

MLL 100

# Introduction to Materials Science and Engineering

*TWF 10:00-11:00*

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IIT Delhi

Department of Materials Science and Engineering

January 04, 2022

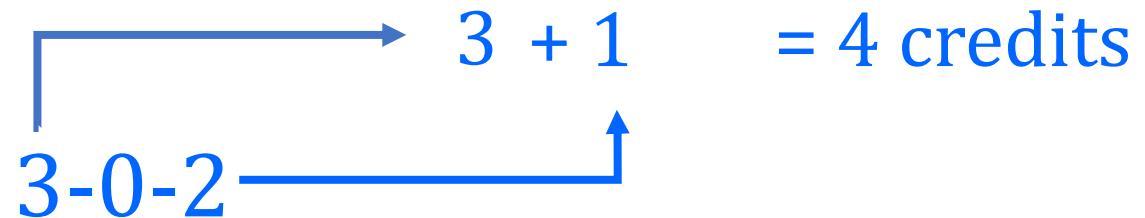
# Lecture 1: Introduction

## Course Policy

- Evaluation scheme (Grading)
- Attendance Policy
- Suggested Readings
- Introductory lecture

# COURSE POLICY

# Evaluation scheme



<b>Laboratory session</b> (Demonstration/Take-home assignment)	25%
Total experiments: 8)	

<b>Quiz</b> (Moodle)	25%
Total: 4)	

<b>Minor Exam</b> (Moodle)	25%

<b>Major Exam</b> (Moodle)	25%

<b>Laboratory session (Demonstration/Take-home assignment)</b>	<b>25%</b>
<b>Total experiments: 8</b>	

- Total lab experiments: 8
- **Each take-home lab experiment will be uploaded on Moodle on Friday at 5:00 p.m. and will be due by 5:00 p.m. on Friday the week after.**
- **NO LATE SUBMISSIONS WILL BE ENTERTAINED.** Zero marks will be given for late submissions.
- All the take home assignments and lab sheets should be submitted in Moodle. Clear instructions will be given. If you violate instructions, marks will be deducted accordingly.
- Any query related to grading of lab experiments and take home assignments should be asked to the corresponding TAs.
- Any kind of cheating or unfair means during the exams (Quiz, minor, major) may lead to strong disciplinary action which may result **FAILING** in the entire course.

**Quiz  
(Moodle  
Total: 4)**

**25%**

- On Moodle, with minimum 1 day notice.
- Each quiz will be of *20 minutes* duration, held during regular class slots and multiple choice questions/one word answers only. No long answer type questions will be asked.
- Negative marking will be implemented: *-0.25 for every incorrect answer.*
- Once you see a question, you will *NOT be allowed to go back and attempt* the question again, or correct your answers.
- **No make up quizzes will be given. All quizzes will contribute to your 25% score.**

**Minor Exam  
(Moodle)**

**25%**

**Major Exam  
(Moodle)**

**25%**

- Minor and Major examinations will be *conducted* on the specified dates *as per the institute calendar*.
- Both these exams will also be conducted *via Moodle*. The questions will be of mostly of *Numerical Type*. However, it will be notified, if there is any change in plan.
- *No negative marking will be implemented. There will be no answer options given either.*
- You will be allowed to go back and attempt the question again, or correct your answers.
- **No make up for Minor or Major exams will be taken. Such requests will not be considered.**

# Attendance Policy

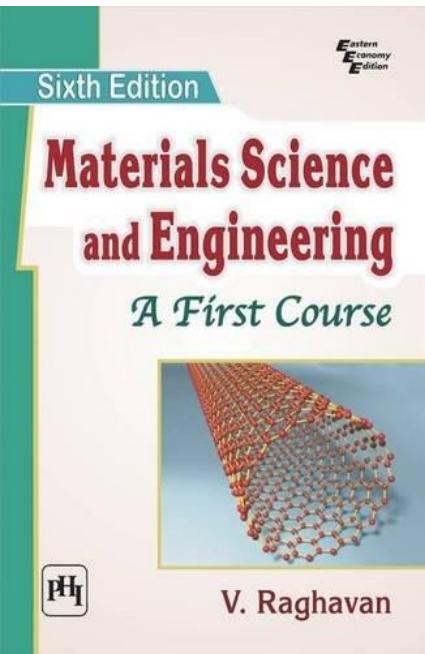


No attendance policy

BUT .....

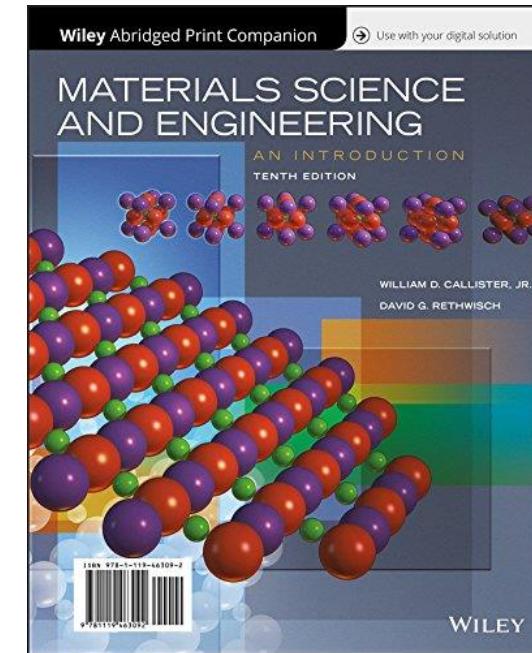
Recommended studying from the suggested textbooks!

# Reference Textbooks

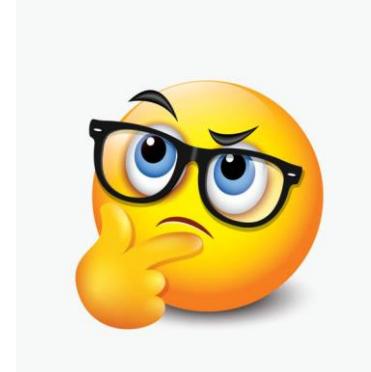


- Materials Science and Engineering: A First Course; V. Raghavan
- Materials Science and Engineering: An Introduction by W.D. Callister, Jr., 7th edition, John Wiley and Sons.

- Virtual Material Science supplement  
<https://wileyassets.s3.amazonaws.com/VMSE/index.html>
- Lecture slides (pdf): Moodle



Why should I ***enrol*** myself for the course ‘Materials Science and Engineering’?

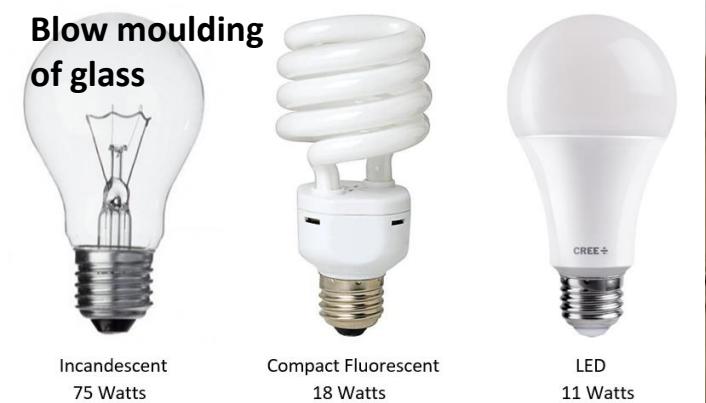


Why should I ***learn*** Materials Science and Engineering?



Three generations of light bulbs, each rated to produce 1100 lumens of light

## Blow moulding of glass



## Tungsten filament wire of diameter $\sim 15 \mu$

Part no.	Part name	Material	Main manufacturing processes	Comments on material selection
1	bulb	soda-lime glass	blow-moulding	low cost, transparency, viscosity
2	filament	tungsten	hot-pressing + wire-drawing	high melting temp. (above 'glowing' temp.), electrical conductor, low vapour pressure, high strength and sufficient ductility
3	lead-in wire	nickel or nickel-plated	wire-drawing (nickel plating)	electrical conductor, oxidation resistant during processing, ductility
4	filament support	molybdenum	wire-drawing	high melting temp., ductility
5	'dumet' wire	nickel-iron alloy	wire-drawing	coefficient of thermal expansion has to match that of glass in (6)
6	'pinch'	lead glass ( $\text{SiO}_2 + 20\text{-}30 \text{ wt\% PbO}$ )	pressing	
7	fuse sleeve	soda-lime glass	drawing	as (1)
8	exhaust tube	lead-glass	drawing	as (6)
9	fuse	copper-nickel alloy	wire-drawing + welding	correct fusing characteristics; suited to automatic welding
10	cement	phenol-formaldehyde		good adhesion at 400 K for life
11	outlead	copper or copper-clad steel	wire-drawing (electroplating)	electrical conductor, easy to solder
12	cap filling	opaque glass	casting	high-temp. insulation, melting temp.
13	cap	aluminium or brass	pressing	choice depends on cost of raw material
14	contacts	solder (Pb/Sn)		melt temp. has to be higher than contact operating temp.
<i>holder</i>				
15	insert	brass (70 Cu/30 Zn)	pressing	ductility
16	pins	brass (60 Cu/40 Zn)	extrusion	
17	holder	phenol-formaldehyde (filled with wood/paper)	compression moulding	electrical conductivity, ductility
18	spring	phosphor bronze ( $\text{Cu}/(6\text{Sn}/0.2\text{P})$ )	wire-drawing	electrical conductivity, strength and low Young's modulus
19	connector block	brass (60 Cu/40 Zn)	forging + machining	electrical conductivity, machinability
20	cover	urea-formaldehyde (filled with glass)		can be coloured white with glass powder filler
21	conductor	copper	wire-drawing	electrical conductivity, ductility
22	twin core flex	{ PVC (plasticized)	extrusion extrusion	electrical insulation, viscosity
23				electrical insulation, viscosity



Metallic pan



Non-stick pan



Polymer ladle



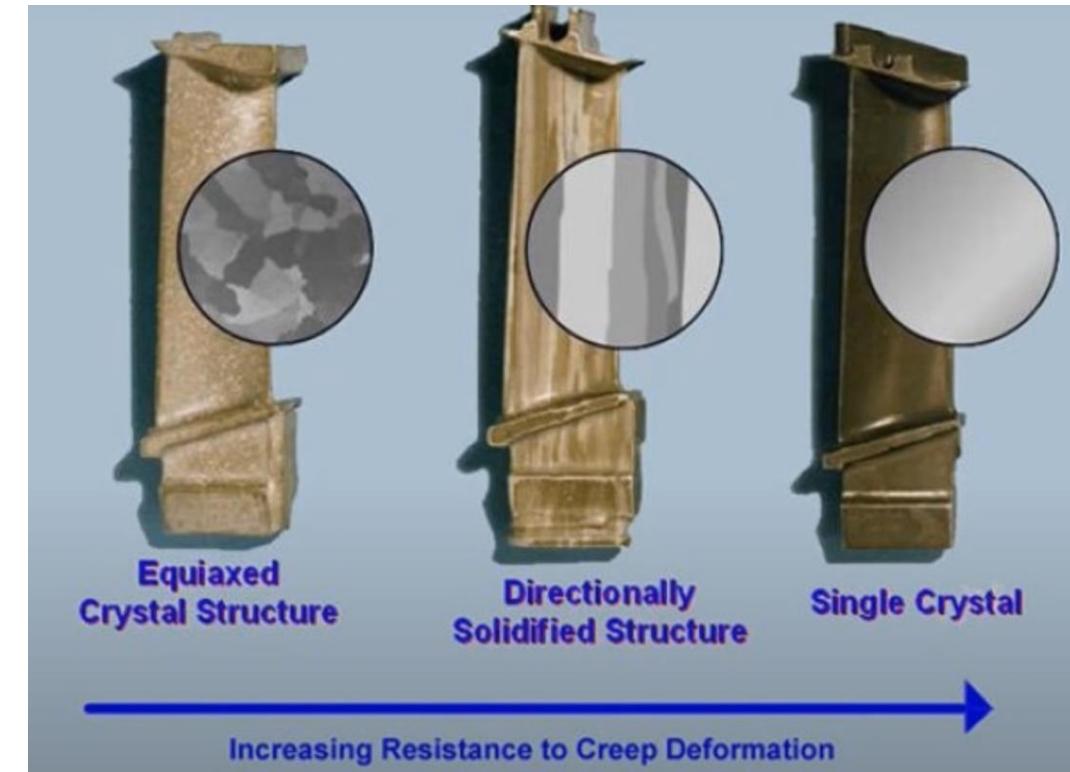
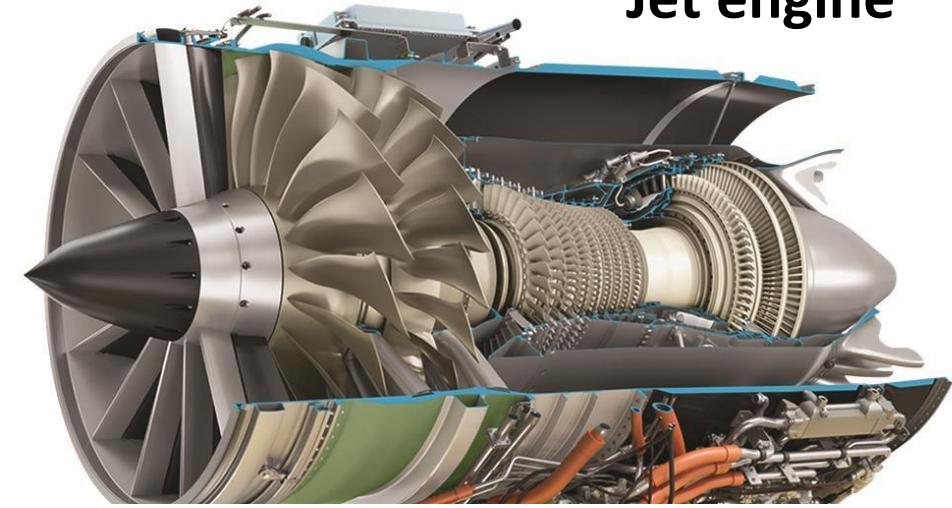
Wooden spoon



## Bicycle frames

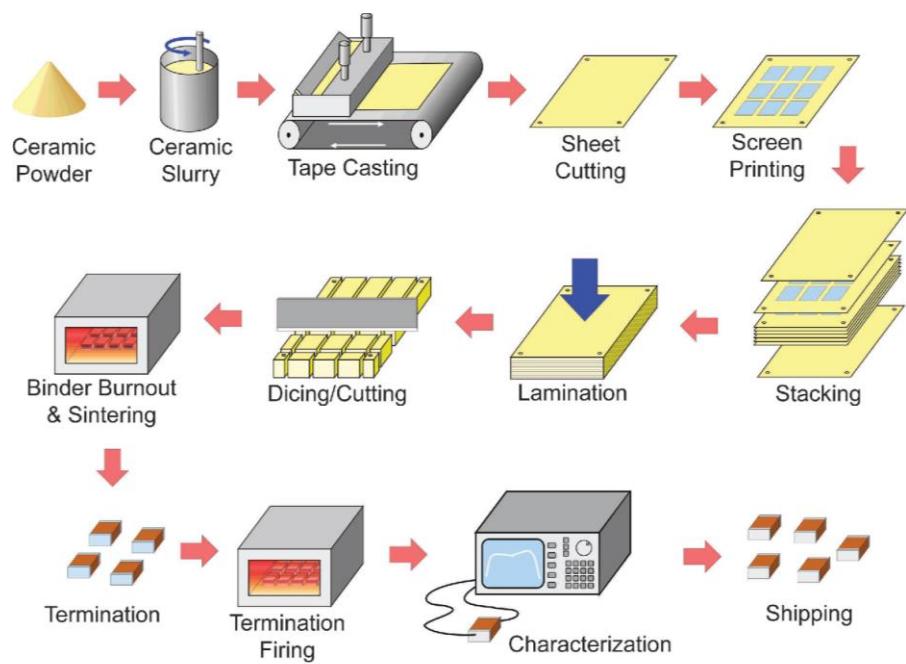


## Jet engine



# Fun watch

Evolution of jet engine turbine blades



## Cleanroom

## Semiconductor industry



## Microscope

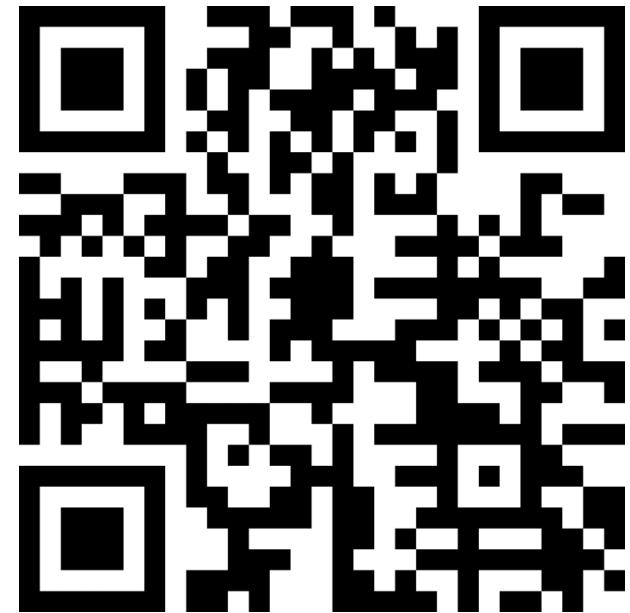
# *Which type of cup will you go for to enjoy your sip of tea?*

Steel



<https://fast-poll.com/poll/1d2fa40c>

Ceramic



Polymer



Cardboard



<https://fast-poll.com/poll/results/1d2fa40c>

*Which type of cup will you go for to enjoy your sip of tea?*

Steel



Ceramic



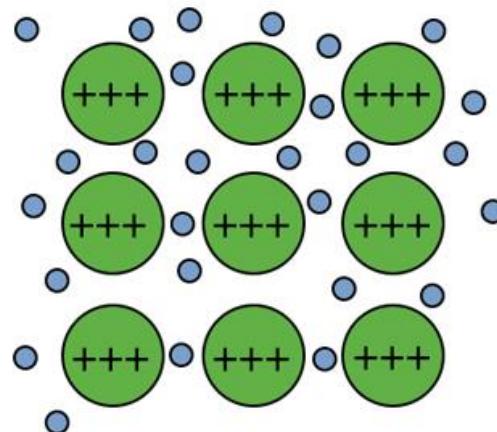
Polymer



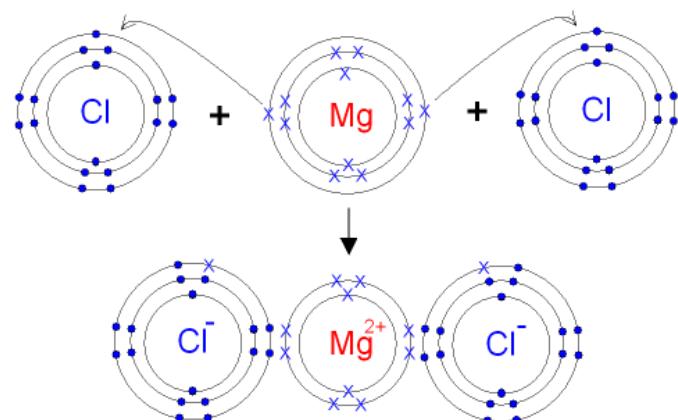
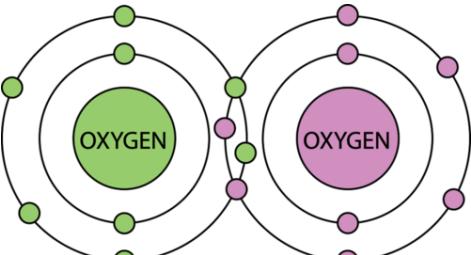
Cardboard



Metallic bonding

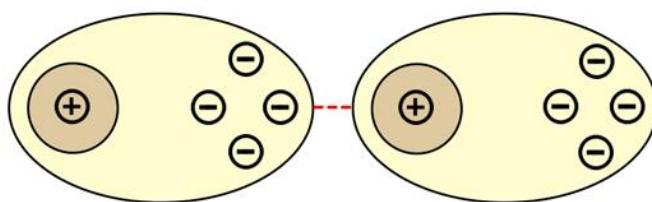


Covalent bonding

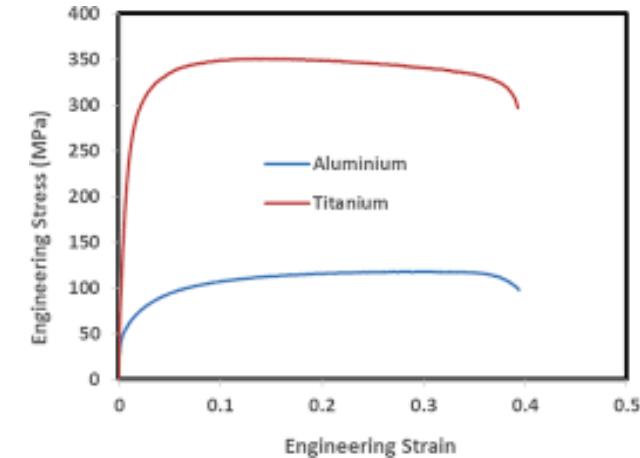
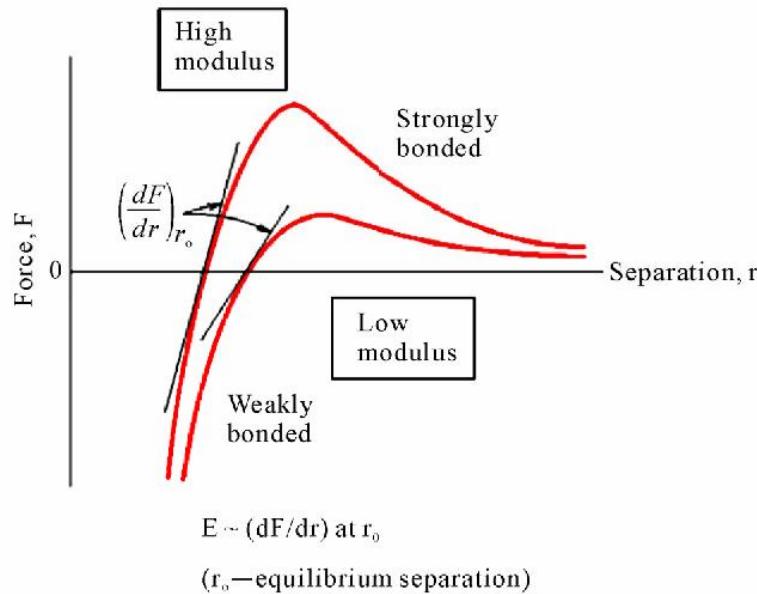
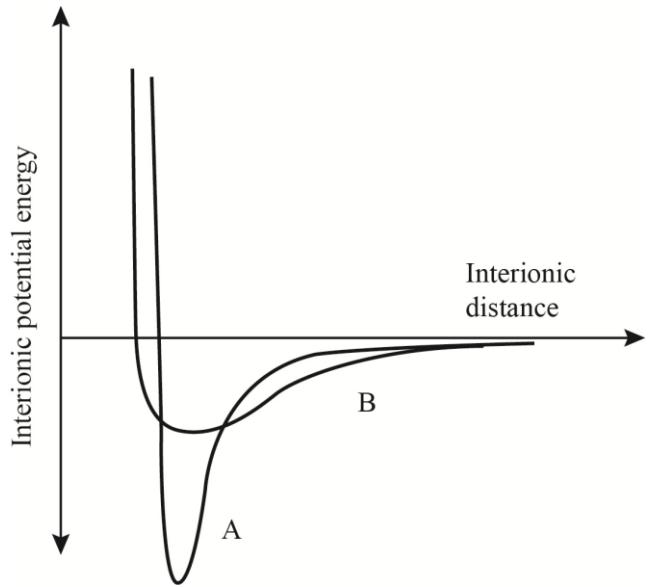


Ionic bonding

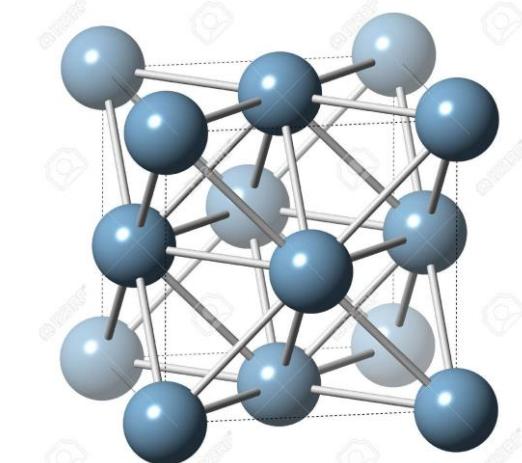
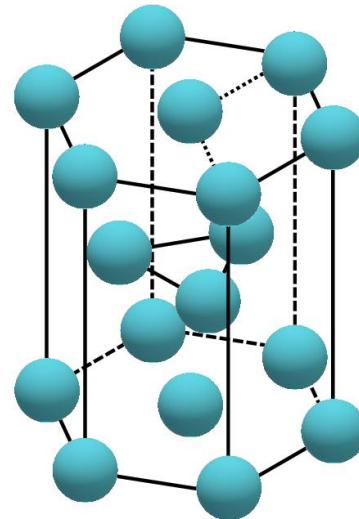
Van der waals' bonding



Does every material with metallic bonding exhibit similar properties?



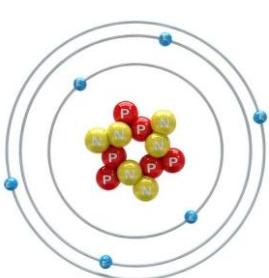
## Structure



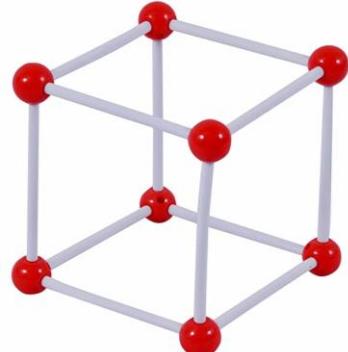
# Structure of materials

👉 What do you mean by 'Structure'?

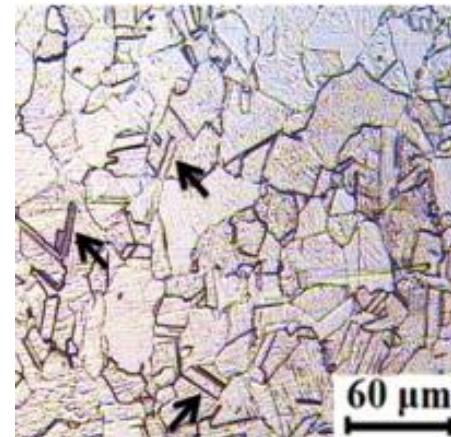
Quantitative description of arrangement of internal constituents in a material at different length scales



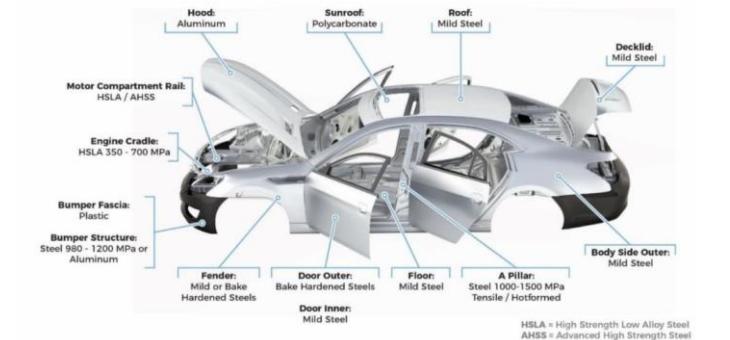
Atomic structure (pm)



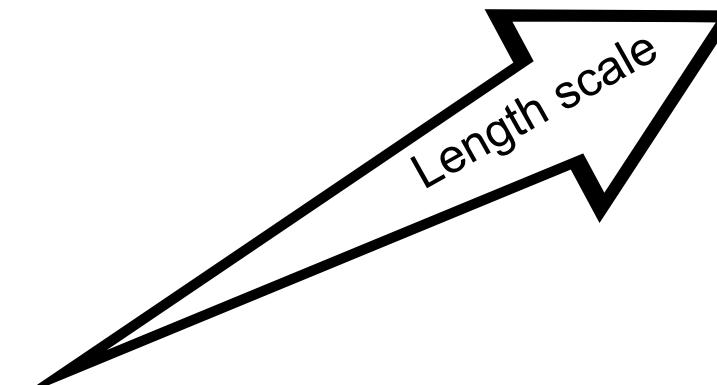
Crystal structure ( $\text{\AA}$ )



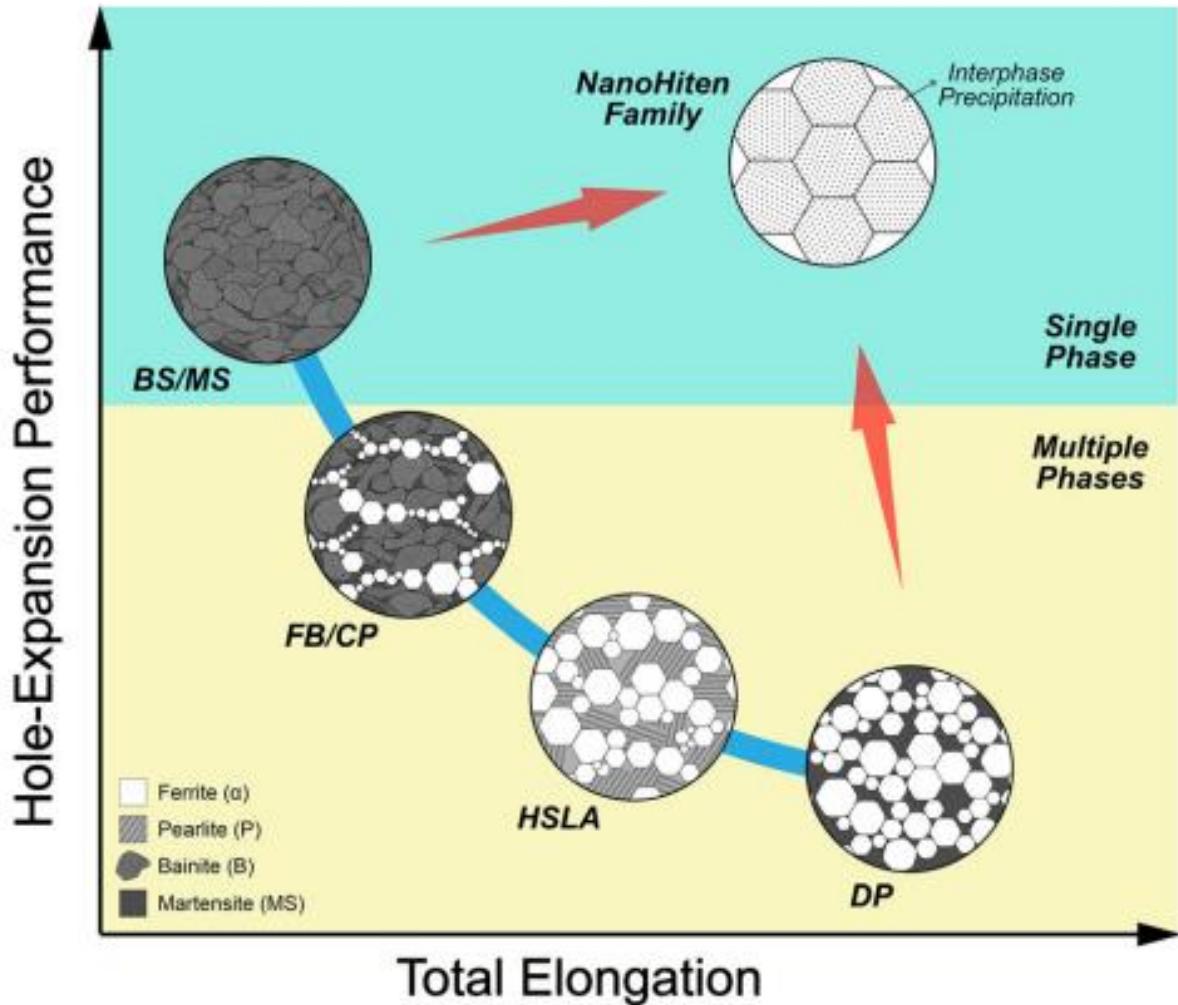
Micro-structure ( $\mu\text{m}$ )



Macro-structure (> cm)



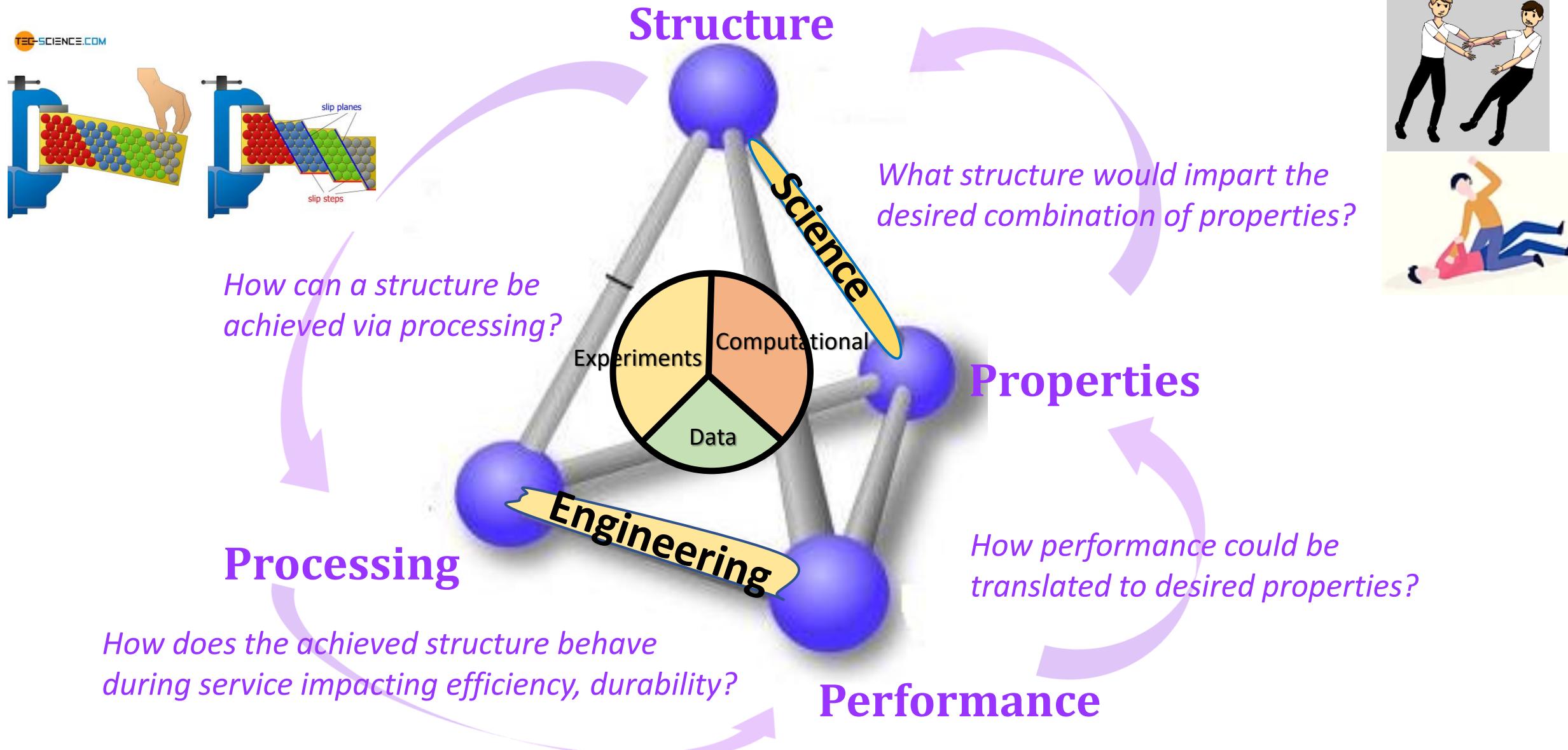
Can a single material exhibit different properties?



----- YES!

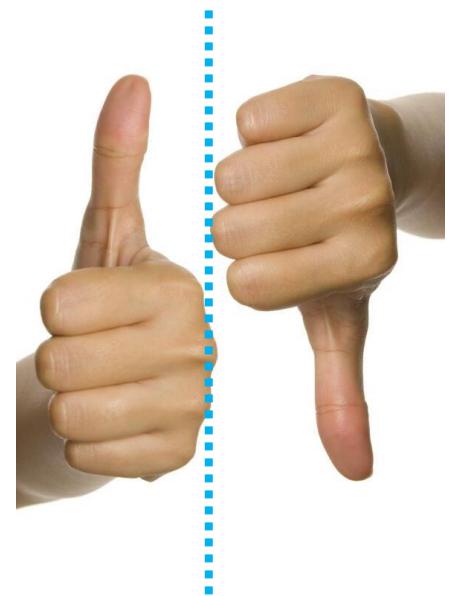
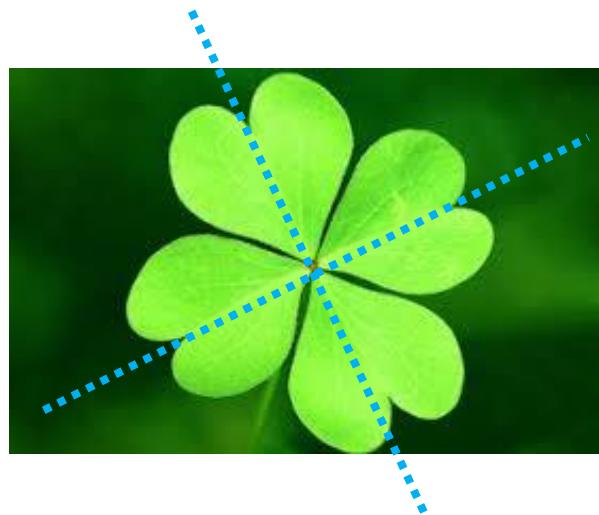
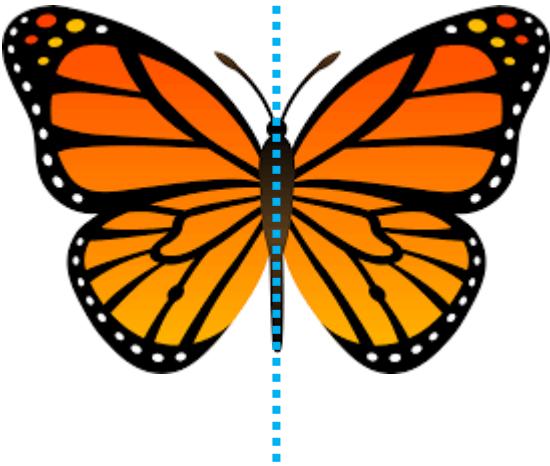
By carrying out different processing.

**Structure** of materials across different length scales to assess their **performance** and to control materials **properties** through **processing**.

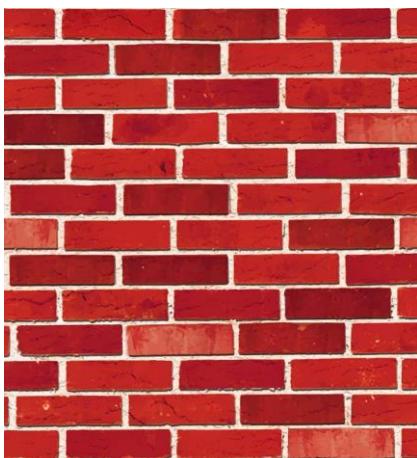


# What are the factors which allow us to study crystal structure?

## Symmetry

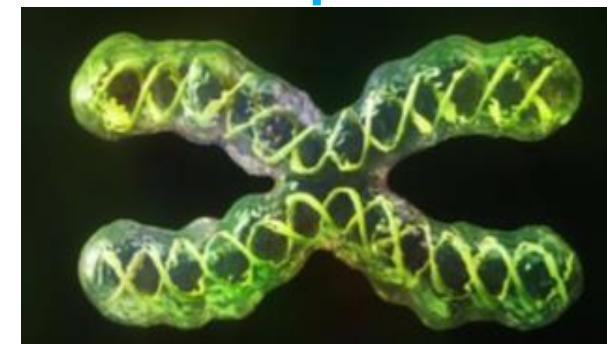


## Periodicity



Brick patterns in wall

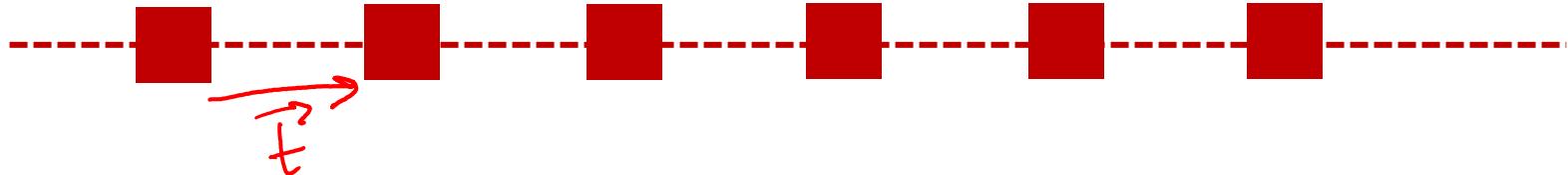
Honeycomb



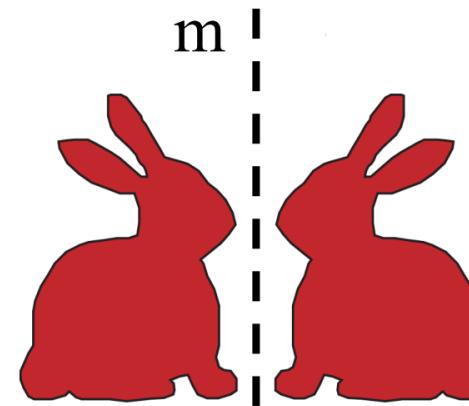
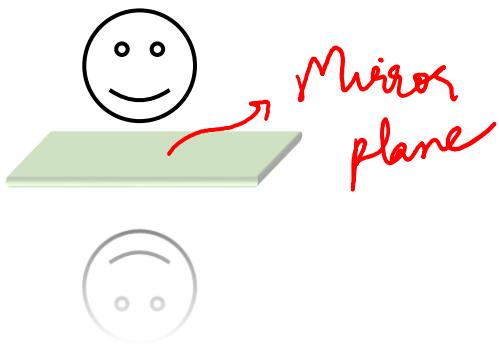
- Inversion
- Rotation
- Mirror
- Translation
- Glide

# Symmetry

Translational



Reflectional



# Rotational

$$n - fold = \frac{360^\circ}{n}$$

$$1 - fold = \frac{360^\circ}{1}$$

$$2 - fold = \frac{360^\circ}{2}$$

$$3 - fold = \frac{360^\circ}{3}$$

$$4 - fold = \frac{360^\circ}{4}$$

$$6 - fold = \frac{360^\circ}{6}$$

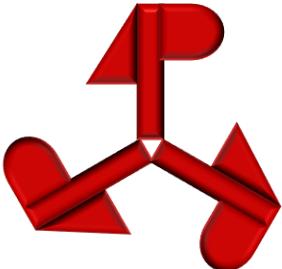
1-fold



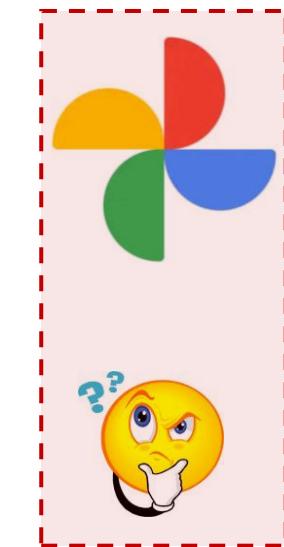
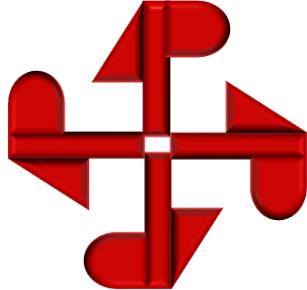
2-fold



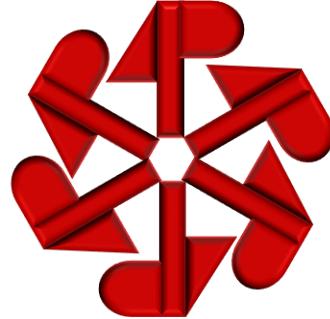
3-fold



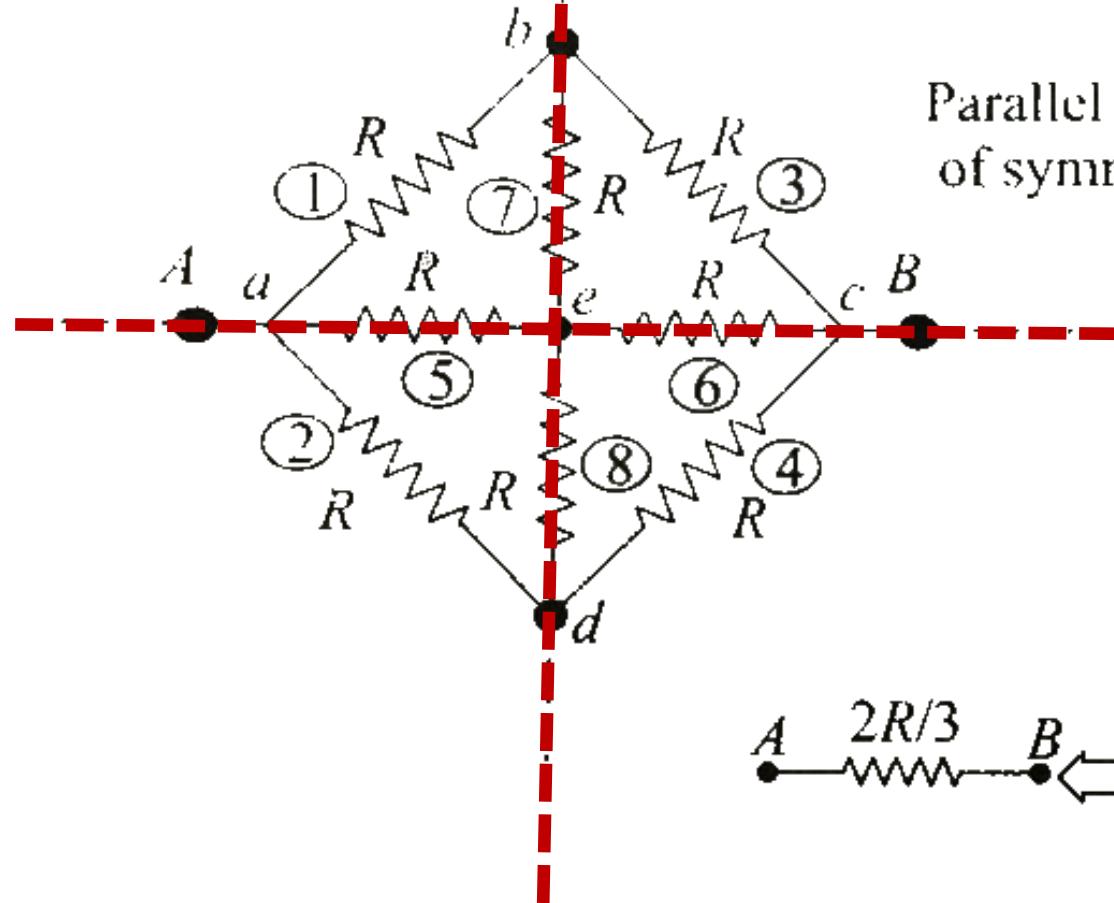
4-fold



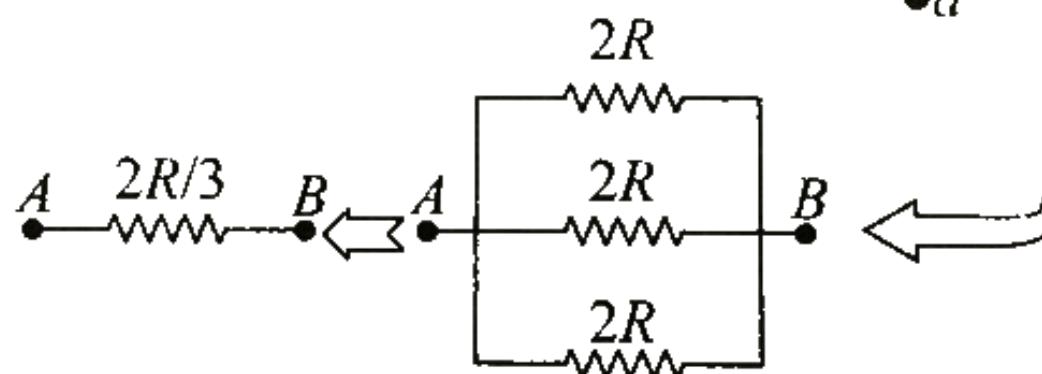
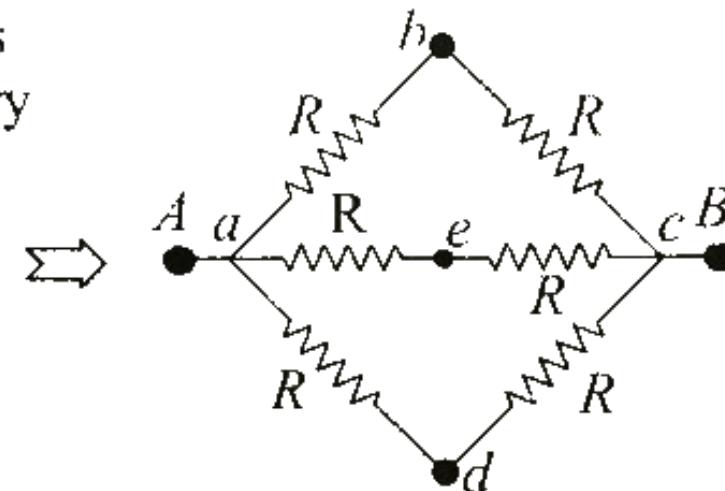
6-fold



Perpendicular axis  
of symmetry

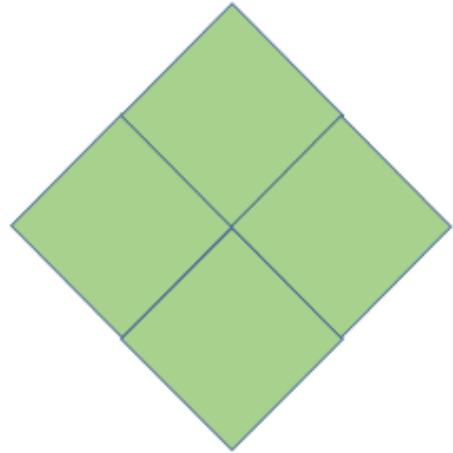


Parallel axis  
of symmetry

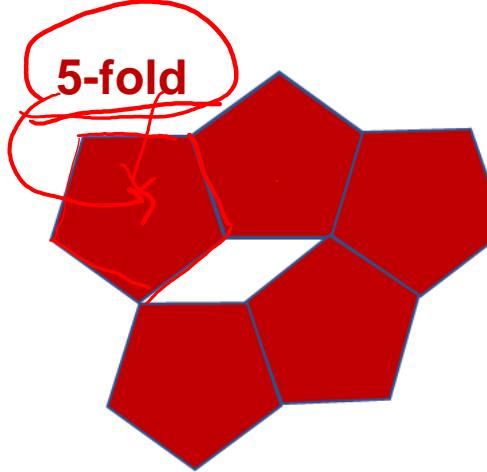


# Why no mention of 5-fold rotational symmetry?

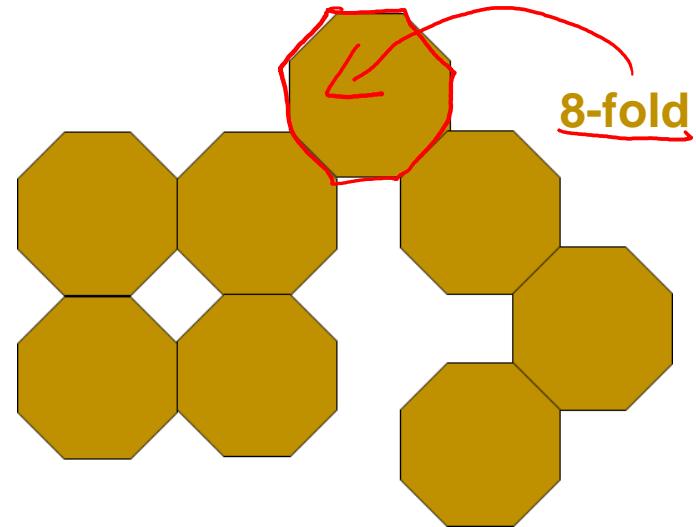
4-fold



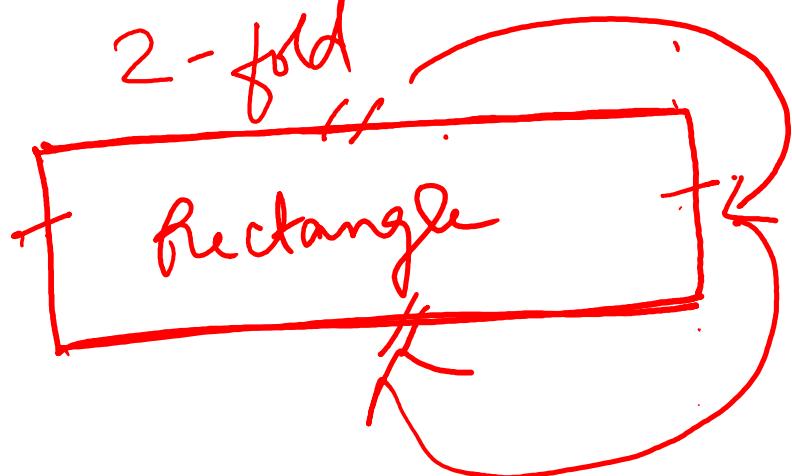
5-fold



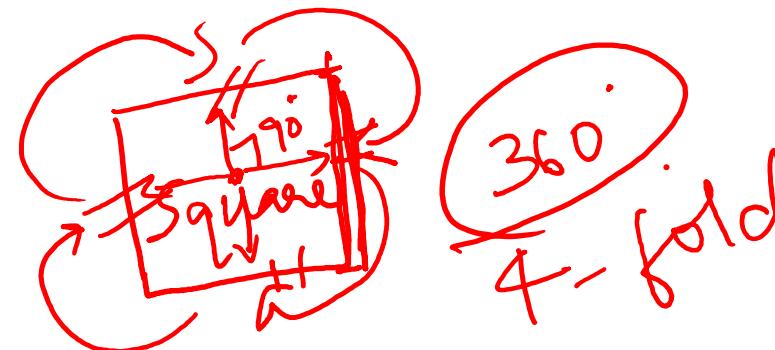
8-fold



2-fold



*Translational symmetry absent in long range*



360°  
4-fold

# MLL 100

# Introduction to

# Materials Science and Engineering

## *Lecture-2*

Dr. Sangeeta Santra ([ssantra@mse.iitd.ac.in](mailto:ssantra@mse.iitd.ac.in))

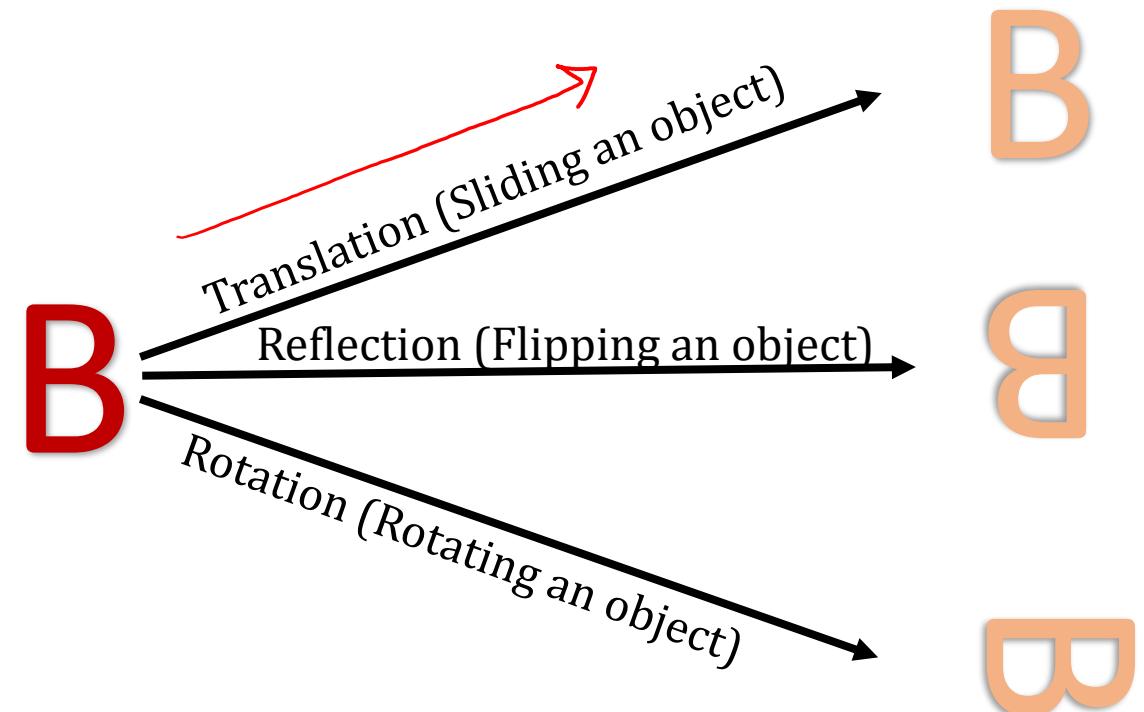


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Department of Materials Science and Engineering

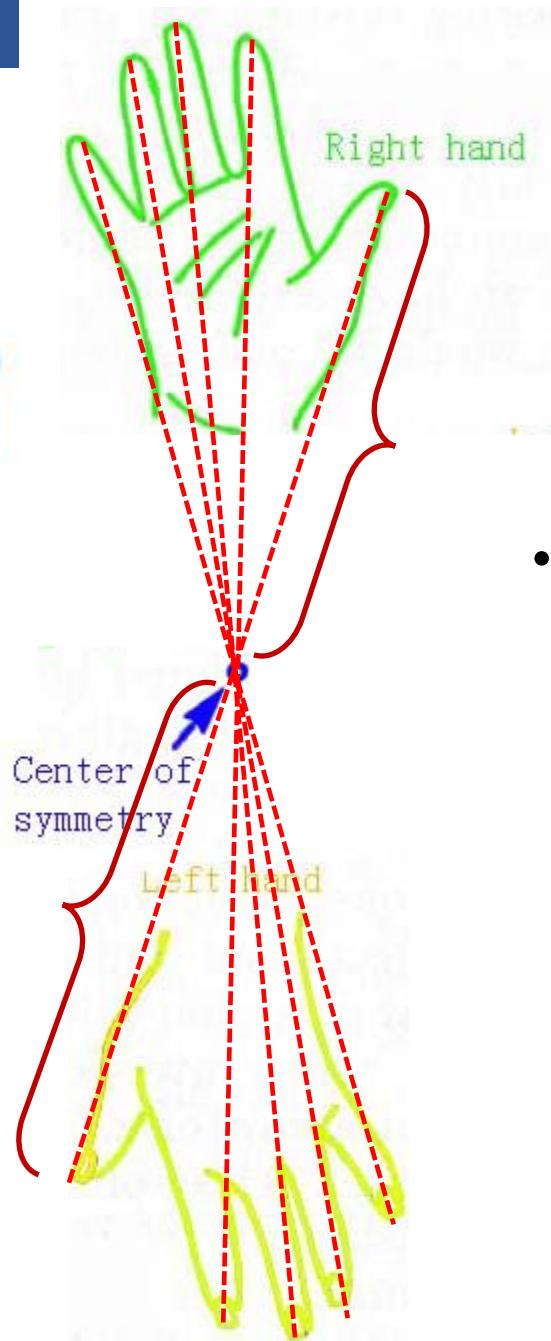
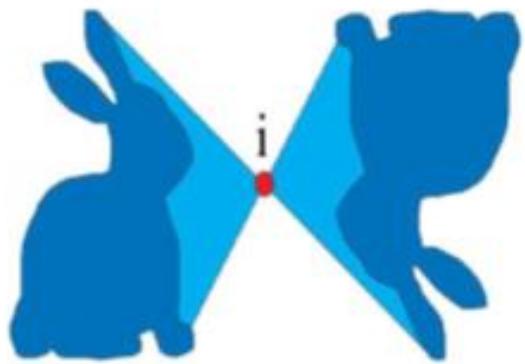
January 05, 2022

# What we learnt in Lecture-1?

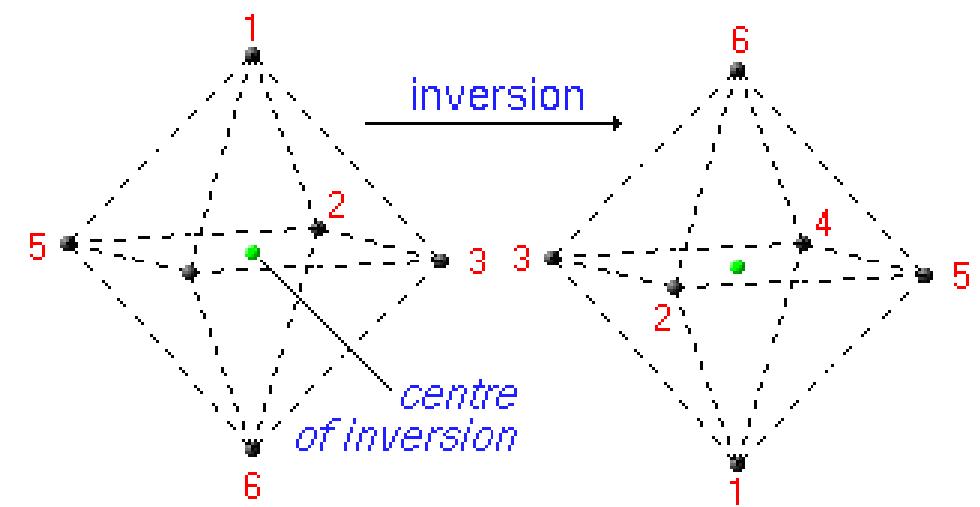
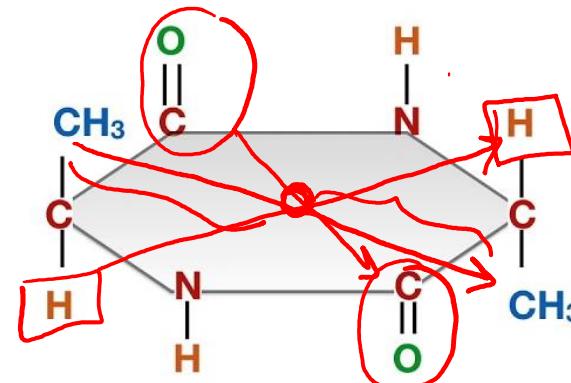
- Course Policy
- Structure of materials: Different length scales
- Symmetry and periodicity



# Inversion

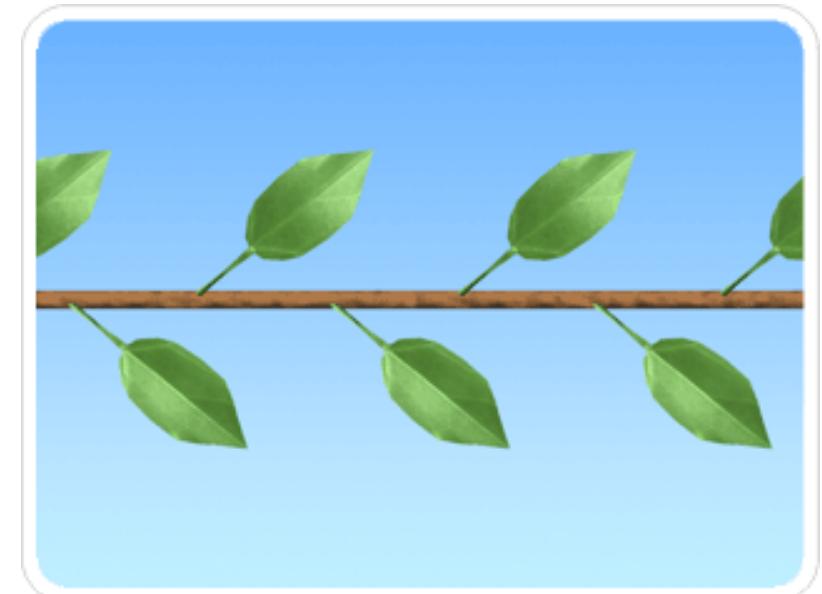
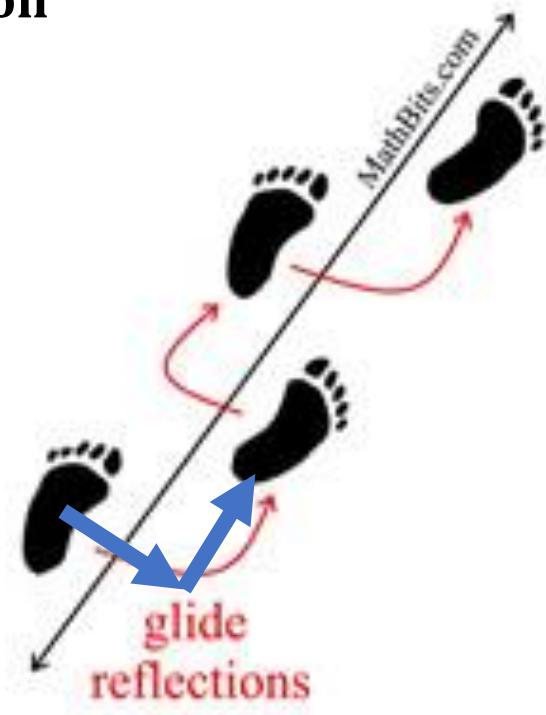
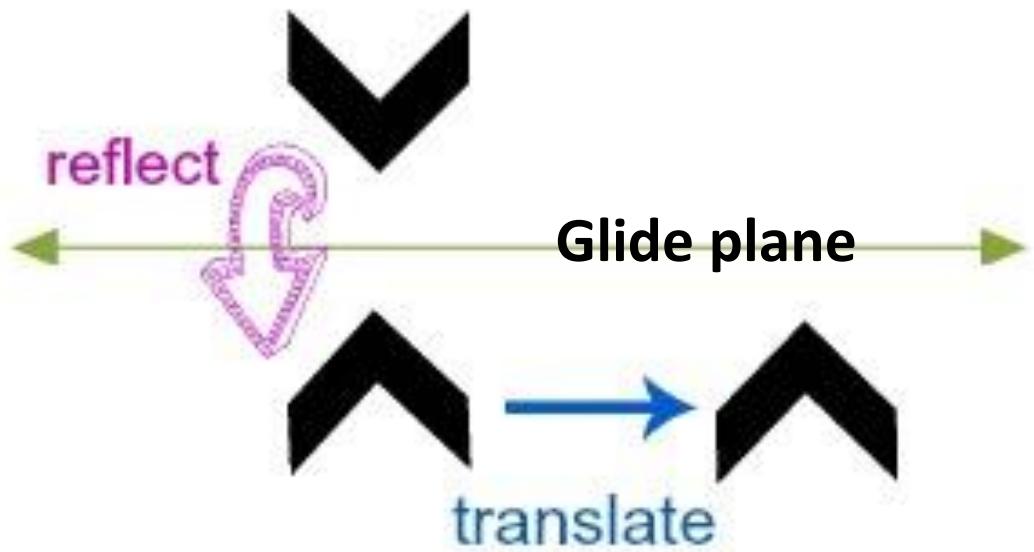


- An object at an equal and opposite distance through a single point 'i' after an inversion operation.

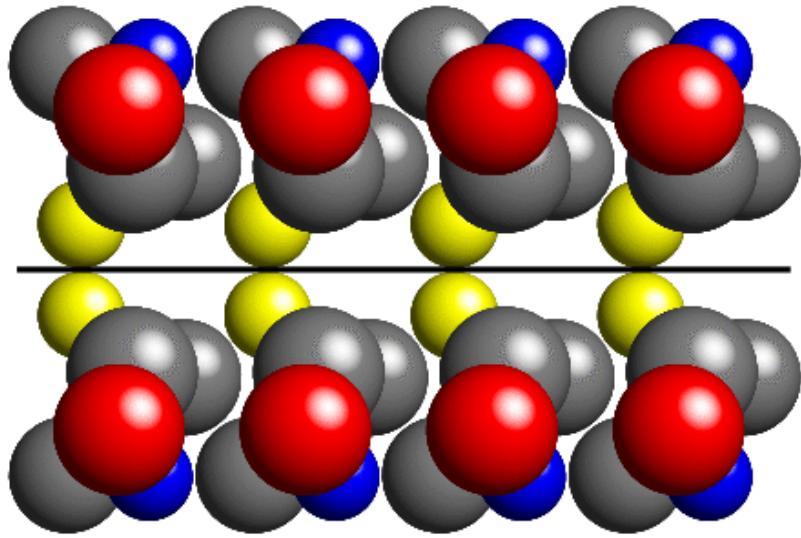


# Glide

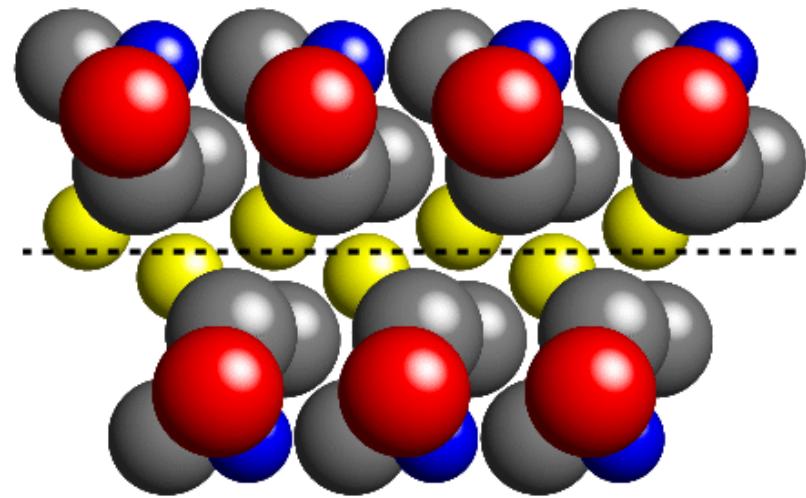
Glide = Reflection + Translation



Mirror operation



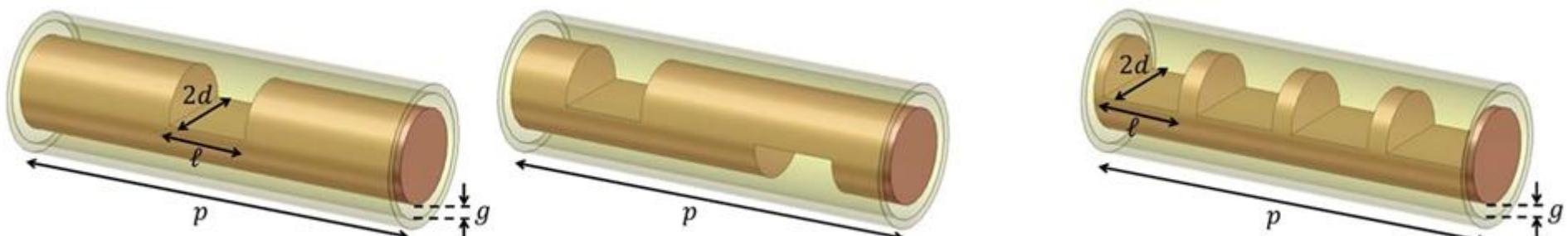
Glide operation



Makes the molecular packing compact

### Glide Symmetries: an Additional Degree of Freedom to Control the Propagation Characteristics of Periodic Structures

Glide symmetry offers a compact, flexible solution for suppression of channel crosstalk in SSPP transmission lines



Twist and Polar Glide Symmetries: an Additional Degree of Freedom to Control the Propagation Characteristics of Periodic Structures

Fatemeh Ghasemifar, Martin Norgren & Oscar Quevedo-Teruel

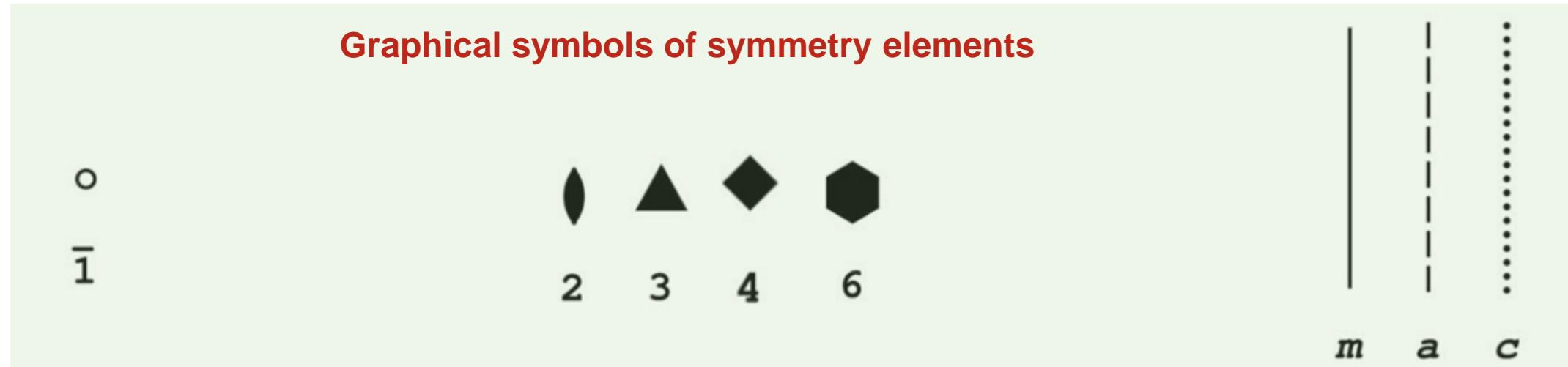
Scientific Reports | Article number: 11266 (2018) | Cite this article

# Graphical symbol of symmetry elements

An object has **symmetry** if there is an operation, such as translation, rotation or reflection which maps the object onto itself, i.e., the object remains invariant after the transformation.

## □ Symmetry:

- Translational symmetry
- Non-translational Symmetry (Inversion, Rotational and Reflectional)
- Non-translational + Translational symmetry (Glide)



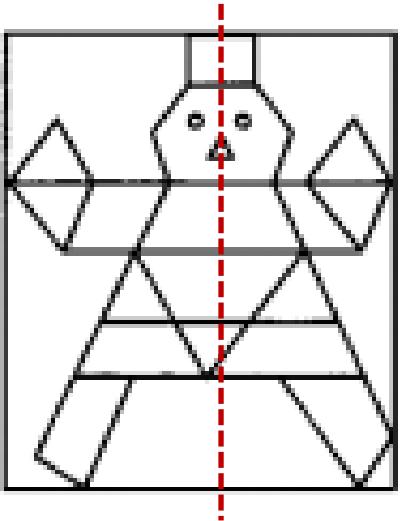
**How many alphabets do you think has mirror symmetry?**

A, B, C, D, E, H, I, K, M,  
O, T, U, V, W, X, Y

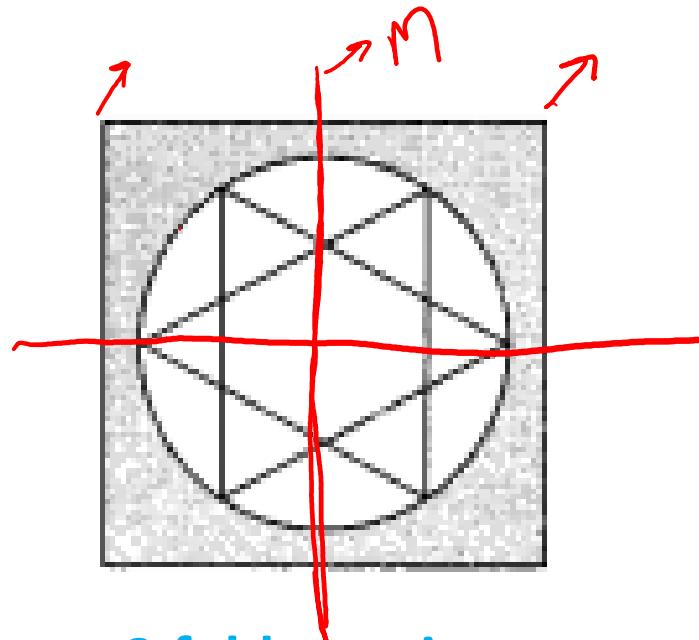
**How many alphabets do you think has mirror symmetry along two planes?**

H, I, O, X

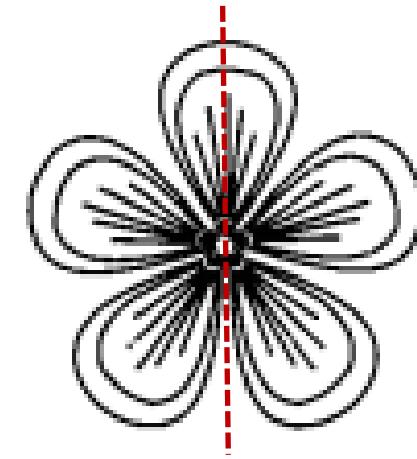
# What are the symmetry elements present in these objects?



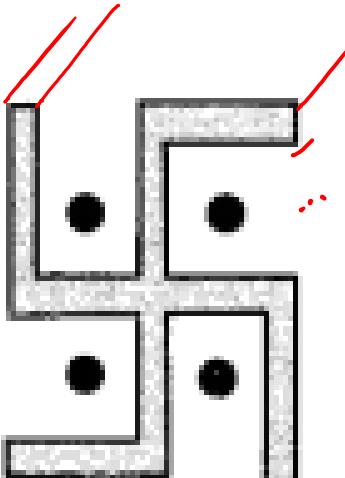
Mirror?



6-fold rotation

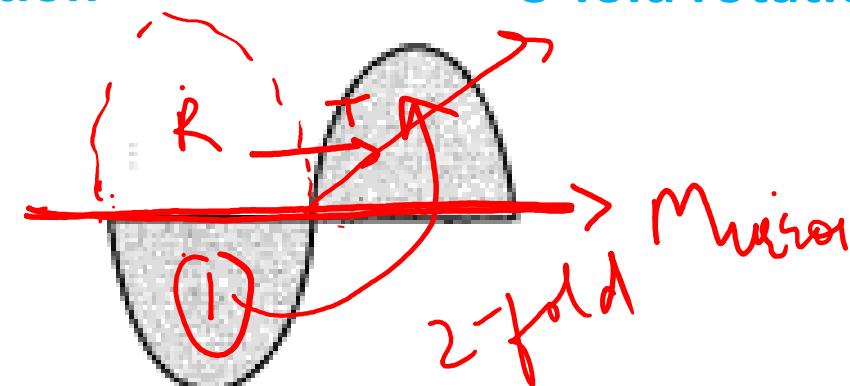


Mirror

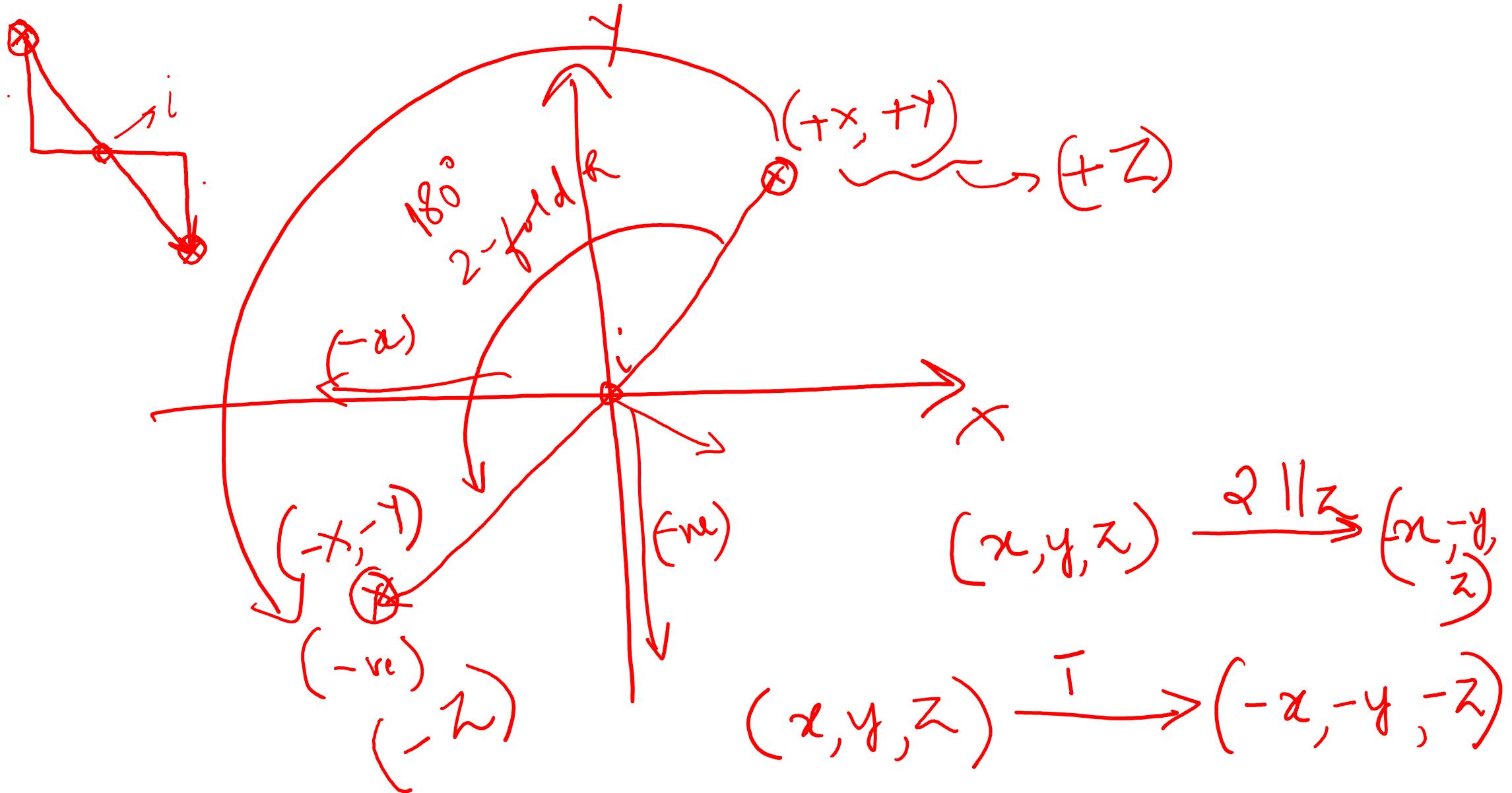


4-fold rotation

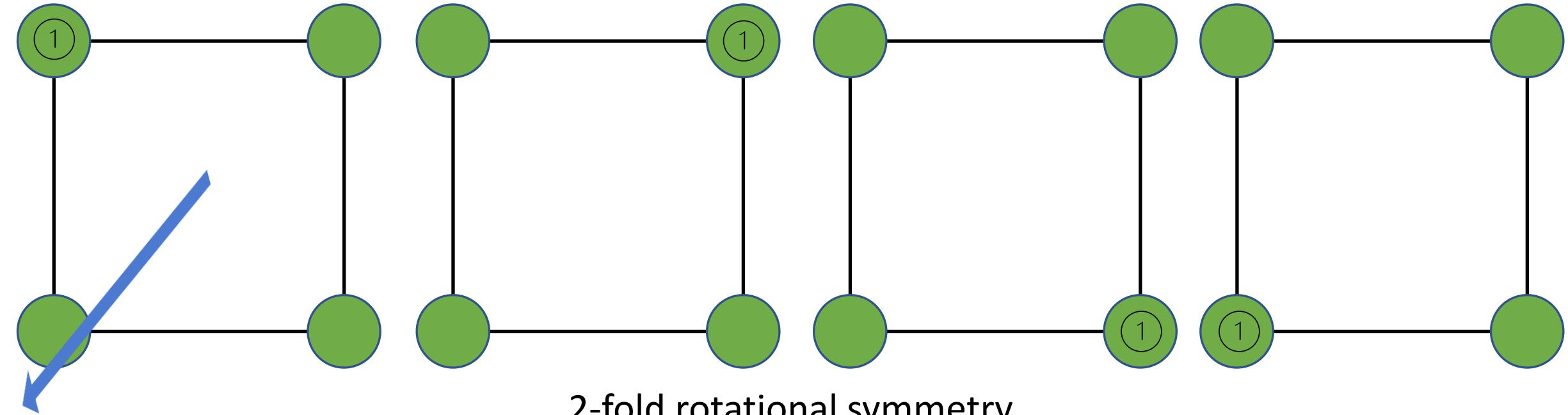
Centre of inversion?



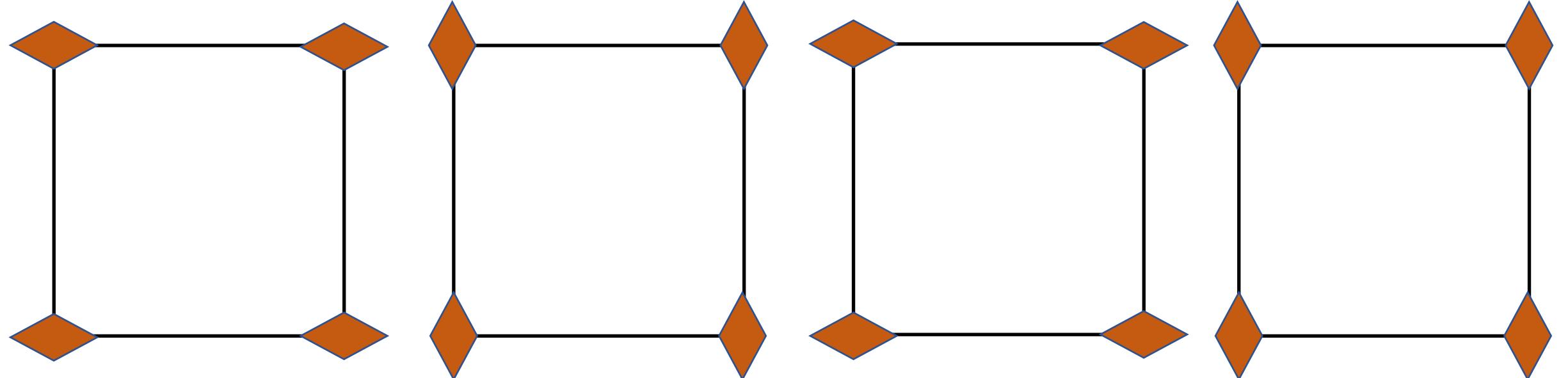
Glide plane

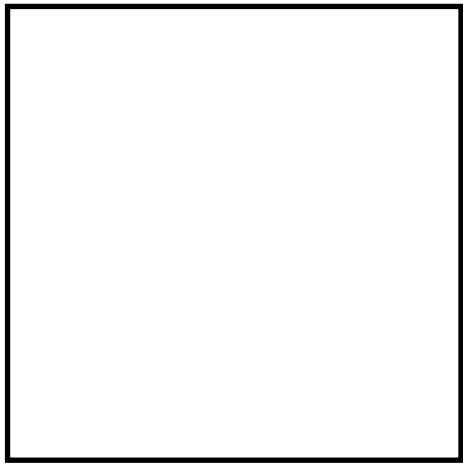


4-fold rotational symmetry



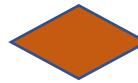
2-fold rotational symmetry



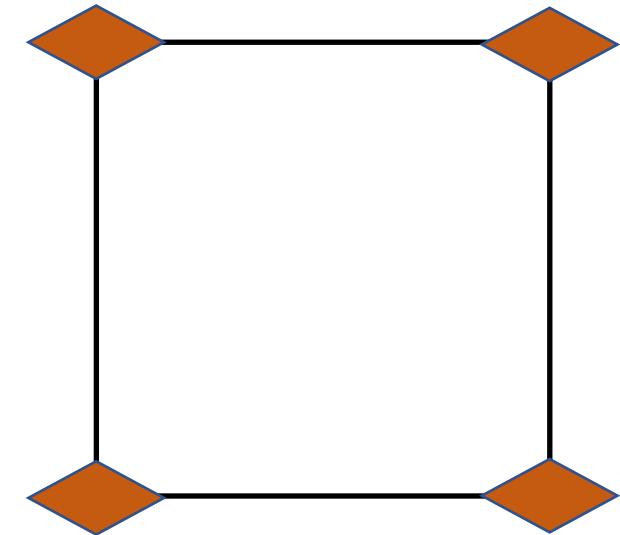


Lattice

+



=



Crystal

- ❑ What factor is likely to govern the symmetry of the crystal?
- ❑ Symmetry of a crystal will have either equivalent or lower order than that of the symmetry of a lattice.

# 2-D crystal

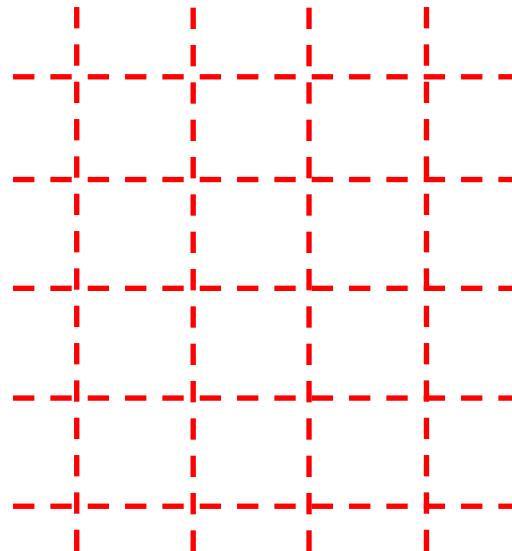
Lattice

+

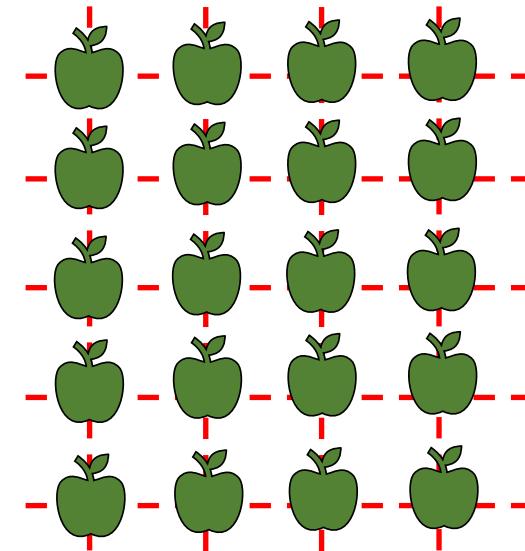
Motif

=

Crystal



+



*Lattice points: How to repeat?*

Translationally periodic arrangement of **points**

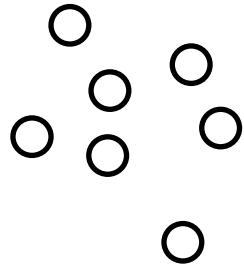
*Entity associated with lattice points: What to repeat?*



Translationally periodic arrangement of **motifs**

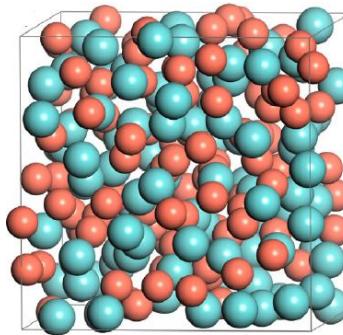
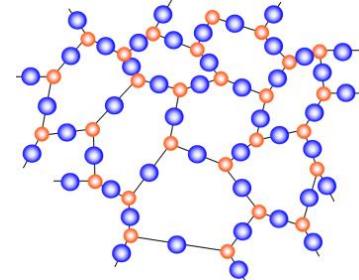
# Atomic order of materials

Inert gases (He, Ar)



No regular atomic order

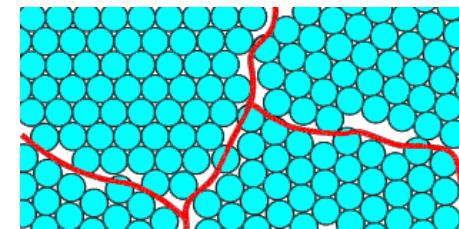
Amorphous  
(silicate, metallic glasses)



Short-range order;  
Lack periodicity in  
atomic arrangement

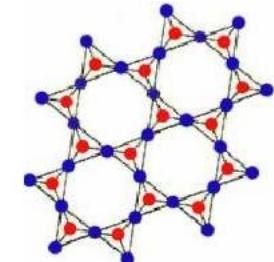
Polycrystal

Periodic across each grain

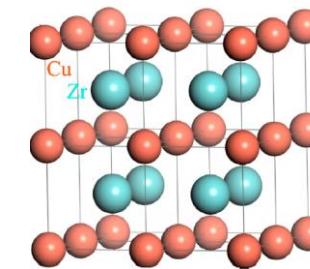


Crystal

(Metals, Ceramics, such as MgO,  
 $\text{Al}_2\text{O}_3$ ; Conductive polymers)



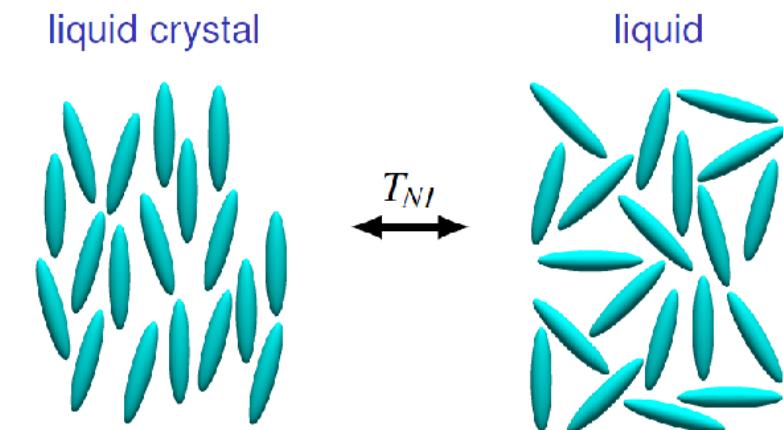
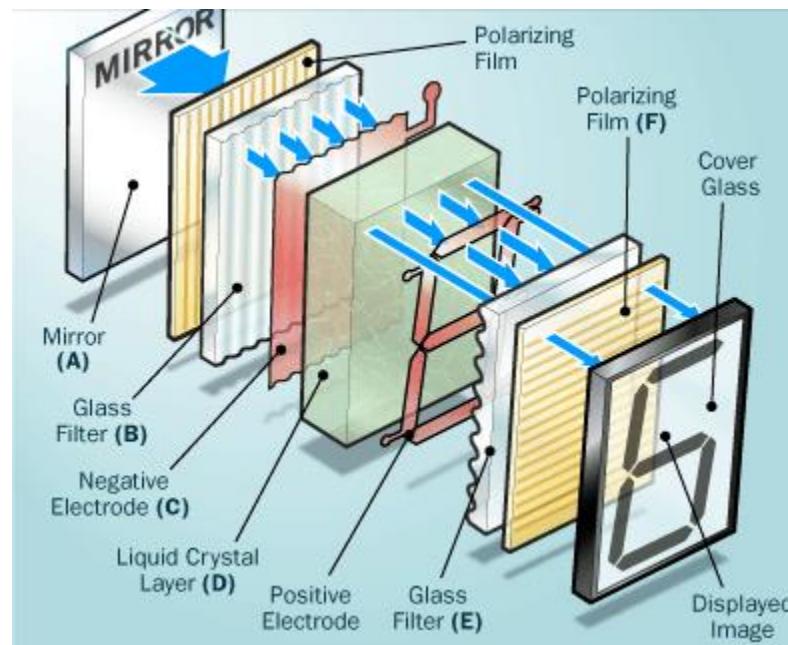
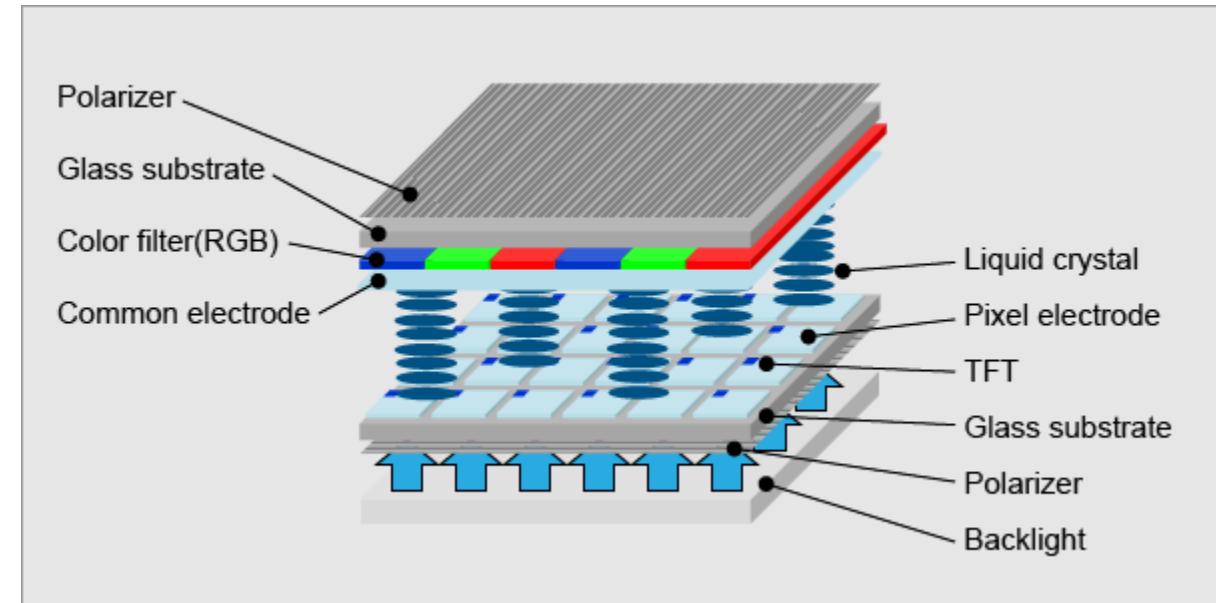
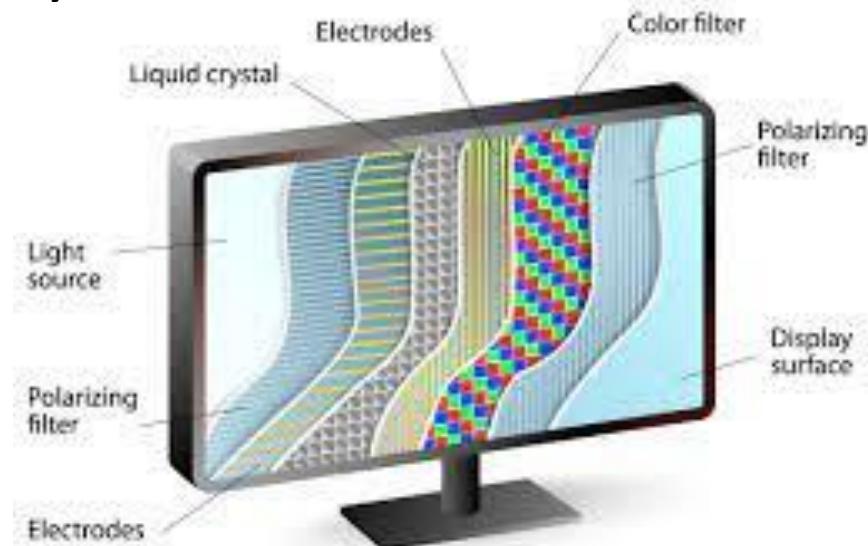
• Si • O



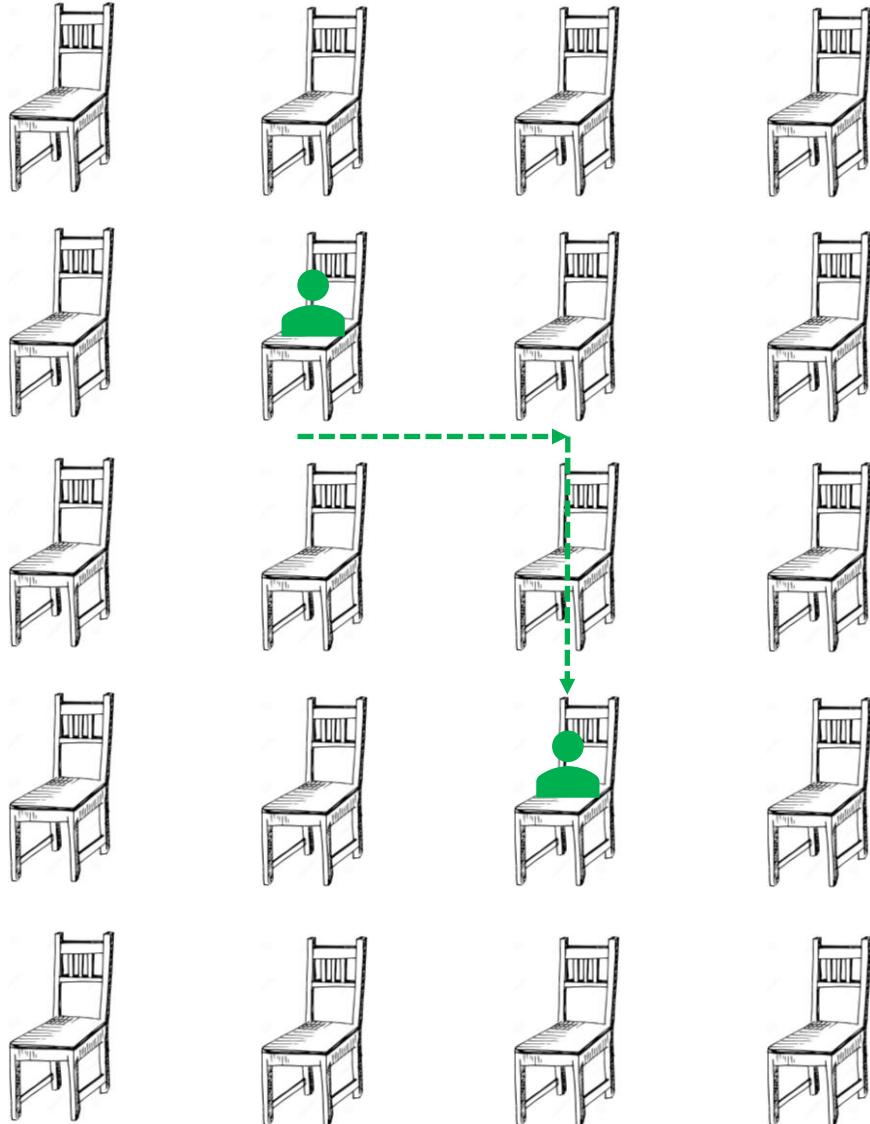
Regular atomic order extending  
over a large length scale  $> 100 \text{ nm}$

Increase in atomic order

# Liquid crystal



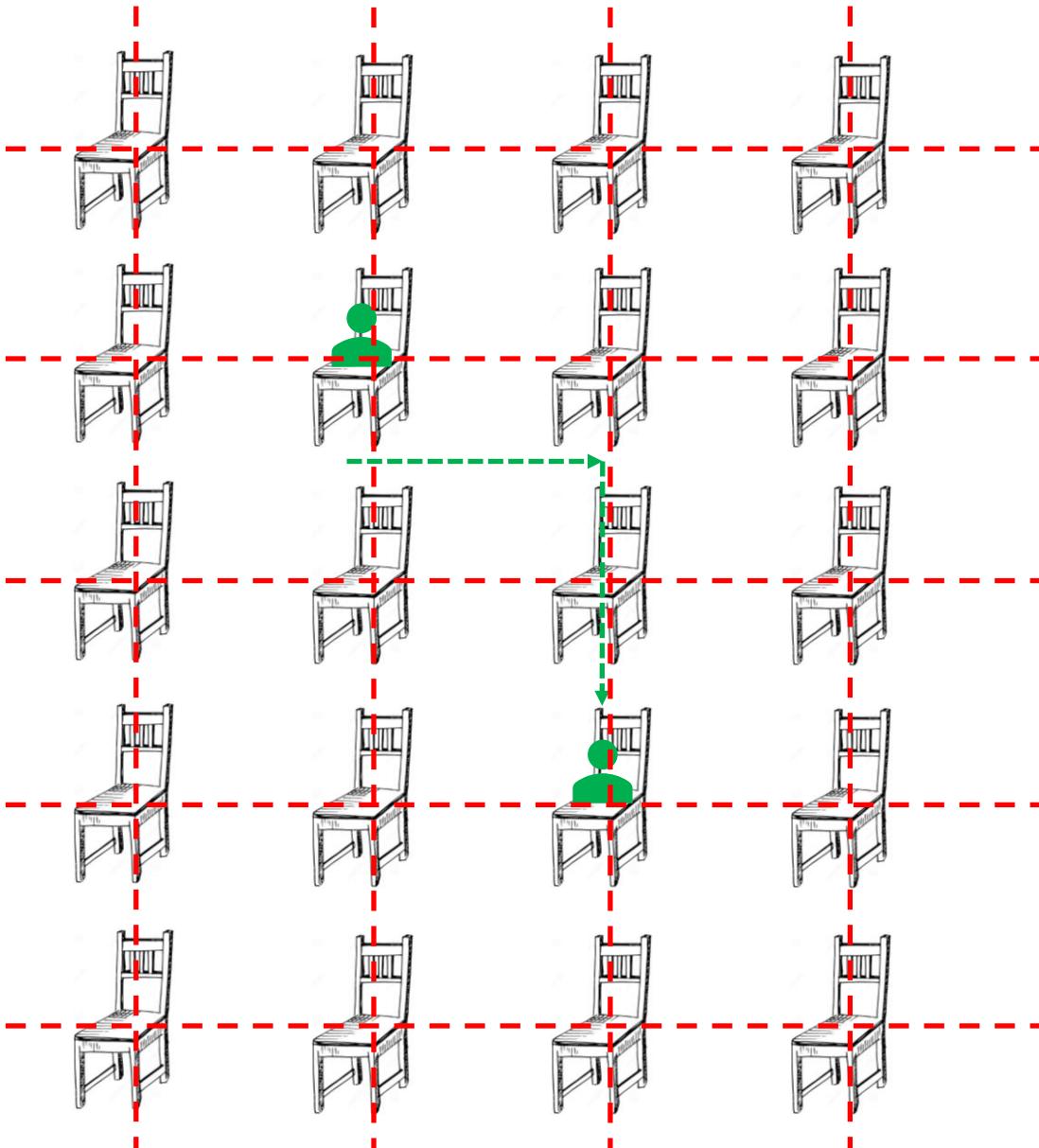
# 2-D lattice



- Would you be able to make out the difference between your first and second positions looking at its environment?

