



中山大學  
SUN YAT-SEN UNIVERSITY

# Module I. Fundamentals of Information Security

## Chapter 2

# Cryptographic Techniques

**Web Security: Theory & Applications**

School of Data & Computer Science, Sun Yat-sen University

# Outline

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- **2.1 Cryptology Introduction**
  - Introduction
  - History
  - Concepts & Items
- **2.2 Symmetric Key Cryptographic Algorithms**
  - Introduction
  - Types & Modes
  - Data Encryption Standard (DES)
  - Advanced Encryption Standard (AES)



# Outline

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  - The *Euclidean* Algorithm
  - *Bézout's* Theorem
  - Linear Congruence
  - The Extended *Euclidean* Algorithm
  - The Chinese Remainder Theorem
  - *Euler's*  $\varphi$  function
  - *Euler's* Theorem
  - *Fermat's* Little Theorem



# Outline

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- **2.4 Asymmetric Key Cryptographic Algorithms**
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- **2.6 Typical Applications**
  - MD5 and Passwords
  - AES and WiFi Protected Access
  - RSA and e-Business

## 2.3 Mathematical Foundations

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### 2.3.1 Prime factorizations of integers

- *Fundamental Theorem of Arithmetic* (算术基本定理)
  - Every positive integer greater than 1 can be written uniquely as a prime or as the product of two or more primes when the prime factors are written in order of non-decreasing size. (*Euclid*)
- *Greatest Common Divisor* (最大公因数)
  - Let  $a$  and  $b$  be integers, not both zero. The largest integer  $d$  such that  $d|a$  and  $d|b$  is called the *greatest common divisor* (GCD) of  $a$  and  $b$ , often denoted as  $\gcd(a, b)$

## 2.3 Mathematical Foundations

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### 2.3.2 The *Euclidean Algorithm* (欧几里德辗转相除法)

— 《几何原本.第VII卷》(公元前约300年)

- *Lemma 0.*

- Let  $a = bq + r$ , where  $a$ ,  $b$ ,  $q$ , and  $r$  are integers. Then

$$\gcd(a, b) = \gcd(b, r)$$

- *Proof.*

- ✧ Suppose  $d$  divides both  $a$  and  $b$ . Recall that if  $d|a$  and  $d|b$ , then  $d|a-bk$  for any integer  $k$ . It follows that  $d$  also divides  $a-bq = r$ .

- Hence, any common division of  $a$  and  $b$  is also a common division of  $b$  and  $r$ .

- ✧ Suppose that  $d'$  divides both  $b$  and  $r$ , then  $d'$  also divides  $bq+r = a$ . Hence, any common divisor of  $b$  and  $r$  is also common divisor of  $a$  and  $b$ .

- ✧ Consequently,  $\gcd(a, b) = \gcd(b, r)$ .

- ✧ Note:  $a = bq + r$ ,  $0 \leq r < b$ , aka  $r = a \bmod b$  if the quotient  $q$  ignored.  $r$  is the (*least positive*) remainder of the division.

## 2.3 Mathematical Foundations

### 2.3.2 The Euclidean Algorithm

— *Remark.*

- ✧ Suppose  $a$  and  $b$  are positive integers,  $a \geq b$ . Let  $r_0 = a$  and  $r_1 = b$ , we successively apply the division algorithm and the gcd is the last nonzero remainder

$$r_0 = r_1 q_1 + r_2, \quad 0 < r_2 < r_1$$

$$r_1 = r_2 q_2 + r_3, \quad 0 < r_3 < r_2$$

...

$$r_{n-2} = r_{n-1} q_{n-1} + r_n, \quad 0 < r_n < r_{n-1}$$

$$r_{n-1} = r_n q_n$$

$$\gcd(a, b) = \gcd(r_0, r_1)$$

$$= \gcd(r_1, r_2)$$

$$= \dots$$

$$= \gcd(r_{n-2}, r_{n-1})$$

$$= \gcd(r_{n-1}, r_n)$$

$$= \gcd(r_n, 0) = r_n.$$

## 2.3 Mathematical Foundations

### 2.3.2 The Euclidean Algorithm

— *Remark.*

- ✧ Suppose  $a$  and  $b$  are positive integers,  $a \geq b$ . Let  $r_0 = a$  and  $r_1 = b$ , we successively apply the division algorithm and the gcd is the last nonzero remainder

$$r_0 = r_1 q_1 + r_2, \quad 0 < r_2 < r_1$$

$$r_1 = r_2 q_2 + r_3, \quad 0 < r_3 < r_2$$

...

$$r_{n-2} = r_{n-1} q_{n-1} + r_n, \quad 0 < r_n < r_{n-1}$$

$$r_{n-1} = r_n q_n + 0.$$

$$\gcd(a, b) = \gcd(r_0, r_1)$$

$$= \gcd(r_1, r_2)$$

= ...

$$= \gcd(r_{n-2}, r_{n-1})$$

$$= \gcd(r_{n-1}, r_n)$$

$$= \gcd(r_n, 0) = r_n$$

The last nonzero remainder



## 2.3 Mathematical Foundations

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### 2.3.2 The *Euclidean Algorithm*

— *Example.*

✧ Find the GCD of 662 and 414

✧ Compute as

$$662 = 414 \cdot 1 + 248$$

$$414 = 248 \cdot 1 + 166$$

$$248 = 166 \cdot 1 + 82$$

$$166 = 82 \cdot 2 + 2$$

$$82 = 2 \cdot 41$$



## 2.3 Mathematical Foundations

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### 2.3.2 The *Euclidean Algorithm*

