### Attacks on search-RLWE

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September 11, 2015

#### Overview

- Background
  - Number fields and canonical embeddings
  - Definitions related to RLWE
- The chi-square attack
  - Recap the [ELOS] attack
  - The new chi-square attack
- Galois RLWE
  - Properties of Galois RLWE
  - Vulnerable instances
- 4 Cyclotomics
  - Unramified primes (are secure)
  - Ramified prime (is vulnerable)

# Part 1/4: Background

# Minkowski embedding and the embedded lattice

Let K be a number field of degree n with ring of integers R and let  $\sigma_1, \cdots, \sigma_n$  be the embeddings of K into  $\mathbb{C}$ . Assume  $\sigma_1, \cdots, \sigma_{r_1}$  are the real embeddings.

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#### **Definition**

The Minkowski embedding of K is

$$\iota: \mathcal{K} \to \mathbb{R}^n$$

$$x \mapsto (\sigma_1(x), \cdots, \sigma_{r_1}(x), \operatorname{Re}(\sigma_{r_1+1})(x), \operatorname{Im}(\sigma_{r_1+1})(x), \cdots,$$

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It turns out that

$$\Lambda_R := \iota(R)$$

is a lattice in  $\mathbb{R}^n$ , we call it the *embedded lattice of K*.

### Discrete Gaussian distribution on lattices

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For a lattiace  $\Lambda \subset \mathbb{R}^n$  and  $\sigma > 0$ , the discrete Gaussian distribution on  $\Lambda$  with parameter  $\sigma$  is

$$D_{\Lambda,\sigma}(x) = \frac{\rho_{\sigma}(x)}{\sum_{y \in \Lambda} \rho_{\sigma}(y)}, \, \forall x \in \Lambda.$$

Equivalently, the probability of sampling any lattice point x is proportional to  $\rho_{\sigma}(x)$ .

### RLWE instance

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#### **Definition**

Let  $\mathcal{R}=(K,q,\sigma,s)$  be an RLWE instance and let R be the ring of integers of K. The *error distribution* of  $\mathcal{R}$ , denote by  $D_{\mathcal{R}}$ , is the discrete Gaussian on the embedded lattice  $\iota(R)$  with parameter  $\sigma$ :

$$D_{\mathcal{R}} = D_{\iota(R),\sigma}$$
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Remark: let V denote the covolume of the lattice  $\iota(R)$ . It is convenient to define a relative standard deviation parameter:  $\sigma_0 = \frac{\sigma}{V_n^{\frac{1}{n}}}$ .

### RLWE samples

### Definition (RLWE distribtuion)

Let  $\mathcal{R}=(K,q,\sigma,s)$  be an RLWE instance with error distribution  $D_{\mathcal{R}}$ , and let  $R_q$  denote the quotient ring R/qR. Then a sample from the *RLWE* distribution of  $\mathcal{R}$  is an ordered pair

$$(a, b = as + e \pmod{qR}) \in R_q \times R_q,$$

where the first coordiante a is chosen uniformly at random in  $R_q$ , and  $e \leftarrow D_R$ .

Notation:  $(a, b) \leftarrow \mathcal{R}$  means (a, b) is a sample from the RLWE distribution of  $\mathcal{R}$ .

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### Definition (Decision)

Let  $\mathcal R$  be an RLWE intance. The decision Ring-LWE problem, denoted by DRLWE( $\mathcal R$ ), is to distinguish between the same number of independent samples in two distributions on  $R_q \times R_q$ . The first is the RLWE distribution of  $\mathcal R$ , and the second consists of uniformly random and independent samples from  $R_q \times R_q$ .

# Part 2/4: The chi-square attack

The [ELOS] attack picks a prime ideal  $\mathfrak q$  above q and uses the reduction map

$$\pi: R/qR \to R/\mathfrak{q}R: \quad x \mapsto x \pmod{\mathfrak{q}}.$$

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$$\pi(e)$$
" =  $\pi(b) - \pi(a) \cdot \mathbf{g}$ .

Then it marks the correct guess based on the assumption that the distribution  $\pi(D_{\Lambda_R,\sigma})$  is distinguishable from the uniform distribution over the finite field  $F:=R/\mathfrak{q}R$ .

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However, for Galois extensions, these examples are harder to find. So a new attack is needed.

# Background on chi-sqaure test

Let S be a finite set partitioned into r subsets:  $S = \bigsqcup_{j=1}^r S_j$ . Given M samples  $y_1, \dots, y_M$  in S.

Null hypothesis: the samples are from taken the uniform distribution on S. we compute the expected and the actual number of samples that lie in each subset. Then the  $\chi^2$  value is

$$\chi^2(S, y) = \sum_{j=1}^r \frac{(actual_j - expect_j)^2}{expect_j}.$$

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$$\chi^{2}(S,y) = \sum_{j=1}^{r} \frac{(actual_{j} - expect_{j})^{2}}{expect_{j}}.$$

If the samples were drawn from the uniform distribution on S, then the  $\chi^2$  value follows the chi-square distribution with degree of freedom d=r-1. Hence we may use this to test uniform distribution.

