

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Yijie Huang
Account	U8835298
Account Type	Individual
Customer Type	Individual
Account Capabilities	Margin
Trading Permissions	SSF, Stocks, Options, Warrants, Bonds, Cash Forex, Futures, Futures Options
Base Currency	HKD

Net Asset Value

	March 22, 2018		March 23, 2018			Change	Change in NAV		Total
		Total	Long	Short	Total			Total	
Cash	-189,695.33		0.00	-189,995.42	-189,995.42	-300.09	Starting Value	363,192.60	
Stock	553,278.88		536,526.79	0.00	536,526.79	-16,752.09	Mark-to-Market	-17,052.18	
Interest Accruals	-390.95		0.00	-409.79	-409.79	-18.84	Change in Interest Accruals	-18.09	
Total	363,192.60		536,526.79	-190,405.22	346,121.58	-17,071.02	Other FX Translations	-0.75	
Time Weighted Rate of Return						-4.70%	Ending Value	346,121.58	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Stocks										
000792	1,000	1,000	12.9900	11.9500	-1,292.62	0.00	0.00	0.00	-1,292.62	
1378	5,500	5,500	9.0600	8.7700	-1,595.00	0.00	0.00	0.00	-1,595.00	
1988	10,000	10,000	7.9500	7.7700	-1,800.00	0.00	0.00	0.00	-1,800.00	
2600	30,000	30,000	4.3500	4.2400	-3,300.00	0.00	0.00	0.00	-3,300.00	
2899	10,000	10,000	3.7100	3.5300	-1,800.00	0.00	0.00	0.00	-1,800.00	
3993	6,000	6,000	6.4600	6.1000	-2,160.00	0.00	0.00	0.00	-2,160.00	
AJM	5,000	5,000	0.4550	0.4400	-452.99	0.00	0.00	0.00	-452.99	
ARL	2,000	2,000	1.6750	1.6000	-905.98	0.00	0.00	0.00	-905.98	
GLENI	1,000	1,000	3.5920	3.5850	-77.62	0.00	0.00	0.00	-77.62	
KAT	3,500	3,500	1.9000	1.8500	-1,064.77	0.00	0.00	0.00	-1,064.77	
KDR	1,000	1,000	2.3300	2.2000	-785.19	0.00	0.00	0.00	-785.19	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
NLC	1,000	1,000	1.8300	1.8200	-60.84	0.00	0.00	0.00	-60.84	
PLS	2,000	2,000	0.9050	0.8750	-362.39	0.00	0.00	0.00	-362.39	
SYA	40,000	40,000	0.0630	0.0610	-483.19	0.00	0.00	0.00	-483.19	
SYNA	100	100	46.0900	44.8700	-957.20	0.00	0.00	0.00	-957.20	
Total					-17,097.80	0.00	0.00	0.00	-17,097.80	
Forex										
AUD	-11,304.87	-11,304.87	6.0373	6.0399	2.55	0.00	0.00	0.00	2.55	
CAD	-3,441.55	-3,441.55	6.0652	6.0844	96.74	0.00	0.00	0.00	96.74	
CNH	-22,442.22	-22,442.22	1.2380	1.2429	-46.32	0.00	0.00	0.00	-46.32	
GBP	-3,927.14	-3,927.14	11.0620	11.0890	-9.05	0.00	0.00	0.00	-9.05	
HKD	13,167.90	13,167.90	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
USD	-5,417.08	-5,417.08	7.8480	7.8459	1.70	0.00	0.00	0.00	1.70	
Total					45.62	0.00	0.00	0.00	45.62	
Total (All Assets)					-17,052.18	0.00	0.00	0.00	-17,052.18	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Stocks													
000792	0.00	0.00	0.00	0.00	0.00	0.00	439.67	0.00	0.00	0.00	439.67	439.67	
1378	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,559.76	0.00	0.00	-3,559.76	-3,559.76	
1988	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-5,051.31	0.00	0.00	-5,051.31	-5,051.31	
2600	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-35,008.61	0.00	0.00	-35,008.61	-35,008.61	
2899	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2,371.10	0.00	0.00	-2,371.10	-2,371.10	
3993	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-6.89	0.00	0.00	-6.89	-6.89	
AJM	0.00	0.00	0.00	0.00	0.00	0.00	1,171.74	0.00	0.00	0.00	1,171.74	1,171.74	
ARL	0.00	0.00	0.00	0.00	0.00	0.00	567.75	0.00	0.00	0.00	567.75	567.75	
GLENI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,724.52	0.00	0.00	-3,724.52	-3,724.52	
KAT	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-6,658.16	0.00	0.00	-6,658.16	-6,658.16	
KDR	0.00	0.00	0.00	0.00	0.00	0.00	1,171.74	0.00	0.00	0.00	1,171.74	1,171.74	
NLC	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-4,684.99	0.00	0.00	-4,684.99	-4,684.99	
PLS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2,512.60	0.00	0.00	-2,512.60	-2,512.60	
SYA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-6,233.18	0.00	0.00	-6,233.18	-6,233.18	
SYNA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-4,028.87	0.00	0.00	-4,028.87	-4,028.87	
Total	0.00	0.00	0.00	0.00	0.00	0.00	3,350.90	-73,839.97	0.00	0.00	-70,489.07	-70,489.07	
Forex													
AUD	0.00	0.00	0.00	0.00	0.00	0.00	2,440.82	0.00	0.00	0.00	2,440.82	2,440.82	
CAD	0.00	0.00	0.00	0.00	0.00	0.00	256.98	-0.26	0.00	0.00	256.72	256.72	
CNH	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-145.05	0.00	0.00	-145.05	-145.05	
GBP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,154.86	0.00	0.00	-1,154.86	-1,154.86	
USD	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-127.20	0.00	0.00	-127.20	-127.20	
Total	0.00	0.00	0.00	0.00	0.00	0.00	2,697.80	-1,427.37	0.00	0.00	1,270.43	1,270.43	
Total (All Assets)	0.00	0.00	0.00	0.00	0.00	0.00	6,048.69	-75,267.33	0.00	0.00	-69,218.64	-69,218.64	

