

# Computational aspects of modular parametrizations of elliptic curves

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# Critical subgroups of elliptic curves

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# Elliptic curves over $\mathbb{Q}$

## Definition

An **elliptic curve** over  $\mathbb{Q}$  is a nonsingular projective curve  $E \subseteq \mathbb{P}^2$  with defining equation

$$y^2z = x^3 + Axz^2 + Bz^3,$$

where  $A, B \in \mathbb{Q}$  and  $4A^3 + 27B^2 \neq 0$ .

## Theorem (Mordell-Weil)

$E(\mathbb{Q})$  is a finitely generated abelian group, i.e.,

$$E(\mathbb{Q}) \cong \mathbb{Z}^r \oplus T,$$

for some  $r \geq 0$  and  $T$  finite.

$r$  is called the **rank**.  $T$  is the **torsion subgroup**.

# The BSD conjecture

There is an entire function  $L(E, s)$  called the  $L$ -function of  $E$ .

The rank part of the **Birch and Swinnerton-Dyer (BSD) conjecture** is:

$$\text{rank}(E(\mathbb{Q})) = \text{ord}_{s=1} L(E, s).$$

RHS is the **analytic rank**, denoted by  $r_{an}(E)$ .

The BSD conjecture is open when  $r_{an}(E) > 1$ .

The proof of BSD for  $r_{an}(E) = 1$  uses a construction called Heegner points.

# Modular curves

Let  $N \geq 1$  be an integer, consider the group

$$\Gamma_0(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) : N \mid c \right\}.$$

Let  $\mathcal{H}^* = \{z \in \mathbb{C} : \text{im}(z) > 0\} \cup \mathbb{P}^1\mathbb{Q}$ .

$\Gamma_0(N)$  acts on  $\mathcal{H}^* = \mathcal{H} \cup \mathbb{Q} \cup \{\infty\}$ .

## Definition

$$X_0(N) = \Gamma_0(N) \backslash \mathcal{H}^*.$$

- $X_0(N)$  has the structure of a nonsingular projective curve.
- Rational functions on  $X_0(N)$  are called modular functions. They have  **$q$ -expansions** at infinity:

$$u(q) = \sum_{n \geq -m} b_n q^n, \quad q = e^{2\pi iz}.$$

# The modularity theorem

## Theorem (Modularity)

*For every elliptic curve  $E/\mathbb{Q}$ , there exists an integer  $N > 1$  and a surjective morphism  $\varphi : X_0(N) \rightarrow E$  defined over  $\mathbb{Q}$ .*

The smallest  $N$  is called the conductor of  $E$ .

Let  $\omega = \varphi^*\left(\frac{dx}{y}\right)$ . Then  $\omega = cf(z)dz$ , where  $f$  is the **modular form attached to  $E$** .

We assume  $E$  is optimal. Then  $\varphi$  is unique up to sign.

# The critical subgroup $E_{crit}(\mathbb{Q})$

Let  $R_\varphi = \sum (e_\varphi(z) - 1)[z]$  be the ramification divisor of  $\varphi$ .

## Definition (Mazur, Swinnerton-Dyer)

The **critical subgroup** of  $E$  is

$$E_{crit}(\mathbb{Q}) = \langle tr(\varphi([z])) : [z] \in \text{supp } R_\varphi \rangle \subseteq E(\mathbb{Q}),$$

where  $tr(P) = \sum_{\sigma: \mathbb{Q}(P) \rightarrow \bar{\mathbb{Q}}} P^\sigma$ .

- $R_\varphi = \text{div}(\omega)$ . In particular,  $\deg R_\varphi = 2g(X_0(N)) - 2$ .

## Question

*Is there an elliptic curve  $E/\mathbb{Q}$  with  $r_{an}(E) \geq 2$  and  $\text{rank}(E_{crit}(\mathbb{Q})) > 0$ ?*

## Definition

Write  $\operatorname{div}(\omega) = \sum n_z[z]$ . The **critical  $j$ -polynomial** of  $E$  is

$$F_{E,j}(x) = \prod_{z \in \operatorname{supp} \operatorname{div}(\omega), j(z) \neq \infty} (x - j(z))^{n_z}.$$

$F_{E,j}(x) \in \mathbb{Q}[x]$ ,  $\deg F_{E,j} \leq 2g - 2$ . Equality holds if  $N$  is square free. For  $h \in \mathbb{Q}(X_0(N))$ , can define  $F_{E,h}(x)$ .



