

Introduction to LR Parsing

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CS 4430 Compilers I



Announcements

- Midterm, Wednesday March 1.
- HW1 deadline extension until 2/22 @ 11:59pm.



Today's Class

- Starting Section 3.4 in Wilhelm text
- More About Parsing – recognizing languages
- LR parsers, LR parsing engine.
- Next Class
 - More on LR parsers: LR(1), LALR(1).
 - Tools for constructing parsers.

Shortcomings of LL Parsers

- Recursive decent renders a readable parser.
- But consider implementing this grammar

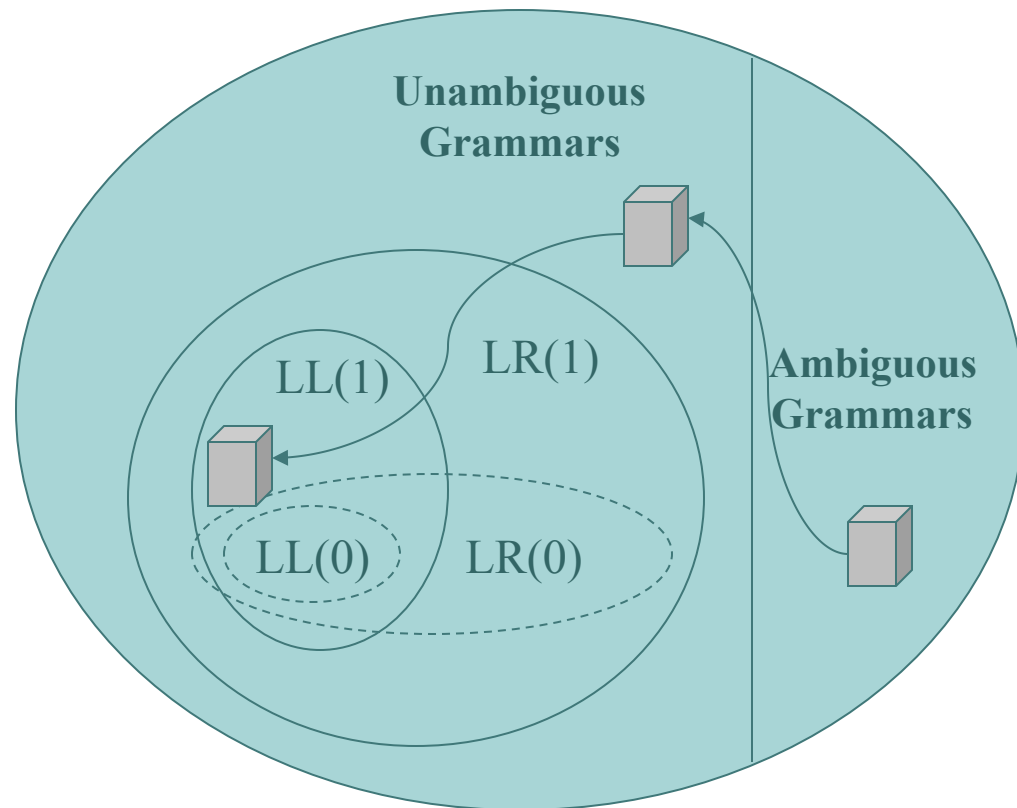
$$\begin{array}{l} E \rightarrow E + T \\ E \rightarrow T \\ T \rightarrow id \end{array}$$

```
void E() {switch(tok) {  
    case ?: E(); eat(TIMES); T(); ← no way of choosing production  
    case ?: T();  
    ...  
}  
void T() {eat(ID);}
```

Predictive parsing depends on the first terminal symbol of each sub-expression providing enough information to choose which production to use.

Grammar Hierarchies (1)

- Grammars characterize languages.
- Grammars can be
 - Ambiguous
 - Unambiguous
- Compiler engineers rework grammars
 - From ambiguous to unambiguous
 - Into the chosen grammar class.



Two Styles of Derivation

- Leftmost derivation
- Always expand the leftmost non-terminal

S	$S \rightarrow S ; S$
<u>S</u> ; S	$S \rightarrow id := E$
id := <u>E</u> ; S	$E \rightarrow num$
id := num ; <u>S</u>	$S \rightarrow id := E$
id := num ; id := <u>E</u>	
...	

Rightmost derivation

- Always expand the rightmost non-terminal

S	$S \rightarrow S ; S$
S ; <u>S</u>	$S \rightarrow id := E$
S ; id := <u>E</u>	$E \rightarrow E + E$
S ; id := E + <u>E</u>	$S \rightarrow (S ,$
 <u>E</u>)	
...	
id := num ; id := E + (S , <u>E</u>)	
...	



LL(k) vs. LR(k)

- Left to Right parse
 - Leftmost derivation
 - *k*-token look ahead
- ➔ LL(k)

