

# Artificial Neural Network from scratch with enhancement on two dataset including Image data.

The artificial neural network is inspired by a human brain neuron. The neural network has a core component called perceptron or artificial neuron which is typically activation function and it is connected with weighted input and output. Activation function can be sigmoid function, Tanh function, and ReLU.

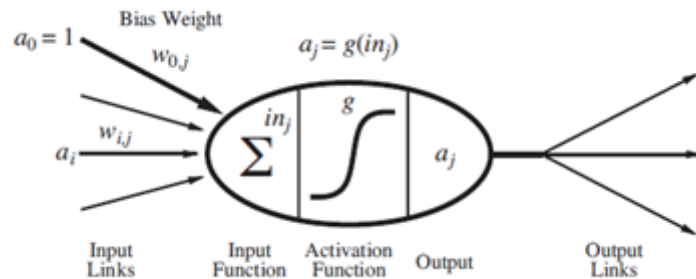


Figure 1.0: Mathematical model for a neuron [1]

Figure 1.0, represents single neuron, which has activation function( $g$ ) connected by weighted input ( $W_{i,j}$ ) and input ( $a_i$ ). Output activation( $a_j$ ) is given in figure 2.0

$$a_j = g(in_j) = g \left( \sum_{i=0}^n w_{i,j} a_i \right)$$

Figure 2.0: simple activation function [1]

The neural network has multiple nodes in a layer (Figure 3.0). Each node in the adjacent layers is connected with weight. Node is an activation function which uses weights and input from the previous layer and forwards output to nodes of the following layer.

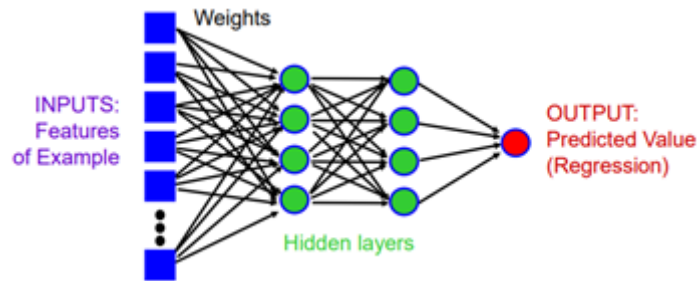


Figure 3.0: Neural Network with 2 hidden layers [2]

Feedforward network can be a) Single-layer perceptron: which is a simple feedforward neural network without any hidden layer (the layer between the input and output layer). b) Multi-layer perceptron: which has one or more hidden layers.

This algorithm has Feed forward and back propagation process which is repeated for each training sample until convergence or specified number of iterations. 1) Feedforward propagation: Initially, weights are randomly initialised. Input from the input layer (1st layer) and weights is given to the activation function (see Figure 2.0) of the following layer. The output of that activation function is forwarded to the next layer as input. This process repeats until the output layer. The output of the activation function at the last layer (output layer) gives the output of the neural network.

2) Backpropagation: Backpropagation is used to adjust the weights at each layer such that the difference between the output of the neural network and actual output is minimum. Updating the weights has 2 stages, one at the output layer and another at the hidden layers. a) At the output layer:

output layer weight adjustment:

$$\text{let } W_{j,k} \leftarrow W_{j,k} + \alpha a_j \Delta_k \quad [\alpha : \text{training rate}]$$

$$\text{where } \Delta_k = f'(z_k) \cdot \text{Err}_k \quad [f' : \text{derivative of } f]$$

Figure 4.0: output layer weight adjustment [2]

From Figure 4.0, Weights at the output layer ( $W_{j,k}$ ) is updated by adding the product of the output of activation function( $a_j$ ) and delta at the output layer ( $\Delta_k$ ). Where  $\Delta_k$  is the product of the derivative function of  $f(z_k)$  and error ( $\text{Err}_k$  - the difference between predicted output and actual output). Where  $z_k$  is the summation of weights and input from the activation function of the previous layer.

b) At the hidden layer:

hidden layer weight adjustment:

let  $W_{i,j} \leftarrow W_{i,j} + \alpha a_i \Delta_j$  [as above]  
where  $\Delta_j = f'(z_j) \cdot \sum_k W_{j,k} \Delta_k$  [back-propagated  
from output layer]

Figure 5.0: Hidden layer weight adjustment [2]

From Figure 5.0, Weights at the hidden layer ( $W_{i,j}$ ) is updated by adding the product of the output of activation function( $a_i$ ) and delta at the hidden layer ( $\Delta_j$ ). Where  $\Delta_j$  is the product of the derivative function of  $f(z_j)$  and summation of weights and delta( $\Delta_k$ ) of the following layer. Where  $z_j$  is the summation of weights and input from the activation function of the previous layer.