# Polynomial Relation (I)

Let  $r, u \in \mathbb{Q}(C)$ , a **minimal polynomial relation** of  $r$  and  $u$  is an irreducible polynomial  $P(x, y) \in \mathbb{Q}[x, y]$ , such that  $P(r, u) = 0$ .

Say  $P(x, y) = f_n(y)x^n + \cdots + f_1(y)x + f_0(y)$ .

## Proposition (C.)

If  $\mathbb{Q}(C) = \mathbb{Q}(r, u)$  and  $\gcd(f_0(y), f_n(y)) = 1$ , then

$$f_0(y) = c \prod_{z \in \operatorname{div}_0(r) \setminus \operatorname{div}_\infty(u)} (y - u(z))^{\operatorname{mult}_z(\operatorname{div}_0(r))}.$$

## Polynomial Relation (II)

Set

$$r = j(j - 1728) \frac{\omega}{dj}, \quad u = \frac{1}{j}.$$

Then  $r, u \in \mathbb{Q}(X_0(N))$ , and  $\text{div}_0(r) = \text{div}(\omega) + D_0$ , where points in  $\text{supp } D_0$  have  $j$ -value 0 or 1728.

### Proposition (C.)

*For  $T \gg 0$ , let  $P(x, y) = f_n(y)x^n + \cdots + f_1(y)x + f_0(y)$  is a minimal polynomial relation of  $ry^T$  and  $u$ . Then*

$$F_{E,j}(x) = f_0(1/x) \cdot x^A (x - 1728)^B$$

*where  $A, B$  are explicitly computable integers.*

# The Algorithm

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**Input:** The newform  $f_E$  attached to elliptic curve  $E/\mathbb{Q}$ ;

**Output:**  $F_{E,j}$ .

$$r = \frac{j(j-1728)f_E dz}{dj}, \quad u = \frac{1}{j}.$$

Compute the  $q$ -expansions of  $r$  and  $u$  to  $q^M$ .

Solve the system  $\sum c_{a,b} r(q)^a u(q)^b = 0 \pmod{q^M}$

Set  $P(x, y) = \sum c_{a,b} x^a y^b$  and apply the theorem.

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## Example

$$F_{44a,j}(x) = H_{-44}(x)^2. \quad F_{37a,j}(x) = H_{-148}(x). \quad F_{37b,j}(x) = H_{-16}(x)^2.$$

# The critical subgroup $E_{crit}(\mathbb{Q})$

For  $i = 2, 3$ , let  $\mathcal{E}_i(N)$  be the set of elliptic points on  $X_0(N)$  of period  $i$ .

## Lemma (C.)

$$6P_{all} = -3 \sum_{c \in \mathcal{E}_2(N)} \varphi(c) - 4 \sum_{d \in \mathcal{E}_3(N)} \varphi(d).$$

## Theorem (C.)

Suppose  $r_{an}(E) \geq 2$ , and assume at least one of the following holds:

(1)  $F_{E,j} = \prod_{m=1}^k H_{D_m}^{s_i} \cdot F_0$ , where  $\mathbb{Q}(\sqrt{D_m}) \neq \mathbb{Q}(\sqrt{D_n})$  for all  $m \neq n$ , and  $F_0$  is irreducible.

(2)  $F_{E,h}$  is irreducible for some non-constant function  $h \in \mathbb{Q}(X_0(N))$ .

Then  $\text{rank}(E_{crit}(\mathbb{Q})) = 0$ .

