | 0.00%   | \$       | 2,544,154.0     | 0.93%    | \$ | 2,493,270.9   | 0.93%   | \$       | 2,418,472.8   |
| Current L. T. Debt            | \$            | 8,541,782.9                     | 5.47%   | \$       | 14,985,584.0    | 5.47%    | \$ | 14,685,872.3  | 5.47%   | \$       | 14,245,296.2  |
| Trade Payables                | \$            | 88,271.9                        | 0.06%   | \$       | 154,863.0       | 0.06%    | \$ | 151,765.7     | 0.06%   | \$       | 147,212.8     |
| Income Tax Payable            | \$            | 88,337.5                        | 0.06%   | \$       | 154,878.0       | 0.06%    | \$ | 151,878.4     | 0.06%   | \$       | 147,322.1     |
| All other current             | \$            | 3,125.9                         | 0.00%   | \$       | 5,484.0         | 0.00%    | \$ | 5,374.3       | 0.00%   | \$       | 5,213.1       |
| Total Current Liabilities     | \$            | 10,171,685.9                    | 6.51%   | \$       | 17,845,063.0    | 6.51%    | \$ | 17,488,161.7  | 6.51%   | \$       | 16,963,516.9  |
| Long-term Debt                | \$            | 996,654.1                       | 0.64%   | \$       | 1,748,516.0     | 0.64%    | \$ | 1,713,545.7   | 0.64%   | \$       | 1,662,139.3   |
| Deferred Taxes                | \$            | 1,057,316.4                     | 0.68%   | \$       | 1,854,941.0     | 0.68%    | \$ | 1,817,842.2   | 0.68%   | \$       | 1,763,306.9   |
| All other non-current         | \$            | 294,675.2                       | 0.19%   | \$       | 516,974.0       | 0.19%    | \$ | 506,634.5     | 0.19%   | \$       | 491,435.5     |
| Net Worth                     | \$            | 143,625,722.1                   | 91.98%  | \$       | 251,974,951.0   | 91.98%   | \$ | 246,935,452.0 | 91.98%  | \$       | 239,527,388.4 |
| Total Liabilities & Net Worth | \$            | 156,146,053.6                   | 100.00% | \$       | 273,940,445.0   | 100.00%  | \$ | 268,461,636.1 | 100.00% | \$       | 260,407,787.0 |
| <b>Income Data</b>            |               |                                 |         |          |                 |          |    |               |         |          |               |
| Net Sales                     | \$            | 2,959,359.1                     | 100.00% | \$       | 5,191,858.0     | 100.00%  | \$ | 5,088,020.8   | 100.00% | \$       | 4,935,380.2   |
| Cost of Sales (COGS)          | \$            | 4,881,931.4                     | 164.97% | \$       | 8,564,792.0     | 164.97%  | \$ | 8,393,496.2   | 164.97% | \$       | 8,141,691.3   |
| Gross Profit                  | \$            | 8,996,012.5                     | 303.99% | \$       | 15,782,478.0    | 303.99%  | \$ | 15,466,828.4  | 303.99% | \$       | 15,002,823.6  |
| Operating Profit              | \$            | 954,736.9                       | 32.26%  | \$       | 1,674,977.0     | 32.26%   | \$ | 1,641,477.5   | 32.26%  | \$       | 1,592,233.1   |
| Operating Profit              | \$            | 142,411.1                       | 4.81%   | \$       | 249,944.0       | 4.81%    | \$ | 244,847.1     | 4.81%   | \$       | 237,501.7     |
| All other expenses            | \$            | 1,056.8                         | 0.04%   | \$       | 1,854.0         | 0.04%    | \$ | 1,816.9       | 0.04%   | \$       | 1,762.4       |
| Pre-tax Profit                | \$            | 373,205.8                       | 12.61%  | \$       | 654,747.0       | 12.61%   | \$ | 641,652.1     | 12.61%  | \$       | 622,402.5     |
| <b>Ratio Analysis</b>         |               |                                 |         |          |                 |          |    |               |         |          |               |
| Current Ratio                 | 0.0           | 5703.54%                        |         | 5703.54% |                 | 5703.54% |    | 5703.54%      |         | 5703.54% |               |
| Inventory Turnover            | 0.0           | 91.62%                          |         | 91.62%   |                 | 91.62%   |    | 91.62%        |         | 91.62%   |               |
| Debt/Net Worth                | 0.0           | 8.72%                           |         | 8.72%    |                 | 8.72%    |    | 8.72%         |         | 8.72%    |               |
| % Return on Tang. N /W        | 0.00%         | 0.26%                           |         | 0.26%    |                 | 0.26%    |    | 0.26%         |         | 0.26%    |               |
| % Return on Assets            | 0.00%         | 0.06%                           |         | 0.06%    |                 | 0.06%    |    | 0.06%         |         | 0.06%    |               |

