

# Vision-Language Joint Debiasing with Modality Alignment

FYP CA Presentation

Zhang Haoyu (A0220591M)

# Backgrounds

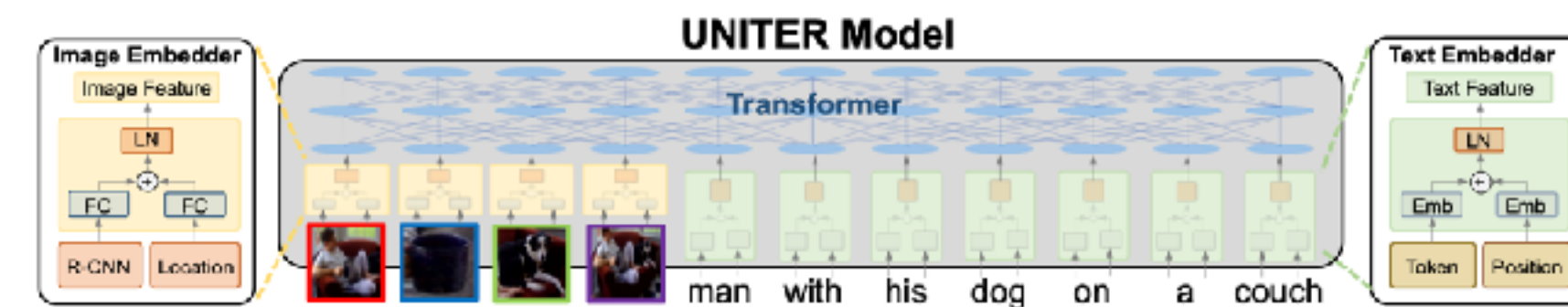
## Vision-Language Pre-trained Model (VL-PTM)

- Single-stream:
  - UNITER, ViLT, etc.
- Dual-stream:
  - CLIP, ALIGN, ALBEF, BLIP, etc.

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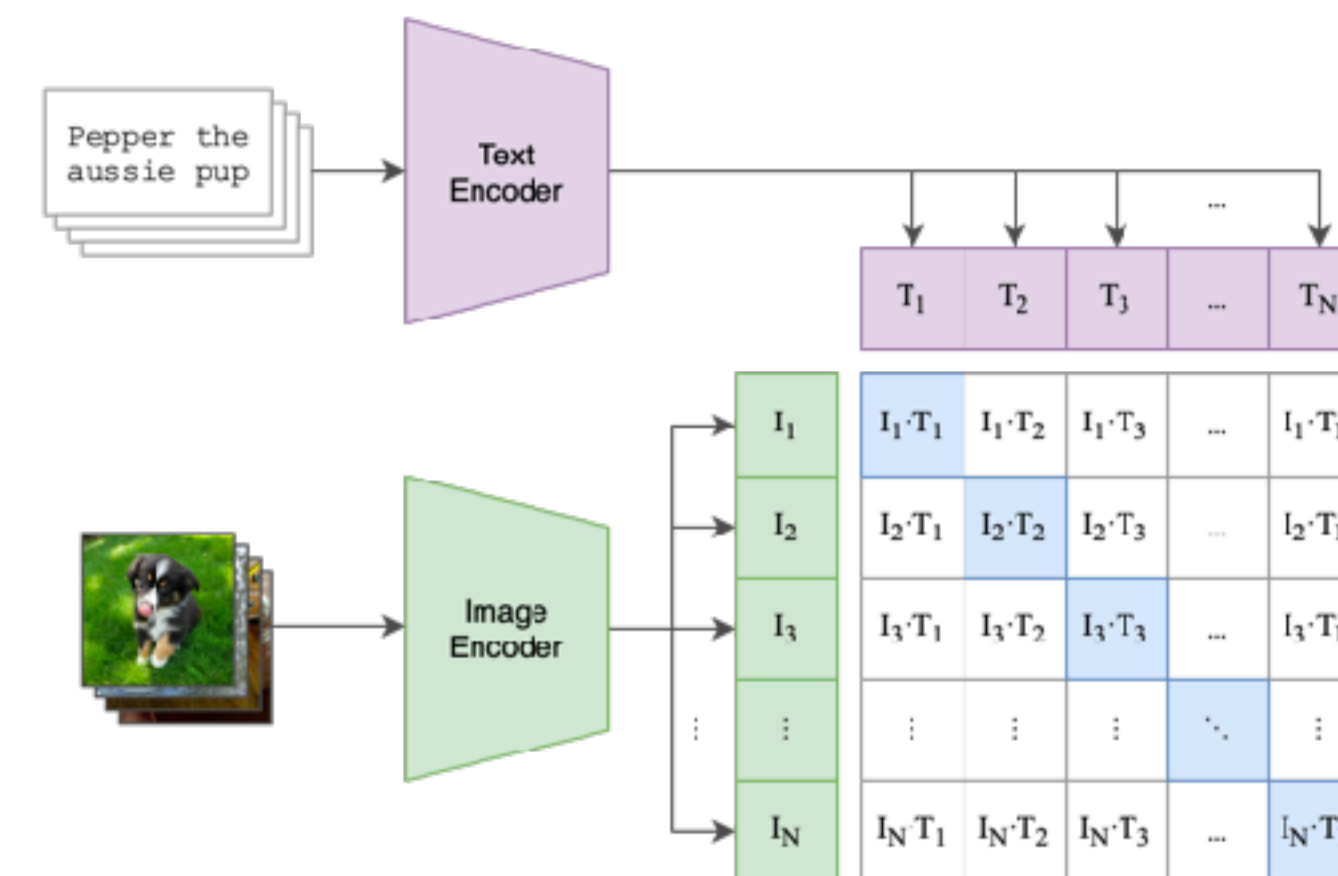
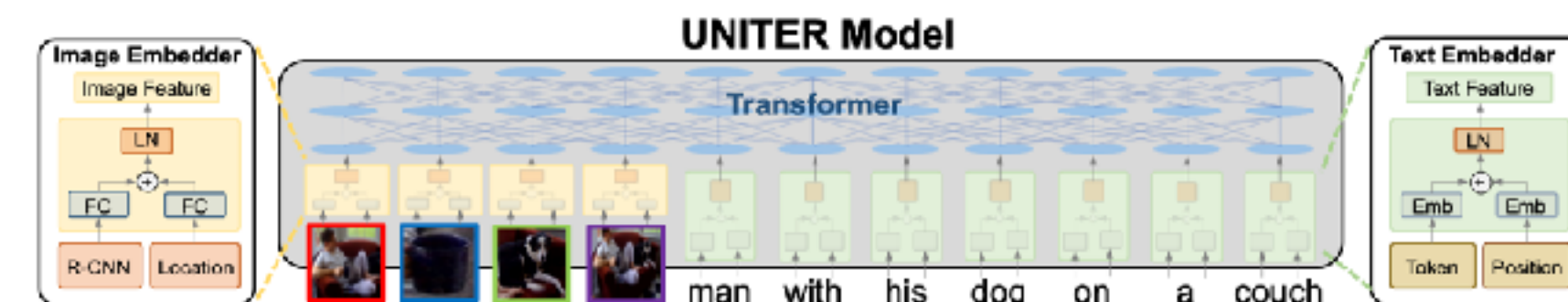
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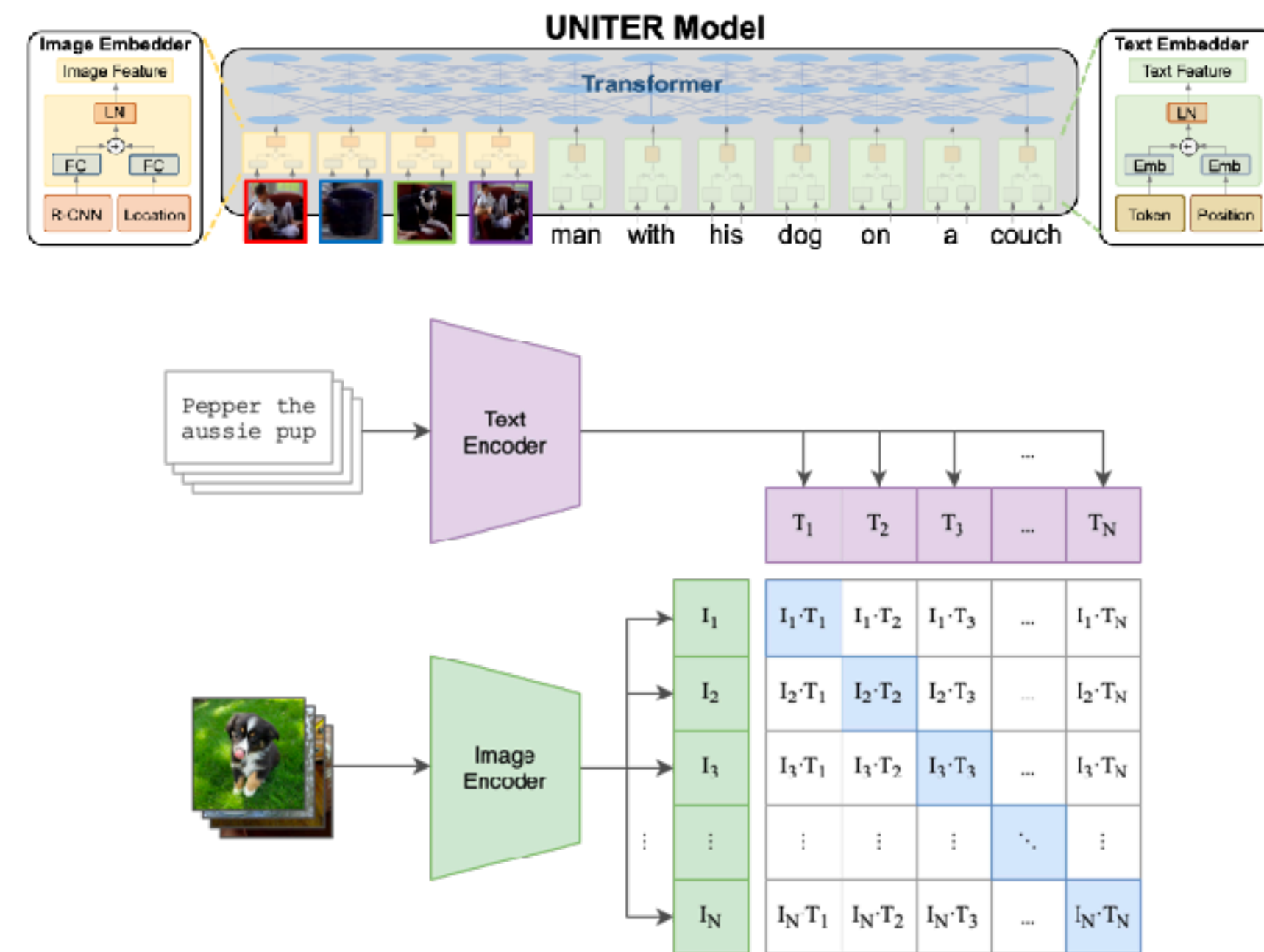
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# Backgrounds

## Vision-Language Pre-trained Model (VL-PTM)

- Single-stream:
  - UNITER, ViLT, etc.
- Dual-stream:
  - CLIP, ALIGN, ALBEF, BLIP, etc.
- Vision-Language (V-L) tasks
  - Understanding: Image-text retrieval, Visual Question Answering, etc.
  - Generation: Image captioning, text-to-image (Stable Diffusion), etc.



# Backgrounds

## Social Bias in VL-PTMs

- Learning spurious correlations in images/texts during training
- Associates certain **concepts** with **groups with specific attributes** (race, gender, age, etc.)
- Manifest in V-L tasks
  - Understanding:
    - Image-text retrieval, etc.
  - Generation:
    - Text-to-image (Stable Diffusion, etc.)

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Figure 3: Effect of debiasing CLIP ViT-B/16 by ranked images with concept of “smart” from the FairFace validation set, labeled with **male** and **female**.



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Figure 3: Effect of debiasing CLIP ViT-B/16 by ranked images with concept of “smart” from the FairFace validation set, labeled with **male** and **female**.





# Backgrounds

## Social Bias in VL-PTMs

- Profound social impact
  - Biased decision making
  - Unfair allocation
- Biased generated content
  - Reinforce existing social biases



Figure 3: Effect of debiasing CLIP ViT-B/16 by ranked images with concept of “smart” from the FairFace validation set, labeled with **male** and **female**.



# Research Problem

- **VL-PTM debiasing**
  - Mitigate social biases in the pre-trained CLIP model, making it more fair
  - Maintain a balance between fairness and V-L performance.

# Literature Review

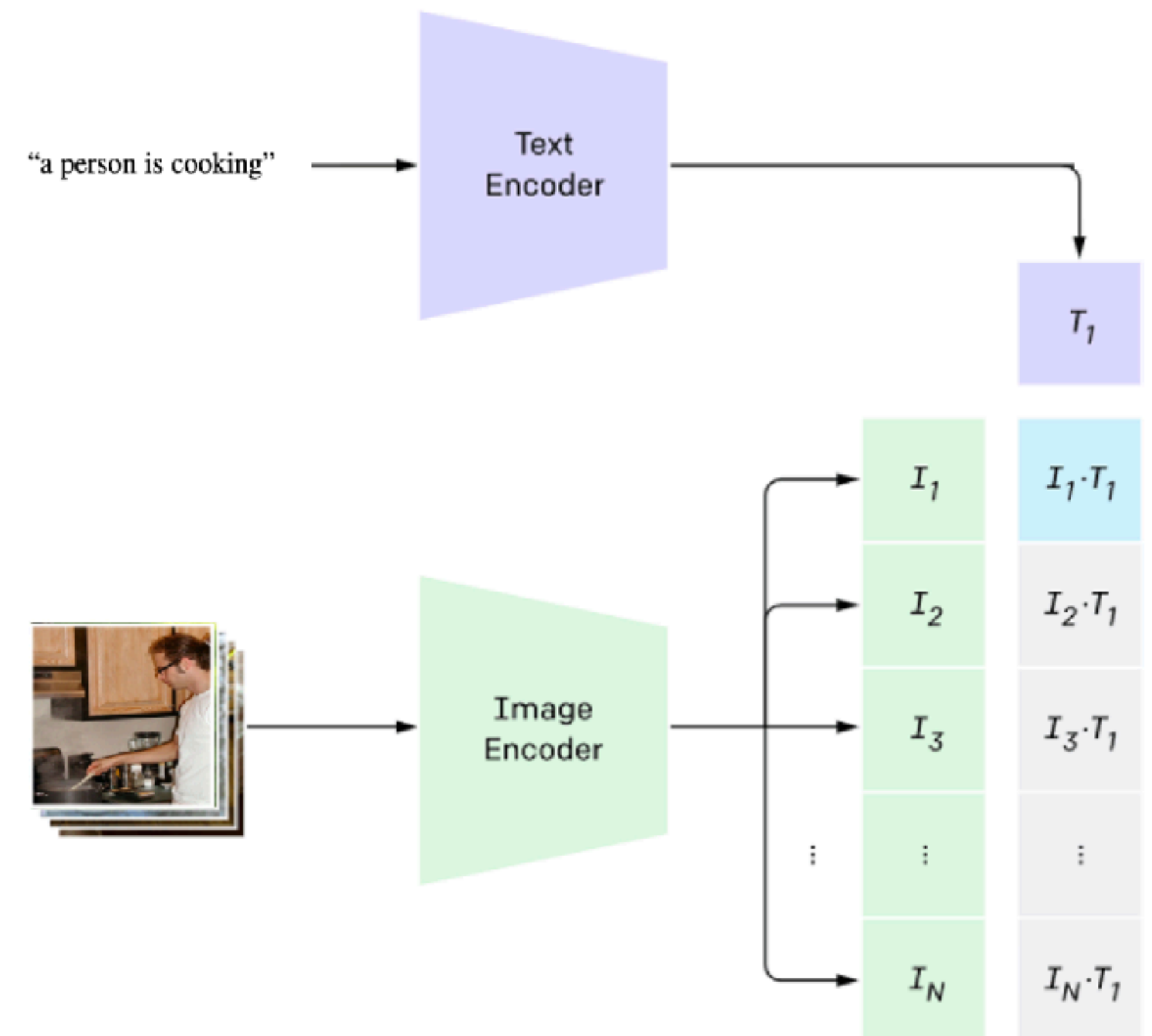
## Measurement of Social Bias in CLIP

- **Bias measurement:**
  - Concepts (jobs, qualities, ...)
  - Protected attributes (gender/race/age...)
  - Bias: model associate **concepts** with **protected attributes**

# Literature Review

## Measurement of Social Bias in CLIP

- **Retrieval-based bias metrics**
  - Concept: text prompt
  - Protected attributes: images
  - Text prompt as a query, the CLIP retrieves  $k$  images based on text-image similarities
  - The retrieved  $k$  images have different attributes (gender/age/race...)



