# **GeneMiner Manual**

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# 1. Overview

GeneMiner is a software for extracting phylogenetic markers from next-generation sequencing (NGS) data. (i) With GeneMiner, users can accurately and efficiently obtain large numbers of target gene fragments from NGS data at a meager cost. For example, extraction of all or part of mitochondrial/chloroplast genes and highly repetitive regions (e.g., nrDNA) in the nuclear genome from genome skimming data. Single-low copy genes can also be extracted from transcriptome sequencing data, etc.GeneMiner broadens the choice of phylogenetic markers from the most basic data level. (ii) We propose a novel verification method based on the base substitution model and repetitive resampling, which can statistically evaluate the impact of the reference on the assembly. (iii) GeneMiner provides a cross-platform graphical interface and can be easily docked

to downstream phylogenetic analysis processes. In addition, GeneMiner can be applied to the research of DNA barcode extraction, customs quarantine, specific functional gene exploration, and other research, which has broad application prospects.

# 2. Download and install

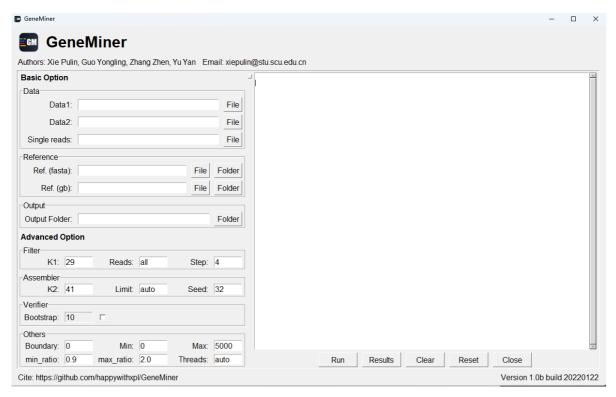
GeneMiner is open source under the GPL-3.0 license, distributed through the GitHub repository (<a href="https://github.com/happywithxpl/GeneMiner/releases">https://github.com/happywithxpl/GeneMiner/releases</a>). Please follow our GitHub page to stay upto-date with the latest code changes. We do not provide any support for previous versions of the code! Version numbers follow the notation x.y.z, where x changes with major code reorganizations, y changes when new features are added, and z changes with bug fixes.

GeneMiner is an easy-to-use software written in python3, which is provided for x86-64 systems running GNU/Linux, macOS (version 10.13 or higher), and Windows (64-bit, version 7 or higher).

Windows, macOS, and Linux users can run GeneMiner directly from the command line. We also offer a more convenient GUI version for Windows and macOS users.

### 2.1 GeneMiner with GUI

We strongly recommend using the GUI version for users who are not familiar with the command line or light use. Download the corresponding version of the packaged GUI from <a href="https://github.co">https://github.co</a> <a href="mailto:m/happywithxpl/GeneMiner/releases">m/happywithxpl/GeneMiner/releases</a> and double click to run it.



## 2.2 GeneMiner with command line

## 2.2.1 Cloning the repository (support)

Clone GeneMiner's repository directly and build it as below:

```
git clone https://github.com/happywithxpl/GeneMiner.git
cd GeneMiner
python setup.py install --record logName --user #Add 'geneminer.py' to the
'$PATH'
geneminer.py -h
```

If you want to remove geneminer.py from the \$PATH, you can:

```
cat logName | xargs rm -rf
```

#### 2.2.2 Source code installation

Download the source distribution from the <u>release</u> and install dependencies:

```
wget -c
https://github.com/happywithxpl/GeneMiner/releases/download/v1.0.0/GeneMiner_v1.
0.0_linux.tar.gz
tar GeneMiner_v1.0.0_linux.tar.gz
cd GeneMiner_v1.0.0
python setup.py install --record logName --user
geneminer.py -h
```

If you want to remove geneminer.py from the \$PATH, you can:

```
cat logName | xargs rm -rf
```

#### 2.2.3 Flexible construction

If both of the above methods fail or you want to have a deeper control of GeneMiner, you can use a more flexible method.

