

# Fine Control of Demand in Haskell

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# Introducing “Phugs”

Programatica Hugs:

1. First Haskell implementation to meet the rigorous, internationally-recognized WSHI\* standard
2. Uses Programatica front-end pfe
3. Ex:

```
module Phugs where
  fac n  = if n==0 then 1 else n*(fac (n-1))
  odd n  = if n==0 then False else (even (n-1))
  even n = if n==0 then True else (odd (n-1))
  topLevel = odd (fac 3)
```

---

\* “World’s Slowest Haskell Implementation”

# Fine Control of Demand in Haskell

1. Functional languages typically have **mixed** evaluation—i.e., neither completely lazy nor completely eager
  - (a) `if-then-else` in ML/Scheme, etc.
  - (b) Features such as pattern-matching, guards, etc., in Haskell
2. “Textbook” language semantics tend to model pure, (i.e., not mixed) evaluation strategies
3. **Question:** But just how do the semantics of real languages with messy, mixed evaluation relate to these textbook examples?

# Goals

Previous denotational approaches to Haskell compile away “hard stuff” (nested patterns,...) into simpler language

1. Resulting simplified language is susceptible to standard denotational description
2. **However**, such semantics
  - (a) are non-compositional
  - (b) involve semantically-tricky fresh variable generation ; these require specialized semantic setting beyond usual CPO semantics

Want to use standard techniques from denotational semantics to produce a semantics for all of Haskell98

1. Features described here cover “fine control of demand”
2. Not addressing overloading or the IO monad today

# “Fine Control of Demand?”

`data Tree = T Tree Tree | S Tree | R Tree | L`

1.  $(\backslash (T (S\ x) (R\ y)) \rightarrow L) (T\ L\ (R\ L)) \dashrightarrow \perp$

2.  $(\backslash \sim(T (S\ x) (R\ y)) \rightarrow L) (T\ L\ (R\ L)) \dashrightarrow L$

3.  $(\backslash \sim(T (S\ x) (R\ y)) \rightarrow x) (T\ L\ (R\ L)) \dashrightarrow \perp$

4.  $(\backslash \sim(T \sim(S\ x) (R\ y)) \rightarrow y) (T\ L\ (R\ L)) \dashrightarrow L$

5.  $(\backslash \sim(T (S\ x) \sim(R\ y)) \rightarrow y) (T\ L\ (R\ L)) \dashrightarrow \perp$

# Methodology

Initially, we constructed a number of elegant, compelling categorical semantics on paper:

$$\begin{array}{lcl}
 \frac{\Gamma, x : \sigma \vdash e : \tau}{\Gamma \vdash (x \rightarrow e) : \sigma \rightarrow M\tau} & = & h \\
 & = & \text{curry}(\eta_A \circ h) \text{ where } A = \hat{\tau} \\
 \frac{\Gamma \vdash (p \rightarrow e) : \sigma \rightarrow M\tau}{\Gamma \vdash (S p \rightarrow e) : S\sigma \rightarrow M\tau} & = & \text{curry}(k) \\
 & = & \text{curry}(k \diamond (\text{id}_\Gamma \times S^{-1})) \\
 \frac{\Gamma \vdash (p \rightarrow e) : \sigma \rightarrow M\tau}{\Gamma \vdash (\sim S p \rightarrow e) : S\sigma \rightarrow M\tau} & = & \text{curry}(k) \\
 & = & \text{curry}(k \circ \nu \circ S^{-1}) \\
 & \vdots & 
 \end{array}$$

**The idea:** extend standard CCC translations of  $\lambda$ -calculus to Haskell.

# Methodology (cont'd)

... unfortunately these “pencil and paper” semantics were also:

$$\begin{array}{lcl}
 \frac{\Gamma, x : \sigma \vdash e : \tau}{\Gamma \vdash (x \rightarrow e) : \sigma \rightarrow M\tau} & = & h \\
 & = & \text{curry}(\eta_A \circ h) \text{ where } A = \hat{\tau} \\
 \frac{\Gamma \vdash (p \rightarrow e) : \sigma \rightarrow M\tau}{\Gamma \vdash (S p \rightarrow e) : S\sigma \rightarrow M\tau} & = & \text{curry}(k) \\
 & = & \text{curry}(k \diamond (\text{id}_\Gamma \times S^{-1})) \\
 \frac{\Gamma \vdash (p \rightarrow e) : \sigma \rightarrow M\tau}{\Gamma \vdash (\sim S p \rightarrow e) : S\sigma \rightarrow M\tau} & = & \text{curry}(k) \\
 & = & \text{curry}(k \circ \nu \circ S^{-1}) \\
 & \vdots & 
 \end{array}$$

WRONG!