**NO ! -----→ Both look identical**

# 2-D lattice

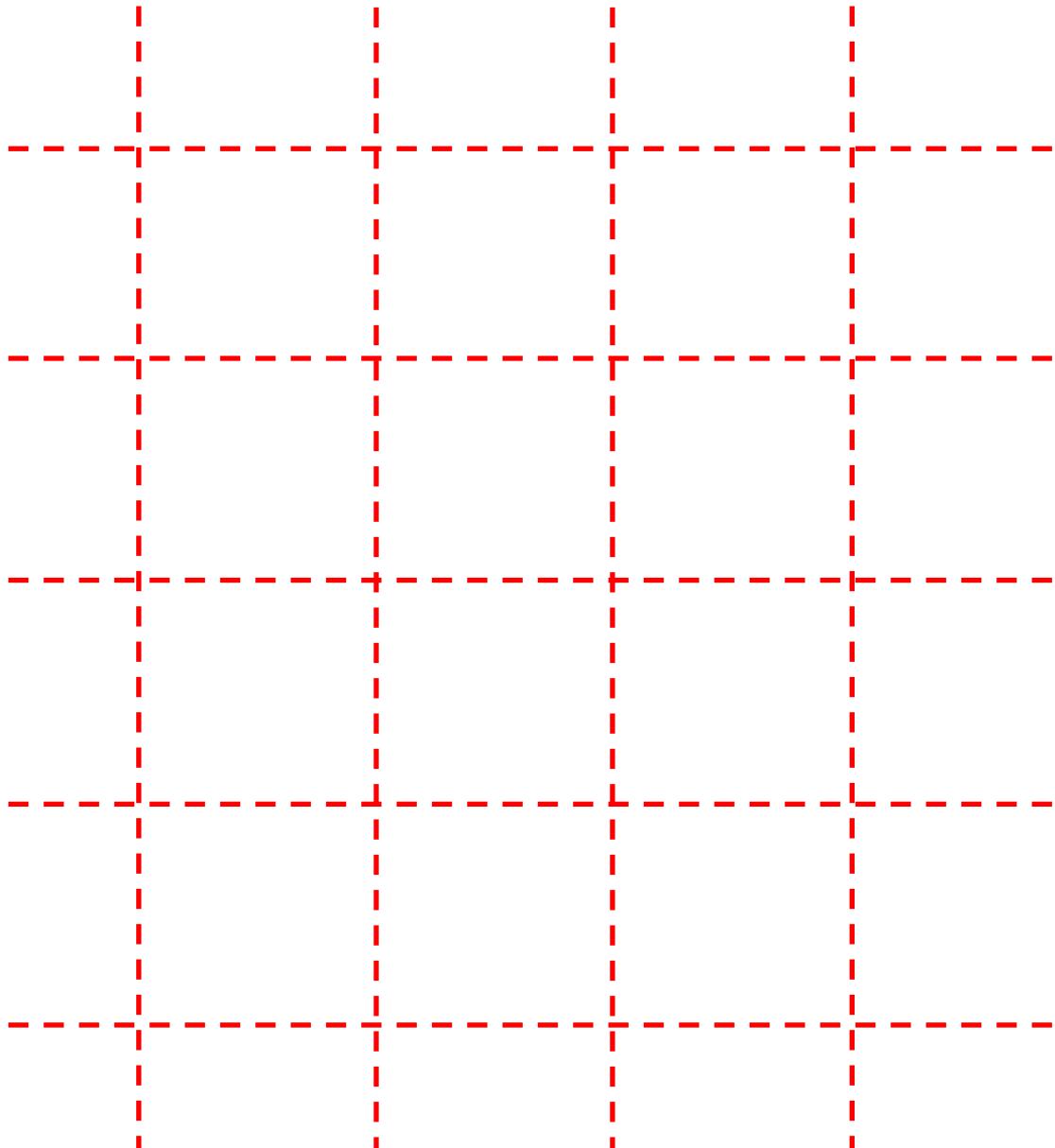


- Would you be able to make out the difference between your first and second positions looking at its environment?

**NO ! -----→ Both look identical**

- Translational periodicity

# 2-D lattice



- Would you be able to make out the difference between your first and second positions looking at its environment?

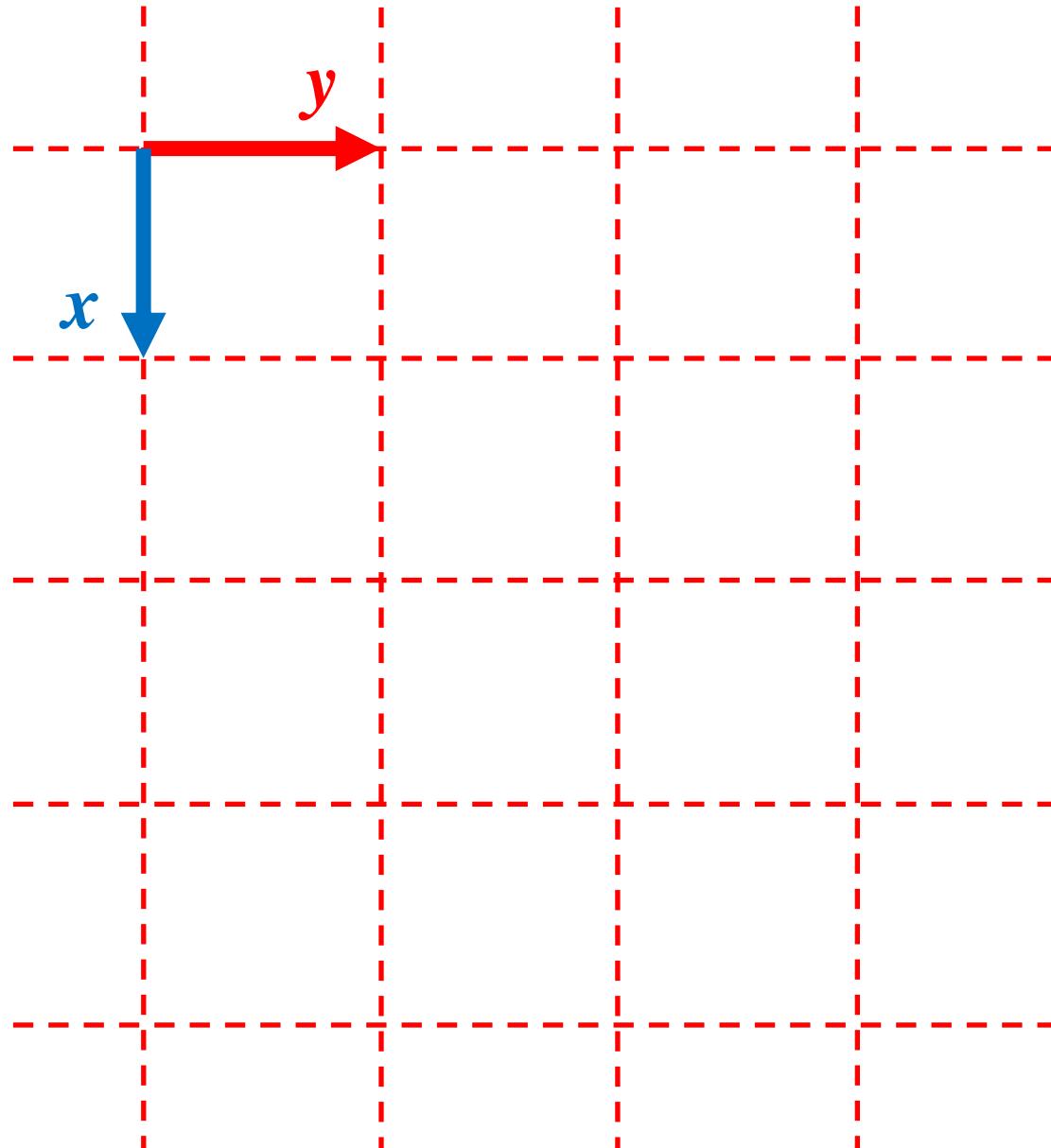
NO ! -----→ Both look identical

- Translational periodicity

**Lattice: Translationally periodic arrangement of points in space such that every point has identical surroundings**

- Dotted grid is a **2-D Square lattice** and the intersection points are called the **lattice points**

# 2-D square lattice



- Would you be able to make out the difference between your first and second positions looking at its environment?

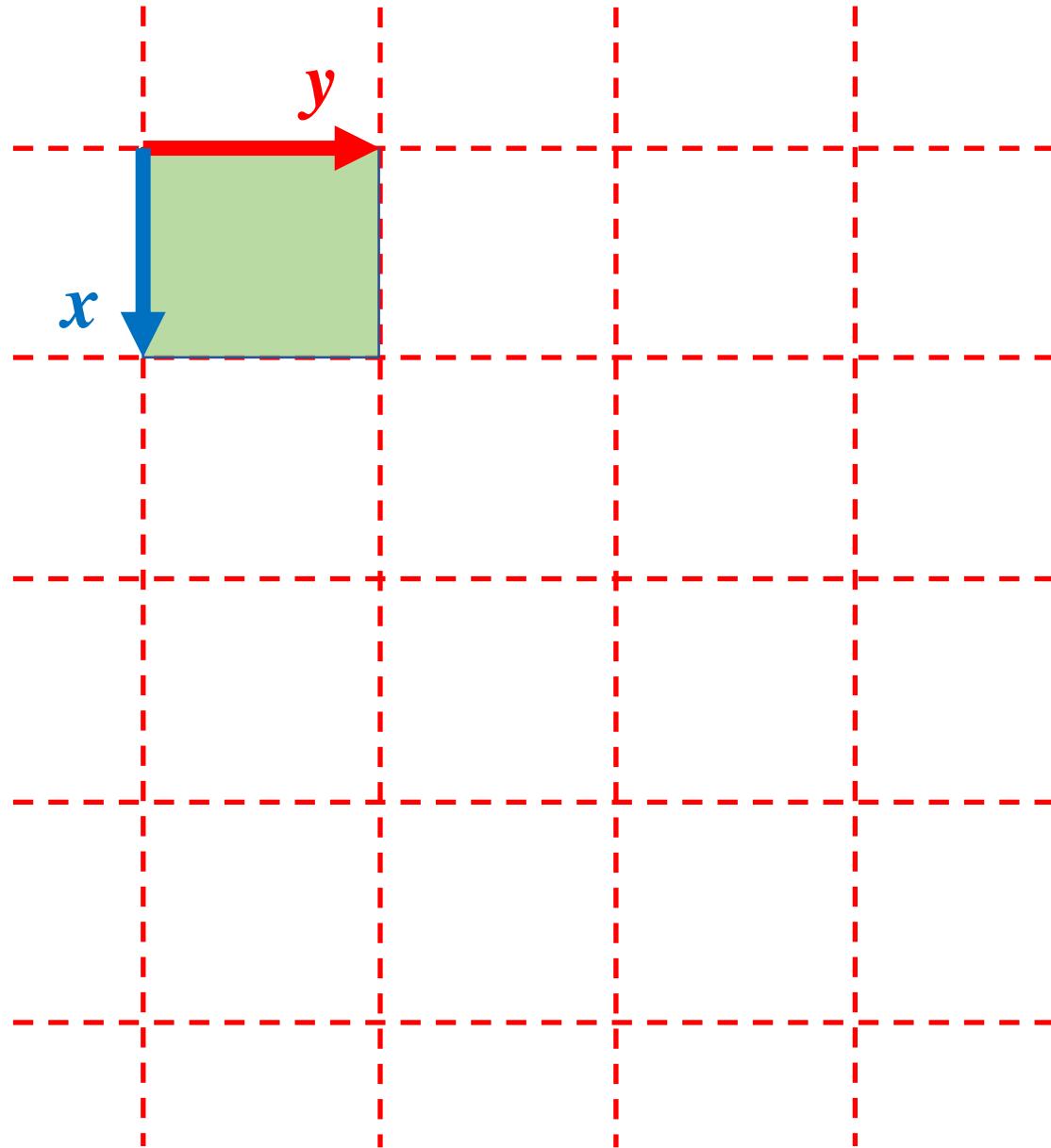
NO ! -----→ Both look identical

- Translational periodicity

**Lattice: Translationally periodic arrangement of points in space such that every point has identical surroundings**

- Dotted grid is a **2-D Square lattice** and the intersection points are called the **lattice points**
- Translational lattice/basis vectors

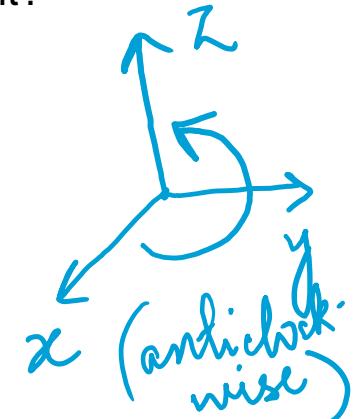
# 2-D square lattice



- Would you be able to make out the difference between your first and second positions looking at its environment?

NO ! -----> Both look identical

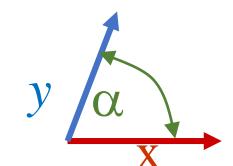
- Translational periodicity



**Lattice:** Translationally periodic arrangement of points in space such that every point has identical surroundings

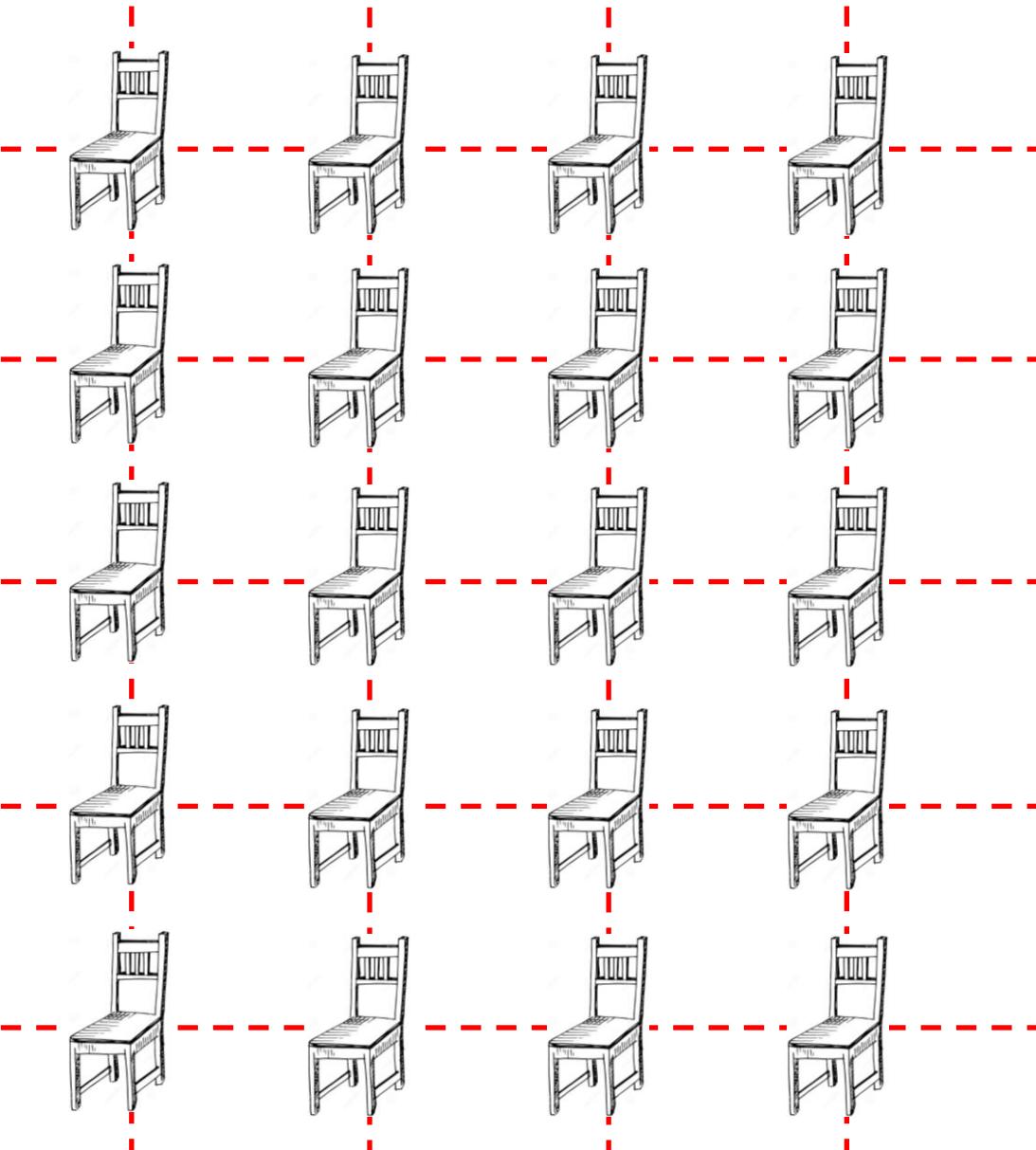
- Dotted grid is a **2-D Square lattice** and the intersection points are called the **lattice points**

- Translational lattice/basis vectors



- **Unit cell :** Smallest area enclosed by the lattice vectors

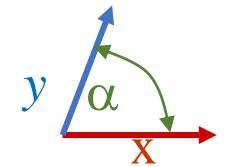
# Motif



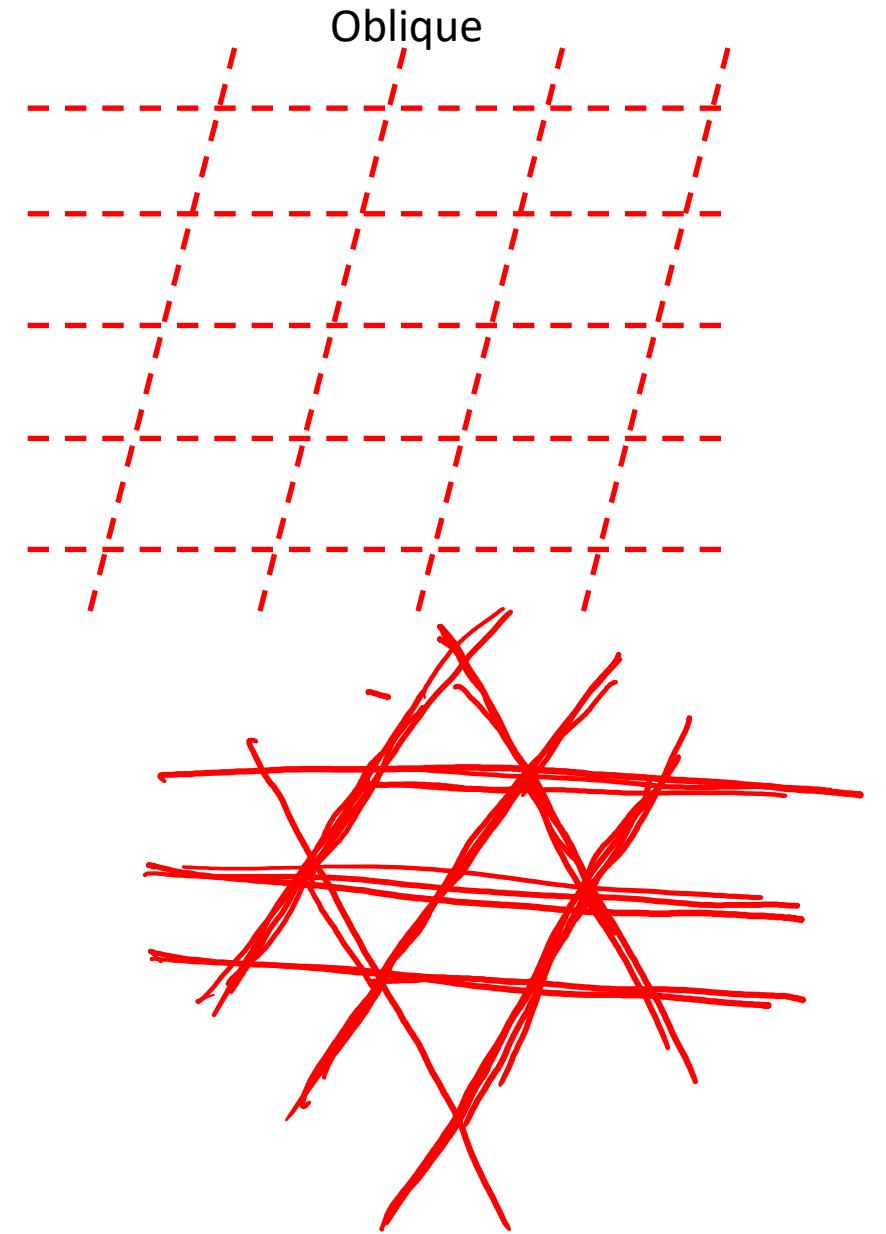
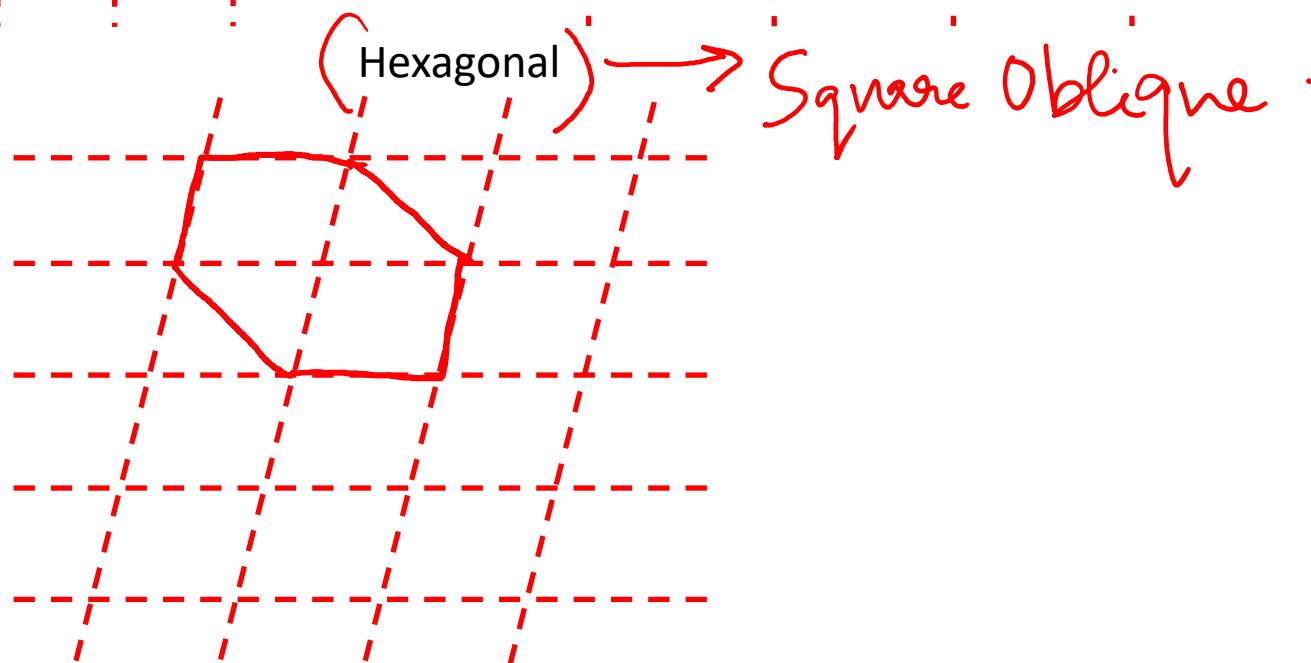
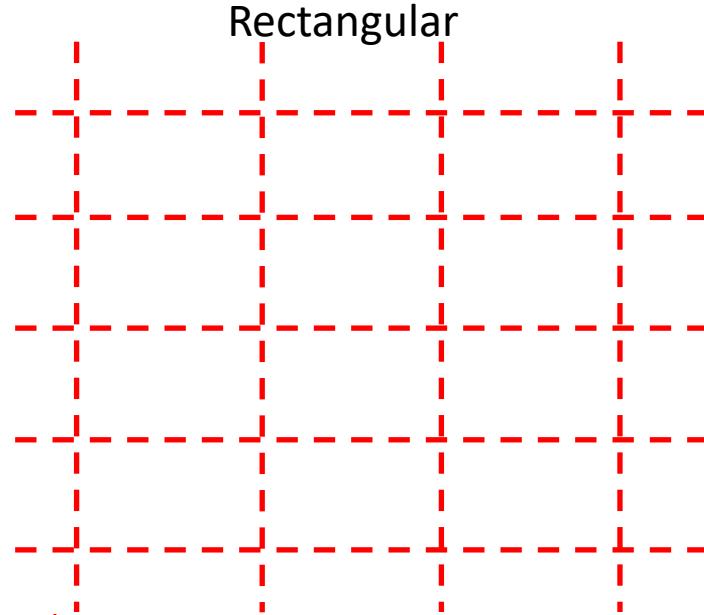
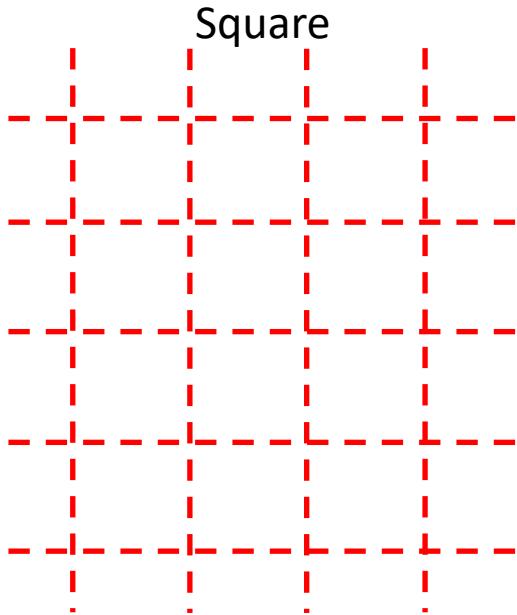
**Lattice:** Translationally periodic arrangement of points in space such that every point has identical surroundings

- Dotted grid is a **2-D Square lattice** and the intersection points are called the **lattice points**

Entity associated with the lattice point is called a **motif**



# 2-D lattice



# MLL 100

# Introduction to

# Materials Science and Engineering

## *Lecture-3*

Dr. Sangeeta Santra ([ssantra@mse.iitd.ac.in](mailto:ssantra@mse.iitd.ac.in))



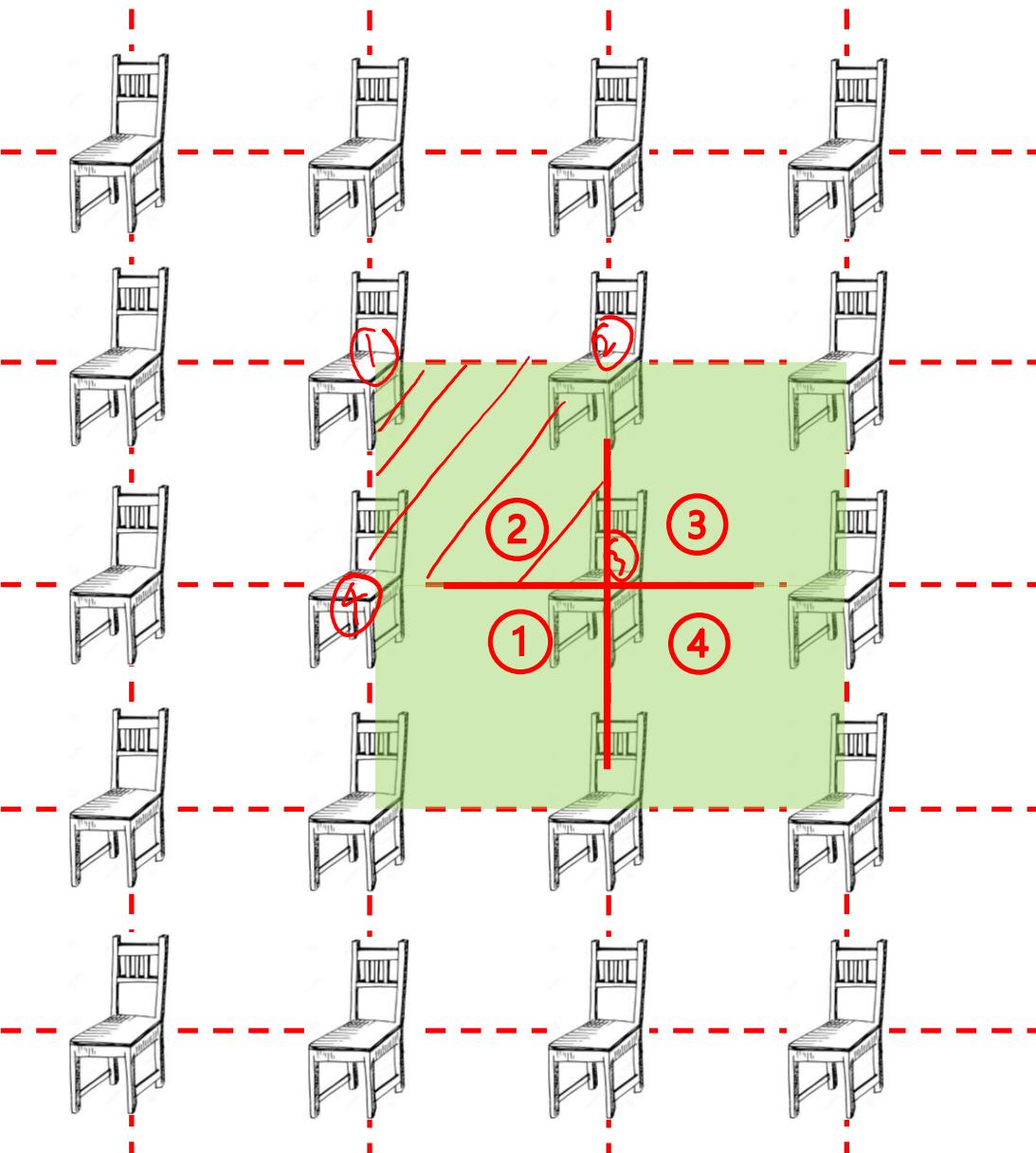
IIT Delhi  
Department of Materials Science and Engineering

January 07, 2022

# What we learnt in Lecture-2?

- Symmetry operations: Inversion, Glide
- Lattice, Motif
- Classification of materials: atomic order

# Primitive and non-primitive cell



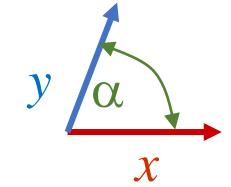
**Lattice:** Translationally periodic arrangement of points in space such that every point has identical surroundings

- Dotted grid is a **2-D Square lattice** and the intersection points are called the **lattice points**

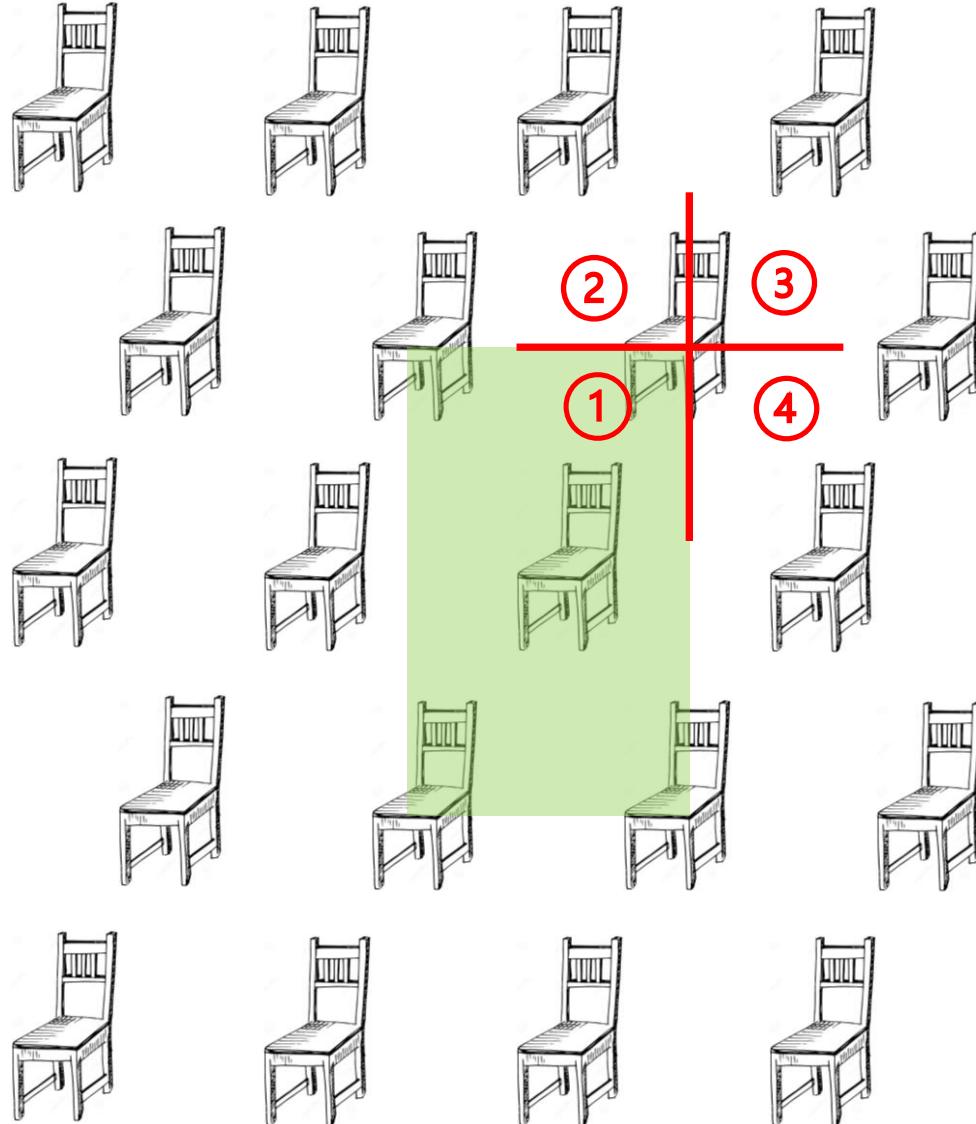
Entity associated with the lattice point is called a **motif**

- How many chairs per unit cell?

One  
Total  $\left(\frac{1}{4}\right)^4 \rightarrow$  Each chair  
 $\text{chairs} = 4$   
 $(4 \times \frac{1}{4}) = 1$

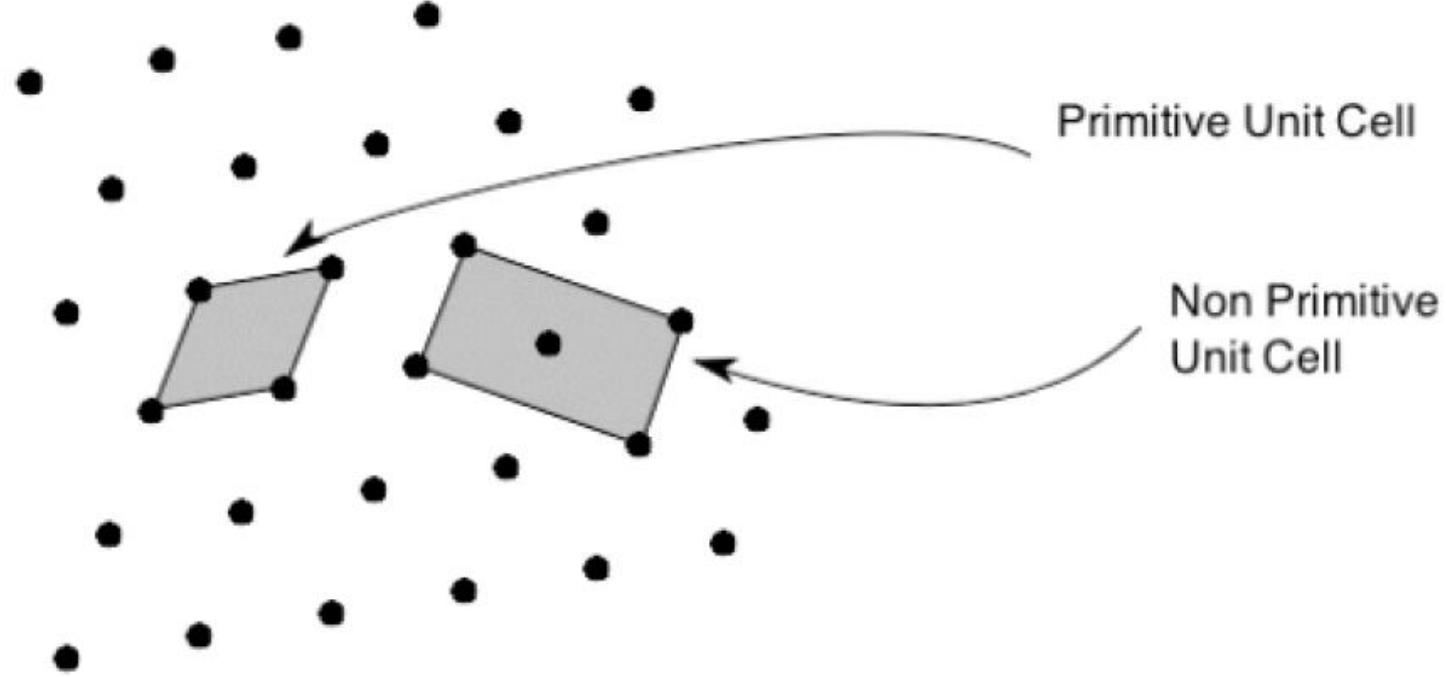


# Primitive and non-primitive cell



- How many chairs per unit cell?

Two



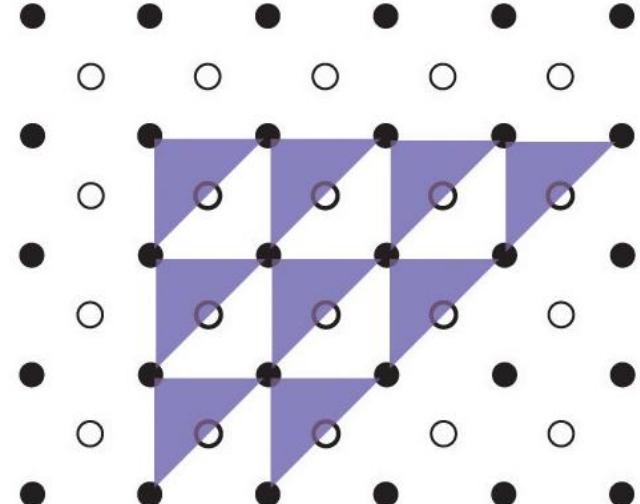
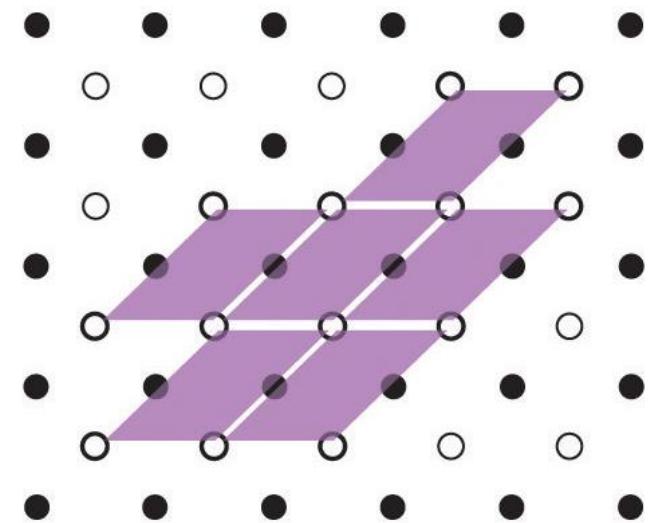
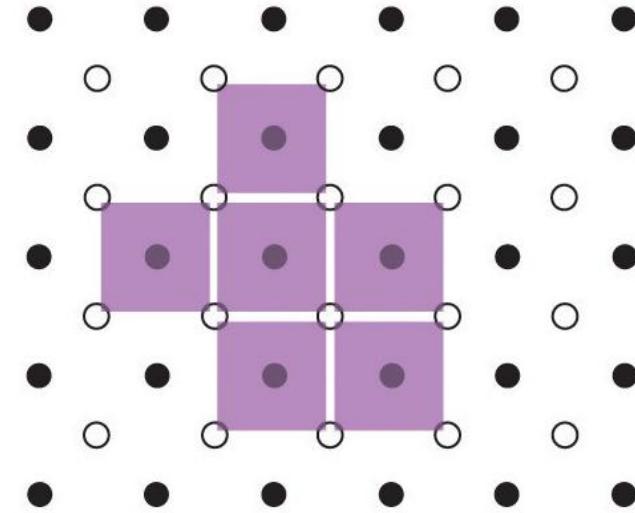
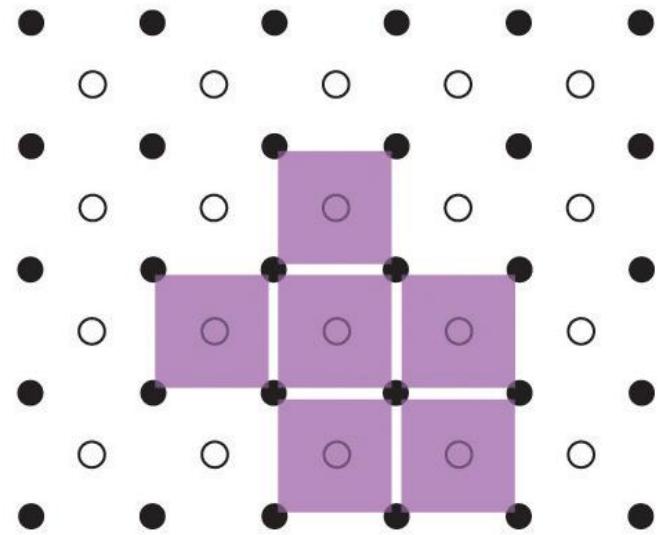
### **Primitive unit cell:**

Only one lattice point, made up from the lattice points at each of the corners.

### **Non-primitive unit cell:**

Additional lattice points, either on a face or edge or within, and therefore, have more than one lattice point per unit cell.

# Number of lattice points per unit cell



# What are the factors governing the selection of my lattice?

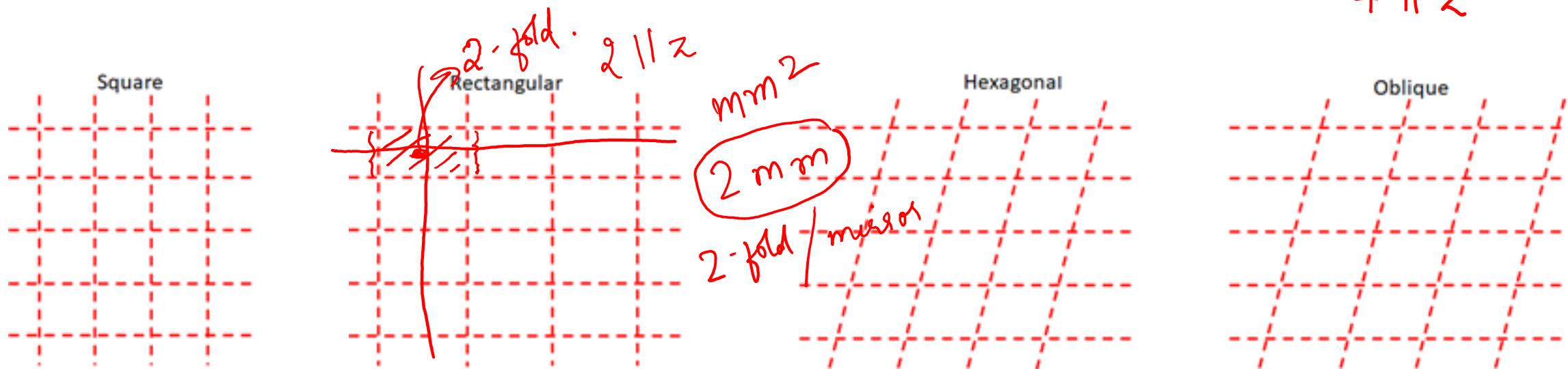
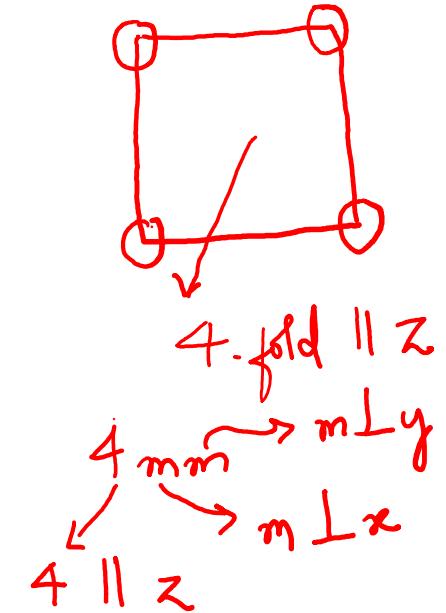
---

- Should enclose smallest possible area
- Symmetry of the lattice should be higher

Centered square lattice = Simple square lattice

# 2-D lattices

Lattice	Symmetry	Shape of UC	Lattice Parameters
Square	4mm	Square	( $a = b, \alpha = 90^\circ$ )
Rectangle	mm2	Rectangle	( $a \neq b, \alpha = 90^\circ$ )
Centered Rectangle	mm2	Rectangle	( $a \neq b, \alpha = 90^\circ$ )
Hexagonal	6mm	120° Rhombus	( $a = b, \alpha = 120^\circ$ )
Rectangular Oblique	2	Parallelogram	( $a \neq b, \alpha$ general value)

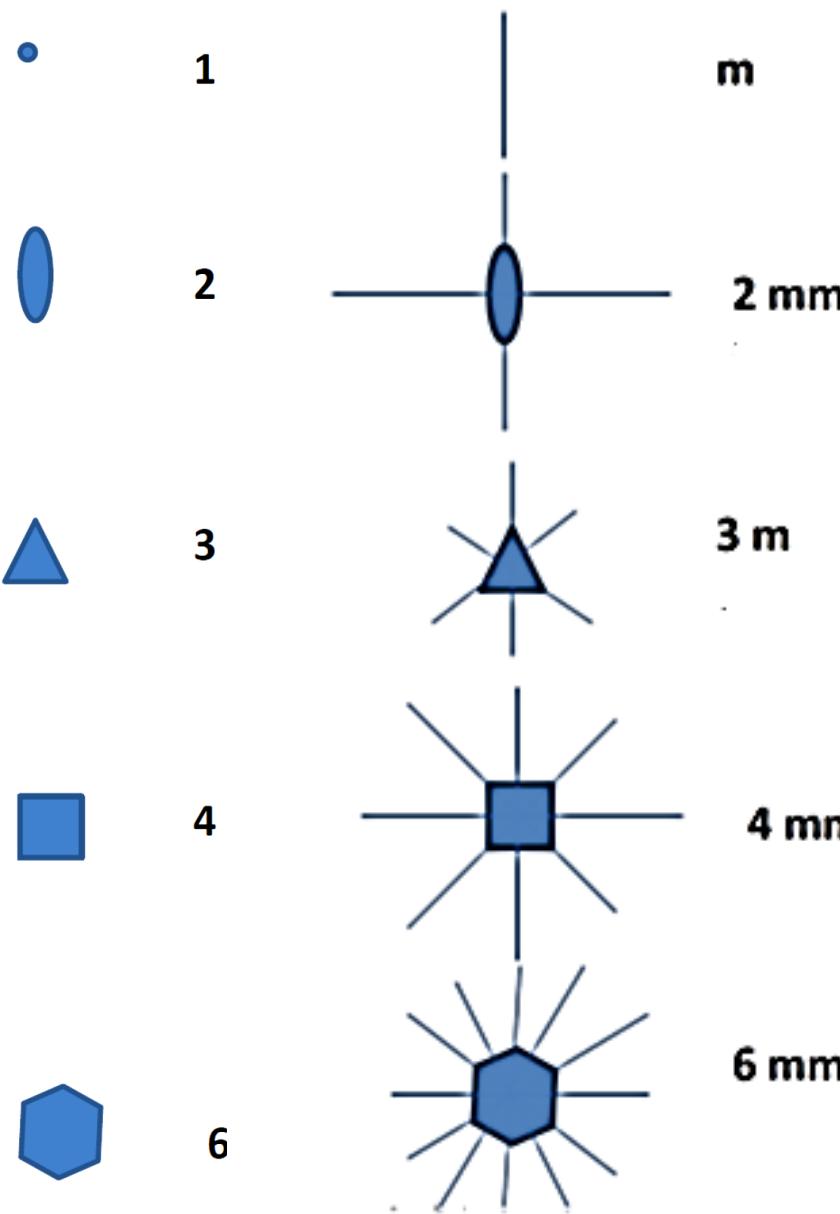


**10 point groups**

+

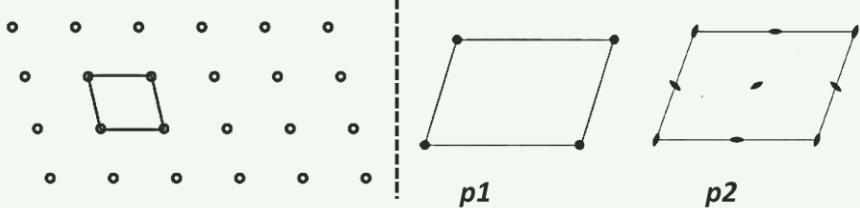
**5 2-D lattice**

**= 17 plane groups**

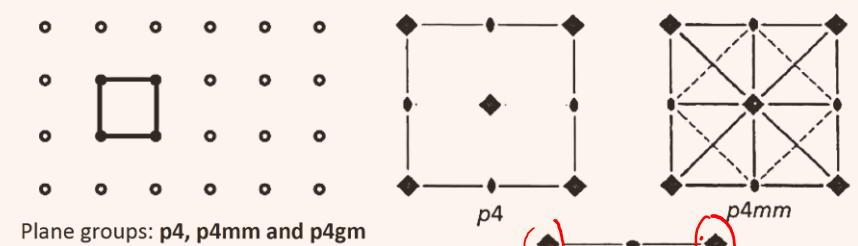


Square
Rectangle
Centered Rectangle
Hexagonal
Rectangular Oblique

Oblique (parallelogram) ( $a \neq b, \neq 90^\circ$ )

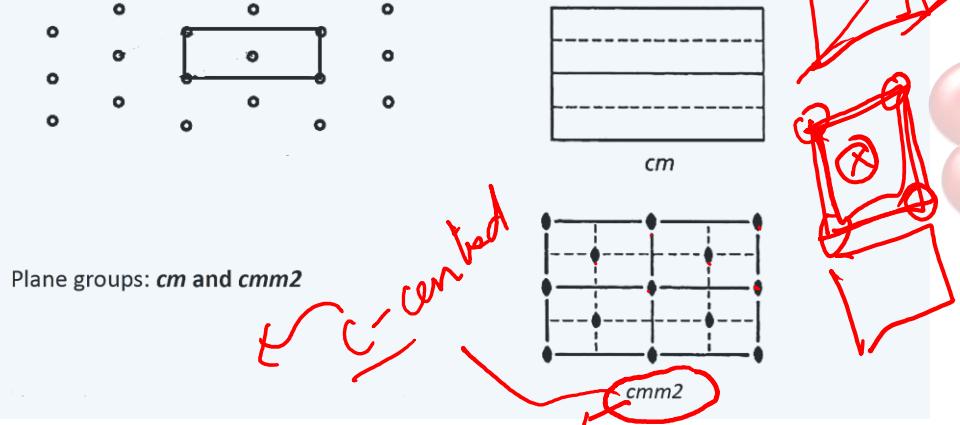


Square ( $a = b, 90^\circ$ )



Plane groups:  $p_4$ ,  $p_{4mm}$  and  $p_{4gm}$

Centered rectangular ( $a \neq b, 90^\circ$ )



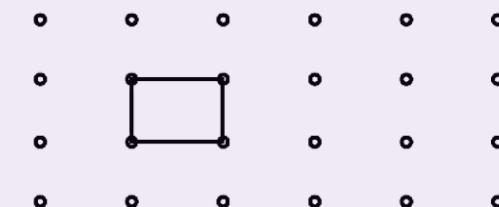
Plane groups:  $cm$  and  $cmm_2$

*C*-centered  
*C*-centred  
*cmm2*

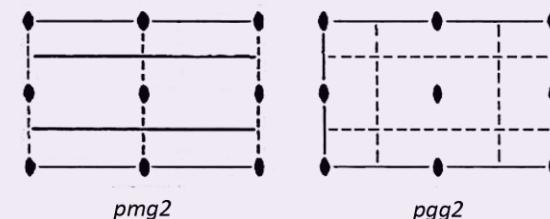
## 17 Plane groups

What does the  
'g' stand for?

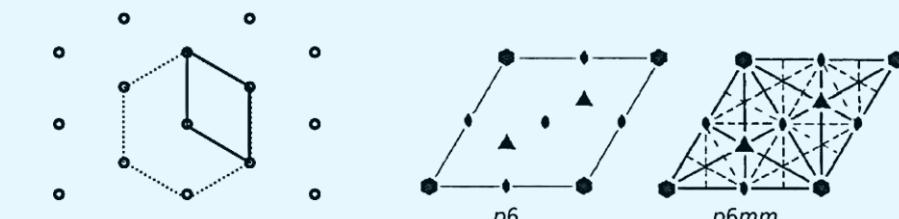
Rectangular ( $a \neq b, 90^\circ$ )



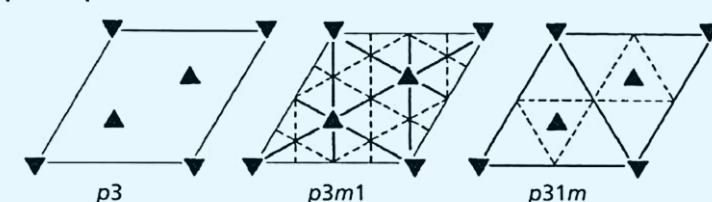
Plane groups:  $pm$ ,  $pg$ ,  $pmg_2$ ,  $pmm_2$  and  $pgg_2$

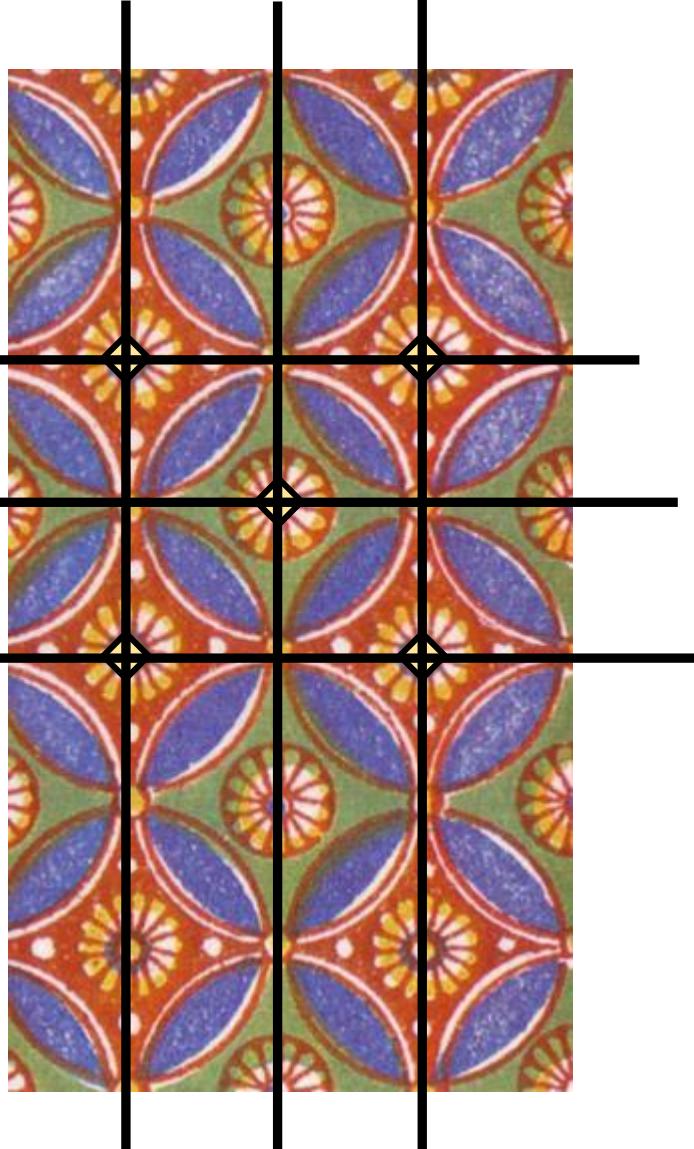


Rhombic or hexagonal ( $a = b, 120^\circ$ )



Plane groups:  
 $p_3$ ,  $p_{31m}$ ,  $p_{3m1}$ ,  $p_6$  and  $p_{6mm}$



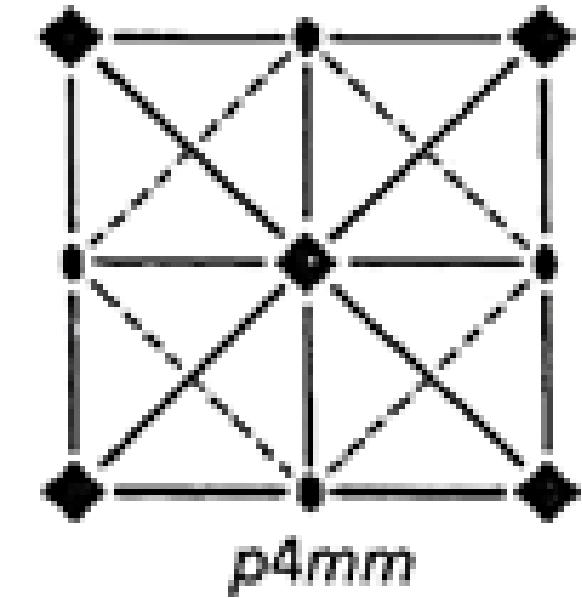


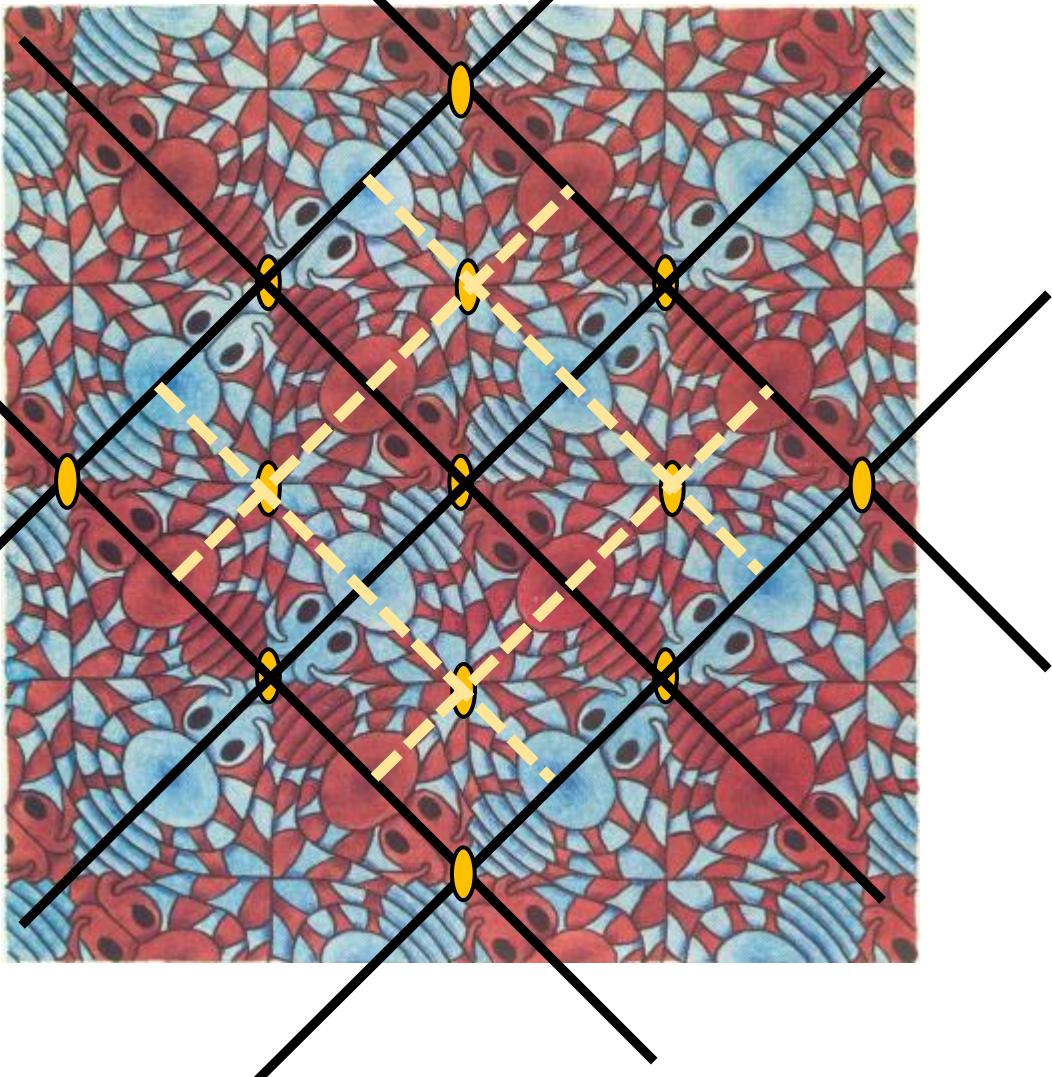
- What is the highest symmetry of rotation?

4-fold II z

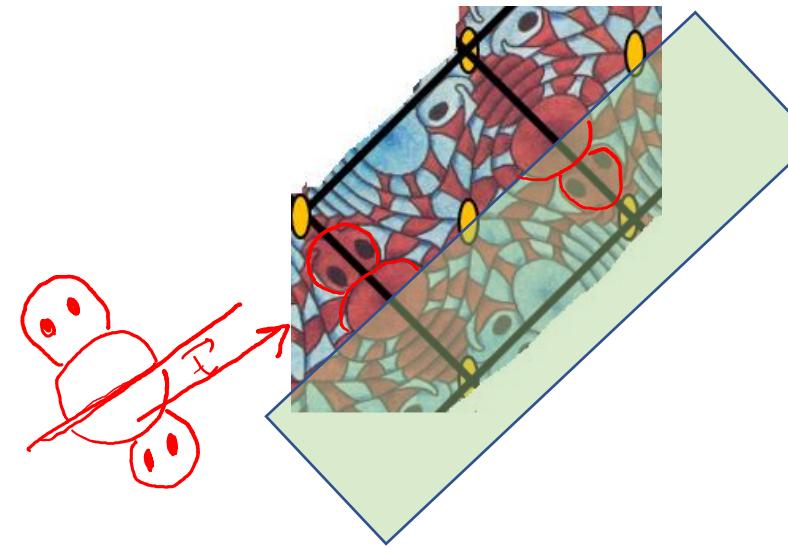
- Is mirror (m) present perpendicular to any of the axes?

Yes, m





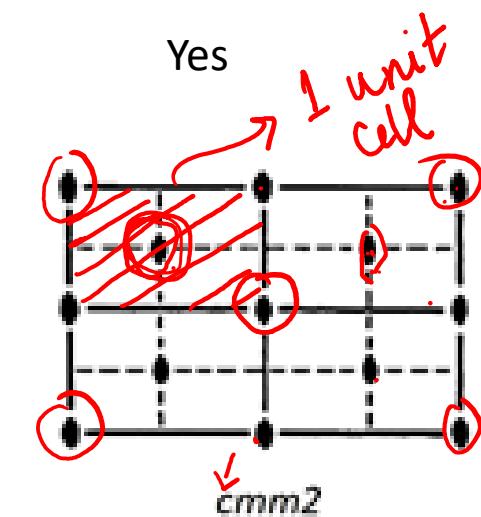
- What is the highest symmetry of rotation?
- Is mirror ( $m$ ) present perpendicular to any of the axes?
- Are the mirrors perpendicular to each other?

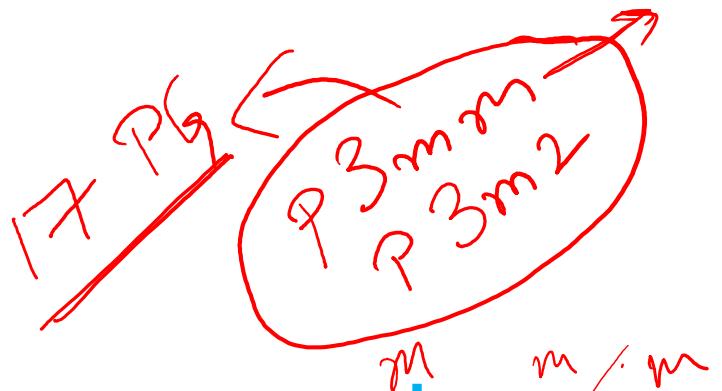


2-fold II z

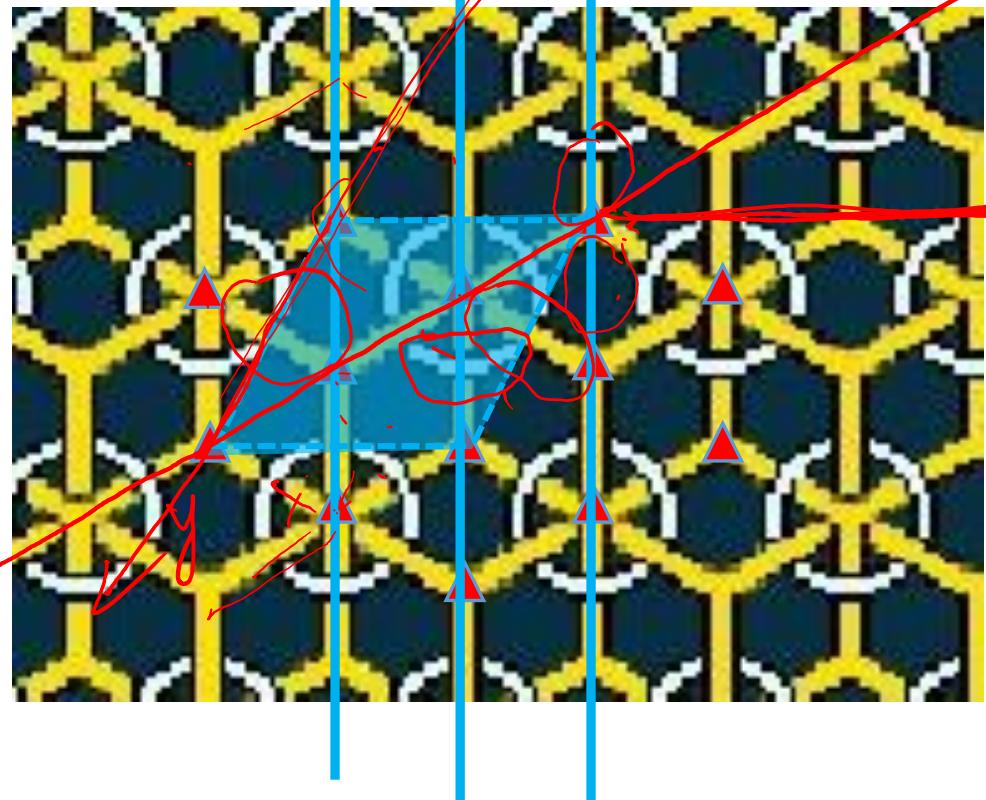
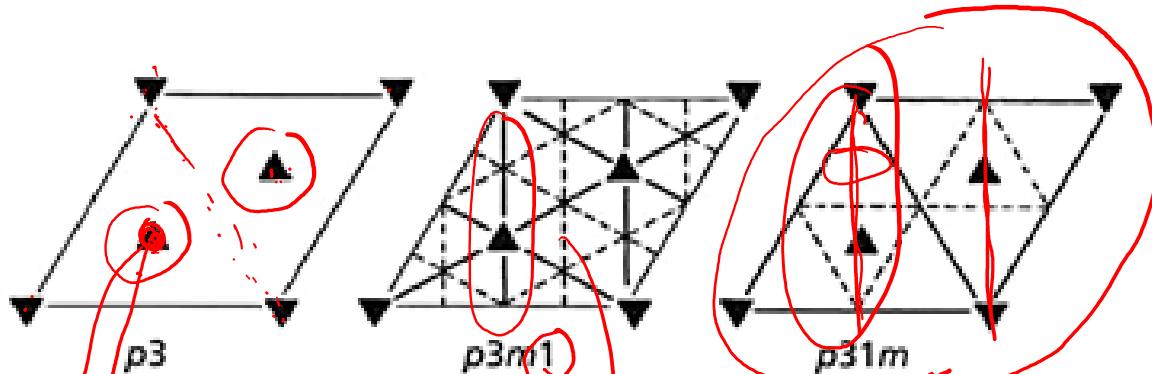
Yes,  $m$

Yes

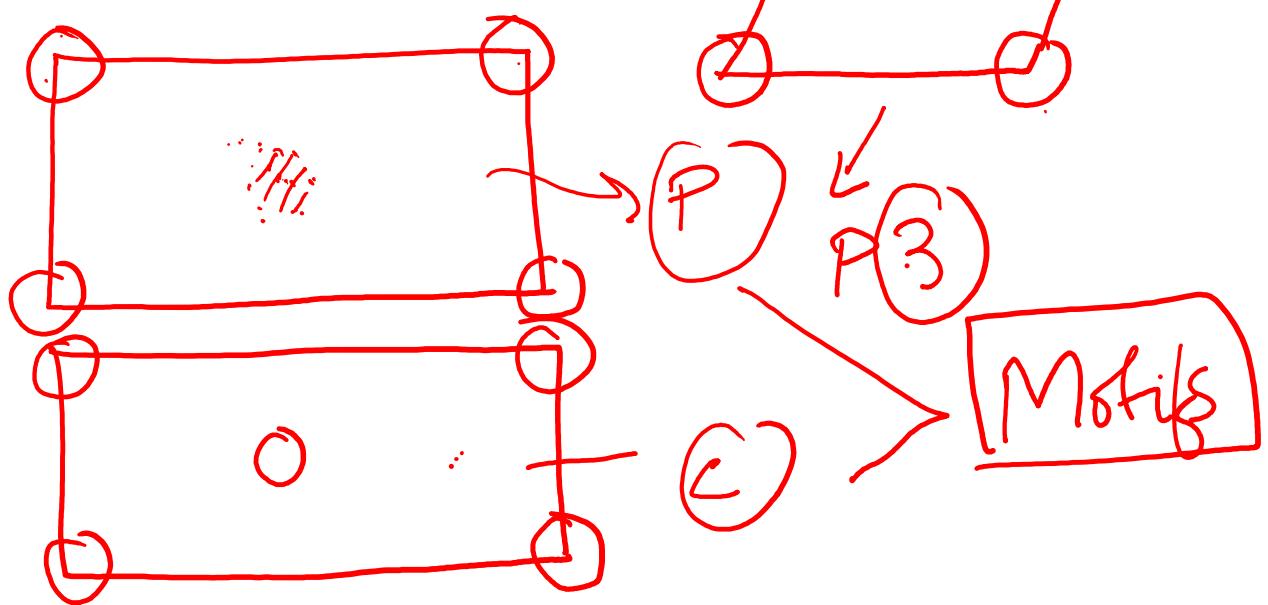




Bold solid  
(mirror)



Symmetry  
elements.



Motifs / atoms

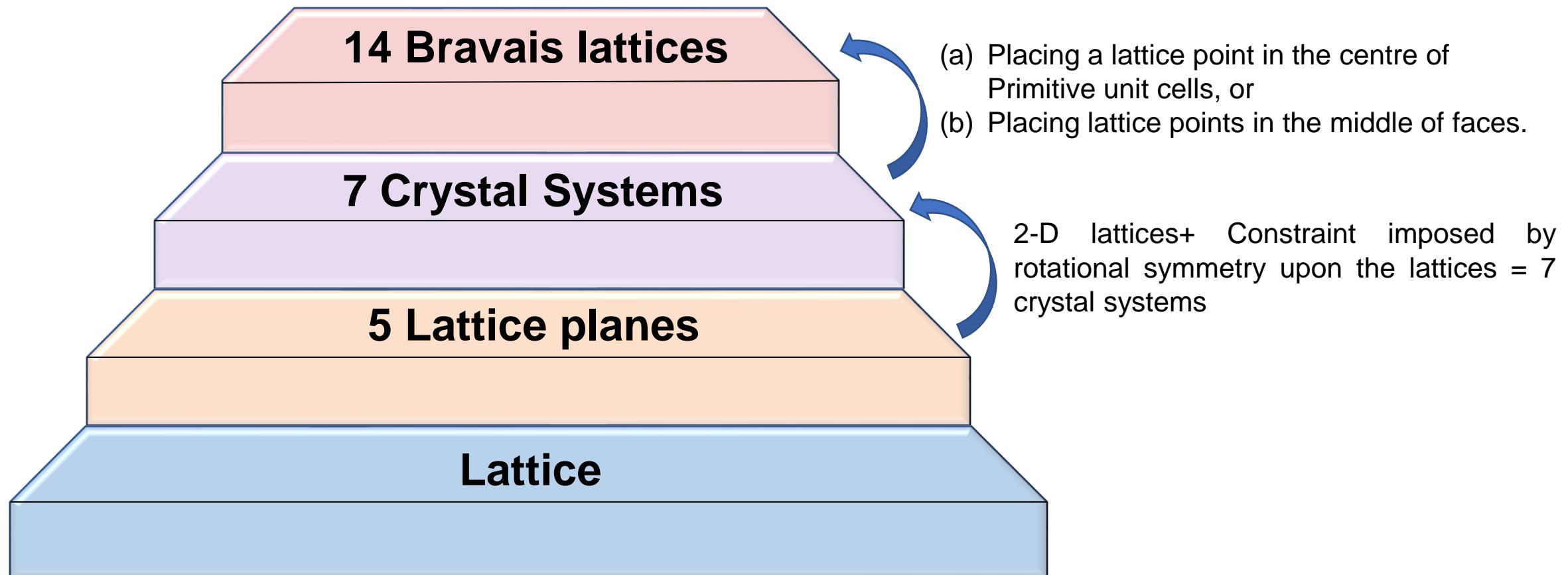
glide planes

# Crystal Hierarchy

- ❑ Unique ways to arrange the lattice points in:

2-D : 5

3-D: 14



# MLL 100

# Introduction to

# Materials Science and Engineering

## ***Lecture-4***

Dr. Sangeeta Santra ([ssantra@mse.iitd.ac.in](mailto:ssantra@mse.iitd.ac.in))



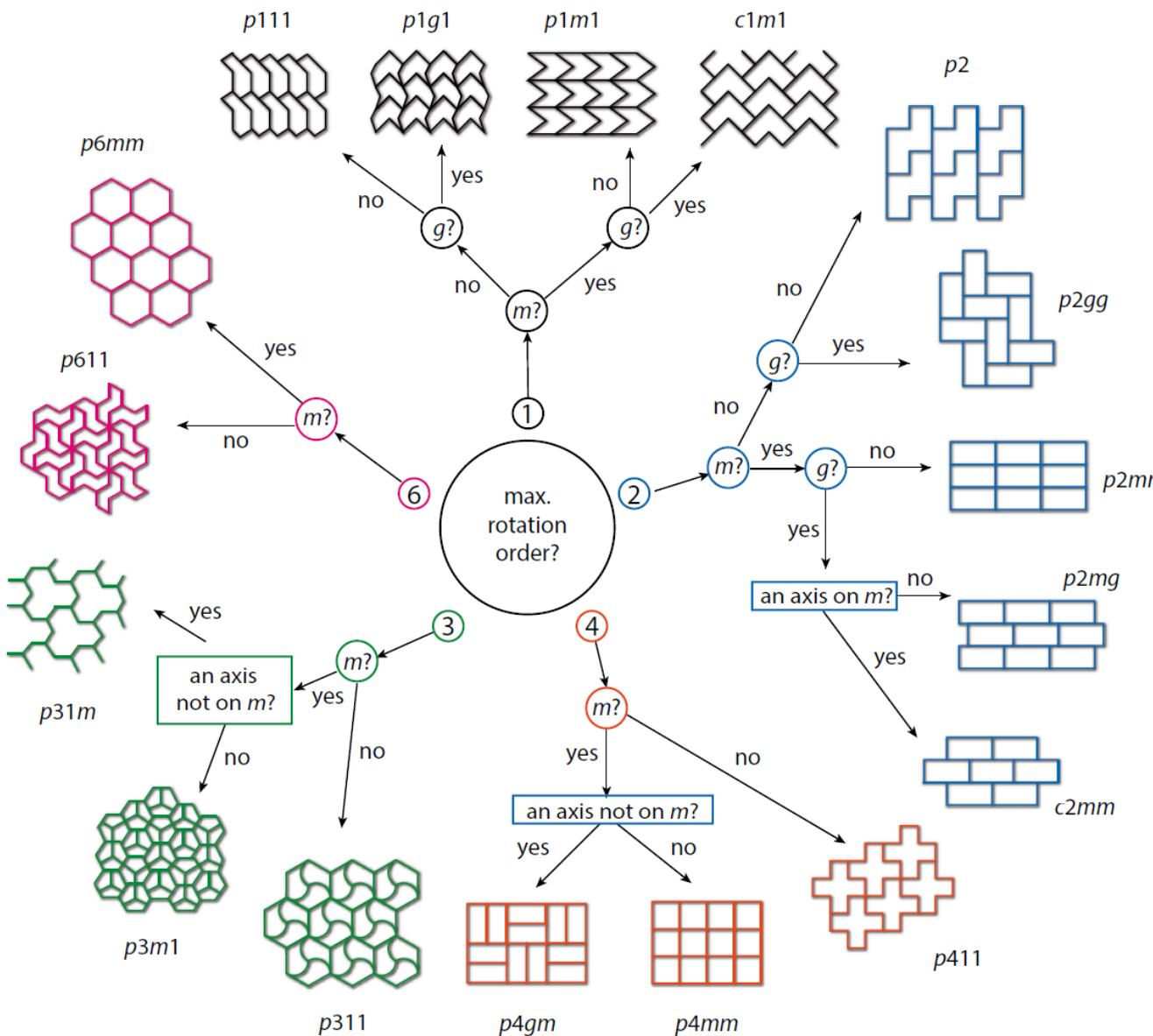
IIT Delhi  
Department of Materials Science and Engineering

January 11, 2022

# What we learnt in Lecture-3?

- Primitive and non-primitive unit cell
- Lattice point calculation per unit cell (2-D)
- 2-D lattices
- Plane groups

# Algorithm for plane group determination



- 1) What is the rotation axis of highest order?
- 2) Is mirror plane present?
- 3) Is glide plane ( $g$ ) present which does not result from any combination of rotation, translation and reflection?
- 4) Is any rotation axis of arbitrary order (not necessarily the one with the highest order) present but not on a mirror?
- 5) Is rotation axis of any order present on a mirror?
- 6) Is rotation axis of any order present on a mirror?