— *Example.*

✧ Find the GCD of 414 and 662

✧ Compute as

$$662 = 414 \cdot 1 + 248$$

$$414 = 248 \cdot 1 + 166$$

$$248 = 166 \cdot 1 + 82$$

$$166 = 82 \cdot 2 + 2$$

$$82 = 2 \cdot 41$$

✧ So,  $\gcd(414, 662) = 2$

## 2.3 Mathematical Foundations

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### 2.3.2 The *Euclidean Algorithm*

- *The Euclidean Algorithm*

```
procedure gcd(a, b: positive integers)
begin
    x := a;
    y := b;
    while (y ≠ 0)
    begin
        r := x mod y;
        x := y;
        y := r;
    end; {gcd(a, b) = x}
end;
```

✧ The time complexity (for **mod** operation) is  $O(\log b)$  (where  $a \geq b$ )

## 2.3 Mathematical Foundations

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### 2.3.2 The *Euclidean* Algorithm

- *The Euclidean Algorithm*
  - Another form of *Euclidean* Algorithm

```
function Euclid( $a, b$ : positive integers): positive integer
begin
    if  $b=0$  then return ( $a$ )
    else return (Euclid( $b, a \bmod b$ );
end;
```

✧ Think about it.

## 2.3 Mathematical Foundations

### 2.3.3 Bézout's Theorem (1779, 贝祖定理)

- *Theorem 1.*
  - If  $a$  and  $b$  are *positive* integers, then there exists integers  $s$  and  $t$  such that  $\gcd(a, b) = sa + tb$ .
  - *Remark.*
    - ✧  $a$  and  $b$  are positive.  $s$  and  $t$  can be any integers.
    - ✧ The equation  $\gcd(a, b) = sa + tb$  is called *Bézout's identity* (贝祖恒等式). The integers  $s$  and  $t$  are called *Bézout coefficients* of  $a$  and  $b$  (贝祖系数).
    - ✧ Proof omitted.
  - *Example.*
    - ✧  $\gcd(252, 198) = 18$ .
    - ✧ By working backward through the divisions of *The Euclidean Algorithm*, we get  $s = 4$ ,  $t = -5$  such that
$$18 = 4 \cdot 252 + (-5) \cdot 198$$
    - ✧ Ref. to Section 2.3.5: *The Extended Euclidean Algorithm*

## 2.3 Mathematical Foundations

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### 2.3.3 Bézout's Theorem (1779, 贝祖定理)

- *Lemma 1.*
  - If  $a$ ,  $b$  and  $c$  are positive integers such that  $\gcd(a, b) = 1$  and  $a \mid bc$  then  $a \mid c$ .
  - *Proof.*
    - ✧ By *Theorem.1*, there exists integers  $s$  and  $t$  such that  $sa + tb = 1$ , or  $sa + tbc = c$ .
    - ✧ Since  $a \mid sa$  and  $a \mid tbc$ .
    - ✧ Therefore  $a \mid c$ .

## 2.3 Mathematical Foundations

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### 2.3.3 Bézout's Theorem (1779, 贝祖定理)

- *Lemma 1.*
  - If  $a$ ,  $b$  and  $c$  are positive integers such that  $\gcd(a, b) = 1$  and  $a \mid bc$  then  $a \mid c$ .
- *Lemma 2.*
  - If  $p$  is a prime and  $p \mid a_1 a_2 \dots a_n$ , where each  $a_i$  is an integer, then  $p \mid a_i$  for some  $i$ .
  - *Proof.*
    - ✧ If  $\gcd(p, a_1) = 1$ , by *Lemma.1* it should be  $p \mid a_2 \dots a_n$ .  
and if  $\gcd(p, a_2) = 1$ , by *Lemma.1* it should be  $p \mid a_3 \dots a_n$ .
    - ... ..
    - until an  $i$  ( $i \leq n$ ) found such that  $\gcd(p, a_i) \neq 1$ .
    - ✧ In this case  $p \mid a_i$  for  $p$  is a prime.
    - ✧ The existence of such an  $i$  is assured or  $\gcd(p, a_1 a_2 \dots a_n) = 1$ , a contradiction.

## 2.3 Mathematical Foundations

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### 2.3.3 Bézout's Theorem (1779, 贝祖定理)

- *Lemma 1.*
  - If  $a$ ,  $b$  and  $c$  are positive integers such that  $\gcd(a, b) = 1$  and  $a \mid bc$  then  $a \mid c$ .
- *Lemma 2.*
  - If  $p$  is a prime and  $p \mid a_1 a_2 \dots a_n$ , where each  $a_i$  is an integer, then  $p \mid a_i$  for some  $i$ .
- *Lemma 3.*
  - The uniqueness of the prime factorization of a positive integer.
  - *Proof.*



## 2.3 Mathematical Foundations

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### 2.3.3 Bézout's Theorem (1779, 贝祖定理)

- *Lemma 1.*
  - If  $a$ ,  $b$  and  $c$  are positive integers such that  $\gcd(a, b) = 1$  and  $a \mid bc$  then  $a \mid c$ .
- *Theorem 2.*
  - Let  $m$  be a positive integer, and  $a$ ,  $b$  and  $c$  be integers,  $c \neq 0$ . If  $ac \equiv bc \pmod{m}$  and  $\gcd(c, m) = 1$ , then  $a \equiv b \pmod{m}$ .
  - *Proof.*
    - ✧  $ac \equiv bc \pmod{m}$  means  $m \mid (ac - bc)$ , or  $m \mid (a - b)c$ .
    - ✧ Now  $\gcd(c, m) = 1$ . By *Lemma.1*, we have  $m \mid (a - b)$ , or  $a \equiv b \pmod{m}$ .