Although compelling, etc., these attempts failed. Why?

The interaction of the

Upshot: automated approach was, if not strictly necessary, then certainly extremely useful

# Overview

1. Semantic setting
2. Patterns
3. Expressions
4. Bodies (i.e., guarded expressions)
5. Declarations
6. Mutual recursion, let binding, and where clauses
7. Summation



# Calculational Semantics for Haskell

Scalar, function, and structured data values ; Environments bind names to values

```
data V = Z Integer   | FV (V -> V) | Tagged Name [V]
type Env = Name -> V
```

Meanings for expressions, patterns, bodies, and declarations

```
mE  :: E -> Env -> V
mP  :: P -> V -> Maybe [V]
mB  :: B -> Env -> Maybe V
mD  :: D -> Env -> V
```

# Semantic Setting

Rather than giving an explicitly categorical or domain-theoretic treatment, assume existence of certain basic semantic operators:

- Function composition (diagrammatic)

$(\ggg) :: (a \rightarrow b) \rightarrow (b \rightarrow c) \rightarrow a \rightarrow c$   
 $f \ggg g = g \circ f$

- Currying

$\text{sharp} :: \text{Int} \rightarrow [V] \rightarrow (V \rightarrow V) \rightarrow V$   
 $\text{sharp } 0 \text{ vs beta} = \text{beta (tuple vs)}$   
 $\text{sharp } n \text{ vs beta} =$   
     $\text{FV } (\lambda v . \text{sharp } (n-1) (\text{vs}++[v]) \text{ beta})$

- Semantic "seq"

$\text{semseq} :: V \rightarrow V \rightarrow V$   
 $\text{semseq } x \ y = \text{case } x \text{ of } (Z \ \_) \rightarrow y ;$   
                                     $(\text{FV } \_) \rightarrow y ;$   
                                     $(\text{Tagged } \_ \_) \rightarrow y$

- Function application

$\text{app} :: V \rightarrow V \rightarrow V$   
 $\text{app (FV } f) \ x = f \ x$

- Domains are pointed

$\text{bottom} :: a$   
 $\text{bottom} = \text{undefined}$

- Least fixed points exist

$\text{fix} :: (a \rightarrow a) \rightarrow a$   
 $\text{fix } f = f (\text{fix } f)$

# Semantic Setting (cont'd)

## Semantic operators for pattern-matching:

### – Purification: the "run" of Maybe monad

$\text{purify} :: \text{Maybe } a \rightarrow a$

$\text{purify } (\text{Just } x) = x$

$\text{purify } \text{Nothing} = \text{bottom}$

### – Kleisli composition (diagrammatic)

$(\diamond) :: (a \rightarrow \text{Maybe } b) \rightarrow (b \rightarrow \text{Maybe } c) \rightarrow a \rightarrow \text{Maybe } c$

$f \diamond g = \lambda x . (f \ x) \star g$

### – Alternation: "app (fatbar m1 m2) v" similar to "case v of { m1 ; m2 }"

$(\parallel) :: (a \rightarrow \text{Maybe } b) \rightarrow (a \rightarrow \text{Maybe } b) \rightarrow (a \rightarrow \text{Maybe } b)$

$f \parallel g = \lambda x . (f \ x) \text{ 'fb' } (g \ x)$

where  $\text{fb} :: \text{Maybe } a \rightarrow \text{Maybe } a \rightarrow \text{Maybe } a$

