

COL 352 Introduction to Automata and Theory of Computation

Nikhil Balaji

Bharti 420
Indian Institute of Technology, Delhi
nbalaji@cse.iitd.ac.in

April 30, 2023

Lecture 34: Computational Complexity Theory (Part 3)

Recap

- ▶ Time complexity

Recap

- ▶ Time complexity
- ▶ $\text{TIME}(n)$, $\text{NTIME}(n)$

Recap

- ▶ Time complexity
- ▶ $\text{TIME}(n)$, $\text{NTIME}(n)$
- ▶ Verifier algorithms

Recap

- ▶ Time complexity
- ▶ $\text{TIME}(n)$, $\text{NTIME}(n)$
- ▶ Verifier algorithms
- ▶ Examples: SAT, 3-SAT, k-Clique, Subset Sum.

Recap

- ▶ Time complexity
- ▶ $\text{TIME}(n)$, $\text{NTIME}(n)$
- ▶ Verifier algorithms
- ▶ Examples: SAT, 3-SAT, k-Clique, Subset Sum.
- ▶ P, NP, coNP, EXP, NEXP

Recap

- ▶ Time complexity
- ▶ $\text{TIME}(n)$, $\text{NTIME}(n)$
- ▶ Verifier algorithms
- ▶ Examples: SAT, 3-SAT, k-Clique, Subset Sum.
- ▶ P, NP, coNP, EXP, NEXP
- ▶ Hierarchy theorems

Recap

- ▶ Time complexity
- ▶ $\text{TIME}(n)$, $\text{NTIME}(n)$
- ▶ Verifier algorithms
- ▶ Examples: SAT, 3-SAT, k-Clique, Subset Sum.
- ▶ P, NP, coNP, EXP, NEXP
- ▶ Hierarchy theorems
- ▶ Today: NP-completeness

Polynomial time reductions and NP-hardness

Definition

A language L is said to be NP-hard if for every language $L' \in \text{NP}$, there is a polynomial time reduction such that $L' \leq_m L$.

Polynomial time reductions and NP-hardness

Definition

A language L is said to be NP-hard if for every language $L' \in \text{NP}$, there is a polynomial time reduction such that $L' \leq_m L$.

Definition

A language L is said to be NP-complete if the following two conditions hold:

Polynomial time reductions and NP-hardness

Definition

A language L is said to be NP-hard if for every language $L' \in \text{NP}$, there is a polynomial time reduction such that $L' \leq_m L$.

Definition

A language L is said to be NP-complete if the following two conditions hold:

L is in NP.

Polynomial time reductions and NP-hardness

Definition

A language L is said to be NP-hard if for every language $L' \in \text{NP}$, there is a polynomial time reduction such that $L' \leq_m L$.

Definition

A language L is said to be NP-complete if the following two conditions hold:

L is in NP.

L is NP-hard.

Theorem

3-SAT is polynomial time reducible to k -Clique.

$$\phi = (a_1 \vee b_1 \vee c_1) \wedge \dots (a_k \vee b_k \vee c_k)$$

Polynomial time reductions and NP-hardness

Definition

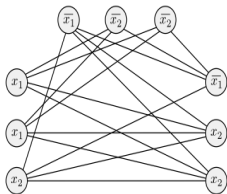
A language L is said to be NP-hard if for every language $L' \in \text{NP}$, there is a polynomial time reduction such that $L' \leq_m L$.

Definition

A language L is said to be NP-complete if the following two conditions hold:

L is in NP.

L is NP-hard.



Polynomial time reductions and NP-hardness

Definition

A language L is said to be NP-hard if for every language $L' \in \text{NP}$, there is a polynomial time reduction such that $L' \leq_m L$.

