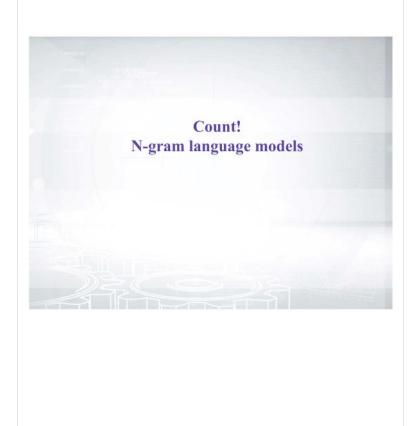
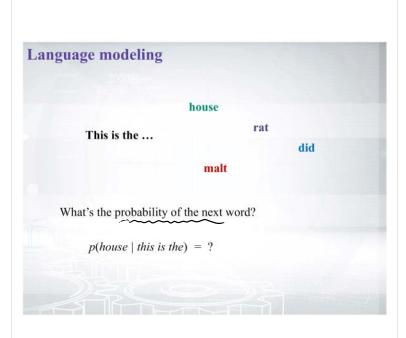


count n-gram





This is the house that Jack built.
This is the malt
That lay in the house that Jack built.
This is the rat,
That ate the malt
That lay in the house that Jack built.
This is the cat,
That killed the rat,
That ate the malt
That lay in the house that Jack built.

 $p(house \mid this is the) =$ 

This is the house that Jack built. This is the malt

That lay in the house that Jack built.

This is the rat,

That ate the malt

That lay in the house that Jack built.

This is the cat,

That killed the rat,

That ate the malt

That lay in the house that Jack built.

 $p(house \mid this is the) =$ 

This is the house that Jack built.

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That lay in the house that Jack built.

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That ate the malt

That lay in the house that Jack built.

 $p(house \mid this is the) =$ 

This is the house that Jack built.

This is the malt

That lay in the house that Jack built.

This is the rat,

That ate the malt

That lay in the house that Jack built.

This is the cat,

That killed the rat,

That ate the malt

That lay in the house that Jack built.

$$p(house \mid this \ is \ the) = \frac{c(this \ is \ the \ house)}{c(this \ is \ the \ ...)} = \frac{1}{4}$$

What is the probability of "Jack" given the previous word "that": p(Jack | that) = ?

Toy corpus:

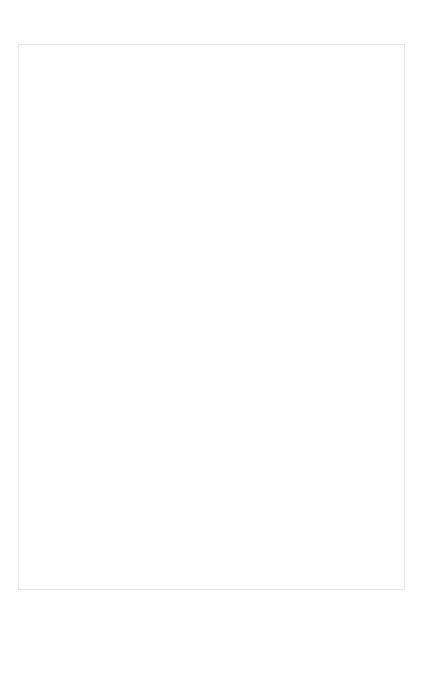
This is the house that Jack built. This is the malt that lay in the house that Jack built. This is the rat, that ate the malt that lay in the house that Jack built. This is the cat, that killed the rat, that ate the malt that lay in the house that Jack built.

