Classical Inter-Process Communication

Advanced Programming in the UNIX Environment

Chun-Ying Huang <chuang@cs.nctu.edu.tw>

Introduction

We have described the process control primitives and seen how to invoke multiple processes

How does a process communicate with other processes?

The inter-process communication (IPC)

Common IPC Mechanisms

(Half-duplex) pipes

FIFOs

Message queues

Semaphores

Shared memory

Sockets

Pipes

The oldest form of UNIX System IPC

Historically, they have been half duplex

 Some modern system has full duplex pipe, but for program portability, it is not suggested to use full duplex pipe.

Pipes can be used only between processes that have a common ancestor

- Normally, a pipe is created by a process
- The process then calls fork
- The pipe is then used between the parent and the child

Creating a Pipe

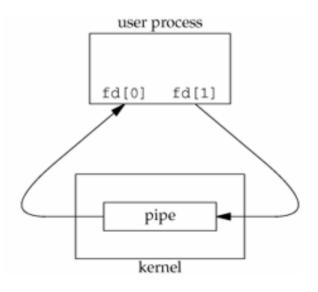
Synopsis

```
int pipe(int filedes[2]);
```

Returns: 0 if OK, -1 on error

Two descriptors are created

- filedes[0] is opened for reading, and
- filedes[1] is opened for writing

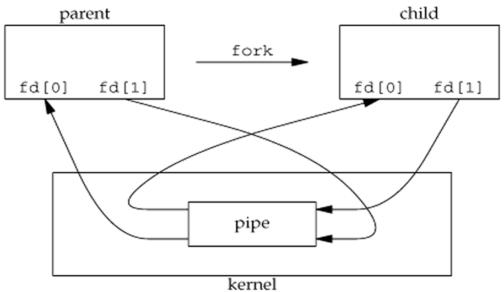


Sharing a Pipe

A pipe in a single process is useless

Normally, the process that calls pipe then calls fork

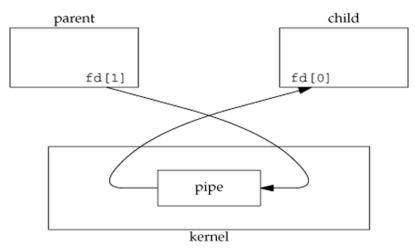
 This creates an IPC channel from the parent to the child or vice versa



Sharing a Pipe (Cont'd)

As the pipe is half duplex, the following actions may apply depending on the scenario

- If the pipe is used for a child to send data to its parent
 - The parent closes fd[1] and the child closes fd[0]
- If the pipe is used for a parent to send data to its child
 - The parent closes fd[0] and the child closes fd[1], see the figure



An Example of Creating a Pipe

```
int main(void) {
     int n;
     int fd[2];
     pid t pid;
     char line[MAXLINE];
     if (pipe(fd) < 0)
         err sys("pipe error");
     if ((pid = fork()) < 0) {
         err_sys("fork error");
     } else if (pid > 0) {
                                                 /* parent */
         close(fd[0]);
         write(fd[1], "hello world\n", 12);
                                                  /* child */
     } else {
         close(fd[1]);
         n = read(fd[0], line, MAXLINE);
         write(STDOUT FILENO, line, n);
    exit(0);
```

Process Synchronization: Using a Pipe

Recall: In Chapter 8

Race Conditions between the Parent and the Child

Process Synchronization: Using a Pipe (Cont'd)

```
static int pfd1[2], pfd2[2];
void TELL WAIT(void) {
     if (pipe(pfd1) < 0 \mid | pipe(pfd2) < 0)
         err sys("pipe error");
                                                                    child
                                              parent
                                                                  pfd1[0]
                                               pfd1[1]
void WAIT PARENT(void) {
                                               pfd2[0]
                                                                  pfd2[1]
     char c;
     if (read(pfd1[0], &c, 1) != 1)
        err sys("read error");
     if (c != 'p')
        err quit("WAIT PARENT: incorrect data");
void TELL CHILD(pid t pid) {
     if (write(pfd1[1], "p", 1) != 1)
        err sys("write error");
```

popen and pclose Functions

Execute a command and access its standard I/O

- Read from its standard output, or
- Write to its standard input
- As we are using half-duplex pipe, we cannot read/write at the same time

