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Learning a two-stage SVM/CRF sequence classifier

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Abstract:

Learning a sequence classifier means learning to predict a sequence of output tags based on a set of input data items. For example, recognizing that a handwritten word is "cat, " based on three images of handwritten letters and on general knowledge of English letter combinations, is a sequence classification task. This thesis describes a new two-stage approach to learning a sequence classifier that is highly accurate, scalable, and easy to use in data mining applications. The two-stage approach combines support vector machines (SVMs) and conditional random fields (CRFs). It is highly accurate because it benefits from the maximum-margin nature of SVMs and also from the ability of CRFs to model correlations between neighboring output tags. It is scalable because the input to each SVM is a small training set, and the input to the CRF has a small number of features, namely the SVM outputs. It is easy to use because it combines existing published software in a straightforward way. In detailed experiments on the task of recognizing handwritten words, we show that the two-stage approach is more accurate, or faster and more scalable, or both, than the leading other methods for learning sequence classifiers, including max-margin Markov networks (M3Ns) and standard CRFs

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UNIVERSITY OF CALIFORNIA, SAN DIEGO

Learning a two-stage SVM/CRF sequence classifier

A thesis submitted in partial satisfaction of the
requirements for the degree Master of Science

in

Computer Science

by

Guilherme Hoefel

Committee in charge:

Professor Charles Elkan, Chair
Professor Yoav Freund
Professor Lawrence Saul

2008

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The thesis of Guilherme Hoefel is approved, and it is acceptable in quality and form for publication on microfilm:

Chair

University of California, San Diego

2008

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ABSTRACT OF THE THESIS

Learning a two-stage SVM/CRF sequence classifier

by

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Master of Science in Computer Science

University of California, San Diego, 2008

Professor Charles Elkan, Chair

Learning a sequence classifier means learning to predict a sequence of output tags based on a set of input data items. For example, recognizing that a handwritten word is “cat,” based on three images of handwritten letters and on general knowledge of English letter combinations, is a sequence classification task. This thesis describes a new two-stage approach to learning a sequence classifier that is highly accurate, scalable, and easy to use in data mining applications. The two-stage approach combines support vector machines (SVMs) and conditional random fields (CRFs). It is highly accurate because it benefits from the maximum-margin nature of SVMs and also from the ability of CRFs to model correlations between neighboring output tags. It is scalable because the input to each SVM is a small training set, and the input to the CRF has a small number of features, namely the SVM outputs. It is easy to use because it combines existing published software in a straightforward way. In detailed experiments on the task of recognizing handwritten words, we show that the two-stage approach is more accurate, or faster and more scalable, or both, than the leading other methods for learning sequence classifiers, including max-margin Markov networks (M3Ns) and standard CRFs.

I

Introduction

One of the most active and most successful directions of research in machine learning in the last seven years concerns methods for what is called structured learning. Structured learning means learning to predict outputs that have internal structure. This structure can be modeled, and, to achieve high predictive accuracy it must be modeled. Learning to predict a sequence of output tags, given a sequence of input data items, is an example of a structured learning problem. Specifically, suppose the input is a sequence of images where each image is a bitmap of a handwritten letter. A traditional supervised learning approach is to train a function that can recognize the letter encoded by each image separately. In this traditional approach, the trained classifier recognizes each letter in isolation, based only on the information available in the corresponding image. In a structured learning approach, given the sequence of images representing the letters in a word, a single trained model recognizes all the letters of the word, using all the input images *and* using knowledge learned about which letters tend to be adjacent in English. For example, suppose the word to be recognized is “fern.” The handwritten third and fourth letters may well be almost identical, so a traditional classifier might recognize this word as “fenn” or “ferr” or “fenr”. A sequence classifier would use probabilistic constraints between neighboring output letters to know that “fern” is more likely than the alternatives, even though the alternatives are an equally

good fit to the input data at the level of individual letters.

Research on structured learning has been highly successful, with sequence classification as its most important and successful subfield. Indeed, the original paper on conditional random fields (CRFs) has been cited over 1100 times since it was published in 2001 [9]. However, technology transfer from basic research to applications has been limited so far. Accelerating this technology transfer is the goal of this thesis. We show that existing software that is high in quality and easy to use, specifically the well-known SVM package named LIBSVM [3] and a new CRF package named CRFSGD [1], can be used together to achieve high accuracy and high speed on a sequence classification task that so far has been addressed only using complex custom methods that are effectively out of reach for practitioners.

In other words, the goal of the work described here is to show how to benefit from state-of-the-art methods in machine learning by combining them in an uncomplicated way. Frank Lloyd Wright once wrote “‘think simple’ as my old master used to say – meaning reduce the whole of its parts into the simplest terms, getting back to first principles.” This thesis shows how to combine multiple theoretical ideas in order to obtain one easy-to-use high-performance method. Following the principle of reducing the whole of its parts into the simplest terms, we reduce the problem of learning a sequence classifier into two subproblems.

The new learning framework is called a two-stage SVM/CRF method. It simplifies ideas introduced previously under the name max-margin Markov networks (M3Ns). Essentially, we first use SVMs to learn to predict the labels of individual input sequence data items. Then, we use a CRF to predict the sequence of all output labels, where the input to the CRF is the outputs of the SVMs applied to the inputs. The two-stage method gains high accuracy from two complementary strengths: margin-maximization approaches can be more accurate than likelihood-maximization approaches as discriminative classifiers, and learning correlations between neighboring output labels helps resolve ambiguities.

Because our goal is to present a method that practitioners can use easily

in multiple other applications, our experiments use off-the-shelf software. As an implementation of SVMs, we use the LIBSVM package [3]. As an implementation of CRFs, we use the very recent CRFSGD package [1]. The latter software is especially interesting, and fast, because it solves the numerical optimization problem at the core of CRFs by stochastic gradient descent, following but simplifying much recent research [15].

In experiments we compare the two-stage method against three baseline methods. The first two baselines treat the problem as unstructured; they are standard logistic regression (LR) and SVMs [3]. The third baseline takes advantage of the problem structure and does not use the margin-maximization idea; it is a standard CRF classifier. In addition to the two-stage SVM/CRF approach, we also investigate a similar two-stage LR/CRF method. Previous studies have shown that different sets of feature-functions lead to widely varying accuracy for CRFs [8]. Hence we investigate a range of alternative sets of feature-functions.

II

The Two-Stage SVM/CRF Method

The M3N [14] method combines maximum-margin and output-correlation constraints into a single quadratic programming optimization problem. In addition to the mathematical challenges of combining these two types of constraints, this approach is extremely computationally intensive [14, 13, 10]. The two-stage approach we suggest has the same intuitive rationale as the M3N method, but is notably simpler mathematically and computationally.

In our approach, first SVMs are trained to predict the label of each input sequence element; this is a standard multiclass supervised learning task. Second, one CRF is trained to predict the output sequence of labels using as its input the outputs from the previously trained SVMs. The intuition is that both learning approaches are somewhat orthogonal in their advantages, so a combination of them can yield superior results.