# Literature Review

## Measurement of Social Bias in CLIP

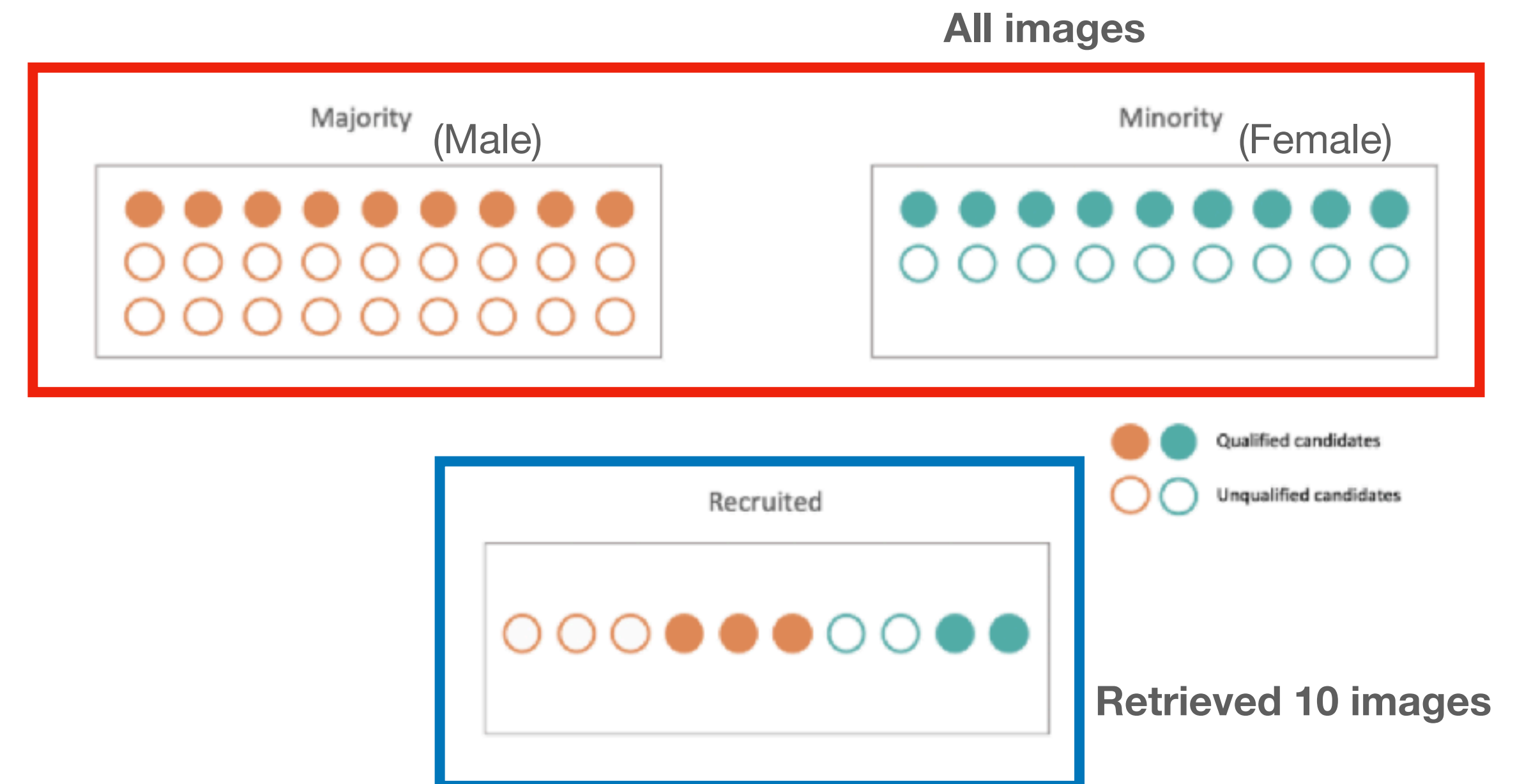
- Retrieval-based bias metrics
  - Distribution of the protected attributes in the  $k$  images retrieved



# Literature Review

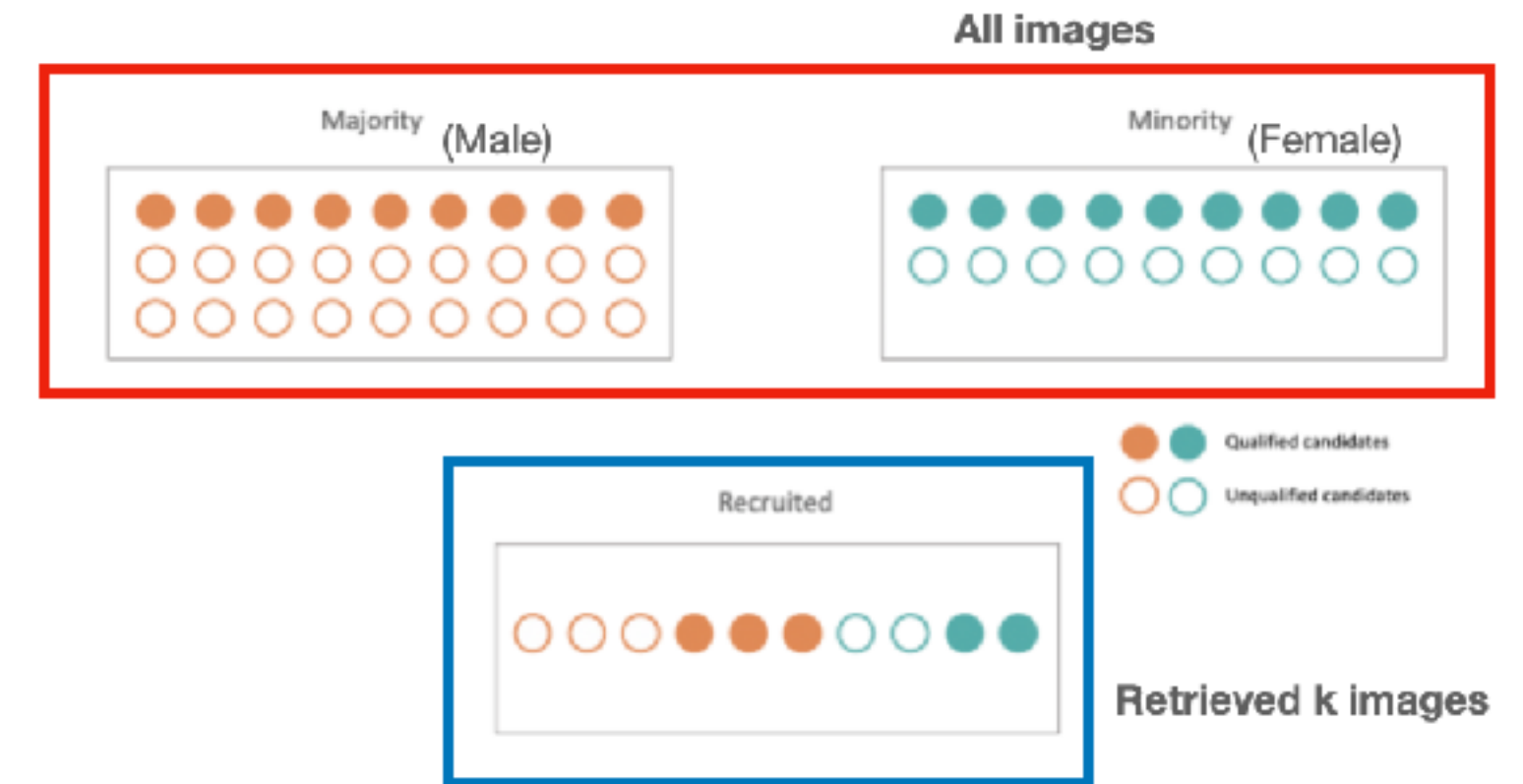
## Measurement of Social Bias in CLIP

- Retrieval-based bias metrics
  - Distribution of the protected attributes in the k images retrieved
  - Ideally, **proportion of an attribute in retrieved images = proportion of an attribute in the original pool of images**
  - **Demographic parity:** the retrieval based on matching is independent of the protected attributes of the image



# Literature Review

## Measurement of Social Bias in CLIP



- Retrieval-based bias metrics
  - Bias measured by comparing **the new distribution of the protected attributes among the k retrieved images** with **the original distribution of the protected attributes among all images**

- **Metric 1: Max Skew**

$$MaxSkew_{@k}(\tau_T) = \max_{A_i \in \mathcal{A}} Skew_{A_i}@k(\tau_T) \quad (Skew_A@k(\tau_T) = \ln \frac{p_{\tau_T, T, A}}{p_{d, T, A}})$$

- **Metric 2: Normalized Discounted Cumulative KL-Divergence (NDKL)**

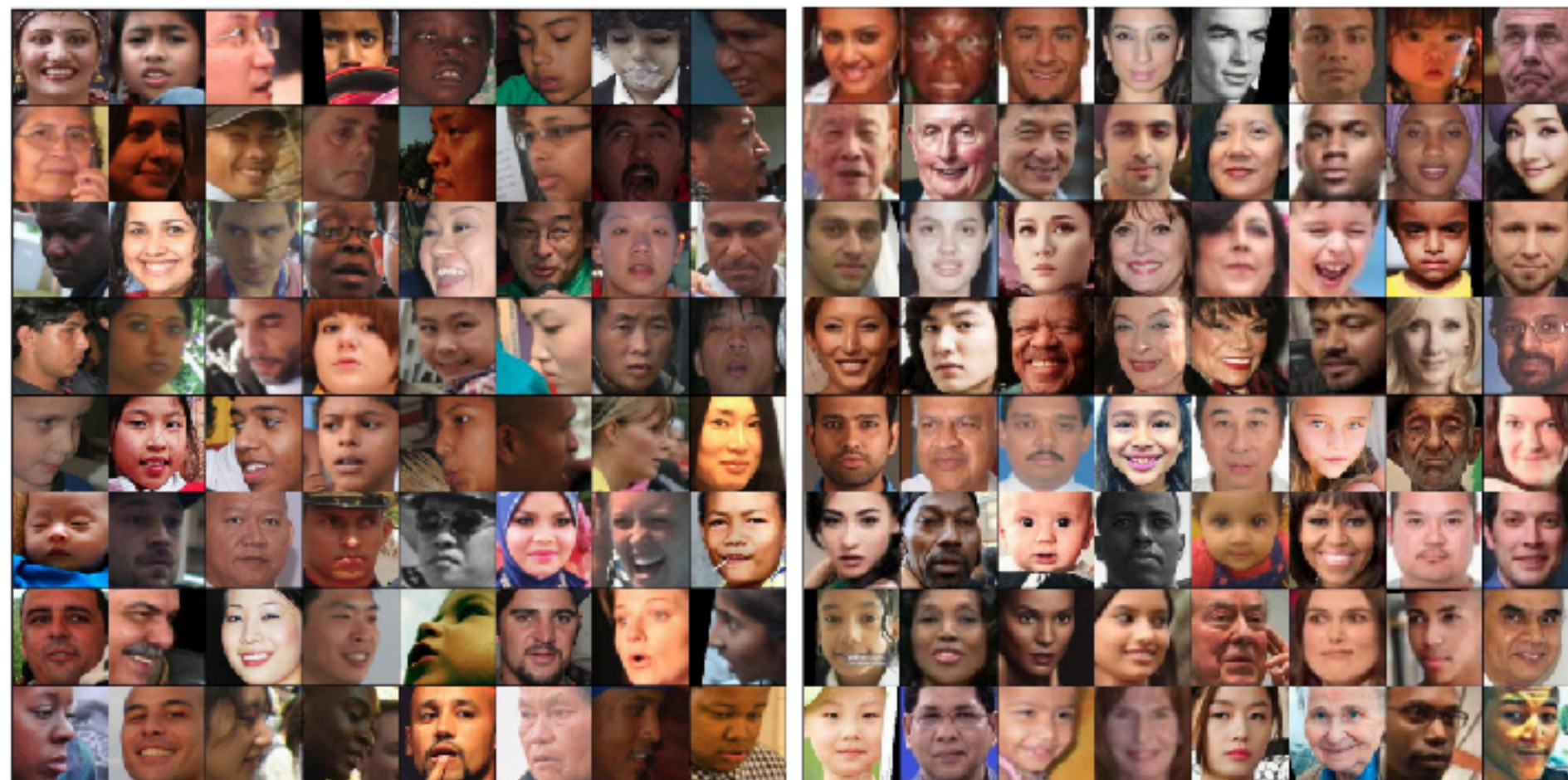
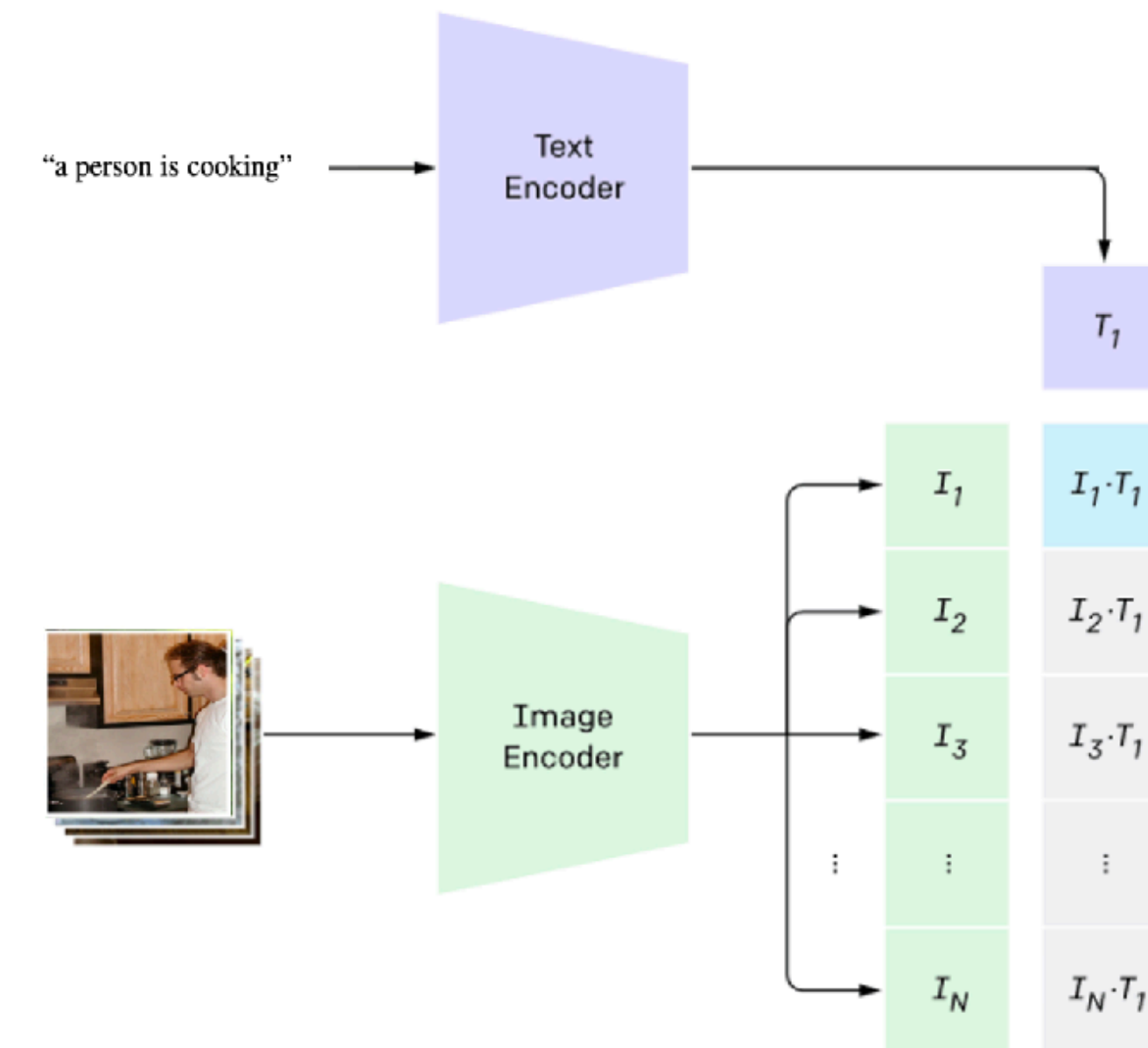
$$NDKL(\tau_T) = \frac{1}{Z} \sum_{i=1}^{|\tau_y|} \frac{1}{\log_2(i+1)} d_{KL}(D_{\tau_T^i} || D_T)$$



