

# Symmetric Exponential Time Requires Near-Maximum Circuit Size\*

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## Abstract

We show that there is a language in  $\Sigma_2\text{E}$  (symmetric exponential time) that requires circuit complexity at least  $2^n/n$  on every input length. In particular, the above also implies the same near-maximum circuit lower bounds for  $\Sigma_2\text{E} \cap \Pi_2\text{E}$  and  $\text{ZPE}^{\text{NP}}$ . Our proofs relativise. Previously, only “half-exponential” circuit lower bounds for the aforementioned complexity classes were known, and the smallest complexity class known to require exponential circuit complexity was  $\Delta_3\text{E} = \text{E}^{\Sigma_2\text{P}}$  (Miltersen, Vinodchandran, and Watanabe COCOON’99).

Our circuit lower bounds are corollaries of an unconditional zero-error pseudodeterministic algorithm with an NP oracle that solves the Range Avoidance problem. This algorithm also implies unconditional pseudodeterministic  $\text{FZPP}^{\text{NP}}$  constructions for Ramsey graphs, rigid matrices, two-source extractors, linear codes, and  $\text{K}^{\text{poly}}$ -random strings with nearly optimal parameters.

## 1 Introduction

Proving lower bounds against non-uniform computation (i.e., circuit lower bounds) is one of the most important challenges in theoretical computer science. From Shannon’s counting argument [Sha49, FM05], we know that almost all  $n$ -bit Boolean functions have *near-maximum* ( $2^n/n$ ) circuit complexity.<sup>1</sup> Therefore, the task of proving circuit lower bounds is simply to *pinpoint* one such hard function. More formally, one fundamental question is:

What is the smallest complexity class that contains a language of exponential ( $2^{\Omega(n)}$ ) circuit complexity?

Compared with super-polynomial lower bounds, exponential lower bounds are interesting in their own right for the following reasons. First, an exponential lower bound would make Shannon’s argument *fully constructive*. Second, exponential lower bounds have more applications than super-polynomial lower bounds: For example, if one can show that  $\text{E}$  has no  $2^{o(n)}$ -size circuits, then we would have

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<sup>1</sup>Every  $n$ -input Boolean function can be computed by a circuit of size  $(1 + \frac{3 \log n}{n} + O(\frac{1}{n}))2^n/n$  [Lup58, FM05], while most Boolean functions require circuits of size  $(1 + \frac{\log n}{n} - O(\frac{1}{n}))2^n/n$  [FM05]. Hence, in this paper, we say an  $n$ -bit Boolean function has *near-maximum* circuit complexity if its circuit complexity is at least  $2^n/n$ .

$\text{prP} = \text{prBPP}$  [NW94, IW97], while super-polynomial lower bounds such as  $\text{EXP} \not\subseteq \text{P}/_{\text{poly}}$  only imply sub-exponential time derandomisation of  $\text{prBPP}$ .<sup>2</sup>

Unfortunately, despite its importance, our knowledge about exponential lower bounds is quite limited. Kannan [Kan82] showed that there is a function in  $\Sigma_3\text{E} \cap \Pi_3\text{E}$  that requires maximum circuit complexity; the complexity of the hard function was later improved to  $\Delta_3\text{E} = \text{E}^{\Sigma_2\text{P}}$  by Miltersen, Vinodchandran, and Watanabe [MVW99], via a simple binary search argument. This is **essentially all we know** regarding exponential circuit lower bounds.<sup>3</sup>

We remark that Kannan [Kan82, Theorem 4] claimed that  $\Sigma_2\text{E} \cap \Pi_2\text{E}$  requires exponential circuit complexity, but [MVW99] pointed out a gap in Kannan’s proof, and suggested that exponential lower bounds for  $\Sigma_2\text{E} \cap \Pi_2\text{E}$  were “reopened and considered an open problem.” Recently, Vyas and Williams [VW23] emphasised our lack of knowledge regarding the circuit complexity of  $\Sigma_2\text{EXP}$ , even with respect to *relativising* proof techniques. In particular, the following question has been open for at least 20 years (indeed, if we count from [Kan82], it would be at least 40 years):

**Open Problem 1.1.** *Can we prove that  $\Sigma_2\text{EXP} \not\subseteq \text{SIZE}[2^{\varepsilon n}]$  for some absolute constant  $\varepsilon > 0$ , or at least show a relativisation barrier for proving such a lower bound?*

**The half-exponential barrier.** There is a richer literature regarding super-polynomial lower bounds than exponential lower bounds. Kannan [Kan82] proved that the class  $\Sigma_2\text{E} \cap \Pi_2\text{E}$  does not have polynomial-size circuits. Subsequent works proved super-polynomial circuit lower bounds for exponential-time complexity classes such as  $\text{ZPEXP}^{\text{NP}}$  [KW98, BCG<sup>+</sup>96],  $\text{S}_2\text{EXP}$  [CCHO05, Cai07],  $\text{PEXP}$  [Vin05, Aar06], and  $\text{MAEXP}$  [BFT98, San09].

Unfortunately, all these works fail to prove exponential lower bounds. All of their proofs go through certain *Karp–Lipton* collapses [KL80]; such a proof strategy runs into a so-called “half-exponential barrier” [MVW99], preventing us from getting exponential lower bounds. See [Appendix A](#) for a detailed discussion.

## 1.1 Our results

### 1.1.1 New near-maximum circuit lower bounds

In this work, we *overcome* the half-exponential barrier mentioned above and resolve [Open Problem 1.1](#) by providing a proof of the stronger statement that  $\Sigma_2\text{E} \cap \Pi_2\text{E}$  requires near-maximum  $(2^n/n)$  circuit complexity. Moreover, our proof indeed *relativises*:

**Theorem 1.2.**  $\Sigma_2\text{E} \cap \Pi_2\text{E} \not\subseteq \text{i.o.-SIZE}[2^n/n]$ .<sup>4</sup> *Moreover, this holds in every relativised world.*

With some more work, we extend our lower bounds to the smaller complexity class  $\text{S}_2\text{E}$  (see [Definition 2.1](#) for a formal definition), again with a relativising proof:

**Theorem 1.3.**  $\text{S}_2\text{E} \not\subseteq \text{i.o.-SIZE}[2^n/n]$ . *Moreover, this holds in every relativised world.*

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<sup>2</sup> $\text{E} = \text{DTIME}[2^{O(n)}]$  denotes *single-exponential* time and  $\text{EXP} = \text{DTIME}[2^{n^{O(1)}}]$  denotes *exponential* time; classes such as  $\text{E}^{\text{NP}}$  and  $\text{EXP}^{\text{NP}}$  are defined analogously. Exponential time and single-exponential time are basically interchangeable in the context of super-polynomial lower bounds (by a padding argument); the exponential lower bounds proven in this paper will be stated for single-exponential time classes since this makes our results stronger. Below,  $\Sigma_3\text{E}$  and  $\Pi_3\text{E}$  denote the exponential-time versions of  $\Sigma_3\text{P} = \text{NP}^{\text{NP}^{\text{NP}}}$  and  $\Pi_3\text{P} = \text{coNP}^{\text{NP}^{\text{NP}}}$ , respectively.

<sup>3</sup>We also mention that Hirahara, Lu, and Ren [HLR23] recently proved that for every constant  $\varepsilon > 0$ ,  $\text{BPE}^{\text{MCSP}}/_{2^{\varepsilon n}}$  requires near-maximum circuit complexity, where  $\text{MCSP}$  is the Minimum Circuit Size Problem [KC00]. However, the hard function they constructed requires subexponentially  $(2^{\varepsilon n})$  many advice bits to describe.

<sup>4</sup>We use  $\text{i.o.-SIZE}[s(n)]$  to denote the set of languages  $L$  such that there are *infinitely many* input lengths  $n$  where  $L_n = L \cap \{0, 1\}^n$  can be computed by a circuit of size  $s(n)$ . If a language  $L$  is not in  $\text{i.o.-SIZE}[s(n)]$ , then we have a circuit lower bound for  $L$  on *almost every* input length. This is a stronger statement than  $L \notin \text{SIZE}[s(n)]$  as the latter statement only asserts a lower bound on *infinitely many* input lengths.

**The symmetric time class  $S_2E$ .**  $S_2E$  is a complexity class sandwiched between  $E^{NP}$  and  $ZPE^{NP}$ : it is easy to show that  $E^{NP} \subseteq S_2E$  [RS98], and it is also known that  $S_2E \subseteq ZPE^{NP}$  [Cai07]. We also note that under plausible derandomisation assumptions (e.g.,  $E^{NP}$  requires  $2^{\Omega(n)}$ -size SAT-oracle circuits), all three classes simply collapse to  $E^{NP}$  [KvM02].

Hence, our results also imply a near-maximum circuit lower bound for the class  $ZPE^{NP} \subseteq \Sigma_2E \cap \Pi_2E$ . This vastly improves the previous lower bound for  $\Delta_3E = E^{\Sigma_2P}$ .

**Corollary 1.4.**  $ZPE^{NP} \not\subseteq \text{i.o.-SIZE}[2^n/n]$ . Moreover, this holds in every relativised world.

### 1.1.2 New algorithms for the Range Avoidance problem

**Background on Avoid.** Actually, our circuit lower bounds are implied by our new algorithms for solving the Range Avoidance problem (Avoid) [KKMP21, Kor21, RSW22], which is defined as follows: given a circuit  $C : \{0, 1\}^n \rightarrow \{0, 1\}^{n+1}$  as input, find a string outside the range of  $C$  (we define  $\text{Range}(C) := \{C(z) : z \in \{0, 1\}^n\}$ ). That is, output any string  $y \in \{0, 1\}^{n+1}$  such that for every  $x \in \{0, 1\}^n$ ,  $C(x) \neq y$ .

There is a trivial  $FZPP^{NP}$  algorithm solving Avoid: randomly generate strings  $y_1, y_2, \dots$  from  $\{0, 1\}^{n+1}$  uniformly and independently at random, and output  $y_k$  where  $k$  is the least  $i$  such that  $y_i$  that is outside the range of  $C$  (note that we need an NP oracle to verify if  $y_i \notin \text{Range}(C)$ ). The class APEPP (Abundant Polynomial Empty Pigeonhole Principle) [KKMP21] is the class of total search problems reducible to Avoid.

As demonstrated by Korten [Kor21, Section 3], APEPP captures the complexity of explicit construction problems whose solutions are guaranteed to exist by the probabilistic method (more precisely, the dual weak pigeonhole principle [Kra01, Jeř04]), in the sense that constructing such objects reduces to the Range Avoidance problem under  $P^{NP}$  reductions. This includes many important objects in mathematics and theoretical computer science, including Ramsey graphs [Erd59], rigid matrices [Val77, GLW22, GGNS23], two-source extractors [CZ19, Li23], linear codes [GLW22], hard truth tables [Kor21], and strings with maximum time-bounded Kolmogorov complexity (i.e.,  $K^{\text{poly}}$ -random strings) [RSW22]. Hence, derandomising the trivial  $FZPP^{NP}$  algorithm for Avoid would imply explicit constructions for all these important objects.

