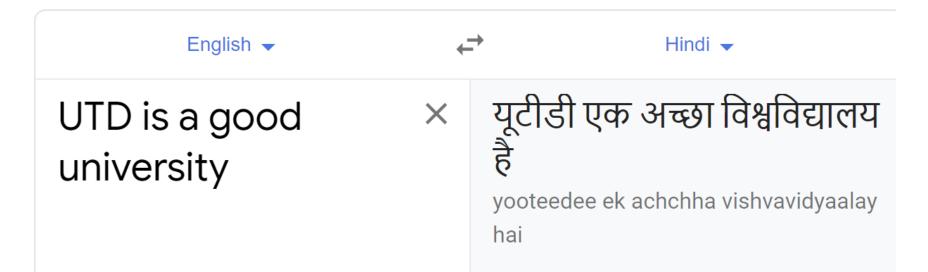
Encoder Decoder model (Sequence to Sequence Model)

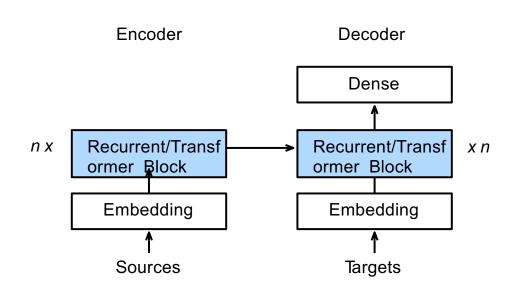
Machine Translation

- Given a sentence in a source language, translate into a target language
- These two sequences may have different lengths



Encoder/Decoder summary

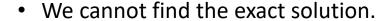
- The encoder is a standard RNN/Transformer model without the output layer
- The output from last layer of encoder is an input to decoder



Predictions – Test Data

We model the probability of a sentence as follows:

$$y' = \underset{y}{\operatorname{argmax}} p(y|x) = \underset{y}{\operatorname{argmax}} \prod_{t=1}^{n} p(y_t|y_{< t}, x)$$



WHY?



Predictions – Test Data

We model the probability of a sentence as follows:

$$y' = \underset{y}{\operatorname{argmax}} p(y|x) = \underset{y}{\operatorname{argmax}} \prod_{t=1}^{r} p(y_t|y_{< t}, x)$$

- We cannot find the exact solution.
- We need to check $|V|^T$ possible hypothesis.



Predictions – Test Data

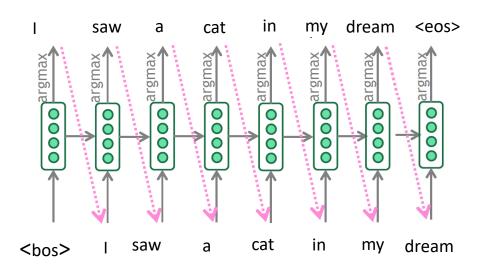
• For every possible sequence, compute its probability and pick the best one

• If output vocabulary size is V, and max sequence length T, then we need to examine V^T sequences



- It's computationally infeasible
- For Example if, $V = 10000, T = 10, V^T = 10^{40}$

Predictions – Greedy Decoding



At each step, pick the most probable token

Problems?

Predictions – Greedy Decoding

- Cannot undo incorrect decision
 - **>** |
 - ➤ I saw
 - > I saw a
 - I saw a cat____
 - I saw a cat in ___

Greedy search: 0.5×0.4×0.4×0.6=0.048

ime step	1	2	3	4
Α	0.5	0.1	0.2	0.0
В	0.2	0.4	0.2	0.2
С	0.2	0.3	0.4	0.2
<hrv!< td=""><td>0.1</td><td>0.2</td><td>0.2</td><td>0.6</td></hrv!<>	0.1	0.2	0.2	0.6

A better choice: 0.5×0.3×0.6×0.6=0.054

Time step	1	2	3	4
Α	0.5	0.1	0.1	0.1
В	0.2	0.4	0.6	0.2
С	0.2	0.3	0.2	0.1
<hrv!< td=""><td>0.1</td><td>0.2</td><td>0.1</td><td>0.6</td></hrv!<>	0.1	0.2	0.1	0.6

$$\underset{y}{\operatorname{argmax}} \prod_{t=1}^{T} p(y_t | y_{< t}, x) \neq \underset{y_t}{\operatorname{argmax}} \prod_{t=1}^{T} p(y_t | y_{< t}, x)$$



Beam Search



Beam search decoding

- <u>Core idea:</u> On each step of decoder, keep track of the k most probable partial translations (which we call hypotheses)
 - *k* is the beam size (in practice around 5 to 10)
- A hypothesis has a score which is its log probability.



