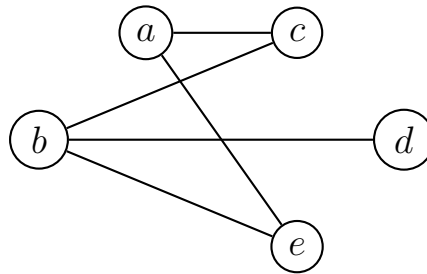


# CPSC 320 2024W1: A Reduction Tutorial Problem

## A SAT Reduction

A graph  $G = (V, E)$  is **bipartite** if we can partition the vertices  $V$  into two disjoint sets  $U$  and  $W$  such that no two vertices in  $U$  are connected, and no two vertices in  $W$  are connected. For instance, the graph below:



is bipartite if we define  $U = \{a, b\}$  and  $W = \{c, d, e\}$ . In the **Bipartite Graph Problem** (BGP), we want to determine if a given input graph is bipartite. In this problem, you will reduce BGP to Boolean Satisfiability (SAT), defined below.

**SAT:** The input is a collection of  $m$  clauses over  $n$  boolean variables  $X_1, X_2, \dots, X_n$ . Each clause is a disjunction of some of the variables or their complements.

The problem consists in answering the question “Is there a way to assign truth values to each variable that makes **every** clause of the instance TRUE?”

For example, the SAT instance given by:

$$(X_1 \vee \overline{X}_2) \wedge (X_2) \wedge (\overline{X}_1 \vee X_3 \vee X_4)$$

is satisfiable by setting all variables to True. (This is not the only truth assignment that works for this instance.)

1. Given a BGP instance, we need to figure out how to express it as a SAT instance. The first step is to figure out what the **variables** in our SAT instance should represent. Is there any aspect of the BGP problem that we can encode as a choice between two options (since this behaves like a variable in SAT)?

Give your variables a name, and describe what each variable represents. Hint: my reduction introduces one variable for each vertex in  $V$ .

Our obvious choice between two options in this problem is that, for each vertex  $v_i$ , it can belong to either the set  $U$  or the set  $W$ . So we'll let the variable  $X_i$  represent whether a vertex belongs to  $U$ . We'll say that  $X_i$  is True if vertex  $v_i$  is in set  $U$  and False if  $v_i$  is in  $W$ .

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2. Consider a pair of vertices  $v_i, v_j$ . What, if anything, can we say about their corresponding variables in the SAT instances if  $v_i$  and  $v_j$  share an edge? What about if they don't share an edge?

If  $v_i$  and  $v_j$  share an edge, they can't both be in the same set  $U/W$ . That is, we can't have  $X_i$  and  $X_j$  both be True, nor can they both be False. If they don't share an edge, there's nothing useful we can say (in terms of our variables in the SAT reduction):  $v_i$  and  $v_j$  might both be in  $U$ , or both be in  $W$ , or one in  $U$  and one in  $W$  (that is, any combination of truth assignments to  $X_i$  and  $X_j$  might be possible, depending on the structure of the rest of the graph).

3. Combine your answers to questions 1 and 2 to give a complete reduction from BGP to SAT.

We define  $n$  variables  $X_i$ , where  $X_i$  represents whether vertex  $v_i$  is in the set  $U$ . The constraint we need to encode in our solution is that if two nodes are connected by an edge, they must be in different subsets  $U$  or  $W$ . Thus, for each edge  $(v_i, v_j)$ , we need at least one of the vertices  $v_i$  and  $v_j$  to be in  $U$ , and at least one of them to be in  $W$ . In terms of the reduction, the clauses to add are:

For each edge  $(v_i, v_j) \in E$ , add the clauses

$$(X_i \vee X_j) \wedge (\bar{X}_i \vee \bar{X}_j).$$

The first clause says that one of the vertices  $i$  and  $j$  must be in  $U$ , and the second says that one of them must be in  $W$ .

The graph  $G$  is bipartite if and only if the reduced SAT instance is satisfiable.

4. In the next two questions, we'll prove the correctness of your reduction from BGP to SAT – that is, we'll show that the reduced SAT instance is satisfiable if and only if the input to BGP is a bipartite graph.

For the first direction: Prove that, if the input graph to BGP is bipartite, your reduced SAT instance is satisfiable. Hint: if  $G$  is bipartite, you know there's a way to assign vertices to be in  $U$  or  $W$ , such that there are no edges between any vertices in  $U$  or between any vertices in  $W$ . Try to use this assignment of vertices to construct a truth assignment to the variables in SAT.

Suppose that  $G$  is bipartite. This means we can assign the  $n$  vertices  $v_i$  to be in either  $U$  or  $W$ , with no vertices in  $U$  being adjacent to each other and no vertices in  $W$  being adjacent to each other.

We construct a truth assignment to our SAT variables as follows. For each vertex  $v_i$ , we set  $X_i$  to be True if  $v_i$  belongs to the set  $U$ , and False if  $v_i$  belong to the set  $V$ .

We now prove that this is a satisfying truth assignment to our reduced SAT instance. Because we have a valid partitioning of our vertices into the sets  $U$  and  $W$ , we know that any two adjacent vertices (i.e., vertices that are connected by an edge) must belong to different sets. Therefore, for each edge  $(v_i, v_j)$ , exactly one of  $X_i, X_j$  is True and exactly one of them is False. This means that the clauses  $(X_i \vee X_j) \wedge (\bar{X}_i \vee \bar{X}_j)$  will both evaluate to True. Since this accounts for all clauses in our reduced SAT instance, this is a satisfying truth assignment – and, therefore, the reduced SAT instance is satisfiable.

5. Now, for the opposite direction: prove that, if the reduced SAT instance is satisfiable, the input graph to BGP is bipartite. Hint: if the reduced SAT instance is satisfiable, you know there is a truth assignment such that every clause is True. Try to use this truth assignment to partition the vertices in  $V$  into the sets  $U$  and  $W$ .

Suppose that our reduced SAT instance has a satisfying truth assignment – that is, an assignment of True/False values to our variables  $X_i$  such that all our clauses evaluate to True. We construct an assignment of vertices as follows: for each  $i$ , if  $X_i$  is True, we assign vertex  $i$  to be in  $U$ ; if  $X_i$  is False, we say vertex  $i$  is in  $W$ .

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We now prove that this is a valid bipartite graph partitioning – that is, there are no edges between any two vertices in  $U$ , or between any two vertices in  $W$ . Our clauses are only defined for vertex pairs  $v_i, v_j$  that share an edge. The corresponding clauses  $(X_i \vee X_j) \wedge (\bar{X}_i \vee \bar{X}_j)$  will only both evaluate to True if one of  $X_i, X_j$  is True and one of  $X_i, X_j$  is False. This means that any two adjacent vertices will be in different sets. Therefore, no two vertices in the same set will share an edge; this means that we have shown  $G$  is bipartite, because we can partition the vertices into two sets that don't contain any edges within the set.