#### **RESEARCH ARTICLE**



# The fully visible Boltzmann machine and the Senate of the 45th Australian Parliament in 2016

Jessica J. Bagnall<sup>1</sup> · Andrew T. Jones<sup>2</sup> · Natalie Karavarsamis<sup>1</sup> · Hien D. Nguyen<sup>1</sup>

Received: 18 April 2019 / Accepted: 25 July 2019 / Published online: 5 August 2019 © Springer Nature Singapore Pte Ltd. 2019

### **Abstract**

After the 2016 double dissolution election, the 45th Australian Parliament was formed. At the time of its swearing in, the Senate of the 45th Australian Parliament consisted of nine political parties, the largest number in the history of the Australian Parliament. Due to the breadth of the political spectrum that the Senate represented, the situation presented an interesting opportunity for the study of political interactions in the Australian context. Using publicly available Senate voting data in 2016, we quantitatively analyzed two aspects of the Senate. First, we analyzed the degree to which each of the non-government parties of the Senate is pro- or anti-government. Second, we analyzed the degree to which the votes of each of the non-government Senate parties are in concordance or discordance with one another. We utilized the fully visible Boltzmann machine (FVBM) model to conduct these analyses. The FVBM is an artificial neural network that can be viewed as a multivariate generalization of the Bernoulli distribution. Via a maximum pseudolikelihood estimation approach, we conducted parameter estimation and constructed hypothesis tests that revealed the interaction structures within the Australian Senate. The conclusions that we drew are well supported by external sources of information.

**Keywords** Australian Parliament  $\cdot$  Bernoulli distribution  $\cdot$  Maximum pseudolikelihood estimation  $\cdot$  Minorization—maximization algorithm  $\cdot$  Neural networks  $\cdot$  Parametric model

School of Mathematics and Physics, University of Queensland, St. Lucia, Brisbane 4072, Australia



Hien D. Nguyen h.nguyen5@latrobe.edu.au

Department of Mathematics and Statistics, La Trobe University, Bundoora, Melbourne 3086, Australia

#### Introduction

At the federal level, or Commonwealth level, the Australian parliamentary government system is composed of two houses, the House of Representatives, and the Senate (cf. [44]). The House of Representatives is composed of members who represent and are elected by a nearly equal number of voters (currently approximately 150,000 individuals) from the public. We make a note that the electorates of Tasmania are notably smaller than the other states (currently approximately 100,000 individuals).

Furthermore, members of the House of Representatives are tasked with the role of debating legislation and government policy, raising matters of concern, and importantly making laws via the introduction of bills, or in the language of the Constitution, proposed laws. Currently, the House of Representatives consists of 150 members of parliament. We refer the interested reader to Wright and Fowler [46] regarding information about the House of Representatives of Australia.

In contrast to the House of Representatives, the Senate consists of 76 senators, who do not equally represent the population, but the states and territories, instead. That is, each of the 6 states (i.e. New South Wales, Queensland, South Australia, Tasmania, Victoria, and Western Australia) are equally represented by 12 senators, and each of the 2 territories (i.e the Australian Capital Territory, and the Northern Territory) are represented by 2 senators (cf. [15], Ch. 1).

For any bill to pass to law, both the House of Representatives and the Senate must assent to the bill, requiring a simple majority vote in each house. As such, the primary function of the Senate is to represent the people of each of the states and territories equally. It acts as a balance of power between the states with large populations and the states with smaller populations, who are not able to secure numbers in the House of Representatives. Although the Senate does not have the complete suite of powers to introduce bills, when compared to the House of Representatives (e.g. the Senate cannot introduce taxing bills, appropriation bills, or amend such bills), the Senate does have the power to reject any bills that are introduced by the House of Representative, and request the amendment of introduced bills. More information regarding the Australian Senate and its powers can be found in Evans [15].

In 2016, following a double dissolution election ([11, 17] define a double dissolution election as one where all seats of the House of Representatives and the Senate are simultaneously contested), the Senate of the 45th Australian Parliament was formed. In normal election cycles of three years, only half of the senators from each state face reelection, since each senator is elected to a 6-year term (cf. [15], Ch. 1). The territorial senators are elected to 3-year terms at all elections, and thus face no special consequence from the special election. The double dissolution is, therefore, particularly interesting, as the elected state senators all face reelection simultaneously, and thus the composition of the seated Senate is more volatile than after a normal election. The elected Senate consisted of senators from nine separate political parties, which is the largest number of political parties in the history of the Senate (cf. [15], Table 2). At the time of its swearing



in, the Senate of the 45th Australian Parliament consisted of 30 senators from the governing Liberal National Party (LNP), 26 senators from the opposition Australian Labour Party (ALP), 9 senators from the Australian Greens (AG), 4 senators from Pauline Hanson's One Nation (PHON), 3 senators from the Nick Xenophon Team (NXT), 1 Liberal Democratic Party (LDP) senator, 1 Family First Party (FFP) senator, 1 Jacqui Lambie Network (JLN) senator, and 1 Derryn Hinch Justice Party (DHJP) senator.

When Senators vote on an item of legislation or a motion, the event is known as a division (cf. [11]). Divisions data, at a party level, are recorded on the official Australian Parliament website at the URL: http://www.aph.gov.au/Parliamentary\_Busin ess/Statistics/Senate StatsNet/General/divisions.

In this article, we analyze the data from the first sitting of the Senate of the 45th Australian Parliament, until the final sitting of the year 2016. The first division during this period was conducted on the 31st of August 2016, and the last division was performed on the 1st of December 2016. In total, 147 divisions were performed during this period. We have chosen this period due to the particular stability of the Senate during the time. After this period, a number of events, including the so-called dual citizenship crisis (see, e.g. [4, 22]) resulted in a high number of turnover and changes in the Senate composition.

We seek to quantitatively analyze two aspects of the Senate. First, we analyze the degree to which each of the non-government parties of the Senate is pro- or anti-government. Second, we analyze the degree to which the votes of each of the non-government Senate parties is in concordance or discordance with one another. To answer both questions, we seek to analyze the division data using a fully visible Boltzmann machine (FVBM).

The Boltzmann machine (BM) is a parametric generative graphical probabilistic artificial neural network (ANN) that was introduced in the seminal paper of Ackley et al. [1]. The BM is a deep ANN with a latent variable structure that is capable of universal representation of the probability mass function (PMF) of multivariate binary random variables of any fixed dimension  $d \in \mathbb{N}$  (cf. [27]).

The FVBM, introduced in Hyvarinen [23], is a simplification of the BM, which does not contain a hidden variable structure. It is a type of log-linear graphical generative probabilistic model that is comparable to the models that are studied in Lauritzen [26]. It can also be considered as a multivariate generalization of the Bernoulli random variable and is equivalent to the logistic multivariate binary model proposed by Cox [12]. Our use of the FVBM is motivated by that of Desmarais and Crammer [13] who construct FVBMs for the analysis of relationships between judges in the United States Supreme Court, using data from 178 cases in the period between 2007 and 2008.

In Hyvarinen [23], it was proved that an unknown parameter vector that determines the data generating process (DGP) of data arising from an FVBM can be estimated consistently via maximum pseudolikelihood estimation (MPLE) (also known as maximum composite likelihood estimation, see [2, 28]). An alternative proof of consistency can be found in Nguyen [33].

Following from the work of Hyvarinen [23], Nguyen and Wood [35] proved that the consistent maximum pseudolikelihood estimator (MPLE) of an FVBM is also



asymptotically normal. Furthermore, in Nguyen and Wood [34], it was demonstrated that the MPLE for an FVBM could be efficiently computed from data via an iterative block-successive lower bound maximization algorithm of the kind that is described in Razaviyayn et al. [38]. It was proved that the computational algorithm monotonically increases the pseudolikelihood objective in each iteration, and that the limit point of the algorithm is a global maximum of the objective function.

The contributions of this paper are as follows. First, we believe that we are the first to compile data from the Australian Senate for the purpose of multivariate analysis of the voting patterns. This was motivated by previous work by Desmarais and Crammer [13], who used an FVBM to study the voting patterns relating to the United States Supreme Court. We make our data openly available for other researchers, as a part of the *R* package *BoltzMM* [24]. Next we believe that we are the first to fully utilize the logistic regression and log-odds ratio interpretation of the FVBM models for statistical inference. Lastly, we demonstrate how the *BoltzMM* can be used to fit an FVBM to analyze the Senate data, using the algorithm of Nguyen and Wood [34], and conduct asymptotic inference, according to the results of Nguyen and Wood [35].

