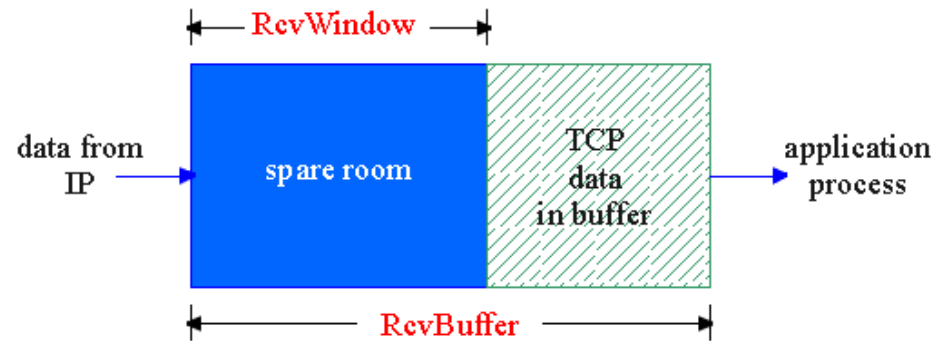


# Chapter 3 outline

- ❑ 3.1 Transport-layer services
- ❑ 3.2 Multiplexing and demultiplexing
- ❑ 3.3 Connectionless transport: UDP
- ❑ 3.4 Principles of reliable data transfer
- ❑ 3.5 Connection-oriented transport: TCP
  - segment structure
  - reliable data transfer
  - **flow control**
  - connection management
- ❑ 3.6 Principles of congestion control
- ❑ 3.7 TCP congestion control

# TCP Flow Control

- receive side of TCP connection has a receive buffer:



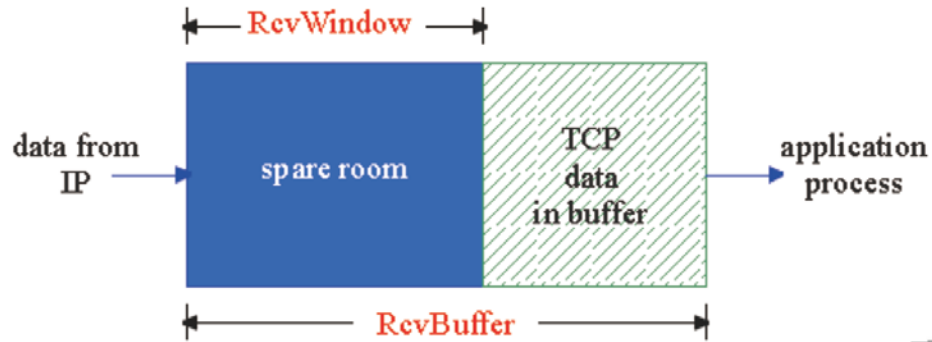
- app process may be slow at reading from buffer

## flow control

sender won't overflow receiver's buffer by transmitting too much, too fast

- speed-matching service: matching the send rate to the receiving app's drain rate

# TCP Flow control: how it works



- ❑ Rcvr advertises spare room by including value of **RcvWindow** in segments

(Suppose TCP receiver discards out-of-order segments)

- ❑ spare room in buffer
- = **RcvWindow**
- = **RcvBuffer - [LastByteRcvd - LastByteRead]**

- ❑ Sender limits unACKed data to **RcvWindow**
  - guarantees receive buffer doesn't overflow

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# TCP Connection Management

Recall: TCP sender, receiver establish “connection” before exchanging data segments

- ❑ initialize TCP variables:
  - seq. #s
  - buffers, flow control info (e.g. **RcvWindow**)

- ❑ *client*: connection initiator

```
Socket clientSocket = new
Socket("hostname", "port
number");
```
- ❑ *server*: contacted by client

```
Socket connectionSocket =
welcomeSocket.accept();
```

