

L5: 3D Transformation

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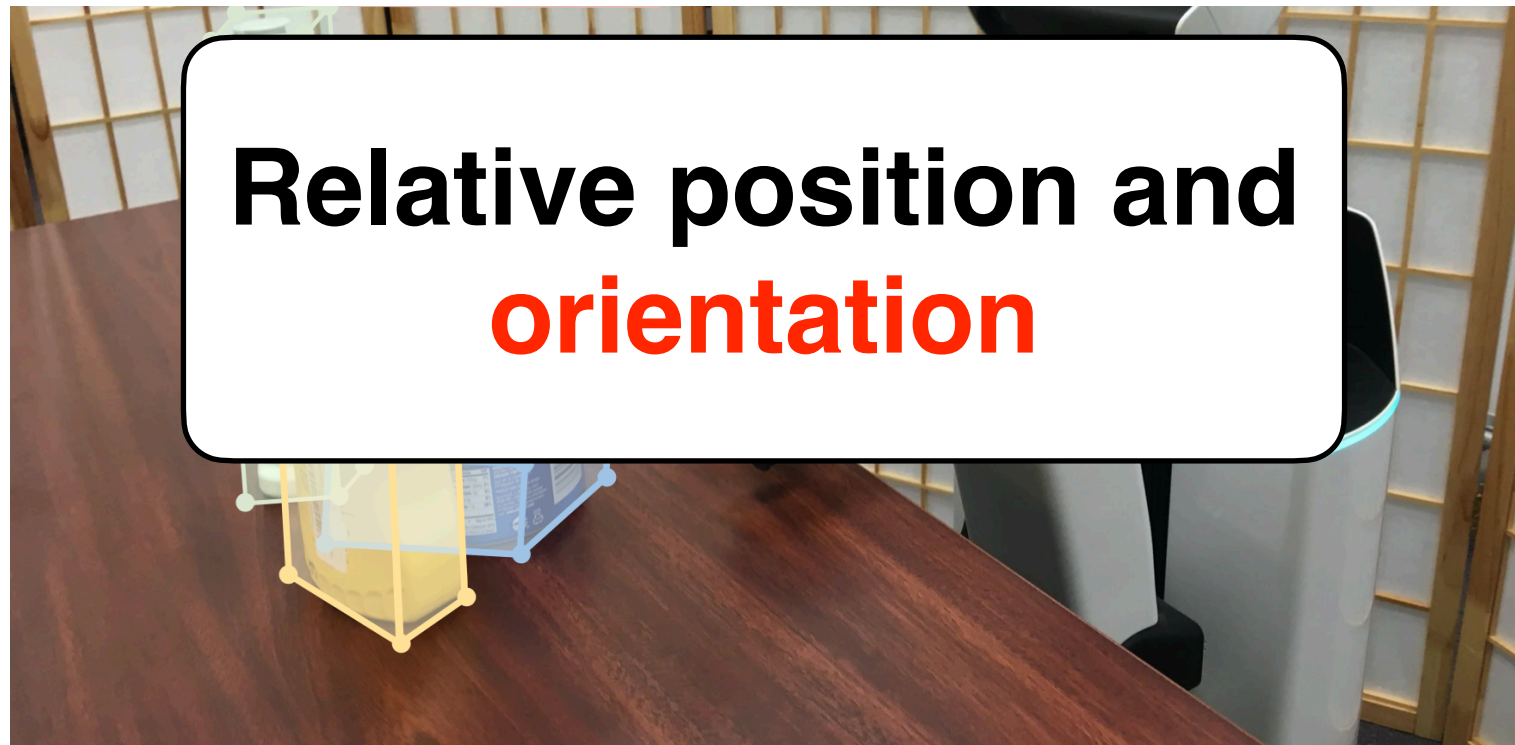
3D Spatial Relationships

- How to represent the relationships between objects?



