

# **Rodrigues' rotation formula**

In the theory of three-dimensional rotation, **Rodrigues' rotation formula**, named after Olinde Rodrigues, is an efficient algorithm for rotating a <u>vector</u> in space, given an <u>axis</u> and <u>angle of rotation</u>. By extension, this can be used to transform all three <u>basis vectors</u> to compute a <u>rotation matrix</u> in SO(3), the group of all rotation matrices, from an <u>axis</u>—angle representation. In terms of Lie theory, the Rodrigues' formula provides an algorithm to compute the <u>exponential map</u> from the <u>Lie algebra</u> so(3) to its <u>Lie group</u> SO(3).

This formula is variously credited to <u>Leonhard Euler</u>, <u>Olinde Rodrigues</u>, or a combination of the two. A detailed historical analysis in 1989 concluded that the formula should be attributed to Euler, and recommended calling it "Euler's finite rotation formula." This proposal has received notable support, <u>[2]</u> but some others have viewed the formula as just one of many variations of the <u>Euler–Rodrigues formula</u>, thereby crediting both. <u>[3]</u>

#### Statement

If  $\mathbf{v}$  is a vector in  $\mathbb{R}^3$  and  $\mathbf{k}$  is a <u>unit vector</u> describing an axis of rotation about which  $\mathbf{v}$  rotates by an angle  $\theta$  according to the right hand rule, the Rodrigues formula for the rotated vector  $\mathbf{v}_{rot}$  is

$$\mathbf{v}_{\mathrm{rot}} = \mathbf{v}\cos\theta + (\mathbf{k} \times \mathbf{v})\sin\theta + \mathbf{k}(\mathbf{k} \cdot \mathbf{v})(1 - \cos\theta)$$
.

The intuition of the above formula is that the first term scales the vector down, while the second skews it (via <u>vector addition</u>) toward the new rotational position. The third term re-adds the height (relative to  $\mathbf{k}$ ) that was lost by the first term.

An alternative statement is to write the axis vector as a <u>cross product</u>  $\mathbf{a} \times \mathbf{b}$  of any two nonzero vectors  $\mathbf{a}$  and  $\mathbf{b}$  which define the plane of rotation, and the sense of the angle  $\theta$  is measured away from  $\mathbf{a}$  and towards  $\mathbf{b}$ . Letting  $\alpha$  denote the angle between these vectors, the two angles  $\theta$  and  $\alpha$  are not necessarily equal, but they are measured in the same sense. Then the unit axis vector can be written

$$\mathbf{k} = \frac{\mathbf{a} \times \mathbf{b}}{|\mathbf{a} \times \mathbf{b}|} = \frac{\mathbf{a} \times \mathbf{b}}{|\mathbf{a}||\mathbf{b}|\sin \alpha}.$$

This form may be more useful when two vectors defining a plane are involved. An example in physics is the <u>Thomas precession</u> which includes the rotation given by Rodrigues' formula, in terms of two non-collinear boost velocities, and the axis of rotation is perpendicular to their plane.

# **Derivation**

Let  $\mathbf{k}$  be a <u>unit vector</u> defining a rotation axis, and let  $\mathbf{v}$  be any vector to rotate about  $\mathbf{k}$  by angle  $\theta$  (<u>right hand rule</u>, anticlockwise in the figure), producing the rotated vector  $\mathbf{v_{rot}}$ .

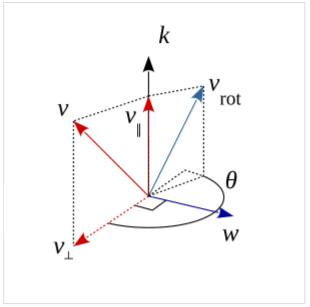
Using the  $\underline{\text{dot}}$  and  $\underline{\text{cross products}}$ , the vector  $\mathbf{v}$  can be decomposed into components parallel and perpendicular to the axis  $\mathbf{k}$ ,

$$\mathbf{v} = \mathbf{v}_{\parallel} + \mathbf{v}_{\perp}$$
 ,

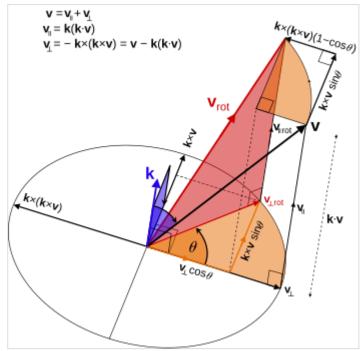
where the component parallel to  $\mathbf{k}$  is called the <u>vector</u> projection of  $\mathbf{v}$  on  $\mathbf{k}$ ,

$$\mathbf{v}_{\parallel} = (\mathbf{v} \cdot \mathbf{k}) \mathbf{k},$$

and the component perpendicular to  $\mathbf{k}$  is called the vector rejection of  $\mathbf{v}$  from  $\mathbf{k}$ :



Rodrigues' rotation formula rotates  $\mathbf{v}$  by an angle  $\theta$  around vector k by decomposing it into its components parallel and perpendicular to k, and rotating only the perpendicular component.



