

CSC 447: Parallel Programming for Multi-Core and Cluster Systems

Shared Memory Programming Using POSIX Threads

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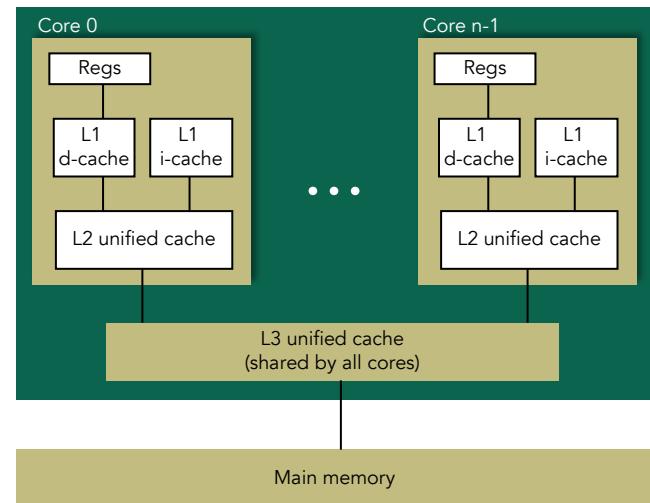
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Recap: Multicore vs. Manycores

- A multicore processor is a single computing component with two or more “independent” processors (called “cores”)
- A many-core processor is a multi-core processor (probably heterogeneous) in which the number of cores is large enough that traditional multi-processor techniques are no longer efficient.
 - Tilera TILE-GX processor family with 16 to 100 identical processor cores.
 - Intel Knights Corner with 50 cores

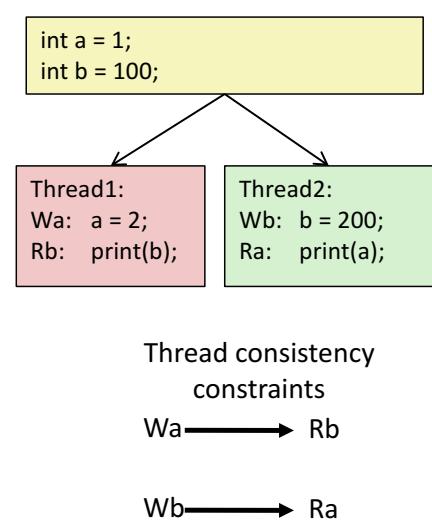
Recap: Multicore Processor

- Intel Nehalem Processor
 - Multiple processors operating with coherent view of memory

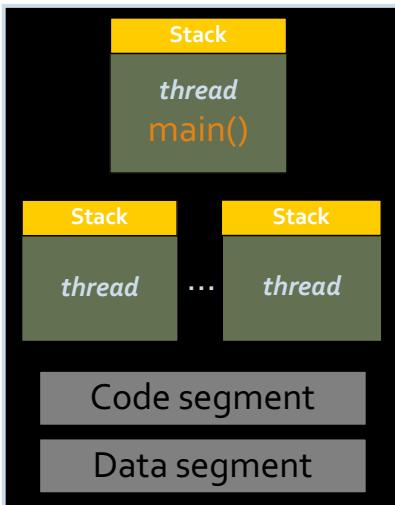


Recap: Memory Consistency

- What are the possible values printed?
 - Depends on memory consistency model
 - Abstract model of how hardware handles concurrent accesses
- Sequential consistency
 - Overall effect consistent with each individual thread
 - Otherwise, arbitrary interleaving



Recap: Processes and Threads



- Modern operating systems load programs as processes
 - Resource holder
 - Execution
- A process starts executing at its entry point as a thread
- Threads can create other threads within the process
 - Each thread gets its own stack
- All threads within a process share code & data segments

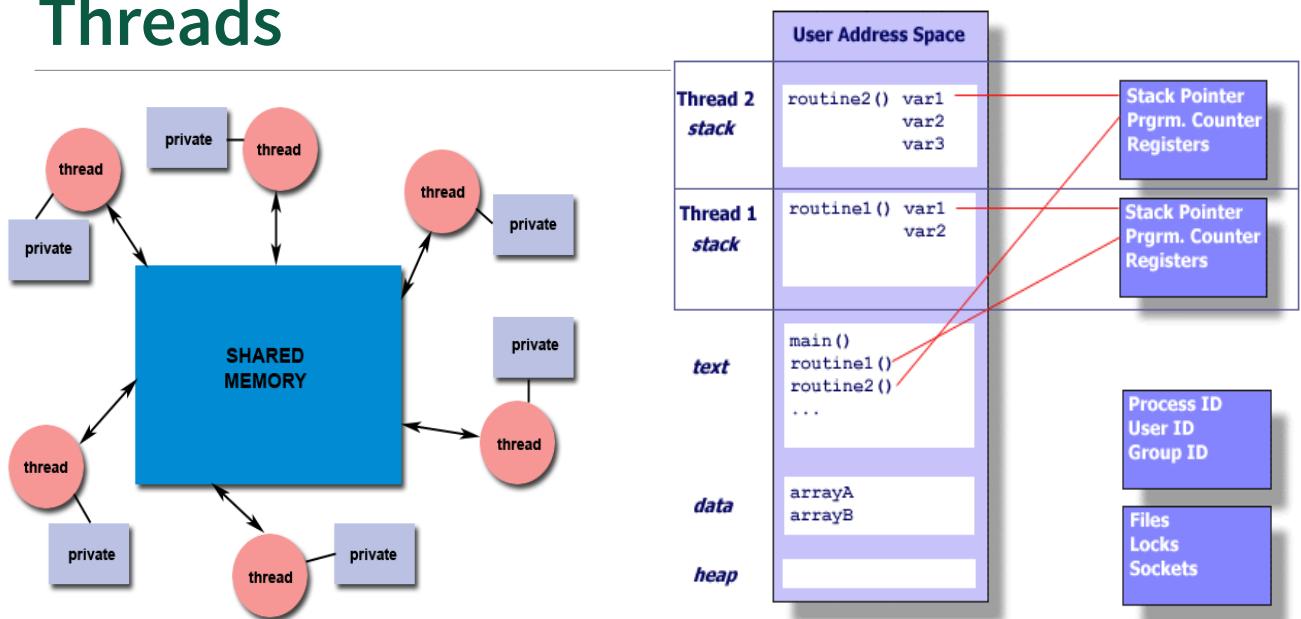
Unix Processes

- A UNIX process is created by the operating system, and requires a fair amount of “overhead” including information about:
 - Process ID
 - Environment
 - Working directory.
 - Program instructions
 - Registers
 - Stack
 - Heap
 - File descriptors
 - Signal actions
 - Shared libraries
 - Inter-process communication tools (such as message queues, pipes, semaphores, or shared memory).

