

# L<sup>A</sup>T<sub>E</sub>X Style Guide

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October 1, 2025

## Abstract

This document lays out rules and guidelines for mathematical writing and typesetting in L<sup>A</sup>T<sub>E</sub>X. The guidelines here cover both the L<sup>A</sup>T<sub>E</sub>X source as well as the output, so this PDF is intended to be read alongside its own source code.

There are many well-known references on mathematical writing, but the goal here is to briefly survey this topic and to lay out some rules that I am trying to follow, specific to optimization field. Material in this document was originally developed by Boyd *et al.* [BRP14] as guidelines for a course report (available at [https://web.stanford.edu/class/ee364b/latex\\_templates/template\\_notes.pdf](https://web.stanford.edu/class/ee364b/latex_templates/template_notes.pdf)), which were partly inspired by or lifted from Halmos [Hal70] and Knuth [KLR89].

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While you are writing, it is often useful to include the table of contents so you can see the structure of your document, even if you don't include it in the final version.

## 1 Style guidelines

You will likely find that attempting to write things out will reveal that you were not as clear about your topic as you thought you were. John von Neumann once said, “There’s no sense in being precise when you don’t even know what you’re talking about,” and Niels Bohr wrote, “Never express yourself more clearly than you can think.” Keep these in mind.

Many respectable books follow similar rules, like [BV04], [CT91, p. 23], [HTF01, p. 26], [Sip01, p. 21], [CSRL01, p. 25], [Rud76, p. 15], [Eva10, p. 18], [Gol80, p. 3], and [Knu73].

**Write good English.** Always write good English, “even” when the subject is mathematics. This includes correct grammar, word choice, punctuation, spelling, phrasing, and common sense. A classic on this topic, only slightly dated, is Strunk and White [SW57].

**Keep the reader in mind.** Perhaps the most important principle of good writing is to keep the reader in mind: What do they know so far? What do they expect next and why? Do they have sufficient motivation for stated results? As part of this, make sure you know what level of reader you are writing for and stay consistent with that level. If the reader is expected to know convex analysis, do not keep defining standard concepts like subgradients.

**Write to allow skipping over formulas.** Many readers will first read through the paper ignoring or skipping all but the simplest formulas. Your sentences and overall paper should flow smoothly, and make sense, when all but the simplest formulas are replaced by “blah” or a similar placeholder. As a related point, do not simply display a list of formulas or equations in a row; tie the concepts together with a running commentary.

**Be precise with your language.** The sentence “Let  $x^*$  be the solution to the optimization problem” implicitly asserts that the solution is unique. If the solution is not unique or need not be unique, write, “Let  $x^*$  be a solution to the optimization problem.” Similarly, do not refer to “solving” an expression, as this is meaningless. You can solve an equation or set of equations, evaluate an expression or function, or check that an equation or inequality holds.

**Use the editorial we when appropriate.** The word “we” is often useful to avoid passive voice, which can sometimes be awkward, or the use of “I” or “one”, which should be avoided. However, be careful not to overuse “we”, as it can become a very bad habit.

Often bad: We can see that Theorem 1 implies Corollary 2.

Good: Theorem 1 implies Corollary 2.

In general, use whatever phrasing makes the sentence cleaner and less clunky. To quote Strunk and White [SW57], “Omit needless words.”

**Punctuation in equations.** An equation is part of a sentence, and you may need to include a comma or a period at the end of an equation as a result, whether or not you are using inline or display math style. For example:

We next discuss how to solve the problem

$$\text{minimize } (1/2)\|Ax - b\|_2^2,$$

where  $x \in \mathbf{R}^n$  is the optimization variable.

**Don't start a sentence with a symbol.** This hurts readability:

Bad:  $f$  is smooth.

Good: The function  $f$  is smooth.

Bad:  $x^n - a$  has  $n$  distinct zeros.

Good: The polynomial  $x^n - a$  has  $n$  distinct zeros.

Similarly, don't start a sentence with a reference.

**Use words to separate symbols in different formulas.** If it might confuse the reader visually or in the actual meaning of the sentence, use words to break apart formulas:

Bad: The sequences  $x_1, x_2, \dots, y_1, y_2, \dots$  are Cauchy.

