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Worked with Chad Pickering, Sierra Tevlin, Rico Lin, Janice Luong, and Richard

Resources: Chris Murphey( took this class last year), stackoverflow, rblogger, piazza,

office hours

Section: Friday 8 am, Olson

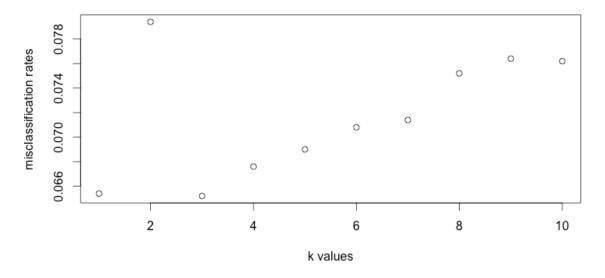
11/3/15

# Assignment 3

# Part 1: k nearest neighbors:

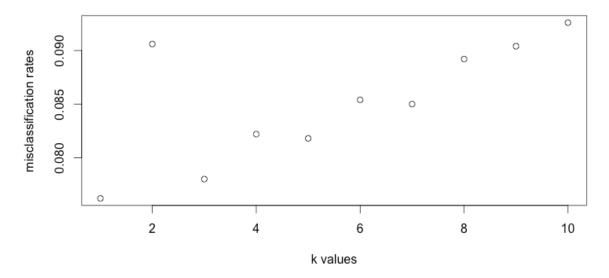
- 1. The metric is euclidean, k is 3 is the best model because it has the lowest misclassification rate of 6.52%. I got 7.62% for the manhattan metric and 6.78% for the canberra metric.
- 2. For euclidean, we see in the plot that the lowest k value is 3.

### misclassification rate v k values



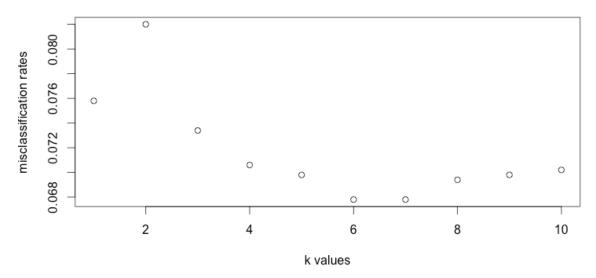
k = 1 is lowest for manhattan.

#### misclassification rate v k values



k = 6 is lowest for canberra.

## misclassification rate v k values



3: The diagonal values classify correctly because the diagonal values have the same value for the row and column. Each cell shows if the row value was mistaken for the column value.

# > confusion

```
4 1 11 2 0 432 0 2 2 0 26
5 6 3 0 20 1 404 6 0 3 5
6 6 3 1 0 2 2 480 0 2 0
7 0 14 2 1 2 1 0 524 0 10
8 4 10 4 16 2 17 1 4 357 11
9 4 3 3 6 16 0 0 9 1 437
```

- 4: Zeros are misclassified the least amount of times so they're the best. Eights are misclassified the most amount of times so they're the worst.
- 5. 4s and 9s are confused 26 times and 5s and 3s are confused 20 times.
- 6. Here are some of the 5s that were misclassified as 3s



It is apparent how a machine could confuse the patterns in the images above for a 3 because there is a similar bottom curve and the top portion varies by handwriting and could be mistaken. A human, however, can easily see that these are 5s.

## Part 2:

Using distance to average,

My euclidean distance misclassification rate is 18.48% My manhattan distance misclassification rate is 33.86% And my canberra distance misclassification rate is 45.02%

Knn nearest neighbors method is best because it has much smaller error rates than the distance to average method. Euclidean distance with k nearest neighbors is overall the best method because it has the smallest error rate overall.

