

Deep Learning Assignment 1

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The artificial neural network is inspired by a human brain neuron. The neural network has a core component called perceptron or artificial neuron which is typically activation function and it is connected with weighted input and output. Activation function can be sigmoid function, Tanh function, and ReLU.

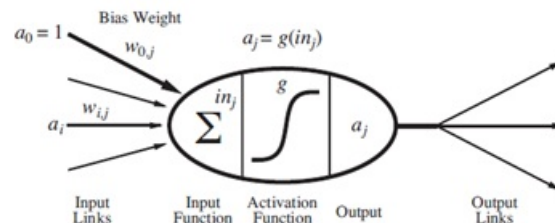


Figure 1.0: Mathematical model for a neuron [1]

Figure 1.0, represents single neuron, which has activation function(g) connected by weighted input ($w_{i,j}$) and input (a_i). Output activation(a_j) is given in figure 2.0

$$a_j = g(in_j) = g\left(\sum_{i=0}^n w_{i,j} a_i\right)$$

Figure 2.0: simple activation function [1]

The neural network has multiple nodes in a layer (Figure 3.0). Each node in the adjacent layers is connected with weight. Node is an activation function which uses weights and input from the previous layer and forwards output to nodes of the following layer.

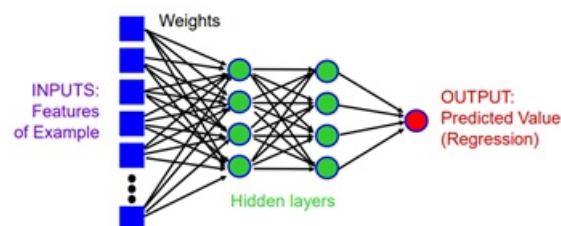


Figure 3.0: Neural Network with 2 hidden layers [2]

Feedforward network can be a) Single-layer perceptron: which is a simple feedforward neural network without any hidden layer (the layer between the input and output layer). b) Multi-layer perceptron: which has one or more hidden layers.

This algorithm has Feed forward and back propagation process which is repeated for each training sample until convergence or specified number of iterations. 1) Feedforward propagation: Initially, weights are randomly initialised. Input from the input layer (1st layer) and weights is given to the activation function (see Figure 2.0) of the following layer. The output of that activation function is forwarded to the next layer as input. This process repeats until the output layer. The output of the activation function at the last layer (output layer) gives the output of the neural network.

2) Backpropagation: Backpropagation is used to adjust the weights at each layer such that the difference between the output of the neural network and actual output is minimum. Updating the weights has 2 stages, one at the output layer and another at the hidden layers. a) At the output layer:

output layer weight adjustment:

$$\begin{aligned} \text{let } W_{j,k} &\leftarrow W_{j,k} + \alpha a_j \Delta_k \quad [\alpha : \text{training rate}] \\ \text{where } \Delta_k &= f'(z_k) \cdot \text{Err}_k \quad [f' : \text{derivative of } f] \end{aligned}$$

Figure 4.0: output layer weight adjustment [2]

From Figure 4.0, Weights at the output layer ($W_{j,k}$) is updated by adding the product of the output of activation function(a_j) and delta at the output layer (Δ_k). Where Δ_k is the product of the derivative function of $f(z_k)$ and error (Err_k - the difference between predicted output and actual output). Where z_k is the summation of weights and input from the activation function of the previous layer.

b) At the hidden layer:

hidden layer weight adjustment:

$$\text{hidden layer weight adjustment:}$$

$$\text{let } W_{i,j} \leftarrow W_{i,j} + \alpha a_i \Delta_j \quad [\text{as above}]$$

$$\text{where } \Delta_j = f'(z_j) \cdot \sum_k W_{j,k} \Delta_k \quad [\text{back-propagated from output layer}]$$

Figure 5.0: Hidden layer weight adjustment [2]

From Figure 5.0, Weights at the hidden layer ($W_{i,j}$) is updated by adding the product of the output of activation function(a_i) and delta at the hidden layer (Δ_j). Where Δ_j is the product of the derivative function of $f(Z_j)$ and summation of weights and delta(Δ_k) of the following layer. Where Z_j is the summation of weights and input from the activation function of the previous layer.

References: [1] S. Russell and P. Norvig, Artificial intelligence. Pearson India Education Services Pvt. Ltd., 2018. [2] M. Madden, "Topic 2: From Logistic Regression to Neural Networks", National University of Ireland, Galway, 2020.

