

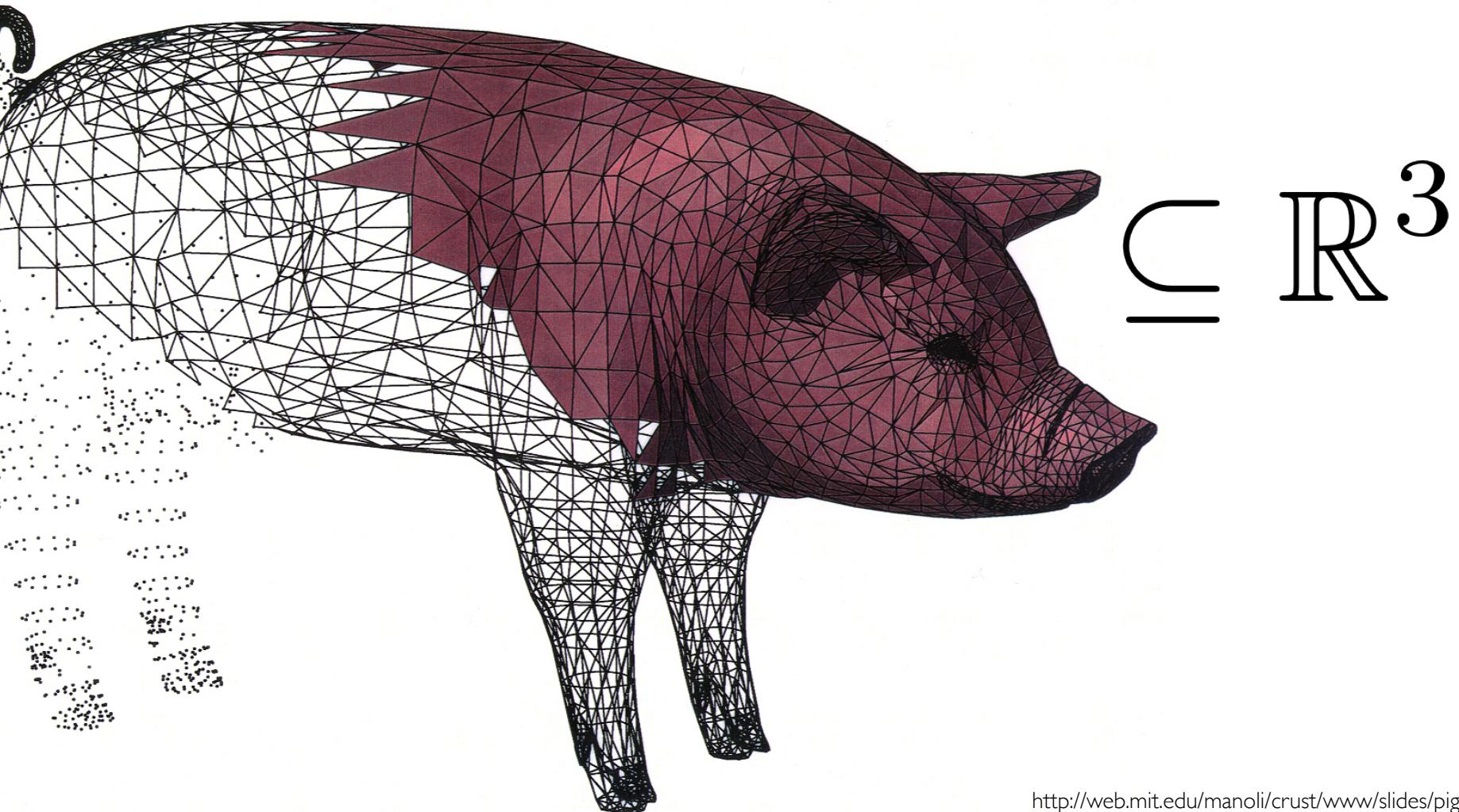
# Office Hour

- Check Piazza

# L2: Surfaces

Hao Su

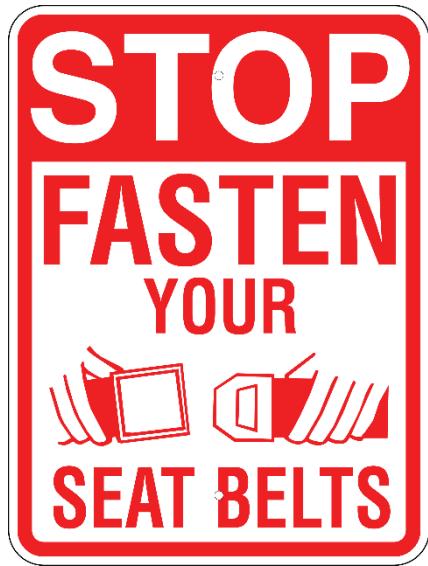
# Our Focus Today: Surface



$$\subseteq \mathbb{R}^3$$

# Agenda

- Parameterized Surface
- Manifold
- Differential Map
- Curvature

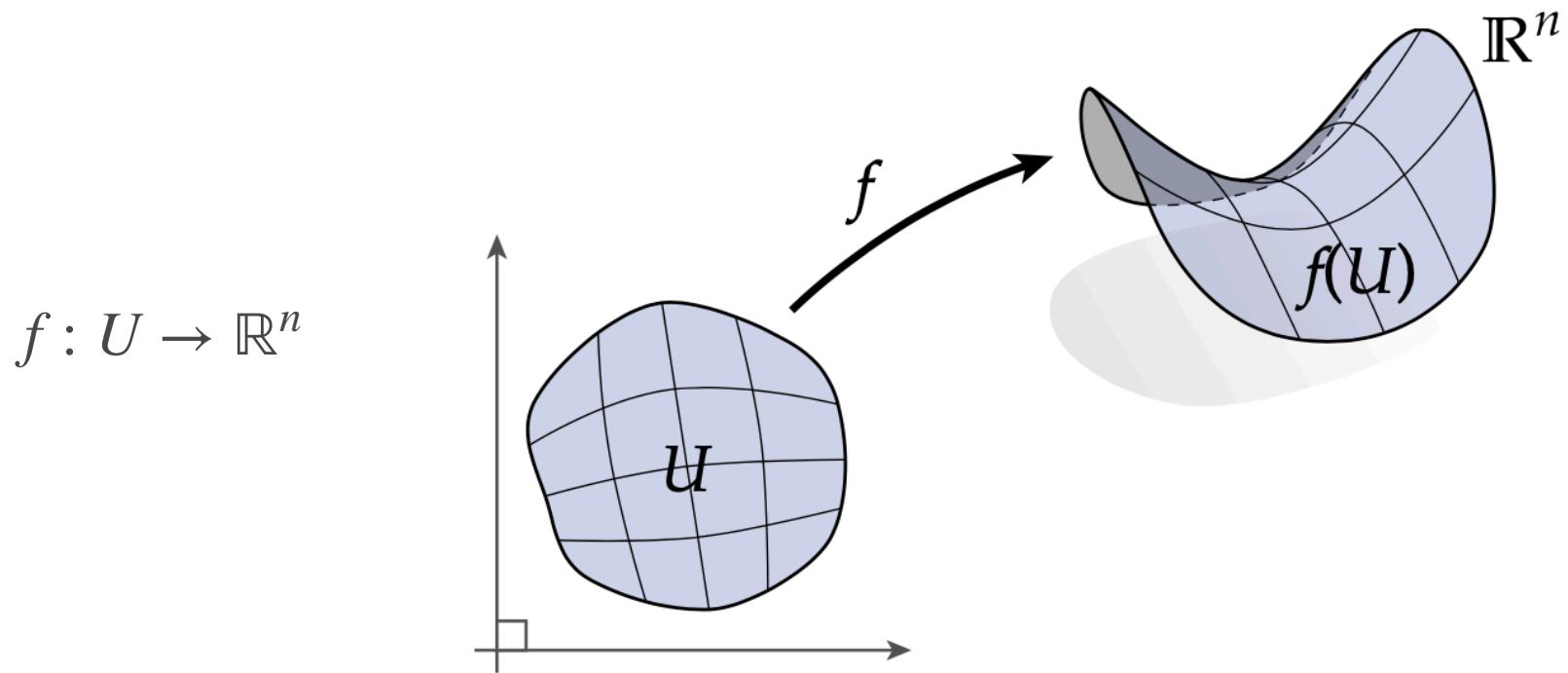


**Lots of (sloppy) math!**

# **Parameterized Surface**

# Parametrized Surface

A **parameterized surface** is a map from a two-dimensional region  $U \subset \mathbb{R}^2$  into  $\mathbb{R}^n$



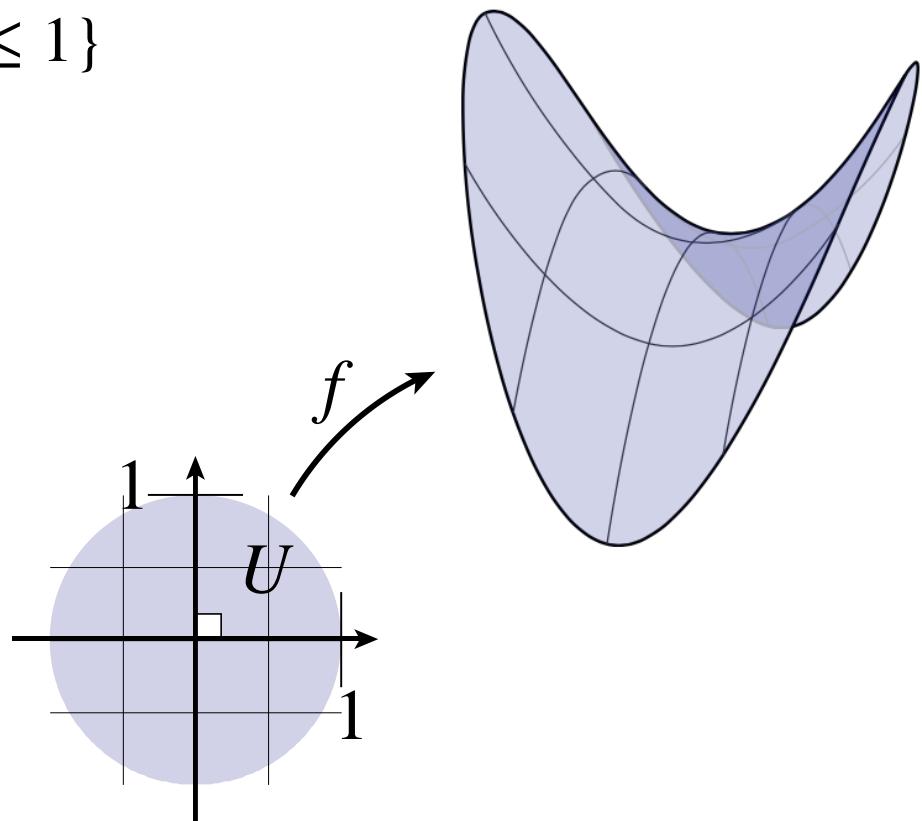
The set of points  $f(U)$  is called the **image** of the parameterization.

# Example

- Example: We can express a *saddle as a parameterized surface*:

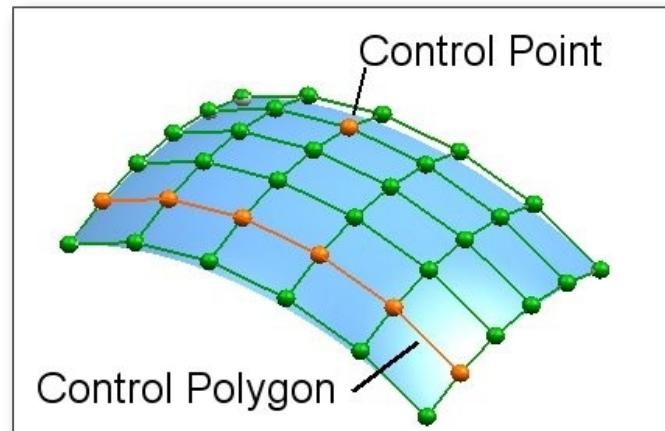
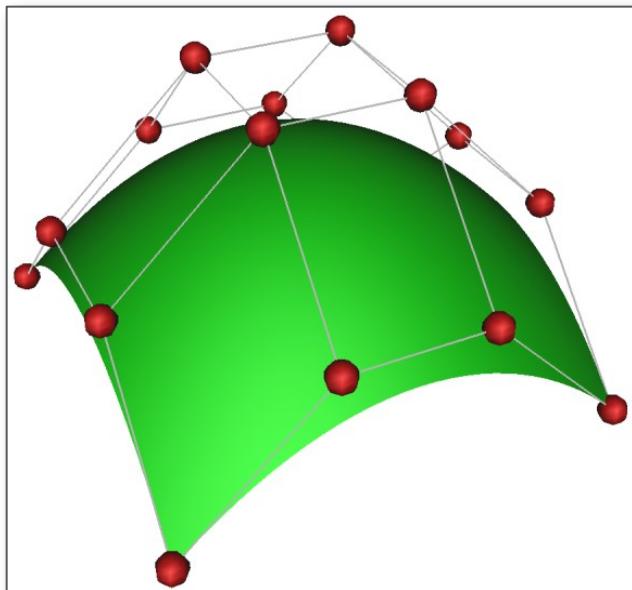
$$U := \{(u, v) \in \mathbb{R}^2 : u^2 + v^2 \leq 1\}$$