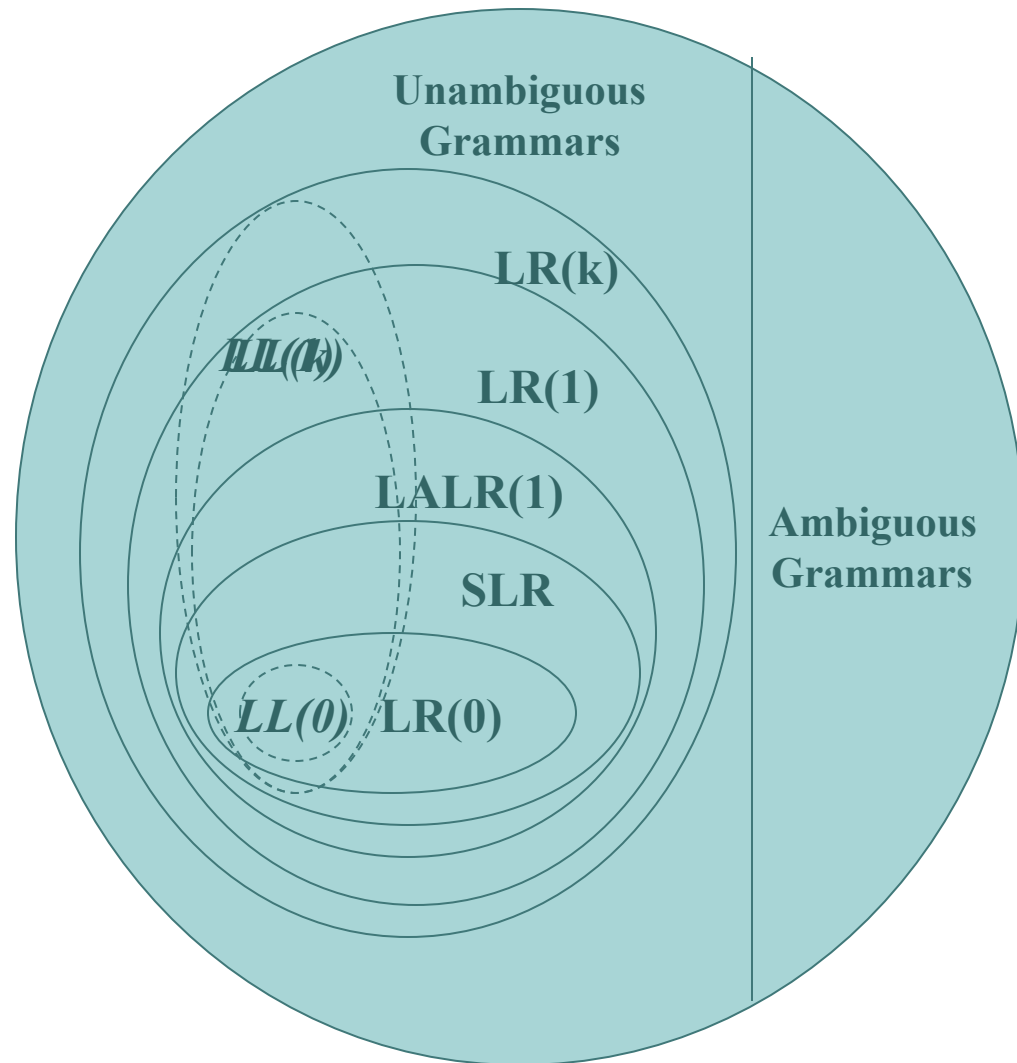
- Needs to predict what production to use after seeing only *k* tokens from the right hand side.
- Both hand-written (recursive descent) and built by tools.
- Considered faster, but might use backtracking.
- Recently seen a renaissance.
 - ANTLR and javacc for Java
- Need to tweak the original grammar

- Left to Right parse
 - Rightmost derivation
 - *k*-token look ahead
- ➔ LR(k)

- Can see the input corresponding to a specific non-terminal (and *k* tokens after) before needing to choose which production to use.
- Typically built by tools.
- Most popular for real parsing
 - YACC, CUP for Java, sablecc
- Also need to tweak the original grammar

LR Parsing

- LR grammars are strictly stronger than LL grammars.
 - LR(0) is of academic interest only.
- Simple LR (SLR) can parse some interesting languages.
- Most "real computer languages" can be expressed as LALR(1) grammars.
 - Many parser generators use this class of grammars.
- LR(1) is a very powerful parsing technology.
 - The implementation of LR(1) can get unwieldy.
 - Engineers try rewrite their grammars into LALR(1).
- LR parsers uses a LR parsing engine



The LR Parsing Engine

- Four actions: shift(p), reduce(p), accept, error
 - “p” stands for “production number”
- Engine structure:

Input: $t_0 t_1 t_2 t_3 \dots$



Stack: $\dots S_n$



	action	goto
	<i>tokens</i>	<i>non-terminals</i>
<i>states</i>		
<i>states</i>		

N.b., I'm describing the LR(0) engine here

● ● ● | shift(p)

Input: $t_0 t_1 t_2 t_3 \dots$



Stack: $\dots S n$



action

t_0

goto

non-terminals

n

shift(p)

states

after

Input: $t_1 t_2 t_3 \dots$



Stack: $\dots S n t_0 p$



reduce(p)

Input: $t_0 t_1 t_2 t_3 \dots$



Stack: $k x_1 \dots x_i n$



Prod. p: $X \rightarrow Y_1 \dots Y_i$

action

t_0

n

reduce(p)

goto

X

k

m

after

Input: $t_1 t_2 t_3 \dots$



Stack: $\dots k X m$



Example LR engine tables

(0) $S' \rightarrow S \$$

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

(4) $L \rightarrow L , S$

	()	x	,	\$
1	s3		s2		
2	r2	r2	r2	r2	r2
3	s3		s2		
4					a
5		s6		s8	
6	r1	r1	r1	r1	r1
7	r3	r3	r3	r3	r3
8	s3		s2		
9	r4	r4	r4	r4	r4

action

S	L
g4	
g7	g5
g9	

goto



LR parsing a small grammar (1)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

(4) $L \rightarrow L , S$

This grammar is LR(0)

(It is not LL(1))

Let us parse $(x , x) \$$

- 4 instructions in LR engine
 - Shift(n)
 - Advance input
 - push n on stack
 - Reduce(k)
 - Pop things from stack
 - Lookup new state number
 - Apply reduction rule k
 - Accept
 - Error

LR parsing a small grammar (2)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

