

# Introduction to Statistical Language Models

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**Introduction to Large Language Models**



# Next Word Prediction

Guess the next word in the sequence...

I like pizza with loads of \_\_\_\_\_  
Previous words in the sentence      Word to be predicted

**cheese**

$P(\text{cheese} \mid \text{I like pizza with loads of})$

**corn**

$P(\text{corn} \mid \text{I like pizza with loads of})$

**tree**

$P(\text{tree} \mid \text{I like pizza with loads of})$

$P(\text{cheese} \mid \text{I like pizza with loads of}) > P(\text{corn} \mid \text{I like pizza with loads of}) \gg P(\text{tree} \mid \text{I like pizza with loads of})$

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Probabilistic language models can be used to determine the **most plausible sentence** by assigning a probability to sentences.

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- $P(\text{I love eating spicy samosas}) \gg P(\text{eye love eat tin spy sea some o says})$

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- **Machine Translation**

- $P(\text{Heavy rainfall}) \gg P(\text{Big rainfall})$
- $P(\text{The festival of lights}) \gg P(\text{the festival of lamps})$
- $P(\text{Family gatherings}) > P(\text{Family meetings})$

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- **Context Sensitive Spelling Correction**

- **Natural Language Generation**

- ...

# Language Models Are Everywhere

Detect language English Spanish ▼ ↔ Hindi Bengali English ▼

The train to Mumbai is delayed ×

🔊 🔊 30 / 5,000 🗣️ ▼

मुंबई जाने वाली ट्रेन देरी से चल रही है ☆

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**Large Language Models** Saved

Large Language Models (LLMs) hav revolutionized the field of natural language processing. LLMs, such as GPT-3, have demonstrated impressive capabilities in understanding and generate human-like text across various natural language applications.

**Review suggestions 2**

Correctness	Clarity	Engagement	Delivery	Style guide
Correct your spelling hav				
Wrong verb form generate				



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ChatGPT ▾



Python script for daily email reports

Design a fun coding game

Content calendar for TikTok

Explain nostalgia to a kindergartener

Message ChatGPT

↑

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# Probabilistic Language Models

- **Goal:** Calculate the probability of a sentence or sequence consisting of  $n$  words

$$P(W) = P(w_1, w_2, w_3, \dots, w_n)$$

or

- **Related Task:** Calculate the probability of the next word conditioned on the preceding words

$$P(w_6 | w_1, w_2, w_3, w_4, w_5)$$

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$$P(w_6 | w_1, w_2, w_3, w_4, w_5)$$

A model that calculates either of these is referred to as a **Language Model (LM)**.

# Probability of a Sentence

Let's consider the following sentence:

**The monsoon season has begun**

- How to compute the probability of the sentence?

$$\begin{aligned} P(W) &= P(\text{"The monsoon season has begun"}) \\ &= P(\text{The, monsoon, season, has, begun}) \end{aligned}$$

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We compute the above joint probability by using the principles of  
**Chain Rule of Probability.**

# Chain Rule of Probability

- Definition of **conditional probability**:

$$P(A | B) = P(A, B) / P(B)$$

Rewriting:  $P(A, B) = P(A | B) P(B)$

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- More variables:  $P(A, B, C, D) = P(A) \cdot P(B | A) \cdot P(C | A, B) \cdot P(D | A, B, C)$

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- The **Chain Rule** in general:

$$P(x_1, x_2, x_3, \dots, x_n) = P(x_1) P(x_2 | x_1) P(x_3 | x_1, x_2) \dots P(x_n | x_1, \dots, x_{n-1})$$



# Probability of a Sequence

$$P(w_1 w_2 \dots w_n) = \prod_i P(w_i | w_1 w_2 \dots w_{i-1})$$

$P(W)$  = P(“The monsoon season has begun”)

= P(The, monsoon, season, has, begun)

= P(The) x P(monsoon | The) x P(season | The monsoon) x P(has | The monsoon season) x  
P(begun | The monsoon season has)

# Estimate Conditional Probabilities

$$P(\text{begun} \mid \text{The monsoon season has}) = \frac{\text{Count}(\text{The monsoon season has begun})}{\text{Count}(\text{The monsoon season has})}$$

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- Applying Markov Assumption we condition on only the preceding k words:

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- Probabilistic Language Models exploit the **Chain Rule of Probability** and **Markov Assumption** to build a probability distribution over sequences of words.

# N-gram Language Models

- Let's consider the following conditional probability:

$P(\text{begun} \mid \text{the monsoon season has})$

- An **N-gram model** considers only the preceding **N - 1 words**.



