



Australian
National
University

Evolutionary Computation

Part of COMP4660/8420:

Neural Networks, Deep Learning and Bio-inspired Computing

3. Genetic Programming

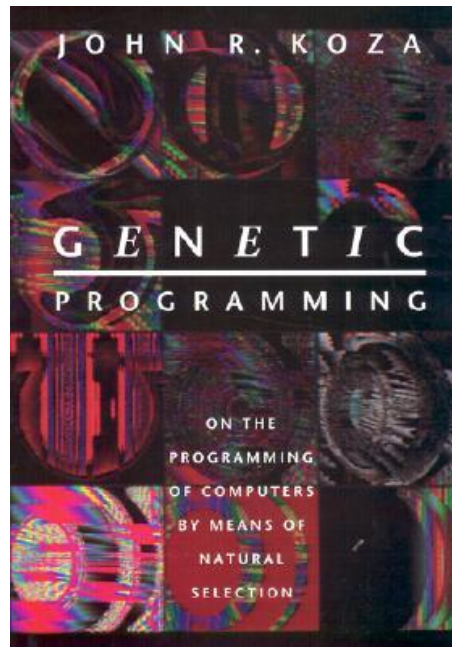
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Human Centered Computing (HCC) Laboratory
2021 Semester 1

GP Quick Overview

- Developed: USA in the 1990's
- Early names: John Koza, Stanford University
- Typically applied to:
 - machine learning tasks (prediction, classification...)
- Attributed features:
 - competes with neural nets and alike
 - needs huge populations (thousands)
 - slow
- Special:
 - non-linear chromosomes: trees, graphs
 - mutation possible but not necessary (disputed!)



GP Technical Summary Tableau

Representation	Tree structures
Recombination	Exchange of subtrees
Mutation	Random change in trees
Parent selection	Fitness proportional

Introductory example: credit scoring

- Bank wants to distinguish good from bad loan applicants
- Model needed that matches historical data

ID	No of children	Salary	Marital status	OK?
ID-1	2	45000	Married	0
ID-2	0	30000	Single	1
ID-3	1	40000	Divorced	1
...				

Introductory Example: Credit Scoring

- A possible model:

IF (NOC = 2) AND (S > 80000) THEN good ELSE bad

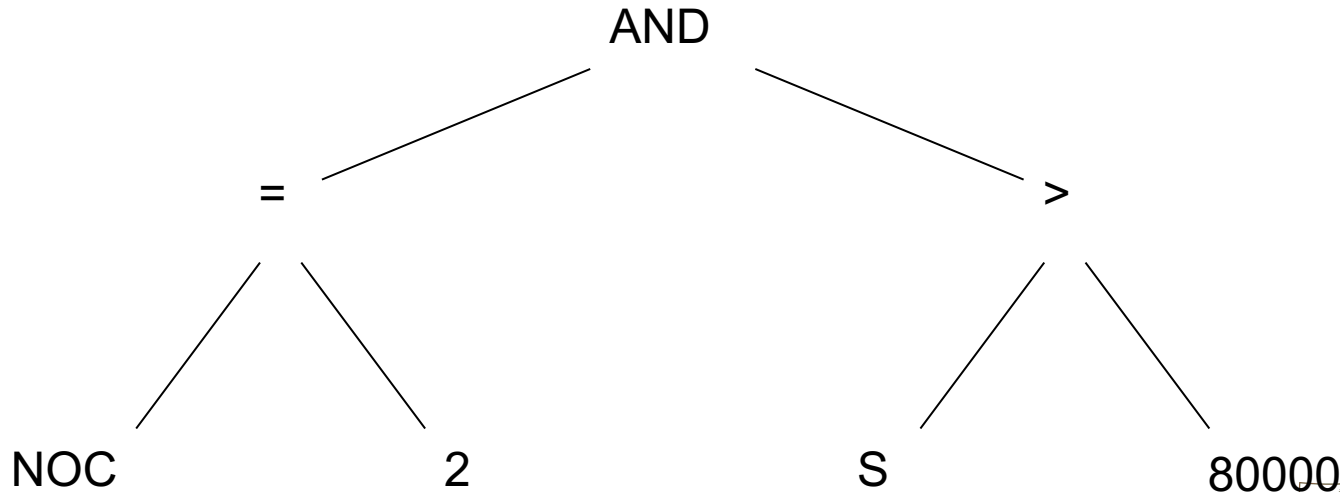
- In general:

IF formula THEN good ELSE bad

- Only unknown is the right formula, hence
- Our search space (phenotypes) is the set of formulas
- Natural fitness of a formula: percentage of well classified cases of the model it stands for
- Natural representation of formulas (genotypes) is: parse trees

Introductory Example: Credit Scoring

IF (NOC = 2) AND (S > 80000) THEN good ELSE bad
can be represented by the following tree



Tree Based Representation

- Trees are a universal form, e.g., consider
- Arithmetic formula
- Logical formula
- Program

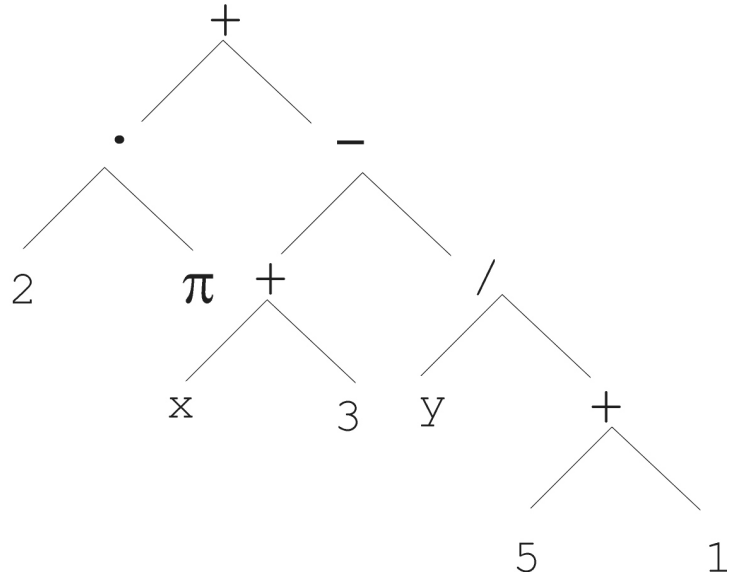
$$2 \cdot \pi + \left((x+3) - \frac{y}{5+1} \right)$$

$$(x \wedge \text{true}) \rightarrow ((x \vee y) \vee (z \leftrightarrow (x \wedge y)))$$

```
i = 1;  
while (i < 20)  
{  
    i = i + 1  
}
```