The remainder of the article proceeds as follows. In "The fully-visible Boltzmann machine", we describe the FVBM, the MPLE estimator, and the inferential tools that we will use. In "The Senate data", we describe our Senate data in detail, and we discuss the details of our data processing protocol. In "Analysis of the Senate data", we present the result of an analysis of the Senate data via an FVBM and we discuss the results of the analysis. In "Conclusion", we draw some conclusions regarding our work. Auxiliary and technical results are presented in the "Appendix".

# The fully visible Boltzmann machine

Let  $X^{\mathsf{T}} = (X_1, \dots, X_d)$  be a vector of spin binary random variables (i.e.  $X_j \in \{-1, +1\}; \ j \in [d] = \{1, \dots, d\}$ ). Here,  $(\cdot)^{\mathsf{T}}$  is the transposition operator. We say that the DGP of X is a FVBM if it can be characterized by the PMF

$$f(x;\theta) = \mathbb{P}(X = x)$$

$$= \frac{\exp\left(\frac{1}{2}x^{\mathsf{T}}Mx + x^{\mathsf{T}}b\right)}{z(\theta)},$$
(1)

where

$$z(\boldsymbol{\theta}) = \sum_{\boldsymbol{\xi} \in \{-1,1\}^d} \exp\left(\frac{1}{2}\boldsymbol{\xi}^{\mathsf{T}} \boldsymbol{M} \boldsymbol{\xi} + \boldsymbol{\xi}^{\mathsf{T}} \boldsymbol{b}\right),$$

 $M \in \mathbb{R}^{d \times d}$  is a symmetric matrix with zeros along the diagonal, and  $b \in \mathbb{R}^d$ . We call  $z(\theta)$  the normalization constant, and we put the unique elements of M and b into the  $\lfloor n(n-1)/2 + n \rfloor$ -dimensional parameter vector  $\theta$ .

The bias vector **b** can be written as



$$\boldsymbol{b}^{\top} = (b_1, \dots, b_d),$$

where increasing the value of  $b_j \in \mathbb{R}$   $(j \in [d])$  increases the probability  $\mathbb{P}(X_j = +1)$ , all else held constant. Similarly, decreasing the value of  $b_j$  increases the probability  $\mathbb{P}(X_j = -1)$ , all else held constant.

The interaction matrix M can be written as

$$\mathbf{M} = \begin{bmatrix} 0 & m_{12} & m_{13} & \dots & m_{1d} \\ m_{12} & 0 & m_{23} & \dots & m_{2d} \\ m_{13} & m_{23} & 0 & \ddots & \vdots \\ \vdots & \vdots & \ddots & \ddots & m_{d-1,d} \\ m_{1d} & m_{2d} & \cdots & m_{d-1,d} & 0 \end{bmatrix},$$

where increasing the value of  $m_{jk} \in \mathbb{R}$   $(j,k \in [d]; j < k)$  increases the probability  $\mathbb{P}(X_j = X_k)$ , all else held constant. Similarly, decreases in the value of  $m_{jk}$  increases the probability  $\mathbb{P}(X_j \neq X_k)$ , all else held constant. Thus, the vector  $\boldsymbol{b}$  controls the marginal probabilities of each element of  $\boldsymbol{X}$ , whereas the matrix  $\boldsymbol{M}$  controls the correlations between the elements of  $\boldsymbol{X}$ .

Following intuition from DeStefano [14], we may also interpret the elements of b and M in a way akin to the coefficients from a standard logistic regression model. That is, for each dimension  $j \in [d]$ , we may write the conditional PMF between  $X_j$  and the remaining dimensions of X,  $X_{(i)}^{\top} = (X_1, \dots, X_{i-1}, X_{j+1}, \dots, X_d)$ , as

$$f(x_j|\mathbf{x}_{(j)};\boldsymbol{\theta}) = \frac{\exp\left(x_j \mathbf{m}_j^{\mathsf{T}} \mathbf{x} + b_j x_j\right)}{\exp\left(\mathbf{m}_j^{\mathsf{T}} \mathbf{x} + b_j\right) + \exp\left(-\mathbf{m}_j^{\mathsf{T}} \mathbf{x} - b_j\right)},$$
 (2)

where  $m_i$  is the jth column of M. We may interpret the left-hand side of (2) as

$$f(x_j|\mathbf{x}_{(j)};\boldsymbol{\theta}) = \Pr(X_j = x_j|\mathbf{X}_{(j)} = \mathbf{x}_{(j)}).$$

The odds ratio is a familiar inferential device in the study of logistic regression models (cf. [20], Ch. 10). Since there are only two choices for  $x_j$  (i.e.,  $x_j \in \{-1, +1\}$ ), we can write the odds ratio between the two outcomes for  $X_j$ , given  $X_{(j)} = x_{(j)}$ , as

odds ratio = 
$$\begin{aligned} &\frac{\Pr\left(X_{j} = +1 | X_{(j)} = X_{(j)}\right)}{\Pr\left(X_{j} = -1 | X_{(j)} = X_{(j)}\right)} \\ &= \exp\left(2\boldsymbol{m}_{j}^{\mathsf{T}}\boldsymbol{x} + 2b_{j}\right). \end{aligned}$$

The log-odds ratio can then be computed simply by taking the logarithm of both sides, and has the form:



log-odds ratio = 
$$2\mathbf{m}_{j}^{\mathsf{T}}\mathbf{x} + 2b_{j}$$
  
=  $2\sum_{\{k: k \in [d], k \neq j\}} m_{jk}x_{k} + 2b_{j}$ . (3)

Thus, we may now simply interpret the elements of  $\boldsymbol{b}$  and  $\boldsymbol{M}$  as the coefficients of a logistic regression models (i.e. logistic regressions between  $X_j$  and  $X_{(j)}$ , for each  $j \in [d]$ ), as for each j, the log-odds ratio of  $X_j = +1$  versus  $X_j = -1$  is biased by an amount equal to  $2b_j$ , regardless of the value of  $X_{(j)}$ . Furthermore, for each j, holding all else constant, the log-odds ratio of  $X_j = +1$  versus  $X_j = -1$  is  $4m_{jk}$  greater, when  $X_k = +1$  compared to when  $X_k = -1$ , for  $k \neq j$ . We can interpret the log-odds ratio with the fact in mind that a log-odds ratio of greater than zero indicates that  $X_j = +1$  is more probable than  $X_j = -1$ . Furthermore, the more positive the log-odds ratio is, the more probable  $X_j = +1$ , compared to  $X_j = -1$ . The interpretation is the same for a negative log-odds ratio, reversing the events  $X_j = +1$  and  $X_j = -1$ . A log-odds ratio of exactly zero indicates that the two binomial events are equiprobable.

# Estimation of the FVBM parameter vector

Suppose that we observe n independent and identical replicates of X, say  $X_1, \ldots, X_n$ , which arise from a DGP that can be characterized by an FVBM with unknown parameter vector  $\theta_0$ . Since the FVBM is a probabilistic model with PMF (1), we can construct the log-likelihood function

$$\begin{split} l_n(\theta) &= \sum_{i=1}^n \log f\big(X_i; \theta\big) \\ &= \frac{1}{2} \sum_{i=1}^n X_i^\top M X_i + \sum_{i=1}^n X_i^\top b - n \log z(\theta), \end{split}$$

and estimate  $\theta_0$  via the maximum likelihood estimator (MLE)

$$\hat{\boldsymbol{\theta}}_n = \arg\max_{\boldsymbol{\theta}} \ l_n(\boldsymbol{\theta}).$$

Unfortunately, for all but the smallest values of d, the log-likelihood function is prohibitively computationally expensive to work with since the number of terms in  $z(\theta)$  grows exponentially with d. Due to the computational costs of obtaining the MLE, it is more common to consider the MPLE as an estimator of  $\theta_0$  in the FVBM context, instead (see, e.g. [13, 23, 34, 35]).

The so-called log-pseudolikelihood function, as used by the references above, can be written as

$$p_n(\boldsymbol{\theta}) = \sum_{i=1}^n \sum_{j=1}^d \log f(X_{ij}|X_{i(j)};\boldsymbol{\theta}), \tag{4}$$



and we call  $\prod_{j=1}^d f(X_j|X_{(j)};\theta)$  the individual pseudolikelihood of observation X. Note that (4) no longer depends on the normalization constant  $z(\theta)$ . Like the MLE, the MPLE can be defined as the maximizer of (4). That is, we can write the MPLE as

$$\tilde{\theta}_n = \arg\max_{\theta} \ p_n(\theta). \tag{5}$$

The remainder of this section consists of a summary of the computational and statistic results regarding the MPLE. In "Asymptotic properties of the MPLE", we present the consistency results of Hyvarinen [23] and Nguyen [33], as well as the asymptotic normality results of Nguyen and Wood [35]. The optimization algorithm for computing the MPLE of Nguyen and Wood [34] is presented in "The block-successive lower bound maximization algorithm", as well as a description of its convergence properties. Lastly, the MPLE hypothesis test construction of Molenberghs and Verbeke ([31], Sect. 9.3.1) is presented in "Hypothesis testing".