## Three way handshake:

Step 1: client host sends TCP SYN segment to server

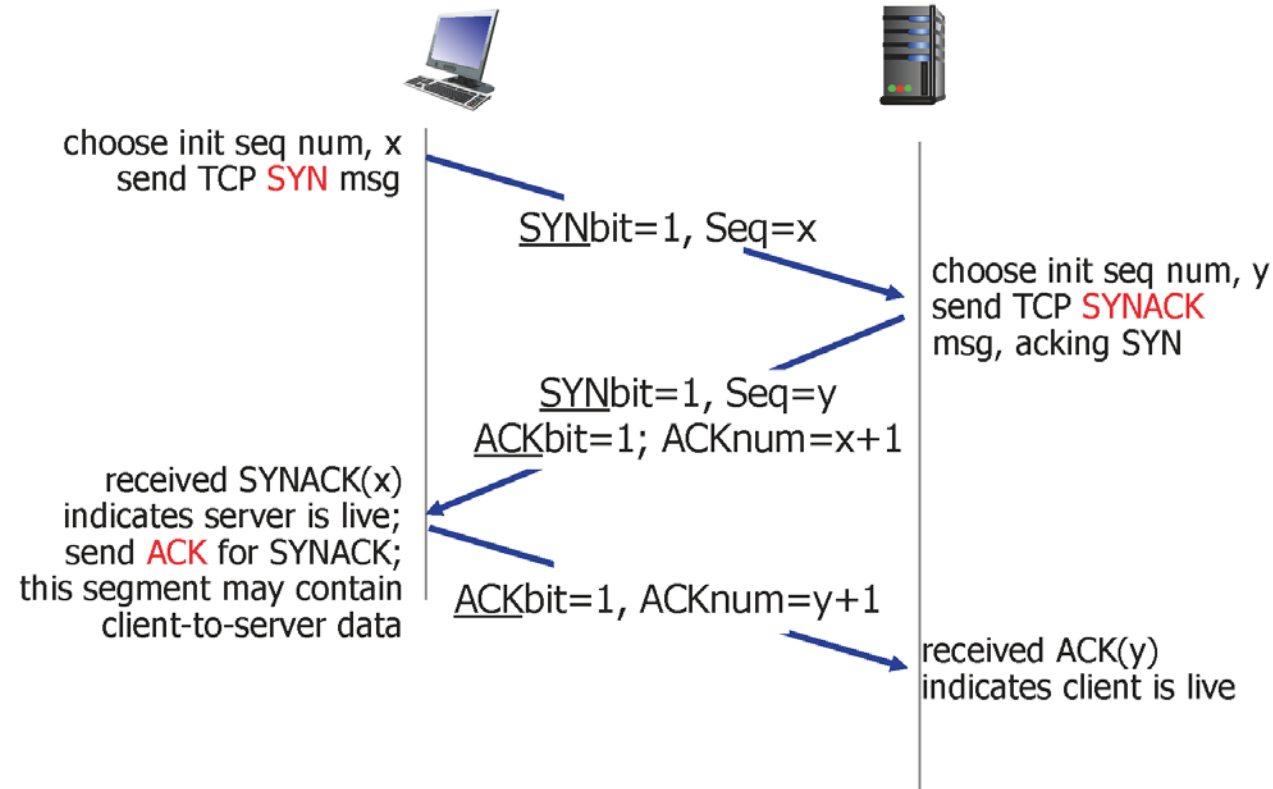
- specifies initial seq #
- no data

Step 2: server host receives SYN, replies with SYNACK segment

- server allocates buffers
- specifies server initial seq. #

Step 3: client receives SYNACK, replies with ACK segment, which may contain data