Scores are all negative, and higher score is better



We search for high-scoring hypotheses, tracking top k on each step

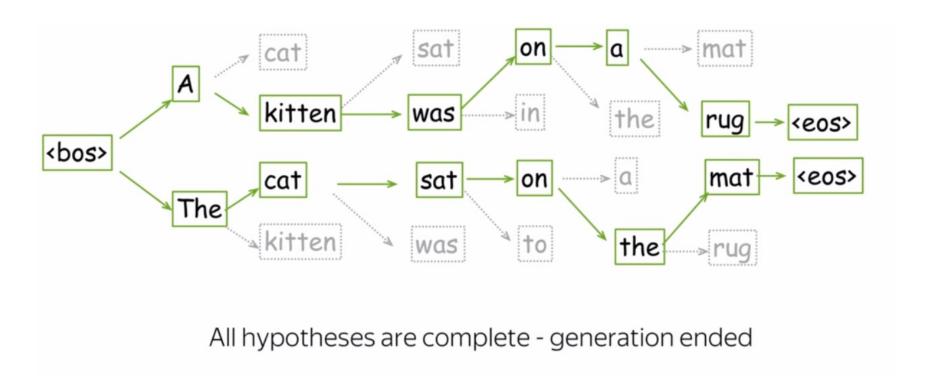


Beam search is not guaranteed to find optimal solution

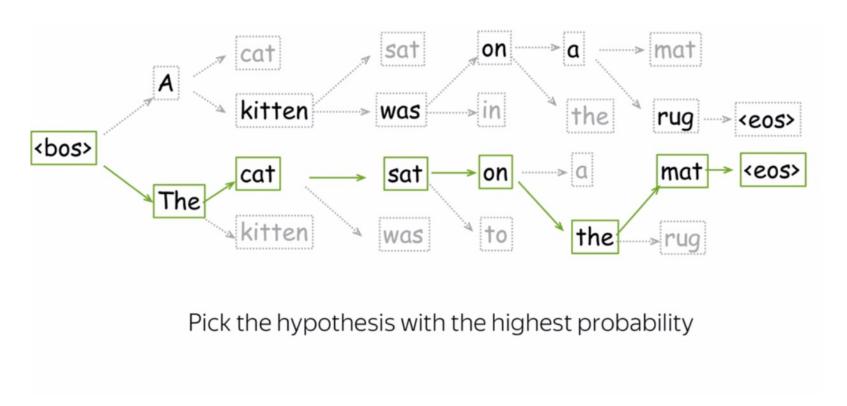


But much more efficient than exhaustive search!

Beam Search



Beam Search



Beam search decoding: stopping criterion

- In beam search decoding, different hypotheses may produce <END> tokens on different timesteps
 - When a hypothesis produces <END>, that hypothesis is complete.
 - Place it aside and continue exploring other hypotheses via beam search.

- Usually we continue beam search until:
 - We reach timestep T (where T is some pre-defined cutoff), or
 - We have at least *n* completed hypotheses (where *n* is pre-defined cutoff)



Beam search decoding: finishing up

- We have our list of completed hypotheses.
- How to select top one with highest score?
- Each hypothesis y_1, \dots, y_t on our list has a score

$$score(y_1, ..., y_t) = log P_{LM}(y_1, ..., y_t | x) = \sum_{i=1}^{t} log P_{LM}(y_i | y_1, ..., y_{i-1}, x)$$

- Problem with this: longer hypotheses have lower scores
- <u>Fix:</u> Normalize by length. Use this to select top one instead:

$$\frac{1}{t} \sum_{i=1}^{t} \log P_{LM}(y_i|y_1,\ldots,y_{i-1},x)$$

BLEU (Papineni et al. 2002): what fraction of {1-4}-grams in the system translation appear in the reference translations?

$$p_n = \frac{Number\ of\ ngrams\ in\ system\ and\ reference\ translations}{Number\ of\ ngrams\ in\ system\ translation}$$

$$BLEU = BP. exp\left(\sum_{n=1}^{N} w_n log p_n\right)$$

French: Le chat est sur le tapis.