# Asymptotic properties of the MPLE

The MPLE has a number of desirable asymptotic properties. The first proof the consistency of the MPLE for FVBM models was given by Hyvarinen [23]. In this proof, Hyvarinen [23] assumed that the true underlying DGP is in fact a FVBM model with parameter  $\theta_0$ . Thus, it was shown that in such a case, the MPLE converges in probability, as n approaches infinity, to  $\theta_0$ . Alternatively, when the underlying DGP is not an FVBM, but an FVBM is nonetheless used to approximate the DGP, Nguyen [33] proved that the MPLE for the FVBM is Wald consistent, in the sense of van der Vaart ([43], Sect. 5.2.1).

Furthermore, in either case (defining  $\theta_0$  to be the true parameter vector in the well-specified case, or the asymptotic global maximizer of the expected log individual pseudolikelihood in the misspecified case), the main theorem of Nguyen and Wood [35] yields the asymptotic normality of  $n^{1/2}(\tilde{\theta}_n - \theta_0)$ . That is, as n approaches infinity,  $n^{1/2}(\tilde{\theta}_n - \theta_0)$  converges in law to a multivariate normal distribution with mean vector  $\mathbf{0}$  (the zero vector) and covariance matrix  $\mathbf{I}_1^{-1}(\theta_0)\mathbf{I}_2(\theta_0)\mathbf{I}_1^{-1}(\theta_0)$ , where

$$\boldsymbol{I}_{1}(\boldsymbol{\theta}) = -\sum_{j=1}^{d} \mathbb{E} \left[ \frac{\partial^{2} \log f \left( \boldsymbol{X}_{j} | \boldsymbol{X}_{(j)} ; \boldsymbol{\theta} \right)}{\partial \boldsymbol{\theta} \partial \boldsymbol{\theta}^{\top}} \right],$$

and

$$I_2(\theta) = \sum_{j=1}^d \sum_{k=1}^d \mathbb{E} \left[ \frac{\partial \log f \left( X_j | X_{(j)}; \theta \right)}{\partial \theta} \cdot \frac{\partial \log f \left( X_j | X_{(j)}; \theta \right)}{\partial \theta^\top} \right].$$



Using the estimator  $\tilde{\boldsymbol{\theta}}_n$ , we can consistently estimate the information matrices  $\boldsymbol{I}_1(\boldsymbol{\theta}_0)$  and  $\boldsymbol{I}_2(\boldsymbol{\theta}_0)$  via the empirical information matrices  $\tilde{\boldsymbol{I}}_1(\tilde{\boldsymbol{\theta}}_n)$  and  $\tilde{\boldsymbol{I}}_2(\tilde{\boldsymbol{\theta}}_n)$ , respectively (cf. [9], Sect. 7.8.3), where

$$\tilde{I}_1(\boldsymbol{\theta}) = -\frac{1}{n} \sum_{i=1}^{n} \sum_{j=1}^{d} \frac{\partial \log f(X_{ij} | X_{i(j)}; \boldsymbol{\theta})}{\partial \boldsymbol{\theta} \partial \boldsymbol{\theta}^{\mathsf{T}}}$$

and

$$\tilde{I}_{2}(\theta) = \frac{1}{n} \sum_{i=1}^{n} \sum_{i=1}^{d} \sum_{k=1}^{d} \frac{\partial \log f\left(X_{ij} | X_{i(j)}; \theta\right)}{\partial \theta} \cdot \frac{\partial \log f\left(X_{ij} | X_{i(j)}; \theta\right)}{\partial \theta^{\top}}.$$

# The block-successive lower bound maximization algorithm

Using the framework of block-successive lower bound maximization algorithms, Nguyen and Wood [34] proposed the following iterative algorithm for the computation of (5), upon observing a realization  $x_1, \ldots, x_n$  of  $X_1, \ldots, X_n$ .

Denote an initial estimate of the MPLE  $\theta^{(0)}$  and write the *r*th iterate of the algorithm as  $\theta^{(r)}$  (containing the components  $M^{(r)}$  and  $b^{(r)}$ ). At the *r*th iteration, in the order j = 1, 2, ..., d, we compute

$$b_j^{(r)} = \frac{1}{n} \sum_{i=1}^{n} \left[ x_{ij} - \tanh\left(\boldsymbol{m}_j^{(r-1)\mathsf{T}} \boldsymbol{x}_i + b_j^{(r-1)}\right) \right] + b_j^{(r-1)}. \tag{6}$$

Let  $m_{jk}^{(r)}$  be the *j*th row and *k*th column element of  $M^{(r)}$ , and let  $M_{[uv]}^{(s)}$  be a symmetric matrix with zeros along the diagonal and elements

$$m_{[uv]jk}^{(r)} = \begin{cases} m_{jk}^{(r+1)}, & \text{if } j < u, \text{ or } j = u \text{ and } k < v, \\ m_{jk}^{(r)}, & \text{otherwise.} \end{cases}$$

Then, in the lexicographical order

$$(i, j) = (1, 2), \dots, (1, d), (2, 3), (2, 4), \dots, (d - 1, d),$$

we compute

$$\begin{split} m_{jk}^{(r)} = & \frac{1}{2n} \sum_{i=1}^{n} \left[ 2x_{ij} x_{ik} - x_{ik} \tanh \left( \pmb{m}_{[jk]j}^{(r-1)\top} \pmb{x}_i + b_j^{(r)} \right) - x_{ij} \tanh \left( \pmb{m}_{[jk]k}^{(r-1)\top} \pmb{x}_i + b_k^{(r)} \right) \right] \\ & + m_{ik}^{(r-1)}, \end{split}$$

where  $\mathbf{m}_{[uv]j}^{(r)}$  is the *j*th column of the matrix  $\mathbf{M}_{[uv]}^{(r)}$ . The iterations (6) and (7) are repeated until some convergence criterion is meet (e.g., until r = R, where R is some large number). The final iterate is then used as the MPLE estimator  $\tilde{\theta}_n$ .

In Nguyen and Wood [34], it was proved that the algorithm defined by (6) and (7) is monotonic, in the sense that  $p_n(\theta^{(r)}) \leq p_n(\theta^{(r+1)})$ , for each  $r \in \mathbb{N}$ . That is, the



algorithm increases the log-pseudolikelihood objective in each iteration. Furthermore, it is also proved that for any starting value  $\theta^{(0)}$ , the limit point of the algorithm  $\theta^{(\infty)} = \lim_{r \to \infty} \theta^{(r)}$  is the global maximizer of the log-pseudolikelihood function  $p_n(\theta)$ . Thus, the algorithm is globally convergent to the global maximizer of the objective, regardless of how it is initialized. The two results guarantee the stability and correctness of the described algorithm.

# **Hypothesis testing**

Using the Wald statistic construction of Molenberghs and Verbeke ([31], Sect. 9.3.1), we can construct a statistic to test

$$H_0: \theta_k = \theta_{0k}$$
, versus  $H_1: \theta_k \neq \theta_{0k}$ ,

where  $\theta_k$  ( $\theta_{0k}$ ) is the kth element of  $\theta$  ( $\theta_0$ ), for  $k \in [n(n-1)/2 + n]$ . For each k, the z-score form of the Wald statistic can be given as

$$Z_k = \frac{\hat{\theta}_{nk} - \theta_{0k}}{\sqrt{\hat{\sigma}_{nk}^2/n}},\tag{8}$$

where  $\hat{\sigma}_{n,k}^2$  is the kth diagonal element of the matrix  $\tilde{\boldsymbol{I}}_1^{-1}(\tilde{\boldsymbol{\theta}}_n)\tilde{\boldsymbol{I}}_2(\tilde{\boldsymbol{\theta}}_n)\tilde{\boldsymbol{I}}_1^{-1}(\tilde{\boldsymbol{\theta}}_n)$ . Under the null hypothesis  $H_0$ ,  $Z_k$  is asymptotically standard normal. This asymptotic result can be used as an approximation to construct finite-sample hypothesis tests.