Synopsis

- FILE *popen(const char *cmdstring, const char *type);
- Returns: file pointer if OK, NULL on error
- int pclose(FILE *fp);
- termination status of cmdstring, or -1 on error

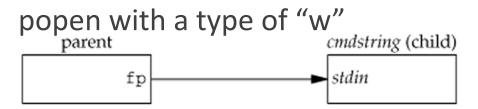
popen and pclose Functions

Operations

- create a pipe (pipe)
- fork a child (fork)
- close the unused ends of the pipe (close)
- configure the descriptor (dup2)
- execute a shell to run the command (exec), and
- wait for the command to terminate (wait)

popen with a type of "r"





Implementation of popen and pclose

See ch15/fig15.12-popen.c, or textbook figure 15.12

popen

- Make sure that type is "r" or "w"
- Create a buffer for popen children PIDs
- Create a pipe and fork a child process
- For the child:
 - If type is "r", close fd[0], otherwise close fd[1]
 - execl("/bin/sh", "sh", "-c", cmdstring, (char *)0);
- For the parent
 - If type is "r", close fd[1], otherwise close fd[0]
 - o If type is "r", FILE *fp = fdopen(fd[0], type)
 - Otherwise, FILE *fp = fdopen(fd[1], type)
 - Save child PID (indexed by pipe fd) and return fp

Implementation of popen and pclose (Cont'd)

pclose

- Get descriptor number by fd = fileno(fp);
- Retrieve the pid (indexed by pipe fd)
- Reset the corresponding pid on the children's pid buffer to zero
- fclose(fp)
- waitpid(pid, &stat, 0)
- return(stat)

popen Example: Filters

A filter that converts uppercases into lowercases

```
int main(void) {
    int c;
    while ((c = getchar()) != EOF) {
        if (isupper(c))
            c = tolower(c);
        if (putchar(c) == EOF)
            err_sys("output error");
        if (c == '\n')
            fflush(stdout);
    }å
    exit(0);
}
```

popen Example: Filters (Cont'd)

A program that run the filter using popen, and show the filtered content

```
int main(void) {
    char line[MAXLINE];
    FILE *fpin;
     if ((fpin = popen("./myuclc", "r")) == NULL)
        err sys("popen error");
    for (;;) {
        fputs("prompt> ", stdout);
        fflush(stdout);
        if (fgets(line, MAXLINE, fpin) == NULL) /* read from pipe */
            break;
                                                                           filter program
                                                    parent
         if (fputs(line, stdout) == EOF)
             err sys("fputs error to pipe");
                                                               popen pipe
                                                                           stdout
                                                    stdout
                                                                               stdin
    if (pclose(fpin) == -1)
                                                        Prompt
        err sys("pclose error");
                                                                          input
     putchar('\n');
                                                                user at a
    exit(0);
                                                                 termina
```

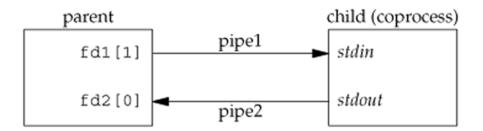
Coprocess

Definition of an UNIX system filter

 A process that reads from standard input and writes to standard output

Coprocess

- An UNIX system filter becomes a coprocess if the filter's input and output are both associated with the same program
- We need two pipe() calls to setup the communication channel between a program and its coprocess



Coprocess, an Example

A filter that read from STDIN, adds two numbers, and write to STDOUT

Implemented using file I/O

```
int main(void) {
     int n, int1, int2;
     char line[MAXLINE];
     while ((n = read(STDIN FILENO, line, MAXLINE)) > 0) {
         line[n] = 0
                                                        /* null terminated */
         if (sscanf(line, "%d%d", &int1, &int2) == 2) {
              sprintf(line, "%d\n", int1 + int2);
              n = strlen(line);
              if (write(STDOUT FILENO, line, n) != n)
                  err sys("write error");
         } else {
              if (write(STDOUT FILENO, "invalid args\n", 13) != 13)
                  err sys("write error");
     exit(0);
```

The **sig_pipe** function just print a message and then exit(1);