Shared Memory Model

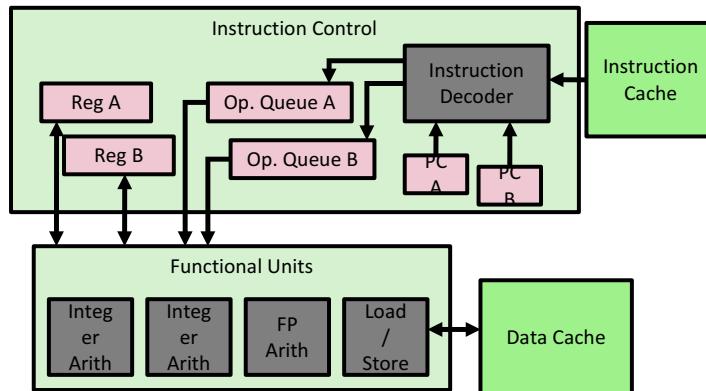
- All threads have equal priority read/write access to the same global (shared) memory.
- Threads also have their own private data.
 - Local variables for instance.
- Programmers are responsible for synchronizing any write access (protection) to globally shared data.

Threads



Hyperthreading

- Replicate enough instruction control to process K instruction streams
 - K copies of all registers
 - Share functional units
- Hyper-threading (HT - Intel) is the ability, of the hardware and the system, to schedule and run two threads or processes simultaneously on the same processor core



Designing Threaded Programs

- Several common models for threaded programs exist:
 - **Manager/worker**
 - A single thread, the *manager* assigns work to other threads, the *workers*.
 - The manager handles all input and parcels out work to the other tasks. At least two forms of the manager/worker model are common: static worker pool and dynamic worker pool.
 - **Pipeline**
 - A task is broken into a series of suboperations, each of which is handled in series, but concurrently, by a different thread.
 - **Peer**
 - similar to the manager/worker model, but after the main thread creates other threads, it participates in the work.

What are Pthreads?

- IEEE POSIX 1003.1c standard
- pthreads routines be grouped in the following categories
 - *Thread Management*: Routines to create, terminate, and manage the threads.
 - *Mutexes*: Routines for synchronization
 - *Condition Variables*: Routines for communications between threads that share a mutex.
 - *Synchronization*: Routines for the management of read/write locks and barriers.
- All identifiers in the threads library begin with `pthread_`

Why Pthreads?

Platform	fork()			pthread_create()		
	real	user	sys	real	user	sys
Intel 2.6 GHz Xeon E5-2670 (16 cores/node)	8.1	0.1	2.9	0.9	0.2	0.3
Intel 2.8 GHz Xeon 5660 (12 cores/node)	4.4	0.4	4.3	0.7	0.2	0.5
AMD 2.3 GHz Opteron (16 cores/node)	12.5	1	12.5	1.2	0.2	1.3
AMD 2.4 GHz Opteron (8 cores/node)	17.6	2.2	15.7	1.4	0.3	1.3
IBM 4.0 GHz POWER6 (8 cpus/node)	9.5	0.6	8.8	1.6	0.1	0.4
IBM 1.9 GHz POWER5 p5-575 (8 cpus/node)	64.2	30.7	27.6	1.7	0.6	1.1
IBM 1.5 GHz POWER4 (8 cpus/node)	104.5	48.6	47.2	2.1	1	1.5
INTEL 2.4 GHz Xeon (2 cpus/node)	54.9	1.5	20.8	1.6	0.7	0.9
INTEL 1.4 GHz Itanium2 (4 cpus/node)	54.5	1.1	22.2	2	1.2	0.6

Preliminaries

- All major thread libraries on Unix systems are Pthreads-compatible
- Include pthread.h in the main file
- Compile program with -lpthread
 - gcc -o test test.c -lpthread
 - may not report compilation errors otherwise but calls will fail
 - The MacOS has dropped the need for the inclusion of -lpthread
 - Check your OS's requirement!
- Good idea to check return values on common functions

The Pthreads API

Routine Prefix	Functional Group
pthread_	Threads themselves and miscellaneous subroutines
pthread_attr_	Thread attributes objects
pthread_mutex_	Mutexes
pthread_mutexattr_	Mutex attributes objects.
pthread_cond_	Condition variables
pthread_condattr_	Condition attributes objects
pthread_key_	Thread-specific data keys
pthread_rwlock_	Read/write locks
pthread_barrier_	Synchronization barriers

Threads and Thread Attributes

Thread Attributes

```
pthread_attr_t attr;           // Declare a thread attribute
pthread_t tid;
pthread_attr_init(&attr);      // Initialize a thread attribute
pthread_attr_setdetachstate(&attr,    // Set the thread attribute
                           PTHREAD_CREATE_UNDETACHED);
pthread_create(&tid, &attr, start_func, NULL); // Use the attribute
                                                // to create a thread
pthread_join(tid, NULL);
pthread_attr_destroy(&attr);      // Destroy the thread attribute
```

Notes:

There are many other thread attributes. See a POSIX Threads manual for details.

Creating Threads

- Identify portions of code to thread
- Encapsulate code into function
 - If code is already a function, a driver function may need to be written to coordinate work of multiple threads
- Add **pthread_create()** call to assign thread(s) to execute function

pthread_create

■ `int pthread_create(pthread_t *tid, const pthread_attr_t *attr, void *(*function)(void *), void *arg);`

— `pthread_t *tid`

- Handle of created thread

— `const pthread_attr_t *attr`

- attributes of thread to be created
- You can specify a thread attributes object, or NULL for the default values.

— `void *(*function)(void *)`

- The C routine that the thread will execute once it is created

— `void *arg`

- single argument to function
- NULL may be used if no argument is to be passed.

pthread_create

`pthread_create(&threads[t], NULL, HelloWorld, (void *) t)`

- Spawn a thread running the function
- Thread handle returned via `pthread_t` structure
 - Specify NULL to use default attributes
- Single argument sent to function
 - If no arguments to function, specify NULL
- Check error codes!

EAGAIN - insufficient resources to create thread

EINVAL - invalid attribute

How Many Threads Can One Creates?

```
yoda:~ haidar$ ulimit -a
core file size          (blocks, -c) 0
data seg size           (kbytes, -d) unlimited
file size               (blocks, -f) unlimited
max locked memory       (kbytes, -l) unlimited
max memory size         (kbytes, -m) unlimited
open files              (-n) 2560
pipe size               (512 bytes, -p) 1
stack size              (kbytes, -s) 8192
cpu time                (seconds, -t) unlimited
max user processes      (-u) 709
virtual memory           (kbytes, -v) unlimited
```

Example: Thread Creation

```
#include <stdio.h>
#include <pthread.h>

void *hello ()
{
    printf("Hello Thread\n");
}

main() {
    pthread_t tid;

    pthread_create(&tid, NULL, hello, NULL);
}
```

Example: Thread Creation

- Two possible outcomes
 - Message “Hello Thread” is printed on screen
 - Nothing printed on screen.
 - Why?
 - Main thread is the process and when the process ends, all threads are cancelled, too.
 - Thus, if the `pthread_create` call returns before the OS has had the time to set up the thread and begin execution, the thread will die a premature death when the process ends.
 - Need some method of waiting for a thread to finish

```
#include <stdio.h>
#include <pthread.h>

void *hello ()
{
    printf("Hello Thread\n");
}

main()
{
    pthread_t tid;
    pthread_create(&tid, NULL, hello, NULL);
}
```

