Operating Systems with Linux

John O'Gorman

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user

\$\psi\$ application program

\$\phi\$ operating system

\$\phi\$ hardware

Figure 1.1: Overview of a computer system

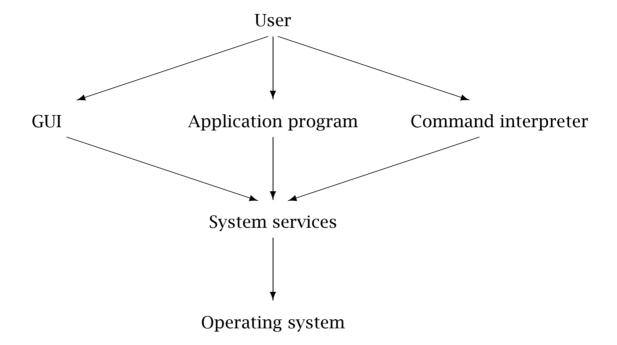


Figure 1.2: Interfaces to an operating system

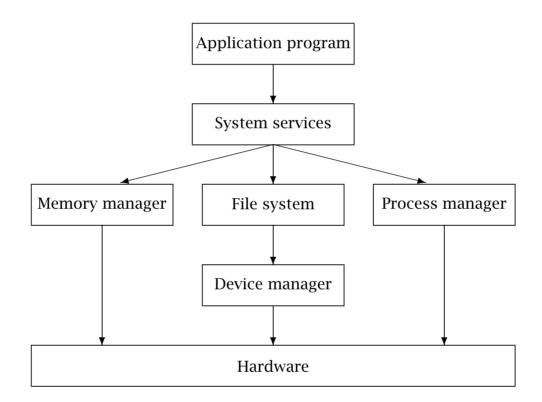


Figure 1.3: Layered structure

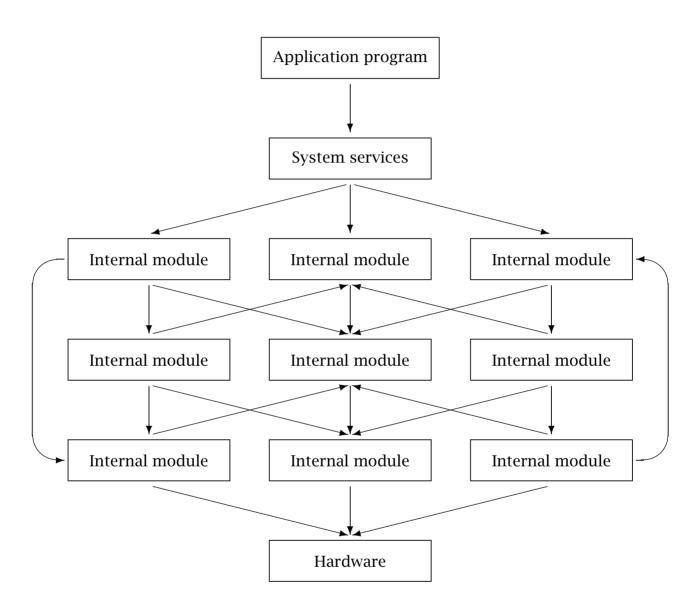
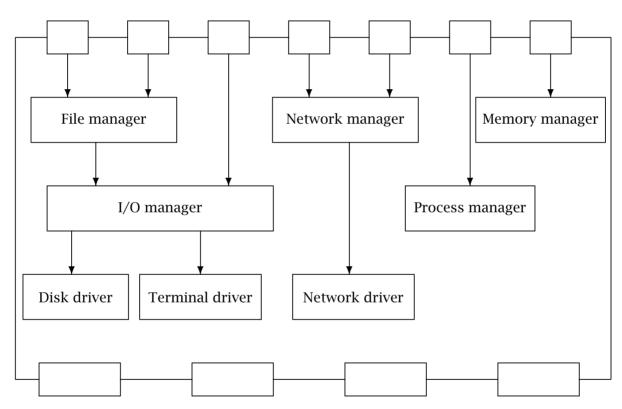


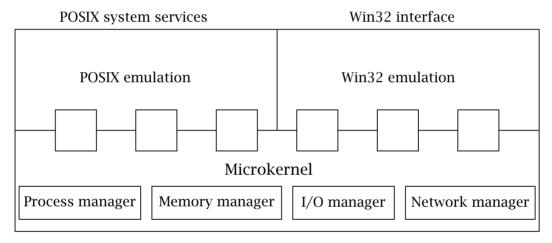
Figure 1.4: Poorly designed system

System service interface



Interface with hardware

Figure 1.5: Modular operating system



Interface with the hardware

Figure 1.6: Microkernel with two personalities

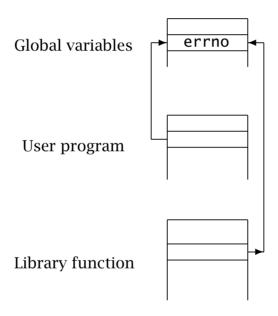


Figure 2.1: Memory layout for global variables

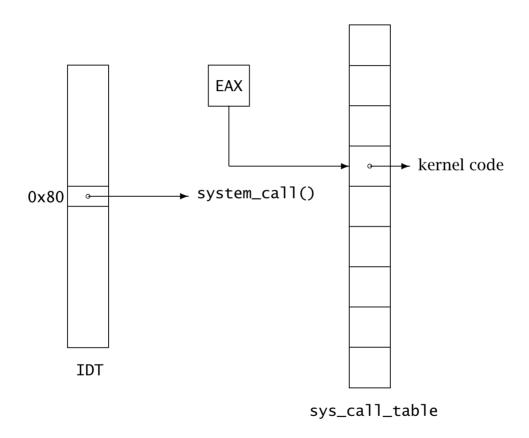


Figure 2.3: Indexing into the system call table

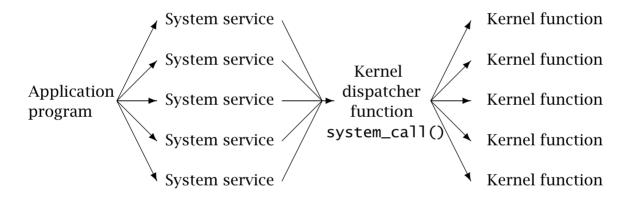


Figure 2.4: Calling a system service

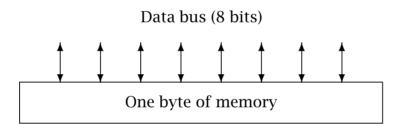


Figure 2.5: Computer memory

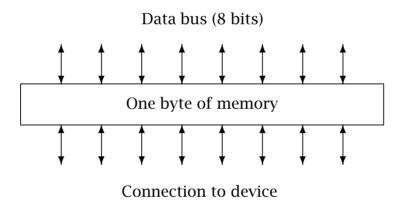


Figure 2.6: Dual-ported memory

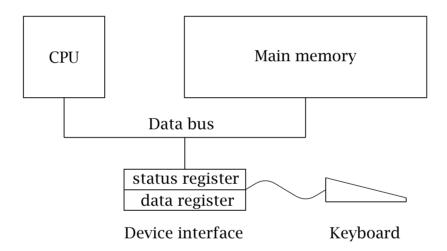


Figure 2.7: Keyboard interface with computer

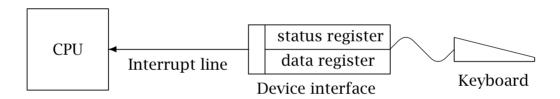


Figure 2.8: Interface using interrupt line

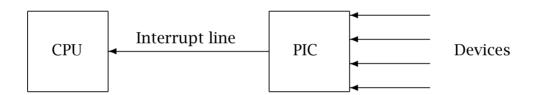


Figure 2.9: Interrupt arbitration by controller

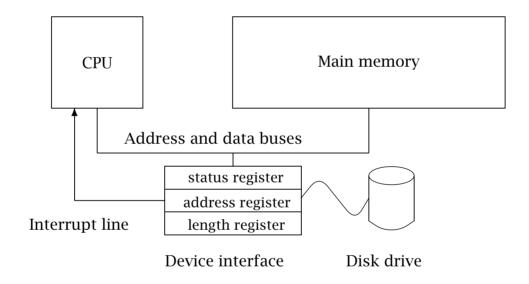


Figure 2.10: Direct memory access

```
    MOV EAX, 7; load the value 7 into register EAX
    INC EAX; increment register EAX
    MOV total, EAX; store the value from register EAX to total
```

Figure 3.1: Program to illustrate change of state

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	EIP	EAX	total
Initial state	1	0	0
State after 1st instruction	2	7	0
State after 2nd instruction	3	8	0
State after 3rd instruction	4	8	8

Figure 3.2: States as program executes

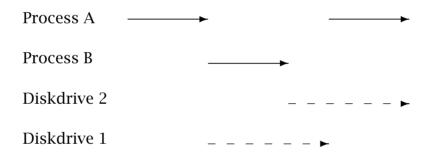


Figure 3.3: Overlap of processor and devices

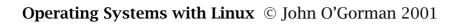




Figure 3.4: An underutilised system

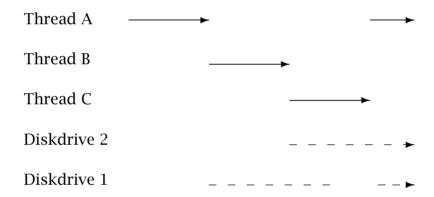


Figure 3.5: High utilisation of CPU and disk drives

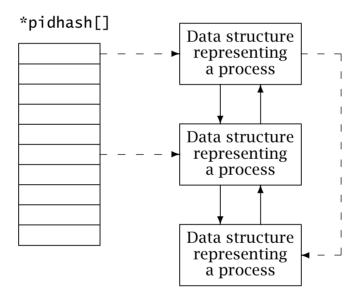


Figure 3.6: How the system keeps track of processes

```
struct task_struct{
      volatile long
                           state:
                           counter, priority;
      long
      struct task_struct
                           *next_task, *prev_task;
      struct task_struct
                           *next_run, *prev_run;
      int
                           exit_code, exit_signal;
      int
                           pid;
      struct task_struct
                           *p_opptr, *p_cptr;
      struct wait_queue
                           *wait_chldexit;
      struct task_struct
                           *pidhash_next;
      unsigned long
                           policy;
      struct tms
                           times;
      unsigned long
                           start_time;
      unsigned short
                           uid, gid;
      struct thread_struct tss;
      struct files_struct *files;
      struct mm_struct
                           *mm;
      struct signal_struct *sig;
                           signal, blocked;
      sigset_t
};
```

Figure 3.7: Data structure representing a process

```
printf ("Before the fork\n");
fork ();
printf ("After the fork\n");
```

Figure 3.8: Program to illustrate fork()

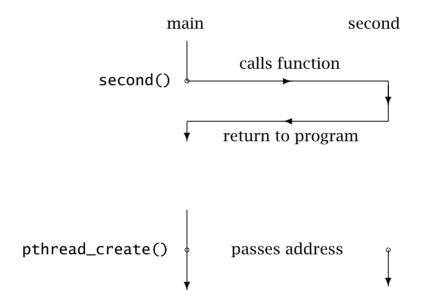


Figure 3.9: Function call and thread creation

```
struct wait_queue{
     struct task_struct *task;
     struct wait_queue *next;
};
```

Figure 3.10: An entry in a wait queue

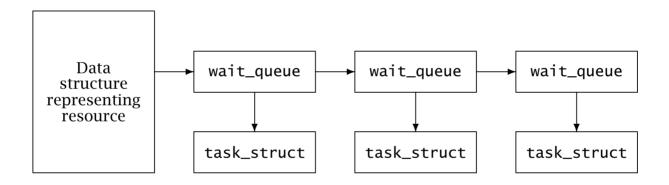


Figure 3.11: Three processes waiting for a resource

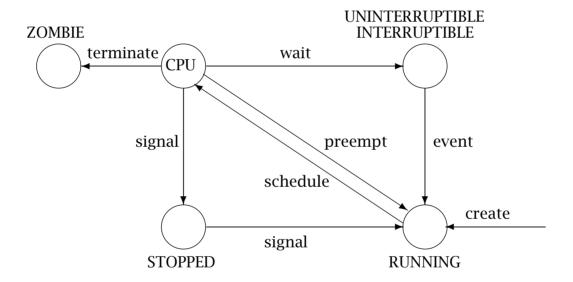


Figure 3.12: Process states and transitions

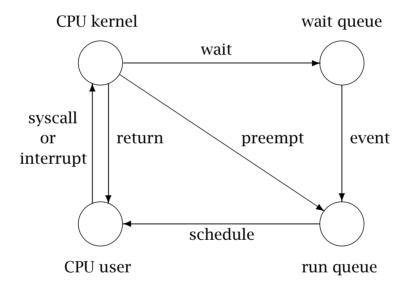


Figure 3.13: Running in user or kernel mode

```
struct thread_struct{
      unsigned long cr3;
      unsigned long eip;
      unsigned long eflags;
      unsigned long eax,ebx,ecx,edx;
      unsigned long
                     esp;
      unsigned long
                     ebp;
      unsigned long
                     esi;
      unsigned long edi;
      unsigned short ss;
      unsigned short cs;
      unsigned short ds;
      unsigned short es;
      unsigned short fs;
      unsigned short gs;
};
```

Figure 3.14: The volatile environment of a process

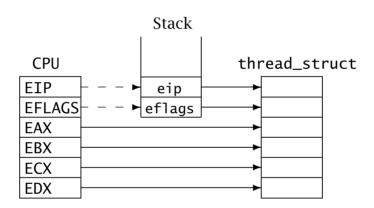


Figure 3.15: Data saved by context switcher

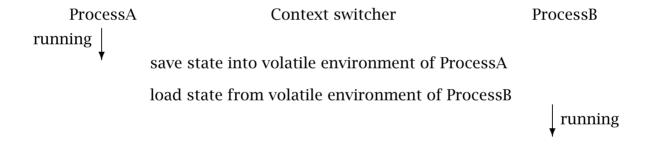


Figure 3.16: Context switching

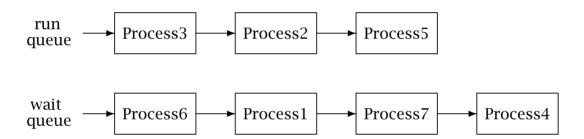


Figure 3.17: Processes on the ready and wait queues

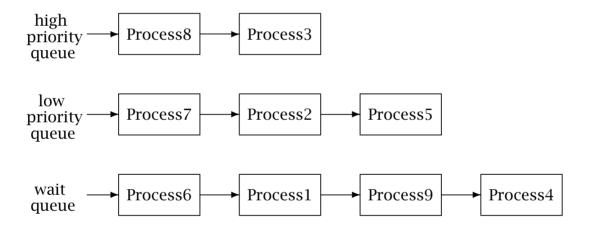


Figure 3.18: Two different priority queues

MOV EAX, items; load from items into register EAX

INC EAX ; increment register EAX

MOV items, EAX; store from register EAX back to items

Figure 4.1: Code to increment counter

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MOV EAX, items; load from items to register EAX