# Literature Review

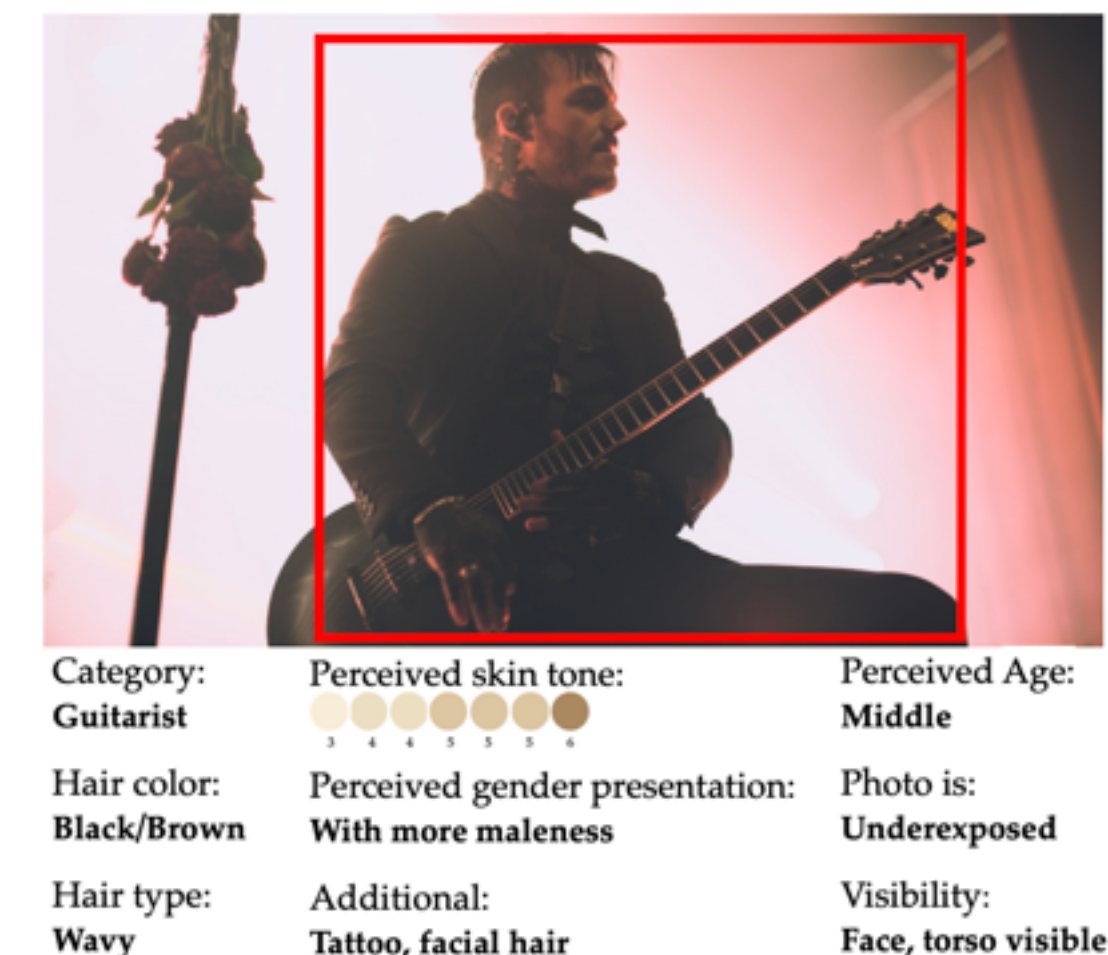
## Measurement of Social Bias in CLIP

- **Fairness datasets**
  - FairFace, UTKFace, FACET
  - Labels: Race, gender, age, etc.



(a) FairFace

(b) UTKFace



# Literature Review

## CLIP Debiasing Approaches

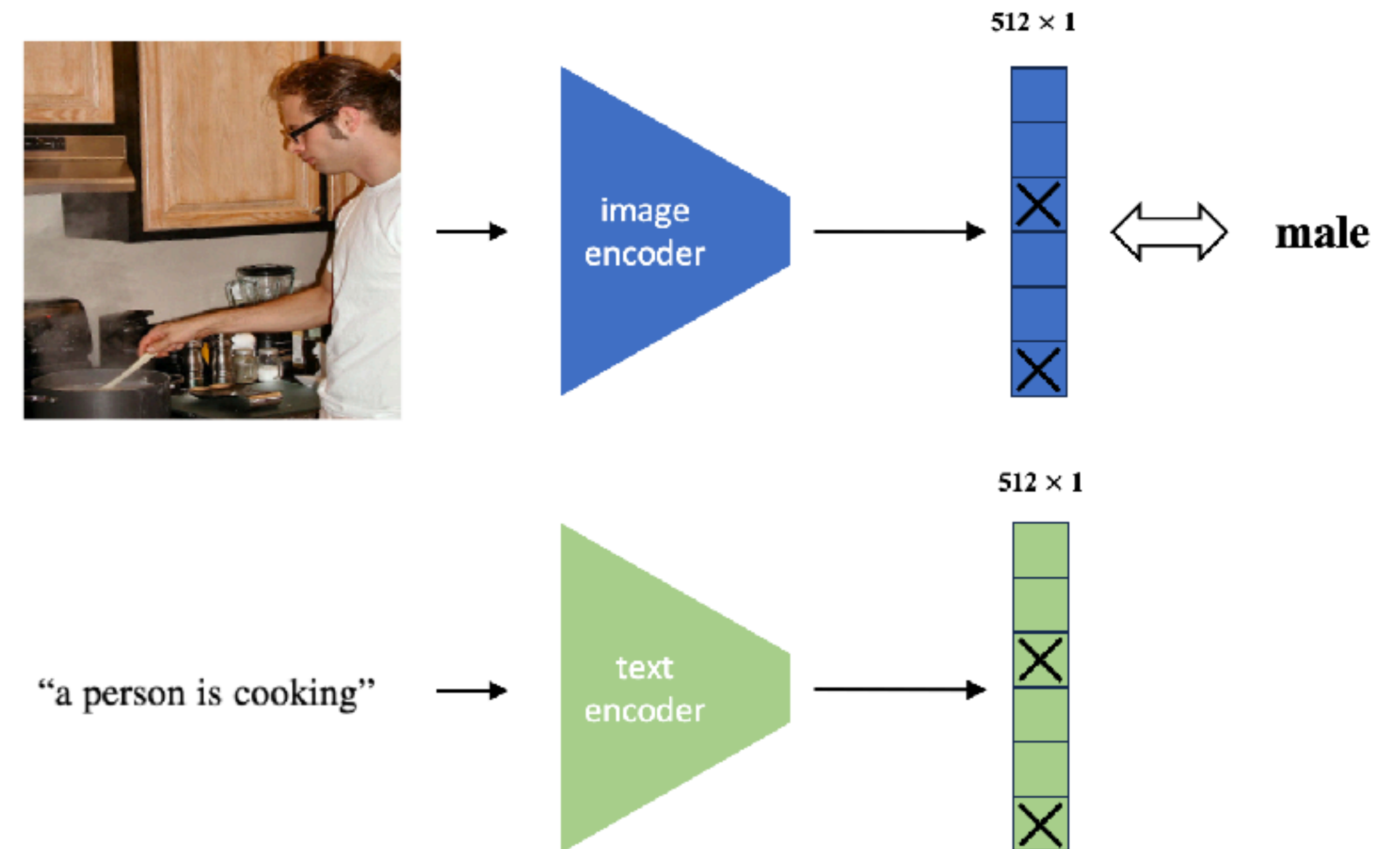
- Training-free: **Embedding vector manipulation**
- Training-required: **Fair module fine-tuning**



# Literature Review

## Embedding Vector Manipulation - Feature Clipping

- Debias both image and text embeddings
- Determine the features in the image embedding vectors that contain the most bias information
- Remove those image features from the image embedding vector
- Remove the corresponding text features from the text embedding vector