Coprocess, an Example (Cont'd)

```
int main(void) {
  int n, fd1[2], fd2[2];
  pid t pid;
  char line[MAXLINE];
  if (signal(SIGPIPE, sig pipe) == SIG ERR)
    err sys("signal error");
  if (pipe(fd1) < 0 || pipe(fd2) < 0)
    err sys("pipe error");
  if ((pid = fork()) < 0) err sys("fork error");</pre>
  else if (pid > 0) { /* parent */
    close(fd1[0]);
    close(fd2[1]);
    while (fgets(line, MAXLINE, stdin) != NULL) {
     n = strlen(line);
      if (write(fd1[1], line, n) != n)
        err sys("write error to pipe");
      if ((n = read(fd2[0], line, MAXLINE)) < 0)
        err sys("read error from pipe");
      if (n == 0) {
        err msg("child closed pipe");
        break;
      line[n] = 0;  /* null terminate */
      if (fputs(line, stdout) == EOF)
        err sys("fputs error");
```

```
if (ferror(stdin))
    err sys("fgets error on stdin");
 exit(0);
} else {
                       /* child */
 close(fd1[1]);
 close(fd2[0]);
 if (fd1[0] != STDIN FILENO) {
    if (dup2(fd1[0], STDIN FILENO) != STDIN FILENO)
      err sys("dup2 error to stdin");
    close(fd1[0]);
 if (fd2[1] != STDOUT FILENO) {
    if (dup2(fd2[1], STDOUT FILENO) != STDOUT FILENO)
      err sys("dup2 error to stdout");
    close(fd2[1]);
 if (execl("./add2", "add2", (char *)0) < 0)
    err sys("execl error");
return 0;
```

Coprocess and Standard I/O

What happens if the coprocess is implemented using standard I/O?

The filter no longer works!

It is because the I/O buffering mode

- When standard input/output are not terminal devices, they are fully buffered
- Solution: We need pseudo-terminals devices to emulate the line buffer or unbuffered channel (not discussed in this Chapter)

FIFOs

First in, first out

FIFOs are sometimes called named pipes

Pipes can be only used between processes of a common ancestor

With FIFOs, unrelated processes can exchange data

Creating a FIFO, synopsis

- int mkfifo(const char *pathname, mode_t mode);
- Returns: 0 if OK, -1 on error

Once we have used mkfifo to create a FIFO, we open it using open

Open an FIFO

When we open a FIFO, the non-blocking flag (O_NONBLOCK) affects what happens

In the normal case (O_NONBLOCK not specified)

- An open for read-only blocks until some other process opens the FIFO for writing
- Similarly, an open for write-only blocks until some other process opens the FIFO for reading

If O_NONBLOCK is specified

- An open for read-only returns immediately
- But an open for write-only returns -1 with errno set to ENXIO if no process has the FIFO open for reading

Share an FIFO

It is common to have multiple writers for a given FIFO

We have to worry about atomic writes if we don't want the writes from multiple processes to be interleaved

Applications of FIFOs

Data passing

Pass data without creating intermediate temporary files

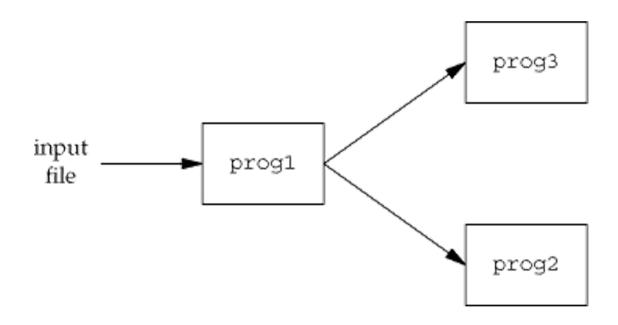
Client-server communication

Used as rendezvous points in client-server applications

FIFO Applications — Data Passing

Scenario

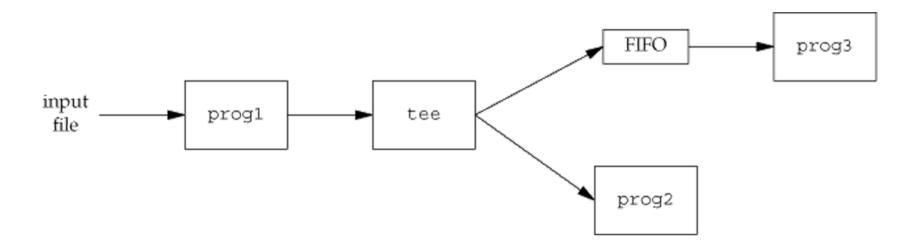
Process a filtered input stream twice



FIFO Applications – Data Passing (Cont'd)