**Our results: new pseudodeterministic algorithms for Avoid.** We show that the trivial  $FZPP^{NP}$  algorithm for Avoid can be *unconditionally* made *pseudodeterministic*. A *pseudodeterministic* algorithm [GG11] is a randomised algorithm that outputs the same *canonical* answer on most computational paths. In particular, we have:

**Theorem 1.5.** *There is a randomised algorithm  $\mathcal{A}$  with an NP oracle such that the following holds. Given a circuit  $C : \{0, 1\}^n \rightarrow \{0, 1\}^{n+1}$  as input, there is a string  $y_C \in \{0, 1\}^{n+1} \setminus \text{Range}(C)$ , which only depends on  $C$  (and, importantly, not on the internal randomness of  $\mathcal{A}$ ), such that  $\mathcal{A}(C)$  either outputs  $y_C$  or  $\perp$ , and the probability (over the internal randomness of  $\mathcal{A}$ ) that  $\mathcal{A}(C)$  outputs  $y_C$  is at least  $2/3$ . Moreover, this theorem holds in every relativised world.*

As a corollary, we obtain a zero-error pseudodeterministic construction with an NP oracle for every problem in APEPP:

**Corollary 1.6 (Informal).** *There are zero-error pseudodeterministic constructions for the following objects with an NP oracle for every input size  $n$ : Ramsey graphs, rigid matrices, two-source extractors, linear codes, hard truth tables, and  $K^{\text{poly}}$ -random strings.*

Actually, we obtain single-valued  $FS_2P$  algorithms for the explicit construction problems above (see Definition 2.2), and the pseudodeterministic  $FZPP^{NP}$  algorithms follow from Cai's theorem that  $S_2P \subseteq ZPP^{NP}$  [Cai07]. We stated them as pseudodeterministic  $FZPP^{NP}$  algorithms since this notion is better known than the notion of single-valued  $FS_2P$  algorithms.

**Theorem 1.5** is tantalisingly close to an  $\text{FP}^{\text{NP}}$  algorithm for Avoid (with the only caveat of being *zero-error* instead of being completely *deterministic*). However, since an  $\text{FP}^{\text{NP}}$  algorithm for Range Avoidance would imply near-maximum circuit lower bounds for  $\text{E}^{\text{NP}}$  for which relativisation barrier was known [Wil85, Hel86], we expect that it would require fundamentally new ideas to completely derandomise our algorithm. Previously, Hirahara, Lu, and Ren [HLR23, Theorem 36] presented an infinitely-often pseudodeterministic  $\text{FZPP}^{\text{NP}}$  algorithm for Avoid using  $n^\varepsilon$  bits of advice, for any small constant  $\varepsilon > 0$ . Our result improves the above in a few aspects: our algorithm works for *every* input length and needs *no* advice, and our techniques relativise while theirs do not.

**More lower bounds.** Finally, as corollaries of our Avoid algorithm, we present some lower bounds that strengthen our main lower bound (Theorem 1.3) and may be of independent interest.

**Strong average-case lower bounds.** We show that  $\text{S}_2\text{E}$  contains a language that is exponentially hard-on-average against exponential-size circuits.

**Corollary 1.7.** *For every constants  $\delta_1, \delta_2 > 0$  such that  $\delta_1 + 2\delta_2 < 1$ , there is a language  $\mathcal{L} \in \text{S}_2\text{E}$  that cannot be  $(1/2 + 2^{-\delta_2 n})$ -approximated by circuits of size  $2^{\delta_1 n}$ . Moreover, this holds in every relativised world.*

We remark that our dependence on  $\delta_1$  and  $\delta_2$  is tight: if  $\delta_1 + 2\delta_2 > 1$ , then every Boolean function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  can be  $(1/2 + 2^{-\delta_2 n})$ -approximated by a circuit of size  $2^{\delta_1 n}$ ; see [HIR23, Appendix A].

**Lower bounds against non-uniform computation.** We show that  $\text{S}_2\text{E}$  cannot be simulated by deterministic *fixed exponential time* Turing machines even with near-maximum amount of advice. Recall that  $\text{TIME}[T(n)]/_{a(n)}$  is the class of languages computable by a deterministic Turing machine running in time  $O(T(n))$  using  $a(n)$  bits of advice, and that a language  $\mathcal{L}$  is not in  $\text{i.o.-TIME}[T(n)]/_{a(n)}$  if every such non-uniform Turing machine fails to compute  $\mathcal{L}$  on all but finitely many inputs.

**Corollary 1.8** (Informal). *For every “nice” function  $\alpha(n) = \omega(1)$  and any constant  $k \geq 1$ ,  $\text{S}_2\text{E} \not\subseteq \text{i.o.-TIME}[2^{kn}]/_{2^n - \alpha(n)}$ . Moreover, this holds in every relativised world.*

Similar lower bounds have appeared recently in the literature of super-fast derandomisation [CT21].

### 1.1.3 Depth-3 circuits for the MISSING-STRING problem

Our results also imply a family of new depth-3 circuits for the MISSING-STRING problem. The MISSING-STRING problem is defined as follows: given a list of  $m$  strings  $x_1, \dots, x_m \in \{0, 1\}^n$  where  $m < 2^n$ , the goal is to output any string  $y \in \{0, 1\}^n$  not in the list. Vyas and Williams [VW23] connected the circuit complexity of the MISSING-STRING (in the regime where  $m < 2^n/2$ ) with the (relativised) circuit complexity of  $\Sigma_2\text{E}$ .

**Theorem 1.9** ([VW23]). *The following are equivalent:*

1.  $\Sigma_2\text{E}^A \not\subseteq \text{i.o.-SIZE}^A[2^{\Omega(n)}]$  for every oracle  $A$ ;
2. for  $m = 2^{\Omega(n)}$ , the MISSING-STRING problem can be solved by a  $\text{poly}(n)$ -time uniform family of size- $2^{\text{poly}(n)}$  depth-3  $\text{AC}^0$  circuits.

As a corollary of our circuit lower bound which relativises (Theorem 1.2) and the theorem above, we can conclusively claim that:

**Corollary 1.10.** *For  $m = 2^{\Omega(n)}$ , the MISSING-STRING problem can be solved by a  $\text{poly}(n)$ -time uniform family of size- $2^{\text{poly}(n)}$  depth-3  $\text{AC}^0$  circuits.*

## 1.2 History of the paper and subsequent developments

**History of the paper.** This paper is a merged version of the two extended abstracts [CHR24, Li24]. Specifically, the arXiv version of [CHR24], which appeared in September 2023, proved an infinitely-often near-maximum circuit lower bound for  $\Sigma_2E$  and  $\Sigma_2E/1$ . The arXiv version of [Li24], appearing one month later, significantly simplified and extended the results of [CHR24], proving an almost-everywhere near-maximum circuit lower bound for  $\Sigma_2E$  (with no advice).<sup>5</sup> Technically, the original proof of [CHR24] combined the iterative win-win paradigm from [CLO<sup>+</sup>23] with the Jeřábek–Kortén reduction [Jeř04, Kor21] to obtain the stated lower bounds; such win-win arguments often result in infinitely often lower bounds. In contrast, the new proof of [Li24] made the crucial observation that there is no need for win-win arguments since one can directly prove the existence of short witnesses (see Section 1.4.3 for details).

**Subsequent developments.** In a follow-up work, Kortén and Pitassi [KP24] showed (among many other results) that our single-valued  $FS_2P$  algorithm for range avoidance can be improved to a reduction to a natural total search problem termed the *Linear Ordering principle* (LOP). This reduction, in turn, implied a near-maximum circuit lower bound for  $L_2^E$ , a complexity class associated with this search problem. The LOP problem was further studied in a recent work by Hirsch and Volkovich [HV25], which established new upper bounds and lower bounds for LOP.

Building on our techniques, Gajulapalli, Li, and Volkovich [GLV24] proved that the oblivious counterpart of  $\Sigma_2P$ , namely  $O_2P$ , requires  $n^k$ -size circuits for every  $k \geq 1$ , and established a hierarchy theorem for  $O_2TIME$ . In another follow-up work, Chen, J. Li, and Liang [CLL25] proved a near-maximum lower bound for the complexity class of exponential-time Arthur–Merlin with sub-exponential advice ( $AMEXP_{/2^{n^\varepsilon}}$  for every  $\varepsilon > 0$ ). Their proof techniques follow the original strategy of [CHR24], i.e., the iterative win-win paradigm from [CLO<sup>+</sup>23].

## 1.3 Perspective: single-valued constructions

A key perspective in this paper is to view circuit lower bounds (for exponential-time classes) as *single-valued* constructions of hard truth tables. This perspective is folklore; it was also emphasised in recent papers on the Range Avoidance problem [Kor21, RSW22].

Let  $\Pi \subseteq \{0,1\}^*$  be an  $\varepsilon$ -dense property, i.e., for every integer  $N \in \mathbb{N}$ ,  $|\Pi_N| \geq \varepsilon \cdot 2^N$ . (In what follows, we use  $\Pi_N := \Pi \cap \{0,1\}^N$  to denote the length- $N$  slice of  $\Pi$ .) As a concrete example, let  $\Pi_{\text{hard}}$  be the set of hard truth tables, i.e., a string  $tt \in \Pi_{\text{hard}}$  if and only if it is the truth table of a function  $f : \{0,1\}^n \rightarrow \{0,1\}$  whose circuit complexity is at least  $2^n/n$ , where  $n := \log N$ . (We assume that  $n := \log N$  is an integer.) Shannon’s argument [Sha49, FM05] shows that  $\Pi_{\text{hard}}$  is a  $1/2$ -dense property. We are interested in the following question:

What is the complexity of *single-valued* constructions for any string in  $\Pi_{\text{hard}}$ ?

Here, informally speaking, a computation is *single-valued* if each of its computational paths either fails or outputs the *same* value. For example, an NP machine  $M$  is a single-valued construction for  $\Pi$  if there is a “canonical” string  $y \in \Pi$  such that (1)  $M$  outputs  $y$  on every accepting computational path; (2)  $M$  has at least one accepting computational path. (That is, it is an NPSV construction in the sense of [BLS85, FHOS93, Sel94, HNOS96].) Similarly, a BPP machine  $M$  is a single-valued construction for  $\Pi$  if there is a “canonical” string  $y \in \Pi$  such that  $M$  outputs  $y$  on most (say  $\geq 2/3$  fraction of) computational paths. In addition, if the machine  $M$  outputs  $\perp$  (instead of non-canonical answers  $y' \in \Pi$ ) on all remaining computational paths, then we say it is a single-valued ZPP construction. (In other words, single-valued

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<sup>5</sup>The arXiv versions of [CHR24, Li24] are available at <https://arxiv.org/abs/2309.12912> and <https://arxiv.org/abs/2310.17762>, respectively.