References: [1] S. Russell and P. Norvig, Artificial intelligence. Pearson India Education Services Pvt. Ltd., 2018. [2] M. Madden, "Topic 2: From Logistic Regression to Neural Networks", National University of Ireland, Galway, 2020.

```
1 import numpy as np
2 import pandas as pd
3 from sklearn.model_selection import train_test_split
4
5
6 # ANN class which has the methods for performing classification using Artificial Neural Network
7 class ANN:
8     # Initialization
9     def __init__(self, hidden_nodes):
10         self.hidden_nodes = hidden_nodes
11
12     # Author : Harshith Shankar Tarikere Ravikumar
13     # Sigmoid Function
14     def sigmoid(self, X):
15         return(1.0/(1.0+np.exp(-X)))
16
17     # Author : Shyam Kumar Sodankoor
18     # This function randomly assigns weights and bias to the Neural Network
19     def randomize(self, output_nodes, input_nodes):
20         # Layer 1 weight matrix
21         self.w1=np.random.rand(self.hidden_nodes,input_nodes)
22         # Bias of layer 1
```

```

22     # Bias of Layer 1
23     self.b1=np.ones((self.hidden_nodes,1))
24     # Layer 2 weights
25     self.w2=np.random.rand(output_nodes,self.hidden_nodes)
26     # Bias of layer 2
27     self.b2=np.ones((output_nodes,1))
28
29     # Author : Harshith Shankar Tarikere Ravikumar
30     # This function randomly assigns weights and bias to the Neural Network
31     def randomize_new(self,output_nodes,input_nodes):
32         # Layer 1 weight matrix, not setting
33         self.w1=np.random.rand(self.hidden_nodes,input_nodes) * 0.0005
34         # Bias of Layer 1
35         self.b1=np.ones((self.hidden_nodes,1))
36         # Layer 2 weights
37         self.w2=np.random.rand(output_nodes,self.hidden_nodes) * 0.0005
38         # Bias of layer 2
39         self.b2=np.ones((output_nodes,1))
40
41
42     # Author: Harshith Shankar Tarikere Ravikumar
43     # This function implements the feedforward step of the ANN for a single hidden layer Neural Network
44     def feedforward(self,input_matrix):
45         # The input matrix
46         self.a0=input_matrix
47         # Calculating the input for the activation function for layer 1
48         self.z1=np.dot(self.w1,self.a0)+self.b1
49         # Layer 1 hidden nodes values
50         self.a1 = self.sigmoid(self.z1)
51         # Output of the neural network
52         self.z2=np.dot(self.w2,self.a1) + self.b2
53         # Applying sigmoid to the output
54         self.a2=self.sigmoid(self.z2)
55         return (self.a2)
56
57     # Author : Shyam Kumar Sodankoor
58     # This function implements the backpropagation step of the Neural Network for a single hidden layer
59     def backpropagation(self,input_label):