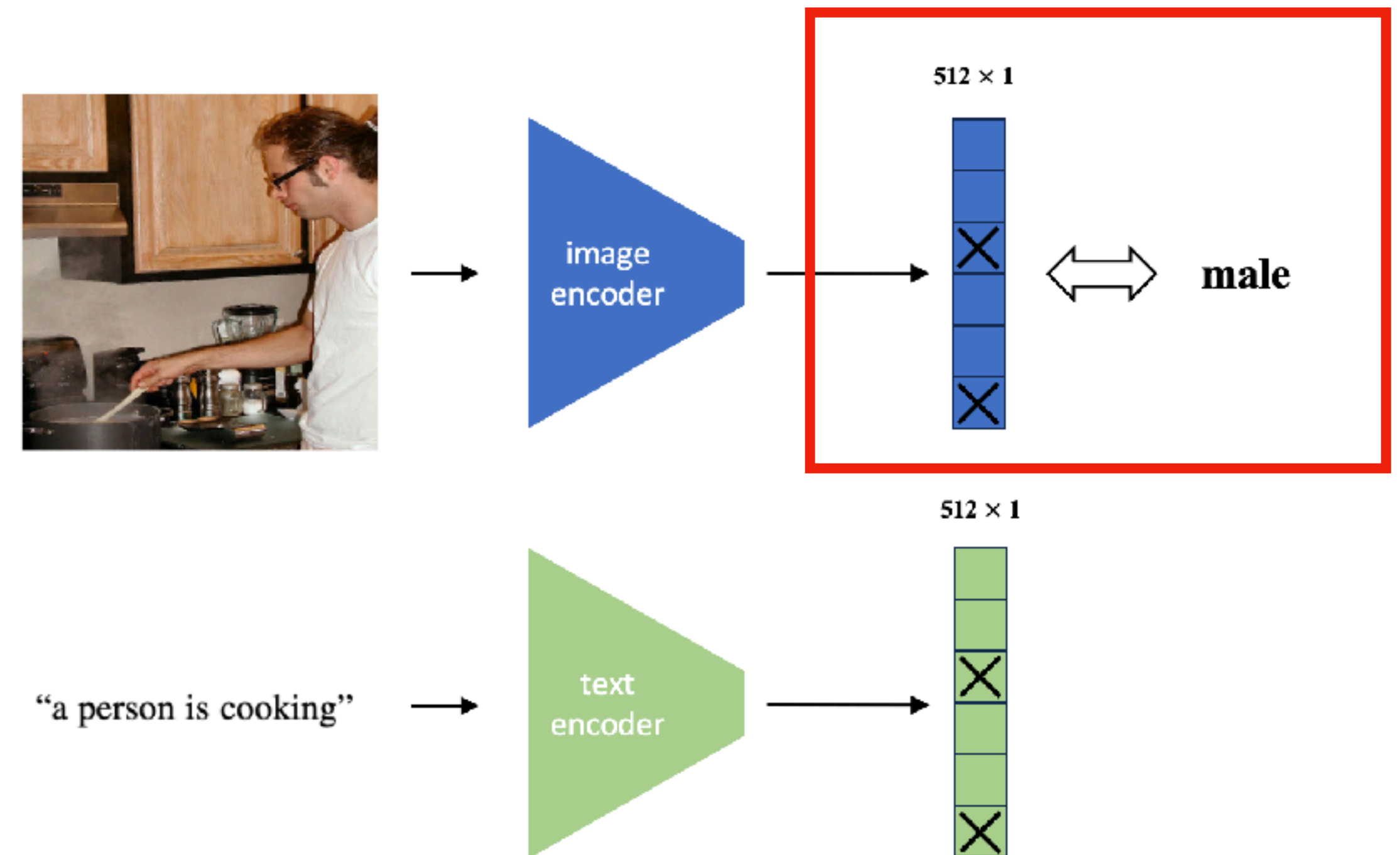




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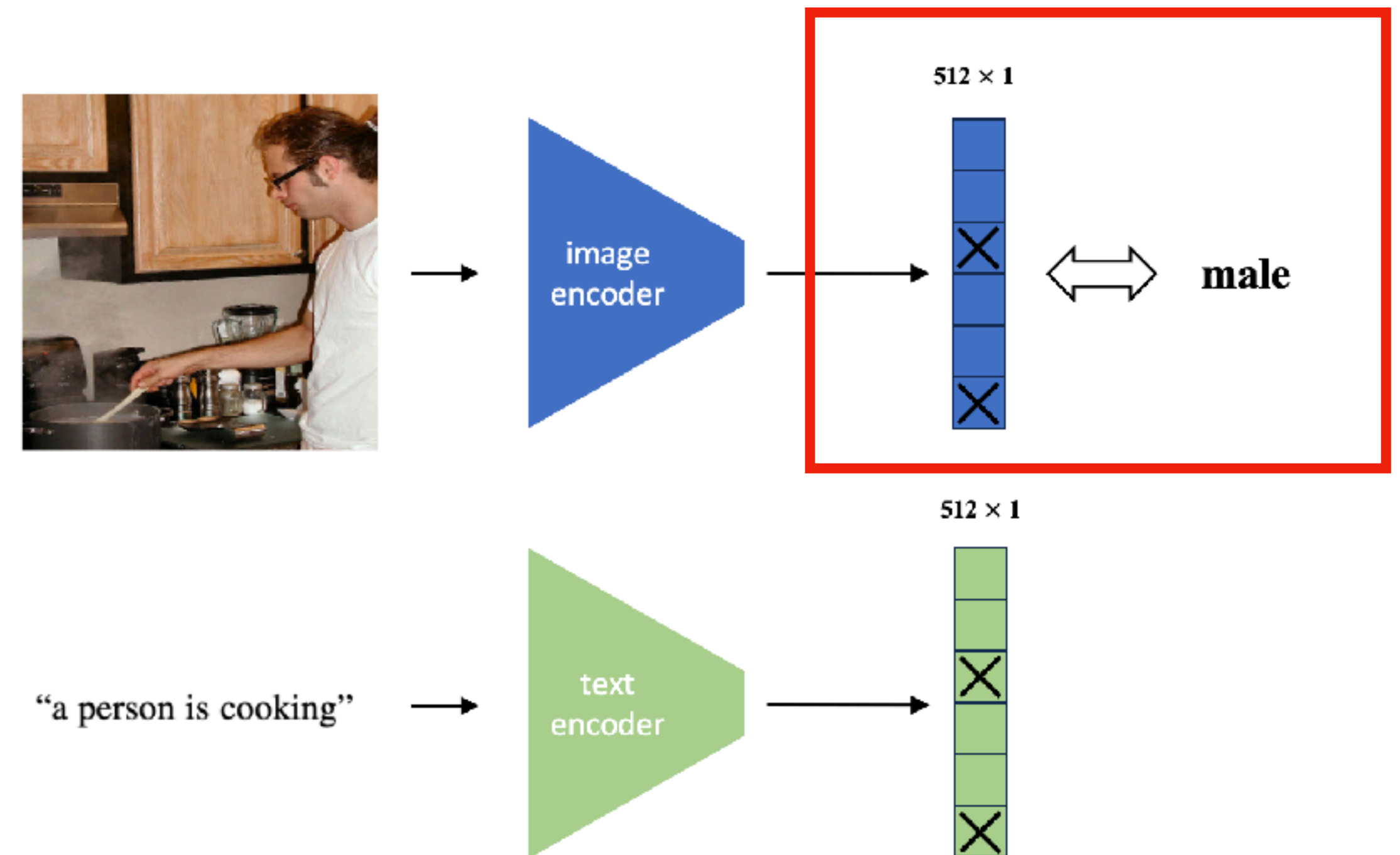
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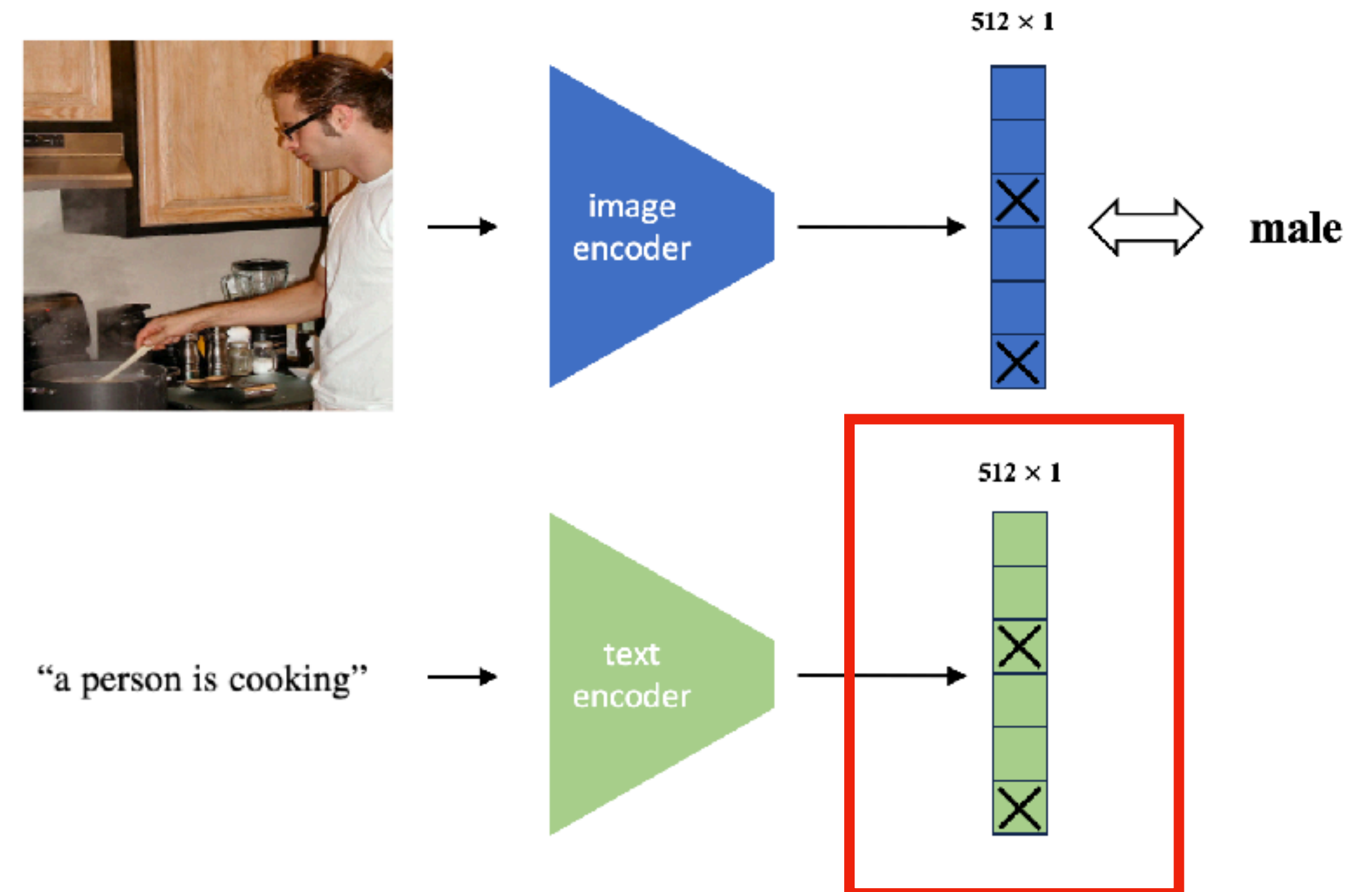
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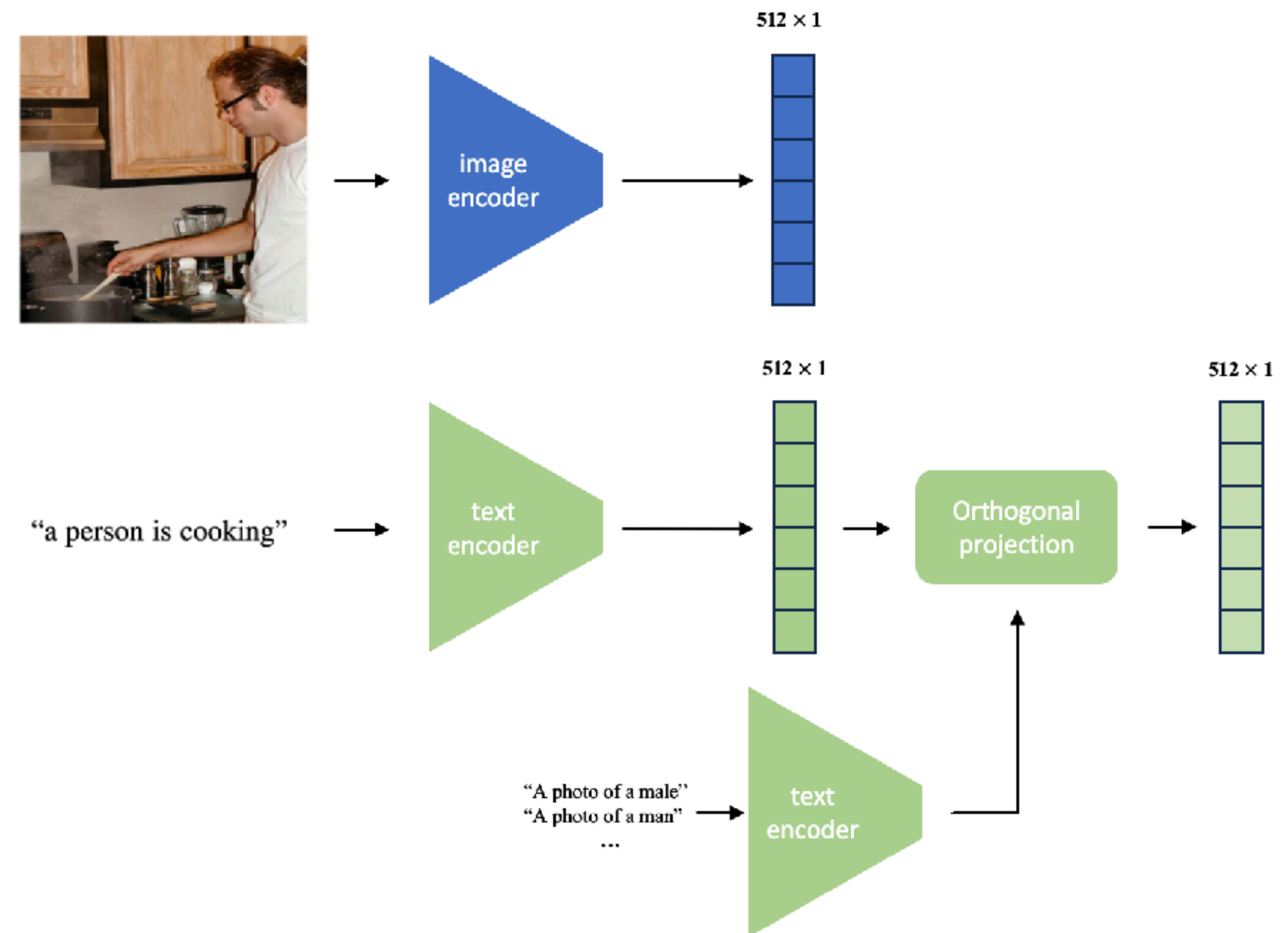
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# Literature Review

## Embedding Vector Manipulation - Bias Projection

- Debias text embedding only
- Generate biased text prompt embeddings that contain bias information
- Use orthogonal projection to produce debiased text embedding invariant to biased prompts

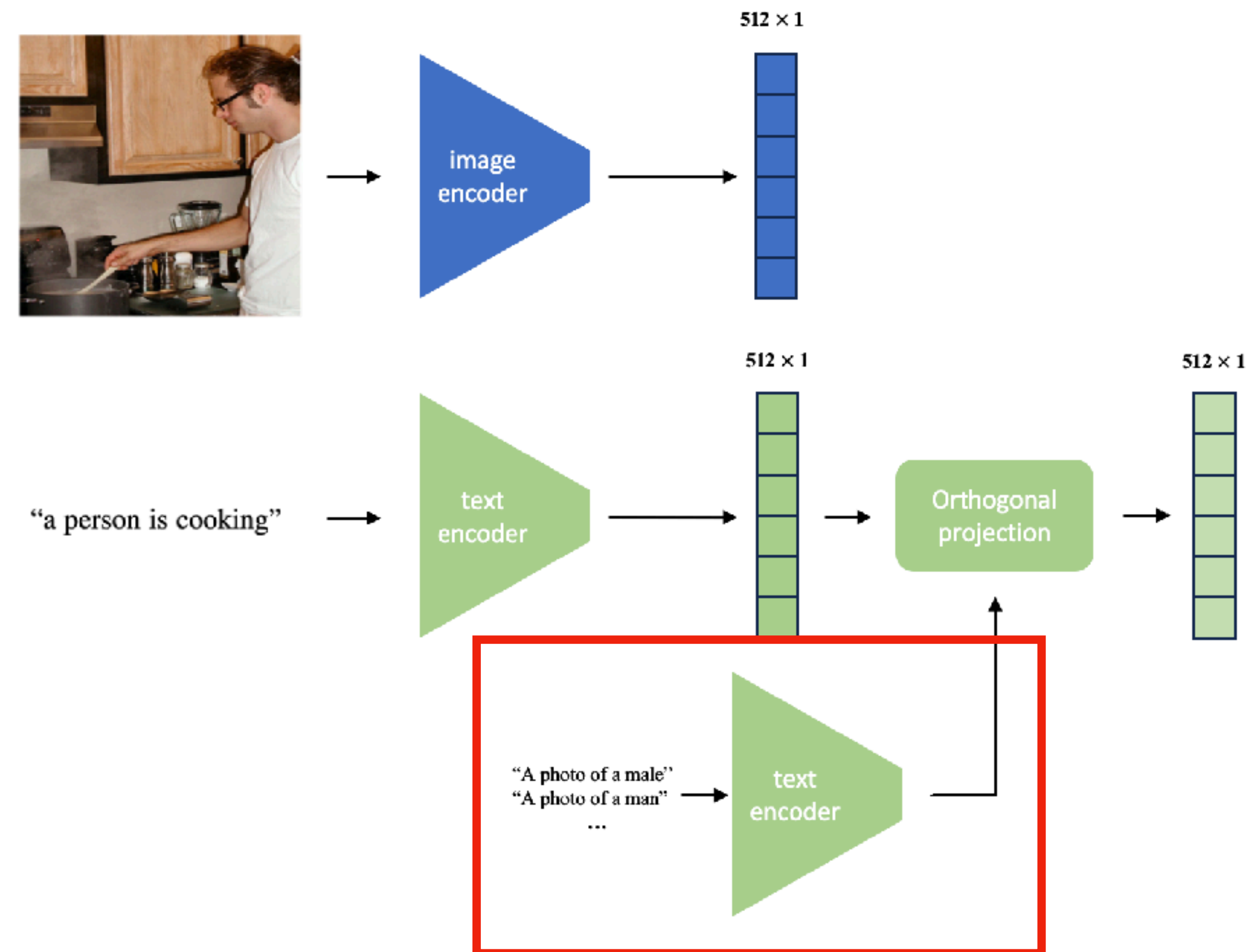




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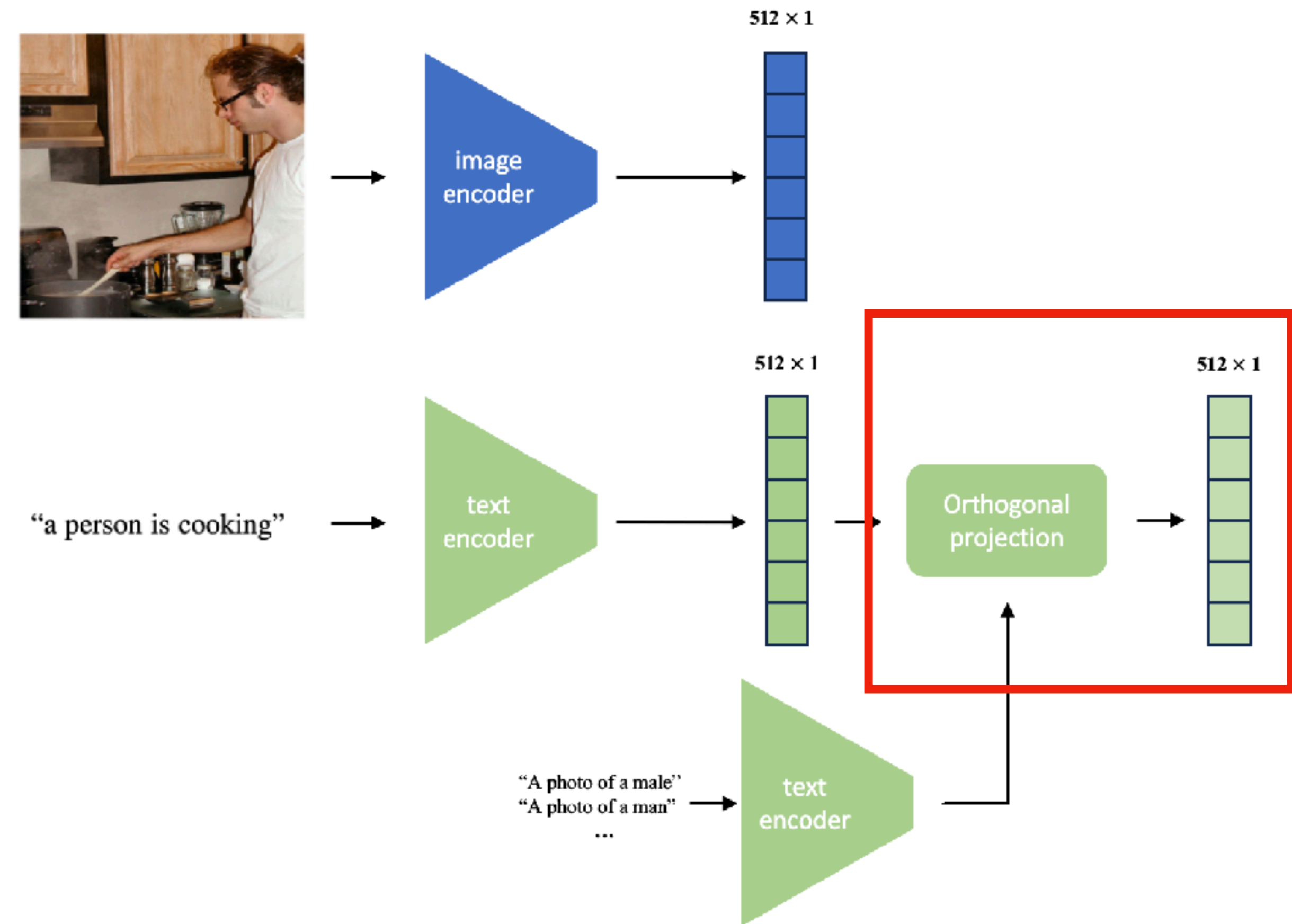