Solutions with FIFO

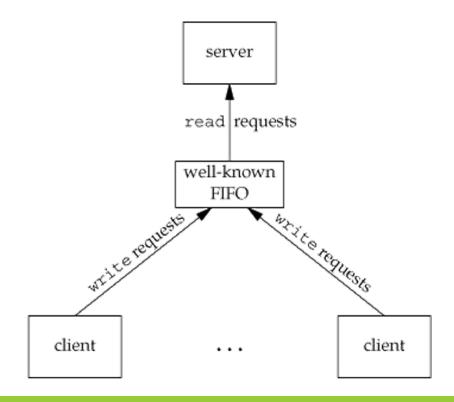
- \$ mkfifo fifo1
 - \$ prog3 < fifo1 &</pre>
 - \$ prog1 < infile | tee fifo1 | prog2</pre>



FIFO Applications – Client-Server Communication

Scenario #1: One way communication

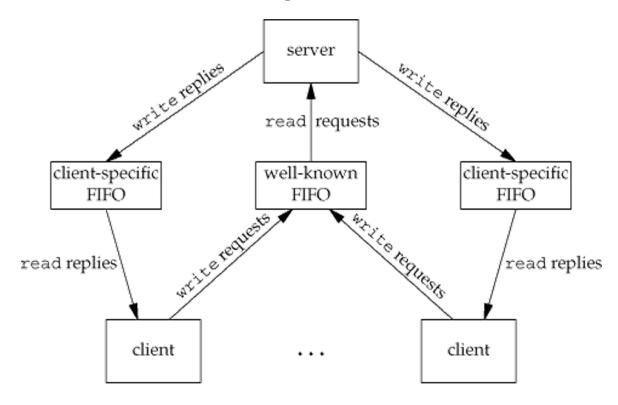
Clients send requests to a server



FIFO Applications – Client-Server Communication (Cont'd)

Scenario #2: Two-way communications

Client-server communication using FIFOs



XSI (SysV) IPC

XSI – X/Open System Interface

Three types of XSI IPC

- Message queue
- Semaphore
- Shared memory

Common user commands

- ipcs list IPC objects
- ipcrm remove IPC objects

XSI (SysV) IPC (Cont'd)

IPC identifiers

- Each IPC structure in the kernel is referred to by a non-negative integer identifier
- We need to know the identifier to access the IPC object

However, the identifier is an internal name for an IPC object

 We need a naming scheme to refer the same IPC object – the IPC keys

IPC keys

- Whenever an IPC structure is being created, a key must be specified
- Keys are of data type key_t
- Then, the identifier of the referred IPC object is returned

Sharing of IPC Objects

A server can create an IPC object with a key of IPC_PRIVATE

- The identifier of the created IPC object can be passed by storing in a file, or
- Fork a child, which inherits the identifier directly

A server and a client can agree on a key by defining the key in a common header

A server and a client can agree on a pathname and a project ID

- The key can be generated by the ftok function
- key_t ftok(const char *path, int id);
- path must be an existing file, and
- id is a 8-bit non-zero number (you can not use more than 8 bits!)

XSI IPC – Advantages and Disadvantages

Advantages

- Reliable
- Supports flow control
- Record based
- Can be processed in other than first-in, first-out order

Disadvantages

- IPC data may left in the system even if no one refers to it
- They are different from file system objects, i.e. no descriptors
- Therefore, we need a different set of system calls to manipulate them

Message Queues

A message queue is a linked list of messages stored within the kernel

Each queue has a message queue identifier

Creating or opening a message queue

- int msgget(key_t key, int flag);
- Returns: 0 if OK, -1 on error
- Upon creating, the least significant 9 bits of flag define the permissions for the message queue
- flag can be OR'ed with IPC_CREAT and/or IPC_EXCL

Message Queue – System Limitations

The limitations may vary on different platforms

- "ipcs -l" command on Linux
- "ipcs -Q" on BSD and Mac OS X

```
$ ipcs -1
...
----- Messages Limits -----
max queues system wide = 32768
max size of message (bytes) = 8192
default max size of queue (bytes) = 16384
```