In [0]:

```
import numpy as np
import pandas as pd
from sklearn.model_selection import train_test_split

# ANN class which has the methods for performing classification using Artificial Neural Network
class ANN:
    # Initialization
    def __init__(self, hidden_nodes):
        self.hidden_nodes = hidden_nodes

    # Author : Harshith Shankar Tarikere Ravikumar
    # Sigmoid Function
    def sigmoid(self, X):
        return (1.0 / (1.0 + np.exp(-X)))

    # Author : Shyam Kumar Sodankoor
    # This function randomly assigns weights and bias to the Neural Network
    def randomize(self, output_nodes, input_nodes):
        # Layer 1 weight matrix
        self.w1 = np.random.rand(self.hidden_nodes, input_nodes)
        # Bias of Layer 1
        self.b1 = np.ones((self.hidden_nodes, 1))
        # Layer 2 weights
        self.w2 = np.random.rand(output_nodes, self.hidden_nodes)
        # Bias of layer 2
        self.b2 = np.ones((output_nodes, 1))

    # Author : Harshith Shankar Tarikere Ravikumar
    # This function randomly assigns weights and bias to the Neural Network
    def randomize_new(self, output_nodes, input_nodes):
        # Layer 1 weight matrix, not setting
        self.w1 = np.random.rand(self.hidden_nodes, input_nodes) * 0.0005
        # Bias of Layer 1
        self.b1 = np.ones((self.hidden_nodes, 1))
        # Layer 2 weights
        self.w2 = np.random.rand(output_nodes, self.hidden_nodes) * 0.0005
        # Bias of layer 2
        self.b2 = np.ones((output_nodes, 1))

    # Author: Harshith Shankar Tarikere Ravikumar
    # This function implements the feedforward step of the ANN for a single hidden layer Neural Network
    def feedforward(self, input_matrix):
        # The input matrix
        self.a0 = input_matrix
        # Calculating the input for the activation function for layer 1
        self.z1 = np.dot(self.w1, self.a0) + self.b1
        # Layer 1 hidden nodes values
        self.a1 = self.sigmoid(self.z1)
        # Output of the neural network
        self.z2 = np.dot(self.w2, self.a1) + self.b2
        # Applying sigmoid to the output
        self.a2 = self.sigmoid(self.z2)
        return (self.a2)

    # Author : Shyam Kumar Sodankoor
    # This function implements the backpropagation step of the Neural Network for a single hidden layer
```

```

def backpropagation(self, input_label):
    expect_y = input_label
    learning_rate=0.1
    # Calculating Error
    self.Err_k = self.a2 - expect_y
    # Calculating the delta k which has to be subtracted by the layer 2 weights
    delta_k = (self.sigmoid(self.z2)*(1-self.sigmoid(self.z2))*self.Err_k)
    # Updating Layer 2 weights
    self.w2 = self.w2 - learning_rate*(np.dot(delta_k,self.a1.transpose()))
    # Calculating the delta j which has to be subtracted by the layer 2 weights
    delta_j = self.sigmoid(self.z1)*(1-self.sigmoid(self.z1))*(np.dot(self.w2.T,delta_k))
    # Updating Layer 1 weights
    self.w1 = self.w1 - learning_rate*(np.dot(delta_j,self.a0.transpose()))

# Author : Harshith Shankar Tarikere Ravikumar
# This function trains the Neural Network for the circles data
def training(self):
    # Read Circles Dataset
    dataset = pd.read_csv("circles500.csv")
    # Randomize the weights based on the number of inputs and outputs
    self.randomize(1,2)
    # Divide dataset into training and testing randomly
    self.training = dataset.sample(frac=0.67,random_state=19230735)
    self.testing = dataset.drop(self.training.index)
    # Run epochs 500 times
    for i in range(0,500):
        # Training the Neural Network with all the training data
        for index, row in self.training.iterrows():
            input_matrix = np.array((row['X0'],row['X1'])).reshape(2,1)
            input_label = np.array((row['Class']))
            self.feedforward(input_matrix)
            self.backpropagation(input_label)

# Author: Shyam Kumar Sodankoor
# This function predicts the outputs of the testing data for the circles data
def predict(self):
    predicted = []
    for index, row in self.testing.iterrows():
        test_inputs = np.array((row['X0'],row['X1'])).reshape(2,1)
        # Classifying using 0.5 as the threshold
        if self.feedforward(test_inputs) > 0.5:
            predicted.append(1)
        else:
            predicted.append(0)
    self.testing['Predicted'] = predicted
    return self.testing

# This function is used to unpickle. This function taken from the CIFAR website
def unpickle(self,file):
    import pickle
    with open(file, 'rb') as fo:
        dict = pickle.load(fo, encoding='bytes')
    return dict

# Author: Shyam Kumar Sodankoor
# This function trains the Neural Network for the CIFAR Dataset. If pt = 1 then the Neural Network
# is pretrained using batch_1
def training_cifar(self,pt):
    # Randomize the weights based on the number of inputs and outputs
    if pt == 0:
        self.randomize_new(1,1024)
    # Using both batch 1 and batch 2 for training
    batch_2=self.unpickle("data_batch_2")
    # Getting the required image data from the batches
    pixels = batch_2[b'data']
    # Getting the required labels from the batches
    labels = batch_2[b'labels']
    #labels.extend(batch_1[b'labels'])
    req_labels = []
    req_pixels = []
    # Getting the data and labels for Automobile and Horse and assigning labels 0 and 1 to them
    for i in range(0,len(pixels)):
        if labels[i] == 1 or labels[i] == 7:
            if labels[i] == 1:
                req_labels.append(0)
            else:
                req_labels.append(1)