```

```

60     expect_y = input_label
61     learning_rate=0.1
62     # Calculating Error
63     self.Err_k = self.a2 - expect_y
64     # Calculating the delta k which has to be subtracted by the layer 2 weights
65     delta_k = (self.sigmoid(self.z2)*(1-self.sigmoid(self.z2))*self.Err_k)
66     # Updating Layer 2 weights
67     self.w2 = self.w2 - learning_rate*(np.dot(delta_k,self.a1.transpose()))
68     # Calculating the delta j which has to be subtracted by the layer 2 weights
69     delta_j = self.sigmoid(self.z1)*(1-self.sigmoid(self.z1))*(np.dot(self.w2.T,delta_k))
70     # Updating Layer 1 weights
71     self.w1 = self.w1 - learning_rate*(np.dot(delta_j,self.a0.transpose()))
72
73     # Author : Harshith Shankar Tarikere Ravikumar
74     # This function trains the Neural Network for the circles data
75     def training(self):
76         # Read Circles Dataset
77         dataset = pd.read_csv("circles500.csv")
78         # Randomize the weights based on the number of inputs and outputs
79         self.randomize(1,2)
80         # Divide dataset into training and testing randomly
81         self.training = dataset.sample(frac=0.67,random_state=19230735)
82         self.testing = dataset.drop(self.training.index)
83         # Run epochs 500 times
84         for i in range(0,500):
85             # Training the Neural Network with all the training data
86             for index, row in self.training.iterrows():
87                 input_matrix = np.array((row['X0'],row['X1'])).reshape(2,1)
88                 input_label = np.array((row['Class']))
89                 self.feedforward(input_matrix)
90                 self.backpropagation(input_label)
91
92     # Author: Shyam Kumar Sodankoor
93     # This function predicts the outputs of the testing data for the circles data
94     def predict(self):
95         predicted = []
96         for index, row in self.testing.iterrows():
97             test_inputs = np.array((row['X0'],row['X1'])).reshape(2,1)

```

```

98     # Classifying using 0.5 as the threshold
99     if self.feedforward(test_inputs) > 0.5:
100         predicted.append(1)
101     else:
102         predicted.append(0)
103     self.testing['Predicted'] = predicted
104     return self.testing
105
106 # This function is used to unpickle. This function taken from the CIFAR website
107 def unpickle(self,file):
108     import pickle
109     with open(file, 'rb') as fo:
110         dict = pickle.load(fo, encoding='bytes')
111     return dict
112
113 # Author: Shyam Kumar Sodankoor
114 # This function trains the Neural Network for the CIFAR Dataset. If pt = 1 then the Neural Network is pretrained using batch_1
115 def training_cifar(self,pt):
116     # Randomize the weights based on the number of inouts and outputs
117     if pt == 0:
118         self.randomize_new(1,1024)
119     # Using both batch 1 and batch 2 for training
120     batch_2=self.unpickle("data_batch_2")
121     # Getting the required image data from the batches
122     pixels = batch_2[b'data']
123     # Getting the required labels from the batches
124     labels = batch_2[b'labels']
125     #labels.extend(batch_1[b'labels'])
126     req_labels = []
127     req_pixels = []
128     # Getting the data and labels for Automobile and Horse and assigning labels 0 and 1 to them
129     for i in range(0,len(pixels)):
130         if labels[i] == 1 or labels[i] == 7:
131             if labels[i] == 1:
132                 req_labels.append(0)
133             else:
134                 req_labels.append(1)
135         req_pixels.append(pixels[i][0:1024])

```

```

135         req_pixels.append(pixels[i][:1024])
136     # Creating a dataframe of the labels and features(pixel values of Red band)
137     self.df = pd.DataFrame(req_pixels)
138     self.df['Labels'] = req_labels
139     # Dividing the data into training and testing data
140     self.df_training = self.df.sample(frac=0.67,random_state=19230735)
141     self.df_testing = self.df.drop(self.df_training.index)
142     # Running the epoch for 150 times
143     for j in range(0,150):
144         # Training for all the images using only first 1024 values of the image data
145         for i in range(0,len(self.df_training)):
146             # Converting data into a matrix and running feedforward and backpropagation
147             input_matrix = np.array(self.df_training.iloc[i,:1024]).reshape(1024,1)/256
148             input_label = np.array(self.df_training['Labels'].iloc[i])
149             self.feedforward(input_matrix)
150             self.backpropagation(input_label)
151
152 # Author : Harshith Shankar Tarikere Ravikumar
153 # This function classifies the test images from the trained Neural Network for the CIFAR Dataset
154 def predict_cifar(self):
155     predicted = []
156     for i in range(0,len(self.df_testing)):
157         test_inputs = np.array(self.df_testing.iloc[i,:1024]).reshape(1024,1)/256
158         # Setting the threshold to 0.5
159         if self.feedforward(test_inputs) > 0.5:
160             predicted.append(1)
161         else:
162             predicted.append(0)
163     df = pd.DataFrame(self.df_testing['Labels'])
164     df['Predicted'] = predicted
165     return df
166
167 # Author : Shyam Kumar Sodankoor
168 # Pretraining the weights with the images from a different batch
169 def pre_training_cifar(self):
170     # Randomize the weights based on the number of inputs and outputs
171     self.randomize_new(1,1024)
172     # Using both batch 1 and batch 2 for training