Controlling a Message Queue

The internal data structure associated with a message queue

```
struct msqid_ds {
    struct ipc_perm msg_perm;
                                     /* Ownership and permissions */
    time t
                      msg_stime;
                                      /* Time of last msgsnd(2) */
    time t
                      msg_rtime;
                                      /* Time of last msgrcv(2) */
                      msg_ctime;
    time_t
                                      /* Time of last change */
    unsigned long
                      __msg_cbytes; /* Current number of bytes in queue (non-standard) */
    msgqnum_t
                      msq_qnum;
                                      /* Current number of messages in queue */
    msglen_t
                      msg_qbytes; /* Maximum number of bytes allowed in queue */
                      msq_lspid; /* PID of last msgsnd(2) */
    pid_t
    pid_t
                      msq_lrpid; /* PID of last msgrcv(2) */
};
```

Controlling a Message Queue (Cont'd)

Synopsis

- int msgctl(int msqid, int cmd, struct msqid_ds *buf);
- Returns: 0 if OK, -1 on error

The *cmd* can be

- IPC_STAT: Retrieve the internal msqid_ds data structure
- IPC SET: Set the msqid ds
 - msg_perm.uid, msg_perm.gid, msg_perm.mode, and msg_qbytes
 - Only superuser is able to increase msg_qbytes
- IPC_RMID: Remove the queue (immediately)

Send a Message into Queue

Synopsis

int msgsnd(int msqid, const void *ptr, size_t nbytes, int flag);

The message, which is pointed to by ptr

- It must be started with an long integer (the type of the message)
- A nbytes message follows the long integer

- The flag
 - IPC_NOWAIT: non-blocking access to the queue
 - If the queue is full and IPC_NOWAIT is specified
 - It returns a error with errno set to EAGAIN

Receive a Message from Queue

Synopsis

- ssize_t msgrcv(int msqid, void *ptr, size_t nbytes, long type, int flag);
- Returns: size of data portion of message if OK, -1 on error

The message type

- If type == 0, the first message on the queue is returned
- If type > 0, the first message on the queue whose message type equals type is returned
- If type < 0, the first message on the queue whose message type is the lowest value less than or equal to the absolute value of type is returned

Receive a Message from Queue (Cont'd)

The flags

- IPC_NOWAIT: non-blocking access to the queue
- MSG EXCEPT
 - If type > 0, the first message on the queue whose message type has a non-equal type is returned
- MSG NOERROR
 - If the received message has a longer size than nbytes, it is truncated and then returned

Message Queue: Hello, World! Example

```
struct msgbuf {
 long mtype; /* message type, must be > 0 */
 char mtext[0]; /* message data */
};
int main() {
  int qid = -1, rlen, wlen;
  char buf[1024];
  pid t pid;
  struct msgbuf *msg = (struct msgbuf*) buf;
 //
  if((qid = msgget(IPC PRIVATE, IPC CREAT|IPC EXCL|0660)) < 0)</pre>
   err sys("msgget");
  if((pid = fork()) < 0)
   err sys("fork");
```

Message Queue: Hello, World! Example (Cont'd)

```
if(pid == 0) { /* child */
 msg->mtype = 0;
 if((rlen = msgrcv(qid, msg, sizeof(buf)-sizeof(*msg), 0, 0)) < 0)</pre>
   err sys("msgrcv");
 printf("[%ld] %s (%u bytes)\n", msg->mtype, msg->mtext, rlen);
msg->mtype = 1024;
 wlen = snprintf(msg->mtext, sizeof(buf)-sizeof(*msg),
      "%s", MESSAGE);
  if(msgsnd(qid, msg, wlen+1, 0) < 0)</pre>
   perror("msgsnd");
 else if(wait(&wlen) < 1)</pre>
    perror("wait");
 if(qid >= 0)
    if(msgctl(qid, IPC RMID, NULL) < 0)</pre>
     err sys("msgctl(RMID)");
return 0;
```

Semaphore (1/3)

A semaphore is a shared counter

It is used to provide access to a shared data object for multiple processes

Procedures for a process to obtain a shared resource

- Test the semaphore that controls the resource
- If the value of the semaphore is positive, the process can use the resource
 - The process decrements the semaphore value by 1
- If the value of the semaphore is 0
 - The process goes to sleep until the semaphore value is greater than 0