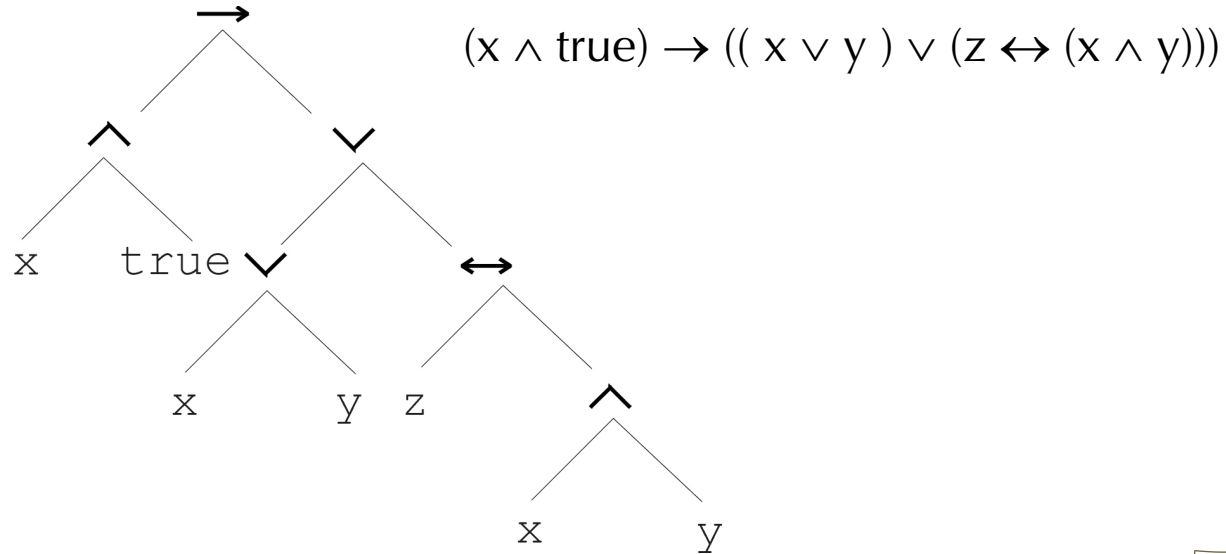
Tree Based Representation



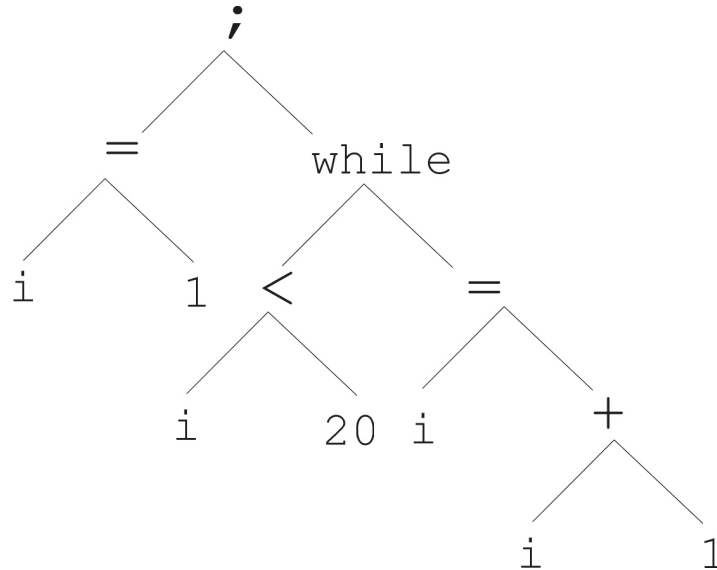
$$2 \cdot \pi + \left((x + 3) - \frac{y}{5 + 1} \right)$$



Tree Based Representation



Tree Based Representation



```
i = 1;
while (i < 20)
{
    i = i + 1
}
```



Tree Based Representation

- In GA, chromosomes are linear structures (bit strings, integer string, real-valued vectors, permutations)
- Tree shaped chromosomes are non-linear structures
- In GA, the size of the chromosomes is fixed
- Trees in GP may vary in depth and width



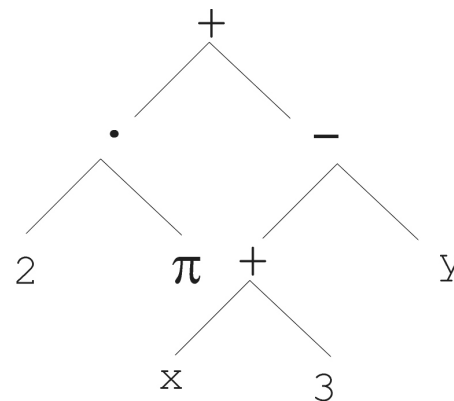
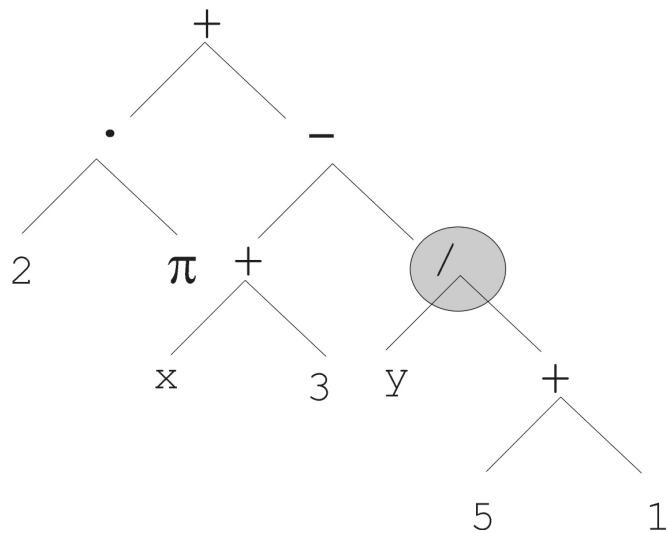
Tree Based Representation

