

# **Spatial Data Structures**

---

**Computer Graphics  
CMU 15-462/662**

# Course roadmap

## Drawing Things

**Key concepts:**  
**Sampling (and anti-aliasing)**  
**Coordinate Spaces and Transforms**



**Drawing a triangle (by sampling)**

**Transforms and coordinate spaces**

**Perspective projection and texture sampling**

**Occlusion and alpha compositing  
(+ the end-to-end GPU pipeline)**

## Geometry

**Key concepts:**  
**Implicit vs. explicit representations**  
**Manifold property of surfaces**  
**Geometry processing as resampling**



**Representing geometry and surfaces**

**Properties of curves and surfaces, mesh representation**

**Mesh processing operations**

**Geometric queries (e.g., ray-triangle intersection test)**

**Accelerating geometric queries (e.g., ray-mesh intersection)**

## Materials and Lighting



# Complexity of geometry



**How can we efficiently  
perform a geometric query on  
a scene of this complexity?**

**Important use case: ray tracing**

# Review: ray-triangle intersection

## ■ Find ray-plane intersection

Parametric equation of a ray:

$$\mathbf{r}(t) = \mathbf{o} + t\mathbf{d}$$

ray origin

normalized ray direction

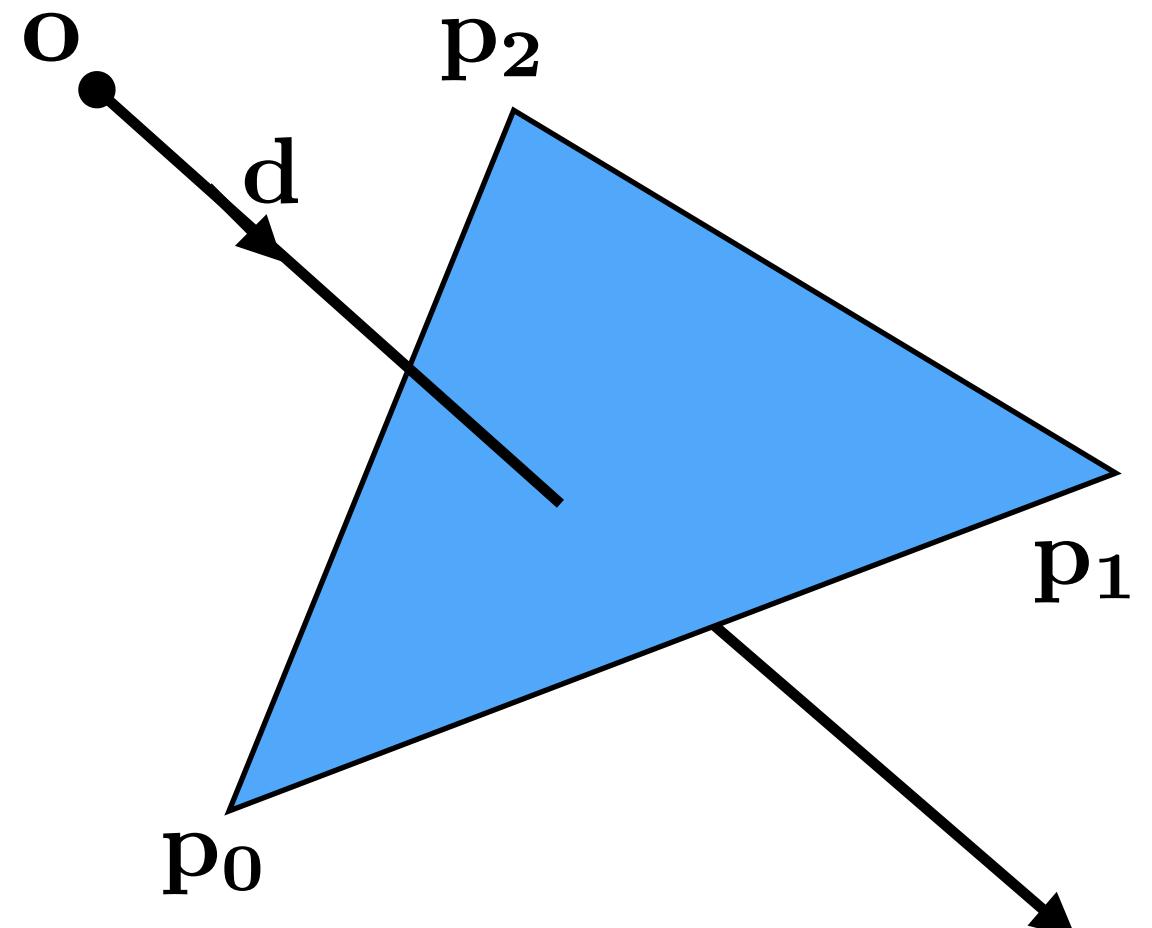
Plug equation for ray into implicit plane equation:

$$\mathbf{N}^T \mathbf{x} = c$$

$$\mathbf{N}^T(\mathbf{o} + t\mathbf{d}) = c$$

Solve for  $t$  corresponding to intersection point:

$$t = \frac{c - \mathbf{N}^T \mathbf{o}}{\mathbf{N}^T \mathbf{d}}$$



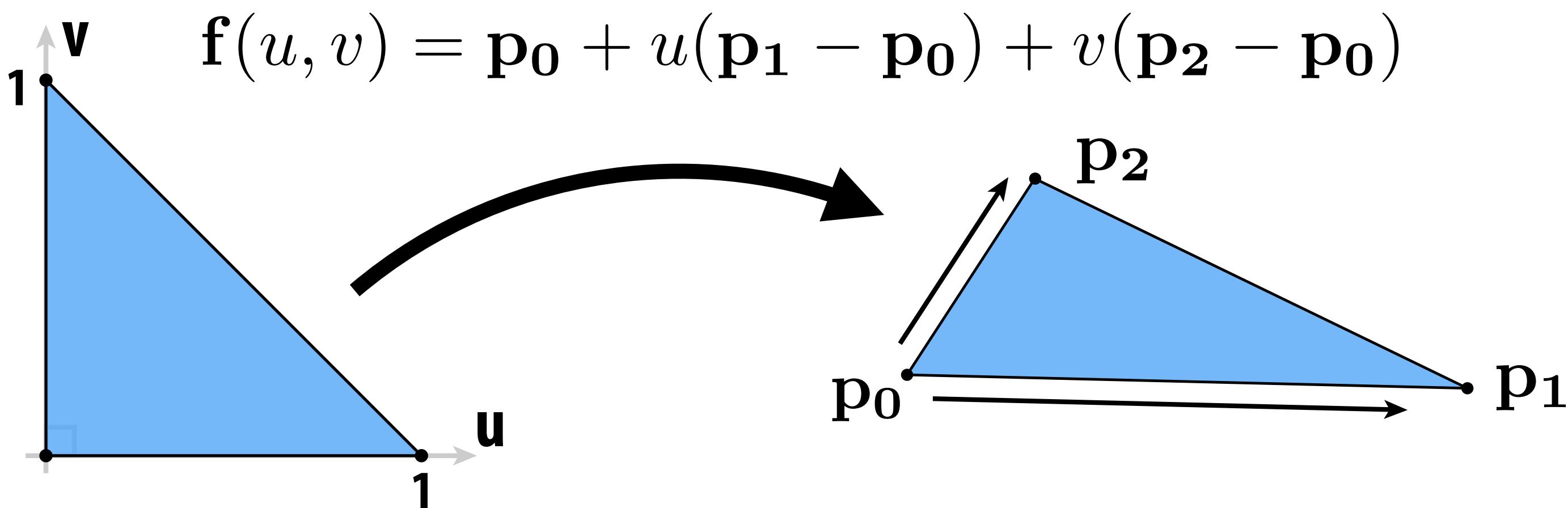
## ■ Determine if point of intersection is within triangle

# Ray-triangle intersection—a different way

- Parameterize triangle given by vertices  $p_0, p_1, p_2$  using barycentric coordinates

$$f(u, v) = (1 - u - v)p_0 + up_1 + vp_2$$

- Can think of a triangle as an affine map of the unit triangle



# Ray-triangle intersection—a different way

Plug parametric ray equation directly into equation for points on triangle:

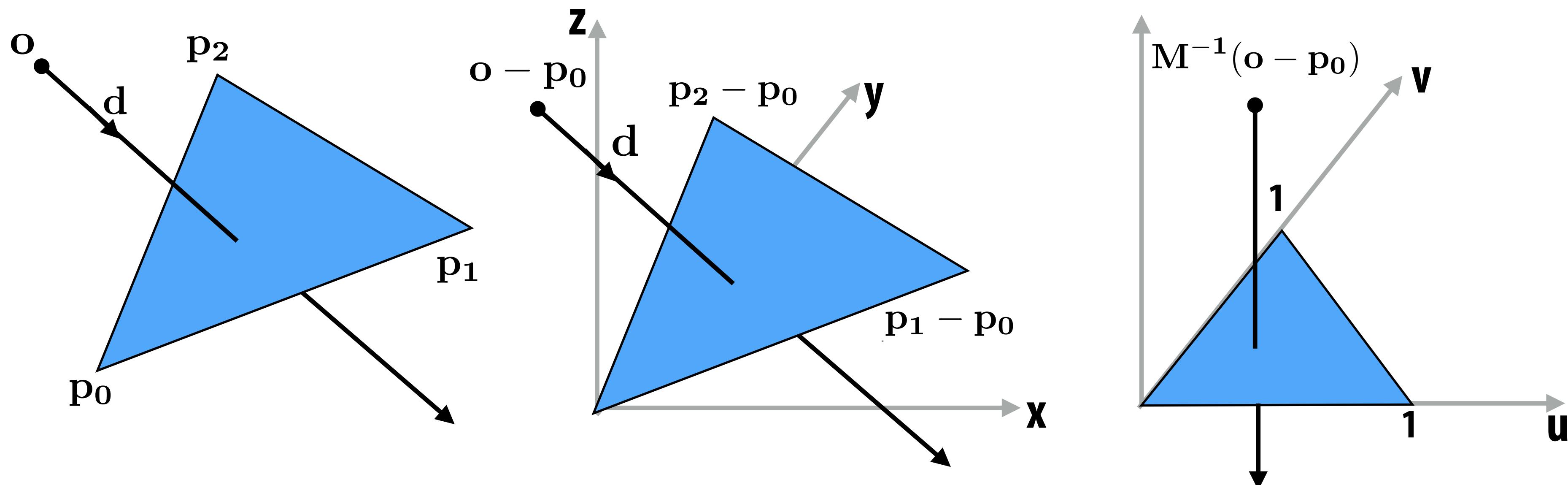
$$p_0 + u(p_1 - p_0) + v(p_2 - p_0) = o + td$$

Solve for  $u, v, t$ :

$$\begin{bmatrix} p_1 - p_0 & p_2 - p_0 & -d \end{bmatrix} \begin{bmatrix} u \\ v \\ t \end{bmatrix} = o - p_0$$

$\mathbf{M}$

$\mathbf{M}^{-1}$  transforms triangle back to unit triangle in  $u, v$  plane, and transforms ray's direction to be orthogonal to plane



# First Hit Problem

Given a scene defined by a set of  $N$  primitives and a ray  $r$ , find the closest point of intersection of  $r$  with the scene

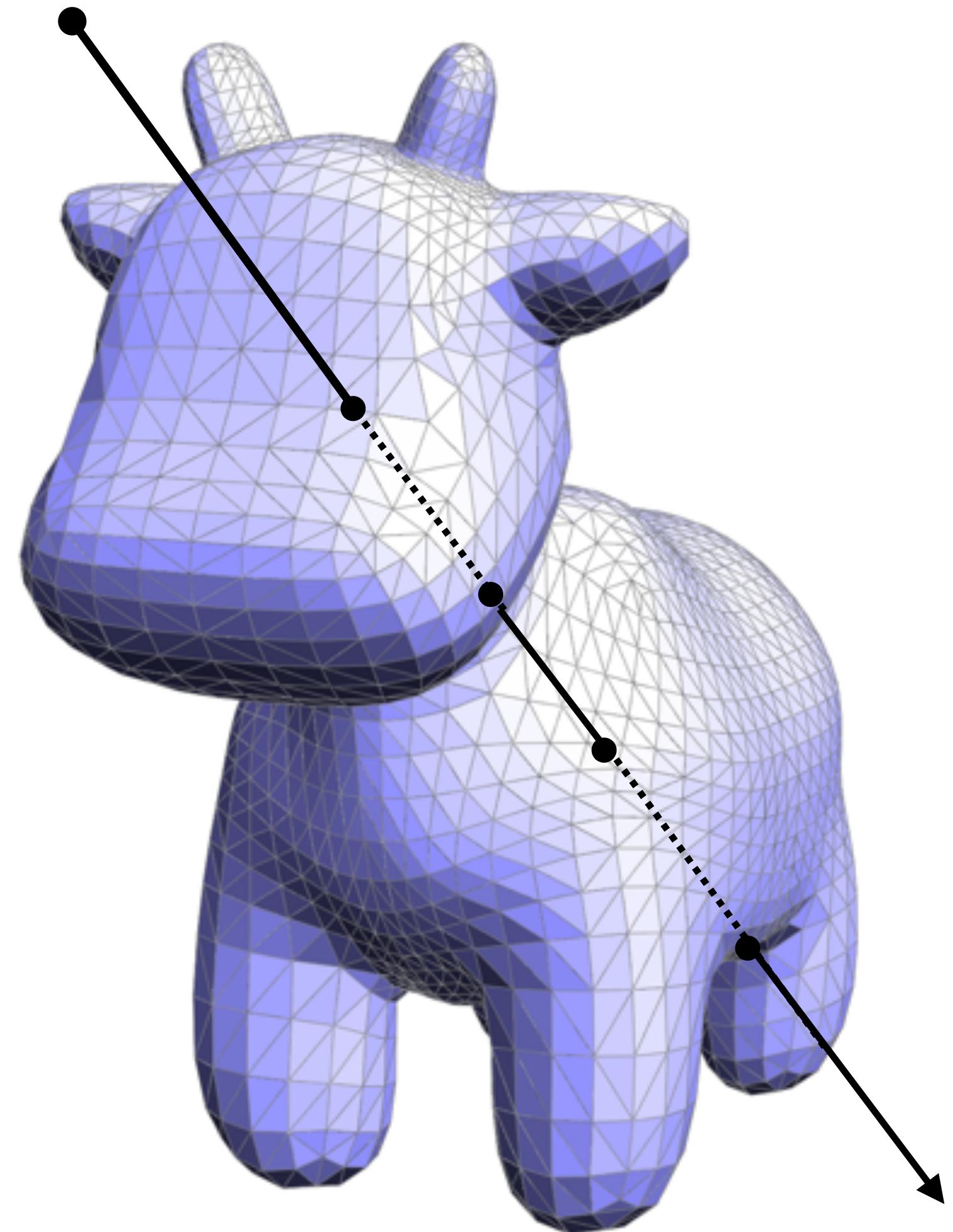
“Find the first primitive the ray hits”

Naïve algorithm?

1. Intersect ray with every triangle
2. Keep the closest hit point

Complexity?  $O(N)$

Can we do better?



# Bounding Box

- Precompute smallest “bounding box” around all primitives

- Q: How?

- A: Loop over vertices; keep max/min (x,y,z) coordinates

- Intersect ray with box

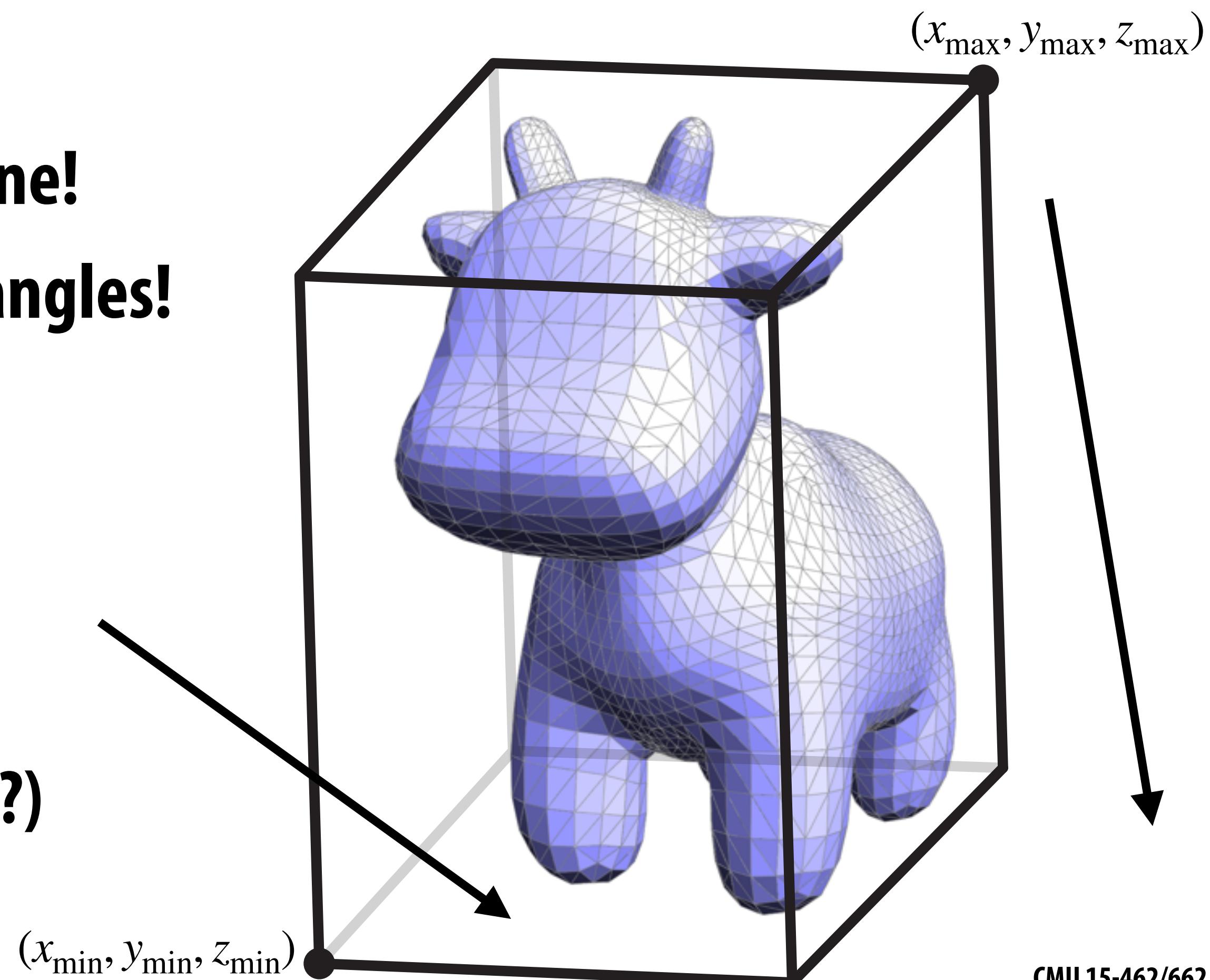
- If it misses, we’re done!

- If it hits...try all triangles!