Semaphore (2/3)

Features

- A semaphore is a set of one or more semaphore values
 - It is not simply a single non-negative value
- Independent of semaphore creation (semget) and initialization (semctl)
 - It may be a problem as we cannot atomically create a new semaphore set and initialize all the values in the set
- All XSI IPC objects are not released automatically
 - They remain in existence even when no process is using them
 - We have to worry about a program's termination without releasing semaphores
 - This can be solved by the semaphore UNDO feature

Semaphore (3/3)

Creating or opening a set of semaphore

- int semget(key_t key, int nsems, int semflg);
- Returns: semaphore ID if OK, -1 on error
- Creates a new set of nsems semaphores
 - If opening an existing semaphores, this value can be 0
- Upon creating, the least significant 9 bits of semflg define the permissions for the semaphore set
- semflg can be OR'ed with IPC_CREAT and/or IPC_EXCL

Semaphore – System Limitations

The limitations may vary on different platforms

- "ipcs -l" command on Linux
- "ipcs -S" on BSD and Mac OS X

```
$ ipcs -1
...
----- Semaphore Limits -----
max number of arrays = 128
max semaphores per array = 250
max semaphores system wide = 32000
max ops per semop call = 32
semaphore max value = 32767
```

Controlling Semaphores (1/3)

The internal data structure associated with a semaphore set

```
struct semid_ds {
    struct ipc_perm sem_perm; /* Ownership and permissions */
    time_t sem_otime; /* Last semop time */
    time_t sem_ctime; /* Last change time */
    unsigned short sem_nsems; /* No. of semaphores in set */
};
```

Each member of the semaphore set has at least these attributes maintained by the kernel:

```
    semval: semaphore value, always >= 0
    sempid: pid for last operation
    semncnt: # of processes waiting for the semval to increase
    semzcnt: # of processes waiting for the semval to be zero
```

Controlling Semaphores (2/3)

Synopsis

- int semctl(int semid, int semnum, int cmd, /* union semun arg */);
- Returns: it depends on commands
- This function may be called with 3 or 4 arguments, depends on cmd
- The 4th argument

Controlling Semaphores (3/3)

Available cmds

cmds	Description
IPC_STAT	Retrieve the internal semid_ds data structure and stores in arg.buf
IPC_SET	Set the internal semid_ds data structure by arg.buf
	sem_perm.uid, sem_perm.gid, and sem_perm.mode
IPC_RMID	Remove the semaphore (immediately)
GETVAL	Return the value of semnum-th member
SETVAL	Set the value of semnum-th member by arg.val
GETPID	Return the value of <i>sempid</i> for the <i>semnum</i> -th member
GETNCNT	Return the value of semncnt for the semnum-th member
GETZCNT	Return the value of semzent for the semnum-th member
GETALL	Retrieve all semaphore values, returned by arg.array
SETALL	Set all semaphore values by arg.array

Semaphore Operations

Synopsis

- int semop(int semid, struct sembuf semoparray[], size_t nops);
- Returns: 0 if OK, -1 on error
- The semoparray argument is a pointer to an array of semaphore operations
- Please see the next slide for the details of operations

Semaphore Operations – Return Resources

sem_op is positive

sem_op is added to the semaphore's value

If SEM_UNDO is specified, *sem_op* is *subtracted* from the semaphore's *adjustment value* for this process

Semaphore Operations – Obtain Resources

sem_op is negative

If resources are available (|sem_op| <= sem_val)

- | sem_op | is substracted from the semaphore's value
- If SEM_UNDO is specified, |sem_op| is added to the semaphore's adjustment value for this process

If resources are not available (|sem_op| > sem_val)

- If IPC_NOWAIT is specified, semop returns an error of EAGAIN
- If IPC_NOWAIT is not specified
 - The semncnt value for this semaphore is increased
 - The process is suspended until ...
 - The semaphore's value becomes greater than or equal to the |sem_op|, the semncnt should be increased
 - The semaphore is removed from the system: semop returns an error of EIDRM
 - It is interrupted by a signal: semop returns an error of EINTR

