UC San Diego

L3: Rotation

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Agenda

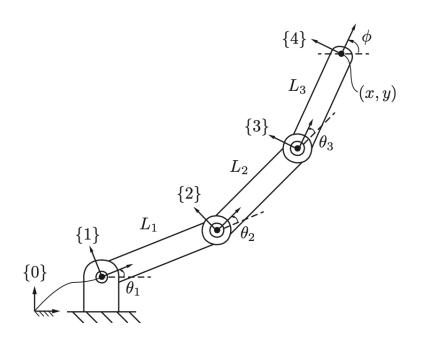
- Multi-Link Rigid-Body Geometry
- Concepts of $\mathbb{SO}(3)$ and $\mathbb{SE}(3)$
- Angle-Axis Parameterization of Rotations
- Quaternions
- Local Structure of $\mathbb{SO}(3)$

Multi-Link Rigid-Body Geometry

Link and Joint

Link:

- **Links** are the rigid-body connected in sequence **Joint**:
 - **Joints** are the connectors between links. They determine the DoF of motion between adjacent links

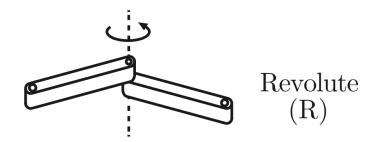


Base Link and End-Effector Link

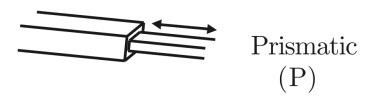
- Base link / root link:
 - The 0-th link of the robot
 - Regarded as the "fixed" reference
 - The spatial frame \mathcal{F}_s is attached to it
- End-effector link
 - The last link
 - e.g., the gripper
 - A frame \mathcal{F}_e is attached to it

Two Common Joint Types

Revolute/Hinge/Rotational joint



Prismatic/Translational joint



Kinematics: The Basic Geometry Calculation Task

- Kinematics: Describing the motion of bodies (position and velocity)
- Kinematics does not consider how to achieve motion via force





Kinematics Configuration

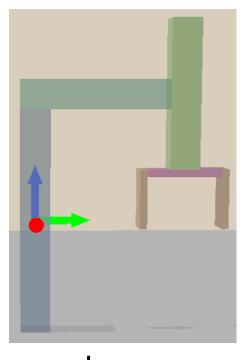
- Assuming frames are assigned to each link, we can parameterize the pose of each joint
 - Using the relative angle and translation between adjacent frames
- Two representations of the pose of the end-effector
 - Joint space: The space in which each coordinate is a vector of joint poses (angles around joint axis)
 - Cartesian space: The space of the rigid transformations of the end-effector by $(R_{s\to e}, \mathbf{t}_{s\to e})$, where \mathscr{F}_e is the end-effector frame

Kinematics Equations

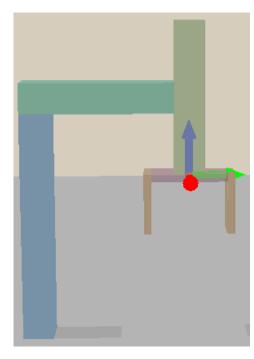
• Map the joint space coordinate $\theta \in \mathbb{R}^n$ to a transformation matrix T:

$$T_{s \to e} = f(\theta)$$

Calculated by composing transformations along the kinematic chain



base



end_effector

$$T_{0\to 3}^{0} = T_{0\to 1}^{0} T_{1\to 2}^{1} T_{2\to 3}^{2} = \begin{bmatrix} \cos\theta_{1} & -\sin\theta_{1} & 0 & -\sin\theta_{1}(l_{2}+l_{3}) \\ \sin\theta_{1} & \cos\theta_{1} & 0 & \cos\theta_{1}(l_{2}+l_{3}) \\ 0 & 0 & 1 & l_{1}-l_{4}+\theta_{2} \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Inverse Kinematics

• Given the forward kinematics $T_{s \to e}(\theta)$ and the target pose $T_{target} = \mathbb{SE}(3)$, find solutions θ that satisfy $T_{s \to e}(\theta) = T_{target}$

How to Relate the Motion in Joint Space and Cartesian Space?