**Did we actually do better?**

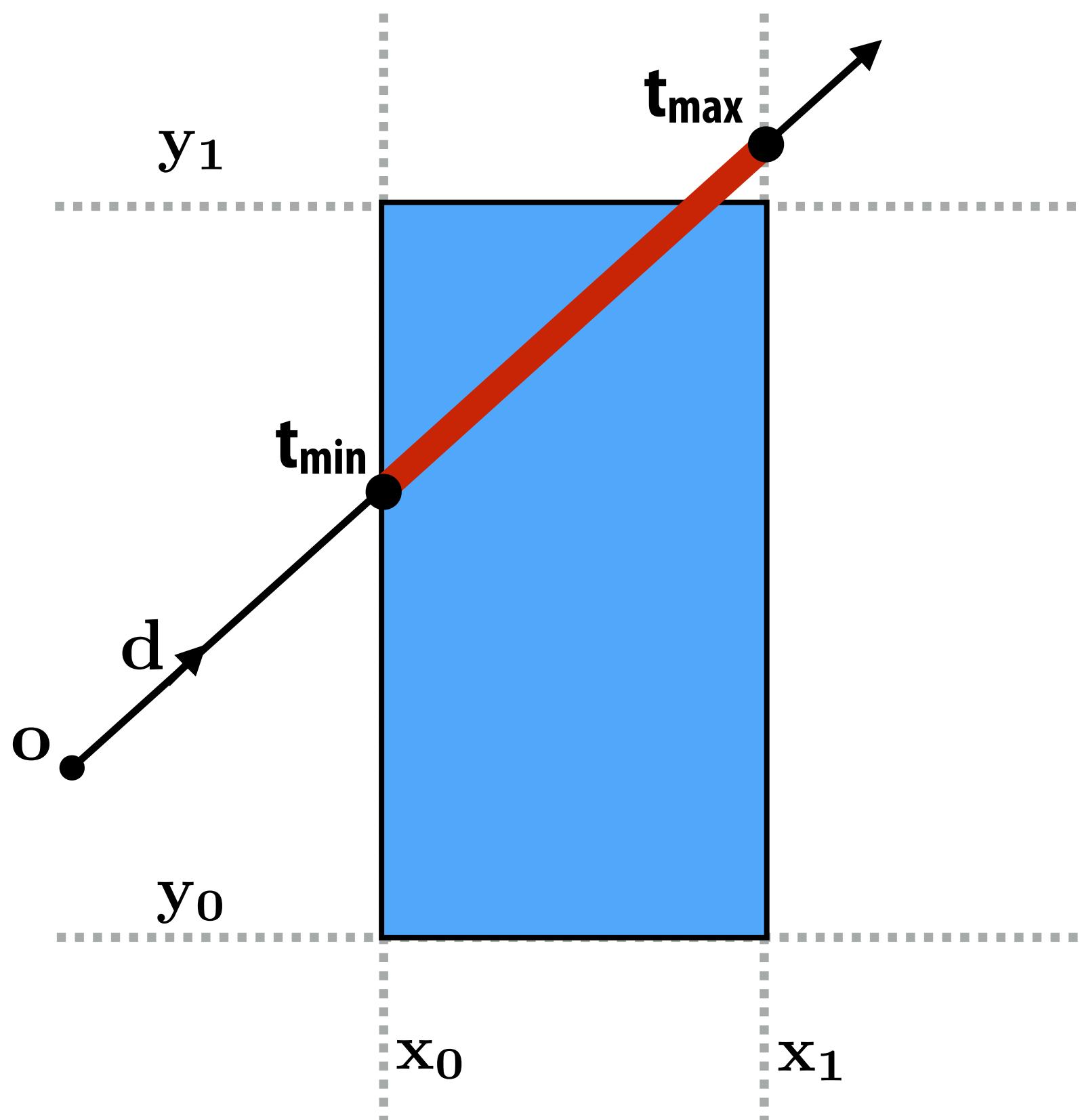
No! Worst case is still  $O(N)$

(Also: ray-box intersection?)



# Ray-axis-aligned-box intersection

What is ray's closest/farthest intersection with axis-aligned box?



**Find intersection of ray with all planes of box:**

$$\mathbf{N}^T(\mathbf{o} + t\mathbf{d}) = c$$

**Math simplifies greatly since plane is axis aligned (consider  $x=x_0$  plane in 2D):**

$$\mathbf{N}^T = [1 \quad 0]^T$$

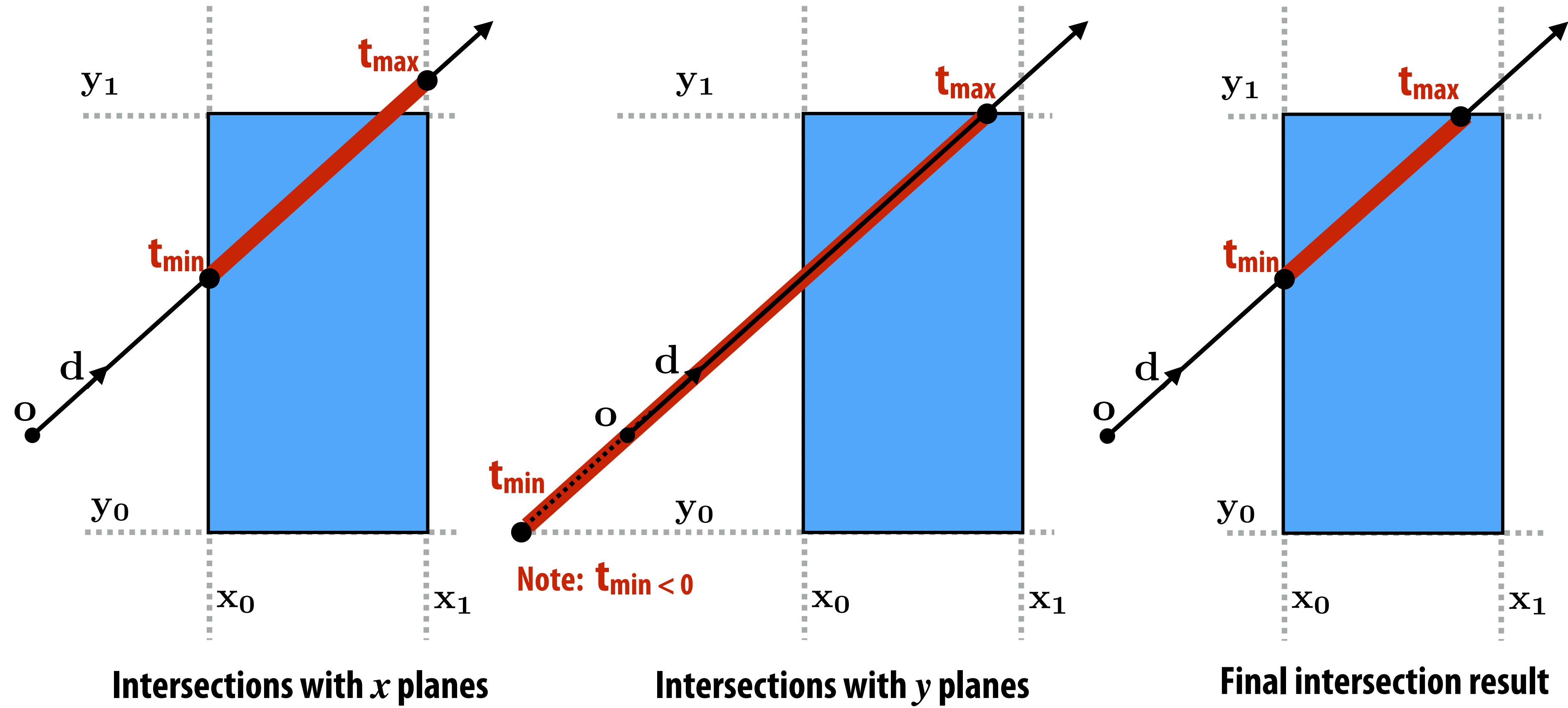
$$c = x_0$$

$$t = \frac{x_0 - \mathbf{o}_x}{\mathbf{d}_x}$$

Figure shows intersections with  $x=x_0$  and  $x=x_1$  planes.

# Ray-axis-aligned-box intersection

Compute intersections with all planes, take intersection of  $t_{\min}/t_{\max}$  intervals



How do we know when the ray misses the box?

**Ok, but we still didn't  
make it any faster!**

**How do we speed things up?**



# A simpler problem...

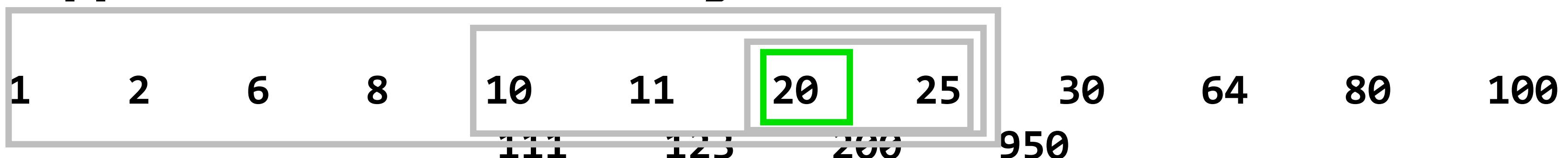
- Imagine I have a set of integers  $S$
- Given an integer, say  $k=18$ , find the element of  $S$  closest to  $k$ :

10      123      2      100      6      25      64      11      200      30      950  
111      20      8      1      80

What's the cost of finding  $k$  in terms of the size  $N$  of the set?

Can we do better?

Suppose we first sort the integers:



How much does it now cost to find  $k$  (including sorting)?

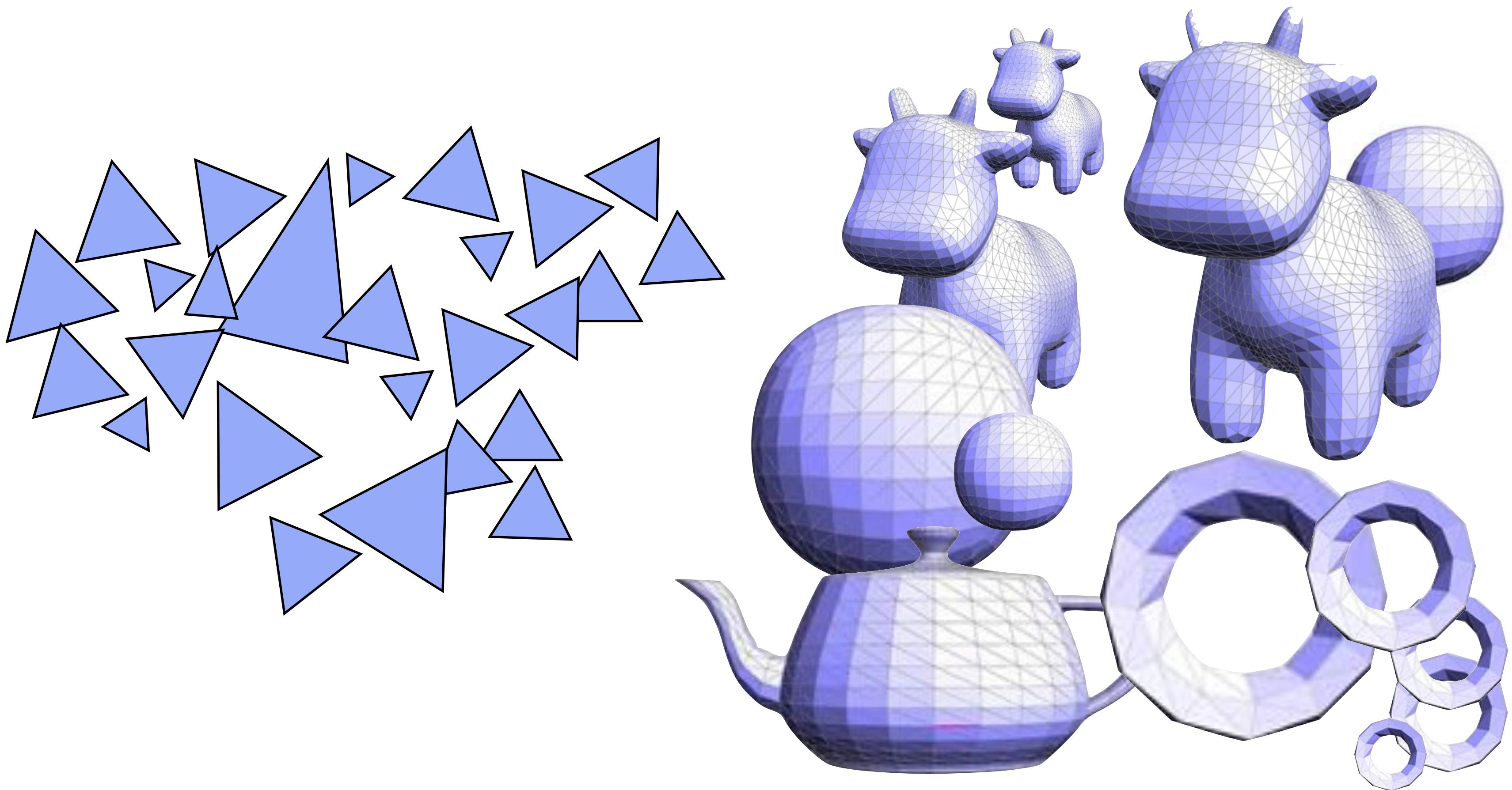
Cost for just ONE query:  $O(n \log n)$

Amortized cost:  $O(\log n)$

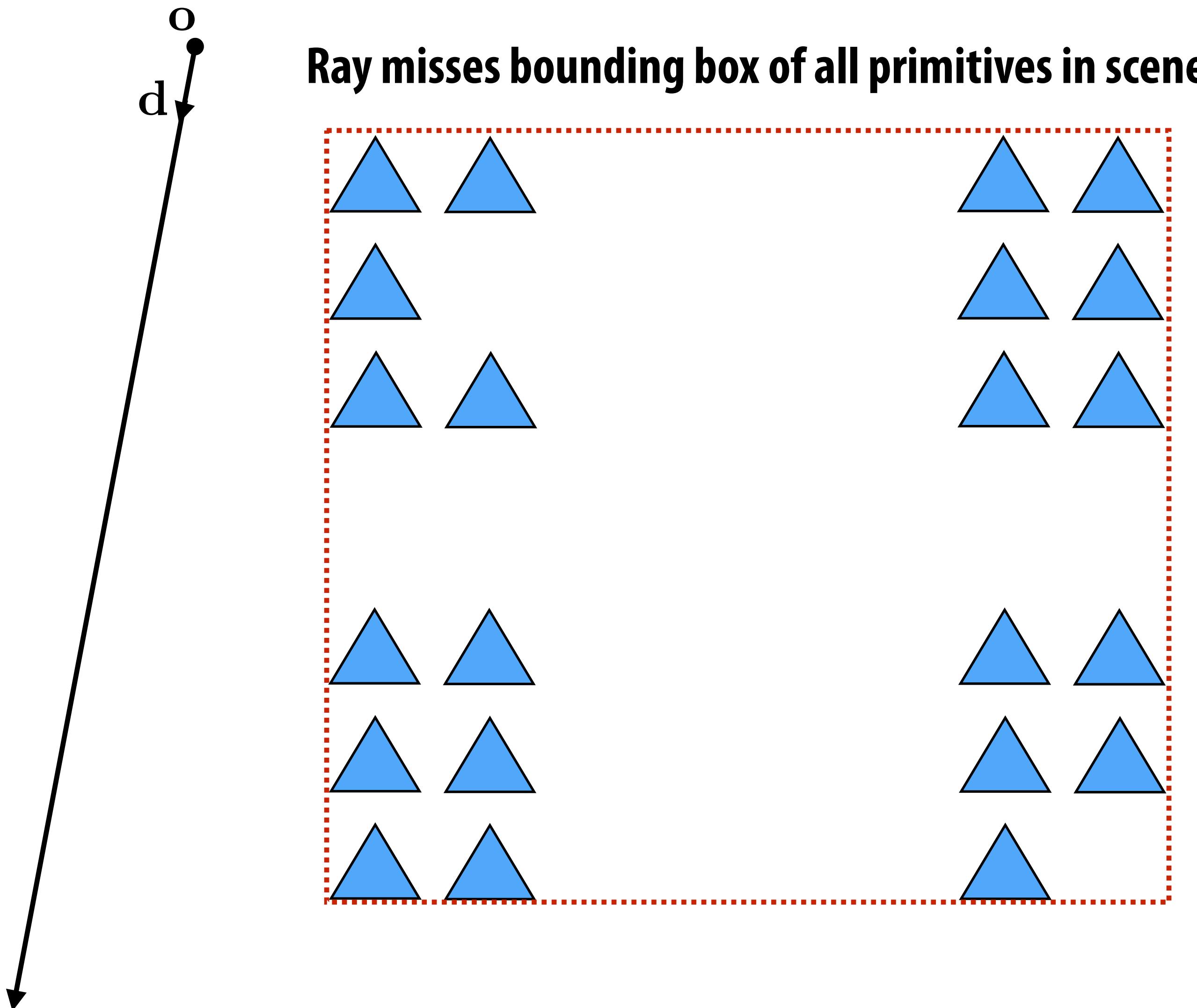
worse than before! :-)

...much better!

# Can we also reorganize scene primitives to enable fast ray-scene intersection queries?



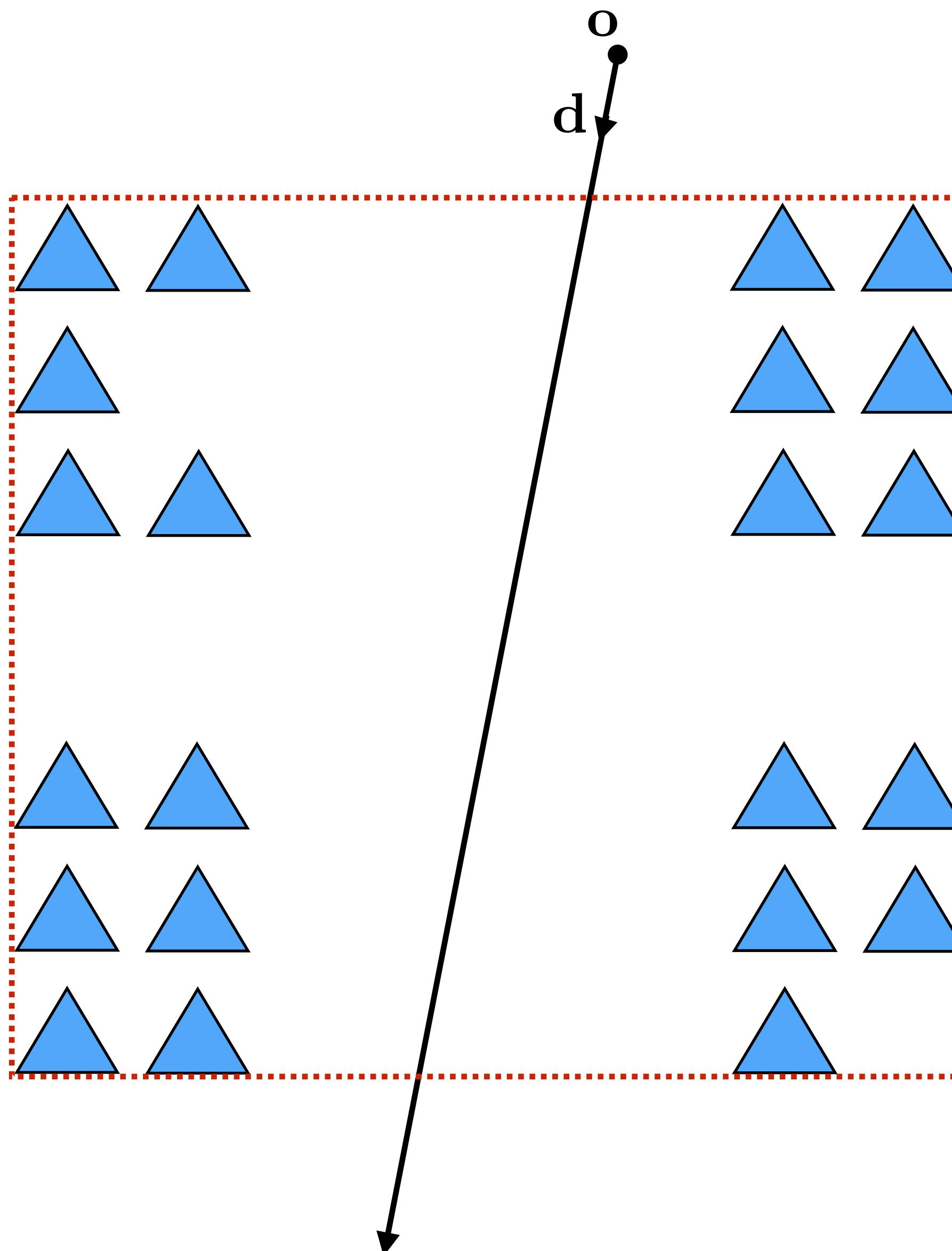
# Simple case



**Cost (misses box):**  
**preprocessing:  $O(n)$**   
**ray-box test:  $O(1)$**   
**amortized cost\*:  $O(1)$**

**\*over many ray-scene intersection tests**

# Another (should be) simple case



**Cost (hits box):**  
**preprocessing:  $O(n)$**   
**ray-box test:  $O(1)$**   
**triangle tests:  $O(n)$**   
**amortized cost\*:  $O(n)$**