$$f(u, v) = [u, v, u^2 - v^2]^T$$



# Application: Bezier Surface, Spline Surface

- Smoothly “interpolate” between a set of points  $P_i$

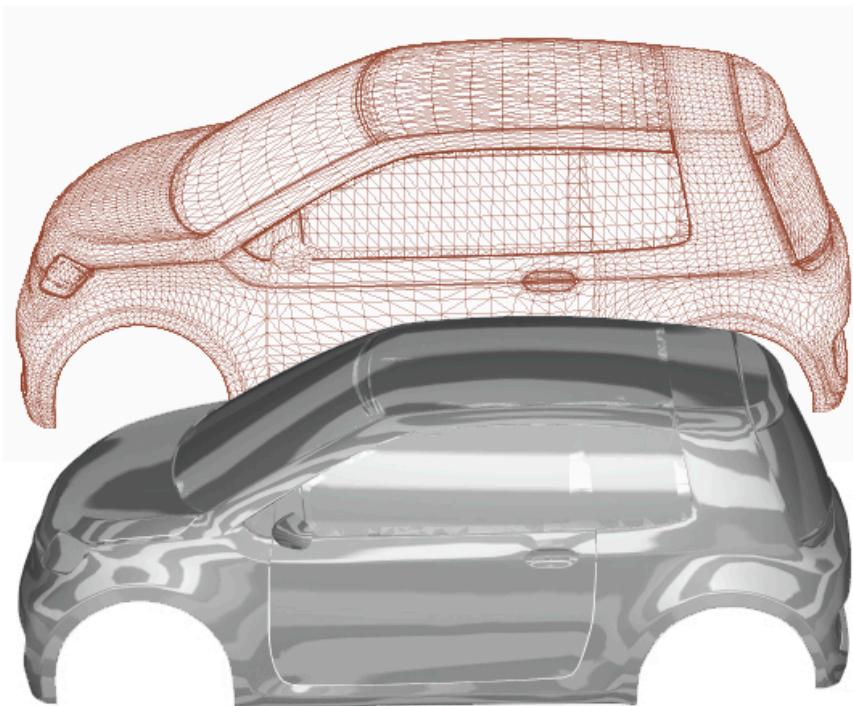


$$s(u, v) = \sum_{i=0}^m \sum_{j=0}^n \mathbf{p}_{i,j} B_i^m(u) B_j^n(v)$$

# Application: Bezier Surface, Spline Surface

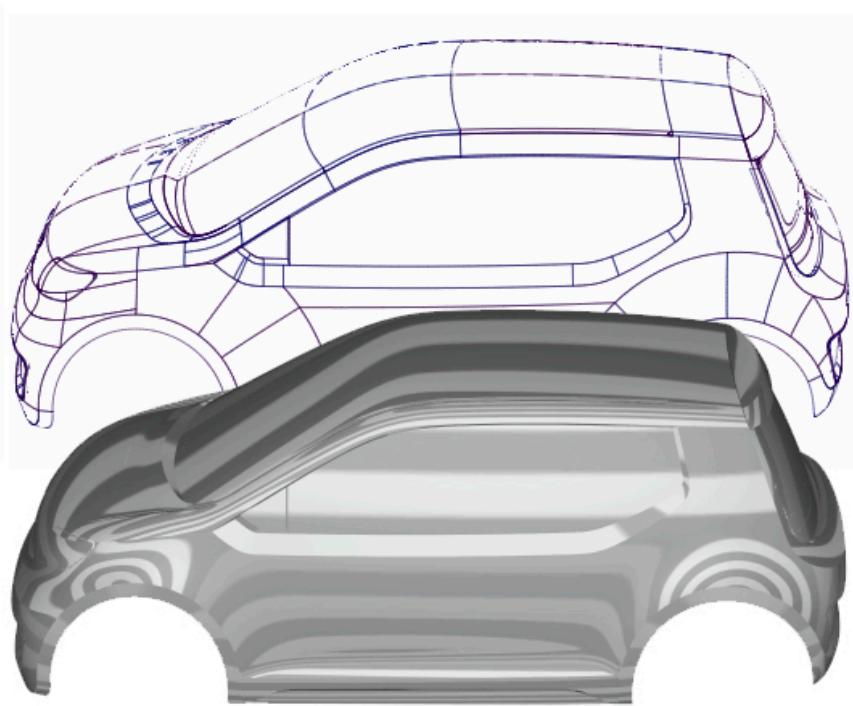
Widely used in design industry (e.g., car modeling)

Polygon model



Poor surface quality

NURBS model

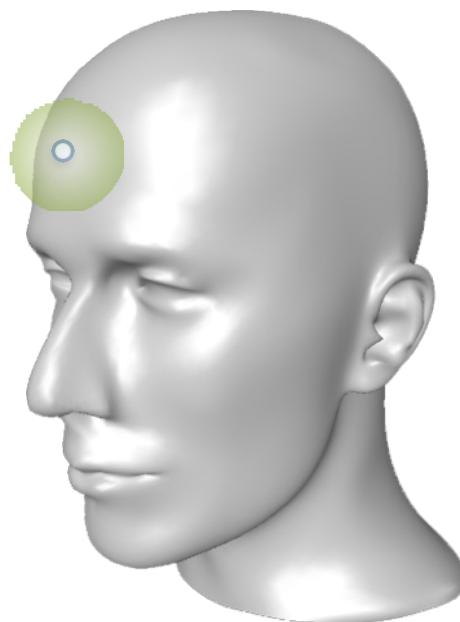


Pure, smooth highlights

# **(Differentiable) Manifold**

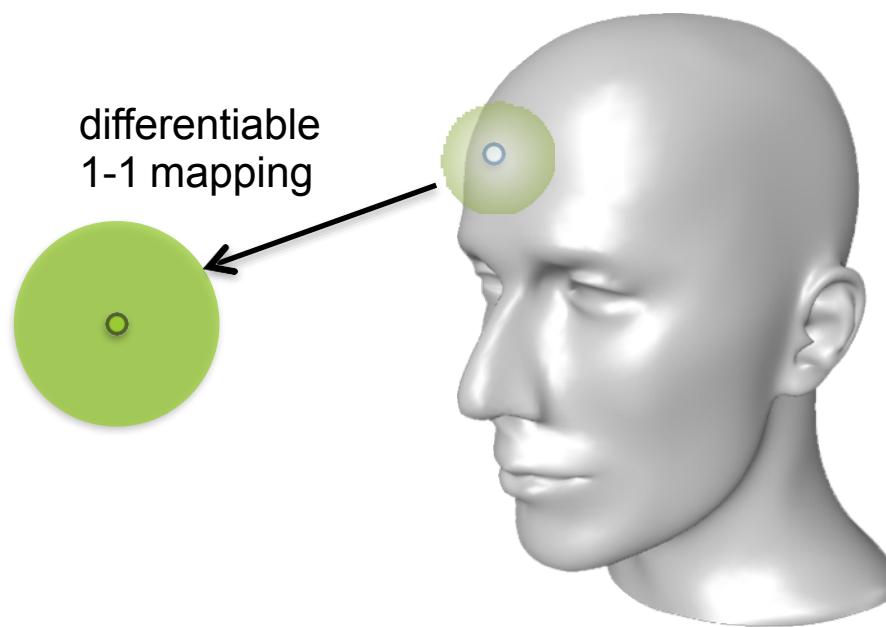
# Smoothness as a Local Property

- Things that can be discovered by local observation: point + neighborhood



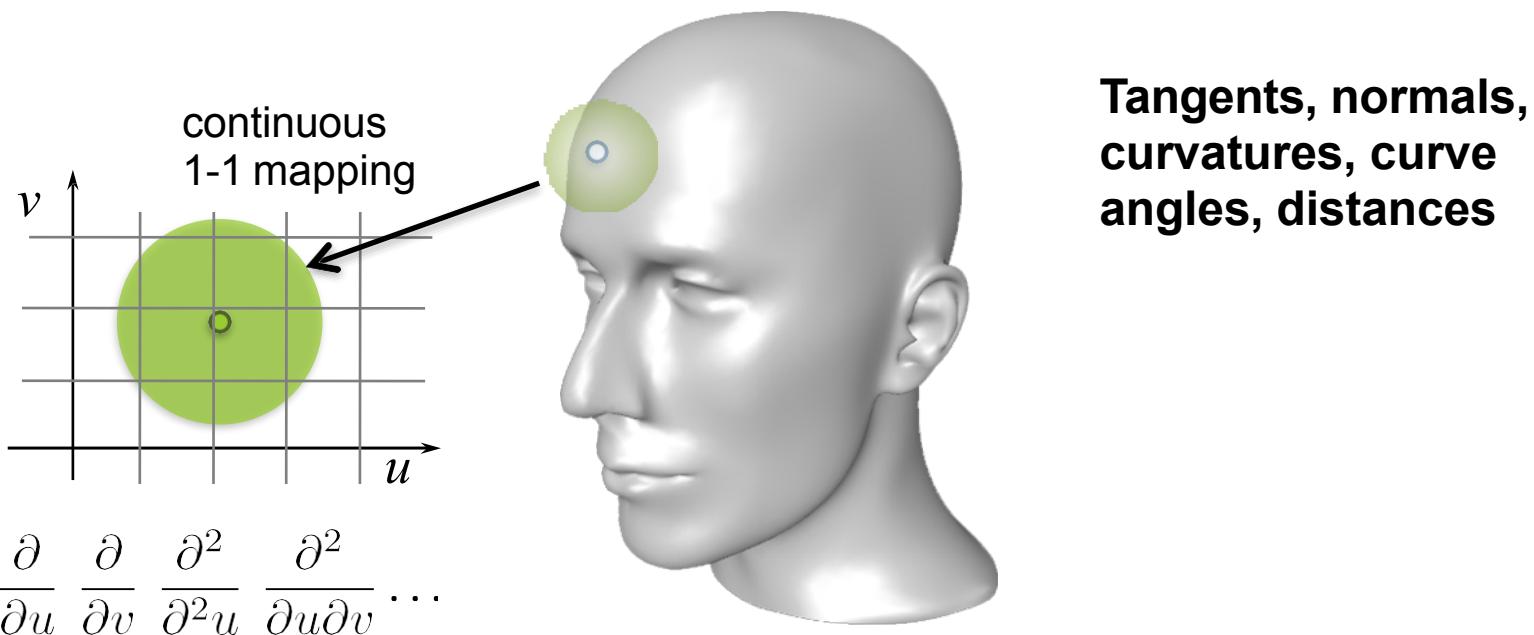
# Local Smoothness

- Things that can be discovered by local observation: point + neighborhood



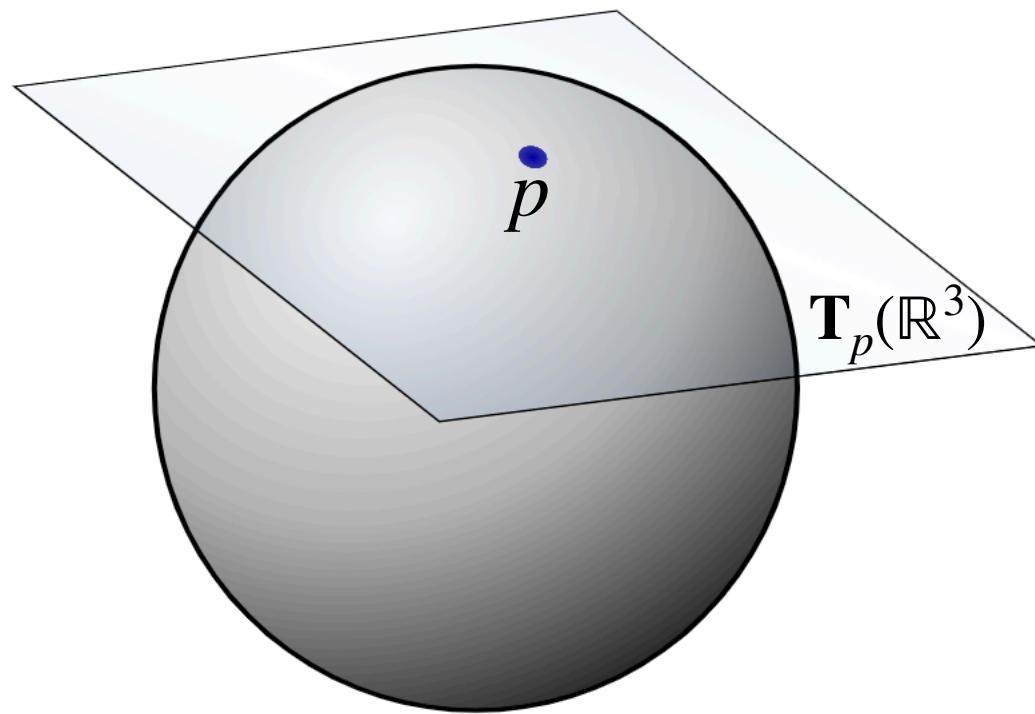
# Local to Global

- Things that can be discovered by local observation: point + neighborhood



# Tangent Plane

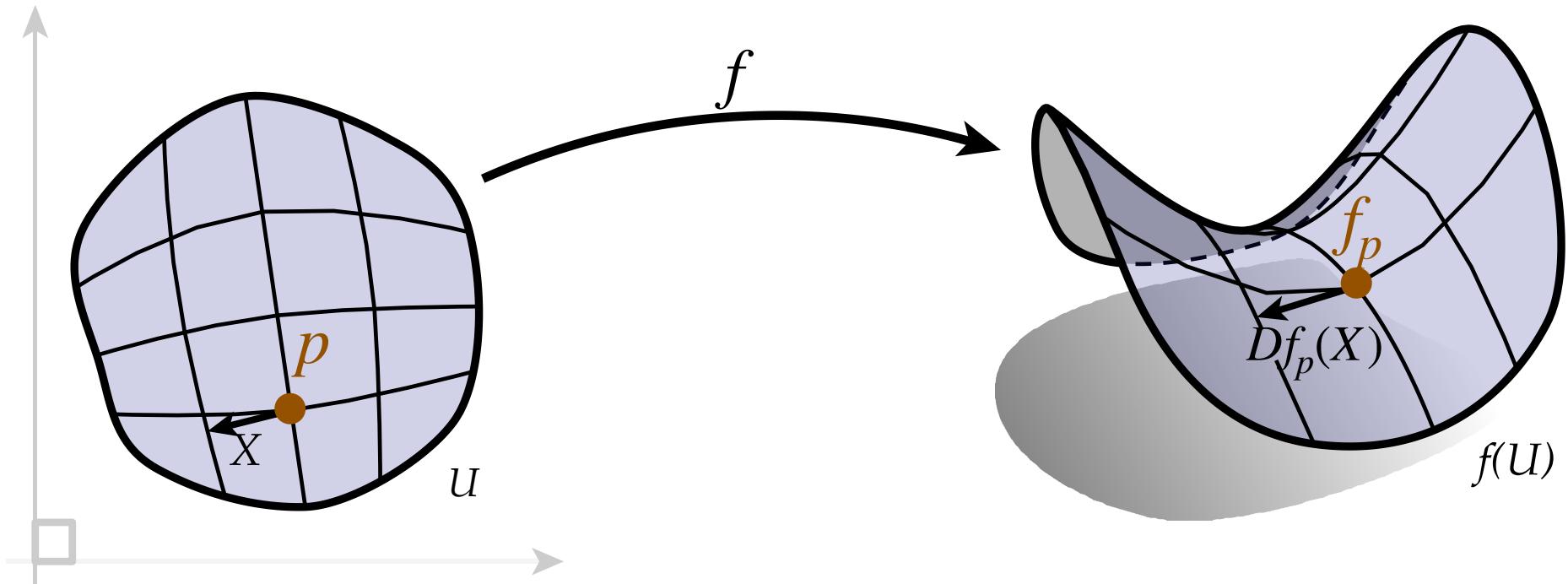
- One can attach to every point  $p$  a tangent plane  $\mathbf{T}_p$
- Intuitively, it contains the possible directions in which one can tangentially pass through  $p$ .