• Download the GeneMiner's distribution from <a href="here">here</a>.

```
wget -c
https://github.com/happywithxpl/GeneMiner/releases/download/v1.0.0/GeneMiner_v1.
0.0_linux.tar.gz
tar GeneMiner_v1.0.0_linux.tar.gz
```

• Use the following commands to make <code>geneminer.py</code> executable.

```
cd geneminer-1.0.0
chmod 755 geneminer.py
```

• Install python library biopython using pip or conda.

```
# install required libs
pip install biopython --user
```

• Add geneminer.py to the \$PATH. The following is an example for linux users:

```
echo "export PATH=\$PATH:\$(pwd)" >> ~/.bashrc
source ~/.bashrc
geneminer.py -h
```

# 3. Quick start

# 3.1 Running GeneMiner-cmd

Before using GeneMiner to mine particular target genes, we strongly advise you to be aware of the state of your sequencing data (from Illumina, Roche-454, ABI, or other sequencing platforms), including the method, depth, quality, data size, etc. This facilitates the choice of parameters.

GeneMiner takes the reference and fastq format sequencing files as input and the recovered phylogenetic markers as output. We have prepared a simulated dataset of Arabidopsis thaliana to help you quickly grasp the primary usage of GeneMiner. You can download them from <a href="https://github.com/happywithxpl/GeneMiner-Test/tree/main/Demo">https://github.com/happywithxpl/GeneMiner-Test/tree/main/Demo</a>

(1) Mining single target gene

```
geneminer.py -1 skimming_data1.fq.gz -2 skimming_data2.fq.gz -rtfa
shallow_ref/ITS.fasta -o ITS_out
```

[-1], -2: the input files with paired-end reads, given in FASTQ format

-rtfa: reference sequences in FASTA format

-o: the output directory

**NOTE:** The reference sequences are derived from homologous genes of closely related species (same genus or family). If a reference sequence has multiple homologous genes from one or more species, please store these homologous genes in a FASTA format file as a reference file .The file may look like this:

```
>species1_GeneA
ATCGATCG
>species2_GeneA
ATCGATCC
>species3_GeneA
ATTGATCC
>species4_GeneA
ATTGATCT
```

(2) Mining multiple target genes

GeneMiner allows multiple reference files to be stored in the same folder to facilitate batch mining of target genes.

```
#for FASTA format
geneminer.py -1 skimming_data1.fq.gz -2 skimming_data2.fq.gz -rtfa shallow_ref/
-o out1
#for GenBank format
geneminer.py -1 skimming_data1.fq.gz -2 skimming_data2.fq.gz -rtgb
shallow_ref.gb -o out2
```

-rtfa: reference sequences in FASTA format

-rtgb: reference sequences in GenBank format

#### (3) Accuracy assessment

```
geneminer.py -1 data1.fq.gz -2 data2.fq.gz -rtfa ref.fasta -bn 100 -o out
```

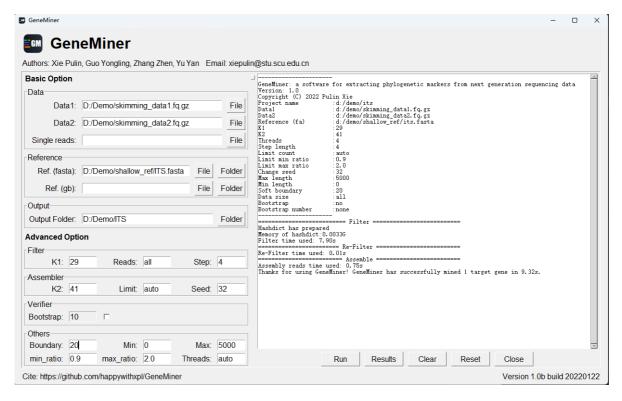
-bn: Specify the bootstrap number.

Based on the base substitution model and repetitive sampling, GeneMiner creatively suggests a verification approach that can statistically assess the influence of the reference on the assembly results and confirm the stability of the assembly results.