## 2.3 Mathematical Foundations

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### 2.3.4 Linear Congruence (线性同余式/线性同余方程/模线性方程)

- *Definition 1.*

- A congruence of the form

$$ax \equiv b \pmod{m}.$$

where  $m$  is a positive integer,  $a$  and  $b$  are integers, and  $x$  is a variable, is called a linear congruence.

- *Remark.*

✧ How to find all integers  $x$  that satisfy the congruence

$$ax \equiv b \pmod{m}?$$

- *Definition 2.* (模  $m$  逆元)

- If there is an integer  $y$  such that the linear congruence

$$ya \equiv 1 \pmod{m}.$$

holds, then  $y$  is said to be an *inverse* of  $a$  modulo  $m$ .

✧  $ya \equiv 1 \pmod{m}$  means  $(ya - 1) = km$  for some integer  $k$ .

## 2.3 Mathematical Foundations

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### 2.3.4 Linear Congruence (线性同余式/线性同余方程/模线性方程)

- *Theorem 3.*
  - If  $a$  and  $m$  are relatively prime integers and  $m > 1$ , then an inverse of  $a$  modulo  $m$  exists. Furthermore, this inverse is unique modulo  $m$ . (模  $m$  逆元存在定理)
  - *Remark.*
    - ✧ If the condition  $\gcd(a, m) = 1, m > 1$  holds
    - ✧ Then there is a unique positive integer, less than  $m$ , denoted by  $a^{-1}$ , that is an inverse of  $a$  modulo  $m$ , and any other inverse of  $a$  modulo  $m$  is congruence to  $a^{-1}$  modulo  $m$ .

## 2.3 Mathematical Foundations

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### 2.3.4 Linear Congruence (线性同余式/线性同余方程/模线性方程)

— *Example.*

✧ Find an inverse of 3 modulo 7.

— *Solution.*

✧  $\gcd(3, 7) = 1$ . Then by *Theorem.3*, the inverse of 3 modulo 7 exists.

✧ We use the *Euclidean Algorithm* (or *Extended\_Euclidean Algorithm*) to find  $\gcd(3, 7)$ . It ends at  $7 = 2 \cdot 3 + 1$ .

✧ As the example following *Theorem.1*, by *working backward* through the divisions of the *Euclidean Algorithm*, we get  $-2 \cdot 3 + 1 \cdot 7 = 1$ .

○ Now  $\gcd(a, m) = 1$ .

○ By *Theorem.1*, there exists integer  $s$  and  $t$  such that

$$sa + tm = 1, \text{ or } sa - 1 = -tm.$$

○ By *Definition.2*,  $s$  is an inverse of  $a$  modulo  $m$ .

✧ So  $-2$  is an inverse of 3 modulo 7.

✧ Every integers congruent to  $-2$  modulo 7 is an inverse of 3 modulo 7, such as 5,  $-9$ , 12, and so on.

## 2.3 Mathematical Foundations

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### 2.3.4 Linear Congruence (线性同余式/线性同余方程/模线性方程)

— *Example.*

✧ Find the solutions of  $3x \equiv 4 \pmod{7}$ .

— *Solution.*

✧ We already know -2 is an inverse of 3 modulo 7. Multiplying both sides of the congruence by -2 show that

$$-2 \cdot 3x \equiv -2 \cdot 4 \pmod{7}, \text{ or}$$

$$-2 \cdot 3x \equiv -8 \pmod{7}$$

✧ We know  $-2 \cdot 3 \equiv 1 \pmod{7}$

✧ So  $-2 \cdot 3x \pmod{7} \equiv [-2 \cdot 3 \pmod{7}] \cdot [x \pmod{7}] \pmod{7}$ , or

$$-2 \cdot 3x \pmod{7} \equiv x \pmod{7}$$

✧ Therefore

$$x \equiv -8 \pmod{7} \equiv 6 \pmod{7}$$

✧ The solution are all the  $x$  such that  $x \equiv 6 \pmod{7}$ . That is, 6, 13, 20, . . ., and -1, -8, -15, . . .

## 2.3 Mathematical Foundations

### 2.3.4 Linear Congruence (线性同余式/线性同余方程/模线性方程)

— *Remark.*

- ✧ How to **working backward** through the divisions of the *Euclidean Algorithm*? By the Algorithm we have the sequence of

$$a_0 = b_0 q_1 + b_1$$

$$b_0 = b_1 q_2 + b_2$$

$$b_1 = b_2 q_3 + b_3$$

...

$$b_{k-1} = b_k q_{k+1} + b_{k+1}$$

$$b_k = b_{k+1} q_{k+2} + \gcd(a_0, b_0)$$

$\gcd(a_0, b_0)$  is the last non-zero remainder

- ✧ Then  $\gcd(a_0, b_0) = f(b_k, b_{k+1}, q_{k+2}) = f^{(1)}(b_{k-1}, b_k, q_{k+1}, q_{k+2})$   
 $= f^{(2)}(b_{k-2}, b_{k-1}, q_k, q_{k+1}, q_{k+2}) = \dots$   
 $= f^{(k+1)}(a_0, b_0, q_1, q_2, q_3, \dots, q_{k+2})$

## 2.3 Mathematical Foundations

### 2.3.4 Linear Congruence (线性同余式/线性同余方程/模线性方程)

— *Example.*

✧ To find  $\gcd(287, 91)$ , by *Euclidean Algorithm* we have the sequence of

$$287 = 91 \cdot 3 + 14$$

$$91 = 14 \cdot 6 + 7$$

$$14 = 7 \cdot 2 + 0$$

✧ Or let

$$a_0 = 287, b_0 = 91,$$

$$a_0 = b_0 q_1 + b_1$$

$$b_0 = b_1 q_2 + b_2$$

$$b_1 = b_2 q_3, \text{ here } \gcd(a_0, b_0) = b_2 (= 7)$$

✧ Then

$$\begin{aligned} \gcd(a_0, b_0) &= b_2 = b_0 - b_1 q_2 = b_0 - (a_0 - b_0 q_1) q_2 \\ &= -a_0 q_2 + b_0(1 + q_1 q_2) \\ &= -6a_0 + 19b_0 \end{aligned}$$

## 2.3 Mathematical Foundations

### 2.3.4 Linear Congruence (线性同余式/线性同余方程/模线性方程)

— *Example.*

✧ Find an inverse of 101 modulo 4620.