$\text{Nothing 'fb' } y = y$

$(\text{Just } v) \text{ 'fb' } y = (\text{Just } v)$

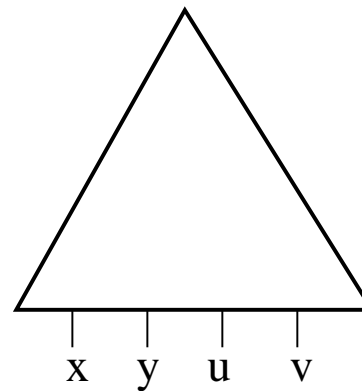
# Nested Pattern Language P

```
data P
  = Pconst Integer          --- 5
  | Pvar Name                --- x
  | Ptuple [P]              --- (p1,p2)
  | Pcondata Name [P]       --- data T1 = C1 t1 t2; {C1 p1 p1} = e
  | Pnewdata Name P         --- newtype T2 = C2 t1; {C2 p1} = e
  | Pwildcard               --- _
  | Ptilde P                 --- ~ p
```

N.b., this abstract syntax is representative of Haskell's patterns, but not exhaustive.

The “fringe of a pattern”  
are its variables in order of  
occurrence.

E.g., [x,y,u,v]



# $(\sim)$ shifts matching from binding to evaluation

Consider the following Haskell expressions for datatype

```
data Tree = T Tree Tree | R Tree | L
```

Here, match failure occurs at binding-time of  $x$  &  $y$ :

```
case (T L L) of { (T (R x) y)    -> y }    ---fails
```

Match failure occurs (if at all) at evaluation-time of  $x$  &  $y$ :

```
case (T L L) of { (T  $\sim$ (R x) y) -> y }    ---produces L  
case (T L L) of { (T  $\sim$ (R x) y) -> x }    ---fails
```

Binding-time match failure is modelled by `Nothing`, and evaluation-time match failure by binding  $x$  to bottom

# Semantics of P: ( $mP :: V \rightarrow \text{Maybe } [V]$ )

```
mP :: P -> V -> Maybe [V]
mP (Pvar x) v           = Just [v]
mP (Pconst i) (Z j)     = if i==j then Just [] else Nothing
mP Pwildcard v          = Just []
mP (Pnewdata n p) v     = mP p v
mP (Pcondata n ps) (Tagged t vs)
                        = if n==t then
                            stuple (map mP ps) vs
                          else Nothing

stuple :: [V -> Maybe [V]] -> [V] -> Maybe [V]
stuple [] []            = Just []
stuple (q:qs) (v:vs) = do { v' <- q v ; vs' <- stuple qs vs ; Just (v'++vs') }
```

## Semantics of P (cont'd)

```
mP  :: P -> V -> Maybe [V]
mP (Ptilde p) v
  = Just(case mP p v of { Nothing -> replicate lp bottom
                        ; Just z -> z })
      where lp = length (fringe p)
```

Why does this work? If  $(mP\ p\ v)$  is `Nothing` (i.e., a binding-time match failure), then it is converted into a (potential) evaluation-time match failure. That is,

$$(mP\ p\ v) == \text{Nothing} \Leftrightarrow (mP\ (\sim p)\ v) == \text{Just} [\text{bottom}, \dots, \text{bottom}]$$

# Semantics of E: Simple Expressions

```
mE :: E -> Env -> V
mE (Var n) rho      = rho n
mE (Const i) rho    = (Z i)
mE (TupleExp es) rho =
    tuple $ map (\e-> mE e rho) es
mE (Cond e0 e1 e2) rho =
    ifV (mE e0 rho) (mE e1 rho) (mE e2 rho)
mE Undefined rho     = bottom

ifV :: V -> a -> a -> a
ifV (Tagged "True" []) x y = x
ifV (Tagged "False" []) x y = y

tuple :: [V] -> V
tuple [v] = v
tuple vs = Tagged "tuple" vs
```

N.b., tuples are treated as Tagged values.



