

# EC8395 Communication Engineering

Unit-1-Information Theory and Coding

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# Session Objectives

- To understand about Shannon's Theorem's I, II and III
- Know the fundamental limit of channel capacity



# Session Outcomes

- At the end of the session, students will be able to
  - Understand effect of Bandwidth and Noise in channel capacity.
  - To understand the difference and importance of Shannon's algorithm in information theory.



# Shannon's First Theorem-Source coding Theorem

Coding efficiency is given by,

$$\eta = \frac{L_{\min}}{\bar{L}} \quad (7.1)$$

'where  $\bar{L}$  represents the average number of bits per symbol and called code length,  $L_{\min}$  denotes the minimum possible value of  $\bar{L}$ .

Given a discrete memoryless source of entropy  $H(s)$ , the average code word length  $\bar{L}$  for any source coding is bounded as,

$$\bar{L} \geq H(S) \quad (7.2)$$

Here  $H(S)$  represents the fundamental limit on the average number of bits per symbol. Hence we can make  $H(S) \approx L_{\min}$ ; therefore efficiency is given by

$$\eta = \frac{H(S)}{\bar{L}} \quad (7.3)$$



# Shannon's Second Theorem-Channel coding theorem

Consider a bandlimited channel, whose bandwidth is ' $B$ ', and which carries a signal having  $M$  number of levels. Then the maximum data rate ' $R$ ' of the channel is

$$R = 2B \log_2 M \quad (7.4)$$

For noiseless channel data rate,  $R$  should satisfy the following condition  $R \ll C$ . This condition is referred to as channel coding theorem or Shannon's second theorem. Here  $C$  represents the capacity of the channel.



# Shannon's Channel Capacity Theorem

The channel capacity of a white, bandlimited Gaussian channel is given by

$$C = B \log_2 \left( 1 + \frac{S}{N} \right) \text{bits/sec} \quad (7.5)$$

Where  $B$ -is channel bandwidth,  $S$ -is signal power,  $N$ -is noise within the channel bandwidth and it is referred to as noise power.  $N = N_o B$ .

$\left( \frac{N_o}{2} \right)$  is the power spectral density of white noise.

Capacity  $C$  depends on two factors  $B$  and  $S/N$  ratio. We have to find out the maximum possible value of  $C$ .



# Effect of $S/N$ on $C$

Let us assume the communication channel as noiseless, then  $N=0$ , therefore  $S/N \rightarrow \infty$ . Hence capacity  $C$  also tends to  $\infty$ . Thus a noiseless channel has *infinite capacity*.

# Effect of $B$ on Channel Capacity $C$

Let us consider some noise is present in the channel. Then  $S/N$  is not infinite.

Now if the bandwidth approaches to  $\infty$  (infinity), the channel capacity does not become infinite, since  $N = N_o B$ , i.e. noise power also increases with the channel bandwidth  $B$ . This reduces the value of  $(S/N)$ , with increase in  $B$ , assuming signal power ( $S$ ) as constant.

Therefore we can conclude that a channel with infinite bandwidth has a finite channel capacity. It is denoted by  $C_\infty$ .

$$C_\infty = 1.44 \frac{S}{N_o}$$





# Proof

*Channel with infinite bandwidth has finite capacity:*

Channel capacity  $C$  is given by,

$$C = B \log_2 \left( 1 + \frac{S}{N} \right) \quad (7.6)$$

Noise power  $N$  is given by,

$$N = N_o B \quad (7.7)$$

Substitute equ. (7.7) in (7.6),

$$\begin{aligned} C &= B \log_2 \left( 1 + \frac{S}{N_o B} \right) \\ &= \left( \frac{S}{N_o} \right) \left( \frac{N_o}{S} \right) B \log_2 \left( 1 + \frac{S}{N_o B} \right) \\ &= \left( \frac{S}{N_o} \right) \left( \frac{N_o B}{S} \right) \log_2 \left( 1 + \frac{S}{N_o B} \right) \\ &= \left( \frac{S}{N_o} \right) \log_2 \left[ \left( 1 + \frac{S}{N_o B} \right) \right]^{N_o B / S} \end{aligned}$$

When  $B \rightarrow \infty$ ;

$$C_\infty = \left( \frac{S}{N_o} \right) \log_2 e$$

$$C_\infty = \left( \frac{S}{N_o} \right) 1.44$$

$$\because \lim_{x \rightarrow 0} (1+x)^{1/x} = e$$



(7.8)

*Summary:*

Channel capacity  $C$  depends on bandwidth  $B$  and  $S/N$ .

- Noiseless channel has infinite capacity.
- Channel with infinite bandwidth has a finite channel capacity.

This theorem is applicable to Gaussian noise channel.