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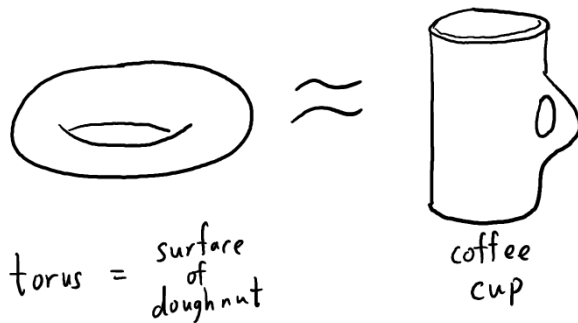


Prereq: Topology

- Topology: Structural Properties of a Manifold

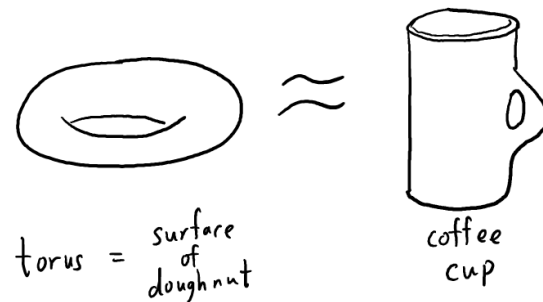
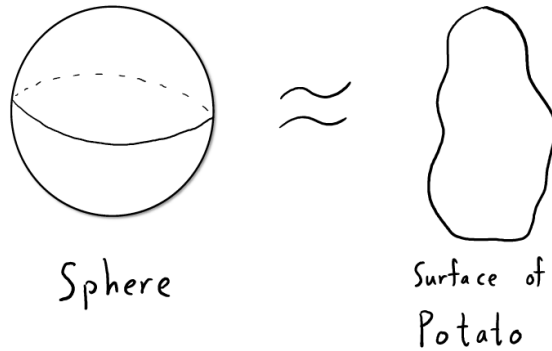


- Two surfaces M and N are *topologically equivalent* if there is a **differentiable bijection** between M and N



Prereq: Topology

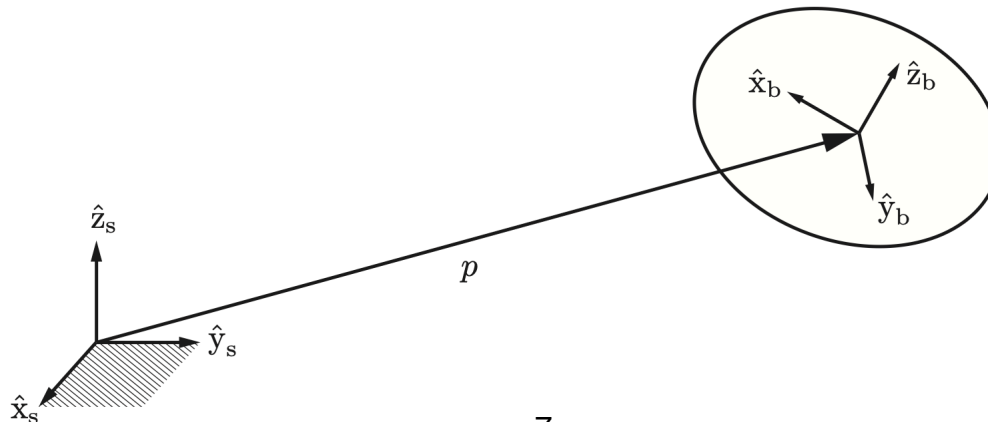
- More examples:



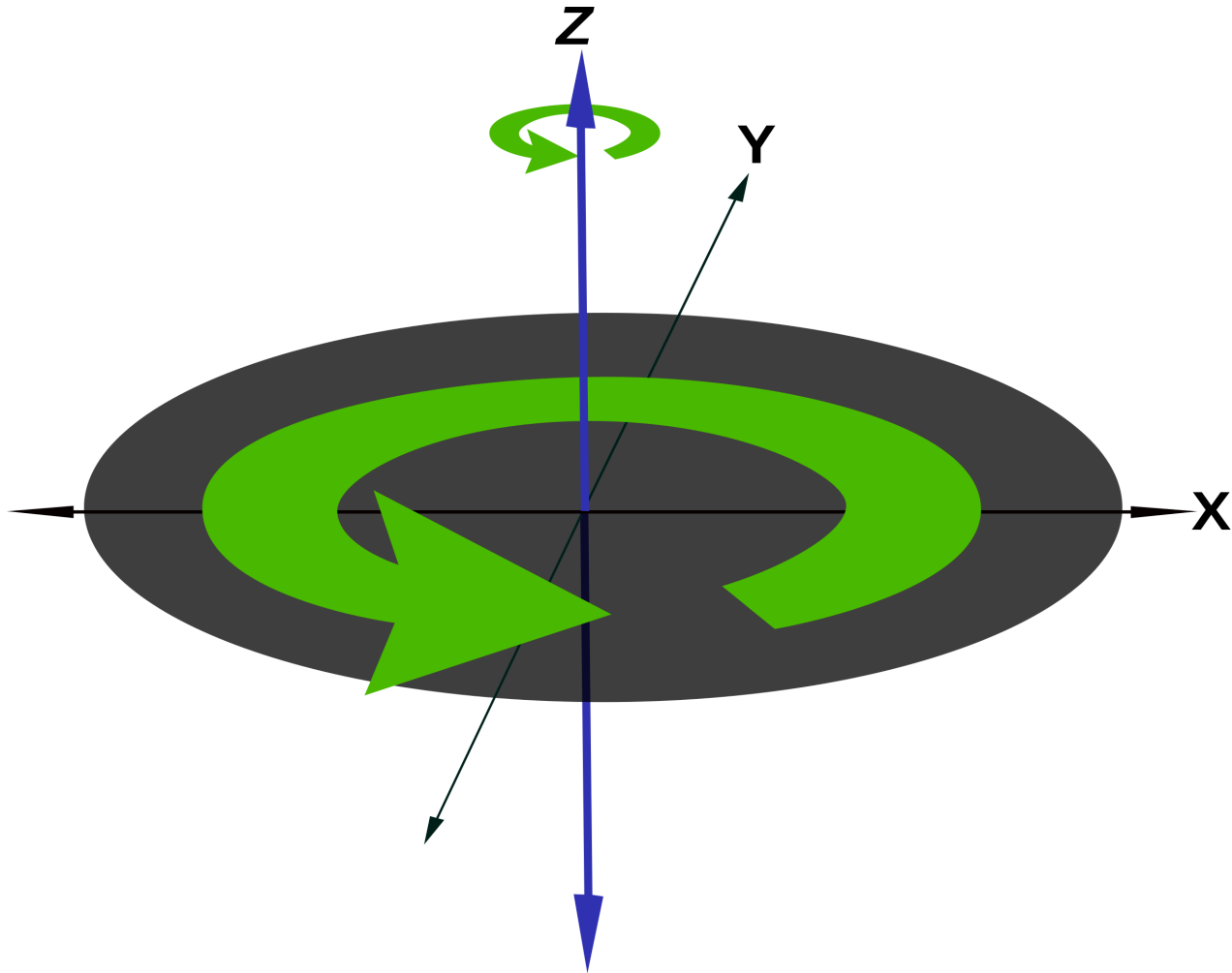
Rotation and $SO(n)$

Orientation

- We use “rotation” to represent the relative orientation between two frames
- For example,
 - Space Frame: $\{s\} = \{\hat{x}_s, \hat{y}_s, \hat{z}_s\}$
 - Body Frame: $\{b\} = \{\hat{x}_b, \hat{y}_b, \hat{z}_b\}$
 - R_{sb} rotates the frame of the space to the frame of the body after the origins are aligned