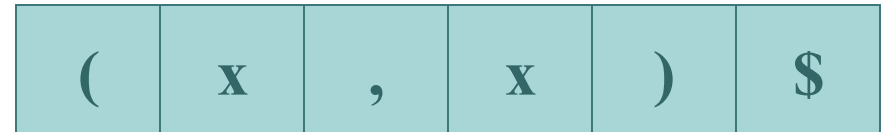
(3) $L \rightarrow S$

(4) $L \rightarrow L , S$

Always the number
at top of stack

action[1][(] \rightarrow Shift(3)

- Advance input one token
- Push (
- Push 3



Before



1

LR parsing a small grammar (3)

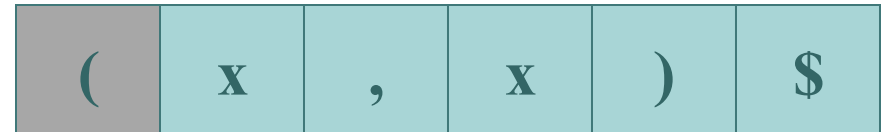
(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

(4) $L \rightarrow L , S$



After



action[1][(] \rightarrow Shift(3)

- Advance input one token
- Push (
- Push 3

LR parsing a small grammar (4)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

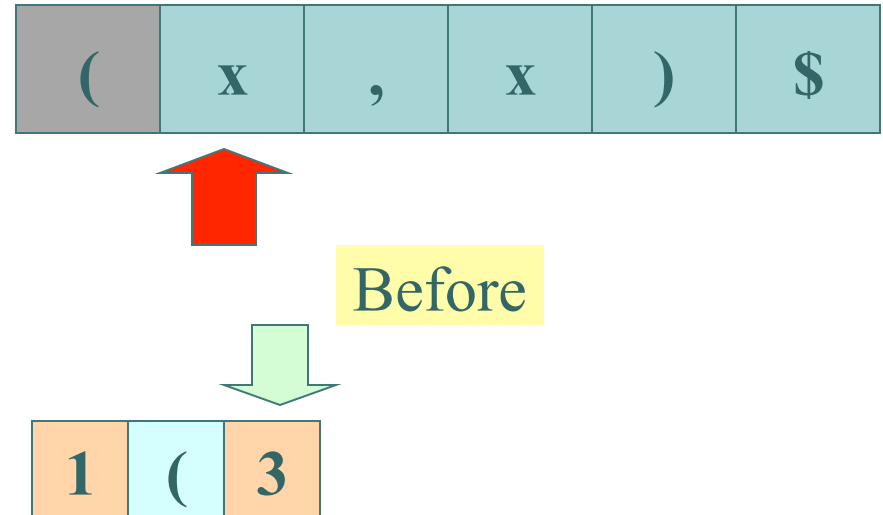
(2) $S \rightarrow x$

(3) $L \rightarrow S$

(4) $L \rightarrow L , S$

action[3][x] \rightarrow Shift(2)

- Advance input one token
- Push x
- Push 2



LR parsing a small grammar (5)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

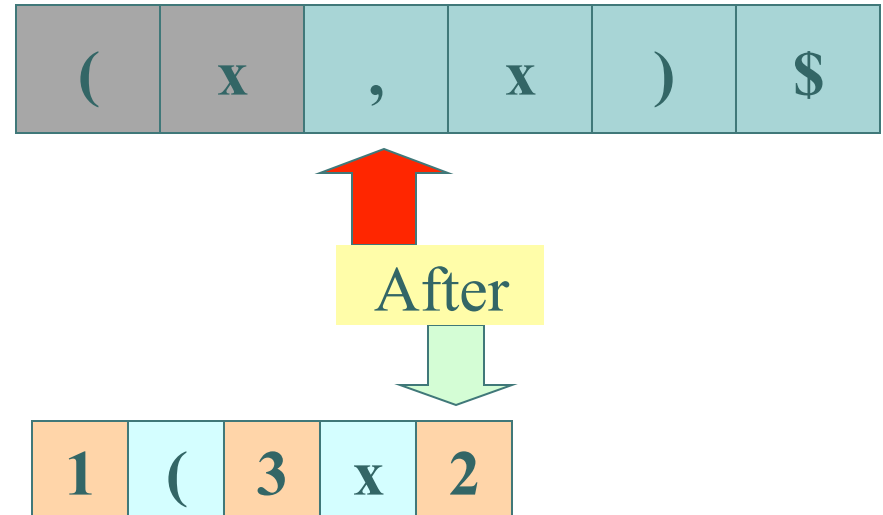
(2) $S \rightarrow x$

(3) $L \rightarrow S$

(4) $L \rightarrow L , S$

action[3][x] \rightarrow Shift(2)

- Advance input one token
- Push x
- Push 2



LR parsing a small grammar (6)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