**Still no better than  
naïve algorithm  
(test all triangles)!**

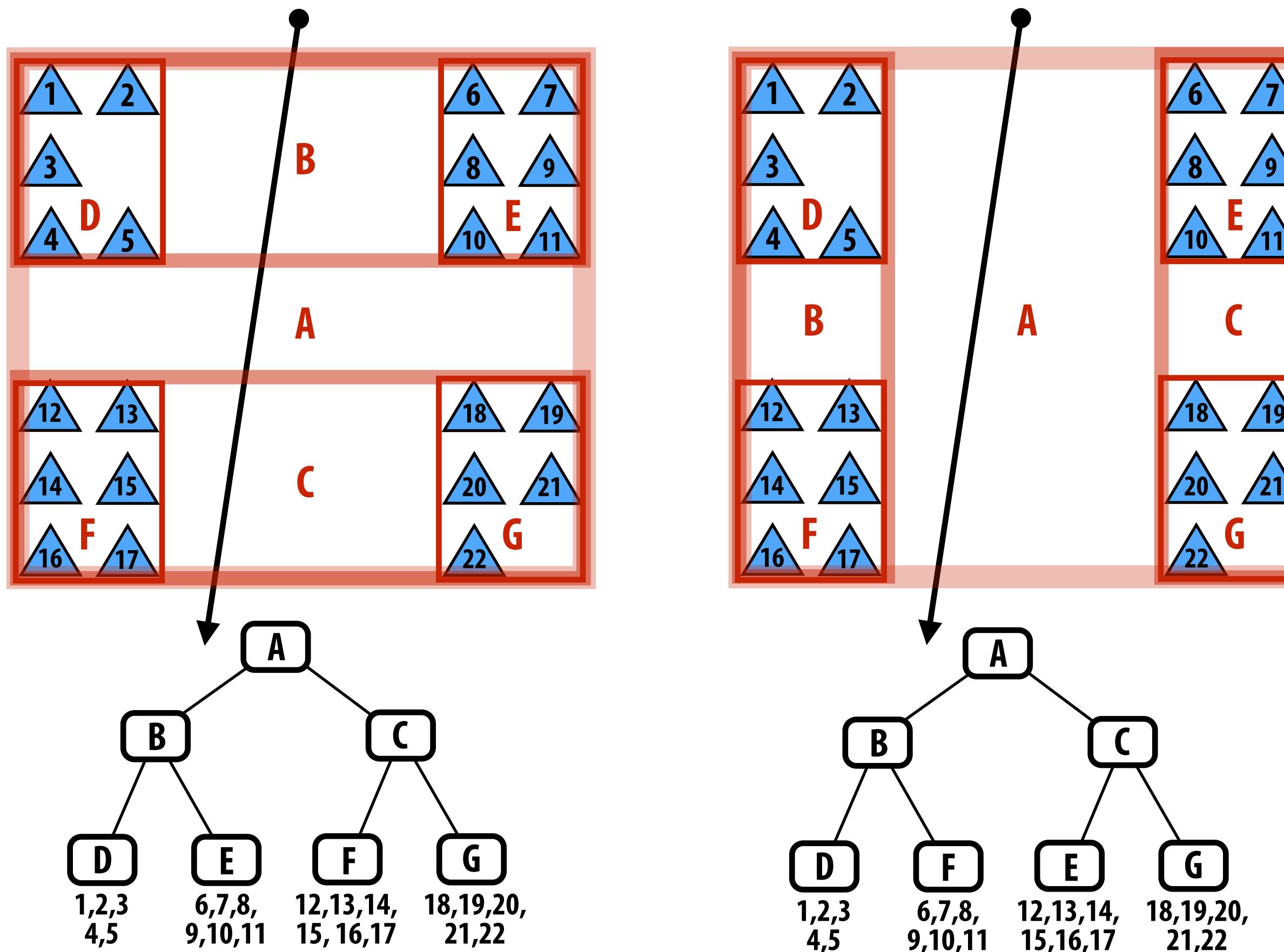
\*over many ray-scene intersection tests

**Q: How can we do better?**

**A: Apply this strategy hierarchically.**

# Bounding volume hierarchy (BVH)

- Leaf nodes:
  - Contain small list of primitives
- Interior nodes:
  - Proxy for a large subset of primitives
  - Stores bounding box for all primitives in subtree

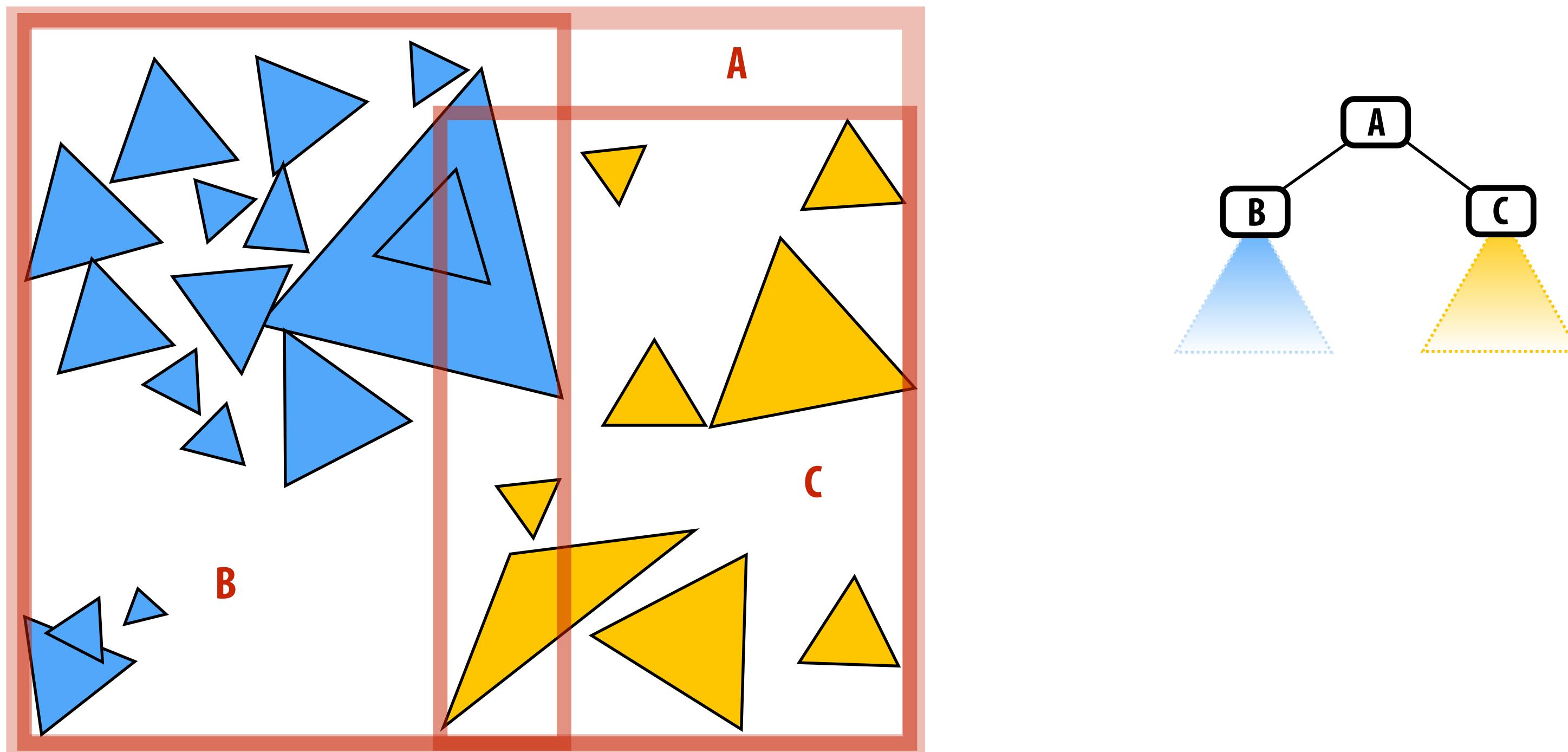


Left: two different BVH organizations of the same scene containing 22 primitives.

Is one BVH better than the other?

# Another BVH example

- BVH partitions each node's primitives into disjoint sets
  - Note: The sets can still be overlapping in space (below: child bounding boxes may overlap in space)

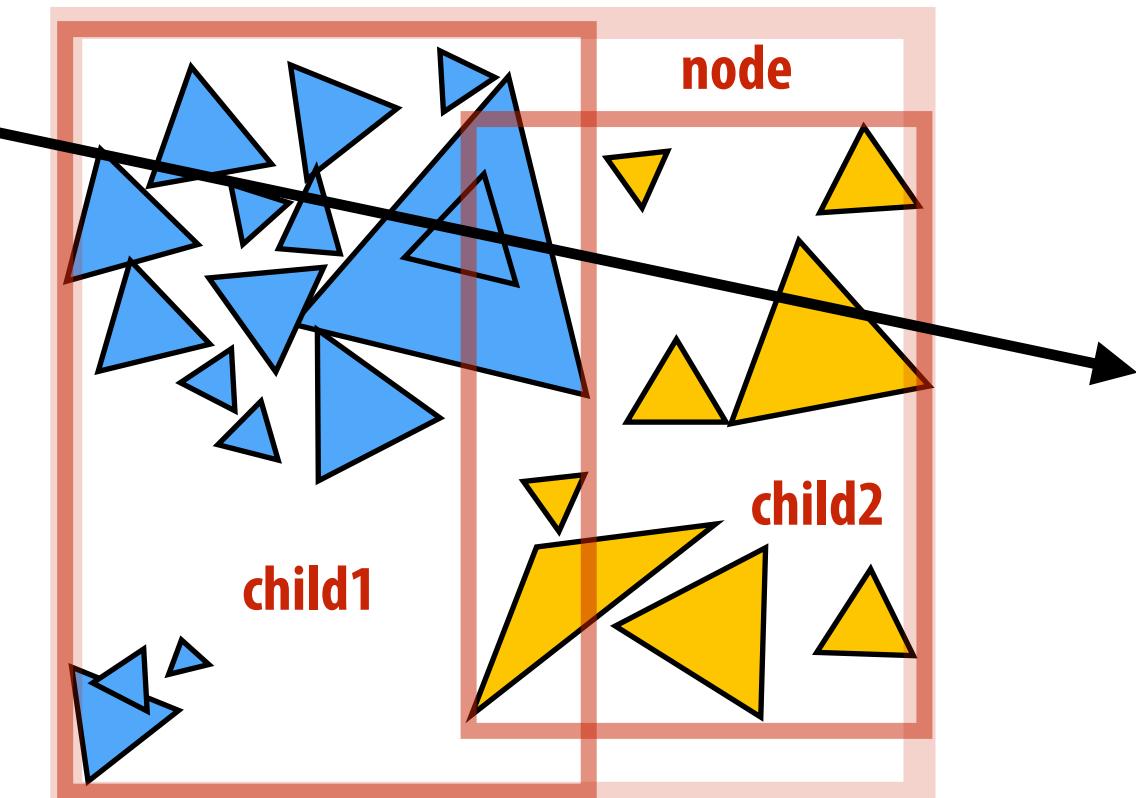


# Ray-scene intersection using a BVH

```
struct BVHNode {  
    bool leaf; // am I a leaf node?  
    BBox bbox; // min/max coords of enclosed primitives  
    BVHNode* child1; // "left" child (could be NULL)  
    BVHNode* child2; // "right" child (could be NULL)  
    Primitive* primList; // for leaves, stores primitives  
};
```

```
struct HitInfo {  
    Primitive* prim; // which primitive did the ray hit?  
    float t; // at what t value?  
};
```

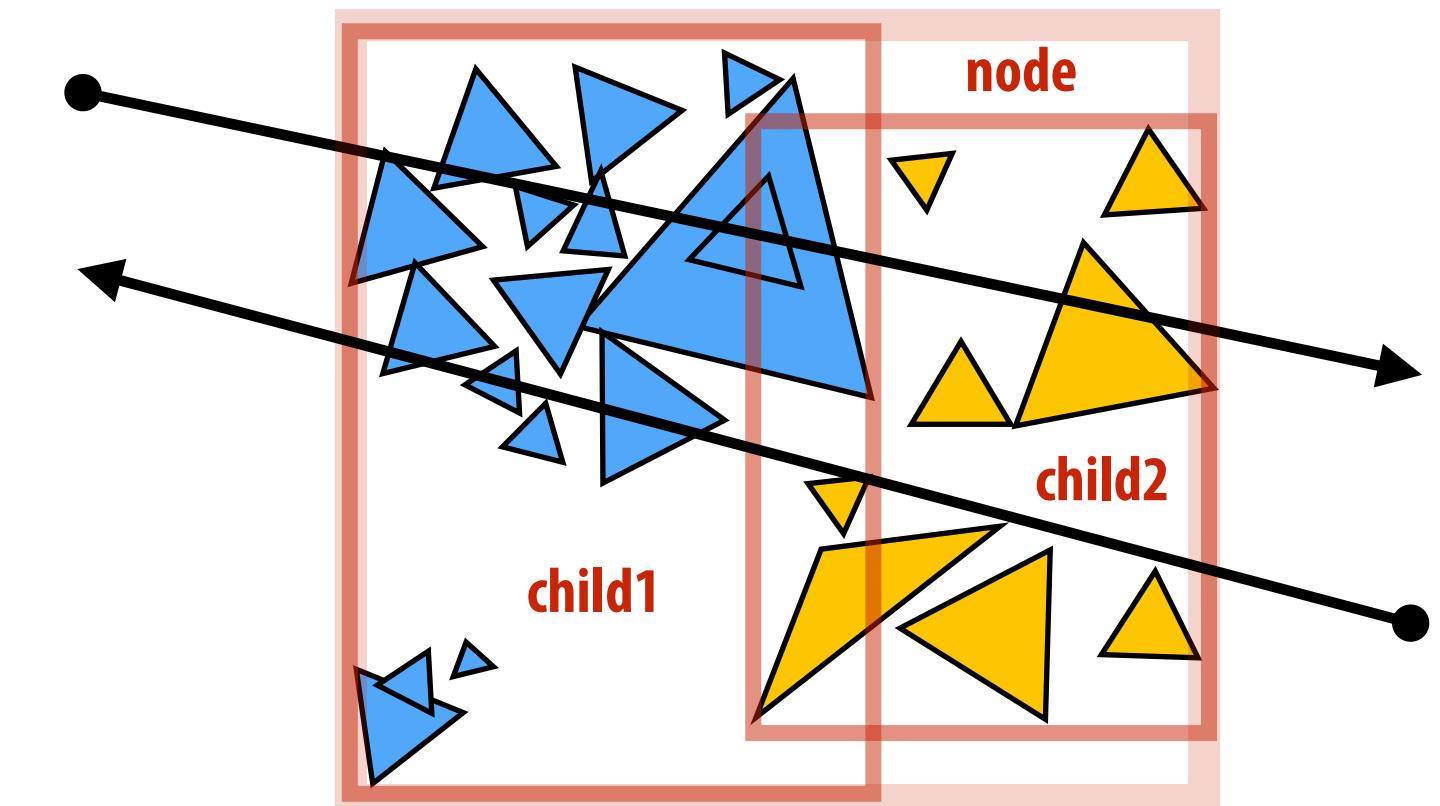
```
void find_closest_hit(Ray* ray, BVHNode* node, HitInfo* closest) {  
    HitInfo hit = intersect(ray, node->bbox); // test ray against node's bounding box  
    if (hit.prim == NULL || hit.t > closest.t)  
        return; // don't update the hit record  
  
    if (node->leaf) {  
        for (each primitive p in node->primList) {  
            hit = intersect(ray, p);  
            if (hit.prim != NULL && hit.t < closest.t) {  
                closest.prim = p;  
                closest.t = t;  
            }  
        }  
    } else {  
        find_closest_hit(ray, node->child1, closest);  
        find_closest_hit(ray, node->child2, closest);  
    }  
}
```



# Improvement: “front-to-back” traversal

General strategy for improving performance:

Do traversal in a way that is likely to terminate “early”



```
void find_closest_hit(Ray* ray, BVHNode* node, HitInfo* closest)
{
    if (node->leaf) {
        // same as before
    } else {
        HitInfo hit1 = intersect(ray, node->child1->bbox);
        HitInfo hit2 = intersect(ray, node->child2->bbox);

        NVHNode* first = (hit1.t <= hit2.t) ? child1 : child2;
        NVHNode* second = (hit2.t <= hit1.t) ? child2 : child1;

        find_closest_hit(ray, first, closest);
        if (hit2.t < closest.t)
            find_closest_hit(ray, second, closest); // why might we still need to do this?
    }
}
```

“Front to back” traversal.  
Traverse to closest child  
node first. Why?

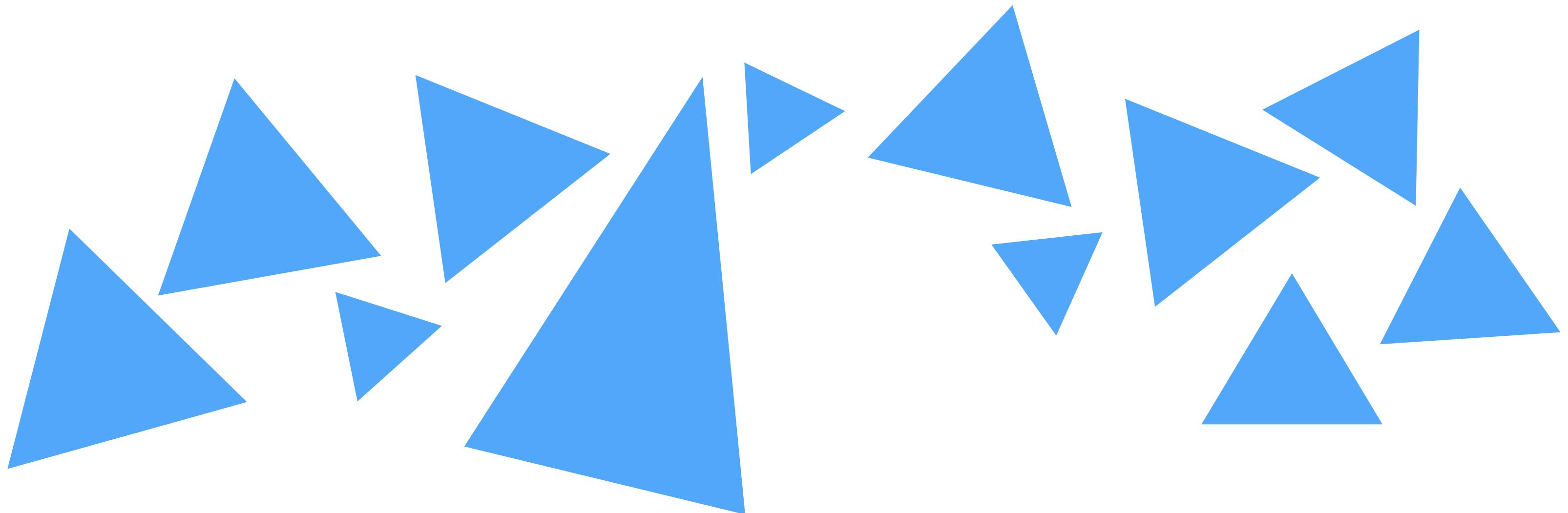
# Other strategy for improving performance: Build a “better” BVH!