ZPP and BPP constructions are another name for *pseudodeterministic constructions* [GG11].<sup>6</sup>

Hence, the task of proving circuit lower bounds is equivalent to the task of *defining*, i.e., single-value constructing, a hard function, in the smallest possible complexity class. For example, a single-valued BPP construction (i.e., pseudodeterministic construction) for  $\Pi_{\text{hard}}$  is equivalent to the circuit lower bound  $\text{BPE} \not\subseteq \text{i.o.-SIZE}[2^n/n]$ .<sup>7</sup> In this regard, the previous near-maximum circuit lower bound for  $\Delta_3\text{E} := \text{E}^{\Sigma_2\text{P}}$  [MVW99] can be summarised in one sentence: The lexicographically first string in  $\Pi_{\text{hard}}$  can be constructed in  $\Delta_3\text{P} := \text{P}^{\Sigma_2\text{P}}$  (which is necessarily single-valued).

**Reduction to Avoid.** It was observed in [KKMP21, Kor21] that explicit construction of elements from  $\Pi_{\text{hard}}$  is a special case of Range Avoidance: Let  $\text{TT}: \{0,1\}^{N-1} \rightarrow \{0,1\}^N$  (here  $N = 2^n$ ) be a circuit that maps the description of a  $2^n/n$ -size circuit into its  $2^n$ -length truth table (by [FM05], this circuit can be encoded by  $N - 1$  bits). Hence, a single-valued algorithm solving **Avoid** for  $\text{TT}$  is equivalent to a single-valued construction for  $\Pi_{\text{hard}}$ . This explains how our new Range Avoidance algorithms imply our new circuit lower bounds (as mentioned in Section 1.1.2).

## 1.4 Proof overview

In this subsection, we present a proof overview of our single-valued  $\text{FS}_2\text{P}$  algorithm for **Avoid**. Since from now on we will not talk about truth tables anymore, we will use  $n$  instead of  $N$  to denote the input length of **Avoid** instances.

### 1.4.1 The Jeřábek–Korten reduction

We start by reviewing the Jeřábek–Korten reduction<sup>8</sup> [Jeř04, Kor21], which reduces **Avoid** on a circuit  $C: \{0,1\}^n \rightarrow \{0,1\}^{2^n}$  to **Avoid** on some other circuit with much longer stretch.<sup>9</sup>

Given any circuit  $C: \{0,1\}^n \rightarrow \{0,1\}^{2^n}$  and parameter  $T = n \cdot 2^k$ , the reduction builds another circuit  $\text{GGM}_T[C]: \{0,1\}^n \rightarrow \{0,1\}^T$  by applying the circuit  $C$  in a perfect binary tree:

1. Build a perfect binary tree of height  $k$  where for each  $0 \leq i \leq k$  and  $0 \leq j < 2^i$ ,  $(i, j)$  denotes the  $j$ 'th vertex on the  $i$ 'th level. For each  $0 \leq i < k$  and  $0 \leq j < 2^i$ , the left child and right child of node  $(i, j)$  are nodes  $(i+1, 2j)$  and  $(i+1, 2j+1)$  respectively.
2. Assign the root vertex  $(0, 0)$  with value  $v_{0,0} = x$ . For each vertex  $(i, j)$  on the tree, evaluate  $y = C(v_{i,j})$  and assign its left child  $v_{i+1,2j}$  with the first  $n$  bits of  $y$  and its right child  $v_{i+1,2j+1}$  with the last  $n$  bits of  $y$ .
3. The output of  $\text{GGM}_T[C](x)$  is simply the concatenation of the values of the  $2^k$  leaves.

<sup>6</sup>Note that the trivial construction algorithms are not single-valued in general. For example, a trivial  $\Sigma_2\text{P} = \text{NP}^{\text{NP}}$  construction algorithm for  $\Pi_{\text{hard}}$  is to guess a hard truth table  $tt$  and use the  $\text{NP}$  oracle to verify that  $tt$  does not have size- $N/\log N$  circuits; however, different accepting computational paths of this computation would output different hard truth tables. Similarly, a trivial BPP construction algorithm for every dense property  $\Pi$  is to output a random string, but there is no *canonical* answer that is outputted with high probability. In other words, these construction algorithms do not *define* anything; instead, a single-valued construction algorithm should *define* some particular string in  $\Pi$ .

<sup>7</sup>To see this, note that (1)  $\text{BPE} \not\subseteq \text{i.o.-SIZE}[2^n/n]$  implies a simple single-valued BPP construction for  $\Pi_{\text{hard}}$ : given  $N = 2^n$ , output the truth table of  $L_n$  ( $L$  restricted to  $n$ -bit inputs), where  $L \in \text{BPE}$  is the hard language not in  $\text{SIZE}[2^n/n]$ ; and (2) assuming a single-valued BPP construction  $A$  for  $\Pi_{\text{hard}}$ , one can define a hard language  $L$  such that the truth table of  $L_n$  is the output of  $A(1^{2^n})$ , and observe that  $L \in \text{BPE}$ .

<sup>8</sup>The reduction was first shown in [Jeř04] in the language of bounded arithmetics, and translated to the language of search problems in its current form in [Kor21].

<sup>9</sup>Here we assume that  $C_n$  stretches  $n$  bits to  $2n$  bits instead of  $n+1$  bits, as there is another reduction in [Kor21] that reduces the Range Avoidance problem with stretch  $n+1$  to the Range Avoidance problem with stretch  $2n$ . We will use this reduction (from stretch  $n+1$  to stretch  $2n$ ) only as a black box, while our techniques depend heavily on the other reduction (from stretch  $2n$  to an arbitrary stretch).

(The structure of this tree resembles the construction of pseudorandom functions by Goldreich, Goldwasser, and Micali [GGM86], hence the name  $\text{GGM}_T[C]$ .)

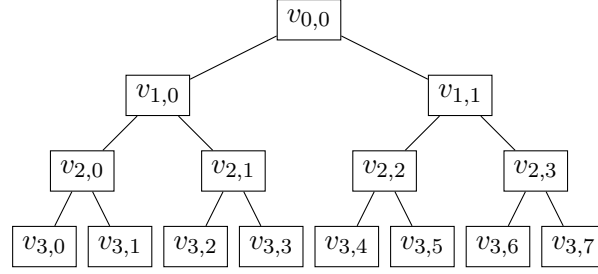


Figure 1: An illustration of a GGM tree of height 3.

Notice that on any fixed input  $x \in \{0,1\}^n$ , every vertex on the GGM tree contains an  $n$ -bit value. Hence, we call it a *fully-assigned* GGM tree. It is not hard to see that one can efficiently (in time linear in the height of the tree,  $O(k)$ ) evaluate the assigned value at any vertex by traversing the tree and applying the circuit  $C$  at most  $k$  times. In other words, the outputs of a *fully-assigned* GGM tree, as truth tables, always have small circuit complexity.

The Jeřábek–Korten reduction asserts that given any  $f \in \{0,1\}^T \setminus \text{Range}(\text{GGM}_T[C])$ , there is a deterministic algorithm that given an NP oracle, finds a non-output of  $C$  and runs in time  $\text{poly}(T, n)$ . The algorithm is simple:

1. Set the assigned values of the leaves to be  $f$ .
2. Next, traverse the tree in a simple bottom up manner. That is, traverse the  $2^{k-1}$  vertices on the  $(k-1)$ 'th level one by one (say, from right to left), then proceed to the  $(k-2)$ 'th level and so on, until reaching the root.
3. For each interval vertex  $u$  traversed, assign  $v_u$  with the lexicographically first<sup>10</sup>  $n$ -bit string  $x$  such that  $C(x)$  correctly evaluates to the assigned values of  $u$ 's children. (Note that this step uses the NP oracle.)
4. Whenever such a string  $v_u$  does not exist, we successfully find a non-output of  $C$  (i.e., the assigned values of  $u$ 's children). The algorithm now returns the non-output of  $C$  found and assigns  $\perp$  to all remaining vertices.

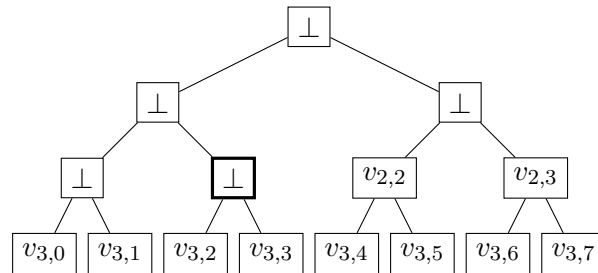


Figure 2: An illustration of the *partially-assigned* GGM tree from running the Jeřábek–Korten algorithm.

<sup>10</sup>The original reduction from [Kor21] did not specify the lexicographically first requirement. This requirement is important for us in order to obtain a *single-valued* algorithm, and it comes for free given the NP oracle.

### 1.4.2 Computational history of the reduction

The computational history of the Jeřábek–Korten reduction on a fixed input  $(C, f)$  consists of a *partially-assigned* GGM tree (i.e., some of the vertices are assigned  $\perp$ ) and the vertex  $u$  where the reduction finds a non-output and halts. We are interested in the computational history because it has a few nice properties:

1. **It contains a canonical solution.** Notice that the execution of the Jeřábek–Korten algorithm is fully deterministic. Hence, it produces the same non-output of  $C$  given the same  $f$ , and this non-output can be easily retrieved from the computational history.
2. **It is locally verifiable.** Every step of the execution is very simple, making the execution locally verifiable. In other words, to verify any particular step of the execution, we only need to look at a constant number of assigned values on the *partially-assigned* GGM tree.

Moreover, by choosing  $T = 2n \cdot 2^{2n}$ , we know a specific  $f$  that is trivially not in the image of  $\text{GGM}_T[C]$ : the concatenation of all  $2n$ -length strings. To see this, assume towards contradiction that the concatenation of all strings in  $\{0, 1\}^{2n}$  is in the image of  $\text{GGM}_T[C]$ . By examining the leaf level of the GGM tree, it implies that the image of  $C$  contains all of  $\{0, 1\}^{2n}$ , which is impossible.

### 1.4.3 A short description of the history

The downside of choosing  $T = 2n \cdot 2^{2n}$  is that the size of the computational history is now exponential in  $n$ . The local verifiability of the history allows us to use a universal quantifier ( $\forall$ ) and an  $O(\log T)$ -bit variable to verify all  $O(T)$  steps of the algorithm, but ultimately we need a short ( $\text{poly}(n)$ ) description of the computational history if we want to, for example build a  $\text{F}\Sigma_2\text{P}$  algorithm.