```

```

173     batch_2=self.unpickle("data_batch_1")
174     # Getting the required image data from the batches
175     pixels = batch_2[b'data']
176     # Getting the required labels from the batches
177     labels = batch_2[b'labels']
178     #labels.extend(batch_1[b'labels'])
179     req_labels = []
180     req_pixels = []
181     # Getting the data and labels for Automobile and Horse and assigning labels 0 and 1 to them
182     for i in range(0,len(pixels)):
183         if labels[i] == 1 or labels[i] == 7:
184             if labels[i] == 1:
185                 req_labels.append(0)
186             else:
187                 req_labels.append(1)
188             req_pixels.append(pixels[i][:1024])
189     self.df = pd.DataFrame(req_pixels)
190     self.df['Labels'] = req_labels
191     # Running the epoch for 100 times
192     for j in range(0,150):
193         # Training for all the images using only first 1024 values of the image data
194         for i in range(0,len(self.df)):
195             input_matrix = np.array(self.df.iloc[i,:1024]).reshape(1024,1)/256
196             input_label = np.array(self.df['Labels'].iloc[i])
197             self.feedforward(input_matrix)
198             self.backpropagation(input_label)
199
200     # Author : Harshith Shankar Tarikere Ravikumar
201     # ReLu feedforward
202     def feedforward_relu(self, input_matrix):
203         # The input matrix
204         self.a0=input_matrix
205
206         # Calculating the input for the activation function for layer 1
207         self.z1=np.dot(self.w1,self.a0)+self.b1
208
209         # Layer 1 hidden nodes values
210         self.deriva = self.z1 > 0

```



```

211     self.a1 = self.z1 * self.deriva
212
213     # Output of the neural network
214     self.z2=np.dot(self.w2,self.a1) + self.b2
215
216     # Applying sigmoid to the output
217     self.a2=self.sigmoid(self.z2)
218
219     return (self.a2)
220
221 # Author : Harshith Shankar Tarikere Ravikumar
222 # Relu backpropagation
223 def backpropagation_relu(self, input_label):
224     expect_y = input_label
225     learning_rate=0.01
226     self.Err_k = self.a2 - expect_y
227
228     # here stochastic gradient descent is used.
229     # sigmoid output layer
230     delta_k = (self.sigmoid(self.z2)*(1-self.sigmoid(self.z2))*self.Err_k)
231     self.w2 = self.w2 - learning_rate*(np.dot(delta_k,self.a1.transpose()))
232
233     # ReLU hidden layer
234     delta_j = self.deriva * (np.dot(self.w2.T,delta_k))
235     self.w1 = self.w1 - learning_rate*(np.dot(delta_j,self.a0.transpose()))
236
237 # Author: Harshith Shankar Tarikere Ravikumar
238 # This function trains the Neural Network for the CIFAR Dataset.
239 def training_cifar_relu(self):
240     # Randomize the weights based on the number of inputs and outputs
241     self.randomize_new(1,1024)
242     # Using both batch 1 and batch 2 for training
243     batch_2=self.unpickle("data_batch_2")
244     # Getting the required image data from the batches
245     pixels = batch_2[b'data']
246     # Getting the required labels from the batches
247     labels = batch_2[b'labels']
248     #labels = extend(batch_1[b'labels'])