DEC EAX ; decrement register EAX

MOV items, EAX; store from register EAX back to items

Figure 4.2: Code to decrement counter

beginning section

Entry protocol for critical section

critical section

Exit protocol for critical section

remainder section

Figure 4.3: General structure of a cooperating program

WHILE (guard == 1)
DO nothing
ENDWHILE
guard = 1

critical section

guard = 0

Figure 4.4: First attempt at solution for two processes

test: MOV EAX, guard CMP EAX, #1
JE test:
MOV guard, #1

Figure 4.5: Compiled version of entry protocol

Process 0 Process 1 beginning section beginning section WHILE (turn != 0) WHILE (turn != 1) DO nothing DO nothing **ENDWHILE ENDWHILE** critical section critical section turn = 1turn = 0remainder section remainder section

Figure 4.6: Algorithms using turn

WHILE (flag[1] == 1)
DO nothing
ENDWHILE
flag[0] = 1

critical section

flag[0] = 0

Figure 4.7: Algorithm using two flags

critical section

flag[0] = 0

Figure 4.8: Setting the flag before testing

Figure 4.9: Algorithm for mutual exclusion

Process 0 Process 1 MOV flag[0], #1 MOV flag[1], #1 MOV turn, #1 MOV turn, #0 CMP flag[1], #1 test: CMP flag[0], #1 test: JNE enter: JNE enter: CMP turn, #1 CMP turn, #0 JE test: JE test: enter: enter:

Figure 4.10: Entry protocols for both processes

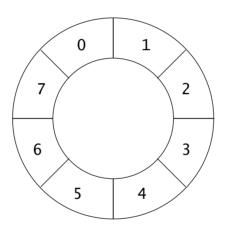


Figure 4.11: Eight contending processes

```
beginning section
REPEAT
       flag[4] = want-in
       p = turn
      WHILE (p \neq 4)
              IF (flag[p] == idle) THEN
                     p++ MOD 8
              ELSE
                     p = turn
              ENDIF
       ENDWHILE
       flag[4] = in-cs
       j = 0
       WHILE ((j < 8) \text{ AND } ((j == 4) \text{ OR } (flag[j] \neq in-cs)))
              j ++
       ENDWHILE
UNTIL ((j == 8) \text{ AND } ((turn == 4) \text{ OR } (flag[turn] == idle)))
turn = 4
       critical section
```

Figure 4.12: Eisenberg and McGuire algorithm

flag[4] = idle

Figure 4.13: Lamport's algorithm

```
#define SIGINT 2 /* interrupt, generated from terminal */
#define SIGILL 4 /* illegal instruction
                                                         */
#define SIGABRT 6 /* abort process
                                                         */
#define SIGFPE 8 /* floating point exception
                                                         */
#define SIGKILL 9 /* kill a process
                                                         */
#define SIGUSR1 10 /* user defined signal 1
                                                         */
#define SIGSEGV 11 /* segmentation violation
                                                         */
#define SIGUSR2 12 /* user defined signal 2
                                                         */
#define SIGALRM 14 /* alarm clock timeout
                                                         */
#define SIGCHLD 17 /* sent to parent on child exit
                                                         */
#define SIGXCPU 24 /* cpu time limit exceeded
                                                         */
```

Figure 5.1: A selection of signal values

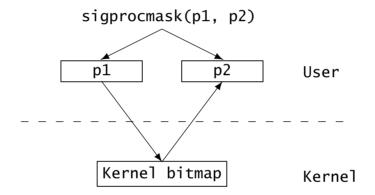


Figure 5.2: Changing the signal mask

```
struct signal_struct{
    atomic_t count;
    struct k_sigaction action[32];
    spinlock_t siglock;
};
```

Figure 5.3: Data structure tracking signal handlers

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WHILE (Test_and_set (Key))
DO nothing
ENDWHILE

Figure 5.4: Entry protocol using test and set

```
REPEAT
    Local = 1
    Exchange(Local, Key)
UNTIL Local == 0
```

Figure 5.5: Entry protocol using exchange

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beginning section

WAIT(Guard)
critical section
SIGNAL(Guard)

Figure 5.6: Semaphore for mutual exclusion

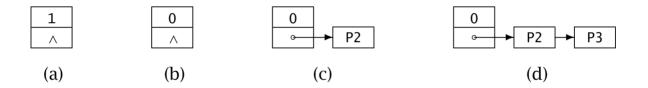


Figure 5.7: Successive states of a mutual exclusion semaphore

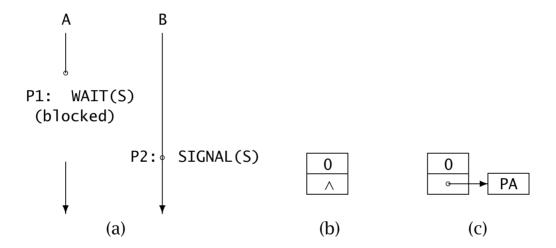


Figure 5.8: Semaphore for synchronisation

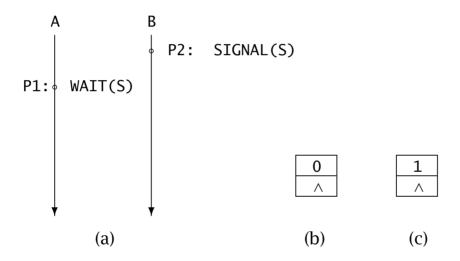


Figure 5.9: An alternative for synchronisation

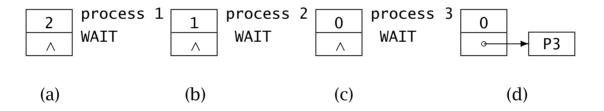
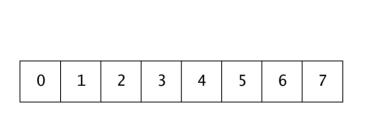


Figure 5.10: Semaphore for resource allocation



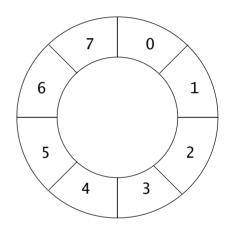


Figure 5.11: Circular buffer with eight slots

Produce an item
WAIT(SlotFree)
Put item in buffer at NextIn
NextIn++
SIGNAL(ItemAvailable)

Figure 5.12: Algorithm for producer

WAIT(ItemAvailable)
Get item from buffer at NextOut
NextOut++
SIGNAL(SlotFree)
Consume the item

Figure 5.13: Algorithm for consumer

Produce an item
WAIT(SlotFree)
WAIT(Guard)
Put item in buffer at NextIn
NextIn++
SIGNAL(Guard)
SIGNAL(ItemAvailable)

Figure 5.14: Algorithm for one of many producers

WAIT(ItemAvailable)
WAIT(Guard)
Get item from buffer at NextOut
NextOut++
SIGNAL (Guard)
SIGNAL(SlotFree)
Consume the item

Figure 5.15: Algorithm for one of many consumers

```
WAIT(Guard)
readers++
IF (readers == 1) THEN
          WAIT(Writing)
ENDIF
SIGNAL(Guard)
```

Figure 5.16: Entry protocol for a reader

Figure 5.17: Exit protocol for a reader

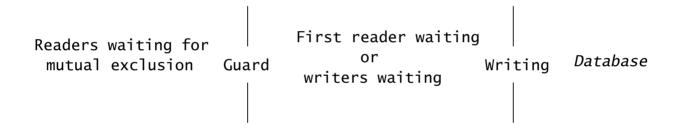


Figure 5.18: Solution 2



Figure 5.19: Solution 3

Figure 5.20: Reader prologue code

```
WAIT(Guard)
wr++

IF (ww > 0) THEN
        WAIT(R)

ELSE
        ar++

ENDIF

SIGNAL(Guard)
```

Figure 5.21: Incorrect reader prologue

```
WAIT (Guard)

ar--

wr--

IF (ar == 0) THEN

IF (ww > 0) THEN

SIGNAL(W)

ENDIF

ENDIF

SIGNAL (Guard)
```

Figure 5.22: Reader epilogue code

Figure 5.23: Writer prologue code

```
WAIT(Guard)
ww--
WHILE (ar < wr)
          ar++
          SIGNAL(R)
ENDWHILE
IF (ar == 0) AND (ww > 0) THEN
          SIGNAL(W)
ENDIF
SIGNAL(Guard)
```

Figure 5.24: Writer epilogue code

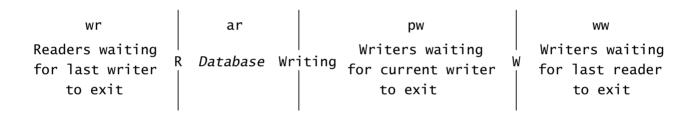


Figure 5.25: Solution 4

```
WAIT (Guard)

ar--

wr--

IF (ar == 0) THEN

WHILE (pw < ww)

pw++

SIGNAL(W)

ENDWHILE

ENDIF

SIGNAL (Guard)
```

Figure 5.27: Writer prologue code

```
SIGNAL(Writing)
WAIT(Guard)
pw--
ww--
IF (pw == 0) THEN
        WHILE (ar < wr)
        ar++
        SIGNAL(R)
        ENDWHILE
ENDIF
SIGNAL(Guard)</pre>
```

Figure 5.28: Writer epilogue code

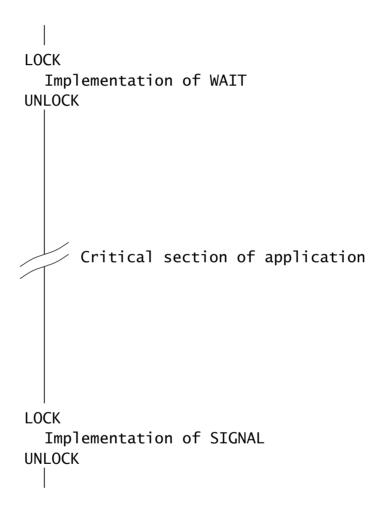


Figure 5.29: Timing relationship of locks and semaphores

```
struct semid_ds{
    struct ipc_perm sem_perm;
    struct sem *sem_base;
    struct sem_queue *sem_pending;
};
```

Figure 5.30: Data structure representing a semaphore set

```
struct ipc_perm{
    kernel_key_t key; /* user supplied key */
    kernel_uid_t uid; /* owner's user id */
    kernel_gid_t gid; /* owner's group id */
    kernel_mode_t mode; /* access modes */
};
```

Figure 5.31: Data structure controlling access to a semaphore

Figure 5.32: Data structure representing an individual semaphore

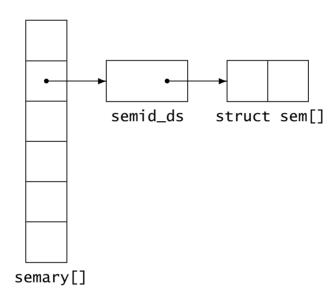


Figure 5.33: System V semaphore layout

```
struct sem_queue{
    struct sem_queue *next;
    struct wait_queue *sleeper;
    struct semid_ds *sma;
    struct sembuf *sops;
    int nsops;
};
```

Figure 5.34: Data structure representing a waiting process

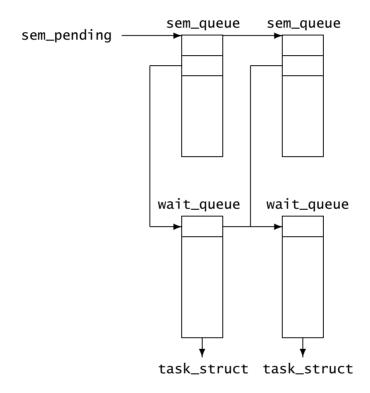


Figure 5.35: Processes waiting on the semaphore set

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Producer Consumer

ADVANCE(E) AWAIT(E, i)
i++

Figure 5.36: Eventcount for synchronisation

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beginning section

AWAIT(E, TICKET(S))

critical section

ADVANCE(E)

remainder section

Figure 5.37: Eventcount and sequencer for mutual exclusion

Producer

Consumer

AWAIT(OUT, i + 1 - N)
insert at i MOD N
i++
ADVANCE(IN)

AWAIT(IN, j + 1)
Remove from j MOD N
j++
ADVANCE(OUT)

Figure 5.38: Eventcounts with one producer, one consumer

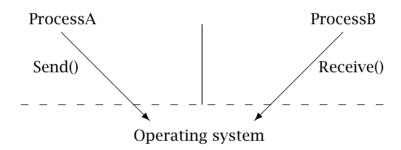


Figure 6.1: Outline of message passing

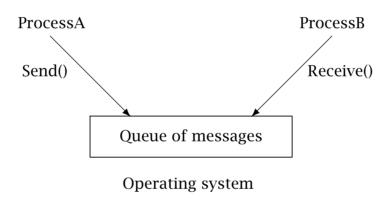


Figure 6.2: A message queue

Figure 6.3: Data structure representing a message queue

Figure 6.4: Structure of an actual message

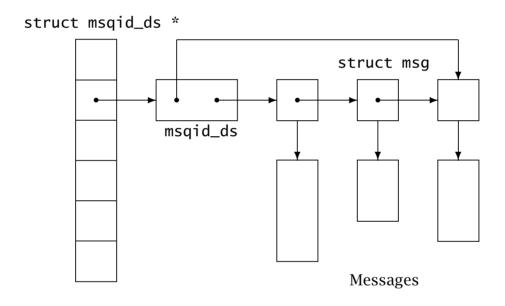


Figure 6.5: System V message queue layout

```
struct msgbuf{
    long mtype; /* message type */
    char mtext[]; /* message contents */
};
```