#### The Senate data

The data that we study are taken directly from <a href="http://www.aph.gov.au/Parliament">http://www.aph.gov.au/Parliament</a> ary\_Business/Statistics/Senate\_StatsNet/General/divisions. In particular, we study the divisions data taken from the first sitting of the Senate of the 45th Australian Parliament, until the final sitting during the 2016 calendar year.

The data contain n = 147 rows and each of the columns of the data contains an indicator of how the particular party (i.e. LNP, ALP, AG, NXT, PHON, LDP, FFP, JLN, and DHJP) voted on each of the n items of legislation. The indicators were "Yes" (indicating a vote in favor of the legislation), "No" (indicating a vote against the legislation), "Split" (indicating that the members of the party did not all vote in the same direction), and "-/[blank]" (indicating no vote cast).

# **Data processing**

Our first preprocessing step is to investigate and decide upon whether the "Split" indicator should be relabeled as "Yes" or "No". Upon investigation, we notice that all of the "Split" entries arose from the same party: PHON. Although tedious, it was possible to ascertain the manner in which the different members of PHON voted in each of the 12 "Split" votes. These data were obtained from the Senate Journal, which are textual documents that contain the untabulated data regarding votes on



Table 1	Voting patterns for
each of	the PHON senators on
the 12 "	Split" entries within the
division	s data

Date	Number	Burston	Culleton	Hanson	Roberts
13/9	2	No	Yes	No	No
14/9	4	Yes	No	Yes	Yes
23/11	1	No	No	Yes	Yes
29/11	2	No	Yes	No	No
29/11	3	No	Yes	No	No
29/11	4	No	Yes	No	No
30/11	7	No	Yes	No	No
30/11	8	No	Yes	No	No
30/11	11	No	Yes	No	No
01/12	4	No	Yes	No	_
01/12	10	No	Yes	No	No
01/12	25	No	Yes	No	No

All dates are in the year 2016 and the number indicates which division item on the date that the vote corresponded to

Senate questions at the individual level, within their contents. The Senate Journal documents can be obtained at <a href="http://parlinfo.aph.gov.au">http://parlinfo.aph.gov.au</a>. Table 1 presents the voting pattern of the four members of PHON (Senators Burston, Culleton, Hanson, and Roberts) on the 12 "Split" entries.

Upon inspecting the details of Table 1, we observe that Senator Culleton went against the party leader (Senator Hanson) on every one of the 12 occasions. The only other person to vote in the opposite direction to Senator Hanson was Senator Burston, on 23 November. The table also shows that Senator Roberts missed division number 4 on 1 December.

On 18 December 2016, Senator Culleton resigned from PHON and sat as an independent Senator. Furthermore, on 23 December 2016, the Federal Court of Australia found that Senator Culleton was bankrupt, which raised questions regarding his eligibility to remain on the Senate (cf. [36]). It was not until February 2017 that Senator Culleton was disqualified from the Senate by the High Court of Australia (cf. [40]). The disqualification was largely due to the fact that the Senator was convicted of a criminal offense, prior to his election, and thus was not eligible to stand for the Senate during the 2016 election [39].

We can conclude that Senator Culleton was influential, as an independent entity, during the year of 2016. We choose to handle the "Split" entries in the following way: first, Senator Culleton will be treated as a separate entity to the rest of PHON, and second, the majority vote is taken as the indicator for the rest of the PHON entries. We note that in all but one case, the majority vote is also the unanimous vote.

Next, the indicator "-/[blank]" was taken to be a missing data entry in the context of our analysis. Taken as such, we found that the FFP column contained 142 missing data entries. Upon review, the large number of missing entries in the FFP column was due to various ineligibility issues regarding the only FFP Senator at the time,



Bob Day (cf. [40]). Since the FFP played a minimal role in the Senate during the investigated period, we eliminated the FFP column from our data.

The remainder of the data contained a further 54 missing entries out of a potential  $147 \times 9 = 1323$  entries. We considered this missingness be a minor issue (only 4.08%) and used 3-nearest neighbor imputation (cf. [42]) to fill in the missing entries. The choice of 3-nearest neighbor imputation was made to balance between precision and generalizability, as suggested in Beretta and Santaniello [7]. We conducted the imputation via the function knn.impute() within the R package: bnstruct [16]. See R Core Team [37] regarding R. We note that other levels of k for k-nearest neighbor imputation were also assessed, although we found that our inference was insensitive to the choice of k (see "Appendix" for details).

Next, we construct the binary random variables of interest: the agreement of each Senate party's vote with the Government's vote on each item of legislation. For each of the non-government parties (i.e. not LNP) we construct new columns of data. The new entries of data can be given as  $x_{ij}$  where i denote the index of the legislation and j denotes the index of the party. We set  $x_{ij}$  to +1 if both the LNP and the jth party voted "Yes" or "No" on legislation i. We set  $x_{ij}$  to -1 if the LNP voted "Yes" and the jth party voted "Yes". For each item of legislation  $i \in [n]$  (n = 147), the vector  $\mathbf{x}_i^{\mathsf{T}} = (x_{i1}, \dots, x_{id})$  (d = 8) summaries the agreement or disagreement of each of the non-government Senate parties (excluding the FFP, and including Senator Culleton, which we code as CULL, as his own entity) with the Government. The data have been made available as a part of the R package BoltzMM.

# **Analysis of the Senate data**

The analysis of the processed Senate data was conducted using the R package BoltzMM. The main function of the package is the fitfvbm function, which applies the algorithm from "The block-successive lower bound maximization algorithm" to compute the MPLE vector  $\tilde{\theta}_n$ . The functions fvbmHess, fvbmcov, and fvbmstderr can then be applied to compute the estimated standard errors for each element of  $\tilde{\theta}_n$ , under the normal approximation afforded by the asymptotic normality of the MPLE (i.e. the root diagonal elements of the matrix  $n^{-1}I_1^{-1}(\tilde{\theta}_n)I_2(\tilde{\theta}_n)I_1^{-1}(\tilde{\theta}_n)$ ).

Using the functions from *BoltzMM*, we computed the elements of MPLE  $\tilde{\theta}_n$  for the Senate data and we estimated the standard error for each element of  $\tilde{\theta}_n$ . The MPLE and standard error estimates are presented in Tables 2 and 3, respectively.

Via the Wald hypothesis test construction from "Hypothesis testing", and using the results from Tables 2 and 3, we conducted tests of the null hypotheses that  $\theta_k = 0$ , versus the alternative hypothesis that  $\theta_k \neq 0$ , for each  $k \in [n(n-1)/2 + n]$ , where each  $\theta_k$  is an element of the true parameter vector  $\boldsymbol{\theta}$ , which specifies the DGP of the observations. The test statistic for each k is computed as per (8). The p value of each test, computed using normal approximations as justified by the results of "Asymptotic properties of the MPLE", are presented in Table 4.

The function *allpfvbm* allows for the calculation of the probability of all possible outcomes, under the fitted FVBM. Summing over the probabilities for each party,



iable 2	Sub-table A	contains the	estimated v	alues of the	bias vect	or (i.e. $\boldsymbol{b}_n$ )		
Party	ALP	AG	NXT	PHON	LDP	JLN	DHJP	CUL
A								
Bias	-0.321	- 1.037	- 0.209	0.941	0.384	- 0.559	0.693	- 0.383
Party	ALP	AG	NXT	PHON	LDP	JLN	DHJP	
В								
AG	-0.203							
NXT	-0.185	-0.284						
PHON	-0.370	0.147	0.371					
LDP	0.173	-0.053	-0.208	0.512				
JLN	0.321	0.626	0.419	0.394	0.024			
DHJP	0.059	0.601	0.808	-0.498	0.224	0.077		
CULL	0.042	-0.710	-0.146	1.287	0.116	0.397	0.801	

**Table 2** Sub-table A contains the estimated values of the bias vector (i.e.  $\tilde{\boldsymbol{b}}_{n}$ )

Sub-table B contains the estimated values of the unique elements of the interaction matrix (i.e.,  $\tilde{M}_n$ )

we can obtain the marginal probabilities of each party voting in correspondence with the government on each Senate question. These model-estimated probabilities are reported in Table 5. We also report, for comparison, the empirical proportions computed as the proportion  $\bar{p}_j = n^{-1} \sum_{i=1}^n [x_{ij} = +1]$  for each party j, where  $[\cdot]$  is the Iverson bracket notation for the indicator function ([18], Ch. 2).

