

Climate variability and conflict risk in East Africa, 1990–2009

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Recent studies concerning the possible relationship between climate trends and the risks of violent conflict have yielded contradictory results, partly because of choices of conflict measures and modeling design. In this study, we examine climate–conflict relationships using a geographically disaggregated approach. We consider the effects of climate change to be both local and national in character, and we use a conflict database that contains 16,359 individual geolocated violent events for East Africa from 1990 to 2009. Unlike previous studies that relied exclusively on political and economic controls, we analyze the many geographical factors that have been shown to be important in understanding the distribution and causes of violence while also considering yearly and country fixed effects. For our main climate indicators at gridded 1° resolution (~100 km), wetter deviations from the precipitation norms decrease the risk of violence, whereas drier and normal periods show no effects. The relationship between temperature and conflict shows that much warmer than normal temperatures raise the risk of violence, whereas average and cooler temperatures have no effect. These precipitation and temperature effects are statistically significant but have modest influence in terms of predictive power in a model with political, economic, and physical geographic predictors. Large variations in the climate–conflict relationships are evident between the nine countries of the study region and across time periods.

social instability | standard precipitation index | generalized additive modeling | negative binomial modeling | disaggregated spatial analysis

The debates in both the academic and policy realms surrounding a possible association between climate change and violent conflict continue without much resolution. The tone of the consensus emerging from politicians and the policy-making community is decidedly gloomy. US President Barack Obama recently declared that climate change represents an “urgent, serious, and growing threat” (1), because the stresses of frequent drought and crop failures “breed hunger and conflict” (2). Government-associated think tanks follow closely to this line, with ecological stress and climate change generating a “range of security problems that will have dire global consequences” (3), according to a Center for Strategic and International Studies report (3). Such claims are predicated on a national security paradigm: the ability of societies in nonindustrialized regions of the world to cope with ecological change can jeopardize the stability of the international system and rebound adversely to wealthy countries. Although they receive significant public and policy attention, such reports are marked by speculation and lack strong empirical support.

Two main bodies of academic research address the climate–conflict nexus. The first body claims a positive link between scarcity and violence (4–8), making the case that shortages—food, water, or crop imports—introduce stress on formal and informal social institutions. In one rendering, these associations purportedly operate through an economic mechanism, where rainfall deficits negatively affect earnings in predominantly agricultural societies (9). Where such changes take place, the gains associated with participation in armed expressions of grievance outweigh the costs. Proponents of this viewpoint have a receptive audience within policy-making communities. A conclusion in this research cluster suggests that both dry (slow onset) and wet (fast

onset) precipitation extremes are associated with increased risk of social conflict (10).

Researchers who question any consistent connection between the climate change and violent conflict may be classified into two distinct groups. Relying on quantitative analysis of climate and subnational conflict data, recent work has illustrated either a null (nonsignificant) or negative relationship between scarcity and conflict (11, 12). Considering the specific locations of conflicts, disaggregated analysis moves beyond crude understandings of conflict that follow the country-year unit of analysis common in international relations, where conflict is coded in binary (one or zero) terms for the entire territory of a country and a complete 1 y. The coarse resolution of the country-year approach cannot capture the dramatic location-specific differences that characterize political violence across a country (13, 14); configuring statistical models to include subnational locations has called these country-level findings into question (12).

A second set of studies that questions the climate change–conflict nexus emerges from the political ecology tradition, especially in human geography; it often adopts an ethnographic character, and it is conducted with an emphasis on local-level power dynamics (15–17). In this perspective, individual communities are unique, and place-specific experiences are each rooted in particular historical trajectories that cannot be easily quantified. Power relationships that distort the management of public resources are cited as the true foundation of “resource conflicts” in West Africa’s Sahel (18).