During SVM training, the goal is to learn each class based on each sequence element (i.e. data item or data point) and its label in the training set, by maximizing the separation between data points with labels in the same class and other data points. Many studies have shown that SVMs tend to obtain superior results, compared to other classifiers, for predicting individual labels. This advan-

tage of SVMs stems from their ability to use high-dimensional feature spaces via kernels, and from theoretical guarantees on generalization ability [14]. However, an important drawback is that it is typically hard to choose the settings for an SVM (in particular, the best value for the soft-margin penalty C) that will yield optimum results. The most common way to choose settings is to use a validation set that is independent from the training and testing sets.

Given a data point in the test set, the output of the trained SVMs is a vector of scores. In the second stage of our approach, this vector is used as the input attributes for a CRF classifier. Traditionally, a feature-function for a CRF is based on one or more data points, and one label or two adjacent labels. Our proposed new type of feature-function is based on a prediction vector of scores for a data point, instead of directly on the attributes of the data point. Essentially, the two-stage approach uses SVMs as a feature induction method, in order to allow a CRF to learn a better overall classifier.

Let X be a set of input sequences and let Y be the corresponding set of sequences of labels. The data (X, Y) consist of samples (\bar{x}_i, \bar{y}_i) for $i = 1, \dots, n$. Each sample (\bar{x}_i, \bar{y}_i) consists of $L(i)$ data points and their labels. That is

$$(\bar{x}_i, \bar{y}_i) = \langle (\mathbf{x}_{i1}, y_{i1}), (\mathbf{x}_{i2}, y_{i2}), \dots, (\mathbf{x}_{iL(i)}, y_{iL(i)}) \rangle. \quad (\text{II.1})$$

A label y_{ij} can belong to one of c different classes, and each input data point \mathbf{x}_{ij} can have p dimensions, where p is the number of pixels in the image of one character for example. We assume that each dimension can have one of v values.

Our experiments use an optical character recognition (OCR) dataset compiled by Kassel [7] and standardized by Taskar [14], who performed image segmentation to separate the characters in each word, rasterization, and normalization of each character. The process of rasterization involves translating an image represented in a vector graphic into its pixel based representation. Then normalization entails shifting the mean and scaling its data points to have unit variance. Previ-

ous papers do not mention any further data manipulation such as dimensionality reduction. It is well known that dimensionality reduction can be important in image processing; we investigate it in the Appendix A.

III

Multiclass Classifiers

For multiclass classification SVMs can be used in either one-against-all or one-against-one fashion. With the one-against-all technique, each class is trained separately against the union of all other classes. Applying the trained SVMs on a test data point $(\mathbf{x}_{ij}, y_{ij})$ yields a vector of prediction scores $(g_1, g_2, \dots, g_c)_{ij}$, where c is the number of classes. With the one-against-one technique, each class is trained separately against each other class. Applying the trained SVMs to the test data point yields a vector of prediction scores $(g_1, g_2, \dots, g_b)_{ij}$ where $b = c(c - 1)/2$.

In order to obtain such vector of prediction scores the multiclass classification SVM is conducted using three different types of kernels, linear, quadratic and cubic, based on the formulation below:

$$k(\mathbf{x}_k, \mathbf{x}_l) = \left(\frac{1}{p} (\mathbf{x}_k \cdot \mathbf{x}_l) + s \right)^d$$

According to the LIBSVM [12] formulation, d is either 1, 2 or 3; \mathbf{x}_k and \mathbf{x}_l are some \mathbf{x}_{ij} ; and p is the number of dimensions in \mathbf{x}_{ij} . The constant s is the coefficient that makes the kernel function inhomogeneous. After some empirical tests, no further improvement was gained by varying the value of s indicating that a homogeneous kernel is suitable for this experiment. Thus the value of s was set to 0, which is the default for LIBSVMs.

Previous work [14] has indicated that the one-against-one approach yields

slightly more accurate results for the OCR data. There are two additional advantages of using this approach as part of the two-stage SVM/CRF method: it yields faster SVM training, and it increases the bandwidth of information passed to the CRF. Although one-against-one training is conducted $c(c-1)/2$ times, each time only the data points in two classes are involved. SVM training time is typically superlinear in the number of training examples, so learning more classifiers each with a smaller training set is a net win. This improvement in running time is proportional to the number of alternative labels ($c = 26$ if labels are letters in the alphabet), so it is considerable. The increase in communication bandwidth between the SVMs and the CRF can potentially improve the accuracy achievable by the CRF. However, the larger number of inputs for the CRF tends to increase its training time.

When used for multiclass classification, logistic regression classifiers produce similar vectors of scores, which can also be used as inputs to a CRF in a second stage. For LR training we use another off-the-shelf tool, the MATLABArsenal package [16]. With logistic regression, each vector of scores is a non-normalized vector of probabilities. With support vector machines, each vector is a collection of scores with numerical values between -12.0 and 5.0 . Notice that for other learning problems such collection of scores may contain a higher range of values. In order to make the input for the CRF in the two-stage approach more generic, it is possible to substitute the vector of score by its equivalent vector of probabilities. The LIBSVM package offers this capability by turning on an optional feature. Some preliminary experiments using the vector of probabilities from the LIBSVM indicated no improvement on the final accuracy results.

IV

Conditional Random Fields

Given a dataset of input and output sequences (X, Y) , the training objective for a CRF model is to choose parameters W (also called weights) that maximize the conditional log likelihood $\log P(Y|X; W)$, which is

$$\sum_{(\bar{x}_i, \bar{y}_i) \in (X, Y)} \log \frac{\exp \sum_{z=1}^d w_z F_z(\bar{x}_i, \bar{y}_i)}{\sum_{\bar{y}'} \exp \sum_{z=1}^d w_z F_z(\bar{x}_i, \bar{y}')}. \quad (\text{IV.1})$$

Here there are d different fixed feature-functions denoted F_z for $z = 1, \dots, d$. There is one trainable parameter w_z for each F_z . Each feature-function F_z is actually a sum over output sequence positions of a lower-level feature-function f_z . That is, each high-level feature-function F_z has the form

$$F_z(\bar{x}_i, \bar{y}_i) = \sum_j f_z(\mathbf{x}_{ij}, y_{ij-1}, y_{ij}) \quad (\text{IV.2})$$

where j indexes the elements of \bar{y}_i .

Although the lower-level functions f_z can in general be real-valued, all the f_z functions we use are binary, i.e. they have value 0 or 1. Each f_z function can depend on any or all of the input sequence, and/or on up to two adjacent labels in the output sequence \bar{y}_i . The reason why only at most two adjacent output labels can be used is that making predictions efficiently with a trained CRF model depends on the Viterbi algorithm to compute

$$\operatorname{argmax} \sum_{z=1}^d w_z F_z(\bar{x}_i, \bar{y}_i)$$

and this algorithm cannot handle lower-level feature-functions that involve more than two adjacent elements of \bar{y}_i .

We investigate multiple alternative CRF designs that differ in which feature-functions they use. The alternative CRFs that we consider use various combinations of the following six types of feature-function, which are all special cases of the general form above.