# 3.2 Running GeneMiner-GUI

GeneMiner-GUI is straightforward, simple to use, and ideal for lightweight users. Considering the memory limit and the excessive time overhead, we recommend you utilize GeneMiner-cmd when you run large-scale data

For GeneMiner-GUI, you must set Data1, Data2 or Single reads under the Data module, Ref. (fasta) or Ref.(gb) under the Reference module and Output Folder under the Outout module as below:



#### Run

Set the parameters, then click on the Run botton

#### **View Results**

Click on the Results button to view the results after the GeneMiner has completed

#### Clear the screen

Click on the Clear botton

#### **Reset parameters**

Click on the Reset botton and all parameters will be reset to default parameters.

WorkFlow: Reset ==>> Enter new parameters ==>> Run

Note: Do not click on the Reset botton while the program is running

#### **Close GeneMiner**

Click on the Close botton

Note: If closing GeneMiner's GUI does not kill the scripts in the background, you can open Task Manager to close GeneMiner's tasks.

# 4. Detailed User Guide

## 4.1 Input

#### 4.1.1 Reads Files

GeneMiner assembles any next-generation sequencing (NGS) reads given in the FASTQ format. Giving paired or unpaired reads as input are OK and keep in mind that GeneMiner will use pairing information. GeneMiner can directly read compressed files in <code>gzip</code>. Compressed files should end with <code>.fq.gz</code> or <code>.fastq.gz</code>.

## 4.1.2 Reference files

DNA sequences are stored in reference files in FASTA format or GenBank format. Each reference file contains one or more DNA sequence(s), which is the homologous gene from closely related species (same genus or family). In addition, GeneMiner allows multiple reference files to be stored in the same folder to facilitate batch mining of target genes.

(1) For a reference in FASTA format, it may look like this:

```
>Aegopodium_podagraria
TCGAATCCTGTGATAGCAGAACGACCCGCTAACTGGTAAATATATTGGGCAAGCTCATGGGGATTTTATCCCCTGTTGGT
GAACCCTTGGTAGGTGGTCACTCCCCGGTTGCCACTGGCC
>Anethum_foeniculum
TCCTCCTTATCATTAGAGGAAGGAAGTCGTAACAAGGTTTCCGTAGGTGAACCTGCGG
>Bupleurum_chinense
AACAAGGTTTCCGTAGGTGAACCTGCGGAAGGATCATTGTCGAATCCTGAATCGAAGAGCGACCCGAGAACATGTTTTAA
GACGGGGCCAGCGGTCGTCGGCCTCGGCCTGTCGGCTGCG
>Chamaesium_paradoxum
AAGTAACAAGGTTTCCGTAGGTGAACCTGCGGAAGGATCATTGTCGATGCCTGCAACAGCAGAATGACCCGTGAACACGT
ATAAAACATTGGGCTAGTAGATGGGGCGCAAGTTCCCGGA
CATGAACCCCAGGACGGATG
```

**NOTE:** The reference's filename will be used as the name of the target gene in GeneMiner.

(2) For a reference in GenBank format, it may look like this:

```
DNA
LOCUS
           Daucus_carota
                                  155895 bp
                                                       circular UNA 28-FEB-2021
DEFINITION Daucus carota chloroplast, complete genome.
ACCESSION urn.local...2i-d2j4m7m
VERSION
           urn.local...2i-d2j4m7m
KEYWORDS
SOURCE
           Daucus carota (carrot)
 ORGANISM Daucus carota
            Eukaryota; Viridiplantae; Streptophyta; Embryophyta; Tracheophyta;
            Spermatophyta; Magnoliophyta; eudicotyledons; Gunneridae;
            Pentapetalae; asterids; campanulids; Apiales; Apiaceae; Apioideae;
            Scandiceae; Daucinae; Daucus; Daucus sect. Daucus.
                     Location/Qualifiers
FEATURES
    source
                     1..155895
                     /organism="Daucus carota"
                     /organelle="plastid:chloroplast"
                     /mol_type="genomic DNA"
     tRNA
                     complement(6..77)
                     /gene="trnH-GUG"
                     /product="tRNA-His"
                     /note="anticodon:GUG"
                     /standard_name="trnH-GUG tRNA"
                     complement(6..77)
     gene
                     /gene="trnH-GUG"
                     /standard_name="trnH-GUG gene"
   ORIGIN
        1 ttgggcgaac gacgggaatt gaacccgcgc gtggtggatt cacaatccac tgccttgatc
       61 cacttggcta catccgcccc gccagttttc ttttatttat ttgcatttca aaggattcct
      121 ttttgatcat tcaaaaatat ttgtttatct aaaaaagtct taaataaata aaaaaggagc
      181 aagaccgcct cttgatagaa caagaaagag gttattgctc cttttttaat atttcaaaaa
   155821 cgttcactaa aaaaaaaccc ttttgtagca aatcgtttat taagaaaaat tgataacctt
   155881 aacacaaaag cagaa
   //
```