Upon observation of Table 5, we first notice that the probabilities obtained under the fitted FVBM model closely resemble those that are obtained via the computation of empirical proportions. We note that the smallest standard error (calculated using the usual expression  $\left[\bar{p}_j(1-\bar{p}_j)/n\right]^{1/2}$ , using the proportion for the party AG) is 0.028, and thus the smallest approximately normal 95% confidence interval margin of error over all of the proportions is  $2 \times 0.028 = 0.056$ . We see that the model-estimated probabilities all fall comfortably within the 95% confidence interval of the empirical proportions, and thus the two sets of estimates can be seen to be sufficiently close.

Second, we notice that all but two of the model-estimated probabilities (or empirical proportions) correspond well with the sign of the estimated bias parameter elements (from Table 2A). That is, ALP, AG, and JLN each have negative bias estimates and each vote in agreement with the government less than 50% of the time. We also observe that PHON, LDP, and DHJP all have positive estimated biases and all vote in agreement with the government greater than 50% of the time.

The two situations where the biases and marginal probabilities are in different direction are for NXT and CULL. Both NXT and CULL have negative biases but vote in agreement with the government more than 50% of the time. In both cases, the differences in the direction can be attributed to the strong interactions positive interaction between the two parties under discussion and other parties that are biased towards agreement with the government (namely PHON and DHJP).



matrix

Table 3	Sub-ta	ble A contai	ns the estin	nated standar	d errors for t	he MPLE bia	s vector	
Party	ALP	AG	NXT	PHON	LDP	JLN	DHJP	CULL
$\overline{A}$				,		'	'	'
St. Err.	0.165	0.207	0.208	0.274	0.164	0.194	0.239	0.326
Party		ALP	AG	NXT	PHON	LDP	JLN	DHJP
В								
AG		0.130						
NXT		0.130	0.218					
PHON		0.212	0.240	0.268				
LDP		0.130	0.141	0.158	0.219			
JLN		0.117	0.154	0.141	0.216	0.131		
DHJP		0.144	0.248	0.163	0.335	0.149	0.157	
CULL		0.212	0.274	0.232	0.260	0.211	0.178	0.337

CULL 0.212 0.274 0.232 0.260 0.211 0.178 0.337

Sub-table B contains the estimated standard errors of the unique elements of the MPLE interaction

From Table 2B, we observe that the interaction coefficient between NXT and DHJP is the second highest estimated value, at 0.808. Using the function *allpfvbm*, we can obtain the joint PMF between the two parties. The estimated joint PMF indicates that both parties vote in agreement with the government with probability 0.528 and both parties vote against the government with probability 0.298. Furthermore, NXT votes with the government whilst DHJP votes against the government with probability 0.053, and NXT votes against the government whilst DHJP votes with the government with probability 0.121. From these values, we can see that the two parties vote in concordance with one another with at an estimated rate of 82.6% of the time. Thus, it is not surprising that although NXT has a slightly negative bias, that the strong relationship with DHJP influences NXT to vote in agreement with the government at a rate that is higher than 50%.

Again, from Table 2B, we observe that the interaction coefficient between CULL and PHON is the highest estimated value, at 1.287. This is unsurprising, since we know from Table 1 that CULL and PHON agree on all but 12 Senate items. Using the function *allpfvbm*, we can obtain the joint PMF between the two parties. The estimated joint PMF indicates that both parties vote in agreement with the government with probability 0.746 and both parties vote against the government with probability 0.170. Furthermore, CULL votes with the government whilst PHON votes against the government whilst PHON votes with the government with probability 0.069. The joint PMF thus indicates that the two parties vote in concordance with one another at an estimated rate of 91.6%. This explains why CULL has an agreement rate with the government that is greater than 50%, even while the estimated bias is negative.



**Table 4** Sub-table A contains the p values for the tests that each bias vector element is equal to zero

2	ora or make or more than the same of the s	are b man a		-					
Party	ALP AG	NXT	PHON	LDP	JLN	DHJP	CULL		
A									
p-val	5.20E-02 5.71E-07		5.89E-04	3.13E-01 $5.89E-04$ $1.94E-02$ $3.92E-03$ $3.79E-03$ $2.40E-01$	3.92E-03	3.79E-03	2.40E-01		
Party	ALP	AG	Z	NXT	PHON	Т	LDP	JLN	DHJP
В									
AG	1.16E-01	1							
NXT	1.56E-01	1 1.92E-01	.01						
PHON	8.13E-02	2 5.39E-01		1.66E-01					
LDP	1.84E-01	1 7.09E-01		I.88E-01	1.91E - 02	72			
JLN	5.87E-03	3 5.01E-05		2.90E-03	6.75E-02		8.56E-01		
DHJP	6.85E-01	1 1.53E-02		7.24E-07	1.37E-01		1.34E-01	6.21E-01	
CULL	8.42E-01	1 9.55E-03		5.29E-01	7.58E-07		5.83E-01	2.57E-02	1.74E-02

Sub-table B contains the p values for the tests that each interaction matrix element is equal to zero



	()	),		,			$T_{I}$	
Party	ALP	AG	NXT	PHON	LDP	JLN	DHJP	CULL
Model	0.330	0.122	0.581	0.815	0.784	0.342	0.649	0.761
Empirical	0.333	0.129	0.592	0.810	0.782	0.354	0.660	0.755

**Table 5** The first row of the table contains model-based probability estimates of the marginal probabilities of agreement  $\mathbb{P}(X_i = +1)$ , for each Senate party *j*, computed using the function *allpfybm* 

The second row contains the corresponding empirical proportions

Using (3) we may investigate the influences on the log-odds ratio of the voting behavior of CULL. That is, using Table 2, we can write

log-odds ratio<sub>CULL</sub> = 
$$-0.766 - 1.420x_{AG} - 0.292x_{NXT} + 2.574x_{PHON} + 0.232x_{LDP} + 0.794x_{JLN} + 1.602x_{DHJP}$$
.

Interpreting the bias coefficient for CULL, we can see that regardless of how the other parties vote, the log-odds ratio of CULL is downwardly biased against the LNP. We can also interpret each of the coefficients of  $\tilde{M}_n$ , associated with CULL. We observe that when PHON votes with the LNP, the log-odds ratio greatly increases, and thus CULL is also much more likely to vote with the LNP. Similarly, DHJP has a strong positive influence on CULL, whereas AG has a relatively strong negative influence.

We may repeat the exercise above and construct a log-odds ratio function for each party, as a function of the remaining Senate parties. This permits us to fully interpret the complex dynamics of the Senate, simultaneously, and is a strength of the FVBM modeling paradigm.

From the results that were presented above, we can remark that one cannot, therefore, interpret the bias estimates  $\tilde{b}_n$  and the empirical proportions  $\bar{p}_j$  (and modelestimated probabilities) in the same manner. The biases provide an interpretation of the behavior of each party when interactions between the parties are simultaneously being accounted for. Alternatively, the empirical proportions and model-estimated probabilities can provide an interpretation of the behavior of each party, marginally, without accounting for the their interaction with the other Senate entities.

From the results of Tables 2 and 4, we can construct the network diagram that is presented in Fig. 1. We can interpret the diagram as follows, with hypotheses declared significant or otherwise at the  $\alpha=0.05$  level. Each node is colored blue, grey, or red, depending on whether the estimated bias of the corresponding party is significant with a positive bias, insignificant, or significant with a negative bias, respectively. Each node is more opaque if the absolute value of the estimated bias is higher.

The edges connecting each node are either solid or dashed. A solid edge indicates that the interaction between the two connected parties is significant, and a dashed edge indicates that the interaction is insignificant. An edge is colored blue if the interaction between the two parties is positive, and a red edge indicates a negative interaction. The edge thickness is directly proportional to the negative logarithm of the *p* value of the corresponding interaction between the two parties.

We note that 5 out of the 8 bias elements are inferred to be significant different from zero, and 10 out of the 28 interaction elements are inferred to be significantly



different to zero. Given such a large number of simultaneous hypothesis tests, and such a large number of significant results, it is prudent to control for the potentially inflated numbers of false positives that may occur. Towards this goal, we choose to employ the paradigm of false discovery rate (FDR) control, as considered by Benjamini and Hochberg [5]. Since there are potential dependencies between the hypotheses that are tested, we choose to use the method of Benjamini and Yekutieli [6], which is able to control the FDR in the presence of dependencies between hypotheses. We adjust the *p* values so that we may control the FDR via the method of Benjamini and Yekutieli [6] via the *p.adjust* function in *R*. The adjusted *p* values can be interpreted as per the unadjusted *p* values, albeit with cutoffs indicating rejection of hypotheses at some level of FDR control rather than the usual significance level, instead. The FDR-adjusted version of Table 4 is displayed in Table 6.