Here, the key observation is that, by changing the traversal order in the Jeřábek–Korten reduction, the resulting computational history (in particular, the *partially-assigned* GGM tree) also has small circuit complexity! More specifically, if we change the traversal order to a post-order traversal (i.e., traverse the left subtree, then the right subtree, and finally the root), the resulting *partially-assigned* GGM tree can be decomposed into  $O(n)$  smaller *fully-assigned* GGM trees. (See Figure 3 for an illustration where the roots of the *fully-assigned* GGM trees are drawn in circles.)

As such, we obtain a short description of the computational history: simply store the roots of all these  $O(n)$  *fully-assigned* GGM trees.

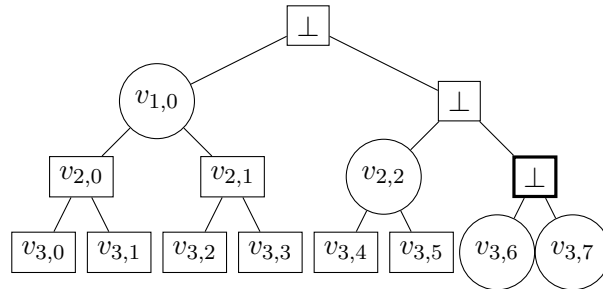


Figure 3: An illustration of the *partially-assigned* GGM tree from running the modified Jeřábek–Korten algorithm.

### 1.4.4 Generalising to $\text{FS}_2\text{P}$

The ideas above allow us to build a single-valued  $\text{F}\Sigma_2\text{P}$  algorithm for **Avoid**. In order to generalise it to a single-valued  $\text{FS}_2\text{P}$  algorithm, we need a selector algorithm that given two witnesses, picks the correct



one if it is present (in our case, the description of the correct computational history). Now that we have a small description, this turns out to be an easy task. In particular, we can identify a single vertex with different assigned values in the two histories, and traverse down the tree until we hit the leaves, where we know the correct assigned value (i.e., the concatenation of all  $2n$ -length strings).

## 2 Preliminaries

We assume basic familiarity with computational complexity theory, such as complexity classes in the polynomial hierarchy (see e.g. [AB09, Gol08] for references). Below we recall the definition of  $\text{S}_2\text{TIME}[T(n)]$  [RS98, Can96]:

**Definition 2.1.** Let  $T : \mathbb{N} \rightarrow \mathbb{N}$ . We say a language  $L \in \text{S}_2\text{TIME}[T(n)]$ , if there exists an  $O(T(n))$ -time verifier  $V(x, \pi_1, \pi_2)$  that takes  $x \in \{0, 1\}^n$  and  $\pi_1, \pi_2 \in \{0, 1\}^{T(n)}$  as input, satisfying:

- if  $x \in L$ , then there exists  $\pi_1$  such that for every  $\pi_2$ ,  $V(x, \pi_1, \pi_2) = 1$ , and
- if  $x \notin L$ , then there exists  $\pi_2$  such that for every  $\pi_1$ ,  $V(x, \pi_1, \pi_2) = 0$ .

Moreover, we say  $L \in \text{S}_2\text{E}$  if  $L \in \text{S}_2\text{TIME}[T(n)]$  for some  $T(n) \leq 2^{O(n)}$ , and  $L \in \text{S}_2\text{P}$  if  $L \in \text{S}_2\text{TIME}[p(n)]$  for some polynomial  $p$ .

It is known that  $\text{S}_2\text{P}$  contains  $\text{MA}$  and  $\text{P}^{\text{NP}}$  [RS98], and  $\text{S}_2\text{P}$  is contained in  $\text{ZPP}^{\text{NP}}$  [Cai07]. From its definition, it is also clear that  $\text{S}_2\text{P} \subseteq \Sigma_2\text{P} \cap \Pi_2\text{P}$ .

### 2.1 Single-valued $\text{F}\Sigma_2\text{P}$ and $\text{FS}_2\text{P}$ algorithms

We consider the following definitions of single-valued algorithms, which correspond to circuit lower bounds for  $\Sigma_2\text{E}$  and  $\text{S}_2\text{E}$ .

**Definition 2.2** (Single-valued  $\text{F}\Sigma_2\text{P}$  and  $\text{FS}_2\text{P}$  algorithms). A single-valued  $\text{F}\Sigma_2\text{P}$  algorithm  $A$  is specified by a polynomial  $\ell(\cdot)$  together with a polynomial-time algorithm  $V_A(x, \pi_1, \pi_2)$ . On an input  $x \in \{0, 1\}^*$ , we say that  $A$  outputs  $y_x \in \{0, 1\}^*$ , if the following hold:

- (a) There is a  $\pi_1 \in \{0, 1\}^{\ell(|x|)}$  such that for every  $\pi_2 \in \{0, 1\}^{\ell(|x|)}$ ,  $V_A(x, \pi_1, \pi_2)$  outputs  $y_x$ .
- (b) For every  $\pi_1 \in \{0, 1\}^{\ell(|x|)}$ , there is a  $\pi_2 \in \{0, 1\}^{\ell(|x|)}$  such that the output of  $V_A(x, \pi_1, \pi_2)$  is either  $y_x$  or  $\perp$  (where  $\perp$  indicates “I don’t know”).

A single-valued  $\text{FS}_2\text{P}$  algorithm  $A$  is specified similarly, except that we replace the second condition above with the following:

- (b') There is a  $\pi_2 \in \{0, 1\}^{\ell(|x|)}$  such that for every  $\pi_1 \in \{0, 1\}^{\ell(|x|)}$ ,  $V_A(x, \pi_1, \pi_2)$  outputs  $y_x$ .

A *search problem*  $\Pi$  maps every input  $x \in \{0, 1\}^*$  into a solution set  $\Pi_x \subseteq \{0, 1\}^*$ . We say that a single-valued  $\text{F}\Sigma_2\text{P}$  ( $\text{FS}_2\text{P}$ ) algorithm  $A$  *solves* a search problem  $\Pi$  on input  $x$  if it outputs a string  $y_x$  and  $y_x \in \Pi_x$ . Note that from Definition 2.2, if  $A$  outputs a string  $y_x$ , then  $y_x$  is unique.

For convenience, we mostly only consider single-valued algorithms  $A(x)$  with fixed output lengths, meaning that the output length  $|A(x)|$  only depends on  $|x|$  and can be computed in polynomial time given  $1^{|x|}$ .<sup>11</sup>

<sup>11</sup>If  $A$  takes multiple inputs like  $x, y, z$ , then the output length  $|A(x, y, z)|$  only depends on  $|x|, |y|, |z|$  and can be computed in polynomial time given  $1^{|x|}, 1^{|y|}$ , and  $1^{|z|}$ .

### 2.1.1 Single-valued $\text{FS}_2\text{P}$ and $\text{F}\Sigma_2\text{P}$ algorithms with $\text{FP}^{\text{NP}}$ post-processing

We need the fact that single-valued  $\text{FS}_2\text{P}$  or  $\text{F}\Sigma_2\text{P}$  algorithms with  $\text{FP}^{\text{NP}}$  post-processing can still be implemented by single-valued  $\text{FS}_2\text{P}$  or  $\text{F}\Sigma_2\text{P}$  algorithms, respectively. More specifically, we have:

**Theorem 2.3.** *Let  $A(x)$  be a single-valued  $\text{FS}_2\text{P}$  (resp.  $\text{F}\Sigma_2\text{P}$ ) algorithm and  $B(x, y)$  be an  $\text{FP}^{\text{NP}}$  algorithm, both with fixed output length. The function  $f(x) := B(x, A(x))$  also admits an  $\text{FS}_2\text{P}$  (resp.  $\text{F}\Sigma_2\text{P}$ ) algorithm.*

*Proof.* We only provide a proof for the case of single-valued  $\text{FS}_2\text{P}$  algorithms. Recall that the Lexicographically Maximum Satisfying Assignment problem (LMSAP) is defined as follows: given an  $n$ -variable formula  $\phi$  together with an integer  $k \in [n]$ , one needs to decide whether  $a_k = 1$ , where  $a_1, \dots, a_n \in \{0, 1\}^n$  is the lexicographically largest assignment satisfies  $\phi$ . By [Kre88], LMSAP is  $\text{P}^{\text{NP}}$ -complete.

Let  $V_A(x, \pi_1, \pi_2)$  be the corresponding verifier for the single-valued  $\text{FS}_2\text{P}$  algorithm  $A$ . Let  $L(x, y, i)$  be the  $\text{P}^{\text{NP}}$  language such that  $L(x, y, i) = 1$  if and only if  $B(x, y)_i = 1$ . Let  $\ell = |B(x, y)|$  be the output length of  $B$ . We now define a single-valued  $\text{FS}_2\text{P}$  algorithm  $\tilde{A}$  by defining the following verifier  $V_{\tilde{A}}$ , and argue that  $\tilde{A}$  computes  $f$ .

The verifier  $V_{\tilde{A}}$  takes an input  $x$  and two proofs  $\pi_1$  and  $\pi_2$ , where  $\pi_1$  consists of  $\omega_1$ , acting as the second argument to  $V_A$ , and  $\ell$  assignments  $z_1^1, z_2^1, \dots, z_\ell^1 \in \{0, 1\}^m$ . Similarly,  $\pi_2$  consists of  $\omega_2$  and  $z_1^2, z_2^2, \dots, z_\ell^2 \in \{0, 1\}^m$ .

First,  $V_{\tilde{A}}$  runs  $V_A(x, \omega_1, \omega_2)$  to get  $y \in \{0, 1\}^{|A(x)|}$ . Then it runs the reduction from  $L(x, y, i)$  to LMSAP for every  $i \in [\ell]$  to obtain  $\ell$  instances  $\{(\phi_i, k_i)\}_{i \in [\ell]}$ , where  $\phi_i$  is an  $m$ -variable formula and  $k_i \in [m]$ . (Without loss of generality by padding dummy variables, we may assume that the number of variables in  $\phi_i$  is the same for each  $i$ , i.e.,  $m$ ; and that  $m$  only depends on  $|x|$  and  $|y|$ .) Now, for every  $\mu \in [2]$ , we can define an answer  $w_\mu \in \{0, 1\}^\ell$  by  $(w_\mu)_i = (z_i^\mu)_{k_i}$  (i.e., the value of  $B(x, y)$ , assuming that  $\pi_\mu$  consists of the lexicographically largest assignments for all the LMSAP instances).

