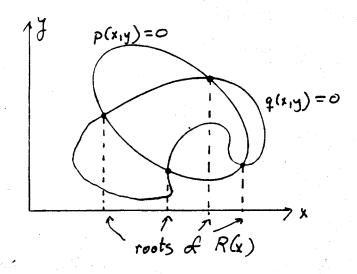
## Resultants and Elimination Theory

One technique with several variations.

## Isolating simultaneous zeros



p(x,y) & q(x,y) are bivariate polynomials in x 14. We seek their simultaneous zeros.

The method of resultants produces a single univariate polynomial R(x) such that

R(x) = 0 iff  $p(x_1y) = 0$  \$  $q(x_1y) = 0$  for some

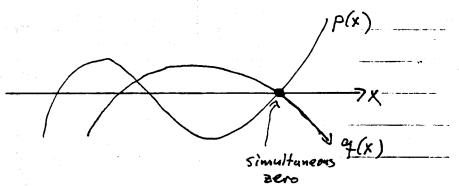
So, find the roots of R(x). For each of these, solve a poly in y to get the simultaneous roots of  $p \neq q$ .

Application: One can use this technique to split a pobot's configuration space interition critical sections within which the topology doesn't change — Algebraic Cylindrical Decomposition.

## Singular Simultaneous Zeros

The method tells us when an overconstrained \_\_\_\_\_ algebraic system has a simultaneous zero, \_\_\_\_

E.g. p(x)=0 (2 equations, 1 unbrown) q(x)=0

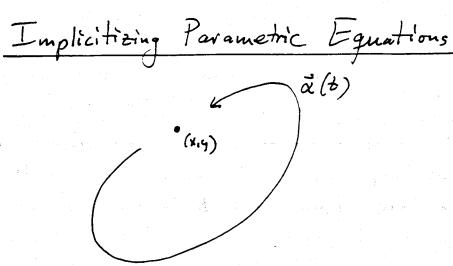


This is parameter elimination, i.e., the parameter x is "removed"

Really, what we got is a resultant R (coefficients of prof) that tells us whether or not p & 9 have a simultaneous root. If R (coefficients of prof)=0 then yes, otherwise no.

If the coefficients are known numbers, then we just plug in and test.

If the coefficients are symbols, then the equation R(coefficients of pag) = 0provides constraints on the coefficients that tell us the conditions under which a simultaneou. Zero exists.



we have a parameterized curve in 2D  $\vec{\alpha}(t) = (p(t), q(t))$  with page polysint.

We might want an implicit equation F(x,y) = 0 for the same curve, with F a poly in  $x \neq y$ .

Elimination theory will give this to us, basically by constructing the system of equations p(t) - x = 0 q(t) - y = 0.

If we think of this as a equations in 1 unknown, t then we are back in the "Singular Simultaneous Zeros" scenario. In other words, we have two polynomial equations in to we think of x4y as part of the coefficient set of these equations,

The result is a polynomial equation F(x,y)=0 that provides constraints on xay for a simultaneous zero in t to exist. In other words, it gives us our desired implicit equation.

# Example Application (very quick overview of the paper by Ponce \* Kriegman)

Goal: PAK would like to recognize objects by matching image observables directly to 3D models (rather than build intermediate representations),

Motivated by the use of planes, quadrics, superquadrics, etc. in CAD systems, PMM assume that their models have rational parametric descriptions.

Thus their models consist of scurface patches lescribed as

$$\vec{x}(s,t) = \frac{\sum s^i t^j \vec{x}_{ij}}{\sum s^i t^j w_{ij}}$$

where the xij & wij are vectors & numbers derived from their model data,  $\vec{x}$  is of the form (x,y,z), ia, 3D. s,t are the patch parameters.

Next, PAK define

To - vector of observables

(e.g., intensity, intensity gradients, 3D data, ...

P - vector of viewing parameters

(e.g., pose of an object relative to
the camera, direction of the

1/9ht source, etc.)