Semaphore Operations – Wait until Zero

sem_op is zero

The calling process wants to wait until the semaphore's value becomes 0

If the semaphore's value is currently 0, the function returns immediately

Otherwise,

- If IPC_NOWAIT is specified, return is made with an error of EAGAIN
- If IPC_NOWAIT is not specified
 - The semzcnt value for this semaphore is incremented
 - The calling process is suspended until ...
 - The semaphore's value becomes 0 , the *semzcnt* should be increased
 - The semaphore is removed from the system: semop returns an error of EIDRM
 - It is interrupted by a signal: semop returns an error of EINTR

Semaphore Adjustment on Terminating a Process

We have mentioned the problem

 A program's termination without releasing semaphores may block future access to the resource

The problem can be solved by the UNDO feature

- When we specify the SEM_UNDO flag for a semaphore operation
- The kernel remembers how many resources we allocated from that particular semaphore
- When the process terminates, the kernel checks whether the process has any *outstanding semaphore adjustments*, i.e., the value is > 0
- If so, applies the adjustment to the corresponding semaphore
 - semval is increased by the adjustments

Shared Memory

Allows two or more processes to share a given region of memory

This is the fastest form of IPC

- The data does not need to be copied between the client and the server, but
- We have to synchronize access to a given region among multiple processes
 - If the server is placing data into a shared memory region, the client should not try to access the data
- Synchronizing can be done by semaphores

Shared Memory (Cont'd)

Creating or opening a shared memory

Synopsis

- int shmget(key_t key, size_t size, int flag);
- Returns: shared memory ID if OK, -1 on error
- Upon creating, the least significant 9 bits of semflg define the permissions for the shared memory
- flag can be OR'ed with IPC_CREAT and/or IPC_EXCL
- The actual size of the created shared memory is round up to multiples of the PAGE_SIZE (4096 bytes)
- When a shared memory is created, it's content initialized to all zero

Shared Memory — System Limitations

The limitations may vary on different platforms

- "ipcs -l" command on Linux
- "ipcs -M" on BSD and Mac OS X

```
$ ipcs -l
...
----- Shared Memory Limits -----
max number of segments = 4096
max seg size (kbytes) = 18014398509465599
max total shared memory (kbytes) = 18446744073642442748
min seg size (bytes) = 1
```

Controlling Shared Memory

The internal data structure associated with a shared memory

```
struct shmid_ds {
    struct ipc_perm shm_perm; /* Ownership and permissions */
    size t
                                    /* Size of segment (bytes) */
                       shm_segsz;
                                    /* Last attach time */
    time_t
                       shm_atime;
    time_t
                       shm_dtime;
                                    /* Last detach time */
    time_t
                       shm_ctime;
                                    /* Last change time */
                       shm_cpid; /* PID of creator */
    pid_t
    pid_t
                       shm_lpid; /* PID of last shmat(2)/shmdt(2) */
    shmatt t
                       shm_nattch; /* No. of current attaches */
};
```

Controlling Shared Memory (Cont'd)

Synopsis

- int shmctl(int shmid, int cmd, struct shmid_ds *buf);
- Returns: 0 if OK, -1 on error
- Commands
 - IPC_STAT: Retrieve the internal shmid_ds data structure
 - IPC SET: Set the internal shmid ds data structure
 - shm_perm.uid, shm_perm.gid, and shm_perm.mode
 - IPC_RMID: Remove the shared memory, but it is actually removed until the last process using the segment terminates or detaches it
 - SHM_LOCK: Make the shared memory not swappable
 - SHM_UNLOCK: Make the shared memory swappable
 - The last two commands can be only used by superuser

Attach a Shared Memory

Synopsis

- void *shmat(int shmid, const void *addr, int flag);
- Returns: pointer to shared memory segment if OK, -1 on error
- The addr argument
 - If addr is NULL, the segment is attached at the first available address selected by the kernel (*RECOMMENDED)
 - If addr is not NULL and SHM_RND is not specified, the segment is attached at the address given by addr
 - If addr is not NULL and SHM_RND is specified, the segment is attached at the address given by (addr - (addr modulus SHMLBA))
 - Round down to the multiples of SHMLBA
- The *flag* argument
 - If the SHM_RDONLY bit is specified in flag, the segment is attached read-only

Detach a Shared Memory

Synopsis

- int shmdt(void *addr);
- Returns: 0 if OK, -1 on error

Q & A