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| 1. 違反廉潔守則者，除所獲取之各項不正當利益，均應追繳發還被索取人或公司外，並依情節之大小處分，或合併處分。(AUO員工廉潔守則) | |
|  | 否 |
|  | 是 |

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| 2. AUO employees will be punished if AUO employees discover a violation of antitrust laws and report it to Legal Office. | |
|  | Wrong |
|  | Correct |

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| 3. 憑藉工作職務向供應商收取回扣，為個人努力爭取來的認同，是可以被公司接受的行為。(AUO企業誠信行為指南\_與客戶及供應商合作業務) | |
|  | 否 |
|  | 是 |

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| 4. 由於達達產品優異的表現，達達的同業競爭者甲公司也想要採購達達的面板，達達將與他們展開一系列的供貨討論，為避免違反托拉斯法的規範，下例何者為達達需注意的事項呢？(單選)(AUO企業誠信行為指南\_公平競爭及反托拉斯法律遵循) | |
|  | 以上皆是 |
|  | 開會時請律師陪同討論、會議記錄事實部份，不做任何結論或推論 |
|  | 赴會前將議程詳細寫下並與法務室討論，並將確認議程寄給對方 |
|  | 會議記錄發佈前請律師檢查、確認 |

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| 5. 同仁均有維護公司良好形象之責任，於公司外亦不得談論公司同仁或主管之是非。(AUO員工廉潔守則) | |
|  | 否 |
|  | 是 |

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| 6. 請問下列何種與同業競爭者協議違反托拉斯法的規定?(AUO企業誠信行為指南\_公平競爭及反托拉斯法律遵循) | |
|  | 與同業議定價格去圍標綁標客戶之採購案。 |
|  | 以上皆是。 |
|  | 與同業協定不將面板賣給某客戶。 |
|  | 與同業達成市場劃分和分配的協定。 |

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| 7. 同仁有違反廉潔守則之事實，皆需接受免職處分。(AUO員工廉潔守則) | |
|  | 否 |
|  | 是 |

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| 8. 任何可能將公司資源或利益輸送給自己或親友之行為，原則皆應禁止。如同仁自認某些行為系屬「公私兩便」，可以例外准許；僅需層報至部級主管核准後，始得進行。(AUO員工廉潔守則) | |
|  | 否 |
|  | 是 |

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| 9. 為避免違反托拉斯法，達達與同業的商業會議應避免下列哪一事項?(AUO企業誠信行為指南\_公平競爭及反托拉斯法律遵循) | |
|  | 避免使用表達雙方達成「共識 / consensus / mutual understanding」、「合作 / cooperation / collaboration」或同意之手勢 |
|  | 以上皆非 |
|  | 以上皆是 |
|  | 避免使用表達雙方達成「共識 / consensus / mutual understanding」、「合作 / cooperation / collaboration」或同意之語言 |

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| 10. 糾止或檢舉違反廉潔守則情事是公司所有同仁的義務，凡經查證屬實者，同仁不得要求適當獎勵。(AUO員工廉潔守則) | |
|  | 否 |
|  | 是 |

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| 11. 同仁及家屬不可收受供應商贈送價值新台幣1,000元以上之禮品、現金或其他變相財貨。(AUO員工廉潔守則) | |
|  | 否 |
|  | 是 |

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| 12. 經查證後發覺，友友、光光和電電三人集體違反廉潔守則，友友決定先自首，請問友友可能獲得的處分為何？(AUO企業誠信政策) | |
|  | 集體違反廉潔守則者，若無自首者，將加重其刑罰 |
|  | 集體違反廉潔守則者，第一位自首人員可選擇一違反者共同免其處分 |
|  | 集體違反廉潔守則者，第一位自首人員與其他人員同等處分 |
|  | 集體違反廉潔守則者，第一位自首人可免除或減輕處分 |

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| 13. AUO does not have any Antitrust Compliance Policy. | |
|  | Wrong |
|  | Correct |

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| 14. 友友經查證違反廉潔守則，與他有業務往來負責人擔心需接受相關處分，下列何種情況業務之驗收人、證明人或審查人員需接受處分？(複選) (AUO企業誠信政策) | |
|  | 明知所驗收、證明之業務內容，有違反廉潔守則，而不予舉發者 |
|  | 以上皆非 |
|  | 明知所審查之業務內容，有違反廉潔守則，而不予舉發者 |
|  | 與違反者有業務往來之負責人皆需處分 |