The relationship between the observables of the viewing parameters  $\vec{P}$  depends on the surface  $\vec{X}$  (s,t) observed. For instance, intensity data depends both on the light source and the surface normal.

The trick is to have enough observables & viewing parameters so that one can construct three such relationships, described implicitly by three equations that relate 5,6,0,0 p.

$$f_{1}(s,t,\vec{\sigma},\vec{P}) = 0$$
  
 $f_{2}(s,t,\vec{\sigma},\vec{P}) = 0$   
 $f_{3}(s,t,\vec{\sigma},\vec{P}) = 0$ 

(There are 3 such functions for each surface patch in the model database.)

## For instance, here is an example from the PAK poper:

Observables: O = (x, y, I)

where I is the intensity observed in the image at image coords (x,y).

Viewing Parometers:

 $\vec{p} = (x_0, y_0, \vec{z}, \vec{w}, \vec{u})$ 

where (x,y,) - is the world origin in the comera's coord system (zo won't matter)

of the light source (situated at infinity)

- unit vector describing the world orientation of the image x-axis.

unit vector describing the world-

(Note: together is 4 is have 3 dofs, and tuns are often replaced by three angles (a, B, 7) test describe the orientation of the camera. For simplicity of presentation, we want worm about that here. The three equations relating  $\vec{O} \neq \vec{P}$  in terms of a given surface patch  $\vec{x}(s,t)$  are then

$$x = \vec{x}(s,t) \cdot \vec{\omega} + x_{o}$$

$$y = \vec{x}(s,t) \cdot \vec{u} + y_{o}$$

$$T = \vec{N} \cdot \vec{l}$$

where N is the surface normal at x(s,t), abtained in the usual way.

(This derivation assumes a mate surface and orthographic projection, for simplicity)

So, P\* N have three equations in O, P & (s,t).

Since the parameters so to aven't intrinsic thay are

a ruisance — after all, the same surface can

be parameterized in different ways.

PAK get rid of stt using Elimination Theory.

Again, this is much like case of Singular Simultaneous

Leros: (see next page)

We think of the equations

$$f_{1}(s,t,\vec{O},\vec{P}) = 0$$
  
 $f_{2}(s,t,\vec{O},\vec{P}) = 0$   
 $f_{3}(s,t,\vec{O},\vec{P}) = 0$ 

as 3 equations in 2 unknowns, ie, an overconstrained system.

Elimination theory allows us to construct a "resultant" equation in the coefficients of set in fi, fa, fs. O & P are some of these coefficients.

We thus got a single implicit equation  $F(\vec{O}, \vec{P}) = 0$ 

that relates O + P.

The data { Xi; } & { wij} appear inside this equation, but the parameters stat have been eliminated.

In the image intensity example, we then get a standard implicit equation  $F(x,y,I,x_0,y_0,l,w,ie)=0$  relating observed intensity to camera and light source, parameterized by the observed surface point, In other words F is an implicit equation for the intensity surface I(x,y), parameterized by all the other quantities.

Fm (0, P) =0,

one for each model. F; relates observables & viewing parameters whenever the camera is looking at an object corresponding to model M;

(ii)	Nort,	Pa K	collect	data.	Spe	cifical	11y +	hey !	look	at	· · · · · · · · · · · · · · · · · · ·
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(For instance, in the image intensity example, each triple (x,y,I) as (x,y) varies over the image is a data point.)

(ii) Finally, P& X run the following recognition algorithm.

1. For each model Mi, determine the viewing parameters P; that minimize the squared error

$$E_j = \frac{2}{5} F_j^{2} \left( O_i, \vec{P} \right) \qquad \begin{array}{c} \text{(so } \vec{P} \text{ is the} \\ \text{minimization} \\ \text{variable} \end{array} \right)$$

2. For each model M;, and each data point  $O_i$ , let di; be the distance between  $O_i$  and the surface in O-space defined implicitly by the equation  $F_i(O, P_i) = 0$ ,

Then compute the cumulative distance  $D_i = \underbrace{2}_{i=1} d_i$ 

3. Let jo be the index that minimizes Dj.

Model Mjo is reported back,

### Brief Intro to Resultants

· Good reference:

Sederberg, Anderson, & Goldman "Implicit Representation of Parametric Curves and Surfaces" in Computer Vision, Graphics, and Image Processing 28,72-84 (1984).

