

Lec 8: Static Scope & Tail recursion

- Names
- Variables
- The Concept of Binding
- Scope
- Tail recursion → Iteration
 - factorial
 - sum of $f(n)$
 - Fibonacci
 - Euclids GCD

Variables

- A variable is an abstraction of a memory cell
- Variables can be characterized as a sextuple of attributes:
 - Name
 - Address
 - Value
 - Type
 - Lifetime
 - Scope

Variables Attributes

- Name – not all variables have them
- Address – the memory address with which it is associated
 - A variable may have different addresses at different times during execution
- Type – determines the range of values of variables and the set of operations that are defined for values of that type;
- Value – the contents of the location with which the variable is associated

Static Scope

- Based on program text —

Example 3.15 Consider the following indefinite integral

$$\int_0^z \left(\int_0^y f(x) dx + \int_0^y g(u) du \right) dy$$

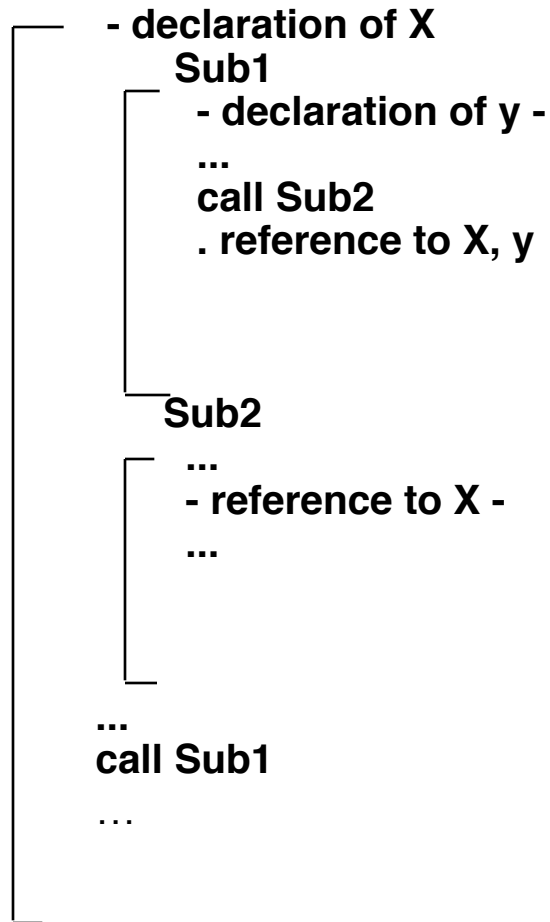
It contains as *free* the names z , f and g . The other names x , u and y are *bound*. The scopes of the *bound* variables are shown below.

$$\underbrace{\int_0^z \left(\underbrace{\int_0^y f(x) dx}_x + \underbrace{\int_0^y g(u) du}_u \right)}_y dy$$

- To connect a name reference to a variable, the sml interpreter must find the declaration
- Search process: search declarations, first locally, then in increasingly larger enclosing scopes, until one is found for the given name