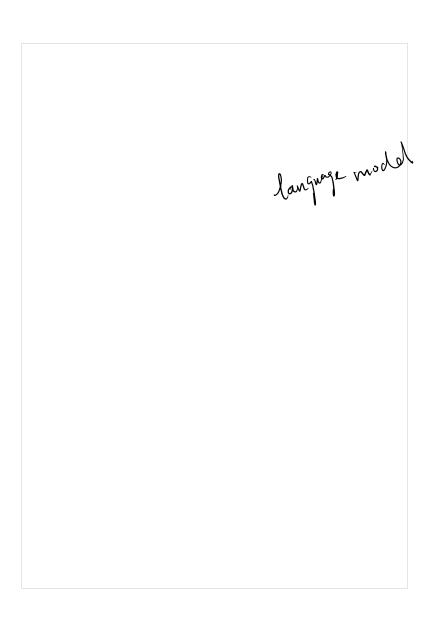
0.4

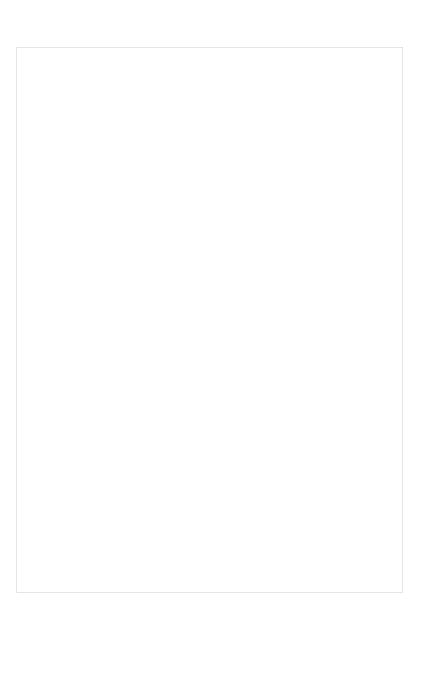
## Correct Response

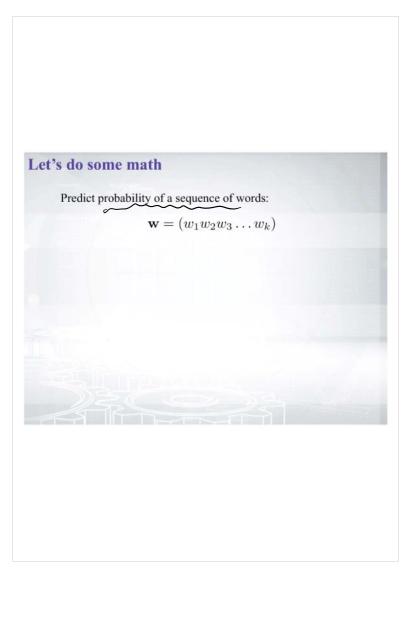
c(that) = 10, c(that Jack) = 4

## This is the house that Jack built. This is the malt That lay in the house that Jack built. This is the rat, That ate the malt That lay in the house that Jack built. This is the cat, That killed the rat, That ate the malt That lay in the house that Jack built. 4-grams $p(house \mid this is the) = \frac{c(this is the house)}{c(this is the ...)} = \frac{1}{4}$









## Let's do some math

Predict probability of a sequence of words:

$$\mathbf{w} = (w_1 w_2 w_3 \dots w_k)$$

· Chain rule:

$$p(\mathbf{w}) = p(w_1)p(w_2|w_1)\dots p(w_k|w_1\dots w_{k-1})$$

## Let's do some math

Predict probability of a sequence of words:

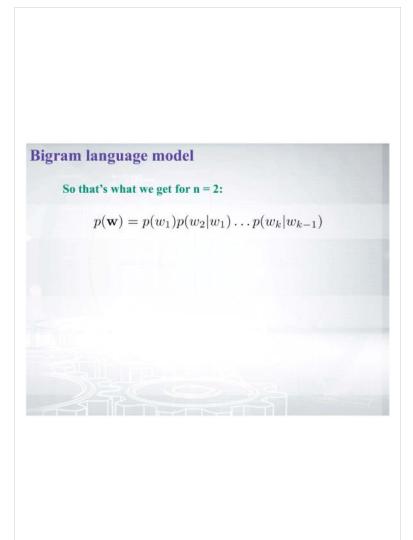
$$\mathbf{w} = (w_1 w_2 w_3 \dots w_k)$$

· Chain rule:

$$p(\mathbf{w}) = p(w_1)p(w_2|w_1)\dots p(w_k|w_k, w_{k-1})$$

· Markov assumption:

$$p(w_i|w_1...w_{i-1}) = p(w_i|w_{i-n+1}...w_{i-1})$$



the probest all seg of the same (eight sums to 1

## Bigram language model

So that's what we get for n = 2:

$$p(\mathbf{w}) = p(w_1)p(w_2|w_1)\dots p(w_k|w_{k-1})$$

## Toy corpus:

This is the malt That lay in the house that Jack built.