# **Appendix:**

```
#Worked with Chad Pickering, Sierra Tevlin, Rico Lin, Janice Luong, and Richard
Safran
setwd("/Users/hannah/desktop/stat141")
digitsdata=read.csv("digitsTrain.csv", header= TRUE)
labels= digitsdata[.1]
just_pixels= digitsdata[, -1]
dim(digitsdata)
#5000 785
#checking the code from the assignment
getImage =
 function(vals)
 matrix(as.integer(vals), 28, 28, byrow = TRUE)
draw = function(vals, colors = rgb((255:0)/255, (255:0)/255, (255:0)/255), ...)
if(!is.matrix(vals))
 vals = getImage(vals)
 m = t(vals) # transpose the image
 m = m[,nrow(m):1] # turn up-side-down
image(m, col = colors, xaxt = "n", yaxt = "n")
}
draw(digitsdata[1, -1]) #draws a single row w/out first col
sapply(digitsdata[, -1], max) #gives max value for each panel
par(mfrow = c(10, 10), mar = rep(0,4))
invisible(sapply(1:100, function(i) draw(digitsdata[i, -1]))) # draw first 10x10
by_label = split(digitsdata, labels) #split by label
par(mfrow = c(1,1))
getmeans = function(i) {
 draw(sapply(by_label[[i]][, -1], mean))
sapply(1:10, getmeans)
stdevs = function(i) {
```

```
draw(sapply(by_label[[i]][, -1], sd))
sapply(1:10, stdevs)
##### k nearest neighbors cross validation
#nonparametric test testing whether the rows are random
install.packages("randtests")
library(randtests)
runs.test(digitsdata[, 1])
# pvalue is 0.9812
# decide not to randomize based on nonparametric test
#the test rejects the null since the pvalue is very high so
#we conclude that they are not random
#so we shouldn't randomize.
#using different types of distance function and making each a matrix
euclidean_dist = as.matrix(dist(just_pixels, method = "euclidean"))
manhattan_dist = as.matrix(dist(just_pixels, method = "manhattan"))
canberra_dist = as.matrix(dist(just_pixels, method = "canberra"))
#create sets of training data w each of 3 distance metrics
training data euclidean = function(upperend)
lower = upper - 999
 range = lower:upper
test_train = euclidean_dist[range, -range]
return(test train)
}
training_data_manhattan = function(upperend)
lower = upper - 999
range = lower:upper
test train = manhattan_dist[range, -range]
 return(test_train)
training_data_canberra = function(upperend)
lower = upper - 999
 range = lower:upper
 test_train = canberra_dist[range, -range]
 return(test train)
}
```

```
#finding k nearest neighbors
kNN = function(row, k, distance, data)
nearest = as.numeric(names(sort(distance[row, ])[1:k]))
 return(as.integer(names(which.max(table(data$label[nearest])))))
#create list of prediction values
predic vals = function(k, metric) {
list = c() #create empty list
 for (w in 1:5) # 5 folds
  predictions = sapply(1:1000, function(y) kNN(y, k, metric[[w]], digitsdata))
 list = c(list, predictions)
return(list)
#find misclassification rates
misclassification rates = function(k, metric) {
 predictions = lapply(1:k, function(x) predic_vals(x, metric))
 all_comparisons = data.frame(digitsdata[, 1])
 all comparisons$predictions = predictions[[k]]
 logical_table = table(all_comparisons[, 1] == all_comparisons[, 2])
 misclassification = logical_table[[1]]/(logical_table[[1]] + logical_table[[2]])
 misclassification
}
test_euclidean = lapply(c(1000, 2000, 3000, 4000, 5000), training_data_euclidean)
test_manhattan = lapply(c(1000, 2000, 3000, 4000, 5000),
training_data_manhattan)
test canberra = lapply(c(1000, 2000, 3000, 4000, 5000), training data canberra)
par(mfrow = c(1,1))
par(mar = c(5.1, 4.1, 4.1, 2.1))
#questions 1 and 2
#final function to get the best kNN
best_kNN = function(k, metric)
 misclassifications = lapply(1:k, function(k) misclassification rates(k, metric))
 unlist_misclass = unlist(misclassifications)
 order_misclass = order(unlist_misclass)
 best k = as.numeric(head(order misclass, 1))
```

```
k \text{ values} = c(1:k)
 misclassed_k = data.frame(k_values, unlist_misclass)
 plot(misclassed_k[, 1], misclassed_k[, 2], xlab = "k values", ylab =
"misclassification rates", main = "misclassification rate v k values", )
 #this plot shows ks and misclassifications to see lowest k
 return_list = list(misclassifications[[best_k]][1], best_k)
 return(misclassifications[[best_k]][1])
}
#use function best kNN to find the best k for each distance function type
#this checks through up to chose 10, but from the plots we can see the lowest k
best k euclidean = best kNN(10, test euclidean)
#0.0652
best k manhattan = best kNN(10, test manhattan)
#0.0762
best k canberra = best kNN(10, test canberra)
#0.0678
############ question 3-5: confusion matrix
predictions = predic_vals(k = 3, test_euclidean)