Scope Example

Big



Big calls Sub1

Sub1 calls Sub2

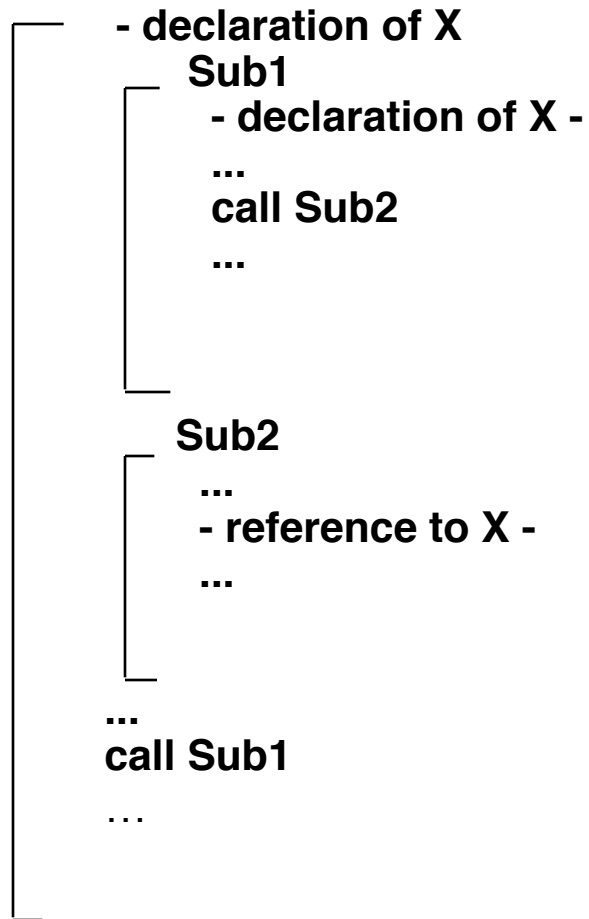
Sub2 uses X

Scope (continued)

- Variables can be hidden (shadowed) from a unit by having a variable with the same name

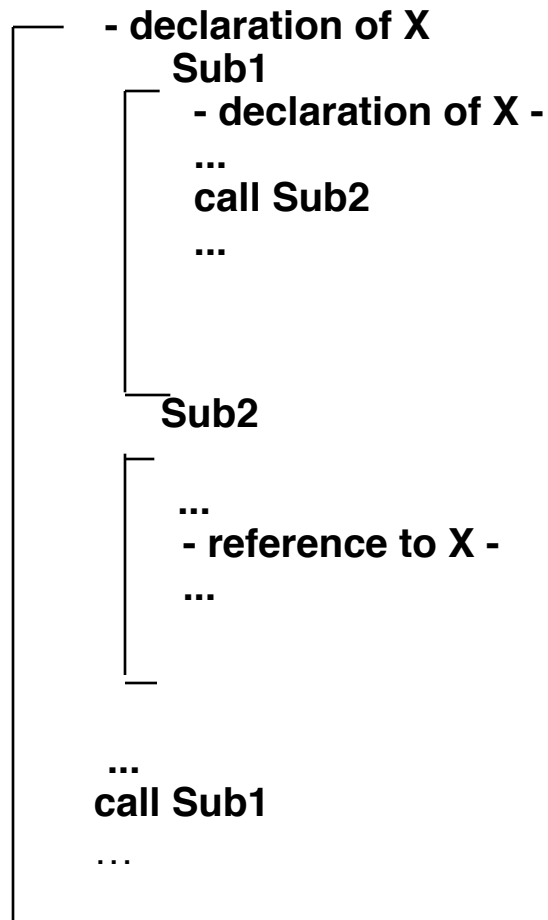
Scope Example

Big



Scope Example

Big



- Reference to X is to Big's X

Scope Example

```
fun perfect (n) =  
  let fun add_factors (n) =  
    let fun f (i) =  
      if n mod i = 0 then i  
      else 0;  
      fun sum (a, b) =  
        if a > b then 0  
        else f(b) + sum (a, b-1);  
      in sum (1, n div 2)  
    end;  
  in n = add_factors (n)  
end;
```

Example 3.16 Now consider the complete ML code of Example 3.13 (perfect numbers).

- The name `perfect` is bound and has a scope which extends beyond the definition.
- The name `add-factors` is bound and has a scope which begins with its definition and extends right up to the end of the definition of `perfect (n)` but no further.
- Similarly the name `f` is bound and has a scope that extends up to the end of the definition of `add-factors` and no further. The name `sum` also has a scope similar to that of `f`.
- The variables `a` and `b` are bound and have scopes beginning at their first occurrence in the definition of `sum` and ending with the same definition.

Fibonacci numbers

$$\begin{aligned} F_1 &= 1, \\ F_2 &= 1, \\ F_n &= F_{n-1} + F_{n-2} \text{ for all } n \geq 3. \end{aligned}$$