 $p(this\ is\ the\ house) = p(this)\ p(is|\ this)\ p(the|\ is)\ p(house|\ the)$ 

So that's what we get for n = 2:

$$p(\mathbf{w}) = p(w_1)p(w_2|w_1)\dots p(w_k|w_{k-1})$$

Toy corpus:

This is the malt That lay in the house that Jack built.

1/12 1 1 1,

 $p(this\ is\ the\ house) = p(this)\ p(is|\ this)\ p(the|\ is)\ p(house|\ the)$ 

So that's what we get for n = 2:

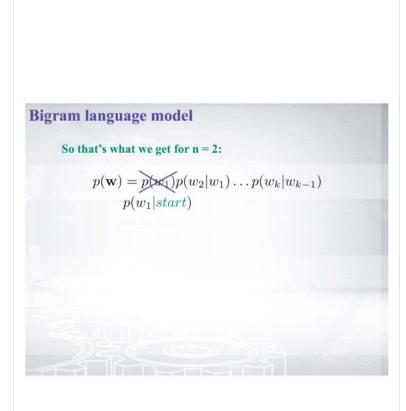
$$p(\mathbf{w}) = p(w_1)p(w_2|w_1)\dots p(w_k|w_{k-1})$$
$$p(w_1|start)$$

## Toy corpus:

This is the malt That lay in the house that Jack built.

1/2 1 1 1/

 $p(this\ is\ the\ house) = p(this)\ p(is|\ this)\ p(the|\ is)\ p(house|\ the)$ 



p(this) + p(that) = 1.0

So that's what we get for n = 2:

$$p(\mathbf{w}) = p(w_1)p(w_2|w_1)\dots p(w_k|w_{k-1})$$
$$p(w_1|start)$$

It's normalized separately for each sequence length!

 $p(this\ this) + p(this\ is) + ... + p(built\ built) = 1.0$ 

end sequence length prob. sum I for each length

So that's what we get for n = 2:

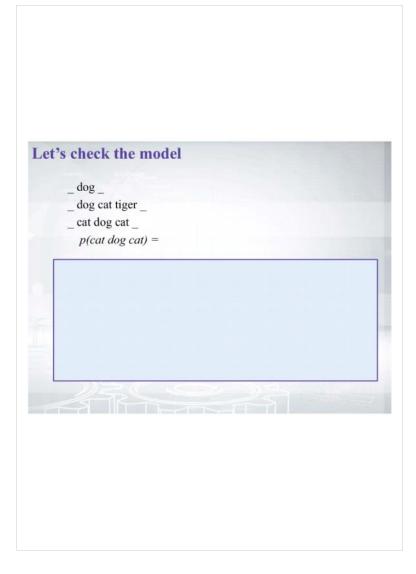
$$p(\mathbf{w}) = p(w_1)p(w_2|w_1) \dots p(w_k|w_{k-1})$$

$$p(w_1|start)$$

$$p(end|w_k)$$

It's normalized separately for each sequence length!

$$p(this) + p(that) = 1.0$$
  
 $p(this\ this) + p(this\ is) + ... + p(built\ built) = 1.0$ 



# Let's check the model \_\_dog \_\_ \_\_dog cat tiger \_\_ \_\_cat dog cat \_\_ p(cat dog cat) = p(cat | \_\_) dog \_\_\_cat

## 

## 

# Let's check the model \_\_dog \_\_ \_\_dog cat tiger \_\_ \_\_cat dog cat \_\_ p(cat dog cat) = p(cat | \_) p(dog | cat) dog cat tiger cat dog cat \_\_ cat \_\_

## Let's check the model \_\_dog \_\_ \_\_dog cat tiger \_\_ \_\_cat dog cat \_\_ p(cat dog cat) = p(cat | \_\_) p(dog | cat) p(cat | dog) dog cat tiger cat dog cat cat \_\_ cat \_\_ cat \_\_