```

```

248     req_labels.extend(batch_1[0: req_labels ],)
249     req_labels = []
250     req_pixels = []
251     # Getting the data and labels for Automobile and Horse and assigning labels 0 and 1 to them
252     for i in range(0,len(pixels)):
253         if labels[i] == 1 or labels[i] == 7:
254             if labels[i] == 1:
255                 req_labels.append(0)
256             else:
257                 req_labels.append(1)
258             req_pixels.append(pixels[i][:1024])
259     self.df = pd.DataFrame(req_pixels)
260     self.df['Labels'] = req_labels
261     self.df_training = self.df.sample(frac=0.67,random_state=19230735)
262     self.df_testing = self.df.drop(self.df_training.index)
263     # Running the epoch
264     for j in range(0,200):
265         # Training for all the images using only first 1024 values of the image data
266         for i in range(0,len(self.df_training)):
267             input_matrix = np.array(self.df_training.iloc[i,:1024]).reshape(1024,1)/256
268             input_label = np.array(self.df_training['Labels'].iloc[i])
269             self.feedforward_relu(input_matrix)
270             self.backpropagation_relu(input_label)
271
272     # Author: Harshith Shankar Tarikere Ravikumar
273     # This function classifies the test images from the trained Neural Network for the CIFAR Dataset
274     def predict_cifar_relu(self):
275         predicted = []
276         for i in range(0,len(self.df_testing)):
277             test_inputs = np.array(self.df_testing.iloc[i,:1024]).reshape(1024,1)/256
278             # Setting the threshold to 0.5
279             if self.feedforward_relu(test_inputs) > 0.5:
280                 predicted.append(1)
281             else:
282                 predicted.append(0)
283     df = pd.DataFrame(self.df_testing['Labels'])
284     df['Predicted'] = predicted
285     return df

```

## Testing with the Small Dataset

```
1 # Creating an ANN object of 8 nodes for testing the circles dataset
2 ann = ANN(10)
```

```
1 # Training the circles data
2 ann.training()
```


```
1 # Predicting the test set of the circles data
2 check = ann.predict()
3 check
```



	X0	X1	Class	Predicted
<b>0</b>	0.180647	0.552945	1	1
<b>4</b>	0.488279	-0.341202	1	1
<b>8</b>	1.062641	-0.188767	0	0
<b>11</b>	-0.391233	-0.890878	0	0
<b>15</b>	-0.110299	-1.236740	0	0
...	...	...	...	...
<b>484</b>	-0.496564	-0.271512	1	1
<b>488</b>	-0.996562	-0.142346	0	0
<b>493</b>	0.381416	-0.879070	0	0
<b>495</b>	0.532217	-0.008352	1	1
<b>496</b>	-0.143676	0.854655	0	0

165 rows × 4 columns

```
1 # Accuracy of the Circles Data
2 (sum(check['Class'] == check['Predicted'])/len(check))*100
3
```

 99.39393939393939

## Observations for Small Dataset

- 1) The accuracy of the Neural Network is very high(>90%) with 2/3rd of the data as training data and 1/3rd as testing data
- 2) The Learning rate for this dataset is 0.1
- 3) The whole training data is used 500 times(500 epochs) to get such a good accuracy

## Testing with CIFAR Dataset

```
1 # Creating an ANN object of 512 nodes for testing the CIFAR dataset
2 ann = ANN(512)
```

```
1 ann.training_cifar(0)
```

```
1 # Predciting the test data of the CIFAR data
2 df = ann.predict_cifar()
```

```
1 df
```



	Labels	Predicted
<b>0</b>	0	1
<b>3</b>	1	1
<b>4</b>	0	0
<b>11</b>	0	1
<b>14</b>	0	0
...	...	...
<b>2020</b>	0	0

```

1 # Accuracy of the CIFAR Data
2 df.columns = ['a', 'b']
3 sum(df['a'] == df['b'])/len(df)

```

 0.7734724292101341

## Observations

- 1) Running the epoch 150 times with a learning rate of 0.1
- 2) Using batch\_2 data for training and testing where 2/3rd of data is used as training data and 1/3rd of data is used as testing data
- 3) Using the first 1024 values of the input images i.e, only using the Red band for classification
- 3) ANN performing well, giving a good accuracy of around 77.34%

## Enhancement (By: Shyam Kumar Sodankoor)

The initial random weights do not give a good accuracy. The Artificial Neural Network would give a better result if the weights are initialized with proper values before the Neural Network is trained. One way to achieve this is by pretraining. In pretraining the weights are added by training the neural network with a different dataset to obtain the initialize set of weights.