# Crystal

*Homogeneous, anisotropic solid states, whose building blocks are three-dimensional periodically ordered*

*krystallos*



Milk

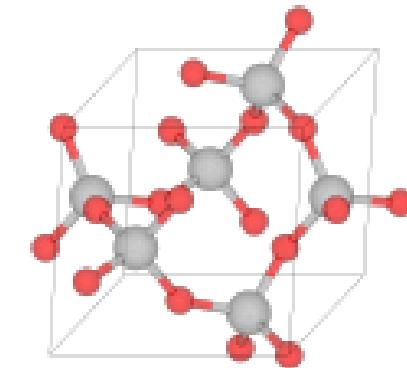


**Homogeneous: Uniform chemical composition**

Horlicks



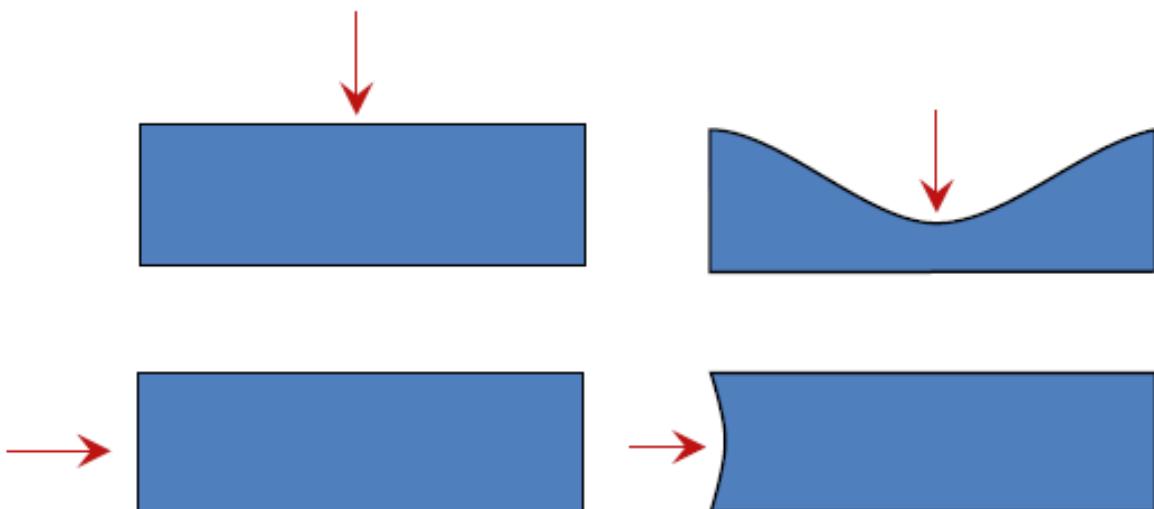
Milk with honey



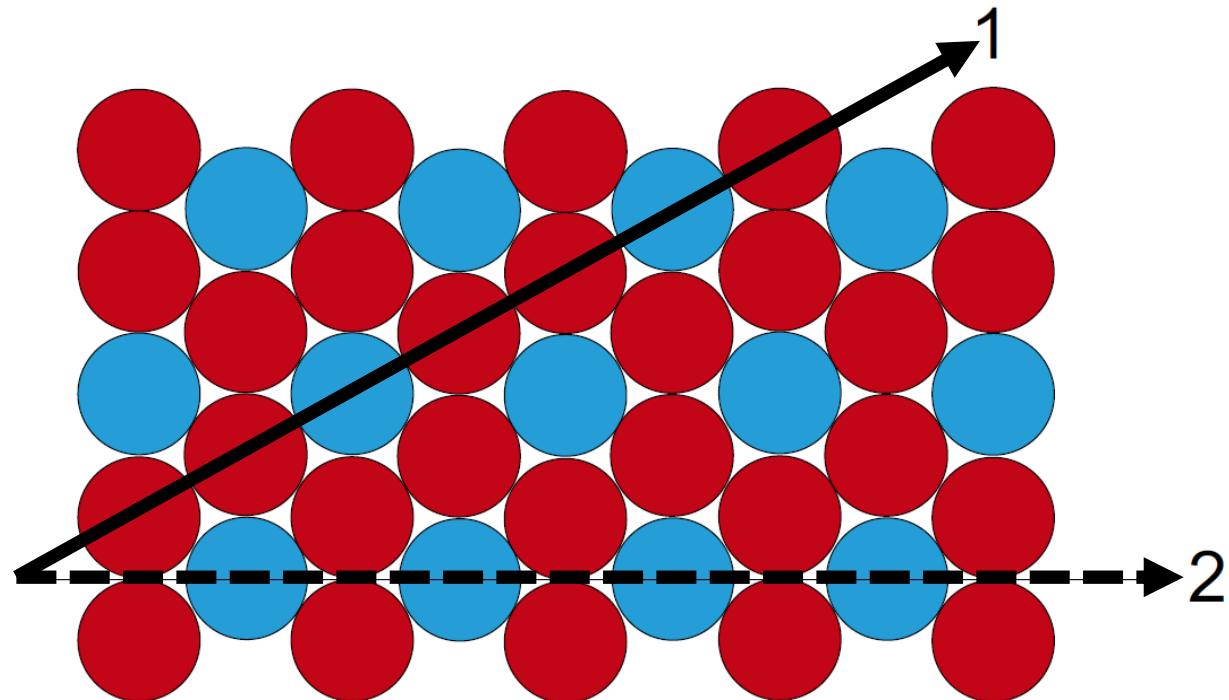
Quartz

# Anisotropy

- Directional dependent properties



*Application of pressure along different directions*



# Importance of crystal systems



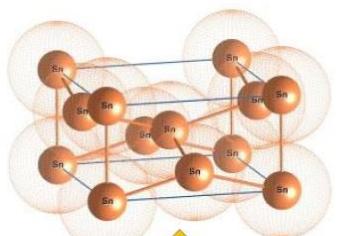
Color: White

$\beta$ -tin

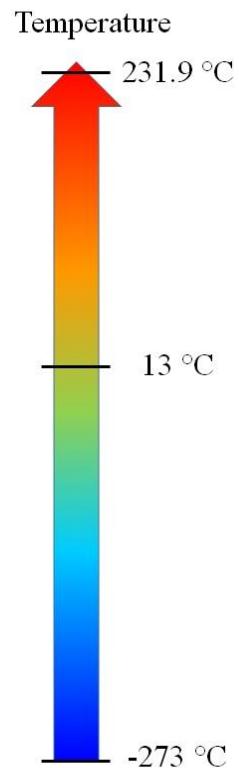
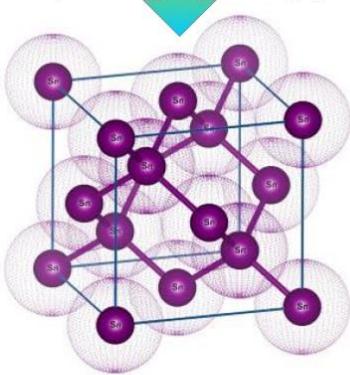
(Body Centered Tetragonal)

$a = 5.831 \text{ \AA}$ ,  $c = 3.181 \text{ \AA}$

Density:  $7.29 \text{ g/cm}^3$   
at  $15^\circ\text{C}$



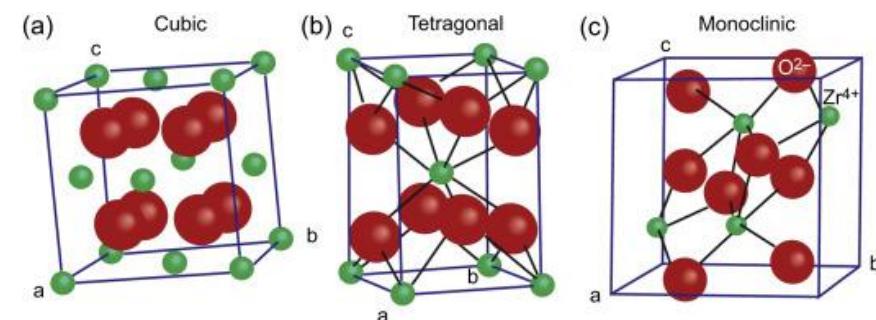
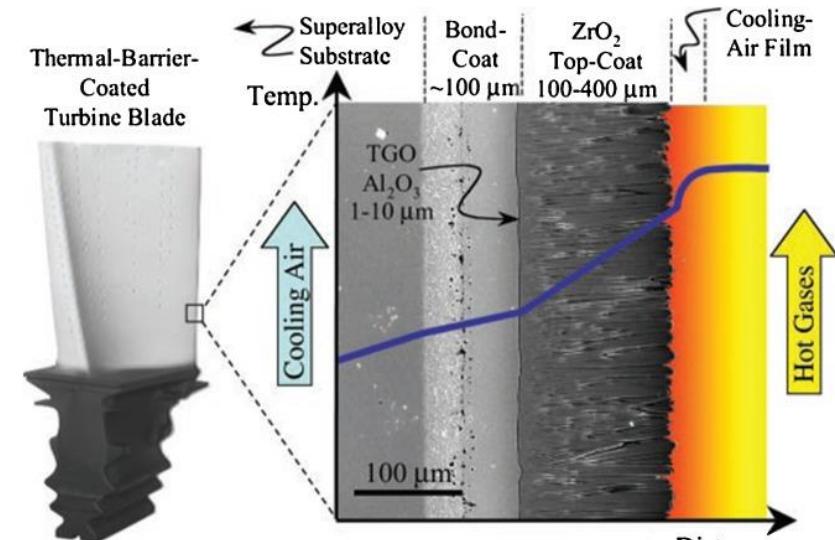
Allotropic transformation  
(27% volume change)

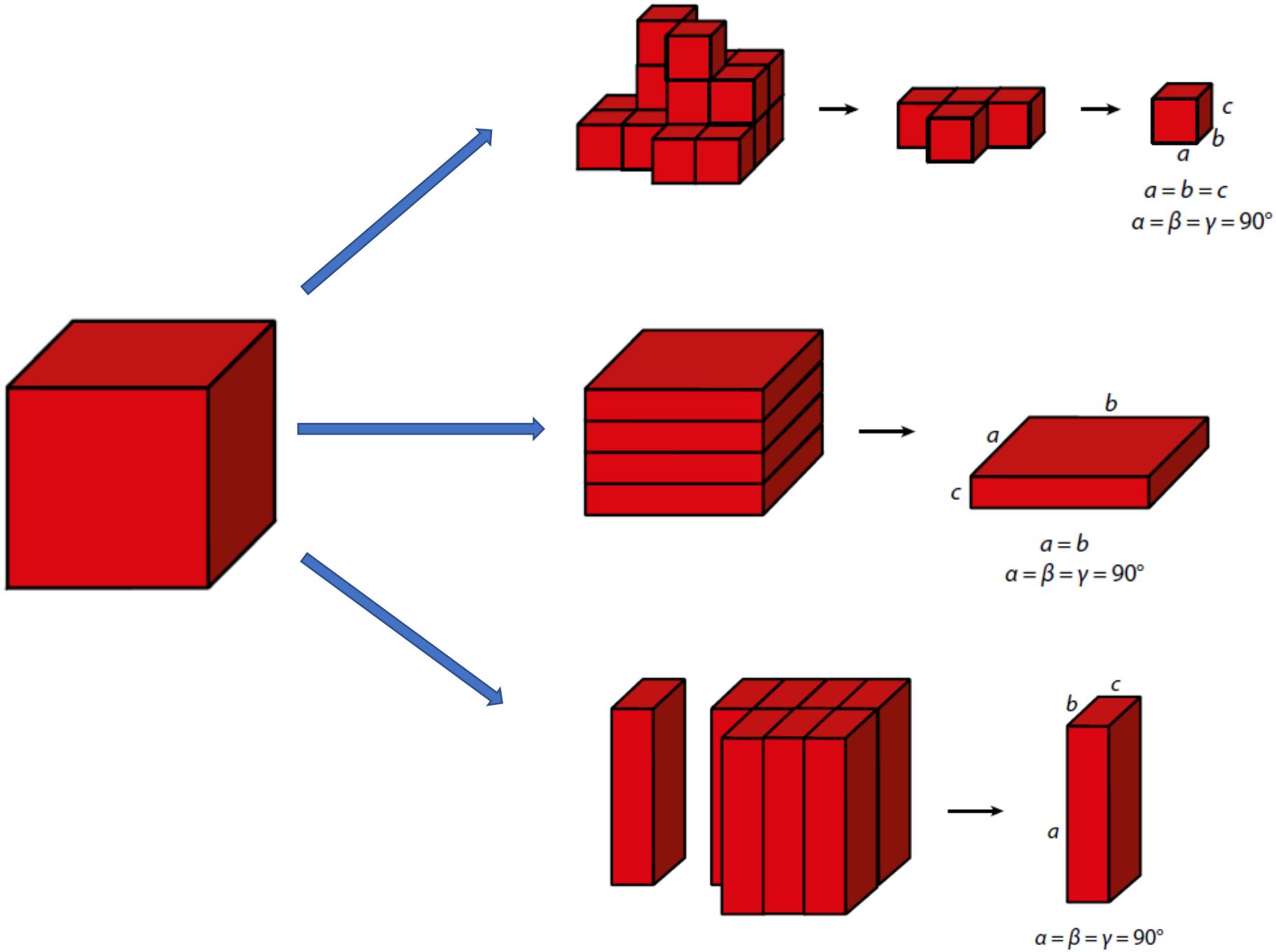


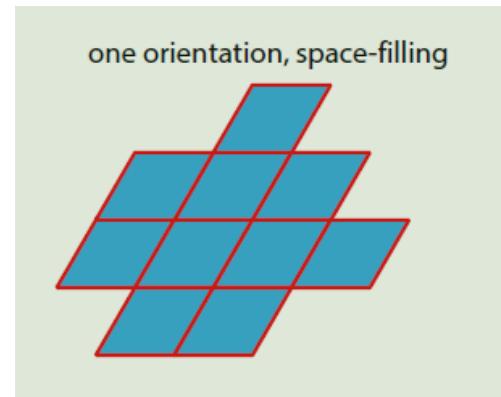
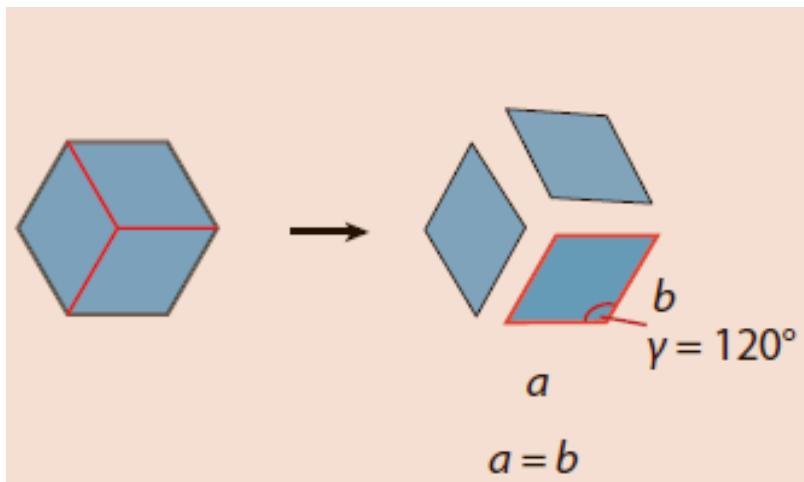
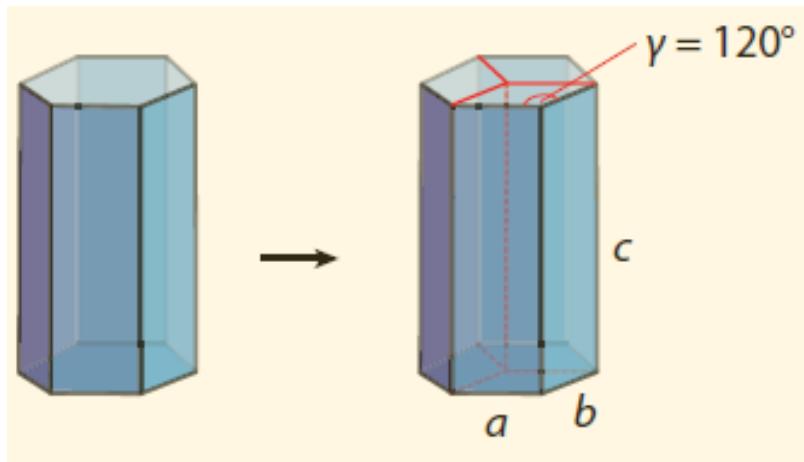
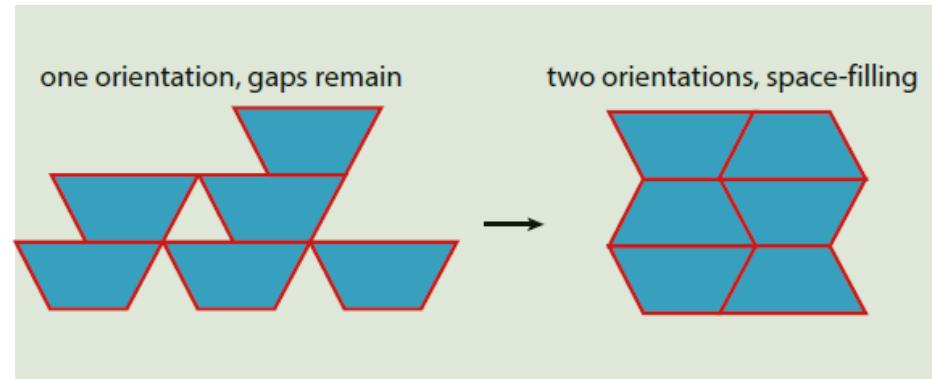
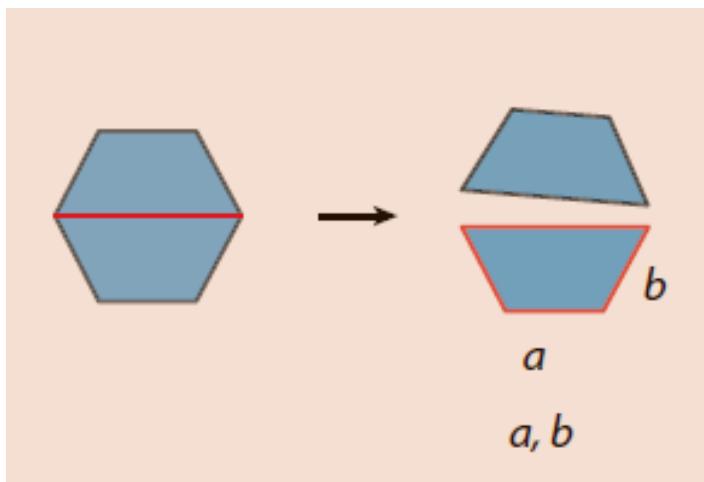
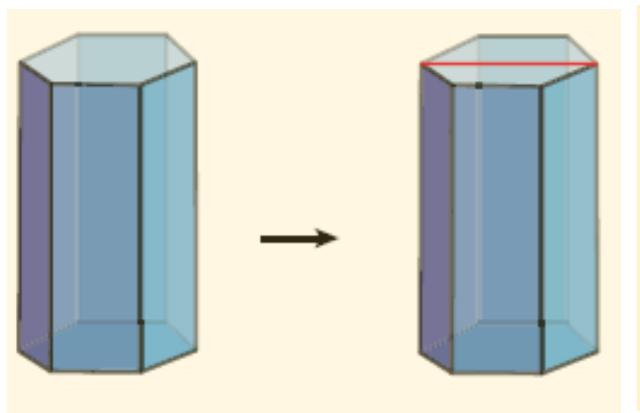
Color: Grey

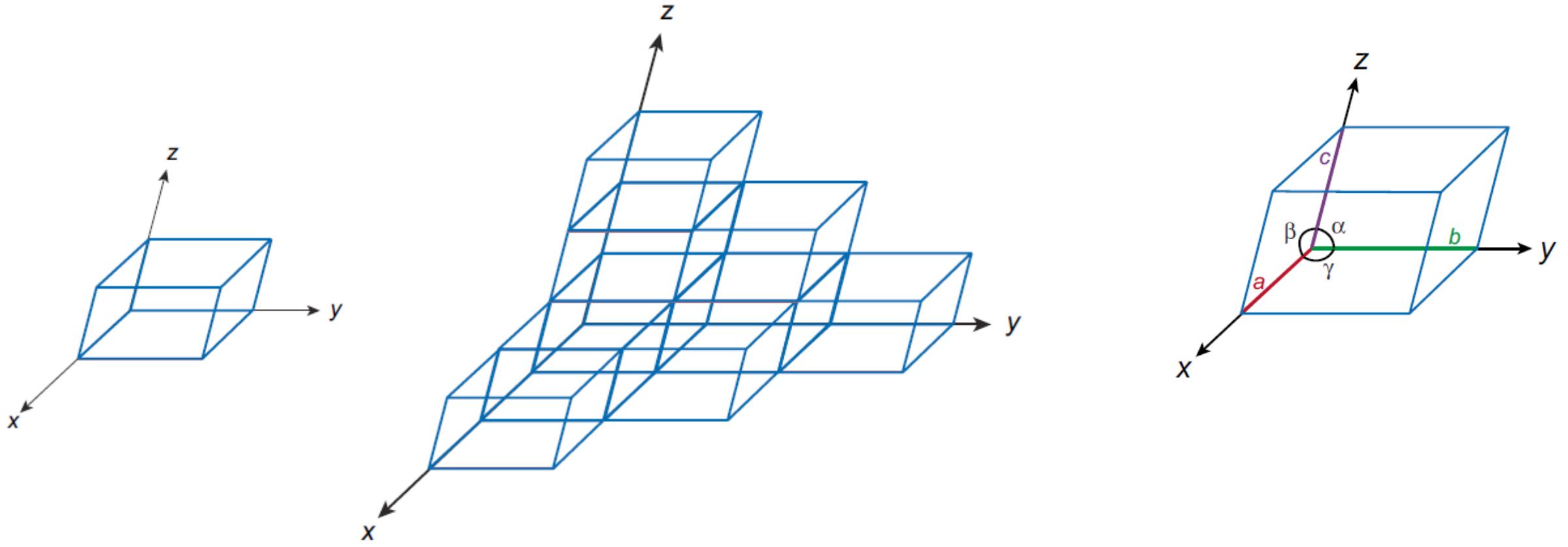
$\alpha$ -tin (Diamond cube)  
 $a = 6.489 \text{ \AA}$

Density:  $5.77 \text{ g/cm}^3$   
at  $13^\circ\text{C}$







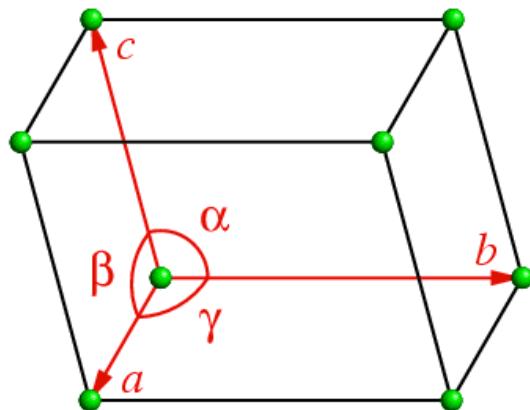


**Unit cell:** Smallest unit which builds up the entire crystal by repeating translations along all three spatial directions

# Crystal systems

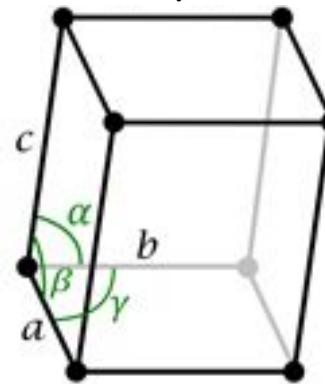
## 1 Triclinic

$$a \neq b \neq c; \alpha \neq \beta \neq \gamma$$



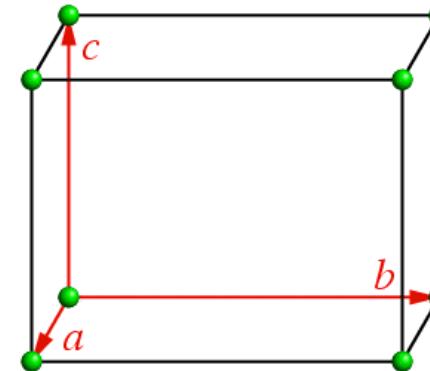
## 2 Monoclinic

$$a \neq b \neq c; \alpha = \gamma = 90^\circ \neq \beta$$



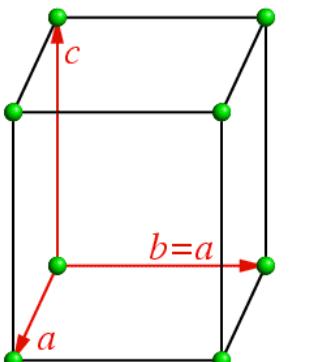
## 3 Orthorhombic

$$a \neq b \neq c; \alpha = \beta = \gamma = 90^\circ$$



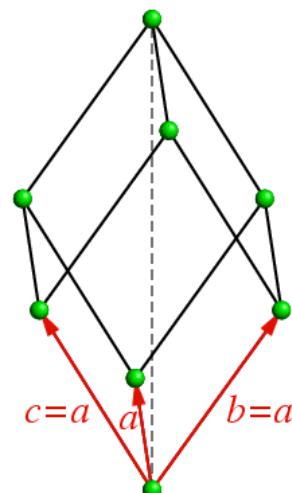
## 4 Tetragonal

$$a = b \neq c; \alpha = \beta = \gamma = 90^\circ$$



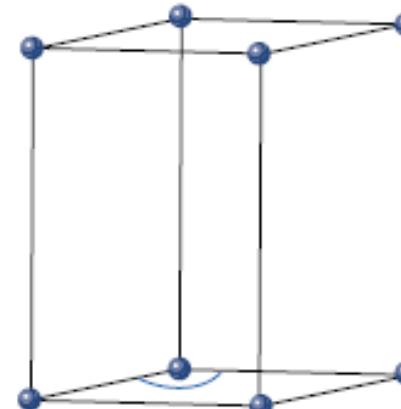
## 5 Trigonal

$$a = b = c; \alpha = \beta = \gamma \neq 90^\circ$$



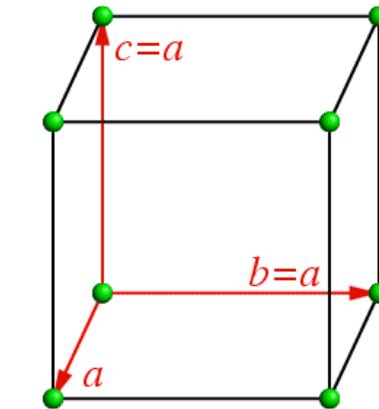
## 6 Hexagonal

$$a = b \neq c; \alpha = \beta = 90^\circ; \gamma = 120^\circ \quad a = b = c; \alpha = \beta = \gamma = 90^\circ$$

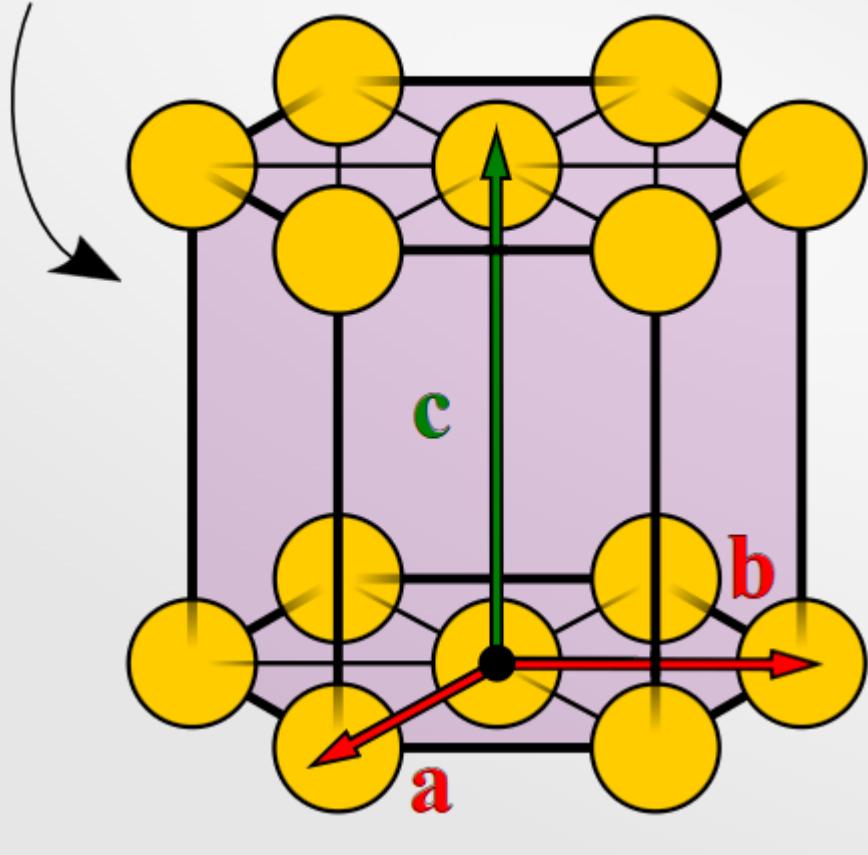


## 7 Cubic

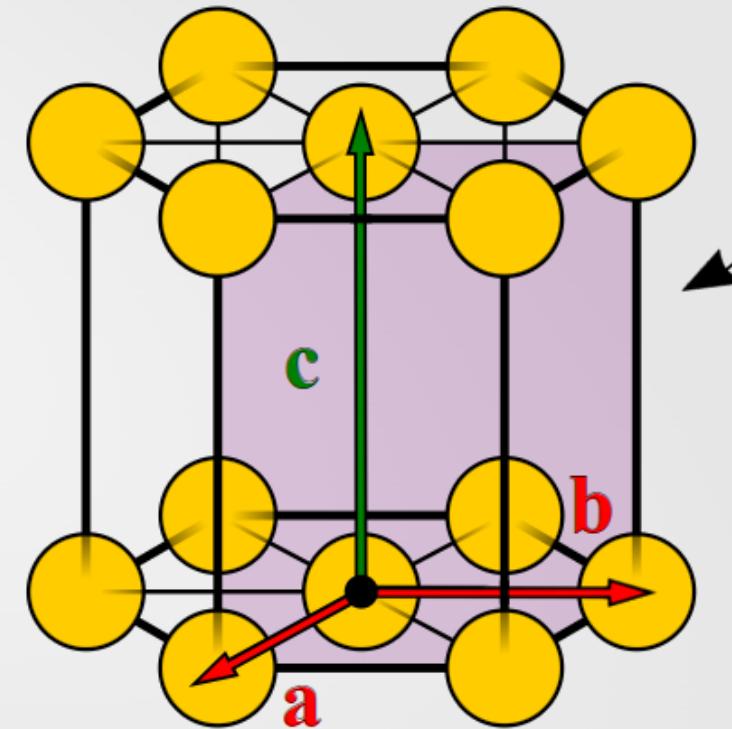
$$a = b = c; \alpha = \beta = \gamma = 90^\circ$$



Conventional Cell



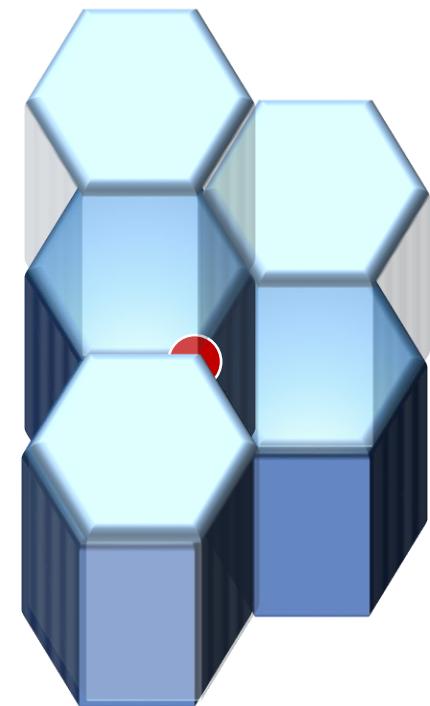
Primitive Cell



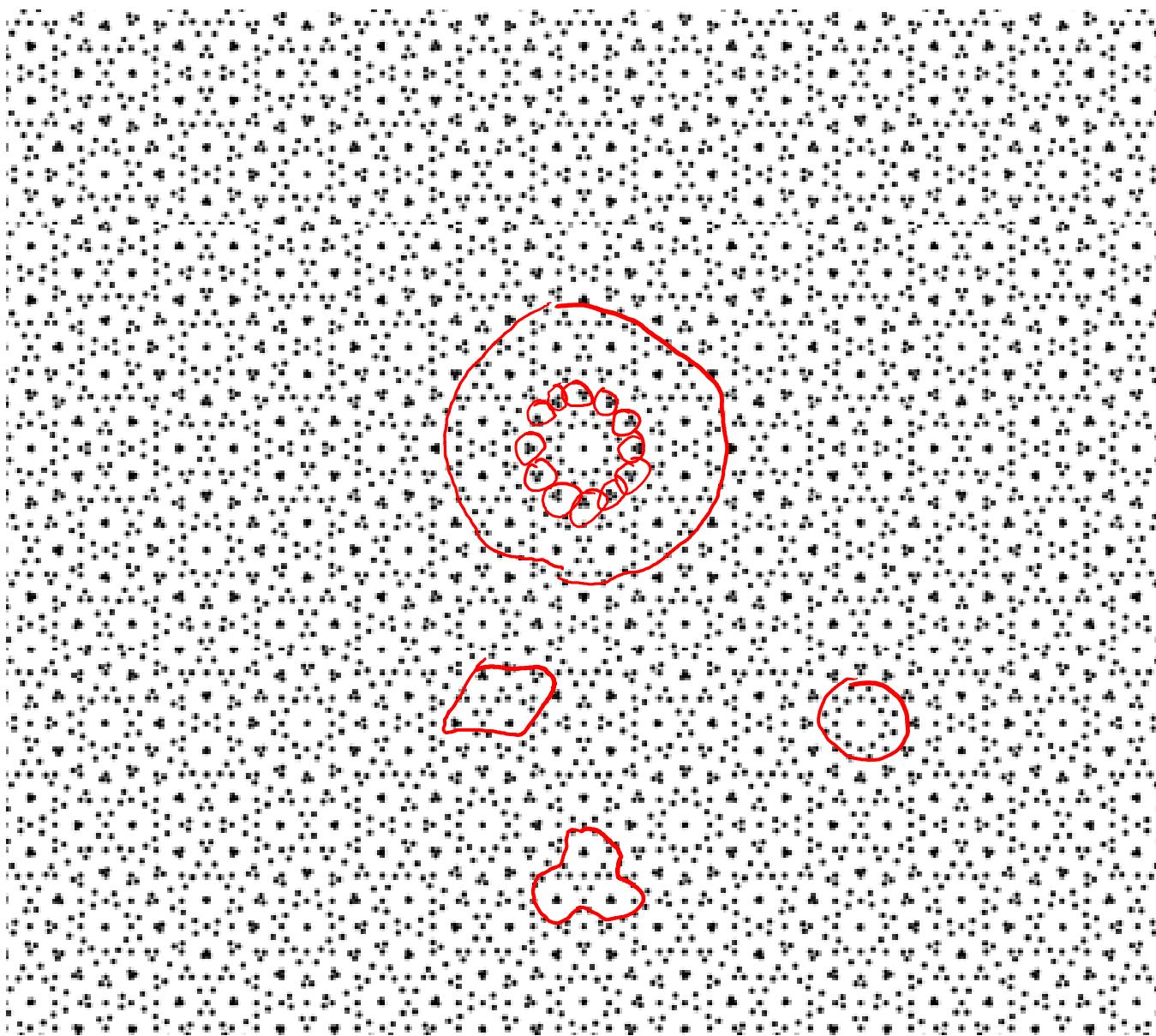
*Primitive cell accounts for one third of a conventional cell*

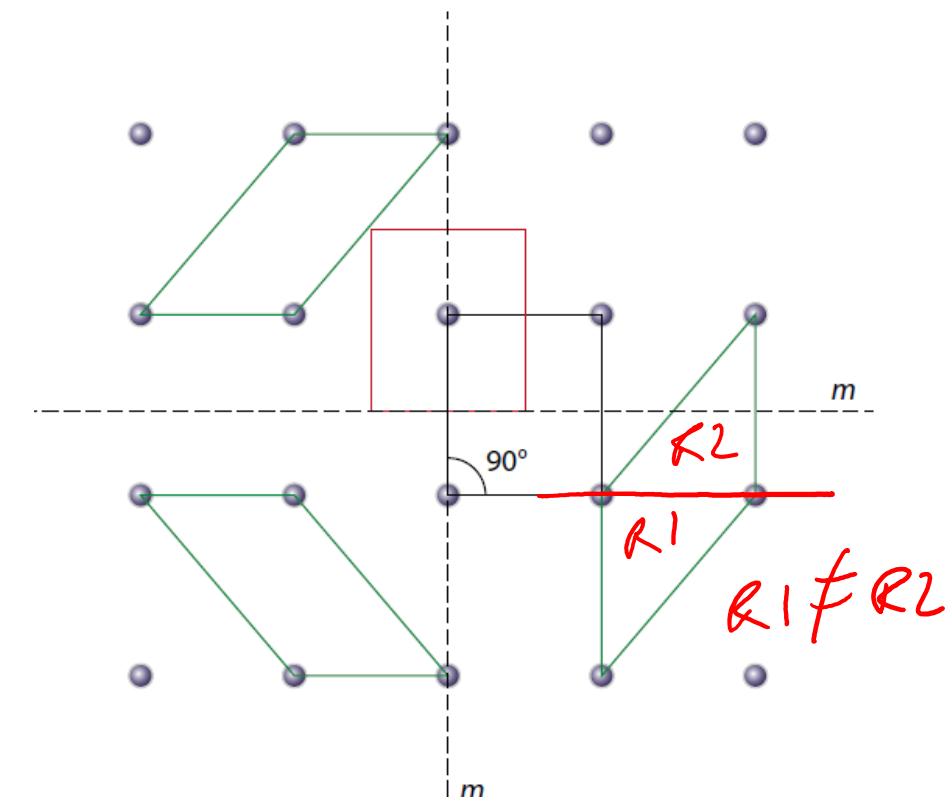
How many atoms per unit cell in a conventional cell?

Three

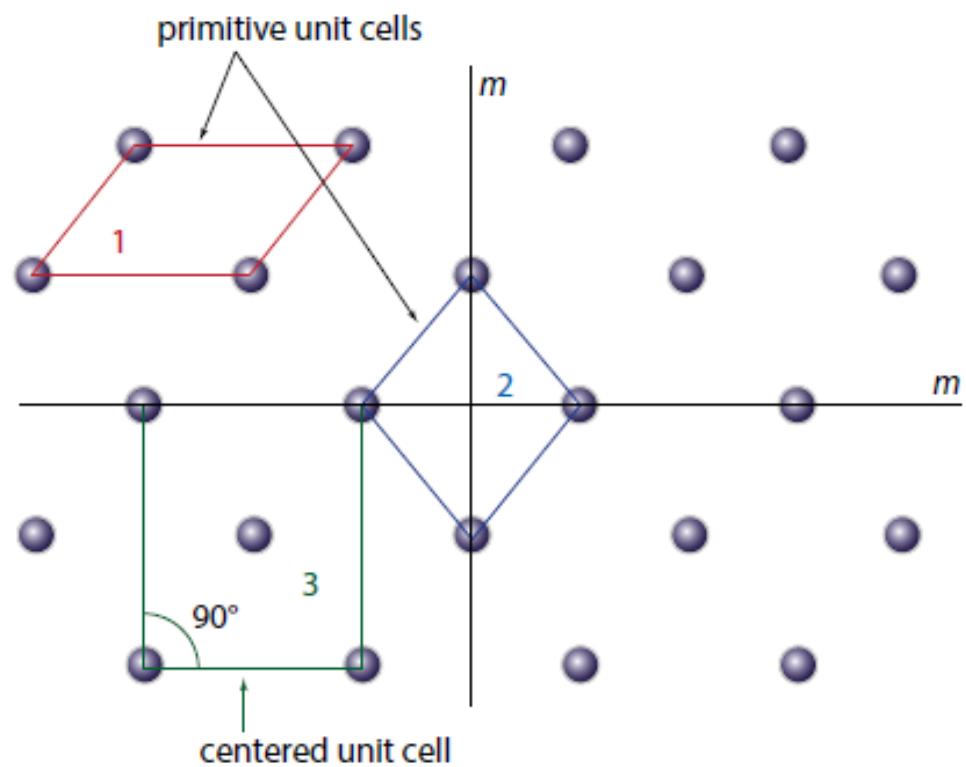
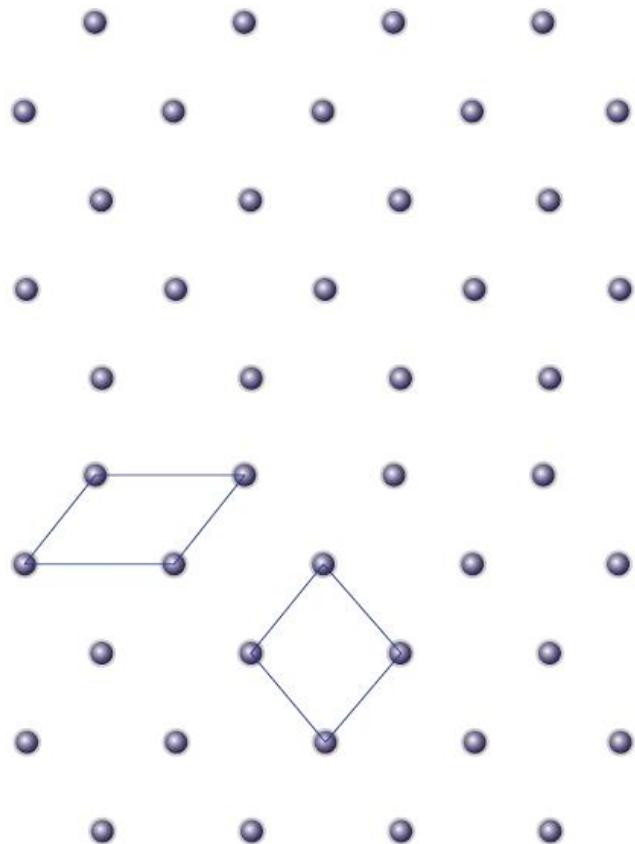


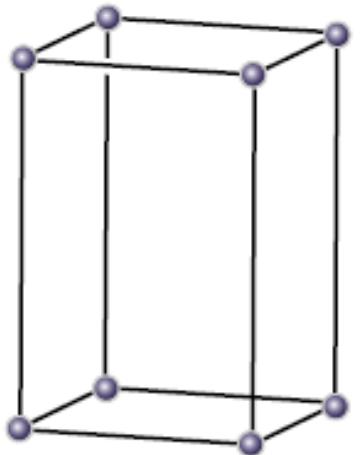
## Marroquin pattern





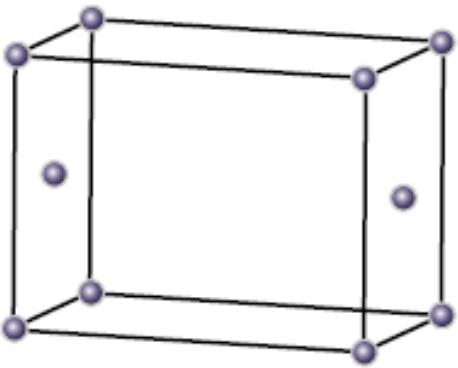
- Unit cell as small as possible ----> Lattice vectors as short as possible.
- Unit cell should reflect the symmetry of the lattice ----> Lattice vectors should run parallel to symmetry axes or perpendicular to planes of symmetry.
- Lattice vectors should be orthogonal (or hexagonal) ----> Enclose an angle of  $90^\circ$  (or  $120^\circ$ )





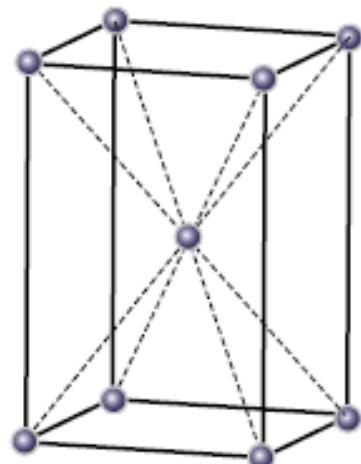
primitive

P



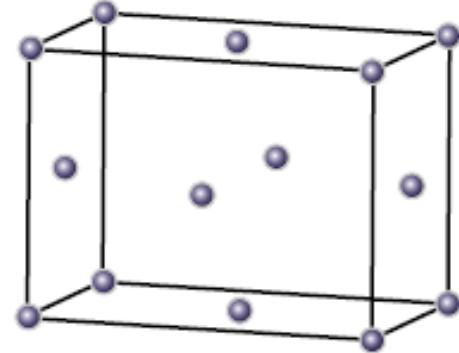
single-sided face-centered

C(AB)



body-centered

I

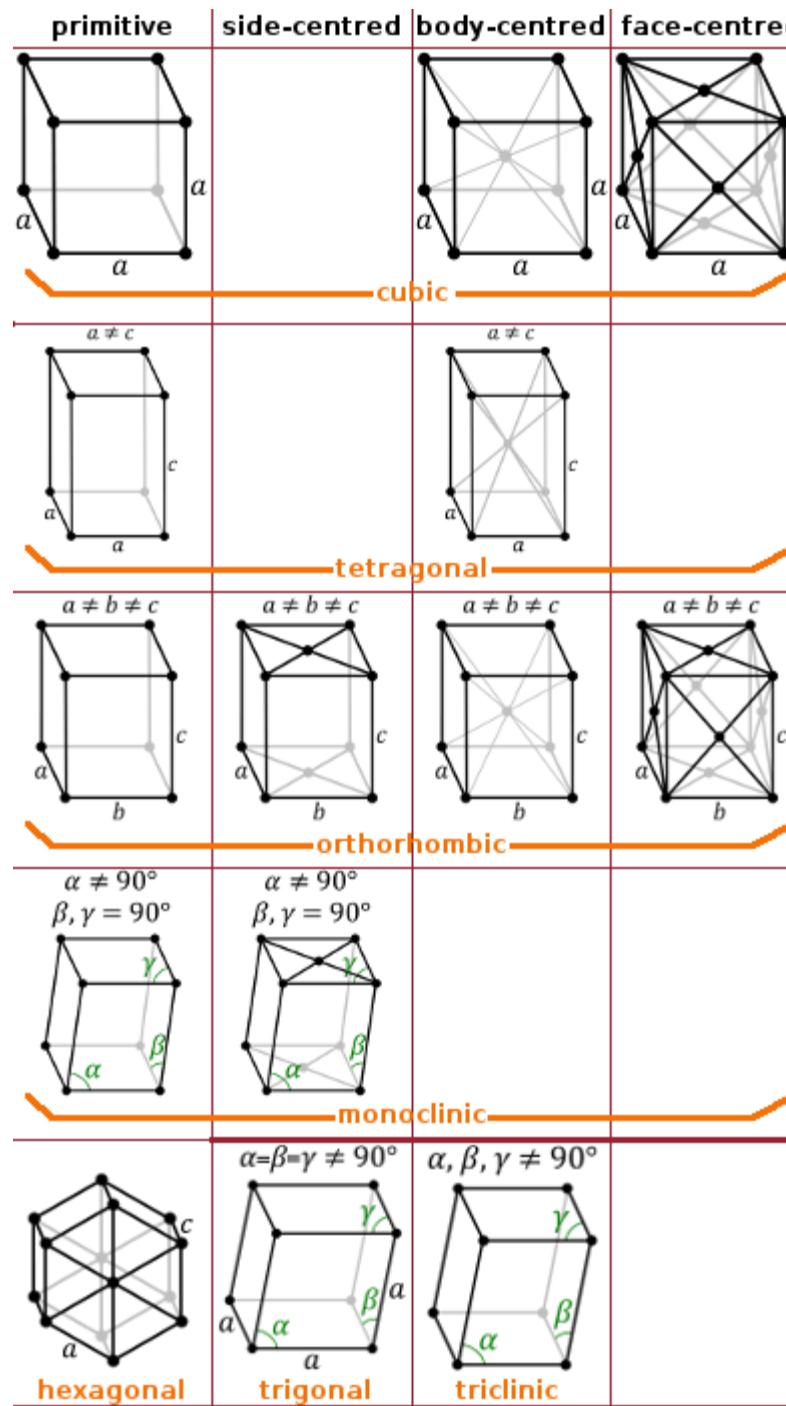


all-sided face-centered

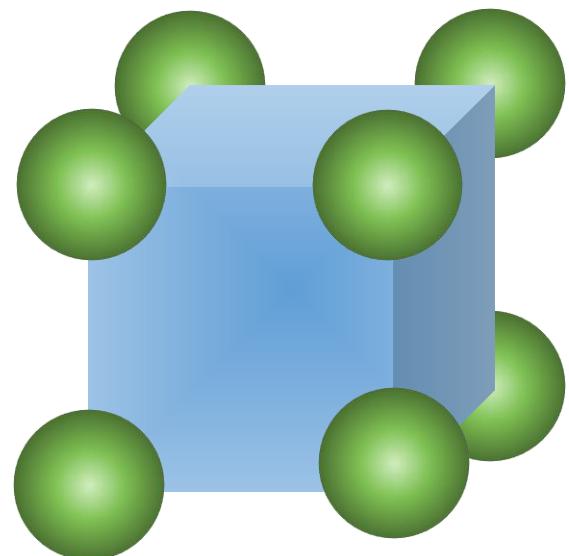
F

End-centred

# 14 Bravais lattices

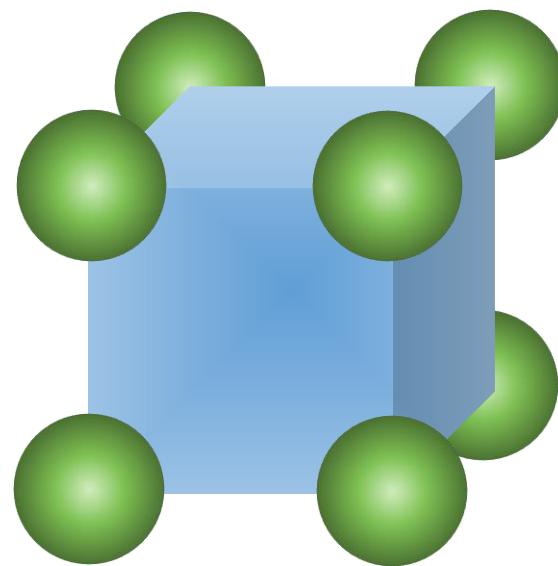


Simple Cubic (**P**)



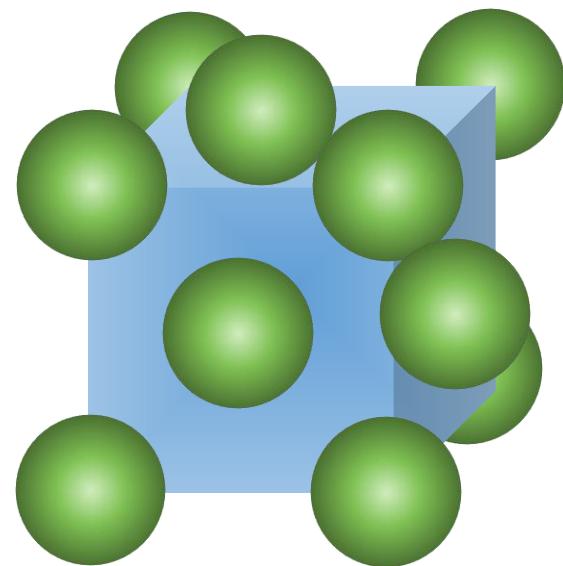
(Atoms located only at the corners of the unit cell)

Body centred Cubic (**I**)



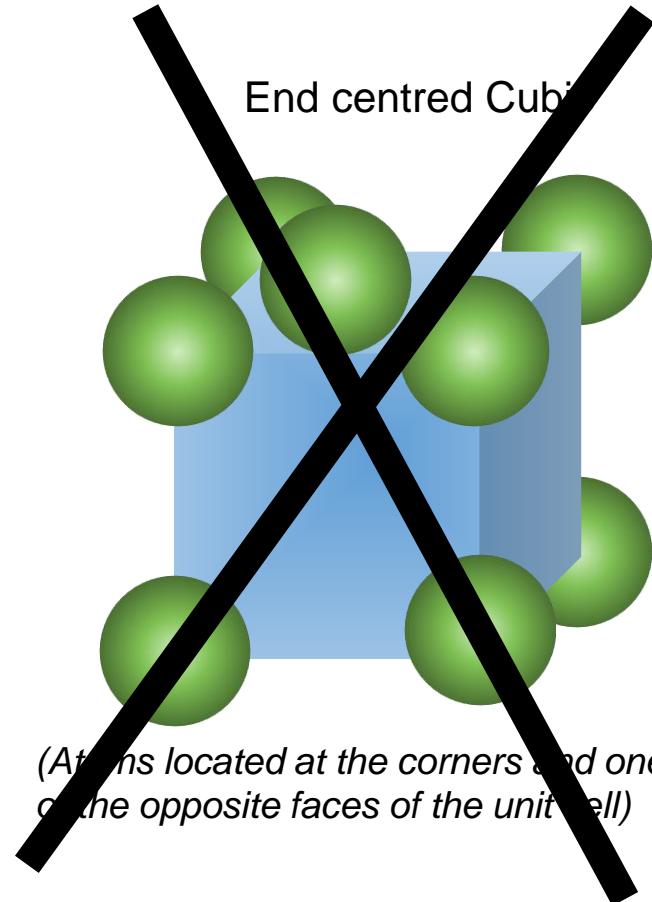
(Atoms located at the corners and centre of the unit cell)

Face centred Cubic (**F**)

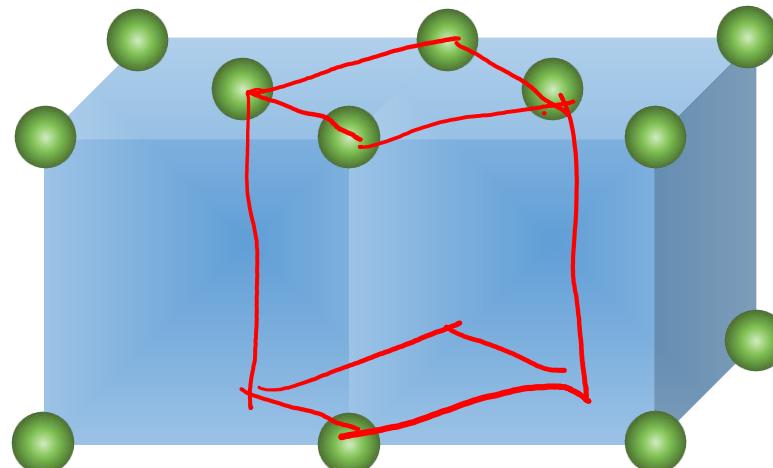


(Atoms located at the corners and faces of the unit cell)

End centred Cubic



(Atoms located at the corners and one of the opposite faces of the unit cell)



Why is there no end-centred cubic unit cell?

*End-centred cubic ~ Primitive Tetragonal*

- Why is there no body-centred monoclinic Bravais lattice?
- Why can not a face centred cubic lattice be considered a body-centred tetragonal lattice?

MLL 100

# Introduction to Materials Science and Engineering

## *Lecture-5*

Dr. Sangeeta Santra ([ssantra@mse.iitd.ac.in](mailto:ssantra@mse.iitd.ac.in))

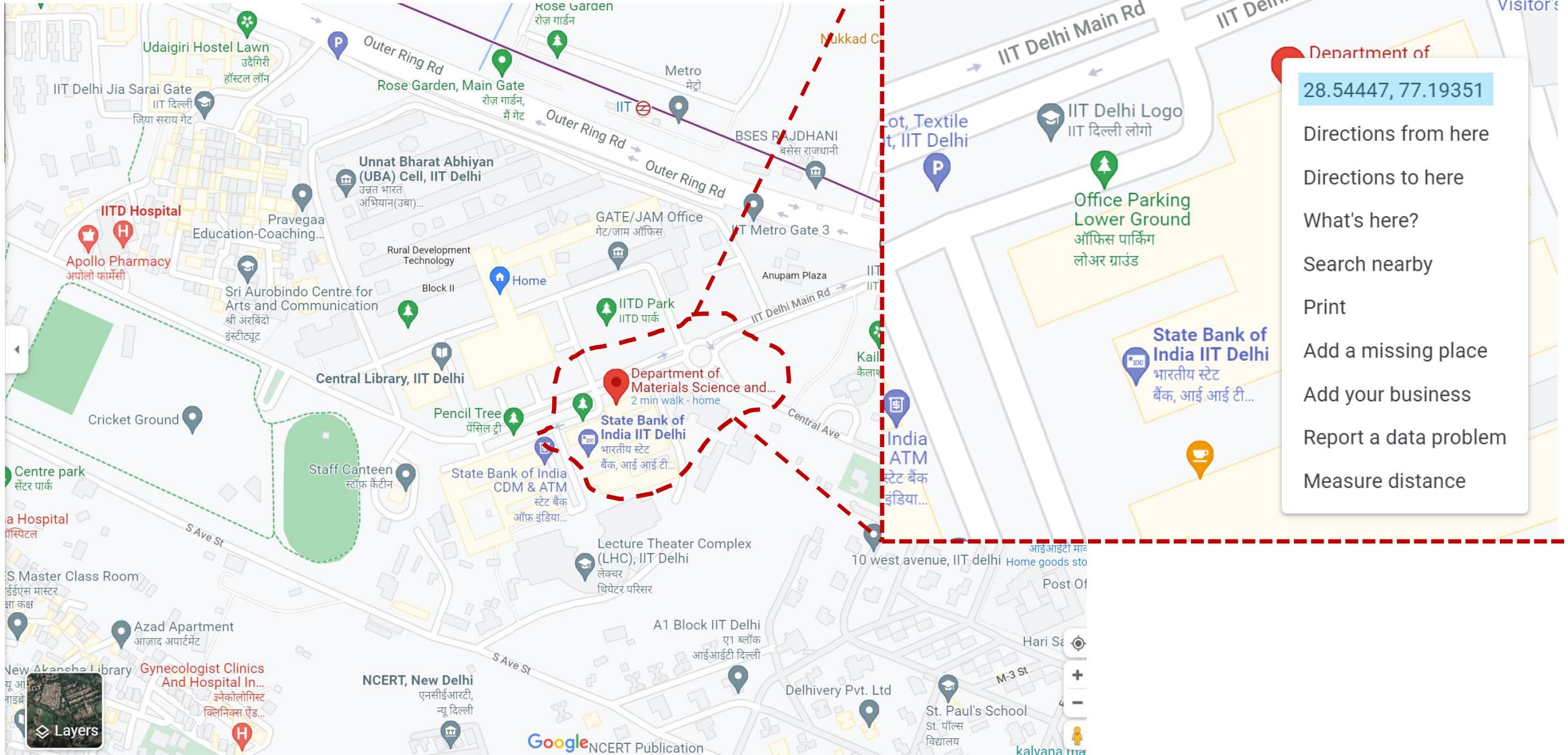


IIT Delhi  
Department of Materials Science and Engineering

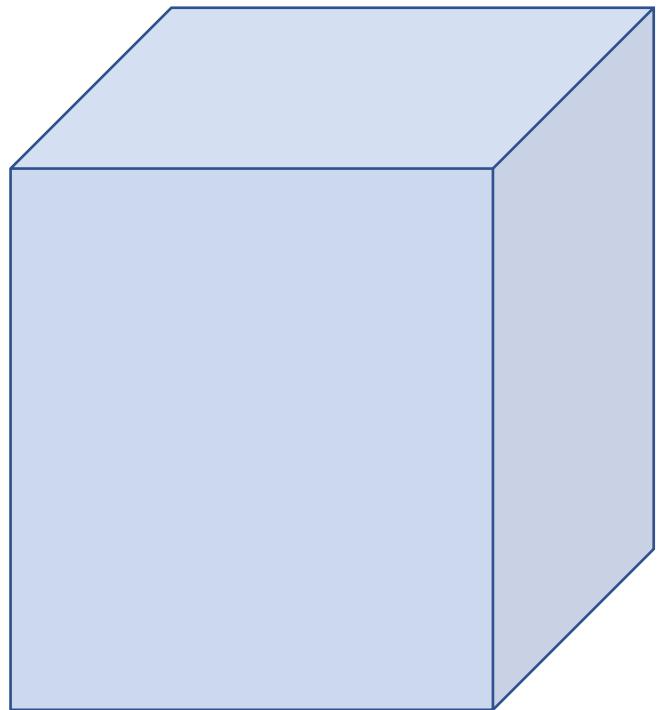
January 12, 2022

# What we learnt in Lecture-4?

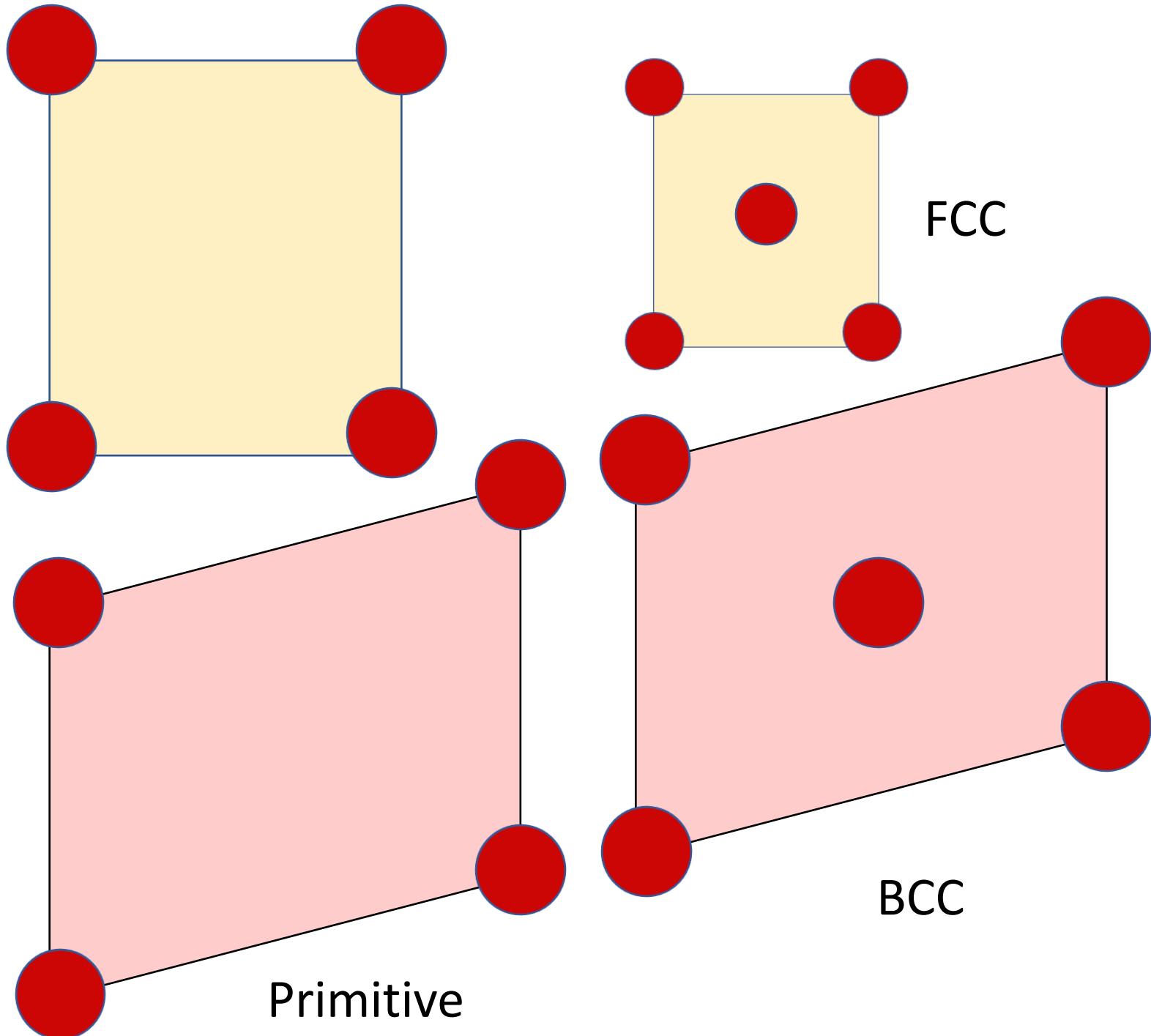
- Crystal
- Crystal systems
- Bravais lattices



## Atomic arrangement



3D

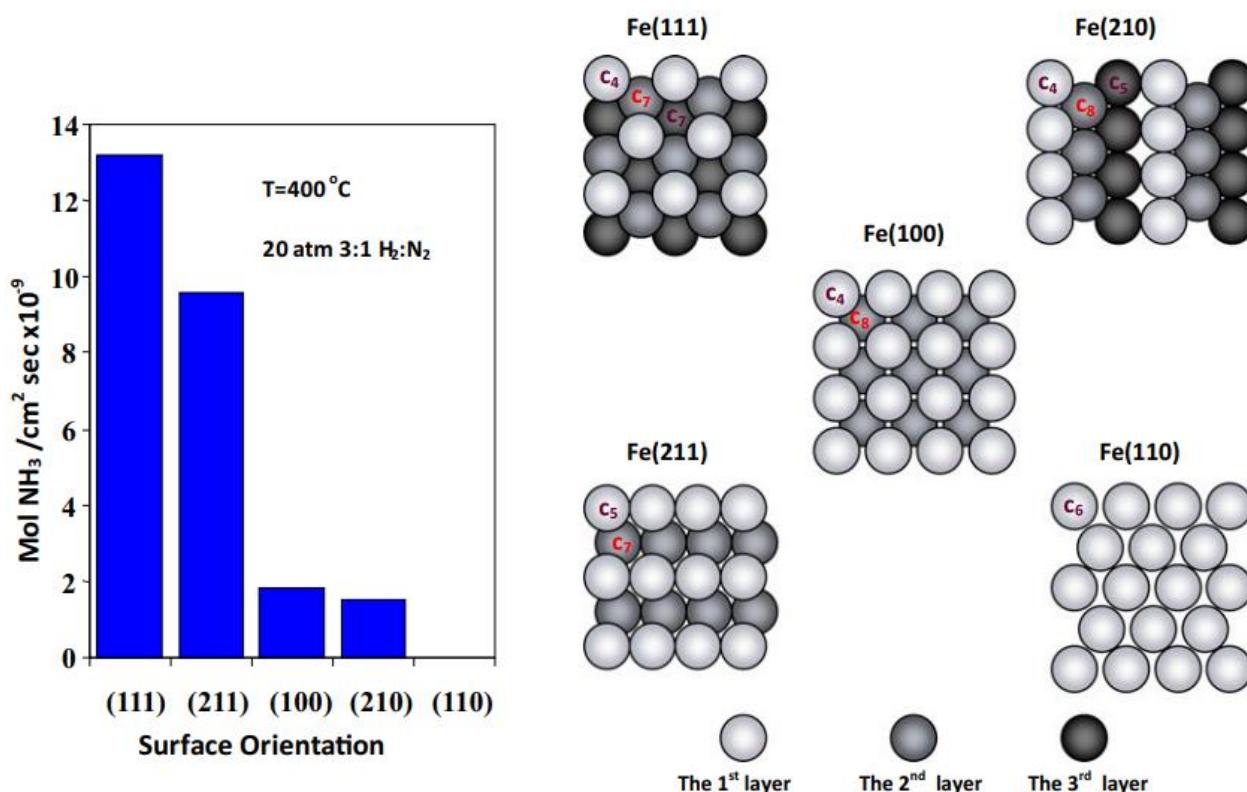


Primitive

FCC

BCC

# Reactivity of crystalline surfaces



(111) and (211) faces are the most reactive surfaces.

## Impact of surface chemistry

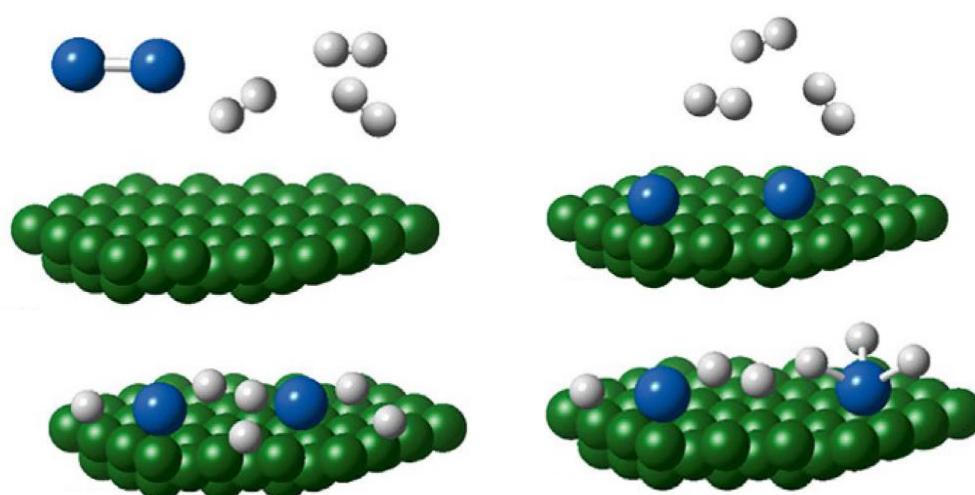
Gabor A. Somorjai<sup>1</sup> and Yimin Li

Department of Chemistry and Lawrence Berkeley National Laboratory, University of California, Berkeley, CA 94720

Edited by John T. Yates, University of Virginia, Charlottesville, VA, and approved September 1, 2010 (received for review June 30, 2010)

The applications of molecular surface chemistry in heterogeneous catalyst technology, semiconductor-based technology, medical technology, anticorrosion and lubricant technology, and nanotechnology are highlighted in this perspective. The evolution of surface chemistry at the molecular level is reviewed, and the key roles of surface instrumentation developments for *in situ* studies of the gas-solid, liquid-solid, and solid-solid interfaces under reaction conditions are emphasized.

surface science | nanotechnology | heterogeneous catalysis | *in situ* techniques | technological application



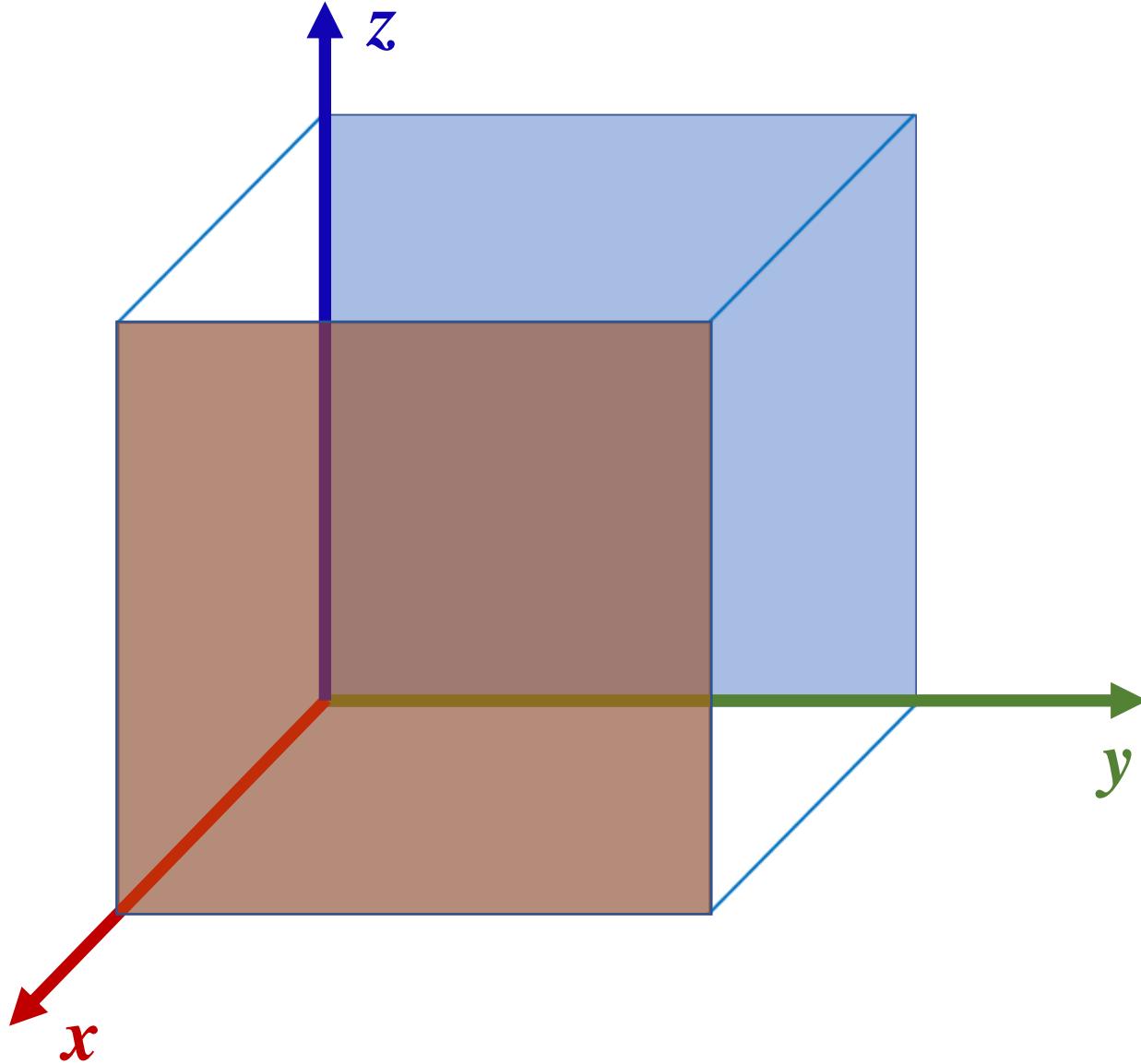
When do you tend to express your emotional reactivity?



Bonds decide the stability of a crystal -----> Understanding the bonds needs learning about the planes, directions and atomic arrangement

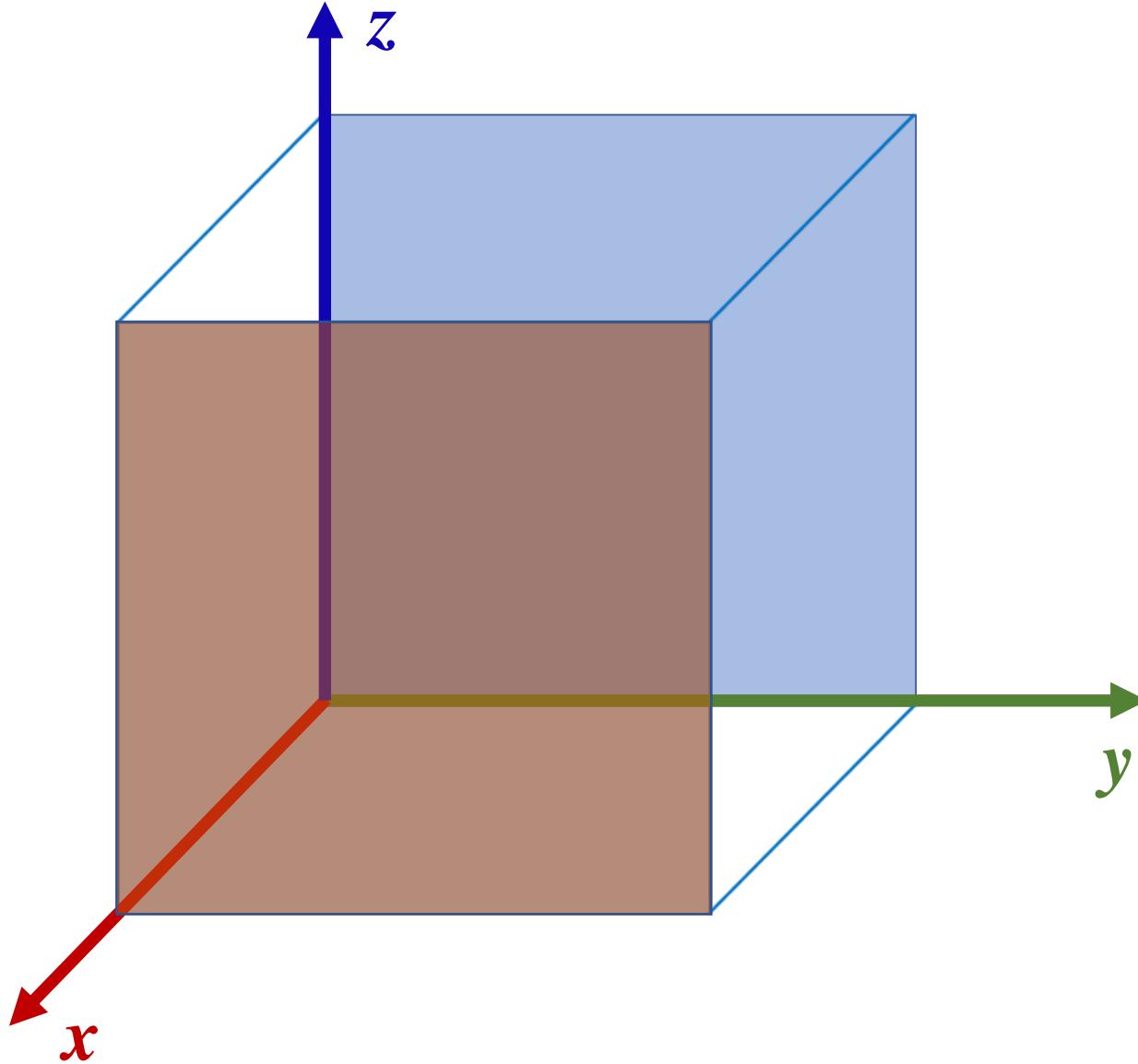
# Miller indices ----- (Faces of a cube)

---



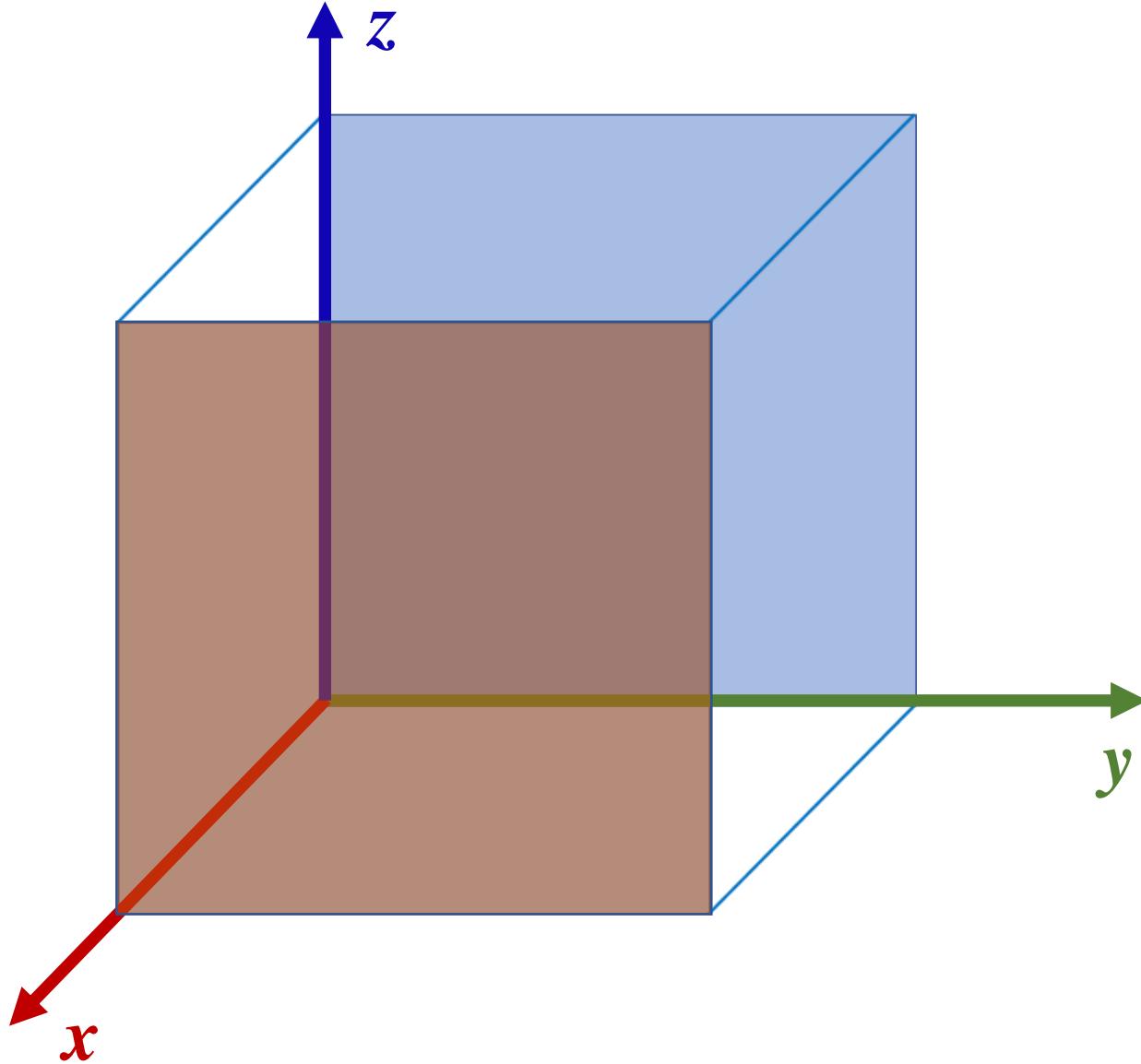
# Miller indices ----- (Faces of a cube)

---

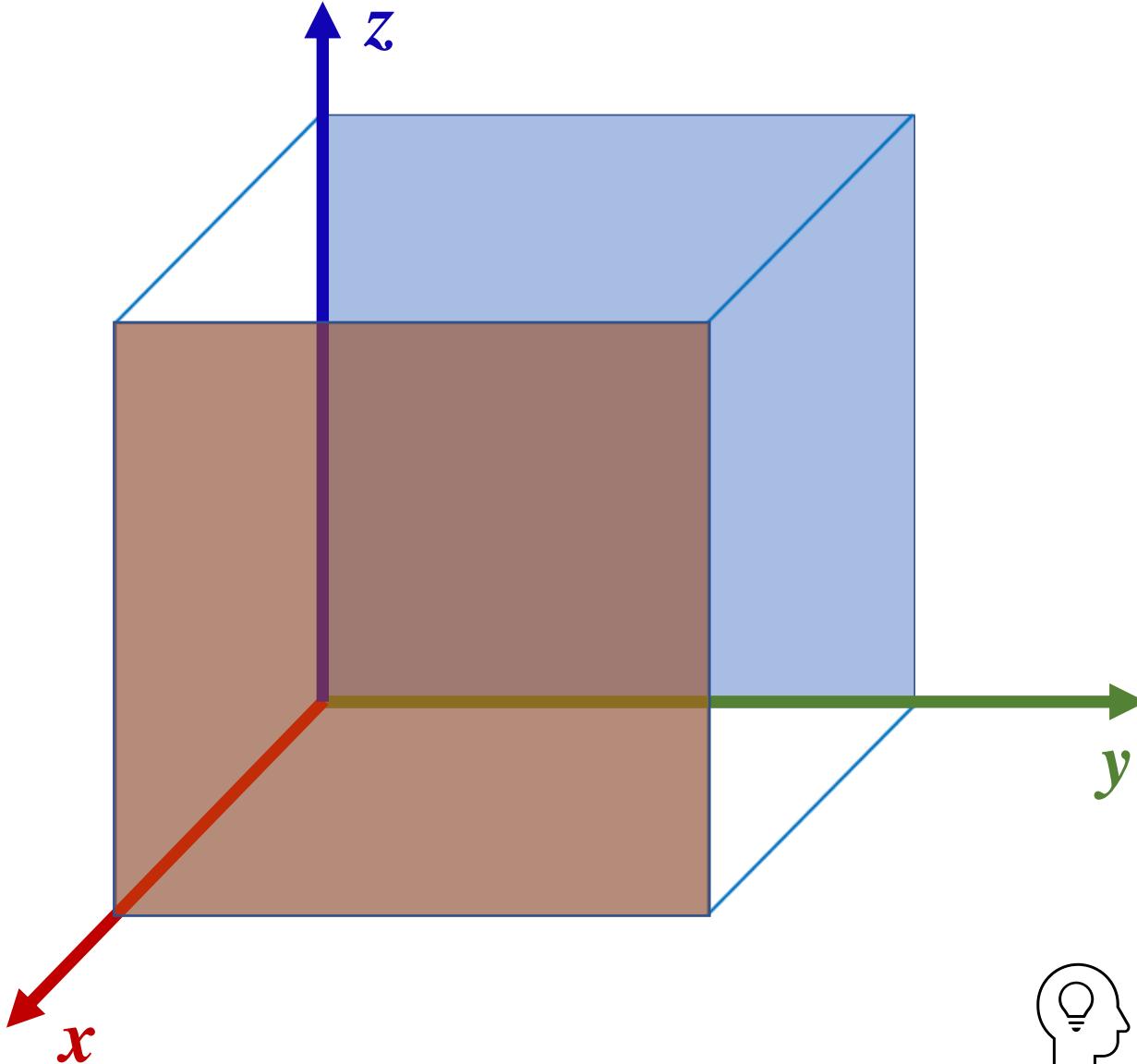


# Miller indices ----- (Faces of a cube)

---



# Miller indices ----- (Faces of a cube)



Intercepts

1       $\infty$        $\infty$

Reciprocals

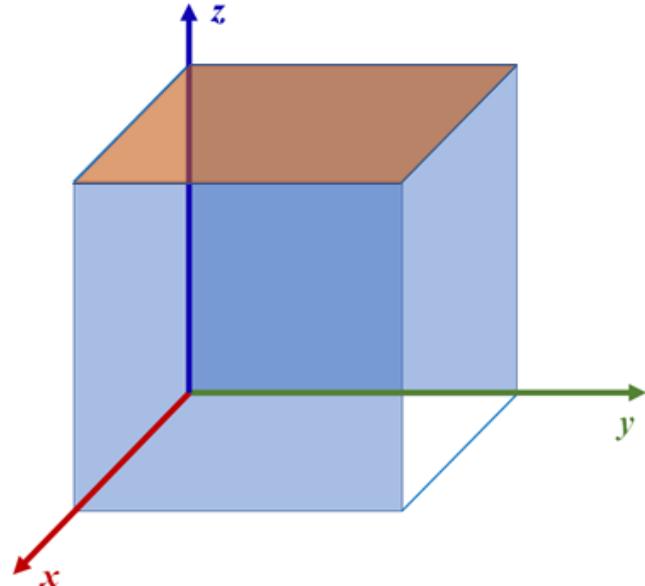
$\frac{1}{1}$        $\frac{1}{\infty}$        $\frac{1}{\infty}$

Plane

(100)



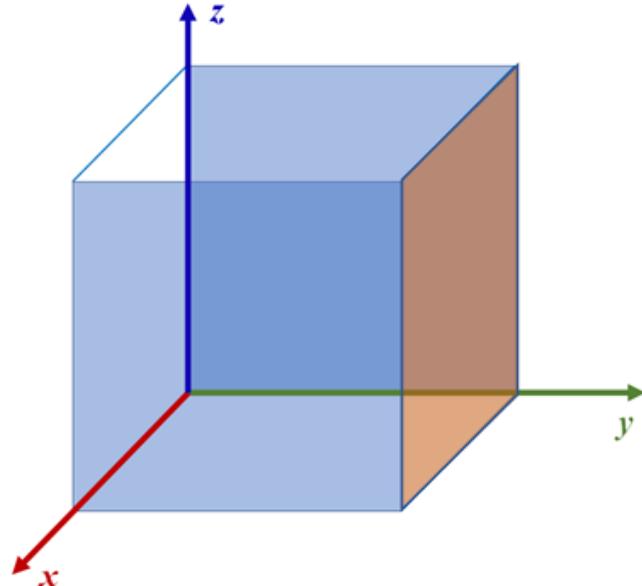
Why do we not directly use the intercept form?



Intercepts       $\infty$      $\infty$     1

Reciprocals     $\frac{1}{\infty}$      $\frac{1}{\infty}$      $\frac{1}{1}$

Plane            (001)



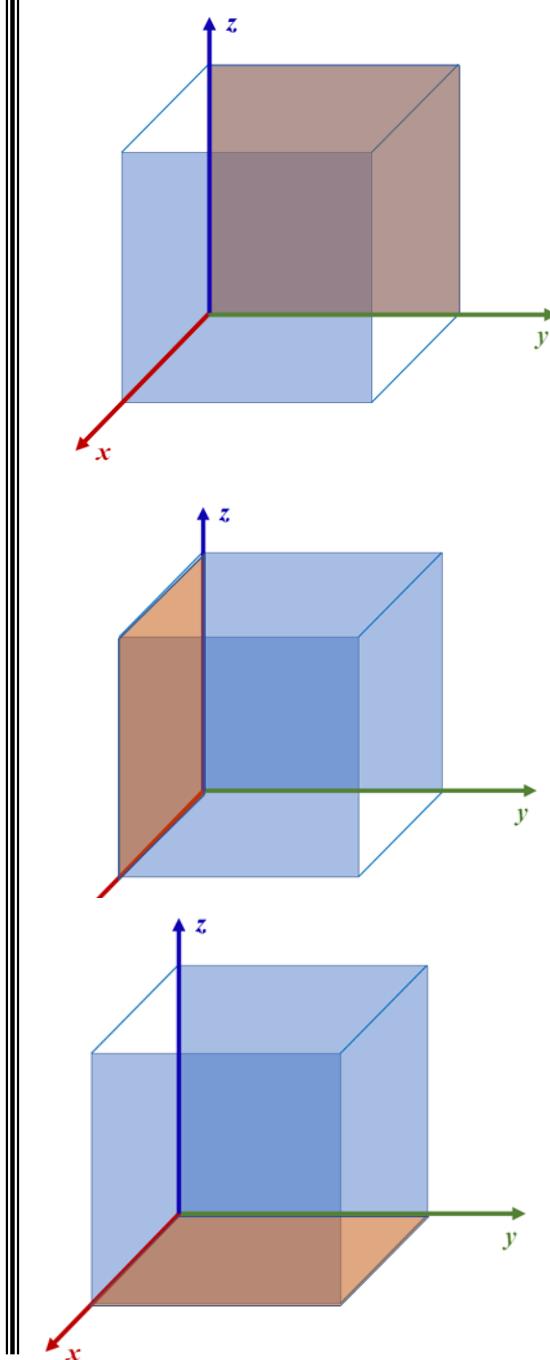
Intercepts       $\infty$     1     $\infty$

Reciprocals     $\frac{1}{\infty}$      $\frac{1}{1}$      $\frac{1}{\infty}$

Plane            (010)

- Are these all equivalent planes ?
- Family of planes {100} ----- 6 in a cubic unit cell
- 4-fold rotational symmetry along the face normal.

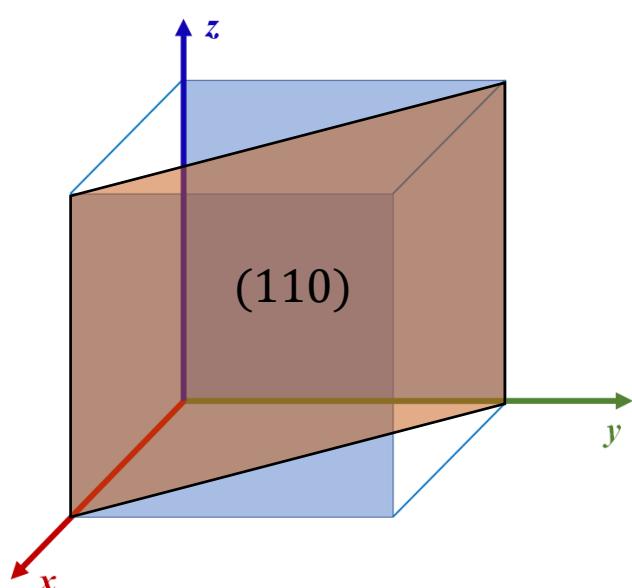
A set of planes related by symmetry operations of the lattice or the crystal is called a family of planes.



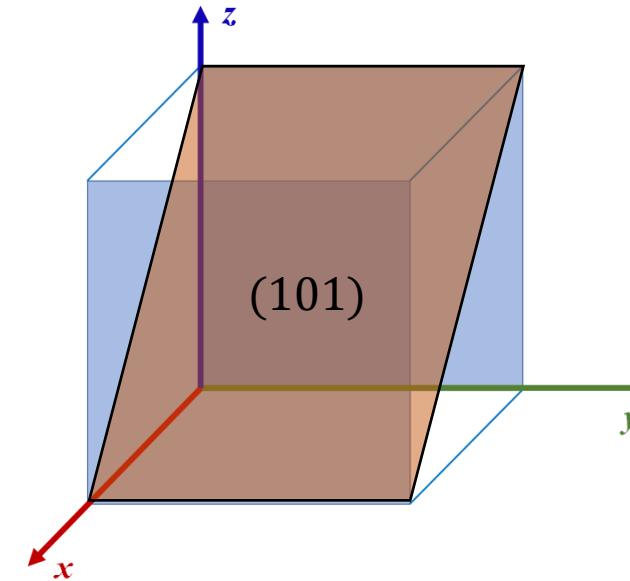
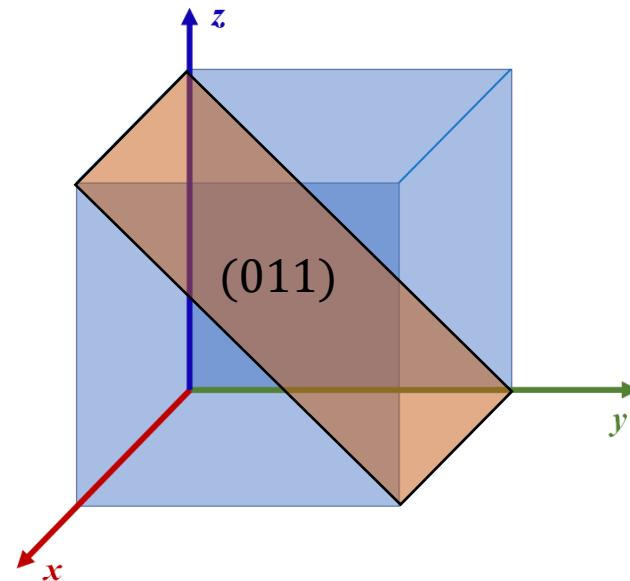
(100)

(010)

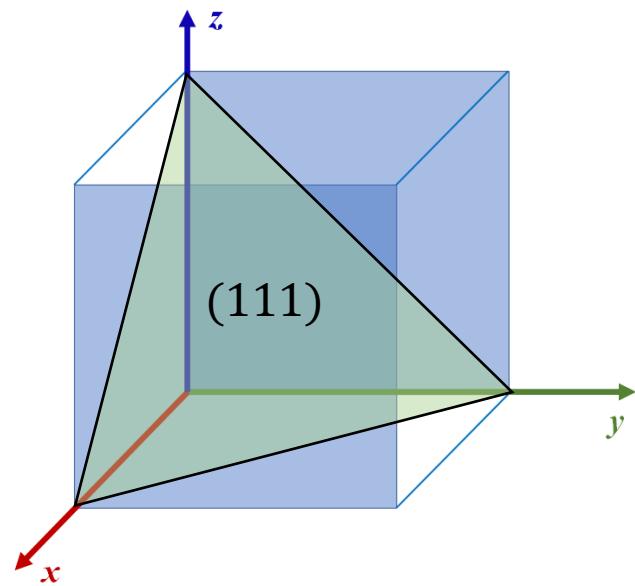
(001)

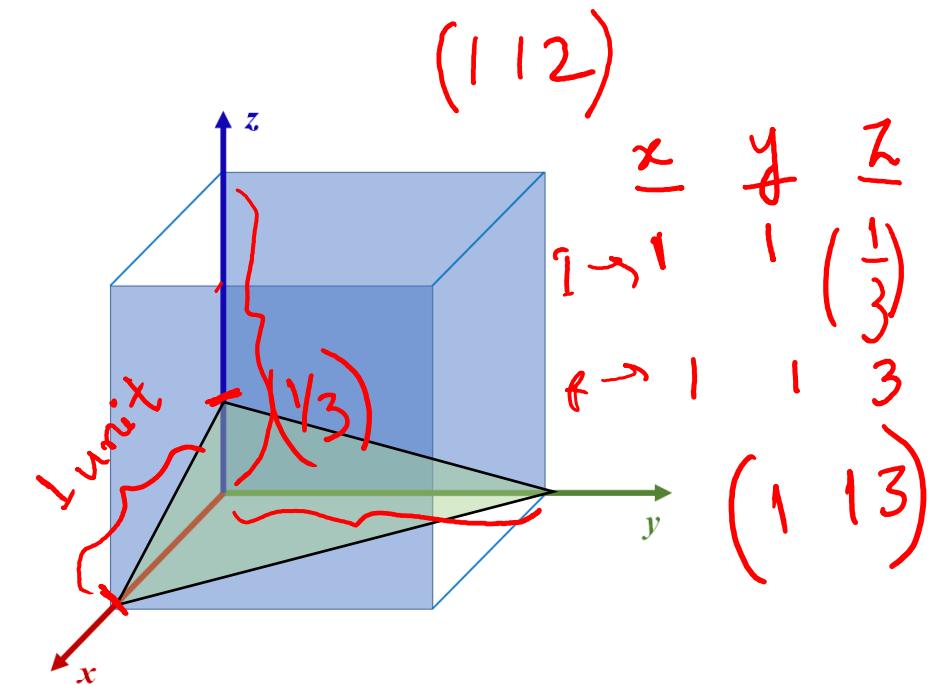
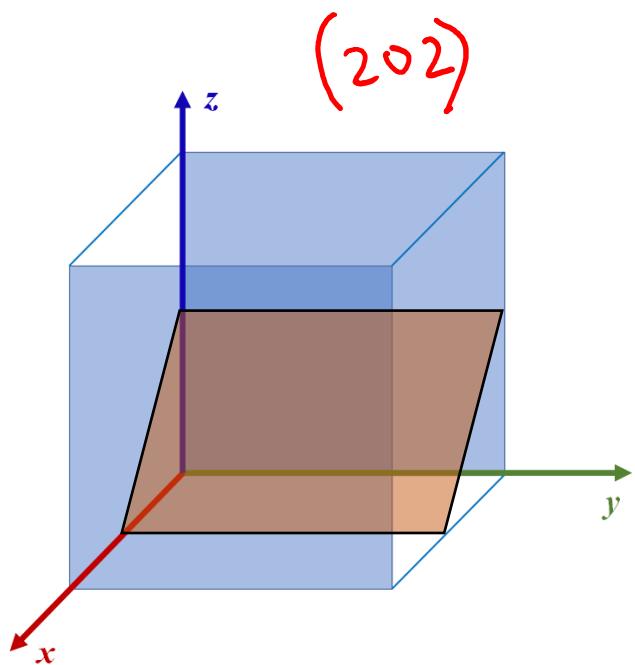
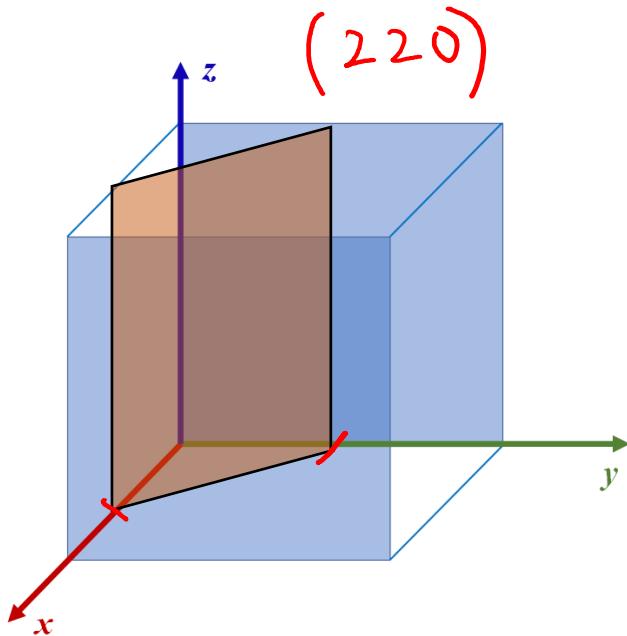
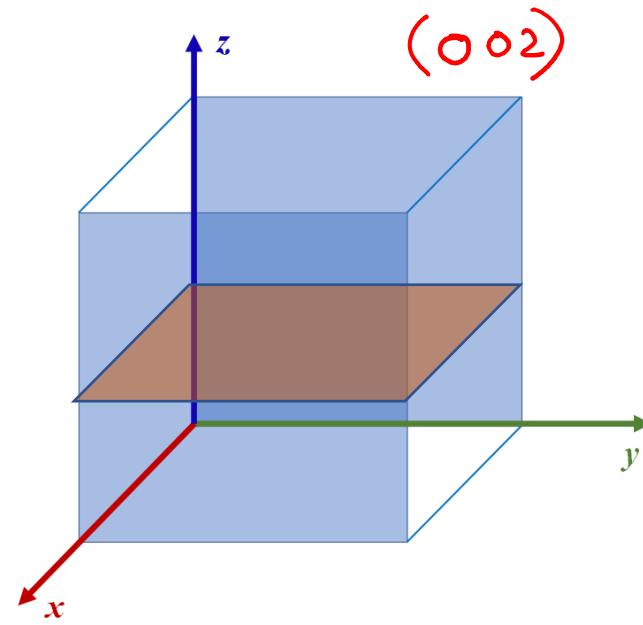
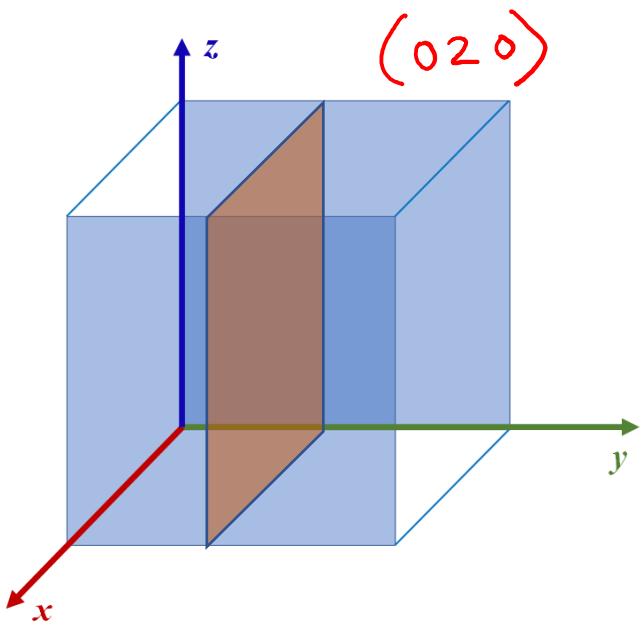
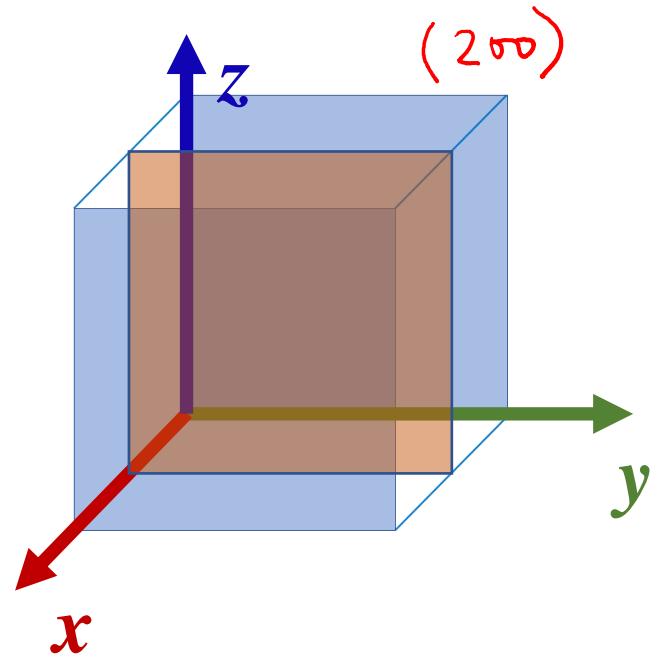


Intercepts	1	1	$\infty$
Reciprocals	$\frac{1}{1}$	$\frac{1}{1}$	$\frac{1}{\infty}$
Plane		(110)	

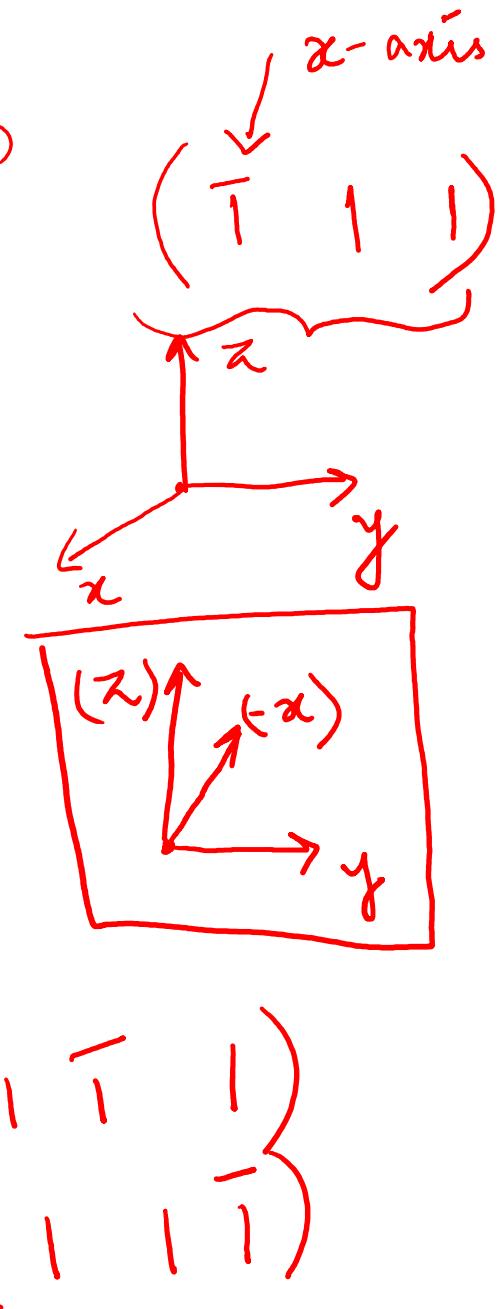
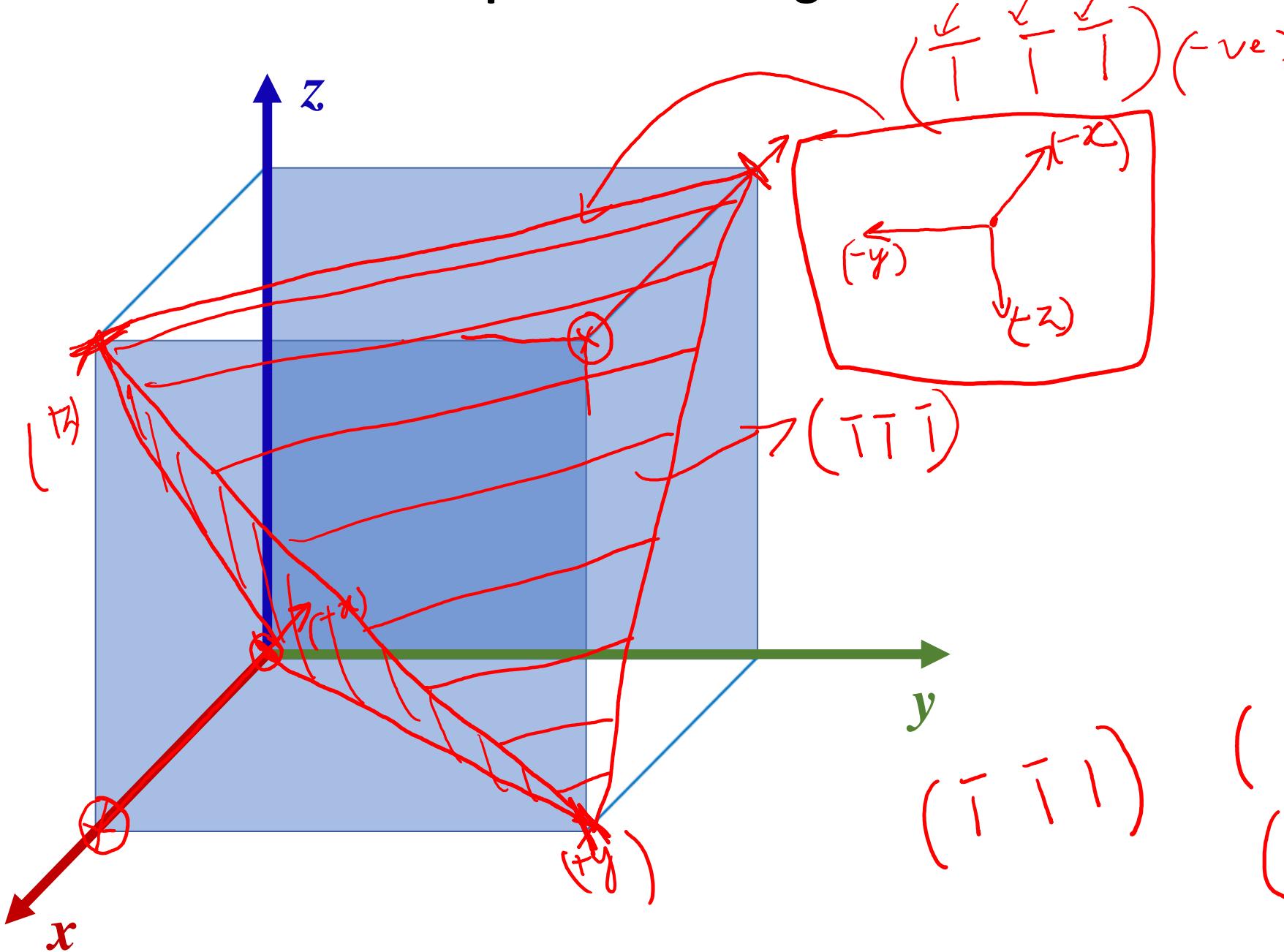


- Family of planes {110} ----- 6 in a cubic unit cell
- Family of planes {111} ----- 8 in a cubic unit cell

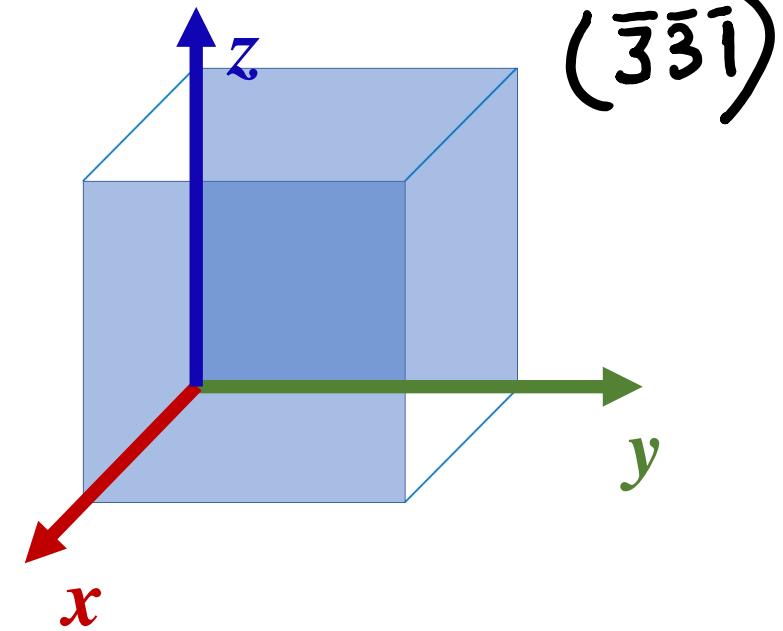
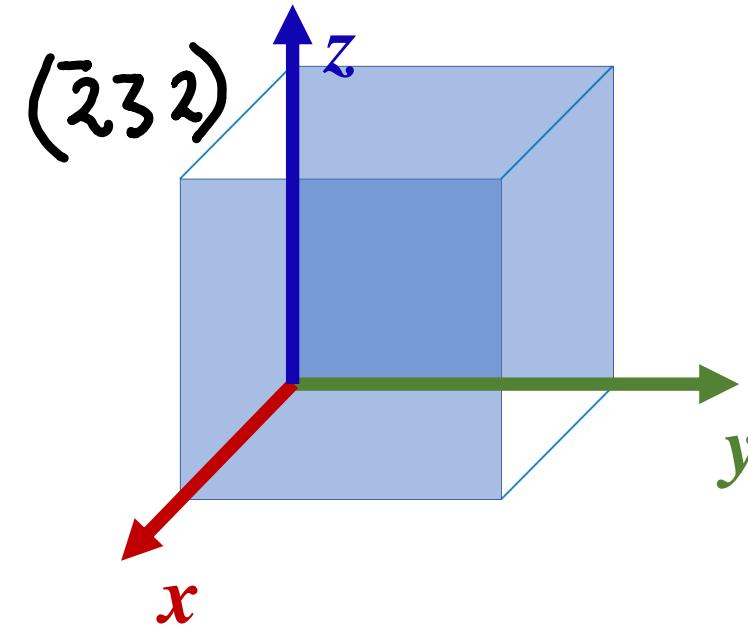
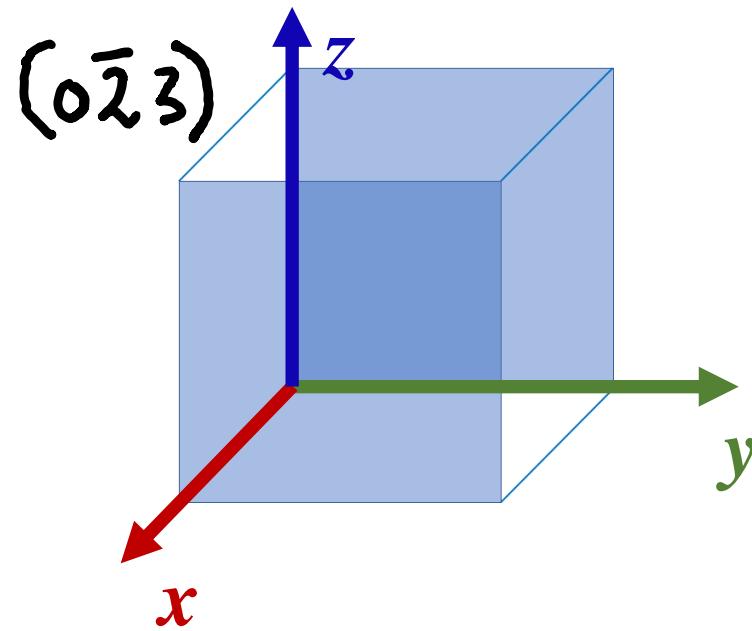
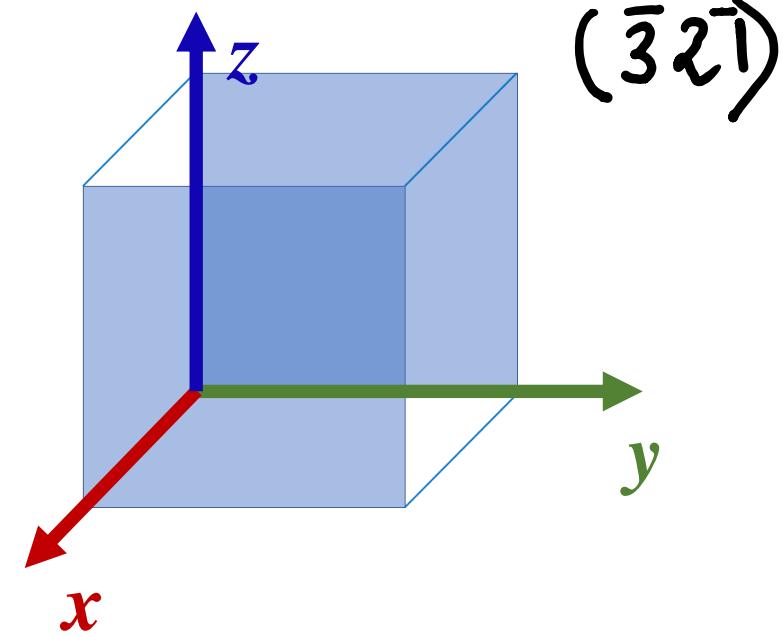
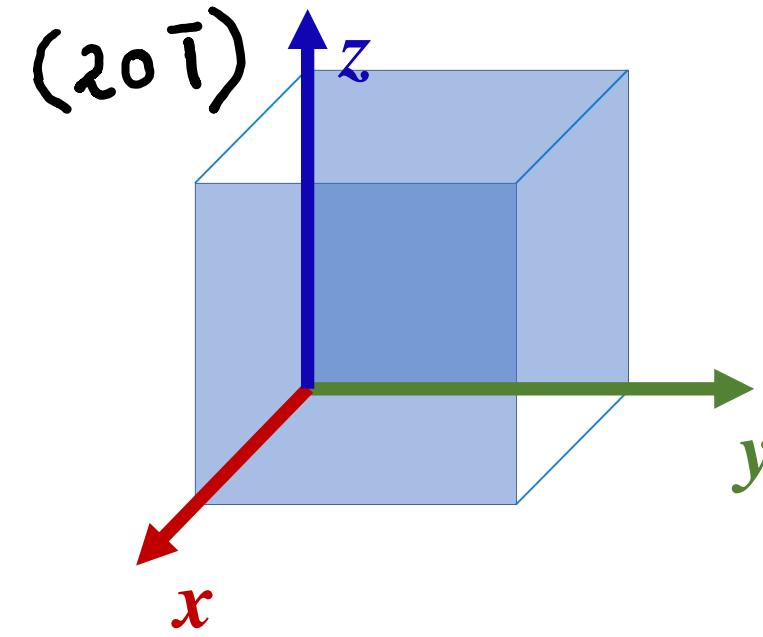
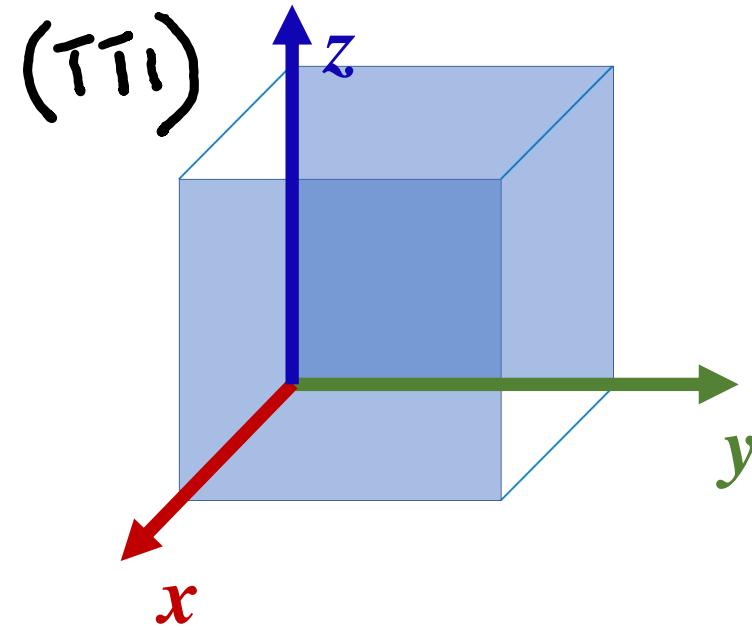


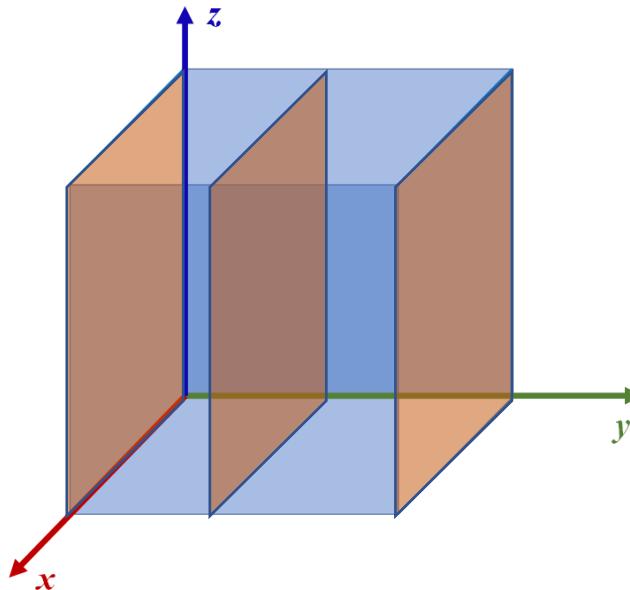
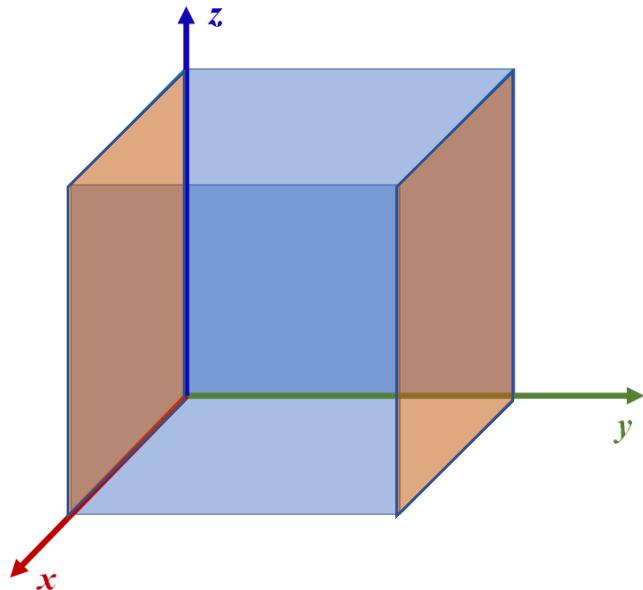


# How do we draw a lattice plane with negative indices?



How do we construct the lattice planes with the given Miller indices?





