# Deep Network for Speech Emotion Recognition —A Study of Deep Learning—



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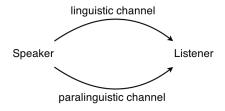


#### **Motivation**



## Speech Emotion Recognition

- Most current work focuses on speech processing based on linguistic information, e.g.: Skype Translator
- More natural human-machine interaction requires paralinguistic information such as age, gender, emotion.
- Speech Recognition / Speeker Identification / Emotion Recognition



#### **Motivation**



## Deep Learning

- Deep architecture for extracting complex structure and building internal representations from input
- New research area of machine learning (from shallow to deep structure)
- Widely applied in vision/audition processing, e.g. handwriting recognition (Graves, Alex, et al. 2009), traffic sign classification (Schmidhuber, et al. 2011), text translation (Google, 2014)

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#### **Foundations**

Mel Frequency Cepstral Features Emotion Recognition Approaches

#### Conditional Restricted Boltzmann Machine

Restricted Boltzmann Machine CRBM

Conclusion and Outlook

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#### **Foundations**

Mel Frequency Cepstral Features Emotion Recognition Approaches

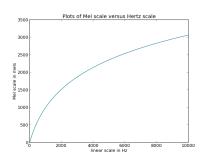
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## Mel Frequency Cepstral Features



- short-term power spectrum
- mel-scale approximate human perception
- widely-used in speech recognition tasks
- Transformation between Mel and Hertz scale



$$f_{mel} = 1125 \ln (1 + f_{Hz}/700)$$
  
 $f_{Hz} = 700 \left( \exp(f_{mel}/1125) - 1 \right)$ 

## **Emotion Recognition Approaches**



## Traditional Approaches

- pre-selected features
- supervised training
- low-level features not appropriate for classification
- shallow structure of classifiers

## Deep Learning Approaches

- learning representations from high-dim data
- extracting appropriate features without hand-crafting
- low-level features are used to build high-level features as network gets deeper
- frame-based classification

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#### Concepts

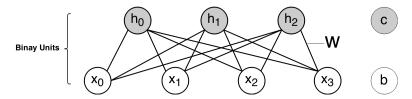


- Generative graphical model, capture data distribution  $P(\mathbf{x}|\boldsymbol{\theta})$
- Trained in unsupervised way, only use unlabeled input sequencex for learning.
  - □ automatically extract useful features from data
  - □ Find hidden structure (distribution).
  - □ Learned features used for prediction or classification
- Successfully applied in motion capture (Graham W. Taylor, Geoffrey E. Hinton, 2006)
- Potential to be extend to capture temporal information

### Restricted Boltzmann Machine



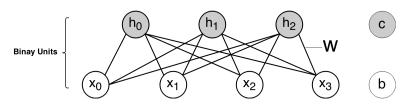
#### Structure



## Restricted Boltzmann Machine



#### Structure



Energy Function: 
$$E_{\theta} = -\mathbf{x}^{T}\mathbf{W}\mathbf{h} - \mathbf{b}^{T}\mathbf{x} - \mathbf{c}^{T}\mathbf{h}$$

Joint Distribution: 
$$P^{RBM}(\mathbf{x}, \mathbf{h}) = \frac{1}{Z}e^{-E_{\theta}(\mathbf{x}, \mathbf{h})}$$

Partition Function: 
$$Z = \sum e^{-E_{\theta}(\mathbf{x}, \mathbf{h})}$$

Free Energy: 
$$\mathcal{F}(\mathbf{x}) = -\log \sum_{\mathbf{h}} e^{-E(\mathbf{x},\mathbf{h})}$$

#### Inference



## Inference

$$P(\mathbf{x}) = \sum_{\mathbf{h}} P(\mathbf{x}, \mathbf{h})$$

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$$P(\mathbf{h}|\mathbf{x}) = \frac{P(\mathbf{x}, \mathbf{h})}{P(\mathbf{x})}$$

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#### Inference



#### Inference

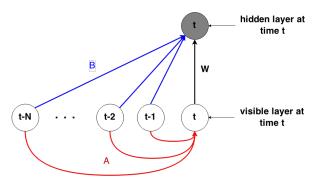
$$\begin{split} P(\mathbf{x}) &= \sum_{\mathbf{h}} P(\mathbf{x}, \mathbf{h}) \\ P(\mathbf{h}) &= \sum_{\mathbf{x}} P(\mathbf{x}, \mathbf{h}) \\ P(\mathbf{h} | \mathbf{x}) &= \frac{P(\mathbf{x}, \mathbf{h})}{P(\mathbf{x})} \\ P(\mathbf{x} | \mathbf{h}) &= \frac{P(\mathbf{x}, \mathbf{h})}{P(\mathbf{h})} \\ P(h_j &= 1 \mid \mathbf{x}) = sigmoid(\sum_i x_i W_{ij} + c_j) \\ P(x_i &= 1 \mid \mathbf{h}) = sigmoid(\sum_i W_{ij} h_j + b_i) \end{split}$$