Motivation: Determine when a system of equations has a Simultaneous 200

· Use this, e.g., to implicitize parametric equations

Recall how this works for linear systems:

$$a_{11} x_1 + a_{12} x_2 + \cdots + a_{14} x_n = 0$$

$$a_{11} x_1 + a_{12} x_2 + \cdots + a_{14} x_n = 0$$

$$a_{11} x_1 + a_{12} x_2 + \cdots + a_{14} x_n = 0$$

This system has a <u>non-trivial</u> solution iff A is singular, iff det A = 0.

Furthermore, if we have a reduced system (n-1 equations, n unbowns)  $a_{11} \times_1 + \cdots + a_{1n} \times_n = 0$   $a_{m1,1} \times_1 + \cdots + a_{m1,n} \times_n = 0$ 

then the ratio  $\frac{\chi_i}{\chi_j}$  of any solution is given by

$$\frac{\chi_{i}}{\chi_{j}} = (-1)^{i+j} \frac{\det A_{i}}{\det A_{j}}$$
where  $A_{i} = \begin{pmatrix} a_{11} & \cdots & a_{1,i+1} & \cdots & a_{1n} \\ \vdots & & & \vdots \\ a_{n-1,1} & \cdots & a_{n-1,i+1} & \cdots & a_{n-1,i+1} & \cdots & a_{n-1,n} \end{pmatrix}$ 

ie, Ai is A with its it column removed

(Ai is (n=1)x(n-1)).

Example

So this system has a line of solutions of the form C(1,-2,1). [Set ==1 & note  $\frac{1}{2} = (-1)^{\frac{1}{2}} =$ 

Indeed, if we did some linear algebra by hand on the original two equations that's the 1854/4 we would get as well.

The big question: How does one generalize this approach to non-linear systems?

For polynomial systems, Resultants and Elimination Theory are the answer.

We will illustrate the approach with low degree polynomials. Sylvester's Method

Suppose we have two uni-varlete quadratics:

$$ax^{2} + bx + c = 0$$
,  $a \neq 0$   
 $a'x^{2} + b'x + c' = 0$ ,  $a' \neq 0$ 

Does this system have a simultaneous zero? well, here's a trick:

The system above has a simultaneous zero iff there is some x such that

$$\begin{bmatrix}
a & b & c & 0 \\
0 & a & b & c
\end{bmatrix}
\begin{bmatrix}
x^3 \\
x^2 \\
a' & b' & c'
\end{bmatrix}
= 0$$

$$\begin{bmatrix}
a' & b' & c' & 0 \\
0 & a' & b' & c'
\end{bmatrix}
\begin{bmatrix}
x \\
1
\end{bmatrix}$$

Write this as  $Q \stackrel{>}{\times}^3 = Q$  where  $\stackrel{>}{\times}^3 = \begin{vmatrix} \times 3 \\ \times 2 \end{vmatrix}$ .

By analogy to the linear case we claim that there is possible iff  $\det Q = 0$ .

[If the x3, x7, x, 11 really were independent variables, then thin would be clear, but they are not, so let us prove our claim,

Claim; Qx3 =0 iff detQ=0

(i) Necessity is clear. After all if  $Q\begin{bmatrix} x^3 \\ x^2 \end{bmatrix} = 0$ for some x, then let Q = 0 by liver of since  $\begin{bmatrix} x^3 \\ x^2 \end{bmatrix}$  is a non-trivial vector.

(ii) What about sufficiency? It detQ=0 clan we show that there is an x for which Qx3 =0?

Yes, here's how:

Since detQ=0 we know there is some vector  $\vec{V} = \begin{pmatrix} \vec{v}_1 \\ \vec{v}_2 \end{pmatrix}$  such that  $Q\vec{v} = 0$ .