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**Relation between Markov model and Language Model:**

An N-gram Language Model  $\equiv$  (N – 1) order Markov Model

### Raw bigram counts (absolute measure)

	i	want	to	eat	chinese	food	lunch	spend
i	5	827	0	9	0	0	0	2
want	2	0	608	1	6	6	5	1
to	2	0	4	686	2	0	6	211
eat	0	0	2	0	16	2	42	0
chinese	1	0	0	0	0	82	1	0
food	15	0	15	0	1	4	0	0
lunch	2	0	0	0	0	1	0	0
spend	1	0	1	0	0	0	0	0

### Raw unigram counts (absolute measure)

i	want	to	eat	chinese	food	lunch	spend
2533	927	2417	746	158	1093	341	278

Unigram and bigram counts for eight of the words (out of  $V = 1446$ ) in the Berkeley Restaurant Project corpus of 9332 sentences. Previously-zero counts are in gray.

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	i	want	to	eat	chinese	food	lunch	spend
i	0.002	0.33	0	0.0036	0	0	0	0.00079
want	0.0022	0	0.66	0.0011	0.0065	0.0065	0.0054	0.0011
to	0.00083	0	0.0017	0.28	0.00083	0	0.0025	0.087
eat	0	0	0.0027	0	0.021	0.0027	0.056	0
chinese	0.0063	0	0	0	0	0.52	0.0063	0
food	0.014	0	0.014	0	0.00092	0.0037	0	0
lunch	0.0059	0	0	0	0	0.0029	0	0
spend	0.0036	0	0.0036	0	0	0	0	0

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# Limitation of N-gram Language Models

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- Example:

The **project**, which he had been working on for months, was finally **approved** by the committee.

The above example highlights the long-distance dependency between “project” and “approved”, where the context provided by earlier words affects the interpretation of later parts of the sentence.

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- Maximum Likelihood Estimate (MLE):
  - Used to estimate the parameters of a statistical model
  - Determine the most likely values of the parameters that would make the observed data most probable

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- **Maximum Likelihood Estimate (MLE):**
  - Used to estimate the parameters of a statistical model
  - Determine the most likely values of the parameters that would make the observed data most probable
- For example, **bigram probabilities** can be estimated as follows:

$$P(w_i | w_{i-1}) = \frac{\text{count}(w_{i-1}, w_i)}{\text{count}(w_{i-1})} = \frac{c(w_{i-1}, w_i)}{c(w_{i-1})}$$



# Limitations with MLE Estimation

**Problem:** N-grams only work well for word prediction if the test corpus looks like the training corpus. It is often not the case in real scenarios (data sparsity problem).

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## Training set:

- ... enjoyed the movie
- ... enjoyed the food
- ... enjoyed the game
- ... enjoyed the vacation

## Test set:

- ... enjoyed the concert
- ... enjoyed the festival
- ... enjoyed the walk

## Zero probability n-grams:

- $P(\text{concert} \mid \text{enjoyed the}) = P(\text{festival} \mid \text{enjoyed the}) = P(\text{walk} \mid \text{enjoyed the}) = 0$
- As a result, the probability of the test set will be 0.
- Perplexity cannot be computed (Cannot divide by 0).

# Limitations with MLE Estimation

	i	want	to	eat	chinese	food	lunch	spend
i	5	827	0	9	0	0	0	2
want	2	0	608	1	6	6	5	1
to	2	0	4	686	2	0	6	211
eat	0	0	2	0	16	2	42	0
chinese	1	0	0	0	0	82	1	0
food	15	0	15	0	1	4	0	0
lunch	2	0	0	0	0	1	0	0
spend	1	0	1	0	0	0	0	0

**Solution:** Various smoothing techniques

# Laplace Smoothing (Add-One Estimation)

- Imagine that we encountered each word (N-gram) one more time than its actual occurrence.
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- MLE estimate (in case of bigram model)

$$P_{\text{MLE}}(w_i | w_{i-1}) = \frac{c(w_{i-1}, w_i)}{c(w_{i-1})}$$

- Add-1 estimate:

$$P_{\text{Add-1}}(w_i | w_{i-1}) = \frac{c(w_{i-1}, w_i) + 1}{c(w_{i-1}) + |V|}$$

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- Effective bigram count (  $c^*(w_{n-1}w_n)$  ):

$$\frac{c^*(w_{n-1}w_n)}{c(w_{n-1})} = \frac{c(w_{n-1}, w_n) + 1}{c(w_{n-1}) + |V|}$$

# Comparing with Bigrams: Before and After Smoothing

	<b>i</b>	<b>want</b>	<b>to</b>	<b>eat</b>	<b>chinese</b>	<b>food</b>	<b>lunch</b>	<b>spend</b>
<b>i</b>	6	828	1	10	1	1	1	3
<b>want</b>	3	1	609	2	7	7	6	2
<b>to</b>	3	1	5	687	3	1	7	212
<b>eat</b>	1	1	3	1	17	3	43	1
<b>chinese</b>	2	1	1	1	1	83	2	1
<b>food</b>	16	1	16	1	2	5	1	1
<b>lunch</b>	3	1	1	1	1	2	1	1
<b>spend</b>	2	1	2	1	1	1	1	1

Add-one smoothed bigram counts for eight of the words (out of  $V = 1446$ ) in the Berkeley Restaurant Project corpus of 9332 sentences. Previously-zero counts are in gray.