# Critical polynomials for elliptic curves of rank 2 and conductor $< 1000$ (I)

$E^1$	$g(X_0(N))$	$h$	Factorization of $F_{E,h}(x)$
389a	32	$j$	$H_{-19}(x)^2(x^{60} + \dots)$
433a	35	$j$	$x^{68} + \dots$
446d	55	$j$	$x^{108} + \dots$
563a	47	$j$	$H_{-43}(x)^2(x^{90} - \dots)$
571b	47	$j$	$H_{-67}(x)^2(x^{90} - \dots)$
643a	53	$j$	$H_{-19}(x)^2(x^{102} - \dots)$
664a	81	$\frac{\eta_4 \eta_8^2 \eta_{332}^5}{\eta_{166} \eta_{664}^6 \eta_2}$	$x^{160} - \dots$
655a	65	$j$	$x^{128} - \dots$
681c	75	$j$	$x^{148} - \dots$
707a	67	$j$	$x^{132} - \dots$

# Critical polynomials for elliptic curves of rank 2 and conductor $< 1000$ (II)

$E$	$g(X_0(N))$	$h$	Factorization of $F_{E,h}(x)$
709a	58	$j$	$x^{114} - \dots$
718b	89	$j$	$H_{-52}(x)^2(x^{172} - \dots)$
794a	98	$j$	$H_{-4}(x)^2(x^{192} - \dots)$
817a	71	$j$	$x^{140} - \dots$
916c	113	$j$	$H_{-12}(x)^8(x^{216} + \dots)$
944e	115	$\frac{\eta_{16}^4 \eta_4^2}{\eta_8^6}$	$x^{224} - \dots \cdot^2$
997b	82	$j$	$H_{-27}(x)^2(x^{160} - \dots)$
997c	82	$j$	$x^{162} - \dots$

<sup>1</sup>Here 4 of the critical points are cusps, so  $\deg F = 2g - 6$ .

## Corollary

*For all elliptic curves  $E$  of rank 2 and conductor  $N < 1000$ , the rank of  $E_{crit}(\mathbb{Q})$  is 0.*

## Future work

- Compute  $E_{crit}(\mathbb{Q})$  for  $E = \mathbf{5077a}$ .
- Prove or disprove that  $\text{rank}(E_{crit}(\mathbb{Q})) = 0$  whenever  $r_{an}(E)$  is even.

# $q$ -expansion of newforms at non-unitary cusps

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# Modular forms

Let  $f$  be a function  $f : \mathcal{H} \rightarrow \mathbb{C}$ ,  $\alpha = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z})$ , and let  $k \in \mathbb{Z}$ . The weight- $k$  action of  $\alpha$  on  $f$  is defined by

$$f|[\alpha]_k(z) := (cz + d)^{-k} f(\alpha z).$$

## Definition

A **modular form** of weight  $k$  and level  $N$  is a holomorphic function  $f : \mathcal{H} \rightarrow \mathbb{C}$  s.t.

- (1)  $f(z) = f|[\alpha]_k(z)$ ,  $\forall \alpha \in \Gamma_0(N)$  ( $\Gamma_1(N)$ ).
- (2)  $f$  has holomorphic extension to all cusps of  $X_0(N)$  ( $X_1(N)$ ).

**Cusp forms** = modular forms that are zero at all cusps.

Modular forms have  **$q$ -expansions**:  $f(q) = \sum_{n \geq 0} a_n q^n$ ,  $q = \exp(2\pi iz)$ .

The space of cusp forms =  $S_k(N)$ .

# Operators on modular forms

- Hecke operators: a family  $\{T_n, n \geq 1\} \cup \{\langle d \rangle : (d, N) = 1\}$  of commuting linear operators on  $S_k(N)$ .
- $B_d$  and  $U_d$  operators:  $B_d(\sum a_n q^n) = \sum a_n q^{nd}$ ,  
 $U_d(\sum a_n q^n) = \sum a_{nd} q^n$ .
- The Atkin-Lehner involution  $W_N$ . If  $f$  is a newform on  $\Gamma_1(N)$ , then

$$f|W_N = w(f)\bar{f}$$

$w(f) \in \mathbb{C}_1$  is called the **pseudo-eigenvalue** of  $f$ .