Figure 6.6: Data structure representing a message

```
shared struct{
     int writers;
     int readers;
}shared_counts;
shared char writelock;
/* reader code */
critical region shared_counts{
     await (writers == 0);
     readers++;
}
         /* READ */
critical region shared_counts{
     readers--;
}
/* writer code */
critical region shared_counts{
     writers++;
     await (readers == 0);
}
critical region writelock{
     /* WRITE */
}
critical region shared_counts{
    writers--;
}
```

Figure 6.7: Readers/writers using conditional critical regions

CWAIT(conditionvar)

LOCK

Mark process unrunnable

Queue the process on the condition variable

Release mutual exclusion on the monitor

UNLOCK

Call context switcher

Figure 6.8: Implementation of CWAIT

```
CSIGNAL(conditionvar)

LOCK

IF there is a process waiting on conditionvar THEN

Mark one runnable

Mark current process unrunnable

Transfer mutual exclusion on the monitor to selected process

UNLOCK

Call context switcher

ELSE

UNLOCK

Return

ENDIF
```

Figure 6.9: Implementation of CSIGNAL

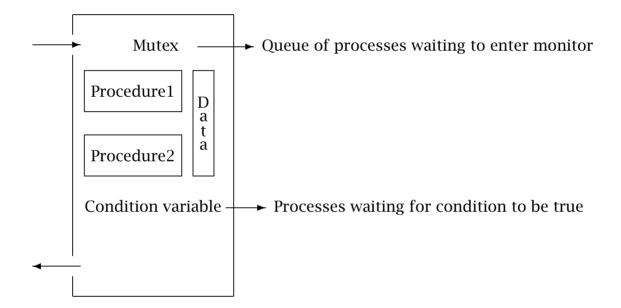


Figure 6.10: An illustration of a monitor

```
MONITOR allocator{
boolean busy = FALSE;
condition free;

reserve(){
    while (busy == TRUE)
        CWAIT(free);
    busy = TRUE;
    }

release(){
    busy = FALSE;
    CSIGNAL(free);
    }
}
```

Figure 6.11: Monitor to allocate a single resource

```
MONITOR buffer{
int count = 0;
condition spaceavail;
condition itemavail;
producer(){
      while (count == MAX)
            CWAIT(spaceavail);
      /* Put item in buffer */
      count++;
      CSIGNAL(itemavail);
      }
consumer(){
      while (count == 0)
            CWAIT(itemavail);
      /* Get item from buffer */
      count--;
      CSIGNAL(spaceavail);
      }
}
```

Figure 6.12: Monitor to manage a bounded buffer

```
MONITOR readers-writers{
int
         readers = 0, writers = 0;
boolean busy-writing = FALSE;
condition readers-waiting, writers-waiting;
StartRead(){
      while (writers > 0)
            CWAIT(readers-waiting);
      readers++;
      CSIGNAL(readers-waiting)
      }
EndRead() {
      readers--;
      if (readers == 0)
            CSIGNAL(writers-waiting)
      }
StartWrite(){
      writers++;
      while ((busy-writing == TRUE) || (readers > 0))
            CWAIT(writers-waiting);
      busy-writing = TRUE
EndWrite(){
      busy-writing = FALSE;
      writers--;
      if (writers > 0)
            CSIGNAL(writers-waiting);
      else
            CSIGNAL(readers-waiting);
      }
}
```

Figure 6.13: Monitor to implement reader/writer interlock

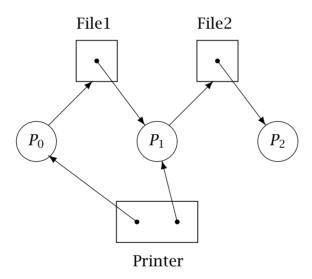


Figure 7.1: A resource allocation graph

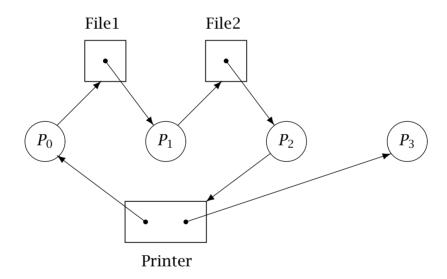


Figure 7.2: A resource allocation graph for four processes

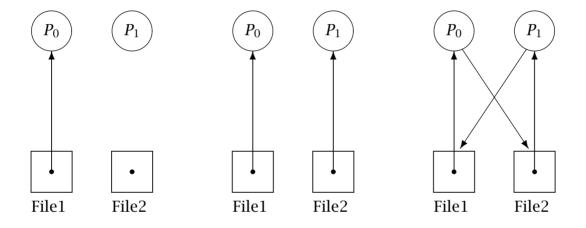


Figure 7.3: Safe, unsafe, and deadlocked system

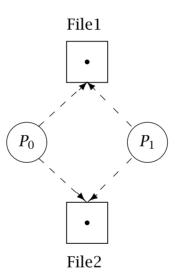


Figure 7.4: A claims graph

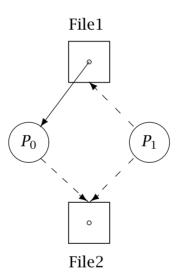


Figure 7.5: One resource allocated

```
IF (Request > Need[i]) THEN
     Error-illegal request
ENDIF
IF (Request > Available) THEN
    Wait
ENDIF
Available = Available - Request
Allocation[i] = Allocation[i] + Request
Need[i] = Need[i] - Request
Check if this is a safe state
IF Safe THEN
     Allocate resources
ELSE
     Restore state
    Wait
ENDIF
```

Figure 7.6: The banker's algorithm

```
Work[r] = Available[r]
Finish[p] = FALSE (all elements)
REPEAT
     Found = FALSE
     i = 0
     REPEAT
          IF (Finish[i] == FALSE) AND (Need[i] <= Work) THEN</pre>
               Finish[i] = TRUE
               Work = Work + Allocation[i]
               Found = TRUE
          ENDIF
          i++
     UNTIL (Found == TRUE) OR (i == p)
UNTIL Found == FALSE
Safe = TRUE
FOR i = 0 TO p - 1
     IF (Finish[i] == FALSE) THEN
          Safe = FALSE
     ENDIF
ENDFOR
```

Available

A	В
3	5

	Ma	ax	Allo	cation	Ne	ed
	A	В	A	В	A	В
P_0	7	5	0	1	7	4
P_1	3	2	2	0	1	2
P_2	9	0	3	0	6	0
P_3	2	2	2	1	0	1

Figure 7.8: State of the system before request

	OUTER LOOP		INNER LOOP	
1	Work = 3,5		<pre>Need[0] > Work Need[1] < Work</pre>	Finish[1] = TRUE
2	Work = 5,5	i = 1 i = 2	<pre>Need[0] > Work Finish[1] = TRUE Need[2] > Work Need[3] < Work</pre>	Finish[3] = TRUE
3	Work = 7,6	i = 0	Need[0] < Work	Finish[0] = TRUE
4	Work = 7,7	i = 1	<pre>Finish[0] = TRUE Finish[1] = TRUE Need[2] < Work</pre>	Finish[2] = TRUE
5	Work = 10,7	i = 1 i = 2	<pre>Finish[0] = TRUE Finish[1] = TRUE Finish[2] = TRUE Finish[3] = TRUE</pre>	

Figure 7.9: Application of the safety algorithm

Available

A	В
<u>2</u>	5

	Ma	ax	Allo	cation	Ne	ed
	A	В	A	В	A	В
P_0	7	5	0	1	7	4
P_1	3	2	<u>3</u>	0	0	2
P_2	9	0	3	0	6	0
P_3	2	2	2	1	0	1

Figure 7.10: State of the system reflecting the request

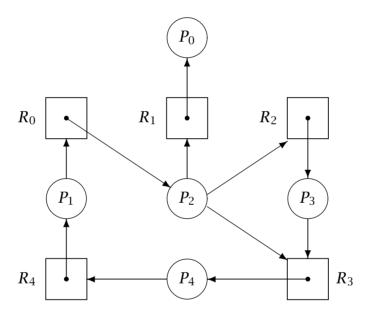


Figure 7.11: Resource allocation graph

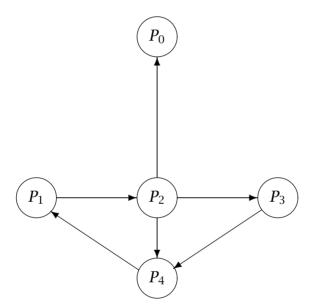


Figure 7.12: Wait-for graph

```
FOR i = 0 TO MaxProc -1
     IF (Allocation[i] == 0) THEN
          Finish[i] = TRUE
     ELSE
          Finish[i] = FALSE
     ENDIF
ENDFOR
REPEAT
     Found = FALSE
     i = 0
     REPEAT
          IF (Finish[i] == FALSE) AND (Request[i] \le Work) THEN
               Work = Work + Allocation[i]
               Finish[i] = TRUE
               Found = TRUE
          ENDIF
          i++
     UNTIL (Found == TRUE) OR (i == p)
UNTIL (Found == FALSE)
Deadlocked = FALSE
FOR i = 0 TO MaxProc -1
     IF (Finish[i] == FALSE) THEN
          Deadlocked = TRUE
     ENDIF
ENDFOR
```

Figure 7.13: Algorithm to detect deadlock

Available

A	В
0	0

	Allocation		Reg	uest
	A	В	A	В
P_0	0	1	0	0
P_1	2	0	2	0
P_2	3	0	0	0
P_3	2	1	1	0

Figure 7.14: A system which is not deadlocked

	OUTER LOOP		INNER LOOP	
1	Work = $0,0$	i = 0	Request[0] < Work	Finish[0] = TRUE
2	Work = 0,1		<pre>Finish[0] = TRUE Request[1] > Work Request[2] < Work</pre>	Finish[2] = TRUE
3	Work = 3,1	i = 0 i = 1	<pre>Finish[0] = TRUE Request[1] < Work</pre>	Finish[1] = TRUE
4	Work = 5,1	i = 1	<pre>Finish[0] = TRUE Finish[1] = TRUE Finish[2] = TRUE Request[3] < Work</pre>	Finish[3] = TRUE
	FINAL LOOP	i = 1 i = 2	<pre>Finish[0] = TRUE Finish[1] = TRUE Finish[2] = TRUE Finish[3] = TRUE</pre>	Deadlocked = FALSE

Figure 7.15: Application of the deadlock detection algorithm

Available

A	В
0	0

	Allocation		Reg	uest
	A	В	A	В
P_0	0	1	0	0
P_1	2	0	2	0
P_2	3	0	1	0
P_3	2	1	1	0

Figure 7.16: A deadlocked system

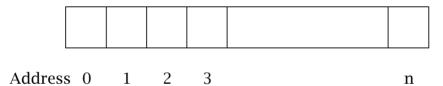


Figure 8.1: Hardware memory

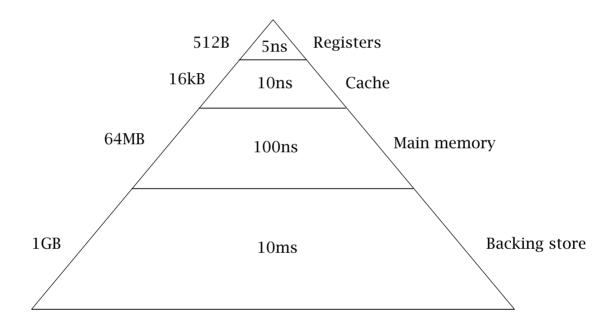


Figure 8.2: The memory pyramid

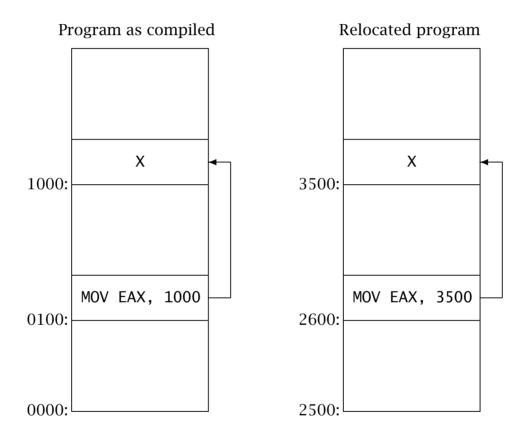


Figure 8.3: Program as loaded by relocating loader

Physical address space

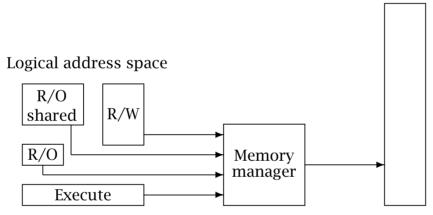


Figure 8.4: Virtual memory

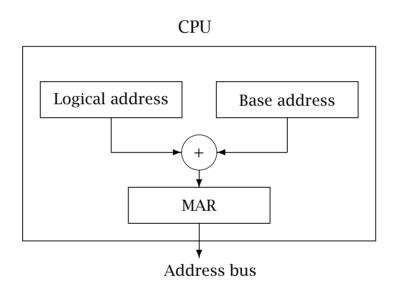


Figure 8.5: Address modification in CPU

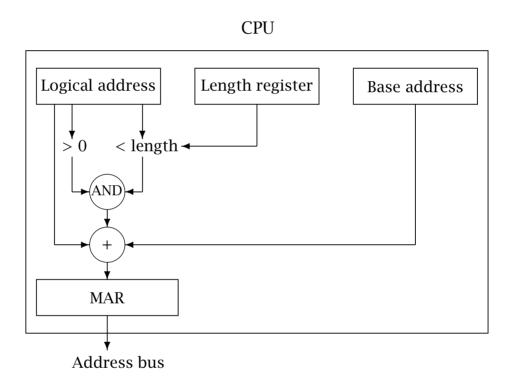


Figure 8.6: Protection and address modification

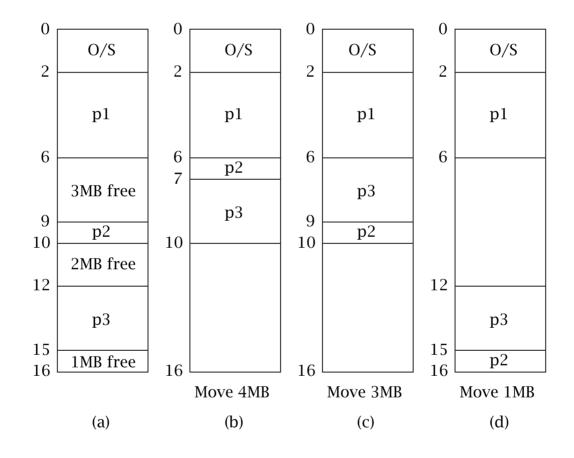


Figure 8.7: Compaction

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Segment (s)	Offset (o)
-------------	------------