In what follows, when we say that  $V_{\tilde{A}}$  selects the proof  $\mu \in [2]$ , we mean that  $V_{\tilde{A}}$  outputs  $w_\mu$  and terminates. Then,  $V_{\tilde{A}}$  works as follows:

1. For each  $\mu \in [2]$ , it first checks whether for every  $i \in [\ell]$ ,  $z_i^\mu$  satisfies  $\phi_i$ . If only one of the  $\mu$  passes all the checks,  $V_{\tilde{A}}$  selects that  $\mu$ . If none of them passes all the checks,  $V_{\tilde{A}}$  selects 1. Otherwise, it continues to the next step.
2. Now, letting  $Z^\mu = z_1^\mu \circ z_2^\mu \circ \dots \circ z_\ell^\mu$  for each  $\mu \in [2]$ .  $V_{\tilde{A}}$  selects the  $\mu$  with the lexicographically larger  $Z^\mu$ . If  $Z^1 = Z^2$ , then  $V_{\tilde{A}}$  selects 1.

Now we claim that  $\tilde{A}$  computes  $f(x)$ , which can be established by setting  $\pi_1$  or  $\pi_2$  be the corresponding proof for  $V_A$  concatenated with all lexicographically largest assignments for the  $\{\phi_i\}_{i \in [\ell]}$ .  $\square$

### 2.1.2 Single-valued $\text{FS}_2\text{P}$ algorithms imply single-valued $\text{FZPP}^{\text{NP}}$ algorithms

We also need the fact that any single-valued  $\text{FS}_2\text{P}$  algorithm can be simulated by a single-valued  $\text{FZPP}^{\text{NP}}$  algorithm. This follows directly from Cai's result [Cai07] that  $\text{S}_2\text{P} \subseteq \text{ZPP}^{\text{NP}}$ ; although this result is only for *decision problems* (i.e., languages), it is straightforward to see that it also holds for *single-valued* algorithms solving search problems.

**Theorem 2.4.** *If there is a single-valued  $\text{FS}_2\text{P}$  algorithm for a search problem  $\mathcal{P}$ , then there is also a single-valued  $\text{FZPP}^{\text{NP}}$  algorithm for  $\mathcal{P}$ .*

*Proof.* Let  $A(x)$  be a single-valued  $\text{FS}_2\text{P}$  algorithm. Let  $\ell(n) \leq \text{poly}(n)$  such that given an input  $x$  of length  $n$ , the output of  $A(x)$  has length  $\ell(n)$ . Define the language  $L$  that consists of all pairs  $(x, i)$  such that the  $i$ -th bit of (the canonical output of)  $A(x)$  is 1. Then  $L \in \text{S}_2\text{P}$ . By [Cai07] we have  $\text{S}_2\text{P} \subseteq \text{ZPP}^{\text{NP}}$ , hence  $L \in \text{ZPP}^{\text{NP}}$ . Let  $B$  be a ZPP algorithm solving  $L$  with an NP oracle, then we obtain a single-valued  $\text{FZPP}^{\text{NP}}$  algorithm that simulates  $A(x)$  as follows: On input  $x$ , output the length- $\ell(|x|)$  string where for every  $i \in [\ell(|x|)]$ , the  $i$ -th bit of this string is  $B(x, i)$ .  $\square$

### 3 Modified Jeřábek–Korten reduction

Our results crucially rely on a reduction in [Jeř04, Kor21] showing that proving circuit lower bounds is “the hardest explicit construction” under  $\mathsf{P}^{\mathsf{NP}}$  reductions. In fact, we will use a modified version of this reduction, which we introduce in this section.

**Notation.** Let  $s$  be an  $n$ -bit string. We use 0-indexing where  $s_0$  denotes the first bit of  $s$  and  $s_{n-1}$  denotes the last bit of  $s$ . Let  $i < j$ , we use  $s_{[i,j]}$  to denote the substring of  $s$  from the  $i$ th bit to the  $(j-1)$ th bit. We use  $x \circ y$  to denote the concatenation of two strings  $x$  and  $y$ .

We consider perfect binary trees of height  $h$ . We identify each vertex in this tree with a tuple  $(i, j)$  where  $i \in [0, h]$  and  $j \in [0, 2^i - 1]$ , indicating that the vertex is the  $j$ -th vertex on level  $i$ . Note that the two children of  $(i, j)$  are  $(i+1, 2j)$  and  $(i+1, 2j+1)$ .

#### 3.1 The GGM tree

Recall that the GGM tree construction from [GGM86] (roughly speaking) increases the stretch of a circuit  $C : \{0, 1\}^n \rightarrow \{0, 1\}^{2^n}$  to arbitrarily long by applying  $C$  in a perfect binary tree manner.

**Definition 3.1** (The GGM tree construction [GGM86]). Let  $C : \{0, 1\}^n \rightarrow \{0, 1\}^{2^n}$  be a circuit. Let  $n, T \in \mathbb{N}$  be such that  $T \geq 4n$  and let  $k$  be the smallest integer such that  $2^k n \geq T$ . The function  $\text{GGM}_T[C] : \{0, 1\}^n \rightarrow \{0, 1\}^T$  is defined as follows.

Consider a perfect binary tree with  $2^k$  leaves, where the root is on level 0 and the leaves are on level  $k$ . Each node is assigned a binary string of length  $n$ , and for  $0 \leq j < 2^i$ , denote  $v_{i,j} \in \{0, 1\}^n$  the value assigned to the vertex  $(i, j)$  (i.e.,  $j$ -th node on level  $i$ ). Let  $x \in \{0, 1\}^n$ . We perform the following computation to obtain  $\text{GGM}_T[C](x)$ : we set  $v_{0,0} := x$ , and for each  $0 \leq i < k, 0 \leq j < 2^i$ , we set  $v_{i+1,2j} := C(v_{i,j})_{[0,n]}$  (i.e., the first half of  $C(v_{i,j})$ ) and  $v_{i+1,2j+1} := C(v_{i,j})_{[n,2n]}$  (i.e., the second half of  $C(v_{i,j})$ ).

Finally, we concatenate all values of the leaves and take the first  $T$  bits as the output:

$$\text{GGM}_T[C](x) := (v_{k,0} \circ v_{k,1} \circ \cdots \circ v_{k,2^k-1})_{[0,T]}.$$

For our purposes,  $T$  is always set to  $2n \cdot 2^{2n} = n \cdot 2^{2n+1}$ . In other words, the GGM tree will always have height  $h := 2n + 1$ .

It is known that any output of the GGM tree is a truth table with small circuit complexity [Kor21]. Actually, given  $x$  and the label  $(i, j)$  of a node in the GGM tree, there is an efficient algorithm for computing the value assigned to this node.

**Lemma 3.2.** Let  $\text{GGMVal}(C, T, x, (i, j))$  denote the  $n$ -bit assigned value  $v_{i,j}$  in the evaluation of the GGM tree  $\text{GGM}_T[C](x)$ . There is an algorithm running in  $\tilde{O}(|C| \cdot \log T)$  time that, given  $C, T, x, (i, j)$ , outputs  $\text{GGMVal}(C, T, x, (i, j))$ .

*Proof sketch.* To compute  $v_{i,j}$ , it suffices to traverse the GGM tree from the root to the vertex  $(i, j)$ , applying the circuit  $C$  in each step. The running time is clearly bounded by the  $\tilde{O}(|C| \cdot \log T)$  since the GGM tree has height  $O(\log T)$ .  $\square$

#### 3.2 Modifying Jeřábek–Korten reduction

It is shown in [Jeř04, Kor21] that the Range Avoidance problem for  $C$  reduces to the Range Avoidance problem for  $\text{GGM}_T[C]$ . That is, given a hard truth table  $f \notin \text{Range}(\text{GGM}_T[C])$  and an  $\mathsf{NP}$  oracle, one can find a non-output for  $C$  in  $\text{poly}(T, n)$  time.

In what follows, we review this reduction and apply the following modification to it: instead of traversing the perfect binary tree in a simple bottom-up manner, we perform a *post-order traversal* (i.e.,

traverse the left subtree, then the right subtree, and finally the root). Along the way we also define the *computational history* of “solving Range Avoidance of  $C$  from  $\text{GGM}_T[C]$ ” and show that this computational history always has a short description, which will be crucial to our proof. The modified reduction is depicted in [Algorithm 1](#).

**Fact 3.3.** *In a post-order traversal, any root vertex of a subtree is traversed after all other vertices in the subtree. Any vertex in the right subtree is traversed after all vertices in the left subtree.*

For ease of presentation, we define the total order  $<_P$  for all vertices on a perfect binary tree to be the post-order traversal order. In other words,  $u_1 <_P u_2$  if and only if  $u_1$  is traversed before  $u_2$ .

**Fact 3.4.** *Given two vertices  $u_1 \neq u_2$  in a perfect binary tree, there is an algorithm that decides whether  $u_1 <_P u_2$  or  $u_2 <_P u_1$  and runs in time linear in the height of the tree.*

*Proof sketch.* The algorithm simply finds the least common ancestor  $u_a$  of  $u_1$  and  $u_2$ . By definition of the least common ancestor,  $u_1$  and  $u_2$  cannot live in the same proper subtree of  $u_a$ .

The vertex living in the left subtree of  $u_a$  will be traversed first. If none of them lives in the left subtree of  $u_a$ , then one of them must be  $u_a$  itself. In this case, the vertex living in the right subtree will be traversed first.  $\square$

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**Algorithm 1** Jeřábek–Korten’( $C, f$ ): Modified Jeřábek–Korten reduction

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**Input:**  $C : \{0, 1\}^n \rightarrow \{0, 1\}^{2n}$  denotes the input circuit, and  $f \in \{0, 1\}^T \setminus \text{Range}(\text{GGM}_T[C])$  denotes the input hard truth table.

**Output:** A non-output of  $C$ .

**Data:** A perfect binary tree of height  $k$  that contains the computational history.

```

1 for  $j \leftarrow 0$  to  $2^k - 1$  do
2    $v_{k,j} \leftarrow f_{[jn, (j+1)n]}$  ; // set  $f$  to the leaves
3 for vertices  $(i, j)$  in the Post-Order Traversal do
4   Set  $v_{i,j}$  be the lexicographically smallest string such that  $C(v_{i,j}) = v_{i+1,2j} \circ v_{i+1,2j+1}$  ; // this step
   requires a NP oracle
5   if  $v_{i,j}$  does not exist then
6     Set all remaining vertices  $\perp$ 
7     return  $v_{i+1,2j} \circ v_{i+1,2j+1}$ 
8 return  $\perp$ 

```

---

It is easy to see that [Algorithm 1](#) is indeed a reduction from the Range Avoidance problem for  $C$  to the Range Avoidance problem for  $\text{GGM}_T[C]$ :

**Lemma 3.5.** *Let  $C : \{0, 1\}^n \rightarrow \{0, 1\}^{2n}$  be a circuit. Let  $f$  be a non-output of  $\text{GGM}_T[C]$ , i.e.,  $f \in \{0, 1\}^T \setminus \text{Range}(\text{GGM}_T[C])$ . Then, Jeřábek–Korten’( $C, f$ ) (as defined in [Algorithm 1](#)) returns a non-output of  $C$  in deterministic  $\text{poly}(T, n)$  time with an NP oracle.*

*Proof Sketch.* The running time of Jeřábek–Korten’( $C, f$ ) follows directly from its description. Also, note that whenever Jeřábek–Korten’( $C, f$ ) outputs a string  $v_{i+1,2j} \circ v_{i+1,2j+1} \in \{0, 1\}^{2n}$ , it holds that this string is not in the range of  $C$ . Therefore, it suffices to show that when  $f \in \{0, 1\}^T \setminus \text{Range}(\text{GGM}_T[C])$ , Jeřábek–Korten’( $C, f$ ) does not return  $\perp$ .