- Higher the indices are, smaller is the distance between the two adjacent planes.
- Distance between two lattice planes:  
**Interplanar distance (d-spacing)**

$$\frac{h^2}{a^2} + \frac{k^2}{b^2} + \frac{l^2}{c^2} = \frac{1}{(d_{hkl})^2}$$

$$d_{hkl} = \frac{a}{\sqrt{h^2 + k^2 + l^2}}$$

# Volume and interplanar spacing

The following equations give the volume  $V$  of the unit cell.

Cubic:

$$V = a^3$$

Tetragonal:

$$V = a^2 c$$

Hexagonal:

$$V = \frac{\sqrt{3} a^2 c}{2} = 0.866 a^2 c$$

Rhombohedral:

$$V = a^3 \sqrt{1 - 3 \cos^2 \alpha + 2 \cos^3 \alpha}$$

Orthorhombic:

$$V = abc$$

Monoclinic:

$$V = abc \sin \beta$$

Triclinic:  $V = abc \sqrt{1 - \cos^2 \alpha - \cos^2 \beta - \cos^2 \gamma + 2 \cos \alpha \cos \beta \cos \gamma}$

## Interplanar spacing

The spacing  $d$  between adjacent  $(hkl)$  lattice planes is given by:

- Cubic:

$$\frac{1}{d^2} = \frac{h^2 + k^2 + l^2}{a^2}$$

- Tetragonal:

$$\frac{1}{d^2} = \frac{h^2 + k^2}{a^2} + \frac{l^2}{c^2}$$

- Hexagonal:

$$\frac{1}{d^2} = \frac{4}{3} \left( \frac{h^2 + hk + k^2}{a^2} \right) + \frac{l^2}{c^2}$$

- Rhombohedral:

$$\frac{1}{d^2} = \frac{(h^2 + k^2 + l^2) \sin^2 \alpha + 2(hk + kl + hl)(\cos^2 \alpha - \cos \alpha)}{a^2(1 - 3 \cos^2 \alpha + 2 \cos^3 \alpha)}$$

- Orthorhombic:

$$\frac{1}{d^2} = \frac{h^2}{a^2} + \frac{k^2}{b^2} + \frac{l^2}{c^2}$$

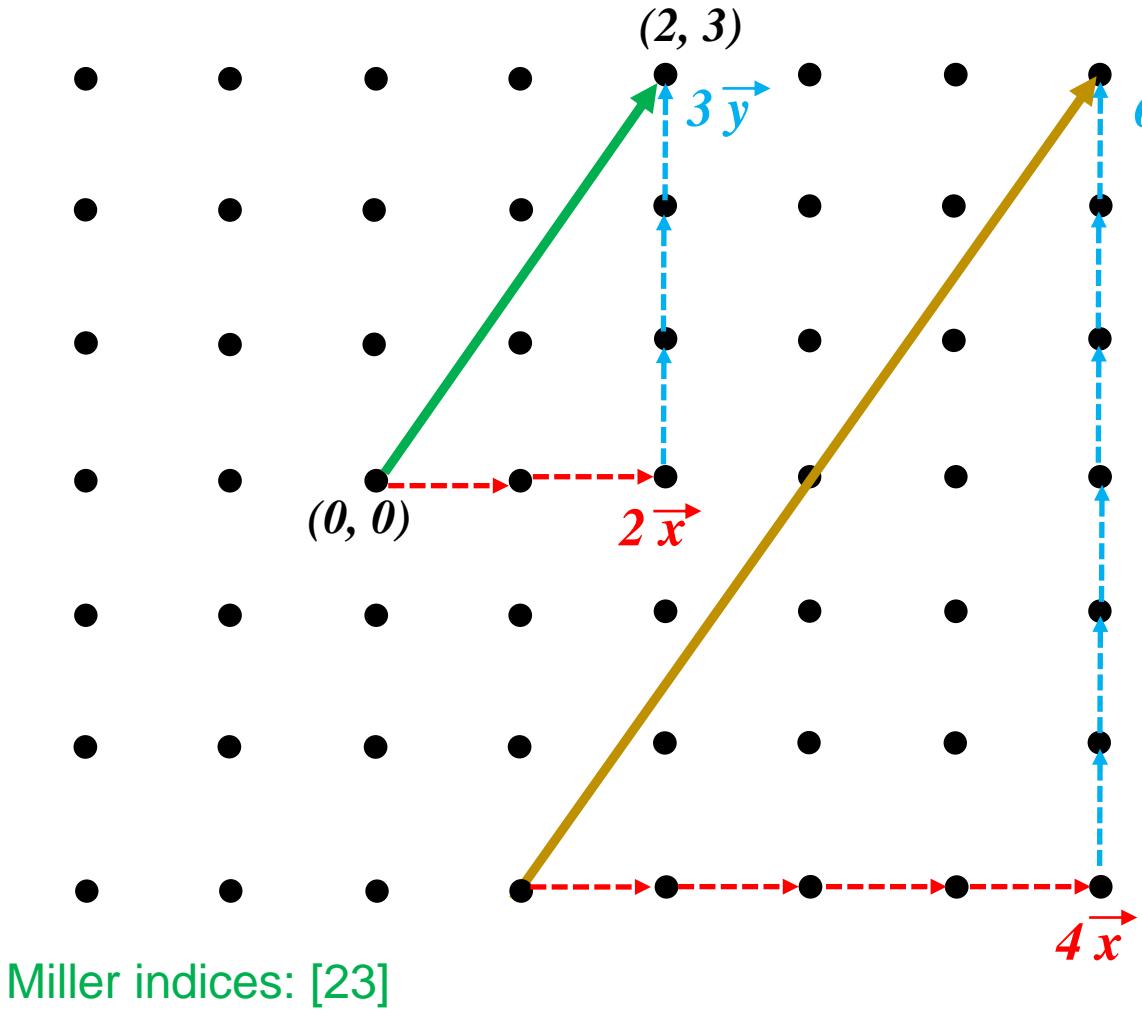
- Monoclinic:

$$\frac{1}{d^2} = \left( \frac{h^2}{a^2} + \frac{k^2 \sin^2 \beta}{b^2} + \frac{l^2}{c^2} - \frac{2hl \cos \beta}{ac} \right) \csc^2 \beta$$

- Triclinic:

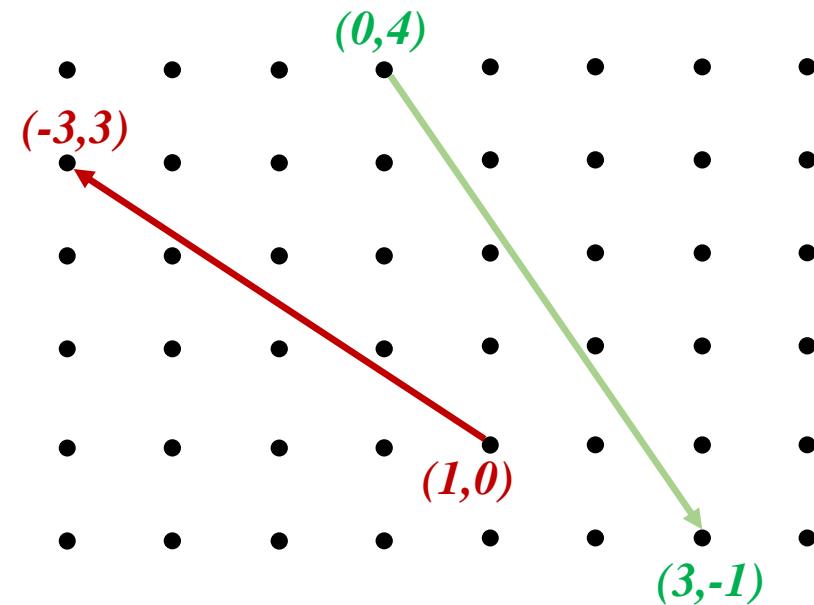
$$\frac{1}{d^2} = \frac{\frac{h^2}{a^2} \sin^2 \alpha + \frac{k^2}{b^2} \sin^2 \beta + \frac{l^2}{c^2} \sin^2 \gamma}{1 - \cos^2 \alpha - \cos^2 \beta - \cos^2 \gamma + 2 \cos \alpha \cos \beta \cos \gamma}$$

# Miller indices for directions in 2-D

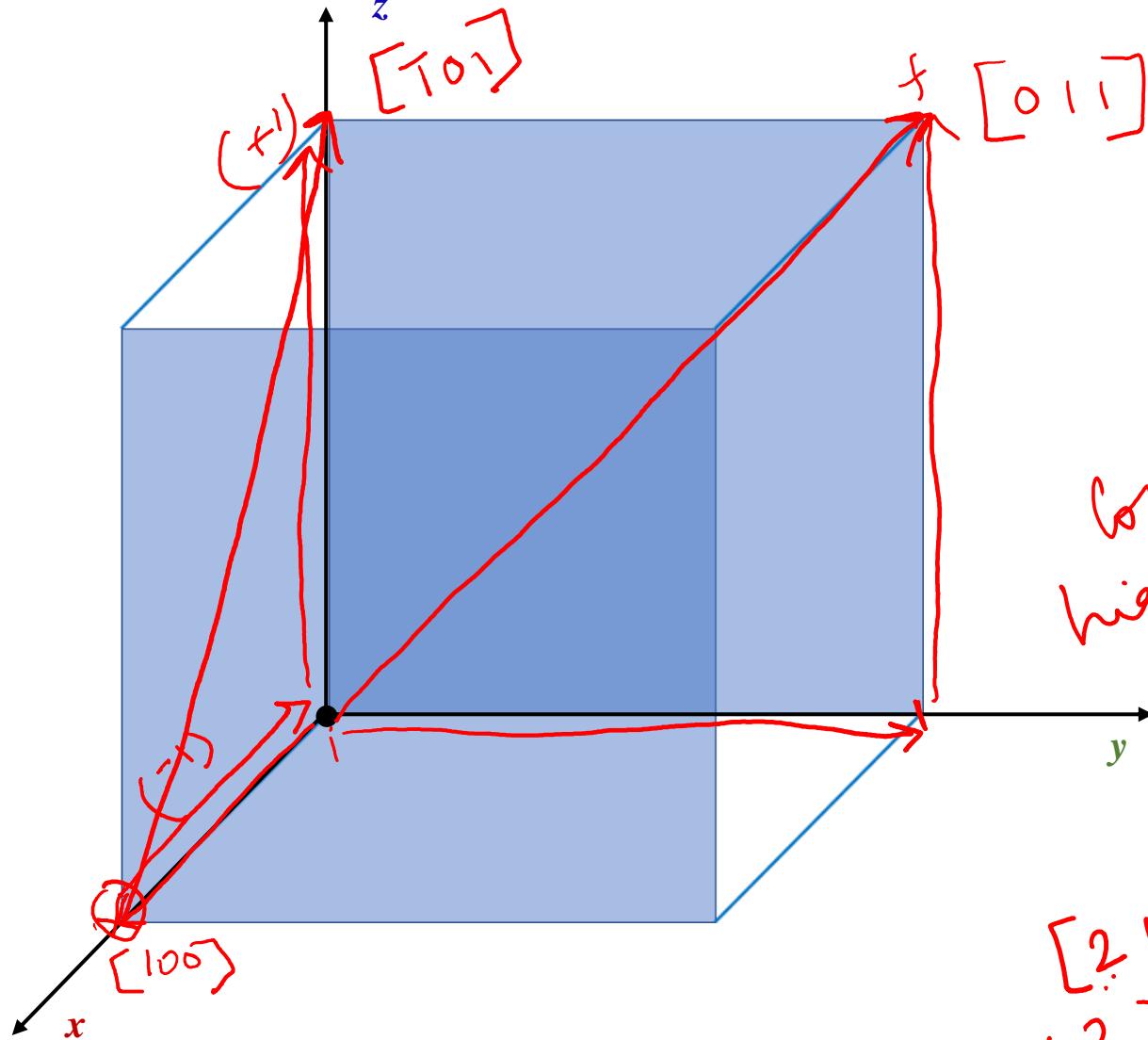


Miller indices:  $[4 \ 6] \approx 2 [2 \ 3]$

- Does the miller index for a direction necessarily start and end at distinct lattice points?



# How to draw a direction if Miller indices are given?

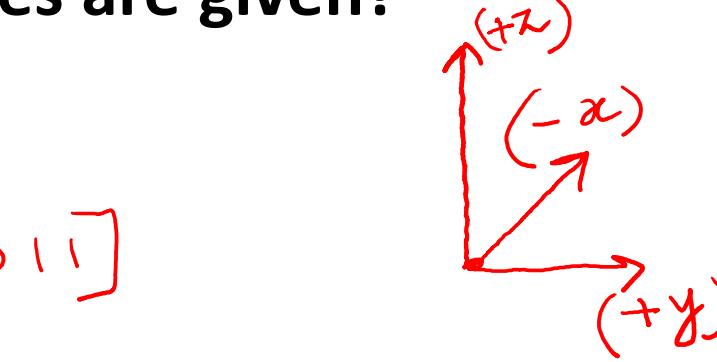


Consider the highest index value as  
your unit edge

Direction

$\left(\frac{2}{3}\right) \left(\frac{1}{3}\right) \left(\frac{3}{3}\right)$

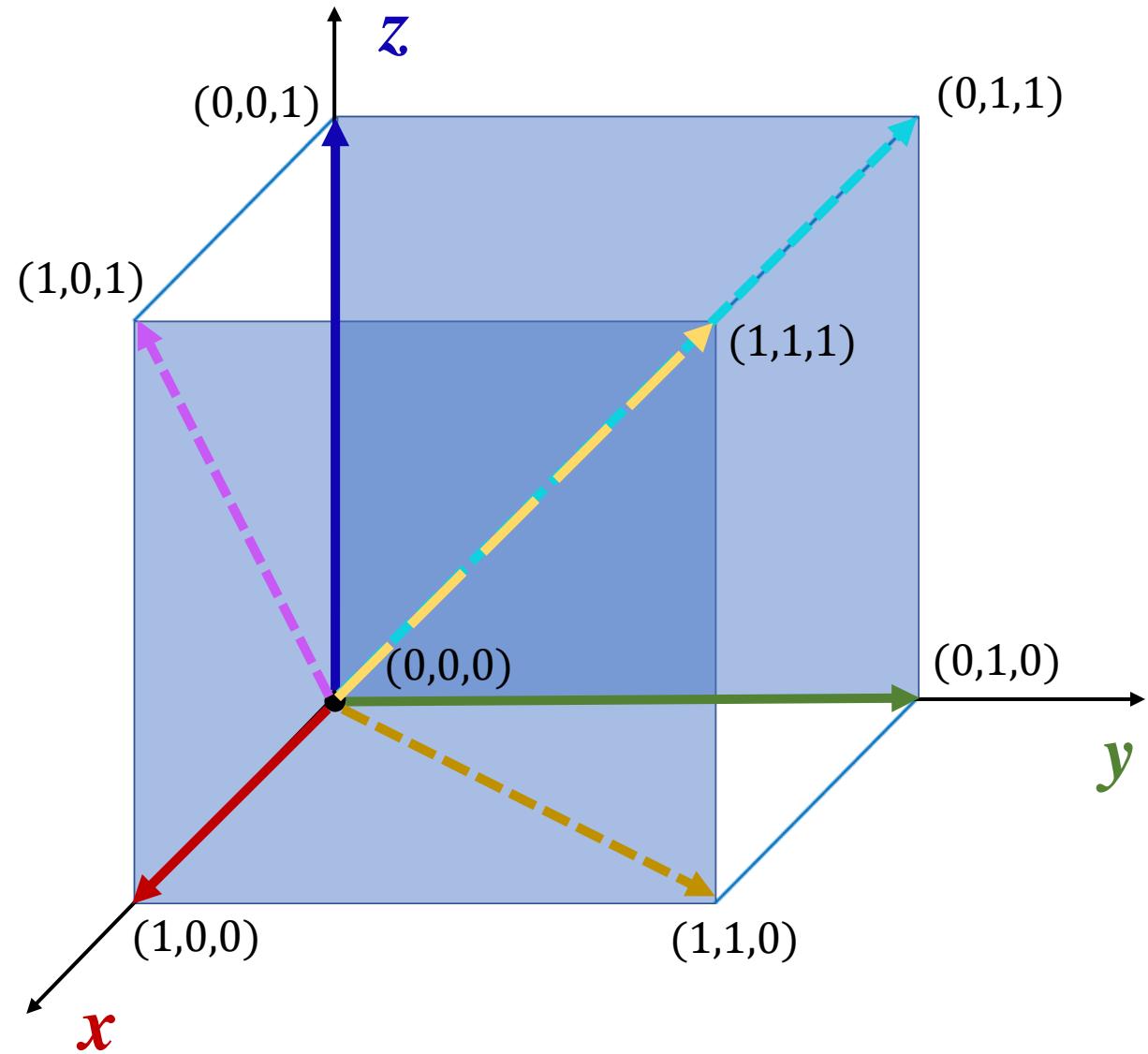
$(+z)$



$$\begin{aligned} &[100] \\ &[011] \\ &\Rightarrow [101] \\ &[\bar{2}13] \end{aligned}$$

$$\begin{aligned} &\text{[2 0 0]} \\ &2 \quad \text{[100]} \end{aligned}$$

$$(+y)$$



$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 1 \end{bmatrix}$$

$$[1 \ 1 \ 1]$$

**Family of directions:**  $\langle 1 \ 0 \ 0 \rangle$

Face diagonals  
**Family of directions:**  $\langle 1 \ 1 \ 0 \rangle$

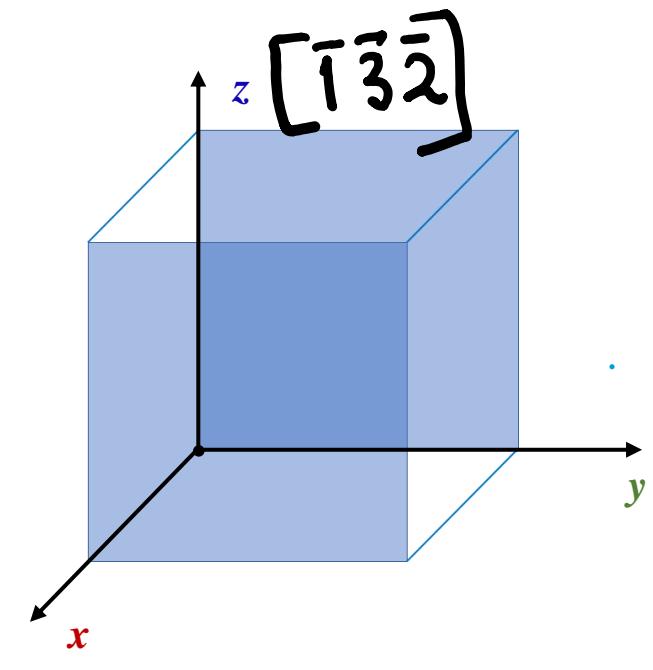
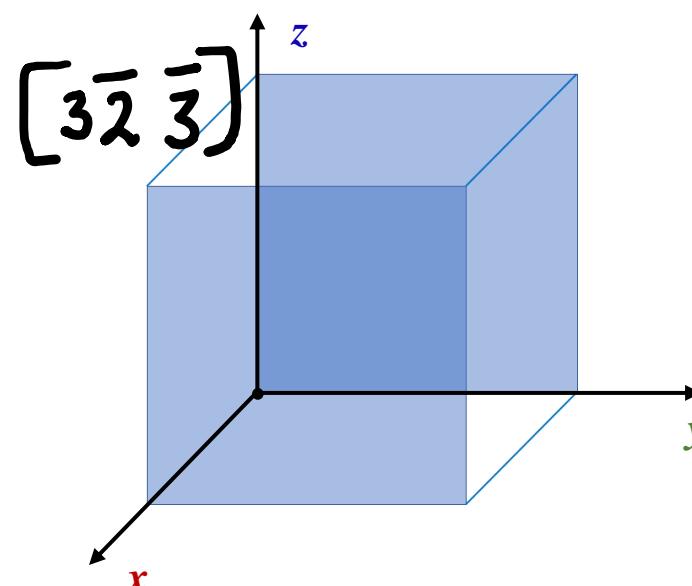
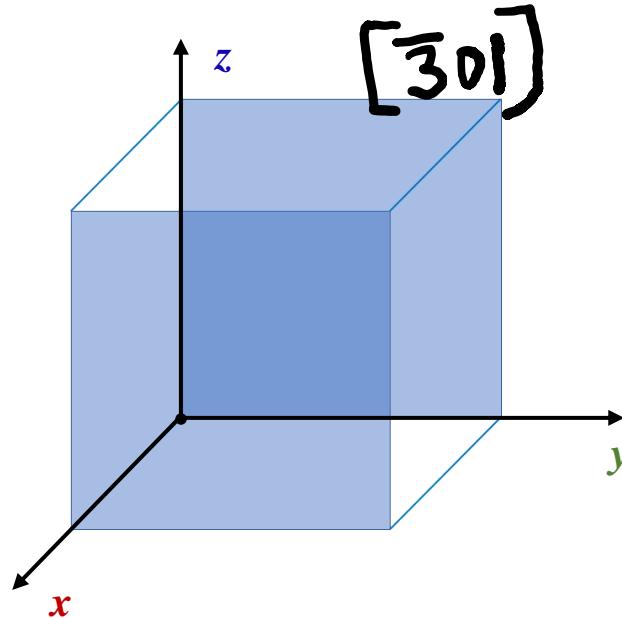
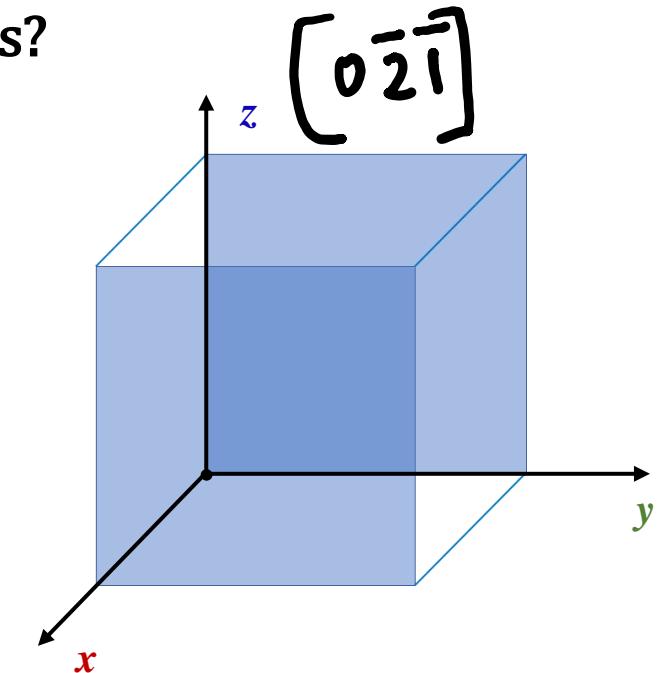
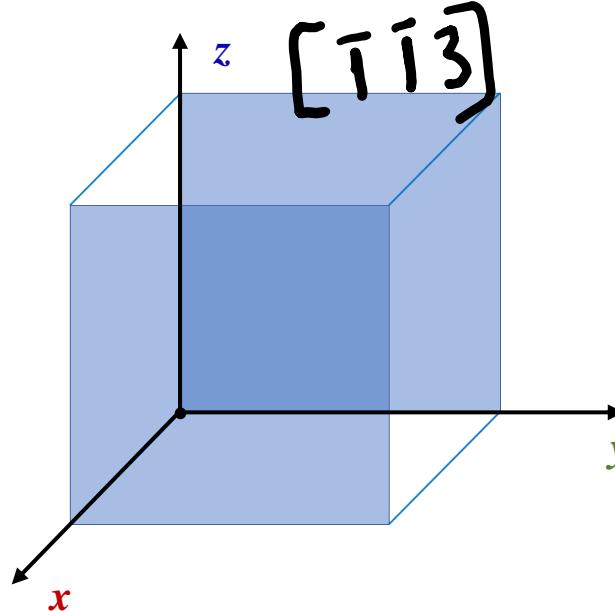
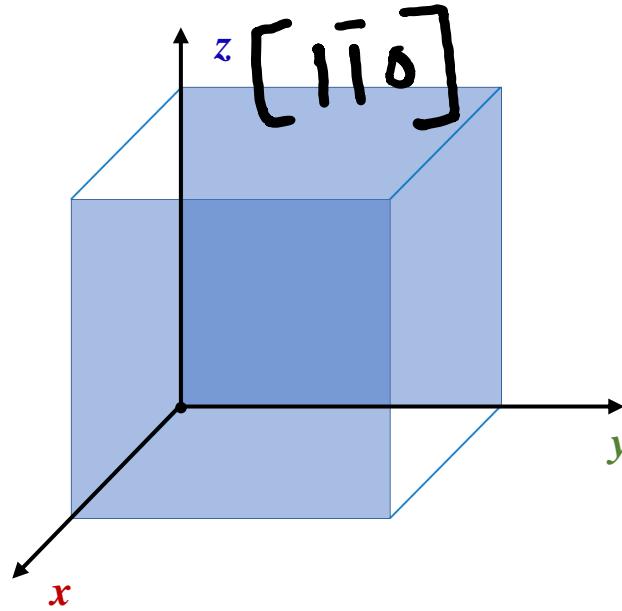
Body diagonal

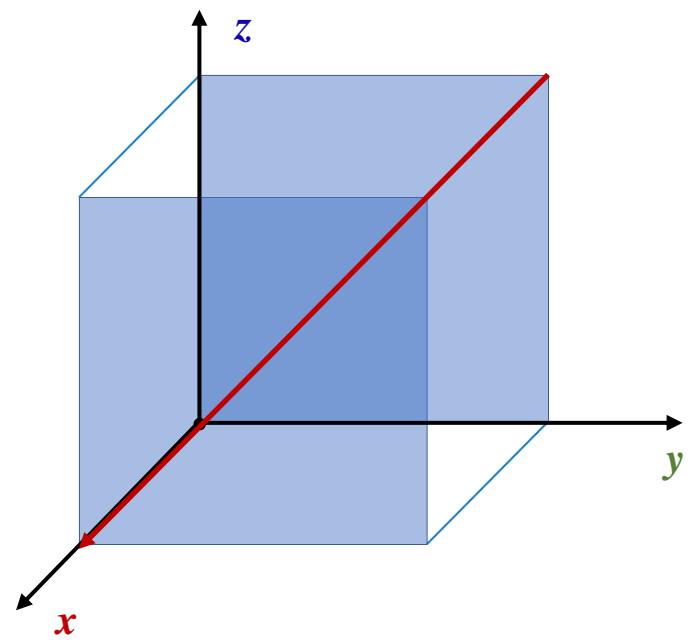
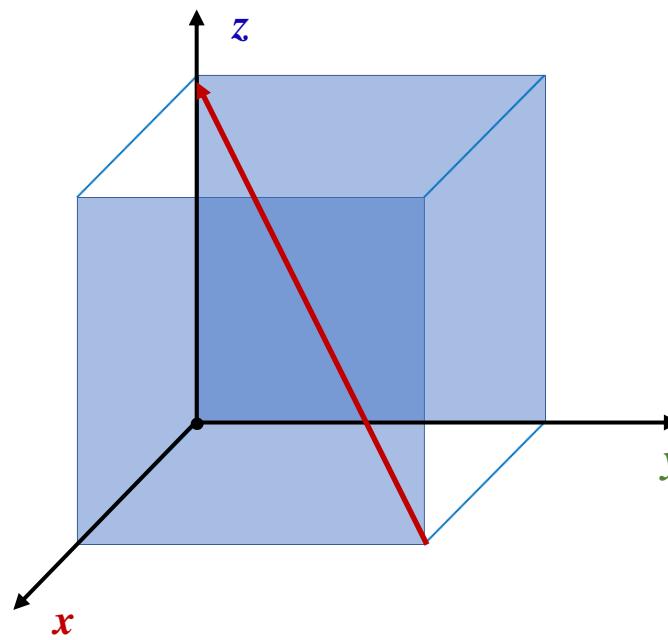
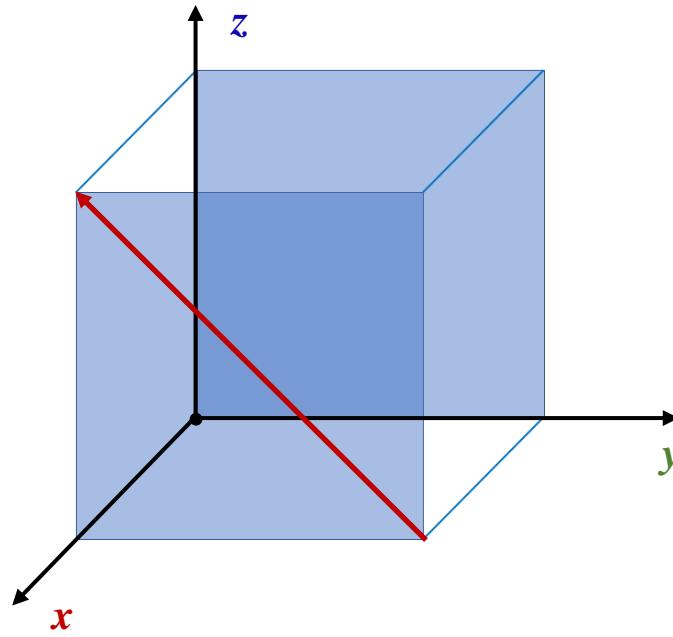
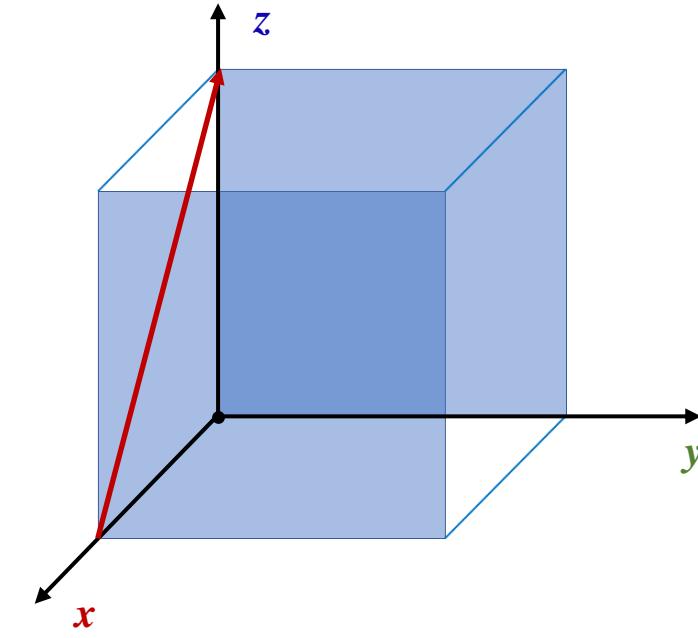
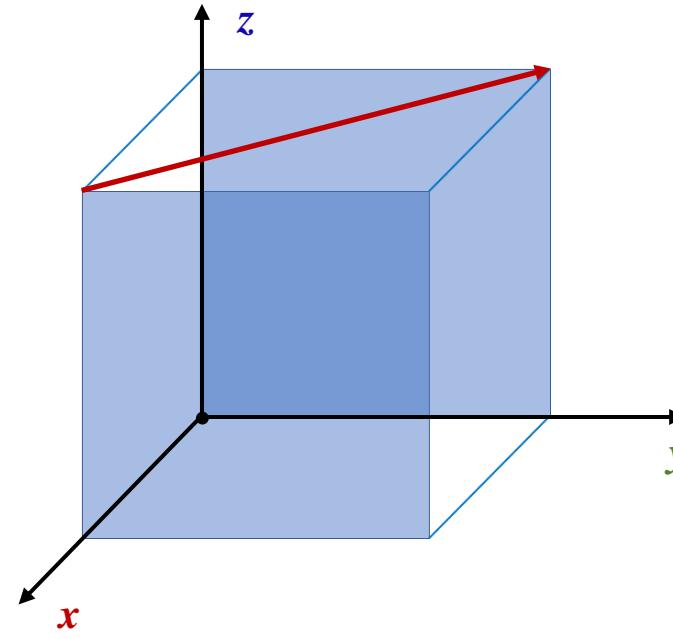
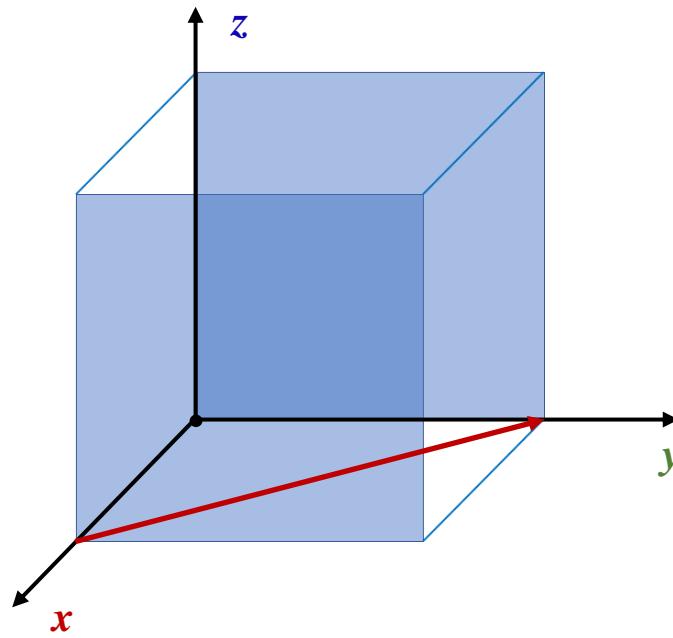
# Family of directions

Index	Members in family for cubic lattices	Number
$\langle 100 \rangle$	$[100], [\bar{1}00], [010], [0\bar{1}0], [001], [00\bar{1}]$	$3 \times 2 = 6$
$\langle 110 \rangle$	$[110], [\bar{1}10], [1\bar{1}0], [\bar{1}\bar{1}0], [101], [\bar{1}01], [10\bar{1}], [\bar{1}0\bar{1}], [011], [0\bar{1}1], [01\bar{1}], [0\bar{1}\bar{1}]$	$6 \times 2 = 12$
$\langle 111 \rangle$	$[111], [\bar{1}11], [1\bar{1}1], [11\bar{1}], [\bar{1}\bar{1}1], [\bar{1}1\bar{1}], [1\bar{1}\bar{1}], [\bar{1}\bar{1}\bar{1}]$	$4 \times 2 = 8$

Symbol	Alternate symbol		
[ ]		→	Particular direction
$\langle \rangle$	$[[ ]]$	→	Family of directions

How do we construct the lattice directions with the given Miller indices?





# MLL 100

# Introduction to

# Materials Science and Engineering

## ***Lecture-6***

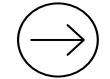
Dr. Sangeeta Santra ([ssantra@mse.iitd.ac.in](mailto:ssantra@mse.iitd.ac.in))



IIT Delhi  
Department of Materials Science and Engineering

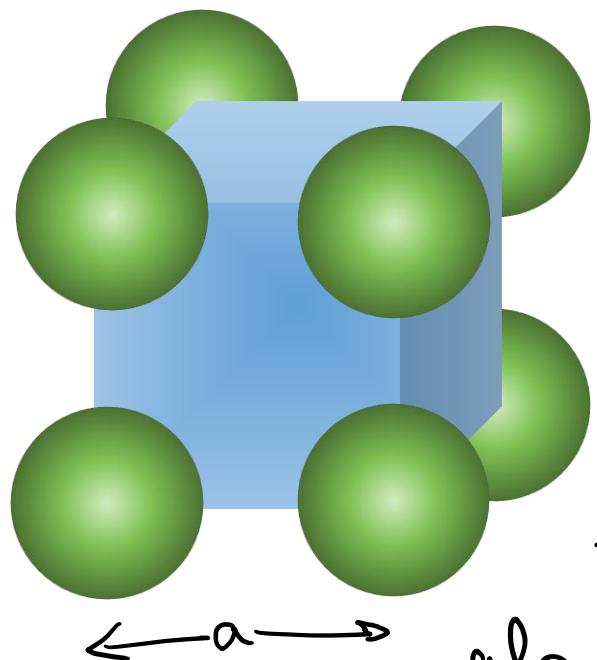
January 15, 2022

# What we learnt in Lecture-5?



Miller indices in cubic system

# Atomic packing factor (APF): Simple Cubic



Let the radius of an atom be  
and the lattice parameter  
of the cubic cell be 'a'.

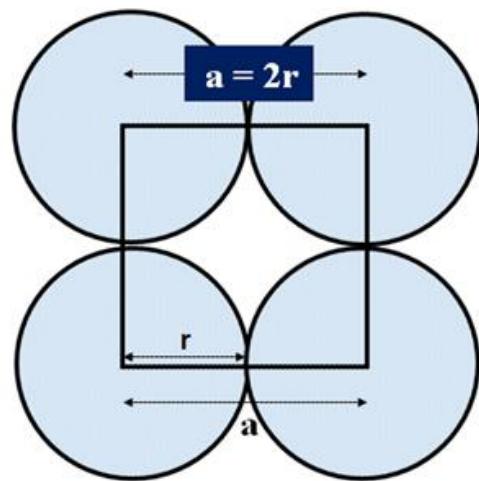
∴ If you consider (100);

two atoms will be in contact

along the edges (as shown in the figure)

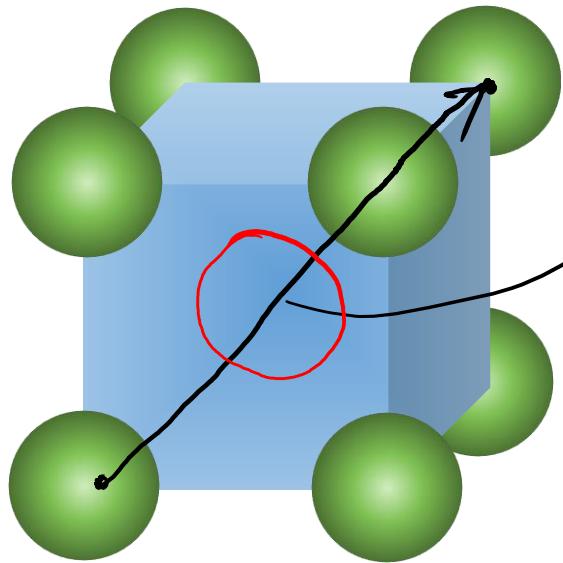
$$\therefore 2r = a \quad \therefore r = \left(\frac{a}{2}\right)$$

$$\therefore \text{APF} = \frac{1 \times \frac{4}{3} \pi r^3}{a^3} = 52\%$$



$$\therefore \text{APF} = \frac{\text{(No. of effective atoms (VC))} \times \text{Vol. of an atom}}{\text{Vol. of a VC}}$$

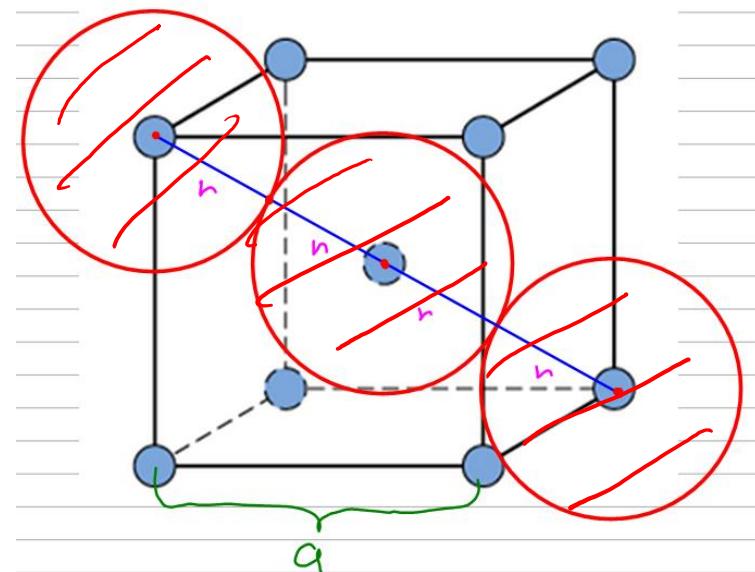
# Atomic packing factor (APF): Body-centred cubic



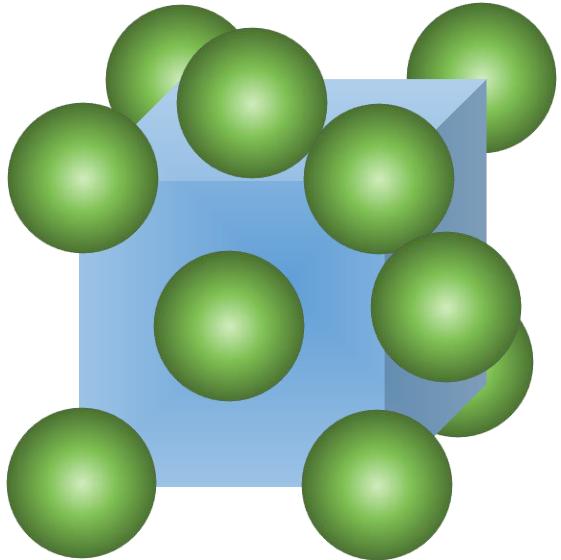
(The atoms will be most closely packed along the body diagonal, i.e.,  $[1\ 1\ 1]$ ).

$$\text{Similarly, APF} = \frac{2 \times \frac{4}{3}\pi \left(\frac{\sqrt{3}}{4}a\right)^3}{a^3} =$$

$$\therefore 4r = \sqrt{3}a \\ r = \left(\frac{\sqrt{3}a}{4}\right)$$



# Atomic packing factor (APF): Face-centred cubic

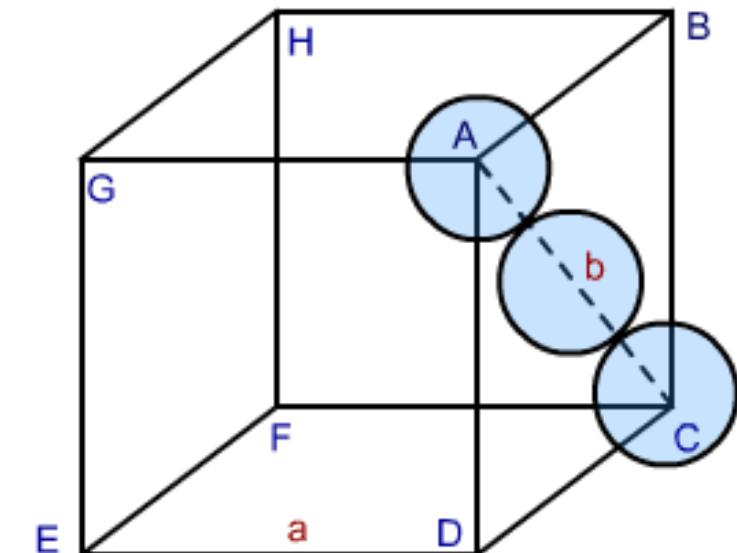


In FCC, the atoms will be closely packed along the face diagonals.

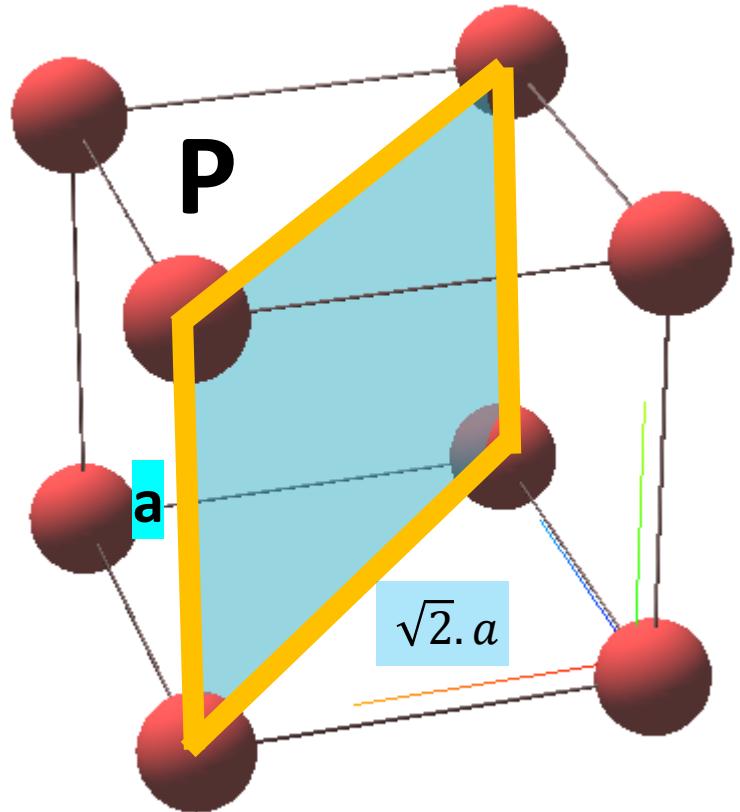
$$\therefore 4r = \sqrt{2}a \quad \therefore r = \left( \frac{\sqrt{2}a}{4} \right)$$

$$\therefore \text{APF} = \frac{4 \times \frac{4}{3}\pi \left( \frac{\sqrt{2}a}{4} \right)^3}{a^3}$$

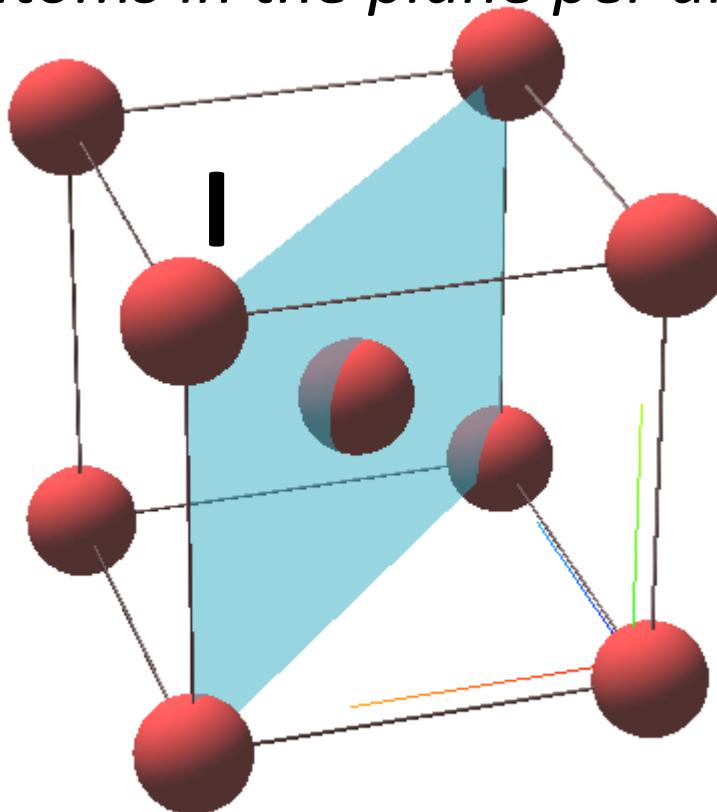
$$= \underline{\underline{74\%}}$$



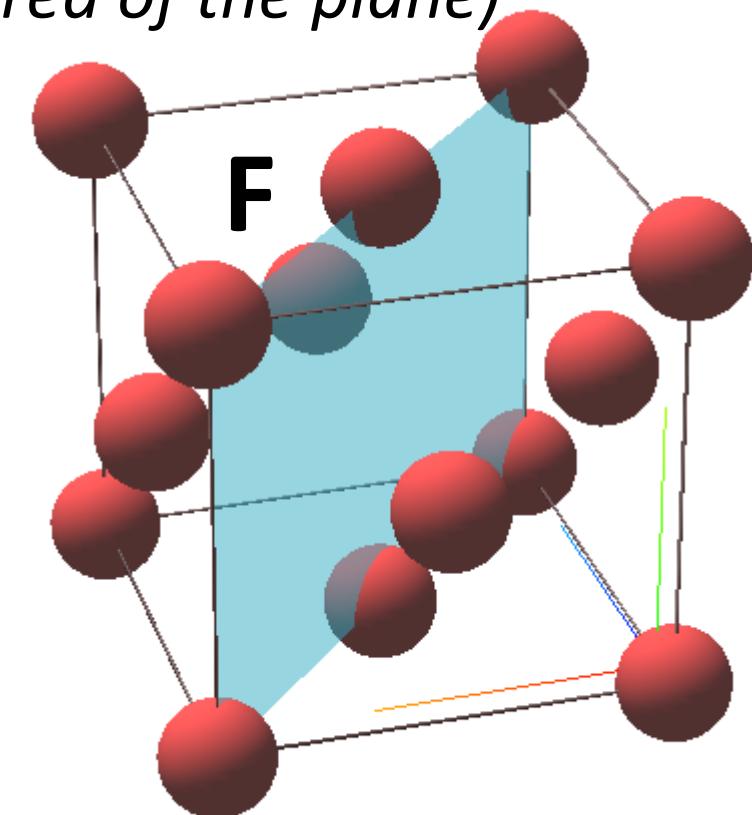
# Planar density (No. of atoms in the plane per unit area of the plane)



$$(4) \times \frac{1}{4} = 1$$



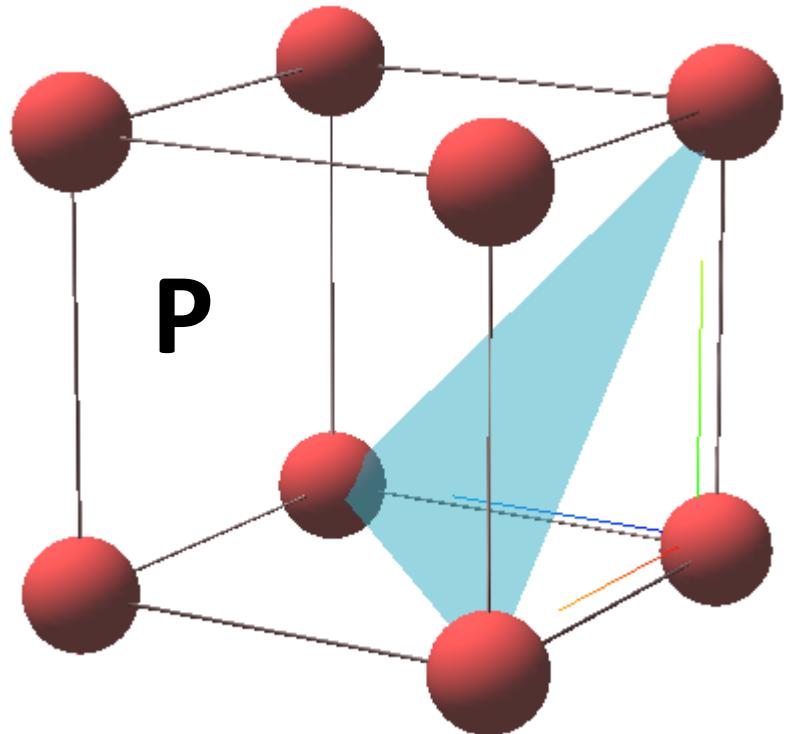
$$[(4) \times \frac{1}{4}] + [(1) \times 1] = 2$$



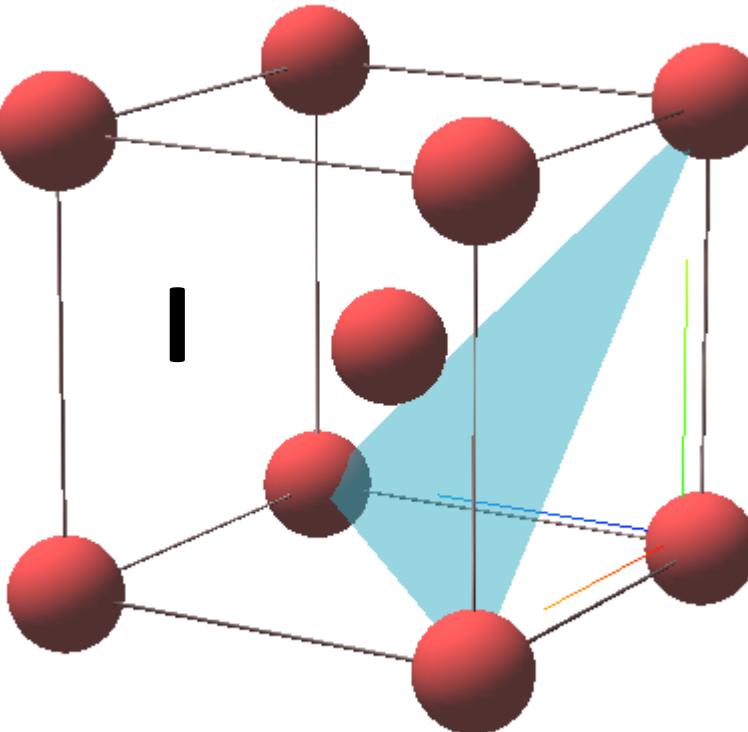
$$[(4) \times \frac{1}{4}] + [(2) \times \frac{1}{2}] = 2$$

$$\text{Area of (110) plane} = a \times (\sqrt{2} \cdot a) = \sqrt{2} \cdot a^2$$

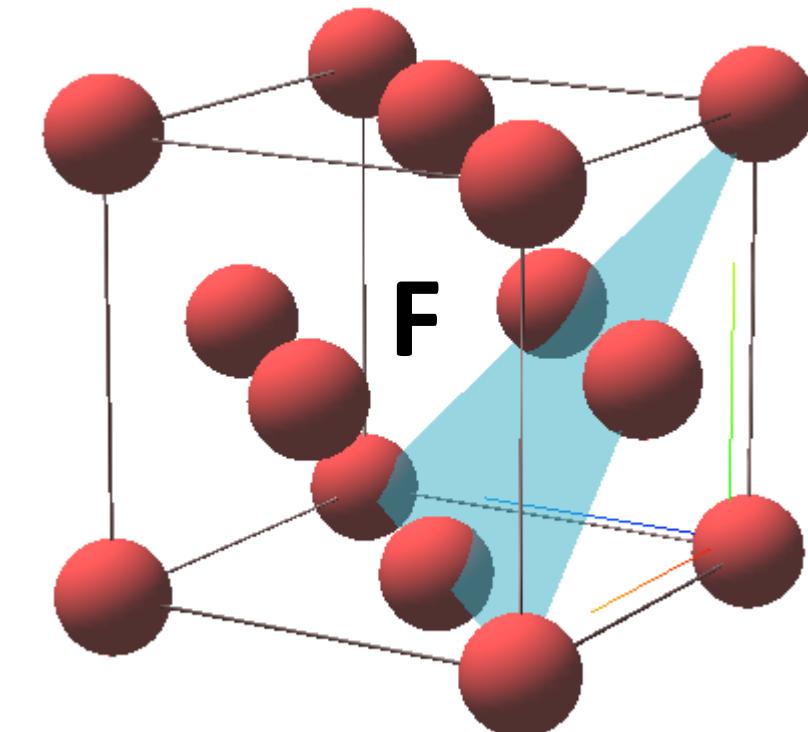
Which of the cubic Bravais lattice has the highest packing density for the (1 1 1) plane?



$$(3) \times \frac{1}{6} = \frac{1}{2}$$



$$(3) \times \frac{1}{6} = \frac{1}{2}$$



$$[(3) \times \frac{1}{6}] + [(3) \times \frac{1}{2}] = 2$$

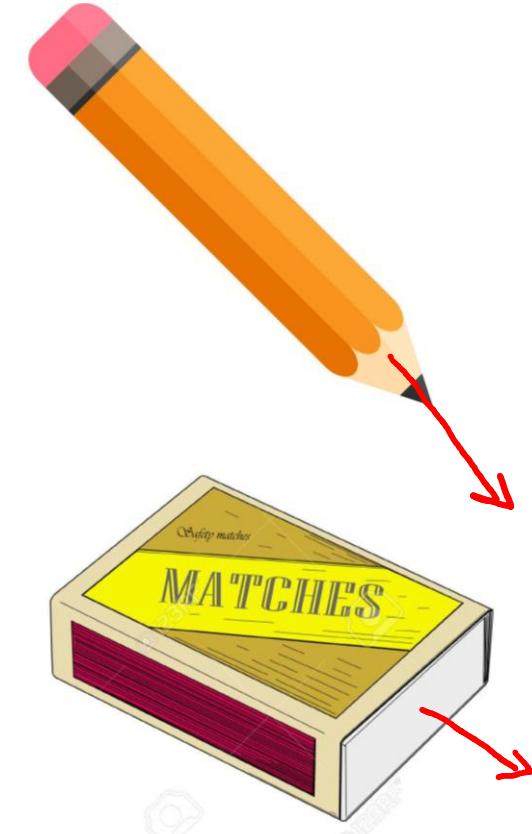
$$\text{Area of (111) plane} = (\sqrt{3}/4 \cdot a^2) = (\sqrt{3}/4 \cdot (\sqrt{2}a)^2) = 0.866 a^2$$

# Weiss Zone law

If a  $(h k l)$  plane lies in a zone  $[u v w]$  -----> if the  $[u v w]$  direction is  $\parallel$  to the  $(h k l)$  plane, then:

$$(hu + kv + lw) = 0$$

- Zone: a set of planes in a crystal whose intersections are all parallel.
- Zone axis: Common direction of the intersections.
  
- Can the directions in a crystal be called zone axes?  
..... 'Zone axes' and 'directions' are synonymous.
  
- Direction of a Pencil lead: Zone axis for all the faces enclosing it.



## Zone axis at the intersection of the lattice planes

- If  $(h_1 k_1 l_1)$  &  $(h_2 k_2 l_2)$  are two planes having a common direction  $[u v w]$ , according to Weiss zone law:

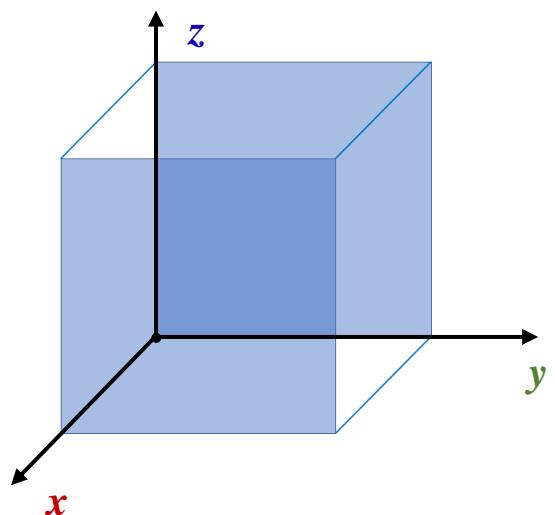
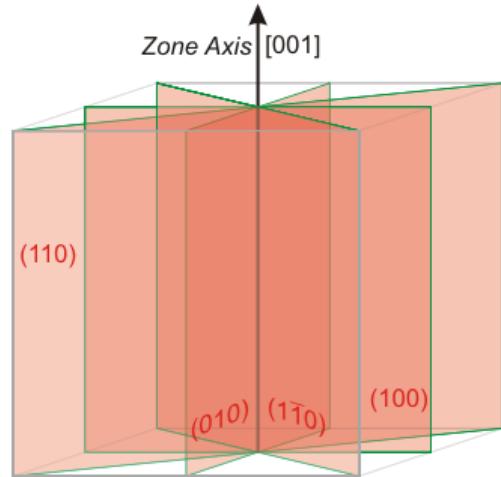
$$u.h_1 + v.k_1 + w.l_1 = 0 \text{ & } u.h_2 + v.k_2 + w.l_2 = 0$$

$$\begin{bmatrix} u & v & w \\ h_1 & k_1 & l_1 \\ h_2 & k_2 & l_2 \end{bmatrix} = 0$$

$$\therefore u = (k_1 l_2 - k_2 l_1)$$

$$v = -(h_1 l_2 - h_2 l_1)$$

$$w = (h_1 k_2 - h_2 k_1)$$



## Lattice plane parallel to the two directions

$(hkl)$

$[u_1 v_1 w_1]$

and

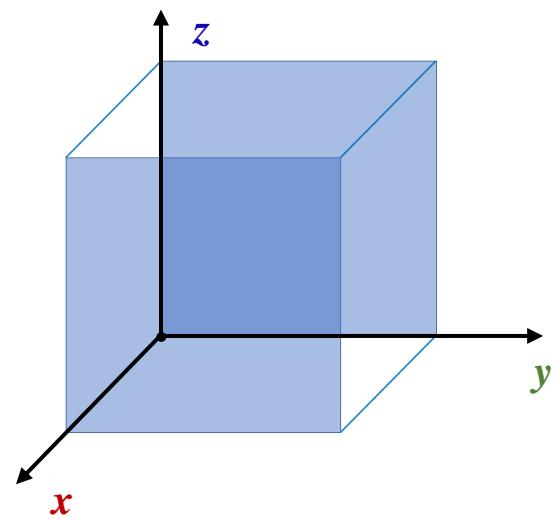
$[u_2 v_2 w_2]$

$$\therefore \begin{bmatrix} h & k & l \\ u_1 & v_1 & w_1 \\ u_2 & v_2 & w_2 \end{bmatrix} = 0$$

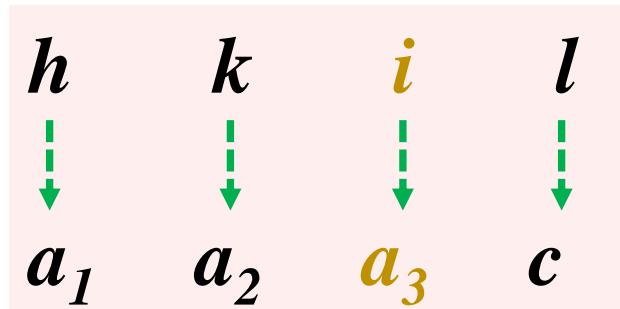
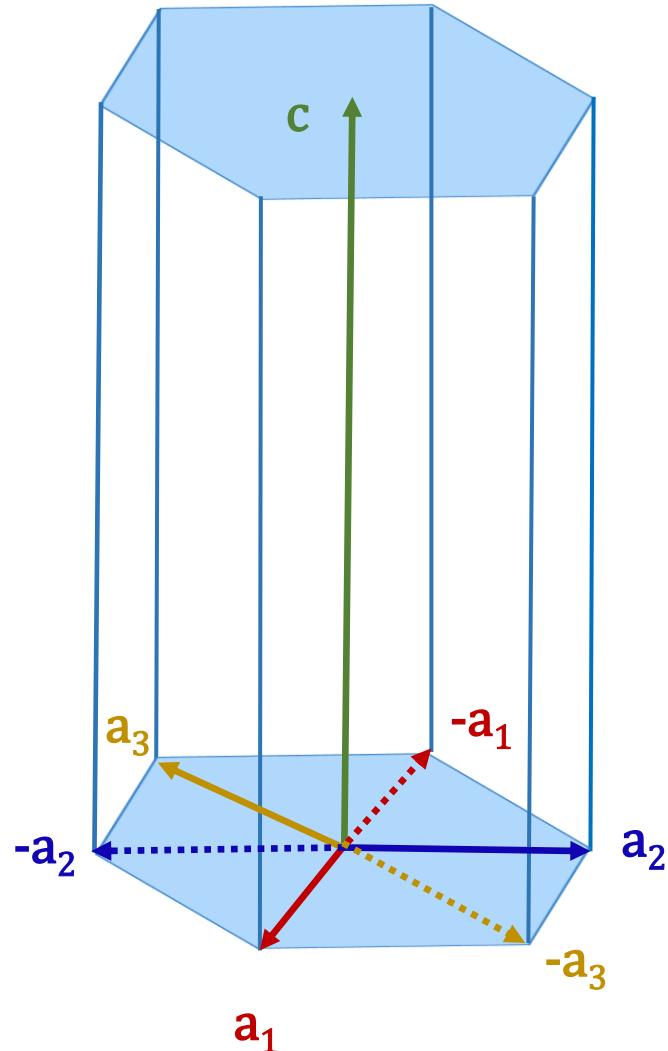
$$\therefore h = (v_1 w_2 - v_2 w_1)$$

$$k = -(u_1 w_2 - u_2 w_1)$$

$$l = (u_1 v_2 - u_2 v_1)$$



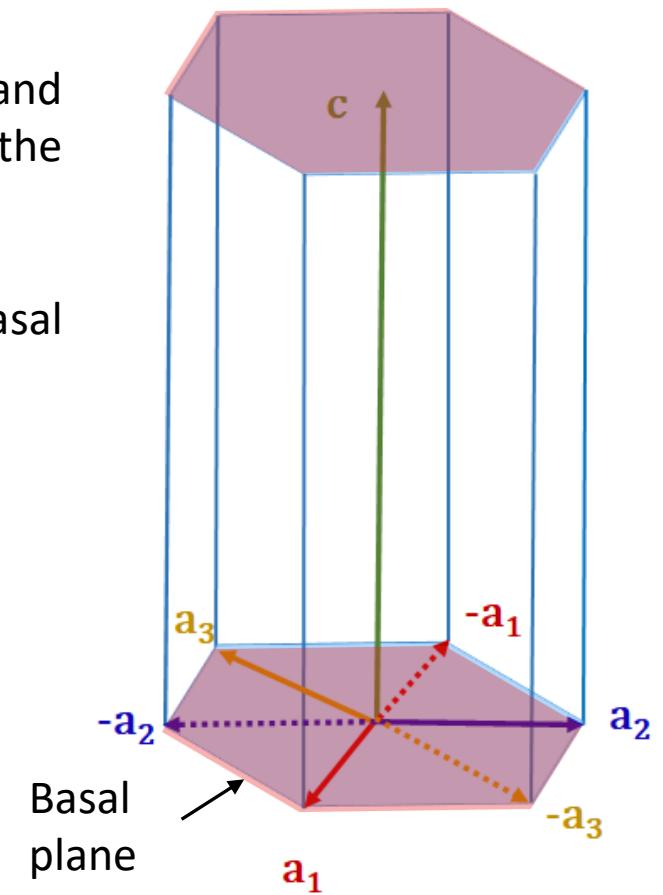
## Miller-Bravais indices for hexagonal system



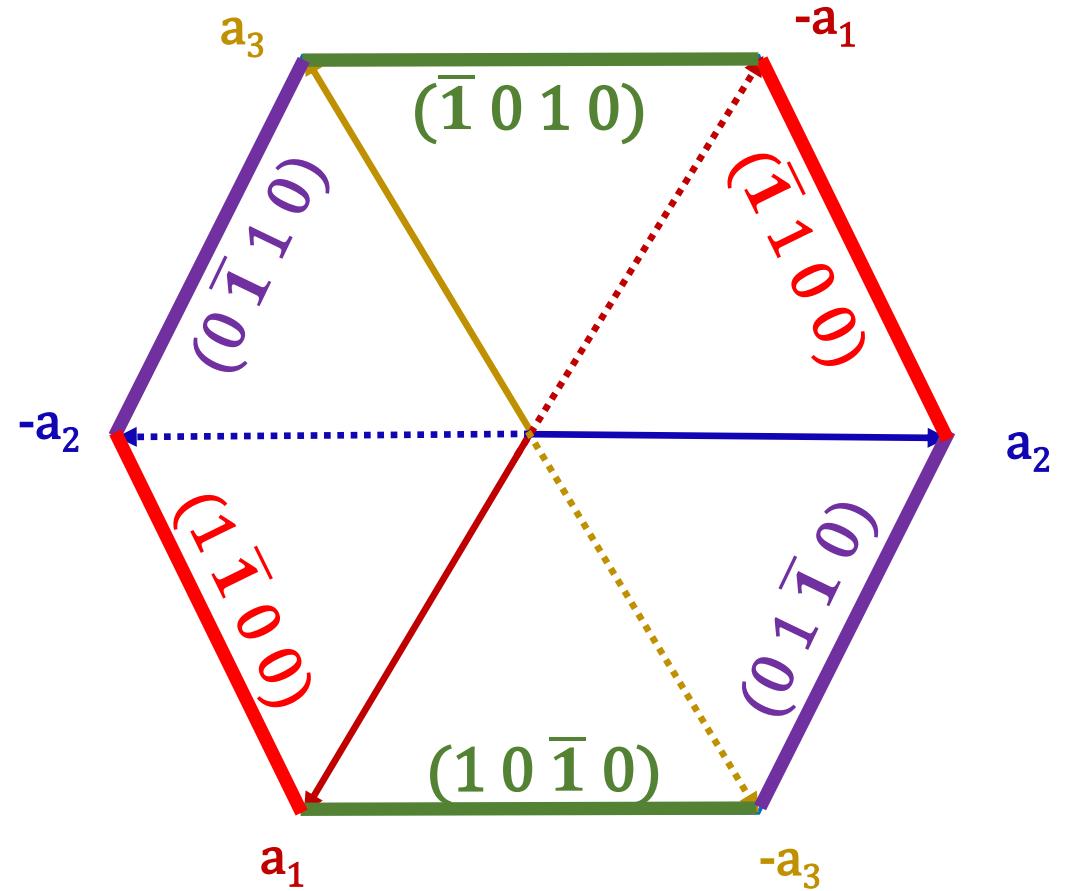
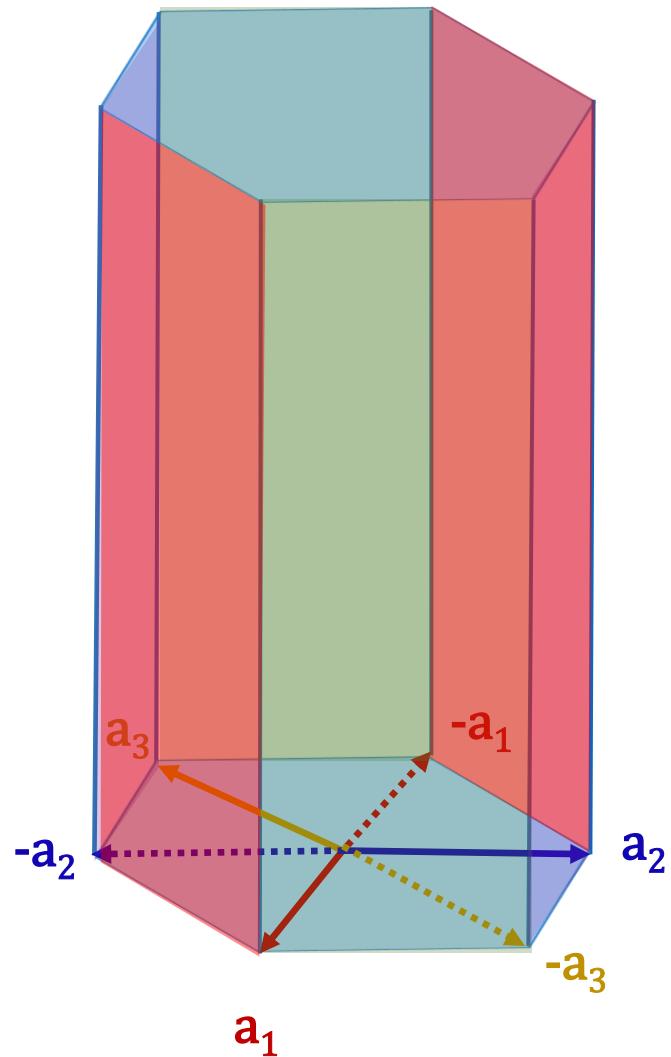
$$i = -(h + k)$$

- $a_1$ ,  $a_2$  and  $a_3$  are three close-packed directions and are coplanar, lying on the basal plane of the crystal. These axes are at  $120^\circ$  w.r.t each other.
- Fourth axis,  $c$ -axis, is perpendicular to the basal plane.
- $a_3$ -axis is the redundant axis.

	Intercepts	$\infty$	$\infty$	$\infty$	1
Reciprocals	$\frac{1}{\infty}$	$\frac{1}{\infty}$	$\frac{1}{\infty}$	$\frac{1}{\infty}$	$\frac{1}{1}$
Plane	(0001)				

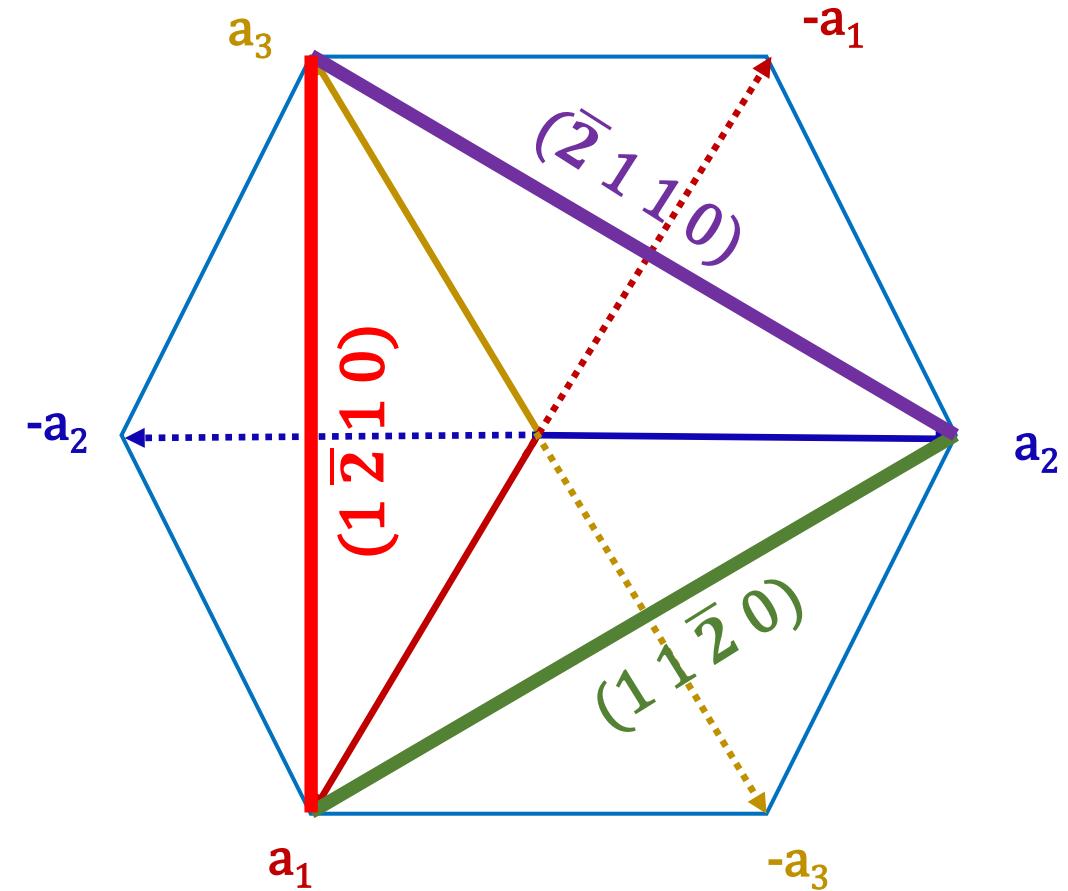
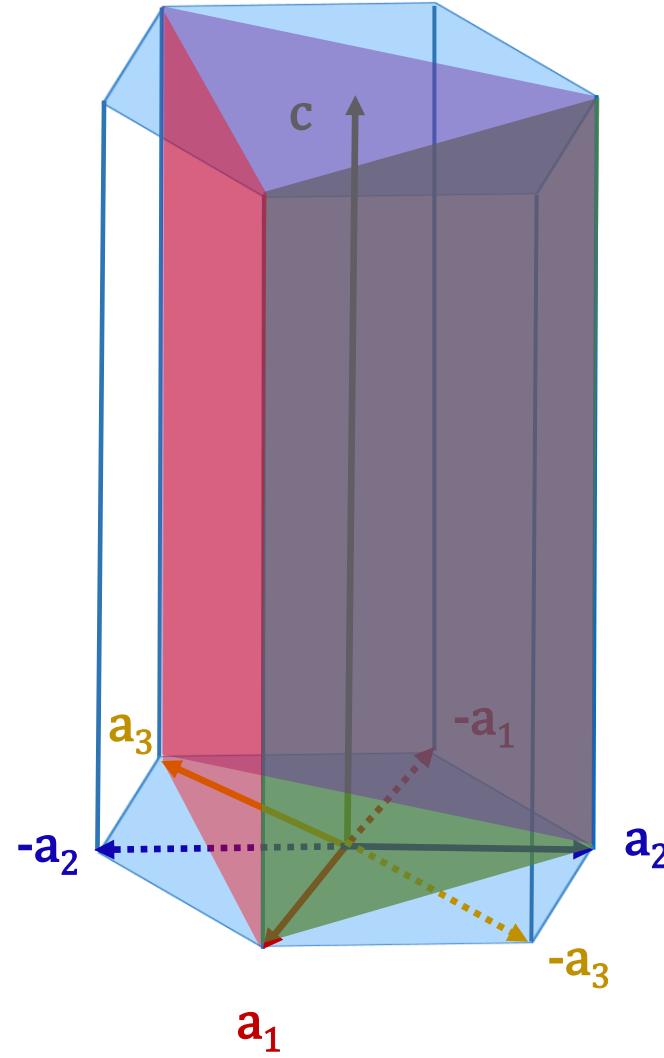


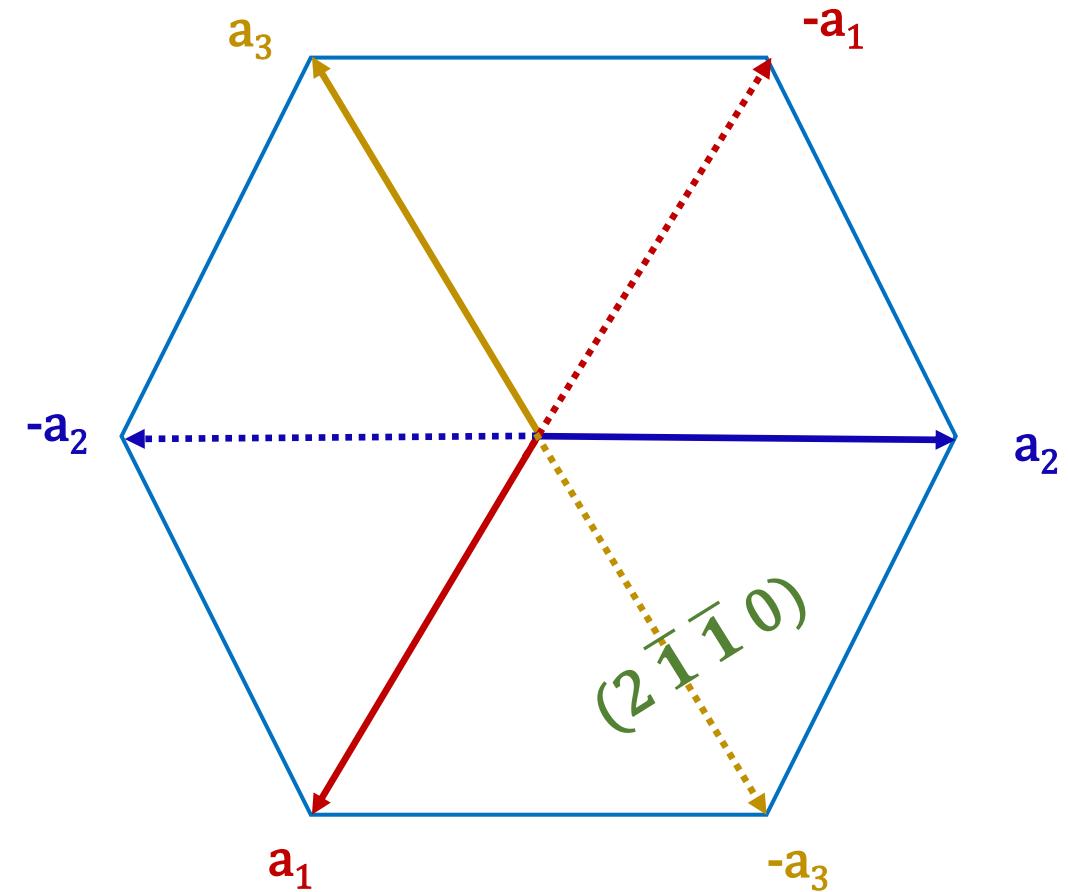
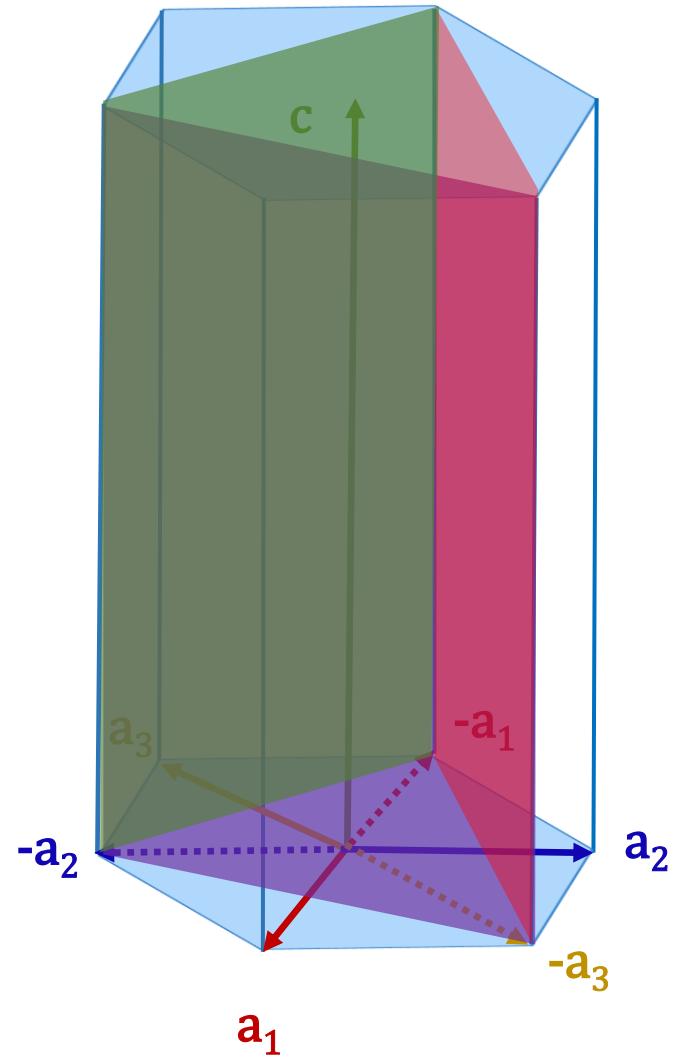
## Prismatic planes : *Planes // to the c-axis*



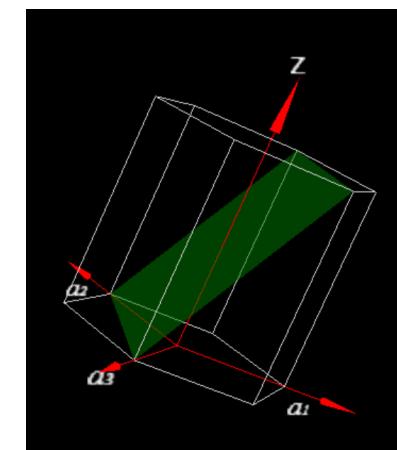
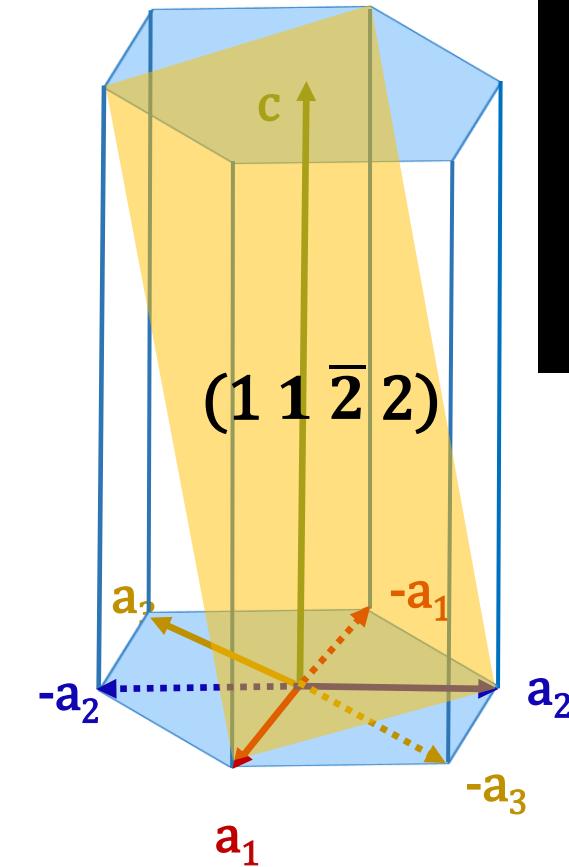
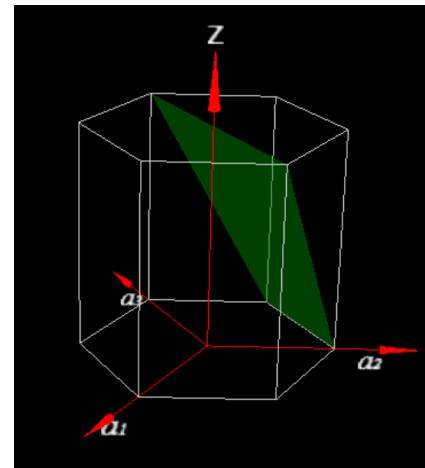
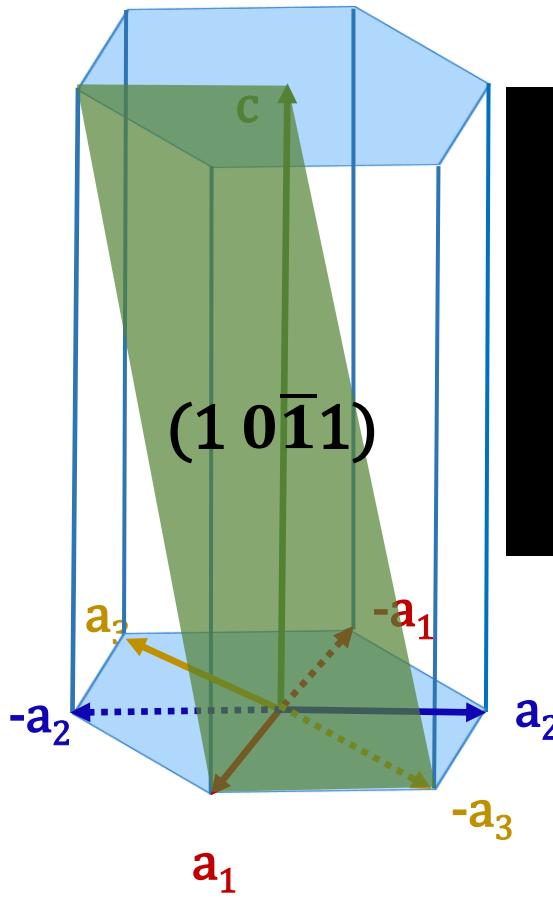
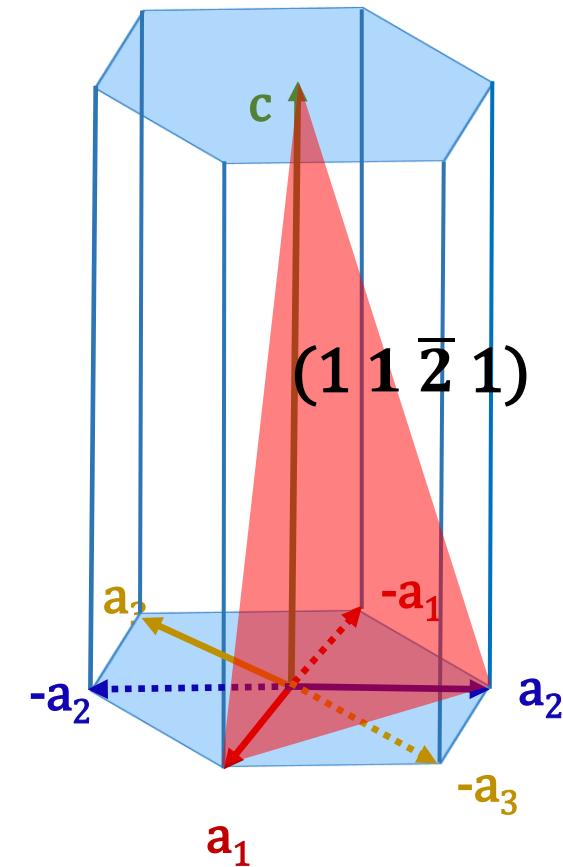
- The equivalent planes,  $(100)$ ,  $(010)$ ,  $(1\bar{1}0)$  defined by Miller indices, got transformed to  $(10\bar{1}0)$ ,  $(01\bar{1}0)$  and  $(1\bar{1}00)$  defined by Miller-Bravais indices.
- These have the same set of indices, and belong to the same family of planes:  $\{1\bar{1}00\}$