Assuming that we starked with two different equations (which is easy enough to check) we know that rows 143 of Q are independent, as are nows 244.

In other words, the linear system  $av_1 + bv_2 + cv_3 = 0$  $a'v_1 + b'v_2 + c'v_3 = 0$ 

has a line of solutions in (V, V2, V3) space

In other words  $(v_1, v_2, v_3) = \alpha(r, s, t)$  where (r, s, t) is the direction vector of the line and k is a scalar,

Similarly, the system  $av_2 + bv_3 + cv_4 = 0$   $a'v_2 + b'v_3 + c'v_4 = 0$ has a line of solutions in  $(v_2, v_3, v_4)$  space.

Of course, since the coefficients are the same, this line is the same as in the previous case, just shifted by one coordinate.

In other words  $(v_7, v_3, v_4) = \beta(r, s, t)$  for some  $\beta$ .

So, if QV=0 then

 $(v_1, v_2, v_3) = \alpha(r, s, t)$  $(v_2, v_3, v_4) = \beta(r, s, t)$ 

Therefore  $V_1 = \frac{\alpha}{\beta} V_2 = \left(\frac{\alpha}{\beta}\right)^2 V_3 = \left(\frac{\alpha}{\beta}\right)^3 V_4$ 

Bouit be zero, for it it were tren we'd be saying Q[3]=0, which is impossible since a \$ 9' are non-zero.

Also, by count be zero, for them v=0, and we've assuming Qv=0 has a non-trivial solution.

so, let's take vy to be 1 (we can do tent since any multiple of v will also satisfy Qv=0).

Then our solution looks like  $(x^3, x^2, x, 1)$ , with  $x = \frac{\alpha}{\beta}$ .

In short, if deta=0, then there is a non-trivial (x3) solution to Qv=0, and furthermore v is of the form (x2)

Terminology

detQ = 0

is called the resultant of the original two equations

 $ax^{2}+6x+c=0$   $a'x^{2}+6'x+c'=0$ 

Def A: resultant of a set of polynomials is an expression involving the coefficients of the polynomials such that the vanishing of the resultant is a necessary and sufficient condition for the set of polynomials to have a common zero.

(It is a generalization of the idea of a determinant, which is an expression involving the coefficients of a set of linear equations.)

Sylvester's method outlined above for two quadratic polynomicle can be generalized.

There generally, Sylvester's method expresses the resultant of two contrariate polynomials of degree on and n as a determinant of an (M+n) × (M+n) mother.

$$\rho(x) = x^{2} - 6x + 2$$

$$\rho(x) = x^{2} + x + 5$$

$$det Q = det \begin{pmatrix} 1 & -6 & 2 & 0 \\ 0 & 1 & -6 & 2 \\ 1 & 1 & 5 & 0 \end{pmatrix} = 233, \text{ which is non-zero}$$

Therefore we know that  $p \neq q$  do not have a common zero. (Indeed, we could cheek this directly. p(x) has roots  $3\pm\sqrt{7}$  q(x) has roots  $-\frac{1}{2}\pm\frac{1}{2}\sqrt{-19}$ )

2) 
$$p(x) = x^2 - 4x - 5$$
  
 $q(x) = x^2 - 7x + 10$ 

(It's easy to solve thin by hand. X=5 is the common root,)

$$detQ = det \begin{pmatrix} 1 & -4 & -5 & 0 \\ 0 & 1 & -4 & -5 \\ 1 & -7 & 10 & 0 \end{pmatrix} = 0$$
, so  $p \neq q$  do have a common root.

To find it, consider the partial system 
$$\begin{pmatrix} 1 & -4 & -5 & 0 \\ 0 & 1 & -4 & -5 \end{pmatrix} \times \frac{1}{x^2} = c$$

Using our previous linear algebra result,
we know that

$$X = \frac{x^{3}}{x^{2}} = (-1)^{1+2} \frac{\det \begin{pmatrix} -4 & -5 & 0 \\ 1 & -4 & -5 \\ -7 & 10 & 0 \end{pmatrix}}{\det \begin{pmatrix} 1 & -5 & 0 \\ 0 & -4 & -5 \\ 1 & 10 & 0 \end{pmatrix}} = \frac{1}{1}$$

$$=(-1)\frac{-375}{75}=5$$

So far the coefficients have all been numbers, but suppose we had an unknown parameter. Then we could use the method of nesultants. to supply a constraint on the unknown parameter in order for a common zero to exist.