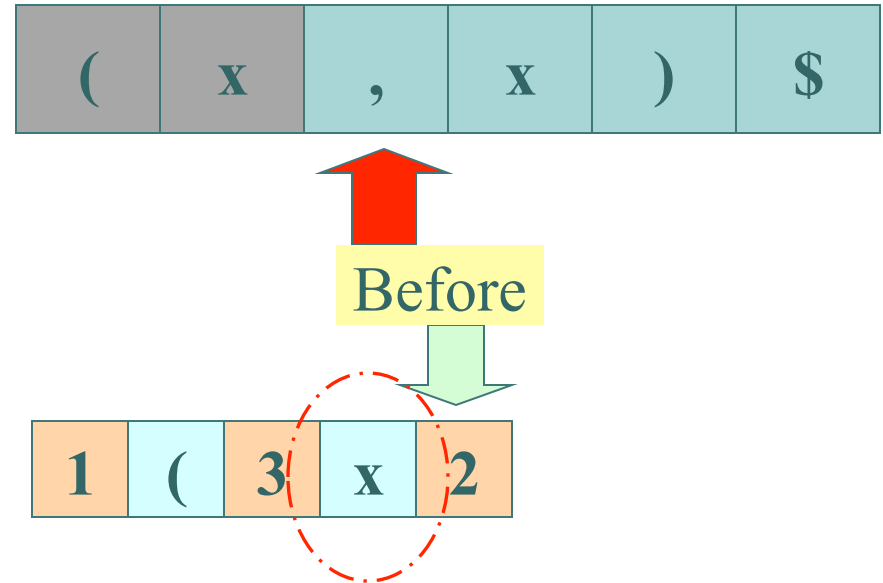
(4) $L \rightarrow L , S$

action[2][,] \rightarrow Reduce(2)

- Pop 2 and x
- Reduce using production (2)
 - $S \rightarrow x$

goto[3][S] \rightarrow Goto(7)

- Push S onto stack
- Push 7 onto stack



LR parsing a small grammar (7)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

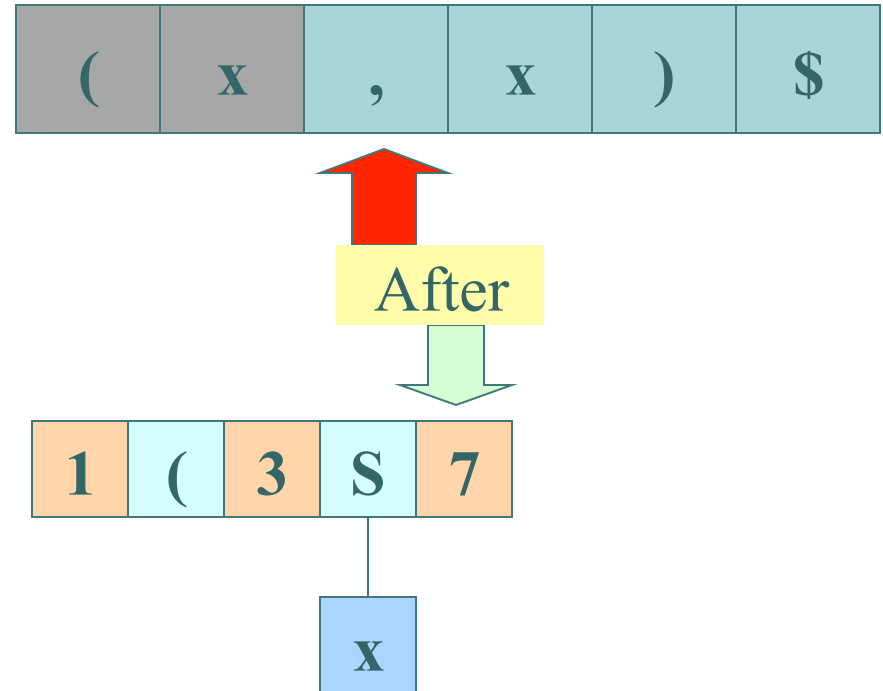
(4) $L \rightarrow L , S$

action[2][,] \rightarrow Reduce(2)

- Pop 2 and x
- Reduce using production (2)
 - $S \rightarrow x$

goto[3][S] \rightarrow Goto(7)

- Push S onto stack
- Push 7 onto stack



LR parsing a small grammar (8)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

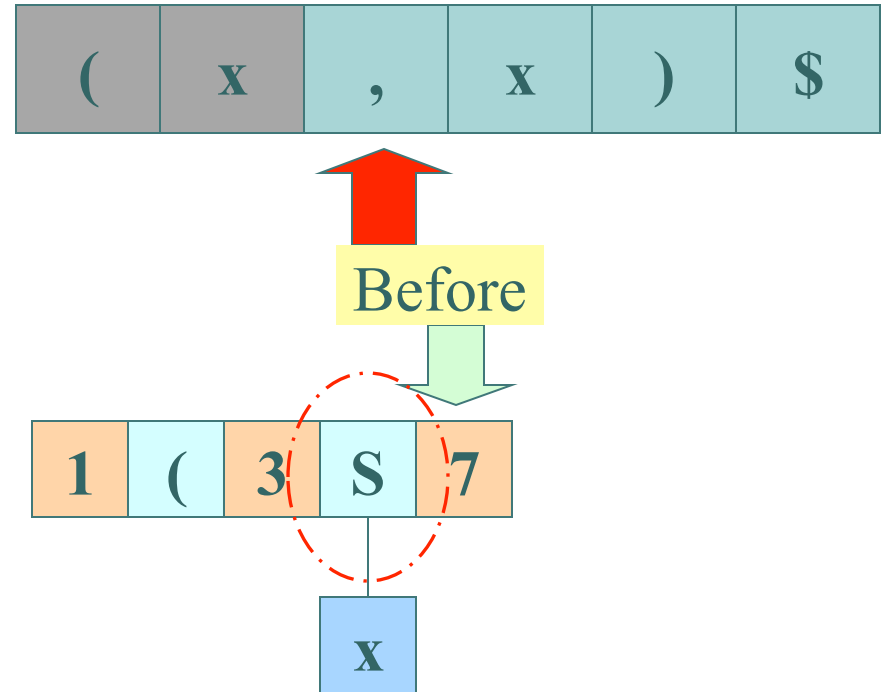
(4) $L \rightarrow L , S$

action[7][,] \rightarrow Reduce(3)

- Pop 7 and S
- Reduce using production (3)
 - $L \rightarrow S$

goto[3][L] \rightarrow Goto(5)