Feature-functions of the first type have the form

$$F_{z,1}(\bar{x}_i, \bar{y}_i) = \sum_j f_{z,1}(\mathbf{x}_{ij}, y_{ij}). \quad (\text{IV.3})$$

There are $c \cdot v \cdot p$ functions of this type, because there are c possible values for y_{ij} , v attributes of \mathbf{x}_{ij} , and p possible values for each attribute.

Feature-functions of the second type have the form

$$F_{z,2}(\bar{x}_i, \bar{y}_i) = \sum_j f_{z,2}(\mathbf{x}_{ij}, y_{ij-1}, y_{ij}). \quad (\text{IV.4})$$

The number of functions of this type is c^2vp .

When dealing with the OCR dataset, previous work suggests that using features that depend only on output labels is beneficial. In particular, the best results of [8, Section 3, Table 2] are obtained using $F_{z,1}$ features in addition to features that use just a single label, and just two adjacent labels. We represent these feature types as follows:

$$F_{z,3}(\bar{x}_i, \bar{y}_i) = \sum_j f_{z,3}(y_{ij}) \quad (\text{IV.5})$$

and

$$F_{z,4}(\bar{x}_i, \bar{y}_i) = \sum_j f_{z,4}(y_{ij-1}, y_{ij}). \quad (\text{IV.6})$$

There are c features of the former type, and c^2 of the latter type.

Our contribution is to introduce features for the two-stage approach that depend on the data point \mathbf{x}_{ij} only indirectly, through prediction scores $g_z(\mathbf{x}_{ij})$ assigned by SVM classifiers. We formalize this idea as follows:

$$F_{z,5}(\bar{x}_i, \bar{y}_i) = \sum_j f_{z,5}(g_z(\mathbf{x}_{ij}), y_{ij}) \quad (\text{IV.7})$$

and

$$F_{z,6}(\bar{x}_i, \bar{y}_i) = \sum_j f_{z,6}(g_z(\mathbf{x}_{ij}), y_{ij-1}, y_{ij}) \quad (\text{IV.8})$$

where $g_z(\mathbf{x}_{ij})$ is one element of the score vector produced by the multiclass SVM classifier applied to \mathbf{x}_{ij} .

Real-valued SVM scores are discretized, in order to allow the $f_{z,5}$ and $f_{z,6}$ feature-functions to be binary. Specifically, only the most significant digit is taken into account. Given a real-valued score $g_z(\mathbf{x}_{ij})$, the integer value that is used as input to the feature-function is

$$g'_z(\mathbf{x}_{ij}) = \lceil g_z(\mathbf{x}_{ij}) \rceil. \quad (\text{IV.9})$$

Each different integer value, for each of the binary SVM classifiers, then gives rise to a different binary feature-function. When logistic regression is used instead of SVMs, scores are probabilities between 0 and 1, so we use

$$g'_z(\mathbf{x}_{ij}) = \lceil 10 \cdot g_z(\mathbf{x}_{ij}) \rceil$$

instead.

As is customary with CRFs, we in fact maximize a regularized version of the conditional log likelihood, that is

$$J(X, Y) = \log P(Y|X; W) + \log P(W) \quad (\text{IV.10})$$

where $\log P(W) = -\frac{\|W\|_2^2}{2\sigma^2}$. Often the regularization parameter σ is set using a validation dataset, but in our experiments it is fixed at $\sigma = 1$.

The objective function is maximized by gradient descent. The gradient $(\partial/\partial w_{z,l})J(X, Y)$ is

$$\sum_{(\bar{x}, \bar{y}) \in (X, Y)} F_{z,l}(\bar{x}, \bar{y}) - \sum_{\bar{y}'} p(\bar{y}'|\bar{x}; w_{z,l}) F_{z,l}(\bar{x}, \bar{y}') - \frac{2w_{z,l}}{\sigma} \quad (\text{IV.11})$$

for $l \in \{1, 2, 3, 4, 5, 6\}$. The gradient, for each weight and for each training example (\bar{x}, \bar{y}) , is essentially the difference between the feature-function value for (\bar{x}, \bar{y}) and the average value of the feature-function averaging over each \bar{y}' with probability

given by the current model $p(\bar{y}'|\bar{x}; w)$. The CRF software we use, called CRFSGD, does stochastic gradient descent [1]. Our experiments confirm that this approach achieves the same accuracy as a sophisticated quasi-Newton method (CRF++ using L-BFGS, [11]) but is about 10 times faster.

V

Performance Criteria

Our hypothesis is that the two-stage combined SVM/CRF method just described performs as well as more mathematically and computationally complex methods, in particular the M3N method. In previous papers, Taskar *et al.* and Perez-Cruz *et al.* measure accuracy as the average error per character, but Nguyen *et al.* and Keerthi *et al.* measure accuracy as the average over words of the average error per character in each word. In this thesis, we report both measurements, since this is the only way to establish a direct correspondence with previous results. As expected, both definitions of accuracy yield very similar results.

The first definition is

$$AccPerChar = \frac{1}{N} \sum_{(i,j)} I(\hat{y}_{ij} = y_{ij}) \quad (V.1)$$

where N is the total number of characters in the test set, y_{ij} is the true value of the j th character of the i th word in the test set, and \hat{y}_{ij} is the predicted value of this character. The second definition is

$$AccPerWord = \frac{1}{M} \sum_{i=1}^M \left[\frac{1}{L(i)} \sum_{j=1}^{L(i)} I(\hat{y}_{ij} = y_{ij}) \right] \quad (V.2)$$

where M is the total number of words and $L(i)$ is the total number of characters in the i th word.

Usually it appears that the accuracy for AccPerChar is slightly higher (less than a percentage point) than the AccPerWord.

VI

Experiments

The specific dataset used for experiments here is a subset containing 6876 words from the OCR dataset of [7]. This subset was compiled by Ben Taskar, and is precisely the same dataset used previously [14, 10, 8, 12]. Each character image in the dataset is of size of $8 \cdot 16 = 128$ pixels and is labeled with one of 26 letters. Each pixel has value 0 or 1.

In previous work, Taskar *et al.* used an unusual 10-fold cross-validation technique where they divided the data into training sets of about 610 words and test sets of about 5500 words. This approach is unusual because in each fold, a small set is used for training versus a large set for testing. In standard cross-validation, in each fold a large set is used for training and a small set for testing. Nguyen *et al.* applied a similar nonstandard technique, but they used about 600 words for training, about 5400 words for testing, about 100 words for validation. The precise cardinalities of the subsets used in this previous work is not known.

It seems that the reason previous authors used small training sets is time limitations for training. It has been reported [10, Section 4] that the M3N method needed to be halted after 10 iterations of the optimization algorithm for a single fold. The two-stage approach proposed here is much faster. Therefore traditional 10-fold cross-validation can be used, as done also by Perez-Cruz *et al.* This is desirable because standard cross-validation gives a better idea of the ultimate

accuracy that can be achieved by different methods, since it is based on larger training sets.

VII

Methods Compared

For the standard unstructured classifiers, logistic regression and SVMs, each input data point is separate and is one array of pixels. Both methods are trained in a one-against-one fashion for solving the multi-class problem, which is the same as done previously by Taskar [14, Section 3]. For logistic regression the regularization constant is set to 1. For soft-margin SVMs, three different kernels are tried: linear, quadratic and cubic.