— *Solution.*

✧ To find  $\gcd(101, 4620)$ , by *Euclidean Algorithm* we have the sequence of

$$\begin{aligned} 4620 &= 45 \cdot 101 + 75 \\ 101 &= 1 \cdot 75 + 26 \\ 75 &= 2 \cdot 26 + 23 \\ 26 &= 1 \cdot 23 + 3 \\ 23 &= 7 \cdot 3 + 2 \\ 3 &= 1 \cdot 2 + 1 \\ 2 &= 2 \cdot 1. \end{aligned}$$

Diagram labels and arrows:

- A box labeled "remainders" has arrows pointing to the remainders 75, 26, 23, and 3 in the equations above.
- A box labeled "gcd(101, 4620)" has an arrow pointing to the remainder 1 in the equation  $3 = 1 \cdot 2 + 1$ .
- A box labeled "quotients" has an arrow pointing to the quotient 2 in the equation  $2 = 2 \cdot 1$ .



## 2.3 Mathematical Foundations

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### 2.3.4 Linear Congruence (线性同余式/线性同余方程/模线性方程)

— *Example.*

✧ Find an inverse of 101 modulo 4620.

— *Solution.*

✧ Now  $\gcd(101, 4620) = 1$ . We can find the *Bézout coefficients* for 101 and 4620 by working backwards through these steps, expressing  $\gcd(101, 4620) = 1$  in terms of each successive pair of remainders.

$$\begin{aligned} 1 &= 3 - 1 \cdot 2 = 3 - 1 \cdot (23 - 7 \cdot 3) = -1 \cdot 23 + 8 \cdot 3 \\ &= -1 \cdot 23 + 8 \cdot (26 - 1 \cdot 23) = 8 \cdot 26 - 9 \cdot 23 \\ &= 8 \cdot 26 - 9 \cdot (75 - 2 \cdot 26) = -9 \cdot 75 + 26 \cdot 26 \\ &= -9 \cdot 75 + 26 \cdot (101 - 1 \cdot 75) = 26 \cdot 101 - 35 \cdot 75 \\ &= 26 \cdot 101 - 35 \cdot (4620 - 45 \cdot 101) \\ &= -35 \cdot 4620 + 1601 \cdot 101. \end{aligned}$$

✧ Now -35 and 1601 are *Bézout coefficients* of 4620 and 101, and 1601 is an inverse of 101 modulo 4620.

## 2.3 Mathematical Foundations

### 2.3.5 The Extended *Euclidean Algorithm* (扩展欧几里德算法)

— *Remark.*

- ✧ Let  $ax + by = \gcd(a, b)$ ,  $a \geq b > 0$ . (*Theorem.1*)
  - ✧ How to find  $x, y$ , and  $\gcd(a, b)$ ? (*Diophantus equation*)
  - ✧ Let  $a' = b$ ,  $b' = a \bmod b$ . By *Bézout's Theorem* we have
$$\gcd(a', b') = a'x' + b'y' \quad \text{or}$$
$$\gcd(b, a \bmod b) = bx' + (a \bmod b)y'.$$
  - ✧ By *Lemma 0*, we know that
$$\gcd(a, b) = \gcd(b, a \bmod b) = \gcd(a', b').$$
  - ✧ Then  $\gcd(a, b) = \gcd(a', b')$ 
$$\begin{aligned} &= a'x' + b'y' \\ &= bx' + (a \bmod b)y' \\ &= bx' + (a - (a \operatorname{div} b)b)y' \\ &= ay' + b(x' - (a \operatorname{div} b)y') \end{aligned}$$
- So  $x = y'$ , and  $y = x' - (a \operatorname{div} b)y'$  is a solution to the equation
$$ax + by = \gcd(a', b') = \gcd(a, b).$$

## 2.3 Mathematical Foundations

### 2.3.5 The Extended *Euclidean Algorithm* (扩展欧几里德算法)

— *Remark.*

✧ Let  $a'' = b'$ ,  $b'' = a' \bmod b'$  we also have

$$\gcd(a'', b'') = a'y'' + b'(x'' - (a' \div b')y'').$$

So  $x' = y''$ , and  $y' = x'' - (a' \div b')y''$  is a solution to the equation

$$a'x' + b'y' = \gcd(a'', b'') = \gcd(a', b') = \gcd(a, b).$$

✧ Let  $a^{(3)} = b''$ ,  $b^{(3)} = a'' \bmod b''$  we also have

$$\gcd(a^{(3)}, b^{(3)}) = a''y^{(3)} + b''(x^{(3)} - (a'' \div b'')y^{(3)}).$$

So  $x'' = y^{(3)}$ , and  $y'' = x^{(3)} - (a'' \div b'')y^{(3)}$ .

.....