## Prismatic planes : *Planes // to the c-axis*

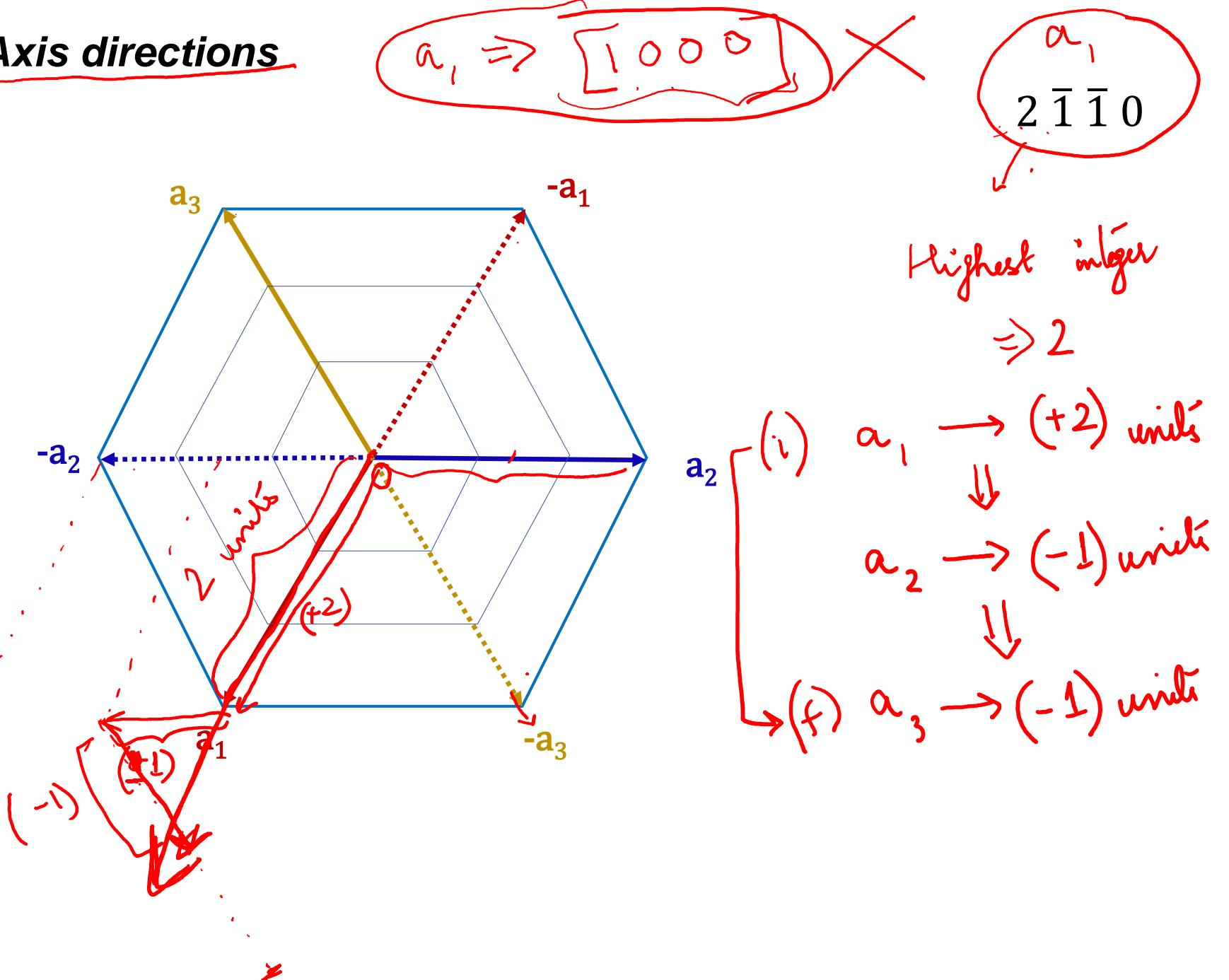




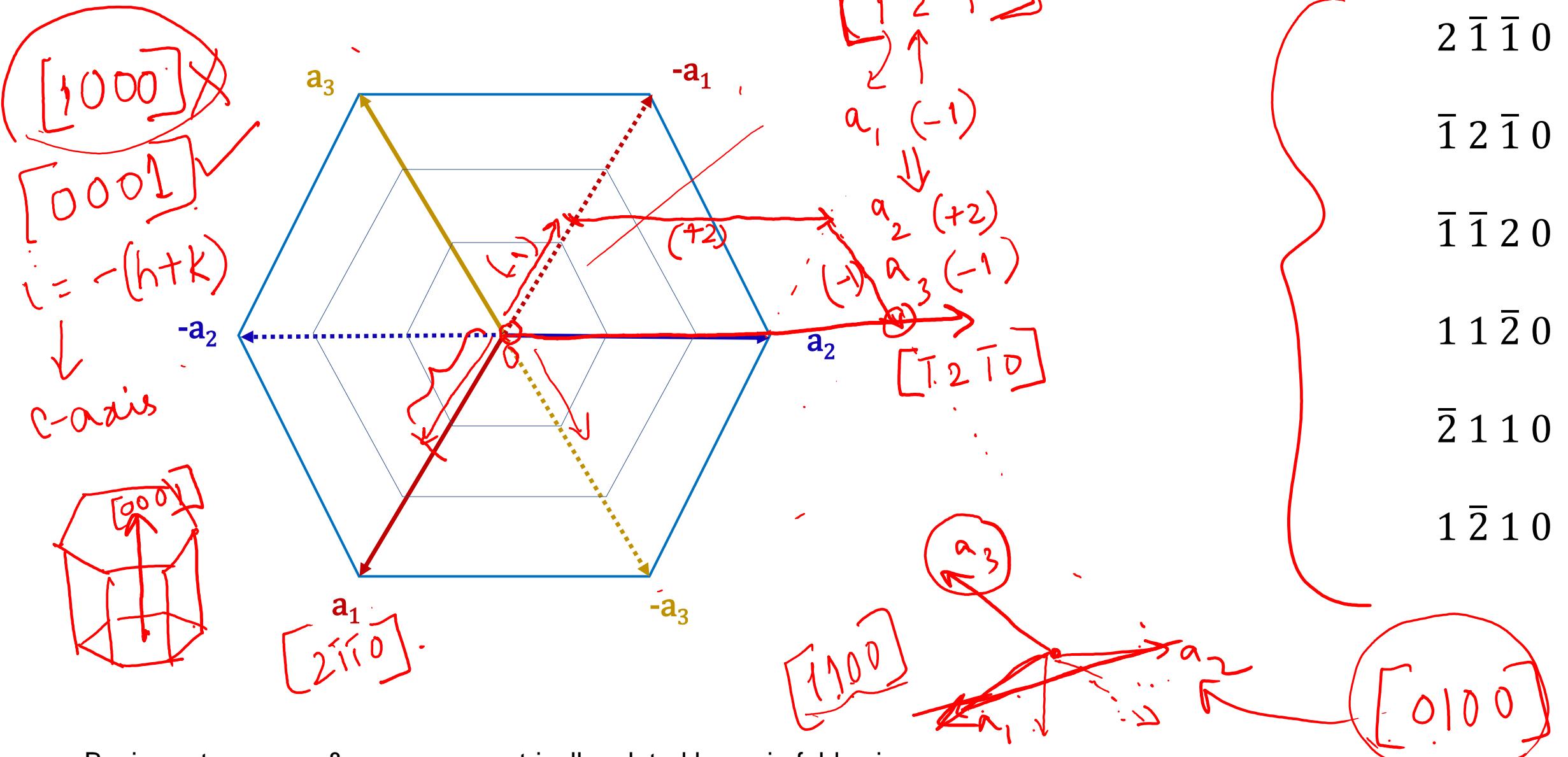
## Pyramidal planes : *Planes which have finite intercepts with the c-axis*



## Miller-Bravais directions: Axis directions

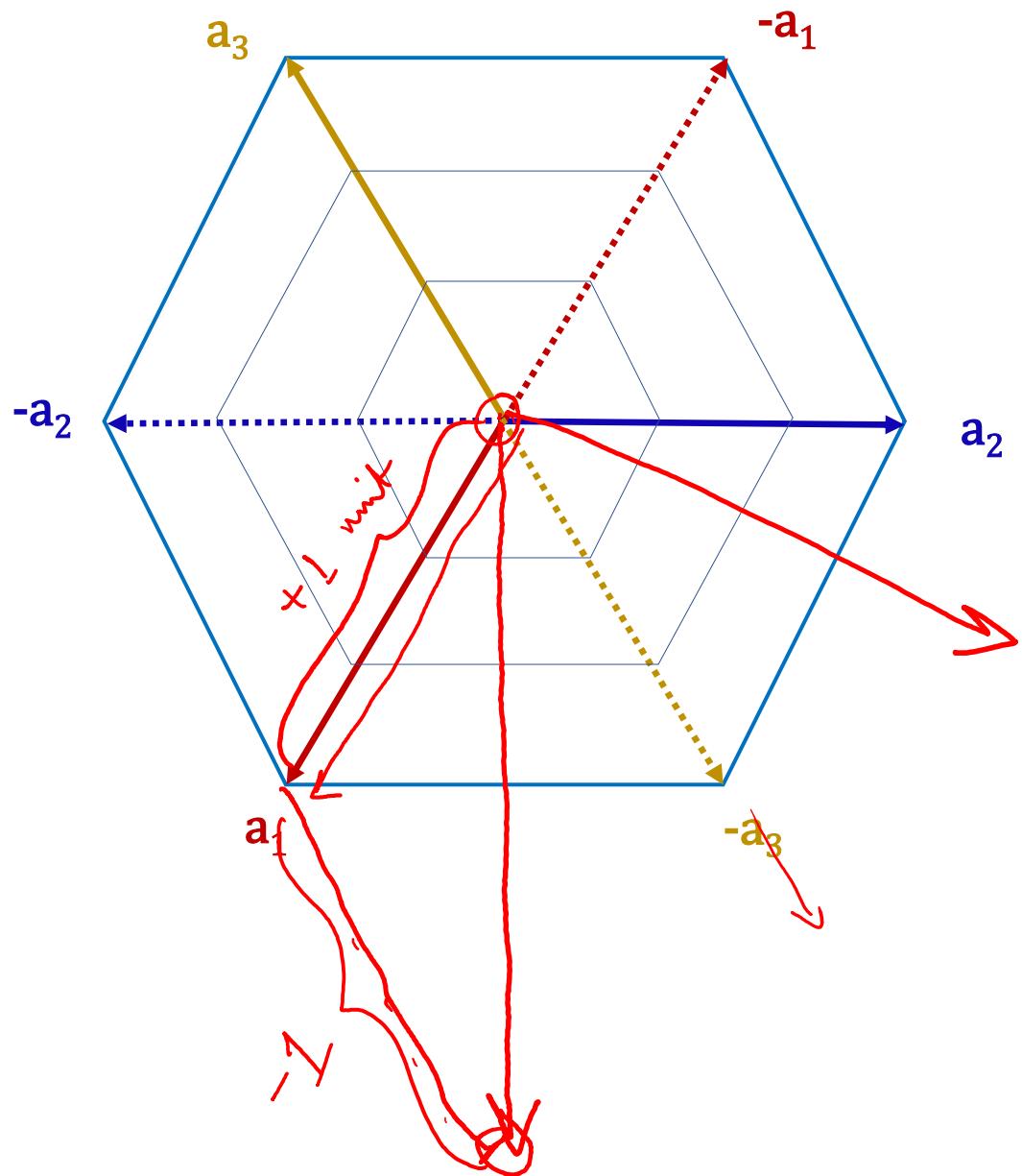


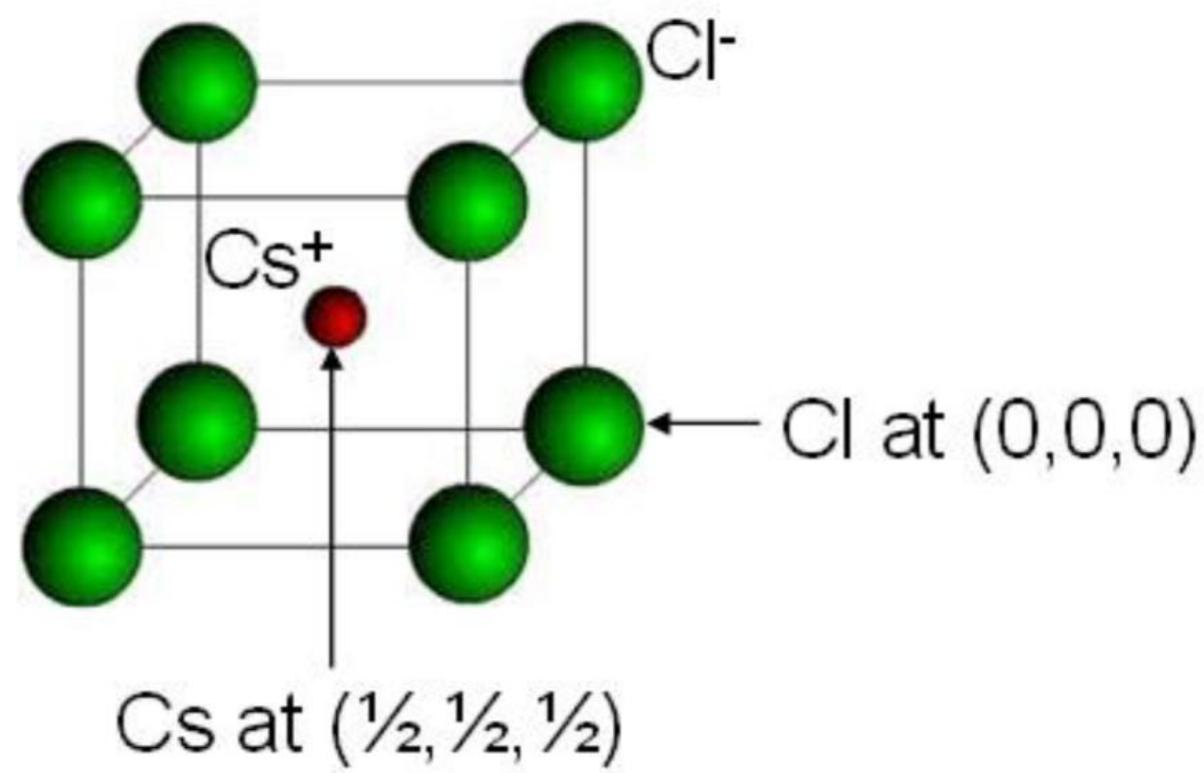
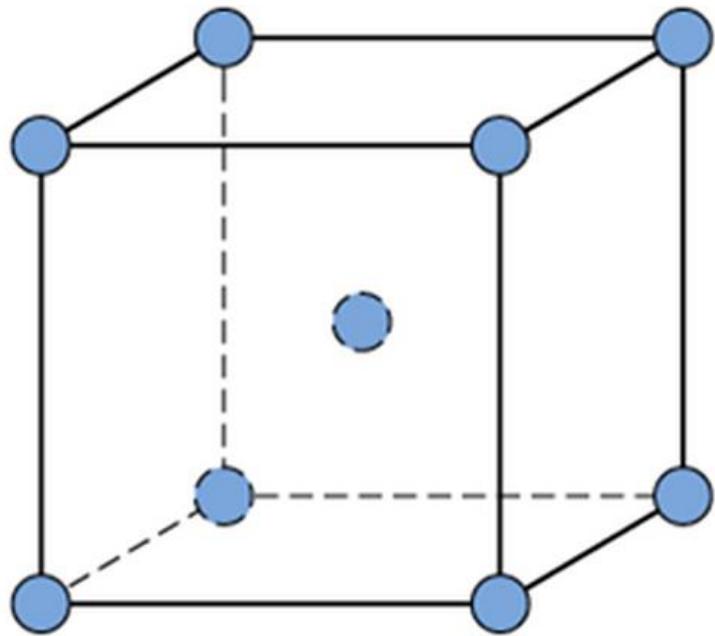
## Miller-Bravais directions: Axis directions



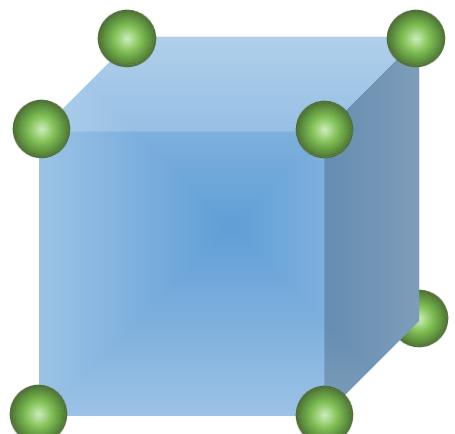
- Basis vectors  $a_1$ ,  $a_2$  &  $a_3$  are symmetrically related by a six-fold axis.
- The 3<sup>rd</sup> index is redundant and is included to bring out the equality between equivalent directions (like in the case of planes).

## Miller-Bravais directions : *Diagonal directions*

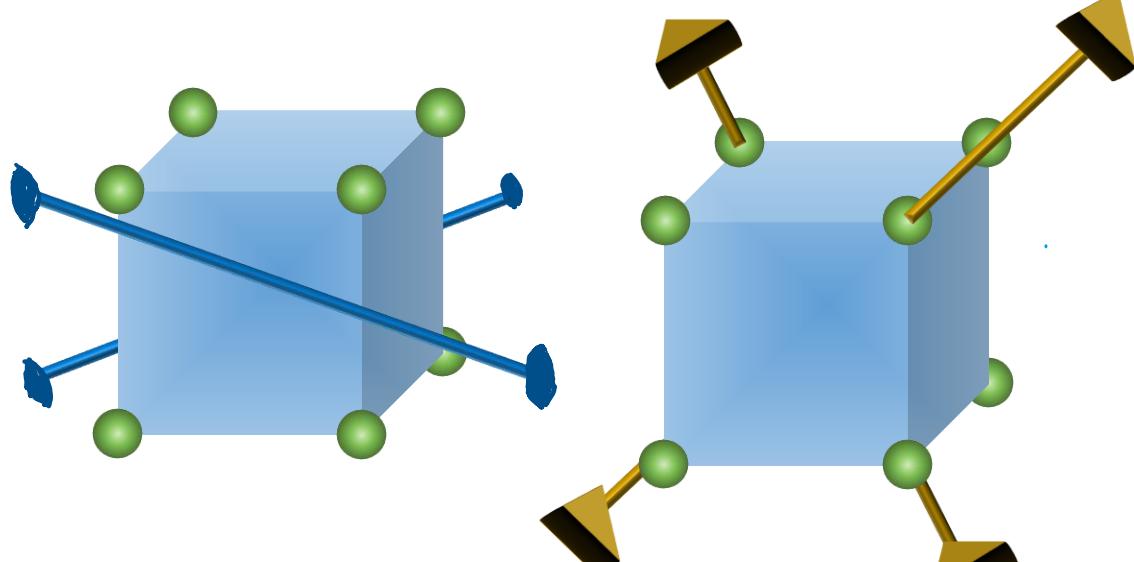
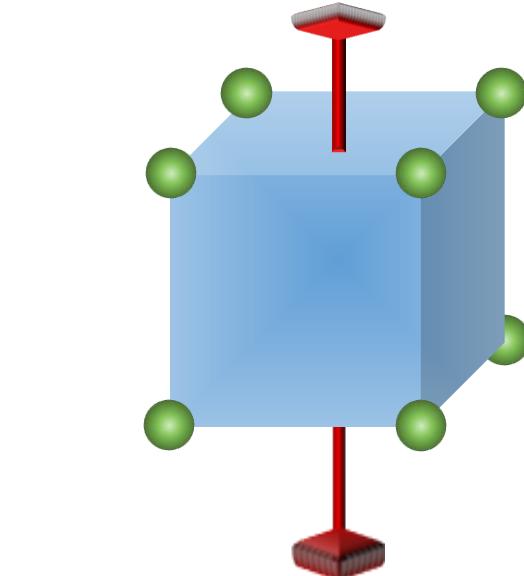




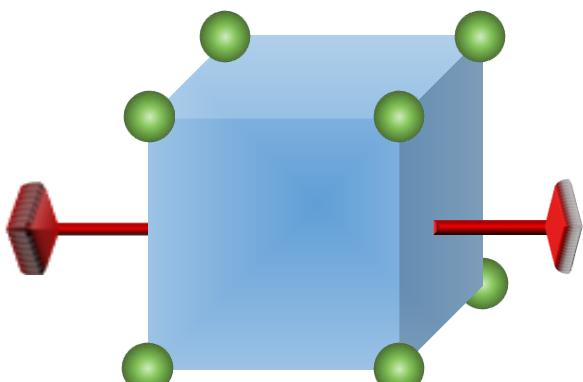
	Iron (Fe)	CsCl
Motif	1	2
Lattice type	Non-primitive	Primitive
Crystal system	Cubic	Cubic
Bravais lattice	Body centered cubic	Simple cubic



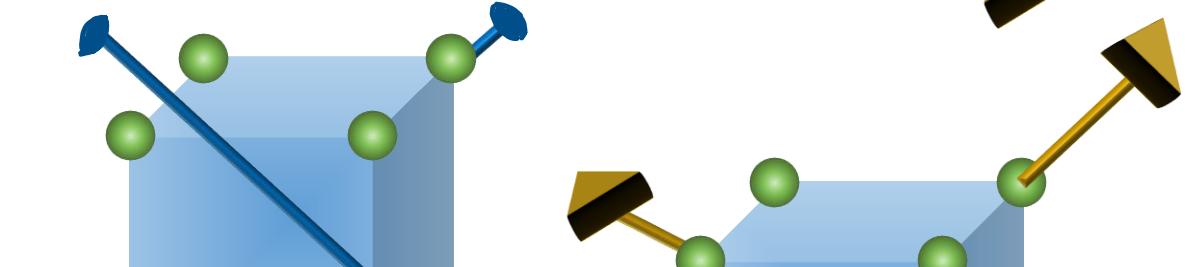
Point symmetry = 1



**Line of symmetry:**



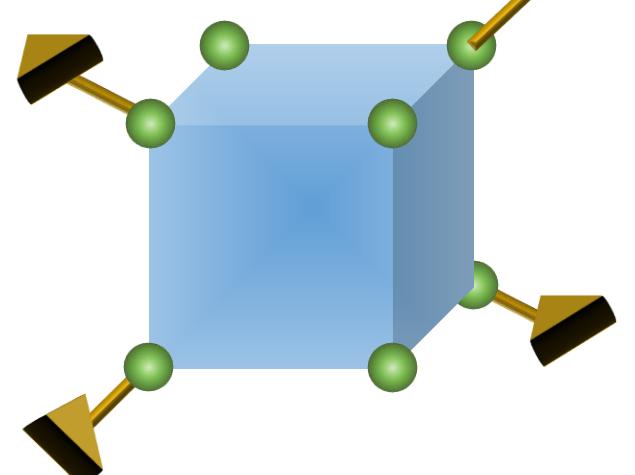
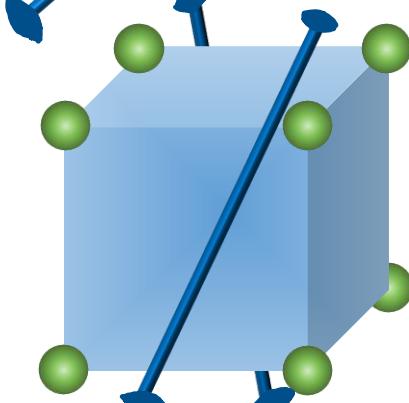
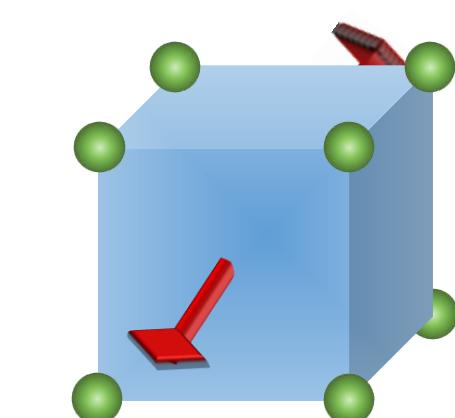
4-fold: 3

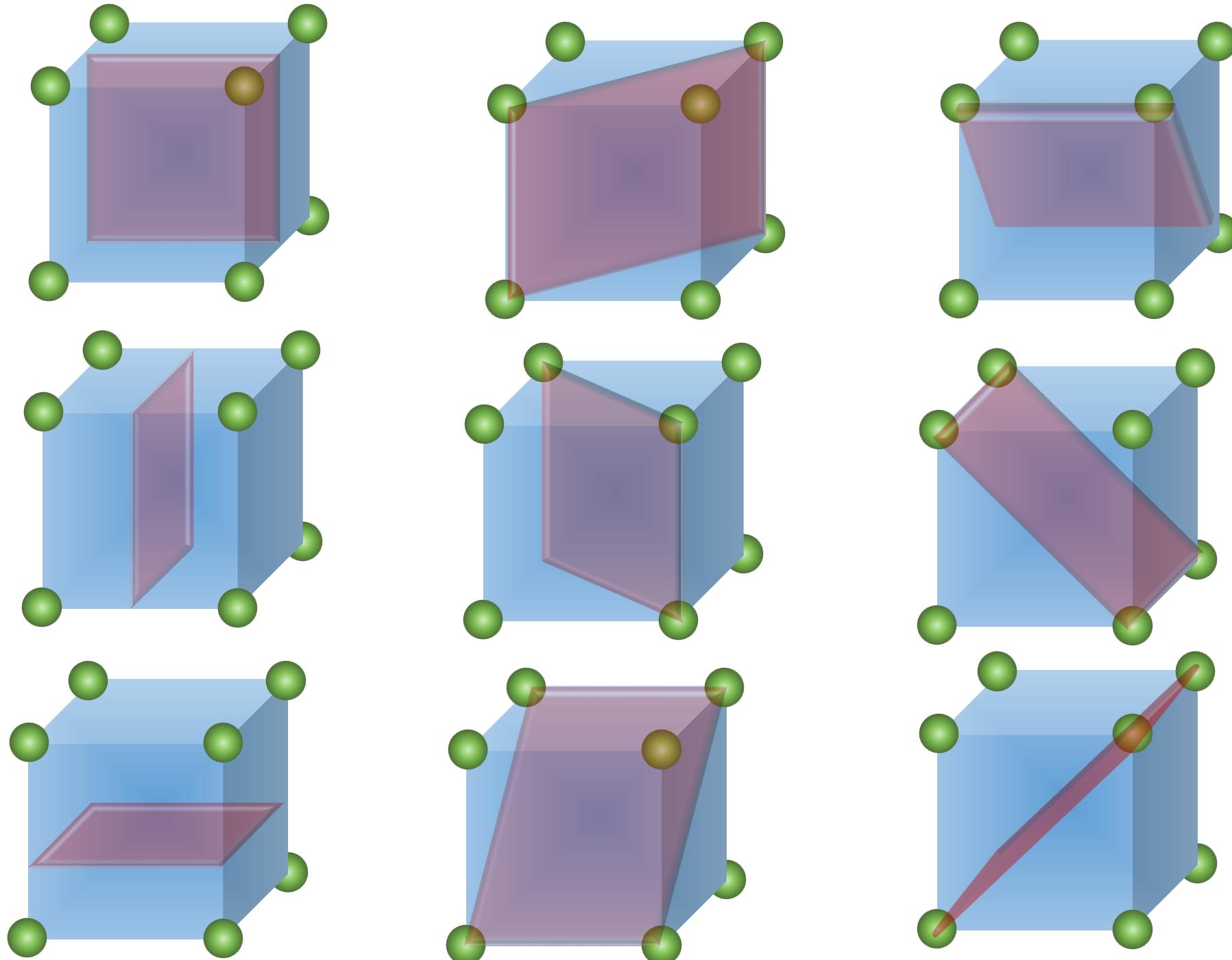


2-fold: 6



3-fold: 4

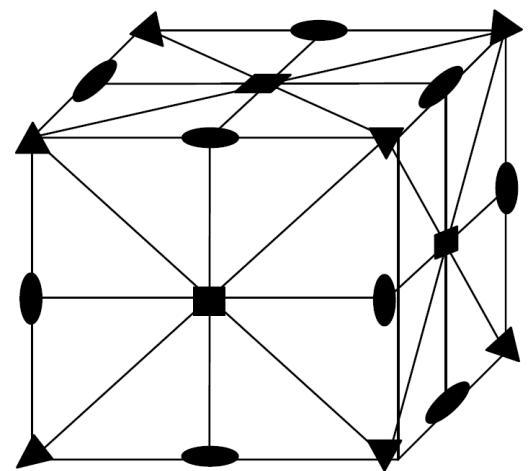




Plane of symmetry:

Mirror plane: 9

**Total symmetry  
in a cube:  
 $1 + 13 + 9 = 23$**



- ❑ **What is the difference between crystal structure and crystal system?**
- ❑ **Do non-crystalline materials exhibit the allotropy/polymorphic phenomenon?**

# First QUIZ of MLL100

Date

: *January 25, 2022*

Day

: *Tuesday*

Time

: *10:30 a.m. – 10:50 a.m.*

Marks

: *10*

Mode

: *Online (Moodle)*

Syllabus

: *Topics covered until Jan 19, 2022*

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# MLL 100

# Introduction to

# Materials Science and Engineering

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***Lecture-7 (January 18, 2022)***

Dr. Sangeeta Santra ([ssantra@mse.iitd.ac.in](mailto:ssantra@mse.iitd.ac.in))



IIT Delhi

Department of Materials Science and Engineering

# Topics covered

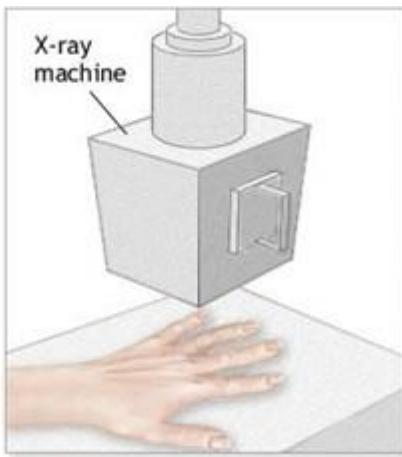
- Miller-Bravais indices of planes and directions in a hexagonal system



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IN A SLIP AND FALL?

Hupy and  
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personal injury lawyers  
800.800.5678  
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# X-ray diffraction



- ❑ Tool used to understand the crystallography of the material.
- ❑ Understanding of the basic principle involved with the technique (XRD).
- ❑ Case studies of XRD.

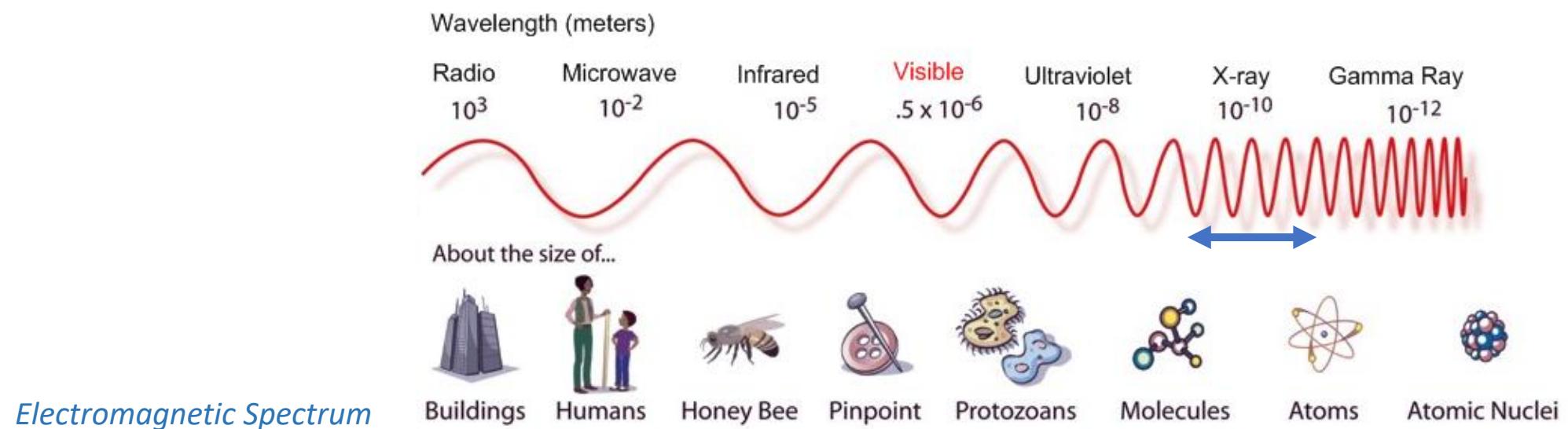
# Why can X-ray be used for diffraction?

- ❑ X-radiation ("X-rays") is electromagnetic radiation with wavelengths between  $\sim 0.1\text{\AA}$  and  $100\text{\AA}$ , typically like the interatomic distances ( $\sim 2\text{-}3\text{\AA}$ ) in a crystal. It permits crystal structures to diffract X-rays.

Lattice parameter of Ni ( $a_{\text{Ni}}$ ) =  $3.52\text{\AA}$

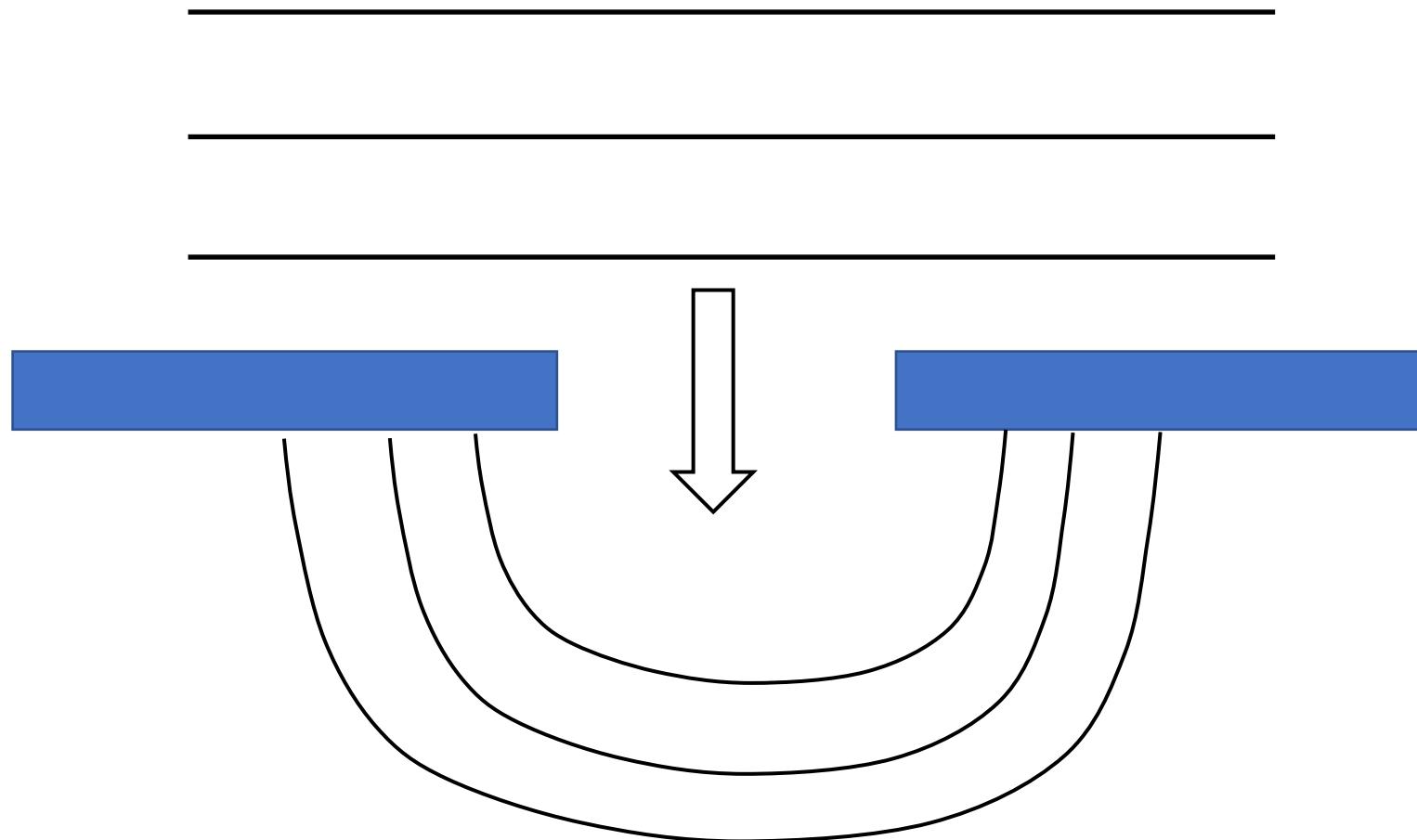
$\Rightarrow d_{hkl}$  is equal to  $a_{\text{Ni}}$  or less than that (e.g.  $d_{111} = a_{\text{Ni}}/\sqrt{3} = 2.03\text{\AA}$ )

- ❑ Three possibilities (regimes) exist based on the wavelength ( $\lambda$ ) and the spacing between the scatterers ( $a$ ).
  - $\lambda < a \rightarrow$  transmission dominated.
  - $\lambda \sim a \rightarrow$  diffraction dominated.
  - $\lambda > a \rightarrow$  reflection dominated (surface phenomenon).

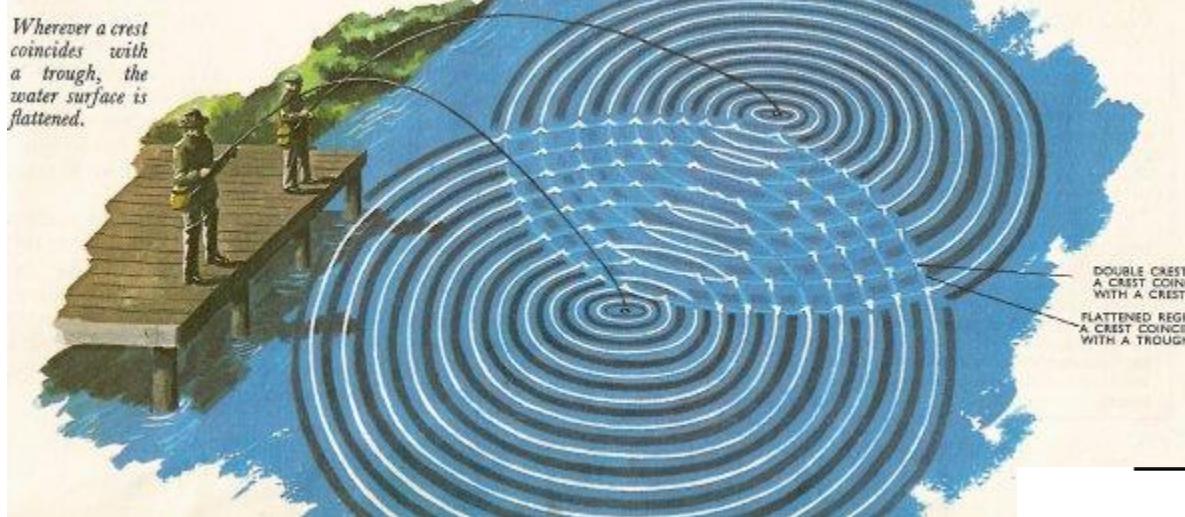


# Diffraction

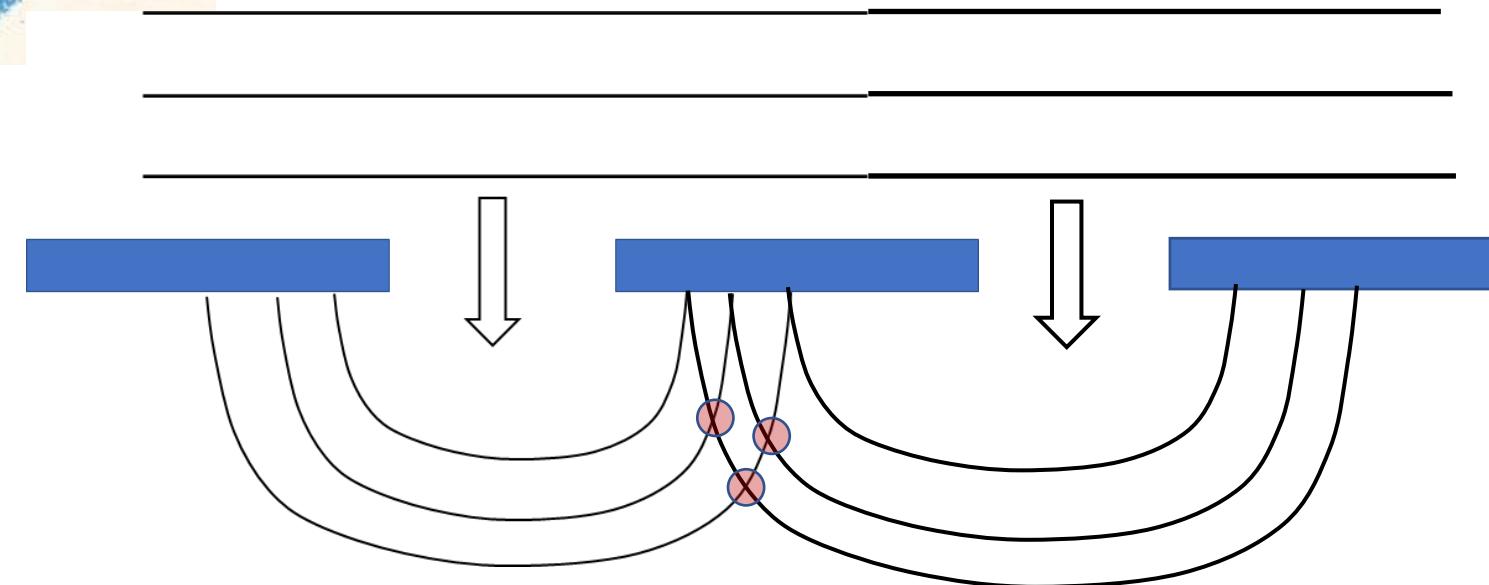
- Series of parallel waves passes through narrow spaces, get constricted, and either spreads out or bends.
- Series of narrowly spaces slits (diffraction grating) disperses parallel beams according to its wavelength.



# Interference

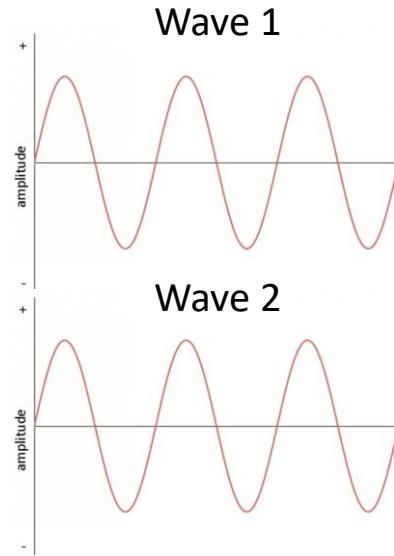


- Two waves encountering each other in the same medium, the effects can either be cancelled out or mutually reinforce each other.

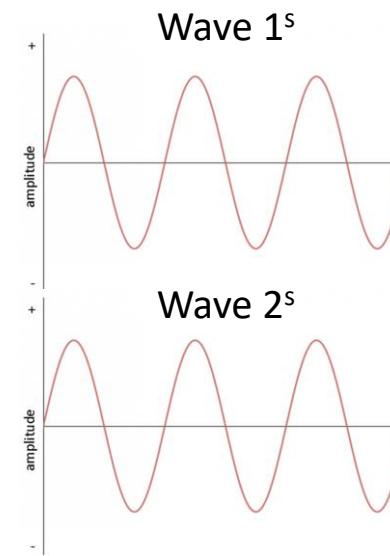


- Amplitude of the disturbance produced by the two combined waves = sum of individual disturbances.

# Constructive and Destructive Interference

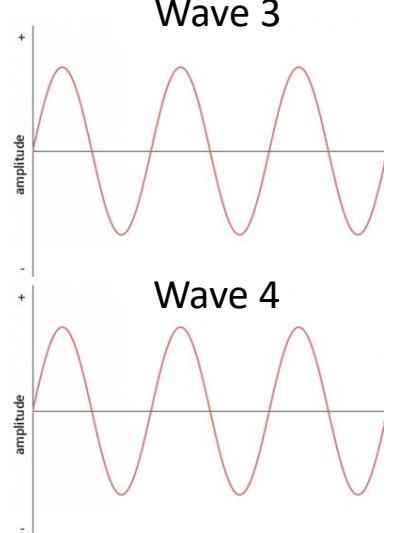


After  
scattering

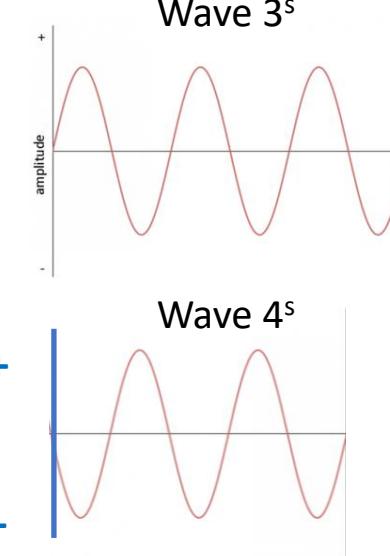


+

-



After  
scattering



+

-

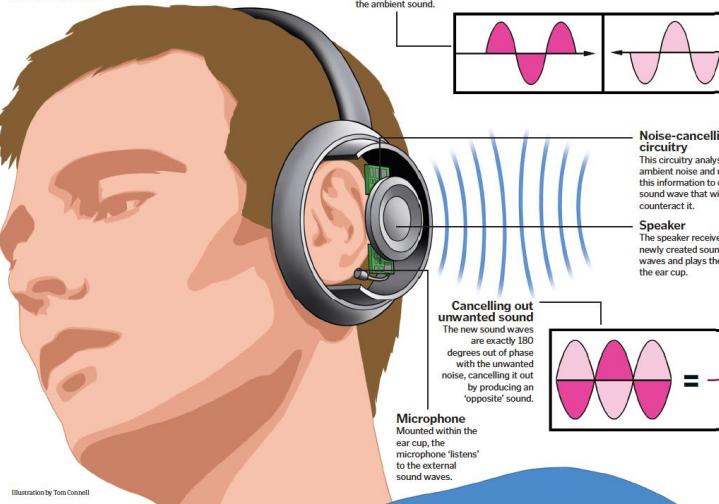
Waves that combine in phase -  
---> **Constructive interference**  
---> High intensity

Waves that combine out-of-phase  
--->**Destructive interference**  
---> Zero intensity

# Can something occur in between Constructive and Destructive Interference?

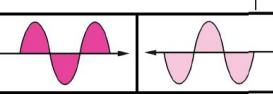
## Active noise-cancelling

How does the system hear, analyse and block unwanted sound?



New sound waves  
The peaks and troughs of the anti-sound waves are the inverted versions of those of the ambient sound.

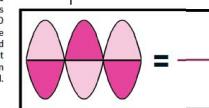
Ambient sound waves  
The height of a sound wave's peaks indicate its volume, while the frequency determines the pitch.



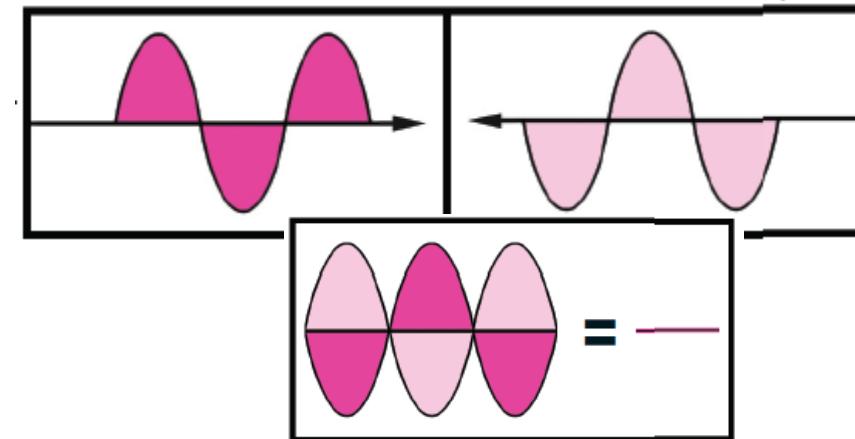
Noise-cancelling circuitry  
This circuitry analyses the ambient noise and uses this information to create a sound wave that will counteract it.

Speaker  
The speaker receives the newly created sound waves and plays them into the ear cup.

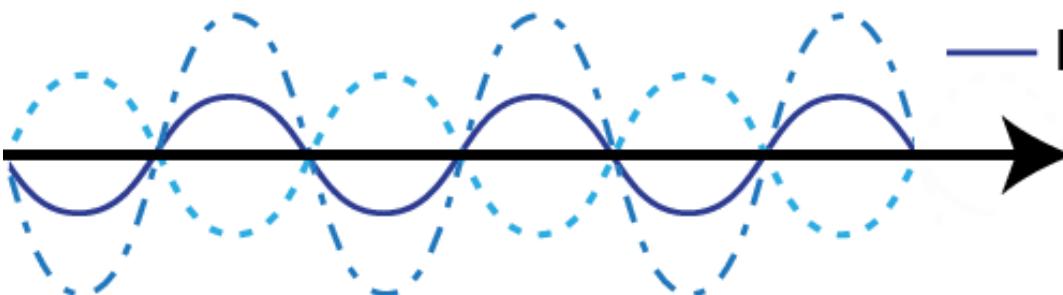
Cancelling out unwanted sound  
The new sound waves are exactly 180 degrees out of phase with the unwanted noise, cancelling it out by producing an 'opposite' sound.



Microphone  
Mounted within the ear cup, the microphone 'listens' to the external sound waves.

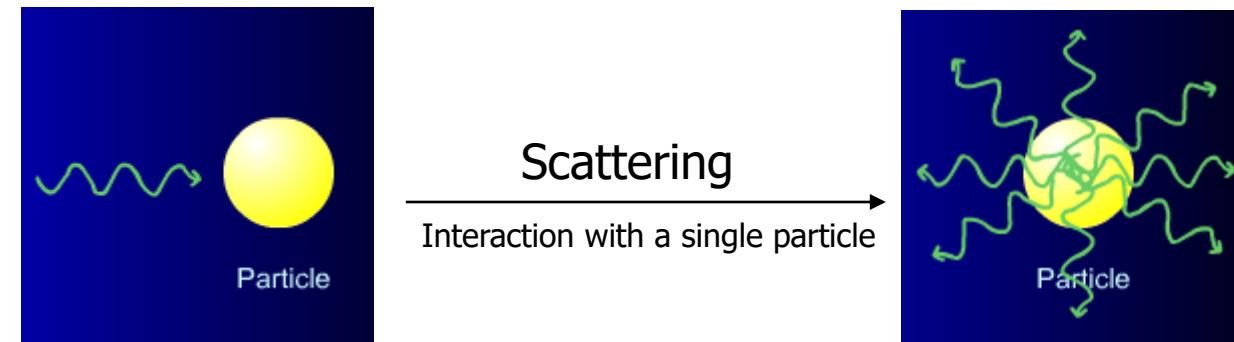


- - - Wave #1
- - - Wave #2
- Resultant

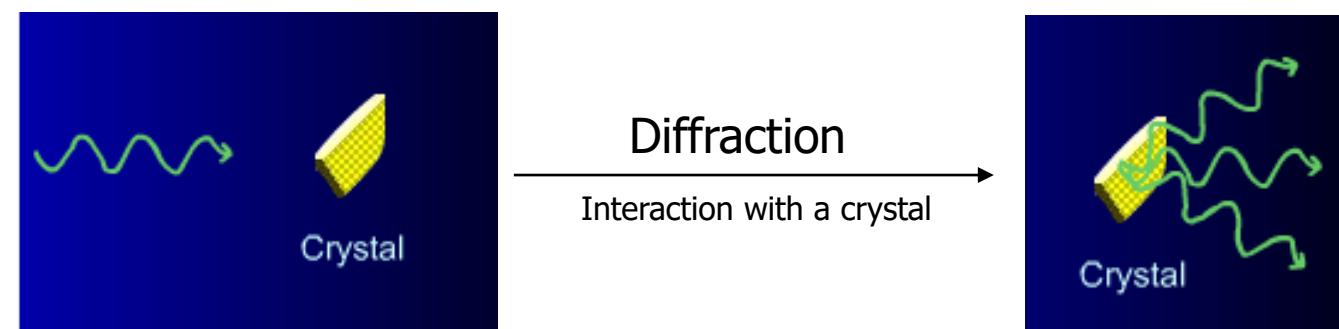


# Diffraction in a crystal

*A diffracted beam may be defined as a beam composed of many scattered rays mutually reinforcing each other*



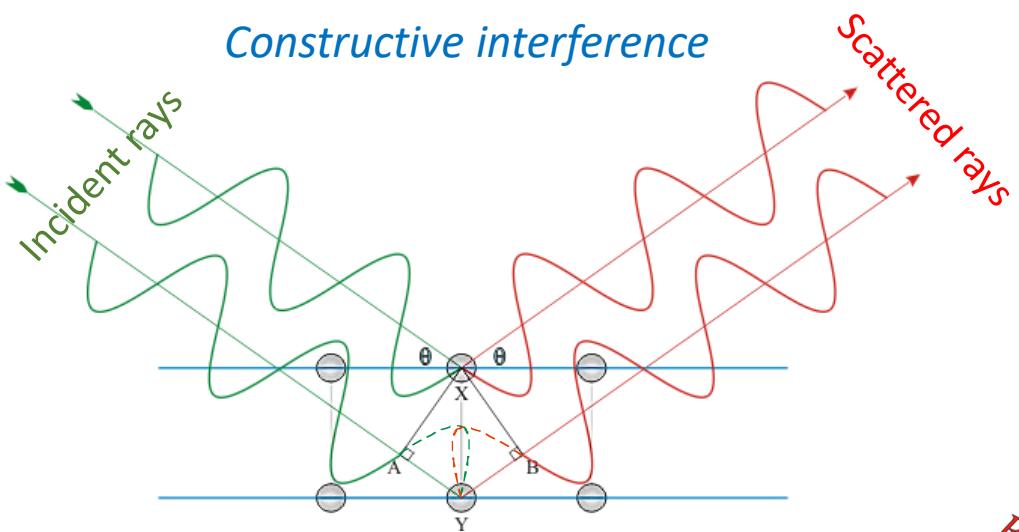
Scattering  
Interaction with a single particle



Diffraction  
Interaction with a crystal

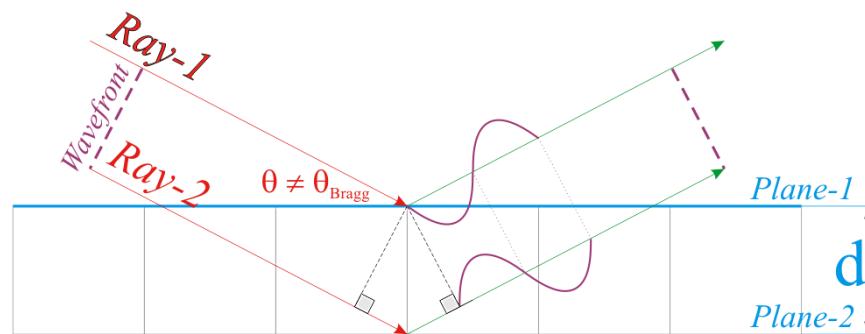
- Random arrangement of atoms in space gives rise to scattering in all directions: weak effect and intensities add.
- By atoms arranged periodically in space
  - In a few specific directions satisfying Bragg's law: strong intensities of the scattered beam :Diffraction
  - No scattering along directions not satisfying Bragg's law

## Consider waves scattered from two successive planes interfering constructively

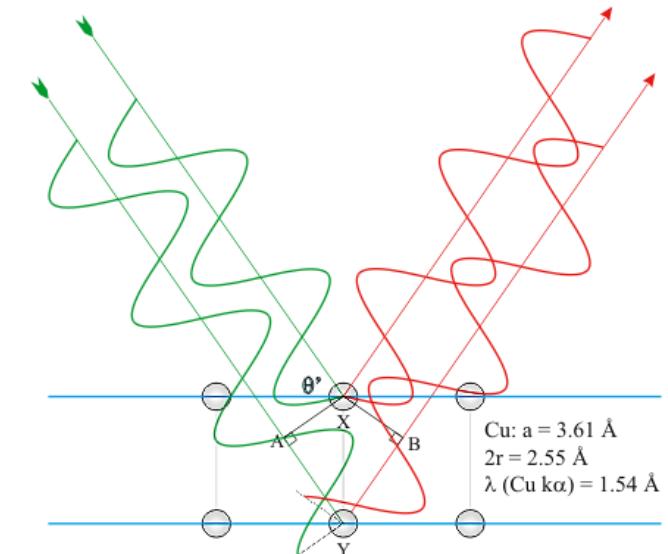


Phase difference of  $\pi$  introduced during the scattering by the atom.

### Destructive interference



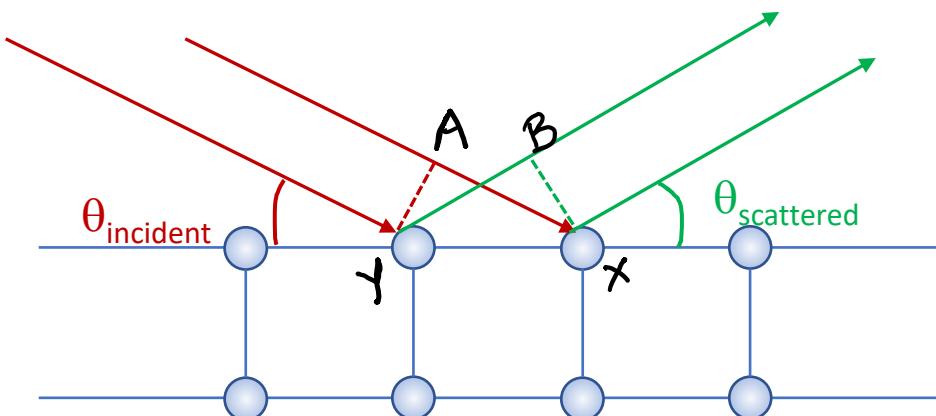
- Exact destructive interference (between two planes, with path difference of  $\lambda/2$ ).
- The angle is not Bragg's angle.



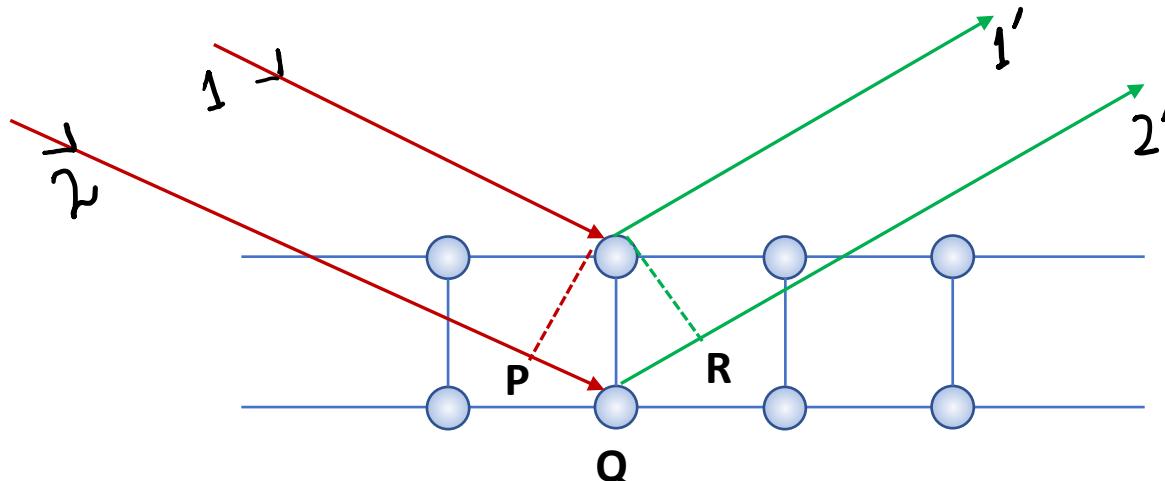
Consider a coherent wave of X-rays impinging on a crystal with atomic planes at an angle  $\theta$  to the rays.

Incident and scattered waves are in phase if the:

### In-plane scattering is in phase



### Scattering from across the planes is in phase



Extra path traveled by **incident rays** → AX

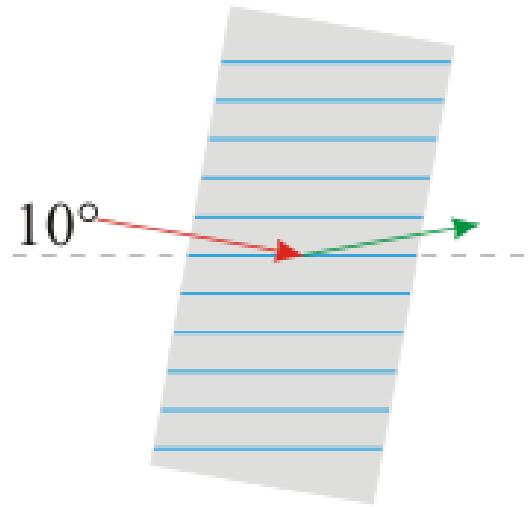
These can be in phase if

Extra path traveled by **scattered rays** → BY

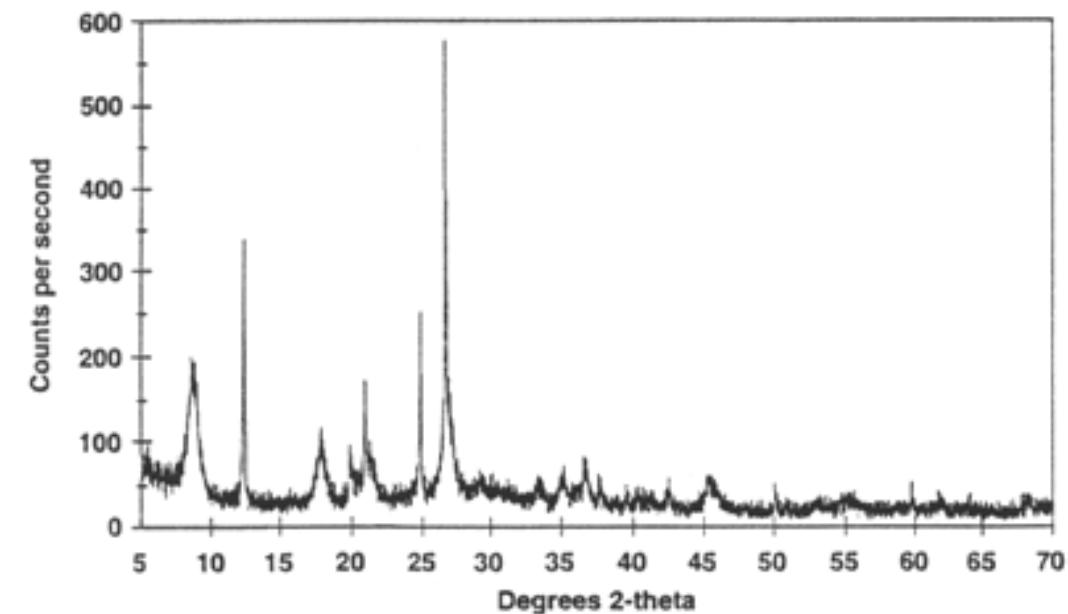
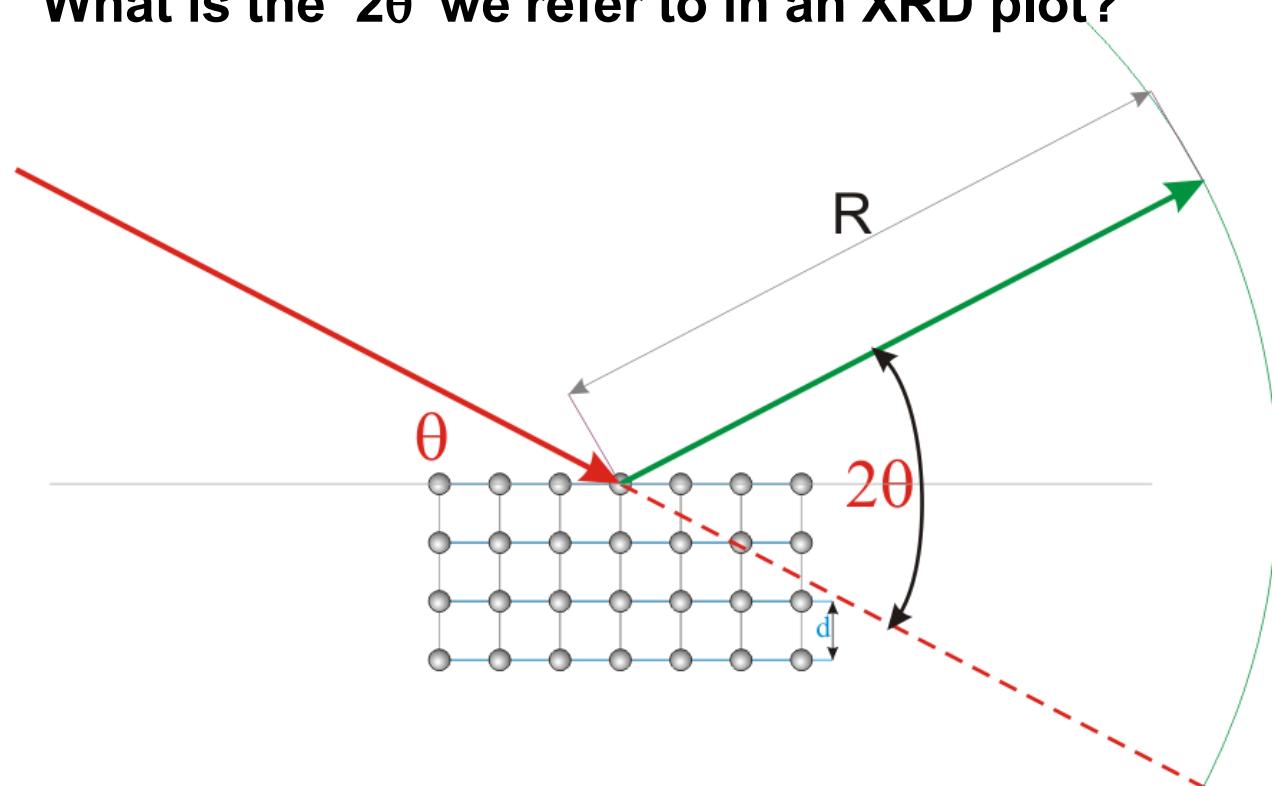
$$\rightarrow \theta_{\text{incident}} = \theta_{\text{scattered}}$$

- The scattering planes have an interplanar spacing = 'd'.
- Ray-2 travels an extra path as compared to Ray-1 (= PQR).
- Path difference between Ray-1 and Ray-2 = PQR =  $(d \sin \theta + d \sin \theta) = (2d \sin \theta)$ .
- For constructive interference, this path difference should be an integral multiple of  $\lambda$ :  
 $n\lambda = 2d \sin \theta \rightarrow \text{the Bragg's equation}$

$\theta$  is the angle between the incident x-rays and the set of parallel atomic planes (which have a spacing  $d_{hkl}$ ).

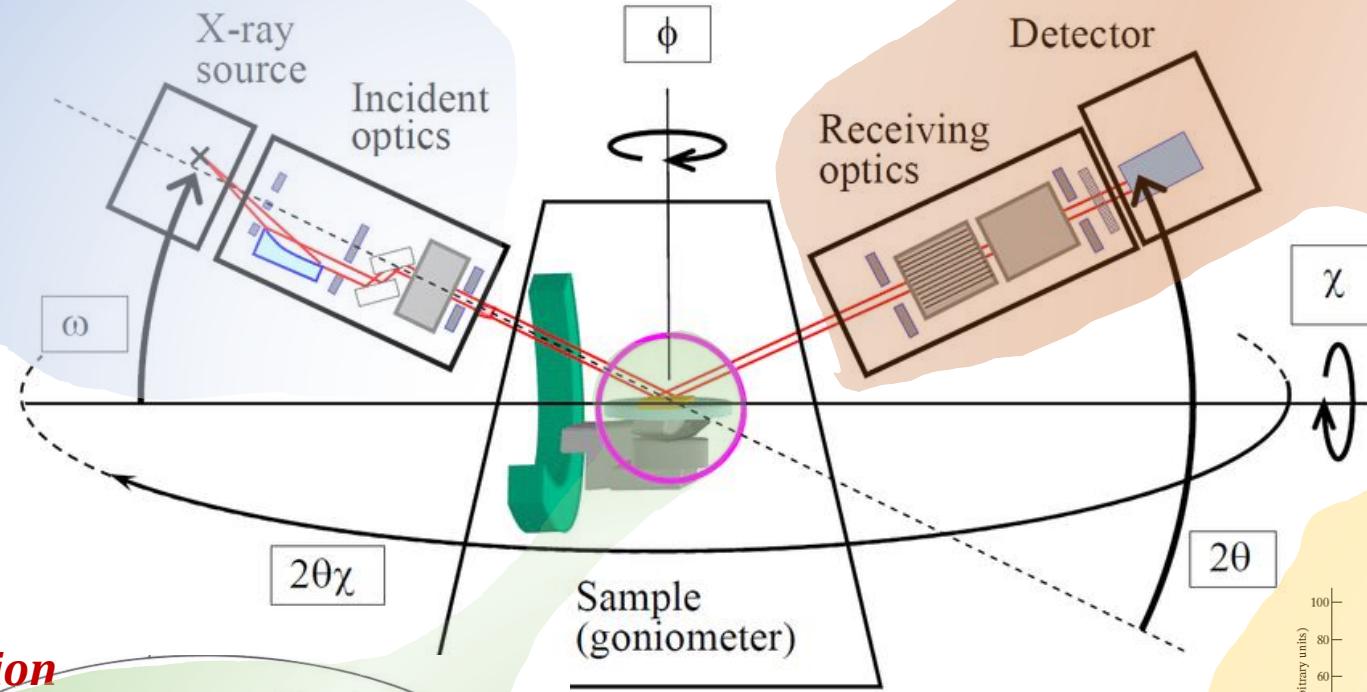


What is the '2 $\theta$ ' we refer to in an XRD plot?

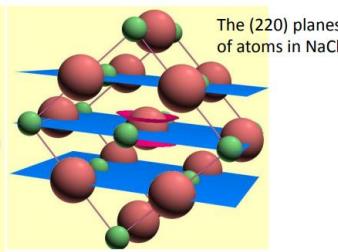
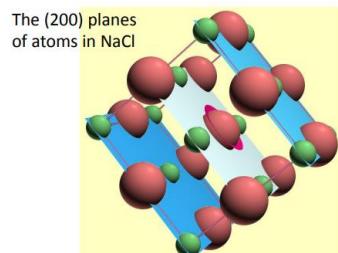
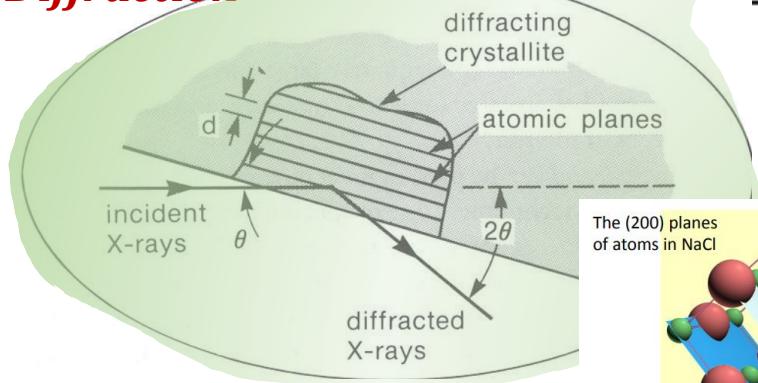


# XRD tool

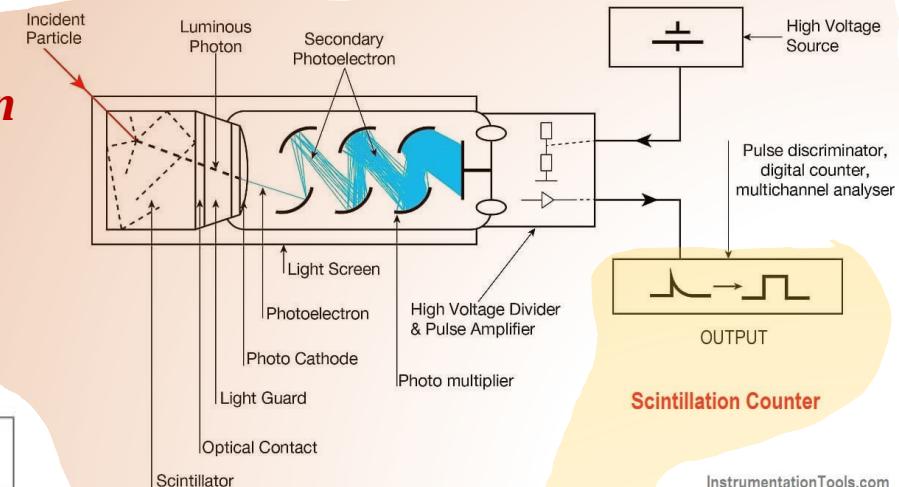
## Production



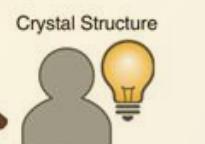
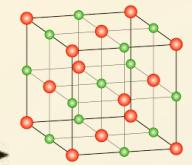
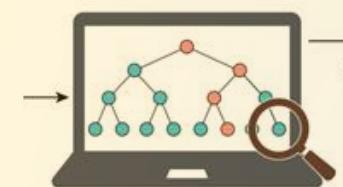
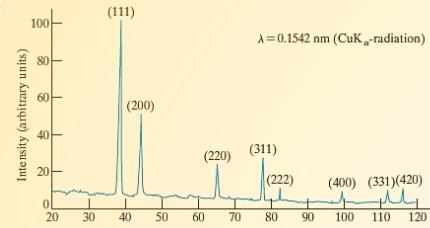
## Diffraction



## Detection

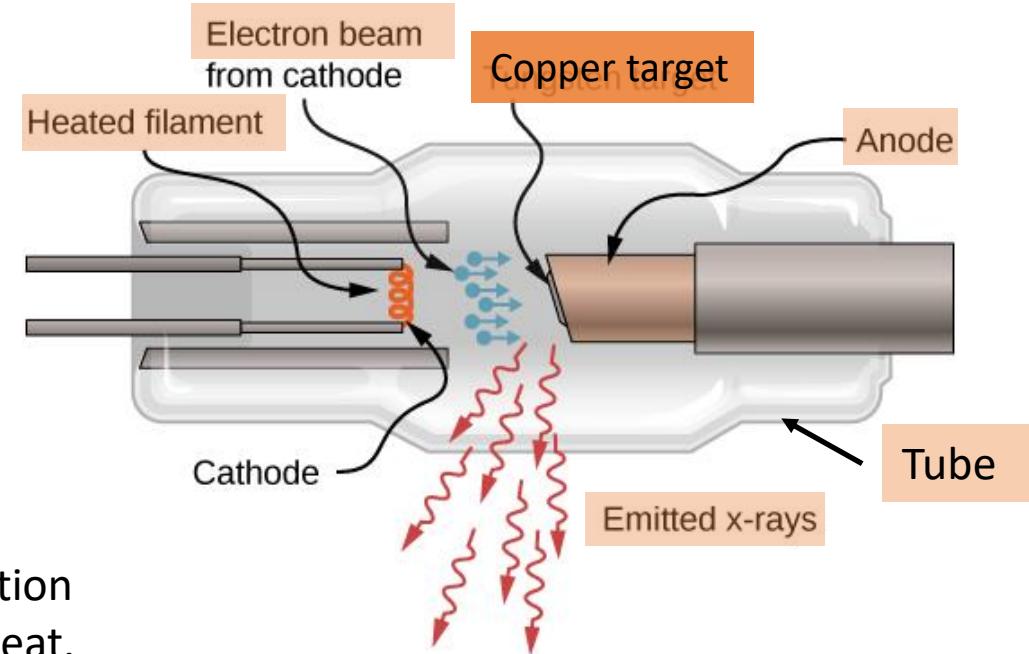


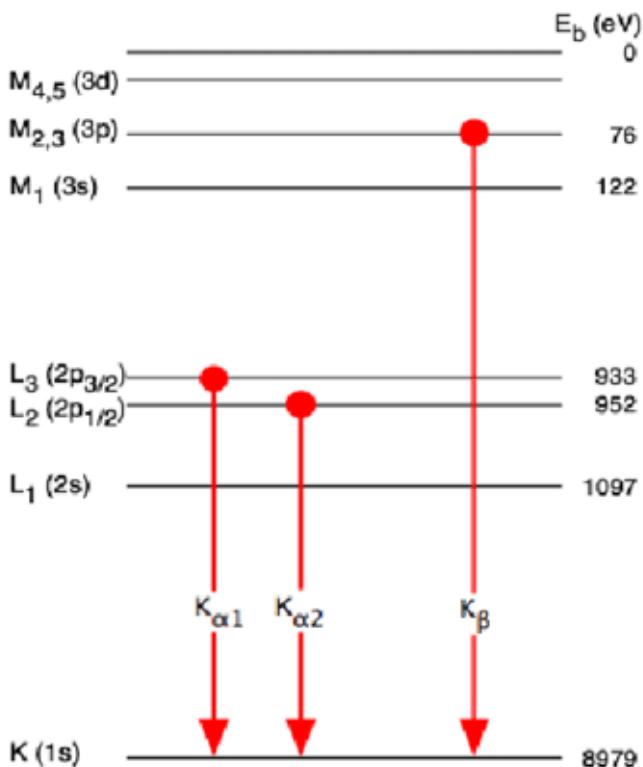
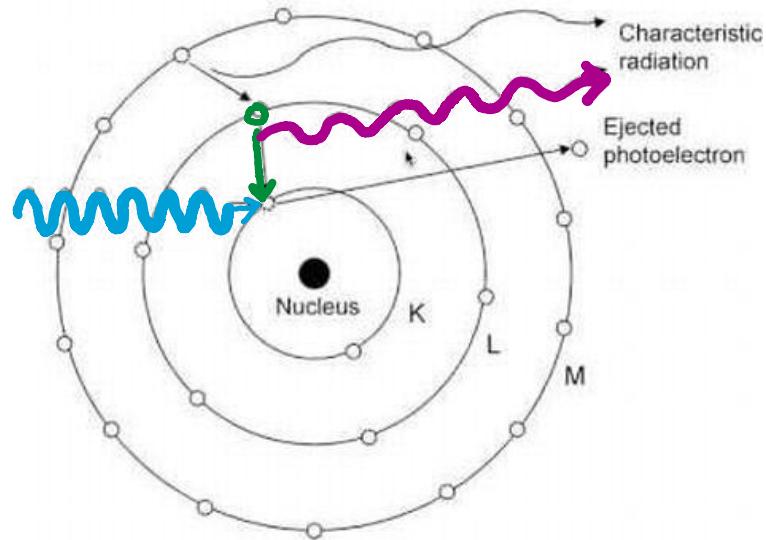
## Interpretation



## How are X-rays produced?

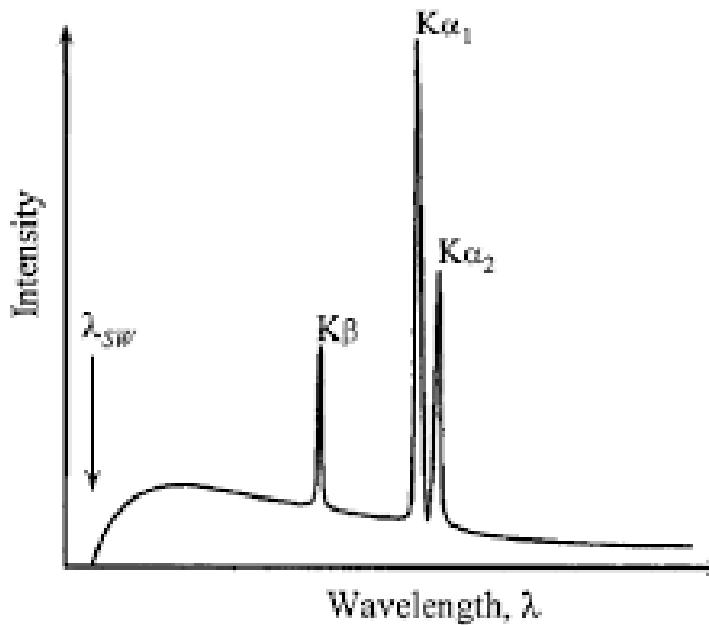
- X-rays are produced when high-energy charged particles (electrons) accelerated through very high potential difference (30 kV) collide with matter.
- Laboratory source of X-rays consists of an evacuated tube.
- Electrons are emitted from a heated tungsten filament.
- Electron beam accelerated towards an anode by an electric potential difference of  $\sim 30$  kV to impinge on a water-cooled metal target.
- Electrons strike the Cu target fixed to the anode.
- A spectrum of X-rays get emitted.
- X-rays leave the tube through Be window.
- Continuous cooling of anode is necessitated because only a small fraction of electron energy gets converted to X-ray and rest get converted to heat.





- Incident electrons with sufficient energy ionizes Cu 1s electron.
- An electron from outer orbital (2p or 3p) immediately drops down to the vacant K-level.
- Energy released in the transition appears as X-ray radiation.
- Transition energies have fixed values, so, a spectrum of characteristic X-rays results.
- Consider Cu, 2p  $\rightarrow$  1s transition ( $\lambda_{K\alpha} = 1.5418 \text{ \AA}$ ) and 3p  $\rightarrow$  1s transition ( $\lambda_{K\beta} = 1.3922 \text{ \AA}$ ) [ $K_\alpha$  is more intense than  $K_\beta$ ].

*Characteristic X-ray: caused by electronic transitions within an atom*



- $K_\alpha$  is a doublet ( $K_{\alpha_1} = 1.54051 \text{ \AA}$ ,  $K_{\alpha_2} = 1.54433 \text{ \AA}$ )
- The 2p  $\rightarrow$  1s has a slightly different energy for the two possible spin states of the 2p electron which makes the transition, relative to the spin of the vacant 1s orbital.
- $K_{\alpha_1}$  and  $K_{\alpha_2}$  are not sometimes resolved and appear as a single peak.

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# MLL 100

# Introduction to

# Materials Science and Engineering

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***Lecture-8 (January 19, 2022)***

Dr. Sangeeta Santra ([ssantra@mse.iitd.ac.in](mailto:ssantra@mse.iitd.ac.in))



IIT Delhi

Department of Materials Science and Engineering

# Topics covered

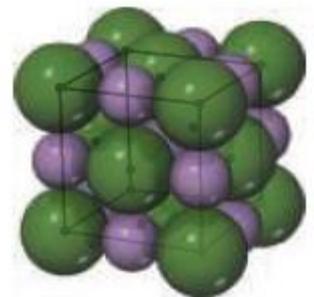
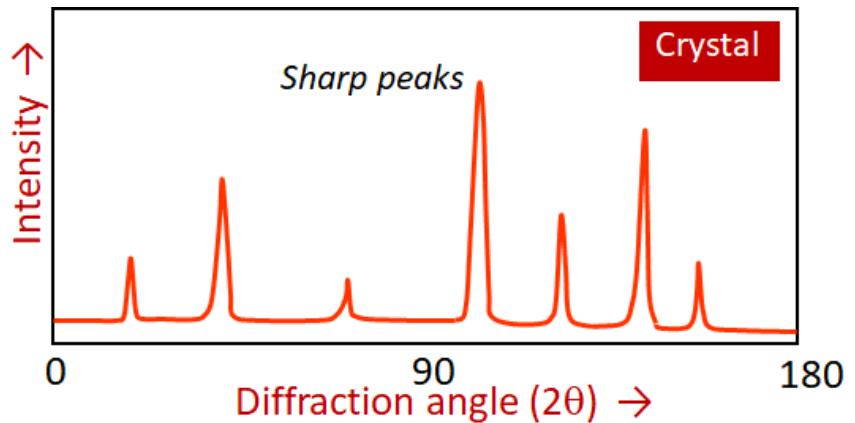
□ X-ray diffraction



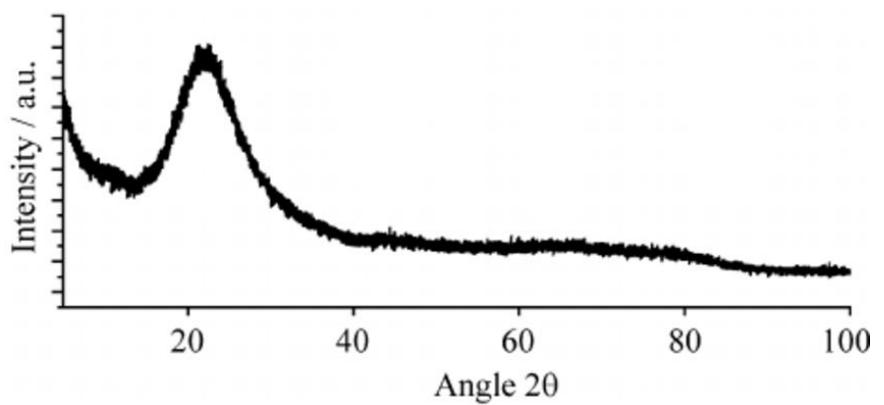
## What is an XRD used for?

- Distinguishing between amorphous and crystalline solids

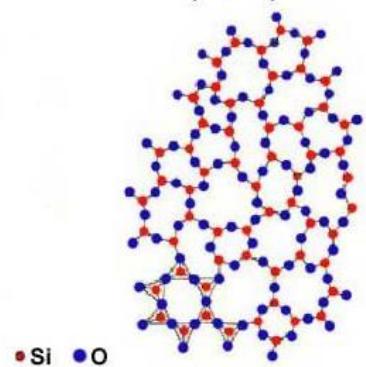
Crystalline



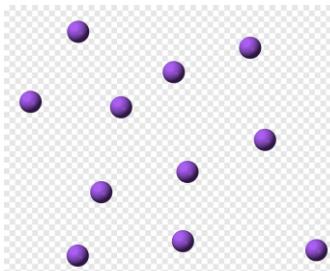
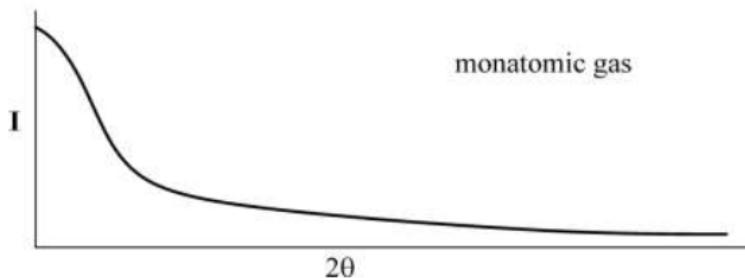
Amorphous



Amorphous  $\text{SiO}_2$  (Glass)



Monoatomic  
gas





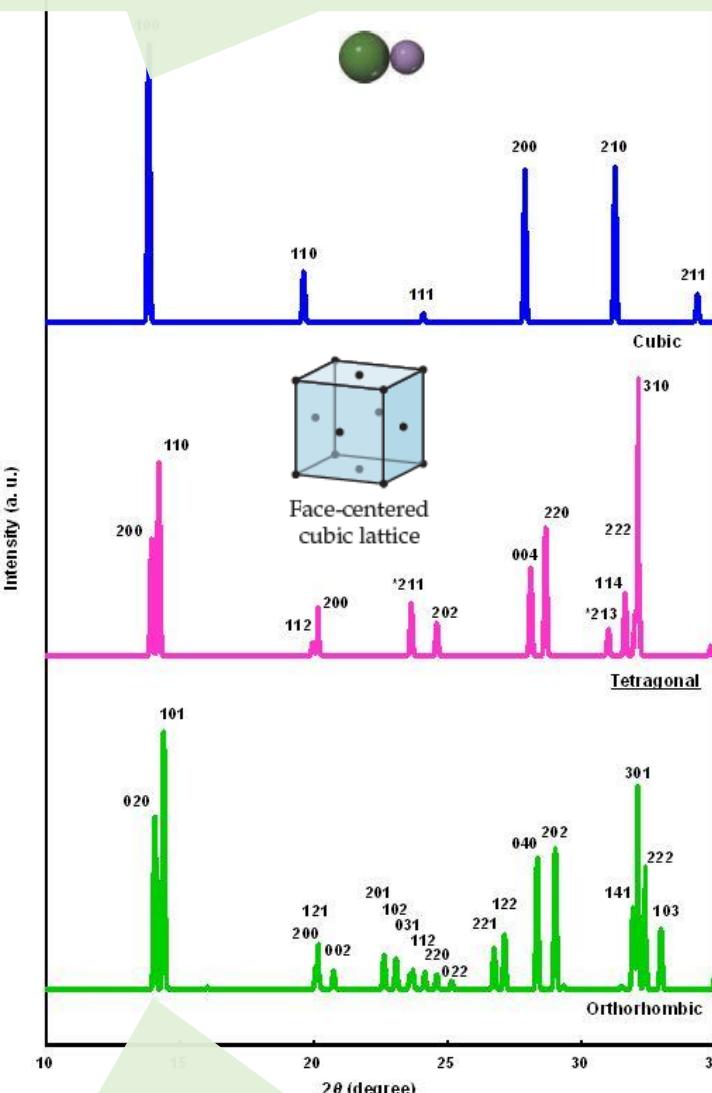
## What is an XRD used for?

*How does a crystal scatter these X-rays to give a diffraction pattern? → Bragg's equation*

- Identification of crystalline materials
- Determination of the structure of crystalline solids

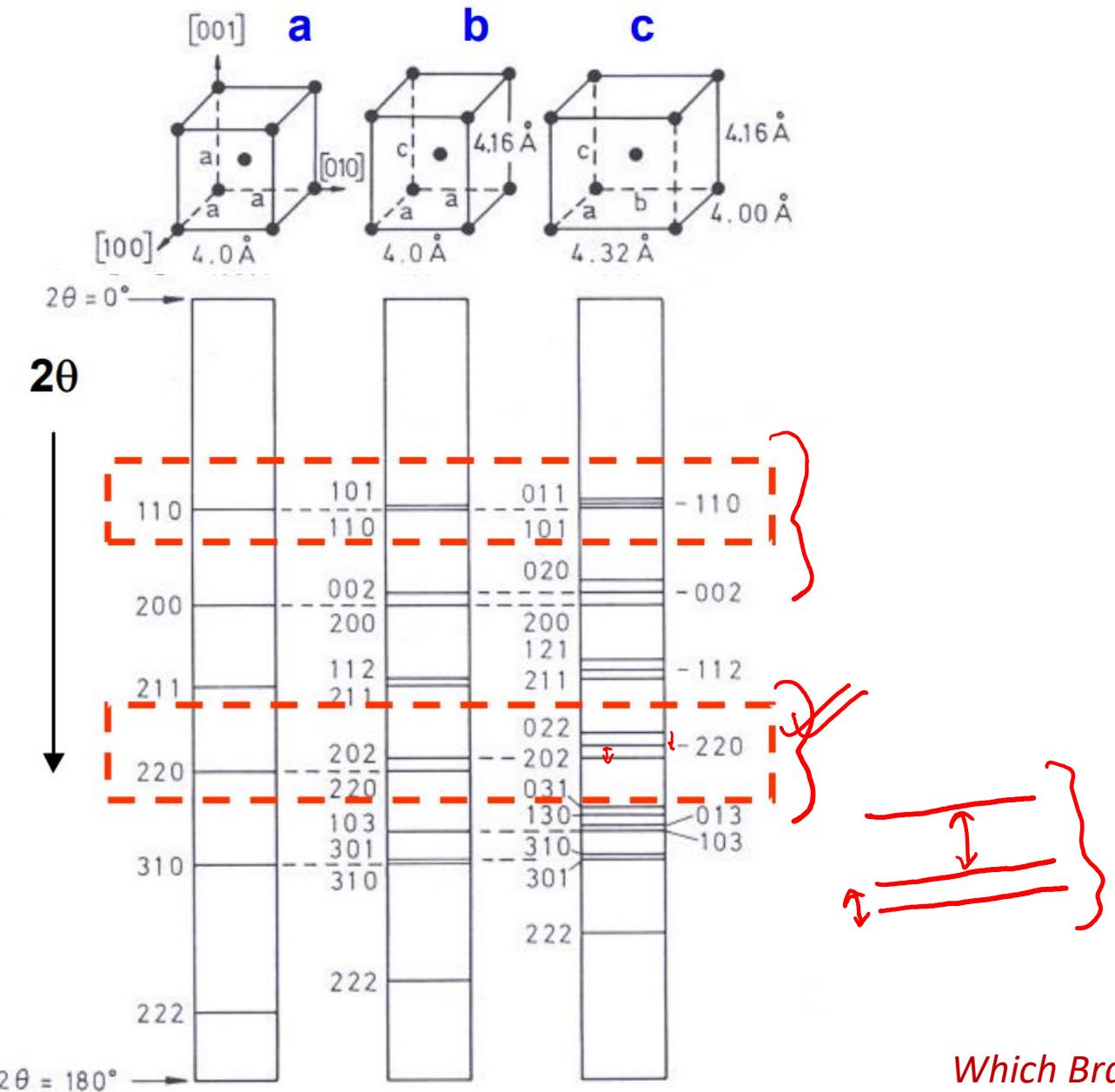
- Determination of lattice parameter
- Determination of Bravais lattice

*What determines the intensity of the XRD peaks? → Motif (Many other factors also contribute to the intensity of a given peak)*



*What determines the position of the XRD peaks? → Characteristics of lattice.*

# Phase identification



What is the symmetry on XRD pattern?