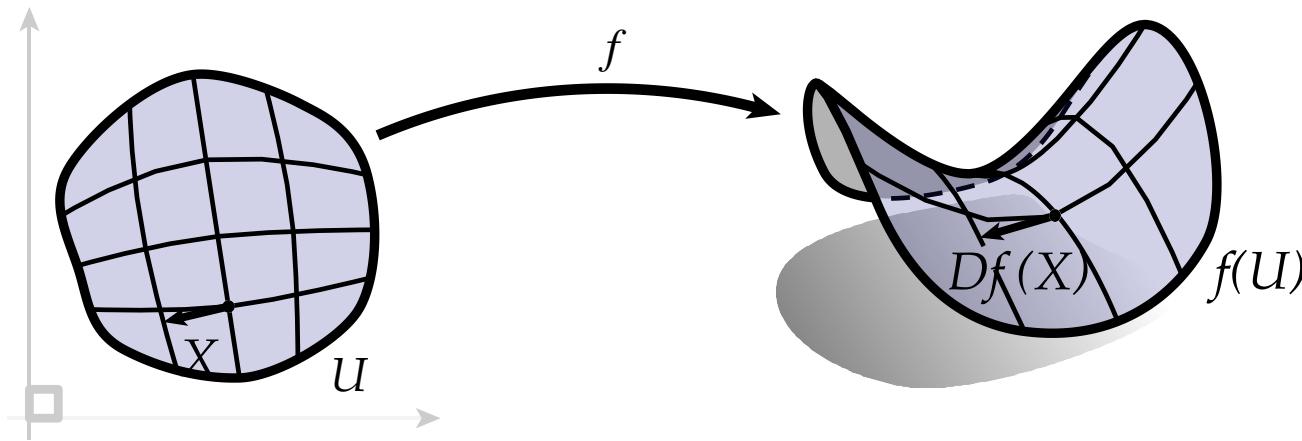
# Differential Map

# Differential of a Surface

- Relate the movement of point in the domain and on the surface



# Differential of a Surface

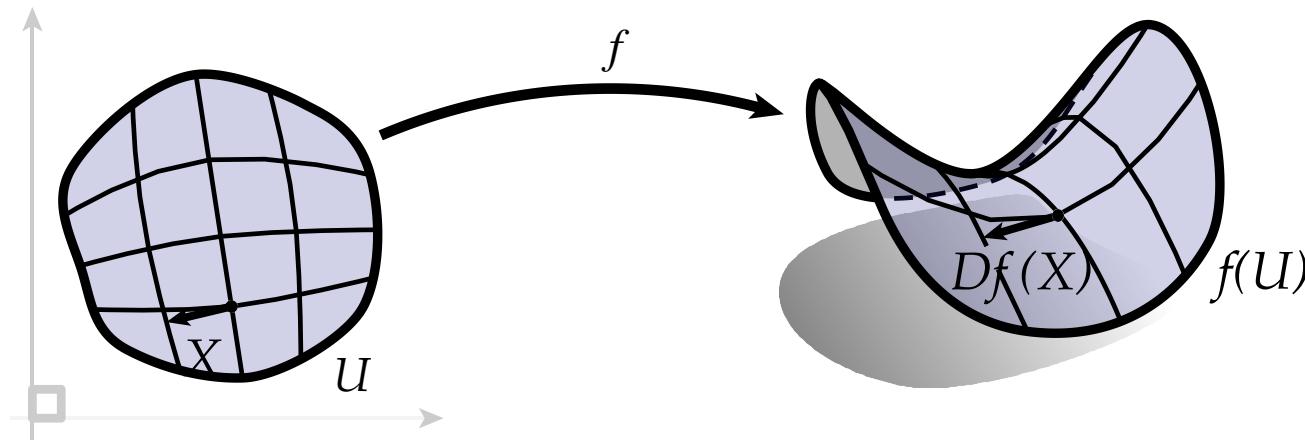


Total differential:  $df = \frac{\partial f}{\partial u} du + \frac{\partial f}{\partial v} dv \implies \Delta f \approx \frac{\partial f}{\partial u} \Delta u + \frac{\partial f}{\partial v} \Delta v$

If point  $p \in \mathbb{R}^2$  moves along vector  $X = [u, v]^T$  by  $\epsilon$ , the movement of  $f_p$  is:

$$\Delta f_p \approx \frac{\partial f}{\partial u}(\epsilon u) + \frac{\partial f}{\partial v}(\epsilon v) = \epsilon \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \begin{bmatrix} u \\ v \end{bmatrix}$$

# Differential of a Surface



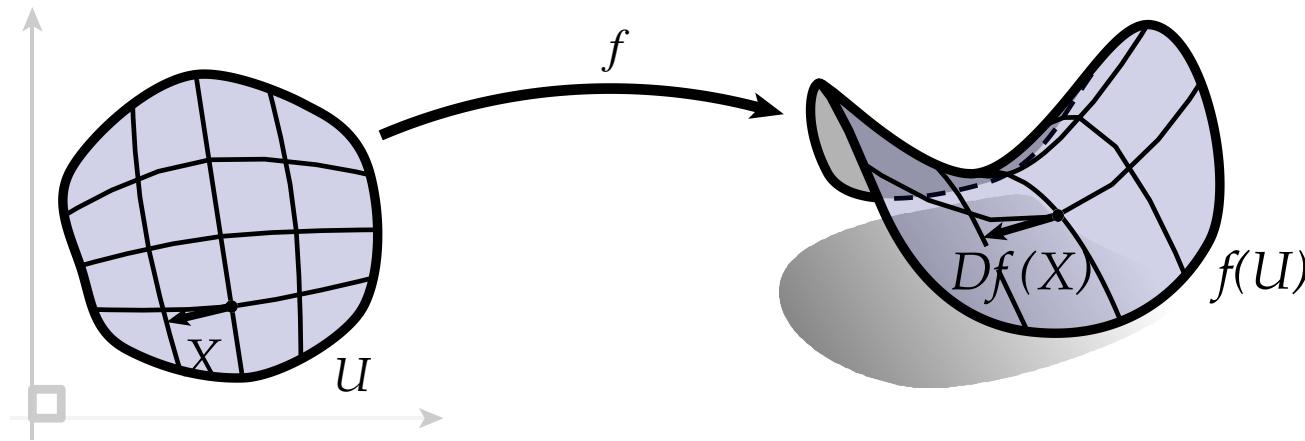
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$$Df_p := \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \in \mathbb{R}^{3 \times 2}$$

# Differential of a Surface



Total differential:  $df = \frac{\partial f}{\partial u} du + \frac{\partial f}{\partial v} dv$

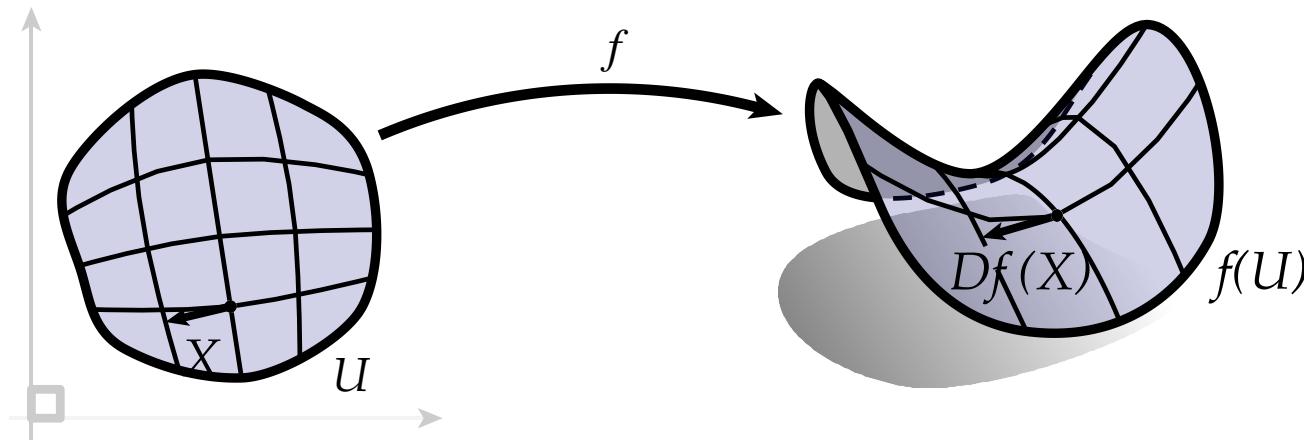
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$$Df_p := \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \in \mathbb{R}^{3 \times 2}$$

$Df_p$ : differential (Jacobian)  
a linear map.

# Differential of a Surface



Total differential:  $df = \frac{\partial f}{\partial u} du + \frac{\partial f}{\partial v} dv$

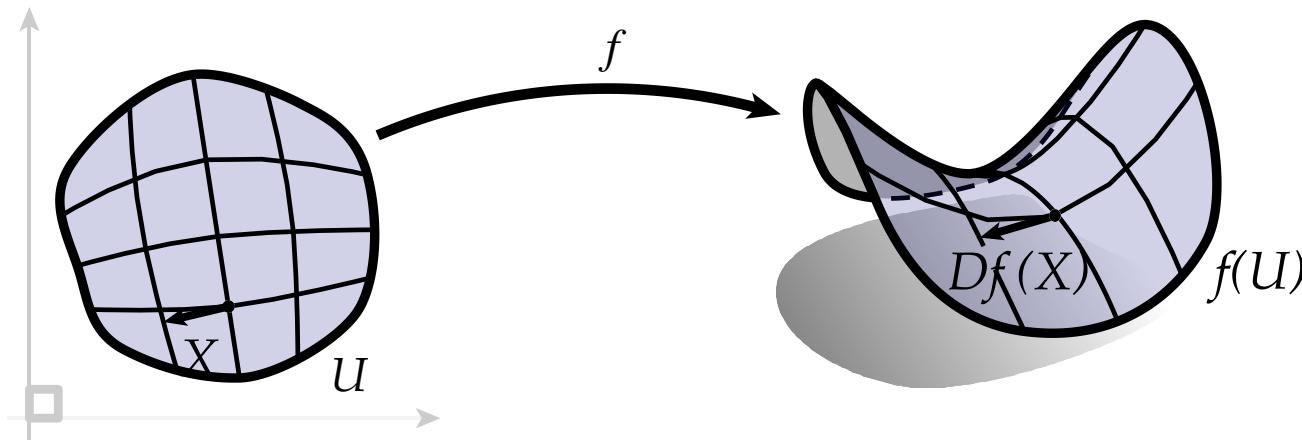
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movement **direction** in 2D domain

# Differential of a Surface



Total differential:  $df = \frac{\partial f}{\partial u} du + \frac{\partial f}{\partial v} dv$

If point  $p \in \mathbb{R}^2$  moves along vector  $X = [u, v]^T$  by  $\epsilon$ , the movement of  $f_p$  is:

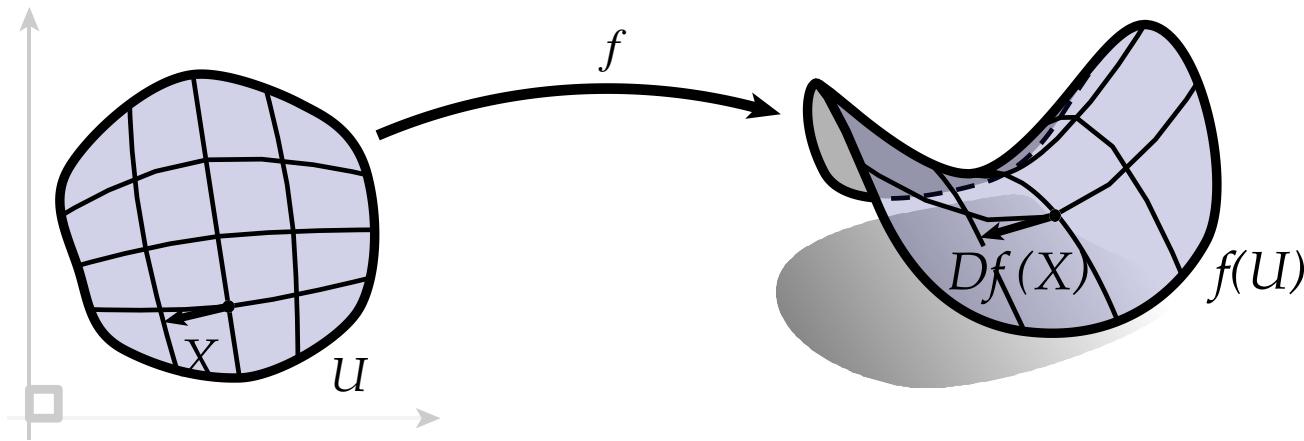
**movement direction in 3D space**

$$\Delta f_p \approx \frac{\partial f}{\partial u}(\epsilon u) + \frac{\partial f}{\partial v}(\epsilon v) = \epsilon \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \begin{bmatrix} u \\ v \end{bmatrix} = \epsilon [Df_p] X$$

$$Df_p := \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \in \mathbb{R}^{3 \times 2}$$

**movement direction in 2D domain**

# Differential of a Surface



Intuitively, the *differential* of a parameterized surface tells us how tangent vectors on the domain get mapped to tangent vectors in space:

$$\Delta f_p \approx \frac{\partial f}{\partial u}(\epsilon u) + \frac{\partial f}{\partial v}(\epsilon v) = \epsilon \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \begin{bmatrix} u \\ v \end{bmatrix} = \epsilon [Df_p] \boxed{X}$$

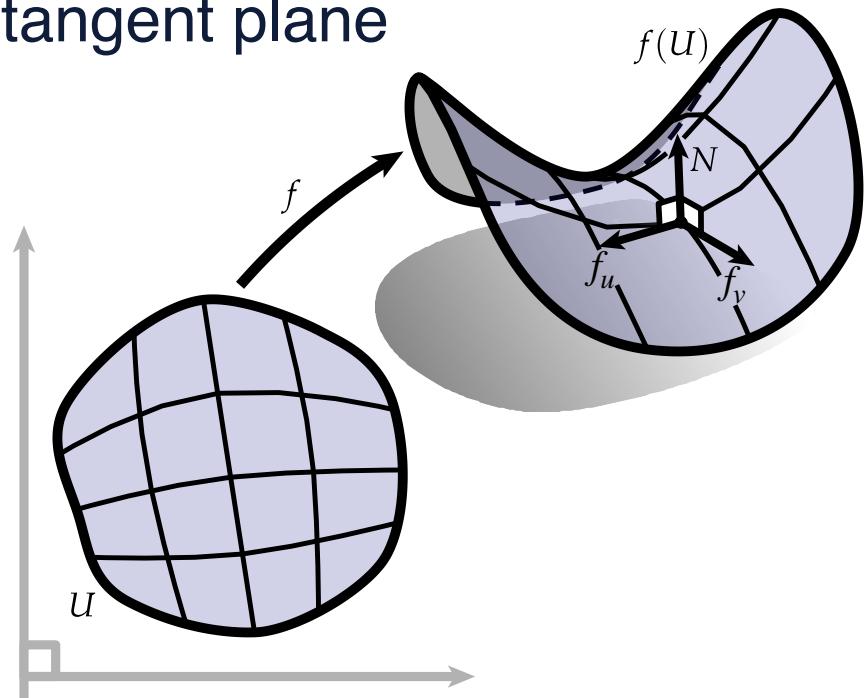
# Tangent Plane

$$\Delta f_p \approx \frac{\partial f}{\partial u}(\epsilon u) + \frac{\partial f}{\partial v}(\epsilon v) = \epsilon \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \begin{bmatrix} u \\ v \end{bmatrix}$$