# Literature Review

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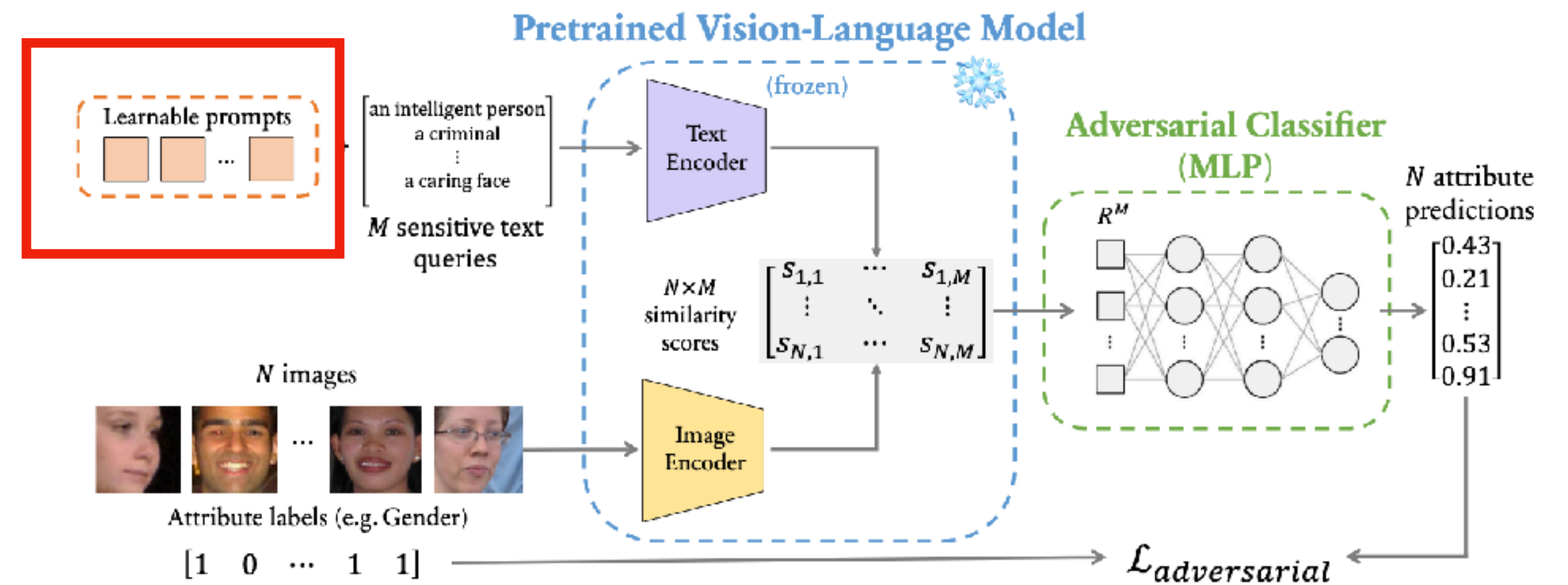
## Fair Module Fine-Tuning

- Add trainable fair modules and fine-tune them
  - Learnable text prompt tokens
  - Learnable module attached after image encoder
- Training objectives: adversarial loss, etc. To mitigate bias and keep model performance.

# Literature Review

## Fair Module Fine-Tuning

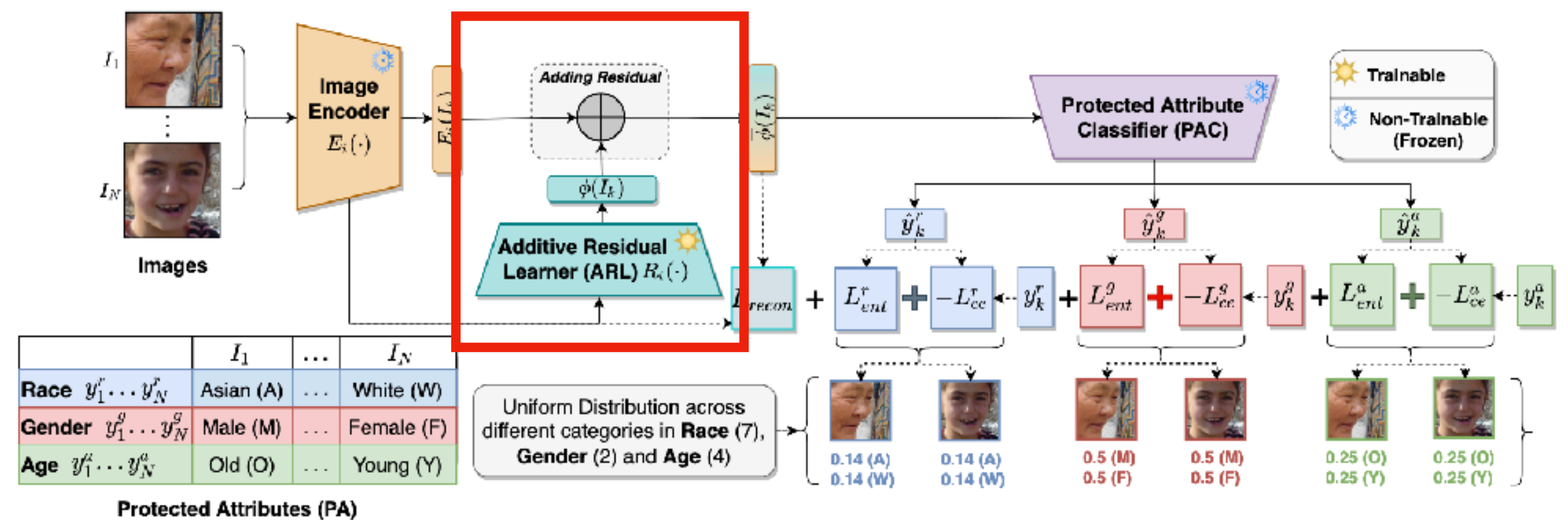
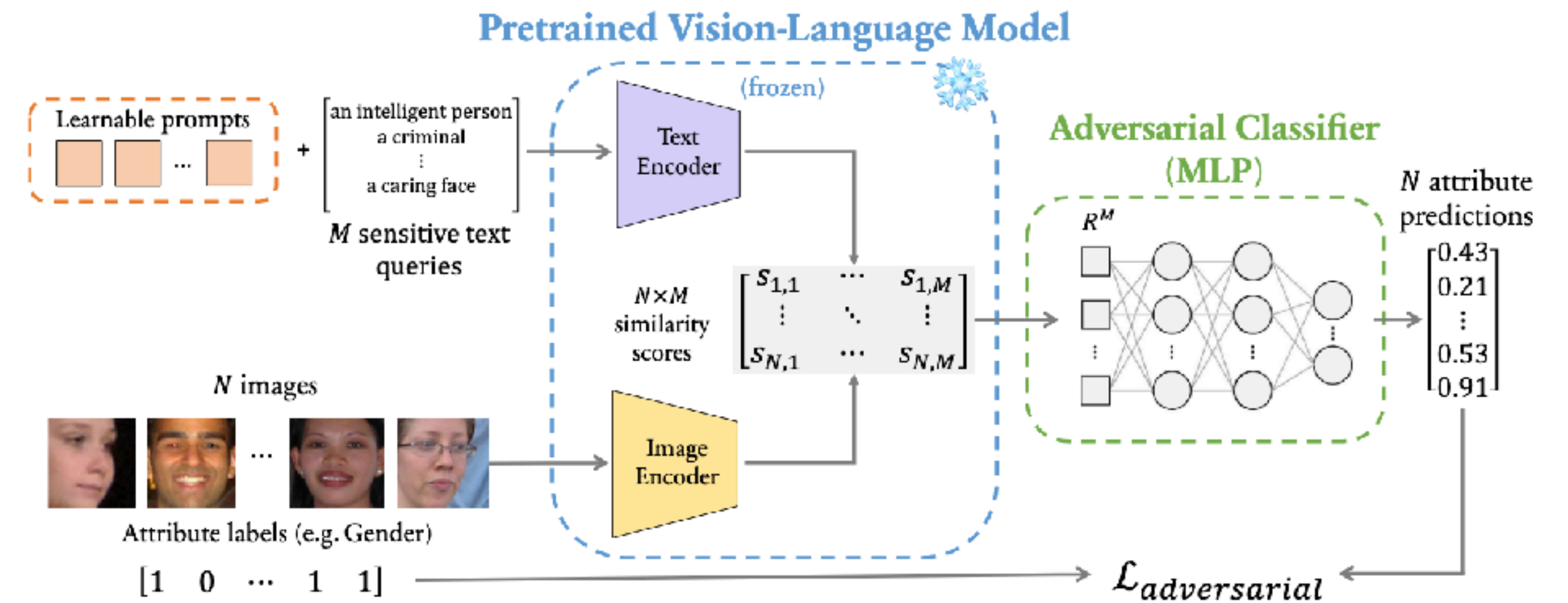
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- Learnable text prompt tokens
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# Literature Review

## Debiasing Coverage of Existing Techniques

- **Debias text encoder only**
  - Fair module (learnable text prompts) fine-tuning
  - Bias projection
- **Debias image encoder only**
  - Fair module (image debiasing module) fine-tuning
- **Debias both image and text encoders**
  - Feature clipping



# Motivation

## Limitations of Existing Methods

- **Lack of modality alignment when debiasing image/text encoders**
  - Incomplete removal of text and image biases
  - Harms V-L alignment in the original CLIP model

- **Debias text encoder only**
  - Fair module (learnable text prompts) fine-tuning
  - Bias projection
- **Debias image encoder only**
  - Fair module (image debiasing module) fine-tuning
- **Debias both image and text encoders**
  - Feature clipping

# Motivation

## Aim

- Unified framework for joint image and text debiasing with modality alignment
  - Study the image and text bias in CLIP
  - Remove bias from both image and text embeddings concurrently

# Motivation

## Study of Gender Bias in CLIP - Exp 1

- Use t-SNE to visualise the biased text/image embeddings of CLIP
- Qualitatively evaluate the bias distributions



■ ■ ■



A photo of a female teacher

A photo of a male teacher

■ ■ ■

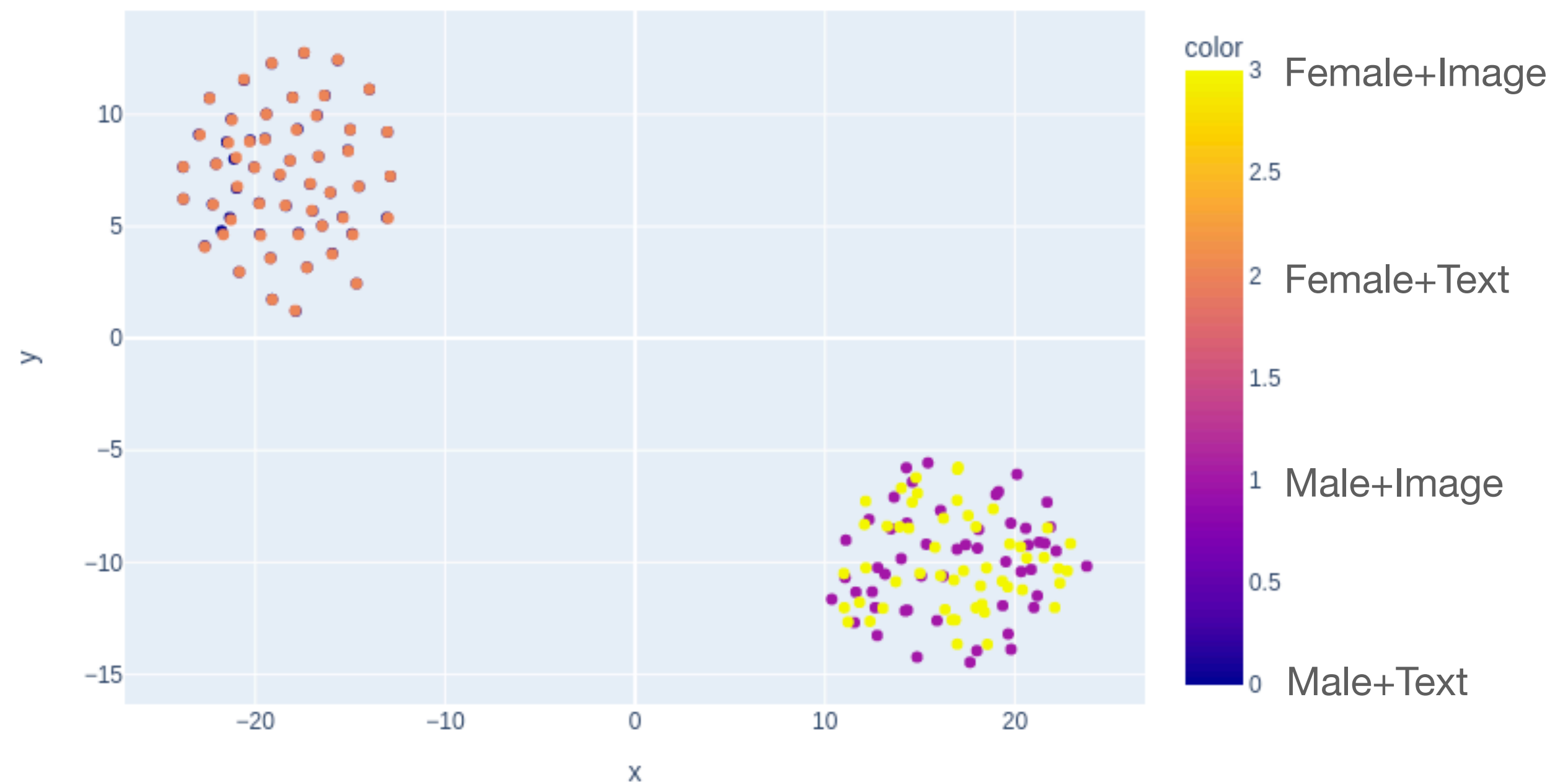
A photo of a female farmer

A photo of a male farmer

# Motivation

## Study of Gender Bias in CLIP - Exp 1

- **t-SNE visualisation**
  - Top left: text embeddings
  - Bottom right: image embeddings
  - Different bias distribution; more bias in the image embeddings





# Motivation

## Study of Gender Bias in CLIP - Exp 2

- **Estimate alignment of image and text bias subspaces**
  - Following the analysis in DeAR to disentangle bias information from the original image/text embedding
  - $E_i(I) = \bar{\phi}_i(I) + \phi_i(I)$  (neutral + bias)
  - $E_t(T) = \bar{\phi}_t(T) + \phi_t(T)$
  - $\phi_i(I)$  and  $\phi_t(T)$  lie in the image and text bias subspaces respectively

# Motivation

## Study of Gender Bias in CLIP - Exp 2

- Estimate alignment of image and text bias subspaces

- Sample: image-text of opposite gender but same concept

- (Male farmer, female farmer) =  $((I_m, T_m), (I_f, T_f))$

- $E_i(I_m) = \bar{\phi}_i(I_m) + \phi_i(I_m)$  - (1)

- $E_i(I_f) = \bar{\phi}_i(I_f) + \phi_i(I_f)$  - (2)