```

```

        req_pixels.append(pixels[i][:1024])
    # Creating a dataframe of the labels and features(pixel values of Red band)
    self.df = pd.DataFrame(req_pixels)
    self.df['Labels'] = req_labels
    # Dividing the data into training and testing data
    self.df_training = self.df.sample(frac=0.67, random_state=19230735)
    self.df_testing = self.df.drop(self.df_training.index)
    # Running the epoch for 150 times
    for j in range(0,150):
        # Training for all the images using only first 1024 values of the image data
        for i in range(0,len(self.df_training)):
            # Converting data into a matrix and running feedforward and backpropagation
            input_matrix = np.array(self.df_training.iloc[i,:1024]).reshape(1024,1)/256
            input_label = np.array(self.df_training['Labels'].iloc[i])
            self.feedforward(input_matrix)
            self.backpropagation(input_label)

# Author : Harshith Shankar Tarikere Ravikumar
# This function classifies the test images from the trained Neural Network for the CIFAR Dataset
def predict_cifar(self):
    predicted = []
    for i in range(0,len(self.df_testing)):
        test_inputs = np.array(self.df_testing.iloc[i,:1024]).reshape(1024,1)/256
        # Setting the threshold to 0.5
        if self.feedforward(test_inputs) > 0.5:
            predicted.append(1)
        else:
            predicted.append(0)
    df = pd.DataFrame(self.df_testing['Labels'])
    df['Predicted'] = predicted
    return df

# Author : Shyam Kumar Sodankoor
# Pretraining the weights with the images from a different batch
def pre_training_cifar(self):
    # Randomize the weights based on the number of inputs and outputs
    self.randomize_new(1,1024)
    # Using both batch 1 and batch 2 for training
    batch_2=self.unpickle("data_batch_1")
    # Getting the required image data from the batches
    pixels = batch_2[b'data']
    # Getting the required labels from the batches
    labels = batch_2[b'labels']
    #labels.extend(batch_1[b'labels'])
    req_labels = []
    req_pixels = []
    # Getting the data and labels for Automobile and Horse and assigning labels 0 and 1 to them
    for i in range(0,len(pixels)):
        if labels[i] == 1 or labels[i] == 7:
            if labels[i] == 1:
                req_labels.append(0)
            else:
                req_labels.append(1)
            req_pixels.append(pixels[i][:1024])
    self.df = pd.DataFrame(req_pixels)
    self.df['Labels'] = req_labels
    # Running the epoch for 100 times
    for j in range(0,150):
        # Training for all the images using only first 1024 values of the image data
        for i in range(0,len(self.df)):
            input_matrix = np.array(self.df.iloc[i,:1024]).reshape(1024,1)/256
            input_label = np.array(self.df['Labels'].iloc[i])
            self.feedforward(input_matrix)
            self.backpropagation(input_label)

# Author : Harshith Shankar Tarikere Ravikumar
# ReLu feedforward
def feedforward_relu(self, input_matrix):
    # The input matrix
    self.a0=input_matrix

    # Calculating the input for the activation function for layer 1
    self.z1=np.dot(self.w1,self.a0)+self.b1

    # Layer 1 hidden nodes values
    self.deriva = self.z1 > 0
    self.a1 = self.z1 * self.deriva