Screenshot

An encrypted file contained 4 files that had information that **might** prove Gardeners intention to have these documents and data for his own firm. A file called “Document2.zip” was extracted, having 4 folders in them. Adding them back as a data source in autopsy we find that each folder has data related to his future firm plan.

9)

The top screenshot shows the 'Encryption Detected' window in Autopsy. It displays a table with the following data:

| Source File    | S | C | O | Comment                                |
|----------------|---|---|---|--|
| Documents2.zip |   |   | 2 | Content-only Encryption (Archive File) |

Below this, the 'Results' tab is selected, showing a table with the following data:

| Type             | Value   | Source(s)     |
|------------------|---|---------------|
| Comment          | Content-only Encryption (Archive File)  | Embedded File |
| Source File Path | /img_ChrisGardnerApat-disk1.vmdk/vol3/Users/IEUser/AppData/Local/Temp/vmware-IEUser/VMwareDnD/6a24e163/Documents2.zip |               |
| Artifact ID      | -9223372036854770257  |               |

The bottom screenshot shows a Windows File Explorer window for the 'Documents2' folder. It contains the following files and folders:

| Name     | Date modified      | Type        | Size |
|----------|--------------------|-------------|------|
| Analysis | 7/12/2017 2:39 PM  | File folder |      |
| Forms    | 6/30/2017 2:29 PM  | File folder |      |
| Logos    | 7/12/2017 2:37 PM  | File folder |      |
| Office   | 12/13/2020 5:40 PM | File folder |      |

Let's look closely into each folder, Analysis has documents.

Forms, has 4 types of forms to “fill out” or documents for companies use.

1. Application for reservation of name
2. Tax clearance
3. Articles of organization
4. Attachment creating a series of a series limited liability company.

The screenshot shows a form from the State of Missouri, Corporations Division, titled "Attachment creating a Series of a Series Limited Liability Company". The form is designed to be filled out online for convenience. It includes a header with the state seal and the Secretary of State's name, John R. Ashcroft. The form contains the following sections:

- 1. The name of the limited liability company is:** [Text input field]
- 2. The name of the series of the limited liability company is:** [Text input field]
- (The name must include the name of the Series, contain the word "Series", and the entire name of the Limited Liability Company, and be distinguishable from any other names in the Series.)
- 3. The purpose(s) for which the series of the limited liability company is organized:** [Text input field]

At the top right of the form, there are buttons for "Print" and "Reset".



/img\_ChrisGardnerApat-disk1.vmdk/vol\_vol3/\$OrphanFiles/Forms

6 Resu

Table Thumbnail

Save Table as CSV

| Name       | S | C | O | Modified Time           | Change Time             | Access Time             | Created Time            | Size   | Flags(Dir)  | Flags(Meta) |
|------------|---|---|---|-------------------------|-------------------------|-------------------------|-------------------------|--------|-------------|-------------|
| dor943.pdf |   |   |   | 2017-06-30 13:28:52 CDT | 2017-08-10 14:40:56 CDT | 2017-06-30 13:28:52 CDT | 2017-06-30 13:28:52 CDT | 334694 | Unallocated | Unallocated |

Hex Text Application File Metadata Context Results Annotations Other Occurrences



**Form 943**  
Missouri Department of Revenue  
**Request for Tax Clearance**

Department Use Only (MM/YY)

Missouri Tax I.D. Number

Federal Employer I.D. Number

Charter Number

1. Does this business have Missouri employees for which they are required to withhold Missouri taxes? ☐ Yes ☐ No

2. Do you pay contributions to the Division of Employment Security? ☐ Yes ☐ No

If yes, what is that account number?

If there has been a change in the ownership of your business, you may need to contact Business Tax Registration at (573) 751-5860 to ensure your account is properly registered.

