

# Letter recognition using Machine Learning

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**Abstract**— Machine rule induction was examined on a difficult categorization problem by applying a Holland- style classifier system to a complex letter recognition task. A set of 20,000 unique letter images was generated by randomly distorting pixel images of the 26 uppercase letters from 20 different commercial fonts. The parent fonts represented a full range of character types including script, italic, serif, and Gothic. The features of each of the 20,000 characters were summarized in terms of 16 primitive numerical attributes. Our research focused on machine induction techniques for generating IF-THEN classifiers in which the IF part was a list of values for each of the 16 attributes and the THEN part was the correct category, i.e., one of the 26 letters of the alphabet. We examined the effects of different procedures for encoding attributes, deriving new rules, and apportioning credit among the rules. Binary and Gray-code attribute encodings that required exact matches for rule activation were compared with integer representations that employed fuzzy matching for rule activation. Random and genetic methods for rule creation were compared with instance-based generalization. The strength/specificity method for credit apportionment was compared with a procedure we call "accuracy/utility."

**Keywords**- . *Category learning, parallel rule-based systems, exemplar-based induction, apportionment of credit, fuzzy-match rule activation.*

## I. INTRODUCTION (HEADING I)

Human experts often solve difficult problems quickly and effortlessly by categorizing complex situations as special cases of familiar paradigms and applying solution strategies that are known to be effective for these paradigms (de Groot, 1965; Chase & Simon, 1973). Problem solving in this context involves partitioning a complex task into two components that can be solved independently and executed in a serial fashion. The first component consists primarily of categorization. Humans acquire this ability after many years of observing a wide-range of related examples. The expert's skill seems to be based primarily on memory for past

experiences rather than on logical deduction or symbolic reasoning (Charness, 1981). The second component involves associating one or more action sequences with each of the categories. The problem solver has a large repertoire of well-practiced action routines that can be selected and applied in a way that is appropriate for the initial categorization decision. Our research focuses on the first component of the above paradigm. We examine a computer system that induces general categorization rules within a supervised learning paradigm. A large number of unique examples are presented to the system along with an outcome measure that indicates the appropriate category for each example. Our test implementation involves 26 categories and 20,000 unique test patterns.

## II. CHARACTERISTICS AND ATTRIBUTES

To test our methodology, we selected a difficult classification task. The objective was to identify each of a large number of black-and-white rectangular pixel displays as one of the 26 letters in the English alphabet. The character images were based on 20 different fonts and each letter within these 20 fonts was randomly distorted to produce a file of 20,000 unique stimuli. Each stimulus was converted into 16 numerical attributes that were in turn submitted to our classifier system. The identification task was especially challenging because of the wide diversity among the different fonts and because of the primitive nature of the attributes.

### A. Generation

Each item in the character file was generated in the following manner. Twenty thousand calls were made to a character-image generating program with random uniformly distributed parameter values for font type, letter of the alphabet, linear magnification, aspect ratio, and horizontal and vertical "warp." Each character image was first produced in the form of vector coordinates of the end-points

of its constituent line segments. The specified scale changes and "warping" were applied to these coordinates. The line segments were then "rasterized" to form a rectangular array of pixels, each of which was "on" or "off." "on" pixels represented the image of the desired character. These arrays averaged about 45 pixels high by 45 pixels wide.

### B. Recognition

The linear magnification ranged from 1.0 to 1.6. The additional horizontal magnification, which changed the aspect ratio, ranged from 1.0 to 1.5. The horizontal warp parameter controlled a quadratic transformation of the horizontal coordinates that distorted the horizontal scale by stretching either the left or right region of the image (and correspondingly shrinking the other region). The vertical warp parameter operated similarly in the vertical direction. The range of the warp parameters was chosen so that even when their values were at the limits of their range, the resulting character images, although rather misshapen, were fairly recognizable to humans.

