

# Threshold Schemes

- + Secret Sharing
- + Zero Knowledge Proofs
- + Signatures

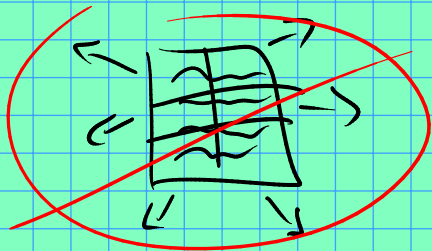
(secret key of public/secret pair)

Idea (for encryption): Say key is "shared"

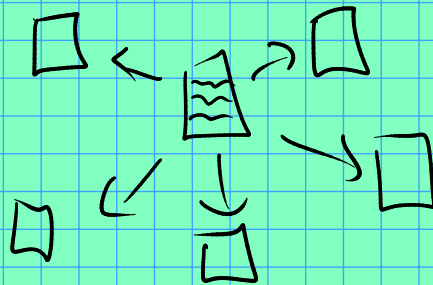
among  $n$  players. Goal: allow any subset of  $> t$  players to decrypt messages encrypted w/ the public key, without ever holding the secret key in any computer's memory.

(decryption is distributed...)  $1 < t < n$

What does "shared" mean for the key?



We can do better!



Goal: any collection of  $\leq t$  shares contains no information about secret! Yet secret can be reconstructed from any subset of  $\geq t+1$  shares.

Main tool: polynomial interpolation. (E.g. Lagrange)

Representations of  $f(X) = \sum_{i=0}^t c_i X^i$  :

—  $\{c_i\}_{i=0}^t$

— roots of  $f$ : (inputs  $r_i$  s.t.  $f(r_i) = 0$ )  
 $\uparrow$   
 $\mathbb{C}$

$$\text{Then } c(x-r_1)(x-r_2)\dots(x-r_t) = f(x)$$

✱ input/output behavior of  $f$  at  
any distinct  $t+1$  points: i.e.,  
 $\{(\alpha_i, \beta_i)\}_{i=0}^t$  s.t.  $f(\alpha_i) = \beta_i$

Looking ahead: to share a secret  $s \in \mathbb{Z}_p$  w/  $n$  players  
w/ threshold  $t$ , we will choose random  
coefficients  $c_1, \dots, c_t \in \mathbb{Z}_p$  and  
set  $f(x) = s + c_1x + c_2x^2 + \dots + c_tx^t$ .

Shares of  $s$ ? Send player  $i$   $f(i)$   
(say players are numbered  $1, 2, \dots, n$ ).

Reconstruct? use  $t+1$  shares (i/o behavior of  $f$ )  
to find coefficients of  $f$ .

$$\text{Secret} = f(0) \quad (\text{constant term})$$

How to interpolate? Find  $f(x)$  from i/o behavior  
 $\{(\alpha_i, \beta_i)\}_{i=0}^t$ . Want  $f(x)$  to have degree  $\leq t$ , and  
 $f(\alpha_i) = \beta_i \quad \forall i = 0, \dots, t$ .

Warm up/building block: Say you have polynomials (of degree  $\leq t$ )  
 $l_i(x)$  s.t.  $l_i(\alpha_j) = \begin{cases} 0 & \text{if } i \neq j \\ 1 & \text{else } (i=j) \end{cases}$

How then to find  $f(x)$ ?

$$\text{Set } f(x) = \beta_0 l_0(x) + \beta_1 l_1(x) + \dots + \beta_t l_t(x)$$

$$\deg(f) \leq \max\{\deg(l_i)\} \leq t$$

$$f(\alpha_i) = \cancel{\beta_0 l_0(\alpha_i)} + \underline{\beta_1 l_1(\alpha_i)} + \cancel{\dots} + \cancel{\beta_t l_t(\alpha_i)} \\ = \beta_1$$

More generally,  $f(\alpha_i) = \beta_i$

So... how to find  $l_i(x)$ ? Know the roots!!

$l_i(x)$  should have roots  $\{\alpha_j\}_{j \neq i}$ .

$$\text{So } l_i(x) = c(x - \alpha_0) \dots (x - \alpha_{i-1})(x - \alpha_{i+1}) \dots (x - \alpha_t)$$

How to find  $c$ ? Want  $l_i(\alpha_i) = 1$ .

So just divide:  $c = \frac{1}{\tilde{l}_i(\alpha_i)} \leftarrow \text{why not 0?}$

$$\text{where } \tilde{l}_i(x) = \prod_{j \neq i} (x - \alpha_j).$$

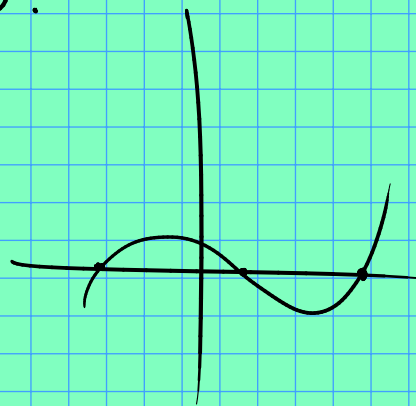
Alternate method for interpolation:  
Vandermonde Matrix.