- Push L onto stack
- Push 5 onto stack



LR parsing a small grammar (9)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

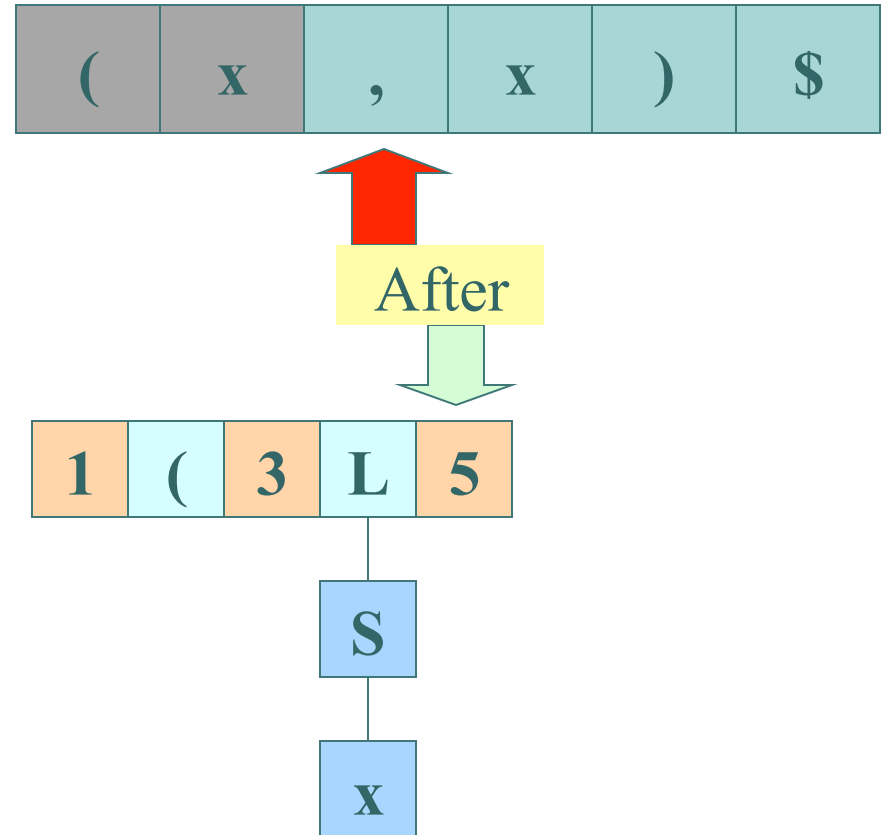
(4) $L \rightarrow L , S$

action[7][,] \rightarrow Reduce(3)

- Pop 7 and S
- Reduce using production (3)
 - $L \rightarrow S$

goto[3][L] \rightarrow Goto(5)

- Push L onto stack
- Push 5 onto stack



LR parsing a small grammar (10)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

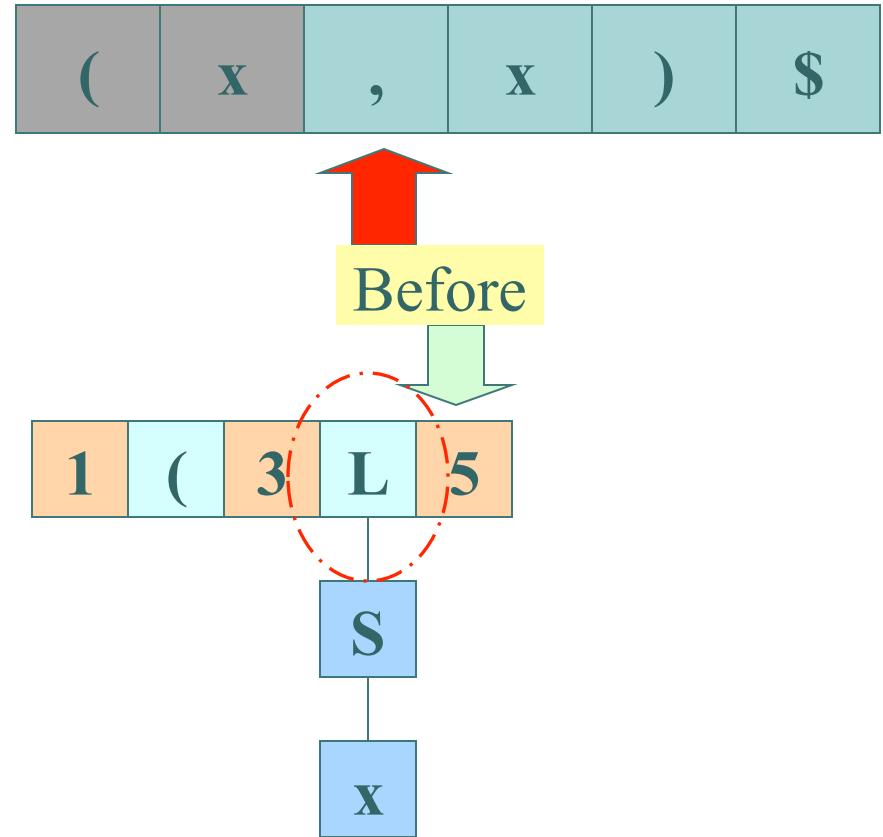
(2) $S \rightarrow x$

(3) $L \rightarrow S$

(4) $L \rightarrow L , S$

action[5][,] \rightarrow Shift(8)

- Advance input one token
- Push ,
- Push 8



LR parsing a small grammar (11)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