$\left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \begin{bmatrix} u \\ v \end{bmatrix}$  is a vector in 3D tangent plane

Tangent plane at point  $f(u, v)$  is spanned by

$$f_u = \frac{\partial f}{\partial u}, f_v = \frac{\partial f}{\partial v}$$

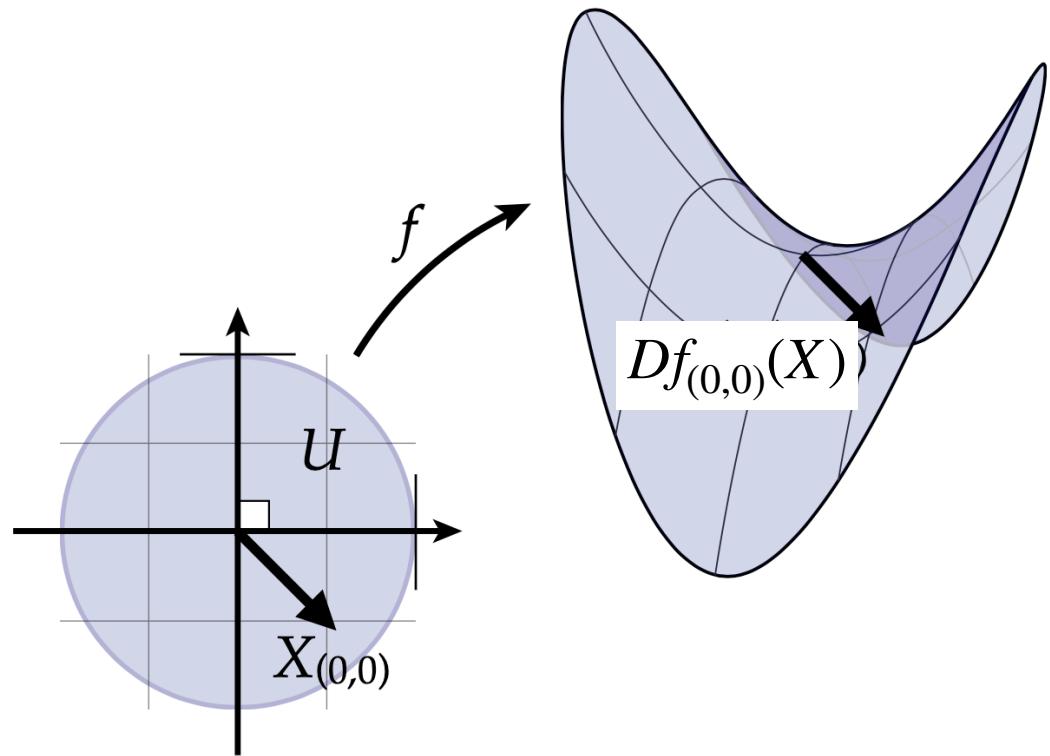


These vectors don't have to be orthogonal

# An Example

$$f(u, v) = [u, v, u^2 - v^2]^T$$

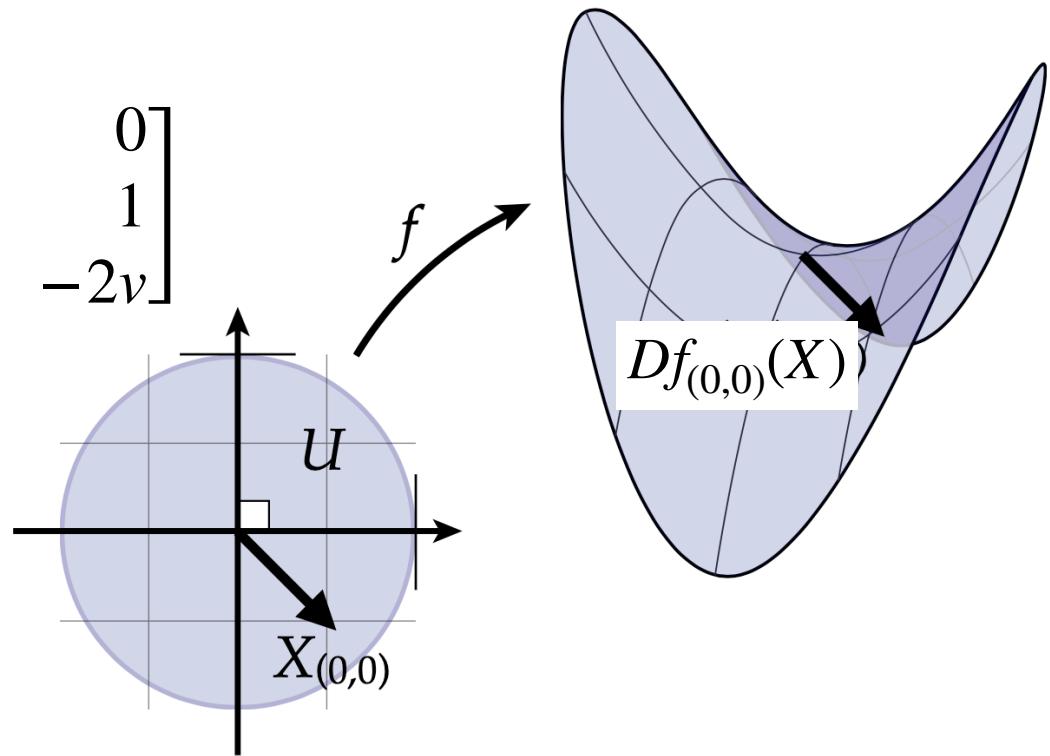
$$Df_p = \begin{bmatrix} \partial f_1 / \partial u & \partial f_1 / \partial v \\ \partial f_2 / \partial u & \partial f_2 / \partial v \\ \partial f_3 / \partial u & \partial f_3 / \partial v \end{bmatrix} =$$



# An Example

$$f(u, v) = [u, v, u^2 - v^2]^T$$

$$Df_p = \begin{bmatrix} \partial f_1 / \partial u & \partial f_1 / \partial v \\ \partial f_2 / \partial u & \partial f_2 / \partial v \\ \partial f_3 / \partial u & \partial f_3 / \partial v \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 2u & -2v \end{bmatrix}$$



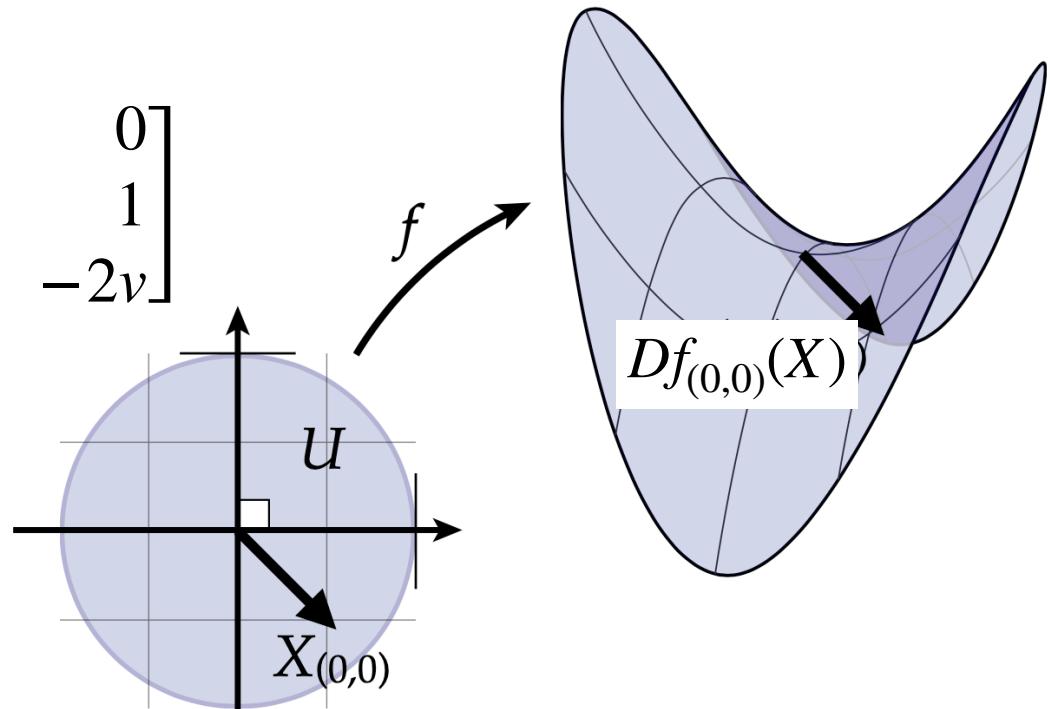
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$$X := \frac{3}{4}[1, -1]^T$$

$$Df(X) =$$



# An Example

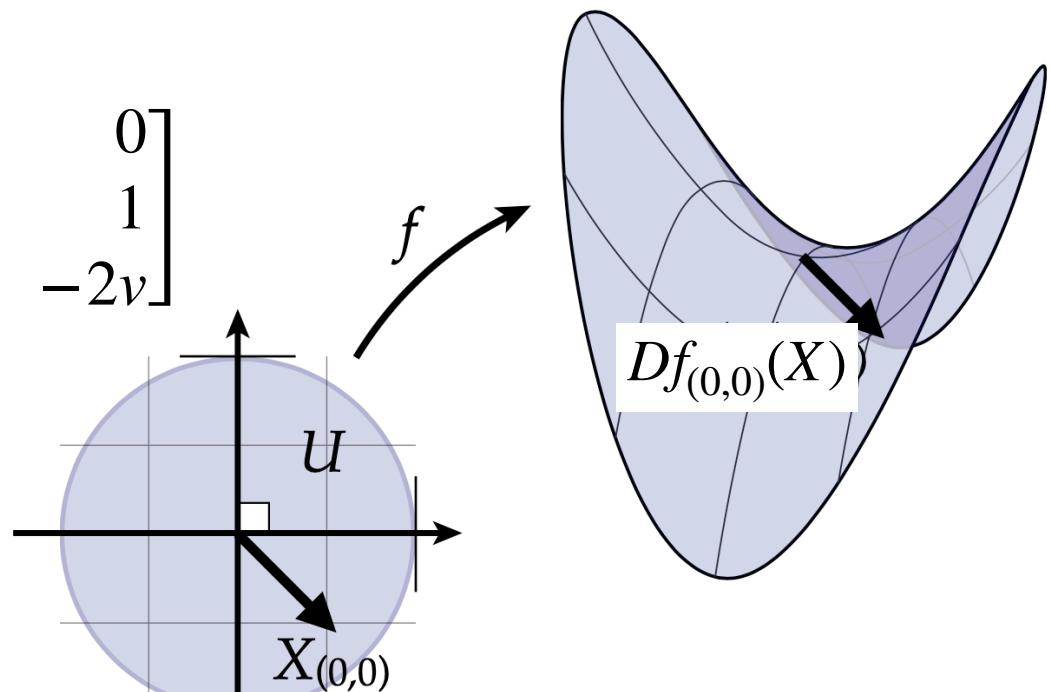
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$$X := \frac{3}{4}[1, -1]^T$$

$$Df(X) = \frac{3}{4}[1, -1, 2(u + v)]^T$$

$$\text{e.g., at } u = v = 0 : Df(X) = \left[ \frac{3}{4}, -\frac{3}{4}, 0 \right]^T$$



# An Example

$$f(u, v) = [u, v, u^2 - v^2]^T$$

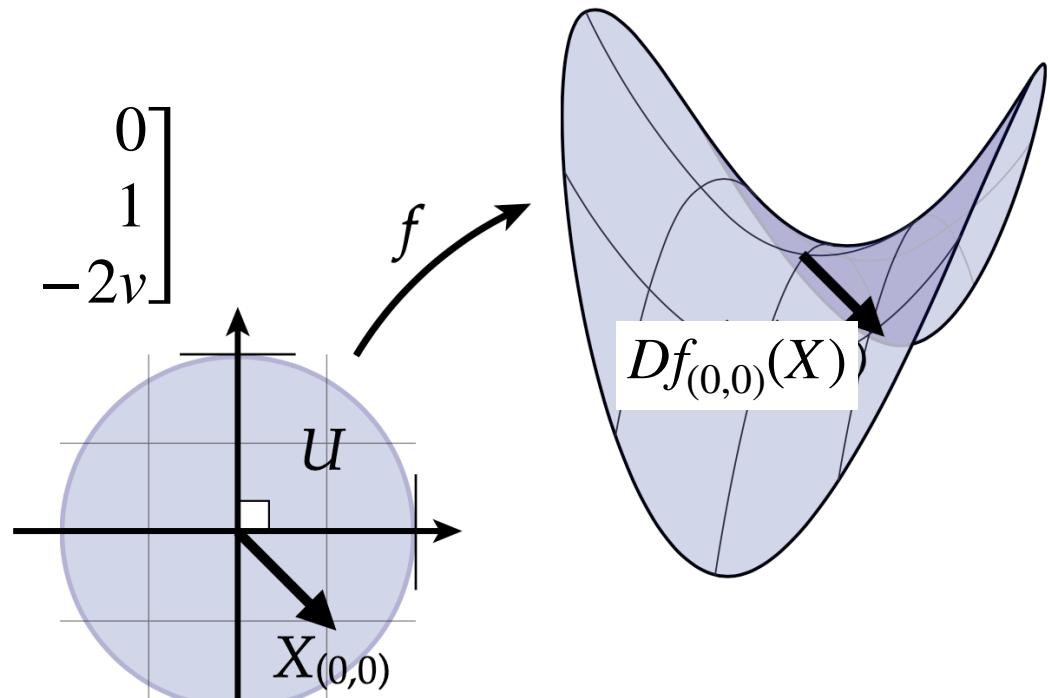
$$Df_p = \begin{bmatrix} \partial f_1 / \partial u & \partial f_1 / \partial v \\ \partial f_2 / \partial u & \partial f_2 / \partial v \\ \partial f_3 / \partial u & \partial f_3 / \partial v \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 2u & -2v \end{bmatrix}$$