Changing the soft-margin penalty parameter C typically yields significantly different results for different kernels [2]. In our experiments C is set to be 150, 250, and 450, for the linear, quadratic, and cubic kernels respectively. Other training parameters are set to the defaults from LIBSVM. Notice that the CGM experiments also use LIBSVM [12]. Perez-Cruz *et al.* pick C to be 5, and use a radial basis function kernel.

Standard CRF classifiers are trained using two different sets of feature-functions. The first set consists of the $F_{z,1}$ and $F_{z,2}$ features. Following Keerthi *et al.*, the second set consists of the $F_{z,1}$, $F_{z,3}$ and $F_{z,4}$ feature-functions. In the first set there are $128 \cdot 2 \cdot 26 = 6656$ $F_{z,1}$ functions and $128 \cdot 2 \cdot 26 \cdot 26 = 173056$ $F_{z,2}$ functions. In the second set there are 26 $F_{z,3}$ functions and $26 \cdot 26 = 676$ $F_{z,4}$ functions in addition to the $F_{z,1}$ functions.

Two-stage SVM/CRF classifiers are trained using three different sets of

feature-functions. The first set includes $F_{z,5}$ and $F_{z,6}$ feature-functions, and thus corresponds to Taskar’s M3N approach. The second set contains $F_{z,3}$, $F_{z,4}$ and $F_{z,5}$ feature-functions, so it is analogous to the set of CRF feature-functions that performs best in recent experiments [8]. Finally, the third set combines the original CRF feature-functions $F_{z,1}$ and $F_{z,2}$ with the novel $F_{z,5}$ and $F_{z,6}$ feature-functions. After discretization, each SVM score is one of at most 17 unique values. Given the one-against-one approach, each score vector has length $(26 \cdot 25)/2 = 325$. Thus, there are at most $325 \cdot 17 \cdot 26 = 143,650$ $F_{z,5}$ functions, and at most $325 \cdot 17 \cdot 26 \cdot 26 = 3,734,900$ $F_{z,6}$ functions. The CRFSGD software only keeps features that occur more than three times in the training set, so these feature set cardinalities are upper bounds on the number of features actually used.

VIII

Accuracy Results

Tables VIII.1 and VIII.2 show results using nonstandard cross-validation, that is with a small 10% training set in each fold, while Tables VIII.3 and VIII.4 show results using standard cross-validation, with a large 90% training set in each fold. Results are presented as mean accuracy plus/minus standard deviation over ten folds. Rows in italics are results taken from previous papers. If a method from a previous paper does not appear in a table, it is because the previous paper did not report the corresponding performance metric, or did not use the corresponding type of cross-validation. Standard deviations are given where available. Results from Taskar appear with two places of accuracy only since these are obtained from a figure in that paper.

The first unstructured baseline, the logistic regression classifier, performs better than previously reported. The improvement may be due to the fact that we use the one-against-one approach. In results not shown, when running logistic regression in one-against-all fashion, our results are the same as previously found by Taskar.

The SVM classifiers based on LIBSVM produce interesting results compared to previous experiments. They yield slightly better accuracy than has been reported by Taskar *et al.*, Nguyen *et al.*, and Perez-Cruz *et al.* The differences may be due to the challenge of setting the soft-margin penalty parameter ade-

quately. In Taskar’s work, a multiclass kernel-vector machine [4] is used for the linear, quadratic and polynomial kernels. The results from that method closely match the performance obtained here using LIBSVM.

Nguyen *et al.* use two types of SVM, called SVM^{struct} [5] and $SVM^{multiclass}$, which are both based on the SVM^{light} quadratic optimizer [6]. Notice that Nguyen *et al.* only show results for SVMs with linear kernels, which perform worse than SVMs with polynomial kernels in this domain. SVM^{struct} performs better than $SVM^{multiclass}$ in their experiments; its accuracy is close to the accuracy we can obtain using polynomial kernels. Perez-Cruz *et al.* use the same LIBSVM package that we do; their results using a radial basis function kernel are similar to ours using a linear kernel. Clearly, so far polynomial kernels are the best known for this domain.

Our first baseline method for structured learning, a CRF classifier with feature types $F_{z,1,2}$, performs better than Taskar *et al.*’s CRF by around 3 percentage points, and much better than Nguyen *et al.*’s CRF, beating it by 10 percentage points. This big difference in accuracy is likely due to differences choosing features for the CRF. The CRFSGD software lets us efficiently use a large number of feature-functions, which is known to be beneficial for the success of this type of classifier.

Our second CRF baseline uses the feature-functions suggested by Keerthi *et al.*, namely the types $F_{z,1,3,4}$. These are token-dependent first-order and token-independent first-order and second-order according to their nomenclature. The results in this case are similar to previous findings.

Last but not least, the results for the novel two-stage approach are very promising. Overall this approach does better than logistic regression, SVM, and CRF methods separately, and offers accuracy similar to that of the more complex M3N and CGM methods. Using feature-functions that are token-dependent ($F_{z,5,6}$ or $F_{z,1,2,5,6}$) seems to be important in obtaining a good two-stage classifier.

The accuracy of the two-stage LR/CRF method is better than that of

either method alone. Although both methods are based on maximizing the conditional log-likelihood of a linear model, supplying the logistic regression vector of probability estimates to the CRF appears to enhance its ability to solve the problem. Presumably the vector of scores makes explicit information that is only implicit in the original data.

The performance of the two-stage SVM/CRF method is good. Its accuracy is comparable to that of the M3N method when using features based on the vector of scores and on adjacent labels ($F_{z,5,6}$) for the quadratic and cubic kernels. For the linear kernel using the same features as above, the two-stage method appears to outperform what it was previously presented for the M3N. This may stem from the fact that single quadratic models with linear kernels is not able overcome the non-linearity of the data where a two-stage model might. In addition, the two-stage SVM/CRF also performs as well as the CGM method with cliques of size 2, which is the fair comparison.

The CGM method with cliques of size 3 obtains the best overall results. This make sense because there is definitely useful information in triples of letters over and above the information in pairs of letters. For example, while “st” and “th” are both common letter pairs in English, the triplet “sth” is rare.

Tables VIII.3 and VIII.4 show that using traditional cross-validation, with a large training set in each fold, leads to significantly improved accuracy. With this setup, all methods do 5 to 10 percentage points better than with a smaller training set.

