

**Mike Donahoe** · 3rd

Senior Vice President, Chief Compliance Officer at Antares Capital

Cedarville University · Greater Chicago Area · Financial Services · 500+

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4/5/2021 · 6:04 PM

**Taylor Cordy** sent an **InMail** (No response yet)

4/5/2021 · 6:04 PM

### Summary

CPA with more than twenty years experience in senior management positions. Unique blend of experience that links asset management, advisory and mutual fund regulatory compliance, risk management and operational management with a background in audit and financial management.

#### Proven Areas of Expertise

Chief Compliance Officer for Investment Advisers and Broker-Dealers and Anti-Money Laundering Officer. Broad-based practical knowledge of issues and regulations developed through interfacing with clients, fund counsels, industry groups, the SEC and industry contacts. Management experience with compliance and accounting from both asset management and service provider perspectives. Extensive reporting and interactions with various fund and asset management boards/trustees. [See less of summary](#)

**Open to work**

### Experience



#### Chief Compliance Officer

**Antares Capital LP**

Mar 2018 – Present • 4 yrs 11 mos

Greater Chicago Area

With approximately \$50 billion of capital under management and administration as of December 31, 2021, Antares is a private debt credit manager and leading provider of financing solutions for middle-market private equity-backed transactions. Antares issues financing commitments to borrowers through its robust suite of products including first lien revolving, term loans and delayed draw term loans, 2nd lien term loans, unitranche facilities and equity investments. Antares world-class capital markets experts hold relationships with over 400 banks and institutional investors allowing the firm to structure, distribute and trade syndicated loans on behalf of its customers. Since its founding in 1996, Antares has been recognized by industry organizations as a leading provider of middle

market private debt. The company maintains offices in Atlanta, Chicago, Los Angeles, New York and Toronto.



### **Senior Vice President - Chief Compliance Officer Registered Investment Adviser Group**

**PNC**

Aug 2011 – Mar 2018 • 6 yrs 8 mos  
Cleveland, Ohio

Chief Compliance Officer with responsibilities for five registered investment advisers with separately managed accounts, registered funds and private funds covering fixed income, equity, real estate, loans and private equity strategies within PNC Financial Services Group, Inc., one of the nation's largest regional banks. Implement and monitor compliance programs that are efficient and effective in providing client safeguards, fiduciary oversight, risk management and mitigation of conflicts of interest. Deliver presentations to senior management and various boards on the adequacy and status of the asset management compliance programs. Assess the adequacy of existing controls, compliance policies and operational procedures that support investment adviser operations. Update policies and procedures to reflect industry best practices and regulatory initiatives. Conduct annual and ad hoc training on compliance policies and specific areas of regulatory focus. Manage, train and develop a professional staff of ten specialists, senior specialists and vice presidents.



### **Senior Vice President - Fund Compliance**

**Citi**

Jul 2006 – Aug 2011 • 5 yrs 2 mos  
Columbus, Ohio

One of the world's largest banking organizations provides administration and distribution services to mutual funds, hedge funds, retirement plans and insurance companies through the Securities and Fund Services division. Responsible for implementing and monitoring SEC and IRS regulations for fifty mutual fund clients with more than eight hundred mutual funds. Deliver presentations and generate written reports to various boards on operation of the funds' and service providers' policies and procedures. Conduct mock SEC audits and assist clients with SEC audit preparation and support. Manage, train and develop a professional staff of seventeen specialist, senior specialists and vice presidents. Serve as Chief Compliance Officer for four Broker/Dealers and AML Officer for one client.



### **Chief Financial Officer/Chief Compliance Officer**

**Shaker Investments, LLC**

Feb 2002 – Jul 2006 • 4 yrs 6 mos  
Cleveland, Ohio

Privately held registered investment adviser with over \$2B in assets under management specializing in separately managed accounts for both large institutions and high net worth individuals. Investment products included seven domestic and off-shore hedge funds, a mutual fund and two equity strategies for separate accounts focused on stocks of small to medium sized companies. Responsible for financial plans, policies and activities related to accounting, payroll, tax, audit, risk management, leasing programs, information technology and cash management. Coordinated financial management and partnership accounting for domestic and international hedge funds and mutual fund. Developed, implemented and maintained compliance programs, policies and procedures relating to SEC and FINRA rules and regulations. Coordinated third party accounting, mutual fund administration, tax and legal support. Prepared and presented reports to the board on the status of financial and compliance results. Supervised areas responsible for compliance, accounting, operations, trading, administration and information technology. Developed and participated in compliance training for firm employees and registered reps. Coordinated and managed regulatory examinations and audits.



### **Director of Corporate Accounting**

**Shiloh Industries**

Feb 1997 – Feb 2002 • 5 yrs 1 mo  
Cleveland, Ohio

### Senior Financial Accounting Analyst

Medical Mutual of Ohio

Apr 1994 – Feb 1997 • 2 yrs 11 mos

Cleveland, Ohio

### Senior Internal Auditor

Humility of Mary Health Care System

Nov 1990 – Apr 1994 • 3 yrs 6 mos

Lorain, Ohio



### Internal Auditor

**Norandex Building Materials**

Apr 1989 – Nov 1990 • 1 yr 8 mos

Cleveland, Ohio

Show less ^

## Education



### Cedarville University

Bachelor's degree • Accounting

1982 – 1986

## Skills (7)

Regulatory Compliance

Risk Management

Financial Regulation

U.S. Securities and Exchange Commission (SEC)

Legal Compliance

Anti Money Laundering

Securities Regulation

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## Interests



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## Personal Information



### Mike's profile

<https://www.linkedin.com/in/mike-donahoe-9600365a>

## Recruiting Tools

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## Similar Profiles

### Nick Passarelli · 3rd

Proven leader in the field of Compliance. Known for cross functional collaboration and providi...

New York City Metropolitan Area



### David Zack · 3rd

Chief Financial Officer & Chief Compliance Officer at BRYAN GARNIER SECURITIES, LLC

New York, New York, United States



### Frank Martorana · 3rd

Director

Bellmore, New York, United States



### Brian Hirsch · 3rd

Retired-Former Chief Compliance Officer at Nationwide Funds Group

Columbus, Ohio, United States



### John C. · 3rd

Senior Vice President, Chief Ethics & Compliance Officer at ServiceNow

San Francisco Bay Area



### Chad Estep · 3rd

Managing Director at Morgan Stanley

New York City Metropolitan Area



### Judith (Judy) Belluomini, CRCM, CAMS, CIPP/US, MS Cybersecurity · 3rd

Risk and Compliance Executive

Lehi, Utah, United States



### Marcus Arneaud · 3rd

Chief Compliance Officer - B. Riley Wealth Management

United States



### Jeff Horowitz · 3rd

Chief Compliance Officer at BitGo

Marlboro, New Jersey, United States



### Luis M. Cifuentes Larrain, CAMS, CCRP · 3rd

Risk Management for Corporate Leaders at Harvard Business School

New York City Metropolitan Area

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