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## Resume: bigram language model



Define the model:

del:
$$p(\mathbf{w}) = \prod_{i=1}^{k+1} p(w_i|w_{i-1})$$
probabilities:

Estimate the probabilities:

$$p(w_i|w_{i-1}) = \frac{c(w_{i-1}w_i)}{\sum_{w_i} c(w_{i-1}w_i)} = \frac{c(w_{i-1}w_i)}{c(w_{i-1})}$$

It's all about counting!



perplexity



## How to train n-gram models $\mathbf{w} = (w_1w_2w_3\dots w_k)$ Bigram language model: $p(\mathbf{w}) = \prod_{i=1}^{k+1} p(w_i|w_{i-1})$

## How to train n-gram models

$$\mathbf{w} = (w_1 w_2 w_3 \dots w_k)$$

Bigram language model:

$$p(\mathbf{w}) = \prod_{i=1}^{k+1} p(w_i | w_{i-1})$$

N-gram language model:

extend the history  $w_{i-1}$ 

uage model: 
$$p(\mathbf{w}) = \prod_{i=1}^{k+1} p(w_i|w_{i-n+1}^{i-1})$$

## How to train n-gram models

## Log-likelihood maximization:

$$\log p(\mathbf{w}_{\text{train}}) = \sum_{i=1}^{N+1} \log p(w_i | w_{i-n+1}^{i-1}) \to \max$$

## **Estimates for parameters:**

$$p(w_i|w_{i-n+1}^{i-1}) = \frac{c(w_{i-n+1}^i)}{\sum_{w_i} c(w_{i-n+1}^i)} = \frac{c(w_{i-n+1}^i)}{c(w_{i-n+1}^{i-1})}$$

N is the length of the **train corpus** (all words concatenated).

Where are the parameters?

With the parameter of

## **Generated Shakespeare**

## Unigrams:

To him swallowed confess hear both. Which. Of save on trail for are ay device and rote life have. Every enter now severally so, let. Hill he late speaks; or! a more to leg less first you enter.

## Bigrams:

What means, sir. I confess she? then all sorts, he is trim, captain. Why dost stand forth thy canopy, forsooth; he is this palpable hit the King Henry. Live king. Follow. What we, hath got so she that I rest and sent to scold and nature bankrupt, nor the first gentleman?

Jurafsky & Martin, https://lagunita.stanford.edu/c4x/Engineering/CS-224N/asset/slp4.pdf

## **Generated Shakespeare**

## 3-grams:

Sweet prince, Falstaff shall die. Harry of Monmouth's grave. This shall forbid it should be branded, if renown made it empty. What is't that cried? Indeed the duke; and had a very good friend. Fly, and will rid me these news of price. Therefore the sadness of parting, as they say, 'tis done.

## 4-grams:

King Henry. What! I will go seek the traitor Gloucester. Exeunt some of the watch. A great banquet serv'd in; Will you not tell me who I am? It cannot be but so. Indeed the short and the long. Marry, 'tis a noble Lepidus. They say all lovers swear more performance than they are wont to keep obliged faith.

Jurafsky & Martin, https://lagunita.stanford.edu/c4x/Engineering/CS-224N/asset/slp4.pdf

# Which model is better?

The best n might depend on how much data you have:

- · bigrams might be not enough
- · 7-grams might never occur

### **Extrinsic evaluation:**

· Quality of a downstream task: machine translation, speech recognition, spelling correction...

### Intrinsic evaluation:

· Hold-out (text) perplexity!