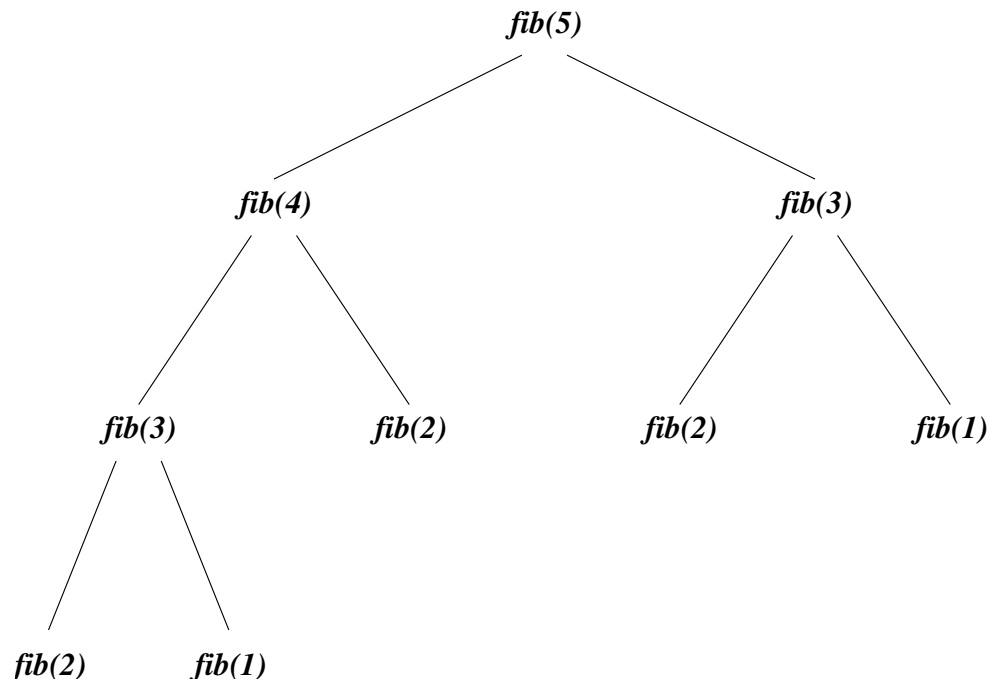
Naive algorithm:

$$fib(n) = \begin{cases} 1 & \text{if } n \leq 2, \\ fib(n-1) + fib(n-2) & \text{otherwise.} \end{cases}$$

$$\begin{aligned} F_1 &= 1 \\ F_2 &= 1 \\ F_3 &= 2 \\ F_4 &= 3 \\ F_5 &= 5 \\ F_6 &= 8 \\ F_7 &= 13 \\ F_8 &= 21 \\ &\vdots \end{aligned}$$

Why does it turn out to be so slow?

$$\text{fib}(n) = \begin{cases} 1 & \text{if } n \leq 2, \\ \text{fib}(n-1) + \text{fib}(n-2) & \text{otherwise.} \end{cases}$$



Example: *factorial*

$$factorial(n) = \begin{cases} 1 & \text{if } n = 0, \\ factorial(n - 1) \times n & \text{otherwise} \end{cases}$$

$$\begin{aligned} factorial(3) &= factorial(2) \times 3 \\ &= (factorial(1) \times 2) \times 3 \\ &= ((factorial(0) \times 1) \times 2) \times 3 \\ &= ((1 \times 1) \times 2) \times 3 \\ &= (1 \times 2) \times 3 \\ &= 2 \times 3 \\ &= 6 \end{aligned}$$

Suppose each multiplication takes the same amount of time.

(True when multiplying ints!)

Total time

\propto number of multiplications

= ?

$$factorial(n) = \begin{cases} 1 & \text{if } n = 0, \\ factorial(n - 1) \times n & \text{otherwise} \end{cases}$$

We also need space to:

- keep track of deferred operations
- or, stack up frames for function calls

Similarly, show that #frames = $n + 1$:
space complexity

$$\begin{aligned} & factorial(3) \\ &= factorial(2) \times 3 \\ &= (factorial(1) \times 2) \times 3 \\ &= ((factorial(0) \times 1) \times 2) \times 3 \\ &= ((1 \times 1) \times 2) \times 3 \\ &= (1 \times 2) \times 3 \\ &= 2 \times 3 \\ &= 6 \end{aligned}$$

factorial(3)

factorial(2)

factorial(1)

factorial(0)

Iterative Process

- Represent state of the computation with auxiliary variables and maintain some key invariant property with the variables
 - `count=1, product=1, target=n=6`
 - `count=2, product=2, target=n`
 - `count=3 product=6, target=n`
 -
 - `count=6 product=720, target=6`
 - `>>>> count==target result=product=720`
- Obtain the final result from final state of these variables