Reference 1: The cat is on the mat.

Reference 2: There is a cat on the mat.

MT output: the the the the the the.

Precision:

Modified precision:

$$p_1 = \frac{7}{7}$$

$$\frac{clip\ count}{= \min(count, \max\ ref\ count)}$$

Hypothesis translation

Appeared calm when he was taken to the American plane, which will to Miami, Florida.

Appeared calm plane when which will to the American plane Florida

Reference translations

Orejuela appeared calm as he was led to the American plane which will take him to Miami, Florida.

Orejuela appeared calm while being escorted to the plane that would take him to Miami, Florida.

Orejuela appeared calm as he was being led to the American plane that was to carry him to Miami in Florida.

Orejuela seemed quite calm as he was being led to the American plane that would take him to Miami in Florida.

$$p_1 = \frac{15}{18} = 0.833$$

Ngrams appearing >1 time in the hypothesis can match up to the max number of times they appear in a single reference — e.g., two commas in hypothesis but one max in any single reference.

Callison-Burch et al. (2006), Re-evaluating the Role of BLEU in Machine Translation Research

Slide Credit: David Bamman (UC Berkley)

Hypothesis translation

Appeared calm when he was taken to the American plane, which will to Miami, Florida.

Appeared calm
calm when
when he
he was
was taken
taken to
to the
the American
American plane

plane, , which which will will to to Miami Miami, , Florida Florida.

Reference translations

Orejuela appeared calm as he was led to the American plane which will take him to Miami, Florida.

Orejuela appeared calm while being escorted to the plane that would take him to Miami, Florida.

Orejuela appeared calm as he was being led to the American plane that was to carry him to Miami in Florida.

Orejuela seemed quite calm as he was being led to the American plane that would take him to Miami in Florida.

$$p_2 = \frac{10}{17} = 0.588$$

We could optimize the score by minimizing the denominator (the number of ngrams generated)

$$p_n = \frac{\textit{Number of ngrams in system and refernce translations}}{\textit{Number of ngrams in system translation}}$$

$$BLEU = BP. exp\left(\sum_{n=1}^{N} w_n log p_n\right) \qquad BP = \begin{cases} 1 & \text{if } c > r \\ e^{1-r/c} & \text{if } c \leq r \end{cases}$$

c = length of hypothesis translationr = length of closest reference translation

Important variables in Transformers

- Vocabulary Size (V): The number of unique tokens that the model recognizes.
- **Embedding/Model Size (D):** The dimensionality of the word embeddings, also known as the hidden size.
- Sequence/Context Length (L): The maximum number of tokens that the model can process in a single pass.
- Number of Attention Heads (H): In the multi-head attention mechanism, the input is divided into H different parts.
- Intermediate Size (I): The feed-forward network has an intermediate layer whose size is typically larger than the embedding size.
- Number of Layers (N): The number of Transformer blocks/layers.
- Batch Size (B): The number of examples processed together in one forward/backward pass during training.
- **Tokens Trained on (T):** The total number of tokens that a model sees during training. This is normally reported more than the number of epochs.

ChatGPT

Step 1

Collect demonstration data and train a supervised policy.

A prompt is sampled from our prompt dataset.

A labeler demonstrates the desired output behavior.

This data is used to fine-tune GPT-3.5 with supervised learning.



Explain reinforcement

learning to a 6 year old.

Step 2

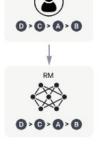
Collect comparison data and train a reward model.

A prompt and several model outputs are sampled.



A labeler ranks the outputs from best to worst.

This data is used to train our reward model.



Step 3

Optimize a policy against the reward model using the PPO reinforcement learning algorithm.

Write a story

about otters.

A new prompt is sampled from the dataset.



The reward model calculates a reward for the output.

an output.

The reward is used to update the policy using PPO.

https://www.yout
ube.com/watch?v
=zjkBMFhNj g

The video is part of the syllabus

Instruction tuning(SFT)

RLHF