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at  $u = v = 1$ , tangent space is spanned by



# An Example

$$f(u, v) = [u, v, u^2 - v^2]^T$$

$$Df_p = \begin{bmatrix} \partial f_1 / \partial u & \partial f_1 / \partial v \\ \partial f_2 / \partial u & \partial f_2 / \partial v \\ \partial f_3 / \partial u & \partial f_3 / \partial v \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 2u & -2v \end{bmatrix}$$

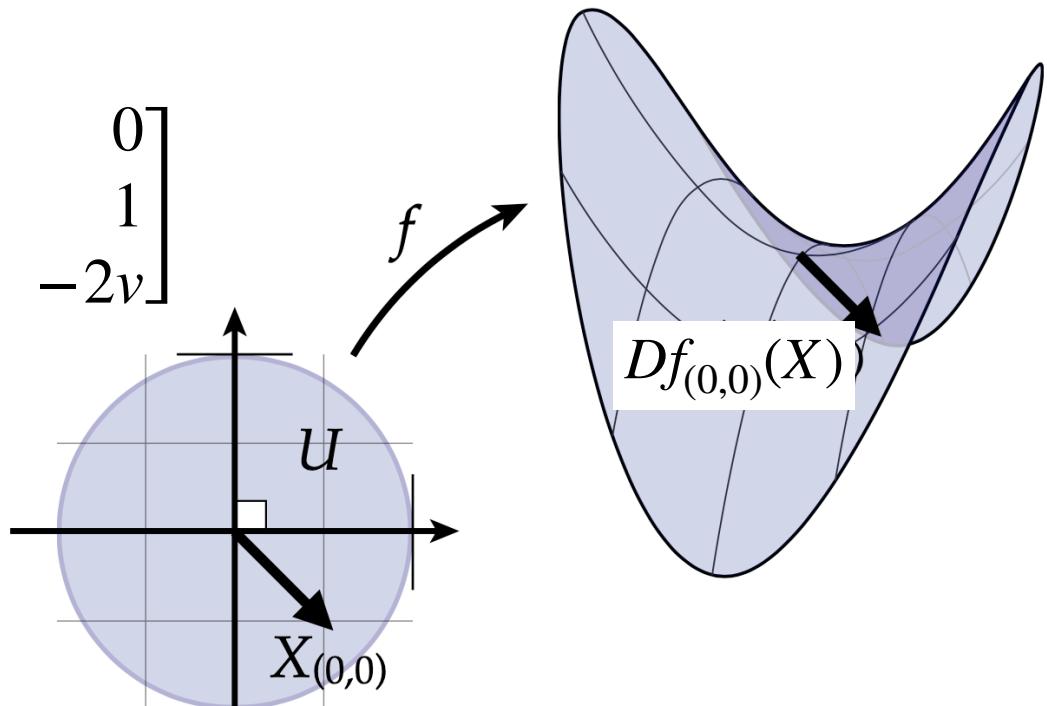
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$$\text{e.g., at } u = v = 0 : Df(X) = \left[ \frac{3}{4}, -\frac{3}{4}, 0 \right]^T$$

at  $u = v = 1$ , tangent space is spanned by

$$\begin{bmatrix} 1 \\ 0 \\ 2 \end{bmatrix} \text{ and } \begin{bmatrix} 0 \\ 1 \\ -2 \end{bmatrix}.$$



# Summary of Differential Map

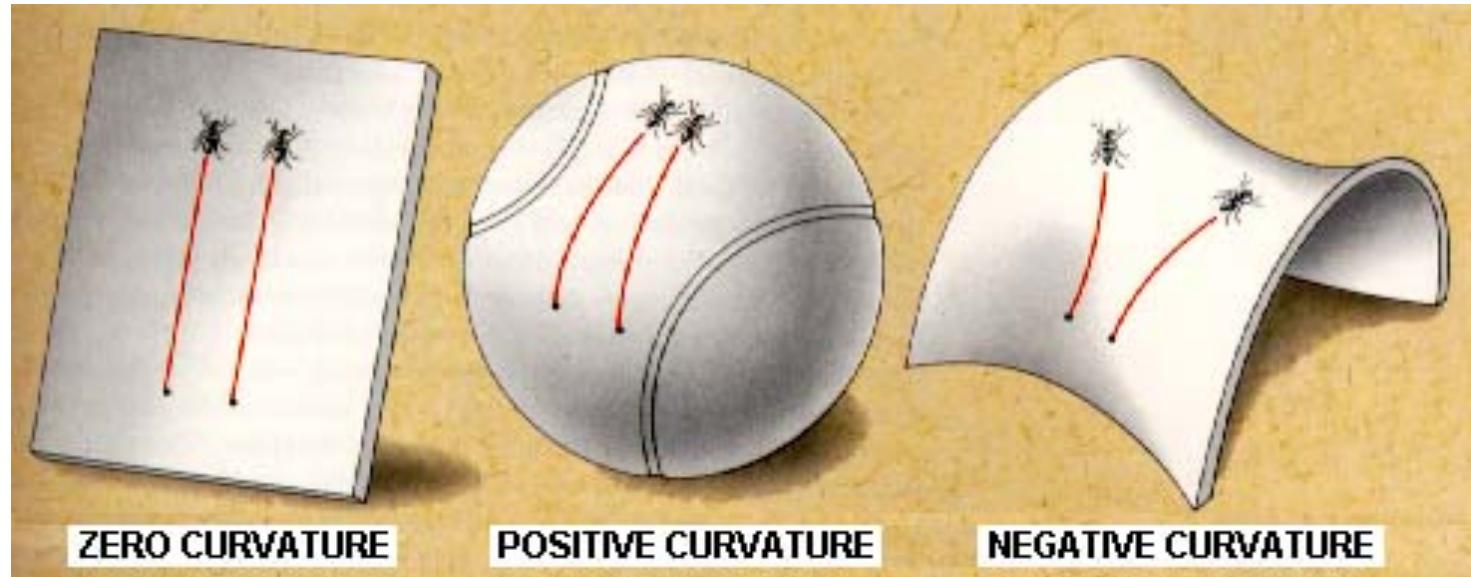
- Tells us the movement direction of point in 3D when the parameter changes in 2D
- Maps a vector in the tangent space of the domain to the tangent space of the surface
- Allows us to construct the bases of tangent plane
- Is a linear map

$$Df_p : \mathbf{T}_p(\mathbb{R}^2) \rightarrow \mathbf{T}_{f(p)}(\mathbb{R}^3)$$

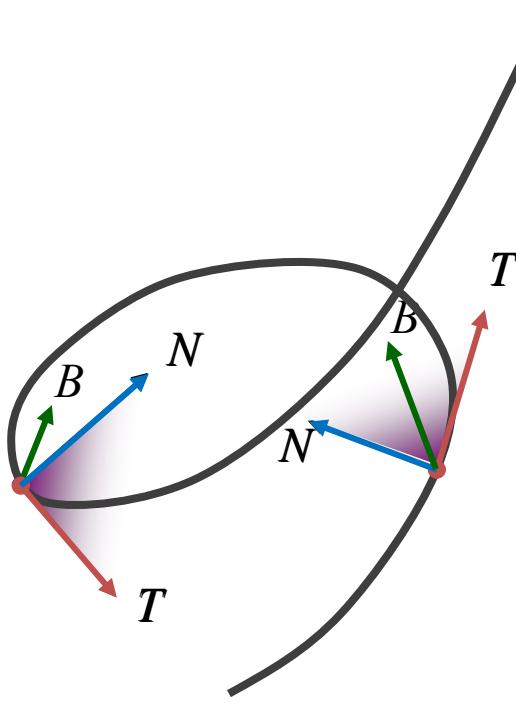
# **Curvature**

# Goal

Quantify how a surface **bends**.



# Recall: Curvature of Curves



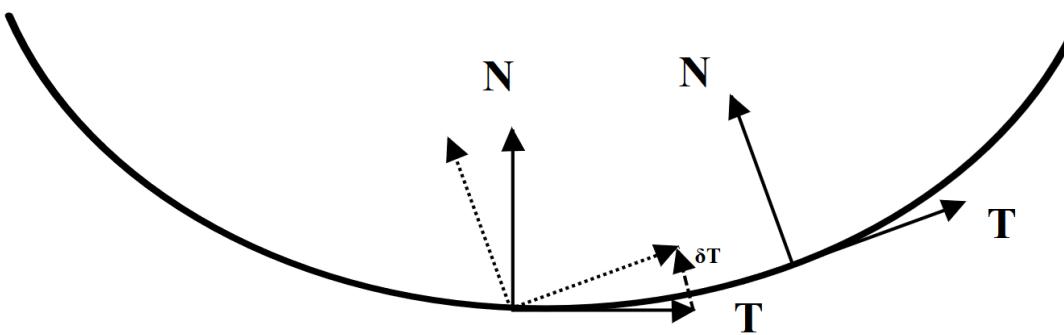
***Theorem:***

Curvature and torsion determine geometry  
of a curve up to rigid motion.

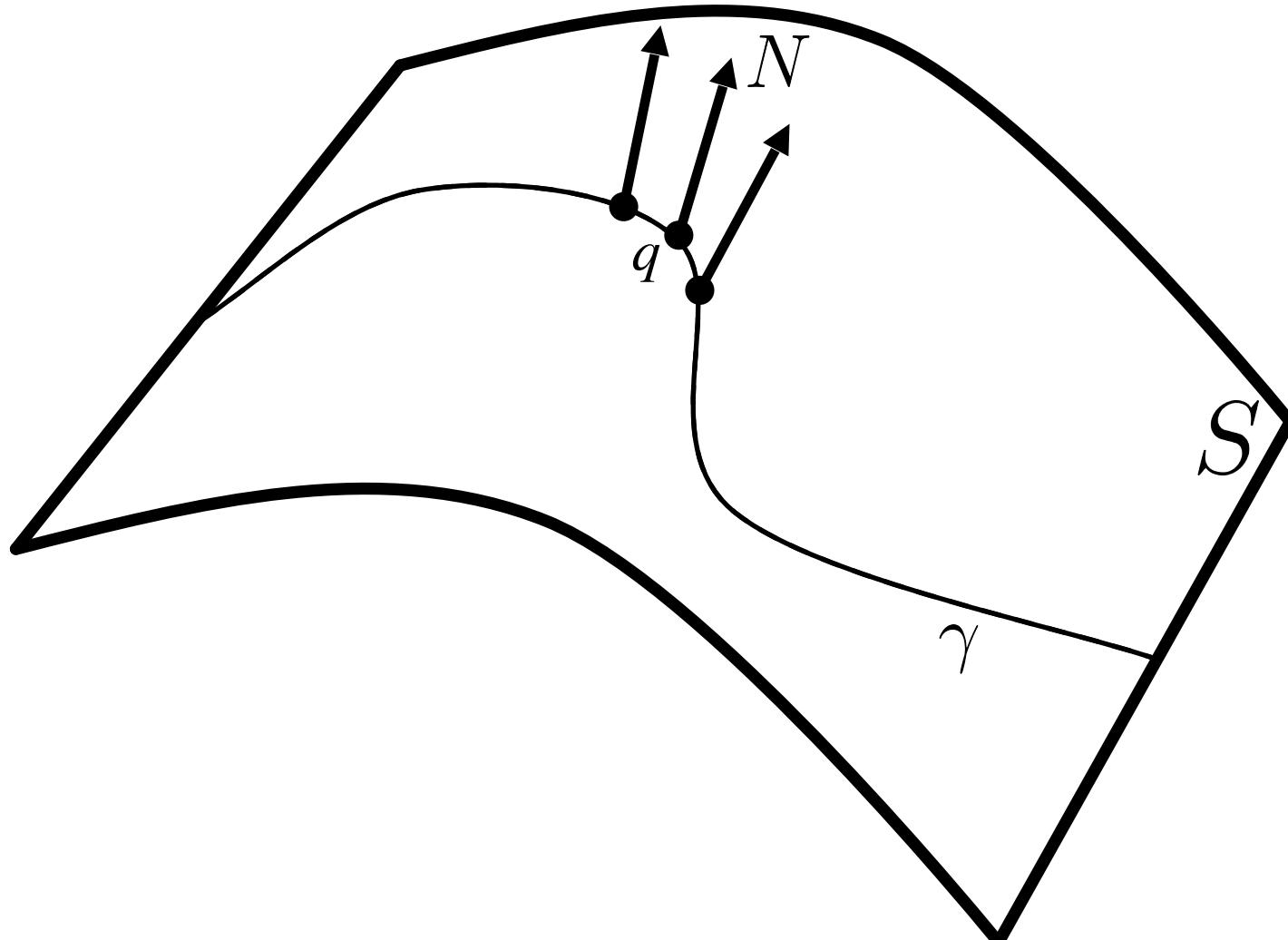


Can curvature/torsion of  
a curve help us  
understand surfaces?