☐ Corporation ☐ Partnership ☐ Sole Proprietorship

**Reset Form** **Print Form**

**Frequently Asked Questions**

1. What if I don't know my Missouri tax identification number?  
The Missouri tax identification number is assigned by the Missouri Department of Revenue at the time you register for the reporting of sales, use, withholding, corporation income, or corporation franchise tax. If you have not registered your business or need to check on the status of a registration, please contact Business Tax Registration at (573) 751-5860.

2. What is my federal employer identification number?  
The Internal Revenue Service issues your federal employer identification number when you register to file federal taxes. If you do not have this number, we will review the account based on the information provided.

3. What is my corporation charter number or certificate of authority number?  
Your corporate charter number is issued to a Missouri corporation, limited liability company or limited partnership by the Missouri Secretary of State.

4. No, the corp corporation or Therefore, LLI are not subject

5. What are the r A corporation failure to file a registered as

6. What are the versus volunte If the corporat requested the Secretary of t corporation is when bidding completion s

**State of Missouri**  
John R. Ashcroft, Secretary of State  
Corporations Division  
PO Box 778 / 600 W. Main St., Box 322  
Jefferson City, MO 65102

This form is designed to be filled out online for your convenience. Complete the necessary information, print, sign and mail.

**Print** **Reset**

**Articles of Organization**  
(Submit with filing fee of \$105.00)

1. The name of the limited liability company is:  
  
(Must include "Limited Liability Company," "Limited Company," "LLC," "L.L.C.," "LLC," or "LLP")

2. The purpose(s) for which the limited liability company is organized:

3. The name and address of the limited liability company's registered agent in Missouri is:  
  
Name Street Address (May not use PO Box unless street address also provided) City/State/Zip

4. The management of the limited liability company is vested in: ☐ managers ☐ members (check one)

5. The events, if any, on which the limited liability company is to dissolve or the number of years the limited liability company is to continue, which may be any number or perpetual:   
(If the answer to this question could cause confusion the consequences, you may wish to consult with your attorney or accountant)

6. The name(s) and street address(es) of each organizer (PO box may only be used in addition to a physical street address).  
(Organizer(s) are not required to be member(s), manager(s) or owner(s).)

7. (i) Series LLC (OPTIONAL) Pursuant to Section 347.190, the limited liability company may establish a designated series in its operating agreement. The names of the series must include the full name of the limited liability company and are the following:  
New Series  
(i) The limited liability company gives notice that the series has limited liability.  
New Series  
(i) The limited liability company gives notice that the series has limited liability.  
New Series  
(i) The limited liability company gives notice that the series has limited liability.

**State of Missouri**  
John R. Ashcroft, Secretary of State

Corporations Division  
PO Box 778 / 600 W. Main St., Rm. 322  
Jefferson City, MO 65102

**Application for Reservation of Name**  
(Submit fee of \$25.00 for each business entity except Limited Liability Partnerships)  
(Submit a fee of \$30.00 for each Limited Liability Partnership)

The undersigned requests that the following name be reserved for designating a corporation, limited partnership, limited liability company, or limited liability partnership.

Name to be reserved: \_\_\_\_\_

This name reservation is for a 60-day period. You may submit additional name reservations for the same name, but please note the name you are reserving may only be reserved for a maximum of 180 days per Missouri statutes.

In Affirmation thereof, the facts stated above are true and correct:  
(The undersigned understands that false statements made in this filing are subject to the penalties provided under Section 575.040, RSMo)

Reserved by: \_\_\_\_\_

Signature \_\_\_\_\_ Printed Name \_\_\_\_\_ Title \_\_\_\_\_ Date \_\_\_\_\_

Street \_\_\_\_\_ City/State/Zip \_\_\_\_\_


Logos, he had couple of “statue” and from the image obtained looks like he is calling his financial firm as “Apate Capital Management”