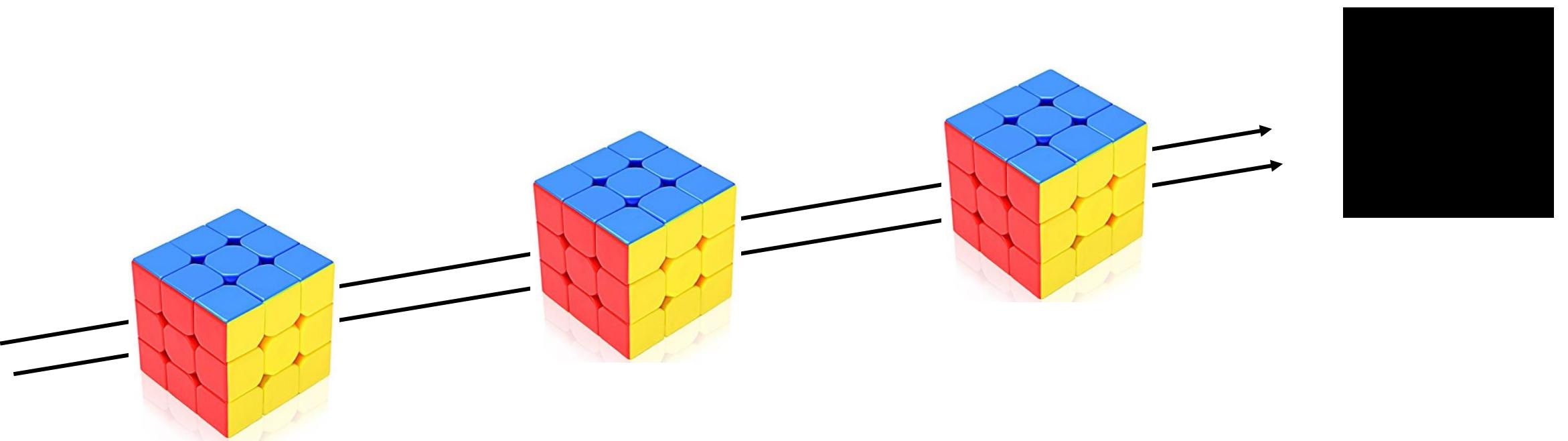
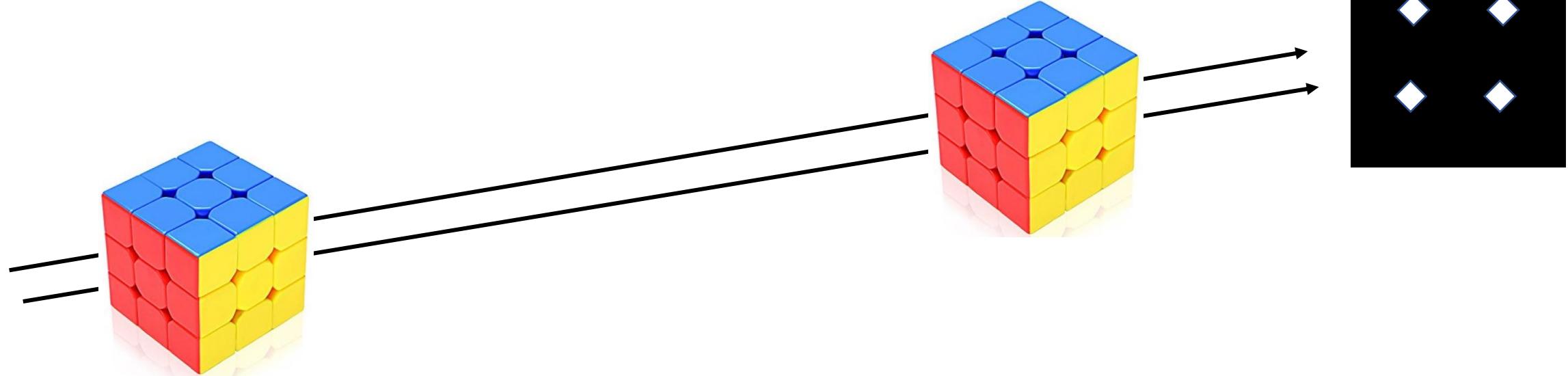
- Cubic  
Lattice parameter =  $a$  ( $a = b = c$ )
- Tetragonal  
Lattice parameter:  $a$  and  $c$  ( $a = b \neq c$ )
- Orthorhombic  
Lattice parameter:  $a, b, c$  ( $a \neq b \neq c$ )
- Number of peaks
- Peak position
- Peak splitting

Triclinic  
 $\alpha \neq \beta \neq \gamma \neq 90^\circ$   
 $a \neq b \neq c$

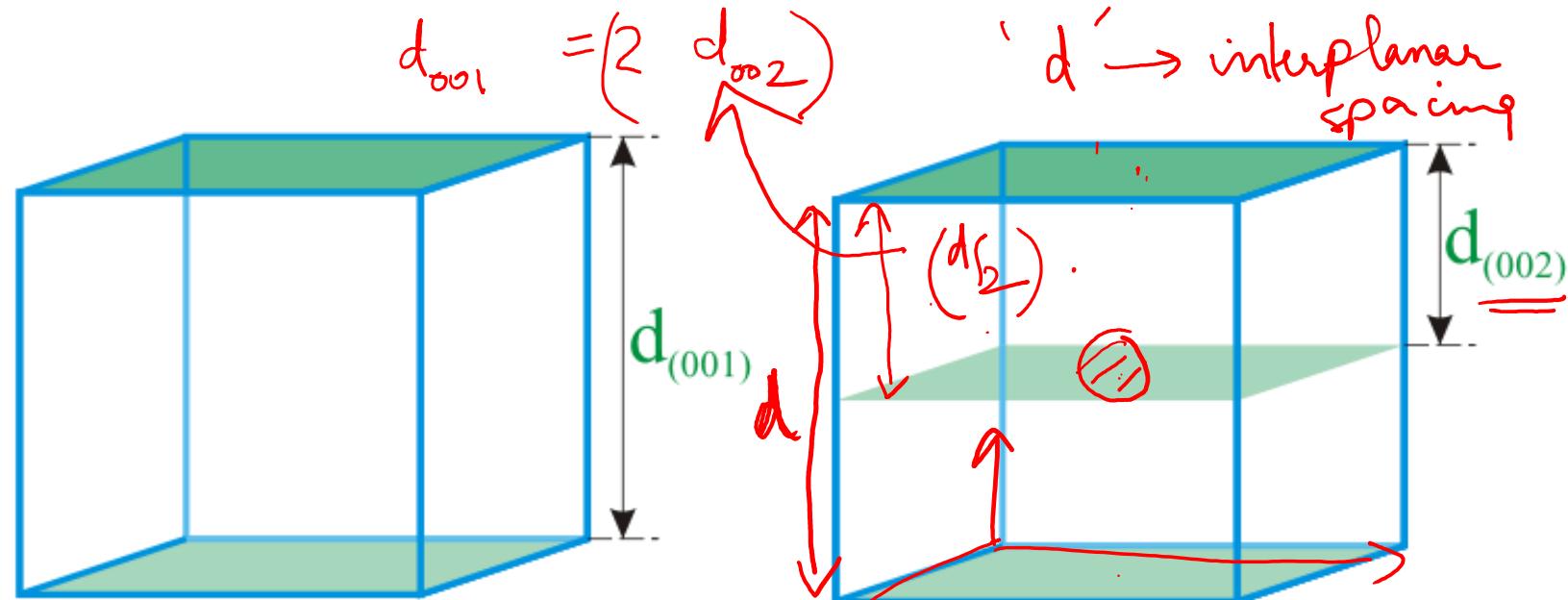
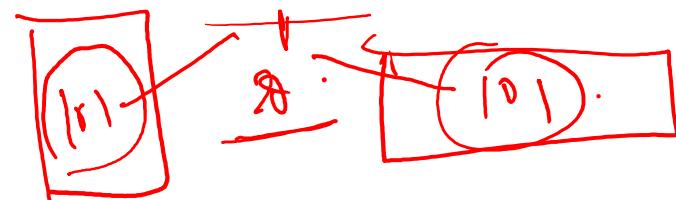
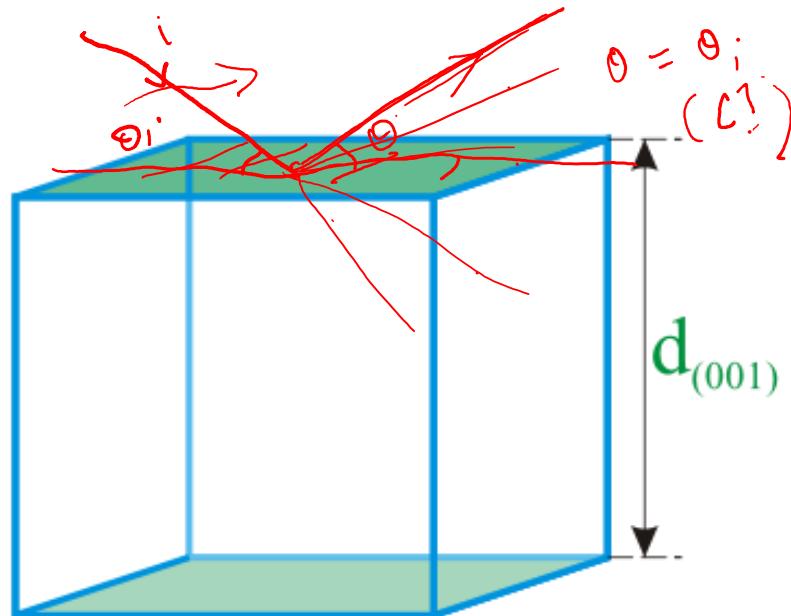
$\{220\} \rightarrow$   
 $(202) \rightarrow$   
 $(220) \rightarrow$   
 $(62\bar{2}) \rightarrow$

Which Bravais lattice may produce the first XRD peaks?

*Atomic arrangement also dictates whether the peak will appear or not!*



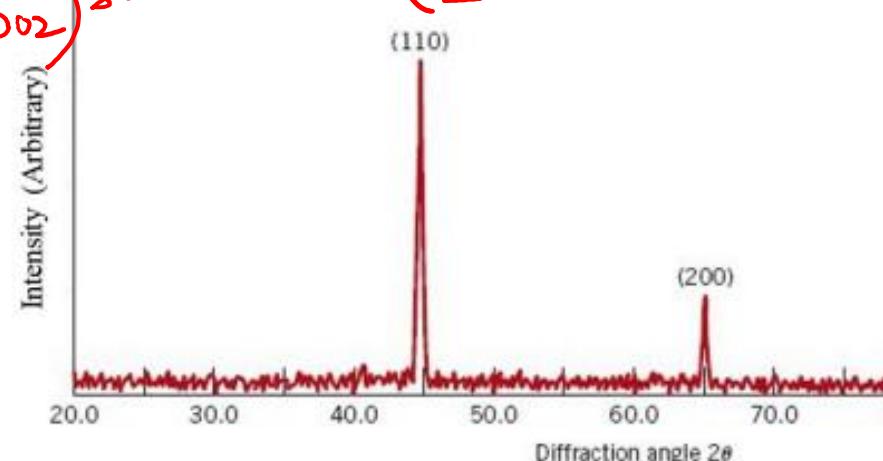
# Systematic absence



$$n\lambda = 2(d_{001}) \sin \theta$$

$$n\lambda = 2(2 \cdot d_{002}) \sin \theta$$

$$n\left(\frac{\lambda}{2}\right) = 2 \cdot d_{002} \sin \theta$$



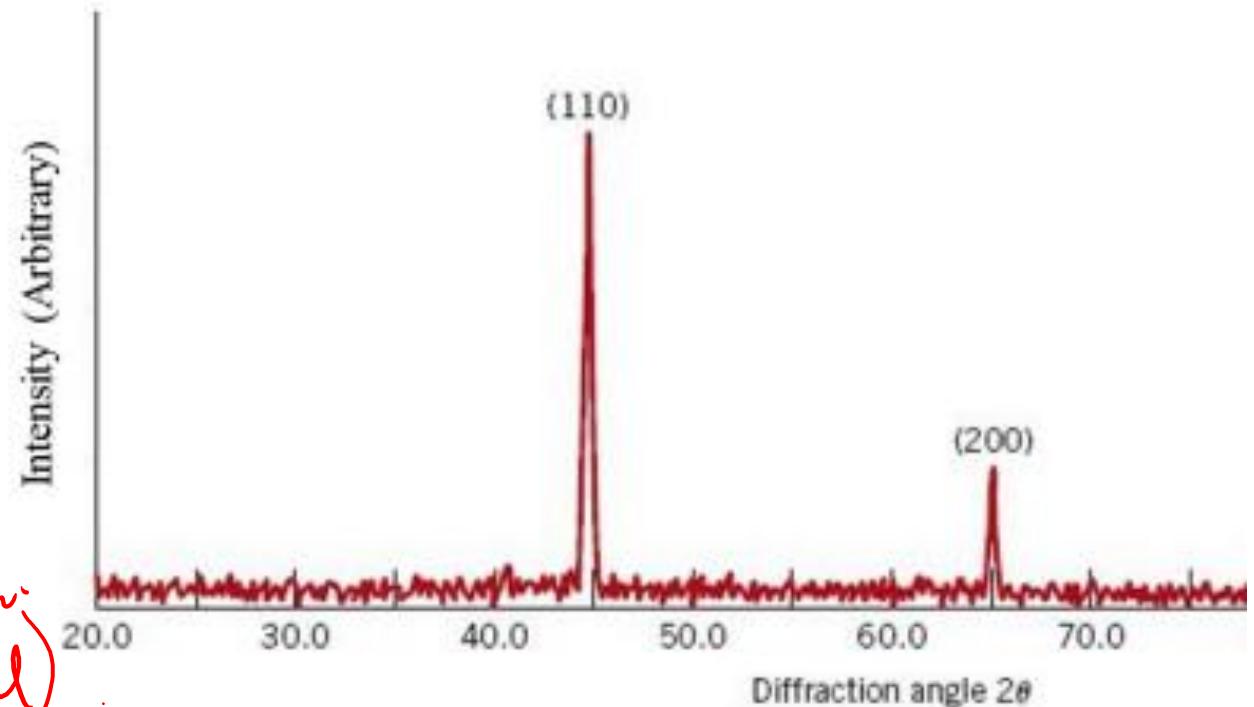
- Simple cubic crystal, 100, 200, 300... are all allowed 'reflections' ----> no atoms in the planes lying *within* the unit cell.
- BCC: an atom is present also in the (0 0 2) plane.

# Structure factor

$$F_{hkl} = \sum_{i=1}^n f^i \cdot e^{2\pi i(hx_i + kx_i + lx_i)}$$

Electronic property of atom      structural property of atom

BCC  
M1      M2  
d-spacing  $\rightarrow$   $e^-$  contribution  
(equi potential)



## Systematic absences (Extinction rules)

- Even if Bragg's equation is satisfied, 'reflections may go missing'  
→ this is due to the presence of additional atoms in the unit cell.

Bravais Lattice	Allowed reflections	Necessarily absent reflections
Simple	all	None
Body centred	$(h + k + l)$ even	$(h + k + l)$ odd
Face centred	$h$ , $k$ and $l$ unmixed <i>(all even or all odd)</i>	$h$ , $k$ and $l$ mixed
End centred (C-centred)	$h$ and $k$ unmixed	$h$ and $k$ mixed

Suppose an unknown sample is irradiated using the monochromatic X-ray produced from the Cu target ( $\lambda_{CuK\alpha_1} = 1.5418 \text{ \AA}$ )

- Determine the crystal structure.
- Determine the lattice parameter.

- Braggs equation:

$$\lambda = 2d \sin \theta$$

- Relation between interplanar distance and lattice parameter for a cubic crystal:

$$d_{hkl} = \frac{a}{\sqrt{h^2 + k^2 + l^2}}$$

- Rearranging the terms:

$$\lambda^2 = \frac{4a^2 \sin^2 \theta}{h^2 + k^2 + l^2}$$

- The LHS of the equation is a constant, and therefore, the RHS should also be a constant:

$$\frac{\lambda^2}{4a^2} = \frac{\sin^2 \theta}{h^2 + k^2 + l^2}$$

You will get  
the  $2\theta$  values

$2\theta$
44.48
51.83
76.35
92.9
98.4
121.87
144.54
155.51

Generate a set  
of  $\sin^2\theta$  values

Normalize the  $\sin^2\theta$  values  
by generating  $\frac{\sin^2\theta_2}{\sin^2\theta_1}$

Clear fractions from  
normalized values

Speculate the h,k,l values  
such that  $(h^2+k^2+l^2) =$  clear  
fraction column

$$\frac{\lambda^2}{4a^2} = \frac{\sin^2 \theta}{h^2 + k^2 + l^2}$$

$$\lambda_{CuK\alpha_1} = 1.5418 \text{ \AA}$$

You will get  
the 2θ values

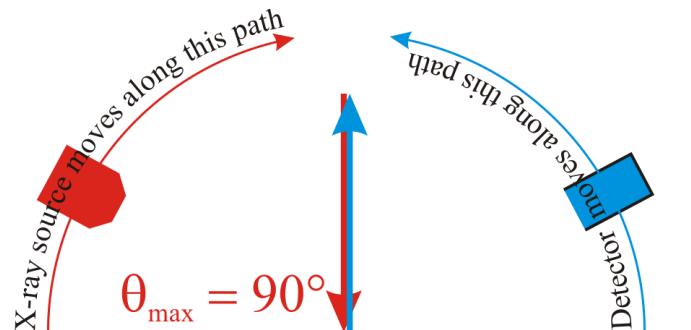
Generate a set  
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Clear fractions from  
normalized values

Speculate the h,k,l values  
such that  $(h^2+k^2+l^2)$  = clear  
fraction column

$2\theta$	$\sin^2\theta$	$\frac{\sin^2\theta_2}{\sin^2\theta_1}$	$(h^2+k^2+l^2)$	(h k l)	$\frac{\sin^2\theta}{(h^2+k^2+l^2)}$
44.48	0.143	1	3	1 1 1	0.0477
51.83	0.191	1.34	4	2 0 0	0.0478
76.35	0.382	2.67	8	2 2 0	0.0477
92.9	0.525	3.67	11	3 1 1	0.0477
98.4	0.573	4.01	12	2 2 2	0.0477
121.87	0.764	5.34	16	4 0 0	0.0477
144.54	0.907	6.34	19	3 3 1	0.0477
155.51	0.955	6.68	20	4 2 0	0.0477



$$\frac{\lambda^2}{4a^2} = \frac{\sin^2 \theta}{h^2 + k^2 + l^2}$$

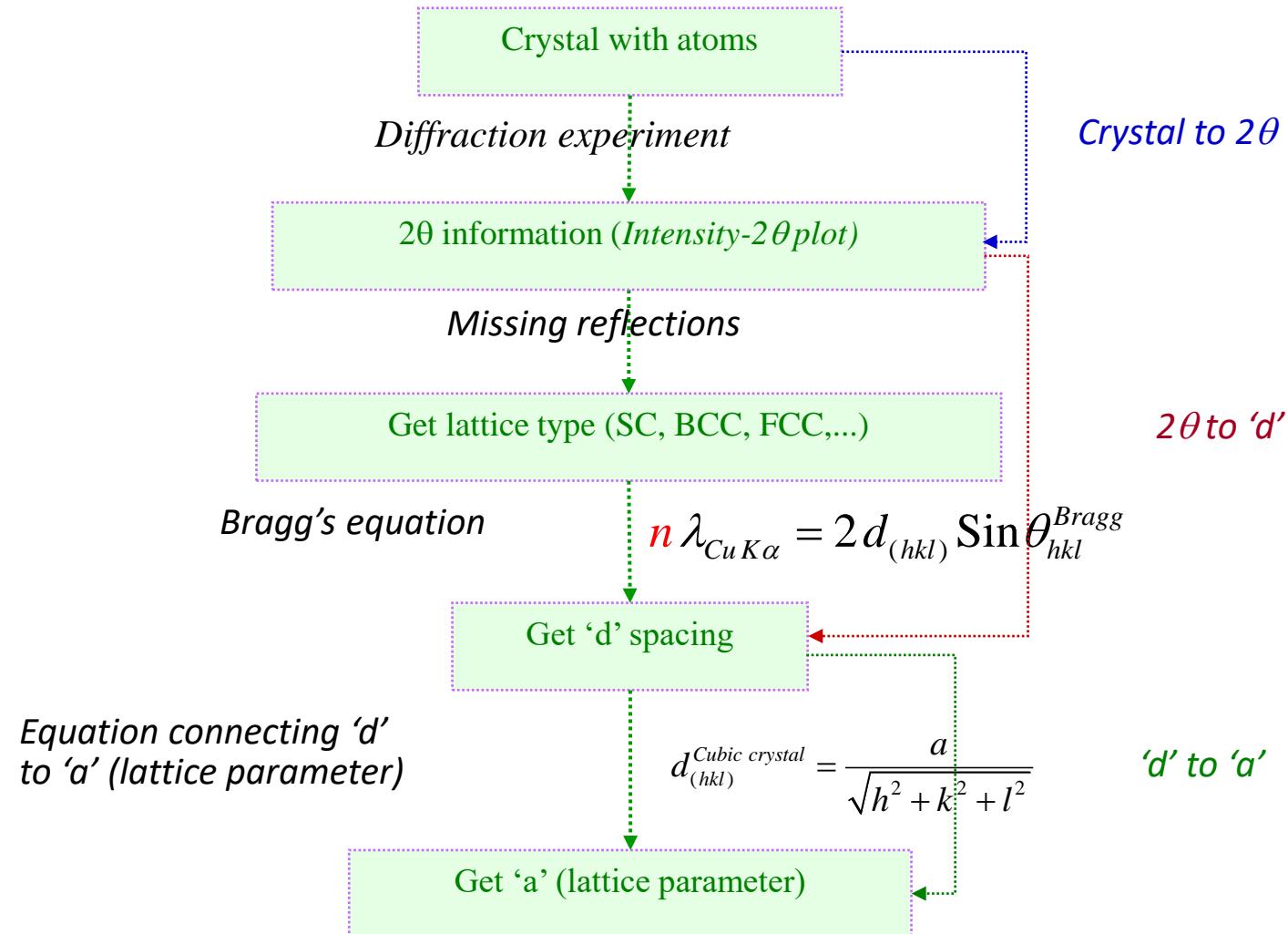
$$\lambda_{CuK\alpha_1} = 1.5418 \text{ \AA}$$

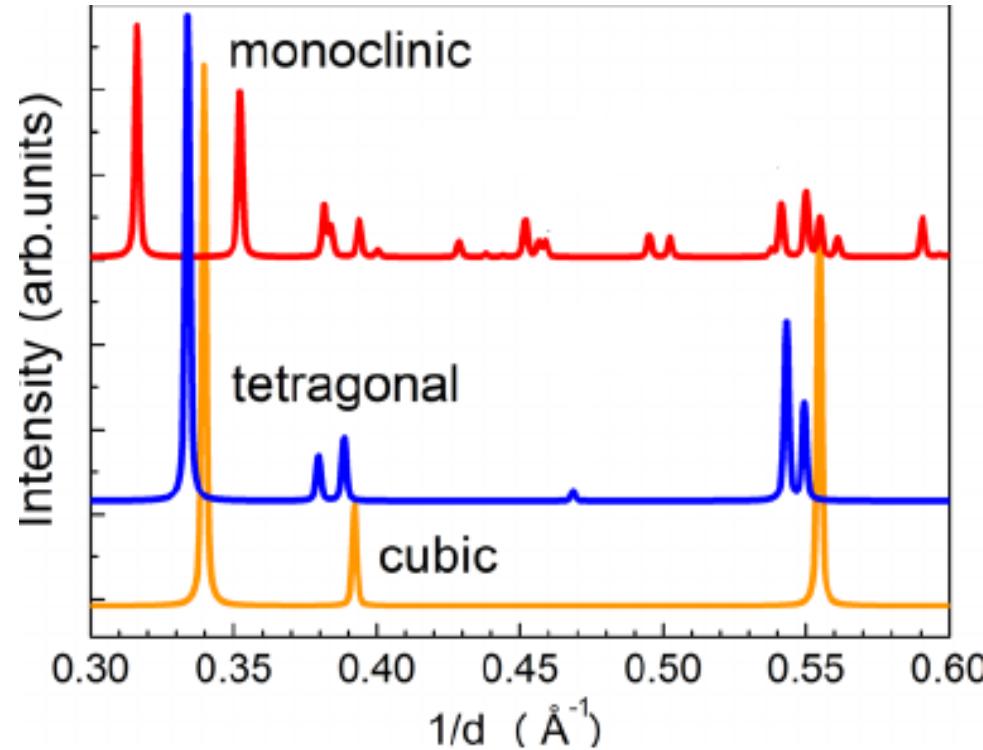
## Allowed reflections in SC, BCC, FCC and DC crystals

Cannot be expressed as  $(h^2+k^2+l^2)$

$h^2 + k^2 + l^2$	SC	FCC	BCC	DC
1	100			
2	110		110	
3	111	111		111
4	200	200	200	
5	210			
6	211		211	
7				
8	220	220	220	220
9	300, 221			
10	310		310	
11	311	311		311
12	222	222	222	
13	320			
14	321		321	
15				
16	400	400	400	400
17	410, 322			
18	411, 330		411, 330	
19	331	331		331

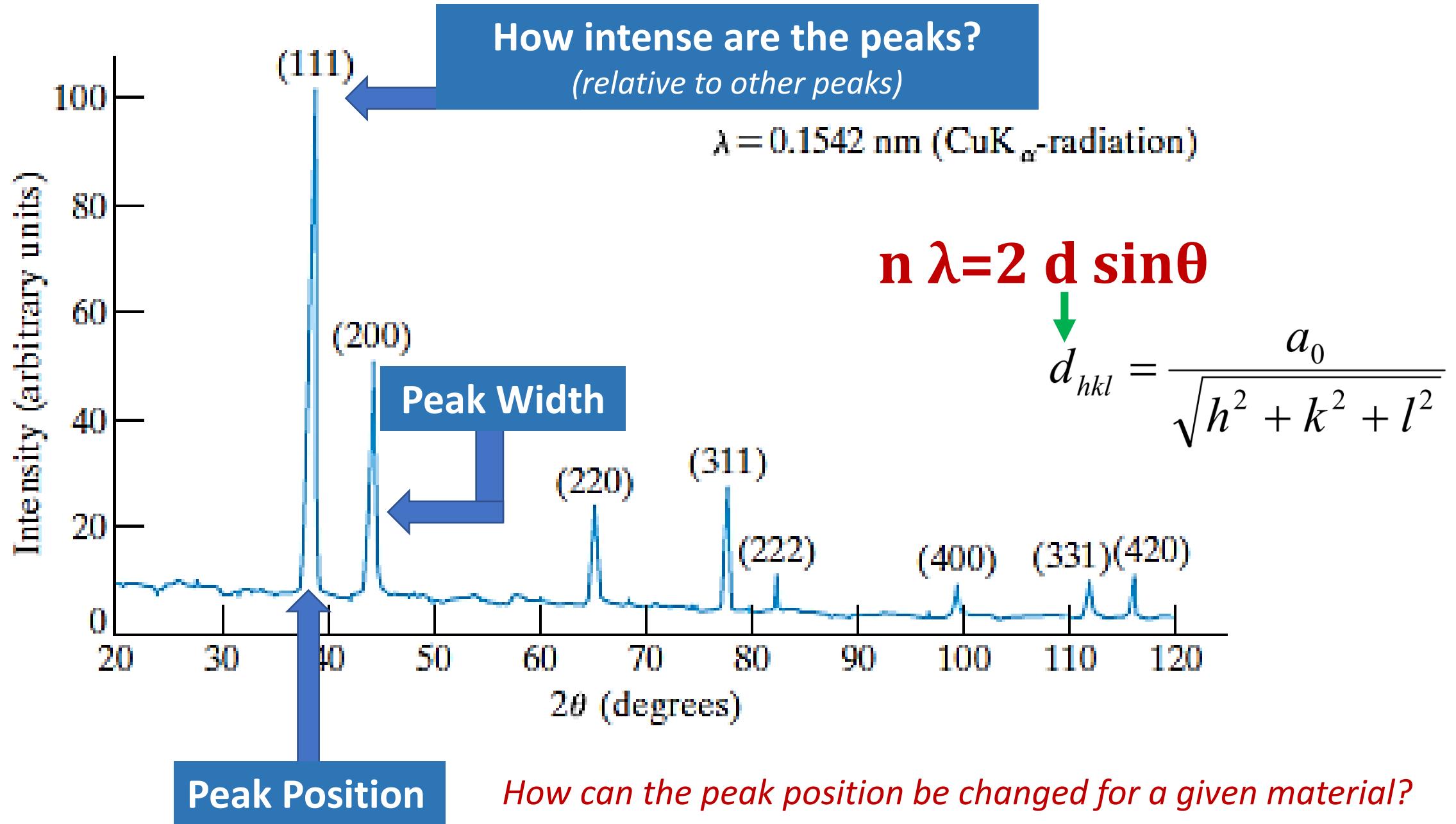
# Determination of lattice parameter of a crystal



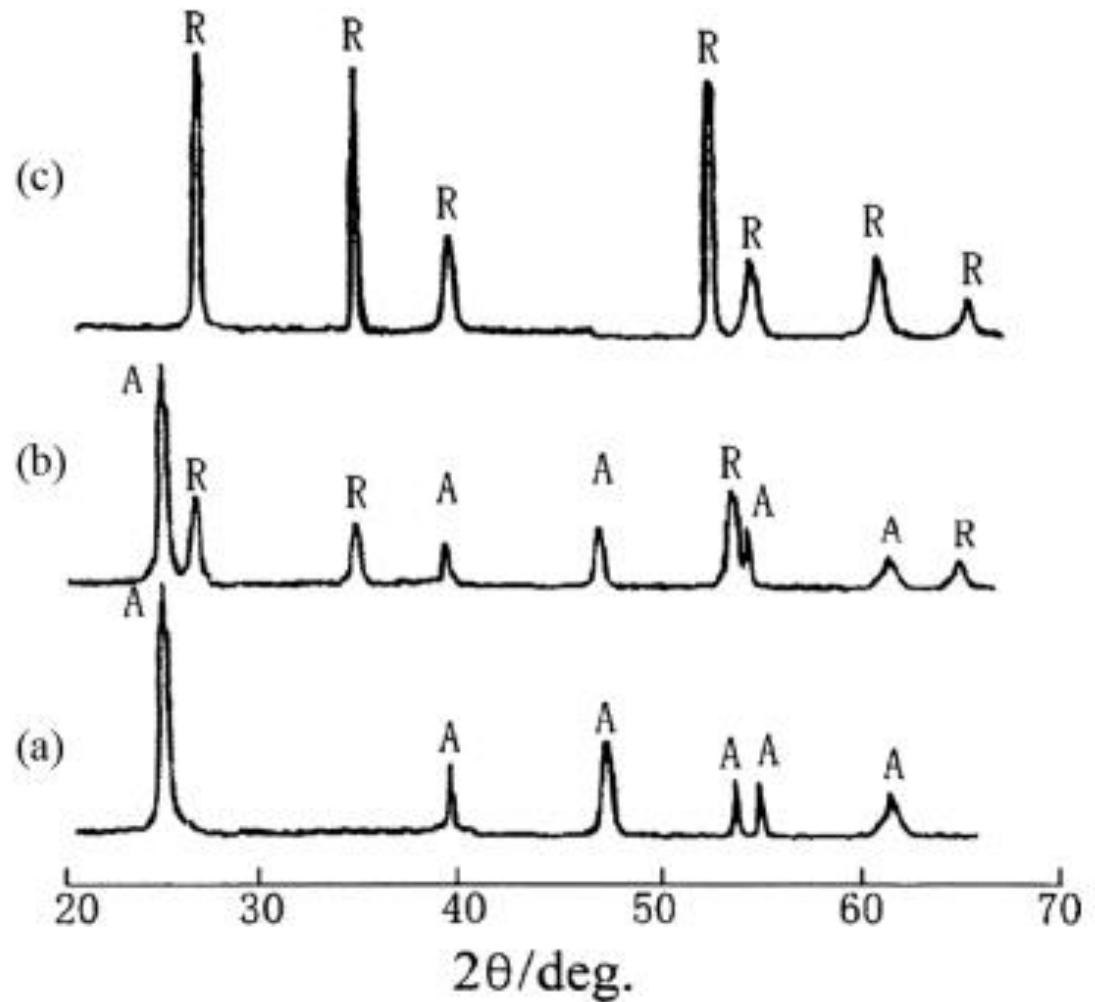


- ❑ Lower the symmetry of the crystal, more the number of peaks (e.g., in tetragonal crystal the 100 peak will lie at a different  $2\theta$  as compared to the 001 peak).
- ❑ Lattice type ➤ in SC we will get more peaks as compared to (say) FCC
- ❑ Smaller the wavelength of the X-rays, more will be the number of peaks possible.

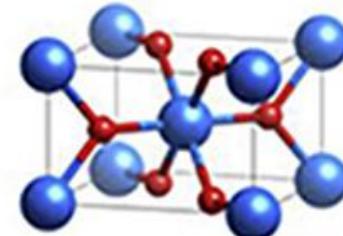
## Peak characteristics in XRD



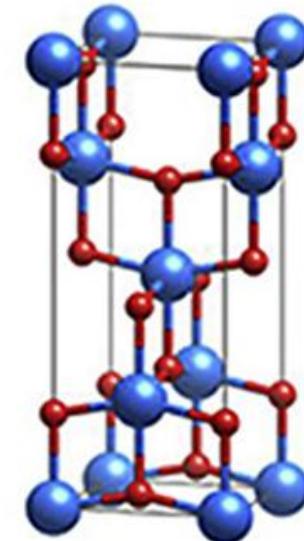
# Polymorphism



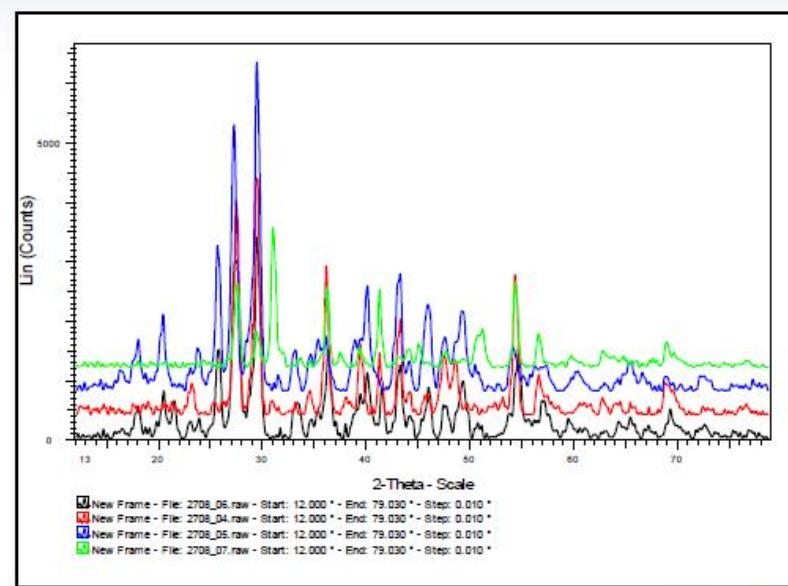
rutile



anatase



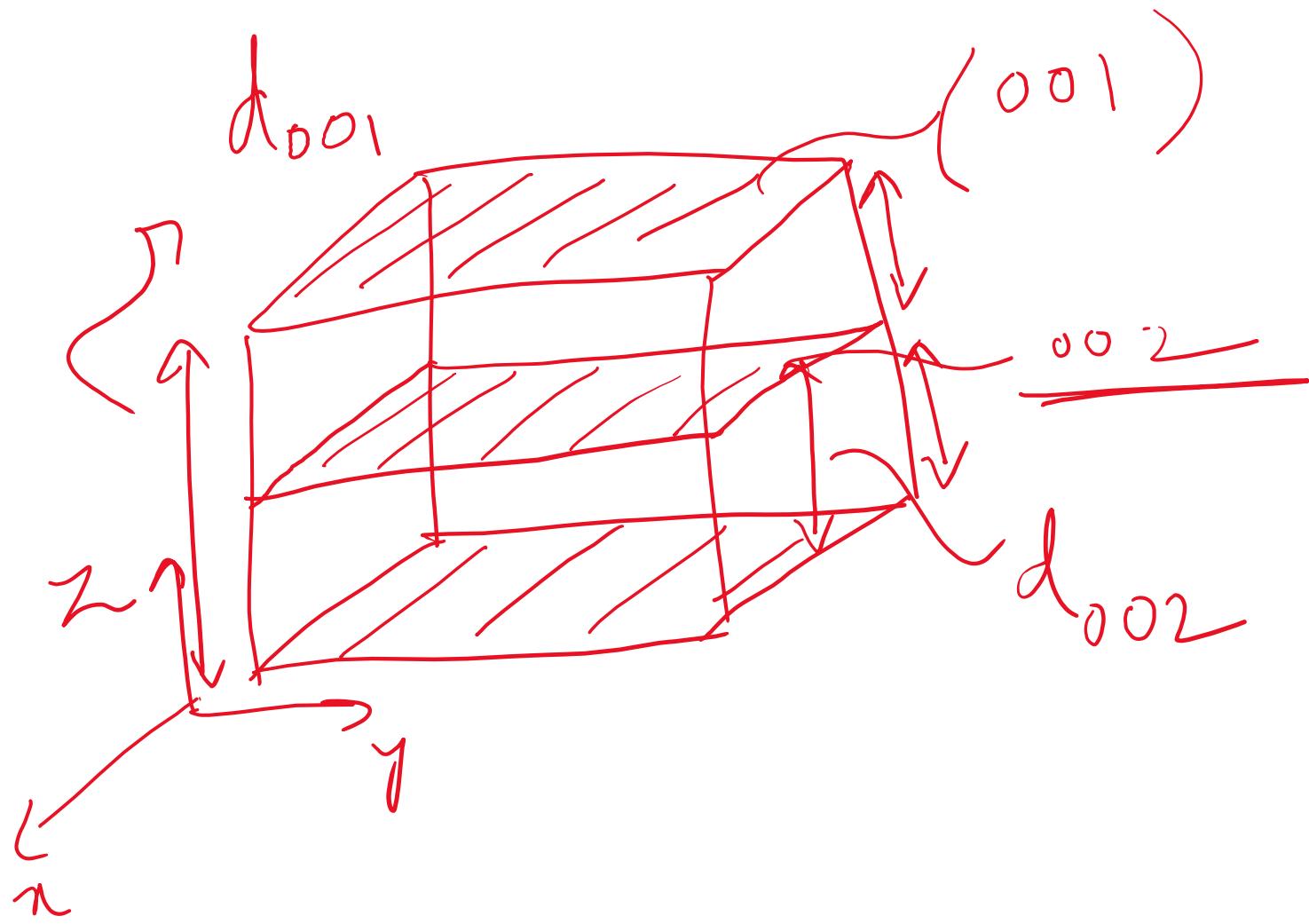
# Forensic Sciences



sequence of coatings is characteristic of car type



- Paint chips are transferred in car accidents, either from one car to another or, in the case of a hit-and-run, from the car to the victim.
- Chemical identity of the various layers of the paints



## **Quiz 1: Announcement**

Total marks of Quiz: 10 (multiple choice type- only one option will be correct),

Negative marking: NO

Time for the quiz: 15 min, Start time: January 25, 2022, 10:30 a.m. (IST), Finish Time: January 25, 2022, 10:45 a.m. (IST).

### **Important note:**

- 1) Quiz-1 will start at 10:30 a.m. (IST) sharp on 25<sup>th</sup> January.
- 2) Keep pen, pencil, calculator nearby to you. There may be numerical questions, you may have to calculate.
- 3) Kindly login to your Moodle account at least **10 min before the schedule, preferably 10:20 a.m. or before.**
- 4) **Password of the quiz will be sent to your email id 3-5 min before the quiz starts.** Around 10:25 a.m., password will be shared. You should login your email account at 10:20 a.m. and be vigilant.
- 5) Total time of the quiz will be 15 mins. You cannot attempt the quiz anymore after 10:45 a.m. (IST).
- 6) **The questions will appear in sequential order. Therefore, you cannot go back to the previous question once you move forward.**
- 7) Any kind of act of group cheating through WhatsApp chats or any other internet forum is highly discouraged (as mentioned in the class). Strong disciplinary actions will be taken if found guilty which may result disqualifying from the entire course in other words failing in the course.
- 8) No re-quiz request will be entertained. Make sure you attend it at any cost. This point is same for all the exams in this course.
- 9) **Result of the quiz will be visible to you on 27<sup>th</sup> January, 2022. Before that no request regarding quiz results will be entertained.**

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# MLL 100

# Introduction to

# Materials Science and Engineering

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***Lecture-9 (January 21, 2022)***

Dr. Sangeeta Santra ([ssantra@mse.iitd.ac.in](mailto:ssantra@mse.iitd.ac.in))



IIT Delhi

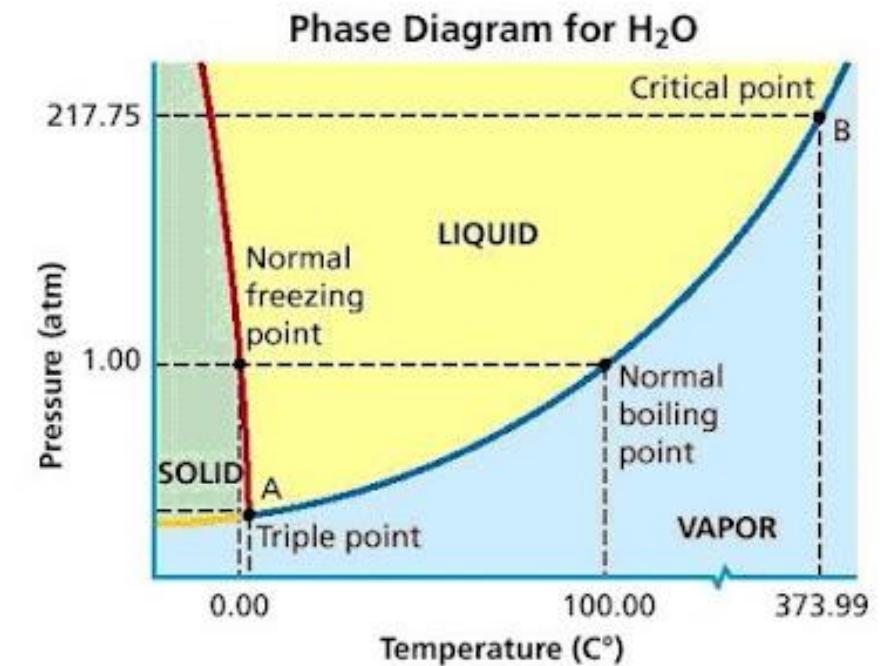
Department of Materials Science and Engineering

# Phase Equilibrium, Phase Diagram, Phase Transformation



# Phase diagram

Equilibrium phase diagram: Map of phases represented over state variables.



# Phase

Happy



Shocking



Sad



Worrisome



Excited



Funny



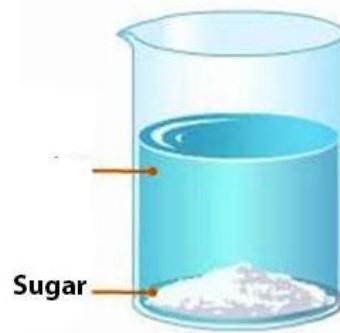
Silent



Depressed



- Physically distinct



- Chemically uniform: water
- Mechanically separable

- In solids, different crystal structures indicate different phases.

# Component

Component



+

Component

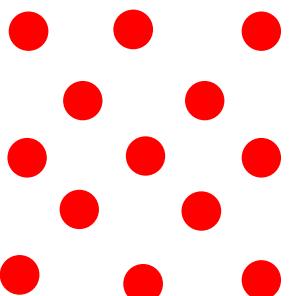


=

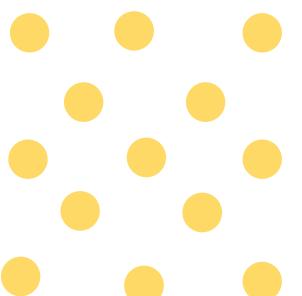
Phase



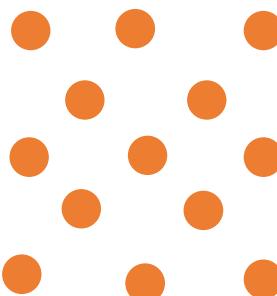
Phase mixture



+



=



## What is a component?

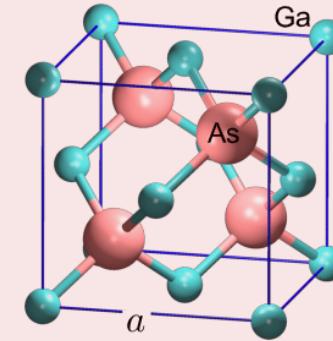
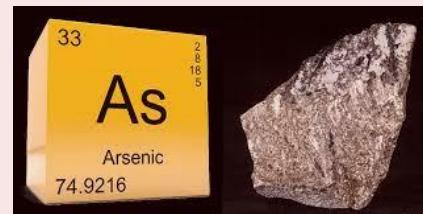
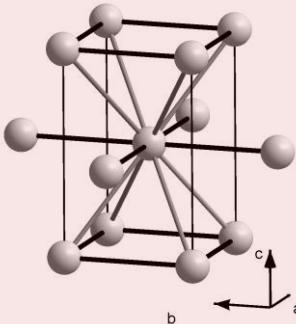
- Chemically-independent constituents of a system
- *Copper-Tin system*: Cu and Sn are the components
- *Sugar-milk system*: Sugar and milk are the components
- *Vanilla-chocolate system*: Vanilla and cocoa are the components
- *SiO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>-MgO system*: SiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, MgO are the components

## Classification of phase diagrams based on number of components:

- Unary phase diagram: Water  $\text{---->} \text{H}_2\text{O}$
- Binary phase diagram: Cu-Ni
- Ternary phase diagram: Cu-Ni-Pt
- Quaternary phase diagram: Cu-Ni-Al-Pt
- Pseudo-binary phase diagram

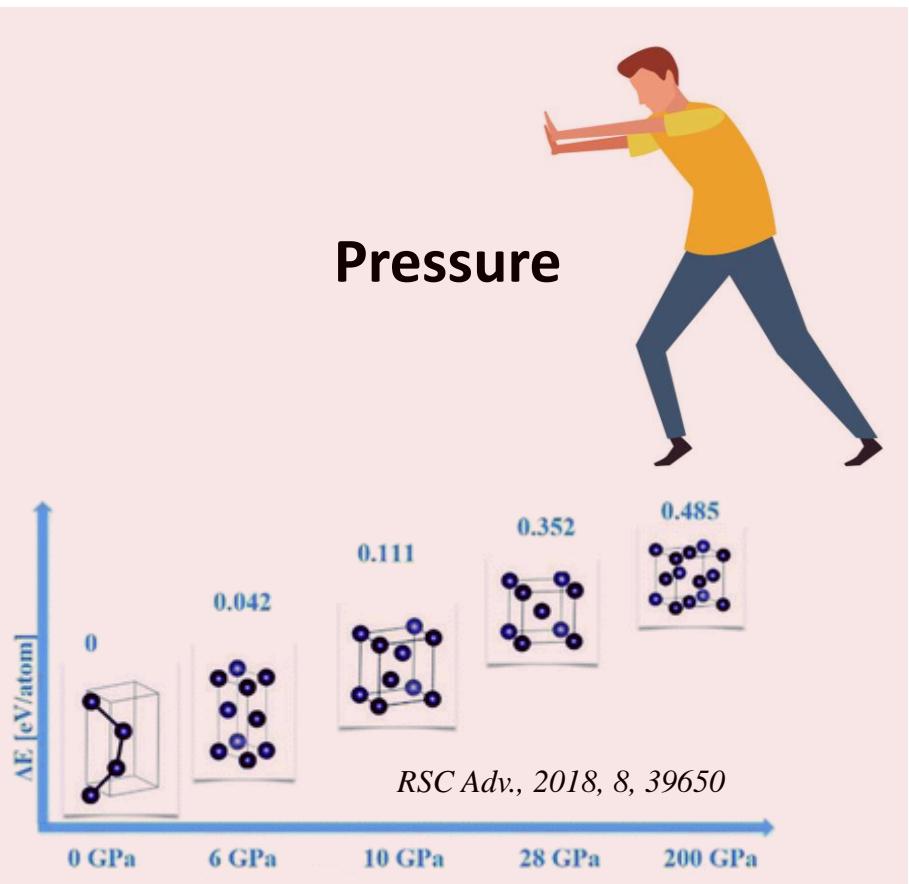
*How many components are present in:*

- (i) Al<sub>2</sub>O<sub>3</sub>-MgO
- (ii) Mg-O
- (iii) NaCl-H<sub>2</sub>O
- (iv) Ni-Al-O



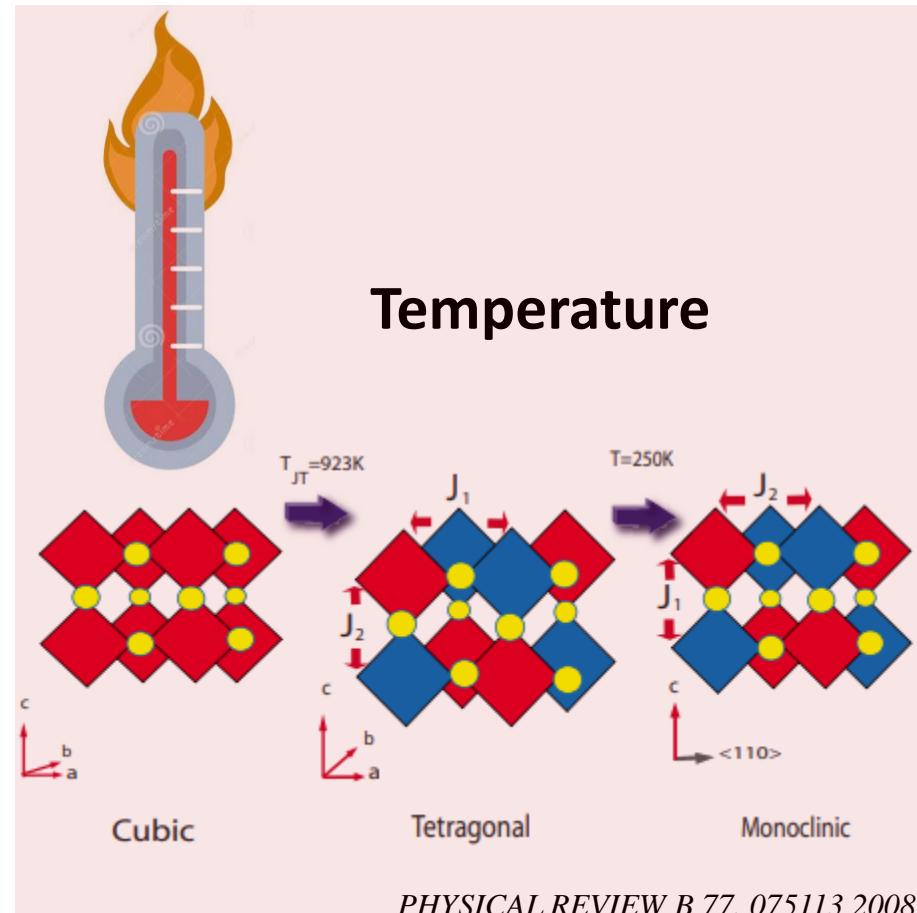
## Composition

### Pressure

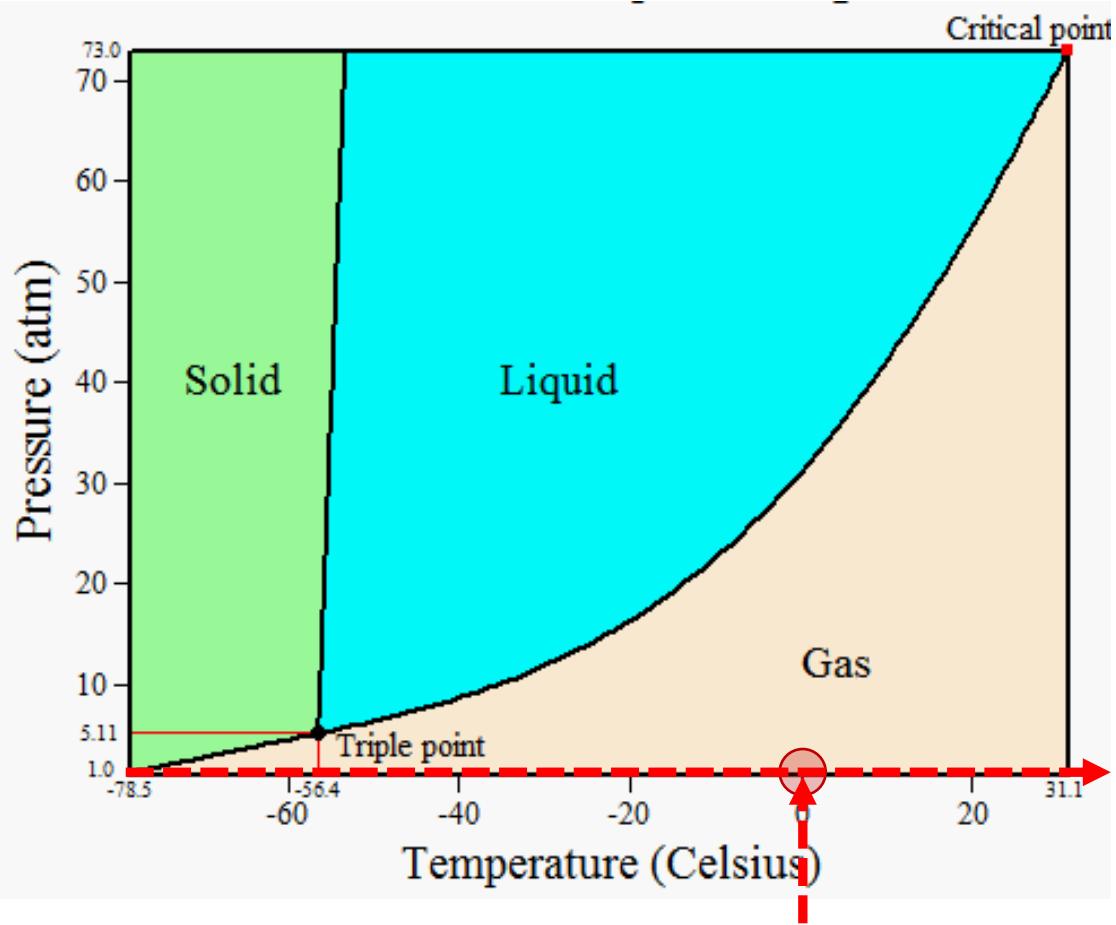


What are the factors affecting the phase formation?

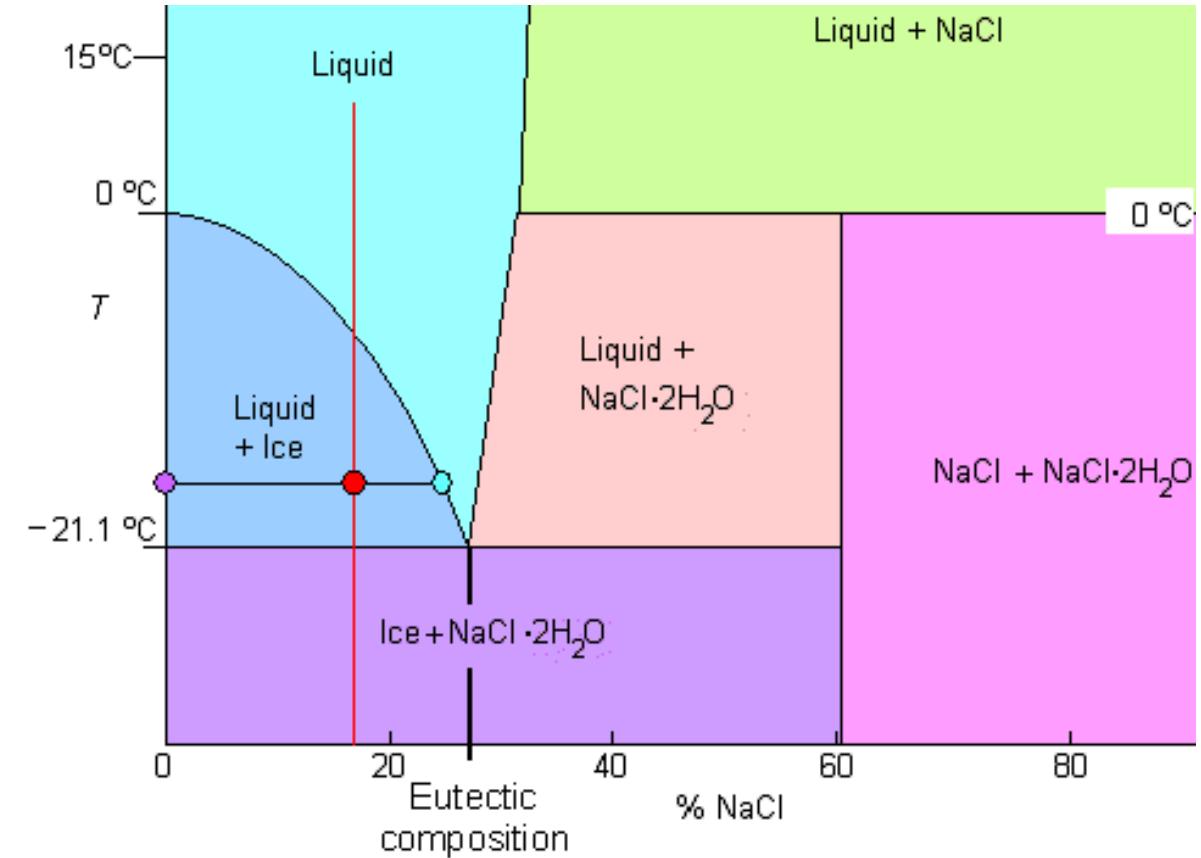
### Temperature



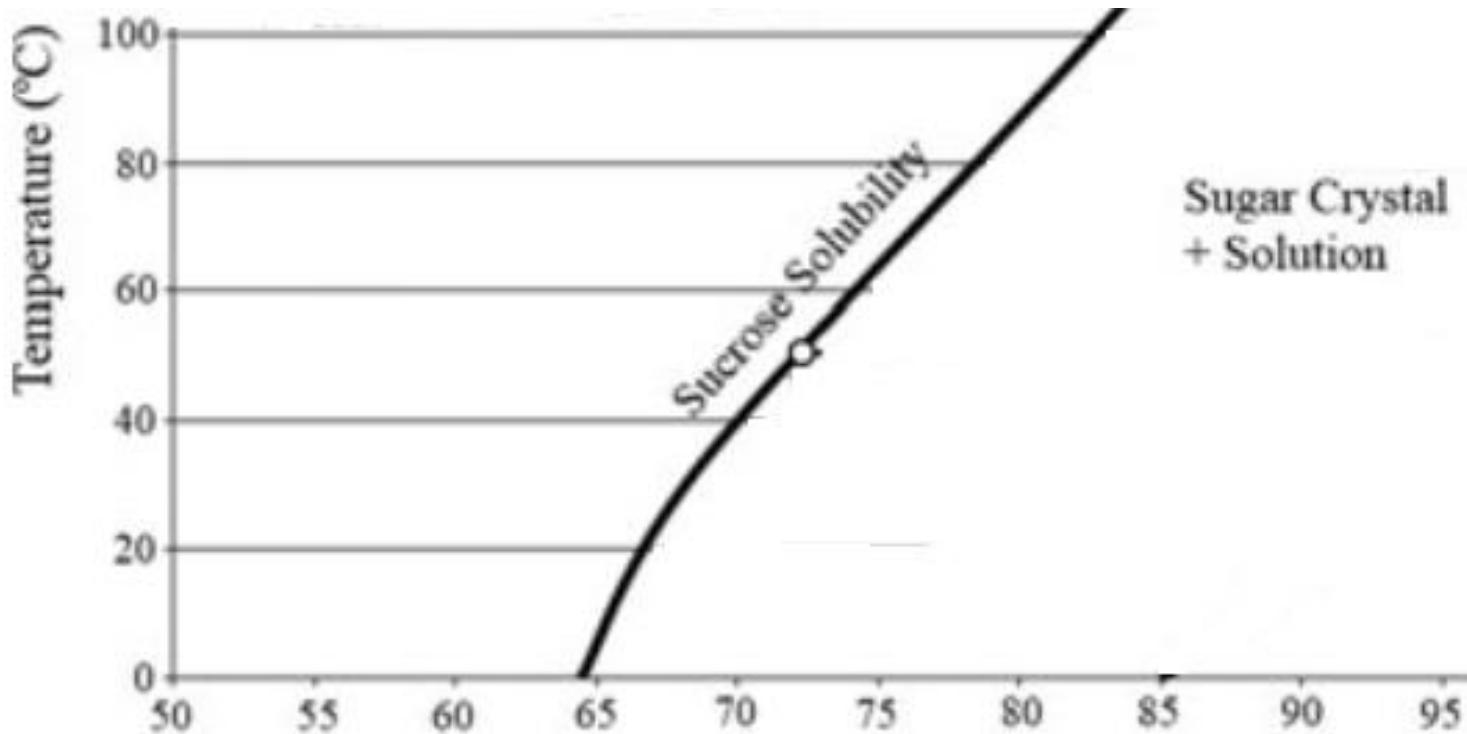
## P-T diagram



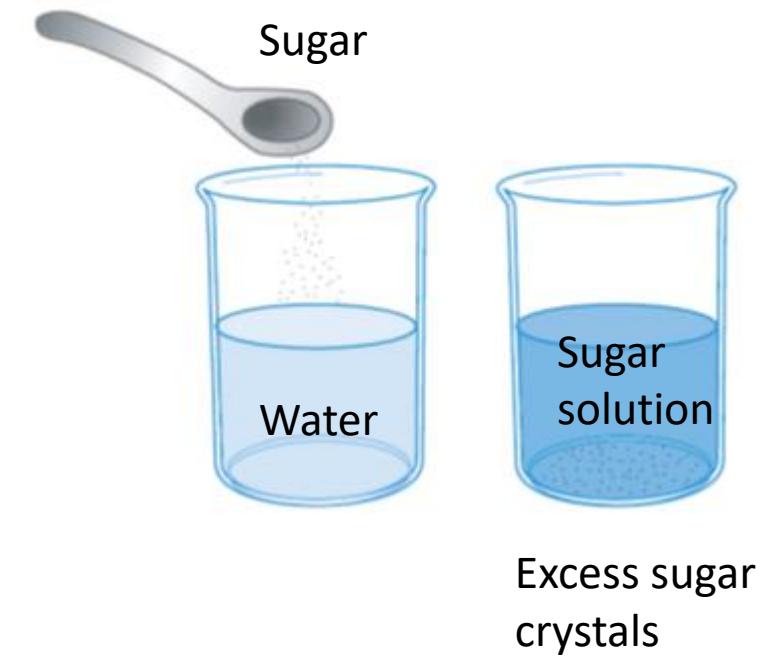
## T-C diagram



# Solubility limit

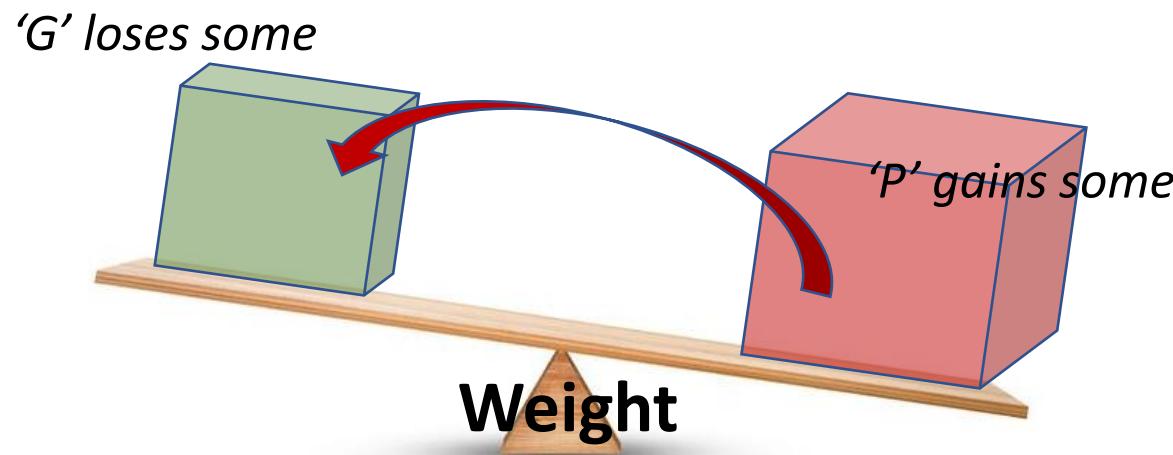


% sugar →  
(wt./ or ab./ or  
vol.)

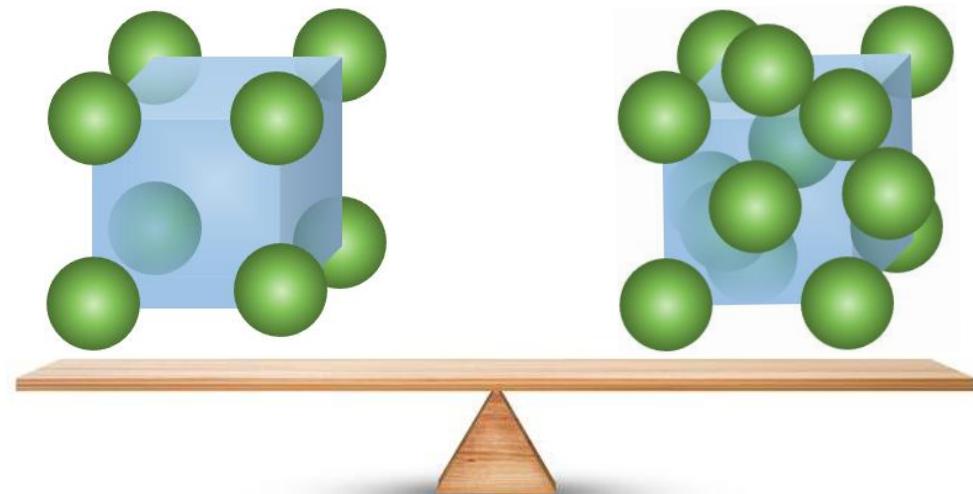
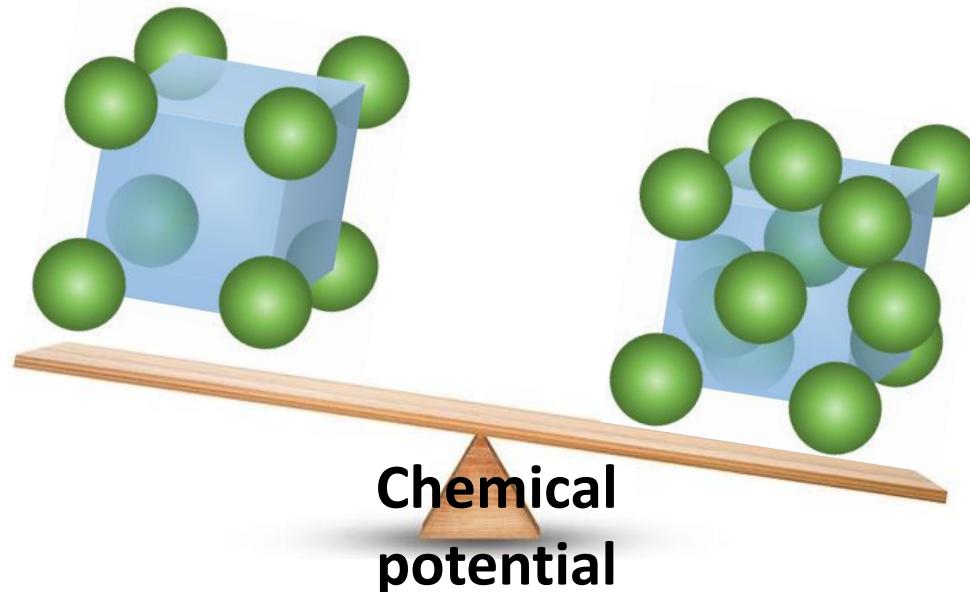


Excess sugar  
crystals

# When will be the phases in equilibrium?



- For the phases to be in equilibrium, chemical potential ( $\mu$ ) of the components involved must be equal.



# Chemical potential

- Represents a potential for any material to interact in a specific system.
  - Change in energy in a system with the change in the number of atoms of a given material.
  - High chemical potential: Donate the atoms
  - Low chemical potential: Accept the atoms
- 
- If  $\alpha, \beta, \gamma, \dots, P$  are the phases in equilibrium for a given binary A-B system, then:



$$\mu_A^\alpha = \mu_A^\beta = \mu_A^\gamma = \dots = \mu_A^P$$

$$\mu_B^\alpha = \mu_B^\beta = \mu_B^\gamma = \dots = \mu_B^P$$

# Gibb's Phase Rule

- Total number of phases = P

$$P_1 \quad P_2 \quad P_3 \quad \dots \quad P_P$$

- Total number of components = C

$$C_1 \quad C_2 \quad C_3 \quad \dots \quad C_C$$

$$\sum_i^n C = 1$$

- Independent concentration variables for one phase = C-1
- Other two independent variables = Temperature (T) and Pressure (P) = 2
- Independent concentration variables for P phases =  $P(C-1) + 2$

- Total number of variables =  $P(C-1) + 2$
- Phases present in a system can be in equilibrium when the chemical potential ( $\mu$ ) of each of the component is the same in all phases

$$\mu_A^\alpha = \mu_A^\beta = \mu_A^\gamma = \dots \dots \dots = \mu_A^P$$

$$\mu_B^\alpha = \mu_B^\beta = \mu_B^\gamma = \dots \dots \dots = \mu_B^P$$

- Total number of equilibria for 'C' components =  $C(P-1)$
- Total degree of freedom = Total number of variables – Total number of equilibria

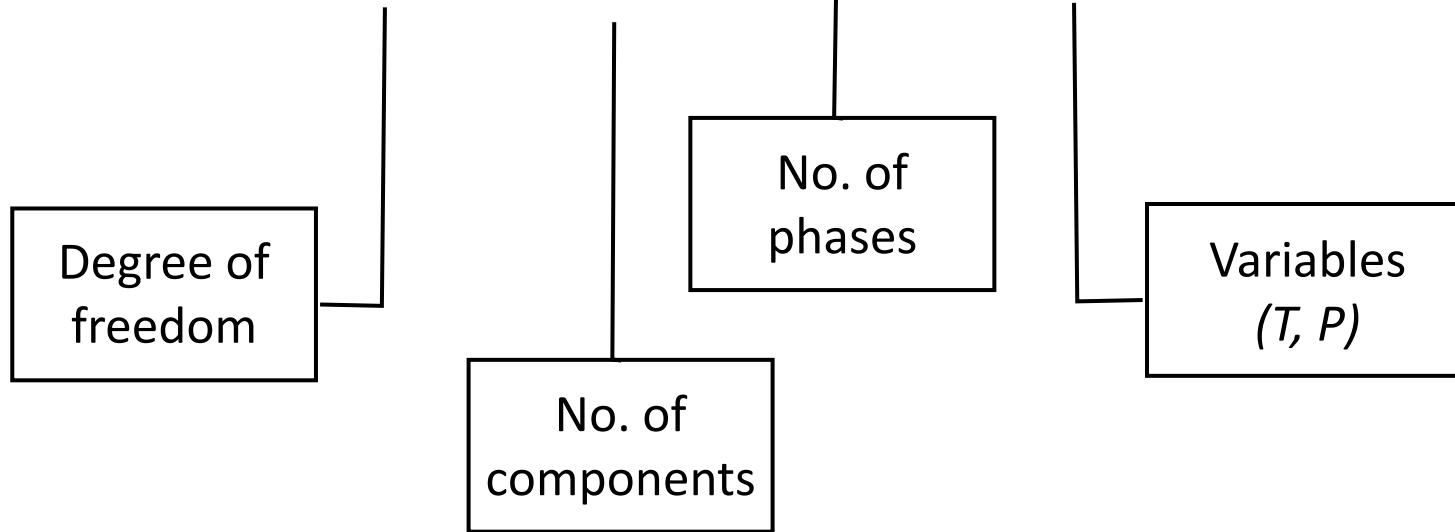
Total degree of freedom =  $P(C-1) + 2 - C(P-1) = PC - P + 2 - CP + C = C - P + 2$

# Gibbs Phase Rule

- Gives information about the conditions of phase equilibrium in different systems.



$$F = C - P + 2$$



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# MLL 100

# Introduction to

# Materials Science and Engineering

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***Lecture-10 (January 28, 2022)***

Dr. Sangeeta Santra ([ssantra@mse.iitd.ac.in](mailto:ssantra@mse.iitd.ac.in))



IIT Delhi

Department of Materials Science and Engineering

# What have we learnt in Lecture-9?

- Component
- Phase
- Phase diagram
- Phase equilibrium
- Chemical potential
- Gibb's phase rule

# Gibb's phase rule

- Gives information about the conditions of phase equilibrium in different systems.

$$F = C - P + 2$$

Diagram illustrating the components of the Gibbs phase rule equation:

- Degree of freedom
- No. of components
- No. of phases
- Variables ( $T, P$ )

Phases

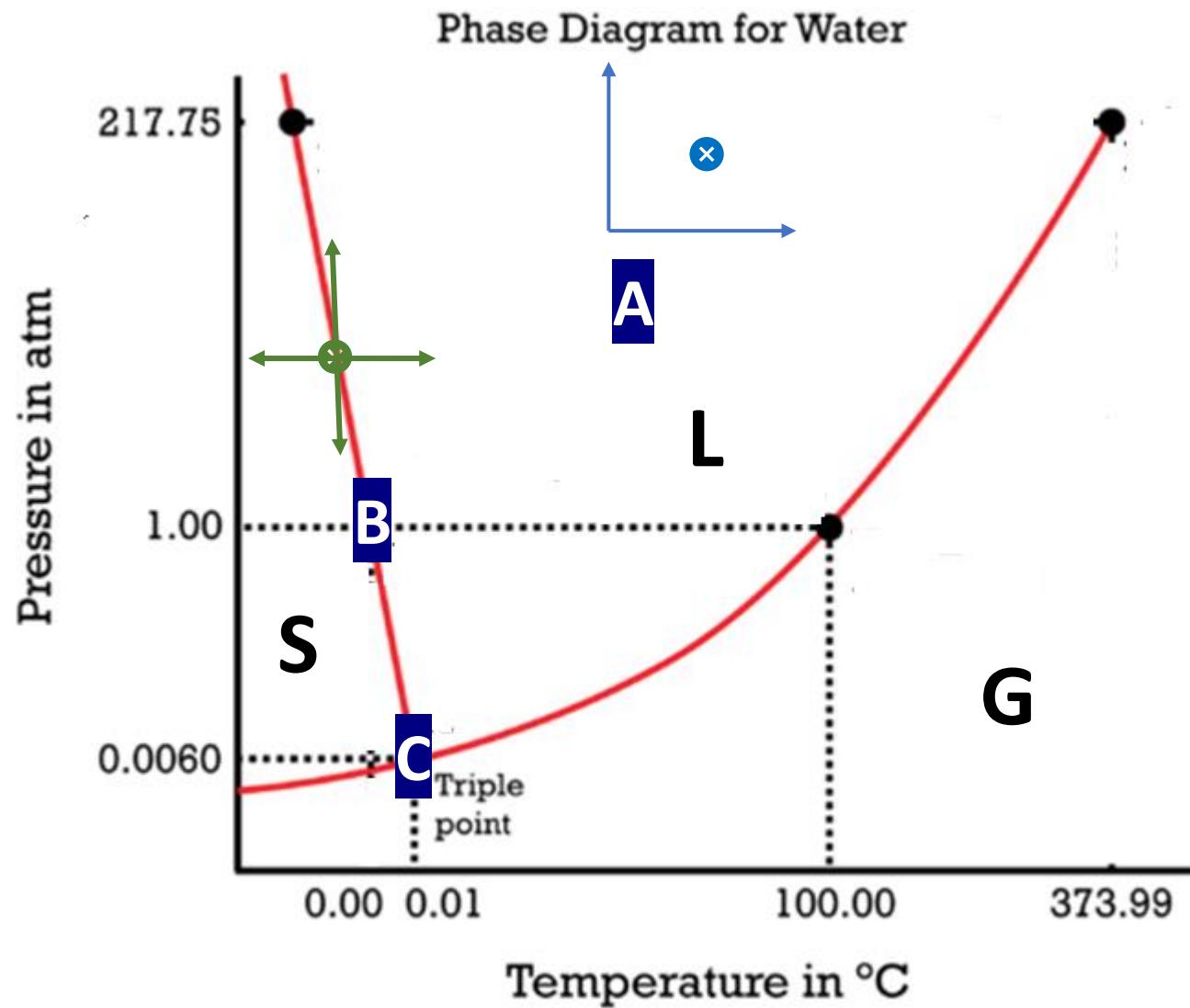
→ Different crystal structures  
(Same component)

→ Different states of matter



→ Some crystal structures;  
but two different elements/components

# Single-component system



A

$$C = 1$$

$$P = 1$$

$$F = 1-1+2 = 2$$



Both T and P can be varied independently, still will be in 'L' phase.

B

$$C = 1$$

$$P = 2$$

$$F = 1-2+2 = 1$$



Either T and P can be varied independently.

C

$$C = 1$$

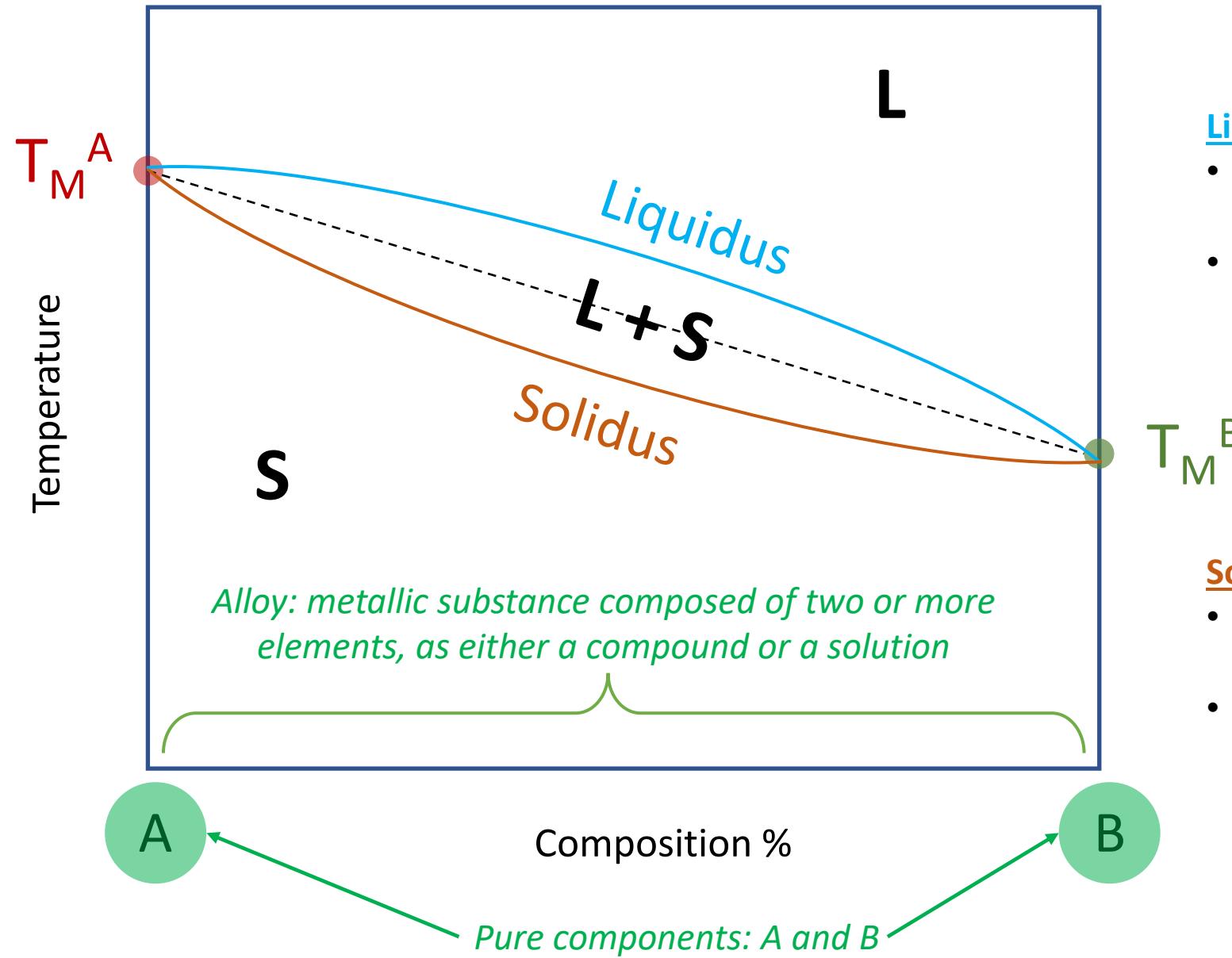
$$P = 3$$

$$F = 1-3+2 = 0$$

Neither T and P can be varied independently.

# Binary system

(Pressure is constant)



## Liquidus:

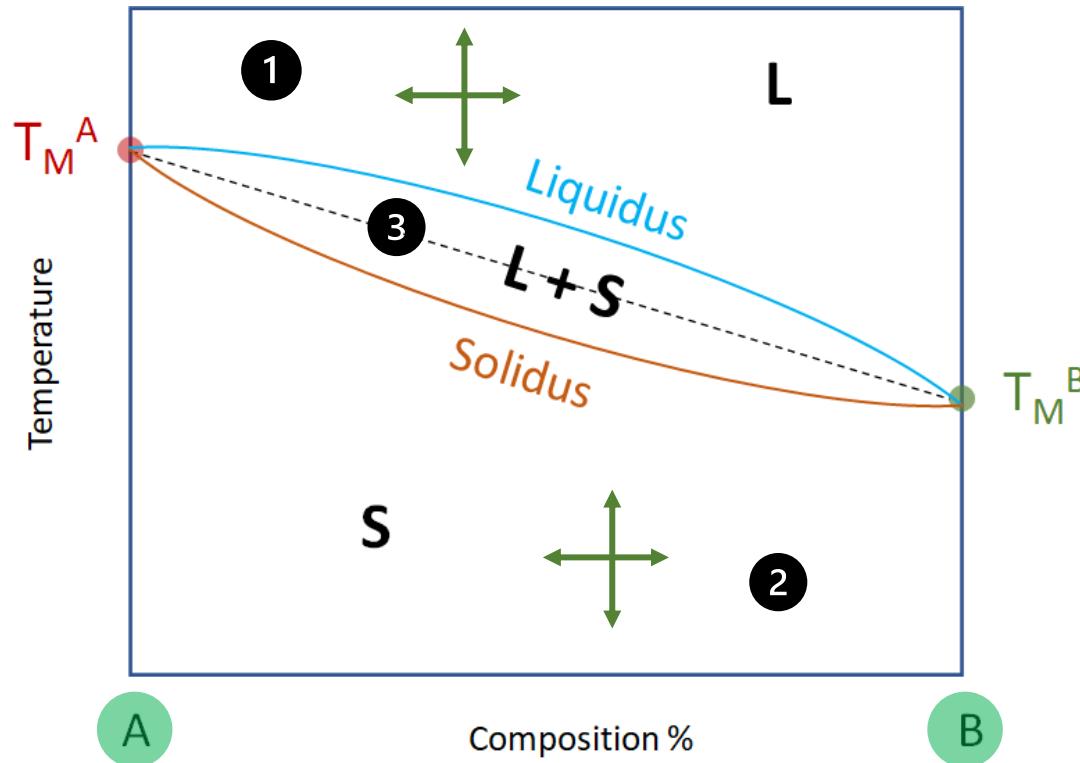
- Temperature above which the alloy is completely liquid.
- Below Liquidus, solidification starts.

## Solidus:

- Temperature below which the alloy is completely solid.
- Above solidus, liquefaction starts.

# Condensed Gibb's phase rule

(Pressure is constant)

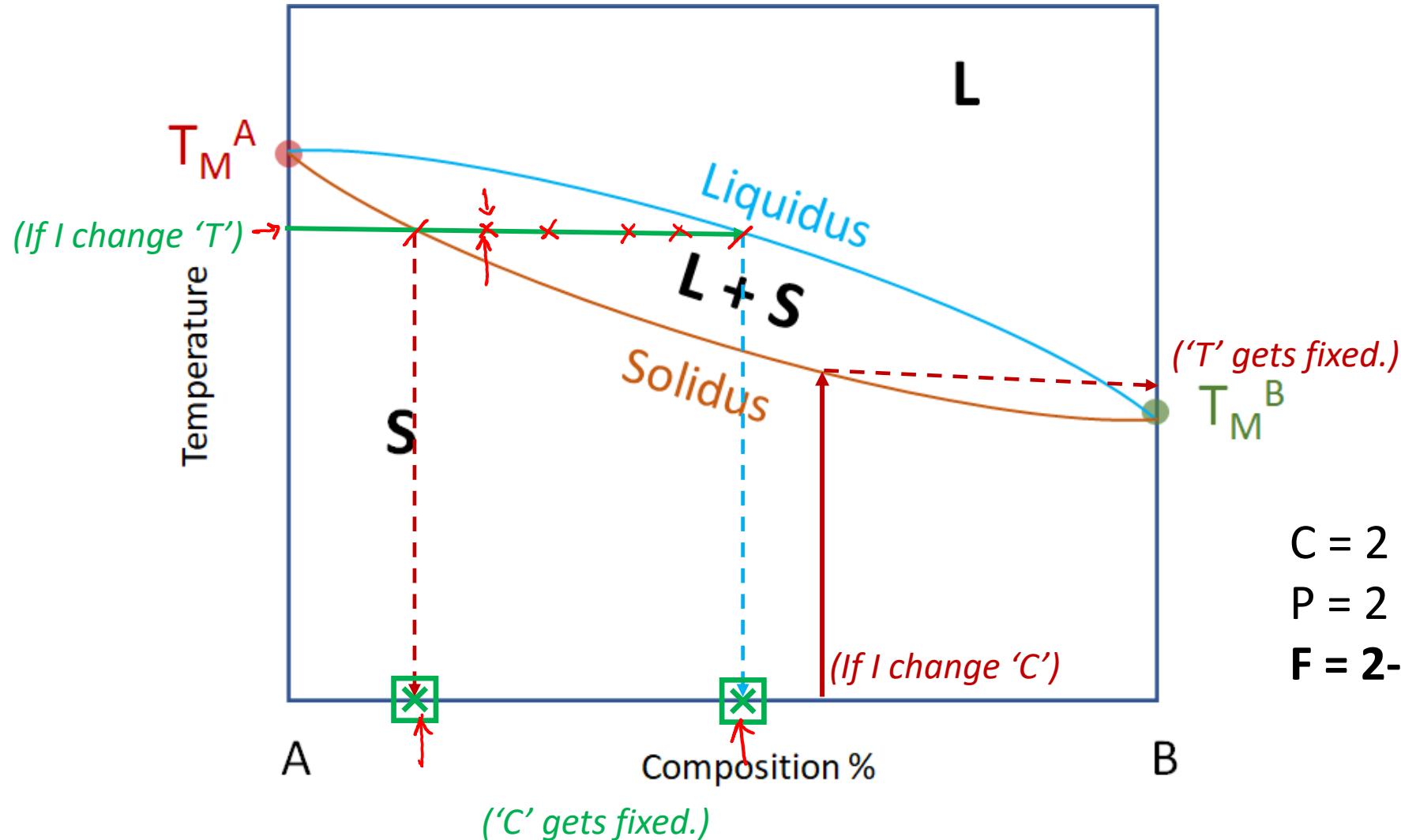


$$F = C - P + 1$$

- Pressure is constant.
- '1' stands for the state variable: Temperature.

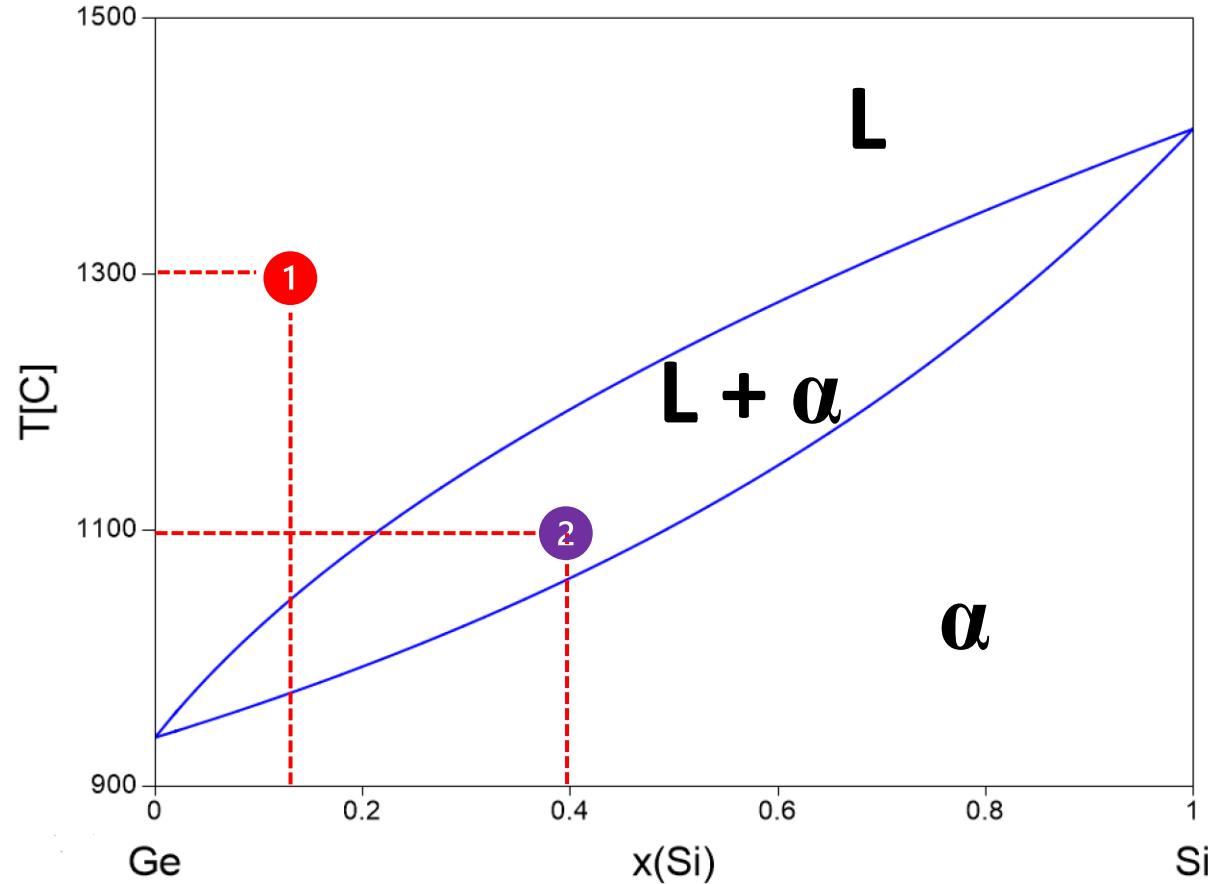
- ①  $C = 2$  (A and B)  
 $P = 1$  (Liquid)  
 $F = 2-1+1 = 2$ 
  - Both T and C can be varied independently, still will be in 'L' phase.
- ②  $C = 2$  (A and B)  
 $P = 1$  (Solid)  
 $F = 2-1+1 = 2$ 
  - Both T and C can be varied independently, still will be in 'S' phase.
- ③  $C = 2$  (A and B)  
 $P = 2$  (Liquid + Solid)  
 $F = 2-2+1 = 1$ 
  - Either T or C can be varied independently, only then 'L+S' two-phase will be in equilibrium.

3 Anywhere within the two-phase region (L + S)



- Either T or C can be varied independently, only then 'L+S' two-phase will be in equilibrium.

# Isomorphous phase diagram



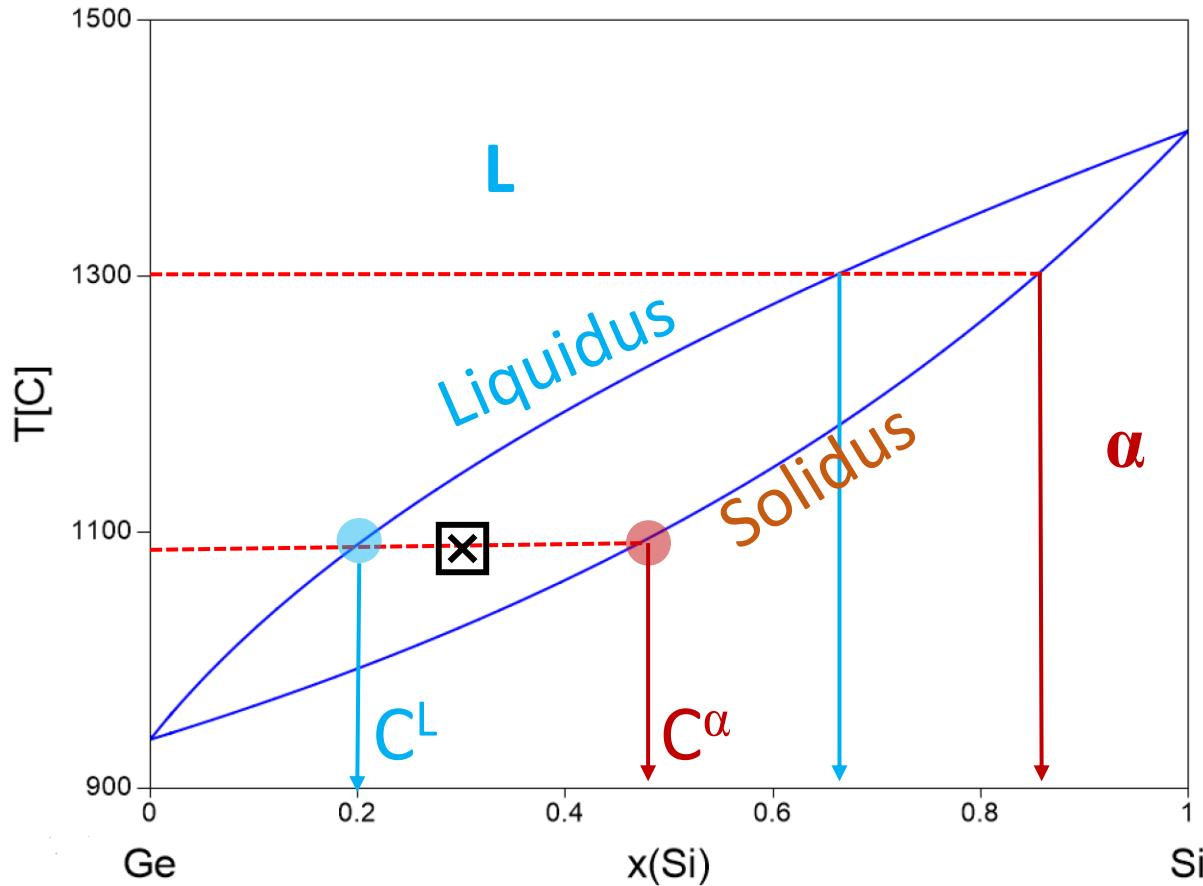
❑ Which phases are in equilibrium?

(Temperature and Composition)

1 Phase: Liquid (L)

2 Phase: Liquid (L) +  $\alpha$

# Tie-line

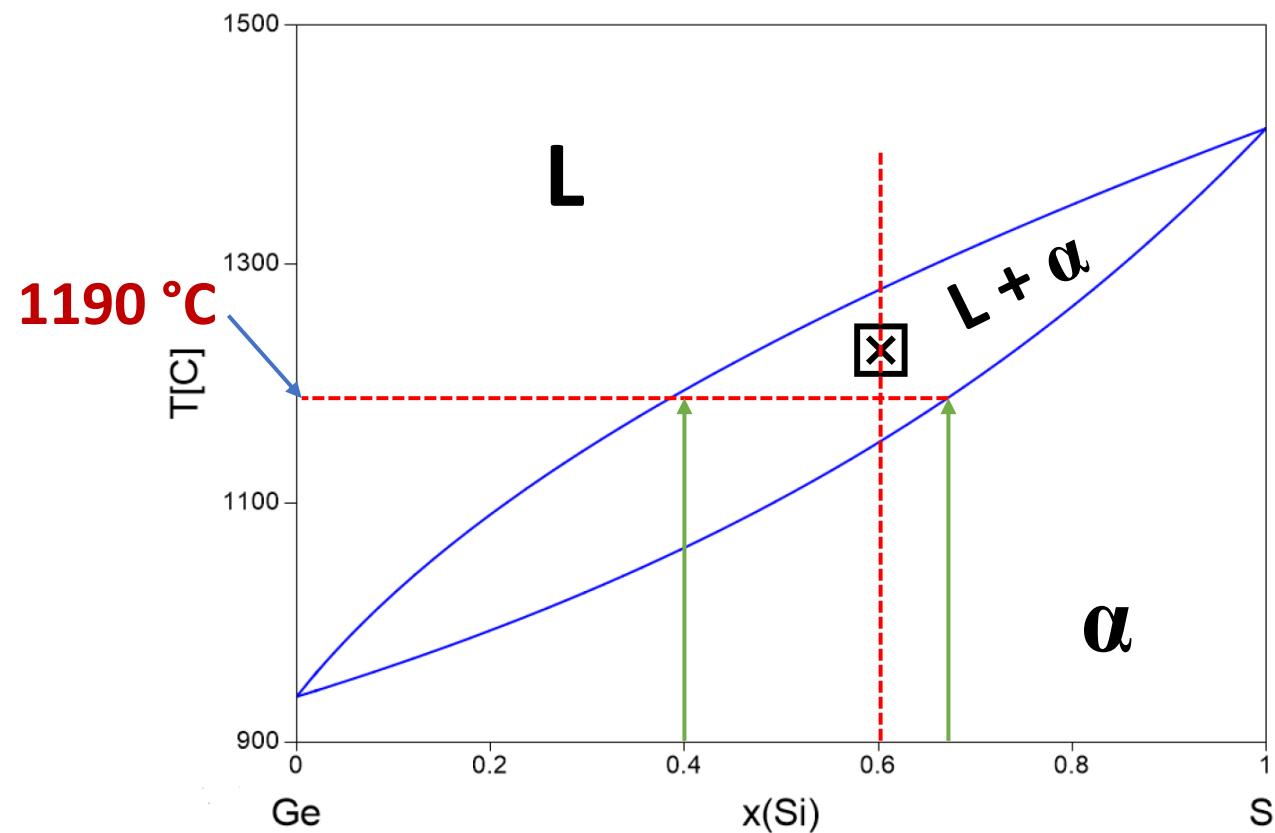


❑ What are the composition of phases in equilibrium?

$C^L$  : Composition of liquid phase

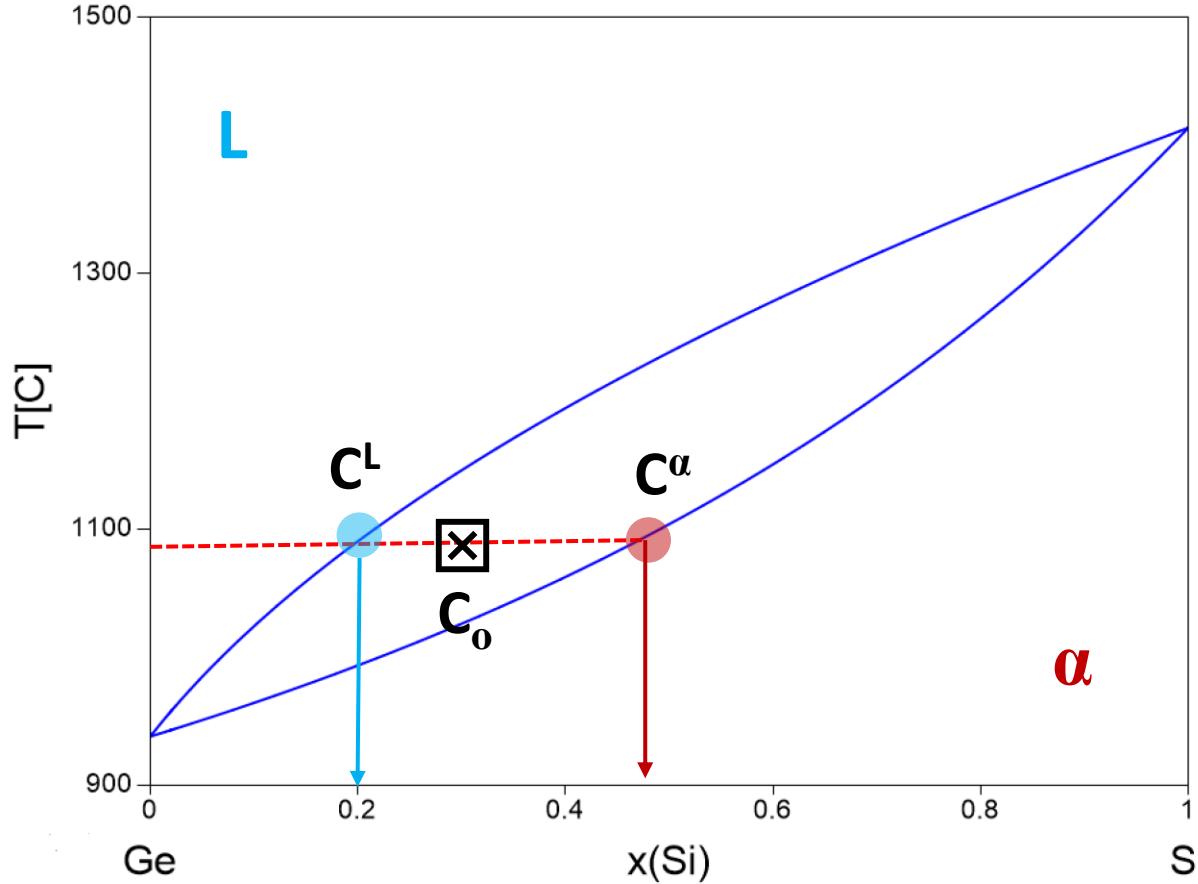
$C^\alpha$  : Composition of  $\alpha$  phase

An alloy with an average composition,  $C_o = 0.6\% \text{ Si}$  has two phases in equilibrium. The composition of the phases, L and  $\alpha$  are 0.40 and 0.67 % Si respectively. At what temperature is such an alloy stable?