**But for a given set of primitives, there are  
many possible BVHs...**

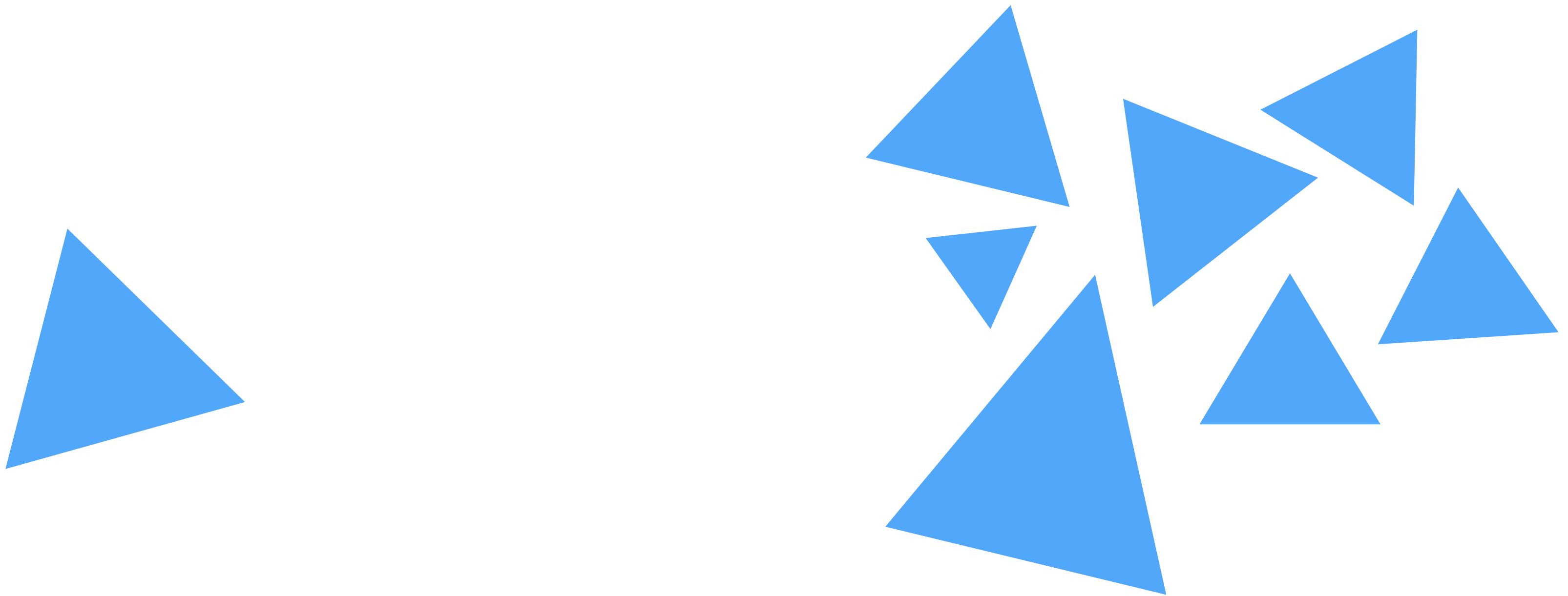
**( $2^N/2$  ways to partition  $N$  primitives into two groups)**

**Q: How do we quickly build a  
high-quality BVH?**

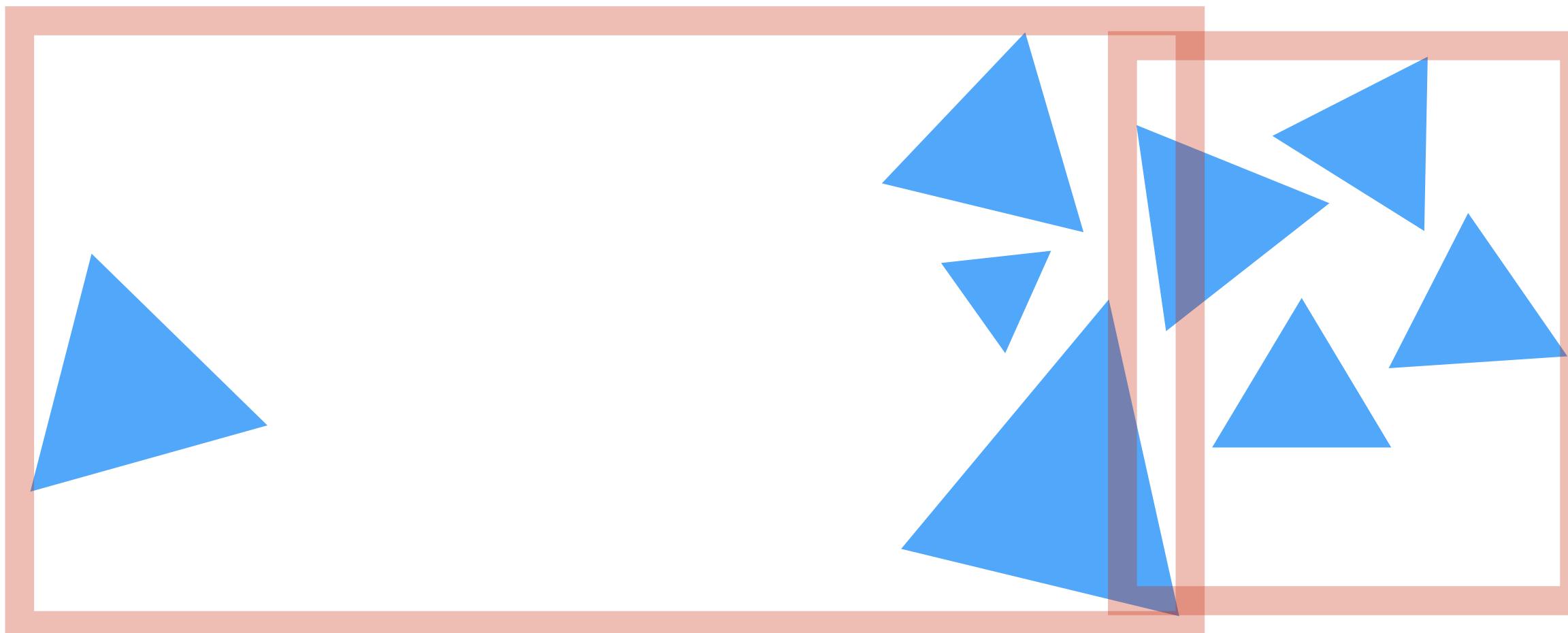
# How would you partition these triangles into two groups?



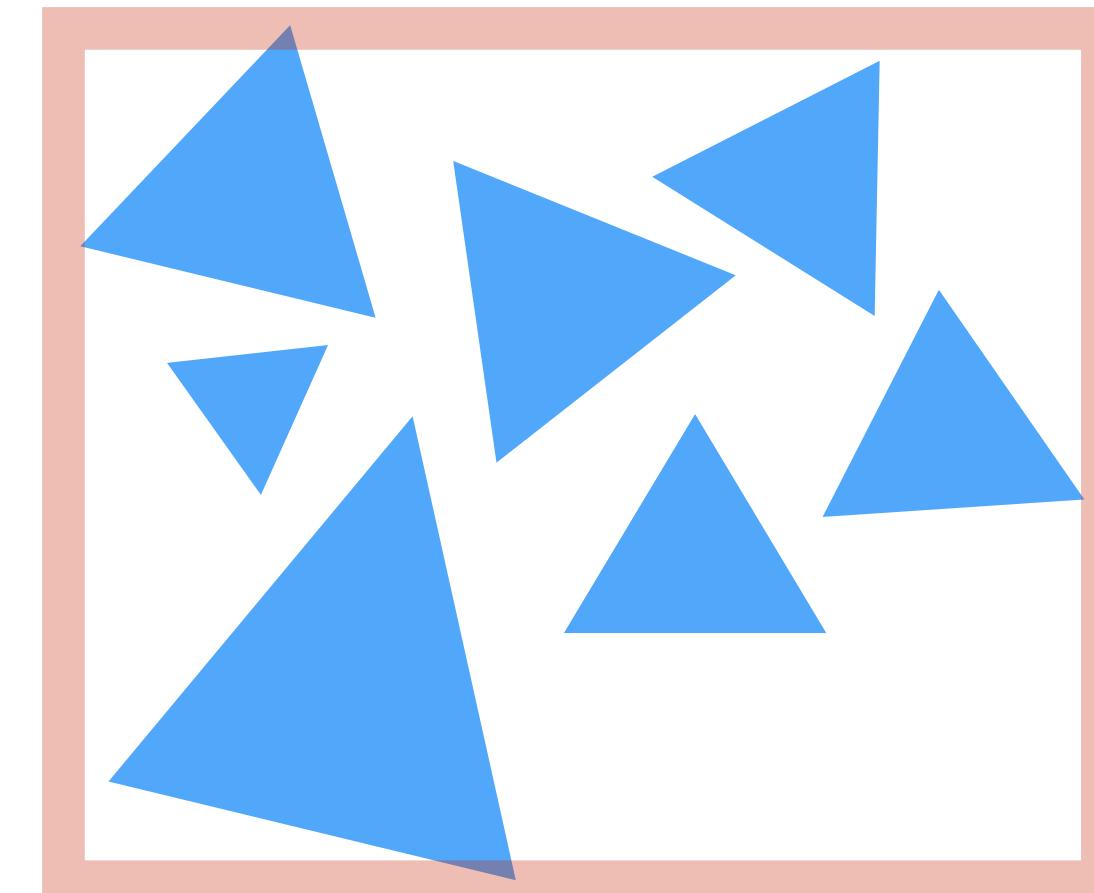
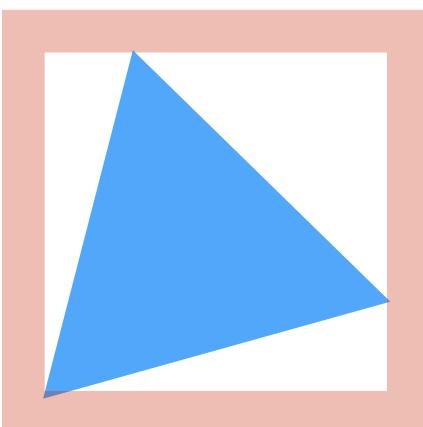
# What about these?



# Intuition about a “good” partition?



**Partition into child nodes with equal numbers of primitives**



**Better partition**

**Intuition: want small bounding boxes (minimize overlap between children, avoid empty space)**

# What are we really trying to do?

A good partitioning minimizes the cost of finding the closest intersection of a ray with primitives in the node.

**EASY CASE**—for a leaf node:

$$C = \sum_{i=1}^N C_{\text{isect}}(i)$$
$$= NC_{\text{isect}}$$

Where  $C_{\text{isect}}(i)$  is the cost of ray-primitive intersection for primitive  $i$  in the node.

(Common to assume all primitives have the same cost)

# Cost of making a partition

**HARDER CASE**—the expected cost of intersecting an interior node, given that the node's primitives are partitioned into child sets A and B:

$$C = C_{\text{trav}} + p_A C_A + p_B C_B$$

$C_{\text{trav}}$  is the cost of traversing an interior node (e.g., bounding box test)

$C_A$  and  $C_B$  are the costs of intersection with the resultant child subtrees

$p_A$  and  $p_B$  are the probability a ray intersects the bbox of the child nodes A and B

**Primitive count is common heuristic for child node costs:**

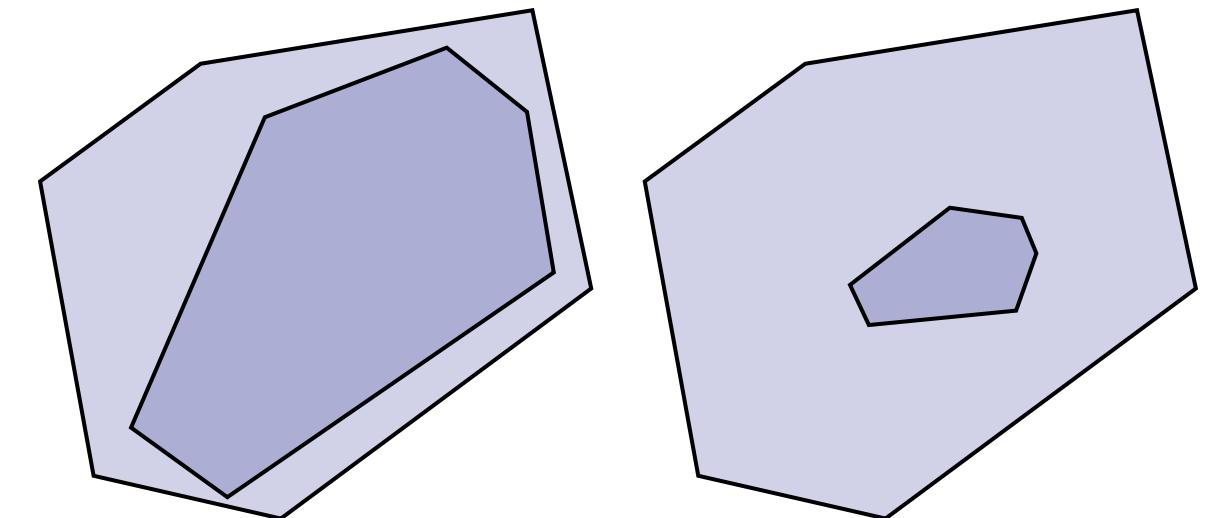
$$C = C_{\text{trav}} + p_A N_A C_{\text{isect}} + p_B N_B C_{\text{isect}}$$

**Remaining question: how do we get the probabilities  $p_A, p_B$ ?**

# Estimating probabilities

- For convex object A inside convex object B, the probability that a random ray that hits B also hits A is given by the ratio of the surface areas  $S_A$  and  $S_B$  of these objects.

$$P(\text{hit } A | \text{hit } B) = \frac{S_A}{S_B}$$



Leads to surface area heuristic (SAH):

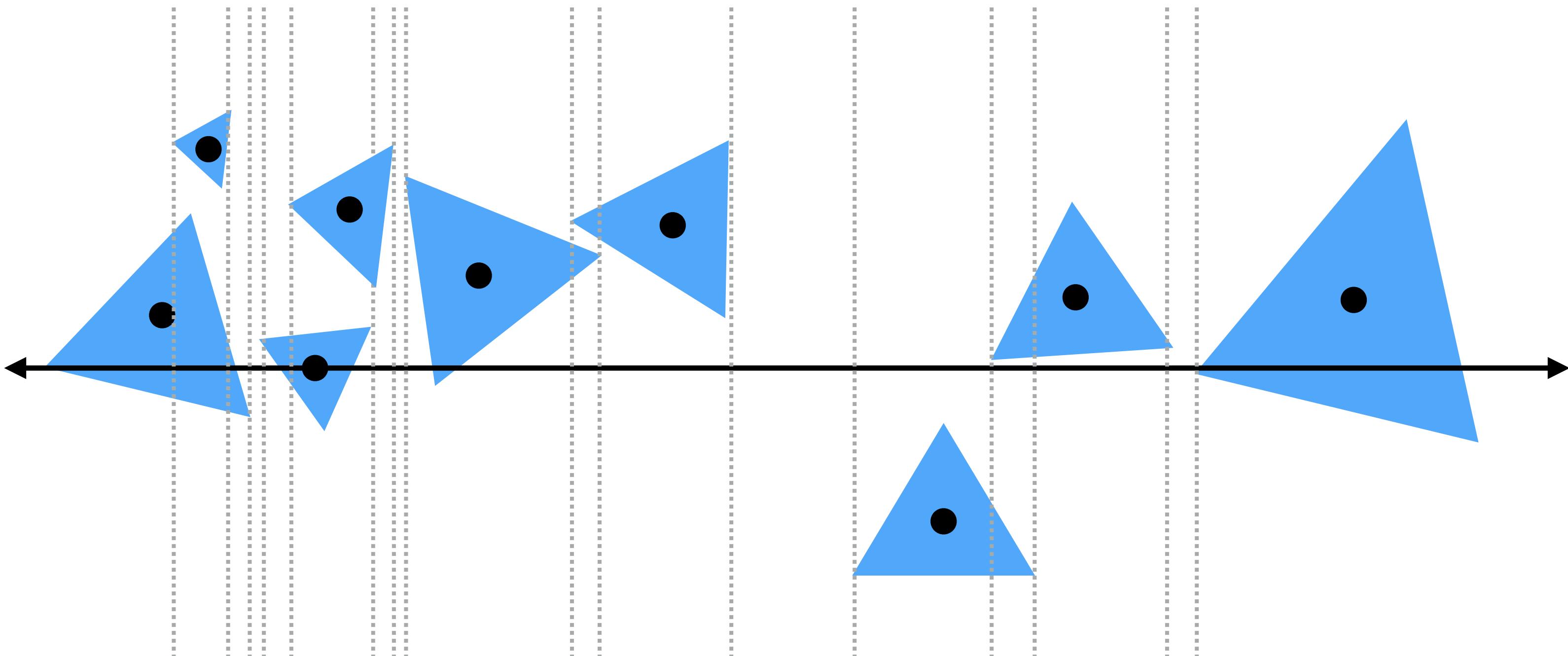
$$C = C_{\text{trav}} + \frac{S_A}{S_N} N_A C_{\text{isect}} + \frac{S_B}{S_N} N_B C_{\text{isect}}$$

Assumptions of the SAH (which may not hold in practice!):

- Rays are randomly distributed
- No occlusion (i.e., one object blocking another)

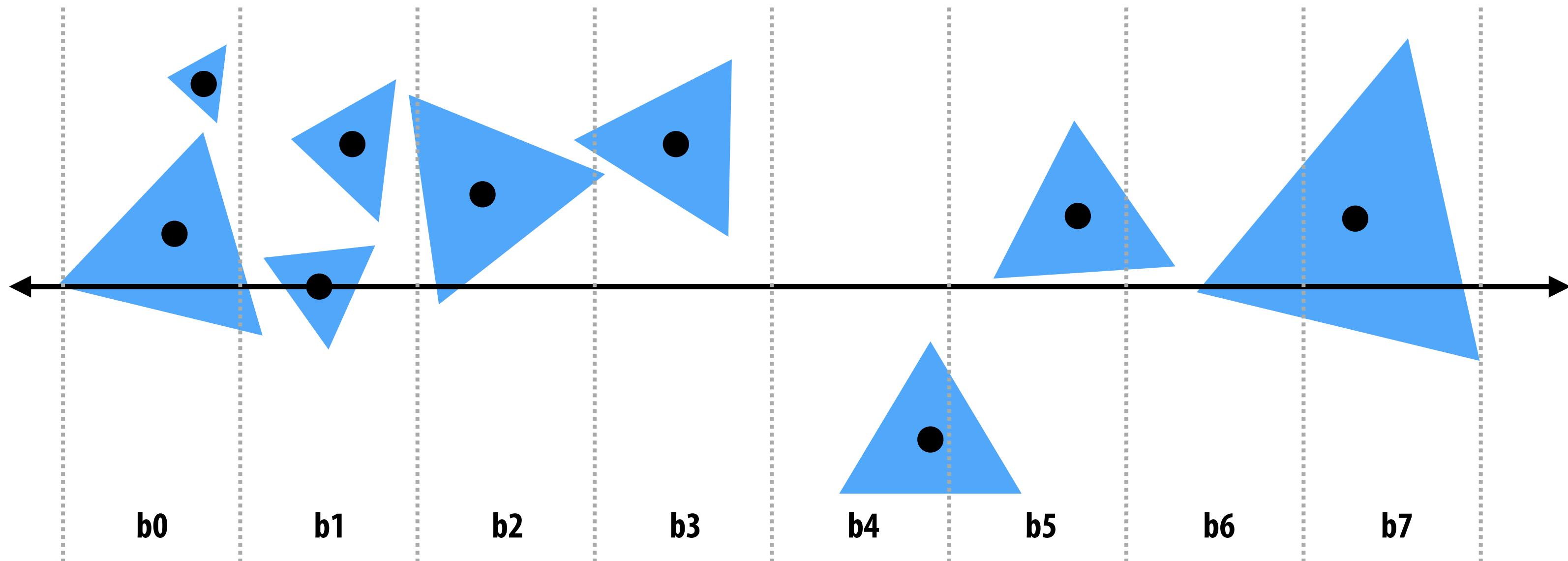
# Implementing partitions

- Constrain search for good partitions to axis-aligned spatial partitions
  - Choose an axis; choose a split plane on that axis
  - Partition primitives by the side of splitting plane their centroid lies
  - Cost estimate changes only when plane moves past triangle boundary
  - Have to consider rather large number of possible split planes...