Waiting for a Thread

int `pthread_join(tid, val_ptr);`

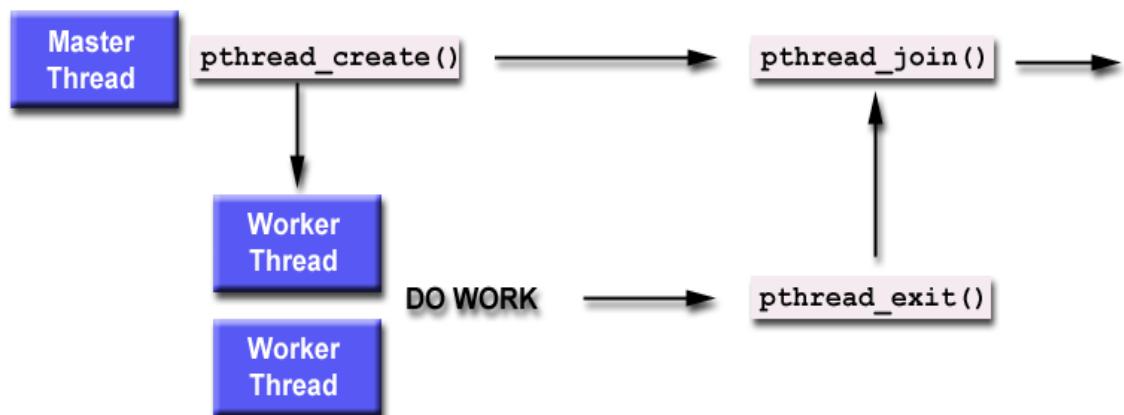
- `pthread_join` will block until the thread associated with the `pthread_t` handle has terminated.
 - There is no single function that can join multiple threads.
- The second parameter returns a pointer to a value from the thread being joined.
- `pthread_join()` can be used to wait for one thread to terminate.
`pthread_t tid`
 - handle of *joinable* thread
`void **val_ptr`
 - exit value returned by joined thread

pthread_join

- Calling thread waits for thread with handle `tid` to terminate
 - Can't do multiple `pthread_joins` on the same thread
 - Thread must be *joinable*
- Exit value is returned from joined thread
 - Type returned is `(void *)`
 - Use `NULL` if no return value expected

ESRCH - thread (pthread_t) not found
EINVAL - thread (pthread_t) not joinable

pthread_join



A Better Hello Threads...

```
#include <pthread.h>
#include <stdio.h>
#include <stdlib.h>
#define NUM_THREADS 8
void* hello(void* threadID) {
    long id = (long) threadID;
    printf("Hello World, this is thread %ld\n", id);
    return NULL;
}
int main(int argc, char argv[]) {
    long t;
    pthread_t thread_handles[NUM_THREADS];
    for(t=0 ; t<NUM_THREADS; t++)
        pthread_create(&thread_handles[t], NULL, hello, (void *) t);
    printf("Hello World, this is the main thread\n");
    for(t=0; t<NUM_THREADS; t++)
        pthread_join(thread_handles[t], NULL);
    return 0;
}
```

Sample Execution Runs

```
yoda:~ haidar$ ./a.out
Hello World, this is thread 0
Hello World, this is thread 1
Hello World, this is thread 2
Hello World, this is thread 3
Hello World, this is thread 4
Hello World, this is thread 5
Hello World, this is the main
thread
Hello World, this is thread 7
Hello World, this is thread 6
```

```
yoda:~ haidar$ ./a.out
Hello World, this is thread 0
Hello World, this is thread 1
Hello World, this is thread 2
Hello World, this is thread 3
Hello World, this is thread 4
Hello World, this is the main
thread
Hello World, this is thread 5
Hello World, this is thread 7
Hello World, this is thread 6
```

Thread States

- pthreads threads have two states
 - *joinable* and *detached*
- Threads are joinable by default
 - Resources are kept until **pthread_join**
 - Can be reset with attributes or API call
- Detached threads cannot be joined
 - Resources can be reclaimed at termination
 - Cannot reset to be *joinable*

Example: Multiple Threads Creation

```
#include <pthread.h>
#include <stdio.h>
#define NUM_THREADS      5
void *PrintHello(void *threadid)
{
    long tid;
    tid = (long) threadid;
    printf("Hello World! I am thread #%ld!\n", tid);
    pthread_exit(NULL);
}
```

```
int main(int argc, char *argv[])
{
    pthread_t threads[NUM_THREADS];
    int rc;
    long t;
    for(t=0;t<NUM_THREADS;t++){
        rc = pthread_create(&threads[t], NULL, PrintHello, (void *)t);
        if (rc){
            printf("ERROR: return code from
                  pthread_create() is %d\n", rc);
        }
    }
}
```

pthread_exit

```
void pthread_exit()
void pthread_exit(
    void *status
);
// terminate a thread
// completion status
```

Arguments:

The completion status of the thread that has exited. This pointer value is available to other threads.

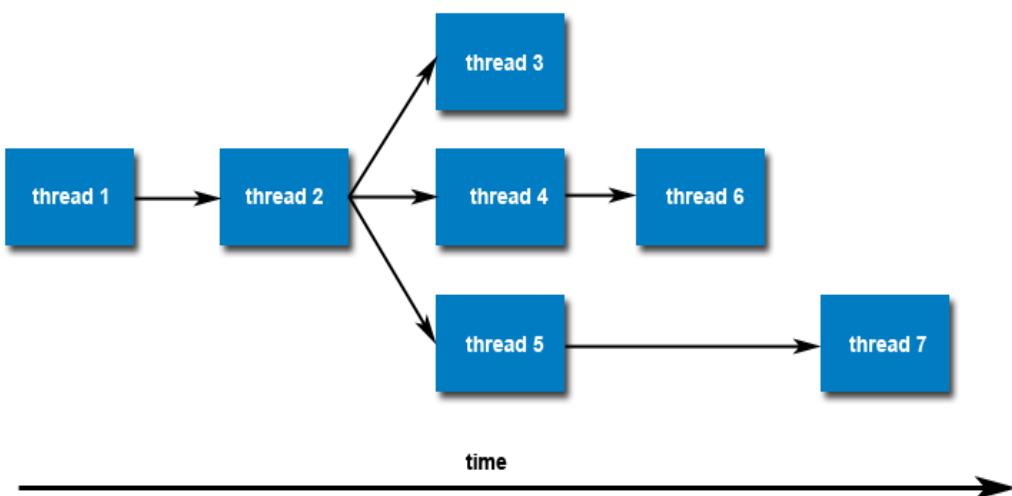
Return value:

None.

Notes:

When a thread exits by simply returning from the start routine, the thread's completion status is set to the start routine's return value.

No implied hierarchy or dependency between threads



Example: Multiple Threads with Joins

```
#include <stdio.h>
#include <pthread.h>
#define NUM_THREADS 4

void *hello () {
    printf("Hello Thread\n");
}

main() {
    pthread_t tid[NUM_THREADS];
    for (int i = 0; i < NUM_THREADS; i++)
        pthread_create(&tid[i], NULL, hello, NULL);

    for (int i = 0; i < NUM_THREADS; i++)
        pthread_join(tid[i], NULL);
}
```

What's wrong?