- Q1: If the robot moves by $\Delta\theta$ in the joint space, how much will it move in the Cartesian space? (Forward Kinematics)
- Q2: If the robot would move the end-effector by Δx in the Cartesian space, how shall it change its joint poses? (Inverse Kinematic)
- Suppose we parameterize (R, t) by the **angles** around **axis**, we need to derive the differential map

 We will study the differentiability of rotation and rigid transformations

SO(3) and SE(3)

SO(3): The Space of Rotations

- $\mathbb{SO}(n) = \{R \in \mathbb{R}^{n \times n} : \det(R) = 1, RR^T = I\}$
- $\mathbb{SO}(n)$: "Special Orthogonal Group"

- "Group": roughly, closed under matrix multiplication
- "Orthogonal": $RR^T = I$
- "Special": det(R) = 1

- SO(2): 2D rotations, 1 DoF
- SO(3): 3D rotations, 3 DoF

$\mathbb{SE}(3)$: The Space of Rigid Transformations

•
$$\mathbb{SE}(3) := \left\{ T = \begin{bmatrix} R & \mathbf{t} \\ 0 & 1 \end{bmatrix}, R \in \mathbb{SO}(3), \mathbf{t} \in \mathbb{R}^3 \right\}$$

- SE(3): "Special Euclidean Group"
- "Group": roughly, closed under matrix multiplication
- "Euclidean": R and t
- "Special": det(R) = 1
- 6 DoF

- We need some theoretical understanding of $\mathbb{SO}(3)$ and $\mathbb{SE}(3)$
 - The topological structure
 - The parameterization
 - The differentiable properties

Angle-Axis Parameterizationof Rotations

Euler's Theorem

- Any rotation is equivalent to a rotation about a fixed axis $\hat{\omega} \in \mathbb{R}^3$ ($\|\hat{\omega}\| = 1$) through a positive angle θ
- $\hat{\omega}$: unit vector of rotation axis
- θ : angle of rotation
- $R \in \mathbb{SO}(3) := \text{Rot}(\hat{\omega}, \theta)$
- (In your mind, think R as a linear transformation)

Skew-Symmetric Matrix

- A is skew-symmetric $A = -A^T$
- Skew-symmetric matrix operator:

$$a = \begin{bmatrix} a_1 \\ a_2 \\ a_3 \end{bmatrix}, [a] := \begin{bmatrix} 0 & -a_3 & a_2 \\ a_3 & 0 & -a_1 \\ -a_2 & a_1 & 0 \end{bmatrix}$$

Cross product can be a linear transformation

$$-a \times b = [a]b$$

• We can show that, for any $x \in \mathbb{R}^3$ $\operatorname{Rot}(\hat{\omega}, \theta) x = x + (\sin \theta) \hat{\omega} \times x + (1 - \cos \theta) \hat{\omega} \times (\hat{\omega} \times x)$ $= \{I + [\hat{\omega}] \sin \theta + [\hat{\omega}]^2 (1 - \cos \theta) \} x$ (1)

- We can show that, for any $x \in \mathbb{R}^3$ $Rot(\hat{\omega}, \theta)x = x + (\sin \theta)\hat{\omega} \times x + (1 - \cos \theta)\hat{\omega} \times (\hat{\omega} \times x)$ $= \{I + [\hat{\omega}]\sin \theta + [\hat{\omega}]^2 (1 - \cos \theta)\}x \qquad (1)$
- By Taylor's expansion of **sin**, **cos**, $[\hat{\omega}]^3 = -[\hat{\omega}]$, and above

$$Rot(\hat{\omega}, \theta)x = (I + \theta[\hat{\omega}] + \frac{\theta^2}{2!}[\hat{\omega}]^2 + \frac{\theta^3}{3!}[\hat{\omega}]^3 + \cdots)x$$