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| 15. AUO employees should avoid any contacts with competitors. | |
|  | Wrong |
|  | Correct |

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| 16. AUO has published Enterprise Integrity Handbook on its official website. | |
|  | Wrong |
|  | Correct |

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| 17. 為避免違反托拉斯法，未經律師同意前，下列哪一選項是友友和達達若恰巧于客戶處遇到同業時可以談論的話題？(AUO企業誠信行為指南\_公平競爭及反托拉斯法律遵循) | |
|  | 分配市場、客戶、商業區 |
|  | 天氣預報 |
|  | 與其他公司商務接洽&事關友達或同業的機敏信息 |
|  | 利潤、銷售、成本、折扣、價格、製造 |

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| 18. 任何可能將公司資源或利益輸送給自己或親友之行為，原則上皆應禁止。(AUO員工廉潔守則) | |
|  | 否 |
|  | 是 |

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| 19. An AUO sales manager for the PRC has a sales employee from Europe join his China sales team. He assumes the sales employee received antitrust compliance training while in Europe. • The PRC sales manager should: | |
|  | Ask the person new to the China sales team if she had any kind of training |
|  | Wait until the next antitrust training session in three to six months |
|  | Do nothing |
|  | Contact AUO headquarters to find out if the transferred employee had antitrust compliance training while based in Europe |

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| 20. 光光與廠商約定採購12包裝的衛生紙，廠商需回饋他10元／包，以慰勞他對公司的盡心盡力，同時也將公司文具和衛生紙帶回家使用，請問他可能違反的廉潔守則為何？(AUO員工廉潔守則) | |
|  | 不得向供應商收取回扣或其他不正當利益。 |
|  | 以上皆是。 |
|  | 侵佔或竊取公司之器材、財物。 |
|  | 利用職務上之機會，詐取他人財物。 |

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| 21. 各級主管，對廉潔問題，何者當為，何者不當為，應培養判斷能力；不得以「沒有規定」為由，做遭人物議之事。(AUO員工廉潔守則) | |
|  | 否 |
|  | 是 |

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| 22. 達達發覺公司同仁與廠商有不正當利益往來，請問他可以透過下列哪一個管道舉報？(複選) (AUO企業誠信政策) | |
|  | 稽核人員 |
|  | 供應商反應信箱\_integrity@auo.com |
|  | 總經理信箱 |
|  | 直屬主管 or 人力資源主管 |

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| 23. 集體違反廉潔守則者，對其第一位自首人員，得免除其處分。(AUO員工廉潔守則) | |
|  | 否 |
|  | 是 |

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| 24. An AUO salesperson receives an e-mail from a colleague discussing apparent competitor contacts using the code name LKE. She strongly suspects LKE is a major Korean competitor. • What should she do? | |
|  | Contact the AUO Legal Office and ask what the code or reference means |
|  | Thank the sender for the information and go about her routine sales activities |
|  | Tell AUO’s Legal Office about the e-mail |
|  | Use the three-letter code name going forward |

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| 25. 各項業務之驗收人、證明人、會計審計人員于執行業務時，發現有違反廉潔守則事實者，應負舉發之責。(AUO員工廉潔守則) | |
|  | 否 |
|  | 是 |

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| 26. 達達閱讀廉潔守則後，發覺除所獲取之各項不正當利益，均應追繳發還被索取人或公司外，並依情節之大小處分或合併處分。請問下列為可能遭受的處分？(複選) (AUO企業誠信政策) | |
|  | 終止聘僱 |
|  | 書面警告 |
|  | 口頭告誡 |

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| 27. 友友違反廉潔守則，身為友友主管的達達在怎樣的情況下，需接受處分呢？(複選)(AUO員工廉潔守則) | |
|  | 為友友個人行為，達達無須接受處分 |
|  | 以上皆非 |
|  | 因督導不周，致所屬人員發生違反廉潔守則者 |
|  | 明知所屬人員有違反廉潔守則，而予以庇護或不予舉發者 |

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| 28. 達達參加公司年度策略會議，會議中有一拼圖活動，主持人暗示中間的缺塊是公司核心價值的根基，請問達達該選擇下列何者呢？(AUO企業誠信宣言) | |
|  | INNOCENCE |
|  | INTEGRITY |
|  | TRUTH |
|  | HONESTY |