Month & Year to Date Performance Summary

Symbol	Description	Mark-to-Market		Realized S/T		Realized L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
Stocks							
000060	SHENZHEN ZHONGJIN LINGNAN-A	0.00	-410.03	0.00	-401.13	0.00	0.00
000657	CHINA TUNGSTEN & HIGHTECH-A	0.00	-589.88	0.00	-589.14	0.00	0.00
000792	QINGHAI SALT LAKE INDUSTRY-A	-1,243.04	-1,607.05	0.00	-2,031.76	0.00	0.00
002378	CHONGYI ZHANGYUAN TUNGSTEN-A	0.00	-1,768.80	0.00	-1,775.92	0.00	0.00
002460	JIANGXI GANFENG LITHIUM CO-A	0.00	-4,904.99	0.00	-4,951.98	0.00	0.00
002466	TIANQI LITHIUM CORP-A	0.00	-1,293.32	0.00	-1,293.60	0.00	0.00
1378	CHINA HONGQIAO GROUP LTD	-2,035.00	2,695.00	0.00	0.00	0.00	0.00
1988	CHINA MINSHENG BANKING-H	-4,100.00	-5,051.31	0.00	0.00	0.00	0.00
2600	ALUMINUM CORP OF CHINA LTD-H	-15,300.00	-20,698.67	0.00	-12,171.60	0.00	0.00
2899	ZIJIN MINING GROUP CO LTD-H	-700.00	-2,371.10	0.00	0.00	0.00	0.00
3993	CHINA MOLYBDENUM CO LTD-H	-1,800.00	16,108.97	0.00	32,332.72	0.00	0.00
600549	XIAMEN TUNGSTEN CO LTD-A	0.00	-1,721.12	0.00	-1,721.91	0.00	0.00
603799	ZHEJIANG HUAYOU COBALT CO -A	0.00	-101.39	0.00	-97.10	0.00	0.00
AJM	ALTURA MINING LTD	2,147.67	1,147.15	0.00	0.00	0.00	0.00
ARL	ARDEA RESOURCES LTD	2,796.17	541.99	0.00	0.00	0.00	0.00
GLENI	GLENORE PLC	-3,037.81	-3,661.23	0.00	0.00	0.00	0.00
KAT	KATANGA MINING LIMITED	-4,280.56	-9,185.20	0.00	10,906.43	0.00	0.00
KDR	KIDMAN RESOURCES LTD	1,274.74	1,204.71	0.00	0.00	0.00	0.00
LAC	LITHIUM AMERICAS CORP	0.00	968.21	0.00	1,499.16	0.00	0.00
NLC	NEO LITHIUM CORP	789.55	-4,864.03	0.00	0.00	0.00	0.00
PLS	PILBARA MINERALS LTD	-525.02	-2,586.45	0.00	0.00	0.00	0.00
SYA	SAYONA MINING LTD	-449.71	-6,421.35	0.00	0.00	0.00	0.00
SYNA	SYNAPTICS INC	-1,257.39	-8,883.70	0.00	-4,859.58	0.00	0.00
Total		-27,720.40	-53,453.59	0.00	14,844.59	0.00	0.00
Total (All Assets)		-27,720.40	-53,453.59	0.00	14,844.59	0.00	0.00