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Recall Taylor's expansion of exponential,

$$e^x = 1 + x + \frac{1}{2!}x^2 + \frac{1}{3!}x^3 + \cdots$$

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Recall Taylor's expansion of exponential,

$$e^x = 1 + x + \frac{1}{2!}x^2 + \frac{1}{3!}x^3 + \cdots$$

Formally, we have:

$$\operatorname{Rot}(\hat{\omega}, \theta) x = e^{[\hat{\omega}]\theta} x, \forall x \in \mathbb{R}^3$$

• By $Rot(\hat{\omega}, \theta)x = e^{[\hat{\omega}]\theta}x, \forall x \in \mathbb{R}^3$,

$$Rot(\hat{\omega}, \theta) \equiv e^{[\hat{\omega}]\theta}$$

 This is under such a Definition of Matrix Exponential:

$$e^{[\hat{\omega}]\theta} = I + \theta[\hat{\omega}] + \frac{\theta^2}{2!} [\hat{\omega}]^2 + \frac{\theta^3}{3!} [\hat{\omega}]^3 + \cdots$$

• By (1) in the last slide, we obtain **Rodrigues** formula:

$$e^{[\hat{\omega}]\theta} = I + [\hat{\omega}]\sin\theta + [\hat{\omega}]^2(1 - \cos\theta)$$

- In the angle-axis representation of $Rot(\hat{\omega}, \theta) = e^{[\hat{\omega}]\theta}$
- $\overrightarrow{\theta} = \hat{\omega}\theta$ is also called the **rotation vector**, or exponential coordinate

Rodrigues Formula

Definition of Matrix Exponential:

$$e^{[\hat{\omega}]\theta} = I + \theta[\hat{\omega}] + \frac{\theta^2}{2!} [\hat{\omega}]^2 + \frac{\theta^3}{3!} [\hat{\omega}]^3 + \cdots$$

- Sum of infinite series? Rodrigues Formula
 - Can prove that $[\hat{\omega}]^3 = -[\hat{\omega}]$
 - Then, use Taylor expansion of sin and cos

$$-e^{[\hat{\omega}]\theta} = I + [\hat{\omega}]\sin\theta + [\hat{\omega}]^2(1 - \cos\theta)$$

Given $R \in \mathbb{SO}(3)$, what is $\hat{\omega}$ and θ ?

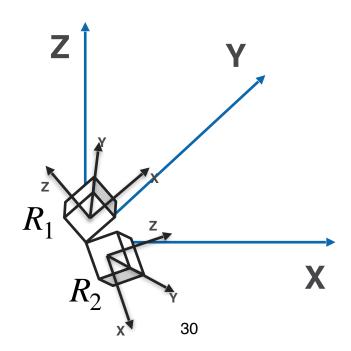
- First question: Is there a unique parametrization?
 - No:
 - 1. $(\hat{\omega}, \theta)$ and $(-\hat{\omega}, -\theta)$ give the same rotation
 - 2. when R=I, $\theta=0$ and $\hat{\omega}$ can be arbitrary
 - 3. $(\hat{\omega}, \pi)$ and $(-\hat{\omega}, \pi)$ give the same rotation $(\operatorname{tr}(R) = -1)$
- If we restrict $\theta \in (0,\pi)$, a unique parameterization exists:

-
$$\theta = \arccos \frac{1}{2} [\text{tr}(R) - 1], \quad [\hat{\omega}] = \frac{1}{2 \sin \theta} (R - R^T)$$

Distance between Rotations

- How to measure the distance between rotations (R_1, R_2) ?
- A natural view is to measure the (minimal) effort to

rotate the body at
$$R_1$$
 pose to R_2 pose:
$$(R_2R_1^T)R_1 = R_2 \quad \therefore \operatorname{dist}(R_1, R_2) = \theta(R_2R_1^T) = \arccos\frac{1}{2}[\operatorname{tr}(R_2R_1^T) - 1]$$



Quaternion

Note: In this section, $\overrightarrow{x} \in \mathbb{R}^3$ and $q \in \mathbb{R}^4$