- When  $M \mid N$ ,  $\exists$  degeneracy maps  $S_k(M) \rightarrow S_k(N)$ .
- Old subspace = span of images of all degeneracy maps.
- New subspace = (Old subspace) $^\perp$ .
- $S_k(N)^{new}$  has a basis of simultaneous eigenforms for **all** Hecke operators. These eigenforms are called **newforms**.

# Fourier expansion

Let  $f \in S_k(\Gamma_0(N))$  be a newform and let  $\mathfrak{c} \in X_0(N)$  be a cusp other than  $\infty$ .

Goal: compute the expansion of  $f$  at  $\mathfrak{c}$ .

First, only well-defined for  $\text{denom}(\mathfrak{c})^2 \mid N$ .

Equivalent to computing the expansion of

$$f \mid \left[ \begin{pmatrix} 1 & 0 \\ Id & 1 \end{pmatrix} \right]$$

at  $\infty$  for all  $d^2 \mid N, l \in (\mathbb{Z}/N\mathbb{Z})^\times$ .

# Idea of computing

Let  $S'_c = \begin{pmatrix} 1 & \frac{1}{c'} \\ 0 & 1 \end{pmatrix}$  and  $A'_c = \begin{pmatrix} 1 & 0 \\ c' & 1 \end{pmatrix}$ . Then

$$A_c^{-u} = W_N S'_c{}^u W_N, \forall u \in \mathbb{Z}.$$

For a character  $\chi$  modulo  $c'$ , put

$$f|R_\chi(c') := \sum_{u=0}^{c'-1} \bar{\chi}(u) f|S'_c{}^u.$$

$f|R_\chi(\text{cond } \chi) = g(\bar{\chi})f_\chi$ . ( $f_\chi(q) = \sum a_n(f)\chi(n)q^n$  is a modular form of level  $N'$ .) We have

$$\varphi(c')A_c^{-a} = \sum_{\text{cond}(\chi)|c'} \chi(a)W_N R_\chi(c')W_N. \quad (2.1)$$

Applying to  $f$ , we arrive at

$$\boxed{f_{[\frac{a}{c}]}(q) = \frac{w(f)}{\varphi(c')} \sum_{\text{cond}(\chi)|c'} \chi(-a) f|R_\chi(c')W_N.} \quad (2.2)$$

## Idea (ctnd)

Now it left to compute the expansions of each  $f|R_\chi(c')W_N$  in the sum.  
 $f \otimes \chi :=$  the unique newform such that  $a_p(f \otimes \chi) = a_p(f_\chi)$  for almost all  $p$ . (We call  $f \otimes \chi$  the **twist of  $f$  by  $\chi$** ).  
If  $c' = \text{cond}(\chi)$  and  $f_\chi = f \otimes \chi$ , then  $f|R_\chi(c')W_N = w(f \otimes \chi)f_\chi$ .

Otherwise,  $f_\chi = (f \otimes \chi)|(1 - U_d|B_d)$ , and we use

### Lemma

$$f|B_d|W_N = \left(\frac{N}{Md^2}\right)^{k/2} w(f)(f|B_{\frac{N}{Md}})^*.$$

Conclusion: suffices to compute  $f \otimes \chi$  and  $w(f \otimes \chi)$ .

# Algorithm for twists

## Lemma

*Let  $\epsilon$  be the character of  $f$ . Then the level of  $f \otimes \chi$  divides  $\text{lcm}(N, \text{cond}(\epsilon) \text{cond}(\chi), \text{cond}(\chi)^2)$ .*

## Lemma

*For every  $N \geq 1$ , there exists an integer  $B = B(N)$  such that if  $g_1, g_2$  be two normalised newforms of levels  $N_1, N_2$  dividing  $N$  and*

$$a_n(g_1) = a_n(g_2), \text{ for all } 1 \leq n \leq B \text{ such that } \gcd(n, N) = 1,$$

*then  $g_1 = g_2$ .*

# Algorithm to compute $f \otimes \chi$

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**Algorithm 1** Identifying  $f \otimes \chi$ 

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**Input:**  $f \in S_k(\Gamma_0(N))$  a normalized newform;  $\chi$  – Dirichlet character of prime power conductor  $Q = q^\beta$  ( $Q^2 \mid N$ ).