# Curves: Change of Normal Describes Curve Bending



# Surfaces: Change of Normal Describes Surface Bending

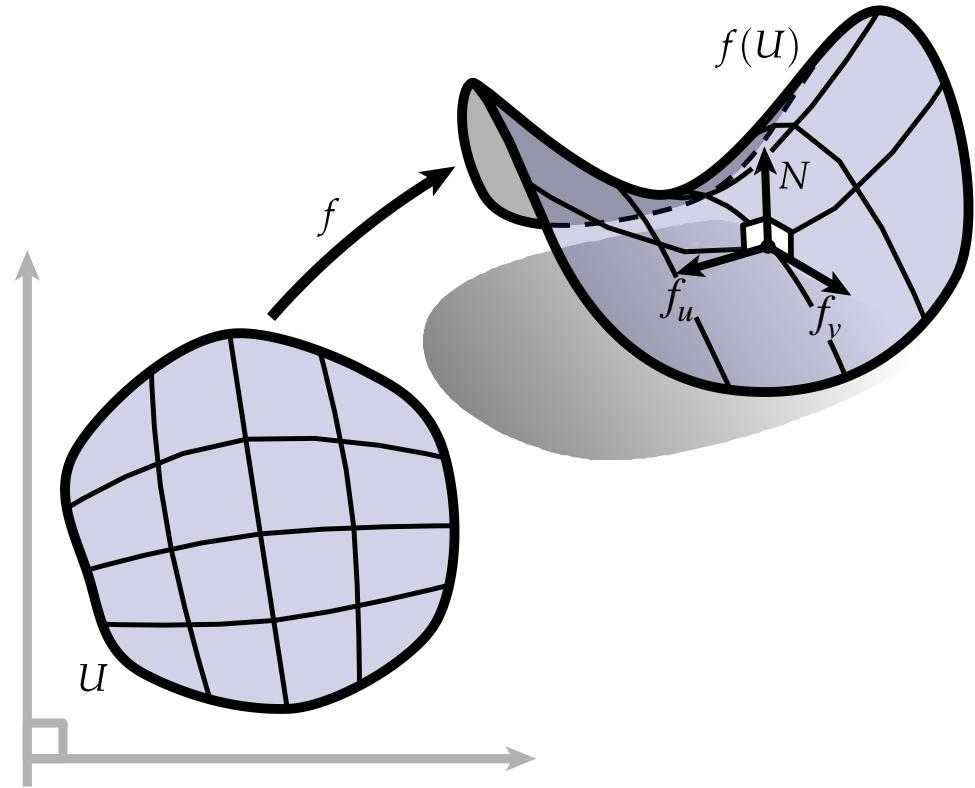


# Surface Normals

$$f_u := \frac{\partial f}{\partial u}, f_v := \frac{\partial f}{\partial v}$$

Surface normal:

$$N(u, v) = \frac{f_u \times f_v}{\|f_u \times f_v\|}$$



$N$  also as a function of  $u, v$

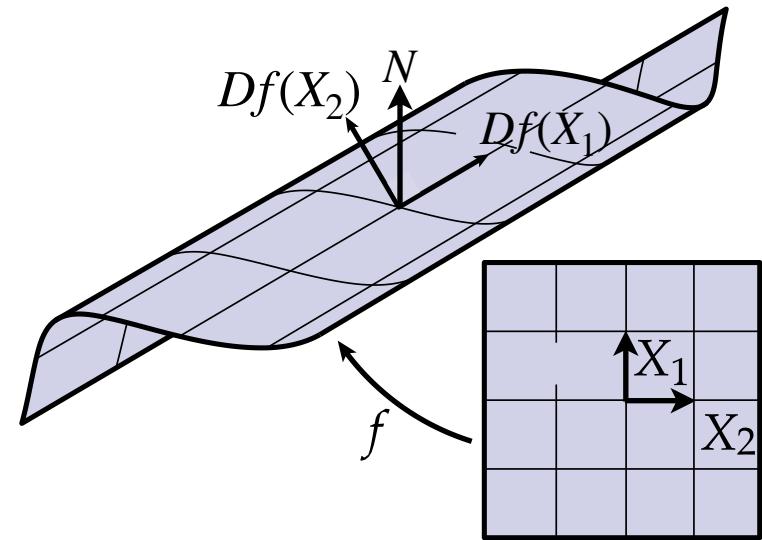
# Example

Consider a nonstandard parameterization of the cylinder (sheared along  $z$ ):

$$f(u, v) := [\cos(u), \sin(u), u + v]^T$$

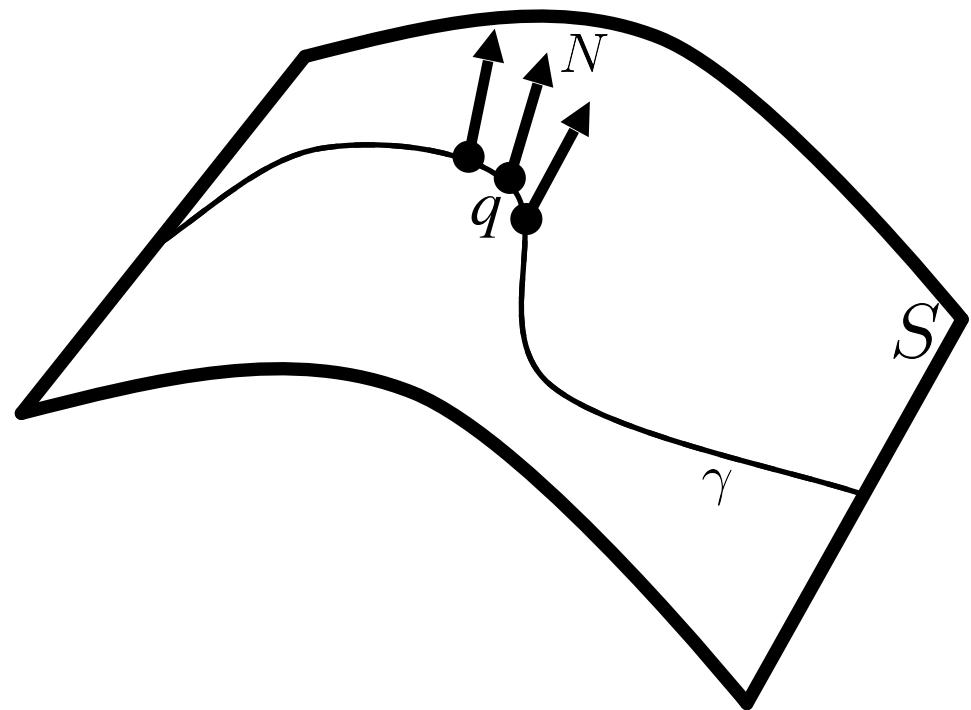
$$Df = \begin{bmatrix} -\sin(u) & 0 \\ \cos(u) & 0 \\ 1 & 1 \end{bmatrix}$$

$$N = \begin{bmatrix} -\sin(u) \\ \cos(u) \\ 1 \end{bmatrix} \times \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} \cos(u) \\ \sin(u) \\ 0 \end{bmatrix}$$



# Measure the Change of Normal

Assume  $q$  moves along a curve  $\gamma$  parameterized by arc-length:  $q = \gamma(s)$ , and the normal is  $N(s)$  with unit norm

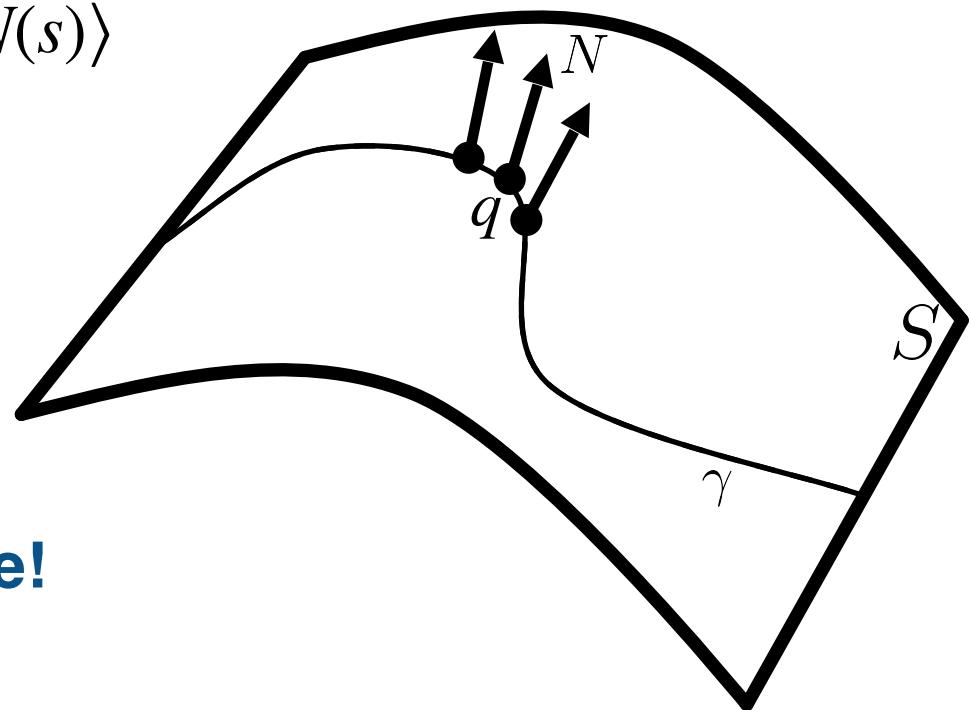


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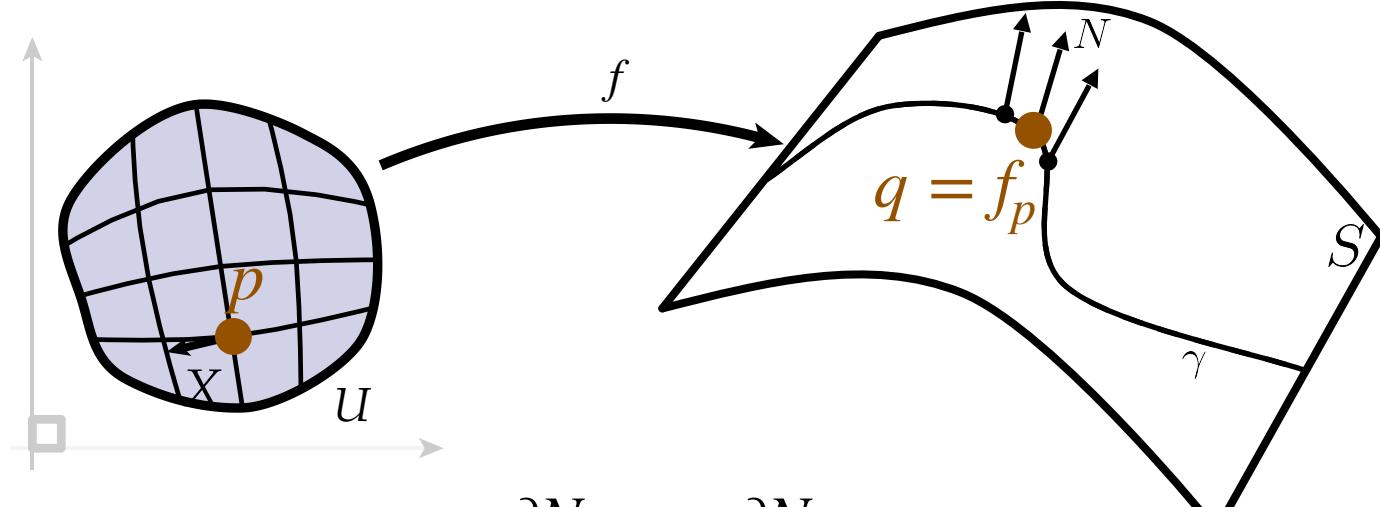
$$0 \equiv \frac{d}{ds} \langle N(s), N(s) \rangle = 2 \langle \dot{N}(s), N(s) \rangle$$

$$\dot{N}(s) \perp N(s)$$



**Local change of normal is  
always in the tangent plane!**

# Differential of Normal



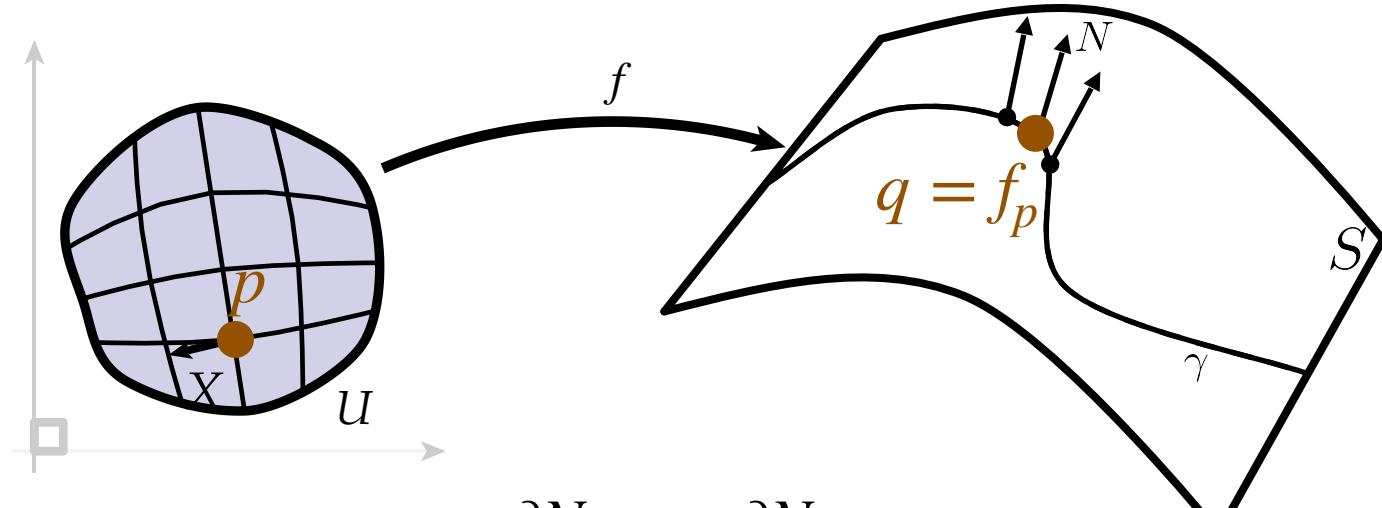
Total differential:  $dN = \frac{\partial N}{\partial u} du + \frac{\partial N}{\partial v} dv$

If point  $p \in \mathbb{R}^2$  moves along vector  $X = [u, v]^T$  by  $\epsilon$ , the movement of  $N_p$  is:

$$\Delta N_p = \frac{\partial N}{\partial u}(\epsilon u) + \frac{\partial N}{\partial v}(\epsilon v) = \epsilon \left[ \frac{\partial N}{\partial u}, \frac{\partial N}{\partial v} \right] \begin{bmatrix} u \\ v \end{bmatrix} = \epsilon [DN_p]X$$

$$DN_p := \left[ \frac{\partial N}{\partial u}, \frac{\partial N}{\partial v} \right] \in \mathbb{R}^{3 \times 2}$$

# Differential of Normal



Total differential:  $dN = \frac{\partial N}{\partial u} du + \frac{\partial N}{\partial v} dv$

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Note:  $[DN_p]X \in \mathbf{T}_p(\mathbb{R}^3)$

# Curvature $\vec{\kappa}$ of $\gamma$ at $p$

- Recall we need the arc-length parameterization and measure the change of normal
- Recall that tangent vector  $\|\mathbf{T}\| = 1$  under arc-length parameterization. So we need to scale  $X$  by  $\mu$  so that:

$$\|Df_p[\mu X]\| = 1 \implies \mu = \frac{1}{\|Df_p X\|}$$

- As  $p$  moves with speed  $\mu X$ , the tangent is

$$Df_p[\mu X] = \frac{Df_p X}{\|Df_p X\|}$$

- the speed of normal change is:

$$DN_p[\mu X] = \frac{DN_p X}{\|Df_p X\|}$$

# Curvature $\vec{\kappa}$ of $\gamma$ at $p$

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$$Df_p[\mu X] = \frac{Df_p X}{\|Df_p X\|}$$