Assume, towards a contradiction, that Jeřábek–Korten’( $C, f$ ) returns  $\perp$ . This means that all the  $\{v_{i,j}\}_{i,j}$  values are set. It follows from the algorithm description that  $f = \text{GGM}_T[C](v_{0,0})$ , which contradicts the assumption that  $f \in \{0, 1\}^T \setminus \text{Range}(\text{GGM}_T[C])$ .  $\square$

Finally, since every output of  $\text{GGM}_T[C]$  has small circuit complexity (as shown in [Lemma 3.2](#)), [Algorithm 1](#) is also a reduction from the Range Avoidance problem (for  $C$ ) to the problem of finding a hard truth table.

### 3.3 Computational history of Jeřábek–Korten'(C, f)

The computational history of Jeřábek–Korten'(C, f) is essentially a *partially-assigned* perfect binary tree of height  $2n + 1$  where each vertex  $(i, j)$  stores an  $n$ -bit string  $v_{i,j}$  or  $\perp$ . To emphasise the tree structure of this computational history, let us call it  $\text{Histree}(C, f)$ .

**Definition 3.6** (The computational history of Jeřábek–Korten'(C, f)). Let  $n, T \in \mathbb{N}$  be such that  $T = n \cdot 2^{2n+1}$ . Let  $C : \{0, 1\}^n \rightarrow \{0, 1\}^{2n}$  be a circuit, and  $f \in \{0, 1\}^T$  be a “hard truth table” in the sense that  $f \notin \text{Range}(\text{GGM}_T[C])$ . The computational history of Jeřábek–Korten'(C, f), denoted as  $\text{Histree}(C, f)$ , is the partially-assigned perfect binary tree obtained by executing Jeřábek–Korten'(C, f).

Let  $h := \text{Histree}(C, f)$ . For any vertex  $u$  in the perfect binary tree, we use  $h(u)$  to denote the value  $v_u$  stored at  $u$ . For a vertex  $u = (i, j)$ , we abuse the notation and use  $h(i, j)$  to represent  $h(u) = h((i, j))$  in order to avoid double parentheses. We use  $c_L(u)$  and  $c_R(u)$  to denote the left child and right child of  $u$ .

**Definition 3.7** (Proper left children). Given a set of vertices  $S$  from a binary tree, we define the set of proper left children of  $S$  to be:

$$\{u : \exists w \in S, c_L(w) = u, u \notin S\}.$$

The following lemma shows that  $h$  has a succinct description.

**Lemma 3.8.** Let  $n, T \in \mathbb{N}$  be such that  $T = n \cdot 2^{2n+1}$ . Let  $C : \{0, 1\}^n \rightarrow \{0, 1\}^{2n}$  be a circuit, and  $f \in \{0, 1\}^T$ . Let  $h := \text{Histree}(C, f)$ , then  $h$  admits a unique description  $D_h$  such that:

- $|D_h| \leq O(n) \cdot \log T$ .
- There is an algorithm  $\text{Eval}$  that takes as inputs  $D_h$  and any vertex  $(i, j)$ , outputs  $h(i, j)$  in time  $\text{poly}(n) \cdot \log T$ .

*Proof.* We start by describing  $D_h$ .

Let  $u^* = (i^*, j^*)$  be the vertex where [Algorithm 1](#) finds a solution and terminates. Let  $S = \{u_0 = (0, 0), u_1, u_2, \dots, u^*\}$  be the set of vertices on the unique path starting from the root  $(0, 0)$  to  $u^*$ . Note that all vertices in  $S$  are assigned  $\perp$ .

$D_h$  is defined to contain all *proper left children* of  $S$  and the right child of  $u^*$ , as well as all the stored values in these vertices. We further note that all these vertices have non  $\perp$  stored values. In particular, consider any proper left children vertex  $u_L$ , it lives in the left subtree of its parent while  $u^*$  lives in the right subtree. So  $u_L$  must have already been traversed when the algorithm terminates.

Note that  $D_h$  contains both children of  $u^*$  and hence the output of Jeřábek–Korten'(C, f). It is clear from how we construct  $D_h$  that  $|D_h| \leq O(n) \cdot \log T$  since any path on the tree contains  $O(\log T)$  vertices and every vertex stored in  $D_h$  carries  $O(n)$  bits of information. Also,  $D_h$  is uniquely defined for any fixed  $h$ .

Next, we show how to efficiently evaluate  $h(i, j)$  given any vertex  $(i, j)$  in the perfect binary tree. Notice that any subtree in  $h$  is also a (smaller) GGM tree. From [Lemma 3.2](#), if we know the stored value of any ancestor of  $(i, j)$ , we can efficiently (in time  $\text{poly}(n) \cdot \log T$ ) evaluate  $h(i, j)$ .

Therefore, it suffices to show that for any  $(i, j)$ , one of its (non  $\perp$ ) ancestors is stored in  $D_h$ :

1. If  $u^*$  is an ancestor of  $(i, j)$ , then one of  $u^*$ 's children is an ancestor of  $(i, j)$  and we know both children are stored in  $D_h$ .
2. If  $(i, j)$  is an ancestor of  $u^*$ , then  $h(i, j) = \perp$ .
3. Otherwise, let  $u_a$  be the least common ancestor of  $u^*$  and  $(i, j)$ , and we know that  $u_a \in S$ . If  $(i, j)$  falls in the left subtree of  $u_a$ , then the left child of  $u_a$  is an ancestor of  $(i, j)$  which is stored in  $D_h$ . If  $(i, j)$  falls in the right subtree of  $u_a$  then we argue that  $h(i, j) = \perp$ . This is because the algorithm stopped at  $u^*$  living in the left subtree of  $u_a$ , and would not have traversed  $(i, j)$ .

This concludes the proof.  $\square$

The final ingredient we need is that  $D_h$  admits a  $\Pi_1$  verifier on whether its corresponding computational history  $h$  is the correct one.

**Lemma 3.9** ( $\Pi_1$  verification of the history). *Let  $h := \text{Histree}(C, f)$ . There is an oracle algorithm  $V$  with input parameters  $T, n$  such that the following holds:*

1.  $V$  takes  $f \in \{0, 1\}^T$  as an oracle and  $C, \widetilde{D}_h$  and  $w \in \{0, 1\}^{2 \log T + n}$  as inputs. It runs in  $\text{poly}(n)$  time.
2.  $D_h$  defined on  $h := \text{Histree}(C, f)$  is the unique string satisfying the following:

$$\forall w \in \{0, 1\}^{2 \log T + n}, V^f(C, D_h, w) = 1.$$

*Proof.* First, the oracle algorithm  $V$  parses  $\widetilde{D}_h$  and checks whether it is indeed generated from a valid post-order traversal on the perfect binary tree. In particular,  $V$  reads the terminating vertex  $u^*$  based on the two children of  $u^*$  stored in  $\widetilde{D}_h$ , finds the path from  $(0, 0)$  to  $u^*$ , and checks that all proper left children of vertices on the path are included in  $\widetilde{D}_h$  (of course the right child of  $u^*$  should also be included).  $V$  also checks that the stored values of these vertices are not  $\perp$ .

If this part of verification succeeds, we know  $\widetilde{D}_h$  corresponds to some *partially-assigned* perfect binary tree  $\tilde{h}$  and it remains to check that  $\tilde{h}$  is the computational history  $\text{Histree}(C, f)$ . One should think of the verifier making at most  $2^{|w|}$  checks and accepts only if all  $2^{|w|}$  check passes.

The verifier  $V$  makes the following checks. Note that whenever we need some value  $v_{i,j}$ , we can compute it by calling  $\text{Eval}(\widetilde{D}_h, (i, j))$ . Recall that the total order  $<_P$  is defined according to the post-order traversal sequence.

1. The values written on the leaves are indeed  $f$ . Hence, for every  $j \in [0, 2^{2n+1} - 1]$ ,  $V$  checks that  $v_{2n+1,j}$  is consistent with the corresponding string in  $f$ .
2. For every  $(i, j) <_P u^*$ ,  $C(v_{i,j}) = v_{i+1,2j} \circ v_{i+1,2j+1}$ . (The values of each  $v_{i,j}$  is consistent with its children.)
3. For every  $(i, j) <_P u^*$ , for every  $x \in \{0, 1\}^n$  that is lexicographically smaller than  $v_{i,j}$ ,  $C(x) \neq v_{i+1,2j} \circ v_{i+1,2j+1}$ . (The values of each  $v_{i,j}$  is the lexicographically smallest possible one.)
4. Let  $u^* = (i^*, j^*)$ , then for every  $x \in \{0, 1\}^n$ ,  $C(x) \neq v_{i^*+1,2j^*} \circ v_{i^*+1,2j^*+1}$ . (The two children of  $u^*$  form a non-output of  $C$ .)
5. For every  $(i, j)$  where  $u^* \leq_P (i, j)$ ,  $v_{i,j} = \perp$ .

Each of the above checks is local (requires the assigned values of at most 3 vertices) and efficient (runs in time  $\text{poly}(n, \log T)$ ). There are in total  $O(T)$  vertices and therefore  $O(T)$  tests, which can be implemented with a universal ( $\forall$ ) quantification over at most  $2 \log T + n$  bits.

Clearly the correct history  $h$  (and therefore its unique description  $D_h$ ) passes all these checks. Also these checks uniquely determine  $h$  as they are essentially enforcing every step of the execution of  $\text{Jeřábek-Korten}'(C, f)$ .  $\square$

## 4 Circuit lower bounds for $S_2E$

### 4.1 Single-valued $F\Sigma_2P$ algorithms for Avoid

We start by showing a simple single-valued  $F\Sigma_2P$  algorithm for Avoid.