**Output:** The newform  $f \otimes \chi$ .

**for** each  $M \mid N$  **do**

    Compute a basis  $\{g_1, \dots, g_s\}$  of  $S_k(M, \chi^2)^{new}$ .

$B :=$  the Sturm bound for  $\Gamma_1(MQ^2)$ .

**for**  $1 \leq j \leq s$  **do**

**if**  $a_n(g_j) = a_n(f)\chi(n)$  for all  $1 \leq n \leq B, \gcd(n, q) = 1$  **then**

**return**  $g_j$ .

**end if**

**end for**

**end for**

**return** Error.

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# Computing the pseudo-eigenvalue

Recall that modular symbols are linear combinations of  $\{\alpha, \beta\}$ ,  $\alpha, \beta \in \mathbb{Q} \cup \infty$ , and we put

$$\langle f, \{\alpha, \beta\} \rangle := \int_{\alpha}^{\beta} f dz.$$

## Lemma

*There exists a weight- $k$  modular symbol  $M$  be such that  $W_N(M) = N^{k/2-1}M^*$ . Moreover, if  $\langle f, M \rangle \neq 0$ , then*

$$w(f) = \frac{\langle f, M \rangle}{\overline{\langle f, M \rangle}}.$$

# Examples (I)

## Example

$E = \mathbf{50a}$ .  $f_E$  is twist-minimal. Let  $\mathfrak{c} = [\frac{1}{10}]$ , write  $\alpha_0 = 0$  and

$$x^4 + x^3 + x^2 - x + \frac{1}{5} = (x - \alpha_1)(x - \alpha_2)(x - \alpha_3)(x - \alpha_4).$$

Then

$$f_{\mathfrak{c}}(q) = \sum_{n \geq 1} \alpha_n \bmod 5 a_n(f) q^n.$$

## Example

Let  $E = \mathbf{48a}$  and let  $\mathfrak{c} = [\frac{1}{12}]$ . We computed that

$$f_{\mathfrak{c}}(q) = -2iq^2 + 2iq^6 + O(q^7).$$

Since the first coefficient vanishes, we conclude that the modular parametrization  $\varphi : X_0(48) \rightarrow E$  is ramified at the cusp  $\mathfrak{c}$ .

## Examples (II)

### Definition

A newform  $f$  is *twist-minimal* if it is not a twist of a newform of lower level.

### Example

Let  $E = \mathbf{98a}$  and  $\mathfrak{c} = [\frac{1}{14}]$ . Then  $f_E$  is not twist-minimal. More precisely, if  $\chi$  is the quadratic character modulo 7, then

$$f \otimes \chi(q) = q - q^2 - 2q^3 + q^4 + O(q^6)$$

is a newform of level 14. We computed numerically that

$$\begin{aligned} f_{\mathfrak{c}}(q) = & (-0.755 - 0.172i)q + (0.441 - 0.916i)q^2 + (1.392 + 1.110i)q^3 \\ & + (0.696 - 0.555i)q^4 + (1.510 - 0.344i)q^6 - 3.023iq^7 + O(q^8) \end{aligned}$$

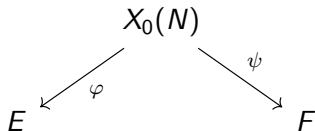
Assume  $E/\mathbb{Q}$ ,  $f = f_E$  is minimal by twist.

- relation to automorphic side:  
pseudo-eigenvalues relates to epsilon factors of  $\pi_{f \otimes \chi}$ . Another way to determine the local components of  $\pi_f$ .
- Let  $\mathfrak{c}$  be a cusp of prime denominator  $p \geq 5$ . Seems that  $a_1(f_{\mathfrak{c}})$  is only divisible by primes that are  $\pm 1 \pmod{p}$ . Can we prove this?

# Chow-Heegner points: a preliminary study

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# Definition: Chow-Heegner points



$E, F$ : two non-isogeneous elliptic curves of same conductor  $N$ .

$\varphi, \psi$ : modular parametrisations of  $E, F$ .