Table VIII.1 Small training sets: average accuracy per character.

| Method | Accuracy |
|----------------------------------|-------------------------------------|
| <i>Taskar's LR</i> | <i>.71</i> |
| LR | .7589 \pm .0028 |
| <i>Taskar's SVM (linear)</i> | <i>.71</i> |
| <i>Taskar's SVM (quadr.)</i> | <i>.80</i> |
| <i>Taskar's SVM (cubic)</i> | <i>.81</i> |
| <i>CGM (Graph1)</i> | <i>.7290 \pm .0009</i> |
| SVM (linear) | .7334 \pm .0049 |
| SVM (quadr.) | .8257 \pm .0034 |
| SVM (cubic) | .8204 \pm .0029 |
| <i>Taskar's CRF</i> | <i>.76</i> |
| CRF $F_{z,1,2}$ | .7926 \pm .0042 |
| CRF $F_{z,1,3,4}$ | .7945 \pm .0080 |
| LR/CRF $F_{z,3,4,5}$ | .8136 \pm .0022 |
| LR/CRF $F_{z,5,6}$ | .8512 \pm .0032 |
| LR/CRF $F_{z,1,2,5,6}$ | .8559 \pm .0026 |
| <i>Taskar's M3N (linear)</i> | <i>.80</i> |
| SVM/CRF (linear) $F_{z,3,4,5}$ | .8116 \pm .0022 |
| SVM/CRF (linear) $F_{z,5,6}$ | .8592 \pm .0037 |
| SVM/CRF (linear) $F_{z,1,2,5,6}$ | .8659 \pm .0039 |
| <i>Taskar's M3N (quadr.)</i> | <i>.87</i> |
| <i>CGM (Graph2)</i> | <i>.8750 \pm .0011</i> |
| SVM/CRF (quadr.) $F_{z,3,4,5}$ | .8214 \pm .0032 |
| SVM/CRF (quadr.) $F_{z,5,6}$ | .8825 \pm .0025 |
| SVM/CRF (quadr.) $F_{z,1,2,5,6}$ | .8819 \pm .0061 |
| <i>Taskar's M3N (cubic)</i> | <i>.87</i> |
| SVM/CRF (cubic) $F_{z,3,4,5}$ | .8088 \pm .0022 |
| SVM/CRF (cubic) $F_{z,5,6}$ | .8685 \pm .0025 |
| SVM/CRF (cubic) $F_{z,1,2,5,6}$ | .8757 \pm .0024 |
| <i>CGM (Graph3)</i> | <i>.9420 \pm .0005</i> |

Table VIII.2 Small training sets: average accuracy per character per word.

| Method | Accuracy |
|------------------------------------------------|-------------------|
| LR | .7594 \pm .0032 |
| <i>Nguyen's SVM (linear)</i> | .7146 |
| <i>Nguyen's SVM^{struct} (linear)</i> | .7884 |
| <i>Keerthi's SVM^{struct} (linear)</i> | .8076 |
| SVM (linear) | .7341 \pm .0050 |
| SVM (quadr.) | .8263 \pm .0039 |
| SVM (cubic) | .8210 \pm .0033 |
| <i>Nguyen's CRF</i> | .6770 |
| <i>Keerthi's CRF</i> | .8003 |
| CRF $F_{z,1,2}$ | .7924 \pm .0062 |
| CRF $F_{z,1,3,4}$ | .7930 \pm .0093 |
| LR/CRF $F_{z,3,4,5}$ | .8139 \pm .0031 |
| LR/CRF $F_{z,5,6}$ | .8519 \pm .0042 |
| LR/CRF $F_{z,1,2,5,6}$ | .8557 \pm .0035 |
| <i>Nguyen's M3N</i> | .7492 |
| SVM/CRF (linear) $F_{z,3,4,5}$ | .8107 \pm .0030 |
| SVM/CRF (linear) $F_{z,5,6}$ | .8589 \pm .0044 |
| SVM/CRF (linear) $F_{z,1,2,5,6}$ | .8660 \pm .0046 |
| SVM/CRF (quadr.) $F_{z,3,4,5}$ | .8205 \pm .0035 |
| SVM/CRF (quadr.) $F_{z,5,6}$ | .8810 \pm .0019 |
| SVM/CRF (quadr.) $F_{z,1,2,5,6}$ | .8808 \pm .0051 |
| SVM/CRF (cubic) $F_{z,3,4,5}$ | .8073 \pm .0046 |
| SVM/CRF (cubic) $F_{z,5,6}$ | .8677 \pm .0027 |
| SVM/CRF (cubic) $F_{z,1,2,5,6}$ | .8737 \pm .0024 |

Table VIII.3 Large training sets: average accuracy per character.

| Method | Accuracy |
|----------------------------------|-------------------|
| LR (linear) | .8182 \pm .0041 |
| <i>CGM (Graph1)</i> | .8740 \pm .0009 |
| SVM (linear) | .8135 \pm .0014 |
| SVM (quadr.) | .9003 \pm .0040 |
| SVM (cubic) | .9051 \pm .0039 |
| CRF $F_{z,1,2}$ | .8379 \pm .0051 |
| CRF $F_{z,1,3,4}$ | .8562 \pm .0089 |
| LR/CRF $F_{z,3,4,5}$ | .9037 \pm .0037 |
| LR/CRF $F_{z,5,6}$ | .9264 \pm .0066 |
| LR/CRF $F_{z,1,2,5,6}$ | .9214 \pm .0062 |
| SVM/CRF (linear) $F_{z,3,4,5}$ | .8962 \pm .0042 |
| SVM/CRF (linear) $F_{z,5,6}$ | .9114 \pm .0038 |
| SVM/CRF (linear) $F_{z,1,2,5,6}$ | .9082 \pm .0056 |
| <i>CGM (Graph2)</i> | .9690 \pm .0003 |
| SVM/CRF (quadr.) $F_{z,3,4,5}$ | .9270 \pm .0048 |
| SVM/CRF (quadr.) $F_{z,5,6}$ | .9500 \pm .0038 |
| SVM/CRF (quadr.) $F_{z,1,2,5,6}$ | .9450 \pm .0032 |
| SVM/CRF (cubic) $F_{z,3,4,5}$ | .9237 \pm .0063 |
| SVM/CRF (cubic) $F_{z,5,6}$ | .9468 \pm .0042 |
| SVM/CRF (cubic) $F_{z,1,2,5,6}$ | .9424 \pm .0051 |
| <i>CGM (Graph3)</i> | .9730 \pm .0004 |

Table VIII.4 Large training sets: average accuracy per character per word.

| Method | Accuracy |
|----------------------------------|-------------------|
| LR | .8194 \pm .0042 |
| SVM (linear) | .8118 \pm .0016 |
| SVM (quadr.) | .9018 \pm .0038 |
| SVM (cubic) | .9066 \pm .0044 |
| CRF $F_{z,1,2}$ | .8372 \pm .0054 |
| CRF $F_{z,1,3,4}$ | .8586 \pm .0086 |
| LR/CRF $F_{z,3,4,5}$ | .9019 \pm .0029 |
| LR/CRF $F_{z,5,6}$ | .9209 \pm .0069 |
| LR/CRF $F_{z,1,2,5,6}$ | .9190 \pm .0087 |
| SVM/CRF (linear) $F_{z,3,4,5}$ | .8924 \pm .0057 |
| SVM/CRF (linear) $F_{z,5,6}$ | .9066 \pm .0042 |
| SVM/CRF (linear) $F_{z,1,2,5,6}$ | .9034 \pm .0073 |
| SVM/CRF (quadr.) $F_{z,3,4,5}$ | .9205 \pm .0037 |
| SVM/CRF (quadr.) $F_{z,5,6}$ | .9485 \pm .0037 |
| SVM/CRF (quadr.) $F_{z,1,2,5,6}$ | .9435 \pm .0069 |
| SVM/CRF (cubic) $F_{z,3,4,5}$ | .9229 \pm .0050 |
| SVM/CRF (cubic) $F_{z,5,6}$ | .9463 \pm .0015 |
| SVM/CRF (cubic) $F_{z,1,2,5,6}$ | .9416 \pm .0060 |

IX

Timing Results

Previous studies do not mention the time required to conduct experiments. Table IX.1 shows the number of seconds needed to run one fold of cross-validation for each of our methods, with small and with big training sets. It also shows the ratio between the big and the small training set. The entries in the table for LR/CRF and SVM/CRF are the time needed by the CRF stage for these approaches. Thus, the total time for the SVM/CRF two-stage approach is the sum of the SVM and SVM/CRF entries. The computers used for Table 5 are quite standard and inexpensive (Redhat Linux EL4, dual P4 3.2GHz, single CPU used, 2GB memory).