**Theorem 4.1.** *There is a single-valued  $\text{F}\Sigma_2\text{P}$  algorithm  $A$  that given any circuit  $C : \{0,1\}^n \rightarrow \{0,1\}^{2n}$  as input,  $A(C)$  outputs  $y_C$  such that  $y_C \notin \text{Range}(C)$ .*

*Proof.* On input a circuit  $C : \{0,1\}^n \rightarrow \{0,1\}^{2n}$ , let  $T = 2n2^{2n}$  and  $f \in \{0,1\}^T$  be the concatenation of all  $2n$ -bit strings. Let  $h = \text{Histree}(C, f)$ . The algorithm  $V_A(C, \pi_1, \pi_2)$  is defined as follows: it parses  $\pi_1$  as  $D_h$  and  $\pi_2$  as  $w$ , simulates the verifier  $V^f(C, D_h, w)$  in Lemma 3.9. It outputs the non-output of  $C$  stored in  $D_h$  iff  $V^f(C, D_h, w) = 1$ . Otherwise it outputs  $\perp$ .

Note that every position of  $f$  can be easily computed since it is just enumerating all  $2n$ -length strings. Hence the algorithm runs in  $(\text{F}\Sigma_2\text{-})$ polynomial time. The algorithm is single-valued because it can only output  $\text{Jeřábek-Korten}'(C, f)$  which is a fixed string.  $\square$

## 4.2 Single-valued $\text{F}\Sigma_2\text{P}$ algorithms for Avoid

In order to generalise the  $\text{F}\Sigma_2\text{P}$  algorithm above to a  $\text{F}\Sigma_2\text{P}$  algorithm, we need a ‘selector’ that chooses the correct  $D_h$  when two candidates are given. We formalise such a selector in the following lemma.

**Lemma 4.2.** *Let  $n, T \in \mathbb{N}$  be such that  $T = 2n2^{2n}$ . Let  $C : \{0,1\}^n \rightarrow \{0,1\}^{2n}$  be a circuit, and  $f \in \{0,1\}^T$ . Let  $h := \text{Histree}(C, f)$  and  $D_h$  be the succinct description of  $h$  defined in Lemma 3.8. Given  $f$  as an oracle and two strings  $\pi_1, \pi_2$  as additional inputs, with the promise that  $\pi_b = D_h$  for at least one  $b \in \{0,1\}$ , there is a deterministic algorithm  $S$  running in  $\text{poly}(n) \cdot \log T$  time such that  $S^f(C, \pi_1, \pi_2) = \pi_b$ .*

*Proof.*  $S$  starts by parsing  $\pi_1, \pi_2$  as  $D_h$ . If any of them fail to parse (i.e., the vertices are not derived from a post-order traversal),  $S$  simply discards it and output the other one.

Let  $h_1$  and  $h_2$  be the corresponding perfect binary tree generated from  $\pi_1$  and  $\pi_2$ . Let  $(i_1^*, j_1^*)$  be the termination vertex in  $h_1$  and  $(i_2^*, j_2^*)$  be the termination vertex in  $h_2$ . Then,  $S$  will efficiently find a single vertex that contains different stored values in  $h_1$  and  $h_2$ . In particular, we consider two cases:

1.  $(i_1^*, j_1^*) \neq (i_2^*, j_2^*)$ : Without loss of generality, assume  $(i_1^*, j_1^*) <_P (i_2^*, j_2^*)$ . Then we know that  $h_2(i_1^*, j_1^*) \neq \perp$ .
2.  $(i_1^*, j_1^*) = (i_2^*, j_2^*)$ : Then they store the same set of vertices in  $\pi_1$  and  $\pi_2$ , and one of the vertices must have a different stored value.

Now given a vertex (say  $u$ ) where  $h_1(u) \neq h_2(u)$ ,  $S$  proceeds as follows:

1. If  $u$  is a leaf vertex, then  $S$  checks it against  $f$  and decides which one is the correct  $D_h$ .
2. Otherwise,  $S$  checks if  $C(h_1(u)) = C(h_2(u))$ . If they are indeed pre-images of the same value,  $S$  picks the lexicographically smaller one.

If  $C(h_1(u)) \neq C(h_2(u))$  or if  $h_2(u) \neq h_1(u) = \perp$ , then at least one of  $u$ ’s children (say  $u'$ ) should have a different stored value.  $S$  then repeats the whole procedure on  $u'$ .

It is clear from the description that  $S$  terminates in  $O(\log T)$  recursive steps, and the overall running time is  $\text{poly}(n) \cdot \log T$ .  $\square$

**Theorem 4.3.** *There is a single-valued  $\text{F}\Sigma_2\text{P}$  algorithm  $A$  that given any circuit  $C : \{0,1\}^n \rightarrow \{0,1\}^{2n}$  as input,  $A(C)$  outputs  $y_C$  such that  $y_C \notin \text{Range}(C)$ .*

*Proof.* On input a circuit  $C : \{0,1\}^n \rightarrow \{0,1\}^{2n}$ , let  $T = 2n2^{2n}$  and  $f \in \{0,1\}^T$  be the concatenation of all  $2n$ -bit strings. Let  $h = \text{Histree}(C, f)$ . The algorithm  $V_A(C, \pi_1, \pi_2)$  applies the selector algorithm  $S^f(C, \pi_1, \pi_2)$  from Lemma 4.2 to obtain  $\pi_b = D_h$ , and then returns the non-output of  $C$  stored in  $D_h$ .

Again, every position of  $f$  can be easily computed since it is just enumerating all  $2n$ -length strings, hence the algorithm runs in  $(\text{F}\Sigma_2\text{-})$ polynomial time. The algorithm is single-valued because it can only output  $\text{Jeřábek-Korten}'(C, f)$  which is a fixed string.  $\square$

The algorithm in [Theorem 4.3](#) only works when the input circuit has stretch  $n \mapsto 2n$ . Fortunately, Korten [[Kor21](#)] showed a  $\text{P}^{\text{NP}}$  reduction for the Range Avoidance problem from stretch  $n \mapsto (n+1)$  to stretch  $n \mapsto 2n$ :

**Lemma 4.4** ([[Kor21](#), Lemma 3]). *Let  $n \in \mathbb{N}$ . There is a polynomial time algorithm  $A$  and an  $\text{FP}^{\text{NP}}$  algorithm  $B$  such that the following holds:*

1. *Given a circuit  $C : \{0, 1\}^n \rightarrow \{0, 1\}^{n+1}$ ,  $A(C)$  outputs a circuit  $D : \{0, 1\}^n \rightarrow \{0, 1\}^{2n}$ .*
2. *Given any  $y \in \{0, 1\}^{2n} \setminus \text{Range}(D)$ ,  $B(C, y)$  outputs a string  $z \in \{0, 1\}^{n+1} \setminus \text{Range}(C)$ .*

**Corollary 4.5.** *There is a single-valued  $\text{FS}_2\text{P}$  algorithm  $A$  such that given any polynomial-sized circuit  $C : \{0, 1\}^n \rightarrow \{0, 1\}^{n+1}$  as input,  $A(C)$  outputs  $y_C$  such that  $y_C \notin \text{Range}(C)$ .*

*Proof Sketch.* It follows from [Lemma 4.4](#) and [Theorem 4.3](#) that there is a single-valued  $\text{FS}_2\text{P}$  algorithm with  $\text{FP}^{\text{NP}}$  post-processing that solves the Range Avoidance problem (for stretch  $n \mapsto n+1$ ). By [Theorem 2.3](#), such an algorithm is (still, simply) a single-valued  $\text{FS}_2\text{P}$  algorithm.  $\square$

Finally, by [Theorem 2.4](#), our single-valued  $\text{FS}_2\text{P}$  algorithms can be converted to pseudodeterministic  $\text{FZPP}^{\text{NP}}$  algorithms as well:

**Corollary 4.6.** *There is a randomised algorithm  $\mathcal{A}$  with an  $\text{NP}$  oracle such that the following holds. Given a circuit  $C : \{0, 1\}^n \rightarrow \{0, 1\}^{n+1}$  as input, there is a string  $y_C \in \{0, 1\}^n \setminus \text{Range}(C)$ , which only depends on  $C$  (and, importantly, not on the internal randomness of  $\mathcal{A}$ ), such that  $\mathcal{A}(C)$  either outputs  $y_C$  or  $\perp$ , and the probability (over the internal randomness of  $\mathcal{A}$ ) that  $\mathcal{A}(C)$  outputs  $y_C$  is at least  $2/3$ .*

### 4.3 Circuit lower bounds

Finally, we prove our near-maximum circuit lower bound for  $\text{S}_2\text{E}$  and present some extensions of it.

**Circuit lower bounds.** We apply our Range Avoidance algorithm to the *truth table generator*  $\text{TT}_{n,s}$ . Frandsen and Miltersen [[FM05](#)] showed that for  $n, s \in \mathbb{N}$ , any  $s$ -size  $n$ -input circuit  $C$  can be encoded as a *stack program* with description size  $L_{n,s} := (s+1)(7 + \log(n+s))$ . The precise definition of stack programs does not matter here (see [[FM05](#)] for a formal definition); the only property we need is that given  $s$  and  $n$  such that  $n \leq s \leq 2^n$ , in  $\text{poly}(2^n)$  time one can construct a circuit  $\text{TT}_{n,s} : \{0, 1\}^{L_{n,s}} \rightarrow \{0, 1\}^{2^n}$  mapping the description of a stack program into its truth table. By the equivalence between stack programs and circuits, it follows that any  $f \in \{0, 1\}^{2^n} \setminus \text{Range}(\text{TT}_{n,s})$  satisfies  $\text{SIZE}(f) > s$ . Also, we note that for large enough  $n \in \mathbb{N}$  and  $s = 2^n/n$ , we have  $L_{n,s} < 2^n$ .

**Theorem 4.7.**  $\text{S}_2\text{E} \not\subseteq \text{i.o.-SIZE}[2^n/n]$ .

*Proof.* Let  $A$  be the single-valued algorithm from [Corollary 4.5](#) and set  $s := 2^n/n$ . Define the language  $\mathcal{L}$  such that the truth table of the characteristic function of  $\mathcal{L} \cap \{0, 1\}^n$  is  $A(\text{TT}_{n,s})$ . By our choice of  $s$ ,  $L_{n,s} = (s+1)(7 + \log(n+s)) < 2^n$  and hence  $\text{TT}_{n,s}$  is a valid **Avoid** instance.