Cash Report

	Total	Securities	Futures	Month to Date	Year to Date
Base Currency Summary					
Starting Cash	-189,695.33	-189,695.33	0.00		
Commissions	0.00	0.00	0.00	0.00	-2,224.51
Dividends	0.00	0.00	0.00	0.00	2,584.53
Broker Interest Paid and Received	0.00	0.00	0.00	-501.75	-1,419.58
Net Trades (Sales)	0.00	0.00	0.00	0.00	745,634.37
Net Trades (Purchase)	0.00	0.00	0.00	0.00	-805,278.44
Payment In Lieu of Dividends	0.00	0.00	0.00	0.00	0.47
Transaction Fees	0.00	0.00	0.00	0.00	-891.34
Cash FX Translation Gain/Loss	-300.09	-300.09	0.00		
Ending Cash	-189,995.42	-189,995.42	0.00		
Ending Settled Cash	-189,995.42	-189,995.42	0.00		
AUD					
Starting Cash	-11,304.87	-11,304.87	0.00		

Cash Report

	Total	Securities	Futures	Month to Date	Year to Date
Commissions	0.00	0.00	0.00	0.00	-36.00
Broker Interest Paid and Received	0.00	0.00	0.00	-27.45	-34.92
Net Trades (Purchase)	0.00	0.00	0.00	0.00	-12,720.00
Ending Cash	-11,304.87	-11,304.87	0.00		
Ending Settled Cash	-11,304.87	-11,304.87	0.00		
CAD					
Starting Cash	-3,441.55	-3,441.55	0.00		
Commissions	0.00	0.00	0.00	0.00	-124.30
Broker Interest Paid and Received	0.00	0.00	0.00	-6.03	-16.20
Net Trades (Sales)	0.00	0.00	0.00	0.00	16,855.00
Net Trades (Purchase)	0.00	0.00	0.00	0.00	-15,015.00
Ending Cash	-3,441.55	-3,441.55	0.00		
Ending Settled Cash	-3,441.55	-3,441.55	0.00		
CNH					
Starting Cash	-22,442.22	-22,442.22	0.00		
Commissions	0.00	0.00	0.00	0.00	-405.67
Broker Interest Paid and Received	0.00	0.00	0.00	-122.64	-447.56
Net Trades (Sales)	0.00	0.00	0.00	0.00	183,596.00
Net Trades (Purchase)	0.00	0.00	0.00	0.00	-205,078.00
Transaction Fees	0.00	0.00	0.00	0.00	-183.60
Ending Cash	-22,442.22	-22,442.22	0.00		
Ending Settled Cash	-22,442.22	-22,442.22	0.00		
GBP					
Starting Cash	-3,927.14	-3,927.14	0.00		
Commissions	0.00	0.00	0.00	0.00	-18.00
Broker Interest Paid and Received	0.00	0.00	0.00	-4.64	-6.26
Net Trades (Purchase)	0.00	0.00	0.00	0.00	-3,902.88
Ending Cash	-3,927.14	-3,927.14	0.00		
Ending Settled Cash	-3,927.14	-3,927.14	0.00		
HKD					
Starting Cash	13,167.90	13,167.90	0.00		
Commissions	0.00	0.00	0.00	0.00	-516.79
Dividends	0.00	0.00	0.00	0.00	2,584.53
Broker Interest Paid and Received	0.00	0.00	0.00	0.00	-303.63
Net Trades (Sales)	0.00	0.00	0.00	0.00	380,420.00
Net Trades (Purchase)	0.00	0.00	0.00	0.00	-260,770.00
Payment In Lieu of Dividends	0.00	0.00	0.00	0.00	0.47
Transaction Fees	0.00	0.00	0.00	0.00	-665.31
Ending Cash	13,167.90	13,167.90	0.00		
Ending Settled Cash	13,167.90	13,167.90	0.00		
USD					
Starting Cash	-5,417.08	-5,417.08	0.00		
Commissions	0.00	0.00	0.00	0.00	-2.11
Broker Interest Paid and Received	0.00	0.00	0.00	-12.31	-23.10
Net Trades (Sales)	0.00	0.00	0.00	0.00	4,380.00
Net Trades (Purchase)	0.00	0.00	0.00	0.00	-10,000.00