- What is printed for myNum?

```
void *threadFunc(void *pArg) {
    int* p = (int*)pArg;
    int myNum = *p;
    printf( "Thread number %d\n", myNum);
}

...
// from main():
for (int i = 0; i < numThreads; i++) {
    pthread_create(&tid[i], NULL, threadFunc, &i);
}
```

Problem is passing 'address' of "i"; value of "i" is changing and will likely be different when thread is allowed to run than when pthread_create was called.

Hello Threads Timeline

Time	main	Thread 0	Thread 1
T ₀	i = 0	---	----
T ₁	create(&i)	---	---
T ₂	i++ (i == 1)	launch	---
T ₃	create(&i)	p = pArg	---
T ₄	i++ (i == 2)	myNum = *p myNum = 2	launch
T ₅	wait	print(2)	p = pArg
T ₆	wait	exit	myNum = *p myNum = 2

Race Conditions

- Concurrent access of same variable by multiple threads
 - Read/Write conflict
 - Write/Write conflict
- Most common error in concurrent programs
- May not be apparent at all times

How to Avoid Data Races

- Scope variables to be local to threads
 - Variables declared within threaded functions
 - Allocate on thread's stack
 - Thread Local Storage (TLS)
- Control shared access with critical regions
 - Mutual exclusion and synchronization
 - Lock, semaphore, condition variable, critical section, mutex...

pthread's Mutex

- Simple, flexible, and efficient
- Enables correct programming structures for avoiding race conditions
- Mutex variables must be declared with type **pthread_mutex_t**, and must be initialized before they can be used
- Attributes are set using **pthread_mutexattr_t**
- The mutex is initially unlocked.

Initializing **mutex** Variables

- Two ways:
 - Statically, when it is declared:
 - `pthread_mutex_t mymutex = PTHREAD_MUTEX_INITIALIZER;`
 - Dynamically, with the `pthread_mutex_init()` routine.
 - Permits setting mutex object attributes, *attr*.

pthread_mutex_init

```
int pthread_mutex_init( mutex, attr );
```

pthread_mutex_t *mutex

- mutex to be initialized

const pthread_mutexattr_t *attr

- attributes to be given to mutex

- The Pthreads standard defines three optional mutex attributes:
 - Protocol: Specifies the protocol used to prevent priority inversions for a mutex.
 - Priority ceiling: Specifies the priority ceiling of a mutex.
 - Process-shared: Specifies the process sharing of a mutex.

Alternate Initialization

- Can also use the static initializer
PTHREAD_MUTEX_INITIALIZER
 - Uses default attributes
- Programmer must always pay attention to mutex scope
 - Must be visible to threads

pthread_mutex_lock

```
int pthread_mutex_lock( mutex );
```

pthread_mutex_t *mutex

○ mutex to attempt to lock

- Used by a thread to acquire a lock on the specified mutex variable
 - If mutex is locked by another thread, calling thread is blocked
- Mutex is held by calling thread until unlocked
 - Mutex lock/unlock must be paired or deadlock occurs

EINVAL - mutex is invalid

EDEADLK - calling thread already owns mutex

pthread_mutex_trylock

- Attempt to lock a mutex.
- If the mutex is already locked, the routine will return immediately with a "busy" error code.
- This routine may be useful in preventing deadlock conditions, as in a priority-inversion situation.

pthread_mutex_unlock

```
int pthread_mutex_unlock( mutex );
```

pthread_mutex_t *mutex

— mutex to be unlocked

EINVAL - mutex is invalid
EPERM - calling thread does not own mutex

Freeing mutex Objects and Attributes

- Used to free a **mutex** object which is no longer needed
- **pthread_mutexattr_init()** and **pthread_mutexattr_destroy()**
 - Create and destroy mutex attribute objects respectively
- **pthread_mutex_destroy()**
 - Used to free a mutex object which is no longer needed.

More on Mutexes

Acquiring and Releasing Mutexes

```
int pthread_mutex_lock(          // Lock a mutex
    pthread_mutex_t *mutex);
int pthread_mutex_unlock(        // Unlock a mutex
    pthread_mutex_t *mutex);
int pthread_mutex_trylock(       // Nonblocking lock
    pthread_mutex_t *mutex);
```

Arguments:

Each function takes the address of a mutex variable.

Return value:

0 if successful. Error code from <errno.h> otherwise.

Notes:

The **pthread_mutex_trylock()** routine attempts to acquire a mutex but will not block. This routine returns the POSIX Threads constant **EBUSY** if the mutex is locked.

More on Mutexes

Dynamically Allocated Mutexes

```
pthread_mutex_t *lock; // Declare a pointer to a lock
lock=(pthread_mutex_lock_t *) malloc(sizeof(pthread_mutex_t));
pthread_mutex_init(lock, NULL);
/*
 * Code that uses this lock.
 */
pthread_mutex_destroy(lock);
free(lock);
```

Example: Use of mutex

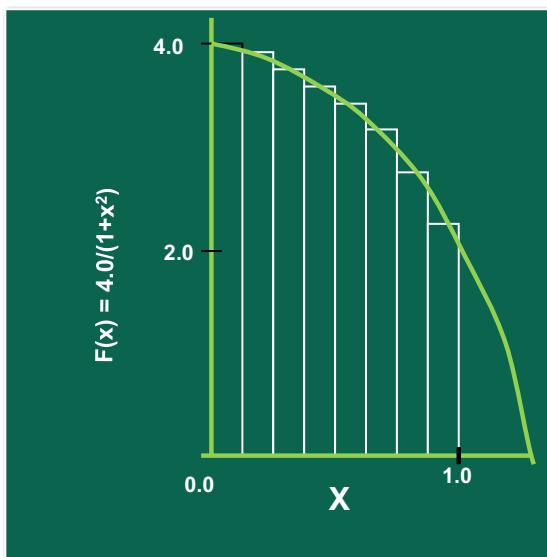
```
#define NUMTHREADS 4
pthread_mutex_t gMutex; // why does this have to be global?
int g_sum = 0;

void *threadFunc(void *arg)
{
    int mySum = bigComputation();
    pthread_mutex_lock( &gMutex );
    g_sum += mySum; // threads access one at a time
    pthread_mutex_unlock( &gMutex );
}

main() {
    pthread_t hThread[NUMTHREADS];
    pthread_mutex_init( &gMutex, NULL );
    for (int i = 0; i < NUMTHREADS; i++)
        pthread_create(&hThread[i],NULL,threadFunc,NULL);
    for (int i = 0; i < NUMTHREADS; i++)
        pthread_join(hThread[i]);}
```

Computing π

$$\tan^{-1}(x) = \int_0^x \frac{dt}{1+t^2}$$



Mathematically, we know that:

$$\int_0^1 \frac{4.0}{(1+x^2)} dx = \pi$$

We can approximate the integral as a sum of rectangles:

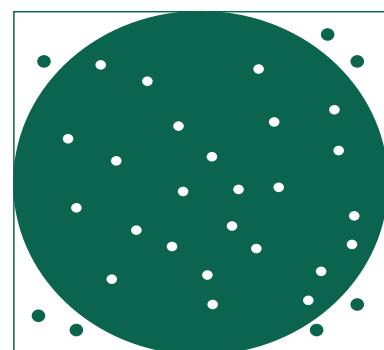
$$\sum_{i=0}^N F(x_i) \Delta x \approx \pi$$

Where each rectangle has width Δx and height $F(x_i)$ at the middle of interval i .