Sweeping generalizations have undermined a genuine understanding of any climate–conflict link, whereas cumulative results from the numerous studies of individual communities are difficult to summarize. Our work extends the quantitative approach with close attention to local and temporal differences in climate and conflict by examining nine countries in the Horn and Eastern regions of Africa between 1990 and 2009 (Fig. S1). These countries represent substantial variation across climate regimes, recent conflict experience (Fig. S2), and political systems, and these variations help to support generalization about conflict in sub-Saharan Africa and consideration of regional–local nuances. We recognize that our ability to generalize is limited; across the continent, complexity characterizes the institutional capacity to adapt to social pressures. An example of the type of climate–conflict relationship that we examine is found in our conflict data. On July 3, 2004, over 100 farmers’ homes in Tanzania’s Arusha District (Themini area) were burned by herders who have been pushing authorities for years to turn the land into grazing area. Such a link between violence and resource availability may be an outcome of climate change on livelihoods in

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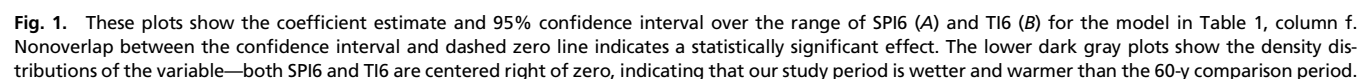
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Data deposition: The replication data and files reported in this paper are available on the University of Colorado website (www.colorado.edu/ibs/climateconflict/PNAS).

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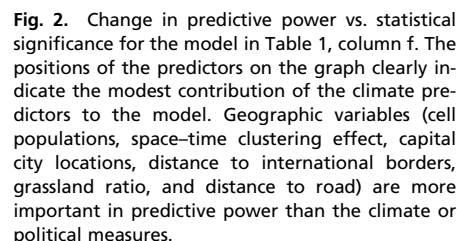
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We performed a variety of robustness tests that are reported in [SI Text](#). Examination of the grid months data by the nine countries in the region and across 5-y periods shows dissimilarities in the significance of both the control and the climate variables ([Figs. S5, S6, S7, and S8](#) and [Tables S2 and S3](#)). Both the precipitation and temperature spline plots display considerable

A recently released conflict dataset from the Uppsala Conflict Data Program (20) that has independently geolocated African violence allows a helpful check on our results, although the definition of conflict is much more conservative than our definition. Using these data and both negative binomial and logit functional forms,

*Significantly different from zero based on a 95% confidence interval (Fig. 1).



Although decades of research on the distribution and correlates of war have greatly increased understanding of its social, political, and economic dimensions, more recent work in this genre has tackled the highly variegated nature of violence across the localities of countries experiencing war. Our study and other studies (11, 26, 27) question the evidence that climatic variability is uniformly driving up the risk of conflict in sub-Saharan Africa, which is the world region generally recognized as most vulnerable to such new hazards. However, unlike previous skeptical studies of the climate–conflict nexus, our study of East Africa over the past two decades is more nuanced in two respects. First, we have shown that higher temperatures increase the risk of conflict in East Africa (even when precipitation trends are considered), a wide range of geographic and socioeconomic–political controls are used, and yearly and country fixed effects are included. Previous work (6) had attributed more influence in raising violence to temperature increases than to precipitation deviations across Africa, and our study can be seen as partially vindicating this finding for East Africa. Wet precipitation deviations from the long-term trends seem to dampen conflict, and drier than normal conditions have no effect, a result that questions existing accounts (10, 21). Alongside the results in Table 2 (including a 29.6% increase in predicted conflict when temperatures are 2 SDs warmer than usual), Fig. 2 shows how modest the contribution of temperature and especially, precipitation are in predicting conflict relative to other factors. Second, we have identified dramatic differences between countries and between 5-y time periods in the model fit and the important precipitation and temperature coefficient splines. We provide these checks as a cautionary notice to the policy community of the instability of the climate–conflict relationship, and we suggest that estimating a model without consideration of specific locations of violence across a large region and over a long time period hides a myriad of contextual conditions.

Methods

We aggregate all data to a common 1° grid (~110 × 110 km). Grid cells for the study area include a 100-km buffer inland to incorporate conflict spillover effects with neighboring countries, resulting in a total of 402 cells (after excluding grid cells over Lake Victoria, cells with missing climate data, and coastal cells with <20% land area and no violence). The count distribution of grid month violence is heavily overdispersed; of the 91,656 grid month units (402 grids over 19 y, because a 1-y lag for several variables requires excluding 1990 data), 5.9% of the observations are nonzero ($\mu = 0.18$, $\sigma = 1.28$) (Table S1). We use a negative binomial GLM to retain the full distribution of the data, preferring it over the logit model often used in conflict study, which truncates count values greater than one (Table S4 has logit versions in a robustness test). For SPI6 and TI6 indicators, initial model estimates for extreme conditions ($\geq 1\sigma$ and $\leq -1\sigma$) (Table 1, columns b–e) varied sufficiently to suggest that a more flexible model (with nonlinear parameters) was required.