We observe that the FDR-adjusted p values indicate that, when controlling at the 5% FDR, we would only reject 4 out of the 8 hypotheses regarding the bias elements, and only 3 out of 28 hypotheses relating to the interaction matrix. Thus, predictably, the use of FDR adjustment significantly reduces our power for detecting an interesting interaction.

It is well known that the method of Benjamini and Yekutieli [6] is very conservative, especially when there is a potentially large number of hypotheses where the null hypotheses are false. That is, when there are many false null hypotheses, the method of Benjamini and Yekutieli [6] controls the FDR at a level that is substantially smaller than the specified cutoff level (i.e. 5%, above). To counteract this effect, it is not uncommon to utilize an FDR cutoff that is larger than the significance level  $\alpha$ , that would otherwise be used in a significance test. Thus, we choose to control the FDR at the 10% level, which yields 5 out of 8 rejected bias elements, and 4 out of 28 rejected interaction elements.

As with Fig. 1, we summarize the results of Tables 2 and 6 in Fig. 2. We can interpret the diagram as follows: with hypotheses declared rejected or otherwise at the 10% level of FDR control. Each node is colored blue, grey, or red, depending on whether the hypothesis of the estimated bias of the corresponding party is rejected with a positive bias, not rejected, or rejected with a negative bias, respectively. Each node is more opaque if the absolute value of the estimated bias is higher.

The edges connecting each node are either solid or dashed. A solid edge indicates that the corresponding hypothesis of the interaction between the two connected parties is rejected, and a dashed edge indicates that the hypothesis is not. An edge is colored blue if the interaction between the two parties is positive, and a red edge indicates a negative interaction. The edge thickness is directly proportional to the negative logarithm of the adjusted *p* value of the corresponding interaction between the two parties.

#### Discussion

We begin by discussing the results from Table 5. The ALP is the oldest of the Australian parties and has a continuous existence since 1891, where it has either governed or acted as the opposition [44]. As opposition, it is unsurprising that the ALP



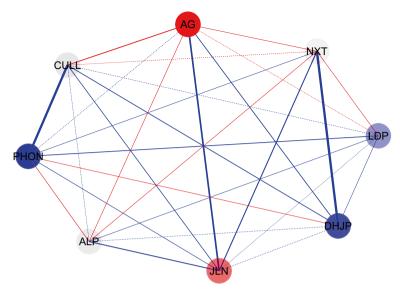


Fig. 1 Visualization of the significance and interaction results from Tables 2 and 4. Descriptions of the various elements of the figure appear within the text

should have a low marginal probability of agreement with the government. It is also unsurprising that AG has a low marginal probability of agreement with the Government since it is a progressive party with ideologies that are antithetical to neo-liberalism and conservatism of the governing LNP (see, e.g., [29, 30] regarding the AG and LNP, respectively). Next, the high rates of agreement with the government, of PHON, LDP, and CULL are also not surprising since PHON is largely a nationalistic and conservative party [19] and the LDP is self-described as an economically neo-classically liberal and libertarian party (cf. http://www.ldp.org.au/our\_philosophy).

The low probability of agreement between JLN and the Government is somewhat surprising since JLN is seen as a conservative party (cf. [45]). Both NXT and DHJP are largely personality based parties, with NXT being self-identified as being a centralist party that seeks to break the duopoly of the ALP and LNP [25]. It is, therefore, unsurprising that NXT has an agreement probability that is close to 50%. On the other hand, DHJP ran a single-issue policy platforms centred around judicial reform (cf. http://www.justiceparty.com.au/our-polic ies), and thus we had no a priori expectations regarding the relationship between DHJP and the conservative Government. It is, therefore, of great interest to observe that DHJP is generally amenable to the position of the Government.

Next, we discuss the results from Tables 2 and 6, via the aid of Fig. 2. We observe that upon controlling the FDR at the 10% level and in the presence of the interactions, PHON, LDP, and DHJP are biased towards agreement with the Government, and AG and JLN are biased towards disagreement with the Government. We also observe that ALP, NXT, and CULL have no bias either way.

The biased positions of PHON and LDP towards agreement, and AG towards disagreement is not surprising, as has been discussed above. However, the bias



Table 6	Sub-table A	contains the	FDR-adjust	ed p value for	r the tests tha	it each bias ve	ector elemen	<b>Fable 6</b> Sub-table A contains the FDR-adjusted $p$ value for the tests that each bias vector element is equal to zero	0.		
Party	ALP	AG	NXT	PHON	LDP	JLN	DHJP	CULL			
A											
adj-p	1.88E-01	1.24E-05	8.52E-01	6.41E-03	8.45E-02	1.88E - 01  1.24E - 05  8.52E - 01  6.41E - 03  8.45E - 02  2.13E - 02  2.13E - 02  7.45E - 01	2.13E-02	7.45E-01			
Party	A	ALP	AG	Z	NXT	PHON	T.	LDP	JLN	DHJP	
В											
AG	6	9.84E-01									
NXT	-	1.00E+00	1.00E+00	00							
PHON	7	7.45E-01	1.00E+00		1.00E+00						
$\Gamma$ DP	T	1.00E+00	1.00E+00		1.00E+00	2.33E-01	11				
JLN		1.29E-01	1.84E-03		7.96E-02	6.75E-01		1.00E+00			
DHJP	1	1.00E+00	2.33E-01		4.17E-05	1.00E+00		1.00E+00	1.00E+00		
CULL	-	.00E+00	1.75E-01		1.00E+00	4.17E-05		1.00E+00	2.82E-01	2.33E-01	

Sub-table B contains the FDR-adjusted p value for the tests that each interaction matrix element is equal to zero



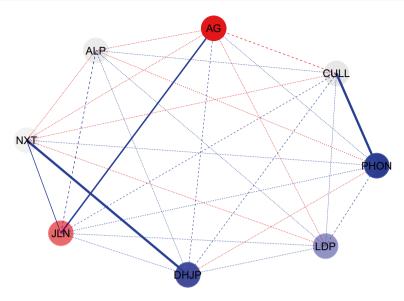


Fig. 2 Visualization of the FDR control and interaction results from Tables 2 and 6. Descriptions of the various elements of the figure appear within the text

against the Government by JLN is surprising, but is in correspondence with what we observed from Table 5. The bias towards agreement by DHJP is also in correspondence with the above 50% marginal rate of agreement, that was observed in Table 5.

Of the three parties that exhibit a lack of bias, when controlled at the 10% FDR level, the result for NXT and CULL are not surprising. As we have already discussed, NXT and CULL are both parties that are heavily driven by interactions with other parties (PHON and DHJP, respectively). Given the strong bias towards voting for the government of both PHON and DHJP, it is unsurprising that these strong interactions drive the marginal rate of agreement of both NXT and CULL, upwards.

It is, however, surprising that ALP should lack a bias, given that they are the opposition to the Government. We observe from Table 4 that the downward bias from the ALP is significant at the  $\alpha=0.1$  level. We thus propose that the lack of rejection of the hypothesis for the bias element associated with the ALP may be due to sampling error. Another potential reason is that the ALP and LNP have had a history of voting together on issues such as defense, in the spirit of bipartisanship (see, e.g., [10, 32]). It is a matter of debate as to whether this bipartisanship represents a lack of ideological difference between the two parties or whether the behavior is merely pragmatic in nature.

We now discuss the interactions between the parties. The least surprising of the interactions is that between PHON and CULL, given that they voted the same way on all 12 Senate questions. Next, the positive interaction between AG and JLN is perhaps due to the fact that Jacqui Lambie, along with two of the



nine AG Senators are Tasmanian (cf. [15], Table 1 and [8]), and thus are allied in voting in a manner that best benefits the state.

The positive interaction between NXT and JLN is more obvious as both Nick Xenophon as the two parties had agreed to an alliance prior to the 2016 election (cf. [3]). Lastly, the positive interaction between NXT and DHJP may come down to the two entities having formed an alliance in the Senate (cf. [21]).

# **Conclusion**

We had set out with the aim to quantitatively analyze the degree to which each of the non-government parties of the Senate of the 45th Australian Parliament were pro- or anti-Government, and the degree to which the votes of each of the non-government Senate parties were in concordance or discordance with one another. Using the FVBM as an inferential vehicle, we were able to satisfactorily achieve both of the stated aims.

Our investigation centered around the analysis of the Senate data that were described in "The Senate data". We found that the use of an FVBM, fitted via MPLE, provided a good fit to the data. Furthermore, analysis of these data revealed voting patterns that were largely supported by the literature and external sources. In particular, our analyses of whether parties were pro- or anti-Government yielded results that were in correspondence with the ideological positions of the assessed parties. Furthermore, identified interactions between parties were in general accordance with identifiable parliamentary alliances. Thus, we conclude that our FVBM methodology was successfully applied to the analysis of the Senate data, and may be applicable to similarly structured data sets.