Batch 1 data is used for pretraining the Neural Network and assigning the weights thus learnt as the initial weights of the Neural Network, which is then trained and tested.


```
1 ann1 = ANN(512)

1 ann1.pre_training_cifar()

1 ann1.training_cifar(1)

1 df = ann1.predict_cifar()

1 df.columns = ['a', 'b']
2 sum(df['a'] == df['b'])/len(df)
```

 0.8330849478390462

## Observations

- 1) The Pretraining is done using the images from batch\_1
- 2) The Weights thus learnt are set as the initial weights of the Neural Network for the interested dataset(batch\_2)
- 3) The Neural Network is trained with a learning rate of 0.1 and with 150 epochs of the training data which is 2/3rd of batch\_2 dataset
- 4) Observing an accuracy of 83.3% which is significant increase from the neural network built without this enhancement

## Enhancement

Author: Harshith Shankar Tarikere Ravikumar

Rectified linear unit (ReLU) is used as activation function in the hidden layer of the neural network as enhancement to the algorithm. Sigmoid is used as activation function at the output layer.

## Rectified linear unit (ReLU)

Rectified linear unit is an activation function used in the neural network.

Blue line in figure 6.0, represents rectified activation function, which is at value 0 when the x-axis value is lesser than or equal to zero (0). When the x-axis value is greater than 0, the line gives corresponding x value.

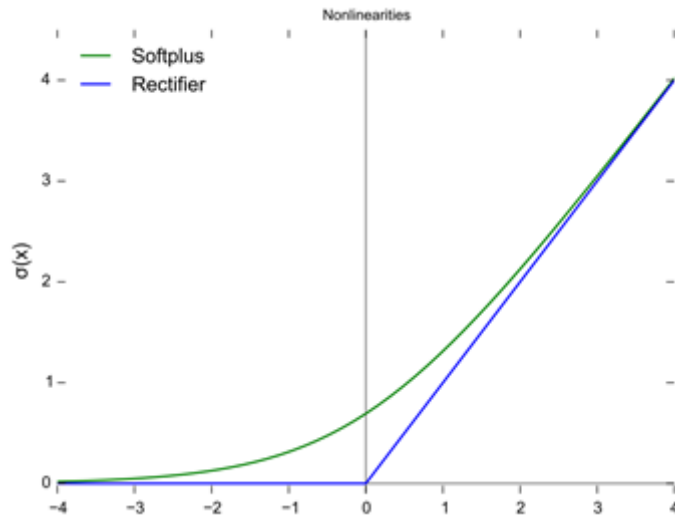


Figure 6.0: ReLU activation function [1]

ReLU activation function is represented as

$$f(x) = \max(0, x) \text{ - Formula 1.0 [2]}$$

where x is an input to the activation function. If the value of x is negative or equal to zero (0) then f(x) is 0. If the value of x is positive then f(x) is the value of x.

Derivative of f:

$f'(x) = 0$  if value of x lesser than zero (0),  $f'(x) = 1$  if the value of x greater than zero (0) and  $f'(x)$  = undefined when x value is equal to zero (0), But in practice  $f'(0) = 0$  is used. [2]

ReLU converges fast due to less computations.

References:

[1] "Rectifier (neural networks)", En.wikipedia.org, 2020. [Online]. Available: [https://en.wikipedia.org/wiki/Rectifier\\_\(neural\\_networks\)](https://en.wikipedia.org/wiki/Rectifier_(neural_networks)). [Accessed: 25- Feb- 2020].

[2] M. Madden, "Topic 3: Deep Learning with Neural Networks", National University of Ireland, Galway, 2020.

```
1 ann_relu = ANN(10)

1 ann_relu.training_cifar_relu()

1 df=ann_relu.predict_cifar_relu()

1 df.columns = ['a', 'b']
2 sum(df['a'] == df['b'])/len(df)

📄 0.7988077496274217
```

## Observations

- 1) ReLU activation function is used in the hidden layer of the neural network.
- 2) The Neural Network is trained with a learning rate of 0.01 and with 200 epochs of the training data which is 2/3rd of batch\_2 dataset.
- 3) Observing an accuracy of around 80% which is significant increase from the neural network built without this enhancement.