Definition

A language L is said to be NP-complete if the following two conditions hold:

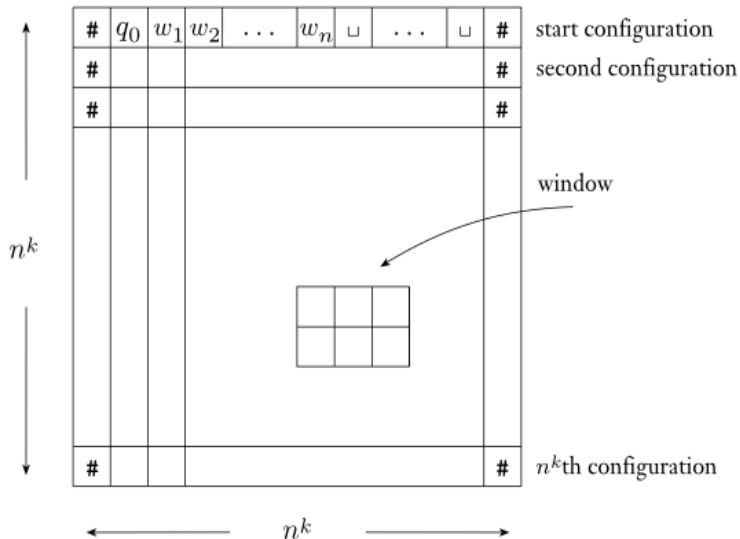
L is in NP.

L is NP-hard.

Theorem ([Cook-Levin, 1970])

SAT is NP-complete. If L is NP-complete and $L \in P$ then, $P = \text{NP}$.

Proof sketch



Proof sketch

- ▶ Let $Q := \text{States}$, $\Gamma := \text{Tape alphabet}$. $C = Q \cup \Gamma \cup \{\#\}$
- ▶ Variables: $\forall i, j \in [n^k], k \in C, \quad x_{i,j,s}$

Proof sketch

- ▶ Let $Q := \text{States}$, $\Gamma := \text{Tape alphabet}$. $C = Q \cup \Gamma \cup \{\#\}$
- ▶ Variables: $\forall i, j \in [n^k], k \in C, \quad x_{i,j,s}$
- ▶ $cell[i, j]$: If $x_{i,j,s} = 1$, $cell[i, j] = s$.

Proof sketch

- ▶ Let $Q := \text{States}$, $\Gamma := \text{Tape alphabet}$. $C = Q \cup \Gamma \cup \{\#\}$
- ▶ Variables: $\forall i, j \in [n^k], k \in C, \quad x_{i,j,s}$
- ▶ $cell[i, j]$: If $x_{i,j,s} = 1$, $cell[i, j] = s$.
- ▶ $\phi = \phi_{cell} \wedge \phi_{start} \wedge \phi_{move} \wedge \phi_{accept}$

Proof sketch

- ▶ Let $Q := \text{States}$, $\Gamma := \text{Tape alphabet}$. $C = Q \cup \Gamma \cup \{\#\}$
- ▶ Variables: $\forall i, j \in [n^k], k \in C, \quad x_{i,j,s}$
- ▶ $cell[i, j]$: If $x_{i,j,s} = 1$, $cell[i, j] = s$.
- ▶ $\phi = \phi_{cell} \wedge \phi_{start} \wedge \phi_{move} \wedge \phi_{accept}$

$$\phi_{cell} = \bigwedge_{1 \leq i, j \leq n^k} ((\bigvee_{s \in C} x_{i,j,s}) \wedge (\bigwedge_{s, t \in C, s \neq t} \overline{x_{i,j,s}} \vee \overline{x_{i,j,t}}))$$

Proof sketch

- ▶ Let $Q := \text{States}$, $\Gamma := \text{Tape alphabet}$. $C = Q \cup \Gamma \cup \{\#\}$
- ▶ Variables: $\forall i, j \in [n^k], k \in C, x_{i,j,s}$
- ▶ $cell[i, j]$: If $x_{i,j,s} = 1$, $cell[i, j] = s$.
- ▶ $\phi = \phi_{cell} \wedge \phi_{start} \wedge \phi_{move} \wedge \phi_{accept}$

$$\phi_{cell} = \bigwedge_{1 \leq i, j \leq n^k} ((\bigvee_{s \in C} x_{i,j,s}) \wedge (\bigwedge_{s, t \in C, s \neq t} \overline{x_{i,j,s}} \vee \overline{x_{i,j,t}}))$$

$$\phi_{start} = x_{1,1,\#} \wedge x_{1,2,q_0} \wedge \dots \wedge x_{1,3,w_1} \wedge \dots \wedge x_{1,n^k,\#}$$