Figure 8.8: A logical address

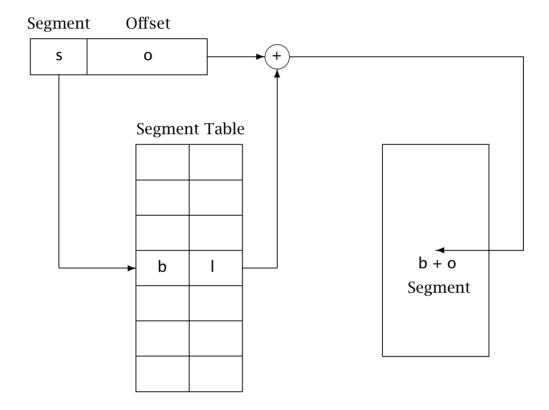


Figure 8.9: Address translation with segment table

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r w e base address length

Figure 8.10: A segment table entry

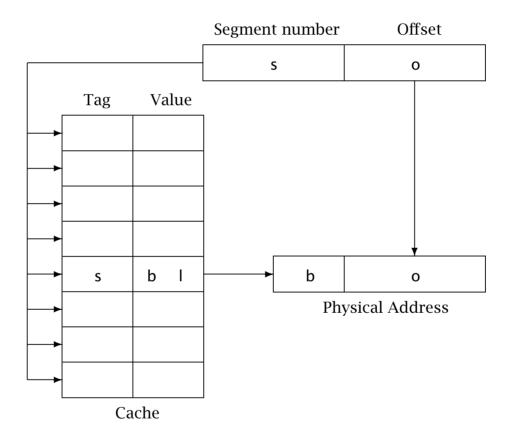


Figure 8.11: Searching a segmentation cache

```
Present segment number to associative store
IF no match, THEN

Use segment number as index into segment table
IF not present, THEN

Segment fault-fetch from secondary memory
Update segment table
ENDIF
Update associative store
ENDIF
Add offset to base address
```

Figure 8.12: Segmentation algorithm

```
struct page{
    struct page    *next;
    struct inode *inode;
    unsigned long offset;
    struct page    *next_hash;
    atomic_t    count;
};
```

Figure 8.13: Data structure representing a physical page

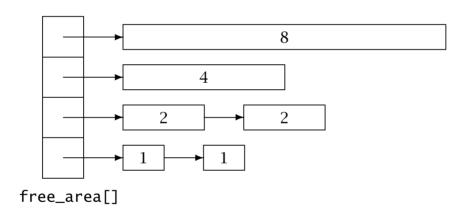


Figure 8.14: Tracking free physical memory

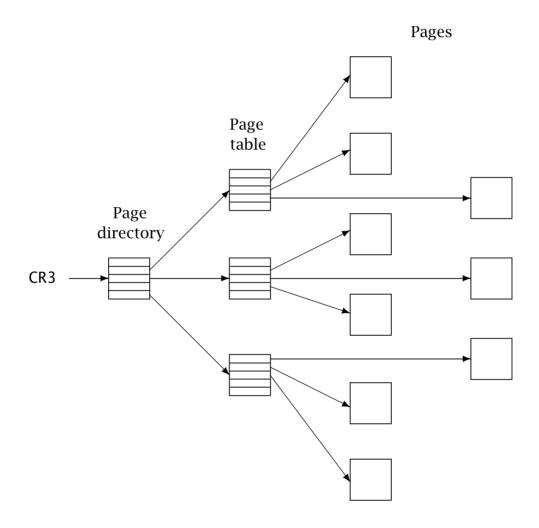


Figure 8.15: Linux page table structure

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high order 20 bits of page frame address page table bits

Figure 8.16: Format of page table entry

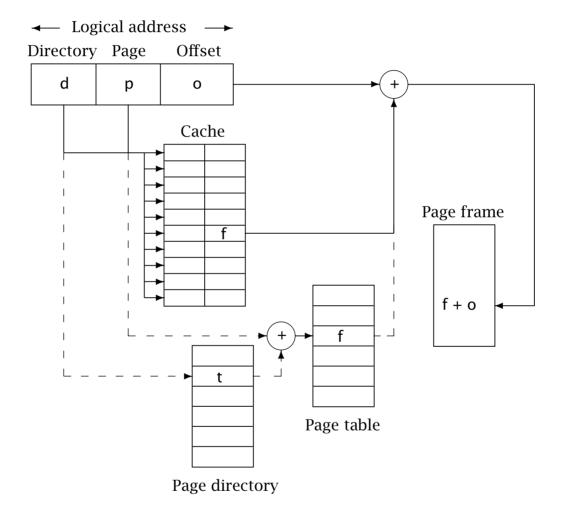


Figure 8.17: Address translation with cache and page table

```
int array[128][128];
for (i = 0; i < 128; i++)
    for (j = 0; j < 128; j++)
        array[i][j] = 0;</pre>
```

Figure 8.18: Program to initialise an array

```
struct mm_struct{
    struct vm_area_struct *mmap;
    pgd_t *pgd;
    int count;
    unsigned long start_code, end_code;
    unsigned long start_data, end_data;
    unsigned long start_stack;
};
```

Figure 8.19: The root of the memory management data structures

```
struct vm_area_struct{
      struct mm_struct
                                   *vm_mm;
      unsigned long
                                   vm_start;
      unsigned long
                                   vm_end;
      struct vm_area_struct
                                   *vm_next;
                                  vm_flags;
      unsigned short
      struct vm_operations_struct *vm_ops;
      unsigned long
                                  vm_offset;
      struct file
                                   *vm_file;
};
```

Figure 8.20: Data structure controlling a region

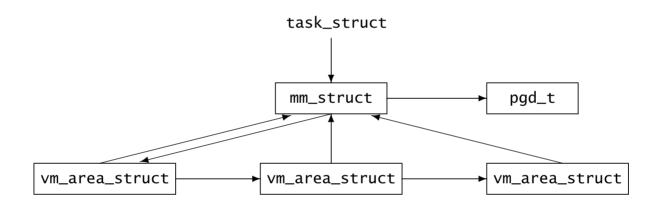


Figure 8.21: Memory management data structures for a process

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#define	VM_READ	0x0001
#define	VM_WRITE	0x0002
#define	VM_EXEC	0x0004
#define	VM_SHARED	0x0008
#define	VM_LOCKED	0x2000

Figure 8.22: Possible values for the flags field

Figure 8.23: Operations on a region

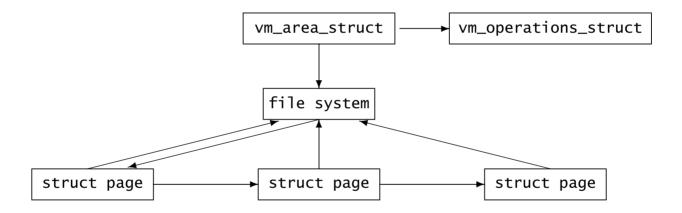


Figure 8.24: Pages actually in memory

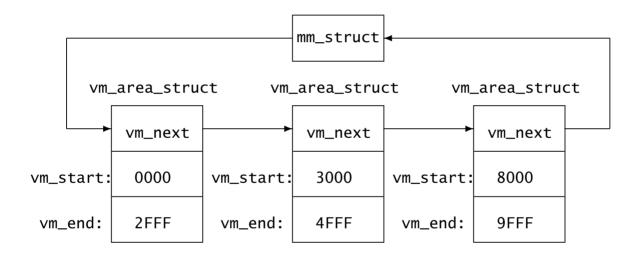


Figure 8.25: Example mapping of three regions

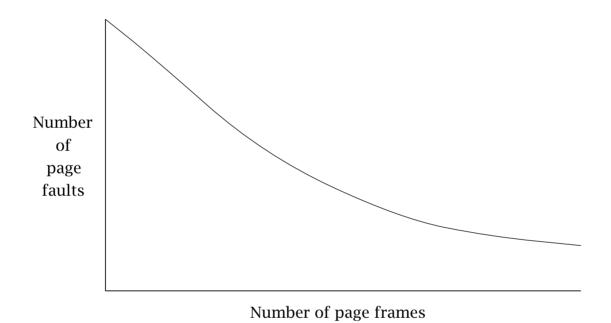


Figure 8.26: Relationship between page frames and page faults

```
REPEAT
   Examine the reference bit in the page table entry for pagenumber
IF (reference bit == 1) THEN
     reference bit = 0
ELSE
     remove page
ENDIF
pagenumber = (pagenumber + 1) MOD size of page table
UNTIL (required number of pages removed)
```

Figure 8.27: Algorithm for NRU replacement

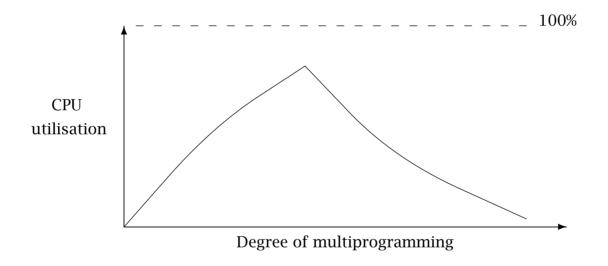


Figure 8.28: Effect of thrashing on CPU utilisation

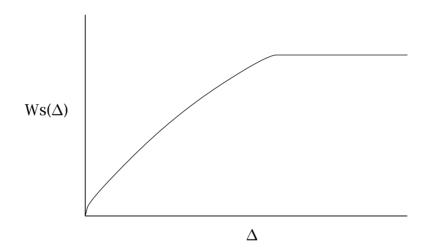


Figure 8.29: Effect of window size on working set

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Logical address

Segment (s)	Page (p)	Offset (o)

Figure 8.30: An address interpreted as segment, page, offset

```
Split the program address into s, p, o
Use s to index into the segment table
IF the presence bit in the segment descriptor is cleared
      (This means there are no pages for this segment in memory,
      nor is there a page table for this segment)
THEN create a new (empty) page table for this segment,
      enter its address in the segment table,
      and set the corresponding presence bit
FNDTF
Extract the address of the page table
Use p to index the page table
IF the presence bit in the corresponding entry
      in the page table is cleared (This means that
      the page is not in memory)
THEN fetch the page from backing store,
      enter its address in the pagetable,
      and set the corresponding presence bit
ENDIF
Extract the page frame address f
Add f to o to give the required location
```