OK: The sequences  $x_1, x_2, \dots$ , and  $y_1, y_2, \dots$ , are Cauchy.

Good: The sequences  $(x_i)$  and  $(y_i)$  are Cauchy.

OK: The image of  $S$  under  $f$ ,  $f(S) = \{x \mid x \in S\}$ , is convex.

Good: The image of  $S$  under  $f$ , given by  $f(S) = \{x \mid x \in S\}$ , is convex.

Do not insert superfluous words if the meaning is clear.

Good: Consider the function  $f + g + h$ , where  $f: \mathbf{R}^n \rightarrow \mathbf{R}$ ,  $g: \mathbf{R}^m \rightarrow \mathbf{R}$ , and  $h: \mathbf{R}^p \rightarrow \mathbf{S}^n$  are closed proper convex.

**References.** For internal references, use the `label` and `ref` commands. *Never* number or refer to an entity using a specific number, as in “Table 3.” Refer to “Table 1”, “(1)” (an equation), and so on. Only number equations that are important and should be emphasized or that you specifically refer to elsewhere. Do not simply number all equations, and do not number them randomly. A numbered equation draws the reader's attention, so it should be used relatively sparingly. Use `\S` for section references, as in §2.

For external references, you must use BibTeX. Use references like nouns or footnotes:

Good: One useful reference is [BV04].

Good: Interested readers may refer to [BV04].

Your BibTeX source must be correct. Unfortunately, many systems that export BibTeX entries export very poor ones. BibTeX ignores the `*.bib` file's capitalization for articles (but not books). To force capitalization, you must wrap the letter with curly braces. The hyphen (`-`), en dash (`–`), and em dash (`—`) are distinct punctuation marks that serve different purposes. (And the minus sign is different from all three.) When specifying a page range in references, use the en dash. For an example of escaping and using the en dash, look at our BibTeX entry for [Tre08].

**Do not italicize English in math mode.** Mathematical symbols should be typeset in math mode: write  $Ax = b$ , not  $Ax=b$ . This said, subscripts or superscripts that derive from English (or any human language) should not be italicized. For example, write  $f_{\text{best}}$ , not  $f_{best}$ . The exception is subscripts based on a single letter: refer to a point that is the center of some set as  $x_c$ , not  $x_c$ . Similarly, use commands for special functions: use  $\sin(x)$ ,  $\log(x)$ , and  $\exp(x)$ , not  $\sin(x)$ ,  $\log(x)$ , or  $\exp(x)$ .

A really heinous example would be the following:

Consider the problem

$$\text{minimize } f(Ax - b)$$

where  $x$  is the optimization variable and  $A$  and  $b$  are problem data.

**Spacing.** A blank line ends a paragraph. You shouldn't leave a blank line between an equation and the following text unless you intend the equation to end the paragraph. Write:

```
The image of $$$ under $f$,
\[
    f(S) = \{ f(x) \mid x \in S \},
\]
is convex.
```

Inserting extra blank lines before  $\[$  or after  $\]$  will result in bad typesetting. However, the following is fine, since a new paragraph is called for:

```
The image of $$$ under $f$ is defined as
\[
    f(S) = \{ f(x) \mid x \in S \}.
\]

We now turn to a different topic.
```

Using a tab before  $f(S)$  inside the equation environment is optional, but helps readability in the source. Similar rules should be followed for other environments like `quote`; see the source of this document.

**Use of notation and jargon.** The correct and appropriate use of notation and jargon takes time to master. The following should give you a sense of what to think about:

- Don't use the same notation for two different things. Conversely, be consistent about notation for the same thing mentioned in two places: don't say " $A_j$  for  $1 \leq j \leq n$ " in one place and " $A_i$  for  $i = 1, \dots, n$ " in another, or use two different indices in summations over the same ranges. Formally, the  $i$  and  $j$  are dummy variables with only that phrase as scope, so these are technically correct, but it will confuse the reader.
- It can be useful to choose names for indices so, for example,  $i$  always varies from 1 to  $m$  and  $j$  always varies from 1 to  $n$  (when referencing something like the rows and columns of an  $m \times n$  matrix).