# TCP 3-way handshake



# Closing TCP Connection

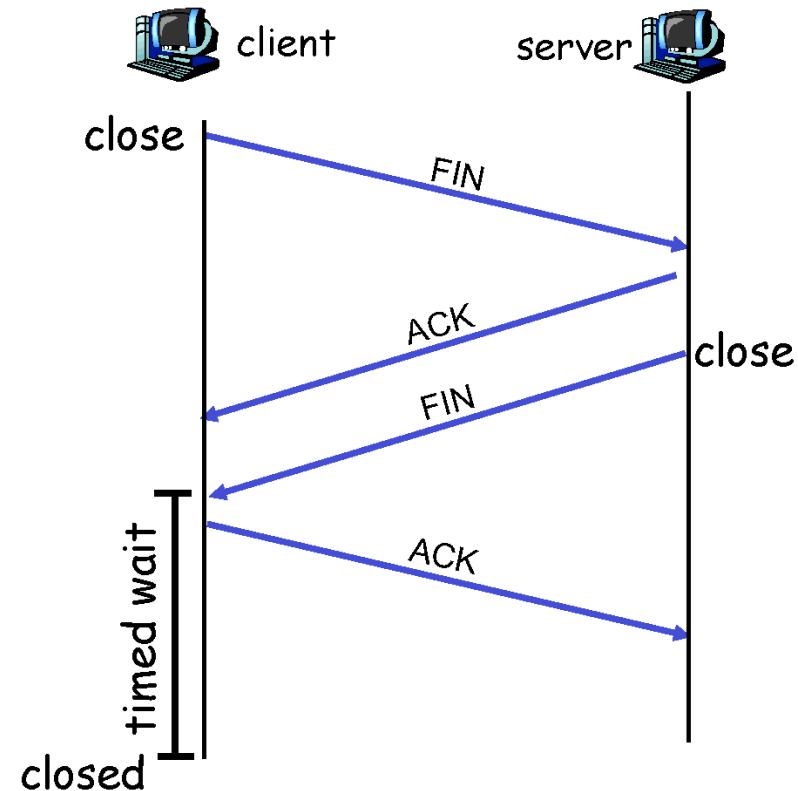
## Closing a connection:

client closes socket:

```
clientSocket.close();
```

Step 1: client end system sends TCP FIN control segment to server

Step 2: server receives FIN, replies with ACK. Closes connection, sends FIN.

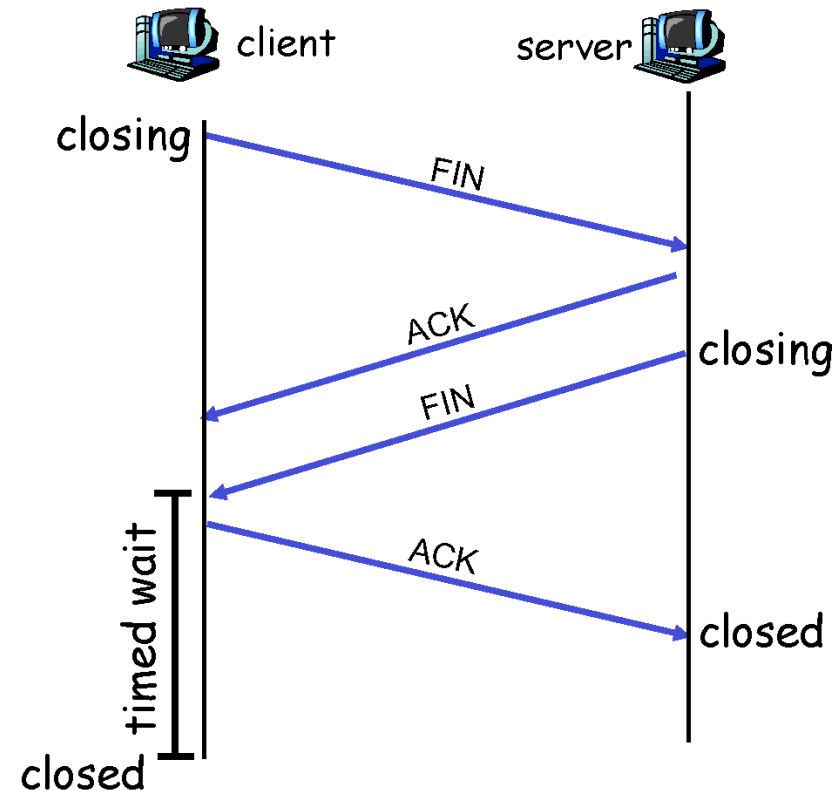


## TCP Connection Management (cont.)

Step 3: client receives FIN,  
replies with ACK.