**NOTE:** The value corresponding to the Gene in **FEATURES** will be used as the name of the target gene.

# 4.2 Explanation of parameters

Using geneminer.py -h, the computer will display all options.

```
usage: geneminer.py <-1 -2|-s> <-rtfa|-rtgb> <-o> [options]
GeneMiner: a software for extracting phylogenetic markers from next generation
sequencing data
Version: 1.0.0
Copyright (C) 2022 Pulin Xie
Please contact <xiepulin@stu.edu.scu.cn> if you have any questions
optional arguments:
  -h, --help
                    show this help message and exit
Basic option:
 -1
                    File with forward paired-end reads (*.fq/*.fq.gz)
  -2
                    File with reverse paired-end reads (*.fg/*.fg.gz)
                   File with unpaired reads (*.fq/*.fq.gz)
 -s , --single
                   Output folder
  -o , --out
 -rtfa <file|dir> Reference of the target gene(s) only supports FASTA format
  -rtgb <file|dir> Reference of the target gene(s) only supports GenBank
format
Advanced option:
  -k1 , --kmer1
                    Length of kmer for filtering reads [default = 29]
 -k2 , --kmer2
                    Length of kmer for assembling reads [default = 41]
  -d , --data
                    Specify the number of reads to reduce raw data. If you
want to use
                                all the data, you can set as 'all' [default =
'all']
  -step_length
                    Step length of the sliding window on the reads [default =
  -limit_count
                    limit of the k-mer count [default = auto]
  -limit_min_ratio
                    Minimum ratio of the recovered target gene(s) to the
reference's
                                  average length [default = 0.9]
  reference's
                                  average length [default = 2.0]
                    Times of changing seed [default = 32]
  -change_seed
                    Make scaffold
 -scaffold
  -max
                    The maximum length of contigs to be retained [default =
5000]
                    The minimum length of contigs to be retained [default = 0]
  -min
 -t , --thread
                    Number of threads [default = auto ]
  -b , --boundary
                    Length of the extension along both sides of the target
                                 [default = 75]
  -bn , --bootstrap Specify the bootstrap number. Evaluate the assembly
results based
                                    on the base substitution model and repeated
resampling
```

## 4.2.1 Basic parameters

and -2: The input files with paired-end reads, given in FASTQ format (\*.fq\\*.fastq\\*.fq.gz\\*.fastq.gz). Make sure to keep the correct file extensions

-s : The input file with unpaired reads given in FASTQ format (\*.fq\\*.fastq\\*.fq.gz\\*.fastq.gz). Make sure to keep the correct file extensions.

-o , --out : The output folder.

-rtfa: <file|dir>: The reference of the target gene(s), only support FASTA format. (\*.fasta\\*.fa\\*.fas\\*.fna). Make sure to keep the correct file extensions

#### NOTE:

(1) The reference sequences are derived from homologous genes of closely related species (same genus or family). If a reference sequence has multiple homologous genes from one or more species, please store these homologous genes in a FASTA format file as a reference file. The file may look like this:

>Gene\_A species1
ATCGATCG
>Gene\_A species2
ATCGATCC
>Gene\_A species3
ATTGATCC

(2) The memory consumption of the reference hash table is linearly related to the size of the reference sequences, and extensive reference sequences will dramatically increase memory consumption and significantly reduce the filtering speed. Based on the length characteristics of the phylogenetic markers, we suggest that each reference sequence length is 300-5000 bp, and the total entries do not exceed 100000.

-rtgb <file | dir>: The Reference of target genes, only support GenBank format (\*.gb). Make sure to keep the correct file extensions.

#### **NOTE:**

GeneMiner does not assemble the entire plastid genome, but only mines the gene (feature=="gene") of the GenBank file.

