# CS 577 - Basics of Algorithm Analysis

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# ALGORITHM ANALYSIS

# Algorithm Evaluation

- Sound
- Complete
- Resource requirements:
  - Time
  - Space
  - Other...

# Computational Tractability

## DEFINING EFFICIENCY

## Definition 1<sup>1</sup>

An algorithm is efficient if, when implemented, it runs quickly on real input instances.

#### Issues:

- Not concrete enough for meaning algorithm comparison.
- What is "quickly"?
- What are "real input instances"?

<sup>&</sup>lt;sup>1</sup>Algorithm Design, p. 30

## DEFINING EFFICIENCY

# Definition 2<sup>2</sup>

An algorithm is efficient if it achieves qualitatively better *worst-case* performance, at an analytical level, than *brute force* search.

<sup>&</sup>lt;sup>2</sup>Algorithm Design, p. 32

# QUANTIFYING AN ALGORITHM'S PERFORMANCE

### Brute-force

- Enumerate all possible solutions.
- 2 Check all possible solutions and keep the best one.

#### Worst-case

Considering all possible inputs, what is worst possible performance of the algorithm?

- Absolute guarantee on performance.
- Only needs one data point.

## DEFINING EFFICIENCY

# Definition 2<sup>2</sup>

An algorithm is efficient if it achieves qualitatively better *worst-case* performance, at an analytical level, than *brute force* search.

#### Issues:

- Still too vague for a good measure.
- What exactly is "qualitative"?

<sup>&</sup>lt;sup>2</sup>Algorithm Design, p. 32

# Stable Marriage Problem (SMP) $(1962)^{123}$

#### **Problem Definition**

Given a set of n men, M, and an opposite set of n women, W. Each person has a preference ranking of the opposite set. Compute a stable matching between M and W. A matching is stable if it is (i) perfect, and (ii) there are no pairs (m, w) and (m', w') in the matching where m prefers w' and w' prefers m.

- A.k.a Stable Matching Problem.
- There are more complicated variations of the model.
- Used in the real world (e.g. matching doctors to hospitals).
- Nobel Prize in Economics in 2012 (Shapley and Roth).

<sup>&</sup>lt;sup>1</sup>Algorithm Design, Ch 1.

<sup>&</sup>lt;sup>2</sup>Algorithms, Ch 4.5

<sup>&</sup>lt;sup>3</sup>http://mathsite.math.berkeley.edu/smp/smp.html

## Analysis of SMP

# **Algorithm:** Gale-Shapley Algorithm (1962)

```
Initially all m \in M and w \in W are free
while there is a man m who is free and hasn't proposed to every woman do
    Choose such a man m
    Let w be the highest-ranked woman in m's preference list to whom m has not
      yet proposed
    if w is free then
         (m, w) become engaged
                                       TopHat 1
    else w is currently engaged to m'
         if w prefers m' to m then
                                       How many brute-force possibilities when there
                                       are n men and n women?
             m remains free
         else w prefers m to m'
              (m, w) become engaged
             m' becomes free
         end
    end
end
return the set S of engaged pairs
```

## DEFINING EFFICIENCY

## Definition 3<sup>3</sup>

An algorithm is efficient if it has a polynomial running time with respect to the input size.

Polynomial:  $f(n) = c_d \cdot n^d + c_{d-1} \cdot n^{d-1} + \cdots + c_1 \cdot n + c_0$ , where d and  $c_i$  are constants.

#### Well defined notion:

- Natural follow-up: what is the most efficient algorithm possible?
- Not perfect:  $n^{100}$  is polynomial, but  $n^{1+0.02(\log n)}$  is not.

<sup>&</sup>lt;sup>3</sup>Algorithm Design, p. 32

## Analysis of SMP

# **Algorithm:** Gale-Shapley Algorithm (1962)

Initially all  $m \in M$  and  $w \in W$  are free

```
while there is a man m who is free and hasn't proposed to every woman do
    Choose such a man m
    Let w be the highest-ranked woman in m's preference list to whom m has not
      yet proposed
    if w is free then
                                       TopHat 2
         (m, w) become engaged
    else w is currently engaged to m'
                                       In an implementation of SMP, what would be
         if w prefers m' to m then
                                       the input size when there are n men and n
             m remains free
                                       women?
         else w prefers m to m'
             (m, w) become engaged
             m' becomes free
         end
    end
end
return the set S of engaged pairs
```

## Analysis of SMP

# **Algorithm:** Gale-Shapley Algorithm (1962)

Initially all  $m \in M$  and  $w \in W$  are free

```
while there is a man m who is free and hasn't proposed to every woman do
    Choose such a man m
    Let w be the highest-ranked woman in m's preference list to whom m has not
      yet proposed
    if w is free then
                                       TopHat 3
         (m, w) become engaged
    else w is currently engaged to m'
                                       In the Gale-Shapely algorithm, what is the
         if w prefers m' to m then
                                       maximum number of iterations when there are
             m remains free
                                       n men and n women?
         else w prefers m to m'
             (m, w) become engaged
             m' becomes free
         end
    end
end
return the set S of engaged pairs
```

# Quantifying an Algorithm's Performance

#### Brute-force

- Enumerate all possible solutions.
- ② Check all possible solutions and keep the best one.