Quaternion is a "Number"

- Recall the complex number $a + b\mathbf{i}$
- Quaternion is a more generalized complex number:

$$q = w + x\mathbf{i} + y\mathbf{j} + z\mathbf{k}$$

- w is the real part and $\overrightarrow{v} = (x, y, z)$ is the imaginary part
- Imaginary: $i^2 = j^2 = k^2 = ijk = -1$
- anti-commutative : ij = k = -ji, jk = i = -kj, ki = j = -ik

Properties of General Quaternions

- Vector form: $q = (w, \overrightarrow{v})$
- Product:
 - For $q_1 = (w_1, \overrightarrow{v}_1)$ and $q_2 = (w_2, \overrightarrow{v}_2)$, $q_1q_2 = (w_1w_2 \overrightarrow{v}_1^T\overrightarrow{v}_2, w_1\overrightarrow{v}_2 + w_2\overrightarrow{v}_1 + \overrightarrow{v}_1 \times \overrightarrow{v}_2)$
 - Not commutable (note that $\overrightarrow{v}_1 \times \overrightarrow{v}_2 \neq \overrightarrow{v}_2 \times \overrightarrow{v}_1$)
- Conjugate: $q^* = (w, -\overrightarrow{v})$
- Norm: $||q||^2 = w^2 + \overrightarrow{v}^T \overrightarrow{v} = qq^* = q^*q$
- Inverse: $q^{-1} := \frac{q^*}{\|q\|^2}$

Unit Quaternion as Rotation

- A unit quaternion $\|q\|=1$ can represent a rotation
 - Four numbers plus one constraint → 3 DoF
- Geometrically, the shell of a 4D sphere

Build Rotation Quaternion

Exponential coordinate → Quaternion:

$$q = [\cos(\theta/2), \sin(\theta/2)\hat{\omega}]$$

- Quaternion is very close to angle-axis representation!
- Exponential coordinate ← Quaternion:

$$\theta = 2 \arccos(w), \qquad \hat{\omega} = \begin{cases} \frac{1}{\sin(\theta/2)} \overrightarrow{v} & \theta \neq 0\\ 0 & \theta = 0 \end{cases}$$

Unit Quaternion as Rotation

- Rotate a vector \overrightarrow{x} by a quaternion q:
 - 1. Augment \overrightarrow{x} to $x = (0, \overrightarrow{x})$
 - $2. x' = qxq^{-1}$
- Compose rotations by quaternion:
 - $(q_2(q_1xq_1^*)q_2^*)$: first rotate by q_1 and then by q_2
 - Since $(q_2(q_1xq_1^*)q_2^*)=(q_2q_1)x(q_1^*q_2^*)$, composing rotations is as simple as multiplying quaternions!

Conversation between Quaternion and Rotation Matrix

Rotation ← Quaternion

$$R(q) = E(q)G(q)^T$$
 where $E(q) = [-\overrightarrow{v}, wI + [\overrightarrow{v}]]$ and
$$G(q) = [-\overrightarrow{v}, wI - [\overrightarrow{v}]]$$

- Rotation → Quaternion
 - Rotation → Angle-Axis → Quaternion

 Each rotation corresponds to two quaternions ("double-covering")

 Need to normalize to unit length in networks. This normalization may cause big/small gradients in practice

More about Quaternion

- Quaternion is computationally cheap:
 - Internal representation of Physical Engine and Robot
- Pay attention to convention (w, x, y, z) or (x, y, z, w)
 - (w, x, y, z): SAPIEN, transforms3d, Eigen, blender, MuJoCo, V-Rep
 - (x, y, z, w): ROS, PhysX, PyBullet

Summary of Quaternion

- Very useful and popular in practice
- 4D parameterization, compact and efficient to compute
- Good numerical properties in general

Local Structure of SO(3)

Local Structure of SO(3)