Vector geometry of Rodrigues' rotation formula, as well as the decomposition into parallel and perpendicular components.

$$\mathbf{v}_{\perp} = \mathbf{v} - \mathbf{v}_{\parallel} = \mathbf{v} - (\mathbf{k} \cdot \mathbf{v})\mathbf{k} = -\mathbf{k} \times (\mathbf{k} \times \mathbf{v}),$$

where the last equality follows from the <u>vector triple product</u> formula:  $\mathbf{a} \times (\mathbf{b} \times \mathbf{c}) = (\mathbf{a} \cdot \mathbf{c})\mathbf{b} - (\mathbf{a} \cdot \mathbf{b})\mathbf{c}$ . Finally, the vector  $\mathbf{k} \times \mathbf{v}_{\perp} = \mathbf{k} \times \mathbf{v}$  is a copy of  $\mathbf{v}_{\perp}$  rotated 90° around  $\mathbf{k}$ . Thus the three vectors

$$\mathbf{k}$$
,  $\mathbf{v}_{\perp}$ ,  $\mathbf{k} \times \mathbf{v}$ 

form a right-handed orthogonal basis of  $\mathbb{R}^3$ , with the last two vectors of equal length.

Under the rotation, the component  $\mathbf{v}_{\parallel}$  parallel to the axis will not change magnitude nor direction:

$$\mathbf{v}_{\parallel \mathbf{rot}} = \mathbf{v}_{\parallel}$$
 ;

while the perpendicular component will retain its magnitude but rotate its direction in the perpendicular plane spanned by  $\mathbf{v}_{\perp}$  and  $\mathbf{k} \times \mathbf{v}$ , according to

$$\mathbf{v}_{\perp \text{rot}} = \cos(\theta)\mathbf{v}_{\perp} + \sin(\theta)\mathbf{k} \times \mathbf{v}_{\perp} = \cos(\theta)\mathbf{v}_{\perp} + \sin(\theta)\mathbf{k} \times \mathbf{v},$$

in analogy with the planar polar coordinates  $(r, \theta)$  in the Cartesian basis  $\mathbf{e}_{x}$ ,  $\mathbf{e}_{y}$ :

$$\mathbf{r} = r\cos(\theta)\mathbf{e}_x + r\sin(\theta)\mathbf{e}_y$$
.

Now the full rotated vector is:

$$\mathbf{v}_{\mathrm{rot}} = \mathbf{v}_{\parallel \mathrm{rot}} + \mathbf{v}_{\perp \mathrm{rot}} = \mathbf{v}_{\parallel} + \cos(\theta) \, \mathbf{v}_{\perp} + \sin(\theta) \mathbf{k} \times \mathbf{v}.$$

Substituting  $\mathbf{v}_{\perp} = \mathbf{v} - \mathbf{v}_{\parallel}$  or  $\mathbf{v}_{\parallel} = \mathbf{v} - \mathbf{v}_{\perp}$  in the last expression gives respectively:

$$\mathbf{v}_{\text{rot}} = \cos(\theta) \, \mathbf{v} + (1 - \cos \theta) (\mathbf{k} \cdot \mathbf{v}) \mathbf{k} + \sin(\theta) \mathbf{k} \times \mathbf{v},$$

$$\mathbf{v}_{\mathrm{rot}} = \mathbf{v} + (1 - \cos \theta) \mathbf{k} \times (\mathbf{k} \times \mathbf{v}) + \sin(\theta) \mathbf{k} \times \mathbf{v}.$$

### **Matrix** notation

The linear transformation on  $v \in \mathbb{R}^3$  defined by the cross product  $v \mapsto k \times v$  is given in coordinates by representing v and  $k \times v$  as <u>column matrices</u>:

$$egin{bmatrix} \left[ egin{array}{c} (\mathbf{k} imes \mathbf{v})_x \ (\mathbf{k} imes \mathbf{v})_y \ (\mathbf{k} imes \mathbf{v})_z \end{array} 
ight] = egin{bmatrix} k_y v_z - k_z v_y \ k_z v_x - k_x v_z \ k_x v_y - k_y v_x \end{array} 
ight] = egin{bmatrix} 0 & -k_z & k_y \ k_z & 0 & -k_x \ -k_y & k_x & 0 \end{array} 
ight] egin{bmatrix} v_y \ v_z \end{array} 
ight].$$

