### Homework 7 **HUID: 71238520**

Out: April 10, 2017 Due: April 21, 2017 (4:59 pm)

# 1 Problem 1

If we restrict the problems we look at, sometimes hard problems like counting the number of independent sets are in a graph become solvable. For instance, consider a graph that is a line on n vertices. (That is, the vertices are labelled 1 to n, and there is an edge from 1 to 2, 2 to 3, etc.) How many independent sets are there on a line graph? Also, how many independent sets are there on a cycle of n vertices? (Hint: In this case, we want to express your answer in terms of a family of numbers like For n vertices the number of independent sets is the nth prime. And thats not the answer.)

Similarly, describe how you could quickly compute the number of independent sets on a complete binary tree. (Here, just explain how to compute this number.) Calculate the number of independent sets on a complete binary tree with 127 nodes. (Warning: its a pretty big number.)

#### 1.1 Solution

### 2 Problem 2

Consider the problem MAX-k-CUT, which is like the MAXCUT algorithm, except that we divide the vertices into k disjoint sets, and we want to maximize the number of edges between sets. Explain how to generalize both the randomized and the local search algorithms for MAX CUT to MAX-k-CUT and prove bounds on their performance.

#### 2.1 Solution

To generalize the MAXCUT randomized algorithm to MAX-k-CUT, we randomly assign vertices to the k disjoint sets, by using a random number generator.

The probability that a given edge crosses sets is 1 - the probability that both its vertices are in the same set, which is  $1 - k(\frac{1}{k} \cdot \frac{1}{k}) = 1 - \frac{1}{k} = \frac{k-1}{k}$ . This means that on average, a fraction of  $\frac{k}{k-1}$  of the total edges will cross between sets. Since the most we could have is for all edges to cross between sets, this random assignment will be, on average, within a factor of  $\frac{k-1}{k}$  of the optimum.

For local search algorithms, we start with a randomized solution. We then select a vertex in the first of the k sets, v in  $S_1$ , and check if moving it to a different set,  $S_2$ , would increase the number of edges across the cut. If this wouldn't increase the number of edges, we check if moving v to  $S_3$  would increase the edges crossing the cut, and so on, until  $S_k$ . The first increase that is found is the one that is made. We repeat this action for all vertices v in each set, sequentially, and then start over, until the cut partition can no longer be improved by a single switch. We switch vertices at most —E—times, as shown in lecture notes.

We can count the edges in the cut in the following way: consider any vertex  $v \in S1$ . For every vertex w in  $S_2...S_k$  that it is connected to by an edge, we add 1/2 to a running sum. We do the same for each vertex in  $S_2...S_k$ . Note that each edge crossing the cut contributes 1 to the sum 1/2 for each vertex of the edge.

Hence the cut C satisfies

$$C = \frac{1}{2} \left( \sum_{v \in S_1} |\{w : (v, w) \in E, w \in S_2 \text{ or } S_3 \text{ or ... or } S_k\}| + \sum_{v \in S_2} |\{w : (v, w) \in E, w \in S_1 \text{ or } S_3 \text{ or ... or } S_k\}| + \dots + \sum_{v \in S_k} |\{w : (v, w) \in E, w \in S_1 \text{ or } S_2 \text{ or ... or } S_{k-1}\}|\right)$$

Due to our usage of local search, at least  $\frac{1}{k}$  of the edges from any vertex v must lie in sets other than v, otherwise, we could move the set of v, and improve the cut. This implies that:

$$C$$
  $\geq$ 

## 3 Problem 3

Prove that if there exists a polynomial time algorithm for approximating the maximum clique in a graph to within a factor of 2, then there is a polynomial time algorithm for approximating the maximum clique in a graph to within a factor of  $(1 + \epsilon)$  for any constant  $\epsilon > 0$ . The degree of the polynomial may depend on  $\epsilon$ . Hint: for a starting graph G = (V, E), consider the graph  $G \times G = (V', E')$ , where the vertex set V' of  $G \times G$  is the set of ordered pairs  $V' = V \times V$ , and  $\{(u, v), (w, x)\} \in E'$  if and only if

$$[\{(u,w)\} \in E \text{ or } u = w] \text{ and } [\{(v,x)\} \in E \text{ or } v = x].$$
 (1)

If G has a clique of size k, then how large a clique does G' have?

### 3.1 Solution

# 4 Problem 4

We consider the following scheduling problem, similar to one that we studied before: we have two machines, and a set of jobs  $j_1, j_2, j_3, ..., j_n$  that we have to process. We place a subset of the jobs on each machine. Each job  $j_i$  has an associated running time  $r_i$ . The load on the machine is the sum of the running times of the jobs placed on it. The goal is to minimize the completion time, sometimes called the makespan, which is the maximum load over all machines.

Consider the following local search algorithm. Start with any arbitrary assignment of jobs to machines. We then repeatedly *swap* a single job from one machine to another, if that swap will *strictly reduce* the completion time. (We won't make a move if the completion time stays the same, and only one job moves in each swap.) If a swap is not possible, we are in a stable state. For example, suppose we had jobs with running times 1, 2, 3, 4, and 5, and we started with the jobs with running times 1, 2, and 3 on machine 1, and the jobs with running times 4 and 5 on machine 2. This is a stable state, but it is not optimal; the minimum possible completion time is 8, and this stable state has completion time 9.

Prove that the local search algorithm always terminates in a stable state,

and that the completion time is within a factor of 4/3 of the optimal.

### 4.1 Solution

Given that we have a set of jobs  $j_1, j_2, j_3, ..., j_n$ , and two machines  $M_1$  and  $M_2$ . We use local search to find an optimum assignment of jobs to  $M_1$  or  $M_2$ . The solution space S can be represented by an array of length n that contains 1 or 2, where  $S_i$  corresponds to the machine assignment of  $j_i$ . There are therefore  $2^n$  solutions in the solution space. If for some job assignment,

Even for the best possible solution, the *makespan* is at least as big as the biggest job, or at least as big as half the sum of the jobs. [From PSET3.]