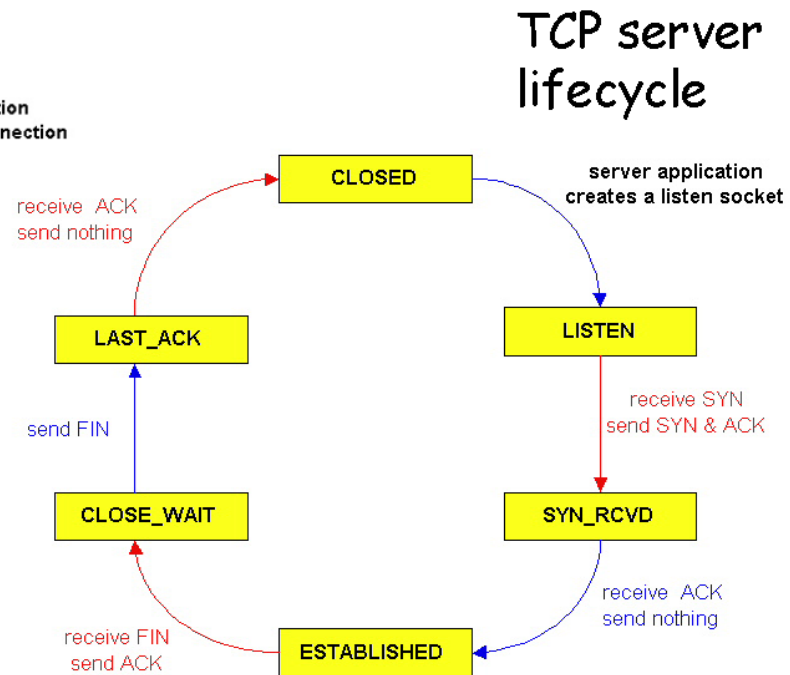
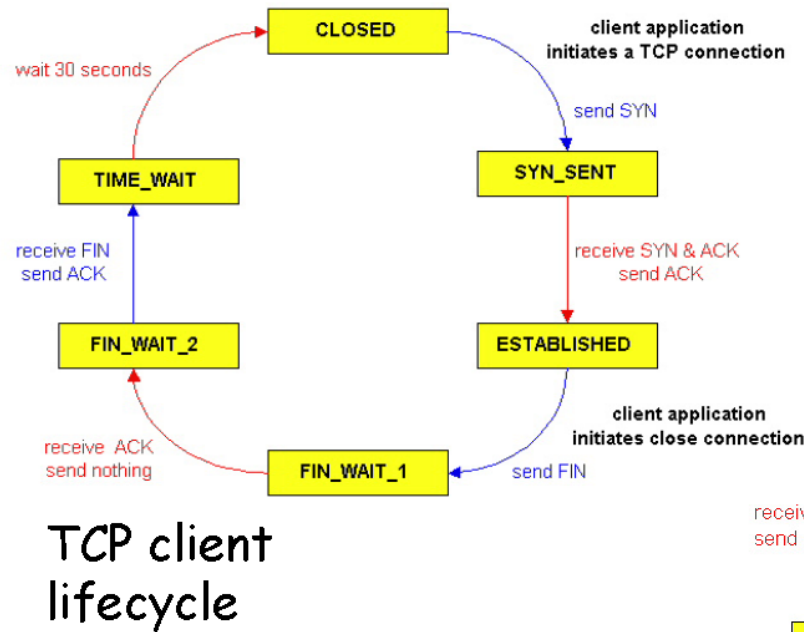
- Enters “timed wait” -  
will respond with  
ACK to received FINs

Step 4: server, receives  
ACK. Connection closed.





# TCP Connection Management (cont)



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# Approaches towards congestion control

Two broad approaches towards congestion control:

## End-end congestion control:

- ❑ no explicit feedback from network
- ❑ congestion inferred from end-system observed loss, delay
- ❑ approach taken by TCP

## Network-assisted congestion control:

- ❑ routers provide feedback to end systems
  - single bit indicating congestion (SNA, DECbit, TCP/IP ECN, ATM)
  - explicit rate sender should send at