- (1) - (2):  $E_i(I_m) - E_i(I_f) = \phi_i(I_m) - \phi_i(I_f)$  (difference in image bias for opposite genders)

- Similarly,  $E_t(T_m) - E_t(T_f) = \phi_t(T_m) - \phi_t(T_f)$  (difference in text bias for opposite genders)

- To check alignment of text and image subspaces, we can check whether  $\phi_i(I_m) - \phi_i(I_f)$  and  $\phi_t(T_m) - \phi_t(T_f)$  align with each other across different samples of  $((I_m, T_m), (I_f, T_f))$



A photo of a male teacher

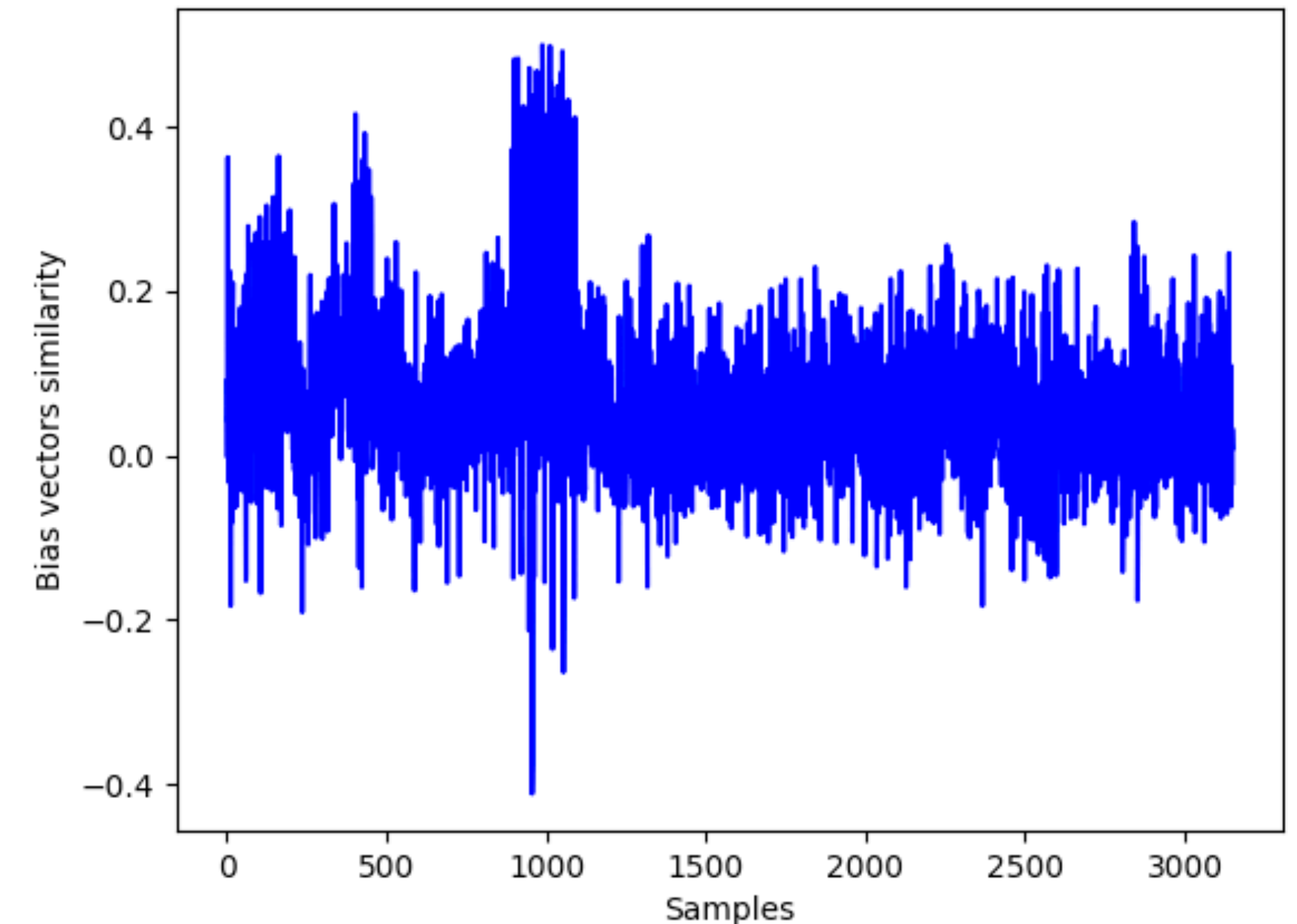


A photo of a female teacher

# Motivation

## Study of Gender Bias in CLIP - Exp 2

- **Estimate alignment of image and text bias subspaces**
  - Compare the cosine similarity between  $\phi_i(I_m) - \phi_i(I_f)$  and  $\phi_t(T_m) - \phi_t(T_f)$  for each sample
  - Each sample: a set of  $((I_m, T_m), (I_f, T_f))$  that share the same concept (e.g. “farmer”)
  - ~3k samples are used
  - Results: varied and low similarities across samples; there is no evidence that two bias subspaces are aligned.



A photo of a female teacher

A photo of a male teacher

# Motivation

## Study of Gender Bias in CLIP - Exp 3

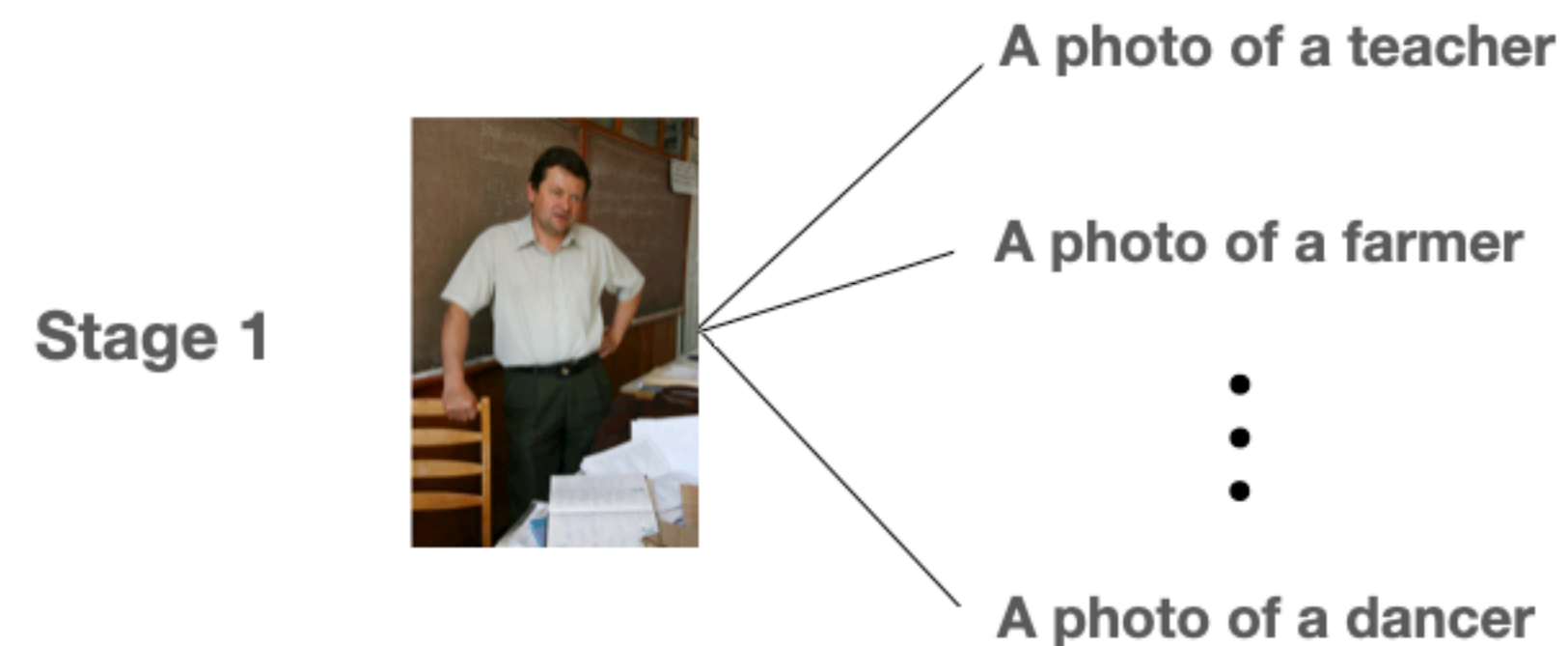
- **Bias from Cross-Modal Interaction**
  - Test 1: Image concept matched to text concepts
  - Test 2: Text concept matched to image concepts
  - Stage 1: Test 1 and Test 2
  - Stage 2: Test 1 and Test 2 with gender information