A number of possible future directions for our research have been identified. First, we may consider the case of dependent observations, and allow for autoregressive structures between voting events that follow sequentially from each other. Second, we may allow for the bias and interaction parameter elements of the FVBM to be parametrizable by covariates, to produce a richer class of models. Third, we may substitute the FDR control procedure with a regularization procedure, instead, using penalizations such as the LASSO of Tibshirani [41]. Such a procedure may be more powerful in identifying relationships between political entities. Finally, given the parametric construction of the FVBM, it is conceivable that we may be able to conduct missing data imputation and parameter estimation, simultaneously. The derivation of such an algorithm, as well as progress with respect to the other future directions, would require significant further technical developments, which cannot be achieved within the length and scope constraints of this manuscript.

**Acknowledgements** We thank the Associate Editor and two reviewers for their helpful comments. Jessica Bagnall is funded by a Research Training Program Stipend scholarship from La Trobe University. Hien Nguyen is funded by Australian Research Council Grants DE170101134 and DP180101192, and a La Trobe University Startup Grant.



# Compliance with ethical standards

Conflict of interest On behalf of all authors, the corresponding author states that there is no conflict of interest

# **Appendix**

# Sensitivity of the analysis to k in k-nearest neighbor imputation

As discussed in "Data processing", k-nearest neighbor imputation was used to account for missing data in our Senate voting data set, where k = 3. The choice of k = 3 was made based on a recommendation by Beretta and Santaniello [7], who observed that the choice provided a good balance between precision and generalizability.

To assess whether our analyses was sensitive to the choice, we also conducted k=1 and k=5 imputation, and fitted FVBMs to our differently imputed data. We reproduce Tables 2 and 6 for the two alternative choices of k. Tables 7 and 8 contain the MPLE and FDR-adjusted p values, computed after 1-nearest neighbor imputation. Tables 9 and 10 contain the MPLE and FDR-adjusted p values, computed after 5-nearest neighbor imputation.

From Tables 7 and 9, we observe that, although there are small deviations in the value of the MPLE estimates, the direction of the estimated biases and interactions are the same as those that are reported in Table 2. The results from Table 10 show that the conclusions drawn with FDR controlled at the 10% level, under 5-nearest neighbor imputation, is the same as the conclusions that can be drawn from Table 6.

We observe that there are some small differences in conclusions, when drawing inference with FDR controlled at the 10% level, between Tables 6 and 8. First, we no longer reject the assumption of no bias for LDP, and we no longer infer interactions between JLN and NXT. We reject an additional two interaction hypotheses, however, between ALP and JLN, and between PHON and LDP. None of these changes contradict the conclusions that we have drawn in "Analysis of the Senate data".

Simulation results from Beretta and Santaniello [7] show that 1-nearest neighbor imputation can often be inaccurate, when compared to larger values of k. However, the simulations of Beretta and Santaniello [7] were for a real-valued regression problem, rather than a binary PMF estimation problem. Thus, we are cautious to make any stronger claims regarding the relevance of their results to our modeling problem. We believe that a model-based approach that conducts imputation and estimation, simultaneously, may resolve our problem of having to choose tune our imputation scheme. However, as we have already mentioned in our conclusion, this would constitute a significant amount of new work that lies outside the scope of this manuscript.



**Table 7** Sub-table A contains the estimated values of the bias vector (i.e.  $\vec{b}_n$ ), computed after 1-nearest neighbor imputation

200	Here, $s$ are table a contains the estimated variety of the order vector (i.e. $\theta_n$ ); compared their estimated implemental	ic communed values	or the olds vector (i.	$c: e_n$ ), compared an	ici i-iranest iicigiit	or imparanon		
Party	ALP	AG	NXT	PHON	LDP	JLN	DHJP	CULL
A								
Bias	-0.250	- 1.031	-0.150	1.026	0.180	- 0.636	0.650	-0.352
Party	ALP	AG	NXT	PHON	LDP	JLN	DHJP	
В								
AG	-0.306							
NXT	-0.209	-0.272						
PHON	-0.345	0.065	0.327					
LDP	0.093	0.062	-0.103	0.654				
JLN	0.498	0.752	0.405	0.506	- 0.069			
DHJP	0.044	0.594	0.672	-0.525	0.269	0.067		
CULL	0.014	-0.743	-0.049	1.225	0.169	0.412	0.746	

Sub-table B contains the corresponding estimated values of the unique elements of the interaction matrix (i.e.,  $\tilde{M}_n$ )



**Table 8** Sub-table A contains the FDR-adjusted *p* value for the tests that each bias vector element is equal to zero, computed after 1-nearest neighbor imputation

lable o	idne o successor A contains the FDR-adjusted $p$ value for the tests that each bias vector element is equal to zero, computed after 1-nearest neighbor imputation	me ruk-adjusted p	value for the tests the	nat each mas vector	erement is equal to	zero, computed al te	er i-nearest neignoo	r imputation
Party	ALP	AG	NXT	PHON	LDP	JLN	DHJP	COLL
A								
adj-p	5.12E-01	1.83E-05	1.00E+00	2.69E-03	8.86E - 01	6.92E - 03	2.60E-02	8.45E - 01
Party	ALP	AG	NXT	PHON	LDP	JLN	DHJP	
В								
AG	2.87E-01							
NXT	6.98E - 01	1.00E+00						
PHON	6.98E - 01	1.00E+00	1.00E+00					
LDP	1.00E+00	1.00E+00	1.00E+00	5.00E - 02				
JLN	1.73E-03	6.92E - 05	1.54E-01	2.87E-01	1.00E+00			
DHJP	1.00E+00	1.93E-01	9.47E - 05	6.98E - 01	4.86E-01	1.00E+00		
CULL	1.00E+00	1.54E-01	1.00E+00	6.92E - 05	1.00E+00	2.87E-01	1.93E-01	

Sub-table B contains the corresponding FDR-adjusted p value for the tests that each interaction matrix element is equal to zero



**Table 9** Sub-table A contains the estimated values of the bias vector (i.e.  $\vec{b}_n$ ), computed after 5-nearest neighbor imputation

וממוני	<b>rapie 7</b> Sub-table A contains the estimated values of the bias vector (i.e. $\theta_n$ ), computed after 3-ficalest neighbor imputation	ie estilliateu values t	JI UIIC DIAS VECIDI (I.:	c. $\boldsymbol{v}_n$ ), computed at	ici J-iicalest iit	eignooi miputation		
Party	ALP	AG	NXT	PHON	LDP	JLN	DHJP	CULL
A			-					
Bias	-0.326	-1.077	-0.185	0.830	0.532	-0.555	0.751	- 0.433
Party	ALP	AG	TXN	NOHA	LDP	JLN	DHJP	
В								
AG	-0.209							
NXT	-0.197	- 0.256						
PHON	-0.355	0.058	0.349					
LDP	0.149	0.121	-0.199	0.532				
JLN	0.312	0.623	0.421	0.358	0.033			
DHJP	0.068	0.593	0.791	- 0.488	0.050	0.093		
CULL	0.053	-0.754	- 0.119	1.226	0.314	0.395	0.824	

Sub-table B contains the corresponding estimated values of the unique elements of the interaction matrix  $(i.e., \tilde{M}_n)$ 



<b>Table 1</b> table B	Table 10         Sub-table A contains           table B contains the correspondi	A contains the correspondir	he FDR-adju 1g FDR-adjus	sted $p$ value sted $p$ value f	for the tests for the tests t	that each bias hat each intera	vector eler	the FDR-adjusted $p$ value for the tests that each bias vector element is equal to zero, con ing FDR-adjusted $p$ value for the tests that each interaction matrix element is equal to zero	o zero, computed ual to zero	the FDR-adjusted p value for the tests that each bias vector element is equal to zero, computed after 5-nearest neighbor imputation. Subing FDR-adjusted p value for the tests that each interaction matrix element is equal to zero
A										
Party	ALP	AG	NXT	PHON	LDP	JLN	DHJP	CULL		
adj-p	1.88E-01	1.88E-01 2.45E-05	1.00E+00	3.45E-02	3.45E-02	1.00E+00 3.45E-02 3.45E-02 2.82E-02 2.82E-02 5.90E-01	2.82E-02	5.90E-01		
В										
Party	A	VLP	AG	Z	NXT	PHON	Г	LDP	JLN	DHJP
AG	6	9.20E-01								
NXT	6	9.97E-01	1.00E+00	00						
PHON		9.13E-01	1.00E+00		1.00E+00					
LDP	1	1.00E+00	1.00E+00		1.00E+00	2.27E-01	1			
JLN	1	1.48E-01	2.05E-03		7.37E-02	9.13E-01		1.00E+00		
DHJP	1	1.00E+00	2.27E-01		2.97E-05	1.00E+00		1.00E+00	1.00E+00	
CULL	1	1.00E+00	1.64E-01		1.00E+00	2.07E-04		1.00E+00	2.98E - 01	2.27E-01