Tail Recursion

$\langle \text{Iterative factorial} \rangle \equiv$

```
fun factorial (n) =  
  let  $\langle \text{Code for fact\_iter} \rangle$   
  in fact_iter (n, 1, 0)  
  end;
```

$\langle \text{Code for fact_iter} \rangle \equiv$

```
fun fact_iter (m, f, c) =  
  if c=m then f  
  else fact_iter (m, f*(c+1), c+1);
```

$\text{factorial}(5)$

$= \text{fact_iter}(5, 1, 0)$
 $= \text{fact_iter}(5, 1, 1)$
 $= \text{fact_iter}(5, 2, 2)$
 $= \text{fact_iter}(5, 6, 3)$
 $= \text{fact_iter}(5, 24, 4)$
 $= \text{fact_iter}(5, 120, 5)$
 $= 120$

- Proof of Correctness?
 - Induction based on the invariant condition

Example – Summation of a function

Iterative computation of $\sum_a^b f(n)$

$$\text{sum}(a, b) = \text{sum_iter}(a, b, 0)$$

where, the auxiliary function $\text{sum_iter} : \mathbb{N} \times \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ is given as

$$\begin{aligned} &\text{sum_iter}(c, c_f, s) \\ &= \begin{cases} s & \text{if } c = c_f + 1 \\ \text{sum_iter}(c + 1, c_f, s + f(c)) & \text{otherwise} \end{cases} \end{aligned}$$

```
 $\langle \text{Iterative sum} \rangle \equiv$   
fun sum (a, b) =  
  let  $\langle \text{Code for sum\_iter} \rangle$   
  in sum_iter (a, b, 0)  
end;
```

```
 $\langle \text{Code for sum\_iter} \rangle \equiv$   
fun sum_iter (c, cf, s) =  
  if c = cf+1 then s  
  else sum_iter (c+1, cf, s + f(c));
```

»

Iterative Process – Fibonacci

- Represent state of the computation at each stage in terms of some auxiliary variables.
- Maintain a key invariant property
 - eg for Fibonacci —
 - count, a, b ($a = \text{fib}[\text{count}-1]$, $b = \text{fib}[\text{count}-2]$)
- Obtain the final result from the final state of these variables
 - i.e when $\text{count} = n$ $\text{fib}[n] = a + b$

Iterative Fibonacci

$$(n \geq 3) \wedge (3 \leq count \leq n) \wedge (a = fib(count - 2)) \wedge (b = fib(count - 1))$$

Then, when $count = n$, the process may terminate and we may obtain the value $a + b = fib(count - 2) + fib(count - 1) = fib(n)$ as the final answer. An algorithm based on this invariant condition can be described as

$$fib(n) = \begin{cases} 1 & \text{if } n = 1 \\ 1 & \text{if } n = 2 \\ fib_iter(n, 1, 1, 3) & \text{otherwise} \end{cases}$$

where $fib_iter(n, a, b, count) : \mathbb{P} \times \mathbb{P} \times \mathbb{P} \times \mathbb{P} \rightarrow \mathbb{P}$ is an auxiliary function defined as

$$fib_iter(n, a, b, count) = \begin{cases} a + b & \text{if } count = n \\ fib_iter(n, b, a + b, count + 1) & \text{otherwise} \end{cases}$$

$\langle \text{Iterative Fibonacci} \rangle \equiv$

```
fun fib (n) =  
  let  $\langle \text{Code for fib\_iter} \rangle$   
  in if n <= 2 then 1  
     else fib_iter (n, 1, 1, 3)  
  end;
```

$\langle \text{Code for fib_iter} \rangle \equiv$

```
fun fib_iter (n, a, b, count) =  
  if count = n then a+b  
  else fib_iter (n, b, a+b, count+1);
```

GCD (Euclid)

- » Greatest Common Divisor (also known as Highest Common Factor) of numbers a, b
- » $\gcd(a, b)$ use the property
 - » **Claim:** If $a = qb + r$, $0 < r < b$, then $\gcd(a, b) = \gcd(b, r)$
- » Euclids Algorithm
 - »
$$\text{Euclid_gcd}(a, b) = \begin{cases} a & \text{if } b = 0 \\ \text{Euclid_gcd}(b, (a \bmod b)) & \text{otherwise} \end{cases}$$