To address this nonlinearity, we estimate a GAM (28) using the R package *mgcv* and a thin-plate spline for SPI6 and TI6 (Table 1, column f). This specification allows SPI6 and TI6 coefficients to vary over the values within their distributions, and it enables us to explore the nuances of the relationship between our climate measurements and conflict across our study area. Because there is no single coefficient estimate for these splined variables, we present these coefficients graphically (Fig. 1).

For both the GLM and GAM versions of the models, we control for residual unmeasured country-scale variables by estimating country-level effects. These country-level effects are included as fixed instead of random effects, because several of the predictor variables are reported at the country level; therefore, they are correlated at that spatial scale. Such country-level fixed effects are common in studies of violence (6, 11). We also include year fixed effects to account for unexplained variation over time and the possibility that media coverage of conflict in earlier years of our study period is sparse relative to later periods. The negative binomial dispersion parameter, θ in R, is estimated using maximum likelihood for both the GLM and GAM versions of the model.

The GAM version of the model has the following functional form (Eq. 1):

$$Y_{it} = WY_{i,t-1} + X_{it}\beta + f_1(\text{SPI6}_{it}) + f_2(\text{TI6}_{it}) + \text{Country}_{it} + \text{Year}_{it} + \varepsilon_{it}, \quad [1]$$

where i = grid, t = time (month), W is the first-order contiguity spatial weights matrix used to calculate the violent events space–time lag, β is a vector of coefficients associated with the matrix of independent variables X , f_1 and f_2 are thin-plate spline functions, Country and Year are fixed effect terms, and ε is the grid month error term. Because the data for some variables are duplicated over time, we use grid-clustered SEs for all models to assess statistical significance.

Data

Precipitation. We use SPI6 to compare the moving 6-mo precipitation record with the long-term (since 1949) distribution for the same 6-mo period. The primary data are monthly mean gridded land surface precipitation and temperature values obtained from the Climate Research Unit of the University of East Anglia. These data are the Climate Research Unit TS3.10 global data on 0.5° × 0.5° grids for the period 1949–2009, which are resampled to 1° × 1° grids, thereby facilitating regression with environmental and socioeconomic variables. The SPI measures the number of SDs that the observed cumulative precipitation departs from the long-term mean. It can be compared across markedly different climates, and it is calculated for each grid cell. Negative deviation in rainfall is said to be one of the primary observable effects of climate change, and it is one effect that increases the risk of civil war (29) and the likelihood of other low-intensity forms of conflict (10); other research finds an association between conflict and positive vegetation growth (30). Related measures reach similar conclusions, such as greater freshwater availability reducing the risk of civil war onset (31).

Temperature. We use a 6-mo TI6 to measure the deviation from the corresponding long-term monthly mean temperature (since 1949). The temperature index expresses the monthly anomaly departure as a multiple of the SD, thus helping to identify anomalous warm or cold periods. Although higher than normal temperatures have been linked to civil war (6), others have questioned this claim, believing that the work by Burke et al. (6) used a poorly specified model and only a generic national-level conflict measure (11). Temperature variability has important effects on evapotranspiration; the work by Hsiang et al. (7) uses both climate metrics as part of their classification of areas affected by ENSO cycles (Table S3, column g shows a test of this effect in our study region). In contrast to the claim that rising temperatures will cause violence, global (8) and regional (32) studies have uncovered

an association between human insecurity and colder temperatures. In the studies with competing conclusions, however, the mechanism remains the same: colder temperatures in temperate climates resulted in crop failure just as warmer deviations introduced agricultural stress in warmer climates.

Violent Events. The human-coded and media-based conflict data are from ACLED (19). Much of the existing research relies on country-level data (6), which can be problematic, because conflict processes do not unfold uniformly within a country. ACLED data are georeferenced with latitude and longitude coordinates, allowing for the localized study of conflict within a country's borders: the database also distinguishes between various types of violence (civil war, riots/protests, and attacks on civilians), thus allowing robustness checks with different conflict measures. For Somalia, we have excluded the data in the file that are not based on the standard media sources. To assign large countries (e.g., Ethiopia or Tanzania) a single binary measure of war or peace for a given year is clearly ignoring the dynamic geographic and temporal differences evident in violence, which is indicated in Fig. S1 for our nine countries of study.