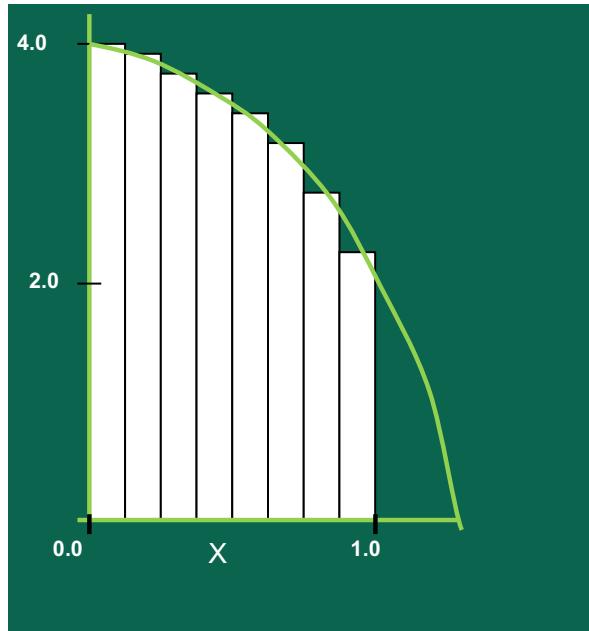
Computing π

```
int inTheCircle=0, numtrials=1000000;
double x, y;

for (i=0; i<numTrials; i++){
    x = rand();
    y = rand();
    if((x*x + y*y) < 1.0)
        inTheCircle++;
}
pi = 4*inTheCircle/numTrials;
```



Computing π



$$\int_0^1 \frac{4.0}{(1+x^2)} dx = \pi$$

Computing π : A Serial PI Program

```
static long num_steps = 100000;
double step;
void main ()
{
    int i;
    double x, pi, sum = 0.0;

    step = 1.0/(double) num_steps;

    for (i=0;i< num_steps; i++){
        x = (i+0.5)*step;
        sum = sum + 4.0/(1.0+x*x);
    }
    pi = step * sum;
}
```

Lab 3a: Computing π (Next Week's Lab)

- Parallelize the numerical integration code using POSIX* Threads
- How can the loop iterations be divided among the threads?
- What variables can be local?
- What variables need to be visible to all threads?

```
static long num_steps=100000;
double step, pi;

void main()
{   int i;
    double x;

    step = 1.0/(double) num_steps;
    for (i=0; i< num_steps; i++){
        x = (i+0.5)*step;
        pi += 4.0/(1.0 + x*x);
    }
    pi *= step;
    printf("Pi = %f\n",pi);
}
```

Example: Dot Product

```
void *dotprod(void *arg)
{
    int i, start, end, len ;
    long offset;
    double mysum, *x, *y;
    offset = (long)arg;

    len = dotstr.veclen;
    start = offset*len;
    end   = start + len;
    x = dotstr.a;
    y = dotstr.b;
    mysum = 0;

    for (i=start; i<end ; i++)
    {
        mysum += (x[i] * y[i]);
    }

    pthread_mutex_lock (&mutexsum);
    dotstr.sum += mysum;
    pthread_mutex_unlock (&mutexsum);

    pthread_exit((void*) 0);
}
```

```

#include<pthread.h>
#include <stdio.h>

/* Example program creating thread to compute square of
value */

int value;      /* thread stores result here */
void *my_thread(void *param);      /* the thread */

main (int argc, char *argv[])
{
    pthread_t tid;          /* thread identifier */
    int retcode;

    /* check input parameters */
    if (argc != 2) {
        fprintf (stderr, "usage: a.out <integer value>\n");
        exit(0);
    }

    /* create the thread */
    retcode = pthread_create(&tid,NULL,my_thread,argv[1]);
    if (retcode != 0) {
        fprintf (stderr, "Unable to create thread\n");
        exit (1);
    }

    /* wait for created thread to exit */
    pthread_join(tid,NULL);
    printf ("I am the parent: Square = %d\n", value);
} //main

/* The thread will begin control in this function */
void *my_thread(void *param)
{
    int i = atoi (param);

    printf ("I am the child, passed value %d\n", i);
    value = i * i;

    /* next line is not really necessary */
    pthread_exit(0);
}

```

How to check whether a child thread has completed?

```

1 void *child(void *arg) {
2     printf("child\n");
3     // XXX how to indicate we are done?
4     return NULL;
5 }
6
7 int main(int argc, char *argv[]) {
8     printf("parent: begin\n");
9     pthread_t c;
10    Pthread_create(&c, NULL, child, NULL); // create child
11    // XXX how to wait for child?
12    printf("parent: end\n");
13    return 0;

```

Possible Solution: Problem?

```
1 volatile int done = 0;
2
3 void *child(void *arg) {
4     printf("child\n");
5     done = 1;
6     return NULL;
7 }
8
9 int main(int argc, char *argv[]) {
10    printf("parent: begin\n");
11    pthread_t c;
12    pthread_create(&c, NULL, child, NULL); // create child
13    while (done == 0)
14        ; // spin
15    printf("parent: end\n");
16    return 0;
17 }
```

The Crux

HOW TO WAIT FOR A CONDITION?

In multi-threaded programs, it is often useful for a thread to wait for some condition to become true before proceeding. The simple approach, of just spinning until the condition becomes true, is grossly inefficient and wastes CPU cycles, and in some cases, can be incorrect. Thus, how should a thread wait for a condition?

Condition Variables

- There are cases where a thread wishes to check whether a condition is true before continuing its execution
- Condition variables provide another mechanism for threads to synchronize.
 - mutex'es implement synchronization by controlling thread access to data
 - Condition variables allow threads to synchronize based on the actual value of data.

Condition Variables

- A condition variable (cv) allows a thread to block itself until a specified condition becomes true.
- When a thread executes **`pthread_cond_wait(cv)`**, it is blocked until another thread executes **`pthread_cond_signal(cv)`** or **`pthread_cond_broadcast(cv)`**.
 - `pthread_cond_signal()` is used to unblock one of the threads blocked waiting on the condition variable.
 - `pthread_cond_broadcast()` is used to unblock all the threads blocked waiting on the condition variable.
- If no threads are waiting on the condition variable, then a `pthread-cond_signal()` or `pthreadcond_broadcast()` will have no effect.

Condition Variables

- A condition variable is an explicit queue that threads can put themselves on when some state of execution is not as desired
 - Without condition variables, the programmer would need to have threads continually polling (possibly in a critical section), to check if the condition is met.
 - Resource consuming
- The idea goes back to Dijkstra's use of "private semaphores"
- A condition variable is always used in conjunction with a **mutex** lock.