Figure 8.31: Algorithm for paged segmentation

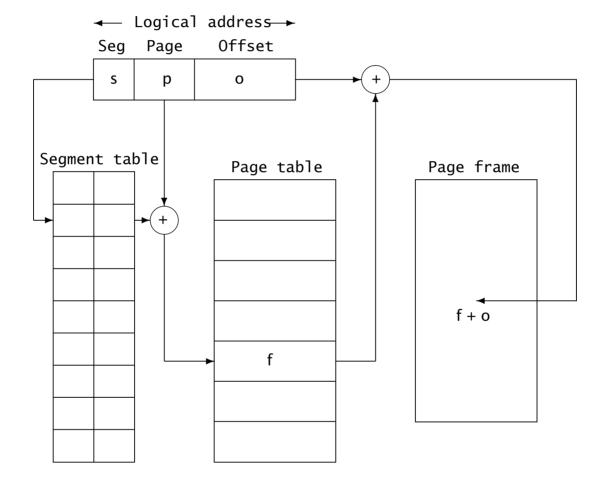


Figure 8.32: Address translation with paged segmentation

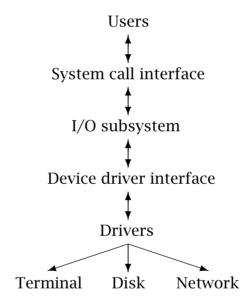


Figure 9.1: Overview of I/O processing

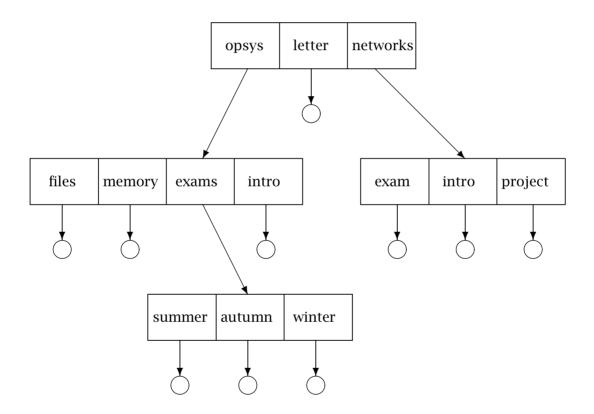


Figure 9.2: A tree-structured directory

Figure 9.3: Structure of a directory entry

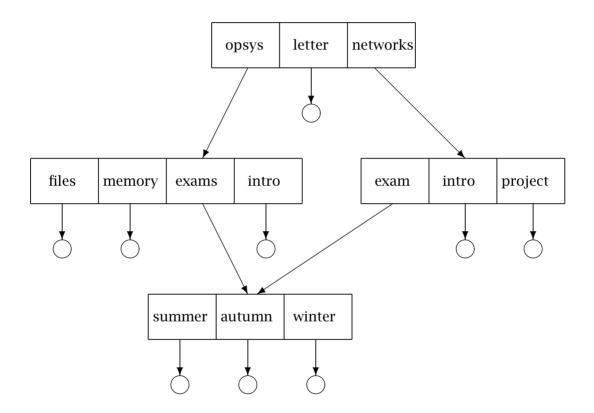


Figure 9.4: A directory with links

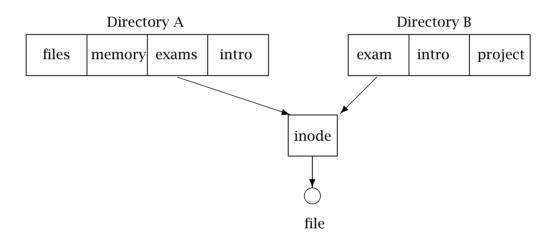


Figure 9.5: How a hard link is implemented

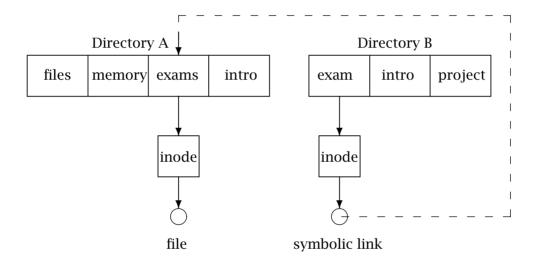


Figure 9.6: How a symbolic link is implemented

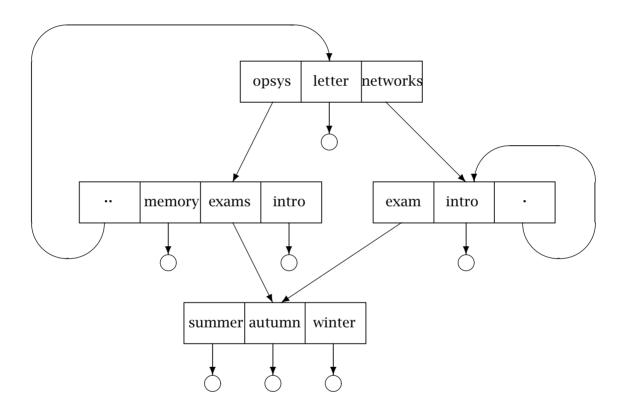


Figure 9.7: A directory structure with cycles

```
struct inode{
      struct list_head
                               i_hash;
                                          /* link on hash list
                                                                   */
      struct list_head
                               i_list;
                                          /* link on inode list
                                                                   */
                                          /* inode number
      unsigned long
                               i ino:
                                                                   */
      unsigned short
                               i_count;
                                          /* count of users
                                                                   */
      kdev_t
                               i_dev;
                                          /* device number
                                                                   */
                                          /* type and permissions */
      umode_t
                               i_mode;
                               i_nlink;
                                          /* hard links
                                                                   */
      nlink_t
                               i_uid;
                                                                   */
      uid_t
                                          /* owner
      gid_t
                               i_qid;
                                          /* owner's group
                                                                   */
                               i_rdev:
                                          /* if a device file
      kdev_t
                                                                   */
      off_t
                               i_size:
                                          /* in bytes
                                                                   */
                               i_atime;
                                          /* access
                                                                   */
      time_t
      time t
                                                                   */
                               i mtime:
                                          /* change of contents
      time_t
                               i_ctime;
                                          /* inode modified
                                                                   */
      unsigned long
                               i_blksize: /* size of block
                                                                   */
                               i_blocks: /* number of blocks
                                                                   */
      unsigned long
                                          /* mutex on inode
                                                                   */
      struct semaphore
                               i_sem;
      struct inode_operations *i_op;
                                          /* operations vector
                                                                   */
                                          /* if a mount point
                                                                   */
      struct super_block
                               *i sb:
                                          /* wait queue
      struct wait_queue
                               *i_wait:
                                                                   */
      struct file_lock
                               *i_flock;
                                          /* locks on this file
                                                                   */
      struct vm area struct
                               *i_mmap;
                                          /* memory region
                                                                   */
                                          /* pages in memory
                                                                   */
      struct page
                               *i_pages:
      union{
            struct pipe_inode_info
                                     pipe_i;
            struct ext2_inode_info
                                    ext2_i:
            struct msdos_inode_info msdos_i;
            struct nfs inode info
                                     nfs i:
            struct socket
                                     socket_i;
      }u;
};
```

Figure 9.8: Inode for an open file



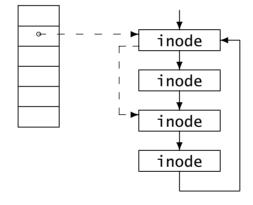


Figure 9.9: Inodes on LRU and hash lists

```
#define S_IFSOCK 0140000 /* socket
                                                              */
#define S_IFLNK 0120000 /* symbolic link
                                                              */
#define S_IFREG 0100000 /* regular
                                                              */
#define S_IFBLK
                 0060000 /* block special
                                                              */
#define S_IFDIR
                 0040000 /* directory
                                                              */
                 0020000 /* character special
#define S IFCHR
                                                              */
#define S_IFIFO
                 0010000 /* fifo
                                                              */
#define S_ISUID
                 0004000 /* set user id on execution
                                                              */
#define S ISGID
                 0002000 /* set group id on execution
                                                              */
#define S_IRUSR
                 0000400 /* read permission: owner
                                                              */
#define S IWUSR
                 0000200 /* write permission: owner
                                                              */
#define S IXUSR
                 0000100 /* execute/search permission: owner */
#define S_IRGRP
                 0000040 /* read permission: group
                                                              */
#define S_IWGRP
                 0000020 /* write permission: group
                                                              */
#define S_IXGRP
                 0000010 /* execute/search permission: group */
#define S_IROTH
                 0000004 /* read permission: other
                                                              */
#define S_IWOTH
                 0000002 /* write permission: other
                                                              */
#define S IXOTH
                 0000001 /* execute/search permission: other */
```

Figure 9.10: Mode bit values

```
struct inode_operations{
      struct file_operations *default_file_ops;
      int
                              (*create) ();
                              (*lookup) ();
      struct dentry
                              (*link) ();
      int
      int
                              (*unlink) ();
      int
                              (*symlink) ();
                              (*mkdir) ();
      int
      int
                              (*rmdir) ();
      int
                              (*mknod) ();
      int
                              (*rename) ();
      int
                              (*readlink) ();
                              (*followlink) ();
      struct dentry
                              (*readpage) ();
      int
      int
                              (*writepage) ();
      int
                              (*bmap) ();
};
```

Figure 9.11: Some functions of the inode interface

```
struct dentry{
    struct inode    *d_inode;
    struct list_head d_hash;
    struct list_head d_lru;
    unsigned char    d_iname[];
};
```

Figure 9.12: An element in the cache of recent lookups

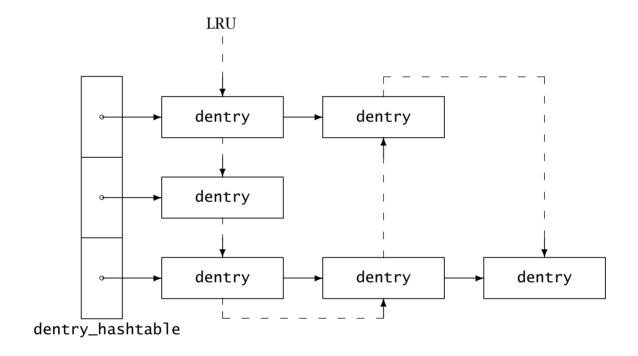


Figure 9.13: Directory name lookup cache

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Owner		Group		Other				
r	W	e	r	W	e	r	W	e

Figure 9.14: A protection bitmap

```
struct files_struct{
    atomic_t count;
    fd_set close_on_exec;
    fd_set open_fds;
    struct file *fd_array[];
};
```

Figure 9.15: Definition of file descriptor table

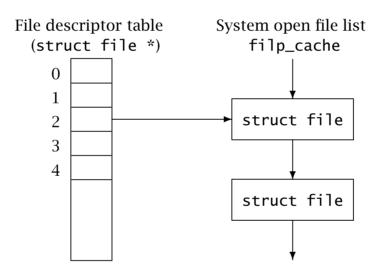


Figure 9.16: Descriptor and open file data structure

```
struct file{
                               *f_next; /* next struct file
  struct file
                                                                                  */
                               *f_dentry; /* representing this stream
  struct dentry
                                                                                  */
  struct file_operations *f_op;  /* operations on this stream
mode_t  f_mode;  /* open mode (read/write)
                                                                                  */
                                                                                  */
                              f_pos;  /* current position in file
f_count;  /* number of threads sharing
  loff_t
                                                                                  */
  unsigned short
                                                                                  */
                               f_owner; /* where SIGIO should be sent */
  int
};
```

Figure 9.17: Structure representing an open stream

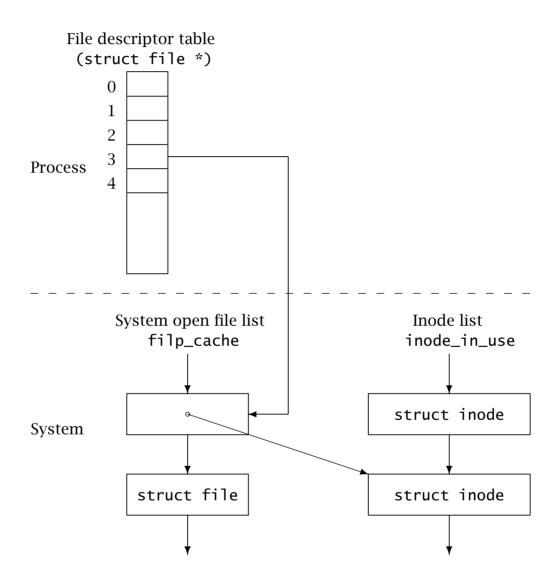


Figure 9.18: File opened by one user

Figure 9.19: Operations on open files

```
Set up file descriptor, link to struct file
Search the directory name cache
IF not found THEN
     lookup() (from directory i_op)
     IF not found THEN
          errno = EFAULT
          Return (-1)
     ENDIF
     Create appropriate entry in name cache
     Create inode in memory
     Link dentry to inode
ENDIF
Set up f_op field in struct file
open() (from f_op)
IF no permission THEN
     errno = EACCES
     Return (-1)
ENDIF
Link struct file to dentry
Increment i_count
Return (file descriptor)
```

Figure 9.20: Algorithm for open

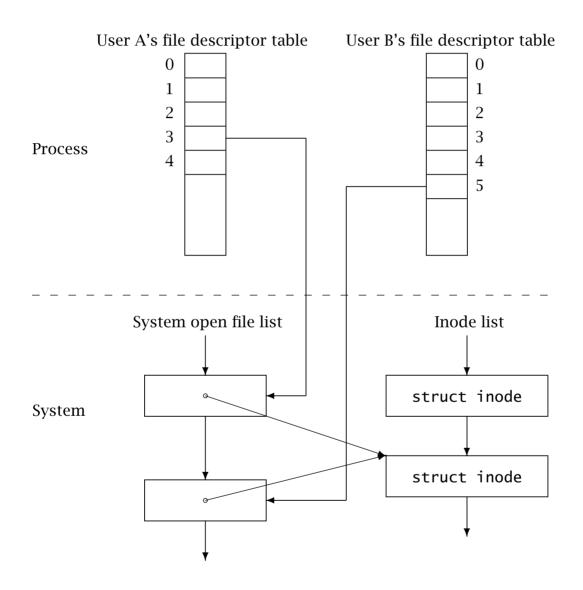


Figure 9.21: File opened by two users

```
Map fileid onto stream
IF not open, THEN
      errno = errornumber
      Return (-1)
ENDIF
Check mode and length against stream characteristics
IF not compatible THEN
      errno = errornumber
      Return (-1)
ENDIF
Call stream specific function with appropriate parameters
IF not successful THEN
      errno = errornumber
      Return (-1)
ENDIF
Return (0)
```

Figure 9.22: Generic I/O algorithm

```
Use stream number to index into the file descriptor table
IF not assigned, THEN
      errno = EBADF
      Return (-1)
ENDIF
Follow the pointer from descriptor to struct file
Examine f_mode
IF request not compatible with open mode THEN
      errno = EBADF
      Return (-1)
ENDIF
IF request is for simple I/O THEN
      Call appropriate function in f_op
ELSE
      Follow f_dentry pointer to struct inode
      Call appropriate function in i_op
ENDIF
IF not successful THEN
      errno = EIO
      Return (-1)
ELSE
Return (0)
ENDIF
```

Figure 9.23: Generic algorithm for Unix I/O procedure

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Figure 9.24: Data structure for polling a descriptor

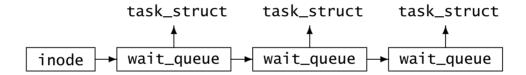


Figure 9.25: Several processes waiting on a device

```
struct file_lock{
    struct file_lock *fl_next;
    struct task_struct *fl_owner;
    struct wait_queue *fl_wait;
    struct file *fl_file;
    unsigned char fl_type;
    off_t fl_start;
    off_t fl_end;
};
```

Figure 9.26: Structure representing a lock on a file

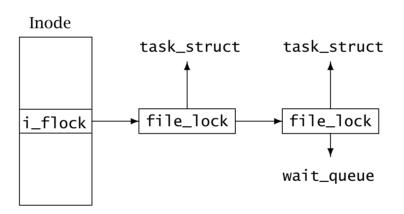


Figure 9.27: A lock request which blocks

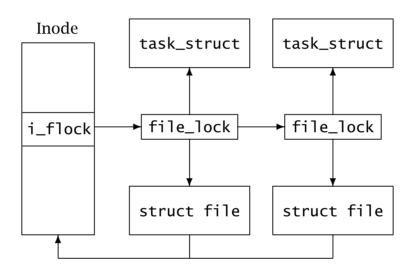


Figure 9.28: How locks are recorded

```
Check parameters against device characteristics
Return if error

IF buffer_empty THEN

Call device driver (for bufferfull)

Process any errors

ENDIF

Transfer data from buffer

Return
```

Figure 9.29: A buffered input procedure

```
struct buffer_head{
     unsigned long
                       b_blocknr; /* block number
                                                              */
                                     /* device
     kdev_t
                        b_dev;
                                                              */
     kdev_t b_dev; /* device
struct buffer_head *b_next; /* hash list
                                                              */
     unsigned long b_state; /* state bitmap
                                                              */
     struct buffer_head *b_next_free; /* free list
                                                              */
                        *b_data; /* pointer to data block */
     char
     struct wait_queue *b_wait; /* processes waiting
                                                              */
};
```

```
#define BH_Uptodate 0 /* contains valid data */
#define BH_Dirty 1 /* is dirty */
#define BH_Lock 2 /* is locked */
#define BH_Req 3 /* has been invalidated */
```

Figure 9.31: Bits representing the state of a buffer

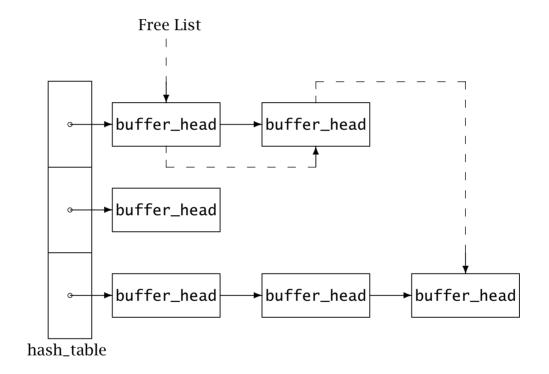


Figure 9.32: Buffer cache

Figure 10.1: An entry in the linked list of file systems

Disk Boot block Partition Partition Partition ...