- Symbolic expressions can be defined by
 - Terminal set T
 - Function set F (with the arities of function symbols)
- Adopting the following general recursive definition:
 1. Every $t \in T$ is a correct expression
 2. $f(e_1, \dots, e_n)$ is a correct expression if $f \in F$, $\text{arity}(f)=n$ and e_1, \dots, e_n are correct expressions
 3. There are no other forms of correct expressions
- In general, expressions in GP are not typed (closure property: any $f \in F$ can take any $g \in F$ as argument)



Mutation

- Most common mutation: replace randomly chosen subtree by randomly generated tree



Mutation (cont.)

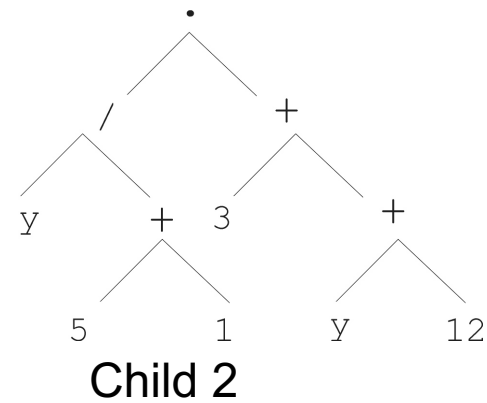
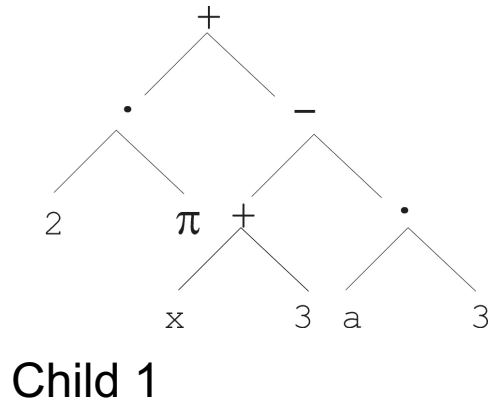
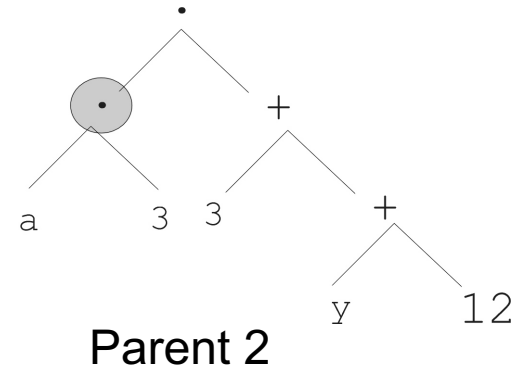
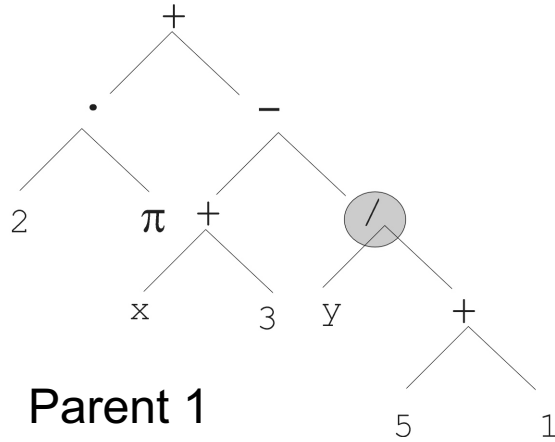
- We need:
 - Probability to chose an internal point as the root of the subtree to be replaced
- The size of the child can exceed the size of the parent