Rotation in \mathbb{R}^2



1 Degree of Freedom

Rotation in \mathbb{R}^3



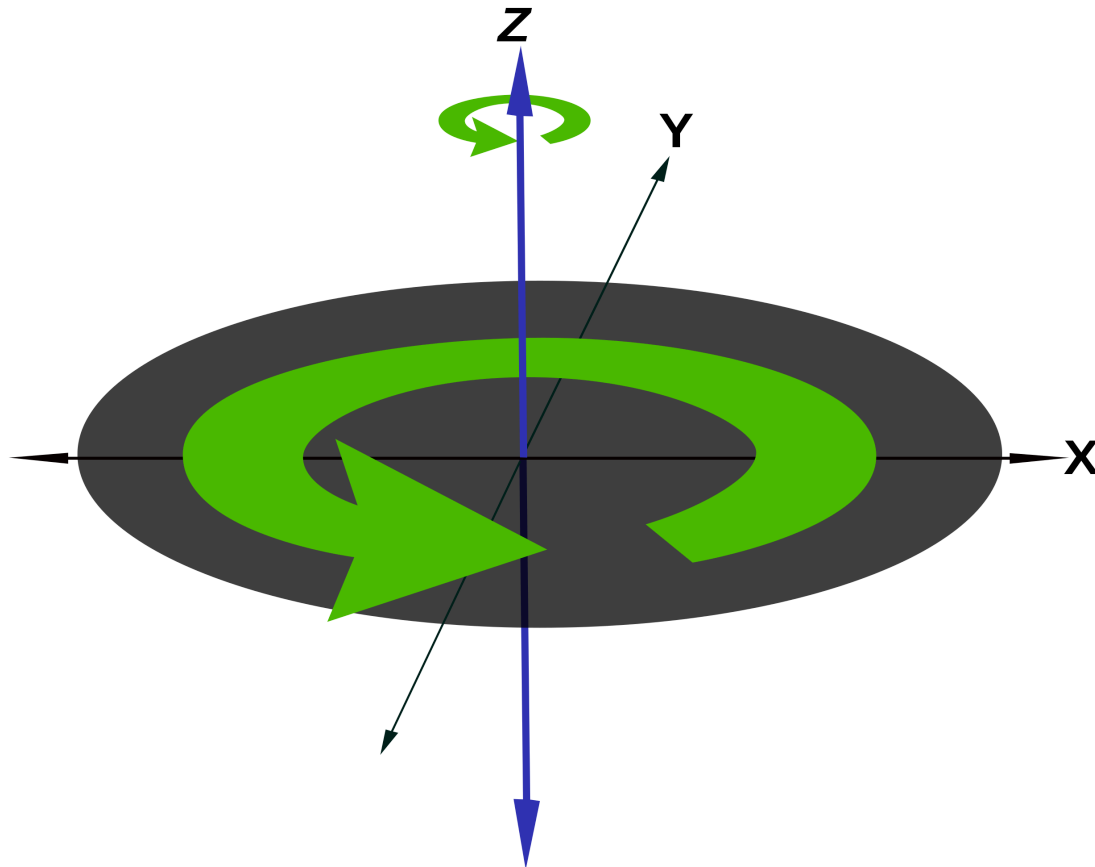
3 Degree of Freedoms

The Set of Rotations

- $SO(n) = \{R \in \mathbb{R}^{n \times n} : \det(R) = 1, RR^T = I\}$
- $SO(n)$: “Special Orthogonal Group”
- “Group”: a group under the *matrix multiplication*
- “Orthogonal”: $RR^T = I$
- “Special”: $\det(R) = 1$
- $SO(2)$: 2D rotations, 1 DoF
- $SO(3)$: 3D rotations, 3 DoF

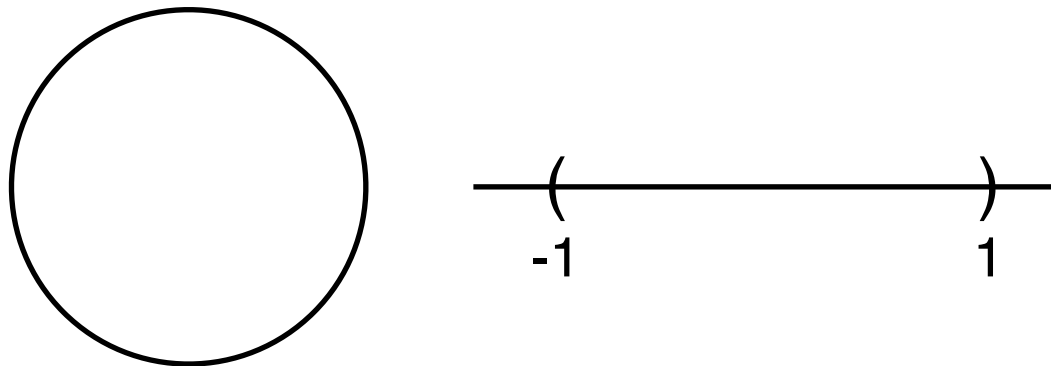
Topology of $SO(n)$

- The topology of $SO(2)$ is the same as a circle



Topology of $SO(n)$

- Circles do not have the same topology as $(-1,1)^n$
 \implies No differentiable bijections between $SO(2)$ and $(-1,1)^n$



- The topology of $SO(3)$ is also different from $(-1,1)^n$

Why do we care about the topology?