# Semantics of E: Application and Abstraction

```
mE :: E -> Env -> V
mE (App e1 e2) rho = app (mE e1 rho) (mE e2 rho)
mE (Abs [p] e) rho = FV $ lam p e rho
mE (Abs ps e) rho  = sharp (length ps) [] (lam (ptuple ps) e rho)
    where ptuple :: [P] -> P
          ptuple [p] = p
          ptuple ps = Pcondata "tuple" ps

lam :: P -> E -> Env -> V -> V
lam p e rho = (mP p <> ((\vs -> mE e (extend rho xs vs)) >>> Just)) >>> purify
    where xs = fringe p
```

Subtlety:  $(\backslash p_1 p_2 \rightarrow e)$  is lazier than  $(\backslash p_1 \rightarrow (\backslash p_2 \rightarrow e))$

The Haskell98 report [section 3.3] states:

$$\backslash p_1 \dots p_n \rightarrow e = \backslash x_1 \dots x_n \rightarrow \text{case } (x_1, \dots, x_n) \text{ of } (p_1, \dots, p_n) \rightarrow e$$

# Guarded Expressions (aka “bodies” B)

Guarded expressions occur within cases:

```
case e of { p | g1->e1 ... gn->en where { decls } ; <rest> }
```

where  $g_1, \dots, g_n$  are boolean expressions.

The semantics for B is then:

$mB :: B \rightarrow Env \rightarrow \text{Maybe } V$

$mB \text{ (Normal } e) \text{ rho} = \text{Just } (mE \text{ } e \text{ rho})$

$mB \text{ (Guarded } [(g_1, e_1), \dots, (g_n, e_n)]) \text{ rho} =$   
     $\text{ifV } (mE \text{ } g_1 \text{ rho}) \text{ (Just } (mE \text{ } e_1 \text{ rho}))$   
     $\dots$   
     $(\text{ifV } (mE \text{ } g_n \text{ rho}) \text{ (Just } (mE \text{ } e_n \text{ rho})) \text{ Nothing})$

# Case Expressions

The AST for case expressions has the form:

`Case e [(p1,b1,ds1), ..., (pn,bn,dsn)]`

where  $p_i$ ,  $b_i$ , and  $ds_i$  are patterns, bodies, and where-clause bindings, respectively.

The semantics of a “match”  $(p, b, ds)$  is defined by a function:

```
match :: Env -> (P, B, [D]) -> V -> Maybe V
match rho (p,b,ds) = mP p <> ( vs -> mwhere (extend rho xs vs) b ds)
    where xs = fringe p
```

Here,  $(mwhere\ rho\ b\ ds)$  is the meaning of “ $b$  where  $ds$ ”, and  $(mwhere\ rho\ b\ [])$  is simply  $(mB\ b\ rho)$ .

## Case Expressions (cont'd)

```
mE :: E -> Env -> V
```

```
mE (Case e ml) rho = mcase rho ml (mE e rho)
```

```
mcase :: Env -> [(P,B,[D])] -> V -> V
```

```
mcase rho ml = (fatbarL $ map (match rho) ml) >>> purify
```

```
  where fatbarL :: [V -> Maybe V] -> V -> Maybe V
```

```
  fatbarL ms = foldr fatbar (\ _ -> Nothing) ms
```

Note that unfolding `(mcase rho [m1,...,mn])` has the form:

```
( (match rho m1) 'fatbar'
```

```
  ...
```

```
(match rho mn) 'fatbar' (\ _ -> Nothing)) ) >>> purify
```

If the `Nothing` branch is reached, then the `purify` will convert the resulting match failure into `bottom`. This occurs when the branches of a case have been exhausted.

# seq, strict and newtype constructors

```
mE :: E -> Env -> V
```

```
-- Miscellaneous Functions
```

```
mE (Seq e1 e2) rho      = semseq (mE e1 rho) (mE e2 rho)
```

```
-- Strict and Lazy Constructor Applications
```

```
mE (ConApp n e1) rho  = evalL e1 rho n []
```

```
where
```

```
evalL :: [(E,LS)] -> Env -> Name -> [V] -> V
```

```
evalL [] rho n vs      = Tagged n vs
```

```
evalL ((e,Strict):es) rho n vs =  
    semseq (mE e rho) (evalL es rho n (vs ++ [mE e rho]))
```

```
evalL ((e,Lazy):es) rho n vs = evalL es rho n (vs ++ [mE e rho])
```

```
-- New type constructor applications
```

```
mE (NewApp n e) rho      = mE e rho
```