Users can also edit the genbank file to specify specific target genes.

## 4.2.2 Advanced parameters

-k1, --kmer1: Length of k-mer for filtering reads. This parameter is used to filter the reads that are highly matched with the reference. We split the reference sequences into k-mers and stored them as reference hash tables. Each read in the original data is subsequently split into (L-k1+1) k-mers, where L is the read length and k1 is of the same size as the setting of the reference. If a subsequence of a read appears in the reference hash table, the read is retained and assigned to the target gene's filtered dataset with labels. The accepted value of k1 is related to the relationship of the species corresponding to the reference and sequencing data. The closer the

relatives, the greater the tolerance of k1. Conversely, the more distant the relatives, the smaller the accepted value of k1. Generally speaking, a larger k1 is favorable to improve the accuracy of the assembly. k1 takes a range of values from 17 to 127 bp,default=29

-k2, --kmer2: Length of k-mer for assembling reads. k2 is the length of nodes in the de Bruijn Graph, and GeneMiner assembles k-mers into contigs based on the overlap of k-1 mer between nodes. In general, smaller k-mers facilitate sequence extension at lower coverage but may introduce erroneous k-mers; larger k-mers handle reverse repetition efficiently but may terminate sequence extension early. For Illumina reads (150bp) with sufficient coverage (> 20x), we have good results with k = 41. k2 takes a range of values from 17 to 127 bp,default=41

-d, --data: Specify the number of reads to reduce raw data. GeneMiner allows mining target genes, especially those with medium-high copy numbers, using a portion of the raw sequencing data. If you need to use the entire raw sequencing data as input, you can set -d as all. default = all

-step\_length: Step length of the sliding window on the reads. With a sequence is ATCGAATTCA, when <a href="step\_length">step\_length</a> is 1, and <a href="kmer\_size">kmer\_size</a> is 5, we can get ATCGA, TCGAA, CGAAT, GAATT, AATTCA, and ATTCA; when `step\_length'is 2, we will get ATCGA, GAATT, AATTCA. This parameter can be used to reduce the program runtime when the dataset is large with sufficient coverage.

-limit\_count: The limit of the k-mer count. This parameter is used to remove erroneous, low-quality k-mers. During the assembly process, GeneMiner will split the filtered reads into subsequences (k-mers) of length kl and count the number of occurrences of these k-mers. The k-mers whose frequency is below the limit\_count will be removed. For example, a limit\_count has a value of 3,which means only k-mers with at least 4 occurrences will be used for assembly.

Users can either set a uniform -limit\_count or choose the -auto mode. In auto mode, GeneMiner will assign a reasonable -limit\_count to each target gene based on the k-mers frequency distribution. default= auto

-limit\_min\_ratio: Minimum ratio of the recovered target gene(s) to the reference's average length. During the assembly process, GeneMiner discards contigs with ratios smaller than limit\_min\_ratio

-limit\_max\_ratio: Maximum ratio of the recovered target gene(s) to the reference's average length. During the assembly process, GeneMiner discards contigs with ratios larger than limit\_max\_ratio.

**NOTE**: GeneMiner applies some additional processing to the average length of the reference sequences. GeneMiner first sorts the reference sequences by length and then eliminates the short reference sequences. Finally, the average of the remaining reference sequences is taken and used as the average length that GeneMiner really works with.

-change\_seed: Times of changing seeds. GeneMiner automatically replaces candidate seeds to achieve optimal assembly. default=32

-max: The maximum length of contigs to be retained. default = 5000 bp

-min: The minimum length of contigs to be retained. default = 300 bp

-t, --thread: Specify the number of threads. If not specified, GeneMiner will automatically select the appropriate number of threads based on computer performance. default = auto

-b, --boundary: Length of the extension along both sides of the target gene (length of soft boundary). When extending along both sides of the recovered target gene, the accuracy of the bases gradually decreases as the extension length increases. However, the decrease in accuracy is not precipitous but a gradual decline within a buffer of some certain length. We will keep the buffer and call this buffer a soft boundary. The recommended length is 0.5 \* reads length. default =75 bp