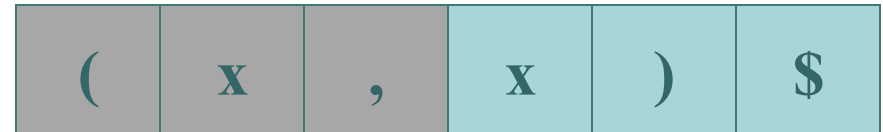
(2) $S \rightarrow x$

(3) $L \rightarrow S$

(4) $L \rightarrow L , S$

action[5][,] \rightarrow Shift(8)

- Advance input one token
- Push ,
- Push 8



After



S

x

LR parsing a small grammar (12)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

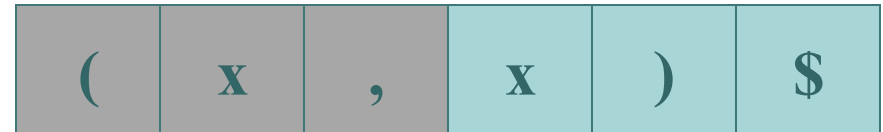
(2) $S \rightarrow x$

(3) $L \rightarrow S$

(4) $L \rightarrow L , S$

action[8][x] \rightarrow Shift(2)

- Advance input one token
- Push x
- Push 2



Before



S

x

LR parsing a small grammar (13)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

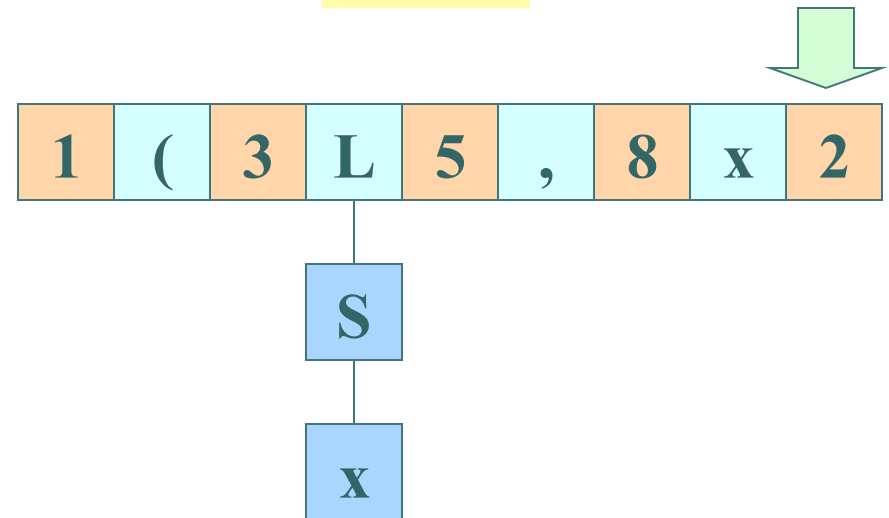
(4) $L \rightarrow L , S$

action[8][x] \rightarrow Shift(2)

- Advance input one token
- Push x
- Push 2



After



LR parsing a small grammar (14)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

(4) $L \rightarrow L , S$

action[2][)] \rightarrow Reduce(2)

- Pop 2 and x

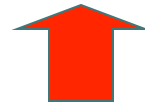
- Reduce using production (2)

 - $S \rightarrow x$

goto[8][S] \rightarrow Goto(9)

- Push S onto stack

- Push 9 onto stack



Before



LR parsing a small grammar (15)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

(4) $L \rightarrow L , S$

action[2][)] \rightarrow Reduce(2)

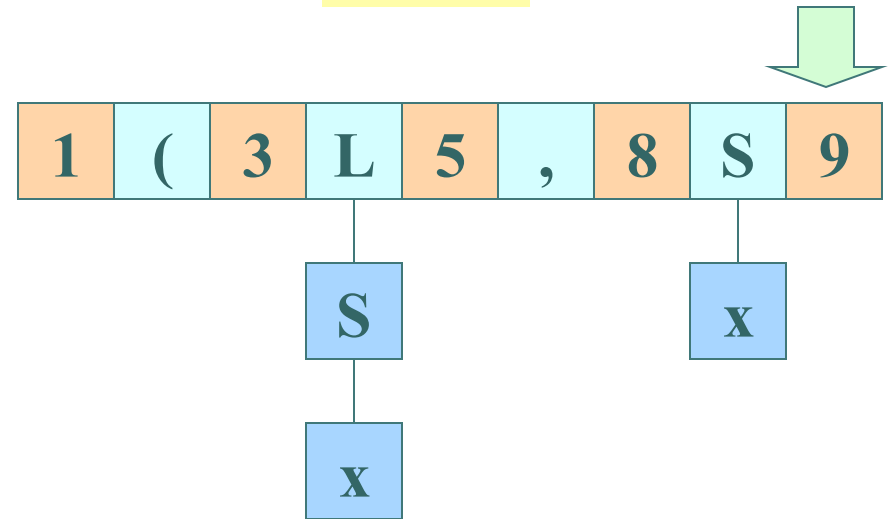
- Pop 2 and x
- Reduce using production (2)
 - $S \rightarrow x$

goto[8][S] \rightarrow Goto(9)

- Push S onto stack
- Push 9 onto stack



After



LR parsing a small grammar (16)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

(4) $L \rightarrow L , S$

action[9][)] \rightarrow Reduce(4)