Example from Speech and Language Processing book by Daniel Jurafsky and James H. Martin

# Comparing with Bigrams: Before and After Smoothing

	i	want	to	eat	chinese	food	lunch	spend
i	0.0015	0.21	0.00025	0.0025	0.00025	0.00025	0.00025	0.00075
want	0.0013	0.00042	0.26	0.00084	0.0029	0.0029	0.0025	0.00084
to	0.00078	0.00026	0.0013	0.18	0.00078	0.00026	0.0018	0.055
eat	0.00046	0.00046	0.0014	0.00046	0.0078	0.0014	0.02	0.00046
chinese	0.0012	0.00062	0.00062	0.00062	0.00062	0.052	0.0012	0.00062
food	0.0063	0.00039	0.0063	0.00039	0.00079	0.002	0.00039	0.00039
lunch	0.0017	0.00056	0.00056	0.00056	0.00056	0.0011	0.00056	0.00056
spend	0.0012	0.00058	0.0012	0.00058	0.00058	0.00058	0.00058	0.00058

Add-one smoothed **bigram probabilities** for eight of the words (out of  $V = 1446$ ) in the BeRP corpus of 9332 sentences. Previously-zero probabilities are in gray.

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# Comparing with Bigrams: Before and After Smoothing

	<b>i</b>	<b>want</b>	<b>to</b>	<b>eat</b>	<b>chinese</b>	<b>food</b>	<b>lunch</b>	<b>spend</b>
i	3.8	527	0.64	6.4	0.64	0.64	0.64	1.9
want	1.2	0.39	238	0.78	2.7	2.7	2.3	0.78
to	1.9	0.63	3.1	430	1.9	0.63	4.4	133
eat	0.34	0.34	1	0.34	5.8	1	15	0.34
chinese	0.2	0.098	0.098	0.098	0.098	8.2	0.2	0.098
food	6.9	0.43	6.9	0.43	0.86	2.2	0.43	0.43
lunch	0.57	0.19	0.19	0.19	0.19	0.38	0.19	0.19
spend	0.32	0.16	0.32	0.16	0.16	0.16	0.16	0.16

Add-one reconstituted counts for eight words (of  $V = 1446$ ) in the BeRP corpus of 9332 sentences. Previously-zero counts are in gray.

Example from Speech and Language Processing book by Daniel Jurafsky and James H. Martin

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lunch	0.57	0.19	0.19	0.19	0.19	0.38	0.19	0.19
spend	0.32	0.16	0.32	0.16	0.16	0.16	0.16	0.16

# More General Smoothing Techniques

- **Add-k smoothing:**

$$P_{\text{Add-k}}(w_i | w_{i-1}) = \frac{c(w_{i-1}, w_i) + k}{c(w_{i-1}) + k|V|}$$

$$P_{\text{Add-k}}(w_i | w_{i-1}) = \frac{c(w_{i-1}, w_i) + m(\frac{1}{|V|})}{c(w_{i-1}) + m}$$

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- **Unigram prior smoothing:**

$$P_{\text{UnigramPrior}}(w_i | w_{i-1}) = \frac{c(w_{i-1}, w_i) + m P(w_i)}{c(w_{i-1}) + m}$$

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$$P_{\text{UnigramPrior}}(w_i | w_{i-1}) = \frac{c(w_{i-1}, w_i) + m P(w_i)}{c(w_{i-1}) + m}$$

An optimal value for  $k$  or  $m$  can be determined using a held-out dataset.

# Back-off and Interpolation

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- **Back-off:**
  - Opt for a trigram when there is sufficient evidence, otherwise use bigram, otherwise unigram
- **Interpolation:**
  - Mix unigram, bigram, trigram
  - Interpolation generally results in improved performance

# Interpolation

## Linear interpolation

$$\begin{aligned}\hat{P}(w_n | w_{n-2} w_{n-1}) &= \lambda_1 P(w_n | w_{n-2} w_{n-1}) \\ &\quad + \lambda_2 P(w_n | w_{n-1}) \\ &\quad + \lambda_3 P(w_n)\end{aligned}$$

$$\sum_i \lambda_i = 1$$

## Context-dependent interpolation

$$\begin{aligned}\hat{P}(w_n | w_{n-2} w_{n-1}) &= \lambda_1(w_{n-2}^{n-1}) P(w_n | w_{n-2} w_{n-1}) \\ &\quad + \lambda_2(w_{n-2}^{n-1}) P(w_n | w_{n-1}) \\ &\quad + \lambda_3(w_{n-2}^{n-1}) P(w_n)\end{aligned}$$

# Advanced Smoothing Algorithms

- Naïve smoothing algorithms have limited usage and are not very effective. Not frequently used for N-grams.
- However, they can be used in domains where the number of zeros isn't so huge.