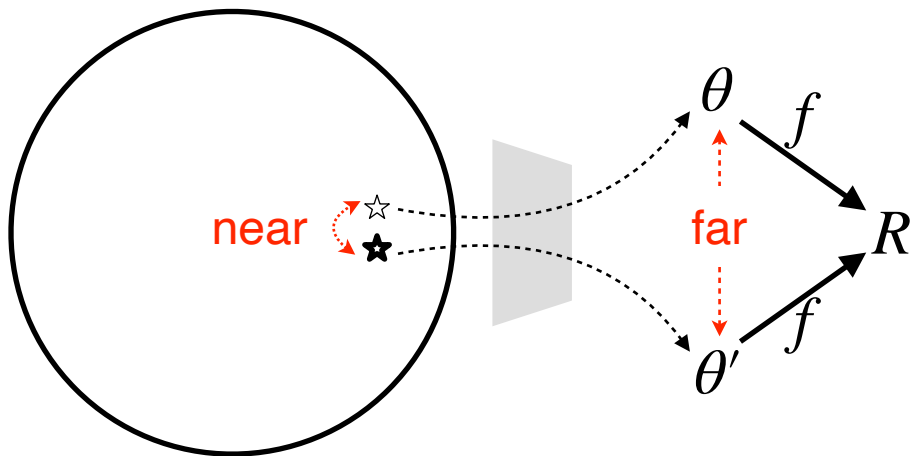
Parameterizing Rotation in Networks is Tricky

- An ideal parameterization $f(\theta) : U \mapsto SO(2)$ to use in networks:
 1. The domain is $(-l, l)^n$ (as network output)

Parameterizing Rotation in Networks is Tricky

- An ideal parameterization $f(\theta) : U \mapsto SO(2)$ to use in networks:
 1. The domain is $(-l, l)^n$
 2. f is a differentiable *bijection*

Otherwise:



- If input data points to network are close, but the θ predictions happen to be far after convergence, the network (a continuous function) will make awful predictions between the two data points!
- Need special network design to overcome the issue (will discuss in future lectures)

Parameterizing Rotation in Networks is Tricky

- An ideal parameterization $f(\theta) : U \mapsto SO(2)$ to use in networks:
 1. The domain is $(-l, l)^n$
 2. f is a differentiable *bijection*
 3. $\forall \theta \forall y \in \mathbf{T}_{f(\theta)}$ with $\|y\| = 1$, there should $\exists x \in \mathbf{T}_\theta$, such that $y = Df[x]$ and $c + \epsilon > \|x\| > c - \epsilon$ for some constant c and small ϵ (all movement in $SO(n)$ should be achieved by movement in the domain with a near constant speed)

Parameterizing Rotation in Networks is Tricky

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- However, 1 and 2 are contradictory by topology!
- For 3, it also creates troubles for the $SO(3)$ case.

Euler Angles

Euler Angle is Very Intuitive



Euler Angle to Rotation Matrix

- Rotation about principal axis is represented as:

$$R_x(\alpha) := \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \alpha & -\sin \alpha \\ 0 & \sin \alpha & \cos \alpha \end{bmatrix}$$

$$R_y(\beta) := \begin{bmatrix} \cos \beta & 0 & \sin \beta \\ 0 & 1 & 0 \\ -\sin \beta & 0 & \cos \beta \end{bmatrix}$$

$$R_z(\gamma) := \begin{bmatrix} \cos \gamma & -\sin \gamma & 0 \\ \sin \gamma & \cos \gamma & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

- $R = R_z(\alpha)R_y(\beta)R_x(\gamma)$ for arbitrary rotation

Inspection from Learning Perspective

- Euler Angle is **not unique** for some rotations. For example,

$$R_z(45^\circ)R_y(90^\circ)R_x(45^\circ) = R_z(90^\circ)R_y(90^\circ)R_x(90^\circ)$$

$$= \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ -1 & 0 & 0 \end{bmatrix}$$