all_comparisons = data.frame(digitsdata[, 1])
all_comparisons$predictions = predictions
confusion matrix = table(all comparisons[, 1], all comparisons[, 2])
confusion matrix
#6: Show some of the misclassified digits, 5 and 3 were mistaken.
#they were confused 20 times (as seen in confusion matrix)
fivesandthrees = all comparisons[(all comparisons[, 1] == 5 & all comparisons[, 2]
== 31.1
par(mfrow = c(2, 2), mar = c(5.1, 4.1, 4.1, 2.1))
drawingmistakes = function(row) {
 draw(digitsdata[row, -1])
#chose 4 times that 5 and 3 were confused
sapply(c(803, 1119, 2058, 4220), drawingmistakes)
#### step 2 ##### distance to average
#comparing rows 11-5010 to colums 1-10, distance from
#image to average image for each label
label_0 = subset(digitsdata, digitsdata$label == 0)
avg_for_label_0 = as.matrix(sapply(label_0[-1], mean))
label_1 = subset(digitsdata, digitsdata$label == 1)
```

```
avg for label 1 = as.matrix(sapply(label 1[-1], mean))
label 2 = subset(digitsdata, digitsdata$label == 2)
avg for label 2 = as.matrix(sapply(label 2[-1], mean))
label 3 = subset(digitsdata, digitsdata$label == 3)
avg_for_label_3 = as.matrix(sapply(label_3[-1], mean))
label_4 = subset(digitsdata, digitsdata$label == 4)
avg_for_label_4 = as.matrix(sapply(label_4[-1], mean))
label 5 = subset(digitsdata, digitsdata$label == 5)
avg for label 5 = as.matrix(sapply(label 5[-1], mean))
label 6 = subset(digitsdata, digitsdata$label == 6)
avg_for_label_6 = as.matrix(sapply(label_6[-1], mean))
label 7 = subset(digitsdata, digitsdata$label == 7)
avg_for_label_7 = as.matrix(sapply(label_7[-1], mean))
label 8 = subset(digitsdata, digitsdata$label == 8)
avg_for_label_8 = as.matrix(sapply(label_8[-1], mean))
label 9 = subset(digitsdata, digitsdata$label == 9)
avg_for_label_9 = as.matrix(sapply(label_9[-1], mean))
avgs_each_label = cbind(avg_for_label_0, avg_for_label_1, avg_for_label_2,
                avg_for_label_3, avg_for_label_4, avg_for_label_5,
                avg for label 6, avg for label 7, avg for label 8,
                avg for label 9)
avgs_each_label = as.data.frame(avgs_each_label)
label_names = c("0", "1", "2", "3", "4", "5", "6", "7", "8", "9")
colnames(avgs each label) = label names
#Arrange the averages and the pixels into a single dataset
transpose_pixels = t(just_pixels)
avgs_pixels = cbind(avgs_each_label, transpose_pixels)
t avgs pixels = t(avgs pixels)
dim(t_avgs_pixels)
#gives us 5010 784, which is good because we
#ultimately wanted 784 columns
#were taking the transpose in order to be able to use cbind
#apply euclidean distance function for the 5010 by 784 matrix.
euclidean dist avgs = dist(t avgs pixels, method = "euclidean")
euclidean dist avgs = as.matrix(euclidean dist avgs)
```

```
traintest_avgs = euclidean_dist_avgs[11:5010, 1:10]
kNN mean = function(row, distance, data)
x = as.numeric(names(sort(distance[row,])[1]))
return(x)
}
predictions_avgs = sapply(1:5000, function(y) kNN_mean(y, traintest_avgs,
digitsdata))
euc avgs comp = data.frame(digitsdata[,1])
euc avgs comp$predictions = predictions avgs
test_euc_avgs = table(euc_avgs_comp[,1] == euc_avgs_comp[,2])
false_euc_avgs = as.integer(test_euc_avgs[1])
true_euc_avgs = as.integer(test_euc_avgs[2])
euc misclass rate = false euc avgs/(false euc avgs+true euc avgs)
euc misclass rate
#0.1848
#manhattan
manhattan_dist_avgs = dist(t_avgs_pixels, method = "manhattan")
manhattan_dist_avgs = as.matrix(manhattan_dist_avgs)
traintest_avgs = manhattan_dist_avgs[11:5010, 1:10]
predictions_avgs = sapply(1:5000, function(y) kNN_mean(y, traintest_avgs,
digitsdata))
man_avgs_comp = data.frame(digitsdata[,1])
man avgs comp$predictions = predictions avgs
test_man_avgs = table(man_avgs_comp[,1] == man_avgs_comp[,2])
false_man_avgs = as.integer(test_man_avgs[1])
true_man_avgs = as.integer(test_man_avgs[2])
man misclass rate = false man avgs/(false man avgs+true man avgs)
man_misclass_rate
#0.3386
#canberra
canberra dist avgs = dist(t avgs pixels, method = "canberra")
canberra_dist_avgs = as.matrix(canberra_dist_avgs)
traintest_avgs = canberra_dist_avgs[11:5010, 1:10]
predictions avgs = sapply(1:5000, function(y) kNN mean(y, traintest avgs,
digitsdata))
can_avgs_comp = data.frame(digitsdata[,1])
can avgs comp$predictions = predictions avgs
test_canberra_avgs = table(can_avgs_comp[,1] == can_avgs_comp[,2])
```

```
false_canberra_avgs = as.integer(test_canberra_avgs[1])
true_canberra_avgs = as.integer(test_canberra_avgs[2])
can_misclass_rate = false_canberra_avgs/(false_canberra_avgs+true_canberra_avgs)
can_misclass_rate
#0.4502
```