3 gram fine ...

we have data. hold out some data to complete garplexity

# Evaluate the model on the test set

Likelihood:

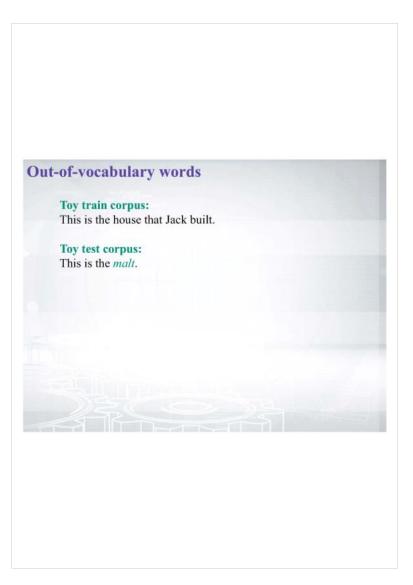
$$\mathcal{L} = p(\mathbf{w}_{\text{test}}) = \prod_{i=1}^{N+1} p(w_i | w_{i-n+1}^{i-1})$$

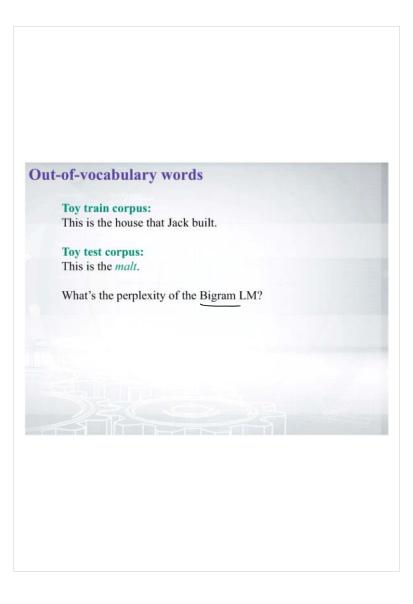
Perplexity:

ty: 
$$\mathcal{P} = p(\mathbf{w}_{\text{test}})^{-\frac{1}{N}} = \frac{1}{\sqrt[N]{p(\mathbf{w}_{\text{test}})}} \qquad \text{better} \quad .$$

N is the length of the **test corpus** (all words concatenated).

Lower perplexity is better!





# Toy train corpus:

This is the house that Jack built.

# Toy test corpus:

This is the *malt*.

$$p(malt|the) = \frac{c(the\,malt)}{c(the)} = 0$$

# Toy train corpus:

This is the house that Jack built.

# Toy test corpus:

This is the *malt*.

$$p(malt|the) = \frac{c(the\,malt)}{c(the)} = 0$$

$$p(\mathbf{w}_{\text{test}}) = 0$$

# Toy train corpus:

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$$\mathcal{P}=\inf$$

# Toy train corpus:

This is the house that Jack built.

Toy test corpus:

This is the *malt*.

\_ mknown word. problem

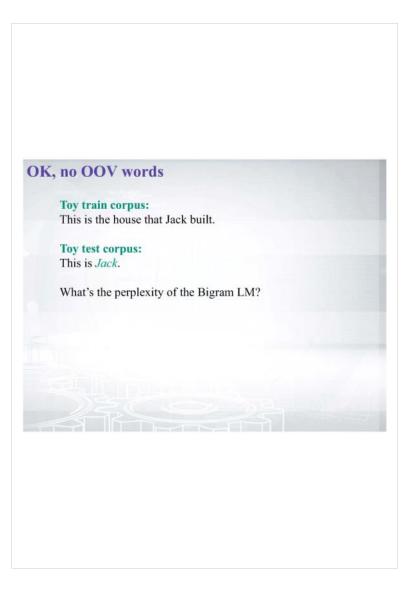
$$p(malt|the) = \frac{c(the\,malt)}{c(the)} = 0$$

$$p(\mathbf{w}_{\text{test}}) = 0$$

$$\mathcal{P}=\inf$$



# How can we fix that? Simple idea: • Build a vocabulary (e.g. by word frequencies) • Substitute OOV words by <UNK> (both in train and test!) · Compute counts as usual for all tokens • Profit!



# Toy train corpus:

This is the house that Jack built.

# Toy test corpus:

This is Jack.

$$p(Jack \mid is) = \frac{c(is \ Jack)}{c(is)} = 0$$

# Toy train corpus:

This is the house that Jack built.

# Toy test corpus:

This is Jack.

$$p(Jack \mid is) = \frac{c(is \ Jack)}{c(is)} = 0$$

$$p(\mathbf{w}_{\text{test}}) = 0$$

Toy train corpus:

This is the house that Jack built.

Toy test corpus:

This is Jack.