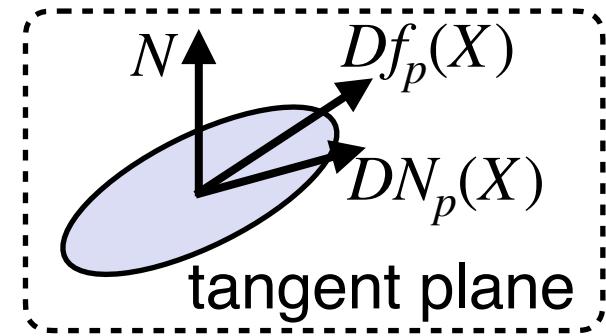
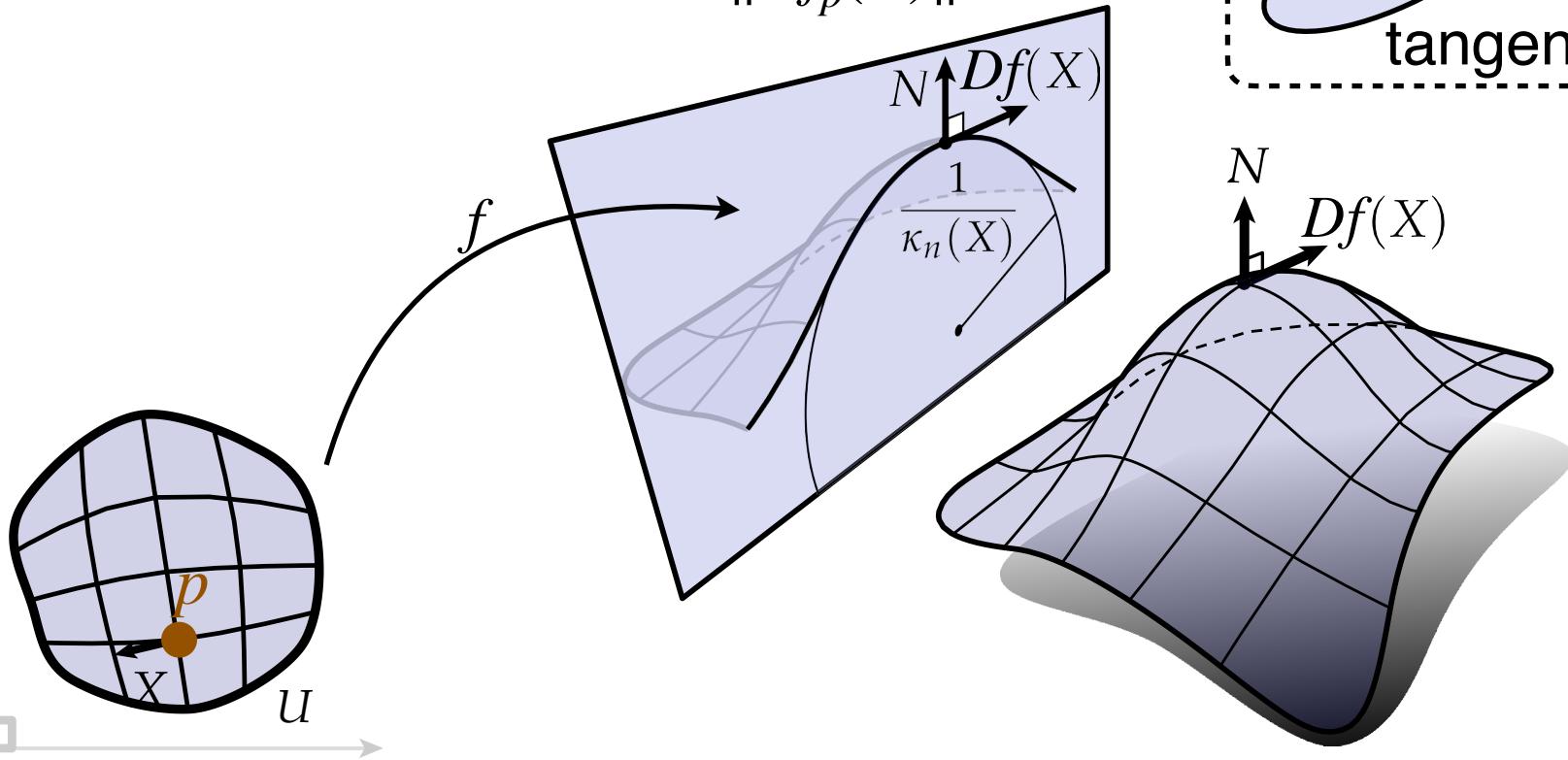
- The speed of normal change is:

$$DN_p[\mu X] = \frac{DN_p X}{\|Df_p X\|}$$

- We denote the speed of normal change as  $\vec{\kappa}$  in this lecture (note that  $\kappa$  in the last lecture is a scalar, the norm of this vector)

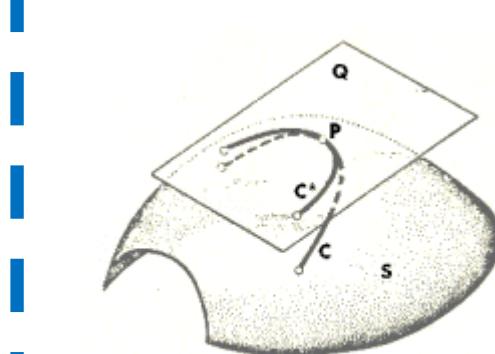
# Directional Normal Curvature

$$\kappa_n(X) := \langle T, \vec{\kappa} \rangle = \frac{\langle Df_p(X), DN_p(X) \rangle}{\|Df_p(X)\|^2}$$



Note:  $\kappa_n$  is not the curvature  $\kappa$  of  $\gamma$

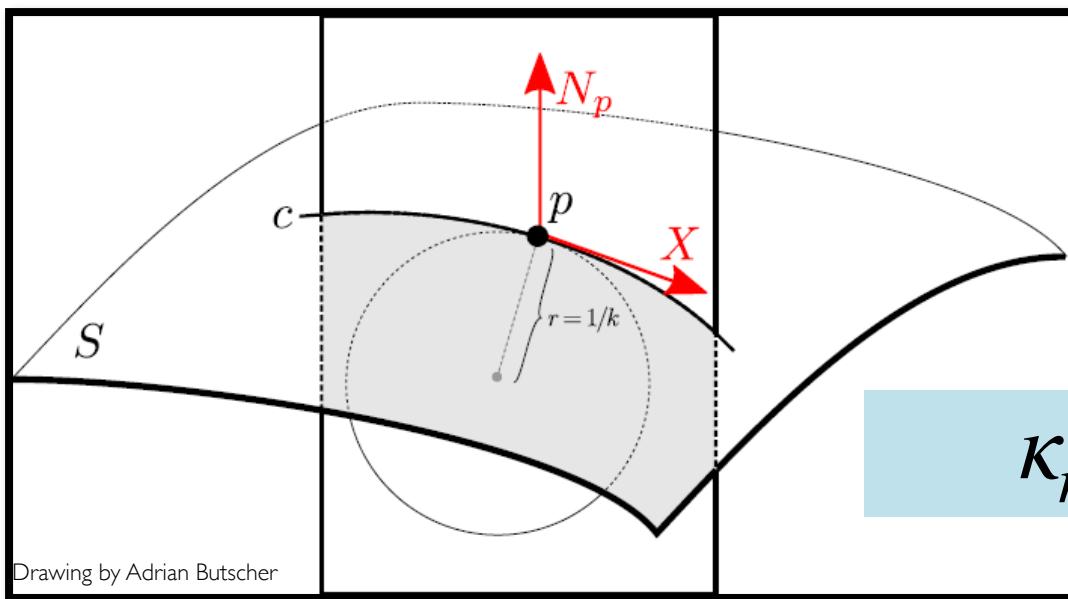
# Relationship to Curvature of Curves



<http://www.solitaryroad.com/c335.html>

$$\kappa_g := \langle \vec{\kappa}, \mathbf{N} \times \mathbf{T} \rangle$$

(Geodesic curvature)



Drawing by Adrian Butscher

# Example

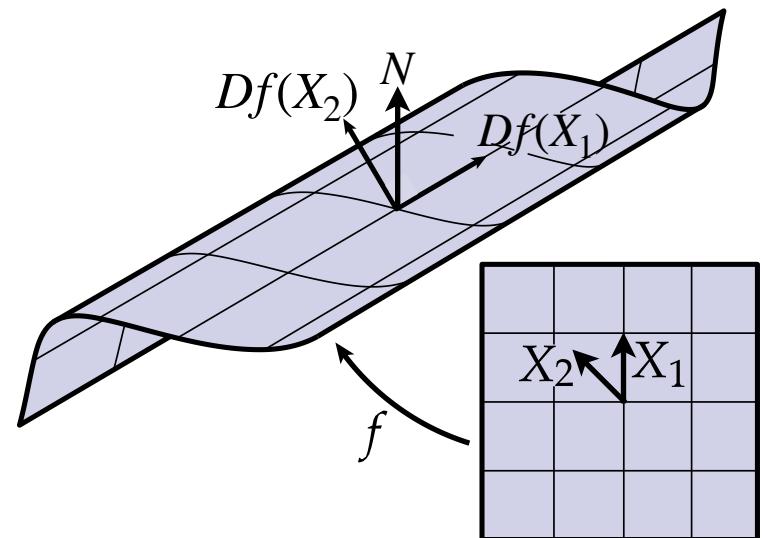
Consider a nonstandard parameterization of the cylinder (sheared along  $z$ ):

$$f(u, v) := [\cos(u), \sin(u), u + v]^T$$

$$Df = \begin{bmatrix} -\sin(u) & 0 \\ \cos(u) & 0 \\ 1 & 1 \end{bmatrix}$$

$$N = \begin{bmatrix} \cos(u) \\ \sin(u) \\ 0 \end{bmatrix}$$

$$DN =$$



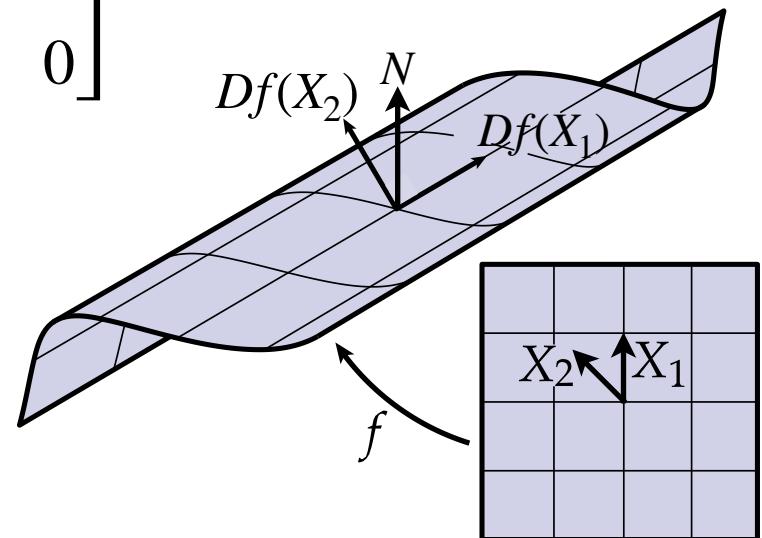
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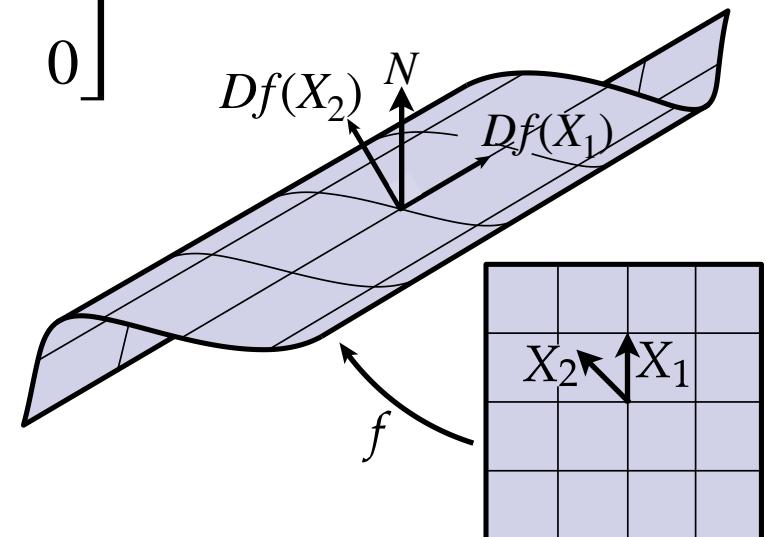
$$X_1 = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \quad X_2 = \begin{bmatrix} -1 \\ 1 \end{bmatrix}$$