Idea: matrix of powers of elements can "linearize" polynomial evaluation.

$$f(x) = c_0 + c_1 x + c_2 x^2 + c_3 x^3 + \dots + c_t x^t$$

$$V = \begin{pmatrix} 1 & \alpha_0 & \alpha_0^2 & \alpha_0^3 & \dots & \alpha_0^t \\ 1 & \alpha_1 & \alpha_1^2 & \alpha_1^3 & \dots & \alpha_1^t \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \alpha_t & \alpha_t^2 & \alpha_t^3 & \dots & \alpha_t^t \end{pmatrix} \begin{pmatrix} c_0 \\ c_1 \\ \vdots \\ c_t \end{pmatrix} = \begin{pmatrix} f(\alpha_0) \\ f(\alpha_1) \\ \vdots \\ f(\alpha_t) \end{pmatrix}$$

For interpolation, we have  $\alpha_i$  &  $f(\alpha_i)$  ( $= \beta_i$ )  
and want to recover  $c_i$ 's.



Good news:  $V$  is invertible! (and it is easy to compute.)

Say  $W = V^{-1}$ . Then  $c_0$  "the secret!"

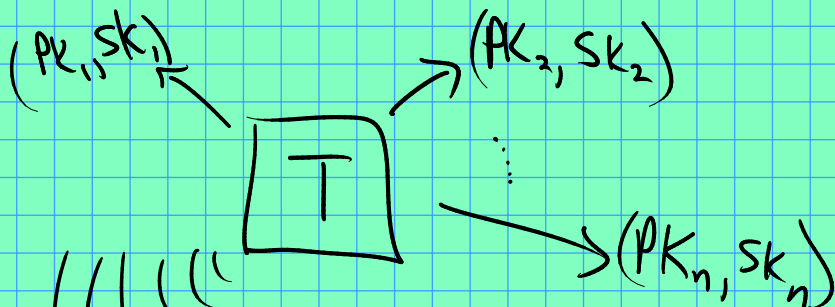
$$W \begin{pmatrix} p_0 = f(x_0) \\ \vdots \\ p_t = f(x_t) \end{pmatrix} = \begin{pmatrix} c_0 \\ c_1 \\ \vdots \\ c_t \end{pmatrix}$$

⊗ the secret ( $s = c_0$ ) is a linear function of the shares:

$$s = c_0 = \sum_{i=0}^t w_i p_i = w_0 p_0 + \dots + w_t p_t.$$

coefficients  $w_i$  known to participants (could be derived from knowledge of identities of other players)

Threshold Decryption (from El Gamal)



$$\langle \gamma \rangle = G < \mathbb{Z}_p^*$$

$$|\langle \gamma \rangle| = q$$

$$SK = s$$

$$PK = \gamma^s$$

$$(p, q \text{ prime})$$

How to decrypt w/o reconstructing  $s$ ??

Reminder:  $E_{PK}(m) = (\gamma^b, (PK)^b \cdot m)$  ( $m \in \langle \gamma \rangle$ )  
 $b \in_R \mathbb{Z}_q$

(recall:  $\langle \mathbf{1} \rangle = \{ \mathbf{1}^0, \mathbf{1}^1, \mathbf{1}^2, \dots, \mathbf{1}^{q-1} \}$ )

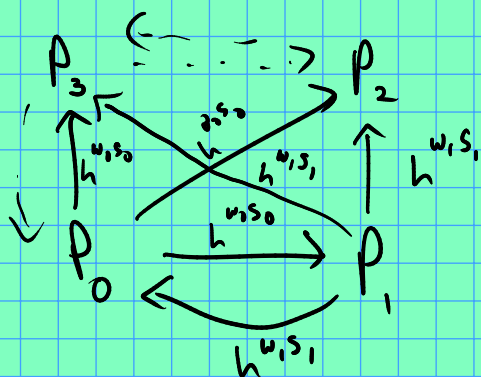
$$D_{SK}((h, k)) = k \cdot \underbrace{(h^{-1})^s}_{\substack{\text{we want to do this} \\ \text{from the SK; w/o} \\ \text{reconstructing } s!!}} \quad \left( \begin{array}{l} s = SK; \\ PK = r^s \end{array} \right)$$

Notation: set  $s_i \triangleq SK_i$  To reconstruct  $s$ ,  
compute  $s = \sum_{i=0}^t w_i s_i$  where  $w_i$  are from  $V^{-1}$ .

Say ciphertext is  $(h, k)$ .  $(h = r^b, k = (r^s)^b \cdot m)$

Close:  $h^s = h^{\sum w_i s_i} = \prod h^{w_i s_i}$

- can be computed by player  $i$
- hides  $s_i$  in an exponent!  
( $h^{w_i s_i}$  doesn't reveal  $s_i$  to other players)



After sharing, all players have

$$\{ h^{w_0 s_0}, h^{w_1 s_1}, h^{w_2 s_2}, h^{w_3 s_3} \}$$

$$\searrow \quad \downarrow \quad \swarrow \quad \nearrow$$

$$x = h^s$$

How do you know  $h^{w_i}$  from player  $i$  is correct?  
You don't. But we can fix it! (ZKP.)