Condition Variables

- Use a **while** loop instead of an **if** statement to check the waited for condition in order to alleviate the following problems:
 - If several threads are waiting for the same wake up signal, they will take turns acquiring the mutex, and any one of them can then modify the condition they all waited for.
 - If the thread received the signal in error due to a program bug
 - The Pthreads library is permitted to issue spurious wake ups to a waiting thread without violating the standard.
- Mutex is unlocked
 - Allows other threads to acquire lock
 - When signal arrives, mutex will be reacquired before **pthread_cond_wait** returns

Main Thread

- Declare and initialize global data/variables that require synchronization (such as "count")
- Declare and initialize a condition variable object
- Declare and initialize an associated mutex
- Create threads A and B to do work

Thread A

- Do work up to the point where a certain condition must occur (such as "count" must reach a specified value)
- Lock associated mutex and check value of a global variable
- Call `pthread_cond_wait()` to perform a blocking wait for signal from **Thread B**.
- A call to `pthread_cond_wait()` automatically and atomically unlocks the associated mutex variable so that it can be used by **Thread B**.
- When signaled, wake up. Mutex is automatically and atomically locked.
- Explicitly unlock mutex
- Continue

Thread B

- Do work
- Lock associated mutex
- Change the value of the global variable that **Thread A** is waiting upon.
- Check value of the global **Thread A** wait variable. If it fulfills the desired condition, **signal Thread A**.
- Unlock mutex.
- Continue

Main Thread

Join / Continue

Problem

- Two of the threads perform work and update a "count" variable.
- The third thread waits until the count variable reaches a specified value.

```
int main (int argc, char *argv[])
{
    int i, rc;
    long t1=1, t2=2, t3=3;
    pthread_t threads[3];
    pthread_attr_t attr;

    /* Initialize mutex and condition variable objects */
    pthread_mutex_init(&count_mutex, NULL);
    pthread_cond_init (&count_threshold_cv, NULL);

    /* For portability, explicitly create threads in a joinable state */
    pthread_attr_init(&attr);
    pthread_attr_setdetachstate(&attr, PTHREAD_CREATE_JOINABLE);
    pthread_create(&threads[0], &attr, watch_count, (void *)t1);
    pthread_create(&threads[1], &attr, inc_count, (void *)t2);
    pthread_create(&threads[2], &attr, inc_count, (void *)t3);

    /* Wait for all threads to complete */
    for (i=0; i<NUM_THREADS; i++) {
        pthread_join(threads[i], NULL);
    }
    printf ("Main(): Waited on %d  threads. Done.\n", NUM_THREADS);

    pthread_attr_destroy(&attr);
    pthread_mutex_destroy(&count_mutex);
    pthread_cond_destroy(&count_threshold_cv);
    pthread_exit(NULL);
}
```

Problem

- Two of the threads perform work and update a "count" variable.
- The third thread waits until the count variable reaches a specified value.

```
pthread_mutex_t count_mutex;
pthread_cond_t count_threshold_cv;

void *inc_count(void *t)
{
    int i;
    long my_id = (long)t;

    for (i=0; i<TCOUNT; i++) {
        pthread_mutex_lock(&count_mutex);
        count++;

        if (count == COUNT_LIMIT) {
            pthread_cond_signal(&count_threshold_cv);
            printf("inc_count(): thread %ld, count = %d Threshold reached.\n", my_id, count);
        }
        printf("inc_count(): thread %ld, count = %d, unlocking mutex\n", my_id, count);
        pthread_mutex_unlock(&count_mutex);

        sleep(1);
    }
    pthread_exit(NULL);
}
```

Problem

- Two of the threads perform work and update a "count" variable.
- The third thread waits until the count variable reaches a specified value.

```
void *watch_count(void *t)
{
    long my_id = (long)t;

    printf("Starting watch_count(): thread %ld\n", my_id);

    /*
     Lock mutex and wait for signal. Note that the pthread_cond_wait routine will automatically and
     atomically unlock mutex while it waits. Also, note that if COUNT_LIMIT is reached before this
     routine is run by the waiting thread, the loop will be skipped to prevent pthread_cond_wait
     from never returning.
    */
    pthread_mutex_lock(&count_mutex);
    while (count<COUNT_LIMIT) {
        pthread_cond_wait(&count_threshold_cv, &count_mutex);
        printf("watch_count(): thread %ld Condition signal received.\n", my_id);
        count += 125;
        printf("watch_count(): thread %ld count now = %d.\n", my_id, count);
    }
    pthread_mutex_unlock(&count_mutex);
    pthread_exit(NULL);
}
```

Condition Variables: Declaration

- Condition variables must be declared with type `pthread_cond_t`, and must be initialized before they can be used.
 - `pthread_cond_t c` ← declares `c` as a condition variable
- Two ways to initialize a condition variable:
 - Statically, when it is declared. For example:
`pthread_cond_t myconvar = PTHREAD_COND_INITIALIZER;`
 - Dynamically, with the `pthread_cond_init()` routine.
 - The ID of the created condition variable is returned to the calling thread through the `cond` parameter.
 - This method permits setting condition variable object attributes, `attr`.

`pthread_cond_init`

```
int pthread_cond_init( cond, attr );
```

`pthread_cond_t *cond`

— condition variable to be initialized

`const pthread_condattr_t *attr`

— attributes to be given to condition variable

`ENOMEM - insufficient memory for mutex`

`EAGAIN - insufficient resources (other than memory)`

`EBUSY - condition variable already initialized`

`EINVAL - attr is invalid`

Alternate Initialization

- Can also use the static initializer
PTHREAD_COND_INITIALIZER
 - Uses default attributes
- Programmer must always pay attention to condition (and mutex) scope
 - Must be visible to threads

Condition Variables: Usage

- A condition variable has two operations associated with it
 - **wait()**
 - **signal()**
- The **wait()** call is executed when a thread wishes to put itself to sleep
- The **signal()** call is executed when a thread has changed something in the program and thus wants to wake a sleeping thread waiting on this condition

```
pthread_cond_wait(pthread_cond_t *c, pthread_mutex_t *m);  
pthread_cond_signal(pthread_cond_t *c);
```

Condition Variable and Mutex

- Mutex is associated with condition variables
 - Protects evaluation of the conditional expression
 - Prevents race condition between signaling thread and threads waiting on condition variable
- While the thread is waiting on a condition variable, the mutex is automatically unlocked, and when the thread is signaled, the mutex is automatically locked again

pthread_cond_signal Explained

- Signal condition variable, wake one waiting thread
- If no threads waiting, no action taken
 - Signal is not saved for future threads
- Signaling thread need not have mutex
 - May be more efficient
 - Problem may occur if thread priorities used

EINVAL - cond is invalid

pthread_cond_broadcast Explained

- Wake all threads waiting on condition variable
- If no threads waiting, no action taken
 - Broadcast is not saved for future threads
- Signaling thread need not have mutex

EINVAL - cond is invalid

Condition Variables: Summary

```
pthread_cond_signal()
int pthread_cond_signal(
    pthread_cond_t *cond);           // Condition to signal
int pthread_cond_broadcast()
    pthread_cond_t *cond);           // Condition to signal
```

Arguments:

A condition variable to signal.

Return value:

0 if successful. Error code from <errno.h> otherwise.

Notes:

- These routines have no effect if there are no threads waiting on cond. In particular, there is no memory of the signal when a later call is made to `pthread_cond_wait()`.
- The `pthread_cond_signal()` routine may wake up more than one thread, but only one of these threads will hold the protecting mutex.
- The `pthread_cond_broadcast()` routine wakes up all waiting threads. Only one awakened thread will hold the protecting mutex.