#### Worst-case

Considering all possible inputs, what is worst possible performance of the algorithm?

- Absolute guarantee on performance.
- Only needs one data point.

# QUANTIFYING AN ALGORITHM'S PERFORMANCE

#### Worst-case

Considering all possible inputs, what is worst possible performance of the algorithm?

- Absolute guarantee on performance.
- Only needs one data point.

# Average-case

Given a distribution over the possible inputs, what is the expected performance of the algorithm?

- Without mention of distribution, uniform is assumed.
- Analysis typically more complicated.

# QUANTIFYING AN ALGORITHM'S PERFORMANCE

#### Worst-case

Considering all possible inputs, what is worst possible performance of the algorithm?

- Absolute guarantee on performance.
- Only needs one data point.

#### Best-case

Considering all possible inputs, what is best possible performance of the algorithm?

- Tends to be meaningless
- Could used when choosing between 2 otherwise equivalent algorithms.

## INSERTION SORT ANALYSIS

```
(Introduction to Algorithms, P.26)
```

(	,		
IN	$\operatorname{ISERTION-SORT}(A)$	cost	times
1	for $j = 2$ to A. length	$c_1$	n
2	key = A[j]	$c_2$	n-1
3	// Insert $A[j]$ into the sorted		
	sequence $A[1 j - 1]$ .	0	n-1
4	i = j - 1	$c_4$	n-1
5	<b>while</b> $i > 0$ and $A[i] > key$	$c_5$	$\sum_{j=2}^{n} t_j$
6	A[i+1] = A[i]	$c_6$	$\sum_{j=2}^{n} (t_j - 1)$
7	i = i - 1	$c_7$	$\sum_{j=2}^{n} (t_j - 1)$
8	A[i+1] = key	$c_8$	n-1
Overall.	11	11	11

Overall:

$$T(n) = c_1 n + c_2 (n-1) + c_4 (n-1) + c_5 \sum_{j=2}^{n} t_j + c_6 \sum_{j=2}^{n} (t_j - 1) + c_7 \sum_{j=2}^{n} (t_j - 1) + c_8 (n-1)$$

$$\leq c_1 n + c_2 (n-1) + c_4 (n-1) + c_5 \sum_{j=2}^{n} j + c_6 \sum_{j=2}^{n} (j-1) + c_7 \sum_{j=2}^{n} (j-1) + c_8 (n-1)$$

## Insertion Sort Analysis

(Introduction to Algorithms, p.26)

Insertion-Sort $(A)$		cost	times
1	for $j = 2$ to A.length	$c_1$	n
2	key = A[j]	$c_2$	n-1
3	// Insert $A[j]$ into the sorted		
	sequence $A[1 j - 1]$ .	0	n-1
4	i = j - 1	$c_4$	n-1
5	<b>while</b> $i > 0$ and $A[i] > key$	$c_5$	$\sum_{j=2}^{n} t_j$
6	A[i+1] = A[i]	$c_6$	$\sum_{j=2}^{n} (t_j - 1)$
7	i = i - 1	$c_7$	$\sum_{j=2}^{n} (t_j - 1)$
8	A[i+1] = key	$c_8$	n-1

Overall:

$$T(n) \le c_1 n + c_2 (n-1) + c_4 (n-1) + c_5 \sum_{j=2}^{n} j + c_6 \sum_{j=2}^{n} (j-1) + c_7 \sum_{j=2}^{n} (j-1) + c_8 (n-1)$$

$$= an^2 + bn - d$$

# Asymptotic Order of Growth

## ASYMPTOTIC ORDER OF GROWTH

# Bounding f(n) as n grows

- Bound f(n) from above.
- Bound f(n) from below.

# Bachmann-Landau notation (Asymptotic notation)

- Big-Oh: *O* (≤)
- Big-Omega:  $\Omega$  ( $\geq$ )
- Big-Theta:  $\Theta$  (equivalent)

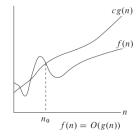
- Little-oh: *o* (<<)
- Little-omega:  $\omega$  (>>)

## Big-Oh

Asymptotic upper bound

# Formal Definition<sup>1</sup>

$$O(g(n)) = \{ f(n) : \exists c, n_0 > 0 \mid 0 \le f(n) \le cg(n) \ \forall n \ge n_0 \}$$