What's the perplexity of the Bigram LM?

$$p(Jack \mid is) = \frac{c(is \ Jack)}{c(is)} = 0$$
$$p(\mathbf{w}_{test}) = 0$$

$$\mathcal{P}=\inf$$

need smostling techniques.

# Toy train corpus:

This is the house that Jack built.

# Toy test corpus:

This is Jack.

What's the perplexity of the Bigram LM?

$$p(Jack \,|\, is) = \frac{c(is \; Jack)}{c(is)} = 0$$

$$p(\mathbf{w}_{\text{test}}) = 0$$

$$\mathcal{P}=\inf$$



PDF

smoothing



# Zero probabilities for test data

# Toy train corpus:

This is the house that Jack built.

# Toy test corpus:

This is Jack.

$$p(Jack \,|\, is) = \frac{c(is \; Jack)}{c(is)} = 0$$

$$p(\mathbf{w}_{\text{test}}) = 0$$

$$\mathcal{P}=\inf$$



# Laplacian smoothing

# Idea:

- · Pull some probability from frequent bigrams to infrequent
- Just add 1 to the counts (add-one smoothing):

$$\hat{p}(w_i|w_{i-n+1}^{i-1}) = \frac{c(w_{i-n+1}^i) + 1}{c(w_{i-n+1}^{i-1}) + V}$$

• Or tune a parameter (add-k smoothing):

 $\hat{p}(w_i|w_{i-n+1}^{i-1}) = \frac{c(w_{i-n+1}^i) + \underline{k}}{c(w_{i-n+1}^{i-1}) + \underline{V}\underline{k}}$ 

le. can tune using test data. Laplacian Smoothing

# Katz backoff

### Problem:

- Longer n-grams are better, but  $\underline{\text{data is not always enough}}$
- Idea:
- Try a longer n-gram and back off to shorter if needed

$$\hat{p}(w_i|w_{i-n+1}^{i-1}) = \begin{cases} \tilde{p}(w_i|w_{i-n+1}^{i-1}), & \text{if } c(w_{i-n+1}^i) > 0 \\ \alpha(w_{i-n+1}^{i-1})\,\hat{p}(w_i|w_{i-n+2}^{i-1}), & \text{otherwise} \end{cases}$$

Katz Backoff.

# Katz backoff

### Problem:

- Longer n-grams are better, but data is not always enough
   Idea:
- · Try a longer n-gram and back off to shorter if needed

$$\hat{p}(w_i|w_{i-n+1}^{i-1}) = \begin{cases} \hat{p}(w_i|w_{i-n+1}^{i-1}), & \text{if } \underline{c(w_{i-n+1}^i) > 0} \\ \alpha(w_{i-n+1}^{i-1})\,\hat{p}(w_i|w_{i-n+2}^{i-1}), & \text{otherwise} \end{cases}$$

where  $\,\tilde{p}\,$  and  $\,\alpha\,$  are chosen to ensure normalization.

We have some  $\alpha$  weights in the else brunch. What's their purpose? Do you think they should be less or greater than 1?

They should be less than 1

Correc

They should be greater than 1

the prib should some into OHE

# **Interpolation smoothing**

### Idea:

- Let us have a mixture of several n-gram models
- · Example for a trigram model:

$$\hat{p}(w_i|w_{i-2}w_{i-1}) = \underbrace{\lambda_1 p(w_i|w_{i-2}w_{i-1}) + \lambda_2 p(w_i|w_{i-1}) + \lambda_3 p(w_i)}_{\lambda_1 + \lambda_2 + \lambda_3 = 1}$$

- The weights are optimized on a test (dev) set
- · Optionally they can also depend on the context

# **Absolute discounting**

· Let's compare the counts for bigrams in train and test sets Experiment (Church and Gale, 1991):

Subtract 0.75 and get a good estimate for the test count!

Train bigram count	1.25 2.24 3.23 4.21		
2			
3			
4			
5			
6	5.23 6.21		
7			
8	7.21		

https://web.stanford.edu/~jurafsky/slp3/4.pdf

Averge to of bigran.

175 ? Some magical property...