Recombination

- Most common recombination: exchange two randomly chosen subtrees among the parents
- We need:
 - Probability to chose an internal point within each parent as crossover point
- The size of offspring can exceed that of the parents





Selection

- Parent selection typically fitness proportionate
- Over-selection in very large populations
 - rank population by fitness and divide it into two groups:
 - group 1: best $x\%$ of population, group 2 other $(100-x)\%$
 - 80% of selection operations chooses from group 1, 20% from group 2
 - for pop. size = 1000, 2000, 4000, 8000 $x = 32\%, 16\%, 8\%, 4\%$
 - motivation: to increase efficiency, %'s come from rule of thumb



Initialization

- Maximum initial depth of trees D_{\max} is set
- Full method (each branch has depth = D_{\max}):
 - nodes at depth $d < D_{\max}$ randomly chosen from function set F
 - nodes at depth $d = D_{\max}$ randomly chosen from terminal set T
- Grow method (each branch has depth $\leq D_{\max}$):
 - nodes at depth $d < D_{\max}$ randomly chosen from $F \cup T$
 - nodes at depth $d = D_{\max}$ randomly chosen from T
- Common GP initialization: ramped half-and-half, where grow & full method each deliver half of initial population



Example Application: Symbolic Regression

- Given some points in \mathbf{R}^2 , $(x_1, y_1), \dots, (x_n, y_n)$
- Find function $f(x)$ s.t. $\forall i = 1, \dots, n : f(x_i) = y_i$
- Possible GP solution:
 - Representation by $F = \{+, -, /, \sin, \cos\}$, $T = \mathbf{R} \cup \{x\}$

- Fitness is the error:

$$err(f) = \sum_{i=1}^n (f(x_i) - y_i)^2$$

- All operators standard
- pop.size = 1000, ramped half-half initialisation
- Termination: n “hits” or 50000 fitness evaluations reached
 - (where “hit” is if for any $i \mid f(x_i) - y_i \mid < 0.0001$)



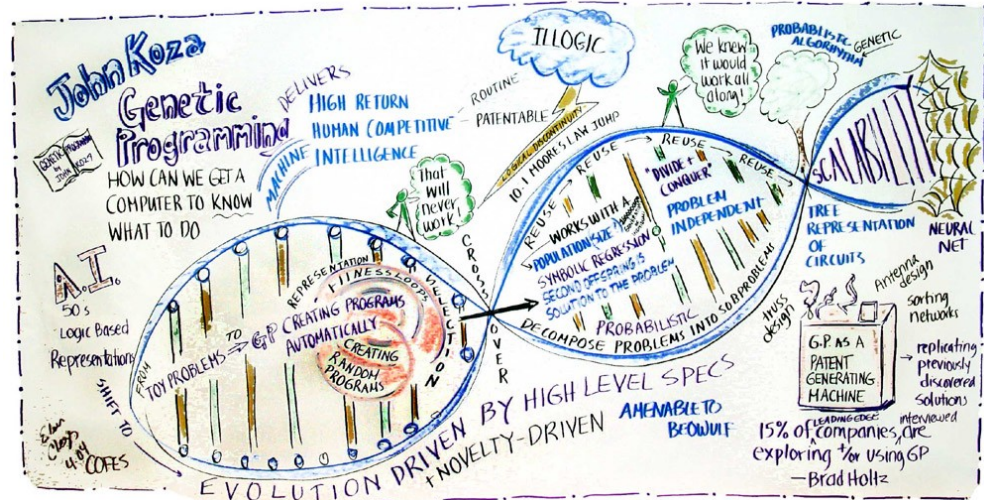
Discussion

Is GP:

The art of evolving computer programs ?

Means to automated programming of computers?

GA with another representation?



References

- A.E. Eiben and J.E. Smith, Introduction to Evolutionary Computation, <https://www.cs.vu.nl/~gusz/ecbook/ecbook-course.html>.
- <https://towardsdatascience.com/genetic-programming-for-ai-heuristic-optimization-9d7fdb115ee1>