Inspection from Learning Perspective

- Gimbal lock:
 - Df is rank-deficient at some θ
 - \Rightarrow some movement in $\mathbf{T}_{f(\theta)}(SO(3))$ cannot be achieved

Inspection from Learning Perspective

- For example: When $\beta = \pi/2$,

$$\begin{aligned} R &= R_z(\alpha)R_y(\pi/2)R_x(\gamma) \\ &= \begin{bmatrix} 0 & 0 & 1 \\ \sin(\alpha + \gamma) & \cos(\alpha + \gamma) & 0 \\ -\cos(\alpha + \gamma) & \sin(\alpha + \gamma) & 0 \end{bmatrix} \end{aligned}$$

since changing α and γ has the same effects, a degree of freedom disappears!

Summary

- Euler angle can parameterize every rotation and has good interpretability
- It is not a unique representation at some points
- There are some points where not every change in the target space (rotations) can be realized by a change in the source space (Euler angles)

Axis-Angle

Euler Theorem

- Any rotation in $SO(3)$ is equivalent to rotation about a fixed axis $\omega \in \mathbb{R}^3$ through a positive angle θ
- $\hat{\omega}$: unit vector of rotation axis ($\|\hat{\omega}\| = 1$)
- θ : angle of rotation
- $R \in SO(3) := Rot(\hat{\omega}, \theta)$

Given $\hat{\omega}$ and θ , what is $R \in SO(3)$?

Skew-Symmetric Matrix

- A is skew-symmetric $A = -A^T$

- Skew-symmetric matrix operator:

$$\omega = \begin{bmatrix} \omega_1 \\ \omega_2 \\ \omega_3 \end{bmatrix}, \quad [\omega] := \begin{bmatrix} 0 & -\omega_3 & \omega_2 \\ \omega_3 & 0 & -\omega_1 \\ -\omega_2 & \omega_1 & 0 \end{bmatrix}$$

- Cross product can be a linear transformation
 - $a \times b = [a]b$
- **Lie Algebra** of 3D rotation:
 - $so(3) := \{S \in \mathbb{R}^{3 \times 3} : S^T = -S\}$

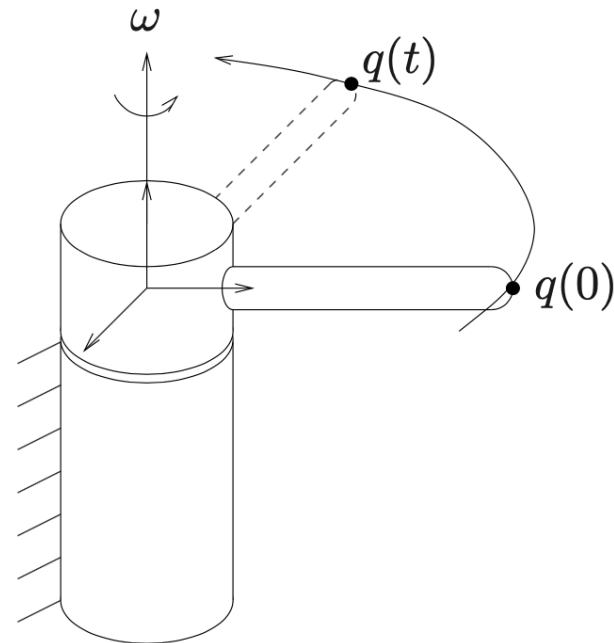
Given $\hat{\omega}$ and θ , what is $R \in SO(3)$?

- Consider a point q . At time $t = 0$, the position is q_0

- Rotate q with **unit** angular velocity around axis $\hat{\omega}$, i.e.,

- $v = \hat{\omega} \times r$

- $\dot{q}(t) = \hat{\omega} \times q(t) = [\hat{\omega}]q(t)$



Given $\hat{\omega}$ and θ , what is $R \in SO(3)$?

$$\dot{q}(t) = \hat{\omega} \times q(t) = [\hat{\omega}]q(t)$$

$$\Rightarrow q(t) = e^{[\hat{\omega}]t} q_0 \text{ (solution of the ODE)}$$

$$\|\hat{\omega}\| = 1$$

$$\Rightarrow \text{the swept angle } \theta = \|\hat{\omega}t\| = t$$

$$\Rightarrow q(\theta) = e^{[\hat{\omega}]\theta} q_0$$

$$\Rightarrow \text{Rot}(\hat{\omega}, \theta) = e^{[\hat{\omega}]\theta} \text{ (exponential map)}$$

- $\vec{\omega} = \hat{\omega}\theta$ is also called **rotation vector** or **exponential coordinate**

Given $\hat{\omega}$ and θ , what is $R \in SO(3)$?