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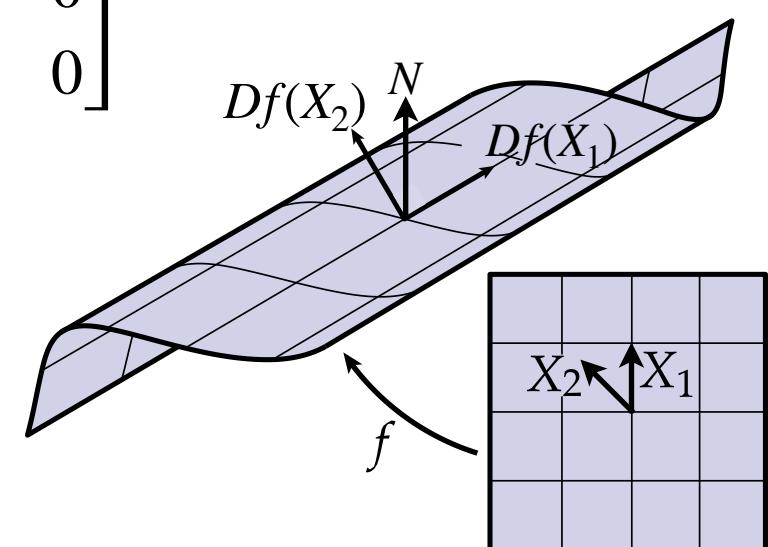
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$$\kappa_n(X_1) = \frac{\langle Df(X_1), DN(X_1) \rangle}{\|Df(X_1)\|^2} = 0$$

$$\kappa_n(X_2) = \frac{\langle Df(X_2), DN(X_2) \rangle}{\|Df(X_2)\|^2} = 1$$

$$Df = \begin{bmatrix} -\sin(u) & 0 \\ \cos(u) & 0 \\ 1 & 1 \end{bmatrix}$$



# Summary of Curvature

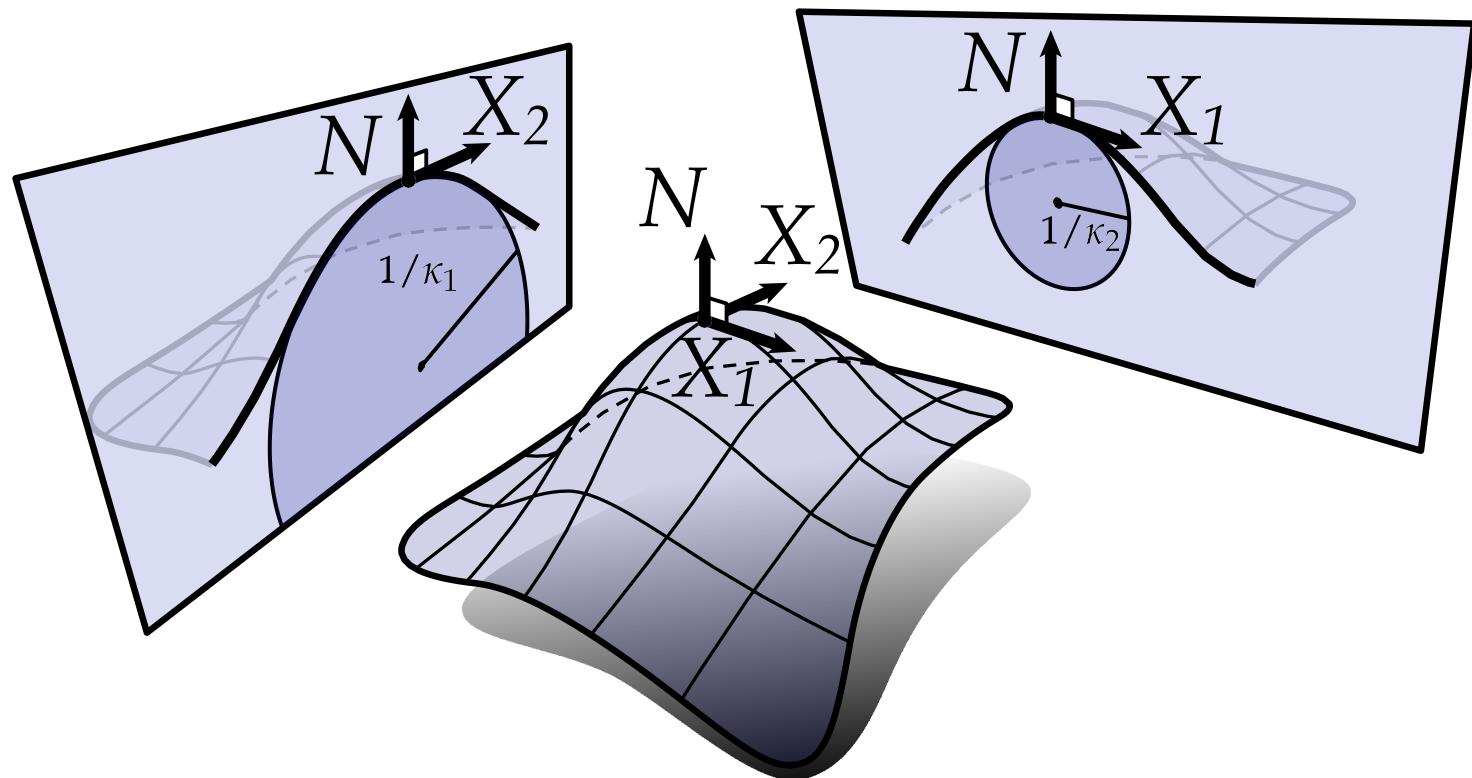
- Curvature quantifies the bending of surfaces
- Local change of normal (differential of normal) is always in the tangent plane
- Directional normal curvature quantifies how fast a surface bends along a direction

# Principal Curvatures

# Principal Curvatures

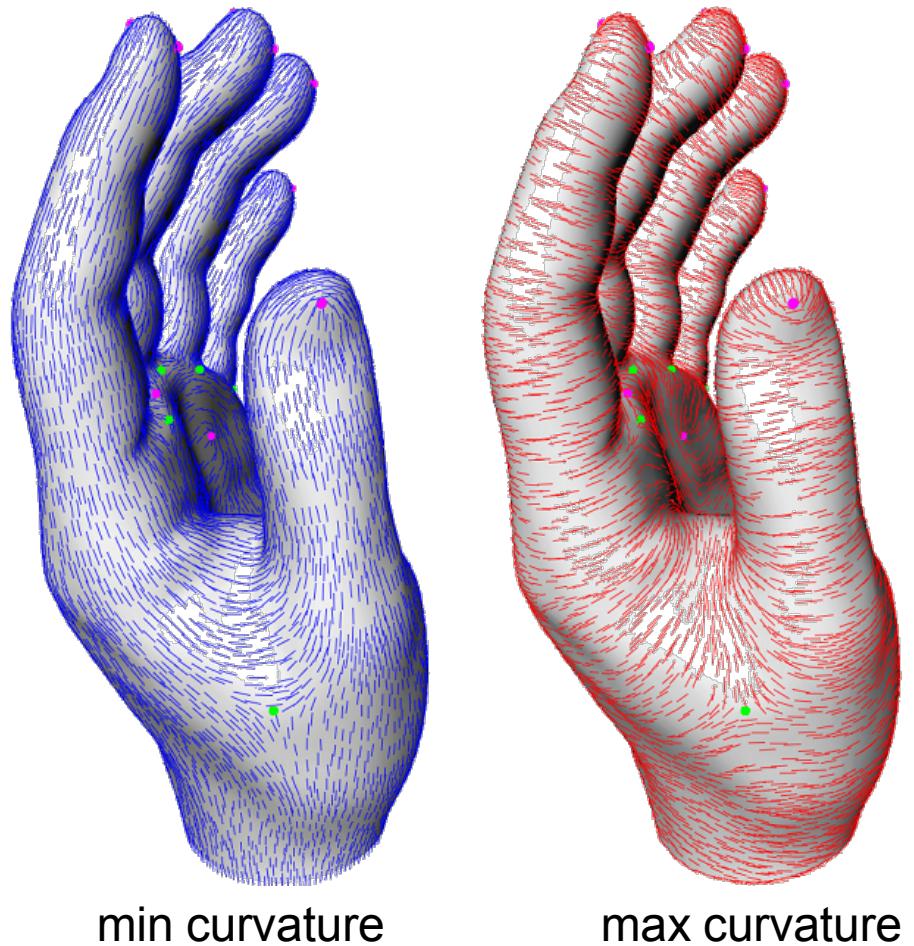
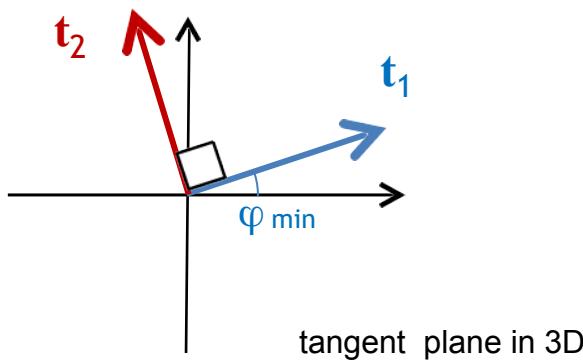
Minimal curvature:  $\kappa_1 = \kappa_{\min} = \min_{\varphi} \kappa_n(\varphi)$

Maximal curvature:  $\kappa_2 = \kappa_{\max} = \max_{\varphi} \kappa_n(\varphi)$

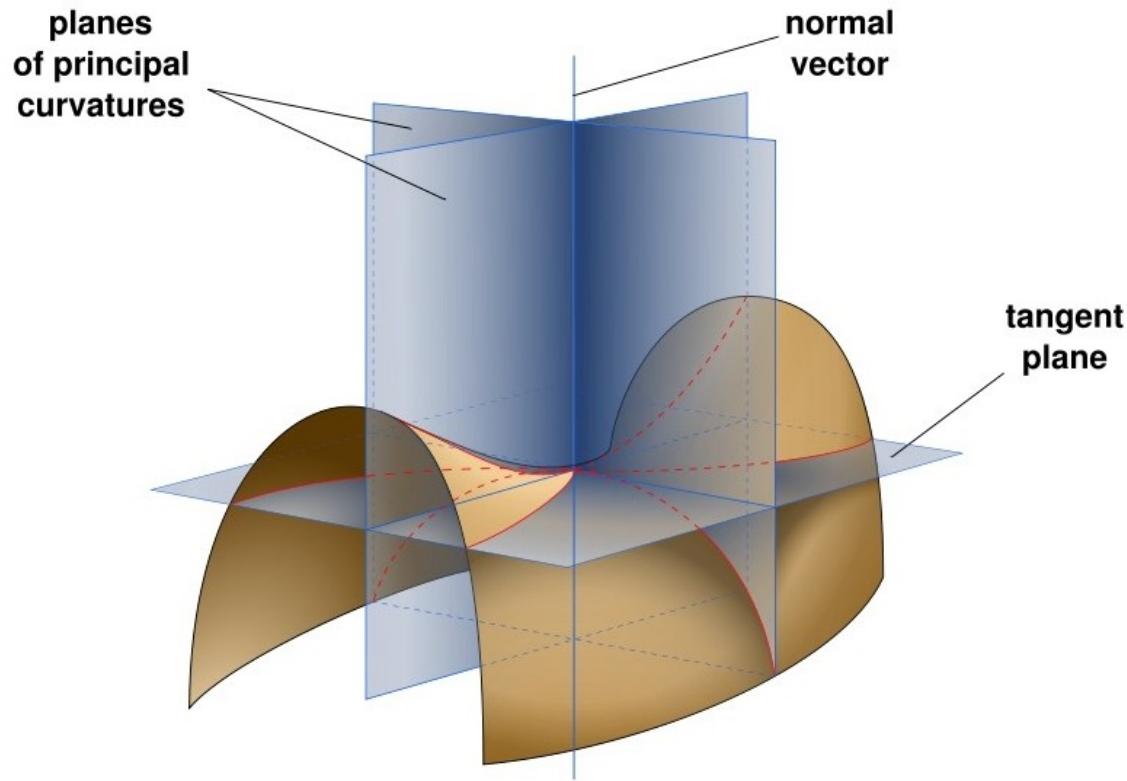


# Principal Directions

Principal directions:  
tangent vectors  
corresponding to  
 $\varphi_{\max}$  and  $\varphi_{\min}$



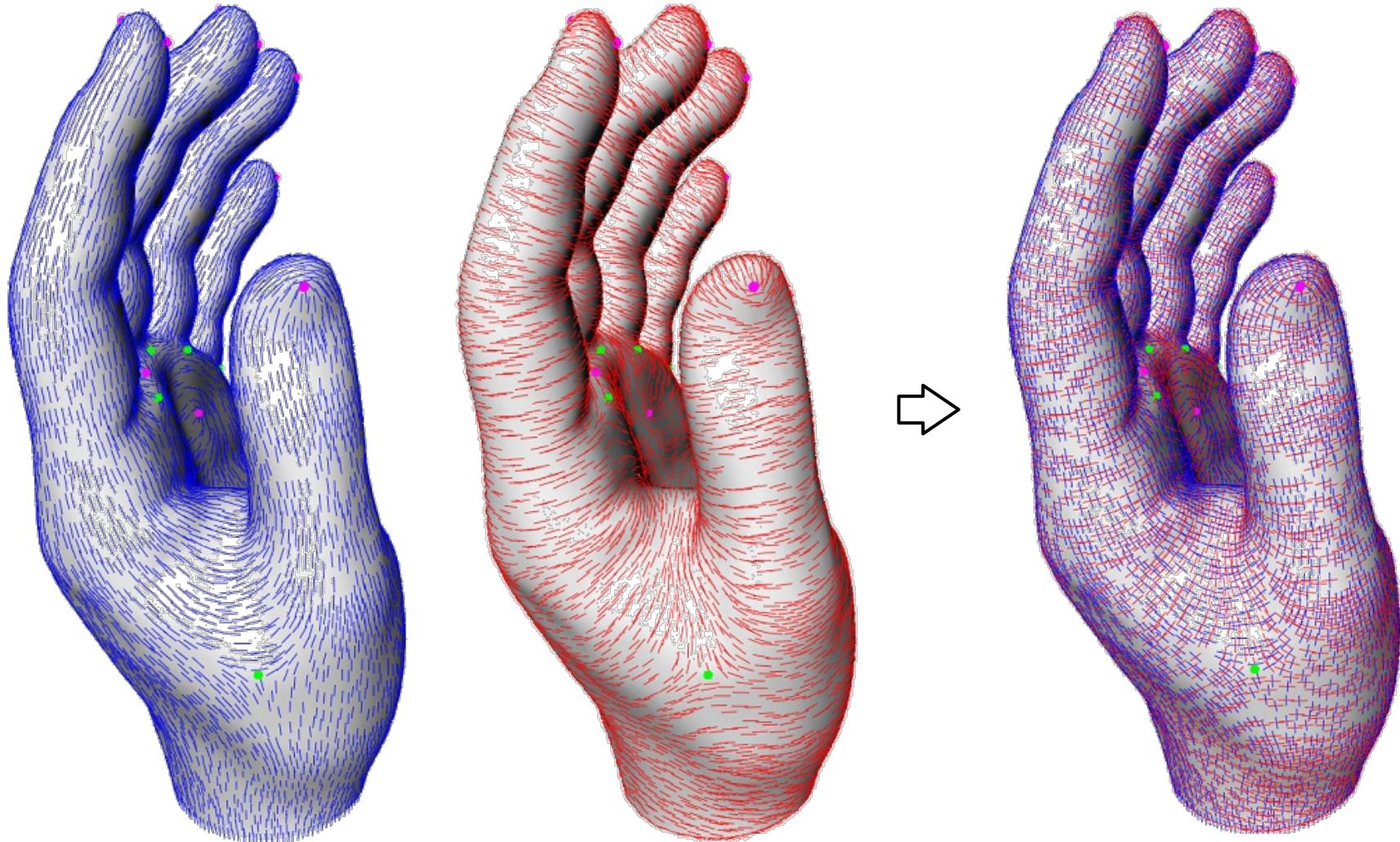
# Principal Directions



**Euler's Theorem:** Planes of principal curvature are **orthogonal** and independent of parameterization.

$$\kappa_n(\varphi) = \kappa_1 \cos^2 \varphi + \kappa_2 \sin^2 \varphi, \quad \varphi = \text{angle with } t_1$$

# Principal Directions



# Summary of Principal Curvatures

- The direction that bends fastest / slowest are principal directions, which are orthogonal to each other
- The corresponding curvatures are principal curvatures