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| --- | --- |
| 29. 甄選供應商時，應選擇其產品或服務在品質、價格與交期各方面最具競爭力者。(AUO員工廉潔守則) | |
|  | 否 |
|  | 是 |

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| 30. 基於反托拉斯法考慮，應儘量避免與同業競爭者的社交活動。(AUO企業誠信行為指南\_公平競爭及反托拉斯法律遵循) | |
|  | 否 |
|  | 是 |

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| 31. 各級主管及B1職等（含）以上同仁，如自身或三等親（含）以內親屬，在本公司之客戶、經銷商、經銷商客戶、供應商或競爭者之公司內，有投資或親屬有任職者，應將投資或親屬任職情形，以密件逐級層報至總經理核備。惟投資於公開上市公司者，不必呈報。(AUO員工廉潔守則) | |
|  | 否 |
|  | 是 |

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| 32. AUO employees should ignore or delete Compliance Messages sent by management. | |
|  | Wrong |
|  | Correct |

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| 33. A sales representative for a competitor in China approaches an AUO salesperson and shares that he is concentrating on 50-inch LCD panels with a certain customer and seeks to confirm that AUO will focus on the 32-inch LCD panel market with the same customer for the next 6 months. • The AUO sales representative should: | |
|  | Tell AUO’s Legal Office |
|  | Use the information in recommending a price to AUO headquarters |
|  | Submit high-priced bids for 50-inch panels with the customer in question in China, knowing AUO won’t win |
|  | Tell his boss that AUO should concentrate on 32-inch panels |

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| 34. A sales representative for a competitor in Taiwan comes across an AUO sales representative at an industry conference in Taipei and casually offers his prices to customers for three TV panel sizes. The AUO sales representative suspects the competitor’s sales rep is simply providing him false or misleading intelligence. • The AUO sales representative should: | |
|  | Challenge the competitor’s sales representative about whether he is sharing reliable information |
|  | Tell AUO’s Legal Office |
|  | Give the competitor bad information in return |
|  | Track TV panel size sales information and see if the information was accurate |

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| 35. An AUO salesperson learns that a person on the AUO Japan sales team is receiving pricing information of a competitor through an AUO agent in Japan. The AUO salesperson is told AUO and the competitor win the customer’s business in Japan alternately every other month. • The AUO salesperson should: | |
|  | Ask the agent about the pattern of AUO winning every other month |
|  | Inform AUO’s Legal Office |
|  | Conclude that AUO did not speak directly with a competitor so there must not be a problem |
|  | Ignore the information |

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| 36. 下列供應商贈送之物品組合，相關業務同仁及其家屬可以接受的是哪一項？(AUO員工廉潔守則) | |
|  | 市價100元年曆一份 |
|  | 市價1,680元愛臺灣高接梨一盒 |
|  | 市價1,999元登山背包一個 |
|  | 市價1,200元冰酒一瓶 |

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| 37. 達達受邀參加廠商舉辦虎斑研討會，下列廠商活動是達達可以參加的呢？(AUO企業誠信行為指南\_與客戶及供應商合作業務) | |
|  | 免費稻香蟲嗚鄉間自由行 |
|  | 免費知名遊樂園快樂行 |
|  | 便餐招待 |
|  | 免費日月潭二天一夜遊 |

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| 38. 除便餐外，原則上禁止接受遊樂招待。對此原則若有疑問，可自行決定。(AUO企業誠信行為指南\_與客戶及供應商合作業務) | |
|  | 否 |
|  | 是 |

|  |  |
| --- | --- |
| 39. 與競爭者共同制定或穩定價格是違反反托拉斯法的規定。(AUO企業誠信行為指南\_公平競爭及反托拉斯法律遵循) | |
|  | 否 |
|  | 是 |

|  |  |
| --- | --- |
| 40. 友達光電的核心價值根基為「誠信」。(AUO企業誠信宣言) | |
|  | 否 |
|  | 是 |

You fail in the test with score 98. The question(s) with wrong answer is(are) as:  
31. 各級主管及B1職等（含）以上同仁，如自身或三等親（含）以內親屬，在本公司之客戶、經銷商、經銷商客戶、供應商或競爭者之公司內，有投資或親屬有任職者，應將投資或親屬任職情形，以密件逐級層報至總經理核備。惟投資於公開上市公司者，不必呈報。(AUO員工廉潔守則)  
The answer your choose:否 ; The correct answer(s) is(are):是 ;