# **Absolute discounting**

### Idea

- Let's compare the counts for bigrams in train and test sets **Experiment (Church and Gale, 1991):**
- Subtract 0.75 and get a good estimate for the test count!

$$\hat{p}(w_i|w_{i-1}) = \frac{c(w_{i-1}w_i) - d}{\sum_x c(w_{i-1}x)} + \lambda(w_{i-1})p(w_i)$$

# **Kneser-Ney smoothing**

### Idea:

- · The unigram distribution captures the word frequency
- · We need to capture the diversity of contexts for the word

distribution captures the word frequency apture the diversity of contexts for the word 
$$\hat{p}(w) \propto |x:c(x\,w)>0| \qquad \text{have many different context can go before the word}.$$
 This is the ... 
$$\text{Malt} \text{his is the ...} \text{Kong} = -> \text{Kong may be more have lighter $P$. But it only happens after thoughter than the state of the state of$$

This is the ...

· Probably, the most popular smoothing technique

https://web.stanford.edu/~jurafsky/slp3/4.pdf

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### Resume

### **Smoothing techniques:**

- · Add-one (add-k) smoothing
- · Katz backoff
- Interpolation smoothing
- Absolute discounting
- · Kneser-Ney smoothing

N-gram models + Kneser-Ney smoothing is a strong baseline in Language Modeling!

This is the malt that lay in the house that Jack built. This is the rout that ate the malt that lay in the house that Jack built.

From <a href="https://www.coursera.org/learn/language-processing/exam/Lratd/language-modeling-processing-exam/Lratd/language-processing-exa

Consider the  $\underline{ \mbox{bigram language model} } \mbox{ model trained on the sentence:}$ 

This is the cow with the crumpled horn that tossed the dog that worried the cat that killed the rat that

Find the probability of the sentence:

P(This/cosas)

P(this (1576) x P(is (157 this) x P(the | this is ) x p(house is the) x p(that | the house) \* P(Tack | house that) \* P (built | that Jack)

This is the rat that are the malt that lay in the house that Tade built.

2	Consider	the bigram	language	model	trained on	the sentence:

This is the cow with the crumpled horn that tossed the dog that worried the cat that killed the rat that ate the malt that lay in the house that Jack built.

### Find the probability of the sentence:

This is the rat that worried the dog that Jack built.

P(+4,3) 267) x P(13 (+43)



Juck

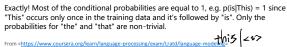
that worried the

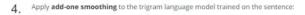
### This should not be selected

Actually not, these were just random fractions:)

Let's start with the first probability: p(This | <start>) = 1 since there is only one <start> in the training corpus and it's followed by "This".







457 This is the rat that ate the malt that lay in the house that Jack built. +4:5 Find the perplexity of this smoothed model on the test sentence: This is the house that Jack built. + he Write the answer with precision of 3 digits after the decimal point. rate thar Enter answer here

Incorrect Response The answer you gave is not a number.

### 5. Find one incorrect statement below:

- Trigram language models can have a larger perplexity than bigram language
- End-of-sentence tokens are necessary for modelling probabilities of sentences of different lengths.

### This should not be selected

This fact was discussed in the lecture a lot.

- The smaller holdout perplexity is the better the model.
- N-gram language models cannot capture distant contexts.
- If a test corpus does not have out-of-vocabulary words, smoothing is not needed.



Count

t i

- Apply add-one smoothing to the trigram language model trained on the sentence:

This is the rat that ate the malt that lay in the house that Jack built.

Find the perplexity of this smoothed model on the test sentence:

This is the house that Jack built.

Write the answer with precision of 3 digits after the decimal point.

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Enter answer here

Some hints for you: there are 12 unique words in train, so V=13 (because of the

Cittal dilamai liala

Some hints for you: there are 12 unique words in train, so V=13 (because of the false of token). The length of the test sentence is N=7 words. Use there values for the vocabulary size in add-one smoothing and for the root index in the perplexity respectively. And do not forget about start and end tokens!

You might need a piece of paper to calculate this. Get back to our reading material in this module to review a similar task.

From < https://www.coursera.org/learn/language-processing/exam/Lratd/language-modeling>

in - 1