✧ Let  $a^{(k+1)} = b^{(k)}$ ,  $b^{(k+1)} = a^{(k)} \bmod b^{(k)}$  we have

$$\gcd(a^{(k+1)}, b^{(k+1)}) = a^{(k)}y^{(k+1)} + b^{(k)}(x^{(k+1)} - (a^{(k)} \div b^{(k)})y^{(k+1)}).$$

So  $x^{(k)} = y^{(k+1)}$ , and  $y^{(k)} = x^{(k+1)} - (a^{(k)} \div b^{(k)})y^{(k+1)}$ .

✧ Continue this process until  $b^{(k+1)} = a^{(k)} \bmod b^{(k)} = 0$  obtained.

✧ Then  $\gcd(a, b) = \gcd(a', b') = \gcd(a'', b'') = \dots = \gcd(a^{(k+1)}, b^{(k+1)})$   
 $= \gcd(a^{(k+1)}, 0)$   
 $= a^{(k+1)} (=b^{(k)}).$

## 2.3 Mathematical Foundations

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### 2.3.5 The Extended *Euclidean Algorithm* (扩展欧几里德算法)

— *Remark.*

✧ Since we have

$$\gcd(a, b) = a^{(k+1)}.$$

✧ Then The equation

$$a^{(k+1)}x^{(k+1)} + b^{(k+1)}y^{(k+1)} = \gcd(a, b).$$

has a solution  $x^{(k+1)} = 1, y^{(k+1)} = 0$ .

○ in fact,  $y^{(k+1)}$  can take any positive integer because  $b^{(k+1)}=0$ .

## 2.3 Mathematical Foundations

### 2.3.5 The Extended *Euclidean Algorithm* (扩展欧几里德算法)

— *Remark.*

✧ Since we have

$$\gcd(a, b) = a^{(k+1)}.$$

✧ Then The equation

$$a^{(k+1)}x^{(k+1)} + b^{(k+1)}y^{(k+1)} = \gcd(a, b).$$

has a solution  $x^{(k+1)} = 1, y^{(k+1)} = 0$ .

○ in fact,  $y^{(k+1)}$  can take any positive integer because  $b^{(k+1)}=0$ .

✧ If we have put every  $a^{(i)}$  and  $b^{(i)}$  in the process on record, by working backward,

$$x^{(k)} = y^{(k+1)}, \text{ and } y^{(k)} = x^{(k+1)} - (a^{(k)} \text{ div } b^{(k)})y^{(k+1)}.$$

we can finally find  $x$  and  $y$ .

## 2.3 Mathematical Foundations

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### 2.3.5 The Extended\_Euclidean Algorithm (扩展欧几里德算法)

- *The Extended\_Euclidean Algorithm.*

```
ADT triple {  
    x, y, d: longint;  
} ee;  
triple function Extended_Euclid (a, b: positive integers)  
begin  
    if b=0 then return(1, 0, a);  
    ee := Extended_Euclid (b, a mod b);  
    x := ee.y;  
    y := ee.x - (a div b)*ee.y;  
    return (x, y, ee.d);  
end;
```

## 2.3 Mathematical Foundations

### 2.3.5 The Extended\_Euclidean Algorithm (扩展欧几里德算法)

— *Example.*

✧ Find the GCD of 662 and 414

— *Solution.*

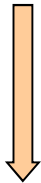
✧ Construct a forward procedure

$$a^{(k+1)} = b^{(k)},$$

$$b^{(k+1)} = a^{(k)} \bmod b^{(k)}.$$

until  $k=5$ ,  $b^{(5)} = 0$ .

✧ We get:  $\gcd(a, b) = a^{(5)} = b^{(4)}$ .



k	<i>a</i>	<i>b</i>
0	662	414
1	414	248
2	248	166
3	166	82
4	82	2
5	2	0

## 2.3 Mathematical Foundations

### 2.3.5 The Extended\_Euclidean Algorithm (扩展欧几里德算法)

— *Example.*

✧ Find the GCD of 662 and 414

— *Solution.*

✧ Construct a forward procedure

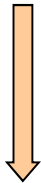
$$a^{(k+1)} = b^{(k)},$$

$$b^{(k+1)} = a^{(k)} \bmod b^{(k)}.$$

until  $k=5$ ,  $b^{(5)} = 0$ .

✧ We get:  $\gcd(a, b) = a^{(5)} = b^{(4)}$ .

✧ Take  $x^{(5)}=1$ ,  $y^{(5)}=0$ .



k	$a$	$b$	$x$	$y$
0	662	414		
1	414	248		
2	248	166		
3	166	82		
4	82	2		
5	2	0	1	0



## 2.3 Mathematical Foundations

### 2.3.5 The Extended\_Euclidean Algorithm (扩展欧几里德算法)

— *Example.*

✧ Find the GCD of 662 and 414

— *Solution.*

✧ Construct a forward procedure

$$a^{(k+1)} = b^{(k)},$$

$$b^{(k+1)} = a^{(k)} \bmod b^{(k)}.$$

until  $k=5$ ,  $b^{(5)} = 0$ .

✧ We get:  $\gcd(a, b) = a^{(5)} = b^{(4)}$ .

✧ Take  $x^{(5)}=1$ ,  $y^{(5)}=0$ .