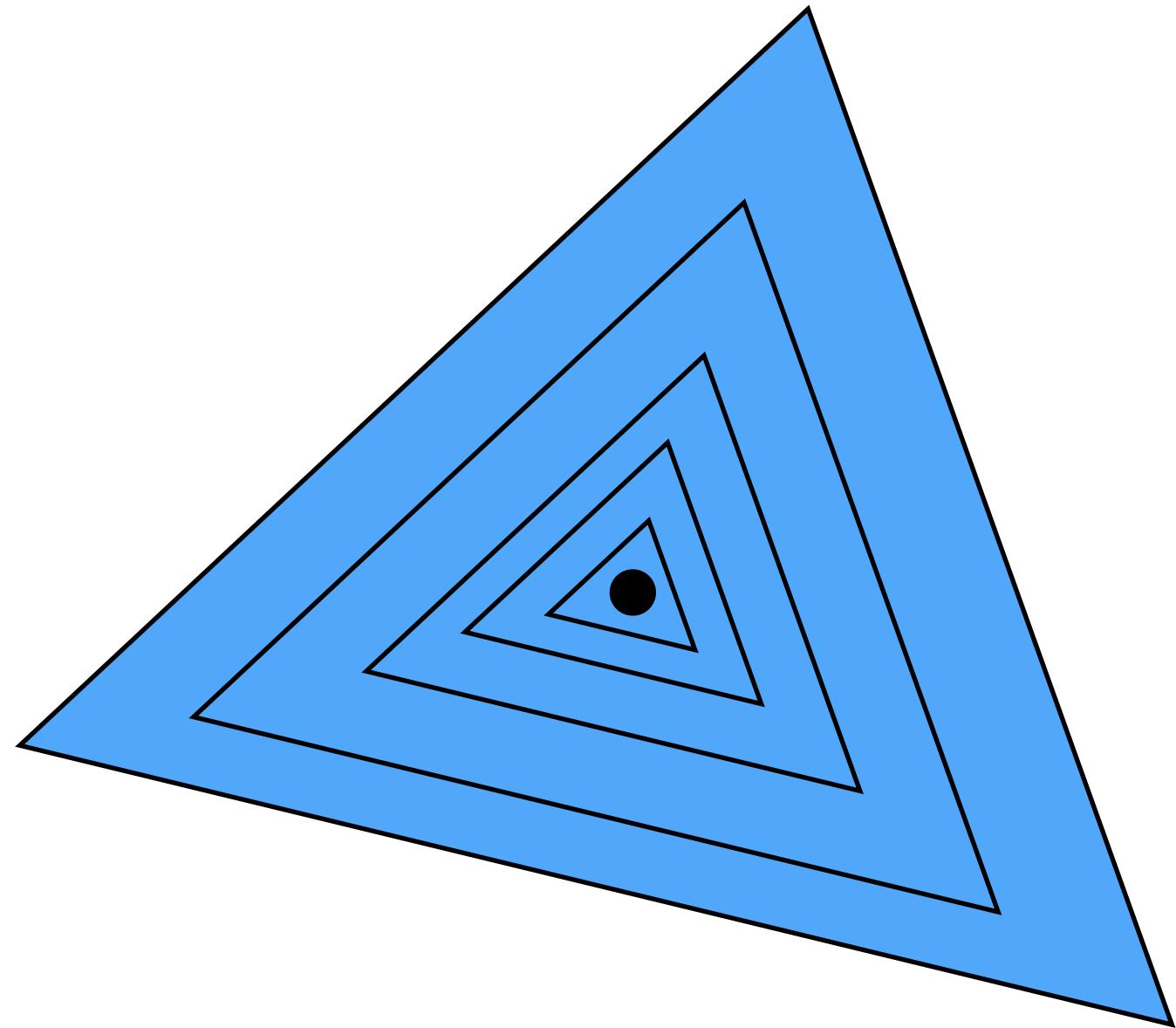
# Efficiently implementing partitioning

- Efficient modern approximation: split spatial extent of primitives into  $B$  buckets ( $B$  is typically small:  $B < 32$ )

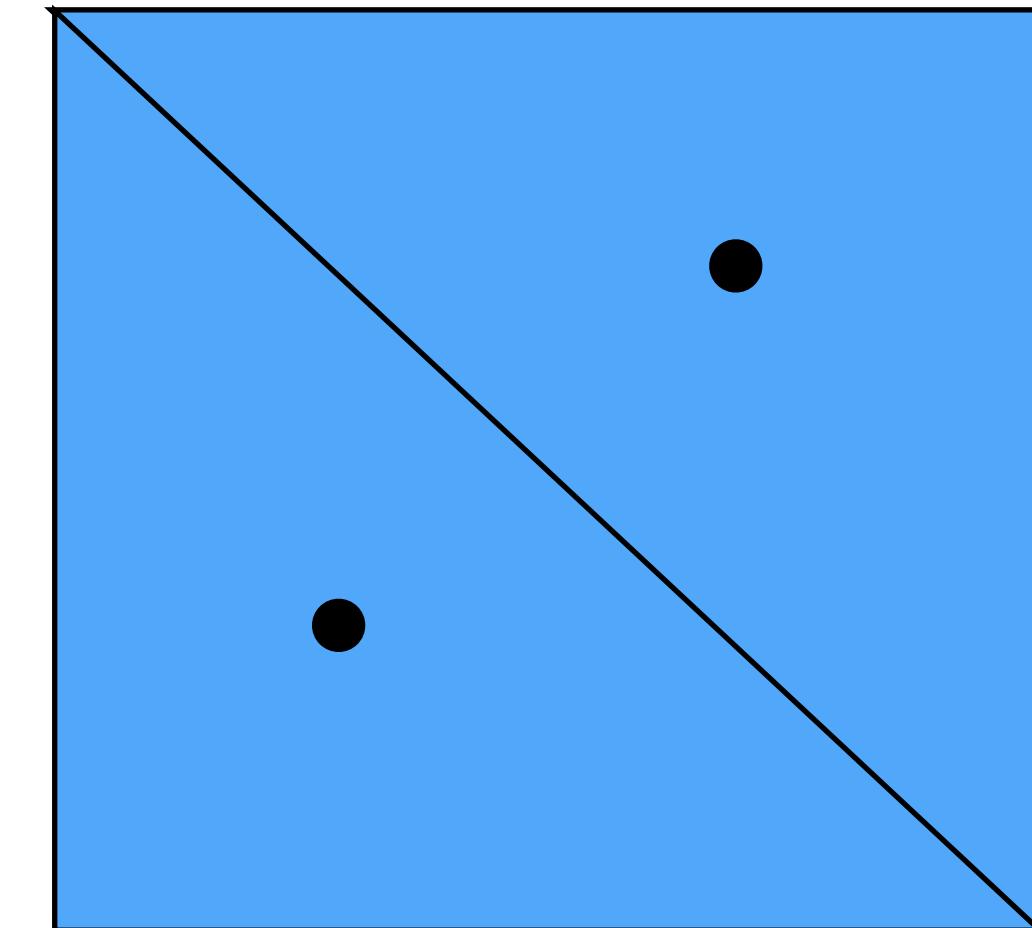


```
For each axis x,y,z:  
    initialize buckets  
    For each primitive p in node:  
        b = compute_bucket(p.centroid)  
        b.bbox.union(p.bbox);  
        b.prim_count++;  
    For each of the B-1 possible partitioning planes  
        Evaluate cost, keep track of lowest cost partition  
    Recurse on lowest cost partition found (or make node a leaf)
```

# Troublesome cases



**All primitives with same centroid (all primitives end up in same partition)**

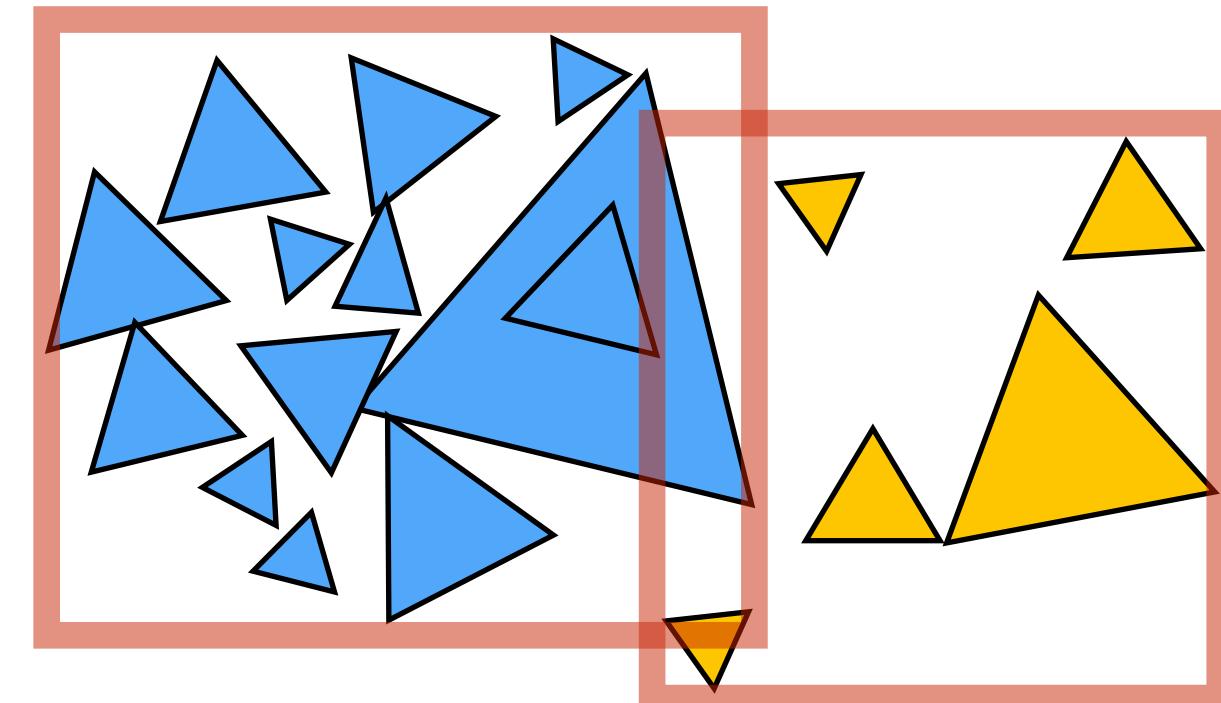


**All primitives with same bbox (ray often ends up visiting both partitions)**

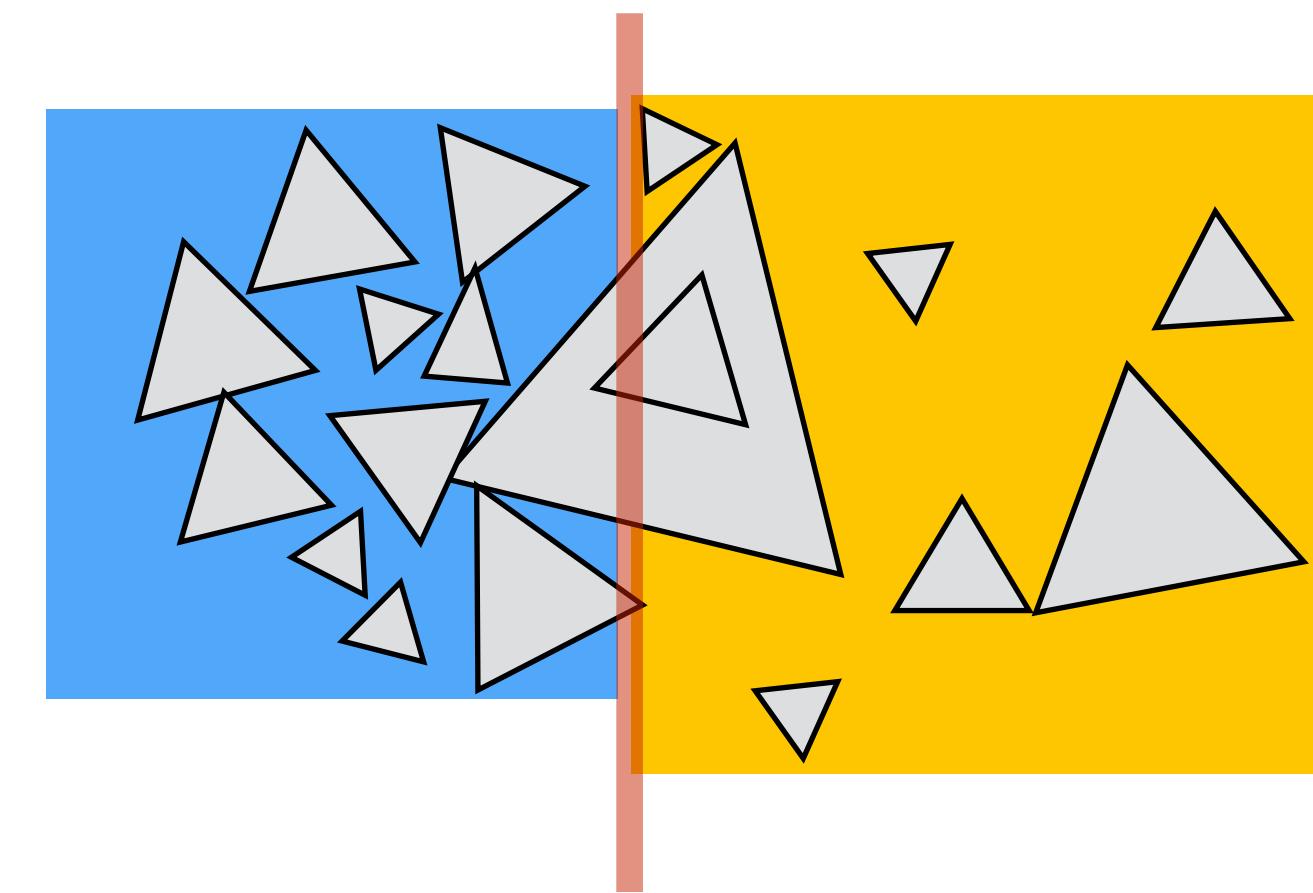
**In general, different strategies may work better for different types of geometry / different distributions of primitives...**

# Primitive-partitioning acceleration structures vs. space-partitioning structures

- Primitive partitioning (bounding volume hierarchy): partitions node's primitives into disjoint sets (but sets may overlap in space)

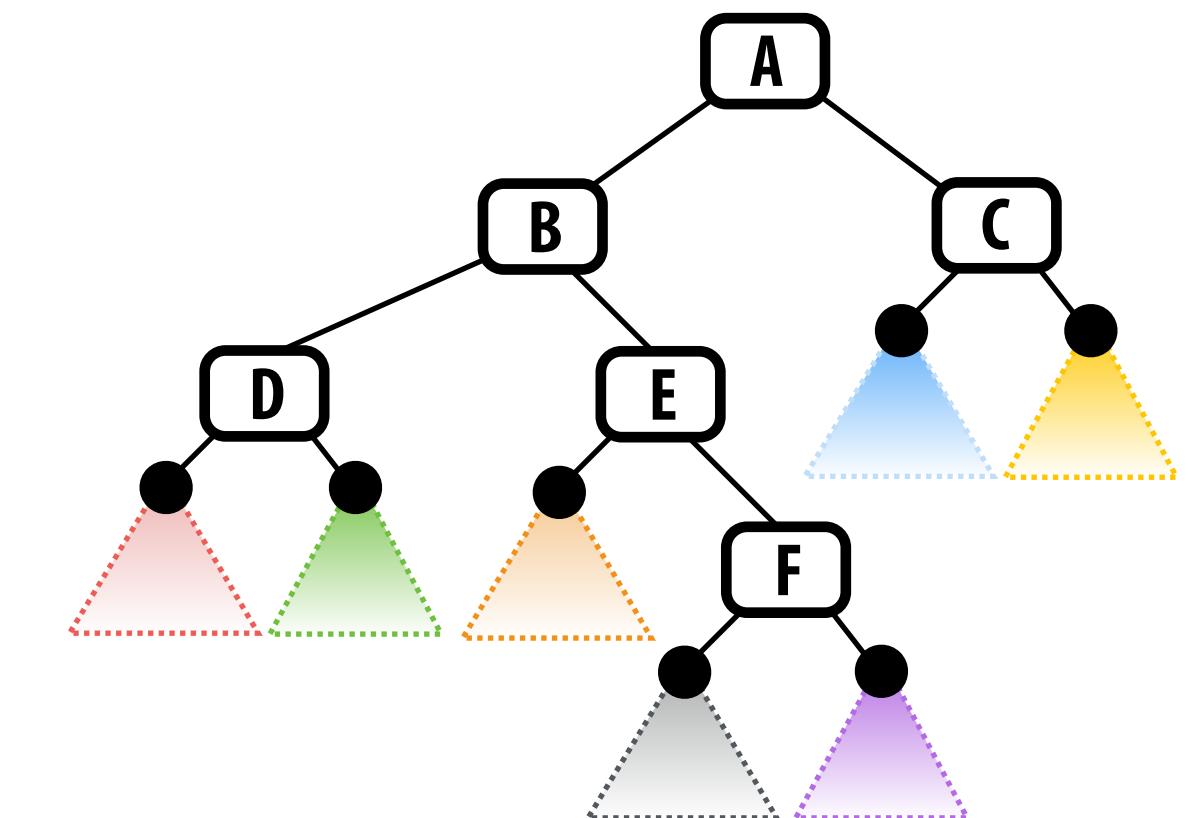
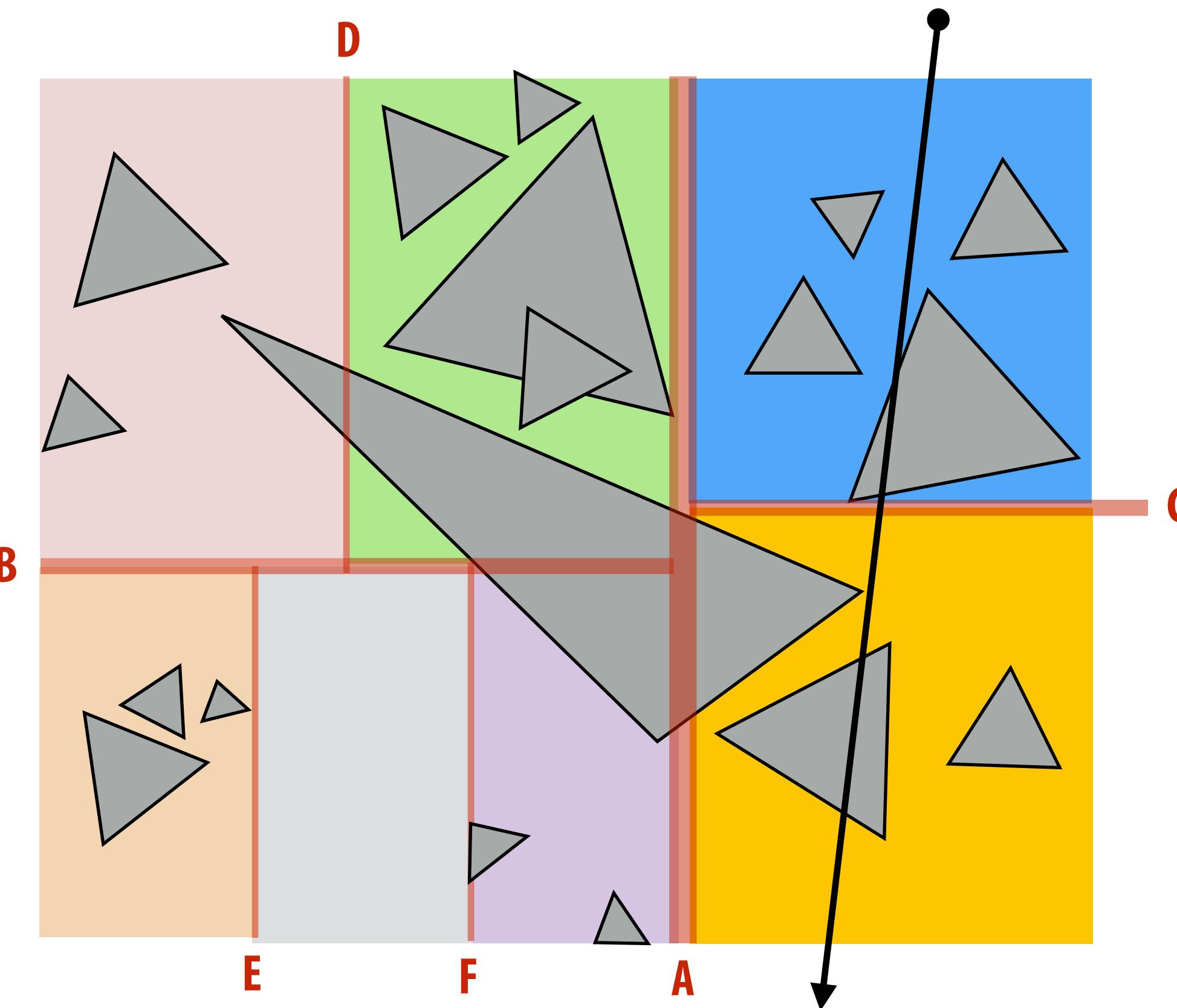