Space–time Lag. At an international (33) and local level (34, 35), conflict exhibits qualities that might be described as contagion, diffusion, and clustering patterns. We account for these kinds of dependencies by including a space–time lagged dependent variable. Failure to account for geographic clustering may have biased the results of previous research on the climate change–conflict relationship, although previous studies may have controlled for temporal trends. In our models, the space–time effect is the second most influential predictor.

Population. Within a country, conflict risk is associated with greater population densities (12) and rates of population growth (36). We use the Gridded Population of the World (v3) data from the Center for International Earth Science Information Network and Socio-Economic Data and Applications Center of Columbia University (37) to derive yearly populations for the 1° cells. Population is the most important predictor of the number of violent events in an area.

Wellbeing (Infant Mortality Rate). Cross-national studies have illustrated a link between low socioeconomic status and conflict at the country (38). We use the yearly infant mortality rate (39) instead of gross domestic product per capita, because it serves as a broader measure of social wellbeing.

Political Rights. In authoritarian political climates, violent social unrest can develop, because citizens have a limited ability to express their interests through formal governmental avenues (40). We use the yearly political rights score from Freedom in the World (41) to measure the extent to which a country's government is autocratic or democratic in character.

Presidential Election. Violence may rise during campaigning or as a reaction to the outcome of an election (42), when ethnic conflict is especially likely to occur. To isolate the influence of this factor, we include a binary variable for every country coded as one if a presidential election occurred in a ± 3 -mo period.

Ethnic Leadership. Clientelism or private rule is a known characteristic of political regimes in sub-Saharan Africa (43). Patron–client ties can result in the (usually ethnic) exclusion of certain populations from government representation and services (44). We control for the fact that certain territories within states may benefit from central government patronage ties by coding cells (excluded group or not) in a geographic representation of political leadership information from Archigos data (45) using Ethnologue spatial boundaries (46).

Crop Production Index. There is a risk that social unrest will follow rising food prices because of impacts on family budgets (47); also, crop shortages represent a threat to central government coffers and disbursement options (48). As a surrogate for fluctuating food prices, we include the crop production index (annual percentage change) from the Food and Agriculture Organization and the World Bank (49).

Capital City. The capital city can be an important site of contention during certain conflicts because of its symbolic importance (claiming control of the seat of government of a state in civil war) (50). Lower-level skirmishes (riots and protests) may also concentrate in a capital city, because it is the seat of government. We use a binary measure of whether a grid cell includes the capital city of a country.

Distance to Borders. Because armed actors can use neighboring territory as a sanctuary, borders represent transmission points of conflict; a substantial

body of work on the geography of conflict shows the importance of border regions in conflict diffusion (35). We calculate the mean distance to the border from the centroid of each grid cell.

Distance to Roads. As routes for transporting people and supplies, roads are often key targets for military activity (51), although they may also serve as a tool for a central government to secure control over a country's territory (52). We judge the road network data from the Digital Chart of the World (53) to be the most spatially consistent, and we calculate the average distance to primary and secondary roads for each grid cell.

Grassland. Pastoralist cattle raiding activity can be a livelihood strategy in regions of our study area, such as northern Kenya (54). We account for the influence of this social dynamic by including a measurement of the percentage of a grid cell that is grassland in the History Database of the Global Environment (55).

Vegetation. We include a vegetation condition index to control for variation in vegetation health over time. This weekly metric is derived from the National Oceanic and Atmospheric Administration's advanced very high-resolution radiometer sensor and captures changes in the normalized vegetation difference

index compared with its historical range for each pixel (56). We elaborate on the data sources and specific metrics in [Table S1](#).

Growing Season. A binary variable is used to designate each grid month as part of the growing season. Growing seasons were calculated based on average daily temperatures above 6 °C and a ratio of actual to potential evapotranspiration exceeding 0.35 (57).

Replication codes and data are available at www.colorado.edu/ibs/climateconflict/PNAS.

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