Figure 10.2: Layout of a disk

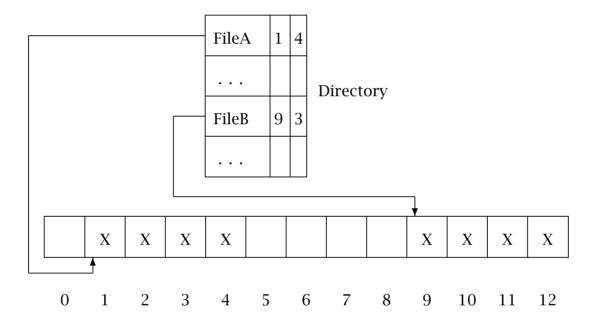


Figure 10.3: Contiguous allocation

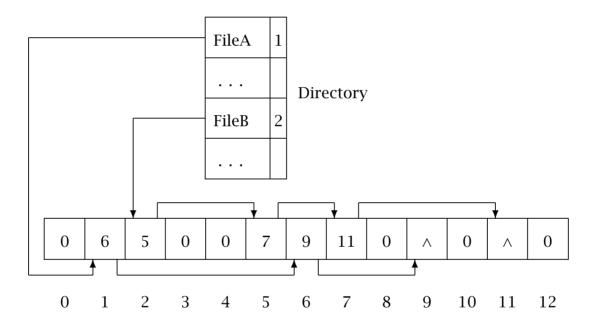


Figure 10.4: Linked allocation

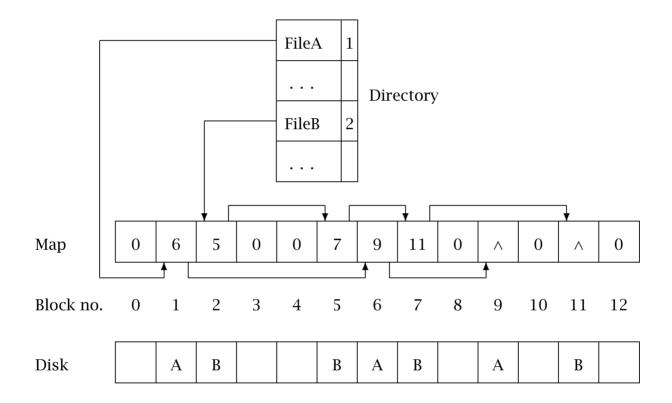


Figure 10.5: Example of a file map

Partition	Block group
Block group	Super block
Block group	Group descriptors
Block group	Block bitmap
Block group	Inode bitmap
	Inode table
	Data blocks

Figure 10.6: Layout of a partition with Ext2

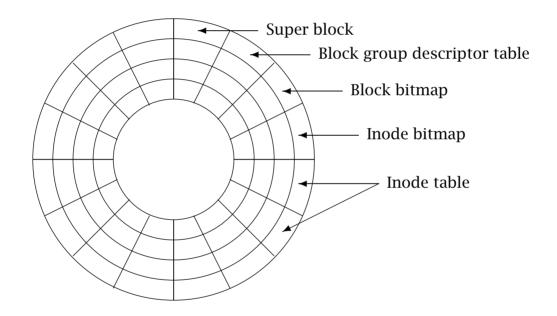


Figure 10.7: A block group on disk

```
struct ext2_super_block{
      u32 s_inodes_count;
                              /* Inodes count
                                                            */
                              /* Blocks count
      u32 s_blocks_count;
                                                            */
      u32 s_free_blocks_count; /* Free blocks count
                                                            */
      u32 s_free_inodes_count; /* Free inodes count
                                                            */
      u32 s_first_data_block: /* First data block
                                                            */
      u32 s_log_block_size;
                              /* Block size
                                                            */
                              /* Fragment size
     s32 s_log_frag_size;
                                                            */
      u32 s_blocks_per_group; /* # Blocks per group
                                                            */
      u32 s_inodes_per_group; /* # Inodes per group
                                                            */
     u16 s_inode_size;
                              /* size of inode structure
                                                            */
      u16 s_block_group_nr;
                              /* number of this block group */
};
```

Figure 10.9: Structure of a block group descriptor

```
struct ext2_inode{
     u16 i_mode;
                      /* File mode
                                             */
                     /* Owner uid
     u16 i_uid;
                                             */
     u32 i_size;
                       /* Size in bytes
                                             */
     u32 i_atime;
                       /* Access time
                                             */
     u32 i_ctime;
                       /* Creation time
                                             */
                       /* Modification time
     u32 i_mtime;
                                             */
     u16 i_gid;
                       /* Group id
                                             */
     u16 i_links_count; /* Links count
                                             */
                       /* Blocks count
     u32 i_blocks;
                                             */
     u32 i_block[15];
                       /* Pointers to blocks */
     u32 i_faddr;
                       /* Fragment address
                                             */
     u8 1_i_frag;
                       /* Fragment number
                                             */
     u8 l_i_fsize;
                       /* Fragment size
                                             */
};
```

Figure 10.10: Structure of an Ext2 disk inode

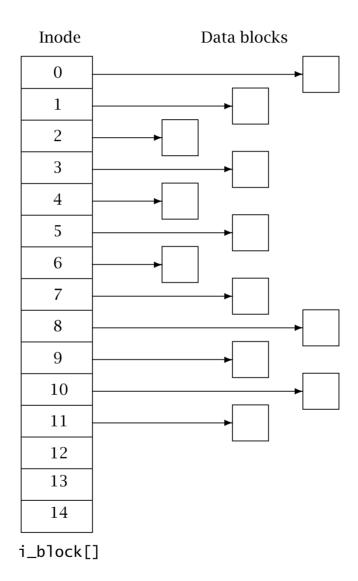


Figure 10.11: Allocation information in an inode

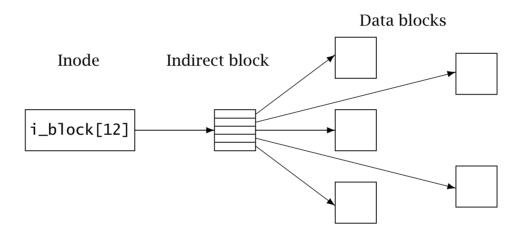


Figure 10.12: Single indirect block

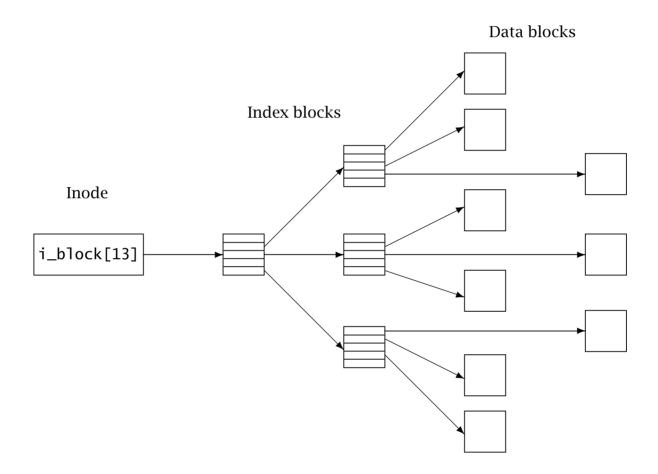


Figure 10.13: Double indirect block

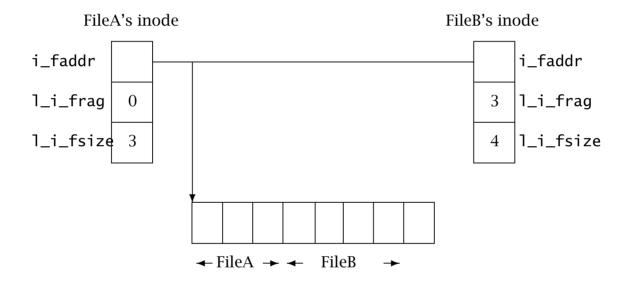


Figure 10.14: Fragments of two files in a block

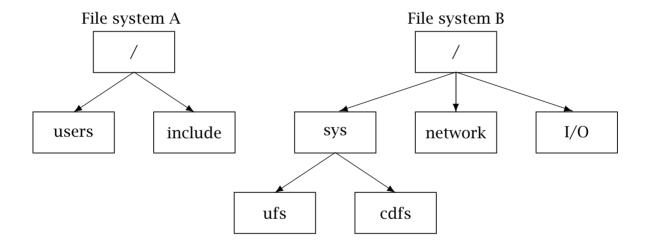


Figure 10.16: File systems as they exist on disk

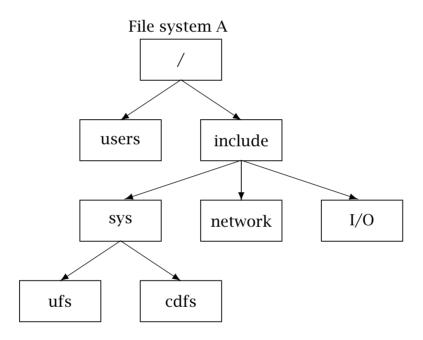


Figure 10.17: Directory structure after attaching file system B

Figure 10.18: Data structure representing a mounted file system

```
struct super_operations{
    void (*read_inode)();
    void (*write_inode)();
    void (*put_inode)();
    void (*put_super)();
    void (*write_super)();
    int (*statfs)();
};
```

Figure 10.19: Operations on a file system

```
struct ext2_sb_info{
    struct ext2_super_block *s_es;
    struct buffer_head **s_group_desc;
    struct buffer_head *s_inode_bitmap[];
    struct buffer_head *s_block_bitmap[];
};
```

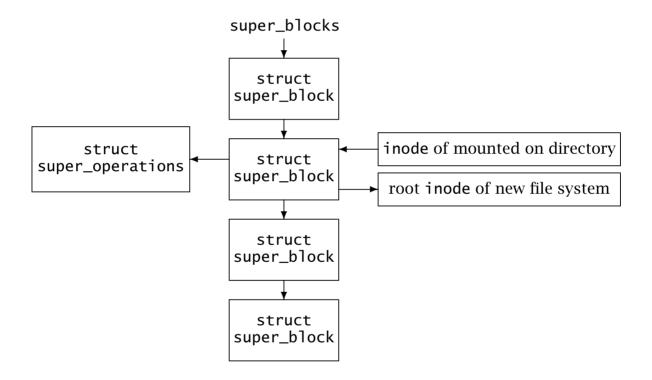


Figure 10.21: Data structures involved in mounting a file system

```
struct ext2_inode_info{
    u32 i_data[15];
    u32 i_faddr;
    u8 i_frag_no;
    u8 i_frag_size;
    u32 i_file_acl;
    u32 i_dir_acl;
    u32 i_block_group;
};
```

Figure 10.22: Ext2 file system inode data in memory

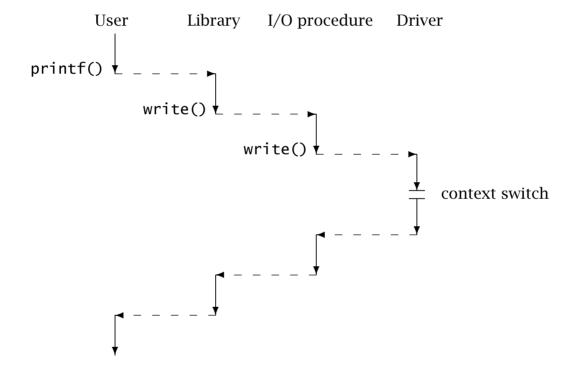


Figure 11.1: Calling a Unix style driver

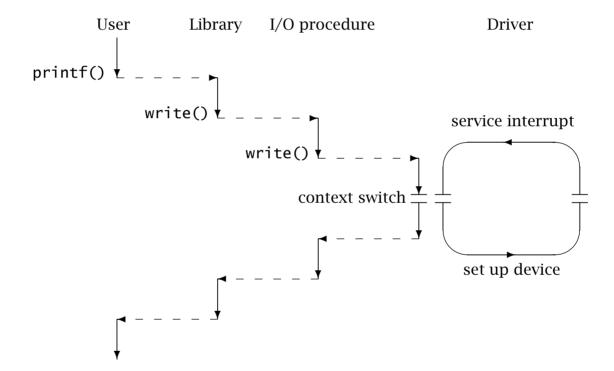


Figure 11.2: Device driver as a process

Figure 11.3: The character and block device switches

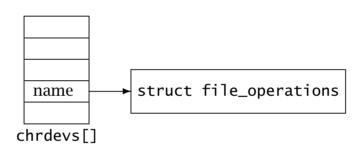


Figure 11.4: An entry in the character device switch

Figure 11.5: Algorithm for reading from a character device

Figure 11.6: Request block for block devices

```
Put struct request on device queue

IF device idle, THEN

Select struct request from queue

IF (cmd == WRITE) THEN

Transfer data from user space to device memory

ENDIF

Set up the device for specified operation

ENDIF

Return to caller.
```