- Space-partitioning (grid, K-D tree) partitions space into disjoint regions (primitives may be contained in multiple regions of space)



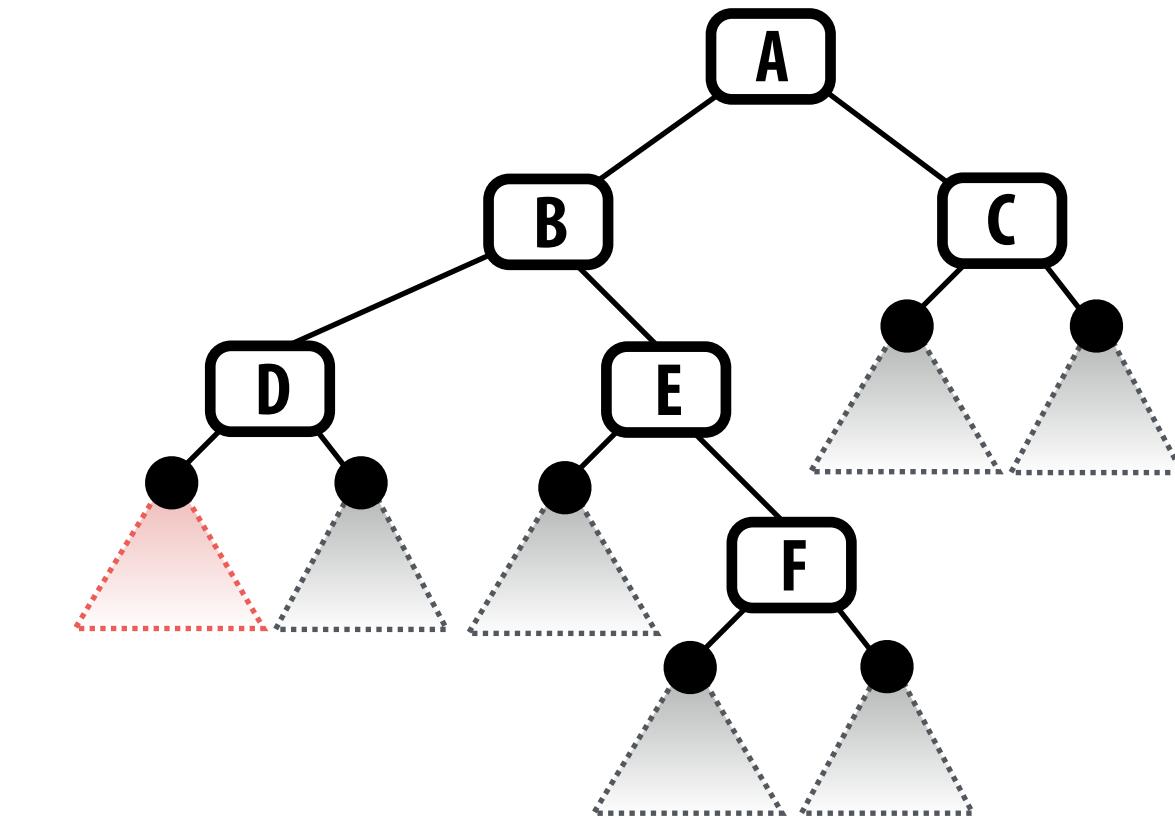
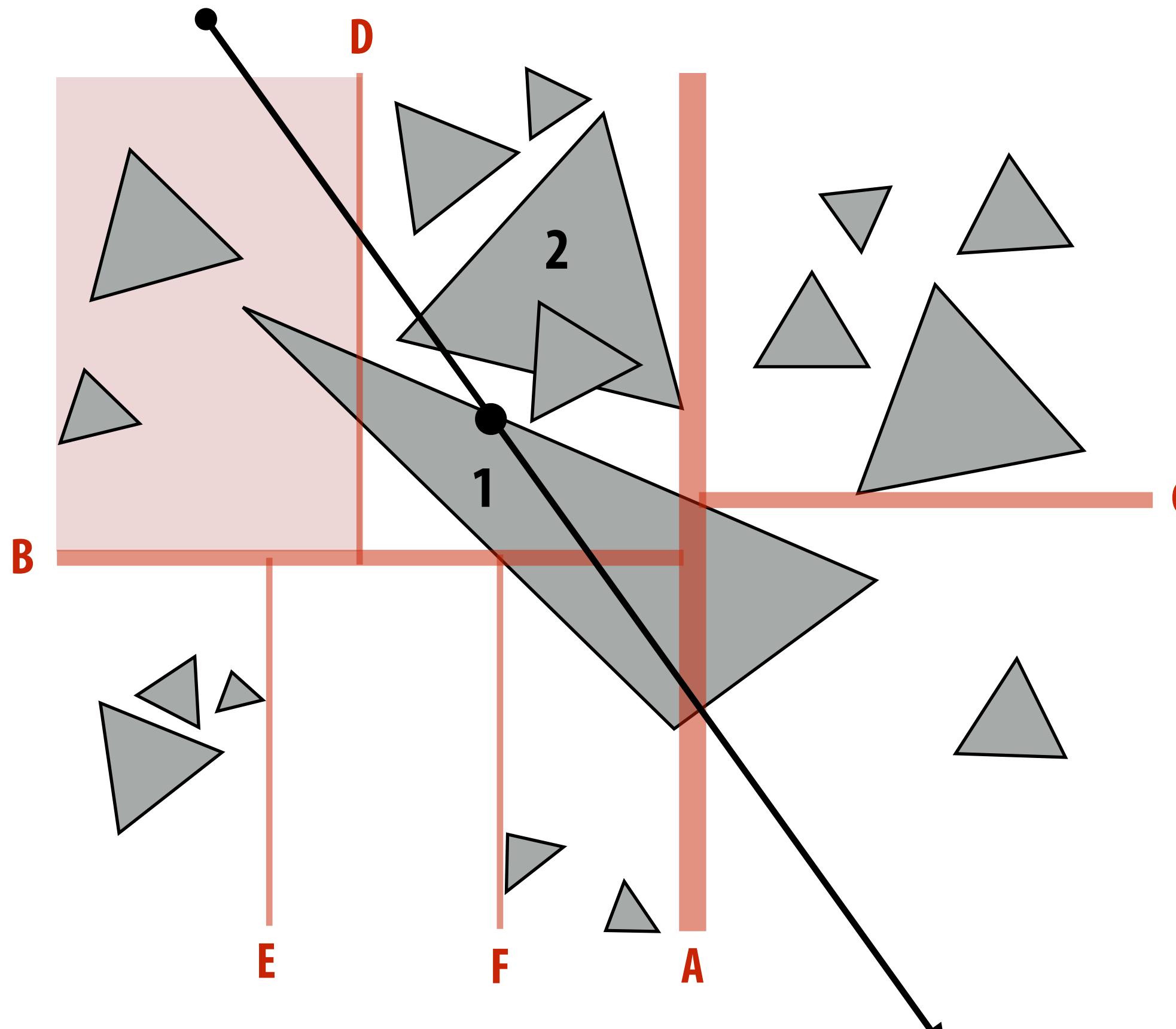
# K-D tree

- Recursively partition space via axis-aligned partitioning planes
  - Interior nodes correspond to spatial splits
  - Node traversal can proceed in front-to-back order
  - Q: Can we always terminate the search after first hit is found?



# Challenge: objects overlap multiple nodes

- Want node traversal to proceed in front-to-back order so traversal can terminate search after first hit found



Triangle 1 overlaps multiple nodes.

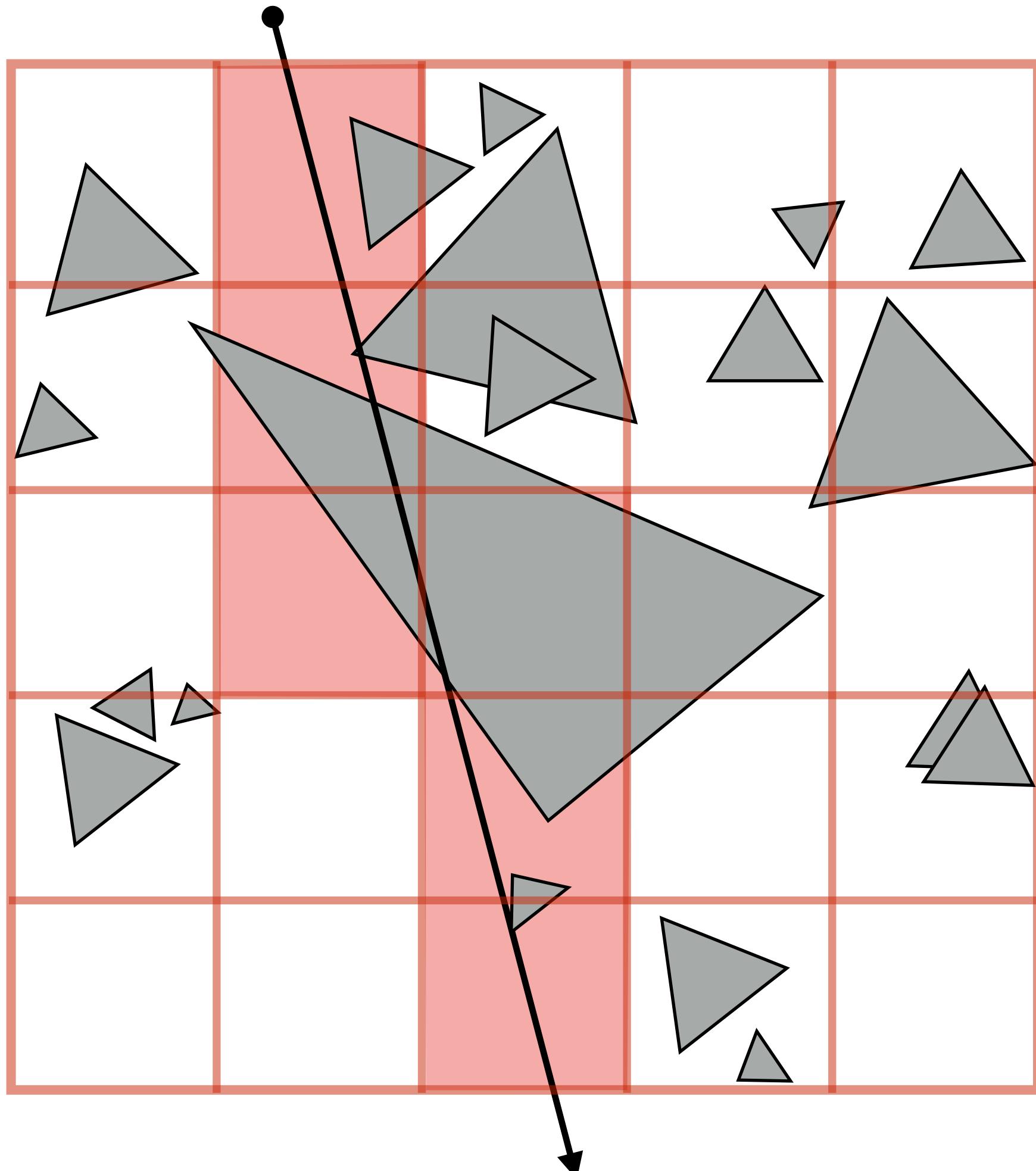
Ray hits triangle 1 when in highlighted leaf cell.

But intersection with triangle 2 is closer!  
(Haven't traversed to that node yet)

**Solution: require primitive intersection point to be within current leaf node.**

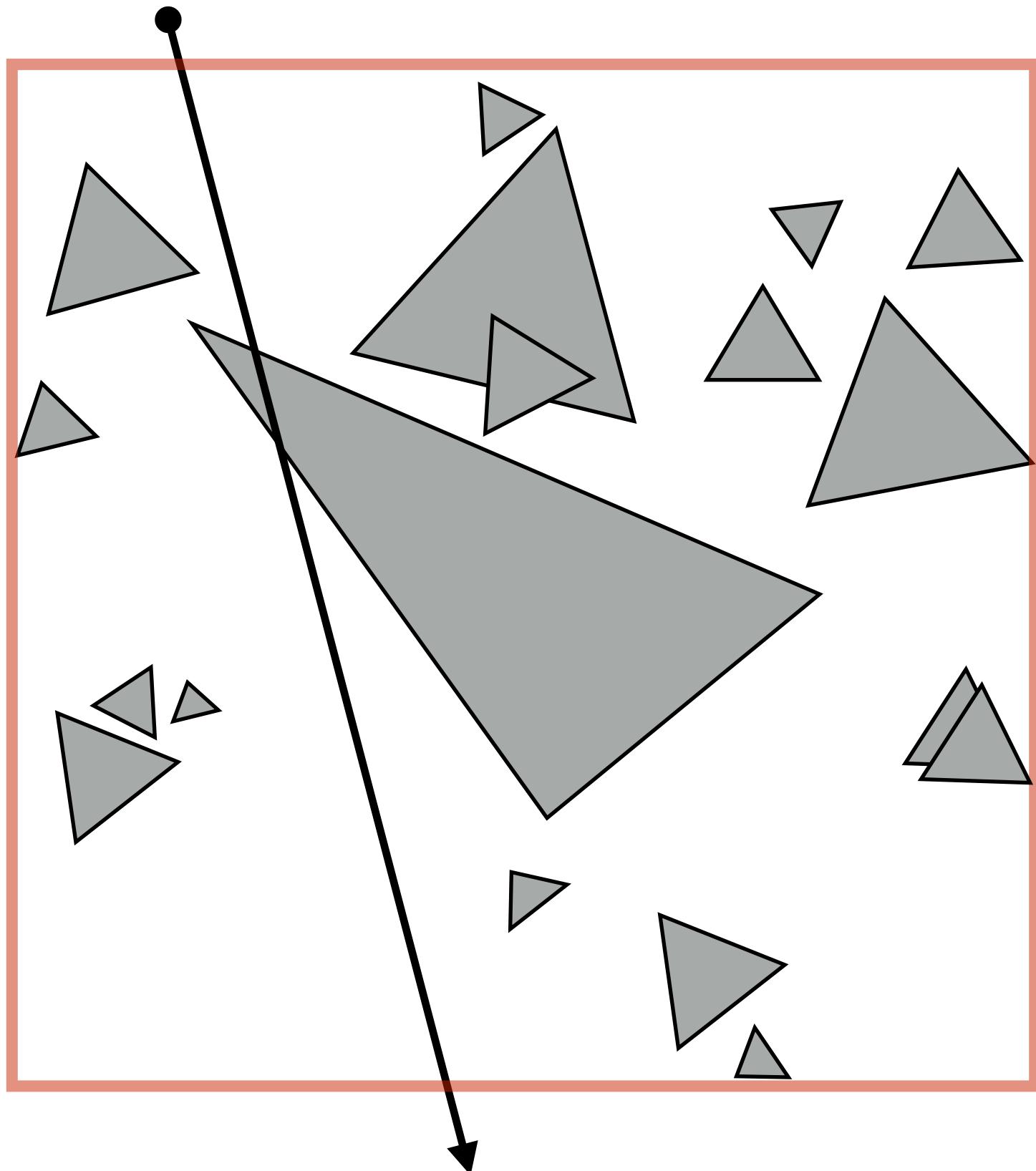
**(primitives may be intersected multiple times by same ray \*)**

# Uniform grid

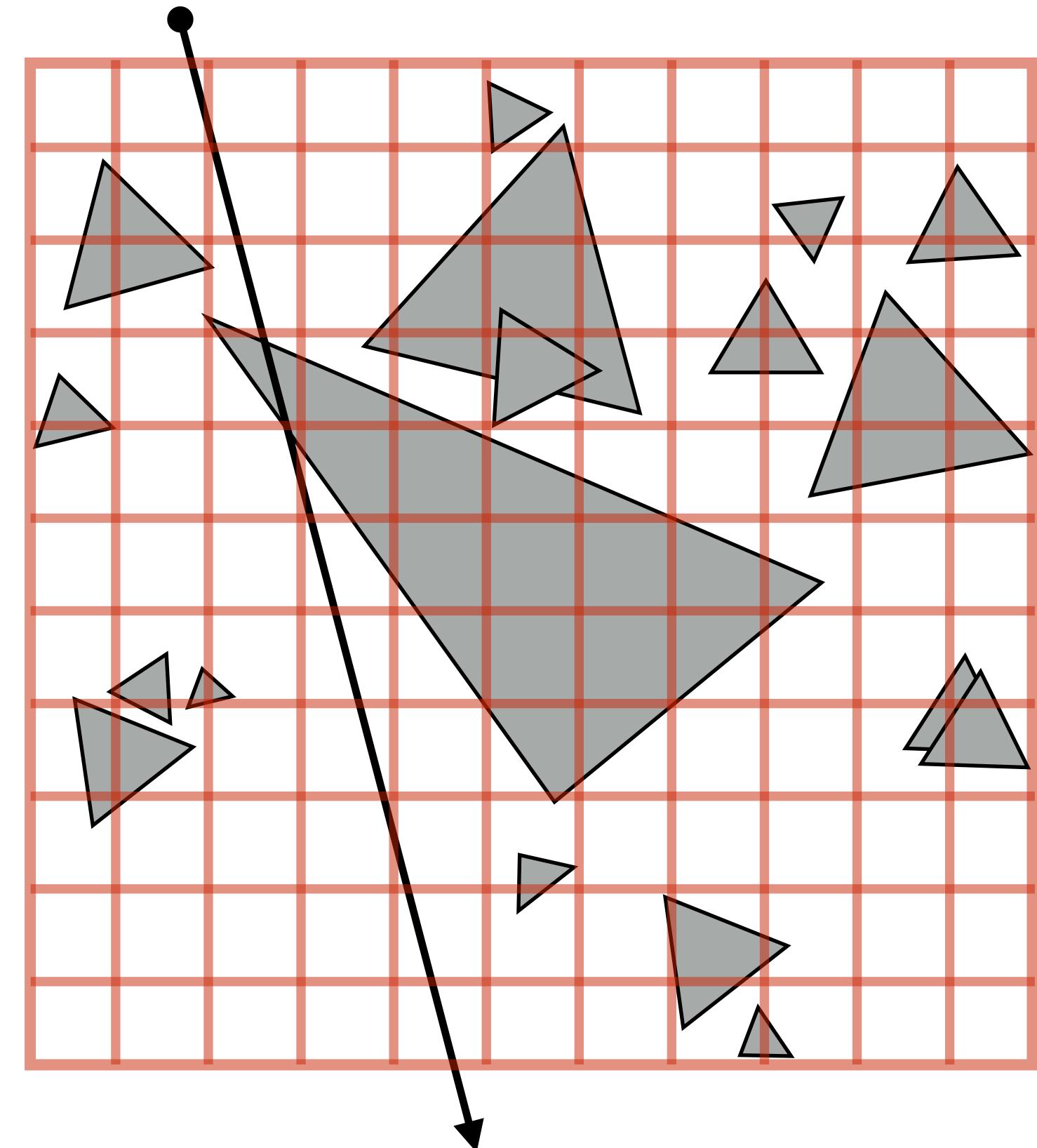


- **Partition space into equal sized volumes (volume-elements or “voxels”)**
- **Each grid cell contains primitives that overlap voxel. (very cheap to construct acceleration structure)**
- **Walk ray through volume in order**
  - **Very efficient implementation possible (think: 3D line rasterization)**
  - **Only consider intersection with primitives in voxels the ray intersects**