Also we were able to verify that, for the experiments using the large training set, CRF training based on the simple stochastic gradient descent (SGD-CRF) is around 10 times faster than the training based on a quasi-Newton method (CRF++ package [19] using L-BFGS). Surprisingly, for the small training set, the run time of both CRF methods were almost the same.

As expected, logistic regression training is fastest, while SVM training is slowest. Given that the larger training set is 9 times bigger, a ratio of running times of 9 or less can be considered reasonable. The observed ratio is reasonable for all methods, except for SVM training with a linear kernel, and the CRF using features dependent on the labels only.

Table IX.1 Time in seconds for one fold of training and testing and their ratio.

| Method | Small | Large | Ratio |
|----------------------------------|-------|-------|-------|
| LR (linear) | 195 | 403 | 2.06 |
| SVM (linear) | 1540 | 62524 | 40.60 |
| SVM (quadr.) | 3184 | 9520 | 2.98 |
| SVM (cubic) | 2780 | 13770 | 4.95 |
| CRF $F_{z,1,2}$ | 447 | 2308 | 5.16 |
| CRF $F_{z,1,3,4}$ | 123 | 2352 | 19.12 |
| LR/CRF $F_{z,3,4,5}$ | 272 | 623 | 2.29 |
| LR/CRF $F_{z,5,6}$ | 1296 | 6591 | 5.08 |
| LR/CRF $F_{z,1,2,5,6}$ | 1802 | 9318 | 5.17 |
| SVM/CRF (linear) $F_{z,3,4,5}$ | 267 | 692 | 2.59 |
| SVM/CRF (linear) $F_{z,5,6}$ | 1352 | 7550 | 5.58 |
| SVM/CRF (linear) $F_{z,1,2,5,6}$ | 1313 | 10319 | 7.85 |
| SVM/CRF (quadr.) $F_{z,3,4,5}$ | 335 | 592 | 1.76 |
| SVM/CRF (quadr.) $F_{z,5,6}$ | 1267 | 6375 | 5.03 |
| SVM/CRF (quadr.) $F_{z,1,2,5,6}$ | 2155 | 9064 | 5.20 |
| SVM/CRF (cubic) $F_{z,3,4,5}$ | 259 | 651 | 2.51 |
| SVM/CRF (cubic) $F_{z,5,6}$ | 1228 | 6279 | 5.11 |
| SVM/CRF (cubic) $F_{z,1,2,5,6}$ | 1718 | 8930 | 5.19 |

It is an unfortunate drawback of SVMs that training time often increases more than linearly as the number of training examples increases. This phenomenon is observed here for SVM training with the linear kernel. In future work, we plan to use one of the more recent linear kernel SVM implementations that tend to be much faster because they use stochastic gradient descent. A ratio larger than 9 times is also seen for the CRF case that uses features $F_{z,1,3,4}$. This stems from the unusual fast run time for the small training set of the CRF for this scenario. Such fast performance does not seem to propagate to the bigger training set as the run time for both CRFs ($F_{z,1,2}$ and $F_{z,1,3,4}$) differ only by 50 seconds. Without further studying this case and the usage of stochastic gradient descent, it is difficult to indicate why CRF does not scale well. One possible theory is the differences between the search space when using a small and a big training set in terms of size. The SGDCRF removes feature functions that occur less than 3 times, which could considerably change the number of constraints to be optimized in the CRF

problem ultimately affecting its running time.

X

Conclusion

Structured learning is a new research area in machine learning whose methods have not yet seen wide usage in data mining or knowledge discovery. Within the field of structured learning, the most studied task has been how to learn a classifier that maps a sequence of inputs into a sequence of output labels. Above, we have described a practical new approach to training a sequence classifier. Our experiments show that the proposed method achieves high accuracy, and is faster and more scalable than competitors.

The proposed method combines support vector machines and conditional random fields in a two-stage approach. It achieves high accuracy because of the maximum-margin nature of SVMs, and because CRFs can model correlations between neighboring output labels. It achieves scalability because the input to each SVM is a small subset of the entire training data, and this subset uses a limited number of features, namely the outputs of the SVMs trained in the first stage.

We report the results of detailed experiments on the task of recognizing handwritten words.¹ These results show that the two-stage SVM/CRF method

¹Our results provide a lot of detail concerning just one dataset, rather than being less detailed but involving multiple datasets. The reason for this choice is partly that a previous comparison paper in this area [10] has been controversial, and in fact is misleading. The results of this particular previous paper show CRFs and the M3N method performing much worse than in the experience of other researchers. The reason for some of the poor results in [10] was uncovered by [8]. Now, we have performed careful and systematic experiments whose results, reported here,

yields greater accuracy than its component individual methods, which are the current practical state of the art. The two-stage method matches closely the accuracy achievable with the M3N and CGM methods, which are more complex mathematically and computationally. For practical purposes, what is most important is that the good SVM/CRF results are obtained using robust off-the-shelf software. This fact means that the proposed SVM/CRF combination is usable immediately by other researchers and practitioners in their application areas.

supersede those of [10], and will resolve the controversy, we hope.

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Appendix A

Dimensionality Reduction

An important data preprocessing technique for image recognition is dimensionality reduction. It has the goal of representing the original dataset in fewer dimensions while maintaining its original characteristics. The two-stage classifier covered previously in this thesis presents a new set of attributes to be used by conditional random field classifiers based on vectors of scores predicted by support vector machines.

This appendix investigates applying dimensionality reduction to the original data. Two techniques are used in this appendix: principal component analysis and linear discriminant analysis. The former aims to uncover similarities while the latter aims to uncover discrepancies of the data points.

A.A Principal Component Analysis

The principal component analysis (PCA) method attempts to identify the components of the data points, that is which characteristics contribute most to its variance. The result of such decomposition are the eigenvectors and eigenvalues obtained through optimal linear transformations in order maintain a subspace of the original data that has the maximum variance. In this case, the magnitude of an eigenvalue corresponds to the correlation of an eigenvector to the original

dataset. Such eigenvectors are called the principal components. When multiplying the original data points by the transpose of the principal components a new data set is created with the number of dimensions equal to the number of eigenvectors used in the multiplication.