For example, if  $p(x) = x^2 - 4x - 5$  $x \quad g(x) = x^2 - 7x + C$ 

Then we can ask: For what value of C does their system have a secommon root. In effect, we are climinating & from the system and determining a constraint on c.

 $det Q = det \begin{pmatrix} 1 & -4 & -5 & 0 \\ 0 & 1 & -4 & -5 \\ 1 & -7 & c & 0 \\ 0 & 1 & -7 & c \end{pmatrix} = c^2 - 2c - 80$ 

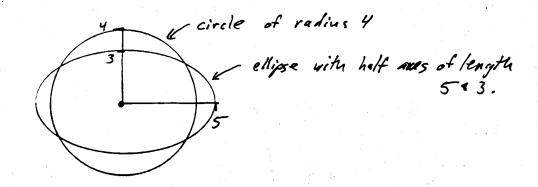
So pag have a common root iff let Q = 0iff  $c^2 - 2c - 80 = 0$ iff C = 10 or C = -8iff c2-2c-80=0 iff C=10 or C=-8

The case c = 10 we just saw on the previous page, yielding x = 5. The case c = -8 corresponds to the system  $x^2 - 4x - 5 = 0$   $4 x^2 - 7x - 8 = 0$ 

which yields the simultaneous voot x = -1.

4) So we see that what seemed like a simple test merely to decide whether an overconstrained system had a solution is actually quite powerful if some of the coefficients are left symbolic,

In this next example, we actually use the method to solve two simultaneous equations in two unknowns.



Suppose we want to intersect the circle and the ellipse

$$p(x,y) = x^2 + y^2 - 16 = 0$$
  
 $q(x,y) = 9x^2 + 25y^2 - 225 = 0$ 

In order to apply the method of resultants we will think of pag as two univariate polynomials in y , with coefficients that hoppen to include the symbol x.

We will construct the resultant a la Sylvester's method. Thin will produce a single polynomial in x, which is zero iff the original system has a common voot in y. We solve for x, tunlory.

Here goes. Think of pag as polys in y only:

$$p(y) = y^{2} + + (x^{2} - 16)$$
  
 $q(y) = 25y^{2} + + (9x^{2} - 225)$ 

quadratic linear constant terms terms terms

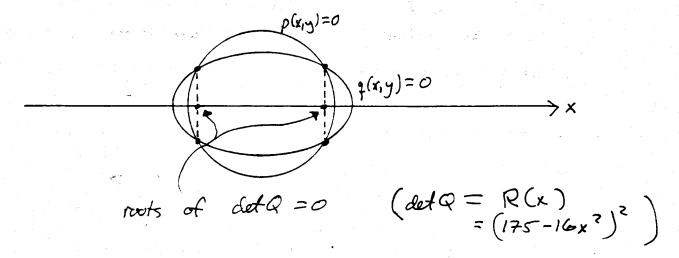
$$detQ = det \begin{pmatrix} 1 & 0 & x^2 - 16 & 0 \\ 0 & 1 & 0 & x^2 - 16 \\ 25 & 0 & 9x^2 - 225 & 0 \\ 0 & 25 & 0 & 9x^2 - 225 \end{pmatrix}$$

$$= \left(175 - 16x^2\right)^2$$

So det Q = 0 iff 
$$16x^2 = 175$$
  
iff  $x = \pm \frac{5}{4}\sqrt{7}$ 

What does thin mean? It means that p & g have a simultaneous zero iff  $x = \pm \sqrt{7}$ . In effect the resultant det Q has projected the

common roots of p(x,y) & q(x,y) onto the x-axis:



Now plug there values of x back into our system:

$$p(y) = y^{2} + (x^{2} - 16) = y^{2} - \frac{81}{16}$$

$$q(y) = 25y^{2} + (9x^{2} - 225) = 25(y^{2} - \frac{81}{16})$$

So, indeed p & 9 of 9 of y = ± 4 . intersection points

have common posts, namely

Thun we have the four  $\left(-\frac{5}{4}\sqrt{7}, -\frac{9}{4}\right)$   $\left(+\frac{5}{4}\sqrt{7}, -\frac{9}{4}\right)$   $\left(-\frac{5}{4}\sqrt{7}, \frac{9}{4}\right)$   $\left(-\frac{5}{4}\sqrt{7}, \frac{9}{4}\right)$ 

5) Finally, let's look at an implicitization example.

Again the basic technique is to construct resultants, just as before. This time we use it to eliminate a curve parameter.

Consider the parameterized curve

$$x(t) = 5t^2 + t + 3$$
  
 $y(t) = 5t^2 - t - 1$ 

What is the implicit equation F(x,y)=0that describes this curve?

The trick is to look at the system

$$5t^{2} + t + (3-x) = 0$$

$$5t^{2} - t + (-1-y) = 0$$

$$f_{\text{quadratic linear terms}}$$

$$f_{\text{terms}}$$

$$f_{\text{terms}}$$

as a equations in one unknown, namely t. X\*y simply play the role of symbolic coefficients, much like the symbol c in example (3) on p. 18.

We know that the system (x) has a solution in to precisely when (x,y) is a point on the curve. In other words  $\frac{\text{det} Q}{\text{function of } x \neq y}$  if (x,y) is a point on the curve.

$$det Q = det \begin{pmatrix} 5 & 1 & 3-x & 0 \\ 0 & 5 & 1 & 3-x \\ -5 & -1 & -1-y & 0 \\ 0 & 5 & -1 & -1-y \end{pmatrix}$$

$$= 5(84 + 38y - 42x + 5x^2 + 5y^2 - 10xy)$$

So detQ = 0 iff  $\frac{5x^2 + 5y^2 - 42x + 38y - 10xy + 84 = 0}{1}$  this is our desired implicit equation

The discriminant  $c_{z} \cdot c_{yz} - \left(\frac{c_{yy}}{z}\right)^2 = 5.5 - \left(\frac{10}{z}\right)^2 = 0$ 

so this is a parabola. — Indeed it turns out that all 2D quadratic parameterizations yield parabolas — can't get hyperbolas or ellipses unless one looks at rational

functions. For instance, the parameterization

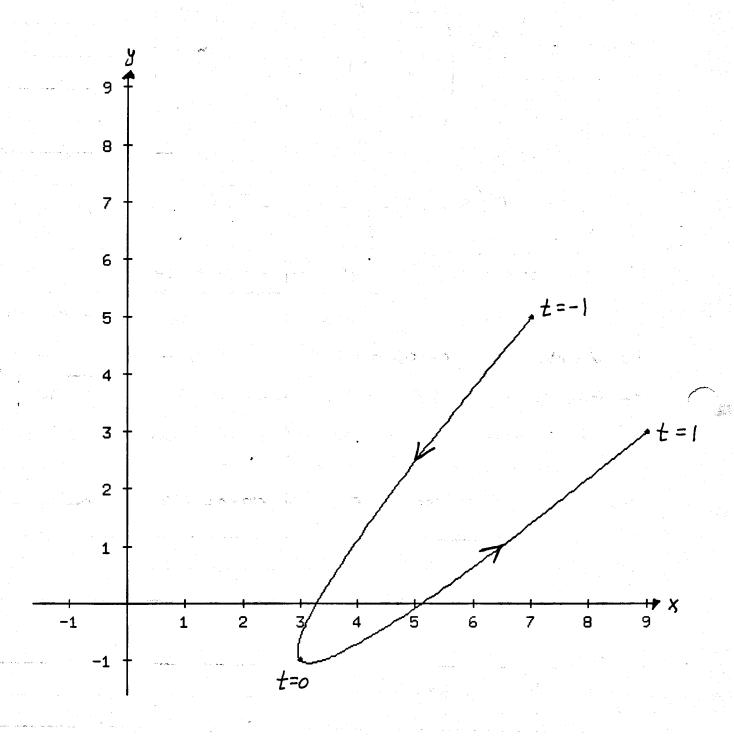
 $\chi = \frac{2kt}{1+t^2}$ 

 $y = \frac{R(1-t^2)}{1+t^2}$ 

yields the circle of radius R.