- Consider visible units from previous time step as additional bias for current visible and hidden layer
- A and B are weight parameter of visible (history) visible and visible (history) - hidden connections
- Visible layer is linear units with independent Gaussian noise to model real-valued data, e.g. spectral features

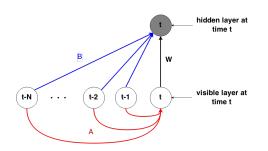


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Energy Function: 
$$E_{\boldsymbol{\theta}}^{CRBM}(\mathbf{x}, \mathbf{h}) = \left\| \frac{\mathbf{x} - \tilde{\mathbf{b}}}{2} \right\|^2 - \tilde{\mathbf{c}}^T \mathbf{h} - \mathbf{x}^T \mathbf{W} \mathbf{h}$$

$$\tilde{\mathbf{b}} = \mathbf{b} + \mathbf{A} \cdot \mathbf{x}_{< t}$$

$$\tilde{\mathbf{c}} = \mathbf{c} + \mathbf{B} \cdot \mathbf{x}_{< t}$$

$$\boldsymbol{\theta} = \{ \mathbf{W}, \mathbf{A}, \mathbf{B}, \mathbf{b}, \mathbf{c} \}$$
Free Energy:  $\mathcal{F}(\mathbf{x}) = \left\| \mathbf{x} - \tilde{\mathbf{b}} \right\|^2 - \log(1 + e^{\tilde{\mathbf{c}} + \mathbf{x} \cdot \mathbf{W}})$ 



Energy Function: 
$$E_{\boldsymbol{\theta}}^{CRBM}(\mathbf{x}, \mathbf{h}) = \left\| \frac{\mathbf{x} - \tilde{\mathbf{b}}}{2} \right\|^2 - \tilde{\mathbf{c}}^T \mathbf{h} - \mathbf{x}^T \mathbf{W} \mathbf{h}$$

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Maximum Likelihood Estimation  $P(\mathbf{x}|\boldsymbol{\theta})$ 

Note that KL is non-negative



Maximum Likelihood Estimation  $P(\mathbf{x}|\boldsymbol{\theta})$ 

#### Kullback-Leibler Divergence:

$$KL(Q(\mathbf{x})||P(\mathbf{x}|\boldsymbol{\theta})) = \int_{-\infty}^{\infty} Q(\mathbf{x}) \cdot \log \frac{Q(\mathbf{x})}{P(\mathbf{x}|\boldsymbol{\theta})} d\mathbf{x}$$

$$= \int_{-\infty}^{\infty} Q(\mathbf{x}) \cdot \log Q(\mathbf{x}) d\mathbf{x} - \int_{-\infty}^{\infty} Q(\mathbf{x}) \cdot \log P(\mathbf{x}|\boldsymbol{\theta}) d\mathbf{x}$$

$$= \langle \log Q(\mathbf{x}) \rangle_{Q(\mathbf{x})} - \langle \log P(\mathbf{x}|\boldsymbol{\theta}) \rangle_{Q(\mathbf{x})}$$

 $Q(\mathbf{x})$ , true data distribution  $P(\mathbf{x}|\boldsymbol{\theta})$ , model distribution  $\langle \cdot \rangle_{Q(\mathbf{x})}$ , expectation w.r.t.  $Q(\mathbf{x})$  Note that KL is non-negative



$$-\log P(\mathbf{x}|\boldsymbol{\theta}) = \mathcal{F}(\mathbf{x}) + \log \sum_{\mathbf{x}} \sum_{\mathbf{h}} e^{-E_{\boldsymbol{\theta}}(\mathbf{x},\mathbf{h})} \qquad \text{Free Energy}$$



$$-\log P(\mathbf{x}|\boldsymbol{\theta}) = \mathcal{F}(\mathbf{x}) + \log \sum_{\mathbf{x}} \sum_{\mathbf{h}} e^{-E_{\boldsymbol{\theta}}(\mathbf{x}, \mathbf{h})}$$

$$-\frac{\partial \log P(\mathbf{x})}{\partial \boldsymbol{\theta}} = \frac{\partial \mathcal{F}(\mathbf{x})}{\partial \boldsymbol{\theta}} - \sum_{\tilde{\mathbf{x}}} P(\tilde{\mathbf{x}}) \frac{\partial \mathcal{F}(\tilde{\mathbf{x}})}{\partial \boldsymbol{\theta}} \qquad \leftarrow \text{intractable!}$$



$$-\log P(\mathbf{x}|\boldsymbol{\theta}) = \mathcal{F}(\mathbf{x}) + \log \sum_{\mathbf{x}} \sum_{\mathbf{h}} e^{-E_{\boldsymbol{\theta}}(\mathbf{x},\mathbf{h})}$$
 Free

$$-\frac{\partial \log P(\mathbf{x})}{\partial \boldsymbol{\theta}} = \frac{\partial \mathcal{F}(\mathbf{x})}{\partial \boldsymbol{\theta}} - \sum_{\tilde{\mathbf{x}}} P(\tilde{\mathbf{x}}) \frac{\partial \mathcal{F}(\tilde{\mathbf{x}})}{\partial \boldsymbol{\theta}} \quad \leftarrow$$

$$\leftarrow\! \mathsf{intractable!}$$

$$-\frac{\partial \log P(\mathbf{x})}{\partial \boldsymbol{\theta}} = \frac{\partial \mathcal{F}(\mathbf{x})}{\partial \boldsymbol{\theta}} - \frac{1}{|\mathcal{N}|} \sum_{\tilde{\mathbf{x}} \in \mathcal{N}} P(\tilde{\mathbf{x}}) \frac{\partial \mathcal{F}(\tilde{\mathbf{x}})}{\partial \boldsymbol{\theta}} \quad \text{sampling}$$