# What should the grid resolution be?



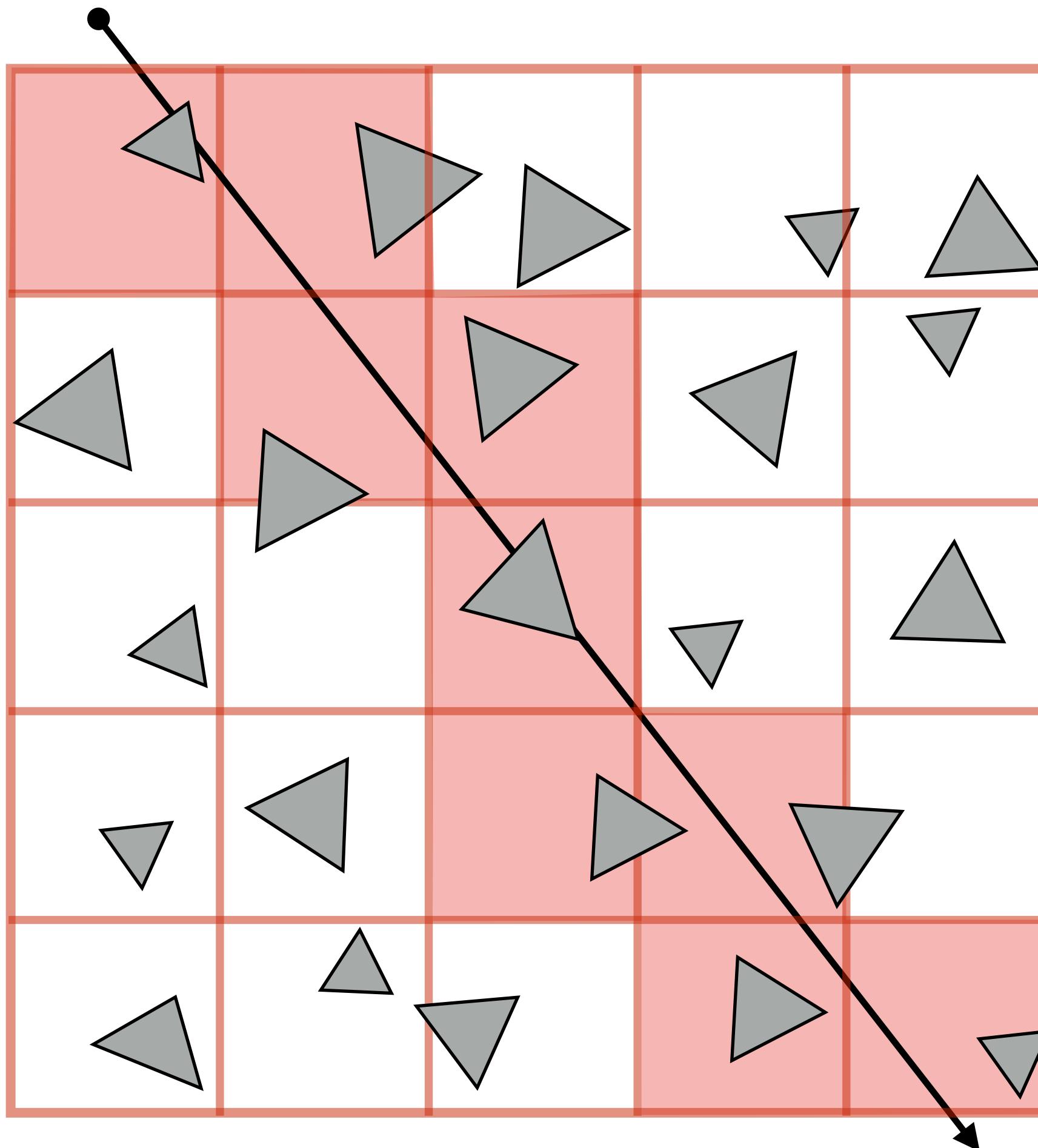
**Too few grid cells: degenerates to  
brute-force approach**



**Too many grid cells: incur significant cost  
traversing through cells with empty space**

# Heuristic

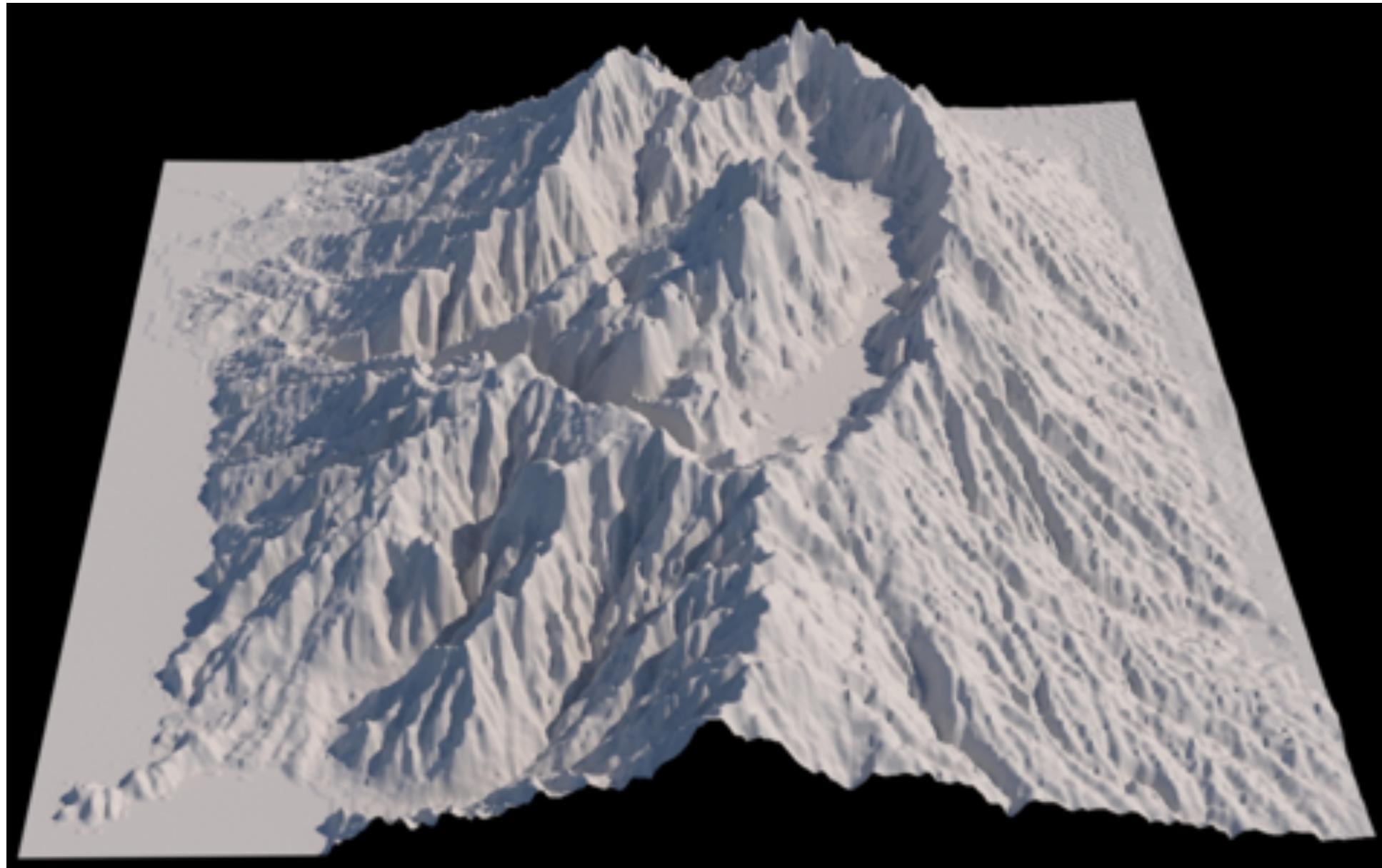
- Choose number of voxels  $\sim$  total number of primitives  
(constant primitives per voxel — assuming uniform distribution)



Intersection cost:  $O(\sqrt[3]{N})$

(Q: Which grows faster,  
cube root of N or  $\log(N)$ ?)

# Uniform distribution of primitives



**Terrain / height fields:**

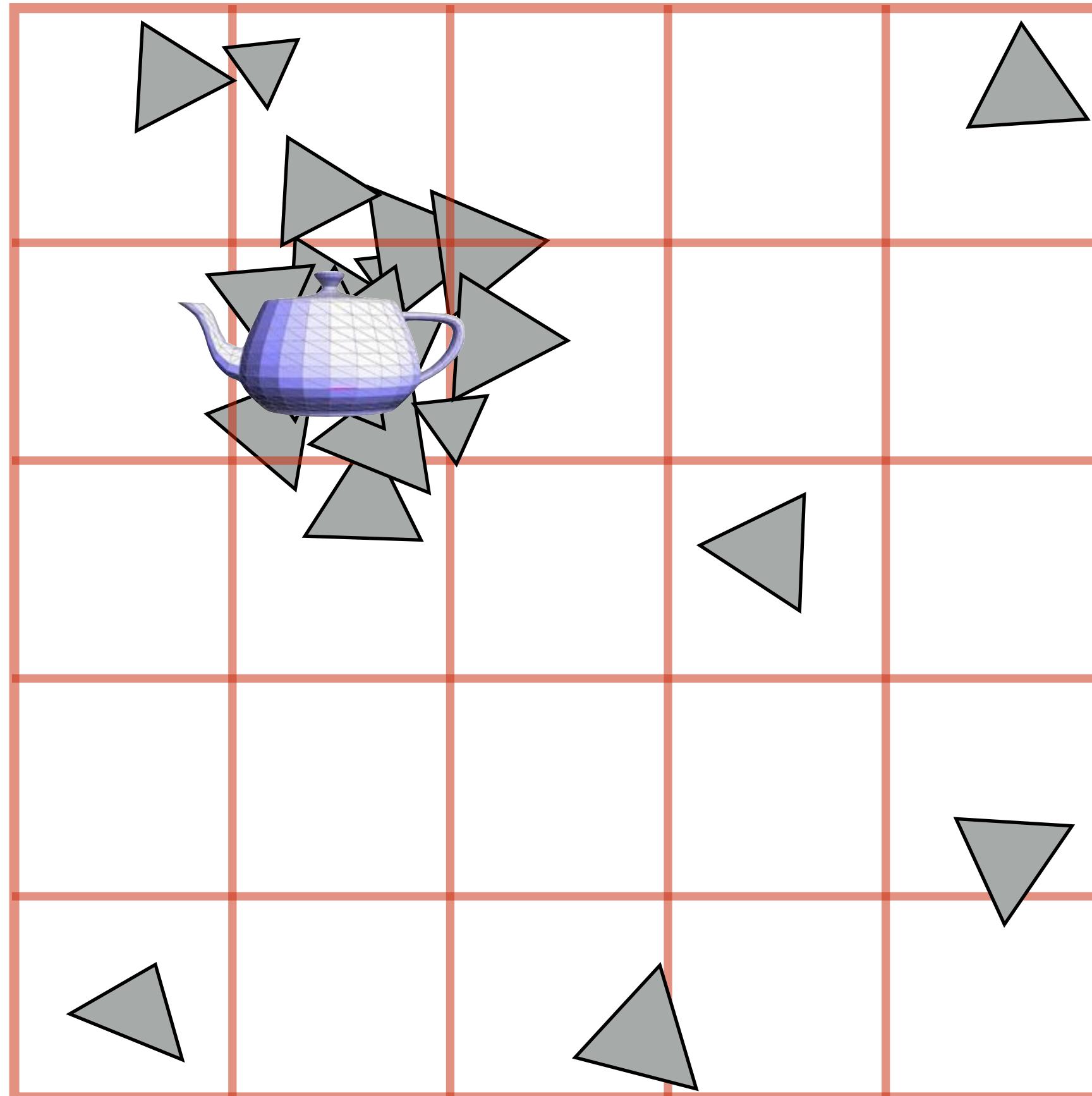
[Image credit: Misuba Renderer]



[Image credit: [www.kevinboulanger.net/grass.html](http://www.kevinboulanger.net/grass.html)]

# Uniform grid cannot adapt to non-uniform distribution of geometry in scene

(Unlike K-D tree, location of spatial partitions is not dependent on scene geometry)



**“Teapot in a stadium problem”**

**Scene has large spatial extent.**

**Contains a high-resolution object that has small spatial extent (ends up in one grid cell)**

# Non-uniform distribution of geometric detail



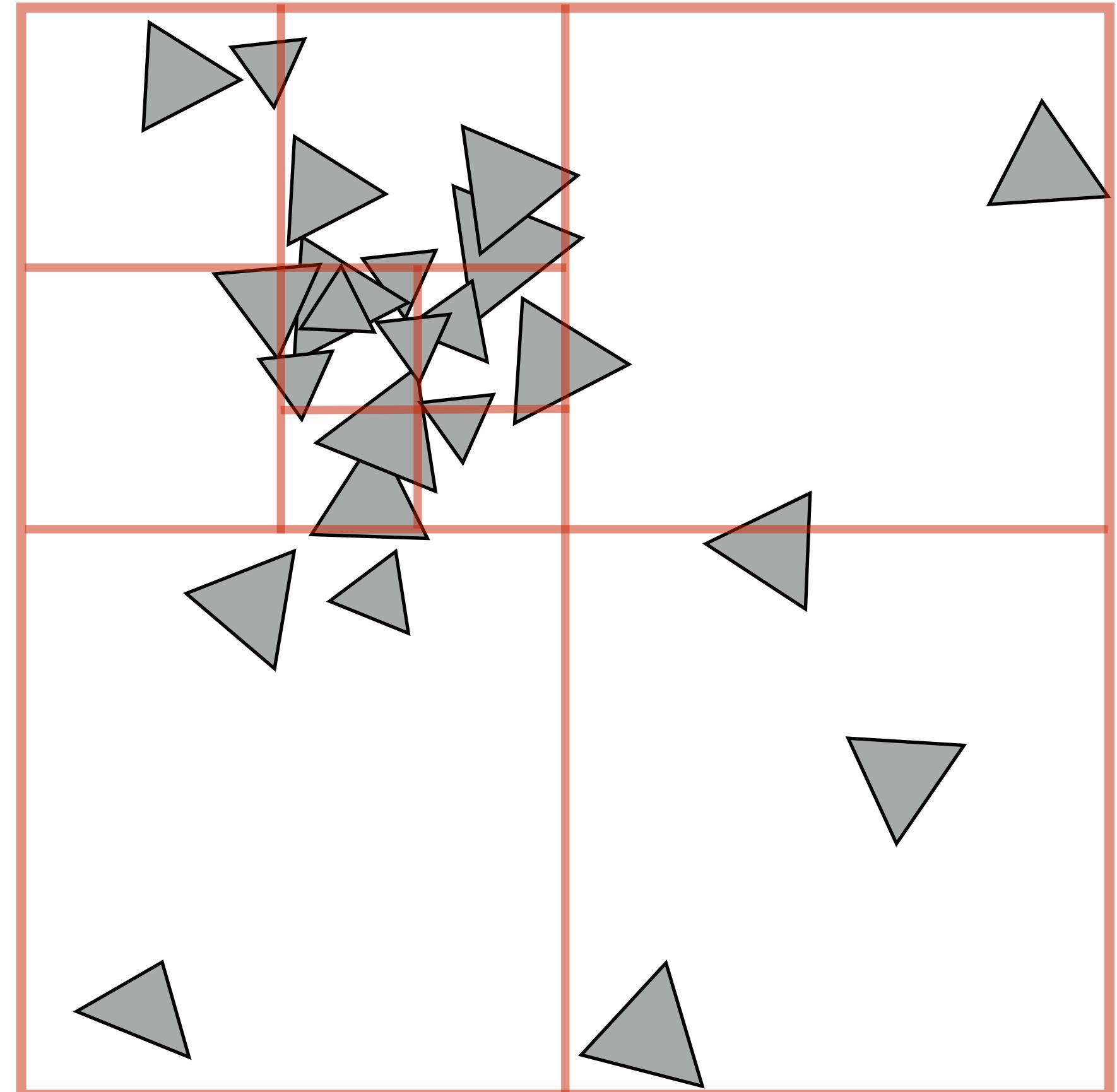
[Image credit: Pixar]

# Quad-tree / octree

**Like uniform grid: easy to build (don't have to choose partition planes)**

**Has greater ability to adapt to location of scene geometry than uniform grid.**

**But lower intersection performance than K-D tree (only limited ability to adapt)**



**Quad-tree: nodes have 4 children (partitions 2D space)**

**Octree: nodes have 8 children (partitions 3D space)**

# Summary of spatial acceleration structures:

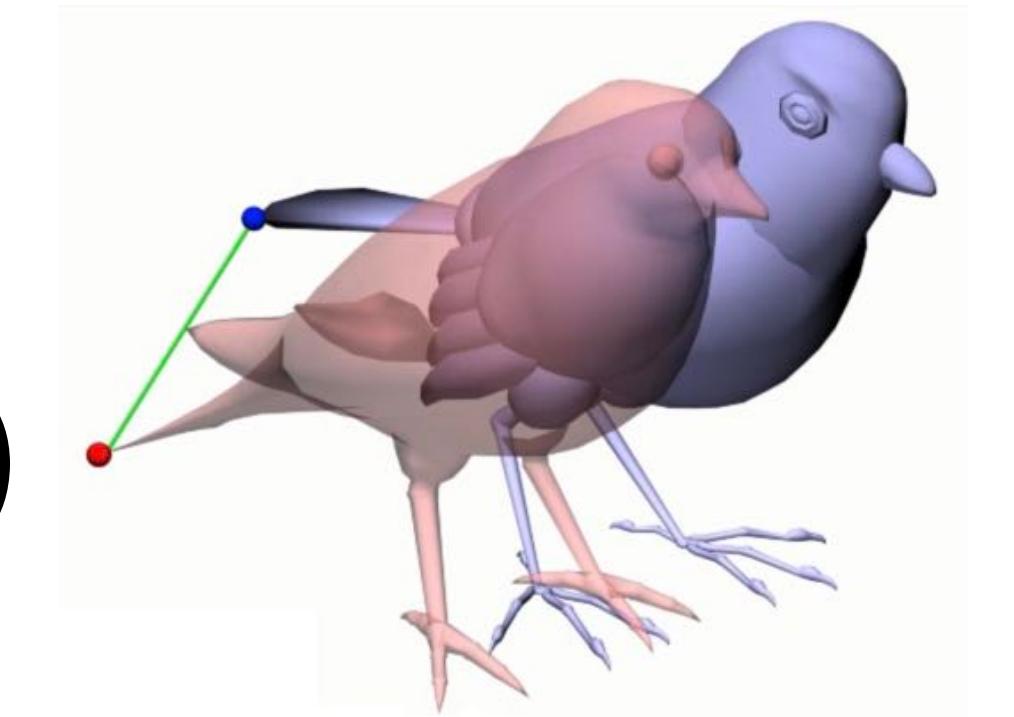
## Choose the right structure for the job!