- Define all symbols before or near to where you use them. A good rule is that when you first use something, say,  $X$ , you should either define it immediately, or within the paragraph. There are a few cases where it is acceptable to define it later, but you must say this explicitly, as in “ $X$  is the matrix of activation levels, which we define below.”
- A symbol like  $f$  refers to a function, while  $f(x)$  refers to a function evaluated at a given point. Avoid sloppy writing like “The function  $f(x)$  is convex.” So-called ‘anonymous’ functions defined inline are an exception to this rule, as in “the function  $x^2 \cos x$  is a counterexample,” though this should be used only for simple functions.
- Use typographic naming conventions like capital letters for sets, Greek letters for dual variables, *etc.*
- Try to use mnemonic notation, so  $x_c$  for a center point,  $c$  for a cost vector,  $S$  for a generic set,  $C$  for a convex set,  $B$  for a ball, and so on. Note that there are conventions about the use of different letters in mathematics that you should not ignore:  $i, j$ , and  $k$  are usually used for indices,  $x$  through  $z$  for variables,  $a$  through  $d$  for constants, *etc.*
- Don’t use symbols like  $\forall$ ,  $\exists$ , and  $\implies$ ; use the corresponding words. These symbols are usually appropriate only in formal logic.
- Don’t overuse subscripts. If you do not need to assign a subscript to something, don’t. For example, if you begin by defining a set as  $X = \{x_1, \dots, x_n\}$ , then it is going to get annoying to refer to subsets of  $X$ , since you will need double subscripts. If possible, it would be better to not name the elements of  $X$  and only refer to them when necessary, just as, say,  $x$  and  $y$ .

Beware especially of any topic in graph theory when it comes to this rule; there are often *many* ways to refer to relevant objects, and finding reasonable notation can often get one half the way to getting a handle on the problem itself.

- Don’t assign symbols to concepts that you never refer to, or can easily refer to without:

Bad: Let  $X$  be a compact subset of a space  $Y$ . If  $f$  is a continuous real-valued function over  $X$ , it has a minimum over  $X$ .

Good: A continuous real-valued function has a minimum over a compact set.

Similarly, do not say “The solution  $x^*$  is unique” if you never need to refer to  $x^*$  again; simply say that the solution is unique. When you say “The solution  $x^*$  is unique” you are both stating a fact *and* entering the symbol  $x^*$  into the paper’s symbol table and the reader’s working memory.

**Sections.** Use structures like **section**, **subsection**, **subsubsection**, and **paragraph** to organize the exposition; never insert manual line breaks or page breaks for this purpose. In particular, do not use line breaks to separate different topics. Do not use symbols in article titles and ideally not even in section headers.

**Use capitalization consistently.** For section headers, capitalize the first letter, proper nouns, and lowercase everything else, as in this document. For references, write Figure 1, Algorithm 3, Theorem 4, and so on.

**Use the right commands.** There are certain special commands in L<sup>A</sup>T<sub>E</sub>X for notation that you otherwise might attempt to write in an ad-hoc manner. If you do the latter, the typesetting will be inferior. A few common examples:

- Norms are written  $\|x\|$ , not  $||x||$ .
- For set-builder notation, use  $\{x \in \mathbf{R} \mid x \geq 0\}$ , not  $\{x \in \mathbf{R} | x \geq 0\}$ .
- Make sure to use `\left` and `\right` when wrapping taller expressions with parentheses, curly braces, brackets, and so on.

Bad: Let

$$x = \operatorname{argmin}_u (f(u) + \frac{1}{2}\|u - z\|_2^2).$$

Good: Let

$$x = \operatorname{argmin}_u \left( f(u) + \frac{1}{2}\|u - z\|_2^2 \right).$$

Similarly, use the `\argmin` and `\argmax` commands (as shown above); do not write ‘arg min’, since ‘argmin’ is a single mathematical operator.

- Use `\ldots` (lower dots) when the dots are surrounded by commas and `\cdots` (center dots) when surrounded by other objects that have full height, as in  $x_1, x_2, \dots, x_n$  and  $x_1 + x_2 + \cdots + x_n$ .

**Writing optimization problems.** Optimization problems can be introduced in the sentence as nouns. For example, write as follows:

Consider the problem

$$\begin{aligned} \text{minimize} \quad & (1/2)\|Ax - b\|_2^2 + \lambda\|x\|_1 \\ \text{subject to} \quad & 0 \preceq x \preceq \mathbf{1} \\ & \|x\|_2 \leq 1, \end{aligned} \tag{1}$$

where  $x \in \mathbf{R}^n$  is the optimization variable, and  $A \in \mathbf{R}^{m \times n}$ ,  $b \in \mathbf{R}^m$ , and  $\lambda > 0$  are problem data.