Insertion sort:

$$T(n) = an^2 + bn - d \in O(n^2)$$

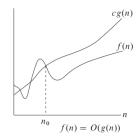
<sup>&</sup>lt;sup>1</sup>Introduction to Algorithms, Ch 3.1

## Big-Oh

Asymptotic upper bound

# Formal Definition<sup>1</sup>

$$O(g(n)) = \{ f(n) : \exists c, n_0 > 0 \mid 0 \le f(n) \le cg(n) \ \forall n \ge n_0 \}$$



Insertion sort:

$$T(n) = an^2 + bn - d = O(n^2)$$

Often used, but technically an abuse of notation

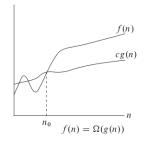
<sup>&</sup>lt;sup>1</sup>Introduction to Algorithms, Ch 3.1

### BIG-OMEGA

Asymptotic lower bound

# Formal Definition<sup>1</sup>

$$\Omega(g(n)) = \{ f(n) : \exists c, n_0 > 0 \mid 0 \le cg(n) \le f(n) \ \forall n \ge n_0 \}$$



Insertion sort:

$$T(n) = an^2 + bn - d \in \Omega(n^2)$$

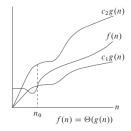
<sup>&</sup>lt;sup>1</sup>Introduction to Algorithms, Ch 3.1

## BIG-THETA

Asymptotic tight bound

# Formal Definition<sup>1</sup>

$$\Theta(g(n)) = \{ f(n) : \exists c_1, c_2, n_0 > 0 \mid \\ 0 \le c_1 g(n) \le f(n) \le c_2 g(n) \ \forall n \ge n_0 \}$$



Insertion sort:

$$T(n) = an^2 + bn - d \in \Theta(n^2)$$

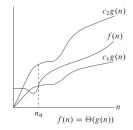
<sup>&</sup>lt;sup>1</sup>Introduction to Algorithms, Ch 3.1

#### BIG-THETA

Asymptotic tight bound

# Formal Definition<sup>1</sup>

$$\Theta(g(n)) = \{ f(n) : \exists c_1, c_2, n_0 > 0 \mid \\
0 \le c_1 g(n) \le f(n) \le c_2 g(n) \ \forall n \ge n_0 \}$$



# Key Property

For any two functions f(n) and g(n), we have  $f(n) = \Theta(g(n))$  if and only if f(n) = O(g(n)) and  $f(n) = \Omega(g(n))$ .

<sup>&</sup>lt;sup>1</sup>Introduction to Algorithms, Ch 3.1

#### Little-oh

# Formal Definition<sup>1</sup>

$$o(g(n)) = \{ f(n) : \forall c > 0 \exists n_0 > 0 \mid 0 \le f(n) < cg(n) \ \forall n \ge n_0 \}$$

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$$

Insertion sort:

$$T(n) = an^{2} + bn - d \in o(n^{3})$$

$$\in O(n^{3})$$

$$\in O(n^{2})$$

$$\notin o(n^{2})$$

<sup>&</sup>lt;sup>1</sup>Introduction to Algorithms, Ch 3.1

## Little-omega

# Formal Definition<sup>1</sup>

$$\omega(g(n)) = \{ f(n) : \forall c > 0 \exists n_0 > 0 \mid$$
  
$$0 \le cg(n) < f(n) \ \forall n \ge n_0 \}$$

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty$$

Insertion sort:

$$T(n) = an^{2} + bn - d \in \omega(n)$$

$$\in \Omega(n)$$

$$\in \Omega(n^{2})$$

$$\notin \omega(n^{2})$$

<sup>&</sup>lt;sup>1</sup>Introduction to Algorithms, Ch 3.1

## Useful Asymptotic Properties

# Polynomial Bound

For 
$$c_d > 0$$
,  $f(n) = c_d \cdot n^d + c_{d-1} \cdot n^{d-1} + \dots + c_1 \cdot n + c_0 = O(n^d)$ 

# Logarithms

- $\bullet \log_b n = \frac{\log_a n}{\log_a b} = \Theta(\log n)$
- $(\log n)^a = o(n^b)$  for any a, b > 0

# Exponential

- For every r > 1 and every d > 0,  $n^d = o(r^n)$
- $r^n = o(s^n)$  for r < s

## Analysis of SMP

# **Algorithm:** Gale-Shapley Algorithm (1962)

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while there is a man m who is free and hasn't proposed to every woman do
    Choose such a man m
    Let w be the highest-ranked woman in m's preference list to whom m has not
      yet proposed
    if w is free then
                                        Exercise
         (m, w) become engaged
    else w is currently engaged to m'
                                        How would you implement this algorithm so
         if w prefers m' to m then
                                        that it has a running time of O(n^2)?
              m remains free
         else w prefers m to m'
              (m, w) become engaged
              m' becomes free
         end
    end
end
return the set S of engaged pairs
```

# COMMON RUNTIMES