## t steps-Gibbs sampling

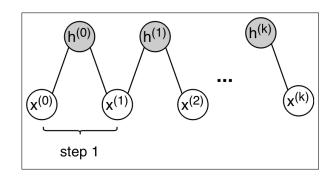
$$\mathbf{x_1} \sim \hat{P}(\mathbf{x})$$

$$\mathbf{h_1} \sim \hat{P}(\mathbf{h}|\mathbf{x}_1)$$

$$\mathbf{x_2} \sim \hat{P}(\mathbf{x}|\mathbf{h}_1)$$
  
 $\mathbf{h_2} \sim \hat{P}(\mathbf{h}|\mathbf{x}_2)$ 

.

$$\mathbf{x_{t+1}} \sim \hat{P}(\mathbf{x}|\mathbf{h}_t)$$



4 3 4 4 0 4 4 70 4

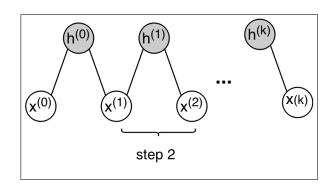


## t steps-Gibbs sampling

$$\mathbf{x_1} \sim P(\mathbf{x})$$
  
 $\mathbf{h_1} \sim \hat{P}(\mathbf{h}|\mathbf{x}_1)$ 

$$\mathbf{x_2} \sim \hat{P}(\mathbf{x}|\mathbf{h}_1)$$
  
 $\mathbf{h_2} \sim \hat{P}(\mathbf{h}|\mathbf{x}_2)$ 

$$\mathbf{x}_{t+1} \sim \hat{P}(\mathbf{x}|\mathbf{h}_t)$$



4 B > 4 D > 4 A >



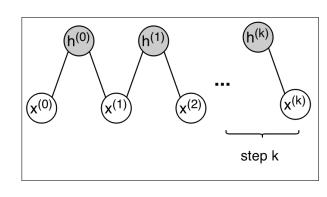
## t steps-Gibbs sampling

$$\mathbf{x}_1 \sim \hat{P}(\mathbf{x})$$
 $\mathbf{h}_1 \sim \hat{P}(\mathbf{h}|\mathbf{x}_1)$ 

$$\mathbf{x_2} \sim \hat{P}(\mathbf{x}|\mathbf{h}_1)$$
  
 $\mathbf{h_2} \sim \hat{P}(\mathbf{h}|\mathbf{x}_2)$ 

:

$$\mathbf{x}_{t+1} \sim \hat{P}(\mathbf{x}|\mathbf{h}_t)$$



4 B > 4 D > 4 A >

## **Contrastive Divergence**



- Performing k-Gibbs steps to generate  $P_k(\mathbf{x}|\boldsymbol{\theta})$ , approximation of model distribution
- Difference between approximation and true model distribution:

$$KL(P_k(\mathbf{x}|\boldsymbol{\theta})||P(\mathbf{x}|\boldsymbol{\theta}))$$

■ Constrastive Divergence (CD):

$$KL(Q(\mathbf{x})||P(\mathbf{x}|\boldsymbol{\theta})) - KL(P_k(\mathbf{x}|\boldsymbol{\theta})||P(\mathbf{x}|\boldsymbol{\theta}))$$

With enough steps the Markov chain converges to stationary distribution:

$$P_{k\to\infty}(\mathbf{x}|\boldsymbol{\theta}) = P(\mathbf{x}|\boldsymbol{\theta})$$

■ CD-1 performs well in practice

## **Constrasive Divergence**



## Parameter Update

$$\Delta \theta \sim KL(P(\mathbf{x}|\boldsymbol{\theta})||P_k(\mathbf{x}|\boldsymbol{\theta}))$$

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#### **Conclusion**



- Model with long-term dependencies shall be used for speech emotion
- CRBM is appropriate for short-term modelling, but not for long-term variation
- LSTM is good at modelling long time dependency
- Frame-based classification can also reach good result
  - □ CRBM-LSTM 71.98%
  - □ LSTM 81.59%
  - $\Box$  LSTM with rectifier layers 83.43%

#### Outlook



- Stacking CRBM to form deeper structure
- Train CRBM with more/larger database
- Second order optimization to speed up learning process
- Bi-directional LSTM, capturing future dependencies



## Thank You!