Given the training set X ,

$$X = U \cdot S \cdot V'.$$

This equation is a singular value decomposition [17] where U is m by n , S is n by n and V is n by n . In this case, m is the total number of sample points in X and n is the number of eigenvalues represented by the diagonal of S , which is the rank of X . After centering each column of X , the columns in V are X 's principal components [18].

Finally, the new data is obtained through the dot product $P_{new} = P \cdot V$ where P is any dataset (e.g. the original training and/or testing set). In this case, P_{new} has dimensions m by l , such that $1 \leq l \leq n$ and only the top l eigenvectors are maintained.

A.B Linear Discriminant Analysis

Linear discriminant analysis (LDA) attempts to identify attributes which best separate two or more classes of data points. This approach finds the subspace dimensions that contain all the variance between different classes of data points. Analogously to PCA, the results of such decomposition can also be represented in terms of eigenvectors, the vectors of weights, and eigenvalues that indicate the maximum separation between classes. The dot product of the eigenvectors obtained with the linear discriminant analysis and the original data points produces new data points within a reduced dimension space.

Given the training set X , the goal is to maximize the separation

$$J(\mathbf{W}) = \frac{\mathbf{W}'\Sigma_b\mathbf{W}}{\mathbf{W}'\Sigma_a\mathbf{W}}$$

for some learnable weight matrix \mathbf{W} . In this formula, Σ_b represents the sample covariance *between* the means of different classes of data points. This can be formulated as $\Sigma_b = \frac{1}{c} \sum_{k=1}^c (\mu_k - \mu)(\mu_k - \mu)'$ where there are at most c types of data points, μ is the total mean and μ_k is the mean of each class k . The Σ_a represents the covariance *within* each type of data points [18]. In this formulation, it is assumed that every class has the same covariance. This formulation gives the largest separation between the means of different types while giving the smallest variance within each type of data points. In this scenario the columns in \mathbf{W} [18] represent the eigenvectors corresponding to the variability between classes. Also, $\Sigma_b \cdot \Sigma_a^{-1}$ will be the corresponding eigenvalue for its separation.

Similarly to the principal component analysis, the new set of attributes based on the linear discriminant analysis is $P_{new} = \mathbf{W}' \cdot P$ for any dataset P . Again by obtaining W from the training dataset X , P may represent the entire dataset (train and test sets). In both dimensionality reduction methods, the magnitude of an eigenvalue corresponds to the significance of the corresponding eigenvector for representing or discriminating the original data points. It is generally understood that when the data points contain a high number of dimensions, picking the eigenvectors with the highest eigenvalues can be enough for representing the original data.

A.C New Data Dimensions

As shown above, these dimensionality reduction techniques can create two new types of attributes to represent the original data points: one that exposes similarities within a class, and the other that exposes the variability between classes. Such techniques can be very useful to expose orthogonal characteristics of the original data points. More importantly, when dealing with high dimensional data

points, it is possible to preserve the information about them in a considerably lower space.

In this experiment, we are dealing with a low dimensional space. The motivation behind using dimensionality reduction is to enhance certain aspects of the underlying data points. Such enhancements can be used as new attributes to the classifiers of this experiment. Especially, the new set of attributes based on PCA and LDA can be used in combination with or in exchange of X for learning the handwritten word characters.

For principal component analysis, the singular value decomposition approach is based on Matlab's SVD function. For the linear discriminant analysis an off-the-shelf package called UIUC_ml [20] is used. In order to pick the right number of dimensions to be used from PCA and LDA a quantitative experiment is conducted. This entails varying the number of eigenvectors for a set of classification experiments and choosing the number of eigenvectors that yields highest accuracy.

For this thesis 12 eigenvectors are used for the PCA and 25 are used for the LDA procedures. The intuition is that 12 PCA eigenvectors is 10 percent of the original data size with 128 dimensions and holds high accuracy given the few dimensions. Furthermore, the 25 LDA eigenvectors is the total number produced by the LDA decomposition for this data, which equals to the total number of classes (26) minus one. It seems reasonable to speculate that in an ideal scenario each eigenvector would represent a single class.

A.D Results

Tables A.1 and A.2 show results using nonstandard cross-validation, that is with a small (10%) training set in each fold, while Tables A.3 and A.4 show results using standard cross-validation, with a large (90%) training set in each fold. These tables represent the cases where the original data points X are replaced by

Table A.1 Dimensionality reduction and small training sets: average accuracy per character.

| Method | PCA | LDA |
|----------------------------------|-------------------|-------------------|
| LR | .6747 \pm .0070 | .7370 \pm .0033 |
| SVM (linear) | .6879 \pm .0066 | .7302 \pm .0024 |
| SVM (quadr.) | .7647 \pm .0044 | .7796 \pm .0021 |
| SVM (cubic) | .7655 \pm .0038 | .7786 \pm .0034 |
| CRF $F_{z,1,2}$ | .6595 \pm .0063 | .6881 \pm .0082 |
| CRF $F_{z,1,3,4}$ | .7183 \pm .0072 | .7493 \pm .0052 |
| LR/CRF $F_{z,3,4,5}$ | .7711 \pm .0039 | .7899 \pm .0023 |
| LR/CRF $F_{z,5,6}$ | .8041 \pm .0055 | .8472 \pm .0055 |
| LR/CRF $F_{z,1,2,5,6}$ | .8068 \pm .0056 | .8396 \pm .0025 |
| SVM/CRF (linear) $F_{z,3,4,5}$ | .7753 \pm .0031 | .7789 \pm .0057 |
| SVM/CRF (linear) $F_{z,5,6}$ | .7530 \pm .0083 | .7886 \pm .0042 |
| SVM/CRF (linear) $F_{z,1,2,5,6}$ | .8085 \pm .0046 | .8300 \pm .0039 |
| SVM/CRF (quadr.) $F_{z,3,4,5}$ | .7951 \pm .0053 | .8035 \pm .0031 |
| SVM/CRF (quadr.) $F_{z,5,6}$ | .8391 \pm .0044 | .8089 \pm .0022 |
| SVM/CRF (quadr.) $F_{z,1,2,5,6}$ | .8403 \pm .0061 | .8585 \pm .0021 |
| SVM/CRF (cubic) $F_{z,3,4,5}$ | .7809 \pm .0042 | .7999 \pm .0027 |
| SVM/CRF (cubic) $F_{z,5,6}$ | .8410 \pm .0056 | .8576 \pm .0029 |
| SVM/CRF (cubic) $F_{z,1,2,5,6}$ | .8472 \pm .0055 | .8578 \pm .0038 |

the new PCA and LDA based data. Notice that we considered using a combination of the PCA and the LDA data as well, but such data points did not yield better results than the best performer between PCA and LDA.