Cash Report

	Total	Securities	Futures	Month to Date	Year to Date
Ending Cash	-5,417.08	-5,417.08	0.00		
Ending Settled Cash	-5,417.08	-5,417.08	0.00		

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
AUD								
AJM	5,000	1	0.4012	2,006.00	0.4400	2,200.00	194.00	
ARL	2,000	1	1.5530	3,106.00	1.6000	3,200.00	94.00	
KDR	1,000	1	2.0060	2,006.00	2.2000	2,200.00	194.00	
PLS	2,000	1	1.0830	2,166.00	0.8750	1,750.00	-416.00	
SYA	40,000	1	0.0868	3,472.00	0.0610	2,440.00	-1,032.00	
Total				12,756.00		11,790.00	-966.00	
Total in HKD				77,044.96		71,210.42	-5,834.54	
CAD								
KAT	3,500	1	2.1626571	7,569.30	1.8500	6,475.00	-1,094.30	
NLC	1,000	1	2.5900	2,590.00	1.8200	1,820.00	-770.00	
Total				10,159.30		8,295.00	-1,864.30	
Total in HKD				61,813.24		50,470.10	-11,343.15	
CNH								
000792	1,000	1	11.5962587	11,596.26	11.9500	11,950.00	353.74	
Total				11,596.26		11,950.00	353.74	
Total in HKD				14,412.99		14,852.65	439.67	
GBP								
GLENI	1,000	1	3.920875	3,920.88	3.5850	3,585.00	-335.88	
Total				3,920.88		3,585.00	-335.88	
Total in HKD				43,478.58		39,754.06	-3,724.52	
HKD								
1378	5,500	1	9.4172283	51,794.76	8.7700	48,235.00	-3,559.76	
1988	10,000	1	8.275131	82,751.31	7.7700	77,700.00	-5,051.31	
2600	30,000	1	5.4069537	162,208.61	4.2400	127,200.00	-35,008.61	
2899	10,000	1	3.7671095	37,671.10	3.5300	35,300.00	-2,371.10	
3993	6,000	1	6.1011475	36,606.89	6.1000	36,600.00	-6.89	
Total				371,032.66		325,035.00	-45,997.66	
USD								
SYNA	100	1	50.0050	5,000.50	44.8700	4,487.00	-513.50	
Total				5,000.50		4,487.00	-513.50	
Total in HKD				39,233.42		35,204.55	-4,028.87	
Total Stocks in HKD				607,015.86		536,526.79	-70,489.07	

Forex Balances

Description	Quantity	Cost Price	Cost Basis in HKD	Close Price	Value in HKD	Unrealized P/L in HKD	Code
Forex							
HKD							
AUD	-11,304.87	6.2558086	70,721.10	6.0399	-68,280.28	2,440.82	
CAD	-3,441.55	6.1589943	21,196.49	6.0844	-20,939.77	256.72	
CNH	-22,442.22	1.2364369	27,748.38	1.2429	-27,893.43	-145.05	
GBP	-3,927.13	10.7949281	42,393.14	11.0890	-43,548.00	-1,154.86	
HKD	13,167.90	0.0000	0.00	1.0000	13,167.90	0.00	
USD	-5,417.08	7.8224185	42,374.64	7.8459	-42,501.84	-127.20	
Total			204,433.75		-189,995.42	1,270.43	

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-390.95
Interest Accrued	-18.09
Accrual Reversal	0.00
FX Translation	-0.75
Ending Accrual Balance	-409.79

AUD

Starting Accrual Balance	-18.77
Interest Accrued	-2.01
Accrual Reversal	0.00
Ending Accrual Balance	-20.78
Ending Accrual Balance in HKD	-125.51

CAD

Starting Accrual Balance	-4.58
Interest Accrued	0.00
Accrual Reversal	0.00
Ending Accrual Balance	-4.58
Ending Accrual Balance in HKD	-27.87

CNH

Starting Accrual Balance	-103.93
Interest Accrued	-4.79
Accrual Reversal	0.00
Ending Accrual Balance	-108.72
Ending Accrual Balance in HKD	-135.13

GBP

Starting Accrual Balance	-4.40
Interest Accrued	0.00
Accrual Reversal	0.00
Ending Accrual Balance	-4.40
Ending Accrual Balance in HKD	-48.79

USD

Starting Accrual Balance	-9.24
Interest Accrued	0.00
Accrual Reversal	0.00
Ending Accrual Balance	-9.24
Ending Accrual Balance in HKD	-72.50