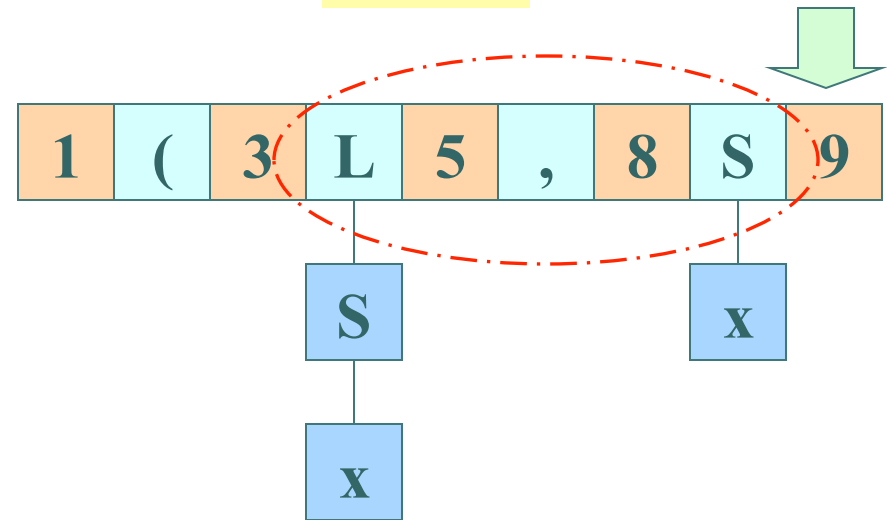
- Pop 9 through to L
- Reduce using production (4)
 - $L \rightarrow L , S$

goto[3][L] \rightarrow Goto(5)

- Push L onto stack
- Push 5 onto stack



Before



LR parsing a small grammar (17)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

(4) $L \rightarrow L , S$

action[9][)] \rightarrow Reduce(4)

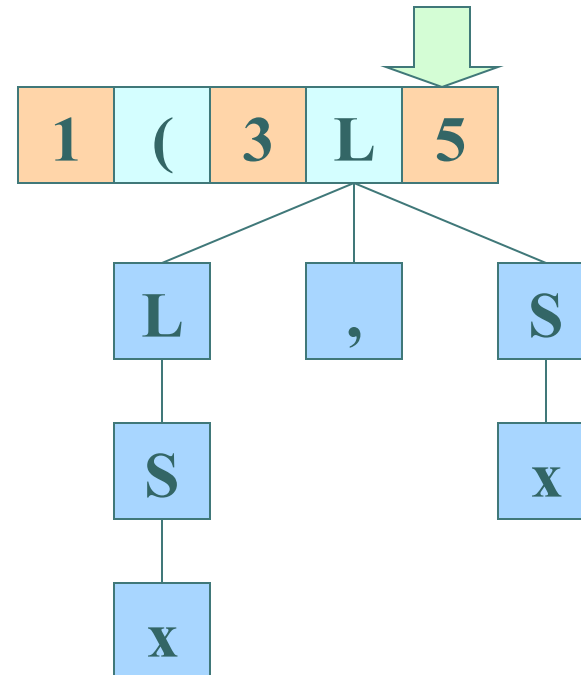
- Pop 9 through to L
- Reduce using production (4)
 - $L \rightarrow L , S$

goto[3][L] \rightarrow Goto(5)

- Push L onto stack
- Push 5 onto stack



After



LR parsing a small grammar (18)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

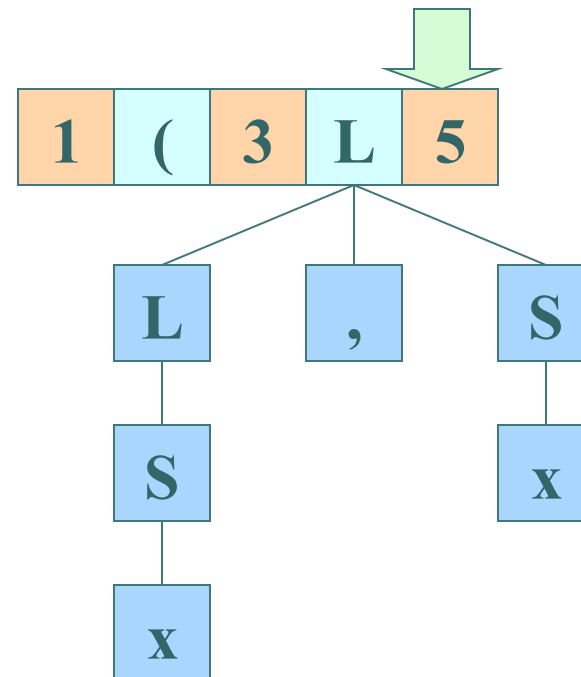
(4) $L \rightarrow L , S$

action[5][)] \rightarrow Shift(6)

- Advance input one token
- Push)
- Push 6



Before



LR parsing a small grammar (19)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

(4) $L \rightarrow L , S$

action[5][)] \rightarrow Shift(6)

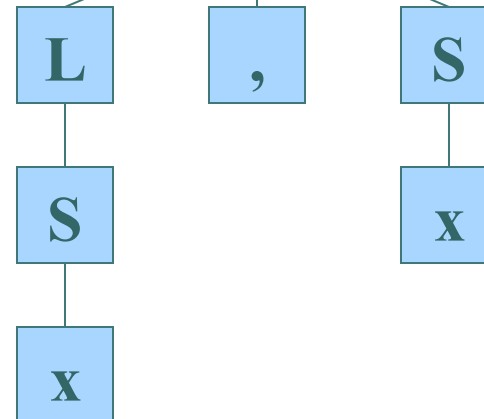
- Advance input one token

- Push)

- Push 6



After



LR parsing a small grammar (20)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

(4) $L \rightarrow L , S$

action[6][\$] \rightarrow Reduce(1)