Definition of Matrix Exponential:

$$e^{[\hat{\omega}]\theta} = I + \theta[\hat{\omega}] + \frac{\theta^2}{2!} [\hat{\omega}]^2 + \frac{\theta^3}{3!} [\hat{\omega}]^3 + \cdots$$

- Note:
 - $-e^{A+B} = e^A e^B \text{ only when } AB BA = 0$
- When $\theta \approx 0$, $e^{[\hat{\omega}]\theta} = I + \theta[\hat{\omega}] + o(\theta[\hat{\omega}])$

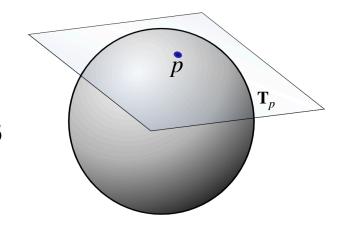
Local Structure of SO(3)

• By $e^{[\hat{\omega}]\theta} = I + \theta[\hat{\omega}] + o(\theta[\hat{\omega}])$ when $\theta \approx 0$,

$$e^{[\overrightarrow{\theta}]} - I = [\overrightarrow{\theta}] + o([\overrightarrow{\theta}])$$

- Interpretation:
 - $[\overrightarrow{\theta}]$ is a linear subspace of $\mathbb{R}^{3\times3}$

$$e^{[\overrightarrow{\theta}]} \to I \text{ as } [\overrightarrow{\theta}] \to 0$$



- **Any** local movement in $\mathbb{SO}(3)$ around I, which is $\approx e^{\left[\overrightarrow{\theta}\right]} I$, can be approximated by $\left[\overrightarrow{\theta}\right]$
- The set of $[\overrightarrow{\theta}]$ forms the tangent space of $\mathbb{SO}(3)$ at I

Lie algebra $\mathfrak{so}(3)$ of $\mathbb{SO}(3)$

- The set of $[\overrightarrow{\theta}]$ is the tangent space of $\mathbb{SO}(3)$ at R = I
 - Ex: What is the tangent space at any $R \in \mathbb{SO}(3)$?

$$\therefore e^{[\overrightarrow{\theta}]} - I = [\overrightarrow{\theta}] + o([\overrightarrow{\theta}]), \therefore e^{[\overrightarrow{\theta}]}R - R = [\overrightarrow{\theta}]R + o([\overrightarrow{\theta}])$$

- i.e., $\forall R' \in \mathbb{SO}(3)$ near R, $\exists \overrightarrow{\theta} \in \mathbb{R}^3$ such that $R' \approx R + [\overrightarrow{\theta}]R$
- So the tangent space at R is $\{SR: S \in \mathbb{R}^{3\times 3}, S^T = -S\}$
- We give this set a name, the "Lie algebra of $\mathbb{SO}(3)$ "

-
$$\mathfrak{so}(3) := \{ S \in \mathbb{R}^{3 \times 3} : S^T = -S \}$$

Why called "algebra"?

- Introducing Lie bracket [A,B]=AB-BA, and the set of skew-symmetric matrices are closed under this binary operator
- Then, the set of skew-symmetric matrices form an "algebra", because
 - The set is closed under Lie bracket
 - Left and right distributive law are satisfied under Lie bracket



- Let us first parameterize the rotation of a body frame by time:
 - An observer associated to \mathcal{F}_o records the motion as $R^o_{s'\to b(t)}$, where the body frame is at $\mathcal{F}_{b(t)}$.

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$$R_{s'\to b(t+\Delta t)}^{o} - R_{s'\to b(t)}^{o} = R_{b(t)\to b(t+\Delta t)}^{o} R_{s'\to b(t)}^{o} - R_{s'\to b(t)}^{o}$$

$$= e^{\left[\chi_{b(t)\to b(t+\Delta t)}^{o}\right]} R_{s'\to b(t)}^{o} - R_{s'\to b(t)}^{o}$$

$$\approx \left[\chi_{b(t)\to b(t+\Delta t)}^{o}\right] R_{s'\to b(t)}^{o}$$

• Divided by Δt and take the limit, we have

$$\dot{R}_{s'\to b(t)}^{o} = \lim_{\Delta t \to 0} \left[\frac{\overrightarrow{\theta}_{b(t)\to b(t+\Delta t)}^{o}}{\Delta t} \right] R_{s'\to b(t)}^{o} = \left[\lim_{\Delta t \to 0} \frac{\overrightarrow{\theta}_{b(t)\to b(t+\Delta t)}^{o}}{\Delta t} \right] R_{s'\to b(t)}^{o}$$
$$= [\omega_{b(t)}^{o}] T_{s'\to b(t)}^{o}$$