That is, the <u>matrix of this linear transformation</u> (with respect to standard coordinates) is the <u>cross-product</u> matrix:

$$\mathbf{K} = egin{bmatrix} 0 & -k_z & k_y \ k_z & 0 & -k_x \ -k_y & k_x & 0 \end{bmatrix}.$$

That is to say,

$$\mathbf{k} \times \mathbf{v} = \mathbf{K}\mathbf{v}, \qquad \mathbf{k} \times (\mathbf{k} \times \mathbf{v}) = \mathbf{K}(\mathbf{K}\mathbf{v}) = \mathbf{K}^2\mathbf{v}.$$

The last formula in the previous section can therefore be written as:

$$\mathbf{v}_{\text{rot}} = \mathbf{v} + (\sin \theta) \mathbf{K} \mathbf{v} + (1 - \cos \theta) \mathbf{K}^2 \mathbf{v}$$
.

Collecting terms allows the compact expression

$$\mathbf{v}_{\mathrm{rot}} = \mathbf{R}\mathbf{v}$$

where

$$\mathbf{R} = \mathbf{I} + (\sin \theta) \mathbf{K} + (1 - \cos \theta) \mathbf{K}^2$$

is the <u>rotation matrix</u> through an angle  $\theta$  counterclockwise about the axis  $\mathbf{k}$ , and  $\mathbf{I}$  the 3 × 3 <u>identity matrix</u>. This matrix  $\mathbf{R}$  is an element of the rotation group SO(3) of  $\mathbb{R}^3$ , and  $\mathbf{K}$  is an element of the <u>Lie</u> algebra  $\mathfrak{so}(3)$  generating that Lie group (note that  $\mathbf{K}$  is skew-symmetric, which characterizes  $\mathfrak{so}(3)$ ).

In terms of the matrix exponential,

$$\mathbf{R} = \exp(\theta \mathbf{K})$$
.

To see that the last identity holds, one notes that

$$\mathbf{R}(\theta)\mathbf{R}(\phi) = \mathbf{R}(\theta + \phi), \quad \mathbf{R}(0) = \mathbf{I},$$

characteristic of a <u>one-parameter subgroup</u>, i.e. exponential, and that the formulas match for infinitesimal  $\theta$ .

For an alternative derivation based on this exponential relationship, see exponential map from  $\mathfrak{so}(3)$  to SO(3). For the inverse mapping, see log map from SO(3) to  $\mathfrak{so}(3)$ .

The above result can be written in index notation as follows. The elements of the matrix for an active rotation by an angle  $\theta$  about an axis  $\mathbf{n}$  are given by

$$R_{ij} = \cos\theta \, \delta_{ij} + (1 - \cos\theta) n_i n_j - \sin\theta \, \epsilon_{ijk} n_k.$$

Here, i, j, and k label the Cartesian components (x, y, z) or (1, 2, 3),  $\delta_{ij}$  and  $\epsilon_{ijk}$  are the Kronecker and Levi-Civita symbols, and there is an implicit sum on repeated indices.

The <u>Hodge dual</u> of the rotation  $\mathbf{R}$  is just  $\mathbf{R}^* = -\sin(\theta)\mathbf{k}$  which enables the extraction of both the axis of rotation and the sine of the angle of the rotation from the rotation matrix itself, with the usual ambiguity,

$$\sin(\theta) = \sigma |\mathbf{R}^*|$$
 $\mathbf{k} = -\frac{\sigma \mathbf{R}^*}{|\mathbf{R}^*|}$ 

where  $\sigma=\pm 1$ . The above simple expression results from the fact that the Hodge duals of  ${\bf I}$  and  ${\bf K}^2$  are zero, and  ${\bf K}^*=-{\bf k}$ .

#### See also

- Axis angle
- Rotation (mathematics)
- SO(3) and SO(4)
- Euler–Rodrigues formula

#### References

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# **External links**

- Johan E. Mebius, Derivation of the Euler-Rodrigues formula for three-dimensional rotations from the general formula for four-dimensional rotations. (https://arxiv.org/abs/math/070175 9), arXiv General Mathematics 2007.
- For another descriptive example see: http://chrishecker.com/Rigid\_Body\_Dynamics#Physics\_Articles, Chris Hecker, physics

# section, part 4. "The Third Dimension" – on page 3, section ``Axis and Angle, http://chrishecker.com/images/b/bb/Gdmphys4.pdf

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