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- For each guess s' of  $s \pmod{\mathfrak{q}}$ :
  - compute the "errors"  $e' = b \pmod{\mathfrak{q}} a \pmod{\mathfrak{q}}s'$  for all samples (a, b).
  - run the chi-square uniform test on the "errors" e'.
  - accept s' as a good guess if the test rejects the uniform hypothesis.

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- 2 Repeat (1) with more samples and the set of good guesses until there is only one good guess  $s_g$  left, and ouput  $s_g$ . (If there is no good guess left, output fail).

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The complexity of our attack is  $O(q^f)$  in time and  $O(q^f)$  in space.

### the detailed attack

### **Algorithm 1** chi-square attack of $SRLWE(\mathcal{R},\mathfrak{q})$

**Require:**  $\mathcal{R} = (K, q, \sigma, s)$  – an RLWE instance.  $\mathfrak{q}$  – a prime ideal in K above q. S – a collection of M ( $M = \Omega(N)$ ) RLWE samples  $(a, b) \sim \mathcal{R}$ .

**Ensure:** a guess of the value  $s \pmod{\mathfrak{q}}$ , or **NON-RLWE**, or **INSUFFIICNET-SAMPLES** 

### INSUFFIICNE I-SAMPLES

- 1:  $\alpha \leftarrow 1 \frac{1}{10N}$ ,  $\omega \leftarrow \Phi^{-1}((1+\alpha)/2)$ ,  $G = \emptyset$ .
- 2: **for** *s* in *F* **do**
- 3:  $E \leftarrow [b \pmod{\mathfrak{q}} a \pmod{\mathfrak{q}}s \text{ for } a, b \text{ in } S].$
- 4: end for
- 5: Run  $\chi^2$  test on E with B bins and obtain the value  $\chi^2(E)$ .
- 6: **if**  $|\chi^2(E) (B-1)| > \omega \sqrt{2B-2}$  **then**
- 7: add s to G
- 8: end if
- 9: if  $G = \emptyset$  then return NOT RLWE
- 10: else if  $G = \{g\}$  then return g
- 11: else return INSUFFIICNET-SAMPLES
- 12: **end if**



# Part 3/4: Galois RLWE

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# Theorem (Search-to-Decision)

Let  $\mathcal{R}=(K,q,\sigma,s)$  be an RLWE instance, where K is Galois of degree n and q is unramified in K with residue degree f. Suppose there is an algorithm A which recovers  $s \pmod q$  for some prime q above q using a set S of samples. Then the problem  $\mathsf{SRLWE}(\mathcal{R})$  can be solved using n/f calls to A and the same set S of samples.

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### Theorem (Independence of $\mathfrak{q}$ )

Keeping the above assumptions. Then the error distribution  $D(\mathcal{R},\mathfrak{q}):=D_{\mathcal{R}}\pmod{\mathfrak{q}}$  is independent of the choice of prime ideal  $\mathfrak{q}$  above q.

#### Vulnerable instances

We consider subfields of form  $K_{m,H} = \mathbb{Q}(\zeta_m)^H$ , where  $H \leq (\mathbb{Z}/m\mathbb{Z})^*$ .

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#### Table: Attacked sub-cyclotomic RLWE instances

m	gens of H	n	q	f	$\sigma_0$	М	runtime (in hours)
2805	[1684, 1618]	40	67	2	1	22445	3.49
15015	[12286, 2003, 11936]	60	43	2	1	11094	1.05
15015	[12286, 2003, 11936]	60	617	2	1.25	8000	228.41 (estimated)
90321	[90320, 18514, 43405]	80	67	2	1	26934	4.81
255255	[97943, 162436, 253826, 248711, 44318])	90	2003	2	1.25	15000	1114.44 (estimated)
285285	[181156, 210926, 87361]	96	521	2	1.1	5000	75.41 (estimated)
1468005Z	[312016, 978671, 956572, 400366]	100	683	2	1.1	5000	276.01 (estimated)
1468005	[198892, 978671, 431521, 1083139]	144	139	2	1	4000	5.72

# Why are higher degree primes vulnerable?

Imagine the following (unlikely) scenario that  $\Lambda_R$  has an orthogonal basis  $v_1, \dots, v_n$  such that  $||v_i||_2 \ll ||v_{i+1}||_2$  for all i.

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The real situation is similar to the hypothetical one above. One could optimise the attack based on this observation, reducing the space complexity to O(q).

We demonstrate search-to-decision and the "degree 2" phenomenon with an example:

• m = 3003,  $H = \langle 2276, 2729, 1123 \rangle$ , n = 30, q = 131, f = 2,  $\sigma_0 = 1$ .

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- We use LLL algorithm on a given basis and obtained a reducebasis  $v_1, \dots, v_n$  for R, ordered by length, out of which  $v_1, \dots, v_{n/2}$  (mod  $\mathfrak{q}_i$ ) lie in the smaller field  $\mathbb{F}_q$  (and the rest lie in  $\mathbb{F}_{q^2} \mathbb{F}_q$ ).