#### Note:

- (1) High quality close reference sequences and high target gene sequencing depth, -b recommended 0 to 100.
- (2) Ordinary quality reference sequence and target gene sequencing depth is low , -b recommended >200
- (3) If you want to keep the recovered target genes for as long as possible, you can set -b to a very large number, e.g. 10000

-bn, --bootstrap: Number of resampling. GeneMiner borrowed ideas from Bootstrap, and then innovatively proposed a method based on the base substitution model and repeated resampling, which can effectively evaluate the accuracy of the results. default=50

## 4.3 Output

The output directory contains the reference\_database, filtered\_out, assembled\_out, GM\_results, bootstrap\_out and results.csv

## 4.3.1 reference\_database

reference\_database <folder>:

Used to store reference sequence datasets. GeneMiner preprocesses the reference sequences of the close taxa provided by the user and writes these reference sequences to FASTA format files.

## 4.3.2 filtered out

filtered\_out <folder>:

Used to store filtered datasets. GeneMiner searches the raw sequencing data based on the reference dataset and assigns the reads that are highly matched with the reference sequences to the filtered dataset of a specific target gene (specific filtered dataset). If the file size of specific filtered dataset exceeds 10MB, GeneMiner will save it in big\_reads and refilter.

## 4.3.3 assembled\_out

assembled\_out <folder>:

Used to store assembled contigs. GeneMiner assembles contigs using specific filtered datasets. Depending on the completion of the assembly, it can also be subdivided into short\_contig and contig under assembled\_out. Compared the recovered target gene with the average length of the reference sequence, the contig with a ratio greater than limit\_min\_ratio will be put into the contig folder, and the contig with a ratio less than limit\_min\_ratio will be put into the short\_contig folder.

### 4.3.4 GM\_results

GM\_results <folder>:

used to store all the target genes mined by GeneMiner. GM\_results is one of the most important folders.

## 4.3.5 bootstrap\_out

bootstrap\_out <folder>: Used to store the evaluation records.GeneMiner resamples

GM\_results based on the base substitution model and performs iterative checks on

GM\_results. The bootstrap\_out folder contains

reference\_database, filtered\_out, assembled\_out, GM\_results, high\_quality\_results and bootstrap.csv

**NOTE**: bootstrap\_out is only generated when the -bn/--bootstrap option is used

#### (1) reference\_database <folder>:

Used to store the mutated reference sequences . The target gene's initial acquisition is designated as Seq1, and its variation rate (v) is calculated by comparing it with the reference sequences. Combine the base substitution model built from the base composition of the reference sequences to resample the recovered target sequence with v to obtain  $\operatorname{ref}_1$ ,  $\operatorname{ref}_2$  ...  $\operatorname{ref}_n$ 

#### (2) filtered\_out <folder>:

Used as the new reference to re-run GeneMiner to obtain the new filtered dataset.

#### (3) assembled\_out <folder>:

GeneMiner completes the assembly using the new filtered dataset and stores the new assembly results

(4) GM\_results <folder>:

Used to store all the new recovered target genes.

(5) high\_quality\_results <folder>:

GeneMiner compares the target genes in [GM\_results] and [bootstrap\_out/GM\_results], and gives a score for each target gene.

The target genes with score higher than 99 will be stored in the high\_quality\_results folder.

(6) bootstrap.csv <file>:

Assessment record sheet

#### 4.3.6 results.csv

results.csv <file>:

Record various information about the target genes.

The columns in this file, which are separated by commas, provide different details about each retrieved target gene. It is simple to import the file into applications like Excel. This table's column headings are described (from left to right) as follows:

Column	Description
gene	target gene's name
k1	Length of kmer for filtering reads
re_k1	Length of kmer for filtering reads after re-filtering
richness	Approximate sequencing depth based on the target gene's filtered dataset
limit	Limit of the k-mer count
seed	The starting sequence used by GeneMiner in assembling contigs
k2	Length of kmer for assembling reads
ref_length	Average length of the reference sequences
short_contig_length	Length of the short contig
contig_length	Length of the contig
scaffold_length	Length of the scaffold
bootstrap_number	Number of resampling
score	target gene assessment score (bootstrap score)

NOTE: bootstrap\_number and score are only printed when the [-bn/--bootstrap] option is used