It is important to state which symbols refer to variables and which to problem data.

Be sure to carefully distinguish an optimization problem, an algorithm for solving it, and its optimal value. You *solve* a problem (possibly, using a particular algorithm); an optimization problem *has* an optimal value; and an optimization problem *is* convex, or infeasible. It does not make sense to talk about whether or not a problem converges.

**Sentence-ending periods.** L<sup>A</sup>T<sub>E</sub>X assumes all periods followed by a space are sentence-ending periods. Tell it otherwise when that is not the case.

Bad: Let  $x_1, x_2, \dots, x_n$  be i.i.d. normal random variables.

Good: Let  $x_1, x_2, \dots, x_n$  be i.i.d. normal random variables.

| $f(x)$      | convex? | differentiable? |
|-------------|---------|-----------------|
| $\ x\ _2^2$ | Y       | Y               |
| $\ x\ _1$   | Y       | N               |
| $\ x\ _2^3$ | N       | Y               |

**Table 1** Example table

**Commas.** Know when commas should appear inside or outside math environments:

Bad: Note that  $a, b$ , and  $c$  are nonnegative.

Good: Note that  $a$ ,  $b$ , and  $c$  are nonnegative.

Bad: We conclude that  $x_1, x_2, \dots, x_n$  are decreasing.

Good: We conclude that  $x_1, x_2, \dots, x_n$  is decreasing.

Good: We conclude that  $x_1 > x_2 > \dots > x_n$ .

**Tables and figures.** Generally, do not refer to figures or tables in a way that depend on their placement on the page; give them a `label` and then use `ref` to refer to it. All figures and tables should have captions.

Bad: Properties of several functions are shown in the table below.

Good: Properties of several functions are shown in Table [1](#).

**L<sup>A</sup>T<sub>E</sub>X in figures.** Generally, mathematical symbols embedded in figures should be rendered by L<sup>A</sup>T<sub>E</sub>X. In Python, matplotlib has native support for L<sup>A</sup>T<sub>E</sub>X rendering. Otherwise, you can export the image in eps format and use the L<sup>A</sup>T<sub>E</sub>X package psfrag.

**Dialects.** Be aware when you are writing in a mathematical dialect, like statistics, machine learning, signal processing, control, finance, vision, information theory, and so on. Unless your intended audience is only from this one field, try to avoid using dialect; try to write in such a way that a general reader with a good understanding of basic mathematics can understand what you are saying. If you use terms like CVAR, hinge loss, Bode plot, or IIR filter, you're speaking dialect. It's very easy to define these things so a person with knowledge of basic mathematics can understand. Similarly, use standard variable notation unless otherwise needed:  $x$  for variables,  $A$  for matrices, and so on. Don't write a whole paper about solving a linear system you call  $\Xi\beta = \chi$  unless you really need to.

**No rule is absolute.** Break any of these rules rather than write anything nasty.

## 2 Source code

In this example, we show how to include code in a document.

```
import cvxpy as cp
import numpy as np

# Problem data.
```



```

m = 30
n = 20
np.random.seed(1)
A = np.random.randn(m, n)
b = np.random.randn(m)

# Construct the problem.
x = cp.Variable(n)
objective = cp.Minimize(cp.sum_squares(A @ x - b))
constraints = [0 <= x, x <= 1]
prob = cp.Problem(objective, constraints)

# The optimal objective value is returned by 'prob.solve()'.
result = prob.solve()
# The optimal value for x is stored in 'x.value'.
print(x.value)
# The optimal Lagrange multiplier for a constraint is stored in
# 'constraint.dual_value'.
print(constraints[0].dual_value)

```

Here, we could now include some discussion about the code, include plots it generates, and so on. Use your judgment about whether to start a new page before displaying code, as it is helpful to be able to display all the code on a single page. For very short snippets of code (just a few lines), it can help readability to wrap it in a `quote` environment.

## Acknowledgments

This is an example of an unnumbered section.

## References

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