Since every  $s$ -size  $n$ -input circuit  $C$  can be encoded into a stack program of description size  $L_{n,s}$  bits [[FM05](#)], and  $A(\text{TT}_{n,s}) \notin \text{Range}(\text{TT}_{n,s})$ , we have that  $\mathcal{L} \notin \text{i.o.-SIZE}[s(n)]$ . On the other hand, since the truth table of  $\mathcal{L}$  can be computed by a single-valued  $\text{FS}_2\text{P}$  algorithm  $A$ , we have  $\mathcal{L} \in \text{S}_2\text{E}$ .  $\square$

**Strong average-case lower bounds.** We can similarly prove strong average-case circuit lower bounds for  $\text{S}_2\text{E}$ . Let  $\delta_1, \delta_2 > 0$  be constants such that  $\delta_1 + 2\delta_2 < 1$ , we show that  $\text{S}_2\text{E}$  cannot be  $(1/2 + 2^{-\delta_2 n})$ -approximated by circuits of size  $2^{\delta_1 n}$ . We apply our Range Avoidance algorithm to the following generator, denoted as  $\text{AvgTT}_{\delta_1, \delta_2, n}$ :

- The input of  $\text{AvgTT}_{\delta_1, \delta_2, n}$  consists of the description of a size- $2^{\delta_1 n}$  circuit  $C : \{0, 1\}^n \rightarrow \{0, 1\}$  as well as a subset  $S \subseteq \{0, 1\}^n$  of size at most  $2^n(1/2 - 2^{-\delta_2 n})$ .
- The output of  $\text{AvgTT}_{\delta_1, \delta_2, n}(C, S)$  is the truth table  $tt \in \{0, 1\}^{2^n}$  such that for every  $x \in \{0, 1\}^n$ , if  $x \in S$  then  $tt(x) = \neg C(x)$ , otherwise  $tt(x) = C(x)$ .

The circuit  $C$  can be encoded in  $O(n2^{\delta_1 n})$  bits using [FM05] and the subset  $S$  can be encoded in  $2^n \cdot H(1/2 - 2^{-\delta_2 n})$  bits, where  $H(p) = -p \log p - (1-p) \log(1-p)$  is the binary entropy function. Since

$$O(n2^{\delta_1 n}) + 2^n \cdot H(1/2 - 2^{-\delta_2 n}) \leq O(n2^{\delta_1 n}) + 2^n - \Omega(2^{n-2\delta_2 n}) < 2^n,$$

the input length of  $\text{AvgTT}_{\delta_1, \delta_2, n}$  is less than its output length, and  $\text{AvgTT}_{\delta_1, \delta_2, n}$  is a valid **Avoid** instance.

**Theorem 4.8.** *Let  $\delta_1, \delta_2 > 0$  be two constants such that  $\delta_1 + 2\delta_2 < 1$ . Then there is a language  $\mathcal{L} \in \text{S}_2\text{E}$  that cannot be  $(1/2 + 2^{-\delta_2 n})$ -approximated by size- $2^{\delta_1 n}$  circuits.*

*Proof.* Let  $A$  be the single-valued algorithm from [Corollary 4.5](#). Define the language  $\mathcal{L}$  such that the truth table of the characteristic function of  $\mathcal{L} \cap \{0, 1\}^n$  is  $A(\text{AvgTT}_{\delta_1, \delta_2, n})$ . By definition,  $\mathcal{L}$  cannot be  $(1/2 + 2^{-\delta_2 n})$ -approximated by circuits of size  $2^{\delta_1 n}$ . On the other hand, since the truth table of  $\mathcal{L}$  can be computed by a single-valued  $\text{FS}_2\text{P}$  algorithm  $A$ , we have  $\mathcal{L} \in \text{S}_2\text{E}$ .  $\square$

**Lower bounds against non-uniform computation.** We say a function  $\alpha(n)$  is *nice* if it is *unbounded*, i.e.,  $\lim_{n \rightarrow \infty} \alpha(n) = \infty$ , and it is *easy to compute*, i.e., the value of  $\alpha(n)$  can be computed in  $\text{poly}(2^n)$  time given  $n$  as input.

Fix a constant  $k \geq 1$  and a nice function  $\alpha(n)$ . We now show that  $\text{S}_2\text{E} \not\subseteq \text{i.o.-TIME}[2^{kn}]/2^{n-\alpha(n)}$ , i.e., there is a language  $\mathcal{L}_k \in \text{S}_2\text{E}$  that cannot be (infinitely-often) computed by Turing machines running in  $2^{kn}$  time even given near-maximum (i.e.,  $2^n - \alpha(n)$ ) amount of advice.

**Theorem 4.9.** *Fix a constant  $k \geq 1$  and a nice function  $\alpha(n)$ . Then*

$$\text{S}_2\text{E} \not\subseteq \text{i.o.-TIME}[2^{kn}]/2^{n-\alpha(n)}.$$

*Proof.* Consider the following circuit  $C_{n,\alpha}$ . The input of  $C_{n,\alpha}$  consists of a Turing machine  $M$  of description length  $\alpha(n) - 1$  and an advice string  $a$  of length  $2^n - \alpha(n)$ . Let  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  be the function that  $(M, a)$  computes in  $2^{kn}$  time, i.e., for every  $x \in \{0, 1\}^n$ ,  $f(x)$  is equal to the output of  $M(a, x)$  in  $2^{kn}$  time. Then,  $C_{n,\alpha}$  outputs the truth table of  $f$ . Clearly,  $C_{n,\alpha}$  is a circuit with  $N := 2^n$  outputs,  $2^n - 1 < N$  inputs, and size  $\text{poly}(N)$ . Hence,  $C_{n,\alpha}$  is a valid input instance for **Avoid**.

Let  $A$  be the single-valued algorithm from [Corollary 4.5](#). Define the language  $\mathcal{L}_k$  such that the truth table of the characteristic function of  $\mathcal{L}_k \cap \{0, 1\}^n$  is  $A(C_{n,\alpha})$ . Since  $A$  is a single-valued  $\text{FS}_2\text{P}$  algorithm, we have  $\mathcal{L}_k \in \text{S}_2\text{E}$ . On the other hand, suppose that  $\mathcal{L}_k \in \text{i.o.-TIME}[2^{kn}]/2^{n-\alpha(n)}$  and the  $2^{kn}$ -time Turing machine  $M$  with advice string  $a \in \{0, 1\}^{2^n - \alpha(n)}$  computes  $\mathcal{L}_k$  on infinitely many input lengths. Pick a large enough input length  $n$  such that  $(M, a)$  computes  $\mathcal{L}_k$  correctly and that  $|M| \leq \alpha(n) - 1$ . Then the characteristic function of  $\mathcal{L}_k \cap \{0, 1\}^n$  is in the range of  $C_{n,\alpha}$ , contradicting the correctness of  $A$ . Hence we have that

$$\mathcal{L}_k \in \text{S}_2\text{E} \setminus \text{i.o.-TIME}[2^{kn}]/2^{n-\alpha(n)}. \quad \square$$

*Remark 4.10.* Finally, it is easy to see that all our results above relativise.

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## A Karp–Lipton collapses and the half-exponential barrier

In the following, we elaborate on the half-exponential barrier mentioned in the introduction. A function  $f: \mathbb{N} \rightarrow \mathbb{N}$  is *sub-half-exponential* if  $f(f(n)^c) = 2^{o(n)}$  for every constant  $c \geq 1$ , i.e., composing  $f$  twice yields a sub-exponential function. For example, for constants  $c \geq 1$  and  $\varepsilon > 0$ , the functions  $f(n) = n^c$  and  $f(n) = 2^{\log^c n}$  are sub-half-exponential, but the functions  $f(n) = 2^{n^\varepsilon}$  and  $f(n) = 2^{\varepsilon n}$  are not.

Let  $\mathcal{C}$  be a “typical” uniform complexity class containing  $P$ , a *Karp–Lipton collapse* to  $\mathcal{C}$  states that if a large class (say  $EXP$ ) has polynomial-size circuits, then this class collapses to  $\mathcal{C}$ . For example, there is a Karp–Lipton collapse to  $\mathcal{C} = \Sigma_2 P$ :

Suppose  $EXP \subseteq P/\text{poly}$ , then  $EXP = \Sigma_2 P$ . ([KL80], attributed to Albert Meyer)

Now, assuming that  $EXP \subseteq P/\text{poly} \implies EXP = \mathcal{C}$ , the following win-win analysis implies that  $\mathcal{C}\text{-}EXP$ , the exponential-time version of  $\mathcal{C}$ , is not in  $P/\text{poly}$ : (1) if  $EXP \not\subseteq P/\text{poly}$ , then of course  $\mathcal{C}\text{-}EXP \supseteq EXP$  does not have polynomial-size circuits; (2) otherwise  $EXP \subseteq P/\text{poly}$ . We have  $EXP = \mathcal{C}$  and by padding  $EEXP = \mathcal{C}\text{-}EXP$ . Since  $EEXP$  contains a function of maximum circuit complexity by direct diagonalization, it follows that  $\mathcal{C}\text{-}EXP$  does not have polynomial-size circuits.

Karp–Lipton collapses are known for the classes  $\Sigma_2 P$  [KL80],  $ZPP^{NP}$  [BCG<sup>+</sup>96],  $S_2 P$  [Cai07] (attributed to Samik Sengupta),  $PP$ ,  $MA$  [LFKN92, BFNW93], and  $ZPP^{MCSP}$  [IKV18]. All the aforementioned super-polynomial circuit lower bounds for  $\Sigma_2 EXP$ ,  $ZPEXP^{NP}$ ,  $S_2 EXP$ ,  $PEXP$ ,  $MAEXP$ , and  $ZPEXP^{MCSP}$  are proven in this way.<sup>12</sup>

**The half-exponential barrier.** The above argument is very successful at proving various super-polynomial lower bounds. However, a closer look shows that it is only capable of proving *sub-half-exponential* circuit lower bounds. Indeed, suppose we want to show that  $\mathcal{C}\text{-}EXP$  does not have circuits of size  $f(n)$ . We will have to perform the following win-win analysis:

- if  $EXP \not\subseteq \text{SIZE}[f(n)]$ , then of course  $\mathcal{C}\text{-}EXP \supseteq EXP$  does not have circuits of size  $f(n)$ ;
- if  $EXP \subseteq \text{SIZE}[f(n)]$ , then (a scaled-up version of) the Karp–Lipton collapse implies that  $EXP$  can be computed by a  $\mathcal{C}$  machine of  $\text{poly}(f(n))$  time. Note that  $\text{TIME}[2^{\text{poly}(f(n))}]$  does not have circuits of size  $f(n)$  by direct diagonalization. By padding,  $\text{TIME}[2^{\text{poly}(f(n))}]$  can be computed by a  $\mathcal{C}$  machine of  $\text{poly}(f(\text{poly}(f(n))))$  time. Therefore, if  $f$  is sub-half-exponential (meaning  $f(\text{poly}(f(n))) = 2^{o(n)}$ ), then  $\mathcal{C}\text{-}EXP$  does not have circuits of size  $f(n)$ .

Intuitively speaking, the two cases above are *competing with each other*: we cannot get exponential lower bounds in both cases.

<sup>12</sup>There is some evidence that Karp–Lipton collapses are essential for proving circuit lower bounds [CMMW19].