Figure 11.7: Algorithm for strategy routine

Figure 11.8: Algorithm for interrupt service routine

Figure 11.9: Algorithm for writing to a character device

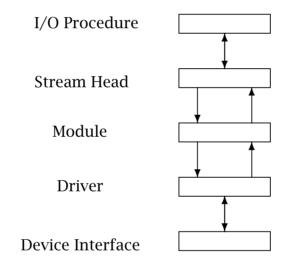


Figure 11.10: A basic view of a stream

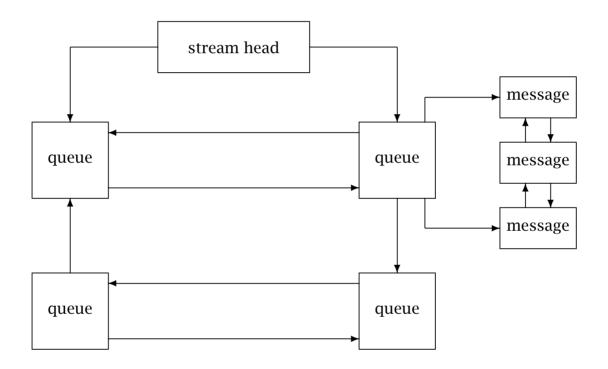


Figure 11.11: Data structures constituting a minimal stream

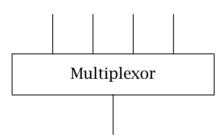


Figure 11.12: A many-to-one multiplexor

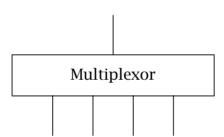


Figure 11.13: A one-to-many multiplexor

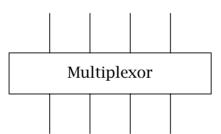


Figure 11.14: A many-to-many multiplexor

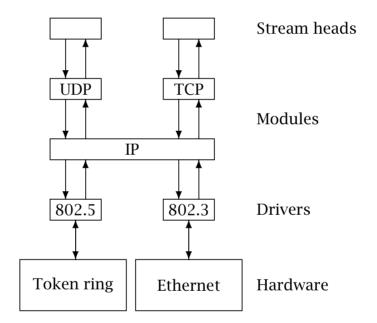


Figure 11.15: Networking multiplexor

```
struct termios{
    tcflag_t c_iflag;
    tcflag_t c_oflag;
    tcflag_t c_cflag;
    tcflag_t c_lflag;
    cc_t c_cc[];
};
```

Figure 11.16: Data structure controlling terminal characteristics

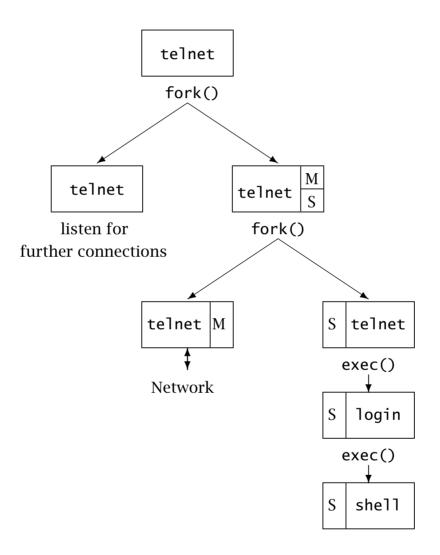


Figure 11.17: Logging on using a pseudo terminal

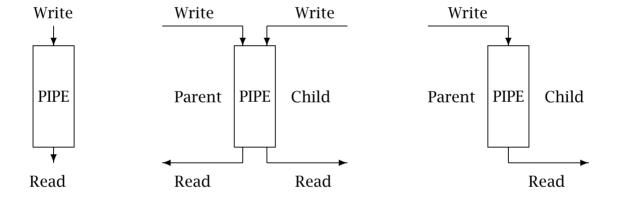


Figure 12.1: Setting up a pipe for interprocess communication

Figure 12.2: Data structure representing a pipe

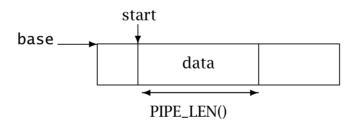


Figure 12.3: Representation of a pipe

```
#define AF_UNSPEC 0 /* Unspecified */
#define AF_UNIX 1 /* Unix domain sockets */
#define AF_INET 2 /* Internet IP Protocol */
#define AF_IPX 4 /* Novell IPX */
#define AF_APPLETALK 5 /* Appletalk */
```

Figure 12.4: Supported address families

```
struct net_proto_family{
    int family;
    int (*create)();
};
```

Figure 12.5: Data structure representing a protocol family

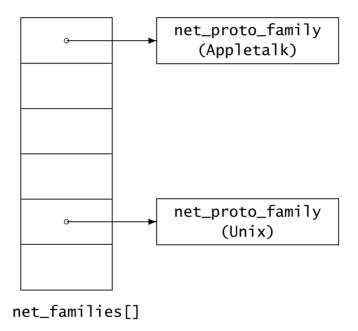


Figure 12.6: Domains registered in the kernel

```
struct socket{
                        state; /* current state of connection */
    socket_state
                        *ops; /* domain specific functions
   struct proto_ops
                                                              */
                        *data; /* domain specific data
                                                              */
    struct sock
                        **wait; /* waiting processes
   struct wait_queue
                                                              */
                        type; /* SOCK_STREAM, etc.
                                                              */
    short
};
```

Figure 12.7: Data structure representing a socket

Figure 12.8: State values for a socket

```
struct proto_ops{
    int family;
    int (*bind)();
    int (*connect)();
    int (*socketpair)();
    int (*accept)();
    int (*listen)();
    int (*shutdown)();
    int (*setsockopt)();
    int (*getsockopt)();
    int (*sendmsg)();
    int (*recvmsg)();
};
```

Figure 12.9: Data structure representing a protocol

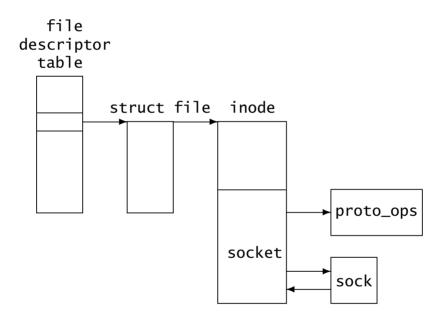


Figure 12.10: Data structures after socket is allocated

```
struct sockaddr{
        sa_family_t sa_family; /* address family, AF_xxx */
        char sa_data[]; /* protocol address */
};
```

Figure 12.11: Generic format of a socket address

Figure 12.13: Domain-specific control block

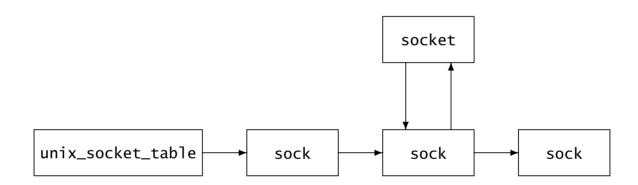


Figure 12.14: Hash chain of Unix domain control blocks

```
struct proto{
      void
                   (*close)();
                   (*connect)();
      int
      struct sock* (*accept) ();
                   (*poll)();
      int
      void
                   (*shutdown)();
                   (*setsockopt)();
      int
                   (*getsockopt)();
      int
                   (*sendmsg)();
      int
                   (*recvmsg)();
      int
      int
                   (*bind)();
};
```

Figure 12.15: A protocol specific structure

```
struct unix_opt{
        struct unix_address *addr;
        struct sock *other;
};
```

Figure 12.16: Links for a Unix specific socket

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```
struct sockaddr_un{
    unsigned short sun_family;  /* address family, AF_UNIX */
    char sun_path[104]; /* pathname */
};
```

Figure 12.17: Structure containing address of a Unix domain socket

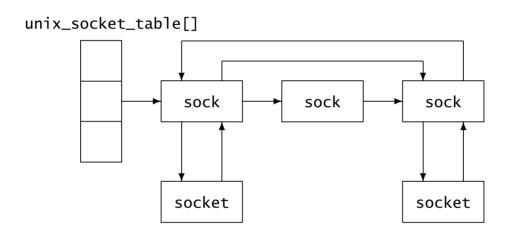


Figure 12.18: A pair of connected sockets

Figure 12.19: Buffer used for communication over sockets

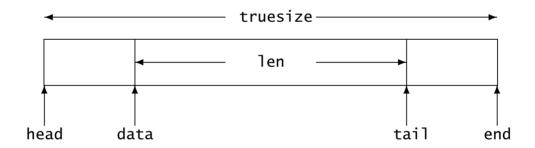


Figure 12.20: Data part of an sk_buff

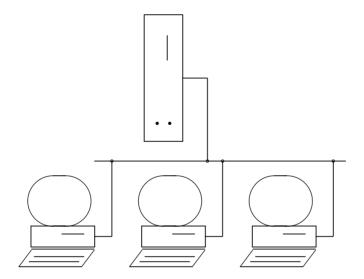


Figure 13.1: Networked computers

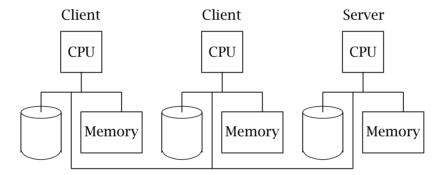


Figure 13.2: Workstations connected on a LAN

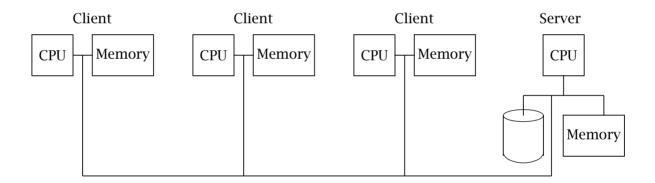


Figure 13.3: Diskless workstations on a LAN

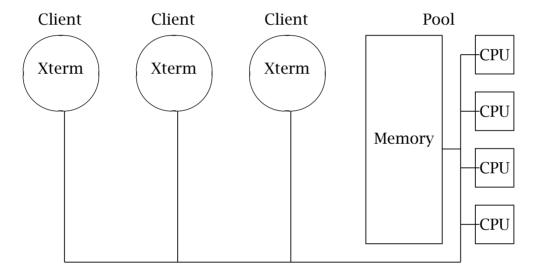


Figure 13.4: Processor pool model

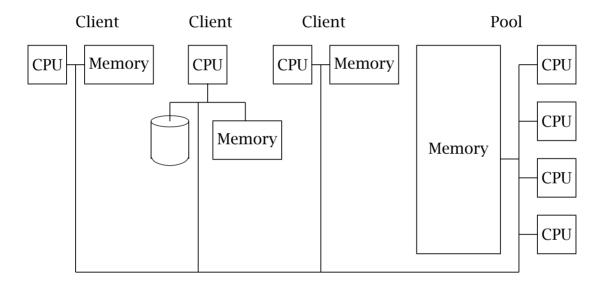


Figure 13.5: Combined model

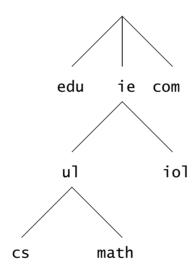


Figure 13.6: Part of the Internet name space

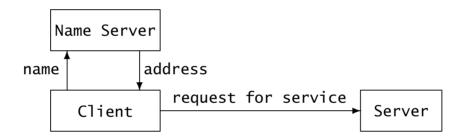


Figure 13.7: Using a name server

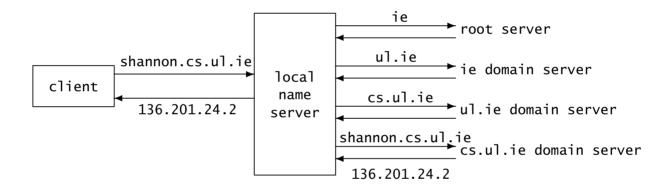


Figure 13.8: Translating an Internet name

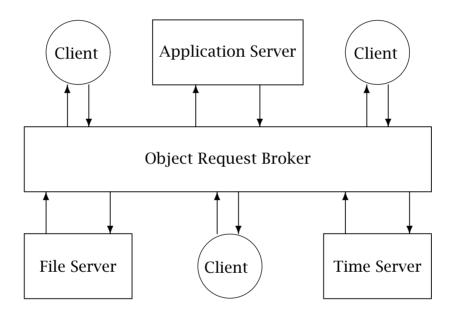


Figure 13.9: Overview of CORBA

Application	Application	Application	Application
DCE	DCE	DCE	DCE
Tru64 Unix OpenVMS		Windows 2000	OS/2
	N	letwork	

Figure 13.10: The distributed computing environment

Figure 14.1: Data structure describing a network interface

```
struct sock{
      struct options
                           *opt;
                           *next;
      struct sock
                          *partial;
      struct sk_buff
      struct sk_buff_head receive_queue, write_queue;
      struct proto
                           *prot;
                          daddr;
      u32
      u32
                           rcv_saddr;
      u16
                           dport;
      unsigned short
                           num;
      u32
                           saddr;
                           *socket;
      struct socket
                           (*data_ready)();
      void
};
```

Figure 14.2: An Internet protocol control block

Figure 14.3: Internet socket address structure

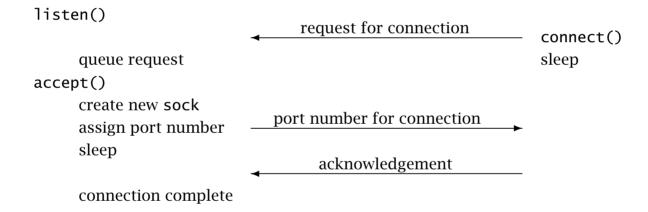


Figure 14.4: Connecting two sockets

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ethhdr	iphdr	udphdr	Data
--------	-------	--------	------

Figure 14.5: A physical frame

```
struct ethhdr{
    unsigned char h_dest[];
    unsigned char h_source[];
    unsigned short h_proto;
};
```

Figure 14.6: An ethernet header

```
struct packet_type{
    unsigned short type;
    int (*func)();
    struct packet_type *next;
};
```

Figure 14.7: Structure identifying a packet type

```
struct iphdr{
    u8  protocol;
    u16 check;
    u32 saddr;
    u32 daddr;
};
```

```
struct udphdr{
    u16 source;
    u16 dest;
    u16 check;
};
```

```
struct tcphdr{
    u16 source;
    u16 dest;
    u32 seq;
    u16 check;
};
```

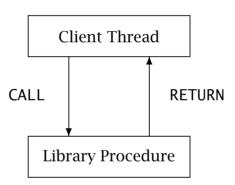


Figure 14.11: Function call/return

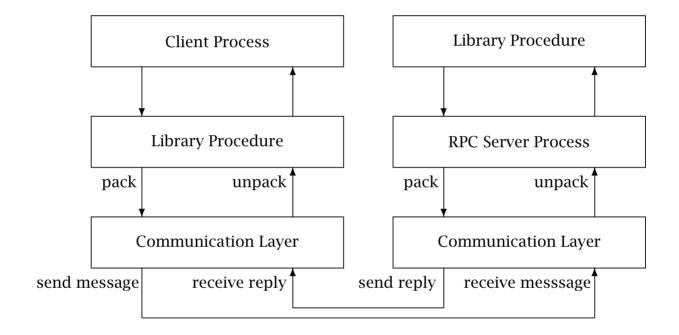


Figure 14.12: Call/return with RPC

```
program-definition:
     "program" program-name "{"
         version-list
     "}" "=" value ";"
version-list:
     version ";"
     | version ";" version list
version:
     "version" version-name "{"
     procedure-list
     "}" "=" value ";"
procedure-list:
     procedure ";"
     | procedure ";" procedure-list
procedure:
     type-ident procedure-name "(" type-ident ")" "=" value ";"
```

Figure 14.13: Format of RPC specification language