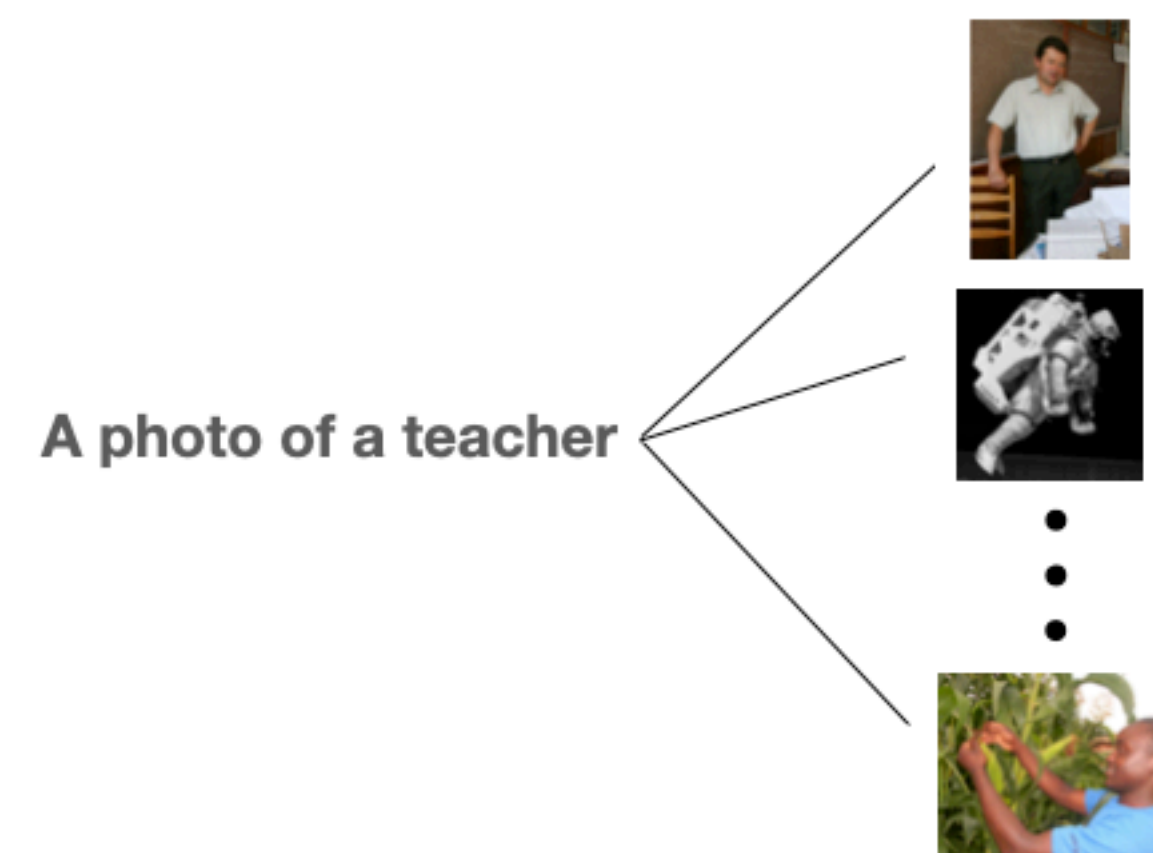
# Motivation

## Study of Gender Bias in CLIP - Exp 3

Matching an image to text prompts



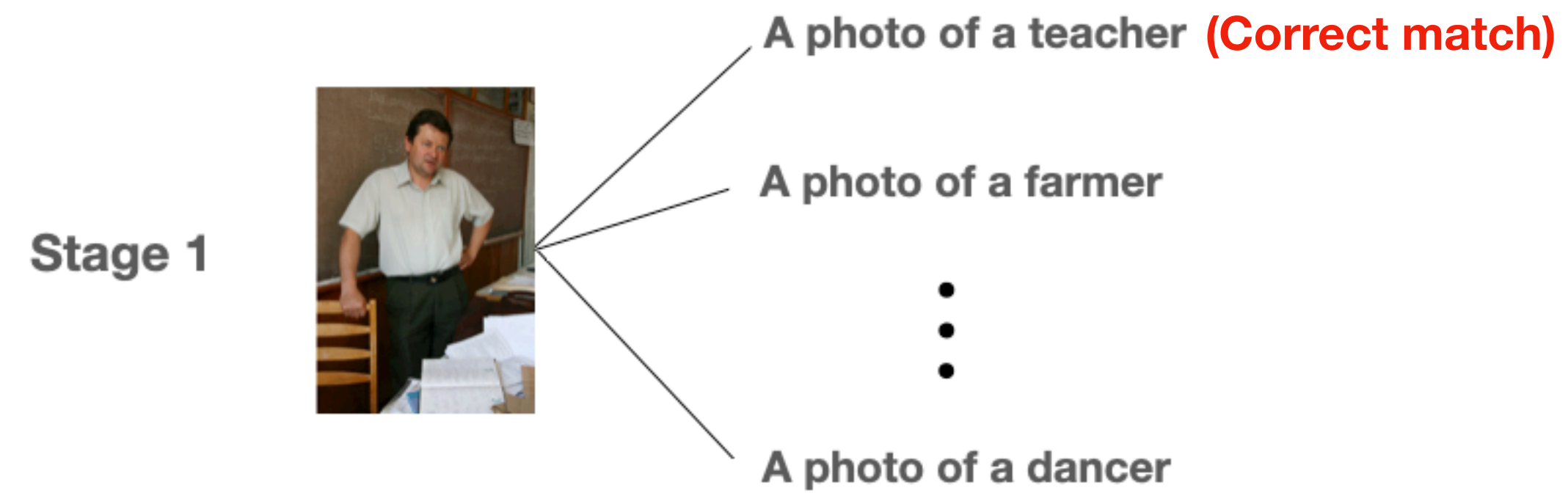
Matching a text to image prompts



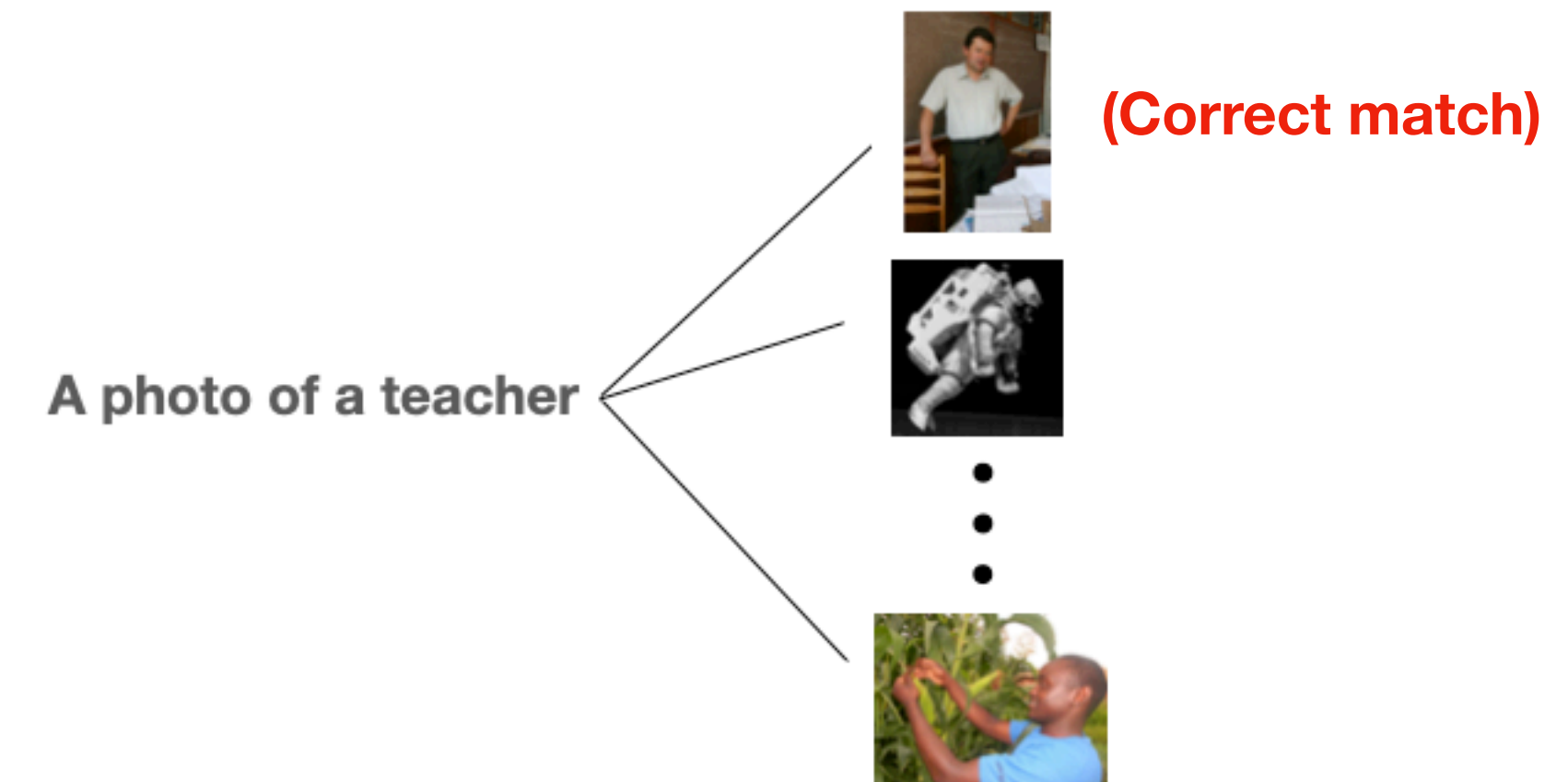
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## Study of Gender Bias in CLIP - Exp 3

Matching an image to text prompts



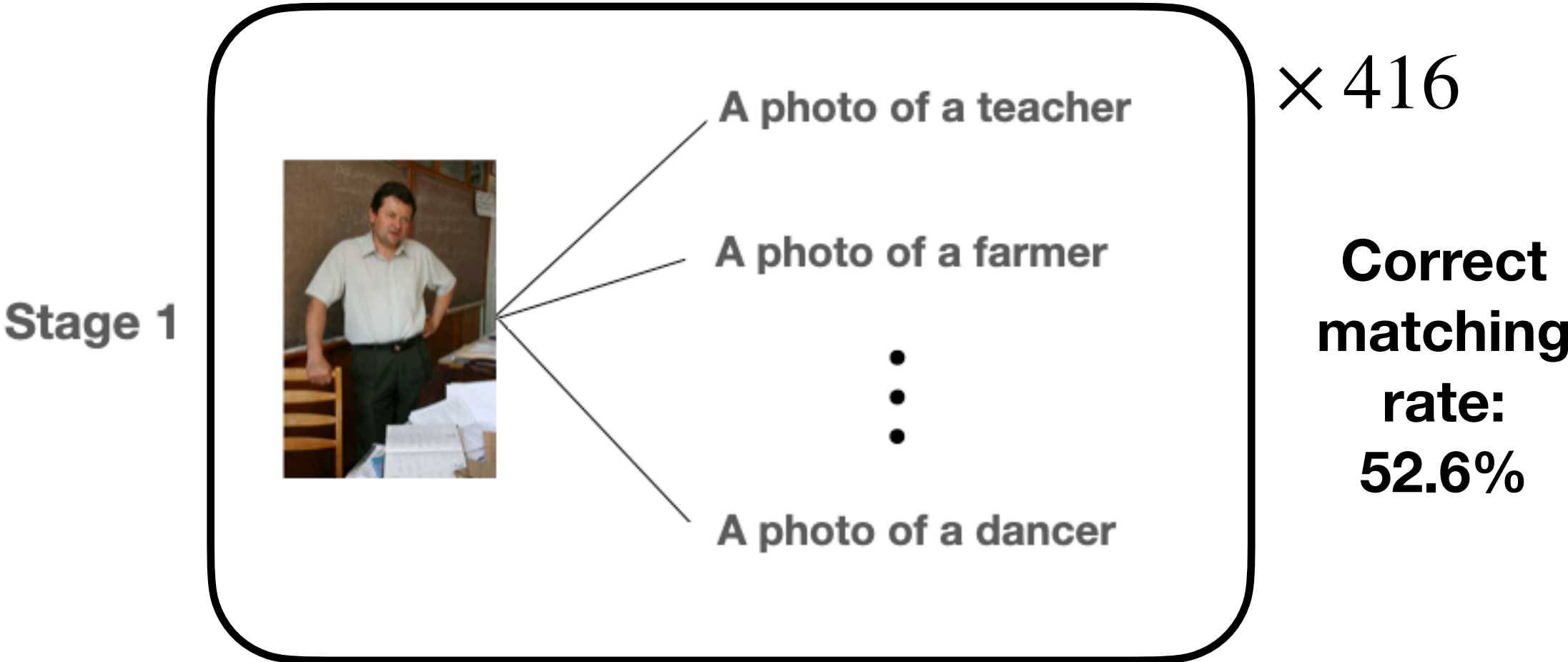
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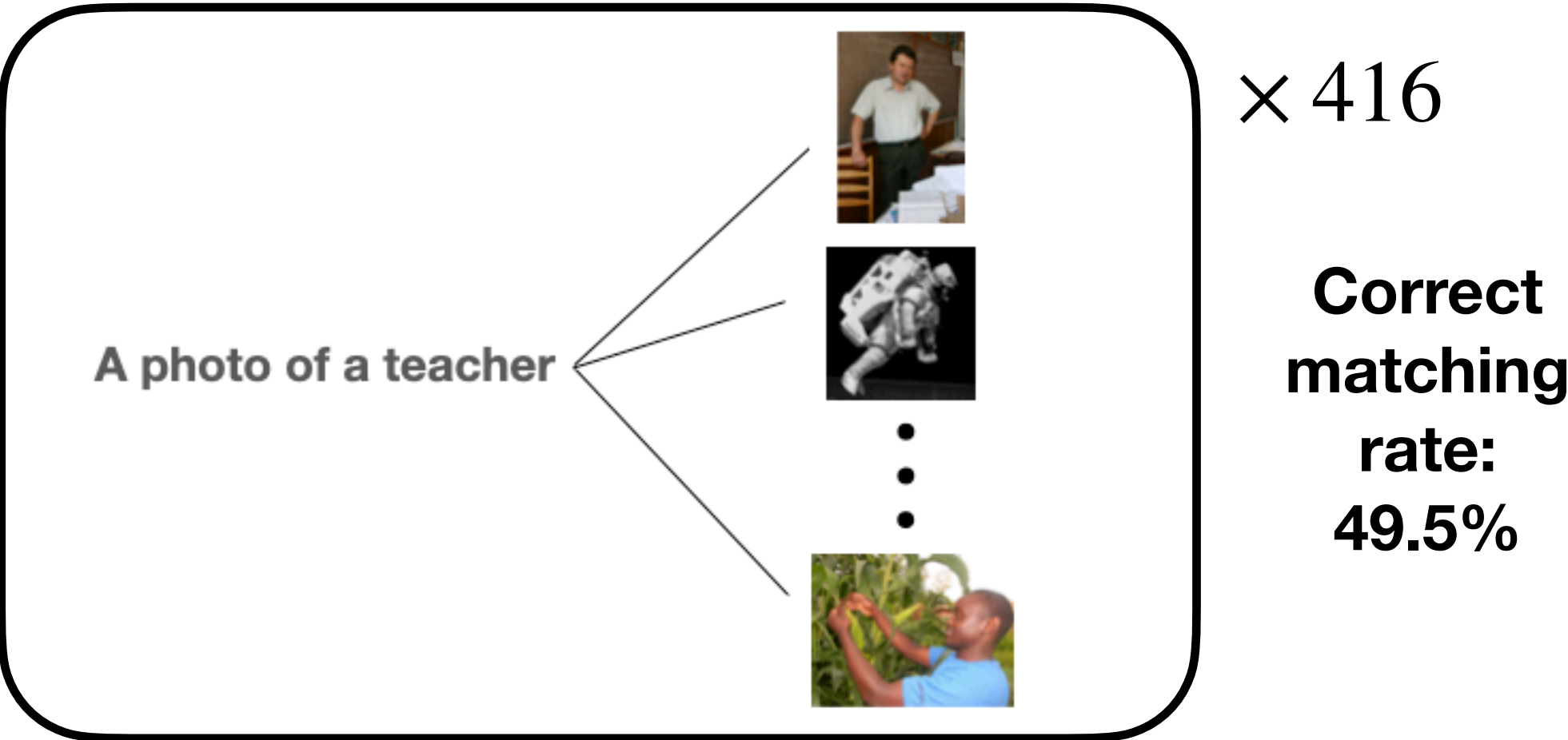
# Motivation