# 5. Example

# 5.1 Mining chloroplast genes from genome skimming data

GeneMiner can recover almost all chloroplast (cp) genes from genome skimming data with sufficient coverage (>10x) and closely related reference sequences.

```
#Mining single cp gene : matk
geneminer.py -1 skimming_data1.fq.gz -2 skimming_data2.fq.gz -rtfa matk.fasta -t
4 -o matk_out
#Mining multiple cp genes
geneminer.py -1 skimming_data1.fq.gz -2 skimming_data2.fq.gz -rtfa Ref_cp_fasta
-t 4 -o Ref_cp_fasta_out
#Mining all cp genes
geneminer.py -1 skimming_data1.fq.gz -2 skimming_data2.fq.gz -rtgb chloro.gb -t
auto -b 0 -min 300 -max 5000 -o chloro_gb_out
```

# 5.2 Mining mitochondrial genes from genome skimming

Mitochondrial (mito) genes are often more difficult to recover than chloroplast genes, generally because (1) mitochondrial genes are more variable (2) the raw data does not contain too many mitochondrial genes. In this case, you can increase the size of the raw data and choose more closely related reference sequences.

```
#Mining mito genes
geneminer.py -1 skimming_data1.fq.gz -2 skimming_data2.fq.gz -rtgb mito.gb -b 75
-min 300 -max 5000 -o mito_gb_out
```

## 5.3 Mining nuclear genes from genome skimming

GeneMiner can mine highly repetitive regions in the nuclear genome (e.g. nrDNA).

```
#mining ITS
geneminer.py -1 skimming_data1.fq.gz -2 skimming_data2.fq.gz -t 4 -rtfa
ITS.fasta -o ITS_out
```

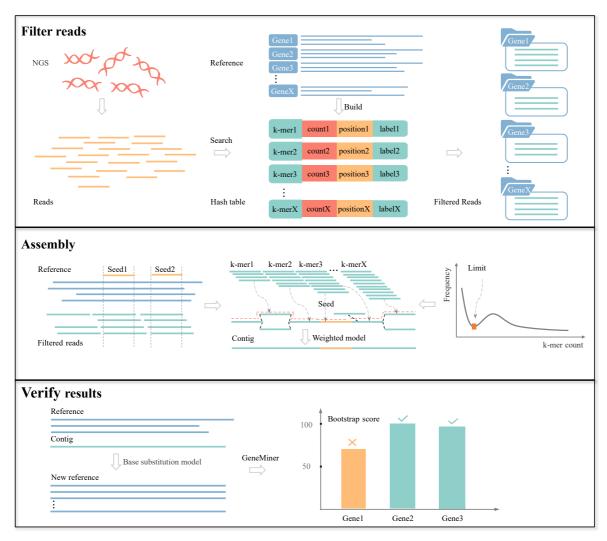
# 5.4 Mining Angiosperms353 genes from transcriptome data

Angiosperms353 are 353 putatively single-copy protein-coding genes identified by the One Thousand Plant Transcriptomes Initiative to design a set of targeted sequencing probes for phylogenetic studies of any angiosperm group.

```
#Mining Angiosperm 353 genes
geneminer.py -1 Arabidopsis_thaliana_sim1.fq.gz -2
Arabidopsis_thaliana_sim2.fq.gzz -rtfa ref_Arabidopsis_353 -k1 29 -k2 41 -t 4 -
limit_count 2 -b 75 -o Angiosperm353
```

# 6. Methods

There are three steps in the primary workflow. First, reliable reads were obtained based on the reference of closely related taxa using a k-mer filtering method; Then, extend contigs from self-discovery seeds based on the weighted de Bruijn Graph. Finally, a base substitution model and repetitive sampling were used to statistically evaluate the impact of the reference on the assembly and confirm the assembly results.



# **6.1 Filtering reads**

GeneMiner filters reads with high similarity to the reference of closely related taxa.

The process consists of two stages: building the reference hash table and retrieving the reference hash table. In building the reference hash table, we split the reference sequences into k-mers (k-mer is the iterative division of the reads into sequences containing k bases) and record the position information, the number of occurrences, and the corresponding labels of these k-mers, finally store them into the reference hash table. The total number of k-mers is

$$\sum_{i=1}^n L_i - k_f + 1$$

where  $L_i$  is the length of the i-th reference sequence, n is the total number of reference sequences, and  $k_f$  is set by the user. In retrieving the reference hash table, the raw input is next-generation sequencing (from Illumina, Roche-454, ABI, or other sequencing platforms) file in fastq format.