Condition Variables: Summary

```
pthread_cond_wait()  
  
int pthread_cond_wait(  
    pthread_cond_t *cond,           // Condition to wait on  
    pthread_mutex_t *mutex);        // Protecting mutex  
  
int pthread_cond_timedwait(  
    pthread_cond_t *cond,           // Condition to wait on  
    pthread_mutex_t *mutex,         // Protecting mutex  
    const struct timespec *abstime); // Time-out value
```

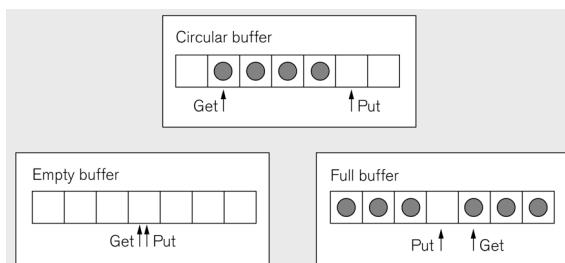
Arguments:

- A condition variable to wait on.
- A mutex that protects access to the condition variable. The mutex is released before the thread blocks, and these two actions occur atomically. When this thread is later unblocked, the mutex is reacquired on behalf of this thread.

Return value:

0 if successful. Error code from <errno.h> otherwise.

Condition Variables Example



```
1  pthread_mutex_t lock=PTHREAD_MUTEX_INITIALIZER;  
2  pthread_cond_t nonempty=PTHREAD_COND_INITIALIZER;  
3  pthread_cond_t nonfull=PTHREAD_COND_INITIALIZER;  
4  Item buffer[SIZE];  
5  int put=0;                                // Buff index for next insert  
6  int get=0;                                // Buff index for next remove  
7  
8  void insert(Item x)                      // Producer thread  
9  {  
10     pthread_mutex_lock(&lock);  
11     while((put>get&&(put-get)==SIZE-1)|| // While buffer is  
12            (put<get&&(put+get)==SIZE-1)) // full  
13     {  
14         pthread_cond_wait(&nonfull, &lock);  
15     }  
16     buffer[put]=x;  
17     put=(put+1)%SIZE;  
18     pthread_cond_signal(&nonempty);  
19     pthread_mutex_unlock(&lock);  
20 }  
21  
22 Item remove()                      // Consumer thread  
23 {  
24     Item x;  
25     pthread_mutex_lock(&lock);  
26     while(put==get)                  // While buffer is empty  
27     {  
28         pthread_cond_wait(&nonempty, &lock);  
29     }  
30     x=buffer[get];  
31     get=(get+1)%SIZE;  
32     pthread_cond_signal(&nonfull);  
33     pthread_mutex_unlock(&lock);  
34     return x;  
35 }
```

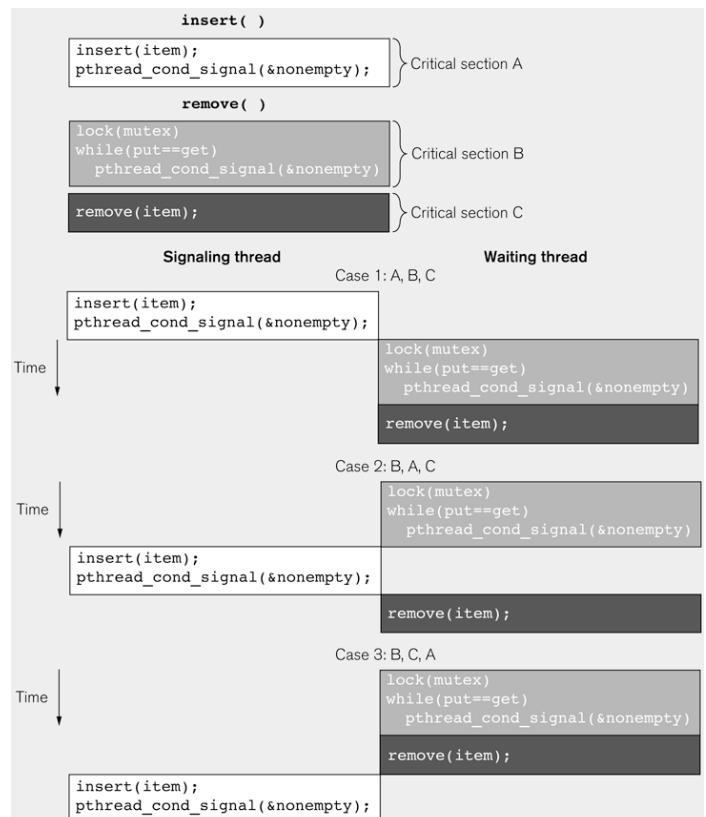
Use of Mutex and Signals

```

1  pthread_mutex_t lock=PTHREAD_MUTEX_INITIALIZER;
2  pthread_cond_t nonempty=PTHREAD_COND_INITIALIZER;
3  pthread_cond_t nonfull=PTHREAD_COND_INITIALIZER;
4  Item buffer[SIZE];
5  int put=0;                                // Buff index for next insert
6  int get=0;                                 // Buff index for next remove
7
8  void insert(Item x)                      // Producer thread
9  {
10    pthread_mutex_lock(&lock);
11    while((put>get&&(put-get)==SIZE-1)|| // While buffer is
12          (put<get&&(put+get)==SIZE-1)) // full
13    {
14      pthread_cond_wait(&nonfull, &lock);
15    }
16    buffer[put]=x;
17    put=(put+1)%SIZE;
18    pthread_cond_signal(&nonempty);
19    pthread_mutex_unlock(&lock);
20  }
21
22 Item remove()                           // Consumer thread
23 {
24   Item x;
25   pthread_mutex_lock(&lock);
26   while(put==get)                         // While buffer is empty
27   {
28     pthread_cond_wait(&nonempty, &lock);
29   }
30   x=buffer[get];
31   get=(get+1)%SIZE;
32   pthread_cond_signal(&nonfull);
33   pthread_mutex_unlock(&lock);
34   return x;
35 }
```

Condition Variables Example

Signaling thread <pre>insert(item); pthread_cond_signal(&nonempty); // Signal is dropped</pre>	Waiting thread <pre>while(put==get) pthread_cond_wait(&nonempty, lock); // Will wait forever</pre>
--	--



Summary

- Create threads to execute work encapsulated within functions
- Coordinate shared access between threads to avoid race conditions
 - Local storage to avoid conflicts
 - Synchronization objects to organize use

Additional Notes

Producer/Consumer using pthreads

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```
#include<pthread.h>
#include <stdio.h>

/* Producer/consumer program illustrating conditional variables */

/* Size of shared buffer */
#define BUF_SIZE 3

int buffer[BUF_SIZE];
int add=0;
int rem=0;
int num=0;
pthread_mutex_t m=PTHREAD_MUTEX_INITIALIZER;
pthread_cond_t c_cons=PTHREAD_COND_INITIALIZER;
pthread_cond_t c_prod=PTHREAD_COND_INITIALIZER;