# References

- Ackley, D. H., Hinton, G. E., & Sejnowski, T. J. (1985). A learning algorithm for Boltzmann machines. Cognitive Science, 9, 147–169.
- Arnold, B. C., & Strauss, D. (1991). Pseudolikelihood estimation: some examples. Sankhya B, 53, 233–243.
- Atkin, M. (2015). Nick Xenophon and Jacqui Lambie agree to preference deal for next federal election. http://www.abc.net.au/news/2015-09-07/nick-xenophon-jacqui-lambie-federal-election-allia nce/6755654.
- 4. Begg, M. (2017). The citizenship saga. *Institute of Public Affairs Review: A Quarterly Review of Politics and Public Affairs*, 69, 30–33.
- Benjamini, Y., & Hochberg, Y. (1995). Controlling the false discovery rate: a practical and powerful approach to multiple testing. *Journal of the Royal Statistical Society Series B*, 57, 289–300.
- 6. Benjamini, Y., & Yekutieli, D. (2001). The control of the false discovery rate in multiple testing under dependency. *Annals of Statistics*, 29, 1165–1188.
- 7. Beretta, L., & Santaniello, A. (2016). Nearest neighbor imputation algorithms: a critical evaluation. *BMC Medical Informatics and Deicision Making*, 16(Suppl 3), 74.
- 8. Bolwell, D., & Eccleston, R. (2017). Tasmania July to December 2016. *Australian Journal of Politics and History*, 63, 320–327.
- 9. Boos, D. D., & Stefanski, L. A. (2013). Essential statistical inference: Theory and methods. New York: Springer.
- Carr, A. (2015). Is this the end of defence bipartisanship? https://www.abc.net.au/news/2015-08-19/ carr-the-end-of-defence-bipartisanship/6708422.
- 11. Corcoran, R., & Dickenson, J. (2010). A dictionary of Australian politics. Adelaide: Allen and Unwin.
- 12. Cox, D. R. (1972). The analysis of multivariate binary data. *Journal of the Royal Statistical Society C*, 21, 113–120.
- Desmarais, B. A., Crammer, S. J. (2010). Consistent confidence intervals for maximum pseudolikelihood estimators. In: Proceedings of the Neural Information Processing Systems 2010 Workshop on Computational Social Science and the Wisdom of Crowds.
- DeStefano, J. J. (1990). Logistic regression and the Boltzmann machine. In: Proceedings of the International Joint Conference on Neural Networks. pp. 199–204.
- 15. Evans, H. (2016). ODGERS' Australian senate practice. Canberra: Commonwealth of Australia.
- 16. Franzin, A., Sambo, F., & Di Camillo, B. (2017). bnstruct: an R package for Bayesian network structure learning in the presence of missing data. *Bioinformatics*, 33, 1250–1252.
- 17. Gauja, A., Chen, P., Curtin, J., & Pietsch, J. (Eds.). (2018). *Double Disillusion: the 2016 Australian Federal Election*. Acton: ANU Press.
- 18. Graham, R. L., Knuth, D. E., & Patashnik, O. (1989). Concrete Mathematics. Addison-Wesley, Reading.
- 19. Grant, B., Moore, T., & Lynch, T. (Eds.). (2019). The rise of right-popularism: Pauline Hanson's one nation and Australian politics. Singapore: Springer.
- 20. Harrell, F. E. (2001). Regression modeling strategies: With applications to linear models, logistic regression, and survival analysis. New York: Springer.
- 21. Hinch, D. (2016). Hinch's Senate Diary: beware the Gang of Four. http://www.crikey.com. au/2016/11/24/derryn-hinch-forms-alliance-with-nick-xenophon-team.
- 22. Hobbs, H., Pillai, S., & Williams, G. (2018). The disqualification of dual citizens from Parliament: three problems and a solution. *Alternative Law Journal*, 43, 73–80.
- 23. Hyvarinen, A. (2006). Consistency of pseudolikelihood estimation of fully visible Boltzmann machines. *Neural Computation*, 18, 2283–2292.
- Jones, A. T., Bagnall, J. J., & Nguyen, H. D. (2019). BoltzMM: An R package for maximum pseudolikelihood estimation of fully-visible Boltzmann machines. *Journal of Open Source Software*, 4, 1193.
- Kefford, G. (2018). The minor parties' campaigns. In A. Gauja, P. Chen, J. Curtin, & J. Pietsch (Eds.), Double Disillusion: the 2016 Australian federal election. Acton: ANU Press.
- 26. Lauritzen, S. L. (1996). Graphical models. Oxford: Oxford University Press.
- 27. Le Roux, N., & Bengio, Y. (2008). Representational power of restricted Boltzmann machines and deep belief networks. *Neural Computation*, 20, 1631–1649.



- 28. Lindsay, B. (1988). Composite likelihood methods. Contemporary Mathematics, 8, 221-239.
- Mendes, P. (1998). From Keynes to Hayek: The social welfare philosophy of the liberal party of Australia, 1983–1997. *Policy, Organisation and Society*, 15, 65–87.
- 30. Miragliotta, N. (2010). From local to national: Explaining the formation of the Australian Green Party. *Party Politics*, *18*, 409–425.
- 31. Molenberghs, G., & Verbeke, G. (2005). Models for discrete longitudinal data. New York: Springer.
- 32. Murphy, K. (2014). Anthony Albanese: Labor has gone too far in support of national security. https://www.theguardian.com/australia-news/2014/oct/12/anthony-albanese-labor-has-gone-too-far-in-supporting-national-security-laws.
- 33. Nguyen, H. D. (2018). Near universal consistency of the maximum pseudolikelihood estimator for discrete models. *Journal of the Korean Statistical Society*, 47, 90–98.
- Nguyen, H. D., & Wood, I. A. (2016a). A block successive lower-bound maximization algorithm for the maximum pseudolikelihood estimation of fully visible Boltzmann machines. *Neural Computa*tion, 28, 485–492.
- Nguyen, H. D., & Wood, I. A. (2016b). Asymptotic normality of the maximum pseudolikelihood estimator for fully visible Boltzmann machines. *IEEE Transactions on Neural Networks and Learn*ing Systems, 27, 897–902.
- Phillips, H. C. J., & Kerr, L. (2017). Western Australia July to December 2016. Australian Journal of Politics and History, 63, 310–315.
- 37. R Core Team. (2016). R: a language and environment for statistical computing. R Foundation for Statistical Computing.
- Razaviyayn, M., Hong, M., & Luo, Z.-Q. (2013). A unified convergence analysis of block successive minimization methods for nonsmooth optimization. SIAM Journal of Optimization, 23, 1126–1153.
- Remeikis, A. (2017). Rodney Culleton was never legally elected to Senate, High Court rules. https://www.smh.com.au/politics/federal/rodney-culleton-was-never-elected-to-senate-high-court-rules-20170203-gu4kfu.html.
- 40. Stubbs, M. (2017). Ensuring loyalty to the public: The High Court's decision to disqualify Day and Culleton from Parliament. *Bulletin (Law Society of South Australia)*, 39, 7.
- 41. Tibshirani, R. (1996). Regression shrinkage and selection via the Lasso. *Journal of the Royal Statistical Society Series B*, 58, 267–288.
- 42. Troyanskaya, O., Cantor, M., Sherlock, G., Brown, P., Hastie, T., Tibshirani, R., et al. (2001). Missing value estimation methods for DNA microarrays. *Bioinformatics*, 17, 520–525.
- 43. van der Vaart, A. (1998). Asymptotic statistics. Cambridge: Cambridge University Press.
- 44. Weller, P., & Fleming, J. (2003). The Commonwealth. In J. Moon & C. Sharman (Eds.), *Australian politics and government: The commonwealth, the states and the territories*. Cambridge University Press.
- 45. Wood, D., Daley, J., & Chivers, C. (2018). Australia demonstrates the rise of populism is about more than economics. *Australian Economic Review*, *51*, 399–410.
- Wright, B. C., & Fowler, P. E. (Eds.). (2012). House of representatives practice. Canberra: Commonwealth of Australia.

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