When using the features based on dimensionality reduction, the accuracy results obtained are not impressive. It consistently under performs previous results where the pixel information is used for both training sets. In addition, the PCA approach consistently under performs the LDA approach. Such results are somewhat expected as the original data contains the most information about the dataset while the lower number of dimensions for dimensionality reduction data comes at the cost of less information. With regard to the dimensionality reduction techniques, the LDA has the ability to learn the differences between the classes which is widely acceptable to be important when learning image recognition classifiers. Thus it seems reasonable it can yield more information than PCA for classifying

Table A.2 Dimensionality reduction and small training sets: average accuracy per character per word.

| Method | PCA | LDA |
|----------------------------------|-------------|-------------|
| LR | .6728±.0078 | .7370±.0033 |
| SVM (linear) | .6865±.0074 | .7303±.0029 |
| SVM (quadr.) | .7644±.0042 | .7796±.0024 |
| SVM (cubic) | .7646±.0035 | .7772±.0037 |
| CRF $F_{z,1,2}$ | .6592±.0065 | .6885±.0082 |
| CRF $F_{z,1,3,4}$ | .7155±.0077 | .7371±.0058 |
| LR/CRF $F_{z,3,4,5}$ | .7676±.0045 | .7890±.0021 |
| LR/CRF $F_{z,5,6}$ | .8031±.0070 | .8452±.0060 |
| LR/CRF $F_{z,1,2,5,6}$ | .8032±.0071 | .8400±.0030 |
| SVM/CRF (linear) $F_{z,3,4,5}$ | .7723±.0037 | .7888±.0045 |
| SVM/CRF (linear) $F_{z,5,6}$ | .7501±.0088 | .7869±.0034 |
| SVM/CRF (linear) $F_{z,1,2,5,6}$ | .8064±.0053 | .8296±.0023 |
| SVM/CRF (quadr.) $F_{z,3,4,5}$ | .7928±.0050 | .8031±.0026 |
| SVM/CRF (quadr.) $F_{z,5,6}$ | .8375±.0063 | .8077±.0021 |
| SVM/CRF (quadr.) $F_{z,1,2,5,6}$ | .8384±.0068 | .8578±.0028 |
| SVM/CRF (cubic) $F_{z,3,4,5}$ | .7790±.0039 | .7991±.0029 |
| SVM/CRF (cubic) $F_{z,5,6}$ | .8376±.034 | .8559±.0027 |
| SVM/CRF (cubic) $F_{z,1,2,5,6}$ | .8452±.0060 | .8561±.0028 |

Table A.3 Dimensionality reduction and large training sets: average accuracy per character.

| Method | PCA | LDA |
|----------------------------------|-------------|-------------|
| LR | .6943±.0102 | .7986±.0056 |
| SVM (linear) | .7034±.0093 | .8032±.0049 |
| SVM (quadr.) | .8290±.0060 | .8631±.0045 |
| SVM (cubic) | .8462±.0038 | .8710±.0046 |
| CRF $F_{z,1,2}$ | .7546±.0072 | .7976±.0072 |
| CRF $F_{z,1,3,4}$ | .7654±.0042 | .8092±.0081 |
| LR/CRF $F_{z,3,4,5}$ | .8851±.0039 | .8558±.0078 |
| LR/CRF $F_{z,5,6}$ | .8633±.0038 | .9114±.0034 |
| LR/CRF $F_{z,1,2,5,6}$ | .8649±.0057 | .9130±.0045 |
| SVM/CRF (linear) $F_{z,3,4,5}$ | .8332±.0103 | .8824±.0058 |
| SVM/CRF (linear) $F_{z,5,6}$ | .8889±.0036 | .8772±.0054 |
| SVM/CRF (linear) $F_{z,1,2,5,6}$ | .8134±.0075 | .8754±.0072 |
| SVM/CRF (quadr.) $F_{z,3,4,5}$ | .8934±.0069 | .9128±.0031 |
| SVM/CRF (quadr.) $F_{z,5,6}$ | .9153±.0034 | .9160±.0043 |
| SVM/CRF (quadr.) $F_{z,1,2,5,6}$ | .8941±.0047 | .9130±.0045 |
| SVM/CRF (cubic) $F_{z,3,4,5}$ | .8919±.0048 | .9108±.0040 |
| SVM/CRF (cubic) $F_{z,5,6}$ | .8939±.0047 | .9132±.0050 |
| SVM/CRF (cubic) $F_{z,1,2,5,6}$ | .8938±.0045 | .9138±.0038 |

Table A.4 Dimensionality reduction and large training sets: average accuracy per character per word.

| Method | PCA | LDA |
|----------------------------------|-------------|-------------|
| LR | .6933±.0113 | .7995±.0062 |
| SVM (linear) | .7024±.0108 | .8036±.0022 |
| SVM (quadr.) | .8288±.0058 | .8640±.0054 |
| SVM (cubic) | .8471±.0040 | .8717±.0055 |
| CRF $F_{z,1,2}$ | .7524±.0078 | .7950±.0098 |
| CRF $F_{z,1,3,4}$ | .7619±.0058 | .8050±.0109 |
| LR/CRF $F_{z,3,4,5}$ | .8845±.0045 | .8525±.0071 |
| LR/CRF $F_{z,5,6}$ | .8580±.0036 | .9097±.0053 |
| LR/CRF $F_{z,1,2,5,6}$ | .8592±.0076 | .9118±.0048 |
| SVM/CRF (linear) $F_{z,3,4,5}$ | .8287±.0123 | .8791±.0061 |
| SVM/CRF (linear) $F_{z,5,6}$ | .8876±.0046 | .8758±.0030 |
| SVM/CRF (linear) $F_{z,1,2,5,6}$ | .8117±.0085 | .8749±.0072 |
| SVM/CRF (quadr.) $F_{z,3,4,5}$ | .8898±.0080 | .9112±.0037 |
| SVM/CRF (quadr.) $F_{z,5,6}$ | .9141±.0047 | .9142±.0060 |
| SVM/CRF (quadr.) $F_{z,1,2,5,6}$ | .8921±.0050 | .9118±.0048 |
| SVM/CRF (cubic) $F_{z,3,4,5}$ | .8896±.0054 | .9093±.0040 |
| SVM/CRF (cubic) $F_{z,5,6}$ | .8919±.0051 | .9120±.0052 |
| SVM/CRF (cubic) $F_{z,1,2,5,6}$ | .8917±.0050 | .9128±.0042 |

different types data points. Also, by increasing the amount of dimensions used for the PCA did not seem to improve the overall results of the experiment as it still underrepresented the data when compared to the LDA results.

Given the small and the big training sets, the accuracy from the PCA experiments is consistently worse by 3 to 12 percent than the original experiments. For the LDA experiments, it is consistently worse by 5 to 0 percent. The experiments whose accuracy diverge the most from the original ones are the ones based on stand alone CRFs. One explanation is that CRFs need a lot of features and dimensionality reduction based approaches tend to constrain this capability. The experiments whose accuracy converge the most with the original ones are the ones based on the SVM/CRF. One explanation is that the dimensionality reduction is only constraining the SVM step and not the CRF. Although the SVM with linear kernel is not an overall high performer classifier, the accuracy obtained using the LDA data for the small training set is identical to the results using the original data for the same experiment.

Finally these results help us understand the importance of dimensionality reduction techniques. Although the overall performance was lower than in the original experiments, for higher dimensional datasets such approach may be very important in making the problem computationally feasible. In addition there are more variations of dimensionality reduction techniques that may yield better results, as they may increase the amount of features used by the CRF. However such techniques are not straightforward, and may require a brute force approach for picking the right features that goes beyond this thesis.