# Lever rule

$C_o$ : average composition of alloy



◻ In what fraction are the phases present?

$$\frac{f_L}{f_\alpha} = ?$$

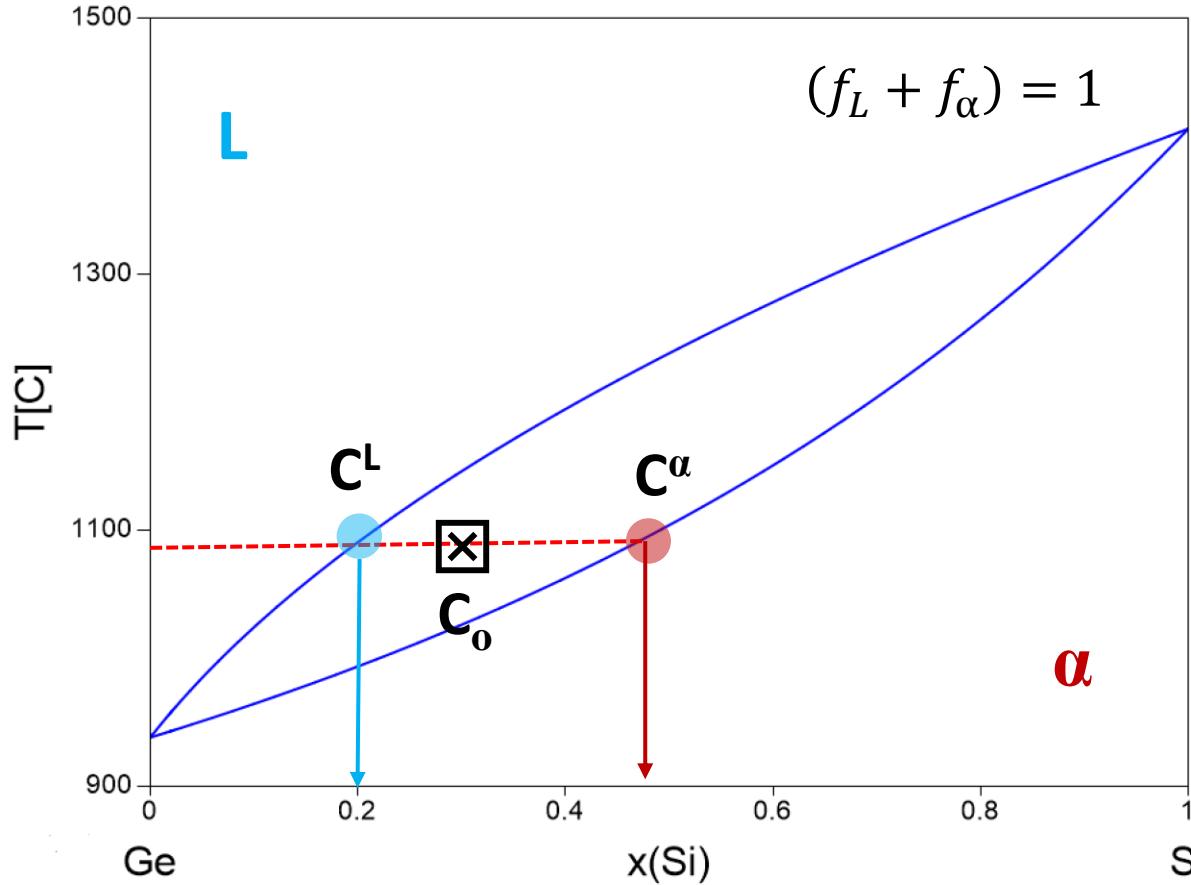
$$f_L \cdot C^L + f_\alpha \cdot C^\alpha = (f_L + f_\alpha) \cdot C_o$$

$$\frac{f_L}{f_\alpha} \cdot C^L + 1 \cdot C^\alpha = \left( \frac{f_L}{f_\alpha} + 1 \right) \cdot C_o$$

$$\frac{f_L}{f_\alpha} = \frac{(C_o - C^\alpha)}{(C^L - C_o)}$$

# Lever rule

$C_o$ : average composition of alloy



$$f_\alpha = \frac{(C^L - C_o)}{(C^L - C^\alpha)}$$

$$f_L = \frac{(C_o - C^\alpha)}{(C^L - C^\alpha)}$$

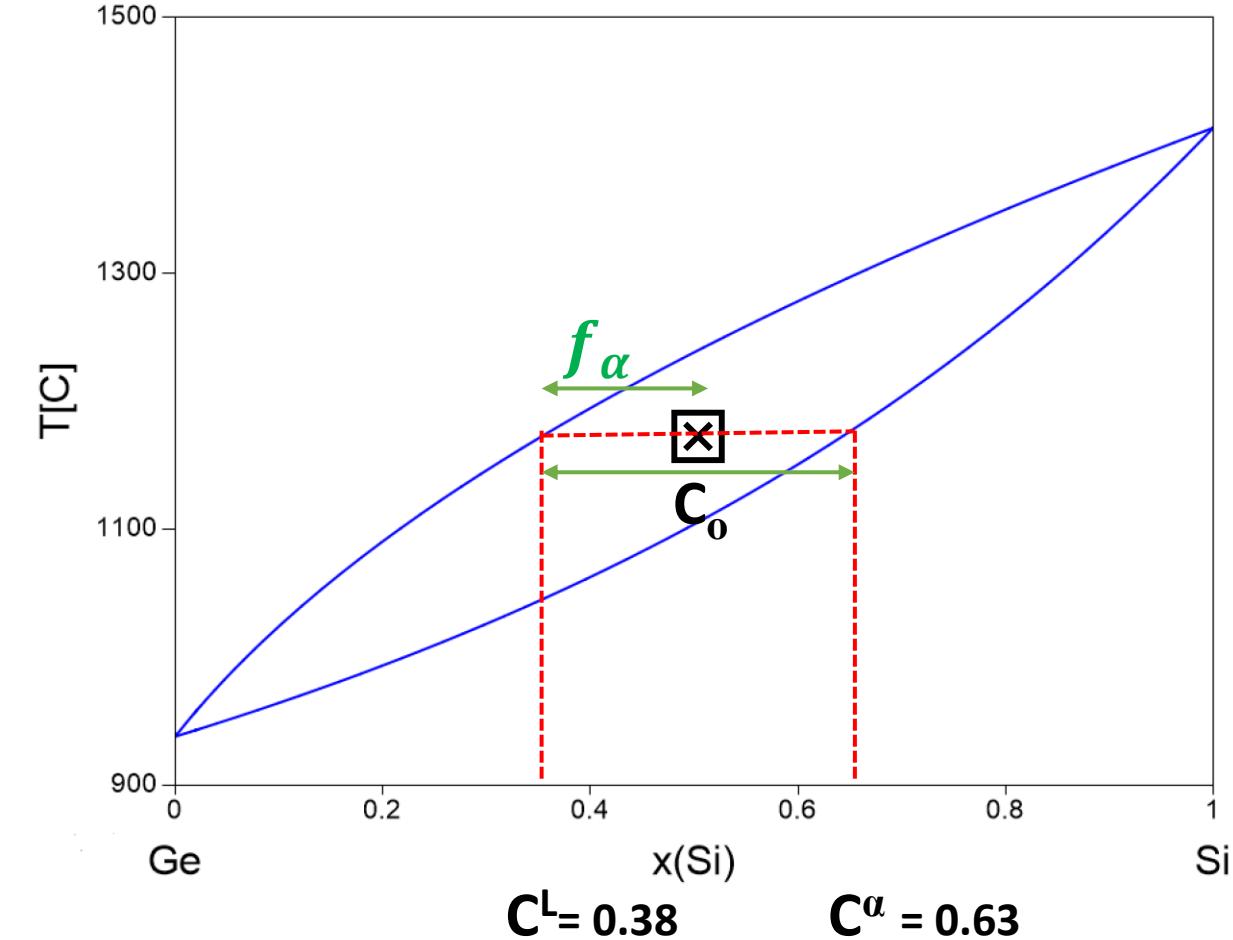
◻ In what fraction are the phases present?

$$\frac{f_L}{f_\alpha} = \frac{(C_o - C^\alpha)}{(C^L - C_o)}$$

$$1 + \frac{f_L}{f_\alpha} = 1 + \frac{(C_o - C^\alpha)}{(C^L - C_o)}$$

$$\frac{f_\alpha + f_L}{f_\alpha} = \frac{(C^L - C_o) + (C_o - C^\alpha)}{(C^L - C_o)}$$

$$\frac{1}{f_\alpha} = \frac{(C^L) - (C^\alpha)}{(C^L - C_o)}$$



$$f_\alpha = \frac{(C^L - C_o)}{(C^L - C^\alpha)} = \frac{(0.38 - 0.50)}{(0.38 - 0.63)} = 0.48$$

$$f_L = \frac{(C_o - C^\alpha)}{(C^L - C^\alpha)} = \frac{(0.50 - 0.63)}{(0.38 - 0.63)} = 0.52$$

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# MLL 100

# Introduction to

# Materials Science and Engineering

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***Lecture-11 (January 29, 2022)***

Dr. Sangeeta Santra ([ssantra@mse.iitd.ac.in](mailto:ssantra@mse.iitd.ac.in))



IIT Delhi

Department of Materials Science and Engineering

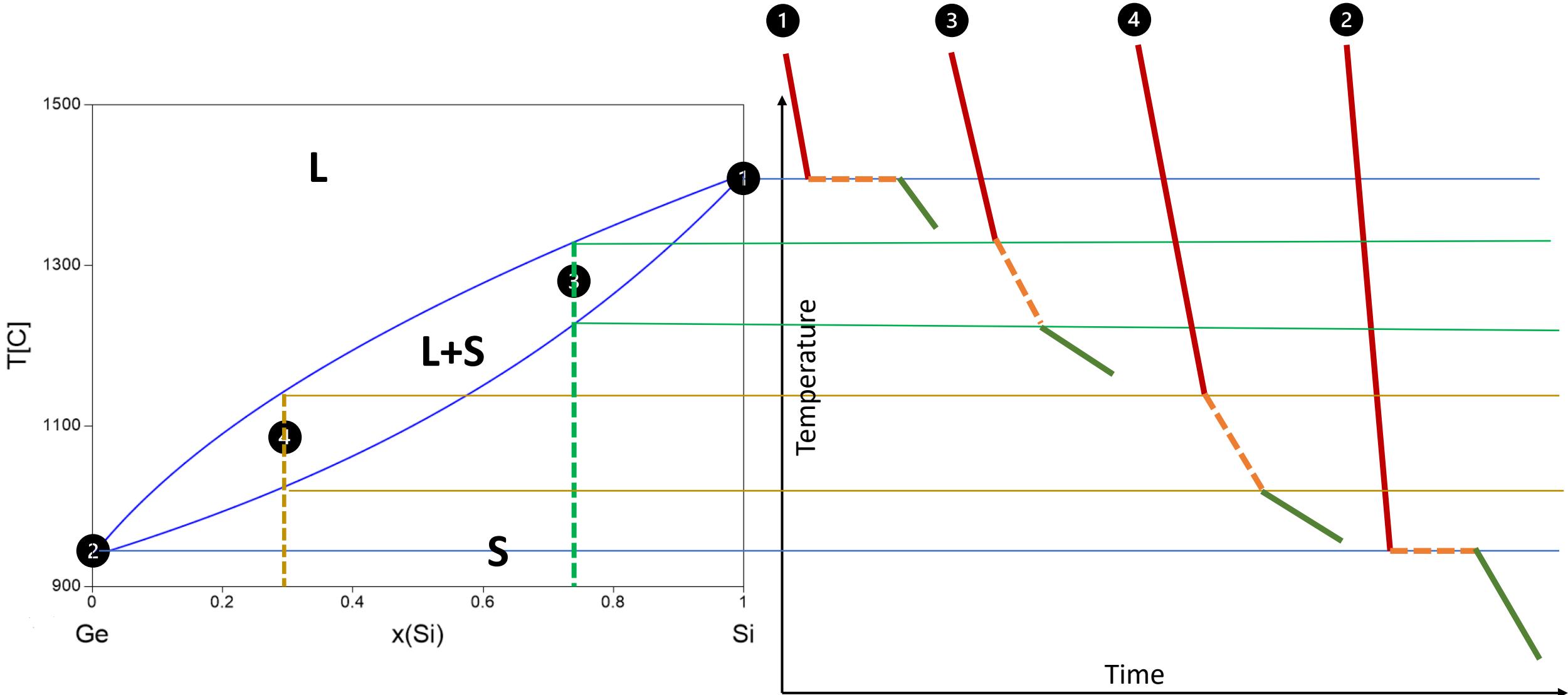
# What have we learnt in Lecture-10?

- Condensed Gibb's phase rule
- Tie-line
- Lever rule

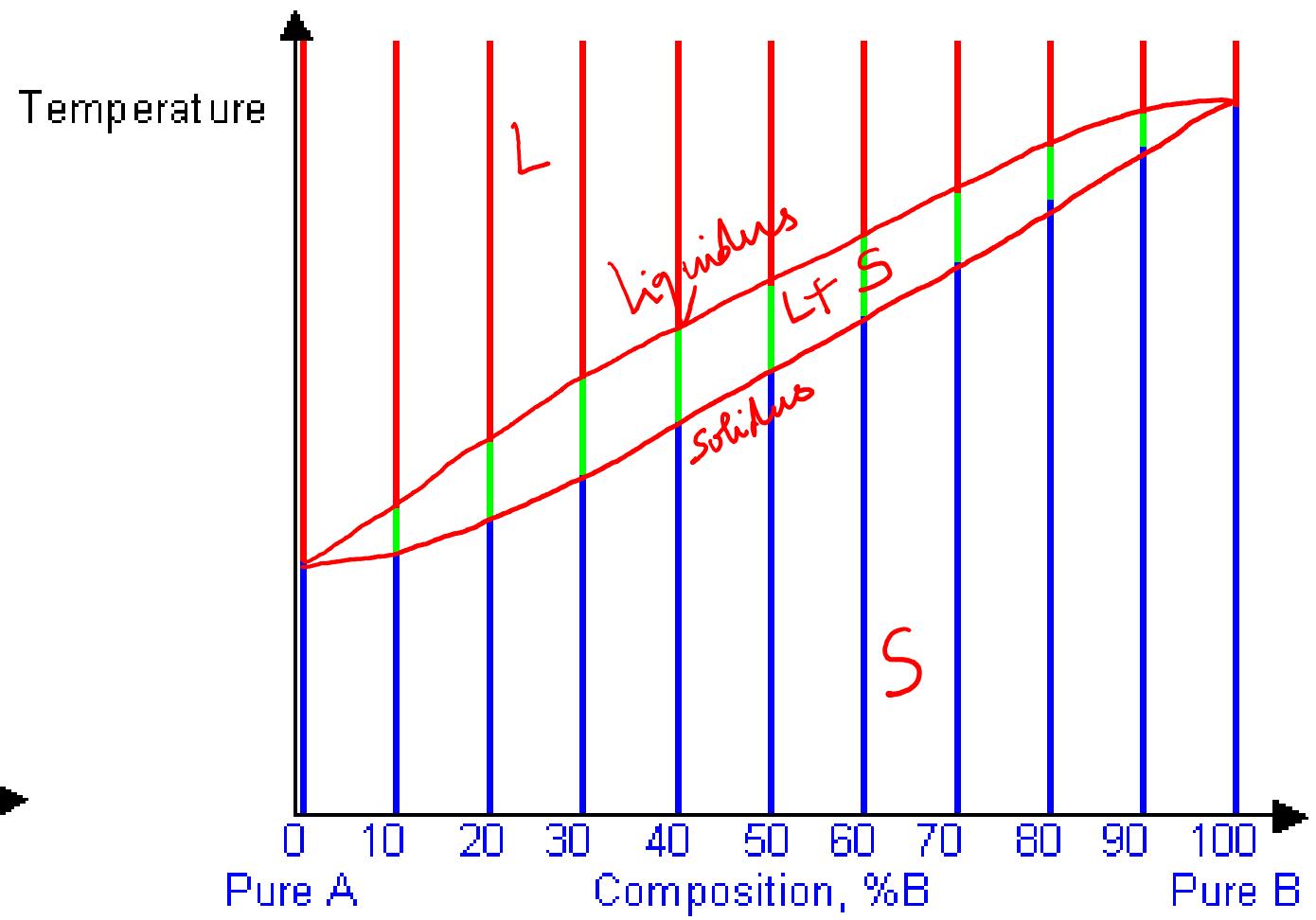
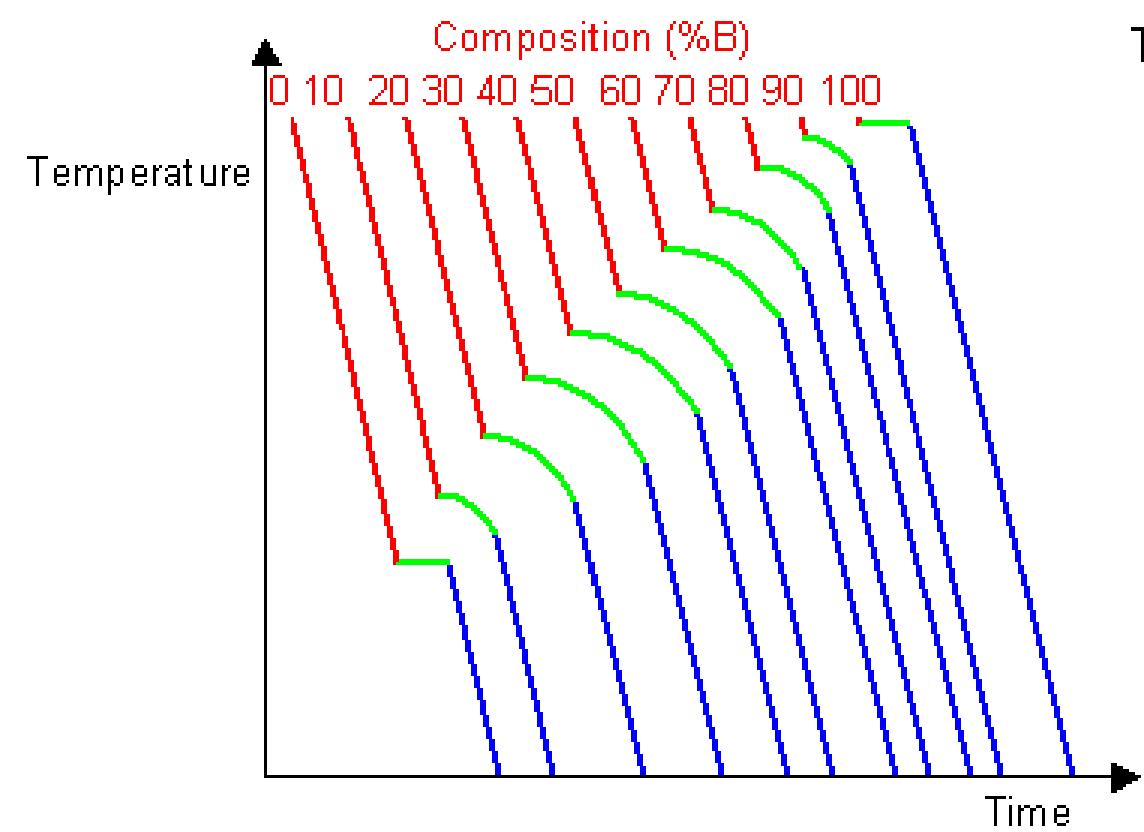
# **How to construct a phase diagram?**

- Determination of phase boundary ---- > liquidus, solidus, solvus, etc.

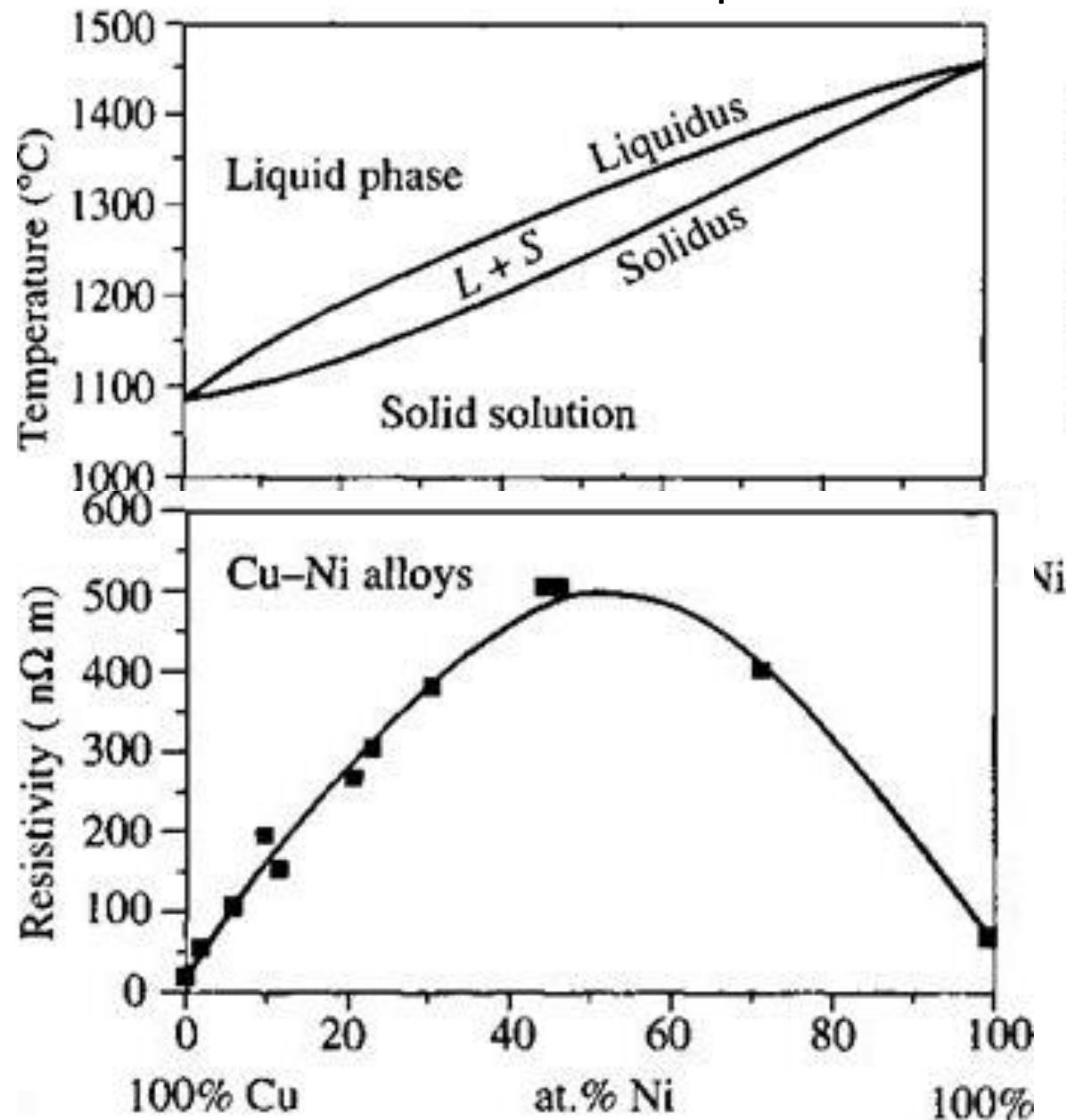
# Cooling curve



- Pure metals melt at a single temperature (melting point).
- Alloys melt over a range of temperature.

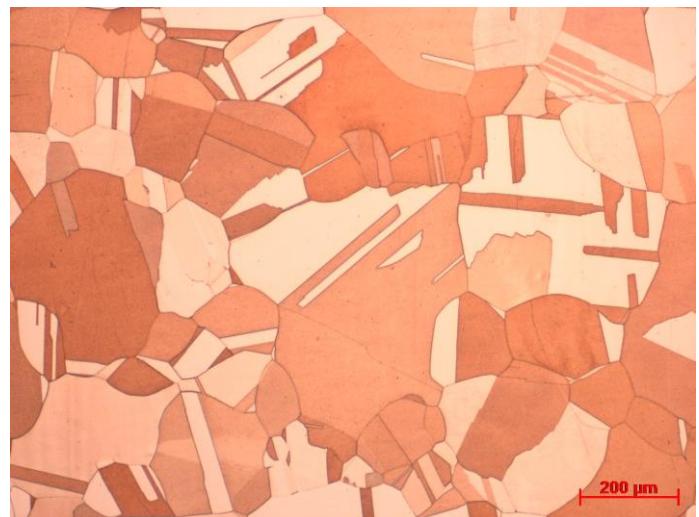


## Variation of electrical resistivity of alloys as a function of composition



..... Can you think of any other method using which you may be able to identify the phase boundaries?

# Microstructure

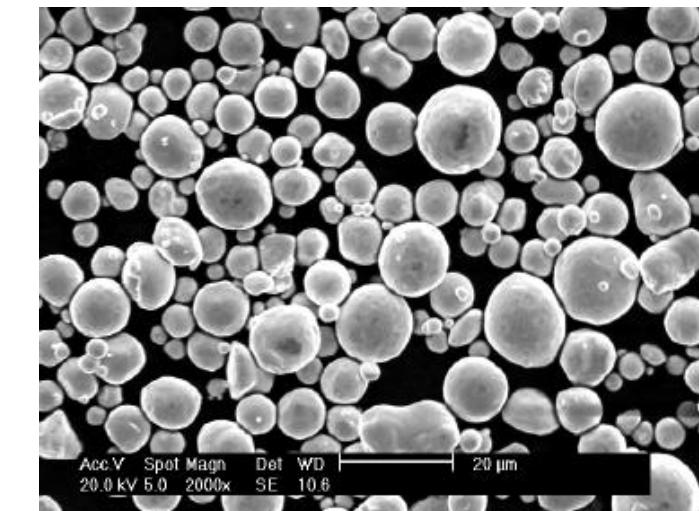
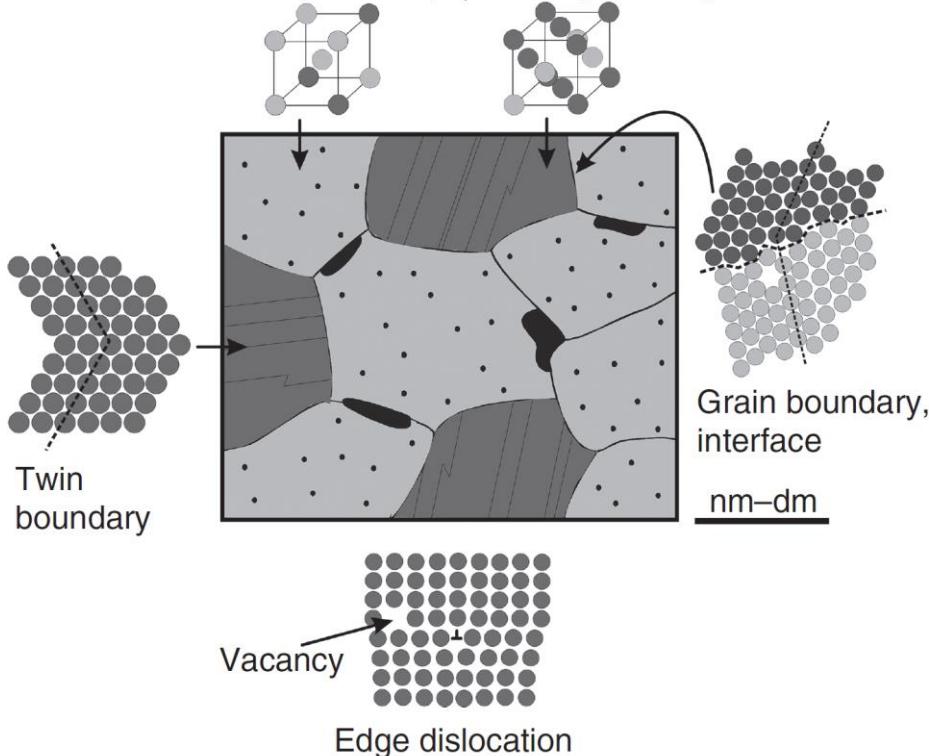


Microstructure obtained through an Optical microscopy

Structural features observed at the micron level in a material

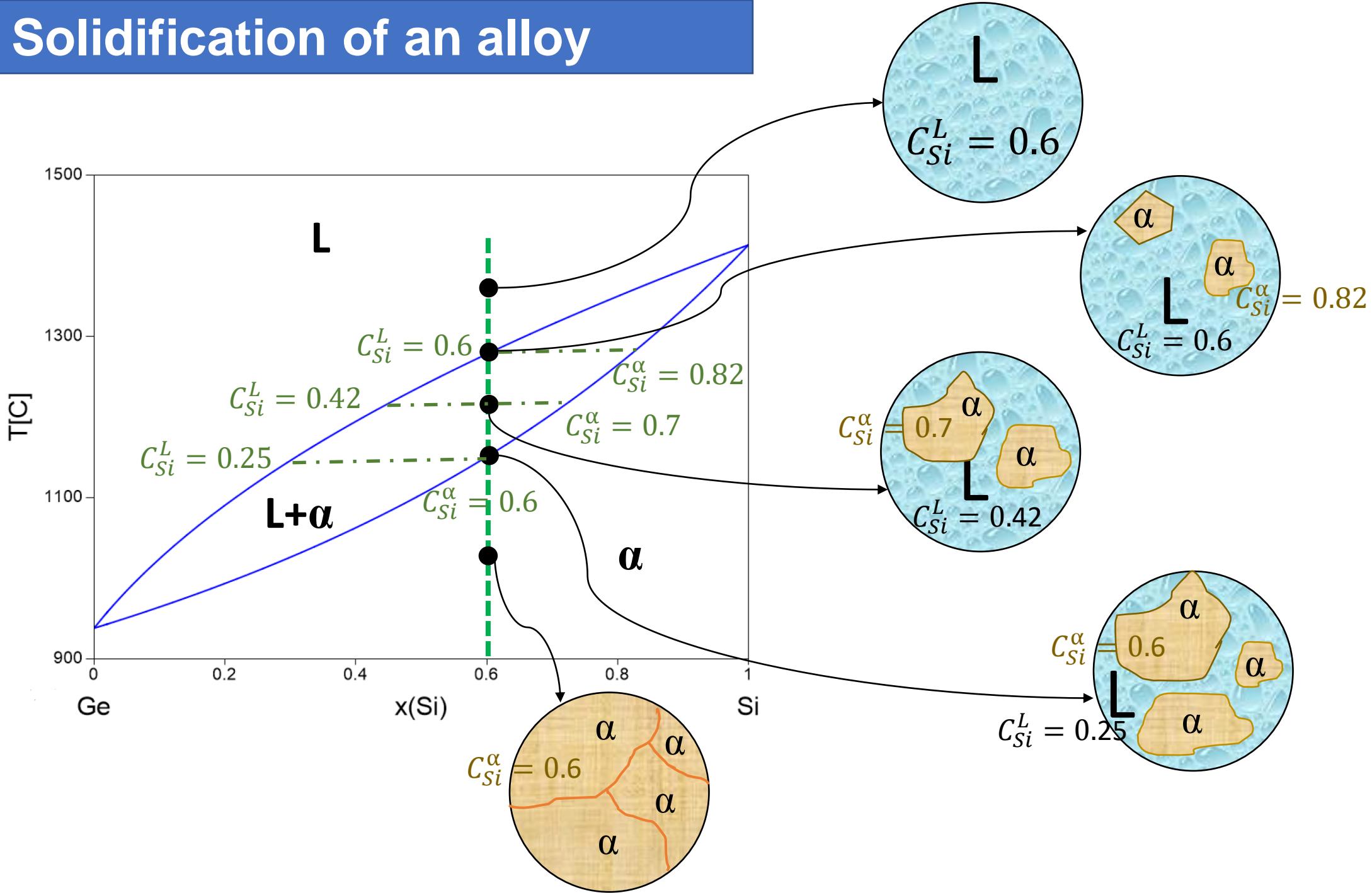
- Phases, Defects, Phase morphology

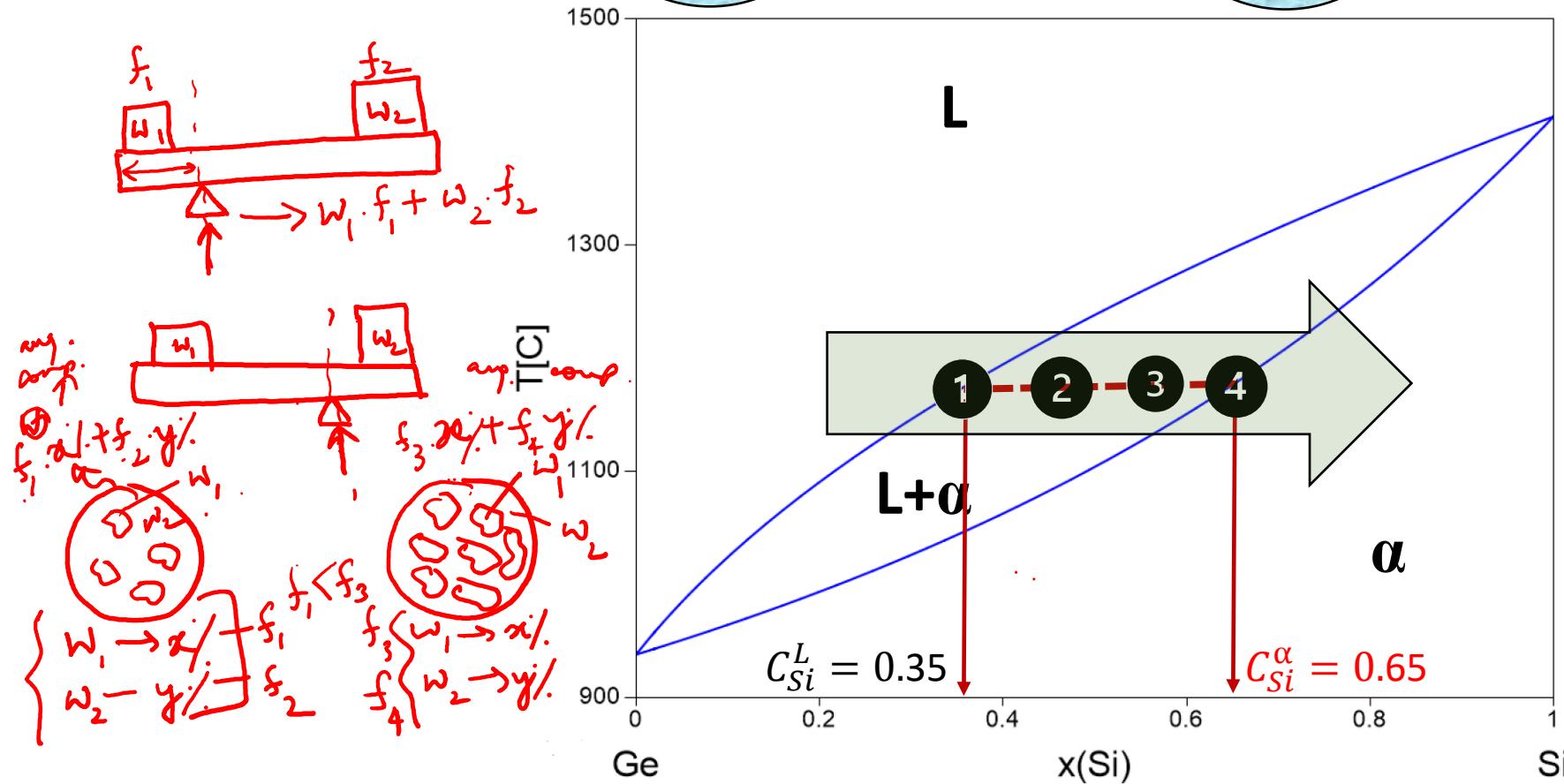
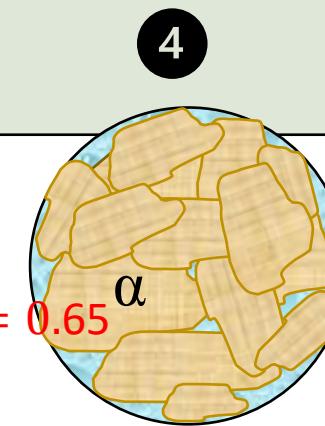
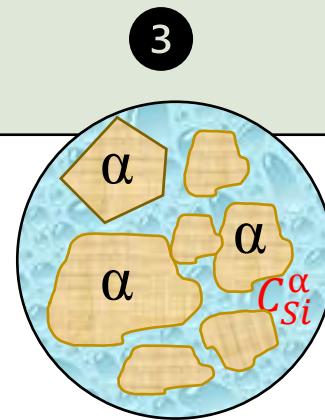
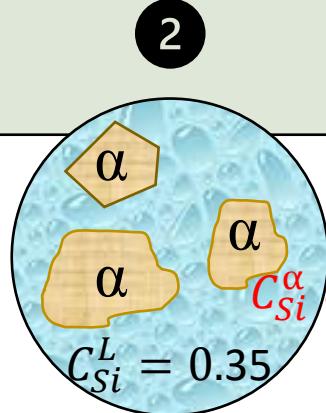
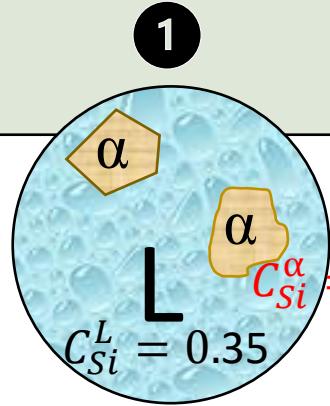
α-Phase (bcc lattice)    β-Phase (fcc lattice)



Microstructure obtained through a Scanning Electron Microscopy (SEM)

# Solidification of an alloy

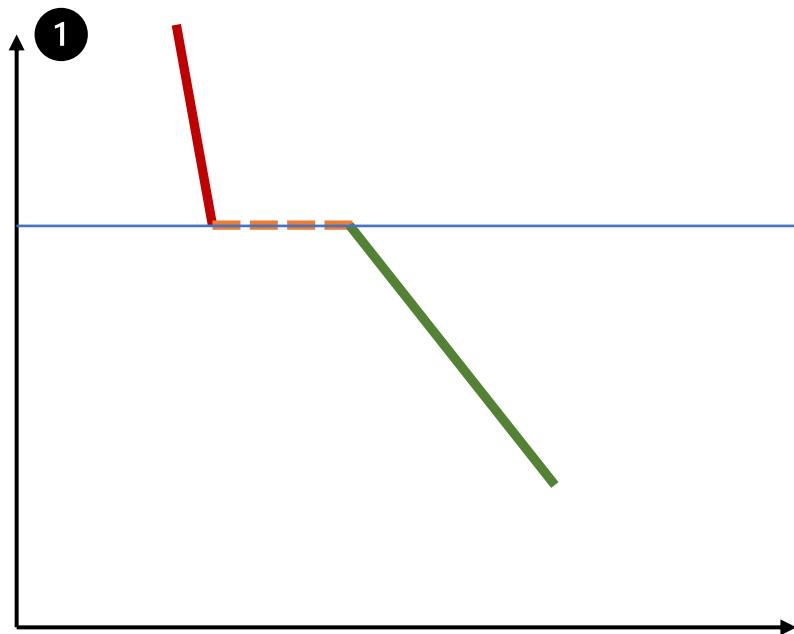




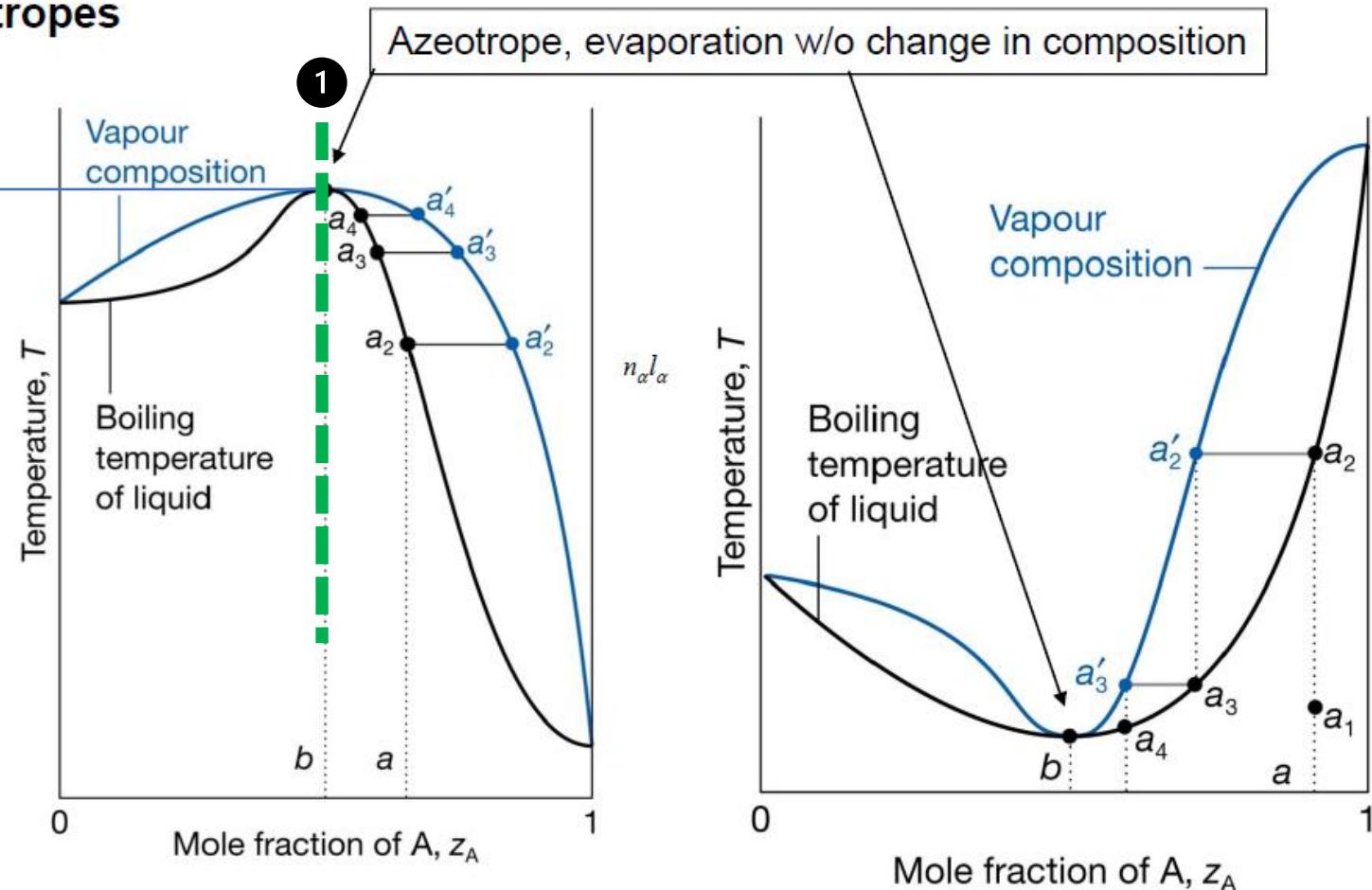
- Alloys along this horizontal line, will consist of two phases, liquid and alpha, whose respective compositions will remain same.
- The difference will be in the fraction of these two phases for different alloys

# Azeotropes

How will a cooling curve look like for an alloy '1'?

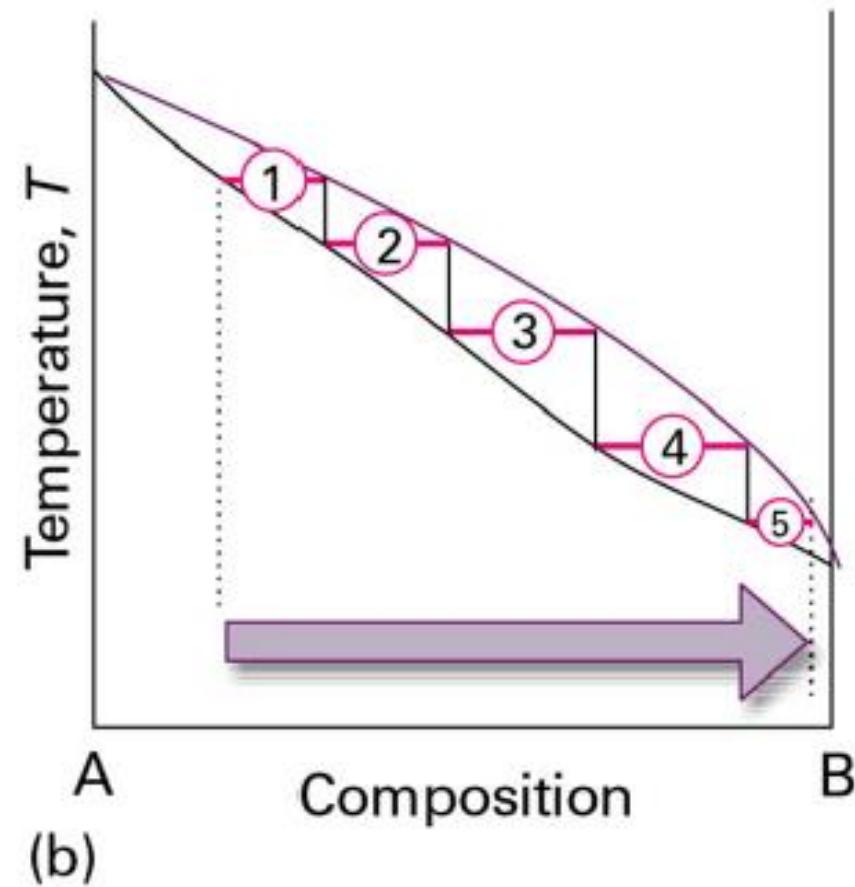
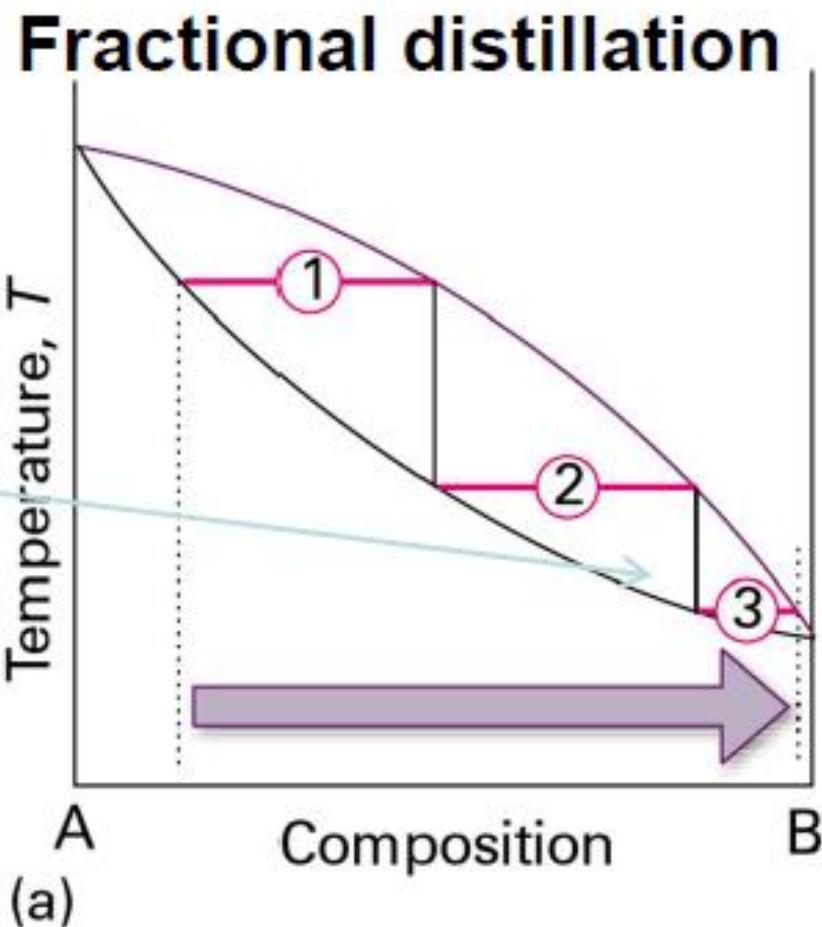


## Azeotropes

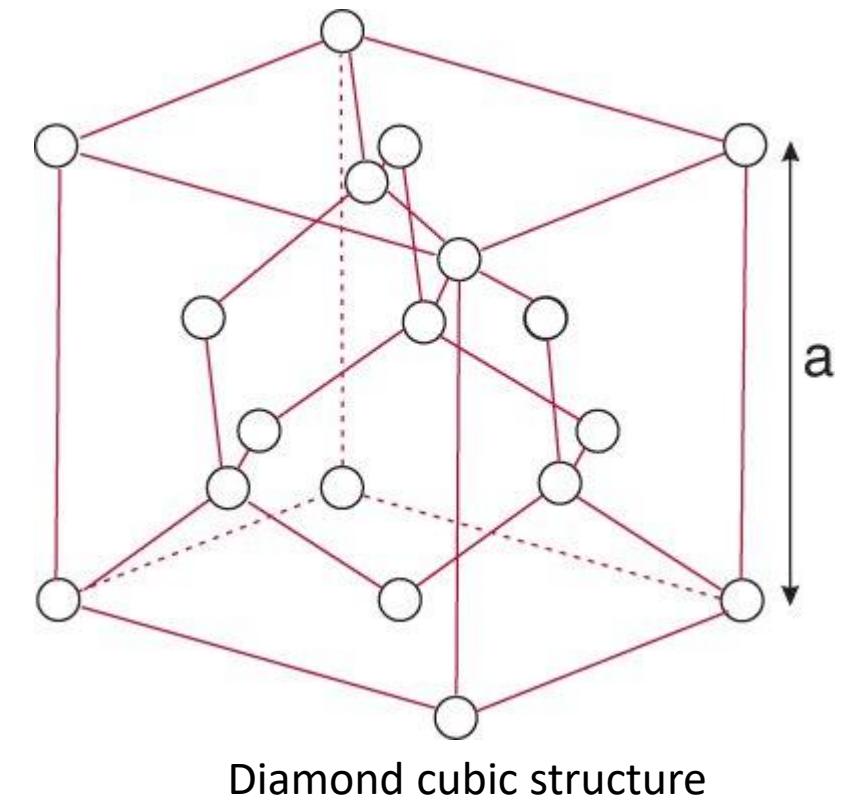
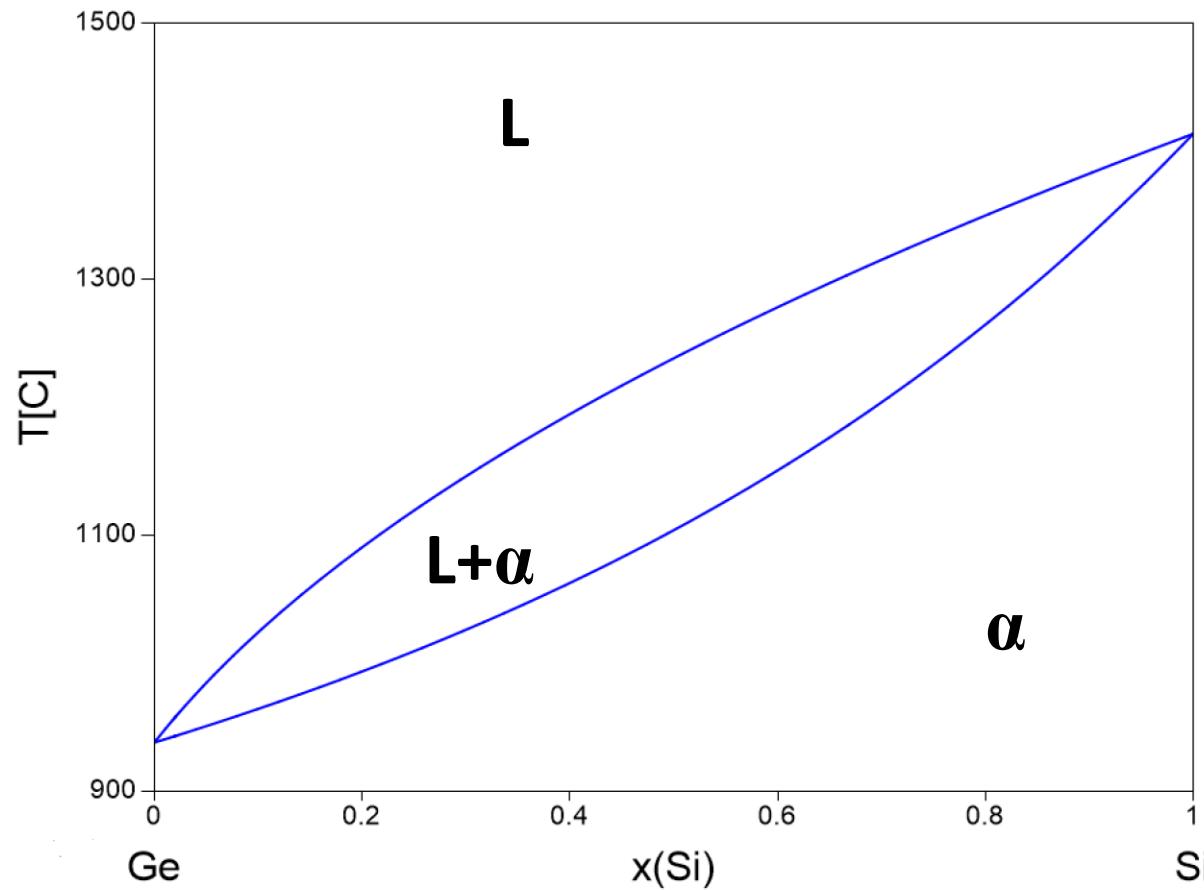


- Ethanol-water
- Nitric acid-water
- Benzene-water

number of  
theoretical  
plates



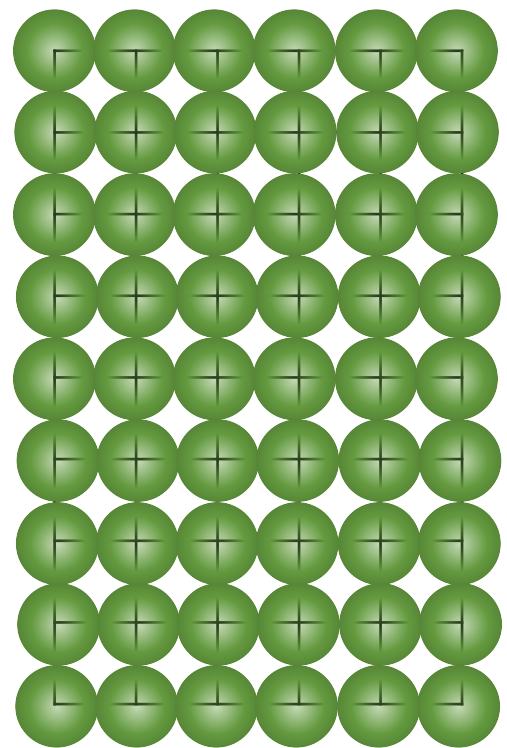
# Solid solution



# Solid solution

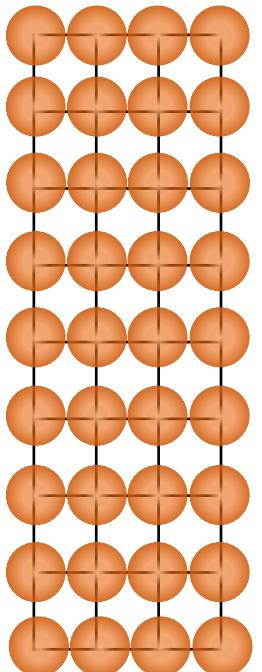
- Consider a system with a total of one mole of atoms  
( $X_R$  and  $X_G$  : mole fractions of element R and G respectively)

$$X_R + X_G = 1$$



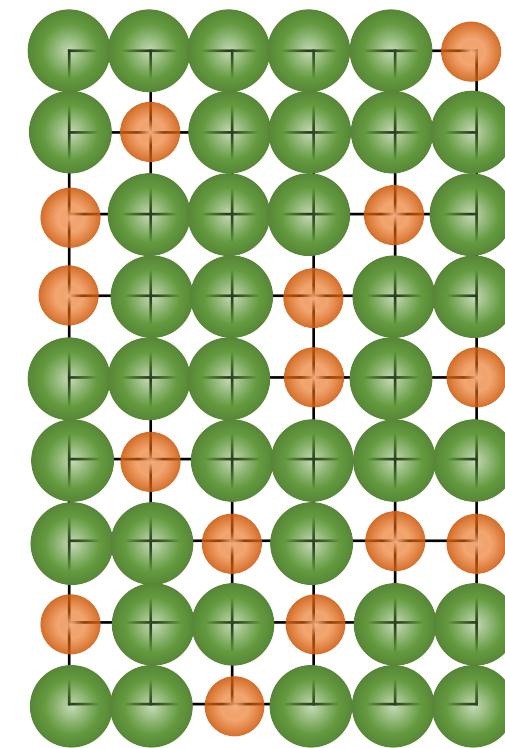
$(X_G$  moles of 'G')

(Before mixing)



$(X_R$  moles of R)

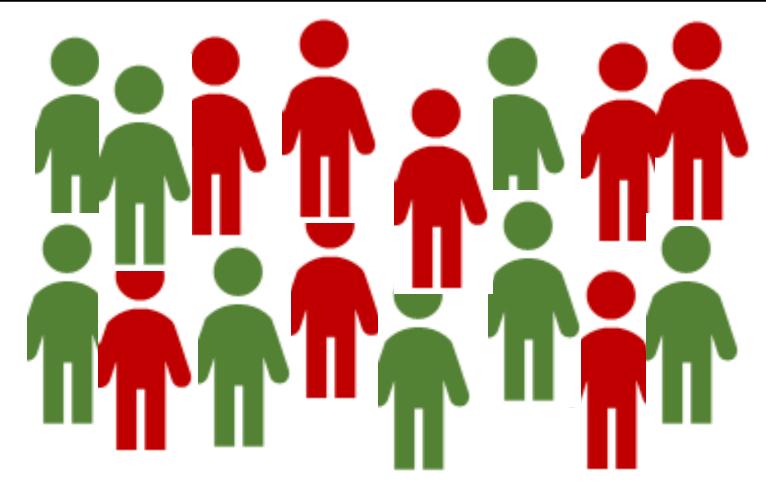
Mix →



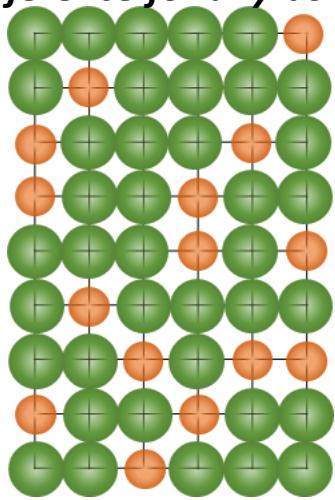
(1 mole of solid solution)

(After mixing)

**Solid solution (Random configuration):** 'R' and 'G' care the least about their environments.

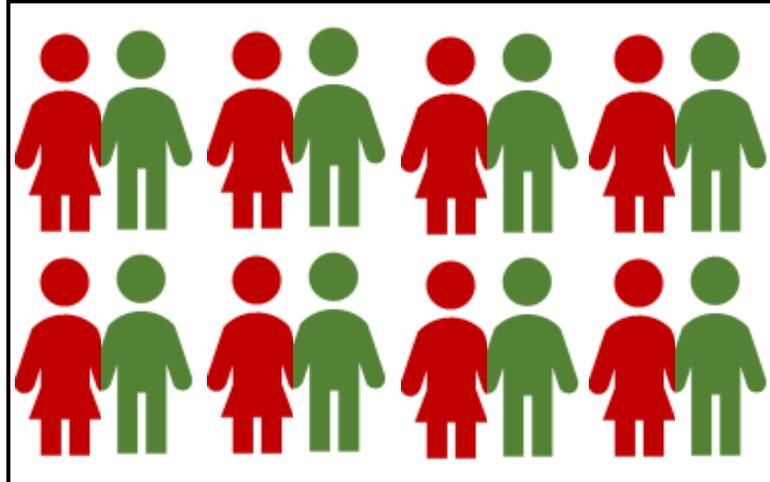


**Solid solution (No preference for any bond,**

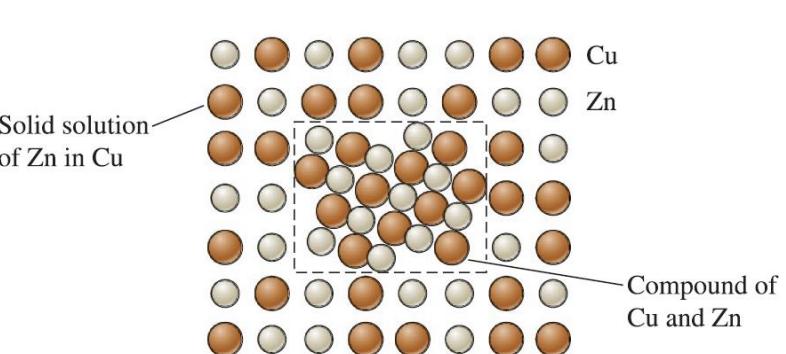


- Variation in composition
- Crystal structure same as that of one of the solid components.

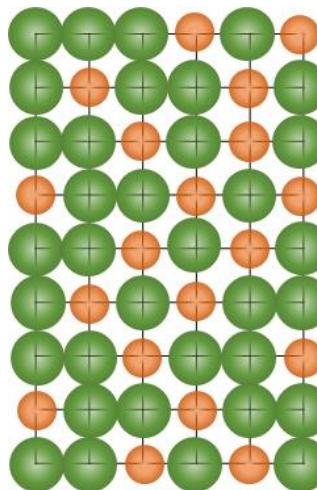
**Compound formation (Ordered configuration):** 'R' and 'G' feel strongly comfortable in other's space.



**Intermetallic compound  
(Unlike bonds are preferred)**

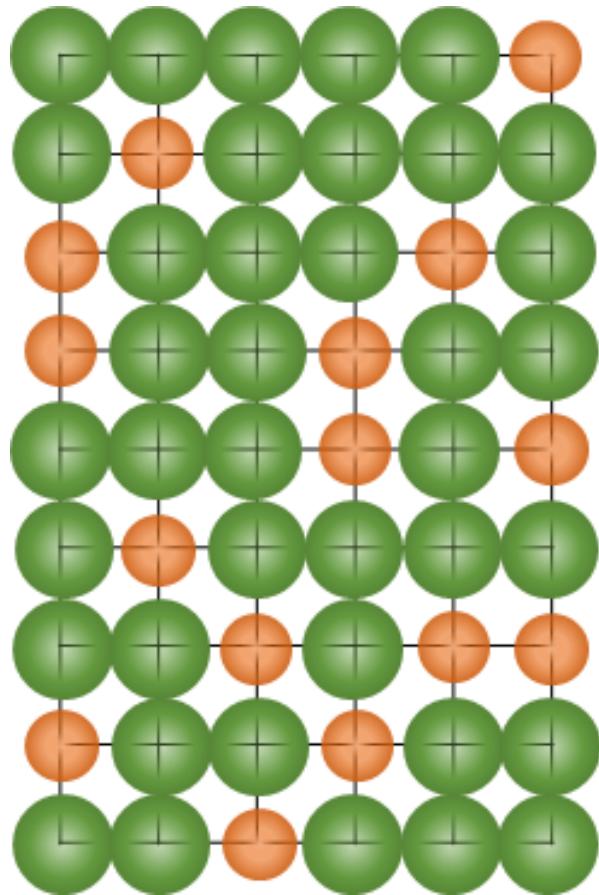


- Fixed composition
- Crystal structure different than those of the solid components.

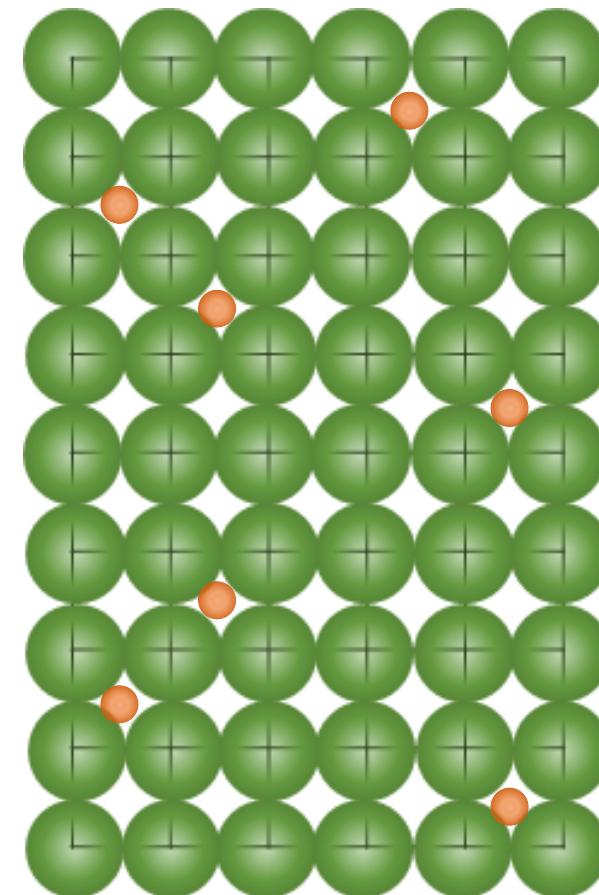


# Types of Solid solution

**Substitutional Solid solution**



**Interstitial Solid solution**



# Hume Rothery rule

## (i) Size factor

When the atomic radii of solute and solvent differ by less than 15%, solid solution is favourable, which otherwise will result in lattice strain.

## (ii) Crystal structure

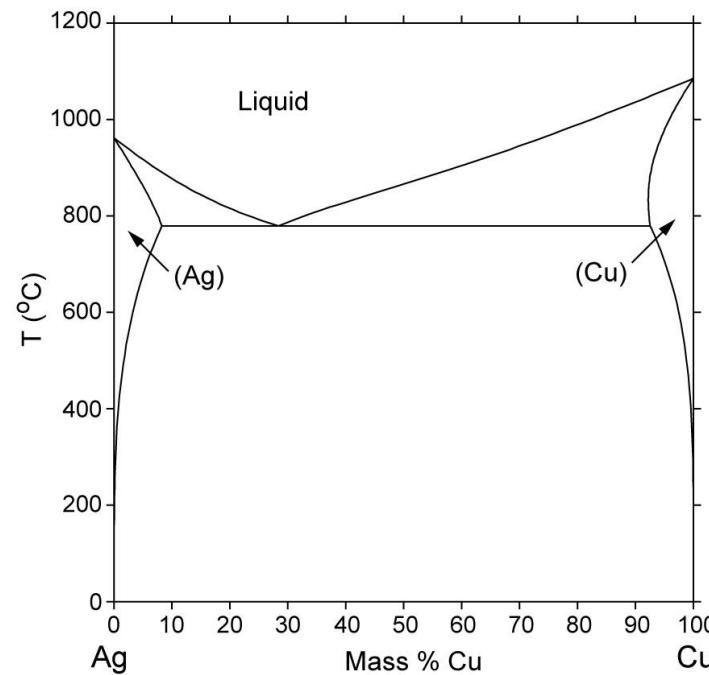
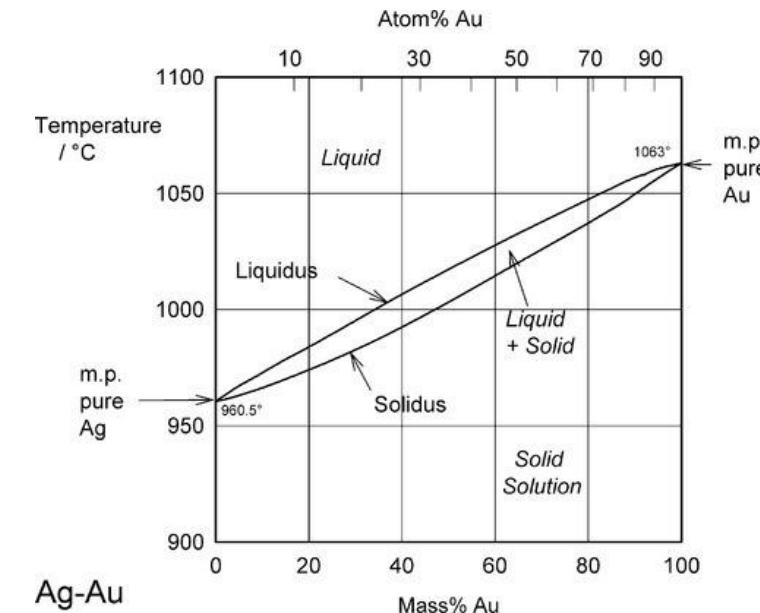
Crystal structures of both the components should be the same.

## (iii) Valency

If valency is the same for both the solvent and solute, then a complete miscibility is possible. Solubility is higher when the solvent has a higher valence.

## (iv) Electronegativity

Similar electronegativity favours a higher solubility. The higher the difference, the greater the possibility for the formation of new compound phase.



Elements	Atomic size	Electronegativity	Crystal structure	Valency
Cu	140 pm	1.9	FCC	+2
Ni	149 pm	1.91	FCC	+2
	$\Delta AS = 6\%$			

Cu	140 pm	1.9	FCC	+2
Ag	165 pm	1.93	FCC	+1
	$\Delta AS = 18\%$			

# MLL 100

# Introduction to Materials Science and Engineering

***Lecture-12 (February 01, 2022)***

Dr. Sangeeta Santra ([ssantra@mse.iitd.ac.in](mailto:ssantra@mse.iitd.ac.in))



IIT Delhi

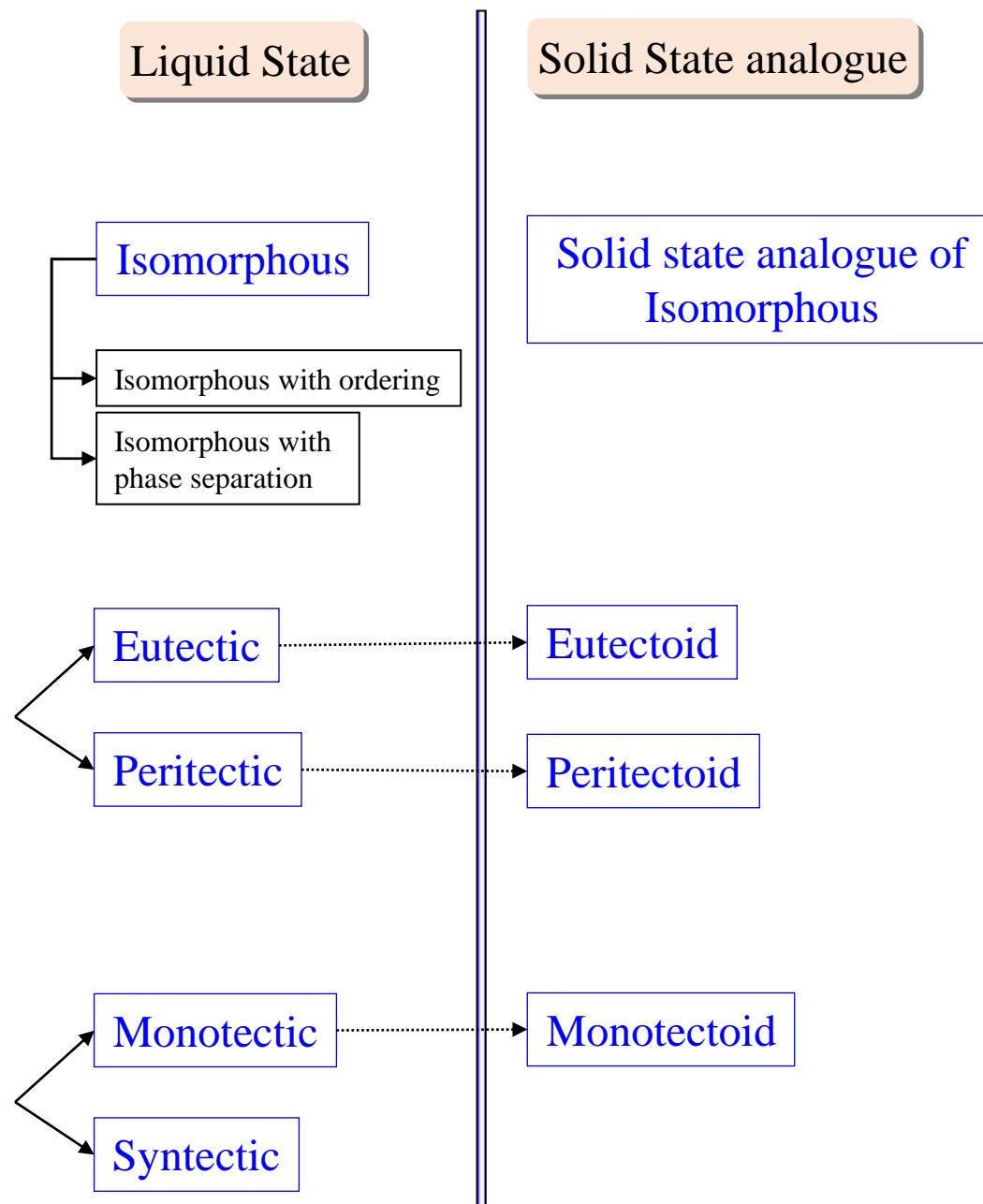
Department of Materials Science and Engineering

# What have we learnt in Lecture-11?

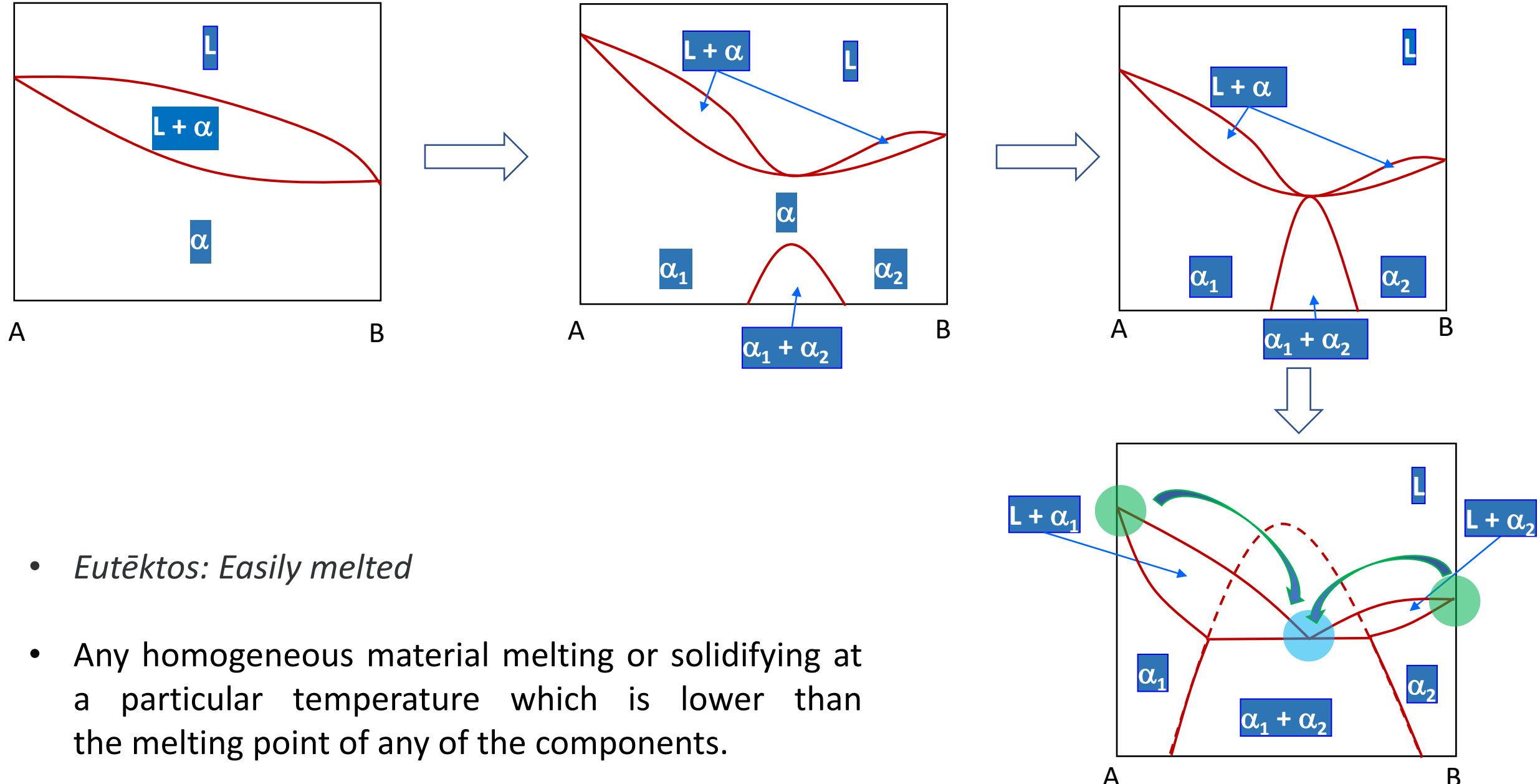
- Cooling curve
- Microstructure
- Solidification of an alloy in an isomorphous system
- Solid solution
- Hume Rothery rule

# Possible scenarios in a binary phase diagram

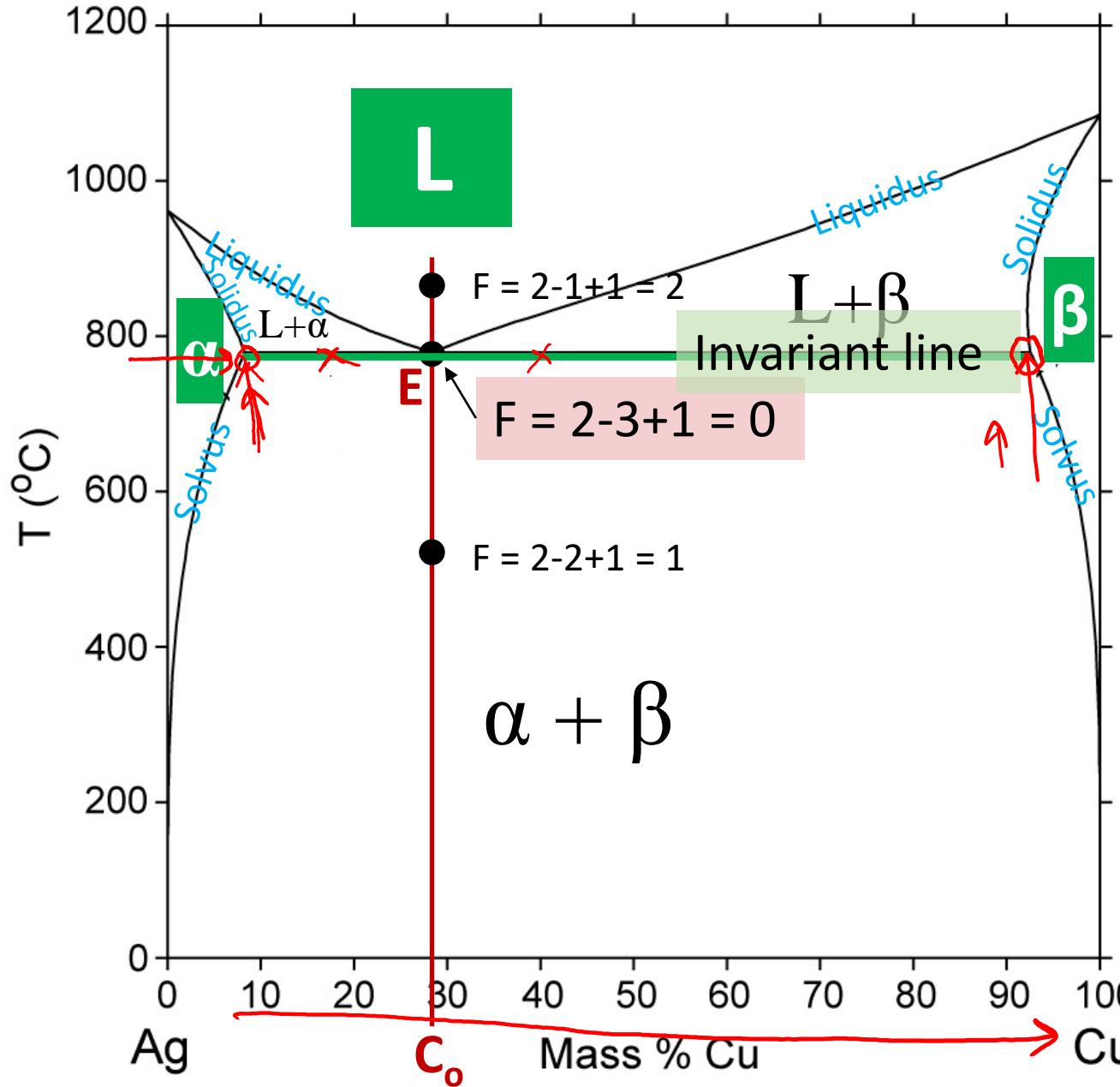
- Complete solubility in both liquid and solid states
- Complete Solubility in liquid state, but limited solubility in the solid state
- Limited Solubility in both liquid and solid states



# Isomorphous → Eutectic phase diagram



# Eutectic phase diagram

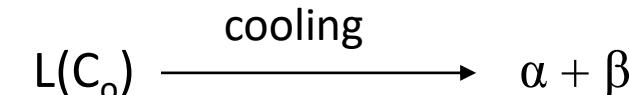


## Invariant point

*Invariant: Remains unchanged*

- *Eutectic point ('E')* is an invariant point which occurs at a fixed composition and temperature for a given binary phase diagram.

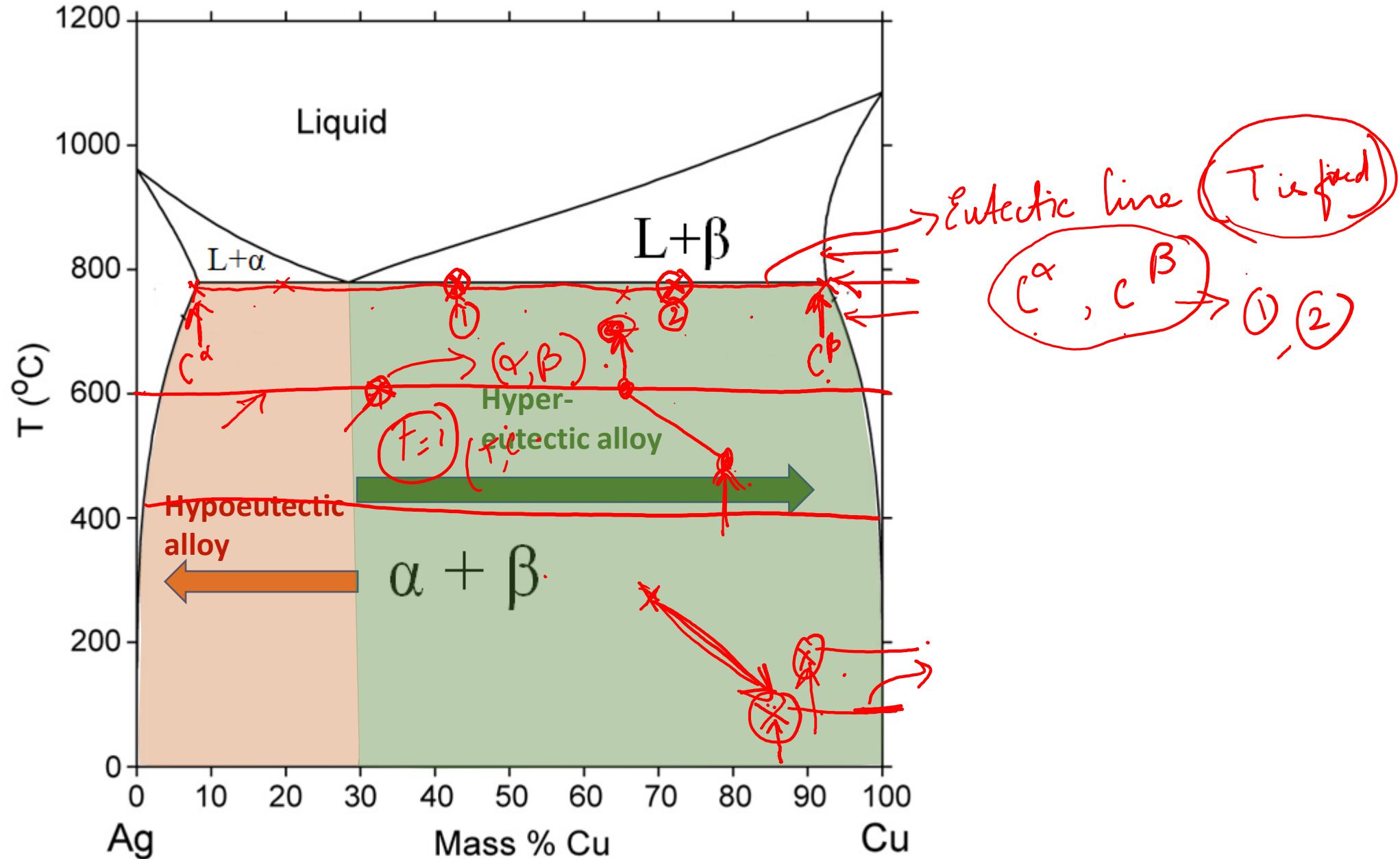
- At 'E', eutectic reaction takes place:



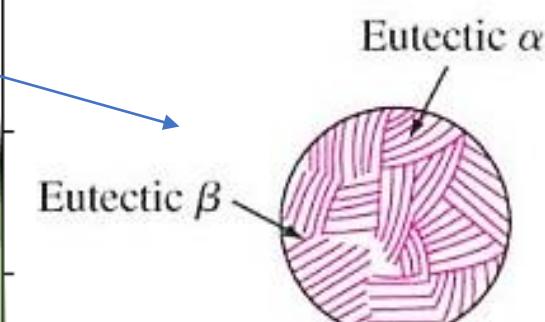
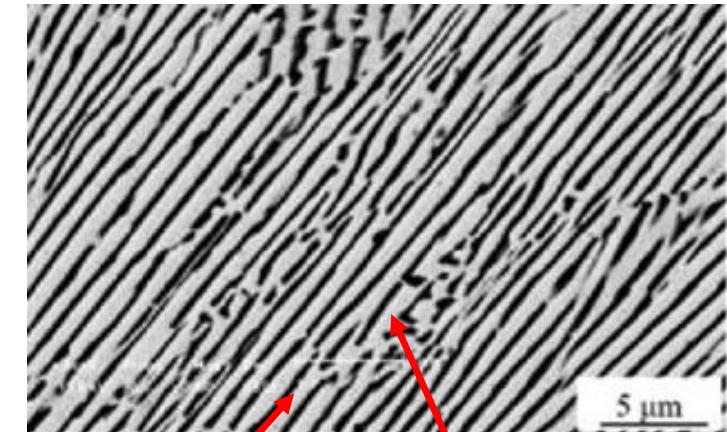
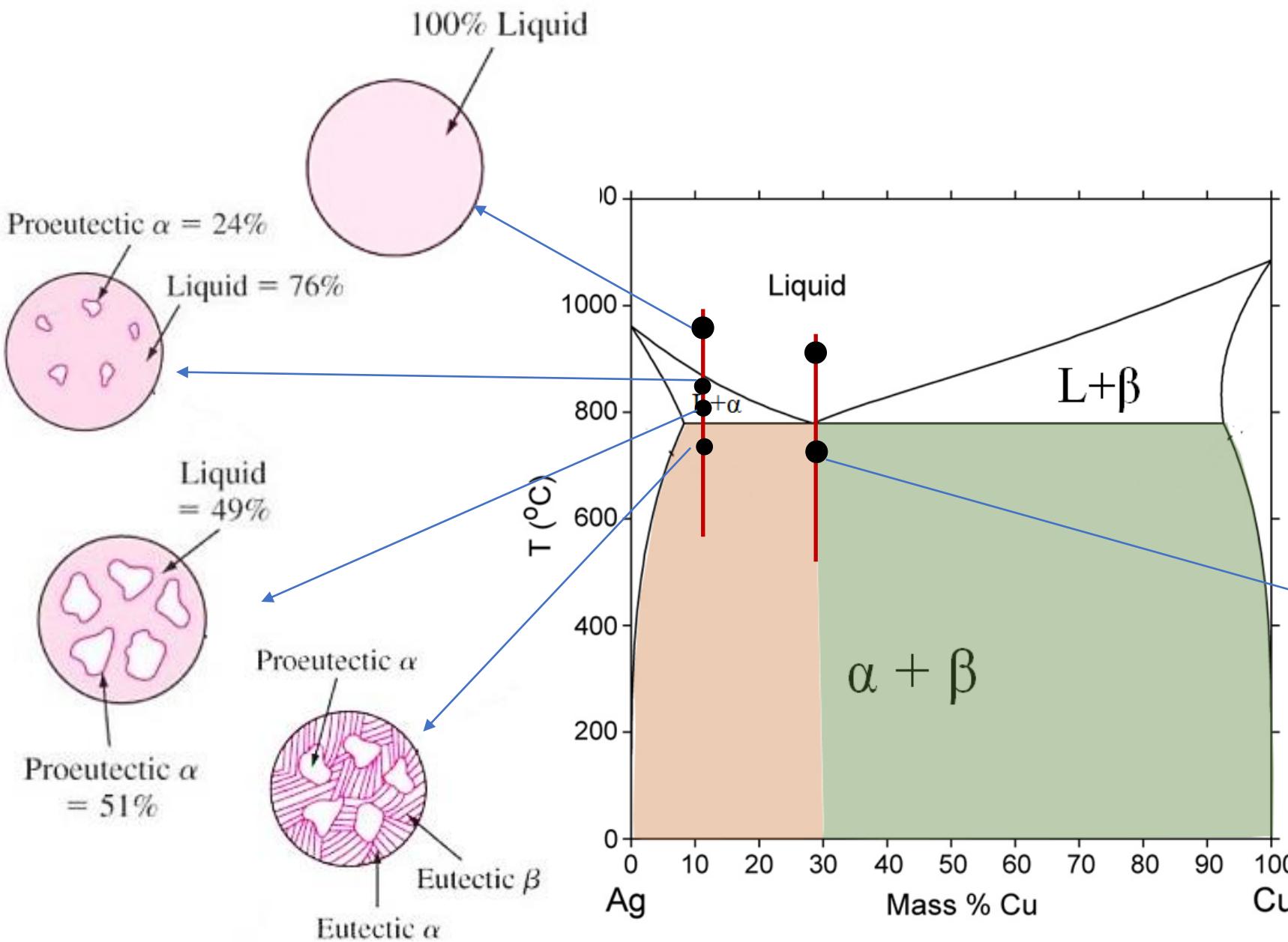
- Green line is the invariant line.

- **Solvus phase boundary**: Boundary line that divides a solid solution and a two-phase solid solution region.

# Hypo- and Hyper-eutectic alloy



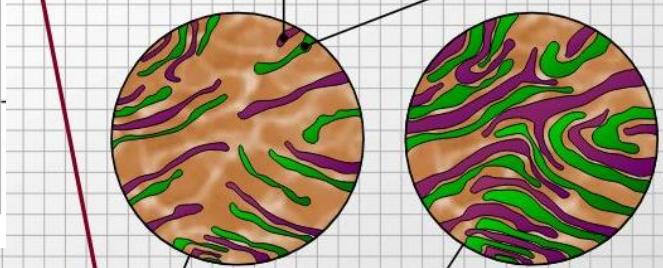
# Microstructural evolution of hypoeutectic alloy and eutectic alloy



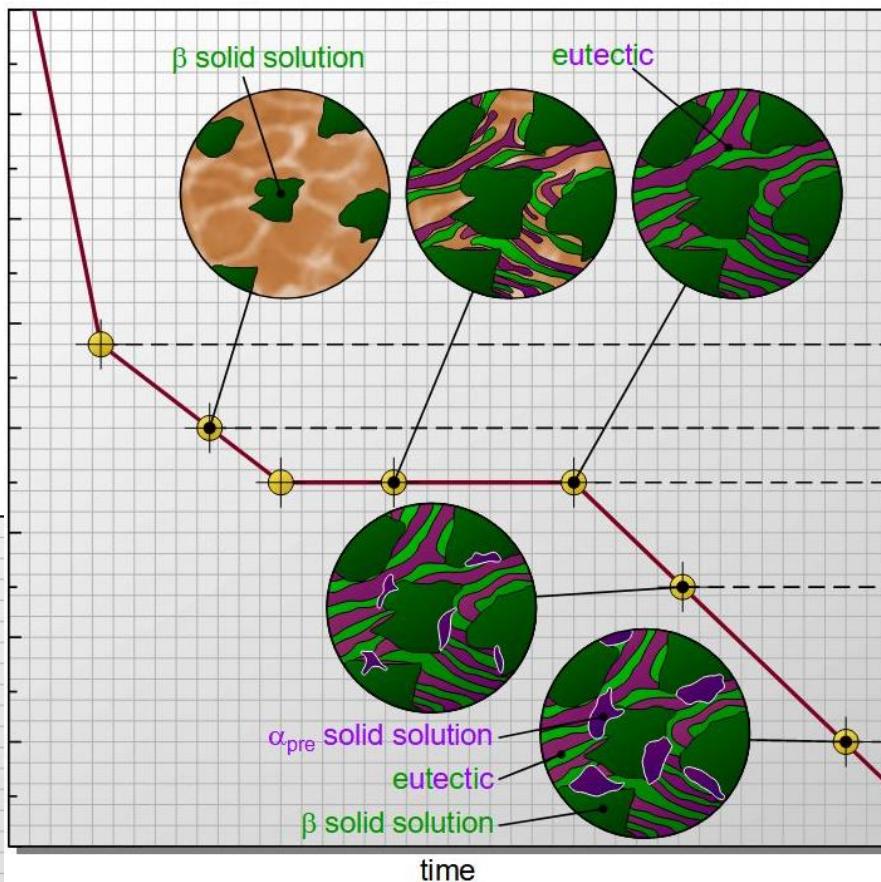
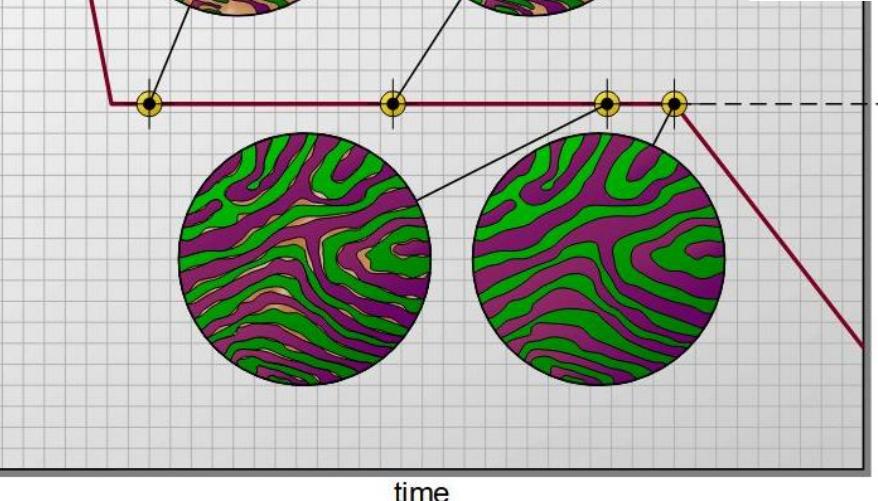
# Cooling curve

## Solidification of an eutectic alloy

eutectic =  $\alpha$  solid solution +  $\beta$  solid solution

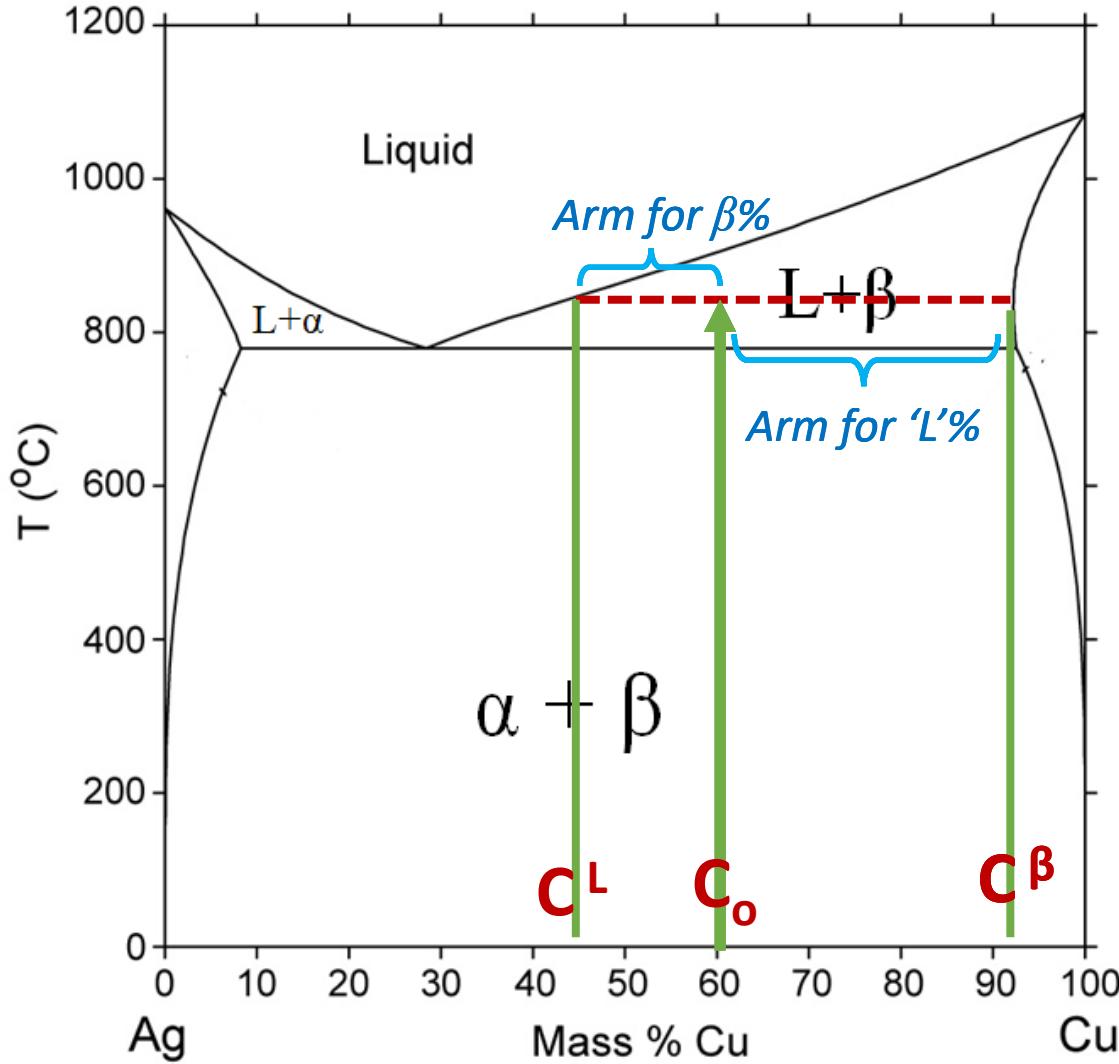


**Solidification of a hypoeutectic alloy**



**Solidification of a hypereutectic alloy**

What are the fractions of 'L' and 'β' phases present at T = 830 °C for an alloy with composition of C<sub>o</sub>?