```

```

# Output of the neural network
self.z2=np.dot(self.w2,self.a1) + self.b2

# Applying sigmoid to the output
self.a2=self.sigmoid(self.z2)

return (self.a2)

# Author : Harshith Shankar Tarikere Ravikumar
# Relu backpropagation
def backpropagation_relu(self, input_label):
    expect_y = input_label
    learning_rate=0.01
    self.Err_k = self.a2 - expect_y

    # here stochastic gradient descent is used.
    # sigmoid output layer
    delta_k = (self.sigmoid(self.z2)*(1-self.sigmoid(self.z2))*self.Err_k)
    self.w2 = self.w2 - learning_rate*(np.dot(delta_k,self.a1.transpose()))

    # ReLU hidden layer
    delta_j = self.deriva * (np.dot(self.w2.T,delta_k))
    self.w1 = self.w1 - learning_rate*(np.dot(delta_j,self.a0.transpose()))

# Author: Harshith Shankar Tarikere Ravikumar
# This function trains the Neural Network for the CIFAR Dataset.
def training_cifar_relu(self):
    # Randomize the weights based on the number of inputs and outputs
    self.randomize_new(1,1024)
    # Using both batch 1 and batch 2 for training
    batch_2=self.unpickle("data_batch_2")
    # Getting the required image data from the batches
    pixels = batch_2[b'data']
    # Getting the required labels from the batches
    labels = batch_2[b'labels']
    #labels.extend(batch_1[b'labels'])
    req_labels = []
    req_pixels = []
    # Getting the data and labels for Automobile and Horse and assigning labels 0 and 1 to them
    for i in range(0,len(pixels)):
        if labels[i] == 1 or labels[i] == 7:
            if labels[i] == 1:
                req_labels.append(0)
            else:
                req_labels.append(1)
            req_pixels.append(pixels[i][:1024])
    self.df = pd.DataFrame(req_pixels)
    self.df['Labels'] = req_labels
    self.df_training = self.df.sample(frac=0.67,random_state=19230735)
    self.df_testing = self.df.drop(self.df_training.index)
    # Running the epoch
    for j in range(0,200):
        # Training for all the images using only first 1024 values of the image data
        for i in range(0,len(self.df_training)):
            input_matrix = np.array(self.df_training.iloc[i,:1024]).reshape(1024,1)/256
            input_label = np.array(self.df_training['Labels'].iloc[i])
            self.feedforward_relu(input_matrix)
            self.backpropagation_relu(input_label)

# Author: Harshith Shankar Tarikere Ravikumar
# This function classifies the test images from the trained Neural Network for the CIFAR Dataset
def predict_cifar_relu(self):
    predicted = []
    for i in range(0,len(self.df_testing)):
        test_inputs = np.array(self.df_testing.iloc[i,:1024]).reshape(1024,1)/256
        # Setting the threshold to 0.5
        if self.feedforward_relu(test_inputs) > 0.5:
            predicted.append(1)
        else:
            predicted.append(0)
    df = pd.DataFrame(self.df_testing['Labels'])
    df['Predicted'] = predicted
    return df

```

Testing with the Small Dataset

In [0]:

```
# Creating an ANN object of 8 nodes for testing the circles dataset
ann = ANN(10)
```

In [0]:

```
# Training the circles data
ann.training()
```

In [0]:

```
# Predicting the test set of the circles data
check = ann.predict()
check
```

Out[0]:

	X0	X1	Class	Predicted
0	0.180647	0.552945	1	1
4	0.488279	-0.341202	1	1
8	1.062641	-0.188767	0	0
11	-0.391233	-0.890878	0	0
15	-0.110299	-1.236740	0	0
...
484	-0.496564	-0.271512	1	1
488	-0.996562	-0.142346	0	0
493	0.381416	-0.879070	0	0
495	0.532217	-0.008352	1	1
496	-0.143676	0.854655	0	0

165 rows × 4 columns

In [0]:

```
# Accuracy of the Circles Data
(sum(check['Class'] == check['Predicted'])/len(check))*100
```

Out[0]:

99.39393939393939

Observations for Small Dataset

- 1) The accuracy of the Neural Network is very high(>90%) with 2/3rd of the data as training data and 1/3rd as testing data
- 2) The Learning rate for this dataset is 0.1
- 3) The whole training data is used 500 times(500 epochs) to get such a good accuracy

Testing with CIFAR Dataset

In [0]:

```
# Creating an ANN object of 512 nodes for testing the CIFAR dataset
ann = ANN(512)
```

In [0]:

```
ann.training_cifar(0)
```

In [0]:

```
# Predicting the test data of the CIFAR data
df = ann.predict_cifar()
```

In [0]:

df

Out[0]:

	Labels	Predicted
0	0	1
3	1	1
4	0	0
11	0	1
14	0	0
...
2020	0	0
2021	1	1
2023	1	1
2028	1	1
2031	0	0

671 rows × 2 columns

In [0]:

```
# Accuracy of the CIFAR Data
df.columns = ['a', 'b']
sum(df['a'] == df['b'])/len(df)
```