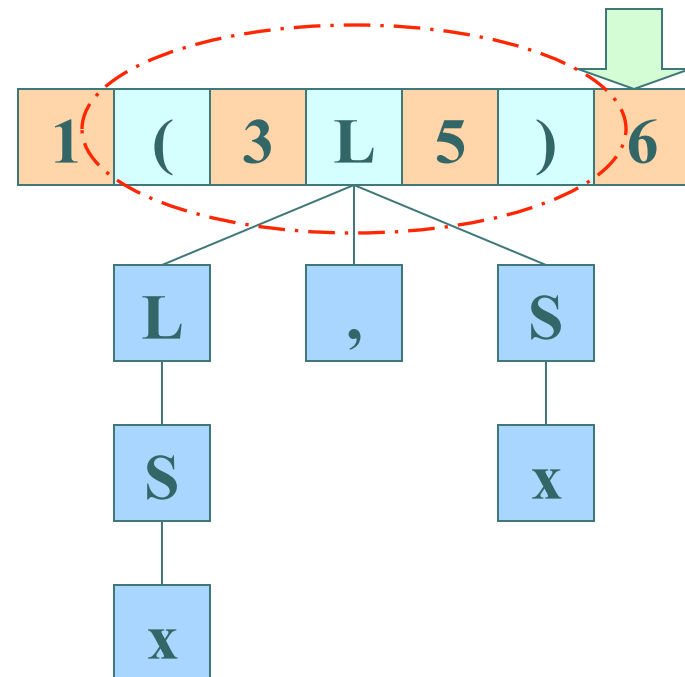
- Pop 6 through to (
- Reduce using production (1)
 - $S \rightarrow (L)$

goto[1][S] \rightarrow Goto(4)

- Push S onto stack
- Push 4 onto stack



Before



LR parsing a small grammar (21)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

(4) $L \rightarrow L , S$

action[6][\$] \rightarrow Reduce(1)

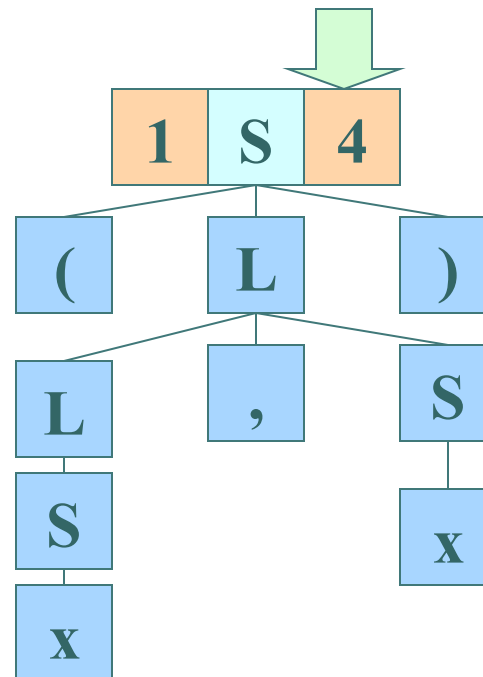
- Pop 6 through to (
- Reduce using production (1)
 - $S \rightarrow (L)$

goto[1][S] \rightarrow Goto(4)

- Push S onto stack
- Push 4 onto stack



After



LR parsing a small grammar (22)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

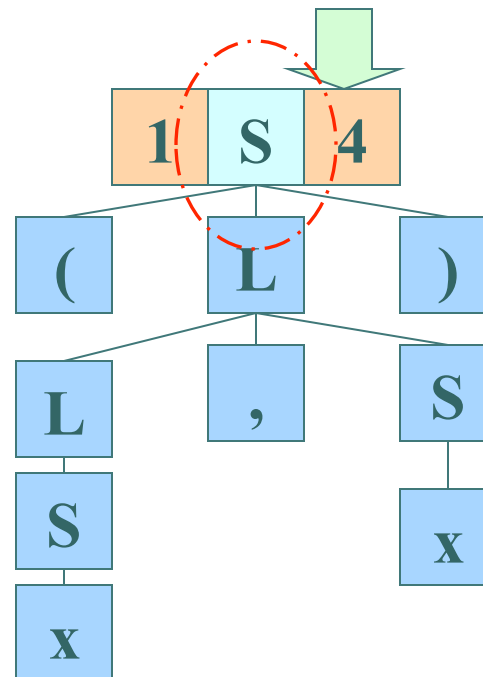
(4) $L \rightarrow L , S$

$\text{action}[4][\$] \rightarrow \text{Accept}$

• S is what we were looking for!



Before



LR parsing a small grammar (23)

(0) $S' \rightarrow S \$$ -- \$ is EOF

(1) $S \rightarrow (L)$

(2) $S \rightarrow x$

(3) $L \rightarrow S$

(4) $L \rightarrow L , S$

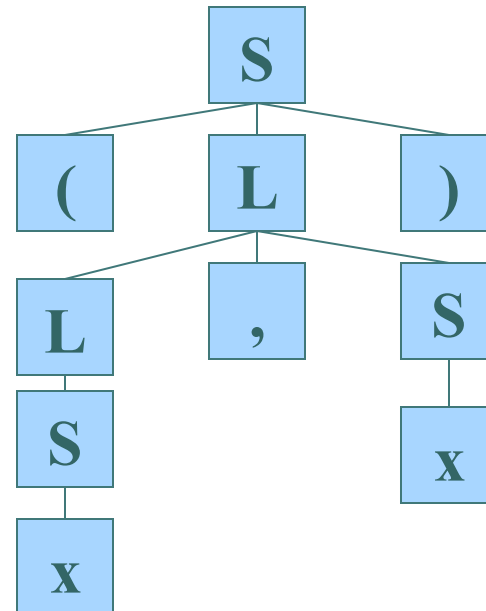
action[4][\$] \rightarrow Accept

- This is what we were looking for!

The magic is in the LR engine tables



After





Next Time

- Generating LR parser tables