Each read in the raw input is also split into k-mers with the total number  $L-k_f+1$ , where L is the read length and  $k_f$  is set as before. If the k-mer of a read appears in the reference hash table, the read is reserved and assigned to the target gene's filtered dataset with labels.

# 6.2 Assembly

Geneminer developed a seed self-discovery and extension algorithm based on the weighted de Bruijn Graph. The general flow is as follows:

- (I) Make k-mers. Split the filtered reads into k-mers and build the k-mers set recorded as T. The  $k_a$  used in the assembly may differ from the  $k_f$  used in the filtering.
- (II) Remove low-quality k-mers. The program will automatically fit the set T's k-mer frequency distribution, assign each target gene a minimum k-mer frequency threshold (Limit) based on the bottom of the first L-peak, and eliminate any k-mers with frequencies below the Limit.
- (III) Choose seed. Split the reference sequences into k-mers using  $k_a$  and build another k-mers set recorded as R. If the high-frequency k-mer also appears in the set T, it will be regarded as a supposed conservative region and preserved as a candidate seed. GeneMiner automatically replaces candidate seeds to achieve optimal assembly.

(IV)Seed extension. Take each k-mer as a node and assign a weighted score according to its frequency and position in the reference sequences. The weighted score is calculated as follows:

$$score = Count * e^{1-|Pos_1 - Pos_2|}$$

where "Count" represents the frequency of k-mer in the set T, "Pos<sub>1</sub>" represents the current assembly position of the k-mer, and "Pos<sub>2</sub>" represents the average position of the k-mer in the set R. Using the candidate seed as starting node, search the set T to find nodes with k-1 overlapping bases as adjacent nodes, where the nodes with high weighted scores will be prioritized. Connect the neighboring nodes until no neighboring nodes can be found. Finally, the overlapping cluster (contig) with the highest accumulated weighted score will be the output.

# 6.3 Verifying results

Based on the base substitution model and repetitive sampling, GeneMiner creatively suggests a verification approach that can statistically assess the influence of the reference on the assembly results and confirm the stability of the assembly results.

- (I) The target sequence's initial acquisition is designated as Seq1, and its variation rate (v) is calculated by comparing it with the reference sequences.
- (II) Combine the base substitution model built from the base composition of the reference sequences to resample the recovered target sequence with v to obtain  $\operatorname{ref}_1$ ,  $\operatorname{ref}_2$  ...  $\operatorname{ref}_n$

(III) Use  $\operatorname{ref}_1$ ,  $\operatorname{ref}_2$  ...  $\operatorname{ref}_n$  as the new reference to re-run the whole process and get the new recovered target sequence noted as Seq2 (IV) Seq1 and Seq2 are compared in the double sequence alignment. Then the identity is used to assess the quality of the reference sequence and the assembly results.

$$identity = \frac{N}{L} * 100$$

N represents matched base number between sequence Seq1 and Seq2, and L represents the alignment length.

## 7. Contact

Please check <u>Geneminer's homepage</u> first. If your question is running specific, please do not be surprised and report it to us. We usually have quick response to bugs.

- Find Questions & Answers at GeneMiner Discussions: Recommended
- Report Bugs & Issues at GeneMiner Issues: Please avoid repetitive or irrelevant issues
- QQ group (ID: 78266311): Mainly for mutual help, responses are likely to be not timely

**DO NOT** directly write to us with your questions, instead please post the questions **publicly**, using above platforms Our emails (<u>xiepulin@scu.edu.cn</u>, <u>1791173948@qq.com</u>) are only for receiving public question alert and private data (if applied) associated with those public questions. When you send your private data to us, enclose the email with a link where you posted the question. Our only reply emails will be a receiving confirmation, while our answers will be posted in a public place.

# 8. Citation

When you use GeneMiner please cite:

GeneMiner: a software for extracting phylogenetic markers from next-generation sequencing data