Btu, some simple additional computations make our techniques work with rational functions (Just multiply through by the denominators).

## Quick sketch of the parabola



Terminology & Facts
The zero set of a system of polynomials with rational coefficients is called an algebraic set.
Resultants give us a way of converting notional parameterized surfaces into implicit equations.
Interestingly, it is not always possible to go  the other way, that is, take an algebraic  Surface $\{(x,y,z) \mid F(x,y,z)=0\}$
and construct a parameterization of that  surface by rational functions.

## Example 5 (cout)

Suppose someone gives us a point on the curve.

Can we figure out the parameter t corresponding to that

point? Yes, using the reduced system,

The point (x,y)=(7,5) is on the curve from p,22. To find t, plug (x,y) into the partial system

$$\begin{pmatrix}
5 & 1 & 3-x & 0 \\
0 & 5 & 1 & 3-x
\end{pmatrix}
\begin{pmatrix}
\pm 2 & = 0 \\
\pm 7 & = 0
\end{pmatrix}$$

Then  $t = \frac{t^3}{t^2} = (-1)^{1+2} \frac{det}{5} = \frac{1}{5} \frac{3-x}{5} = \frac{3-x}{5}$ 

- det | 5 -4 0 |

To double-chech:

x(-1)=-5-1+3=7 4(-1) = 5+1-1=5

				/
0	m	m	eu	オS

These ideas generalize to higher dimensions, and higher order polynomials.

Sylvester's Method, while easy to describe, is not the most compact way to generate the resultant of two univariate polynomials.

A more compact representation is given by

Caley's method. We won't derive the general

form here, but see p. 76 of 5, A, & G. For two

quadratics ax 2+6x +c =0

a'x2+6'x+c'=0

the method says that one can replace only 4x4 matrix

a with a 2x2 matrix whose entries basically

precompute some of the subcleterminants. Thus

del a= det

ac'-a'c bc'-b'c

Also known as Bezout's method

The extension of Caybey's method to
three bivariate polys in 2D is known
as Dixon's Method. Dixon's method
underlies the surface implicitization
of Ponce & Kriegman's paper.

For completeness, let's revisit our first three examples, now using Cayley's method:

1) 
$$\rho(x) = x^2 - 6x + 2$$
  $Q = \begin{pmatrix} 7 & 3 \\ 3 - 22 \end{pmatrix}$ ,  $\det Q = -233$ ,  $q(x) = x^2 + x + 5$   $\int Q = \begin{pmatrix} 7 & 3 \\ 3 - 22 \end{pmatrix}$ ,  $\det Q = -233$ ,

so there are no common zeros.

2) 
$$p(x) = x^2 - 4x - 5$$
  $Q = \begin{pmatrix} -3 & 15 \\ 15 & -75 \end{pmatrix}$ ,  $\det Q = 0$ ,  $g(x) = x^2 - 7x + 10$   $\int Q = \begin{pmatrix} -3 & 15 \\ 15 & -75 \end{pmatrix}$ , det  $Q = 0$ , so there is a common zero.

Furthermore, its value is:

$$x = \frac{x}{1} = (-1)^{1+2} \frac{det(1s)}{det(-3)} = 5$$

3) 
$$p(x) = x^2 - 4x - 5$$
  $Q = \begin{pmatrix} -3 & c+5 \\ c+5 & -4c - 35 \end{pmatrix}$ 

$$detQ = -(c^2 - 2c - 80)$$

which is what we get before (i.e., pag have simultaneous zeros iff c=10 or c=8)