## III. PROCEDURE

1. The horizontal position, counting pixels from the left edge of the image, of the center of the smallest rectangular box that can be drawn with all "on" pixels inside the box.
2. The vertical position, counting pixels from the bottom, of the above box.
3. The width, in pixels, of the box.
4. The height, in pixels, of the box.
5. The total number of "on" pixels in the character image.
6. The mean horizontal position of all "on" pixels relative to the center of the box and divided by the width of the box.  
This feature has a negative value if the image is "left-heavy" as would be the case for the letter L.
7. The mean vertical position of all "on" pixels relative to the center of the box and divided by the height of the box.
8. The mean squared value of the horizontal pixel distances as measured in 6 above. This attribute will have a higher value for images whose pixels are more widely separated in the horizontal direction as would be the case for the letters W or M.
9. The mean squared value of the vertical pixel distances as measured in 7 above.
10. The mean product of the horizontal and vertical distances for each "on" pixel as measured in 6 and 7 above. This attribute has a positive value for diagonal lines that run from bottom left to top right and a negative value for diagonal lines from top left to bottom right.
11. The mean value of the squared horizontal distance times the vertical distance for each "on" pixel. This measures the correlation of the horizontal variance with the vertical position.
12. The mean value of the squared vertical distance times the horizontal distance for each "on" pixel. This measures the correlation of the vertical variance with the horizontal position.
13. The mean number of edges (an "on" pixel immediately to the right of either an "off" pixel or the image boundary) encountered when making systematic scans from left to right at all vertical positions within the box. This measure distinguishes between letters like "W" or "M" and letters like "T" or "L."



14. The sum of the vertical positions of edges encountered as measured in 13 above. This feature will give a higher value if there are more edges at the top of the box, as in the letter "Y."
15. The mean number of edges (an "on" pixel immediately above either an "off" pixel or the image boundary) encountered when making systematic scans of the image from bottom to top over all horizontal positions within the box.
16. The sum of horizontal positions of edges encountered as measured in 15 above.

### A. Learning paradigm

The set of 20,000 unique letter images was organized into two files. Sixteen thousand items were used as a learning set and the remaining 4000 items were used for testing the accuracy of the rules. The program traversed the 16,000 learning items 5 separate times, creating new rules, discarding unsatisfactory ones, and modifying the performance statistics for each rule as appropriate. This process provided 80,000 learning trials. During our preliminary investigations, we explored various numbers of passes through the training file, ranging from 1 to 20. With the better methods, most of the benefits of training (approximately 80%) occurred during the first pass through the 16,000 item data set. We selected 5 passes as our standard procedure, because this seemed to provide a reasonable approximation of the asymptotic improvement level that could be expected from training.

### B. Description of classifier systems

The processing sequence can be characterized as:

1. Compare the attribute vector of a test item with the attribute specifications of each of the classifiers in the current rule buffer.
2. Select a match set [M] consisting of all classifiers whose conditions are satisfied by the test item's attribute vector.
3. Compute a bid for each classifier in set M. Assign the category associated with the highest bidder as the system output.
4. If in learning phase, modify the performance statistics of one or more classifiers as specified by the bidding system algorithm.
5. If in learning phase, discard weak rules and create new rules according to the rule creation algorithm.
6. Select the next test item, and repeat the process starting at step 1.

### C. Percent correct identifications

Table 1. Percent correct identifications on 4000-item test set with integer attribute representation and fuzzy matching.

Method of Rule Creation	NEWST	Reward Sharing Winner-Take-All			
		Strength	Str*Spec	Strength	Str*Spec
Random	1000	49.5	51.0	24.5	30.7
	2000	52.6	49.0	25.6	30.4
	4000	47.9	45.7	24.6	31.5
	8000	40.2	43.9	30.0	28.4
Hybrid	1000	62.6	67.1	30.4	30.6
	2000	68.8	68.5	37.7	32.6
	4000	69.4	70.4	36.6	34.3
	8000	65.2	67.8	32.0	30.4
Exemplar	1000	70.4	69.7	53.4	54.5
	2000	74.8	76.7	58.8	60.3
	4000	78.3	77.4	65.0	64.2
	8000	80.8	80.3	66.0	67.8

#### IV. ADAPTIVE CLASSIFIERS

Method of Rule Creation	NEWST	Reward Sharing		Winner-Take-All	
		Strength	Str*Spec	Strength	Str*Spec
Random	1000	324,432	309,202	337,126	325,151
	2000	165,804	156,098	173,764	167,151
	4000	85,266	79,870	90,411	87,000
	8000	44,925	41,622	47,711	45,666
Hybrid	1000	311,315	301,819	351,852	341,952
	2000	156,004	150,308	187,503	175,722
	4000	77,426	76,003	98,761	95,452
	8000	40,618	39,462	52,601	50,591
Exemplar	1000	49,742	47,896	64,564	60,300
	2000	42,882	41,584	57,620	53,178
	4000	39,316	36,642	52,198	47,268
	8000	36,428	33,184	50,006	43,990

### A. Window size

In all of the conditions discussed so far, classifiers and test items were based on an integer attribute representation and a fixed window size of 1 was employed for "fuzzy" matching.

If the attribute value of a test item was within 1 unit of the rule value, the rule and test item matched on that attribute.