✧ Construct a backward process

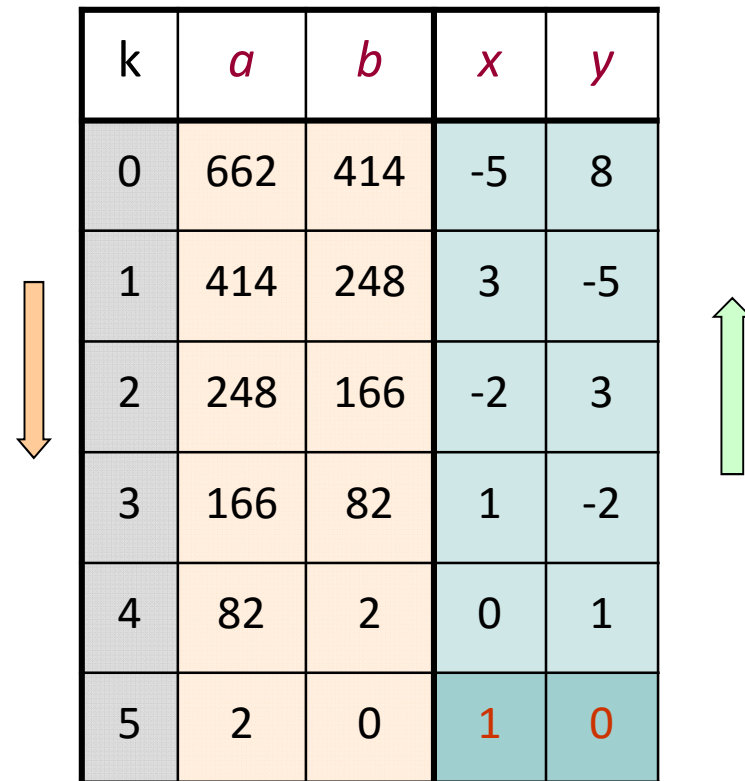
$$x^{(k)} = y^{(k+1)},$$

$$y^{(k)} = x^{(k+1)} - (a^{(k)} \div b^{(k)})y^{(k+1)}.$$

✧ Now the *Diophantus* equation

$$662x + 414y = \gcd(662, 414)$$

has a solution of  $x = -5$ ,  $y = 8$ .



k	$a$	$b$	$x$	$y$
0	662	414	-5	8
1	414	248	3	-5
2	248	166	-2	3
3	166	82	1	-2
4	82	2	0	1
5	2	0	1	0

## 2.3 Mathematical Foundations

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### 2.3.6 The Chinese Remainder Theorem (中国剩余定理)

- History of the CRT.

- In 4<sup>ST</sup> century, the Chinese mathematician Sun-Tsu ask:  
There are certain things whose number is unknown. When divided by 3 , the remainder is 2; when divided by 5, the remainder is 3; when divided by 7, the remainder is 2. What will be the number of things? (有物不知其数, 三分之余二, 五分之余三, 七分之余二, 此物几何? - 《孙子算经》 魏晋南北朝)

✧ The notion of congruences was first introduced and used by *Gauss* in his *Disquisitiones Arithmeticae* (算术探究) of 1801. This puzzle can be: What are the solutions of the systems of congruences

$$\begin{cases} x \equiv 2 \pmod{3} \\ x \equiv 3 \pmod{5} \\ x \equiv 2 \pmod{7} \end{cases}$$

## 2.3 Mathematical Foundations

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### 2.3.6 The Chinese Remainder Theorem (中国剩余定理)

- History of the *CRT*.
  - “大衍求一术” (《数书九章》，秦九韶，南宋，1247)
    - ✧ 求解一次同余式

## 2.3 Mathematical Foundations

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### 2.3.6 The Chinese Remainder Theorem (中国剩余定理)

- *Theorem 4.*

- Let  $m_1, m_2, \dots, m_n$  be pairwise relatively prime positive integers and  $a_1, a_2, \dots, a_n$  arbitrary integers. Then the system

$$x \equiv a_1 \pmod{m_1}$$

$$x \equiv a_2 \pmod{m_2}$$

...

$$x \equiv a_n \pmod{m_n}$$

has a unique solution modulo  $m$ ,  $m = m_1 m_2 \dots m_n$ .

- That is, there is a solution  $x$  with  $0 \leq x \leq m$  to the system, and all other solutions to the system are congruent modulo  $m$  to this solution.

## 2.3 Mathematical Foundations

### 2.3.6 The Chinese Remainder Theorem (中国剩余定理)

- *Theorem 4.*

- *Proof.*

- ✧ Let  $M_k = m/m_k$  for  $k=1, 2, \dots, n$ . That is,  $M_k$  is the product of the moduli except for  $m_k$ , and  $M_s \bmod m_k = 0$  when  $s \neq k$ .
- ✧ We know  $\gcd(M_k, m_k) = 1$  for  $k=1, 2, \dots, n$  because  $m_1, m_2, \dots, m_n$  are pairwise relatively prime integers. From *Theorem.3*, there is an integer  $y_k$ , an inverse of  $M_k$  modulo  $m_k$ , such that

$$M_k y_k \equiv 1 \pmod{m_k}, k = 1, 2, \dots, n.$$

- ✧ Now form the sum

$$x = a_1 M_1 y_1 + a_2 M_2 y_2 + \dots + a_n M_n y_n.$$

- ✧ Then we know  $x$  is a simultaneous solution by showing

$$x \bmod m_k = a_k M_k y_k \bmod m_k = a_k \bmod m_k, k = 1, 2, \dots, n.$$

$$\text{or } x \equiv a_k \pmod{m_k}, k = 1, 2, \dots, n.$$

## 2.3 Mathematical Foundations

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### 2.3.6 The Chinese Remainder Theorem (中国剩余定理)