/*shared buffer */
/* place to add next element */
/* place to remove next element */
/* number elements in buffer */
/* mutex lock for buffer */
/* consumer waits on this cond var */
/* producer waits on this cond var */

void *producer(void *param);
void *consumer(void *param);
```

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```

main (int argc, char *argv[])
{
    pthread_t tid1, tid2;           /* thread identifiers */
    int i;

    /* create the threads; may be any number, in general */
    if (pthread_create(&tid1,NULL,producer,NULL) != 0) {
        fprintf (stderr, "Unable to create producer thread\n");
        exit (1);
    }
    if (pthread_create(&tid2,NULL,consumer,NULL) != 0) {
        fprintf (stderr, "Unable to create consumer thread\n");
        exit (1);
    }
    /* wait for created thread to exit */
    pthread_join(tid1,NULL);
    pthread_join(tid2,NULL);
    printf ("Parent quiting\n");
}

```

```

/* Produce value(s) */
void *producer(void *param)
{
    int i;
    for (i=1; i<=20; i++) {
        /* Insert into buffer */
        pthread_mutex_lock (&m);
        if (num > BUF_SIZE) exit(1);                      /* overflow */
        while (num == BUF_SIZE)                            /* block if buffer is full */
            pthread_cond_wait (&c_prod, &m);
        /* if executing here, buffer not full so add element */
        buffer[add] = i;
        add = (add+1) % BUF_SIZE;
        num++;
        pthread_mutex_unlock (&m);
        pthread_cond_signal (&c_cons);
        printf ("producer: inserted %d\n", i);  fflush (stdout);
    }
    printf ("producer quiting\n");  fflush (stdout);
}

```

```

/* Consume value(s); Note the consumer never terminates */
void *consumer(void *param)
{
    int i;
    while (1) {
        pthread_mutex_lock (&m);
        if (num < 0) exit(1);           /* underflow */
        while (num == 0)              /* block if buffer empty */
            pthread_cond_wait (&c_cons, &m);
        /* if executing here, buffer not empty so remove element */
        i = buffer[rem];
        rem = (rem+1) % BUF_SIZE;
        num--;
        pthread_mutex_unlock (&m);
        pthread_cond_signal (&c_prod);
        printf ("Consume value %d\n", i); fflush(stdout);
    }
}

```

Semaphores

Semaphores

- Synchronization object that keeps a count
 - Represent the number of available resources
 - Formalized by Edsger Dijkstra
- Two operations on semaphores
 - Wait [P(s)]: Thread waits until $s > 0$, then $s = s - 1$
 - Post [V(s)]: $s = s + 1$

POSIX Semaphores

- Not part of the POSIX Threads specification
 - Defined in POSIX.1b
- Check for system support of semaphores before use
 - Is **_POSIX_SEMAPHORES** defined in <unistd.h>?
 - If available, threads within a process can use semaphores
 - Use header file <semaphore.h>
- New type
 - **sem_t**
 - the semaphore variable

sem_init

```
int sem_init( sem, pshared, value );
```

sem_t *sem

- counting semaphore to be initialized

int pshared

- if non-zero, semaphore can be shared across processes

unsigned int value

- initial value of semaphore

sem_init Explained

- Initializes the semaphore object
- If **pshared** is zero, semaphore can only be used by threads within the calling process
 - If non-zero, semaphore can be used between processes
- The value parameter sets the initial semaphore count value

```
EINVAL – sem is not valid semaphore
EPERM – process lacks appropriate privilege
ENOSYS – semaphores not supported
```

sem_wait

```
int sem_wait( sem );
```

sem_t *sem

- counting semaphore to decrement or wait

sem_wait Explained

- If semaphore count is greater than zero
 - Decrement count by one (1)
 - Proceed with code following
- Else, if semaphore count is zero
 - Thread blocks until value is greater than zero

```
EINVAL – sem is not valid semaphore  
EDEADLK – deadlock condition was detected  
ENOSYS – semaphores not supported
```

sem_post

```
int sem_post( sem );
```

sem_t *sem

- counting semaphore to be incremented

sem_post Explained

- Post a wakeup to semaphore
- If one or more threads are waiting, release one
 - Otherwise, increment semaphore count by one (1)

EINVAL – sem is not valid semaphore
ENOSYS – semaphores not supported

Semaphore Uses

- Control access to limited size data structures
 - Queues, stacks, deques
 - Use count to enumerate available elements
- Throttle number of active threads within a region
- Binary semaphore [0,1] can act as mutex

Semaphore Cautions

- No ownership of semaphore
- Any thread can release a semaphore, not just the last thread to wait
 - Use good programming practice to avoid
- No concept of abandoned semaphore
 - If thread terminates before post, semaphore increment may be lost
 - Deadlock

Example: Semaphore as Mutex

- Main thread opens input file, waits for thread termination
- Threads will
 - Read line from input file
 - Count all five letter words in line

Example: Main

```
sem_t hSem1, hSem2;
FILE *fd;
int fiveLetterCount = 0;

main(){
    pthread_t hThread[NUMTHREADS];

    sem_init (&hSem1, 0, 1); // Binary semaphore
    sem_init (&hSem2, 0, 1); // Binary semaphore

    fd = fopen("InFile", "r"); // Open file for read

    for (int i = 0; i < NUMTHREADS; i++)
        pthread_create (&hThread[i], NULL, CountFives, NULL);
    for (int i = 0; i < NUMTHREADS; i++)
        pthread_join (hThread[i], NULL);

    fclose(fd);

    printf("Number of five letter words is %d\n", fiveLetterCount);
}
```

Example: Semaphores

```
void * CountFives(void *arg) {
    int bDone = 0 ;
    char inLine[132]; int lCount = 0;

    while (!bDone)
    {
        sem_wait(*hSem1); // access to input
        bDone = (GetNextLine(fd, inLine) == EOF);
        sem_post(*hSem1);
        if (!bDone)
            if (lCount = GetFiveLetterWordCount(inLine)) {
                sem_wait(*hSem2); // update global
                fiveLetterCount += lCount;
                sem_post(*hSem2);
            }
    }
}
```

Thread-specific Data

- Another means for “local” storage

`pthread_key_create`

- Create thread-specific key for all threads

`pthread_setspecific`

- Associate thread-specific value with given key

`pthread_getspecific`

- Return current data value associated with key

`pthread_key_delete`

- Delete a data key

Thread Attribute Functions

`pthread_attr_init`

- Initialize attribute object to default settings

`pthread_attr_destroy`

- Delete attribute object

`pthread_{get|set}detachstate`

- Return or set thread's detach state

`pthread_{get|set}stackaddr`

- Return or set the stack address of thread

`pthread_{get|set}stacksize`

- Return or set the stack size of thread

Mutex Attribute Functions

`pthread_mutexattr_init`

- Initialize mutex attribute object to defaults

`pthread_mutexattr_destroy`

- Delete mutex attribute object

`pthread_mutexattr_{get|set}pshared`

- Return or set whether mutex is shared between processes

Condition Attribute Functions

`pthread_condattr_init`

- Initialize condition variable attribute object

`pthread_condattr_destroy`

- Destroy condition variable attribute object

`pthread_condattr_{get|set}pshared`

- Return or set whether condition variable is shared between processes