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Result: we used 1000 samples; the attack succeeded in 32.8 hours. Remark: the last step is parallelizable.

# Part 4/4: Cyclotomics

# Background on Fourier analysis

Suppose f is a real-valued function on  $\mathbb{F}_q$ . The Fourier transform of f is defined as

$$\hat{f}(y) = \sum_{a \in \mathbb{F}_q} f(a) e^{-2\pi i a y/q}.$$

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Let  $\delta$  be the dirac delta function and  $u \equiv 1/q$ .

### Fact (Properties of Fourier transform)

- $\bullet \quad \hat{\delta} = qu, \ \hat{u} = \delta.$
- $\widehat{f * g} = \widehat{f} \cdot \widehat{g}.$

### A simplified error distribution

#### Definition

For any even integer  $k \geq 2$ , let  $\mathcal{V}_k$  denote the distribution over  $\mathbb{Z}$  such that

$$\mathsf{Prob}(\mathcal{V}_k = t) = egin{cases} \left( \frac{\binom{k}{t + \frac{k}{2}}}{2^k} & \mathsf{if} \ |t| \leq \frac{k}{2} \\ 0 & \mathsf{otherwise}. \end{cases}$$

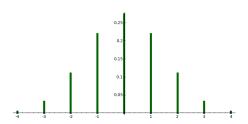


Figure: Probability density function of  $V_8$ 

#### Modified error distribution

### Definition (Modified error distribtuion $MD_{m,q,k}$ )

Let m, q be integers such that  $q \equiv 1 \pmod{m}$  and let  $k \geq 2$  be even. Then a sample from the *modified error distribtuion*  $MD_{m,q,k}$  is

$$e = \sum_{i=0}^{n-1} e_i \zeta_m^i \pmod{qR},$$

where the coefficients  $e_i$  are sampled i.i.d. from  $\mathcal{V}_k$ .

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Let  $\alpha$  be a primitive m-th root of unity in  $\mathbb{F}_q$ , corresponding to a prime  $\mathfrak{q}$ . Then

$$ar{e} = e \pmod{\mathfrak{q}} = \sum_i e_i lpha^i.$$

Note that  $\bar{e}$  is a random variable with value in  $\mathbb{F}_q$ . We abuse notations and let  $\bar{e}$  denote its own probability density function.

# Cyclotomics

#### Lemma

$$\widehat{\overline{e}}(y) = \prod_{i=1}^n \cos\left(\frac{\alpha^i \pi y}{q}\right)^k.$$

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#### **Theorem**

For all  $a \in \mathbb{F}_q$ ,

$$|\bar{e}(a) - 1/q| \le \frac{1}{q} \sum_{y \in \mathbb{F}_q, y \ne 0} |\hat{e}(y)|. \tag{4.1}$$

# Cyclotomics

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(4.1)

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For all  $a \in \mathbb{F}_q$ ,

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eq 0} |\hat{ar{e}}(y)|.$$

Corollary

Let 
$$u\equiv 1/q$$
 denote the p.d.f. for the uniform distribution, then

$$d(\bar{e},u) \leq \frac{1}{2} \sum_{y \in \mathbb{F}_{a}, y \neq 0} |\hat{\bar{e}}(y)| =: \epsilon(m,q,k,\alpha).$$

#### A table of $\epsilon$ values

Let  $\epsilon(m, q, k) = \max\{\epsilon(m, q, k, \alpha) : \alpha \text{ has order } m \text{ in } \mathbb{F}_q\}.$ 

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Table: Values of  $\epsilon(m, q, 2)$ 

m	n	q	$-[\log_2(\epsilon(m,q,2))]$				
244	120	1709	230				
101	100	1213	177				
256	128	3329	194				
256	128	14081	208				
55	40	10891	44				
197	196	3547	337				
96	32	4513	35				
160	64	20641	61				
145	112	19163	176				
512	256	10753	431				
512	256	19457	414 → 4 🗗 →	< 분 > < 분 >	1	990	24/28

# Ramified prime (is vulnerable)

We consider  $K = \mathbb{Q}(\zeta_p)$  and q = p. Then there is a unique prime ideal  $\mathfrak{p} = (1 - \zeta_p)$  above p, and the reduction map  $\pi : R/pR \to \mathbb{F}_p$  satisfies

$$\pi(\zeta_p^i) = 1, \quad \forall i.$$

We will exploit this property for our attack.

The error is  $e = \sum_{i=0}^{p-2} e_i \zeta_p^i$ , where  $e_i \sim D_{\mathbb{Z},\sigma}$  i.i.d.

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We have  $e \pmod{\mathfrak{p}} = \sum_i e_i$ , and when  $p \gg 1$ , by central limit theorem,

$$\sum_i e_i \to N(0, \sigma^2(p-1)).$$

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Remark: we actually want to attack RLWE examples. So I tried chi-square attack and RLWE errors generated by the sampling method in [GPV].

# Attacked ramified prime for prime cyclotomic RLWE

#### Examples:

- p = 251,  $\sigma = 0.55$ .
- p = 503,  $\sigma_0 = 0.56$ .

Thank you to everyone for a great summer!