# Multi-line function & pattern declarations

AST for function declarations: (Fun Name [([P],B,[D])])

```
nth :: Int -> [a] -> a
nth 0 (x:xs) = x
      where foobar = 89
nth i (x:xs) = nth (i-1) xs
```

Haskell98 Report[Section 4.4.3] defines these by translation into a single case expression:

The general binding form for functions is semantically equivalent to the equation (i.e. simple pattern binding):

$$x = \backslash x_1 \dots x_k \rightarrow \text{case } (x_1, \dots, x_k) \text{ of } \begin{array}{l} (p_{11}, \dots, p_{1k}) \text{ match}_1 \\ \vdots \\ (p_{m1}, \dots, p_{mk}) \text{ match}_m \end{array}$$

where the “ $x_i$ ” are new identifiers.

Use sharp and mcase as in case expressions

# Multi-line function & pattern declarations (cont'd)

Compare the translation:

$$x = \backslash x_1 \dots x_k \rightarrow \text{case } (x_1, \dots, x_k) \text{ of } \begin{array}{l} (p_{11}, \dots, p_{1k}) \text{ match}_1 \\ \vdots \\ (p_{m1}, \dots, p_{mk}) \text{ match}_m \end{array}$$

with the semantics:

```
mD :: D -> Env -> V
mD (Fun f cs) rho = sharp k [] body
  where
    body = mcase rho (map (\(ps,b,ds) -> (ptuple ps, b,ds)) cs)
    k    = length ((\ (pl,_,_) -> pl) (head cs))

mD (Val p b ds) rho = purify (mwhere rho b ds)
```

Using sharp eliminates the need for name generation here

# Approach to mutually-recursive let-binding

Mutual recursion and recursive `let` achieved by combining standard techniques in the following scheme:

$$\text{let } \{ p_1 = e_1 ; \dots ; p_n = e_n \} \text{ in } e = \\ (\backslash \sim(\tilde{p}_1, \dots, \tilde{p}_n) \rightarrow e) (\text{fix } (\backslash \sim(\tilde{p}_1, \dots, \tilde{p}_n) \rightarrow (e_1, \dots, e_n)))$$

1. Recursion resolved with explicit `fix`,
2. Pattern-matching makes abstractions less-than-lazy—mysterious appearances of  $(\sim)$  to recover laziness,
3. Both `letbind` and `mwhere` are defined using the scheme above.



# Comparing the semantics to Hugs

```
e1 = seq ((\ (Just x) y -> x) Nothing) 3
e2 = seq ((\ (Just x) -> (\ y -> x)) Nothing) 3
e3 = (\ ~(x, Just y) -> x) (0, Nothing)
```

```
e5 = case 1 of
      x | x==z -> (case 1 of w | True -> 33)
        where z = 2
      y -> 101
```

```
Semantics> mE e1 rho0
3
```

```
Semantics> mE e2 rho0
Program error: {undefined}
```

```
Semantics> mE e3 rho0
Program error: {undefined}
```

```
Semantics> mE e4 rho0
Program error: {undefined}
```

```
Semantics> mE e5 rho0
101
```

```
Semantics> mE e6 rho0
6
```

```
e4 = case 1 of
      x | x==z -> (case 1 of w | False -> 33)
        where z = 1
      y -> 101
```

```
e6 = let  fac 0 = 1
        fac n = n * (fac (n-1))
      in fac 3
```

```
Hugs> e1
3
```

```
Hugs> e2
Program error: {e2_v2550 Maybe_Nothing}
```

```
Hugs> e3
Program error: {e3_v2558 (Num_fromInt instNum_v35 0,Maybe_Nothing)}
```

```
Hugs> e4
Program error: {e4_v2562 (Num_fromInt instNum_v35 1)}
```

```
Hugs> e5
101
```

```
Hugs> e6
6
```

# Conclusions

1. Semantics is compositional,
2. **And** have not used fresh name generation,
3. **To do:**
  - (a) Finish proving that semantics validates the Haskell98 Report translations
  - (b) Add overloading