The **Chow-Heegner point** associated to the pair  $(E, F)$  is

$$P_{E,F} = \sum \varphi(\psi^*(Q)), \forall Q \in F(\mathbb{C})$$

- Facts: (1)  $P_{E,F}$  is independent of the choice of  $Q$ ;  
(2)  $P_{E,F} \in E(\mathbb{Q})$ .

# Even index of Chow-Heegner points

Numerical evidence suggests that the index  $i_{E,F}$  is always divisible by 2.

## Theorem (C.)

*Let  $\sigma_0(N)$  denote the number of distinct prime factors of  $N$ . If*

$$\sigma_0(N) > \log_2(\#E[2](\mathbb{Q})) + \log_2(\#F[2](\mathbb{Q})) + 2,$$

*then  $P_{E,F} \in 2E(\mathbb{Q})$ .*

There exist numerical algorithms to compute Chow-Heegner points.

- Darmon, Daub, Lichtenstein and Rotger – using (complex and  $p$ -adic) iterated integrals.
- Stein – using complex integration to lift points via modular parametrization.



# My algorithm and an example

We present an algorithm that either computes the Chow-Heegner point  $P_{E,F}$  or outputs fail. Let  $x_E, y_E, x_F, y_F$  be the compositions of  $\varphi, \psi$  with the  $x$  and  $y$  coordinate functions on  $E$  and  $F$ , respectively. Note that there exists an algorithm to compute the  $q$ -expansions of  $x_E, x_F, y_E$  and  $y_F$ .

# Algorithm: computing Chow-Heegner points

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**Input:**  $E, F$  = non-isogeneous elliptic curves of conductor  $N$ ;  $q$ -expansions of  $x_E, y_E, x_F, y_F$ .

**Output:**  $P_{E,F}$ .

$u_E := (x_E)^{-1}$  and  $u_F := (x_F)^{-1}$ .

Compute a polynomial  $F(x, y)$  such that  $F_{E,F}(u_E, u_F) = 0$ .

$f_{ch,x}(x) := F_{E,F}(u_E, 0)$ .

Repeat for  $v_E = (y_E)^{-1}$  and  $u_F$ , get  $f_{ch,y}(x)$ .

Factor  $f_{ch,x} = \prod (x - a_i)$  over  $\bar{\mathbb{Q}}$ .

**for** each  $a_i$  **do**

**if**  $f_{ch,y}(b_i) = 0$  **then**  $P_i = (a_i, b_i)$

**else**  $P_i = -(a_i, b_i)$ .

**end if**

**end for**

Output  $P_{E,F} = \sum_i P_i$ .

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# Example

## Example

Consider  $E = \mathbf{89a}$  and  $F = \mathbf{89b}$ . Here  $\deg(\varphi) = 2$  and  $\deg(\psi) = 5$ . Let  $D = D_{\varphi,\psi} = \varphi(\psi^*(\infty)) \in \text{div}(E)$ . Define  $G_1(x) = \prod_{P \in D} (x - x(P))$  and  $G_2(y) = \prod_{P \in D} (y - y(P))$ . We computed

$$G_1(x) = x^4 + \frac{13}{4}x^3 + \frac{17}{4}x^2 + \frac{21}{4}x + \frac{9}{2}, \quad G_2(y) = y^4 + \frac{1}{8}y^3 + \frac{21}{4}y^2 + \frac{7}{2}y + 3.$$

$G_1(x)$  is irreducible over  $\mathbb{Q}$ . Write  $G_1(x) = \prod (x - a_i)$  with  $a_i \in \bar{\mathbb{Q}}$ . We found  $b_i = -\frac{8}{9}a_i^3 - \frac{20}{9}a_i^2 - \frac{28}{9}a_i - \frac{10}{3}$  is the root of  $G_2$  such that  $(a_i, b_i) \in E$ . Hence

$$P_{E,F} = \sum_{i=1}^4 P_i, \quad \text{where } P_i = (a_i, b_i).$$

Carrying out the summation, we obtain  $P_{E,F} = (\frac{3}{4}, -\frac{15}{8})$ .

# Future work

- Prove even index in all cases.
- Verify the data of Chow-Heegner points in Stein's paper and extend the table.

Thank you!