- Primitive vs. spatial partitioning:
  - Primitive partitioning: partition sets of objects
    - Bounded number of BVH nodes
    - Simpler to update if primitives in scene change position
  - Spatial partitioning: partition space
    - Traverse space in order (first intersection is closest intersection)
    - May intersect primitive multiple times
- Adaptive structures (BVH, K-D tree)
  - More costly to construct (must be able to amortize cost over many geometric queries)
  - Better intersection performance under non-uniform distribution of primitives
- Non-adaptive accelerations structures (uniform grids)
  - Simple, cheap to construct
  - Good intersection performance if scene primitives are uniformly distributed
- Many, many combinations thereof...

# Hierarchical Acceleration in Graphics

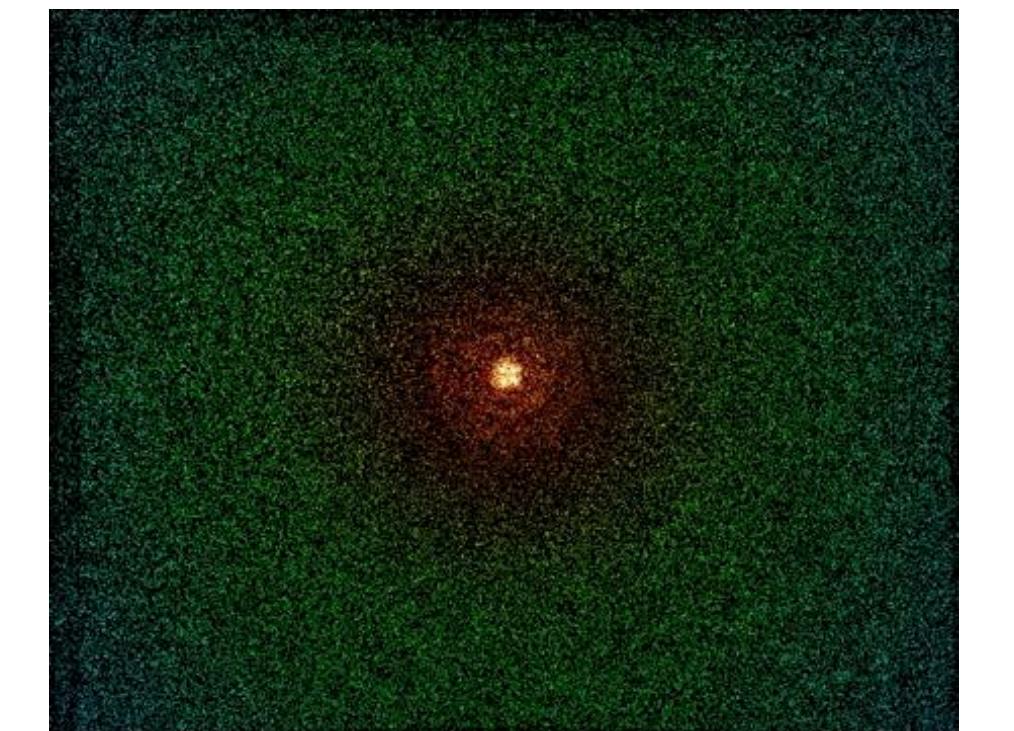
## ■ GEOMETRY

- Inside-outside tests (e.g., meshing)
- Closest point tests (e.g., Hausdorff distance)



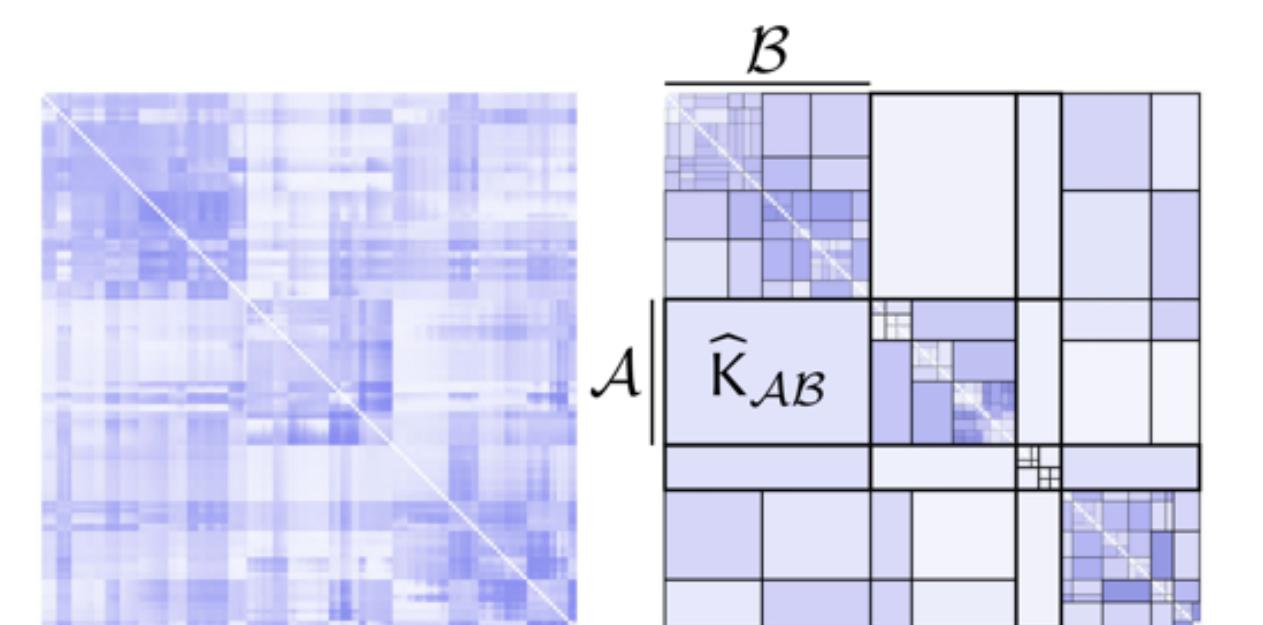
## ■ ANIMATION/SIMULATION

- “Particle systems”
- N-body dynamics, fluid simulation, ...
- Barnes-Hut algorithm
- fast multipole method



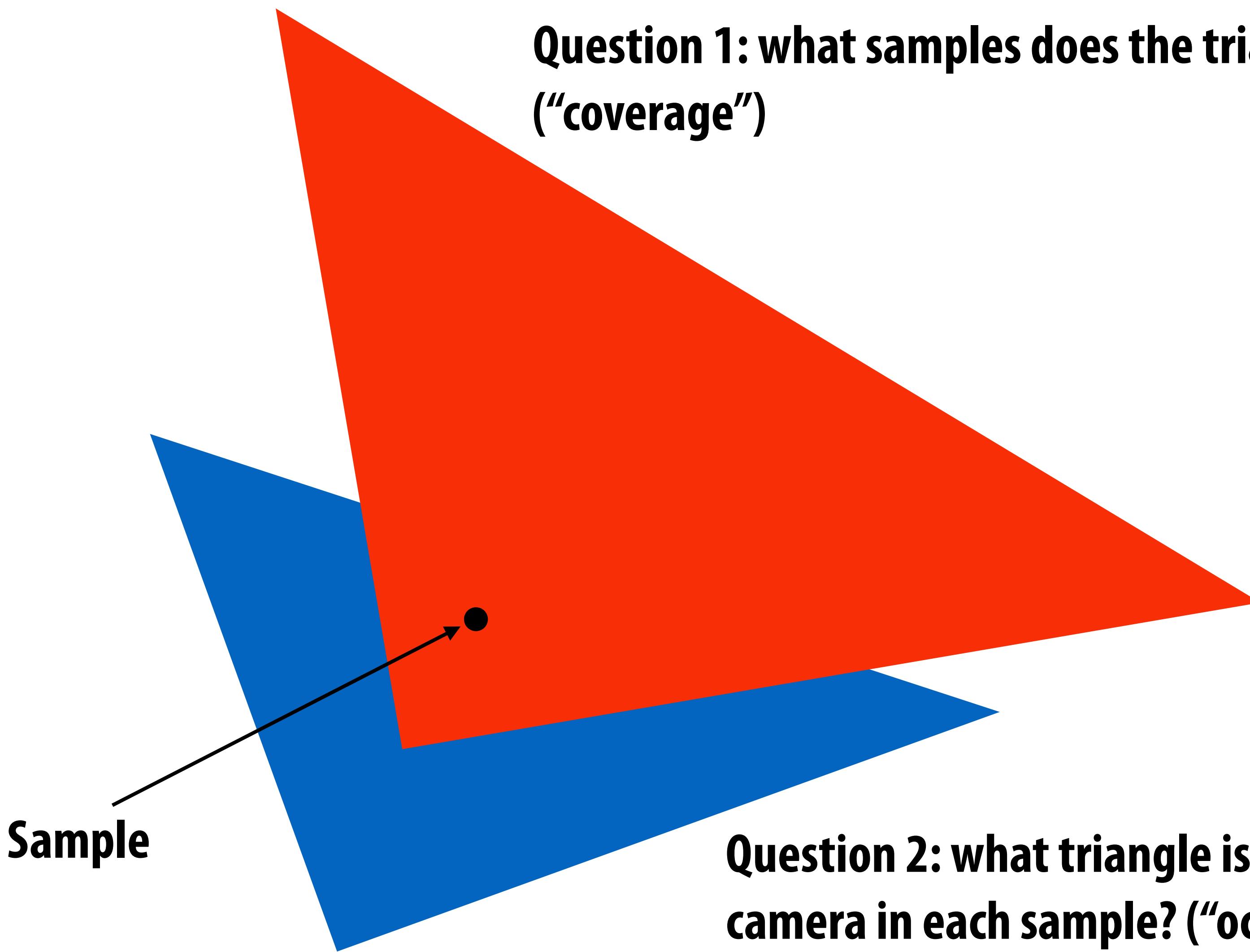
## ■ RENDERING

- **Visibility**
- **Physically-based ray tracing**



**Q: How can we use ray intersection  
queries to generate an image?**

# Recall triangle visibility problem:



**Before, we solved this problem using  
rasterization + depth buffering**

**But we can also do it via ray queries!**

# Basic rasterization algorithm

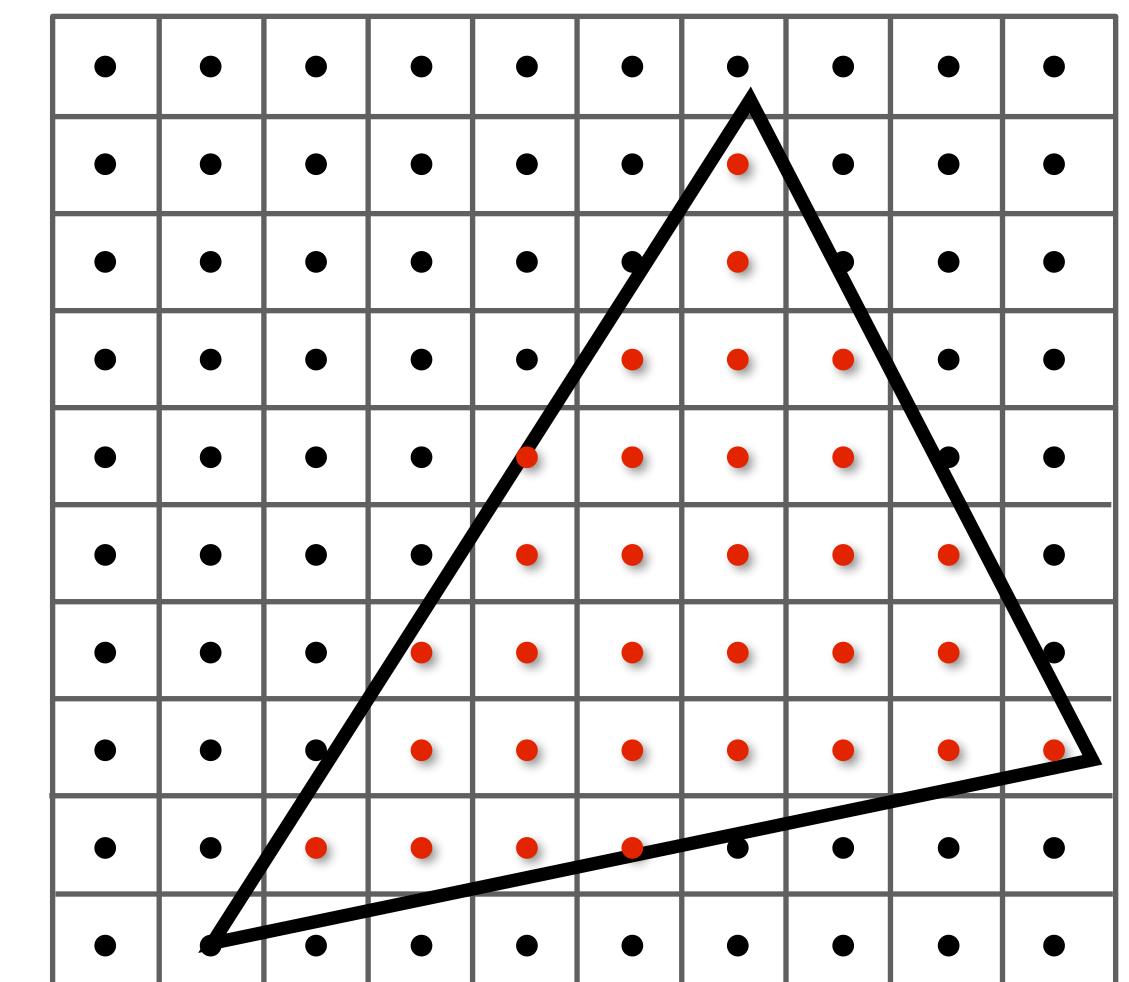
**“For each triangle, find the samples it covers”**

Sample = 2D point

Coverage: 2D triangle/sample tests (does projected triangle cover 2D sample point?)

Occlusion: depth buffer

```
initialize z_closest[] to ∞.          // store closest-surface-so-far for all samples
initialize color[]                   // store scene color for all samples
for each triangle t in scene:        // loop 1: triangles
    t_proj = project_triangle(t)
    for each 2D sample s in frame buffer: // loop 2: visibility samples
        if (t_proj covers s)
            compute color of triangle at sample
            if (depth of t at s is closer than z_closest[s])
                update z_closest[s] and color[s]
```



# Basic ray casting algorithm

**“For each sample, find the primitives it’s covered by”**

**Sample = a ray in 3D**

**Coverage: 3D ray-triangle intersection tests (does ray “hit” triangle)**

**Occlusion: closest intersection along ray**

```
initialize color[]                                     // store scene color for all samples
for each sample s in frame buffer:                  // loop 1: visibility samples (rays)
    r = ray from s on sensor through pinhole aperture
    r.min_t = ∞                                       // only store closest-so-far for current ray
    r.tri = NULL;
    for each triangle tri in scene:                  // loop 2: triangles
        if (intersects(r, tri)) {                     // 3D ray-triangle intersection test
            if (intersection distance along ray is closer than r.min_t)
                update r.min_t and r.tri = tri;
        }
    color[s] = compute surface color of triangle r.tri at hit point
```

**Both schemes use further acceleration:**

**RASTERIZATION — limit tests to bounding box of triangle**

**RAY TRACING — use hierarchical acceleration (as we saw today!)**

# Basic rasterization vs. ray casting

## ■ Rasterization:

- Proceeds in triangle order
- Store depth buffer (random access to regular structure of fixed size)
- Don't have to store entire scene in memory, naturally supports unbounded size scenes

## ■ Ray casting:

- Proceeds in screen sample order
  - Don't have to store closest depth so far for the entire screen (just current ray)
  - Natural order for rendering transparent surfaces (process surfaces in the order they are encountered along the ray: front-to-back or back-to-front)
- Must store entire scene
- Performance more strongly depends on distribution of primitives in scene

## ■ High-performance implementations embody similar techniques:

- Hierarchies of rays/samples
- Hierarchies of geometry
- Deferred shading
- ...

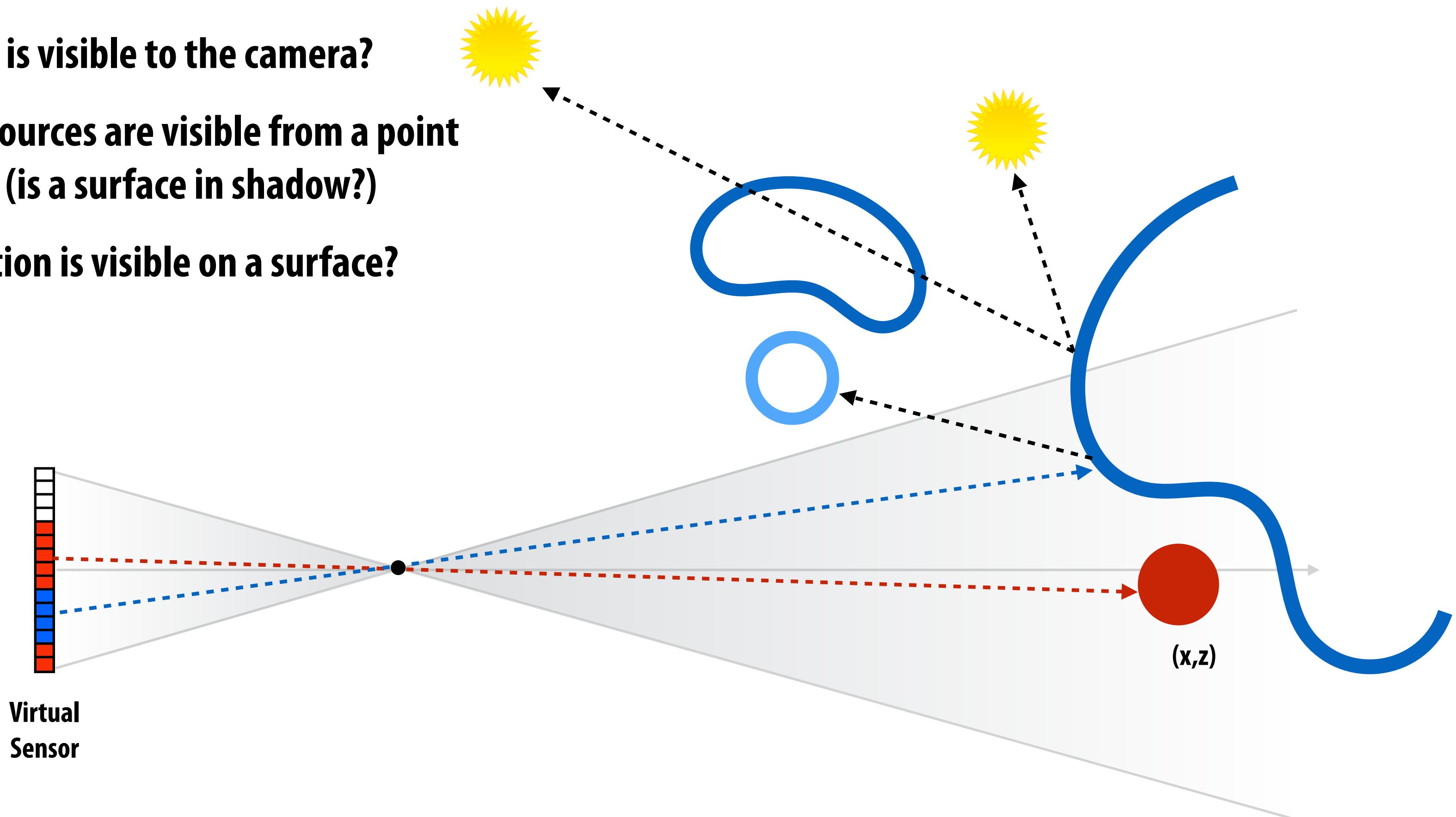
# There is an important difference...

Ray casting can be used for many tasks:

What object is visible to the camera?

What light sources are visible from a point on a surface (is a surface in shadow?)

What reflection is visible on a surface?



In contrast, rasterization is a highly-specialized solution for computing visibility for a set of uniformly distributed rays originating from the same point (most often: the camera)

# Next time: Color