/img\_ChrisGardnerApate-disk1.vmdk/vol3/\$OrphanFiles/Logos 5 Results

| Name               | S | C | O | Modified Time           | Change Time             | Access Time             | Created Time |
|--------------------|---|---|---|-------------------------|-------------------------|-------------------------|--------------|
| [parent folder]    |   |   |   | 0000-00-00 00:00:00     | 0000-00-00 00:00:00     | 0000-00-00 00:00:00     | 0000-00-00   |
| [current folder]   |   |   |   | 2017-07-12 13:37:21 CDT | 2017-08-10 14:40:56 CDT | 2017-07-12 13:37:21 CDT | 2017-07-12   |
| Logo.jpg           |   |   |   | 2017-07-12 13:37:21 CDT | 2017-08-10 14:40:56 CDT | 2017-07-12 13:37:21 CDT | 2017-07-12   |
| AthenaGoodBust.jpg |   |   |   | 2017-07-12 13:04:57 CDT | 2017-08-10 14:40:56 CDT | 2017-07-12 13:05:12 CDT | 2017-07-12   |

Hex Text Application File Metadata Context Results Annotations Other Occurrences

0° 103% Reset Tags Menu



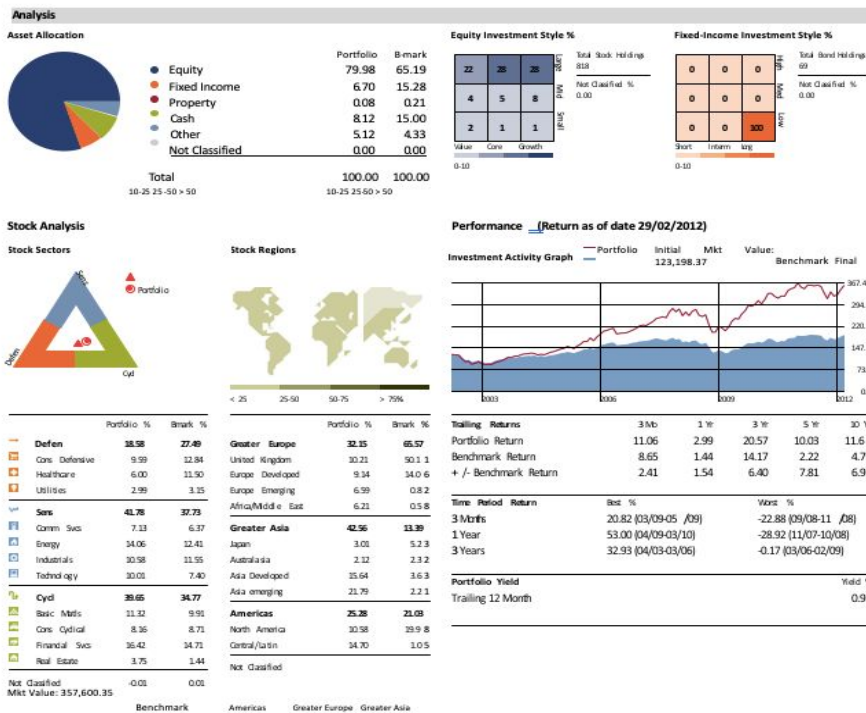
**APATE  
CAPITAL  
MANAGEMENT**

Office, looking at the EXIF data we find that he has a location in mind and has taken photos of the building and in the image a sign with “lease” is presented on a billboard at the location he desires to do so.



Mentioned above, a pdf with “Willian Busch” looking through the document the title is “Dolos Financial Services” and “Investment Analysis report” it has 44 pages with different information of financial computations, distributions, and predictions of Dolos investments. The first page of Busch's report contained a portfolio snapshot.

#### Portfolio Snapshot



Gardner has copied the extract introduction page with the same statue logo and taken information off of Busch's analysis report. However, he had 17 pages.



# **DOLOS** **FINANCIAL** **SERVICES**

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**Investment Analysis Report**  
**PROPRIETARY AND CONFIDENTIAL**  
**NOT FOR EXTERNAL DISTRIBUTION**

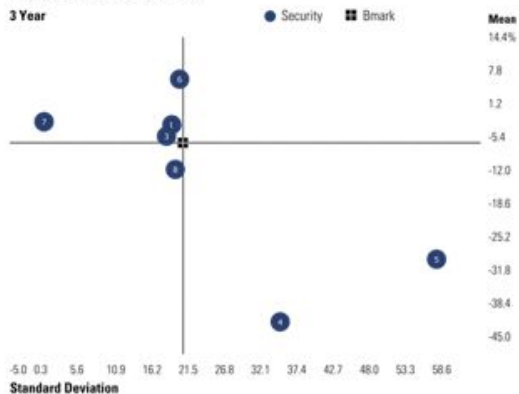
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**Prepared by:**  
Christopher Gardner