## Study of Gender Bias in CLIP - Exp 3

Matching an image to text prompts

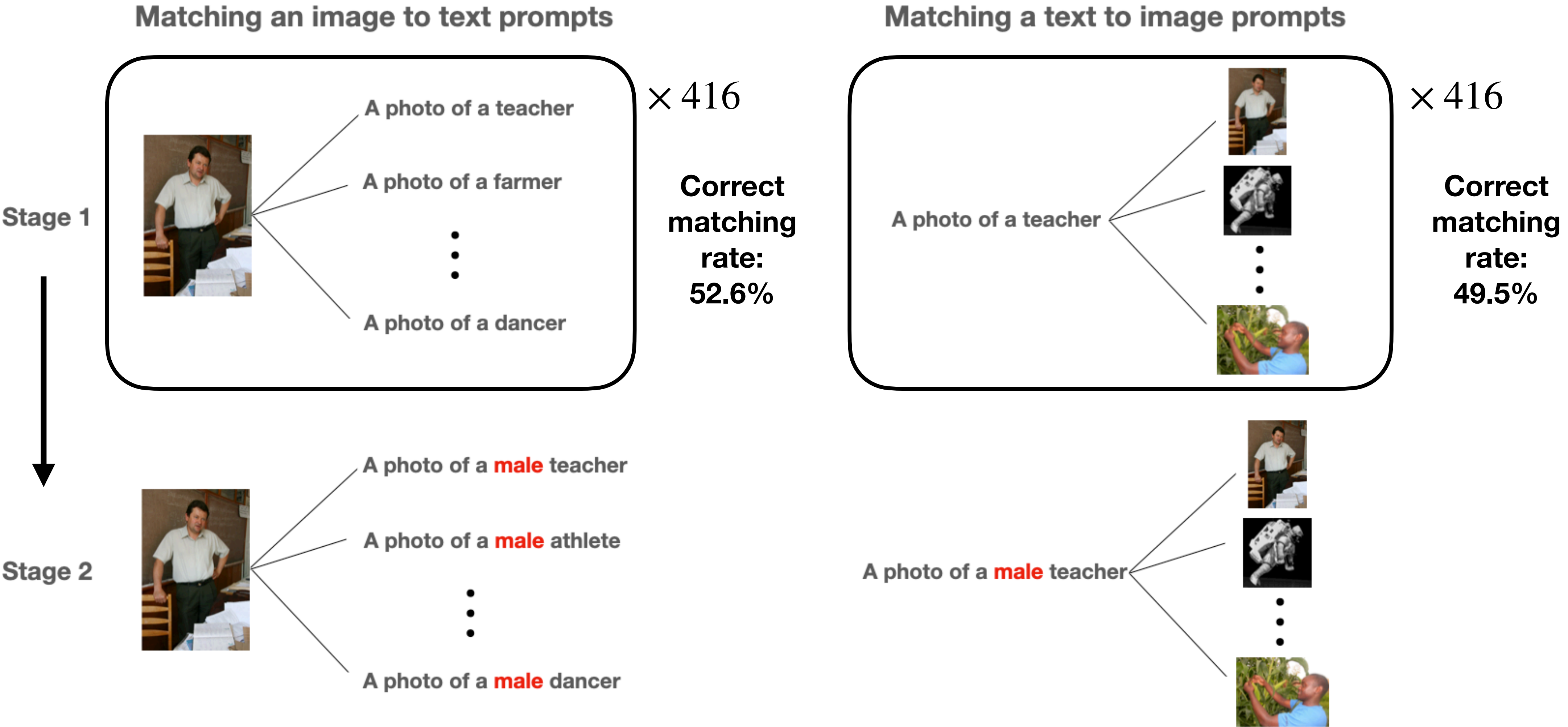


Matching a text to image prompts



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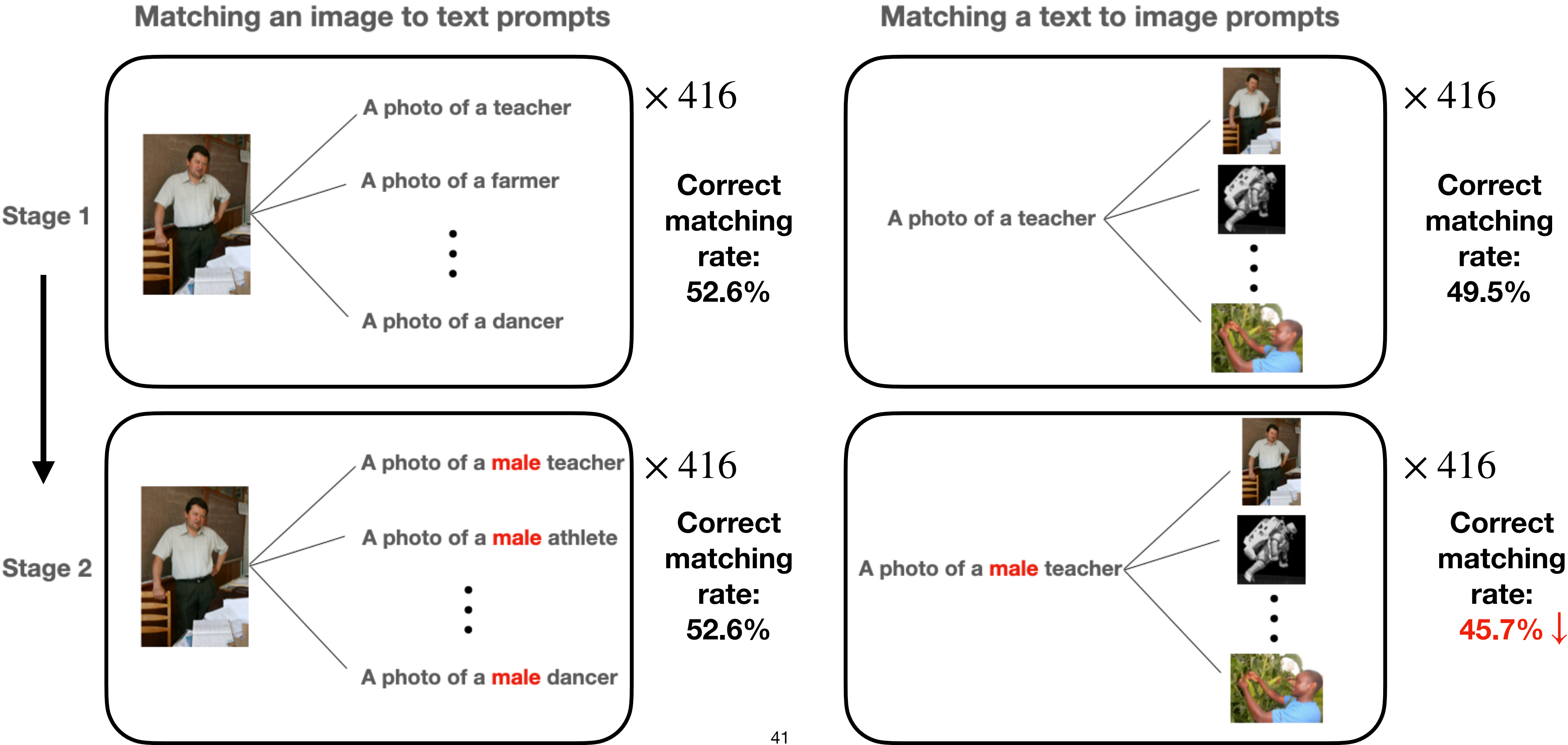
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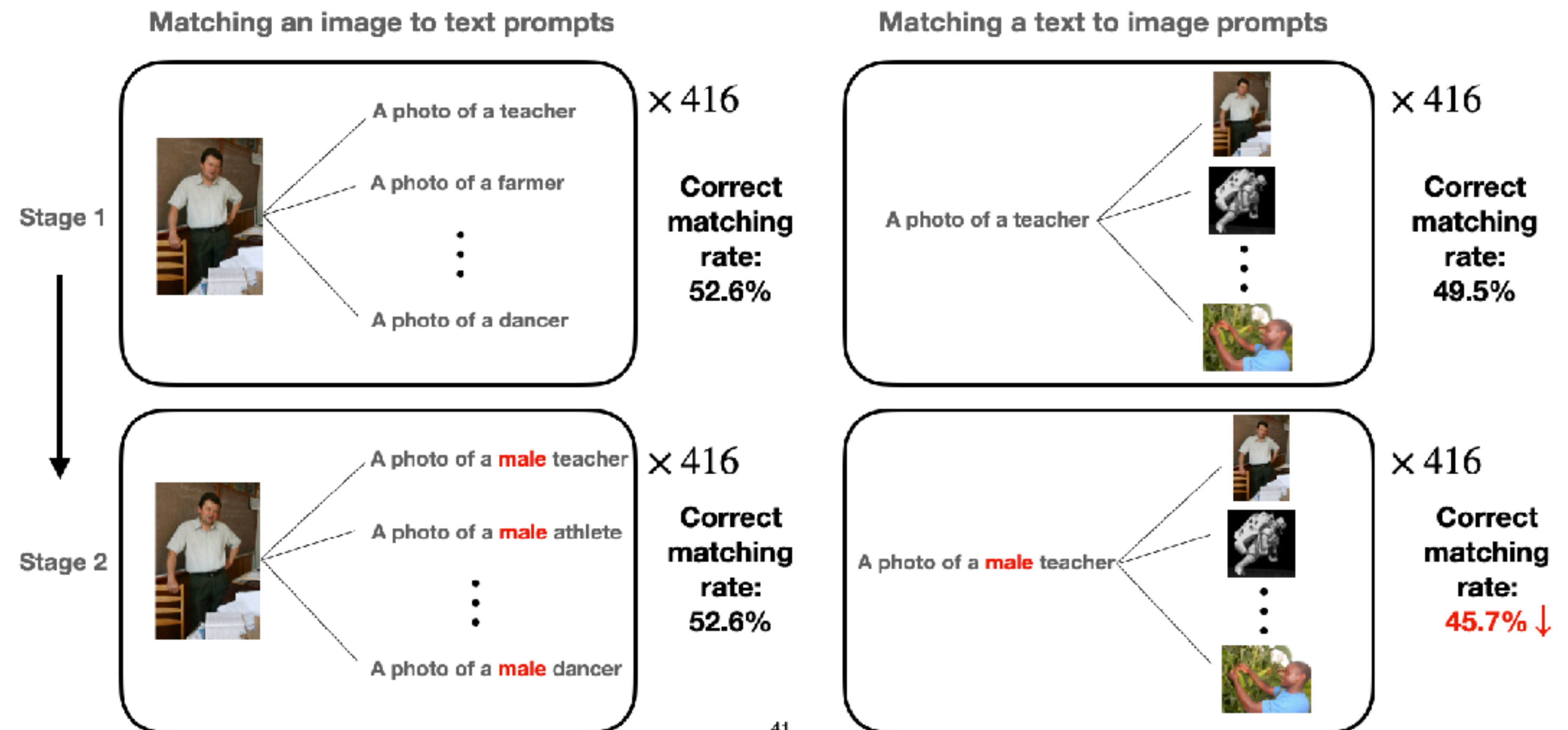
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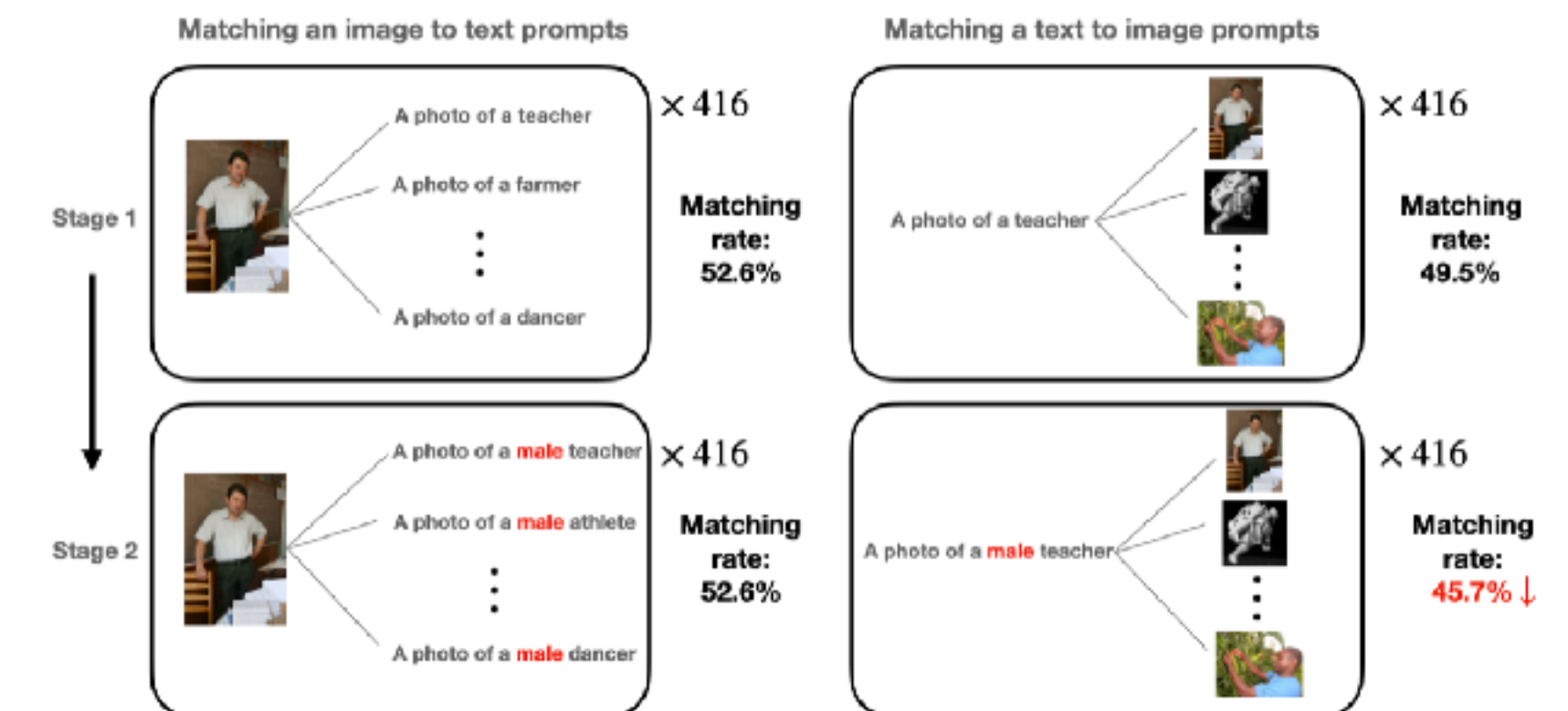
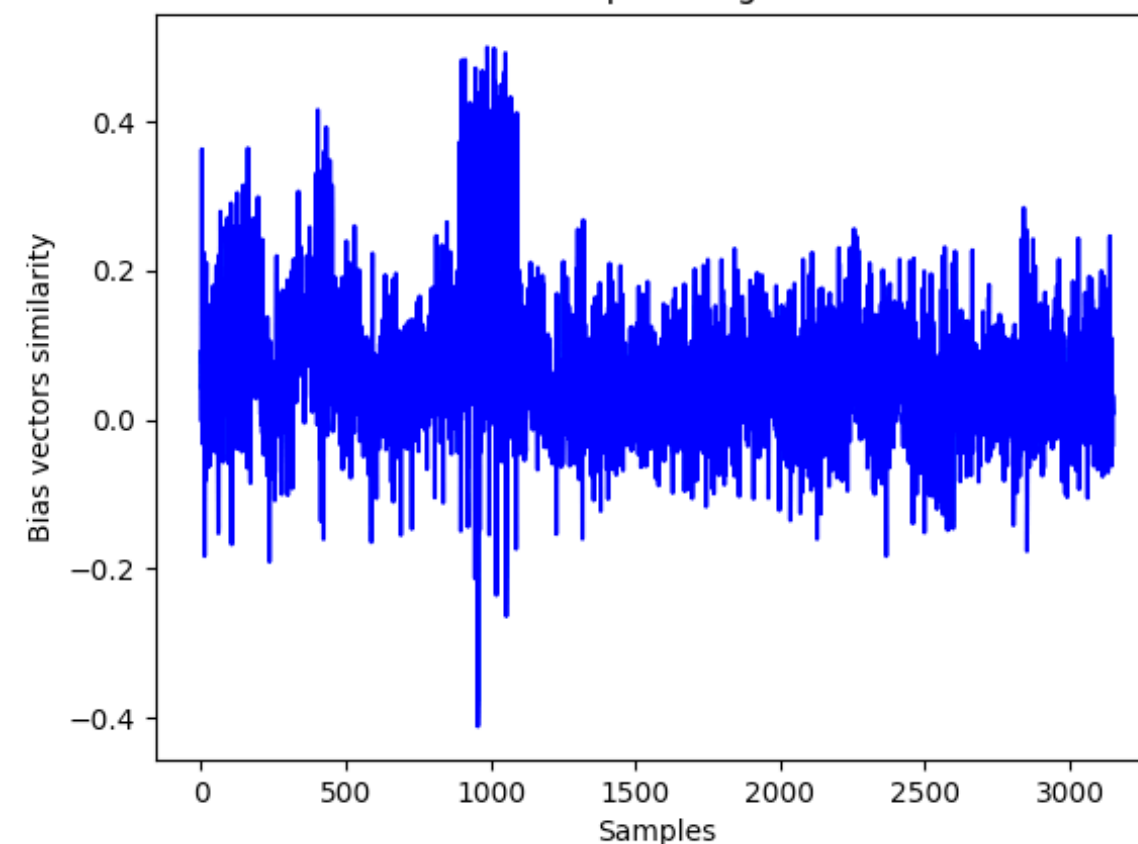
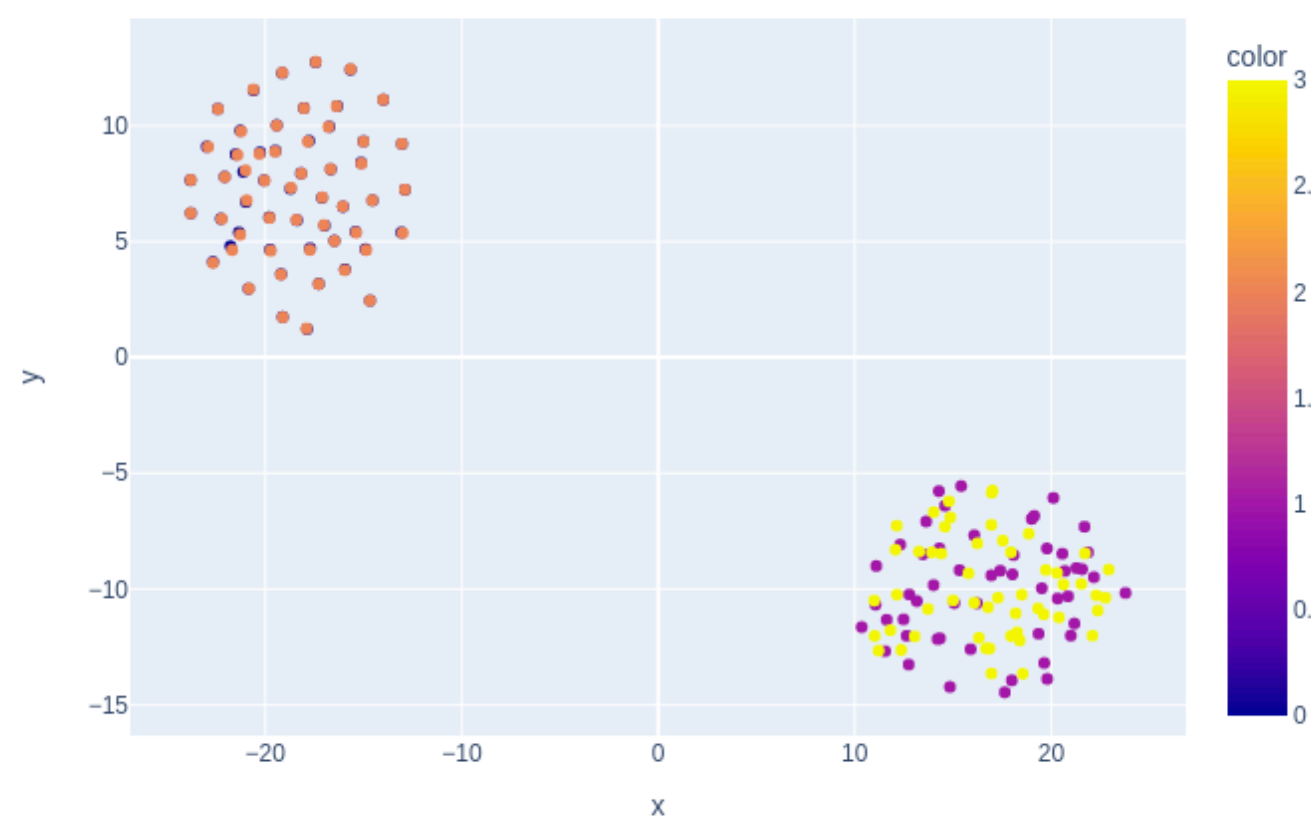
- **Bias from Cross-Modal Interaction**
  - More gender association leads to lower correct matching rate of **a text** to **image prompts**
  - Gender bias in certain images, closer to the text prompt with gender information
  - **More significant bias in image embeddings**



# Motivation

## Study of Gender Bias in CLIP

- **Summary**
  - Image biases seem to be more significant (based on Exp 1 and 3)
  - Image and text biases may manifest differently, as there is no evidence showing that their bias subspaces are aligned (Exp 2)



# Proposed Method

## Ideas (not finalised)

- **Bias subspaces alignment**
  - Learnable module to transform image bias subspace to text bias subspace (or the other way)
- **Joint V-L debiasing**
  - Debias text embeddings/image embeddings
  - The other modality will be debiased at the same time
- Currently on gender, later extend to race/age...



# Proposed Method

## Evaluation Metrics

- Fairness
  - Retrieval-based metrics
  - Fairness in generative models: images generated by Stable Diffusion with our debiased CLIP text encoder
- V-L task performance
  - Zero-shot classification
  - Zero-shot retrieval

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Thank you for listening!