```
program MATH{
    version MATHVERSION{
    int DOUBLE (int) = 1;
      } = 1;
} = 0x20000001;
```

Figure 14.14: Interface definition for MATH program

Figure 14.15: Unix style credentials

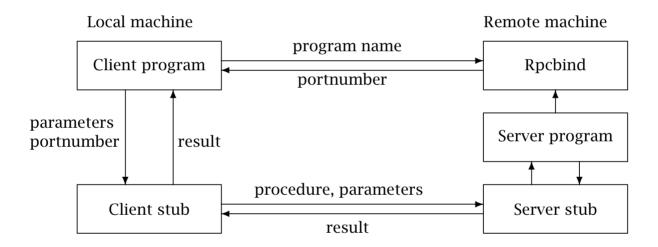


Figure 14.16: Overview of RPC system

Figure 14.17: Structure of an RPC message

Figure 14.18: Body of a call message

```
struct reply_body{
    enum reply_stat rp_stat;
    union{
        struct accepted_reply RP_ar;
        struct rejected_reply RP_dr;
    }ru;
};
```

Figure 14.19: Body of a reply message

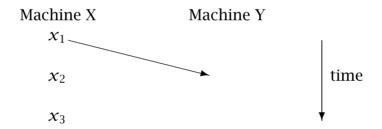


Figure 15.1: Events in process X

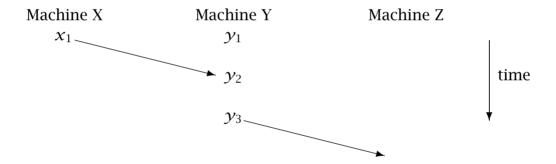


Figure 15.2: Events in process Y

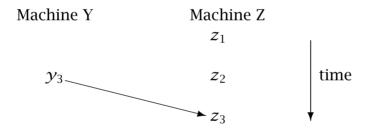


Figure 15.3: Events in process Z

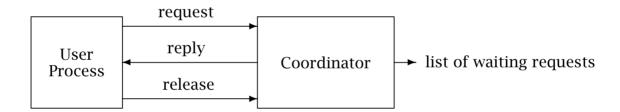


Figure 15.4: Centralised control of mutual exclusion

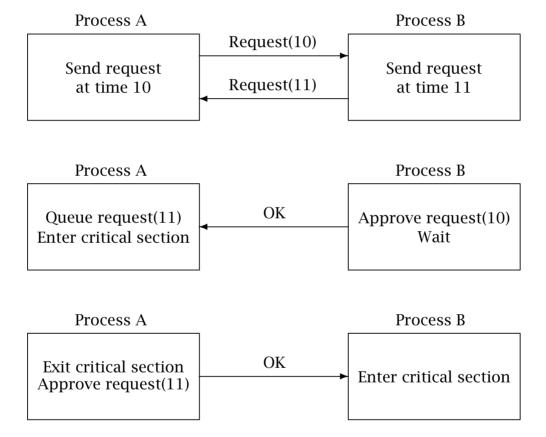


Figure 15.5: Distributed control of mutual exclusion

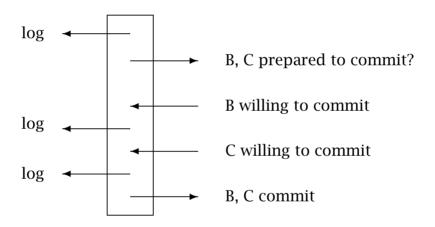


Figure 15.6: Successful two phase commit

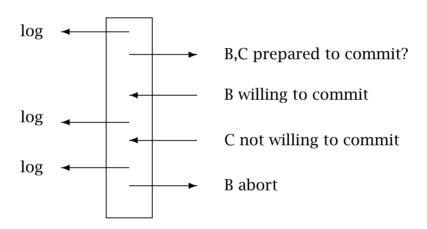


Figure 15.7: Aborted two phase commit

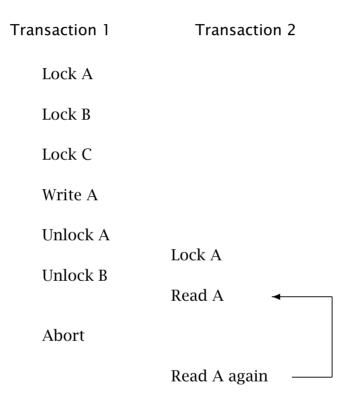


Figure 15.8: A cascaded abort

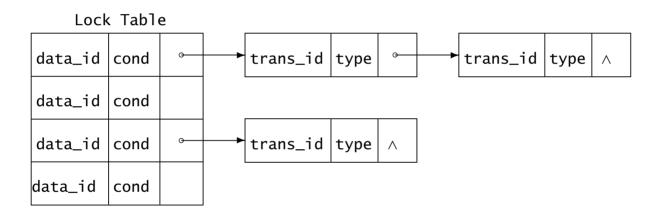


Figure 15.9: A lock table

```
Lock (data-id, trans-id, lock-type)

MUTEX_LOCK(table)

IF (data-id already in table) THEN

WHILE conflicting

CWAIT(condvar-data-id)

ENDWHILE

Add trans-id to existing entry

ENDIF

Add new entry to table

MUTEX_UNLOCK(table)
```

Figure 15.10: Algorithm for lock

```
Unlock(trans-id)

MUTEX_LOCK(table)

FOR (each entry in table belonging to trans-id) DO

Remove the entry

IF (trans-id was only holder) THEN

CSIGNAL (condvar-data-id)

ENDIF

ENDFOR

MUTEX_UNLOCK(table)
```

Figure 15.11: Algorithm for unlock

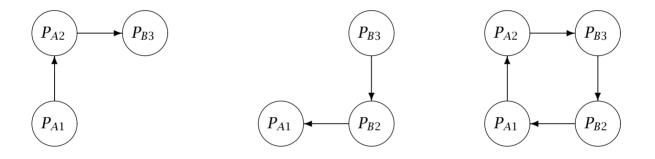


Figure 15.12: Local and global wait-for graphs

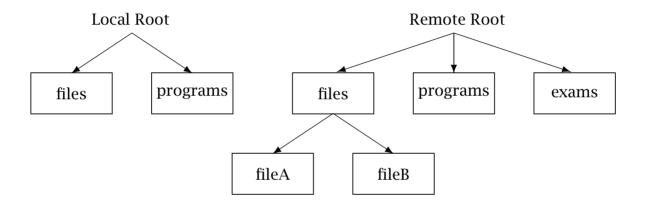


Figure 16.1: Situation before attaching remote files

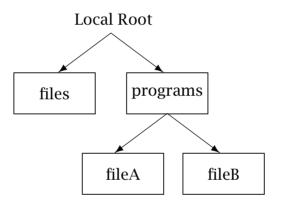


Figure 16.2: Local file system after attaching remote files

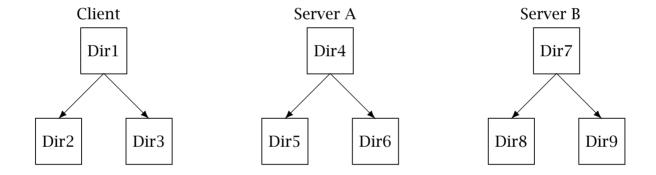


Figure 16.3: A client and two servers

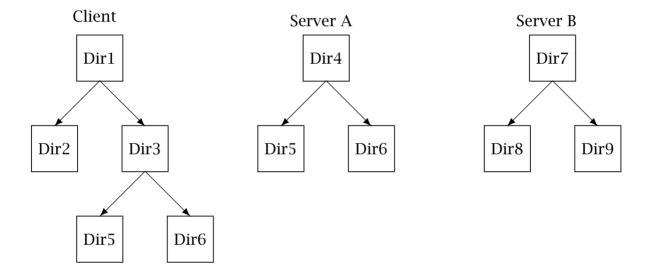


Figure 16.4: File system after mounting Dir4

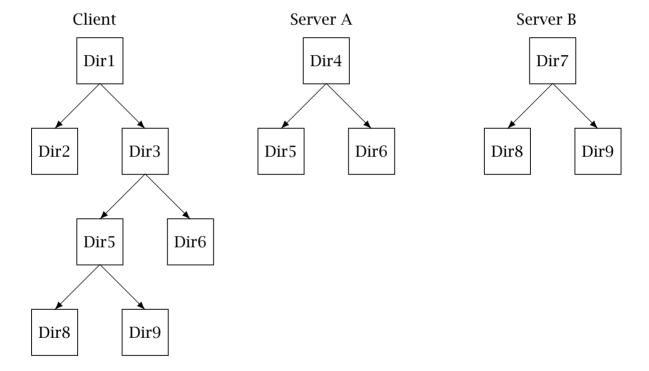


Figure 16.5: File system after mounting Dir7

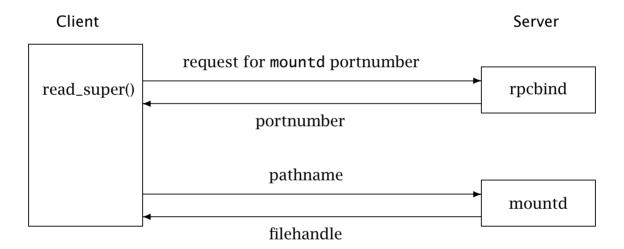


Figure 16.6: Mounting a remote file system

```
struct nfs_sb_info{
         struct nfs_server s_server;
         struct nfs_fh s_root;
};
```

Figure 16.7: NFS specific super block information

Client Server
$$\frac{data}{write() \rightarrow nfsiod} \xrightarrow{data} nfsd \rightarrow write()$$
 acknowledgement

Figure 16.8: Interaction between client and server

```
nfs_inode_info{
    struct nfs_fh fhandle;
    unsigned long read_cache_jiffies;
    unsigned long read_cache_mtime;
    unsigned long attrtimeo;
};
```

Figure 16.9: NFS specific inode information

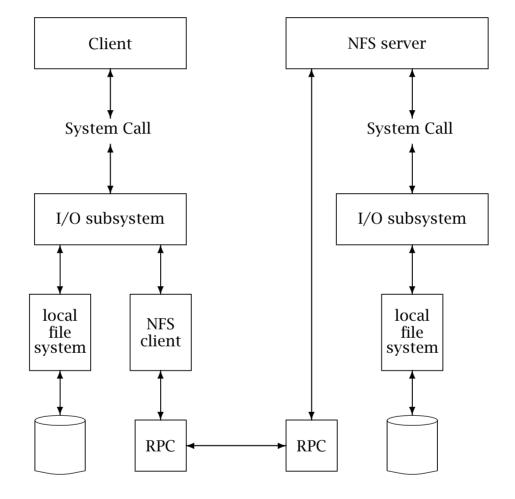


Figure 16.10: Handling local and remote file systems

	File1	File2	File3	CDdrive	Printer
Domain1	Read		Read		
Domain2				Read	Print
Domain3		Read	Execute		
Domain4	Read/Write		Read/Write		

Figure 17.1: Example access matrix

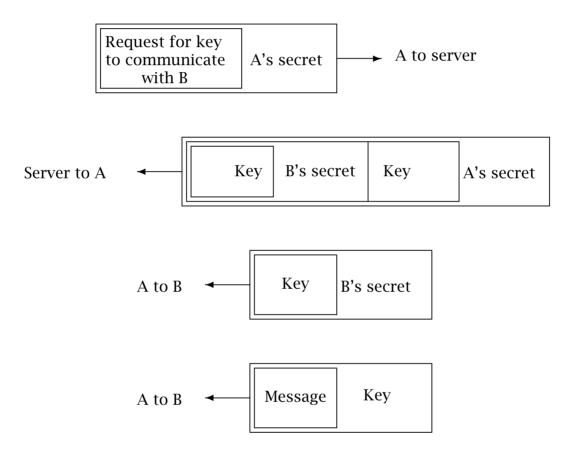


Figure 17.2: Sequence of messages with secret keys

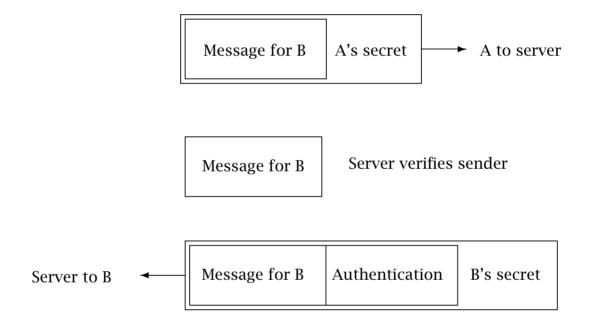


Figure 17.3: Digital signature with secret key

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Document A's private B's public

Figure 17.4: Encryption for both security and authentication

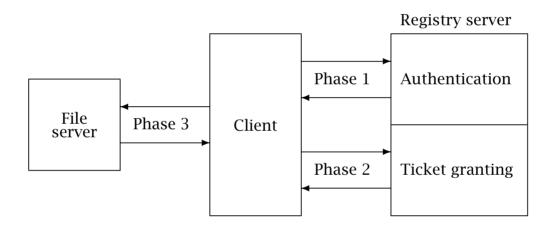


Figure 17.5: Three phases in the Kerberos system

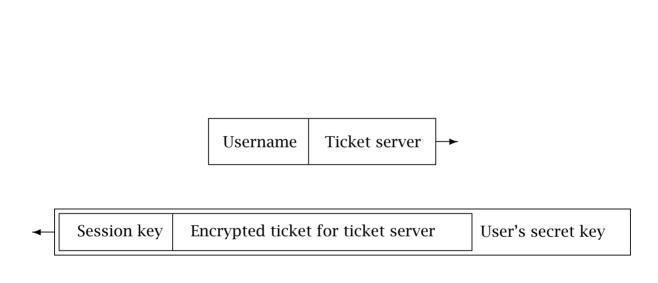


Figure 17.6: Initial exchange of messages with authentication server

Encrypted ticket for ticket server Authenticator Session key File server name

Figure 17.7: Authenticating a user to a file server

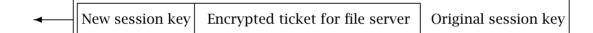


Figure 17.8: Authenticating a user to a file server

Authenticator | Session key

Request

Figure 17.9: Requesting service of a file server

Encrypted ticket for file server