Out[0]:

0.7734724292101341

Observations

- 1) Running the epoch 150 times with a learning rate of 0.1
- 2) Using batch_2 data for training and testing where 2/3rd of data is used as training data and 1/3rd of data is used as testing data
- 3) Using the first 1024 values of the input images i.e, only using the Red band for classification
- 3) ANN performing well, giving a good accuracy of around 77.34%

Enhancement (By: Shyam Kumar Sodankoor)

The initial random weights do not give a good accuracy. The Artificial Neural Network would give a better result if the weights are initialized with proper values before the Neural Network is trained. One way to achieve this is by pretraining. In pretraining the weights are added by training the neural network with a different dataset to obtain the initialize set of weights.

Batch 1 data is used for pretraining the Neural Network and assigning the weights thus learnt as the initial weights of the Neural Network, which is then trained and tested.

In [0]:

```
ann1 = ANN(512)
```

In [0]:

```
ann1.pre_training_cifar()
```

In [0]:

```
ann1.training_cifar(1)
```

In [0]:

```
df = ann1.predict_cifar()
```

In [0]:

```
df.columns = ['a', 'b']  
sum(df['a'] == df['b'])/len(df)
```

Out[0]:

0.8330849478390462

Observations

- 1) The Pretraining is done using the images from batch_1
- 2) The Weights thus learnt are set as the initial weights of the Neural Network for the interested dataset(batch_2)
- 3) The Neural Network is trained with a learning rate of 0.1 and with 150 epochs of the training data which is 2/3rd of batch_2 dataset
- 4) Observing an accuracy of 83.3% which is significant increase from the neural network built without this enhancement

Enhancement

Author: Harshith Shankar Tarikere Ravikumar

Rectified linear unit (ReLU) is used as activation function in the hidden layer of the neural network as enhancement to the algorithm. Sigmoid is used as activation function at the output layer.

Rectified linear unit (ReLU)

Rectified linear unit is an activation function used in the neural network.

Blue line in figure 6.0, represents rectified activation function, which is at value 0 when the x-axis value is lesser than or equal to zero (0). When the x-axis value is greater than 0, the line gives corresponding x value.

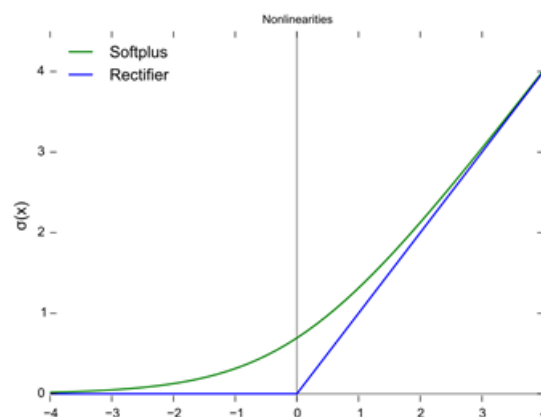


Figure 6.0: ReLU activation function [1]

ReLU activation function is represented as

$f(x) = \max(0, x)$ - Formula 1.0 [2]

where x is an input to the activation function. If the value of x is negative or equal to zero (0) then f(x) is 0. If the value of x is positive then f(x) is the value of x.

Derivative of f:

$f'(x) = 0$ if value of x lesser than zero (0), $f'(x) = 1$ if the value of x greater than zero (0) and $f'(x)$ is undefined when x value is equal to zero (0), But in practice $f'(0) = 0$ is used. [2]

ReLU converges fast due to less computations.

References:

[1] "Rectifier (neural networks)", En.wikipedia.org, 2020. [Online]. Available: [https://en.wikipedia.org/wiki/Rectifier_\(neural_networks\)](https://en.wikipedia.org/wiki/Rectifier_(neural_networks)). [Accessed: 25- Feb- 2020].

[2] M. Madden, "Topic 3: Deep Learning with Neural Networks", National University of Ireland, Galway, 2020.

In [0]:

```
ann_relu = ANN(10)
```

In [0]:

```
ann_relu.training_cifar_relu()
```

In [0]:

```
df=ann_relu.predict_cifar_relu()
```

In [100]:

```
df.columns = ['a', 'b']  
sum(df['a'] == df['b'])/len(df)
```

Out[100]:

```
0.7988077496274217
```

Observations

- 1) ReLU activation function is used in the hidden layer of the neural network.
- 2) The Neural Network is trained with a learning rate of 0.01 and with 200 epochs of the training data which is 2/3rd of batch_2 dataset.
- 3) Observing an accuracy of around 80% which is significant increase from the neural network built without this enhancement.