## Return/Standard Deviation Scatterplot

Performance as of 03-31-2010



| 3 Yr Risk and Return Statistics                    |           |         |
|--|-----------|---------|
|  | Total Rtn | Std Dev |
| 1 First Eagle of America A (FEFAX)                 | -0.61     | 18.71   |
| 2 Hennessy Select SPARX Japan Smaller Coms (SPJSX) | —         | —       |
| 3 Oppenheimer Rochester AZ Municipal A (ORAZX)     | -2.86     | 17.93   |
| 4 Nuveen Tax-Advantaged Floating Rate (JFP)        | -39.68    | 34.57   |
| 5 Neuberger Real Estate Securities Income (NRO)    | -27.26    | 57.46   |
| 6 Nuveen Global Value Opportunities (JGV)          | 8.45      | 19.85   |
| 7 Biotech HOLDRs (BBH)                             | —         | 0.00    |
| 8 iShares MSCI Japan Index (EWJ)                   | -9.45     | 19.22   |
| ■ Benchmark: S&P 500 TR (SPYZ)                     | -4.17     | 20.37   |

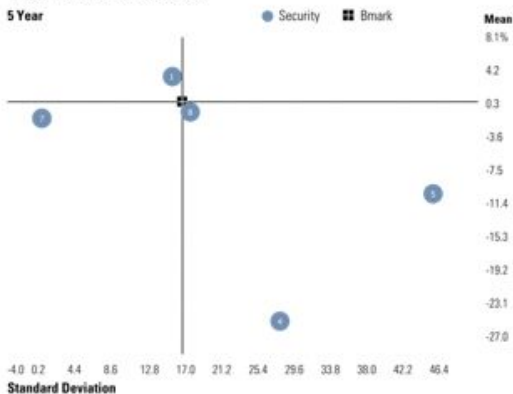
### Definitions

#### Standard Deviation

The statistical measurement of dispersion about an average, which depicts how widely a stock or portfolio's returns varied over a certain period of time. Investors use the standard deviation of historical performance to try to predict the range of returns that is most likely for a given investment. When a stock or portfolio has a high standard deviation, the predicted range of performance is wide, implying greater volatility.

If the returns for a stock or portfolio follow a normal distribution, then approximately 68 percent of the time they will fall within one standard deviation of the mean return, and 95 percent of the time within two standard deviations. For example, if the mean annual return is 10 percent and the standard deviation is 2 percent, you would expect the return to be between 8 and 12 percent about 68 percent of the time, and between 6 and 14 percent about 95 percent of the time.

Performance as of 03-31-2010



| 5 Yr Risk and Return Statistics                    |           |         |
|--|-----------|---------|
|  | Total Rtn | Std Dev |
| 1 First Eagle of America A (FEFAX)                 | 4.89      | 15.15   |
| 2 Hennessy Select SPARX Japan Smaller Coms (SPJSX) | —         | —       |
| 3 Oppenheimer Rochester AZ Municipal A (ORAZX)     | —         | —       |
| 4 Nuveen Tax-Advantaged Floating Rate (JFP)        | -23.78    | 27.63   |
| 5 Neuberger Real Estate Securities Income (NRO)    | -8.85     | 45.39   |
| 6 Nuveen Global Value Opportunities (JGV)          | —         | —       |
| 7 Biotech HOLDRs (BBH)                             | —         | 0.00    |
| 8 iShares MSCI Japan Index (EWJ)                   | 0.73      | 17.22   |
| ■ Benchmark: S&P 500 TR (SPYZ)                     | 1.92      | 16.31   |

## Conclusion

In conclusion, this report included an analysis of the investigation of Christopher Gardner, where his supervisor Kurt Aubuchon does not suspect anything against him. However; in reality, and while finding evidence against Gardner he did indeed take very vital information to the company after resigning while contacting an inside employee that is still employed at this company. Gardner was in contact with this employee and was speaking of how he will be starting his own company. The employee, Sharon Hammond listened to Gardner and this raised a red flag to another employee working at the company who has been suspecting that Gardner was taking confidential information from the company before he left.

*Handwritten signature: Hanaf Buzids*