In our preliminary analyses, various window sizes were explored to determine which setting would produce the best performance. Results are pre- sented in Table 5 comparing window sizes of 0, 1, and 2. In each case, rule creation is exemplar based, bidding is by strength, reward is shared, the amount of reward is set at 2 times NEWST, and the tax is set at 1. The wild card probability for rule creation was adjusted for each window size:  $p = .7$  for window size 0,  $p = .5$  for window size 1, and  $p = .3$  for window size 2. These values were selected because they optimized performance for each procedure.

Dependent measure	NEWST	0	1	2
Correct Identifications on 4000-Item Test Set	1000	53.3	70.4	59.4
	2000	62.3	74.8	61.9
	4000	67.1	78.3	66.2
	8000	69.4	80.8	67.3
Mean Rule				
Specificity	1000	3.05	6.65	10.70
	2000	3.24	6.97	11.13
	4000	3.54	7.50	11.50
	8000	3.89	8.02	11.77
Number of rules after				

Verification	1000	210	242	191
	2000	392	434	314
	4000	860	747	449
	8000	1872	1302	681

### B. Binary and grey code attribute distributions

All of the previous results represented attribute values as integers and employed a window of fixed size to determine when matching occurred. Traditional classifier systems more commonly employ binary or gray code attribute representations for numerical attributes. Table 6 compares the performance of the classifier systems while varying the method of attribute representation. Binary and gray code methods are compared directly to the integer method. In each case, rule creation is exemplar-based, bidding is by strength, reward is shared, the amount of reward is set at 2 times NEWST, and the tax is set at 1. The wild card probability in rule creation was adjusted for each method:  $p = .65$  for binary and gray code and  $p = .5$  for integer representation. These values were selected to optimize performance.

		Type of attribute representation		
Dependent measure	Newsw	Binary	Grey code	Integer
%Correct Identification on 1000		43.2	45.9	70.4
4000- Item test set	2000	48.0	52.4	74.8
	4000	52.4	56.5	78.3
	8000	54.7	59.3	80.0
	1000	22.00	21.34	6.65
Mean rule specificity	2000	21.54	21.22	6.97
	4000	21.52	20.80	7.50
	8000	21.37	21.06	8.02
	1000	124	116	242
Number of Rules after verification	2000	233	251	434
	4000			

without fuzzy matching, the integer method out-performs the binary and gray code methods on the character recognition task. One should note, however, that we did not examine partial matching under the binary and gray code conditions. These methods may have been more effective with partial matching.

### C. Accuracy-utility content system

The concepts of rule strength and rule specificity have played an important role in the development of Holland's classifier system. In the current research, alternative measures of rule fitness were examined in an effort to address problems we encountered with this application. With some of our parameter selections, stable performance was observed for a period of time and then an abrupt deterioration occurred. Useful default hierarchies, which had been developed gradually, appeared to lose one or two critical rules, seemingly for complex reasons. These losses triggered a major calamity in which other rules, no longer protected from over-generalizing their knowledge, began to make many errors. Within a short time, a large number of previously successful rules had been discarded and the performance of the system dropped significantly. We believe that this problem resulted from selecting the wrong set of parameters for the bidding and reward system. In essence, the strength-specificity bidding system seems to be

very sensitive to parameter values and can perform quite poorly if these values are not set within a precise range. Our experience with these difficulties provided motivation to explore an alternative reward allocation system.

EXEMPLER HYBRID ROUTE CREATION

The prior results indicated that hybrid procedures for creating rules by altering or combining existing strong rules have beneficial results. A limitation of this procedure appears to be the quality of the rules created initially by a random process. To explore this relationship more thoroughly, we examined a rule creation process in which the hybrid methods were used to augment the exemplar-based method. All observations were taken using integer attribute representation with the window size set at 1 and a strength based bidding system with reward shared among the correct bidders. In all cases, the tax value was set at 1 and the reward value at 2 times NEWST. Bids were based on strength only, and the bid cost was set at 10% of the current strength.

System	Utility criterion	%Correct	Specificity	Final rules	Rulescreated
INT.EG.STR	1000	60.0	5.94	129	723,219
window =1	2000	71.4	6.10	250	374,096
wild card =0.5	4000	79.0	6.72	537	184,721

We suggest that you use a text box to insert a graphic (which is ideally a 300 dpi TIFF or EPS file, with all fonts embedded) because, in an MSW document, this method is somewhat more stable than directly inserting a picture.

To have non-visible rules on your frame, use the MSWord "Format" pull-down menu, select Text Box > Colors and Lines to choose No Fill and No Line.

AWCT = yes 8000 82.7 7.50 1190 101,969

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