Financial Instrument Information

Symbol	Description	Conid	Security ID	Multiplier	Code
Stocks					
000792	QINGHAI SALT LAKE INDUSTRY-A	257310779	CNE000000SW2	1	
1378	CHINA HONGQIAO GROUP LTD	86093873	KYG211501005	1	
1988	CHINA MINSHENG BANKING-H	70578600	CNE100000HF9	1	
2600	ALUMINUM CORP OF CHINA LTD-H	46654289	CNE1000001T8	1	
2899	ZIJIN MINING GROUP CO LTD-H	46654269	CNE100000502	1	

Financial Instrument Information

3993	CHINA MOLYBDENUM CO LTD-H	46639428	CNE100000114	1
AJM	ALTURA MINING LTD	70771469	AU000000AJM9	1
ARL	ARDEA RESOURCES LTD	265176811	AU000000ARL4	1
GLNI	GLENCORE PLC	88286963	JE00B4T3BW64	1
KAT	KATANGA MINING LIMITED	95115705	CA4858471077	1
KDR	KIDMAN RESOURCES LTD	82367544	AU000000KDR0	1
NLC	NEO LITHIUM CORP	241527717	CA64047A1084	1
PLS	PILBARA MINERALS LTD	71294096	AU000000PLS0	1
SYA	SAYONA MINING LTD	130912827	AU000000SYA5	1
SYNA	SYNAPTICS INC	14241431		1

Base Currency Exchange Rate

Currency	Rate	Currency (Cont.)	Rate (Cont.)
AUD	6.039900	JPY	0.074904
BRL	2.369000	KRW	0.007239
CAD	6.084400	MXN	0.423550
CHF	8.284300	NOK	1.011800
CNH	1.242900	NZD	5.676800
CNY	1.242200	PLN	2.292600
CZK	0.381200	RUB	0.137080
DKK	1.301300	SEK	0.951520
EUR	9.692900	SGD	5.964200
GBP	11.089000	THB	0.251580
HUF	0.030980	TRY	1.969200
ILS	2.251400	USD	7.845900
INR	0.120720	ZAR	0.668450

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
AEx	Automatic exercise for dividend-related recommendation.	LT	Long Term P/L
Adj	Adjustment	Lo	Direct Loan
Al	Allocation	M	Entered manually by IB
Aw	Away Trade	MEx	Manual exercise for dividend-related recommendation.
B	Automatic Buy-in	ML	Maximize Losses tax basis election
Bo	Direct Borrow	MLG	Maximize Long Term Gain tax basis election
C	Closing Trade	MLL	Maximize Long Term Loss tax basis election
CD	Cash Delivery	MSG	Maximize Short Term Gain tax basis election
CP	Complex Position	MSL	Maximize Short Term Loss tax basis election
Ca	Cancelled	O	Opening Trade
Co	Corrected Trade	P	Partial Execution
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	PI	Price Improvement
ETF	ETF Creation/Redemption	Po	Interest or Dividend Accrual Posting

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Ep	Resulted from an Expired Position	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ex	Exercise	R	Dividend Reinvestment
G	Trade in Guaranteed Account Segment	RED	Redemption to Investor
HC	Highest Cost tax basis election	RF	The total commission for this transaction includes a referral fee that was paid pursuant to an agreement between Interactive Brokers and a third party who referred your account to IB.
HFI	Investment Transferred to Hedge Fund	Re	Interest or Dividend Accrual Reversal
HFR	Redemption from Hedge Fund	Ri	Reimbursement
I	Internal Transfer	SI	This order was solicited by Interactive Brokers
IA	This transaction was executed against an IB affiliate	SL	Specific Lot tax basis election
INV	Investment Transfer from Investor	SO	This order was marked as solicited by your Introducing Broker
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
L	Ordered by IB (Margin Violation)	ST	Short Term P/L
LD	Adjusted by Loss Disallowed from Wash Sale	T	Transfer

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- Additional information about your retail Forex transactions is available in Account Management, including information required under NFA Rule 2-36(o) about retail Forex trades in the same currency pair as any retail leveraged Forex transaction you executed in your IB account. We can provide this information for trades executed within the 15 minutes immediately before and after your trade. This information is available for a period of 6 months after your trade.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances.

Legal Notes

Notes/Legal Notes

- 1. Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)
2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; Timber Hill Europe AG; ABN Amro Clearing Singapore Pte. Ltd.; ABN Amro Clearing Sydney Pty Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank International plc; Euroclear Bank S.A./N.V.; Shinhan Securities Co., Ltd.; Skandinaviska Enskilda Banken AB.
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. To the extent permissible under exchange rules, IB may direct customer option orders for execution against its market making affiliate Timber Hill LLC, which acts as principal and may earn a profit or incur a loss. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

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