- Definition of Matrix Exponential:

$$e^{[\hat{\omega}]\theta} = I + \theta[\hat{\omega}] + \frac{\theta^2}{2!}[\hat{\omega}]^2 + \frac{\theta^3}{3!}[\hat{\omega}]^3 + \dots$$

- Sum of infinite series? **Rodrigues Formula**
 - Can prove that $[\hat{\omega}]^3 = -[\hat{\omega}]$
 - Then, use Taylor expansion of **sin** and **cos**
 - $e^{[\hat{\omega}]\theta} = I + [\hat{\omega}]\sin \theta + [\hat{\omega}]^2(1 - \cos \theta)$

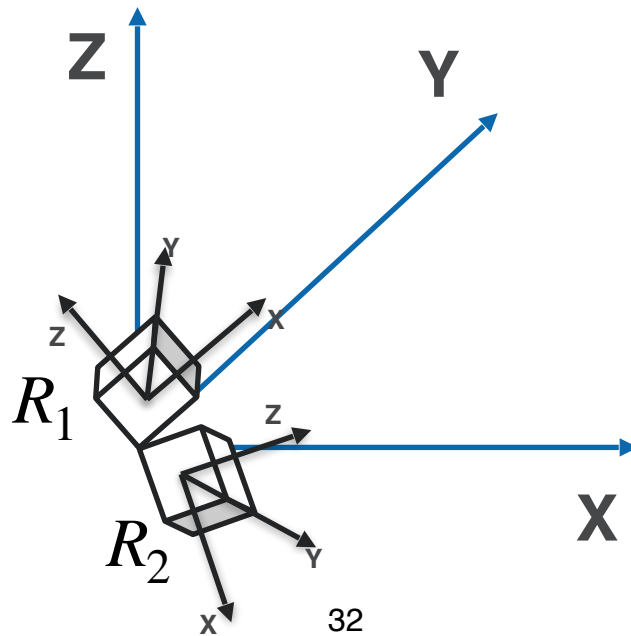
Given $R \in SO(3)$, what is $\hat{\omega}$ and θ ?

- First question: Is there a **unique** parametrization?
 - No:
 1. $(\hat{\omega}, \theta)$ and $(-\hat{\omega}, -\theta)$ give the same rotation
 2. when $R = I$, $\theta = 0$ and $\hat{\omega}$ can be arbitrary
- When 2 does not happen, and if we also restrict $\theta \in [0, \pi)$, a unique parameterization exists:
 - when $\text{tr}(R) \neq -1$, can be computed by
$$\theta = \arccos \frac{1}{2}[\text{tr}(R) - 1], \quad [\hat{\omega}] = \frac{1}{2 \sin \theta}(R - R^T)$$
 - when $\text{tr}(R) = -1$, they are the cases that $\theta = \pi$ for rotations around x/y/z axis

Distance between Rotations

- How to measure the distance between rotations (R_1, R_2) ?
- A natural view is to measure the (minimal) effort to rotate the body at R_1 pose to R_2 pose:

$$\because (R_2 R_1^T) R_1 = R_2 \quad \therefore \text{dist}(R_1, R_2) = \theta(R_2 R_1^T) = \arccos \frac{1}{2} [\text{tr}(R_2 R_1^T) - 1]$$



Inspection from Learning Perspective

- When used in networks, one prominent issue is:
 - Suppose that you are estimating $\theta\hat{w}$ as a 3D vector
 - To keep a unique parameterization, you assume that $\theta \in (0, \pi]$
 - Your current solution is $\pi\hat{w}$
 - $(\pi - \epsilon)(-\hat{w})$ is mapped to a neighborhood point in $SO(3)$, but it is not in the neighborhood of the domain, hence gradient descent could not achieve it