•
$$\omega^o_{b(t)}:=\lim_{\Delta t o 0} rac{\overrightarrow{\theta}^o_{b(t) o b(t+\Delta t)}}{\Delta t}$$
 is the instant angular velocity

Basic Challenge in Parameterizing $\mathbb{SO}(3)$

SO(3): The Space of Rotations

- $\mathbb{SO}(n) = \{R \in \mathbb{R}^{n \times n} : \det(R) = 1, RR^T = I\}$
- $\mathbb{SO}(n)$: "Special Orthogonal Group"

- "Group": roughly, closed under matrix multiplication
- "Orthogonal": $RR^T = I$
- "Special": det(R) = 1

- SO(2): 2D rotations, 1 DoF
- SO(3): 3D rotations, 3 DoF

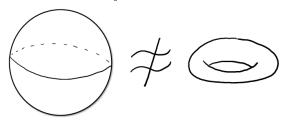
Parameterization

- Record $R \in \mathbb{SO}(3)$ by real numbers
- In other words, we look for mappings between \mathbb{R}^d and $\mathbb{SO}(3)$:

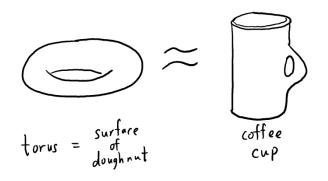
$$f(\theta) = R_{\theta}$$

Prereq.: Topology

Topology: Structural Properties of a Manifold



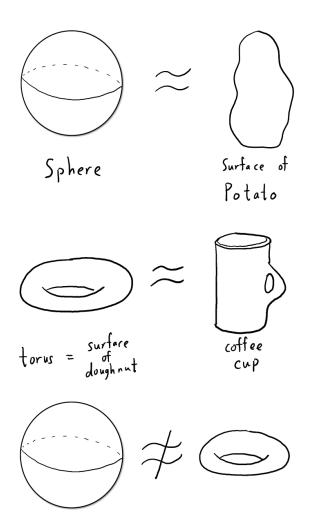
• Two surfaces M and N are topologically equivalent if there is a **differentiable bijection** between M and N





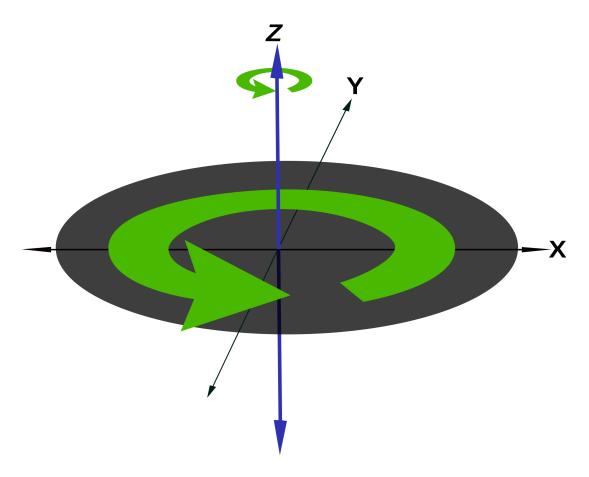
Prereq: Topology

More examples:



Topology of $\mathbb{SO}(n)$

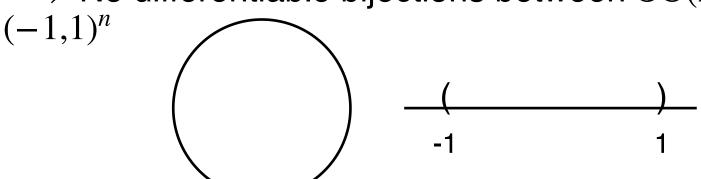
• The topology of $\mathbb{SO}(2)$ is the same as a circle



Topology of $\mathbb{SO}(n)$

• Circles do not have the same topology as $(-1,1)^n$

 \Longrightarrow No differentiable bijections between $\mathbb{SO}(2)$ and



• The topology of $\mathbb{SO}(3)$ is also different from $(-1,1)^n$

Parameterizing Rotations is Tricky

- Although $\mathbb{SO}(3)$ only has 3 DoF, you cannot build a differentiable bijection between $\mathbb{SO}(3)$ and any subset of \mathbb{R}^3
- Even parameterizing $\mathbb{SO}(3)$ by \mathbb{R}^d with d>3,
 - we cannot build differentiable bijections with $(-1,1)^d$
 - we have to either introduce constraints, or bear with singularities and the "multi-cover" issue
- The challenge brings a lot of trouble to optimization and learning

Recent Progress on the Theoretical Understanding of Rotation Parameterization

 Revisiting the Continuity of Rotation Representations in Neural Networks, Xiang et al.

Euler Angle is Very Intuitive



Euler Angle to Rotation Matrix

Rotation about principal axis is represented as:

about principal axis is represent
$$R_{\chi}(\alpha) := \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \alpha & -\sin \alpha \\ 0 & \sin \alpha & \cos \alpha \end{bmatrix}$$

$$R_{\chi}(\beta) := \begin{bmatrix} \cos \beta & 0 & \sin \beta \\ 0 & 1 & 0 \\ -\sin \beta & 0 & \cos \beta \end{bmatrix}$$

$$R_{\chi}(\gamma) := \begin{bmatrix} \cos \gamma & -\sin \gamma & 0 \\ \sin \gamma & \cos \gamma & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

• $R = R_z(\alpha)R_v(\beta)R_x(\gamma)$ for arbitrary rotation

- Euler Angle is not unique for some rotations.
- For example,

$$R_z(45^\circ)R_y(90^\circ)R_x(45^\circ) = R_z(90^\circ)R_y(90^\circ)R_x(90^\circ)$$

$$= \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ -1 & 0 & 0 \end{bmatrix}$$

- Gimbal lock:
 - $\mathrm{D}\!f$ is rank-deficient at some θ
 - \Rightarrow some movement in $\mathbf{T}_R(\mathbb{SO}(3))$ cannot be achieved

• For example: When $\beta = \pi/2$,

$$R = R_z(\alpha)R_y(\pi/2)R_x(\gamma)$$

$$= \begin{bmatrix} 0 & 0 & 1\\ \sin(\alpha + \gamma) & \cos(\alpha + \gamma) & 0\\ -\cos(\alpha + \gamma) & \sin(\alpha + \gamma) & 0 \end{bmatrix}$$

since changing α and γ has the same effects, a degree of freedom disappears!

Summary

- Euler angle can parameterize every rotation and has good interpretability
- It is not a unique representation at some points
- There are some points where not every change in the target space (rotations) can be realized by a change in the source space (Euler angles)

Summary of Rotation Representations

	Inverse?	Composing?	Any local movement in SO(3) can be achieved by local movement in the domain?
Rotation Matrix	~	~	N/A
Euler Angle	Complicated	Complicated	No
Angle-axis	~	Complicated	?
Quaternion	V	✓	✓

? means no singularity with single exceptions

Summary of Rotation Representations

- It is quite often that, we use
 - rotation matrices to define concepts
 - Euler angles to visualize rotations
 - angle-axis representation to visualize rotations and calculate derivatives
 - quaternion to write fast codes

Resources

- In Python, you could use the transforms3d library
- For differentiable transformations, you can play with is "Kornia", but use with cautious to its numerical properties
- "ceres" is a C++ library that is quite useful