— *Example.*

✧ Find the solutions of the systems of congruences

$$x \equiv 2 \pmod{3}$$

$$x \equiv 3 \pmod{5}$$

$$x \equiv 2 \pmod{7}$$

— *Solution.*

✧ Let  $m = 3 \cdot 5 \cdot 7 = 105$ , then

$$M_1 = 5 \cdot 7 = 35, y_1 = 2 \text{ (an inverse of } M_1 \text{ modulo 3)}$$

$$M_2 = 3 \cdot 7 = 21, y_2 = 1 \text{ (an inverse of } M_2 \text{ modulo 5)}$$

$$M_3 = 3 \cdot 5 = 15, y_3 = 1 \text{ (an inverse of } M_3 \text{ modulo 7)}$$

✧ A solution is

$$x = a_1 M_1 y_1 + a_2 M_2 y_2 + a_3 M_3 y_3 = 233 \equiv 23 \pmod{105}$$

## 2.3 Mathematical Foundations

### 2.3.6 The Chinese Remainder Theorem (中国剩余定理)

— *Remark.*

- ✧ Let  $m_1, m_2, \dots, m_n$  ( $m_i \geq 2, i=1, 2, \dots, n$ ) be pairwise relatively prime integers and  $m = m_1 m_2 \dots m_n$ . By *The Chinese Remainder Theorem*, any integer  $x$  with  $0 \leq x \leq m$  can be uniquely represented by the n-tuple

$$(a_1, a_2, \dots, a_n), a_i = x \bmod m_i, i=1, 2, \dots, n.$$

- ✧ Keeping  $(m_1, m_2, \dots, m_n)$  in secret, it is very difficult to decrypt  $x$  from  $(a_1, a_2, \dots, a_n)$ .

○ As we know,  $x = a_1 M_1 y_1 + a_2 M_2 y_2 + \dots + a_n M_n y_n$ .

- ✧ As in Sun-Tsu's example,  $(m_1, m_2, m_3)=(3, 5, 7)$  is the secret key. The number  $x=23$  is represented by  $(a_1, a_2, a_3)=(2, 3, 2)$ :

$$a_1 = x \bmod m_1 = 23 \bmod 3 = 2$$

$$a_2 = x \bmod m_2 = 23 \bmod 5 = 3$$

$$a_3 = x \bmod m_3 = 23 \bmod 7 = 2$$

## 2.3 Mathematical Foundations

### 2.3.7 Euler's $\phi$ function (Euler's Totient function, 欧拉 $\phi$ 函数)

- *Definition.*
  - For an integer  $m$ , consider the ring  $Z_m = \{0, \dots, m-1\}$ . Euler's  $\phi$  function  $\phi(m)$  is the number of integers in  $Z_m$  which are coprime to  $m$ .
    - ✧ Denote the collection of all the integers coprime to  $m$  in  $Z_m$  as  $Z'_m$ ,  $\phi(m) = |Z'_m|$ . ( $Z'_m$ , reduced residue system of  $m$ , 既约剩余系)
    - ✧  $\phi(m)$  is the number of positive integers less than and prime to  $m$ .
  - *Example.*
    - ✧  $\phi(8) = 4$ .
      - 1, 3, 5, 7 are coprime to 8.  $Z'_8 = \{1, 3, 5, 7\}$
    - ✧ Convention:  $\phi(1) = 1$ .



## 2.3 Mathematical Foundations

### 2.3.7 Euler's $\phi$ function (Euler's Totient function, 欧拉 $\phi$ 函数)

— *Example.*

✧ Let  $m = p^k$ ,  $p$  is prime. Then  $\phi(m) = \phi(p^k) = p^k - p^{k-1}$ .

✧ *Proof.*

○ An integer  $n$  is coprime to  $m = p^k$  if and only if it contains no  $p$  as its factor. Integers in  $Z_m$  containing  $p$  as factor are

$$1p, 2p, 3p, \dots, p^{(k-1)}p,$$

○ Remove them from  $Z_m$ ,  $m - p^{k-1} = p^k - p^{k-1}$  number of integers are left which are coprime to  $m$ .

✧ *Example.*

○  $\phi(8) = \phi(2^3) = 2^3 - 2^2 = 4$ .

○ When  $k=1$ , The equation becomes  $\phi(p) = p - 1$ .

✧ The equation can be the form of

$$\phi(p^k) = p^k - p^{k-1} = p^k \left(1 - \frac{1}{p}\right)$$

## 2.3 Mathematical Foundations

### 2.3.7 Euler's $\phi$ function (Euler's Totient function, 欧拉 $\phi$ 函数)

— *Example.*

- ✧  $\phi(p) = p-1$  if  $p$  is prime. ( $p \neq 1$  because 1 is not prime)
  - For  $p$  is coprime to any integer less than  $p$ .  $Z_p' = \{1, 2, \dots, p-1\}$
  - *Example.*
    - $\phi(11) = 10$ .

— *Example.*

- ✧ Let  $m = pq$ ,  $p$  and  $q$  are relatively prime. Then
$$\phi(m) = \phi(pq) = \phi(p)\phi(q).$$
- ✧ *Proof.*
  - Let  $a \in Z_p'$ ,  $b \in Z_q'$ . Applying the *Chinese Remainder Theorem*, any  $c \in Z_{pq}'$  can be uniquely represent as a ordered pair  $(a, b)$ . The number of  $c$ , say  $|Z_{pq}'|$ , is  $|Z_p'| \times |Z_q'|$ .
- ✧ *Example.*
  - $\phi(56) = \phi(8 \times 7) = \phi(8) \times \phi(7) = 4 \times 6 = 24$