Summary of Axis-Angle

- Axis-Angle is an intuitive rotation representation
- By adding a constraint to the domain of θ , the parameterization can be unique at most points
- Can be converted to and from rotation matrices by exponential map and its inverse (when possible)
- Induced a distance between rotations which is a metric in $SO(3)$ (independent of parameterization)

Quaternion

Mathematical Definition

- Recall the complex number $a + bi$
- Quaternion is a more generalized complex number:
 $q = w + xi + yj + zk$
 - w is the real part and $\vec{v} = (x, y, z)$ is the imaginary part
 - Imaginary: $i^2 = j^2 = k^2 = ijk = -1$
 - anti-commutative :
 $ij = k = -ji, jk = i = -kj, ki = j = -ik$

Properties of General Quaternions

- In vector-form, the product of two quaternions:

For $q_1 = (w_1, \vec{v}_1)$ and $q_2 = (w_2, \vec{v}_2)$

$$q_1 q_2 = (w_1 w_2 - \vec{v}_1^T \vec{v}_2, w_1 \vec{v}_2 + w_2 \vec{v}_1 + \vec{v}_1 \times \vec{v}_2)$$

- Conjugate: $q^* = (w, -\vec{v})$
- Norm: $\|q\|^2 = w^2 + \vec{v}^T \vec{v} = qq^* = q^*q$
- Inverse: $q^{-1} := \frac{q^*}{\|q\|^2}$

Unit Quaternion as Rotation

- A **unit** quaternion $\|q\| = 1$ can represent a rotation
 - Four numbers plus one constraint \rightarrow 3 DoF
- Geometrically, the shell of a 4D sphere

Unit Quaternion as Rotation

- Rotate a vector \vec{x} by quaternion q :
 1. Augment \vec{x} to $x = (0, \vec{x})$
 2. $x' = qxq^{-1}$
- Compose rotations by quaternion:
 - $(q_2(q_1xq_1^*)q_2^*)$: first rotate by q_1 and then by q_2
 - Since $(q_2(q_1xq_1^*)q_2^*) = (q_2q_1)x(q_1^*q_2^*)$, we conclude that **composing rotations is as simple as multiplying quaternions!**

Conversation between Quaternions and Angle-Axis

- Exponential coordinate \rightarrow Quaternion:

$$q = [\cos(\theta/2), \sin(\theta/2)\hat{\omega}]$$

Quaternion is very close to angle-axis representation!

- Exponential coordinate \leftarrow Quaternion:

$$\theta = 2 \arccos(w), \quad \hat{\omega} = \begin{cases} \frac{1}{\sin(\theta/2)} \vec{v} & \theta \neq 0 \\ 0 & \theta = 0 \end{cases}$$

Conversation between Quaternion and Rotation Matrix

- Rotation \leftarrow Quaternion

$$R(q) = E(q)G(q)^T$$

where $E(q) = [-\vec{q}, wI + [\vec{q}]]$ and
 $G(q) = [-\vec{q}, wI - [\vec{q}]]$

- Rotation \rightarrow Quaternion
 - Rotation \rightarrow Angle-Axis \rightarrow Quaternion

Inspection from Learning Perspective

- Each rotation corresponds to two quaternions (“double-covering”)
- Need to normalize to unit length in networks. This normalization may cause big/small gradients in practice

More about Quaternion

- Quaternion is computationally cheap:
 - Internal representation of Physical Engine and Robot
 - Pay attention to convention (w, x, y, z) or (x, y, z, w) ?
 - (w, x, y, z) : SAPIEN, transforms3d, Eigen, blender, MuJoCo, V-Rep
 - (x, y, z, w) : ROS, PhysX, PyBullet

Summary of Quaternion

- Very useful and popular in practice
- 4D parameterization, compact and efficient to compute
- Good numerical properties in general

Summary of Rotation Representations

	Inverse?	Composing?	Any local movement in $SO(3)$ can be achieved by local movement in the domain?
Rotation Matrix	✓	✓	N/A
Euler Angle	Complicated	Complicated	No
Angle-axis	✓	Complicated	?
Skew-symmetrical Matrix	✓	Complicated	?
Quaternion	✓	✓	✓

? means no singularity with single exceptions

Resources

- A useful torch library that you can play with is “kornia”
- Use with cautious to its numerical properties
- “ceres” is a C++ library that is quite useful