Proof sketch

- ▶ Let $Q := \text{States}$, $\Gamma := \text{Tape alphabet}$. $C = Q \cup \Gamma \cup \{\#\}$
- ▶ Variables: $\forall i, j \in [n^k], k \in C, \quad x_{i,j,s}$
- ▶ $\text{cell}[i, j]$: If $x_{i,j,s} = 1, \text{cell}[i, j] = s$.
- ▶ $\phi = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$

$$\phi_{\text{cell}} = \bigwedge_{1 \leq i, j \leq n^k} ((\bigvee_{s \in C} x_{i,j,s}) \wedge (\bigwedge_{s, t \in C, s \neq t} \overline{x_{i,j,s}} \vee \overline{x_{i,j,t}}))$$

$$\phi_{\text{start}} = x_{1,1,\#} \wedge x_{1,2,q_0} \wedge \dots \wedge x_{1,3,w_1} \wedge \dots \wedge x_{1,n^k,\#}$$

$$\phi_{\text{accept}} = \bigvee_{1 \leq i, j \leq n^k} x_{i,j,q_{\text{accept}}}$$

Proof sketch

- ▶ Let $Q := \text{States}$, $\Gamma := \text{Tape alphabet}$. $C = Q \cup \Gamma \cup \{\#\}$
- ▶ Variables: $\forall i, j \in [n^k], k \in C, \quad x_{i,j,s}$
- ▶ $\text{cell}[i, j]$: If $x_{i,j,s} = 1$, $\text{cell}[i, j] = s$.
- ▶ $\phi = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$

$$\phi_{\text{cell}} = \bigwedge_{1 \leq i, j \leq n^k} \left(\left(\bigvee_{s \in C} x_{i,j,s} \right) \wedge \left(\bigwedge_{s, t \in C, s \neq t} \overline{x_{i,j,s}} \vee \overline{x_{i,j,t}} \right) \right)$$

$$\phi_{\text{start}} = x_{1,1,\#} \wedge x_{1,2,q_0} \wedge \dots \wedge x_{1,3,w_1} \wedge \dots \wedge x_{1,n^k,\#}$$

$$\phi_{\text{accept}} = \bigvee_{1 \leq i, j \leq n^k} x_{i,j,q_{\text{accept}}}$$

$$\phi_{\text{move}} = \bigwedge_{1 \leq i < n^k, 1 \leq j < n^k} \text{the } (i,j) \text{ window is legal}$$

Proof sketch

- ▶ Let $Q := \text{States}$, $\Gamma := \text{Tape alphabet}$. $C = Q \cup \Gamma \cup \{\#\}$
- ▶ Variables: $\forall i, j \in [n^k], k \in C, \quad x_{i,j,s}$
- ▶ $\text{cell}[i, j]$: If $x_{i,j,s} = 1$, $\text{cell}[i, j] = s$.
- ▶ $\phi = \phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{move}} \wedge \phi_{\text{accept}}$

$$\phi_{\text{cell}} = \bigwedge_{1 \leq i, j \leq n^k} \left(\left(\bigvee_{s \in C} x_{i,j,s} \right) \wedge \left(\bigwedge_{s, t \in C, s \neq t} \overline{x_{i,j,s}} \vee \overline{x_{i,j,t}} \right) \right)$$

$$\phi_{\text{start}} = x_{1,1,\#} \wedge x_{1,2,q_0} \wedge \dots \wedge x_{1,3,w_1} \wedge \dots \wedge x_{1,n^k,\#}$$

$$\phi_{\text{accept}} = \bigvee_{1 \leq i, j \leq n^k} x_{i,j,q_{\text{accept}}}$$

$$\phi_{\text{move}} = \bigwedge_{1 \leq i < n^k, 1 \leq j < n^k} \text{the } (i,j) \text{ window is legal}$$