## 2.3 Mathematical Foundations

### 2.3.7 Euler's $\phi$ function (Euler's Totient function, 欧拉 $\phi$ 函数)

— *Example.*

✧ Let  $m = pq$ ,  $p$  and  $q$  are primes,  $p \neq q$ . Then

$$\phi(m) = \phi(pq) = \phi(p)\phi(q) = (p-1)(q-1).$$

— *Example.*

✧ Let  $m = p_1^{k_1} p_2^{k_2} \dots p_r^{k_r}$  where  $p_i$  are primes and  $k_i > 0$  for  $i=1..r$ ,  $p_s \neq p_t$  for  $1 \leq s < t \leq r$ . Then

$$\begin{aligned}\phi(m) &= \phi(p_1^{k_1})\phi(p_2^{k_2})\dots\phi(p_r^{k_r}) \\ &= p_1^{k_1}[1-(1/p_1)] p_2^{k_2}[1-(1/p_2)] \dots p_r^{k_r}[1-(1/p_r)] \\ &= m [1-(1/p_1)] [1-(1/p_2)] \dots [1-(1/p_r)]\end{aligned}$$

✧ *Example.*

○  $\phi(1323) = \phi(3^3 \times 7^2) = 1323 \times (1-1/3) \times (1-1/7) = 756$

## 2.3 Mathematical Foundations

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### 2.3.8 Euler's Theorem (欧拉定理)

- *Theorem 5.*

- Let  $a$  and  $m$  be integers such that  $\gcd(a, m) = 1$ . Then

$$a^{\phi(m)} \equiv 1 \pmod{m}.$$

- ✧  $m > 0$ .

- *Remark.*

- ✧ The existence of *inverse* of  $a$  modulo  $m$

- As defined in *Definition.2*, if there is an integer  $y$  such that  $ya \equiv 1 \pmod{m}$ ,  $y$  is said to be an *inverse* of  $a$  modulo  $m$ .

- Now  $a^{\phi(m)} = a \times a^{\phi(m)-1} \equiv 1 \pmod{m}$ . Thus  $a^{\phi(m)-1}$  is an inverse of  $a$  modulo  $m$

- ✧ *Euler's Theorem* is a generalization (arbitrary modulus) of *Fermat's Little Theorem*.

## 2.3 Mathematical Foundations

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### 2.3.8 Euler's Theorem (欧拉定理)

- *Theorem 5.*

- Let  $a$  and  $m$  be integers such that  $\gcd(a, m) = 1$ . Then

$$a^{\varphi(m)} \equiv 1 \pmod{m}.$$

- *Proof.*

- (1) Let  $Z'_m = \{x_1, x_2, \dots, x_{\varphi(m)}\}$  be the reduced residue system of  $m$ , and let  $S = \{ax_1 \bmod m, ax_2 \bmod m, \dots, ax_{\varphi(m)} \bmod m\}$ , then

$$Z'_m = S.$$

- Because  $a$  and  $x_i$  ( $1 \leq i \leq \varphi(m)$ ) are all coprime to  $m$ , so  $ax_i$  are also coprime to  $m$  for  $1 \leq i \leq \varphi(m)$ . Therefore

$$ax_i \bmod m \in Z'_m.$$

- Now  $a$  is coprime to  $m$ . For any  $x_i \neq x_j$ , by Cancellation Law we get

$$ax_i \bmod m \neq ax_j \bmod m.$$

## 2.3 Mathematical Foundations

### 2.3.8 Euler's Theorem (欧拉定理)

- *Theorem 5.*

- Let  $a$  and  $m$  be integers such that  $\gcd(a, m) = 1$ . Then

$$a^{\phi(m)} \equiv 1 \pmod{m}.$$

- **Proof.**

- (2) Construct

$$\begin{aligned} & a^{\phi(m)} x_1 x_2 \dots x_{\phi(m)} \pmod{m} \\ & \equiv (ax_1) (ax_2) \dots (ax_{\phi(m)}) \pmod{m} \\ & \equiv (ax_1 \bmod m) (ax_2 \bmod m) \dots (ax_{\phi(m)} \bmod m) \pmod{m} \\ & \equiv x_1 x_2 \dots x_{\phi(m)} \pmod{m}. \end{aligned}$$

- But  $x_i$  ( $1 \leq i \leq \phi(m)$ ) are coprime to  $m$ , and so is  $x_1 x_2 \dots x_{\phi(m)}$ .

- Therefore, by Cancellation Law,

$$a^{\phi(m)} \equiv 1 \pmod{m}.$$

- ✧ Cancellation Law:

- If  $\gcd(c, p) = 1$ , then  $ac \equiv bc \pmod{p} \Rightarrow a \equiv b \pmod{p}$

## 2.3 Mathematical Foundations

### 2.3.9 Fermat's Little Theorem (1640, 费马小定理)

- *Theorem 6.*

- If  $p$  is a prime number and  $a$  is an integer not divisible by  $p$ , then

$$a^{p-1} \equiv 1 \pmod{p}$$

- Further more, for every integer  $a$ , *Fermat's Little Theorem* is equivalent to

$$a^p \equiv a \pmod{p}$$

- *Example.*

- ✧  $a = 13, p = 7, a^p = 13^7 = 62748517, a^{p-1} = 13^6 = 4826809$

$$a^p - a = 62748517 - 13 = 62748504 = 8964072 \times 7$$

$$a^{p-1} = 4826809 = 689544 \times 7 + 1 = qp + 1$$

- ✧  $a = 14, p = 7, a^p = 14^7 = 105413504, a^{p-1} = 14^6 = 7529536$

$$a^p - a = 105413504 - 14 = 105413490 = 15059070 \times 7$$

$$a^{p-1} = 7529536 = 1075648 \times 7 = qp, \text{ the Theorem failed.}$$

## End of Chapter 2.3



In the music of Newage, In the Enchanted Garden, Kevin Kern