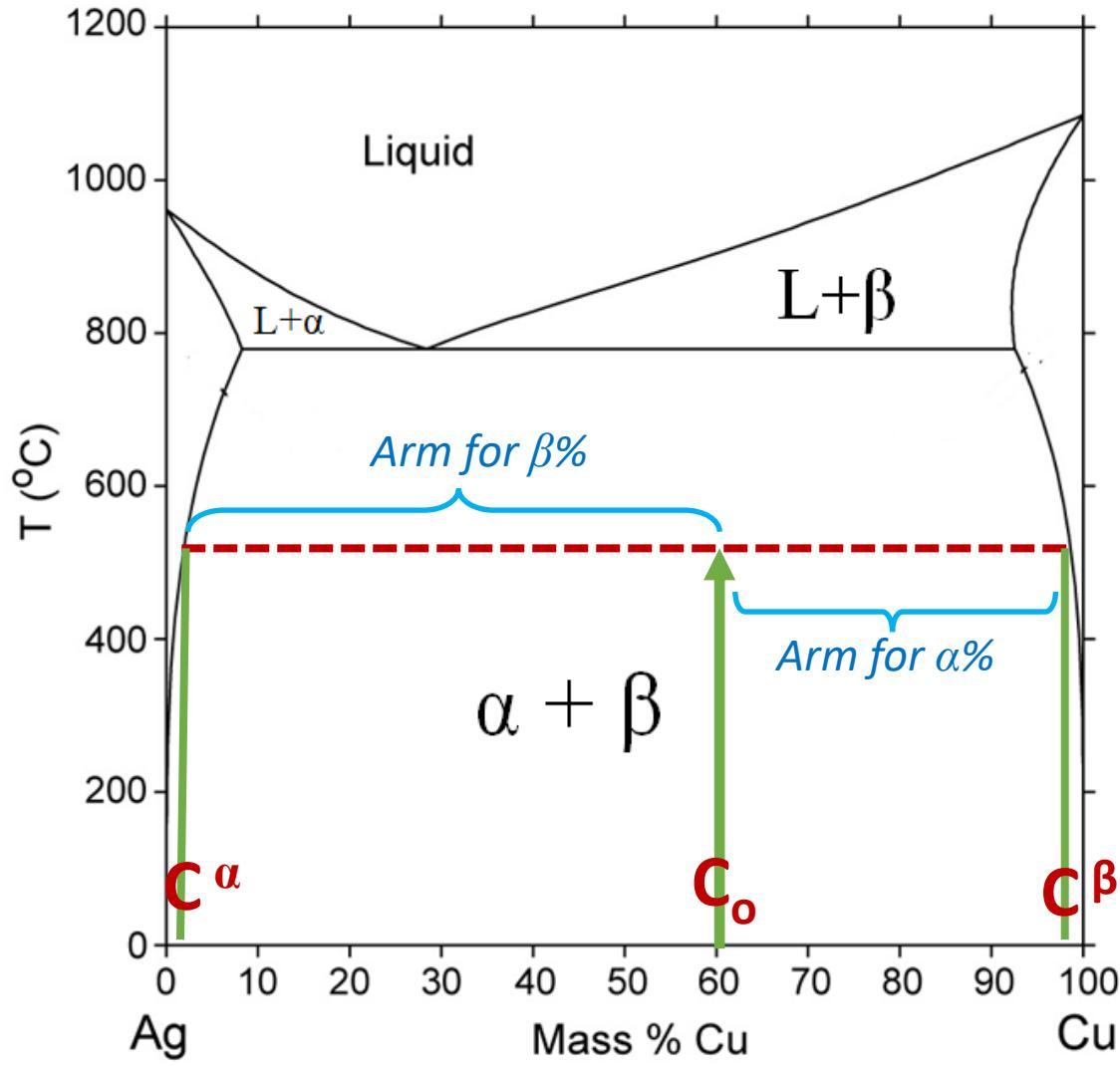
$$f_{\beta} = \frac{(C_o - C^L)}{(C^{\beta} - C^L)}$$

$$f_{\beta} = \frac{(60 - 45)}{(92 - 45)}$$

$$f_{\beta} = 32\%$$

$$f_L = 68\%$$

What are the fractions of 'α' and 'β' phases present at T = 530 °C for an alloy with composition of C<sub>o</sub>?



$$f_{\beta} = \frac{(C_o - C^{\alpha})}{(C^{\beta} - C^{\alpha})}$$

$$f_{\beta} = \frac{(60 - 2)}{(98 - 2)}$$

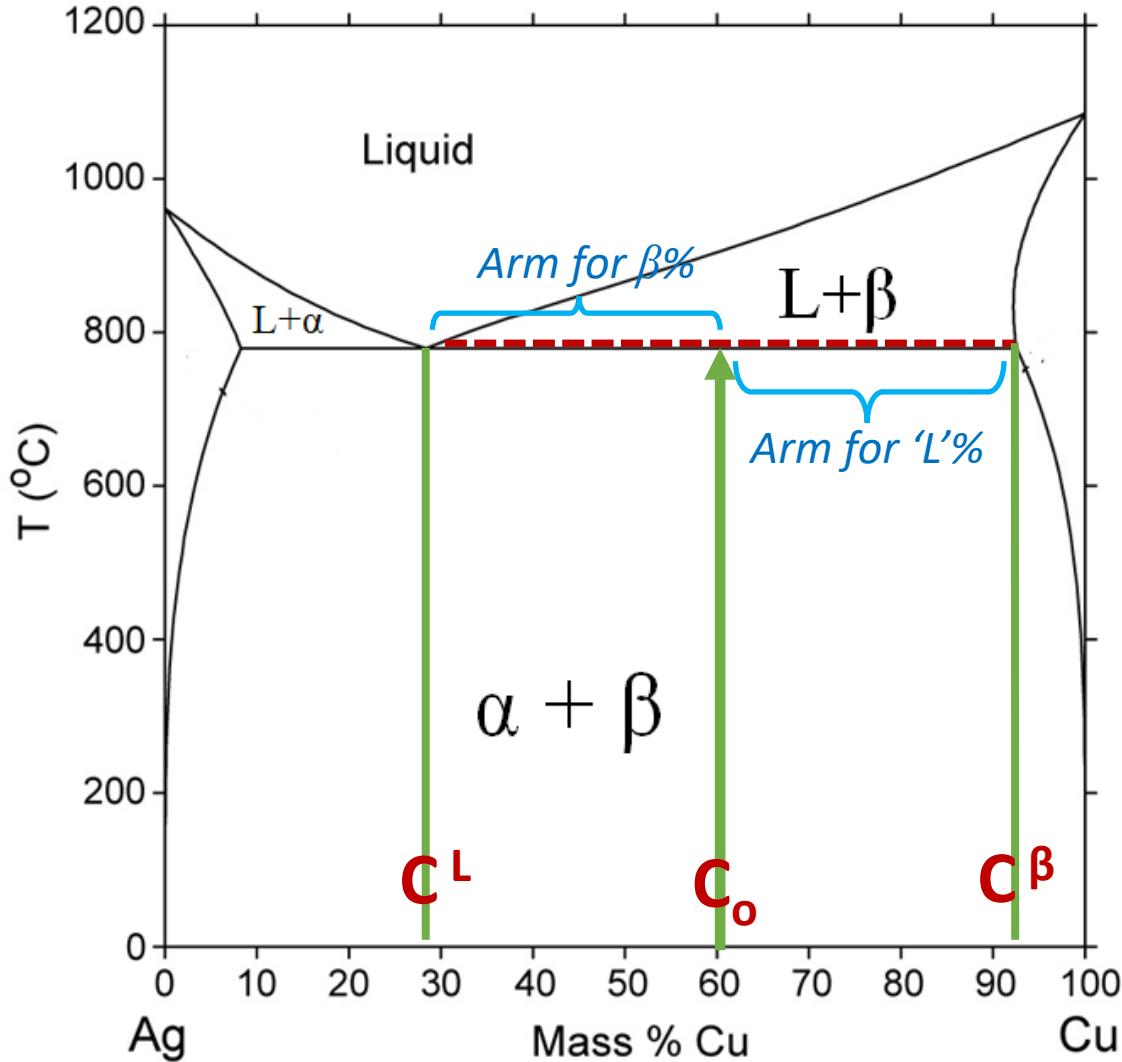
$$f_{\beta} = 60.4\%$$

$$f_{\alpha} = \frac{(C^{\beta} - C_o)}{(C^{\beta} - C^{\alpha})}$$

$$f_{\alpha} = \frac{(98 - 60)}{(98 - 2)}$$

$$f_{\alpha} = 39.6\%$$

# What are the fractions of 'L' and 'pro-eutectic $\beta$ ' phases present at the eutectic temperature for an alloy with composition of $C_o$ ?



$$f_\beta = \frac{(C_o - C^L)}{(C^\beta - C^L)}$$

$$f_\beta = \frac{(60 - 28)}{(92 - 28)}$$

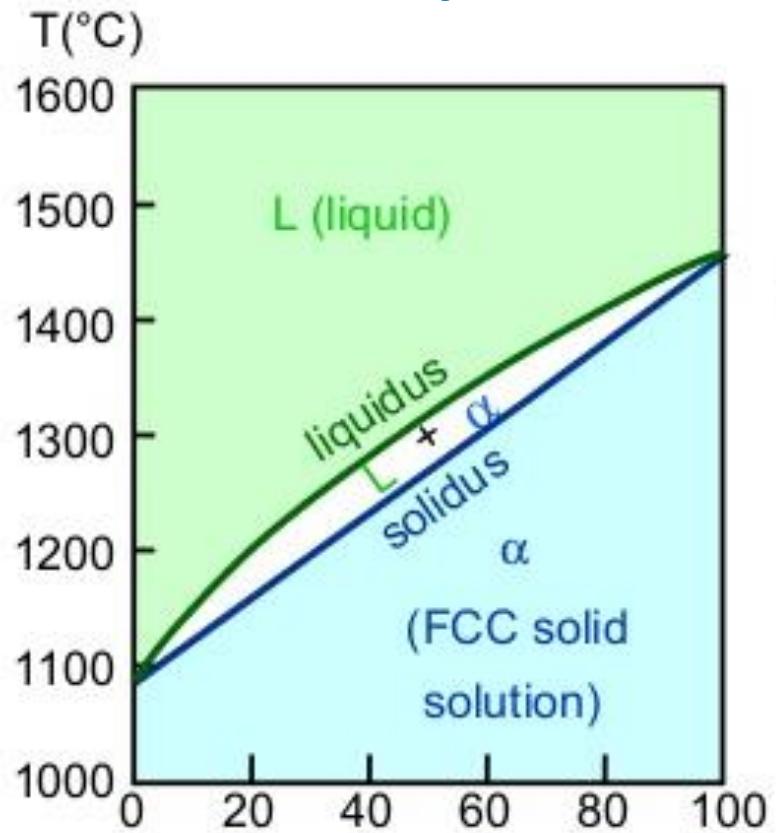
$$f_\beta = 50\%$$

$$f_L = \frac{(C^\beta - C_o)}{(C^\beta - C^L)}$$

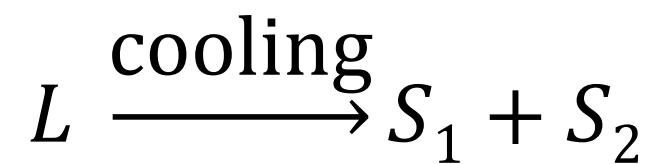
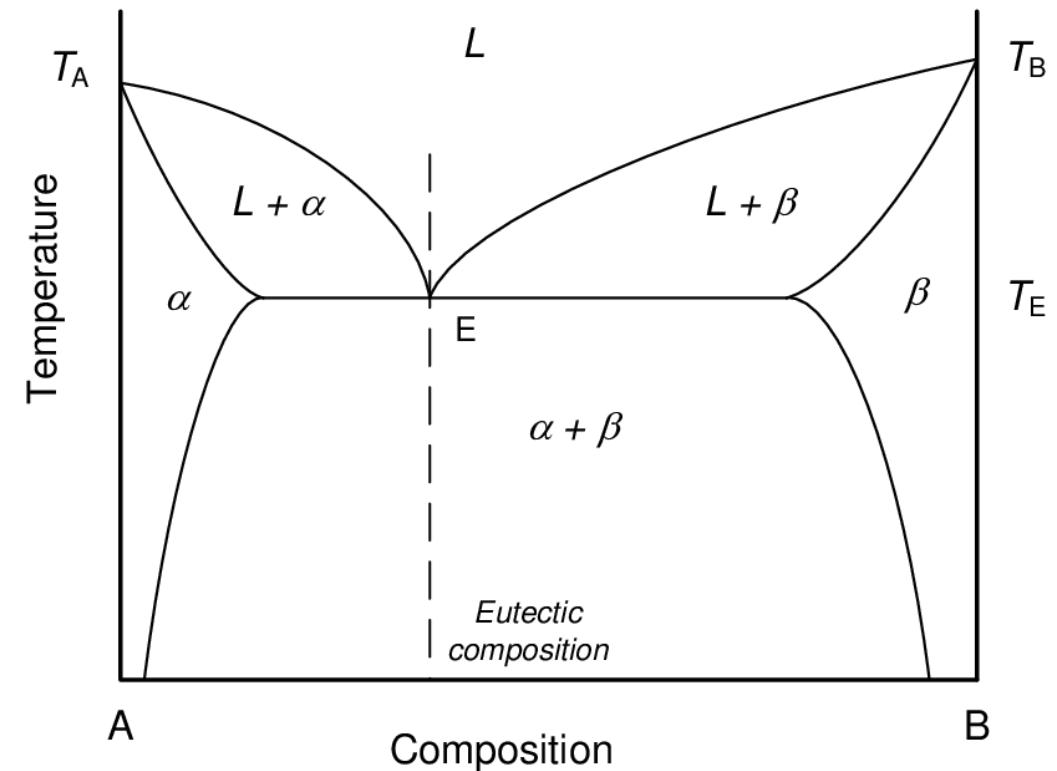
$$f_L = \frac{(92 - 60)}{(92 - 28)}$$

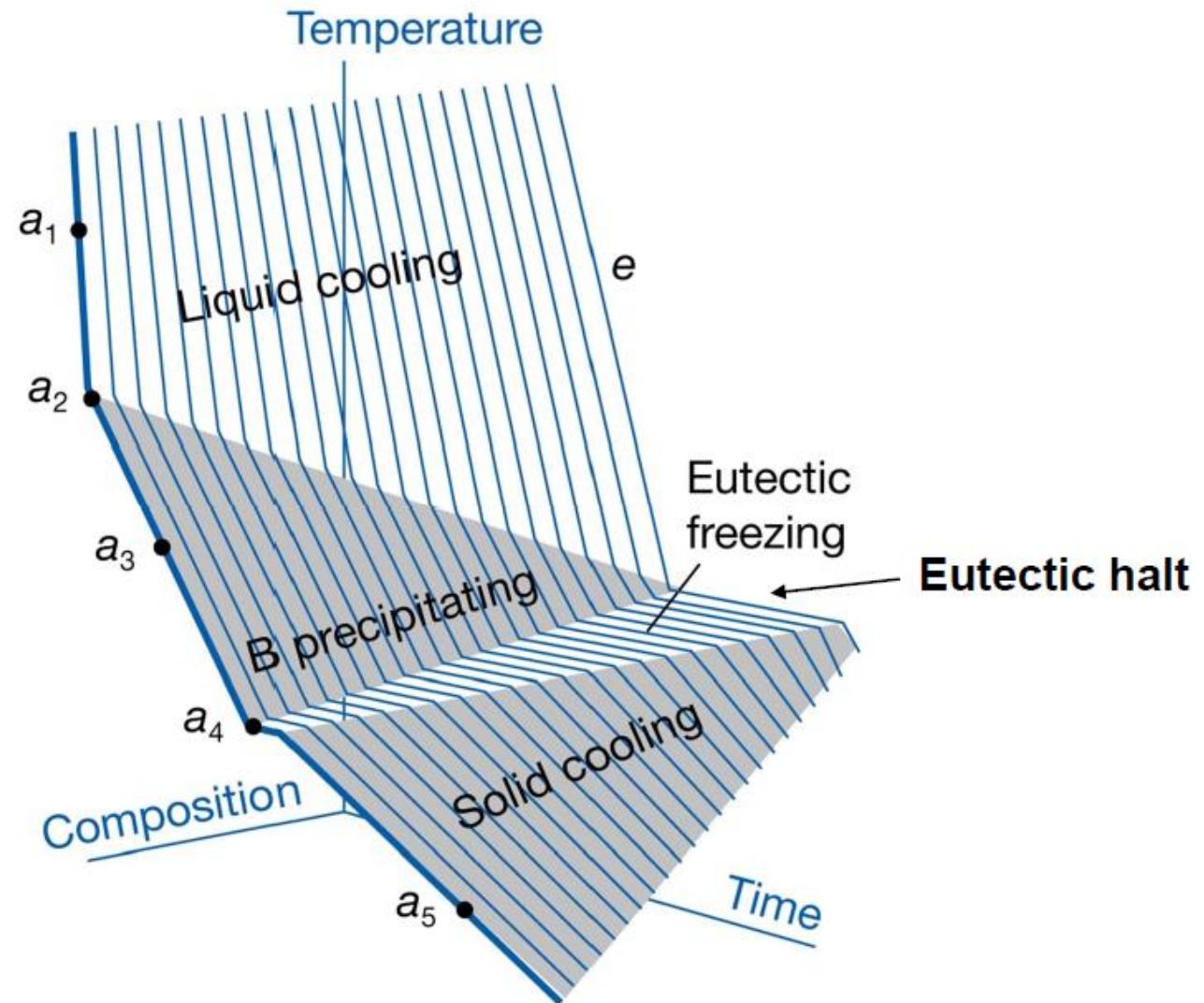
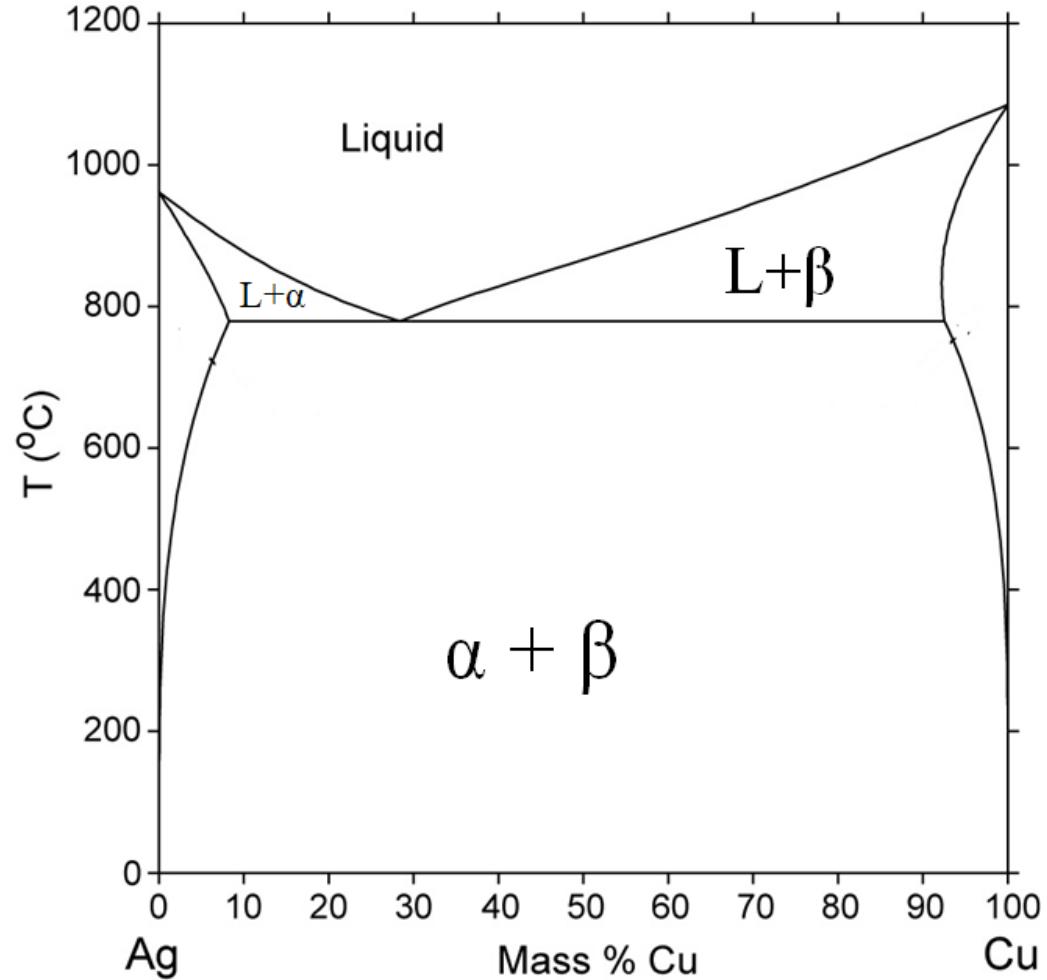
$$f_L = 50\%$$

## Isomorphous



## Eutectic





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# MLL 100

# Introduction to

# Materials Science and Engineering

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***Lecture-13 (February 02, 2022)***

Dr. Sangeeta Santra ([ssantra@mse.iitd.ac.in](mailto:ssantra@mse.iitd.ac.in))

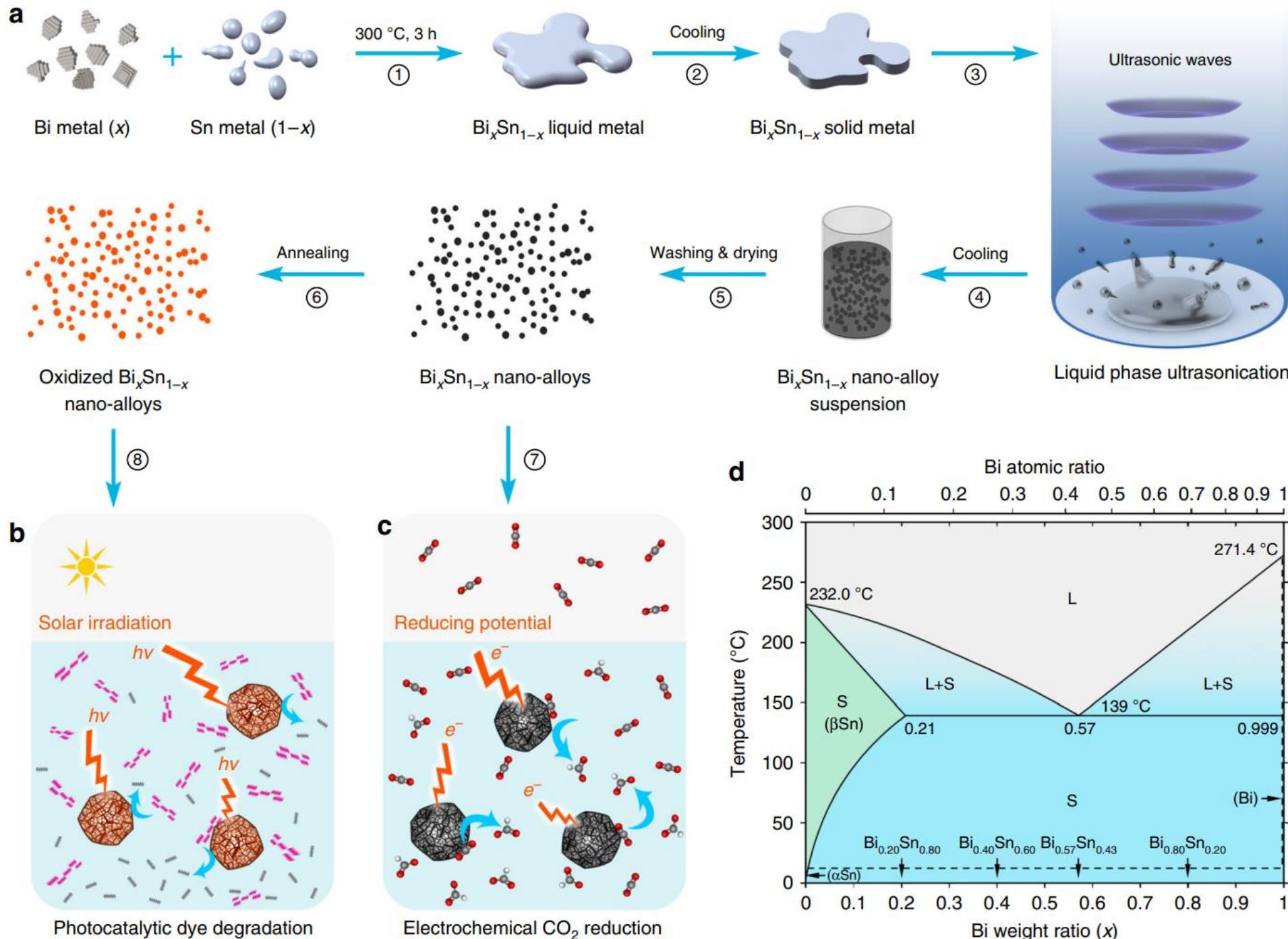


IIT Delhi

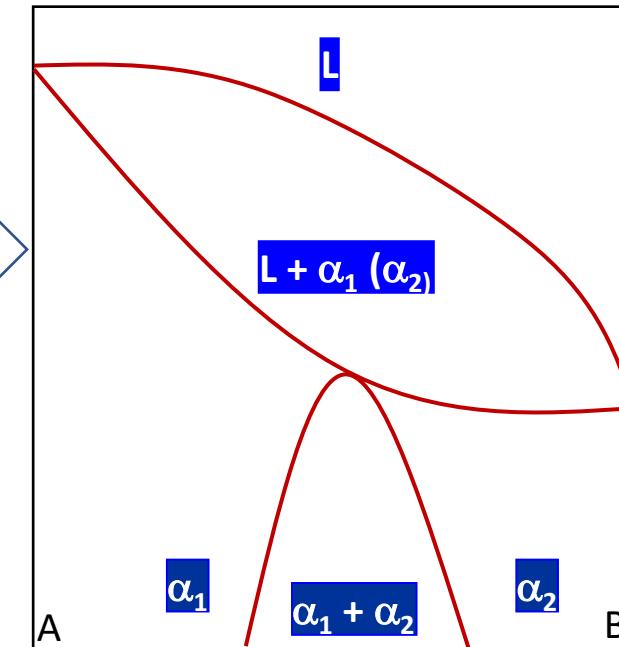
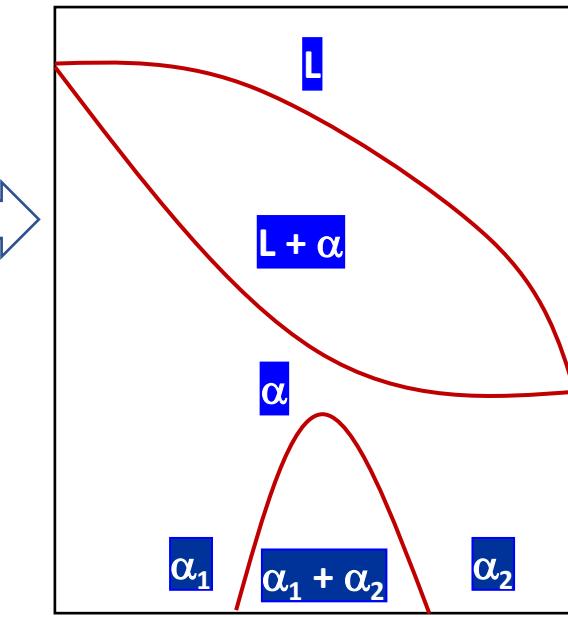
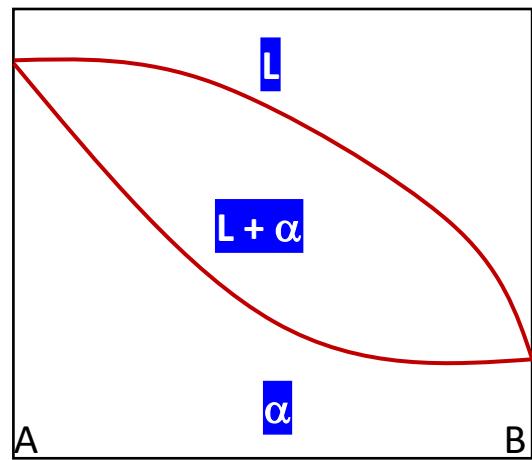
Department of Materials Science and Engineering

# What have we learnt in Lecture-12?

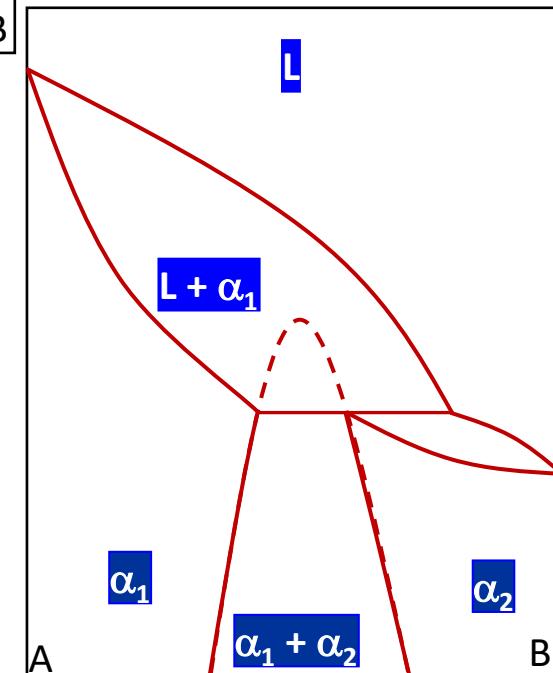
- ❑ Eutectic phase diagram



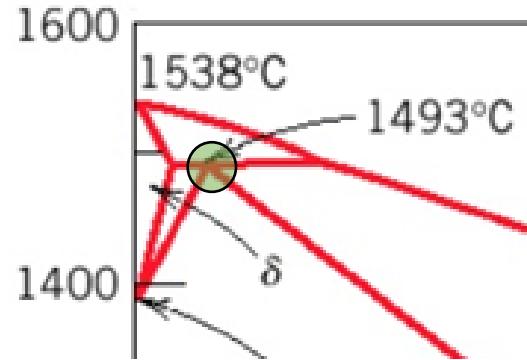
# Isomorphous → Peritectic phase diagram



- When the melting points of the two components differ significantly, the system tends to form a peritectic phase diagram.

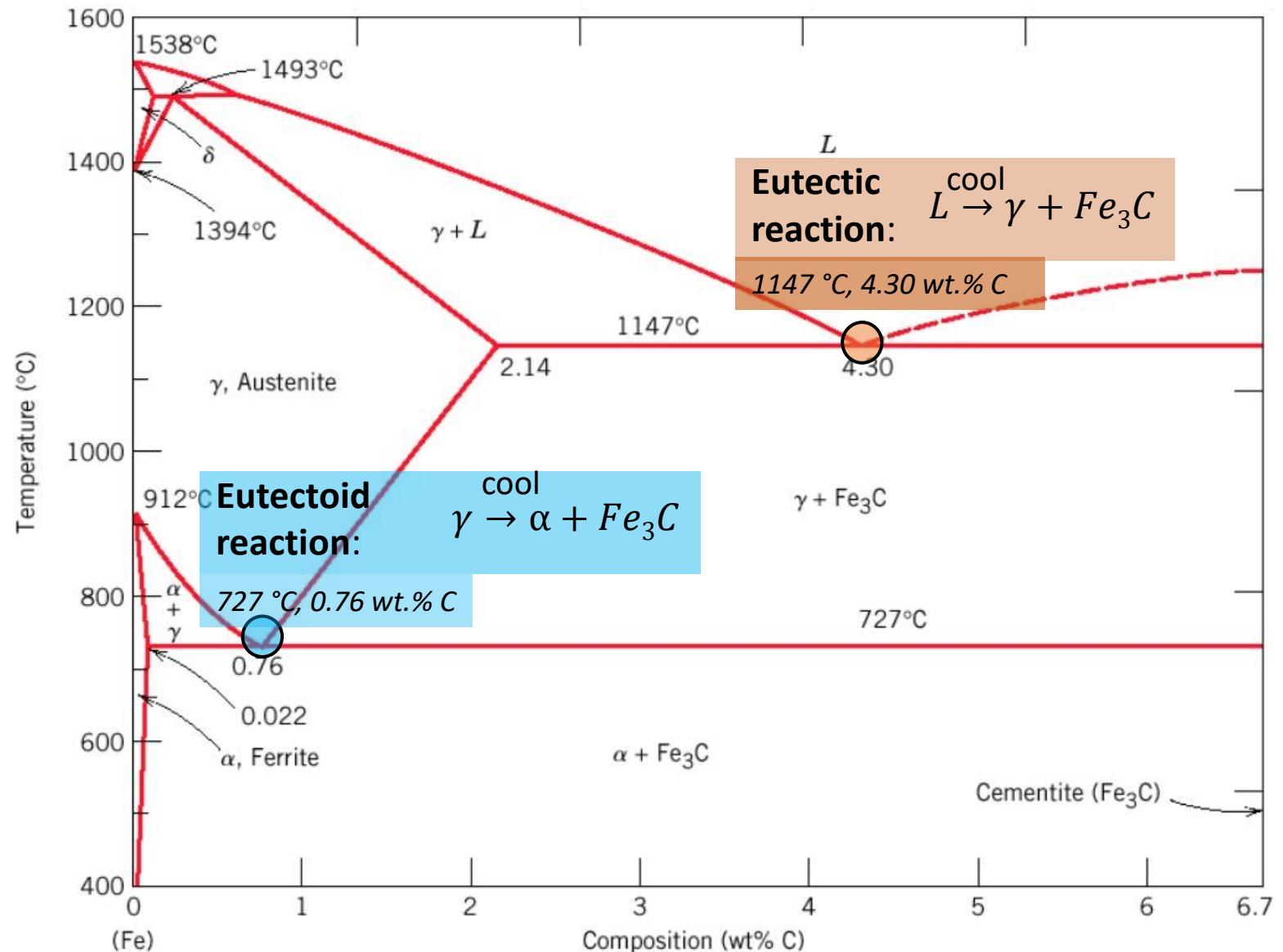


# Iron-carbon phase diagram



Peritectic reaction:  
 $L + \delta \xrightarrow{\text{cool}} \gamma$   
1493 °C, 0.16 wt.% C

The 'Eutectoid reaction' holds technological significance.

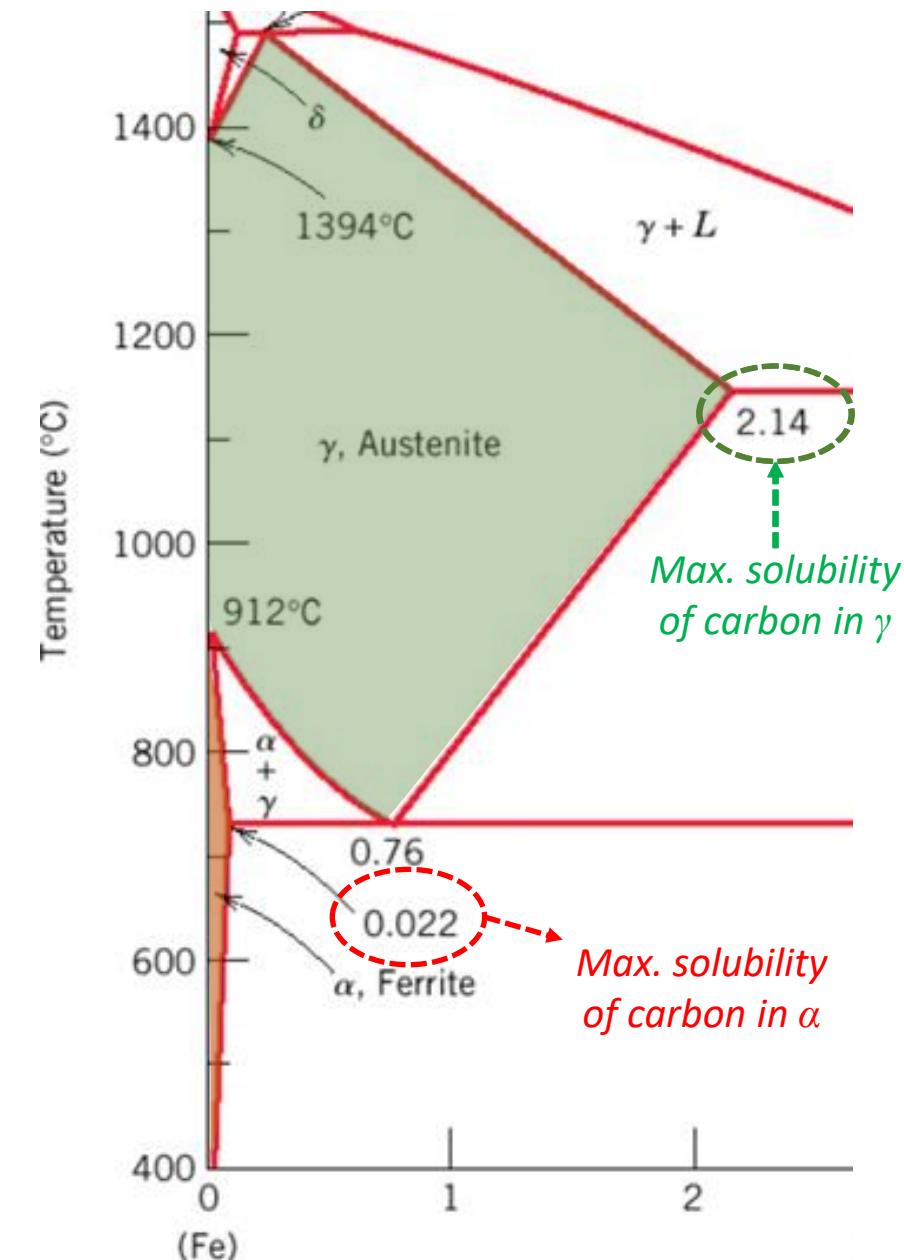


- Why the solubility of 'Carbon' is more in the austenite phase (FCC) than in ferrite (BCC)??
- How does a carbon atom dissolve in an iron matrix?

- Carbon occupies the ***interstitial sites*** in an iron crystal structure.

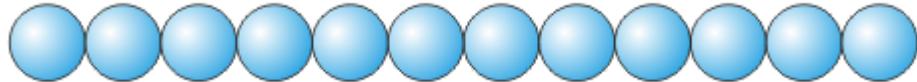
Atomic radius of a carbon atom = 70 pm

- Volume fraction of BCC = 68%
- Volume fraction of FCC = 74%
- Empty space is higher in BCC.

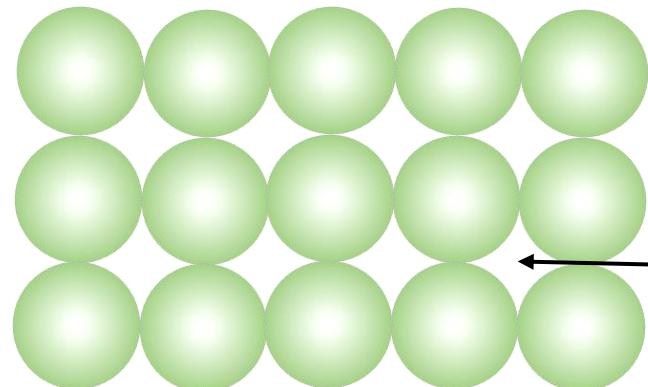


# Packing sequence in cubic lattices

- Close-packing in 1-D

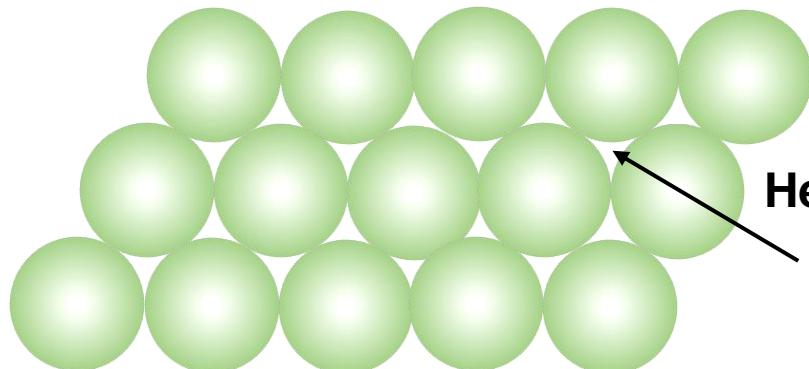


- Close-packing in 2-D



**Square (primitive) packing**

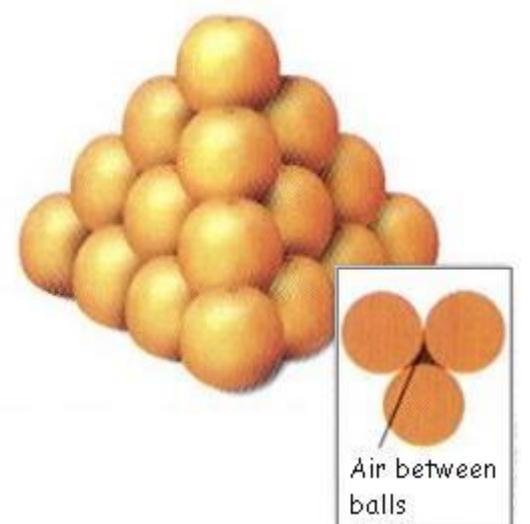
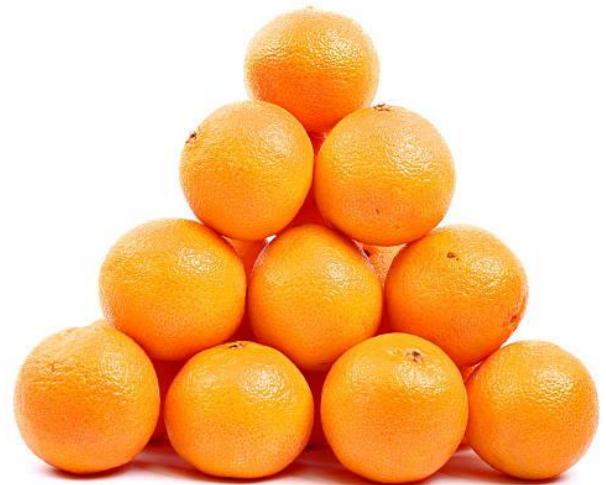
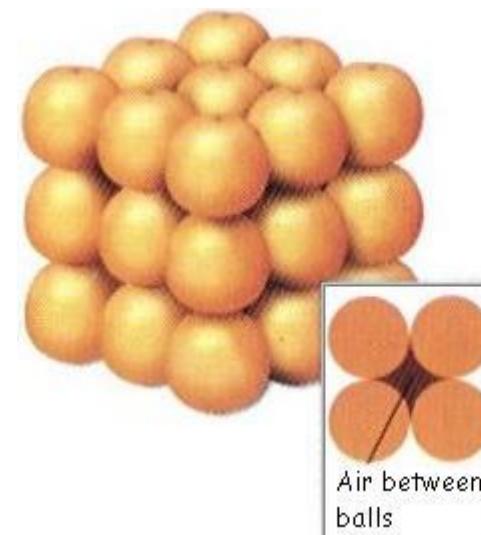
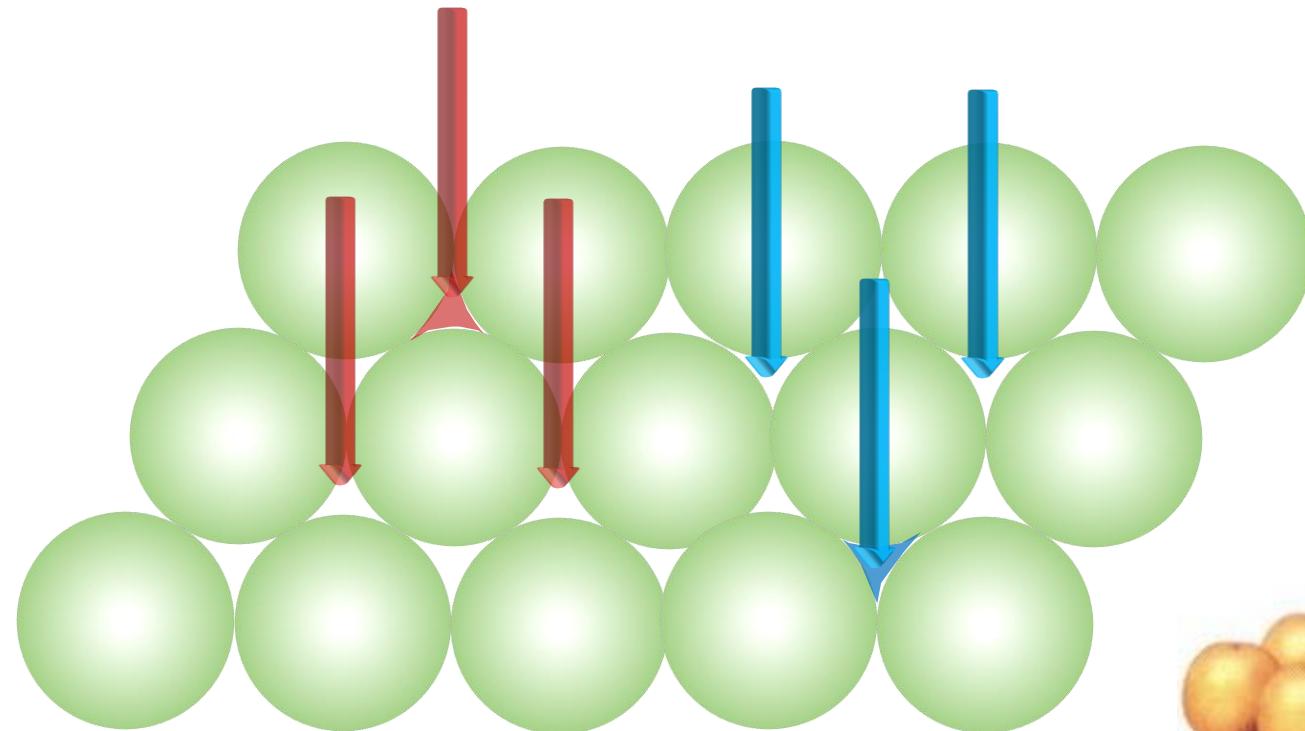
*Large voids, low space-filling*



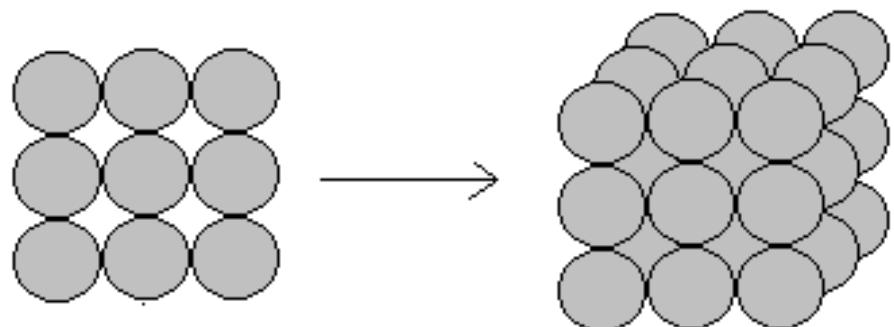
**Hexagonal close packing**

*Small voids, high space-filling*

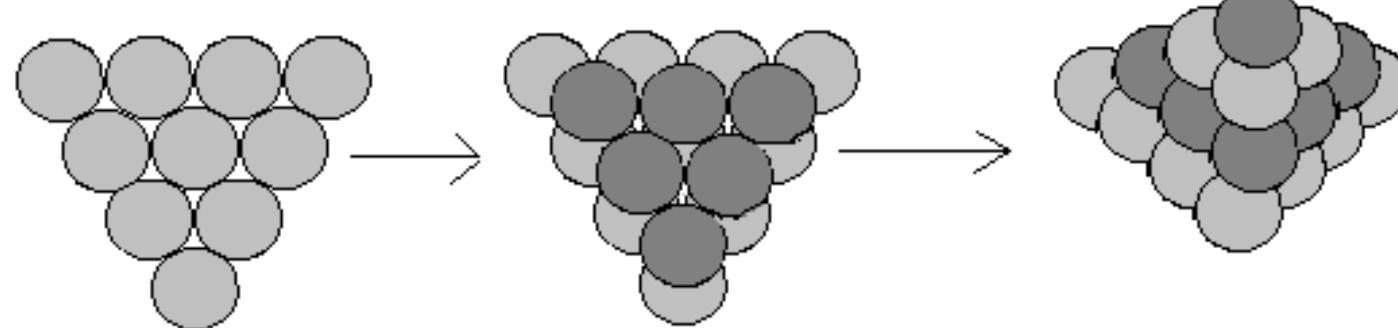
- Close-packing in 3-D ??



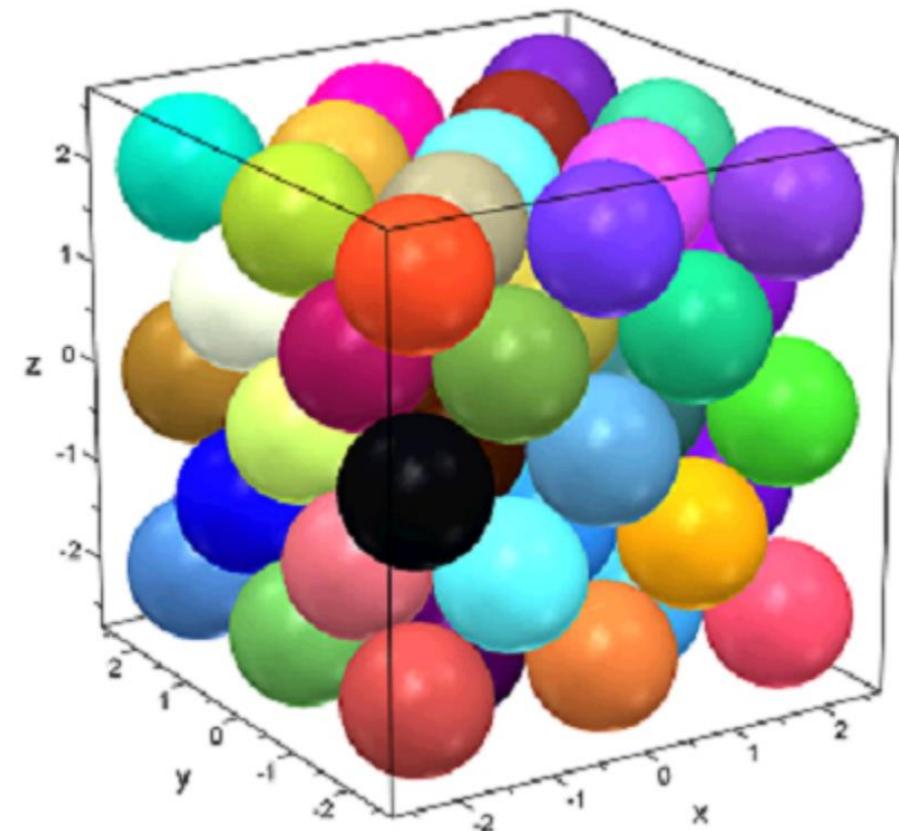
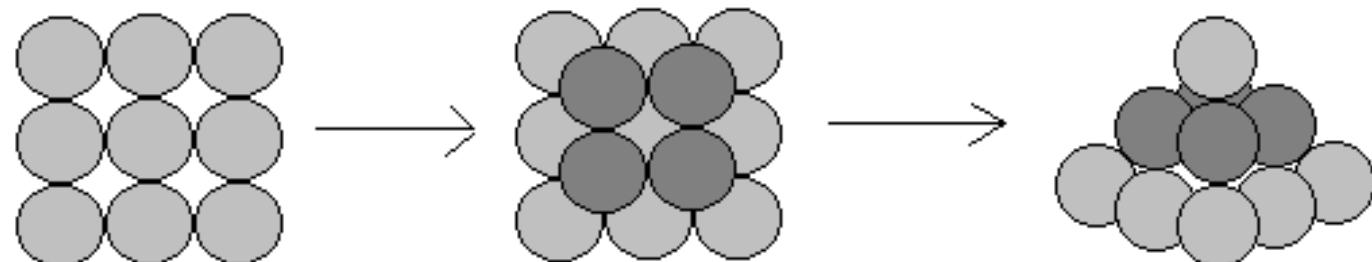
a) Simple cubic packing



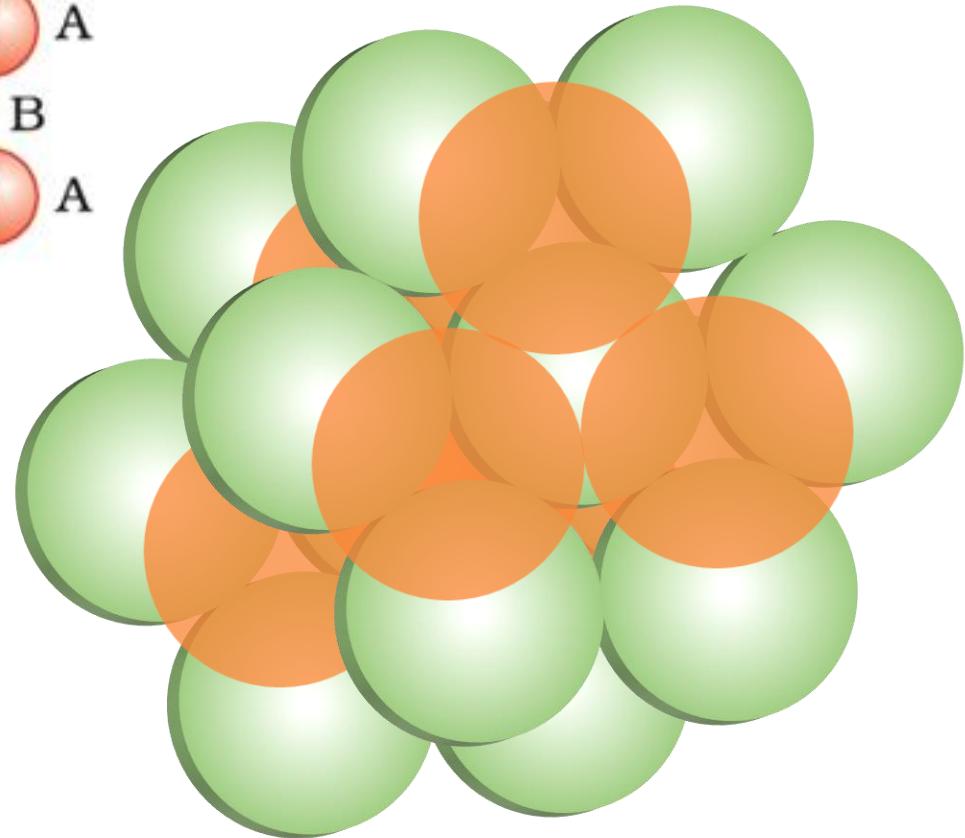
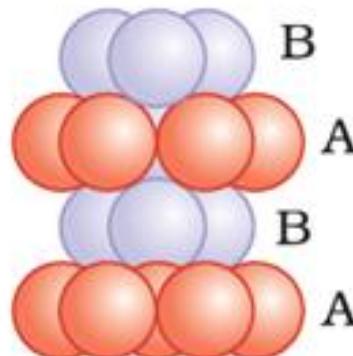
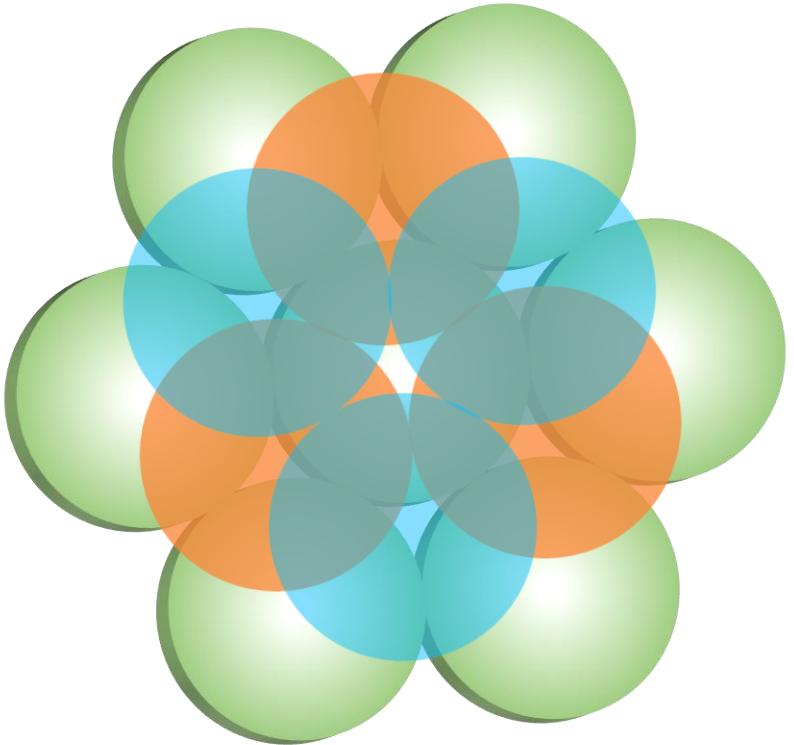
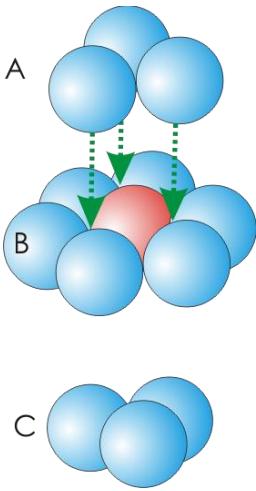
b) Face-centered cubic packing



c) Hexagonal packing



# Close-packing in 3-D



**Stacking sequence**

ABCABC.....

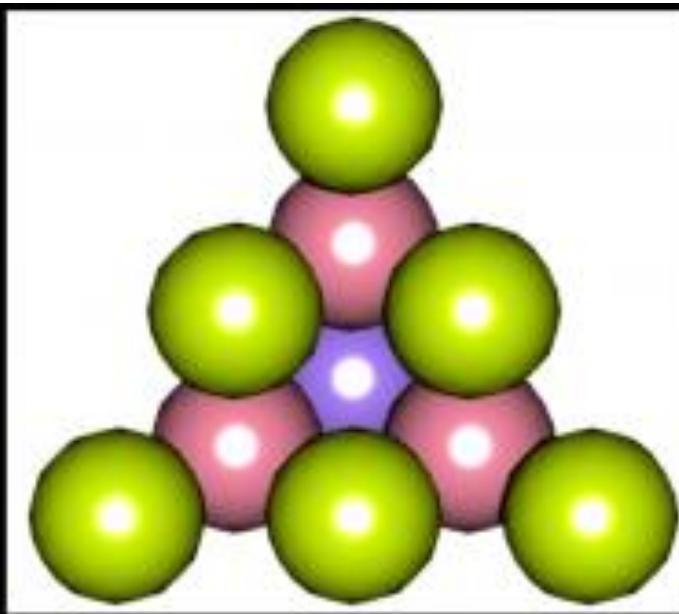
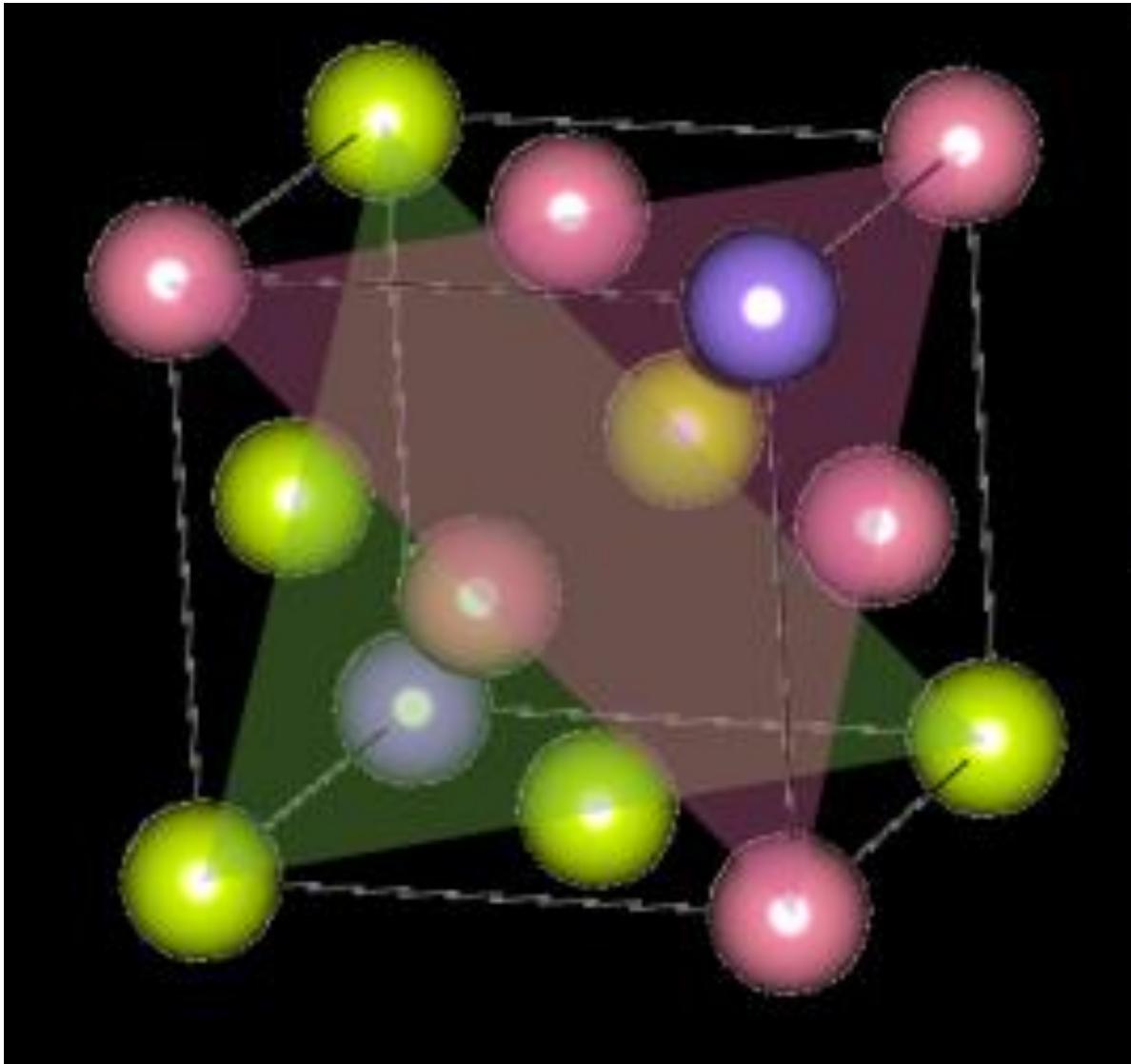
ABAB.....

**Close packing type**

Cubic close packing (CCP)

Hexagonal close packing (HCP)

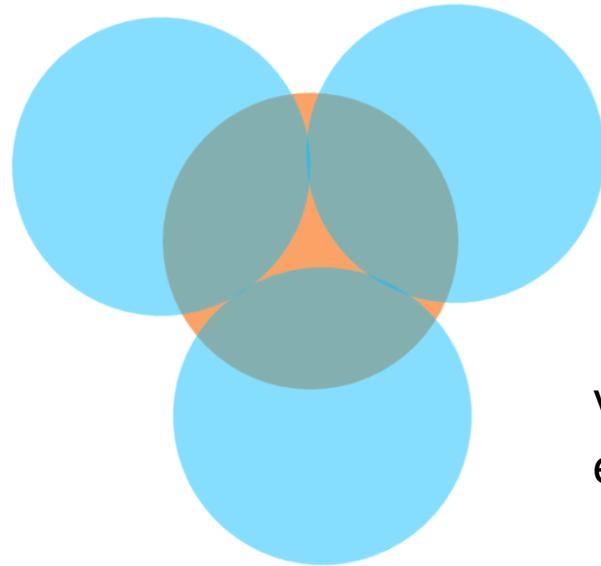
## Face-centred cubic



- Close-packed plane in FCC:  $\{111\}$
- Close-packed direction in FCC:  $\langle 110 \rangle$

Empty spaces enclosed by  
atoms in a crystal: Voids

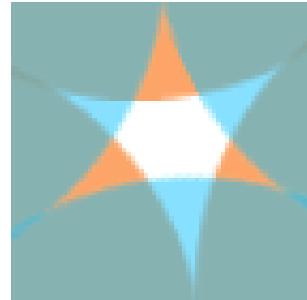
# Voids in close-packed structures



**Tetrahedral void  
(TV)**

Void forms with 4 atoms  
enclosing the space

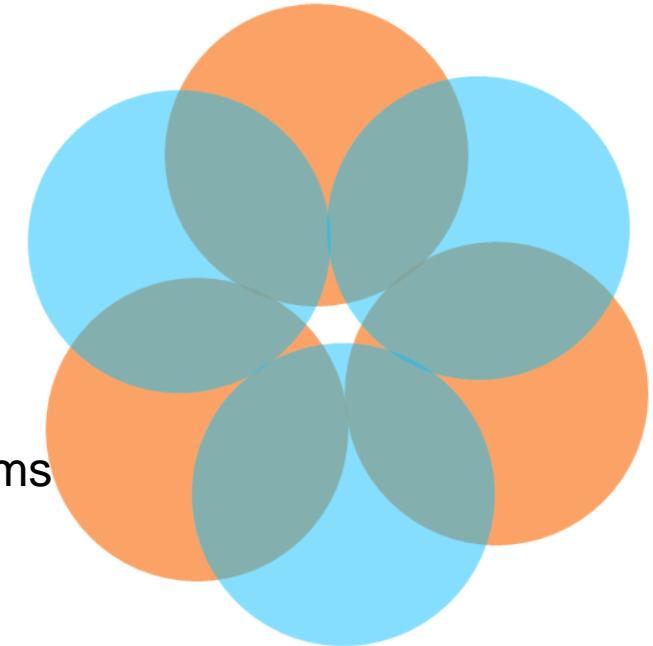
**4**



**Octahedral void  
(OV)**

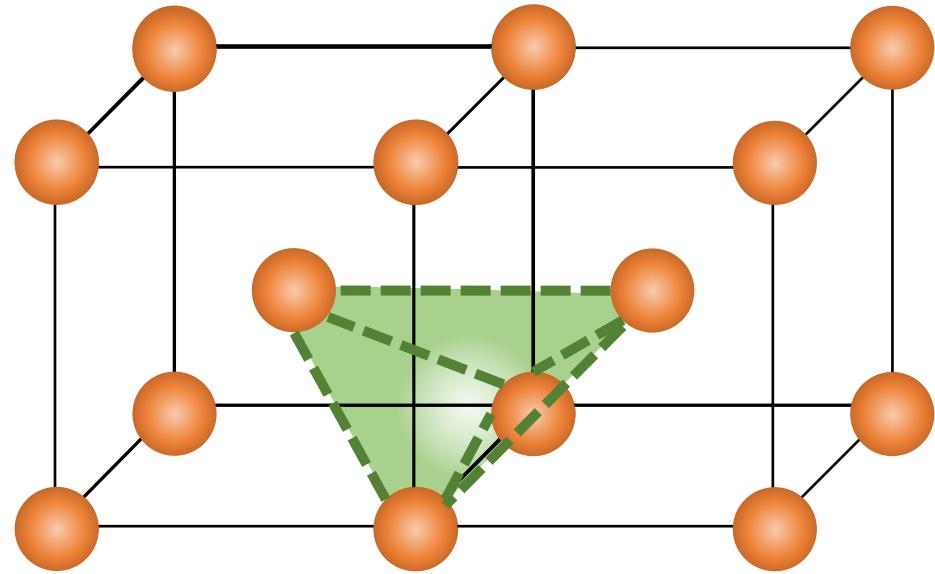
Void forms with 6 atoms  
enclosing the space

**6**



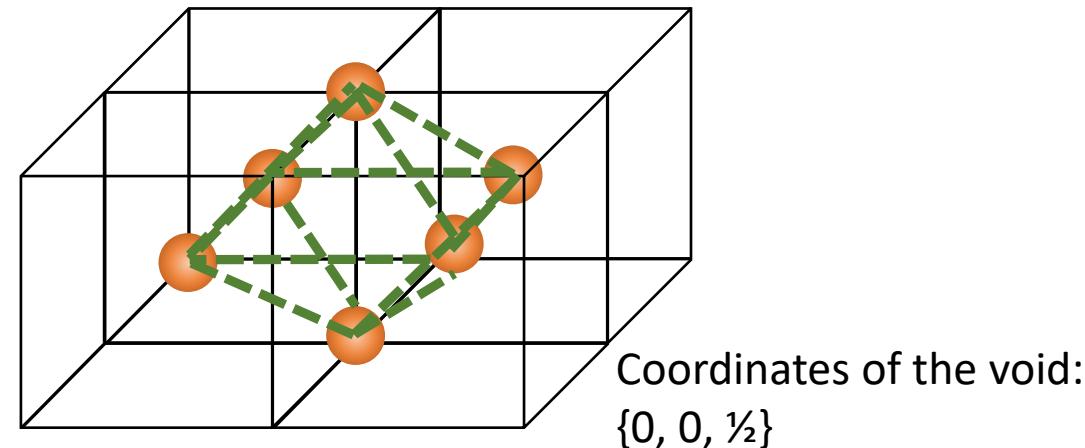
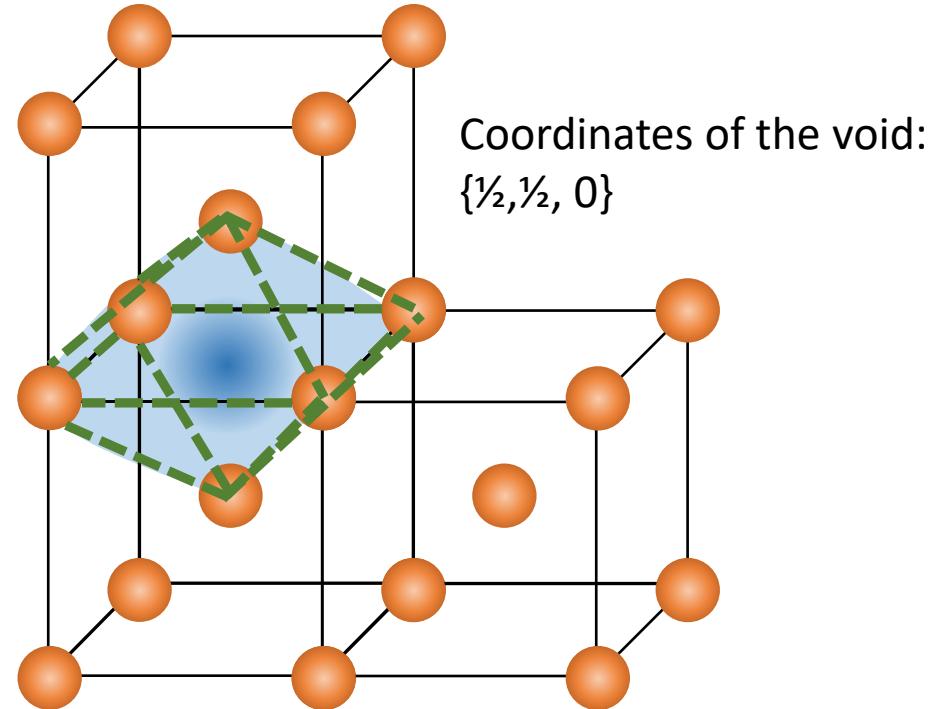
How many tetrahedral and octahedral voids are present in ferrite (BCC) and austenite (FCC)?

## Tetrahedral voids in BCC

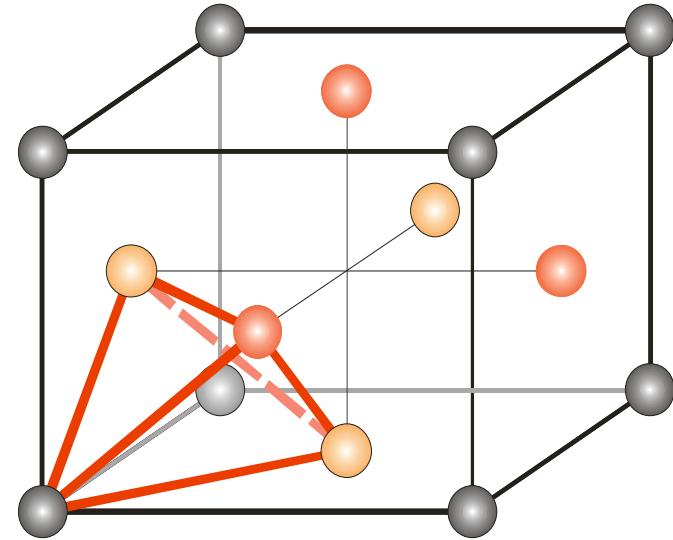


- No. of faces: 6
- Total no. of 'T' voids: 24
- No. of 'T' voids per unit cell :  $(24/2) = 12$
- No. of 'T' voids per unit atom:  $(12/2) = 6$

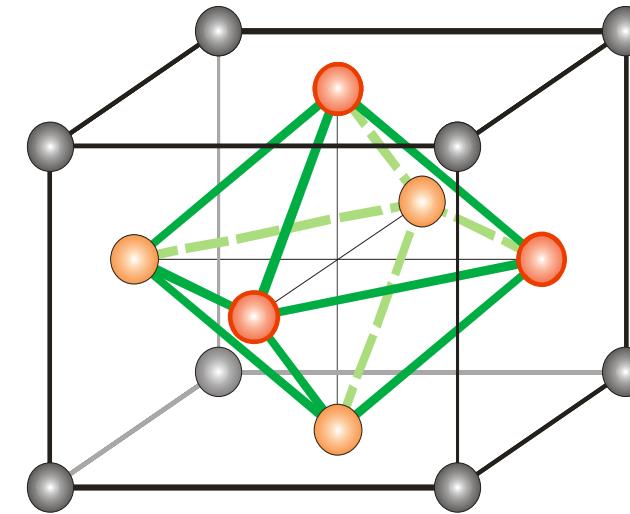
## Octahedral voids in BCC



## Tetrahedral voids in FCC



## Octahedral voids in FCC



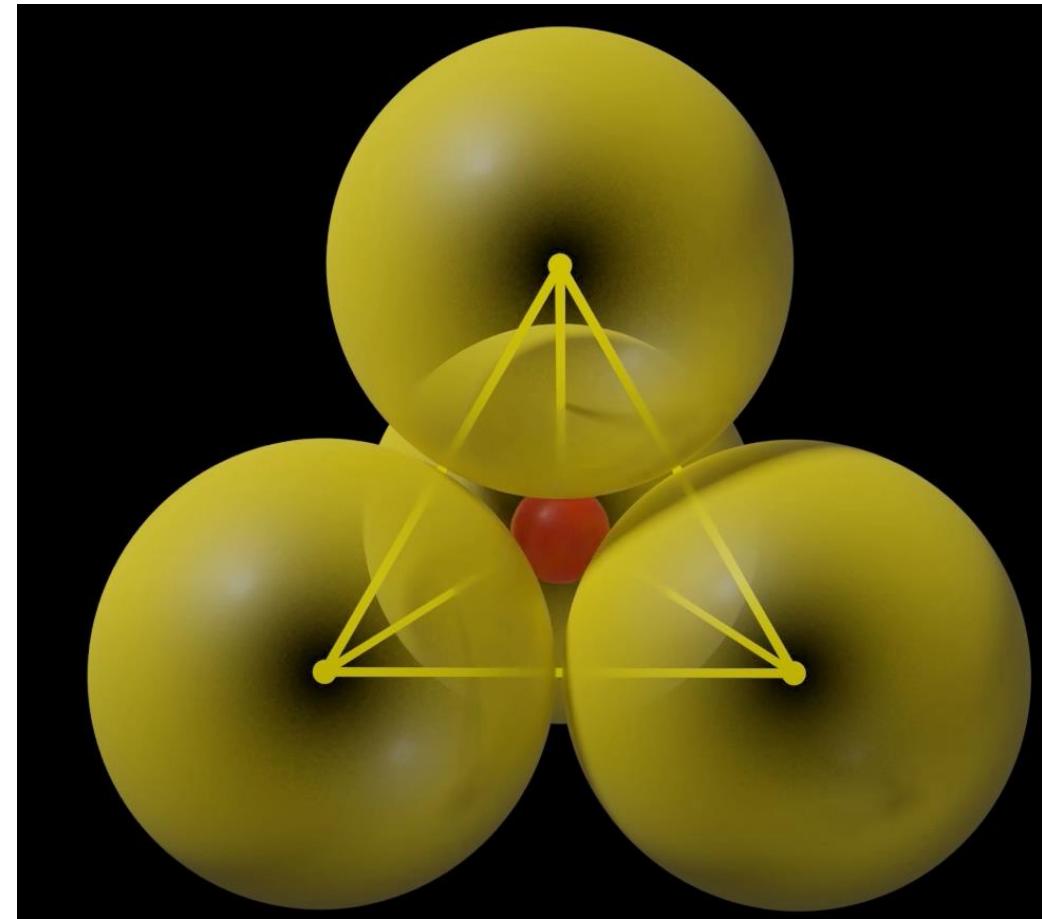
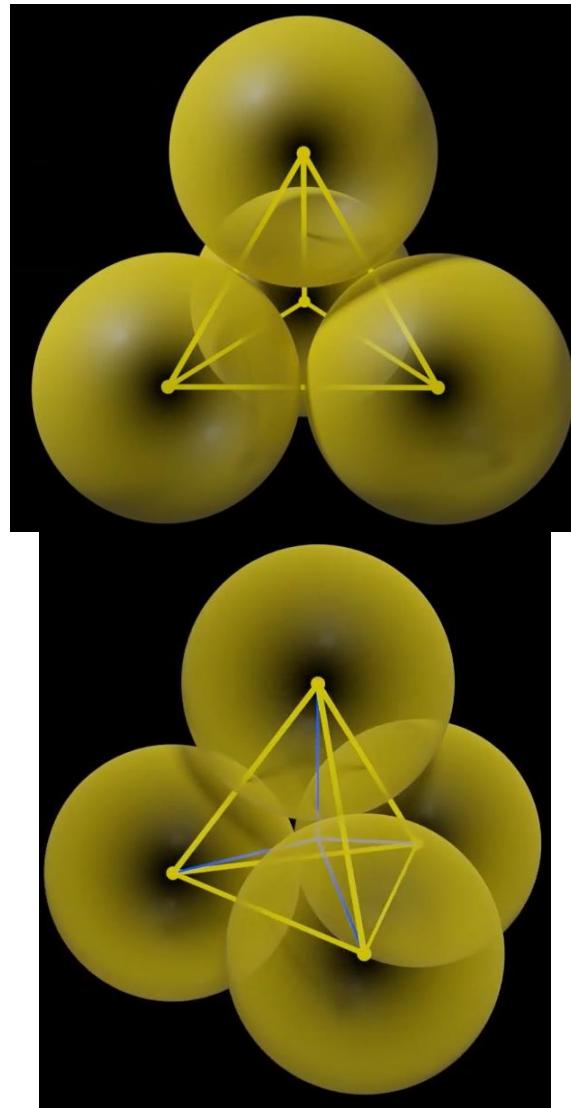
# Number of voids in BCC and FCC

BCC voids	Position	Voids / cell	Voids / atom
<i>Distorted</i> Tetrahedral	• Four on each face: $[(4/2) \times 6 = 12] \rightarrow (0, \frac{1}{2}, \frac{1}{4})$	12	6
<i>Distorted</i> Octahedral	• Face center: $(6/2 = 3) \rightarrow (\frac{1}{2}, \frac{1}{2}, 0)$ • Edge center: $(12/4 = 3) \rightarrow (\frac{1}{2}, 0, 0)$	6	3

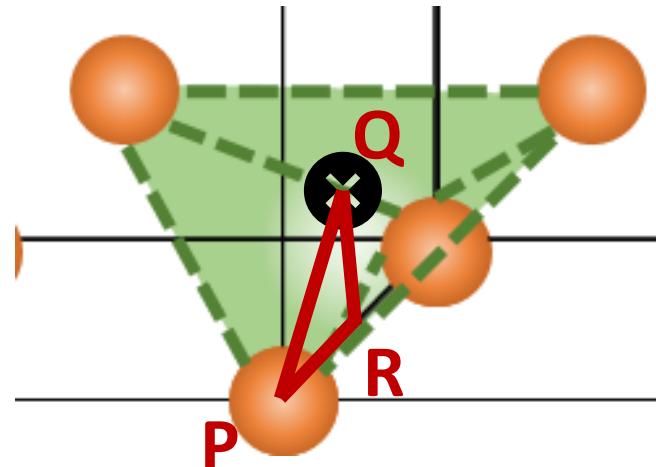
FCC voids	Position	Voids / cell	Voids / atom
Tetrahedral	$\frac{1}{4}$ way from each vertex of the cube along body diagonal $<111>$ $\rightarrow ((\frac{1}{4}, \frac{1}{4}, \frac{1}{4}))$	8	2
Octahedral	• Body centre: $1 \rightarrow (\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$ • Edge centre: $(12/4 = 3) \rightarrow (\frac{1}{2}, 0, 0)$	4	1

- ❑ Number of 'T' voids is greater than those of 'O' voids.
- ❑ But, still Carbon prefers to occupy the 'O' voids.

**What is the size of the largest atom which can fit into a tetrahedral void of BCC?**



**What is the size of the largest atom which can fit into a tetrahedral void of BCC?**



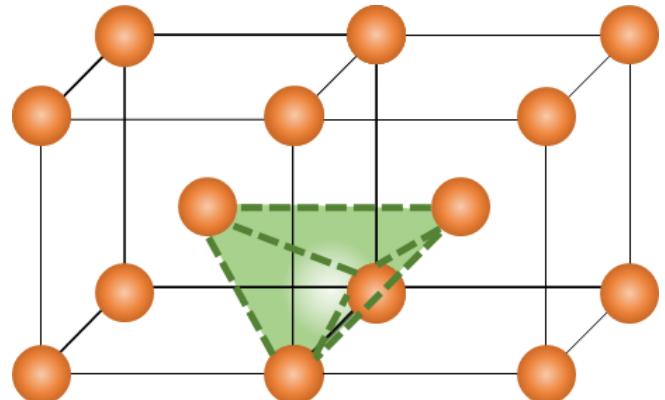
Consider  $\Delta PQR$ ,

$$PQ = \sqrt{\frac{a^2}{(4)^2} + \left(\frac{a^2}{4}\right)} = (r + x) = \frac{\sqrt{5}}{4} \cdot a$$

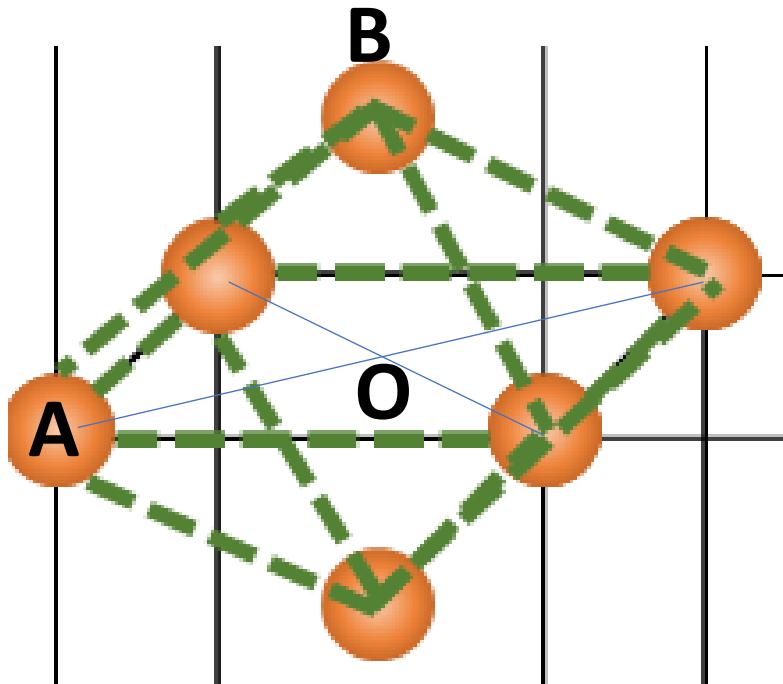
In a BCC crystal system,

$$a = \frac{4 \cdot r}{\sqrt{3}}$$

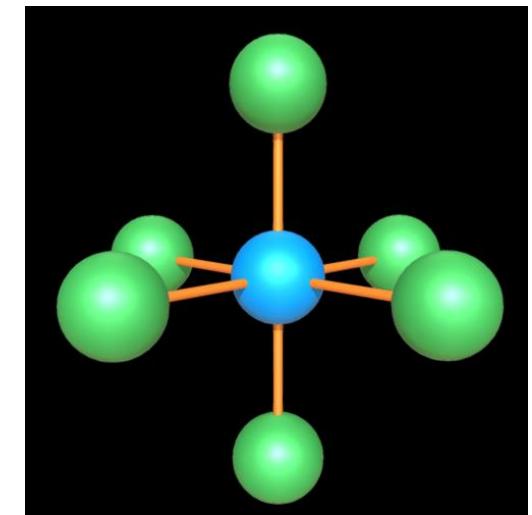
$$x = 0.29 \cdot r$$



## What is the size of the largest atom which can fit into a octahedral void of BCC?



- Distance (OA) =  $\frac{a}{\sqrt{2}} = 0.707 \text{ a}$
- Distance (OB) =  $(\frac{a}{2}) = 0.5 \text{ a}$
- Since the length of OB is smaller than OA, the atom situated at the Octahedral void is expected to touch the body-centred atom (point 'B').



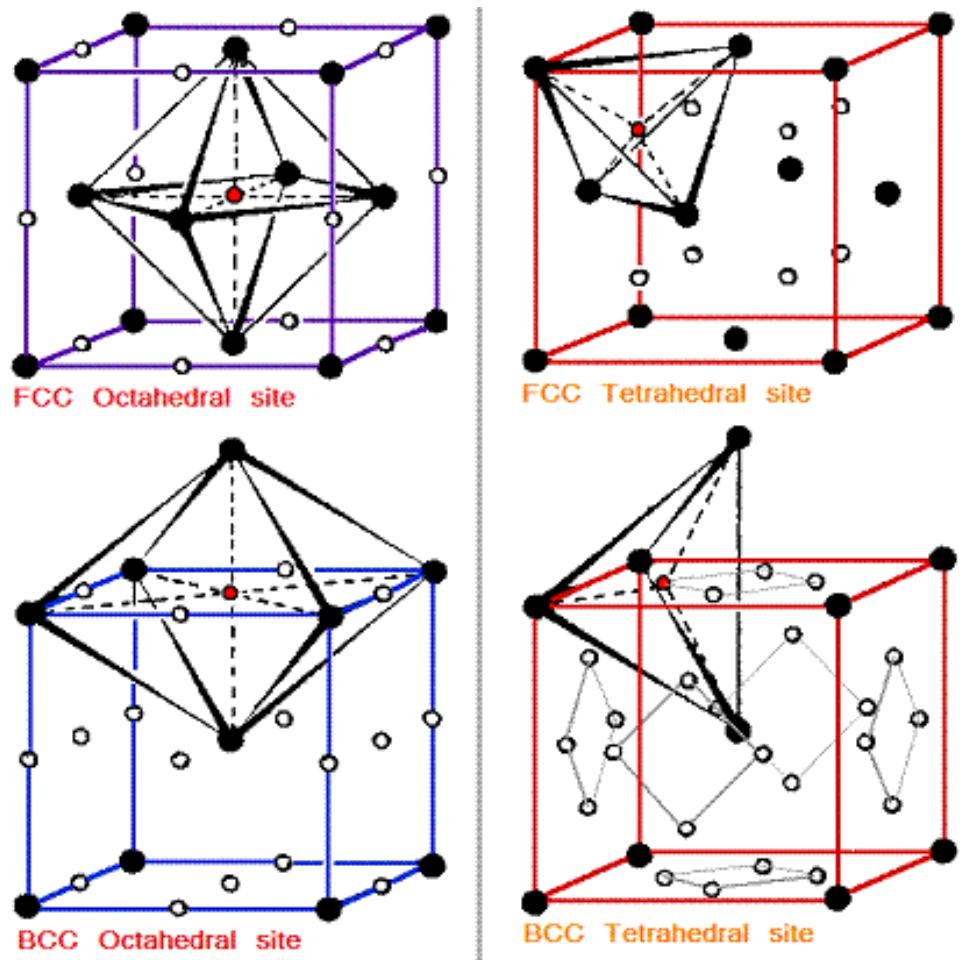
$$OB = r + x = \frac{a}{2}$$

$$r + x = \frac{4r}{2\sqrt{3}} \quad BCC: \sqrt{3}a = 4r$$

$$\frac{x}{r} = \left( \frac{2\sqrt{3}}{3} - 1 \right) = 0.1547$$

BCC voids	Position	Voids / cell	Voids / atom
<i>Distorted</i> Tetrahedral	• Four on each face: $[(4/2) \times 6 = 12] \rightarrow (0, \frac{1}{2}, \frac{1}{4})$	12	6
<i>Distorted</i> Octahedral	• Face center: $(6/2 = 3) \rightarrow (\frac{1}{2}, \frac{1}{2}, 0)$ • Edge center: $(12/4 = 3) \rightarrow (\frac{1}{2}, 0, 0)$	6	3

	BCC	FCC
Octahedral	0.155 ( <i>distorted</i> )	0.414
Tetrahedral	0.29 ( <i>distorted</i> )	0.225



➤ **Why interstitial atoms prefer to occupy the octahedral positions?**

# Second QUIZ of MLL100

Date	: <i>February 12, 2022</i>
Day	: <i>Saturday</i>
Time	: <i>10:30 a.m. – 10:45 a.m.</i>
Marks	: <i>10</i>
Mode	: <i>Online (Moodle)</i>
Syllabus	: <i>Phase equilibria, Phase diagrams and Phase transformations</i>

# MLL 100

# Introduction to

# Materials Science and Engineering

***Lecture-14 (February 08, 2022)***

Dr. Sangeeta Santra ([ssantra@mse.iitd.ac.in](mailto:ssantra@mse.iitd.ac.in))



IIT Delhi

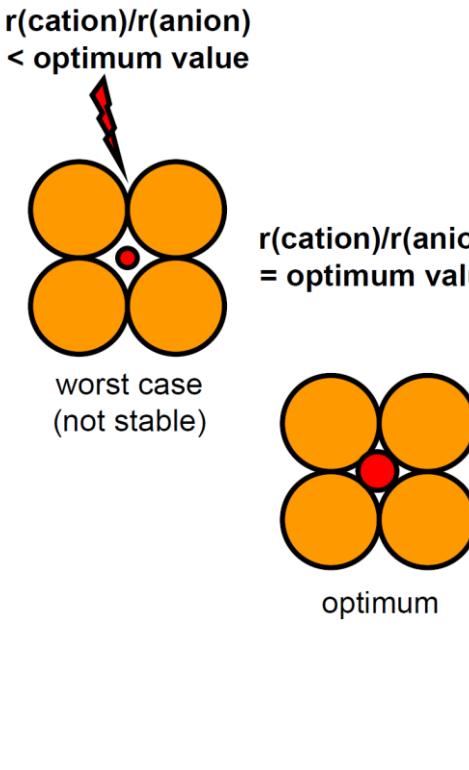
Department of Materials Science and Engineering

# What have we learnt in Lecture-13?

- ❑ Peritectic phase diagram

Monotectic reaction:  $L_1 \text{ ----->} L_2 + S$

- ❑ Voids: Tetrahedral and Octahedral
- ❑ Iron-carbon phase diagram



No Rattling

Cation should not be smaller than the void formed by the anions

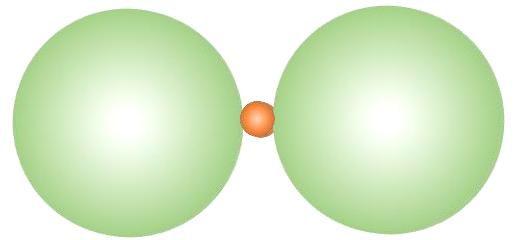
Cation size larger than the void

Cation should be larger than the void so that the anions do not touch each other

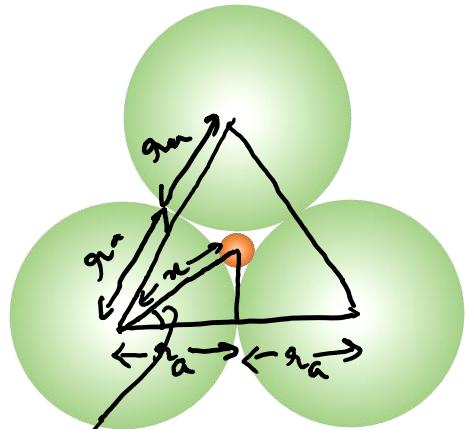
Choose the largest coordination possible

Largest coordination gives the best possible packing

- Cations should surround themselves with as many anions as possible, and vice versa. This maximizes the attractions between neighbouring ions of opposite charge and hence maximizes the lattice energy of the crystal.
- *Radius ratio rule for ionic structures*
- A cation must be in contact with its neighbour anion -----> Lower limit on the size of a cation which may occupy a particular position.
- Neighbouring anions may or may not be in contact with each other.



$$\frac{r_c}{r_a} = 0 - 0.155$$



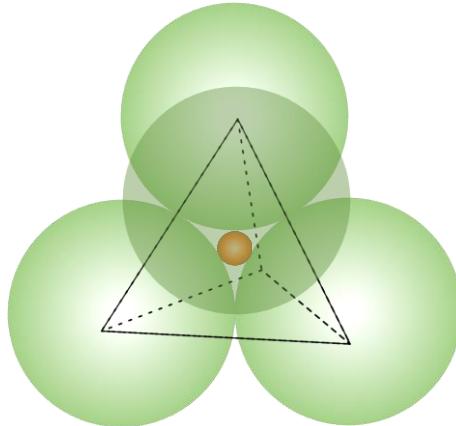
$$\frac{r_c}{r_a} = 0.155 - 0.225$$

*Lower limit is governed by current coordination*

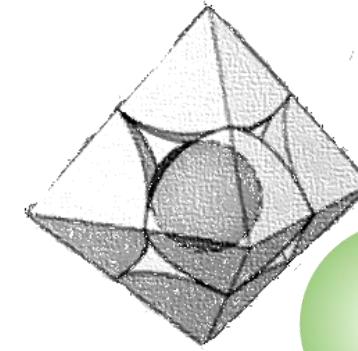
*Upper limit is governed by next higher possible coordination*

$$\cos 30^\circ = \frac{r_a}{(r_a + r_c)} = \frac{\sqrt{3}}{2}$$

$$\therefore \left(\frac{r_c}{r_a}\right) = 0.155$$



$$\frac{r_c}{r_a} = 0.225 - 0.414$$



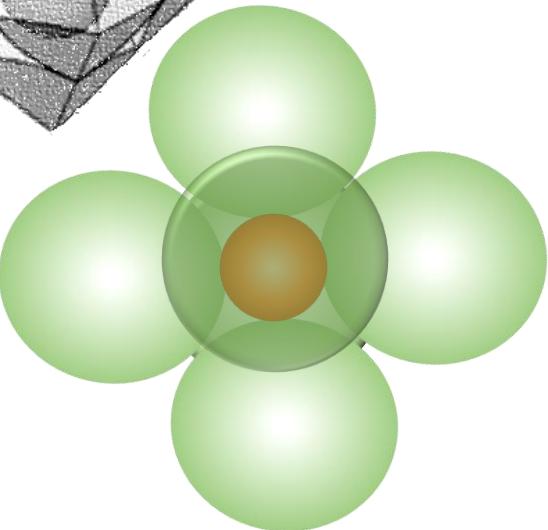
$$\frac{r_c}{r_a} = 0.414 - 0.732$$

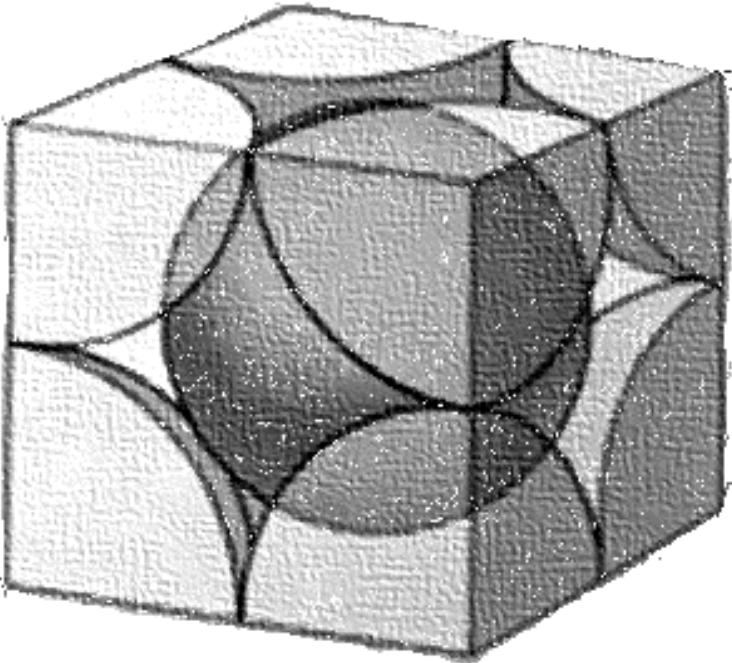
$$(2r_a)^2 + (2r_a)^2 = \{2(r_a + r_c)\}^2$$

$$\therefore 2\sqrt{2}r_a = 2(r_a + r_c)$$

$$\therefore (\sqrt{2}-1)r_a = r_c$$

$$\therefore \left(\frac{r_c}{r_a}\right) = 0.414$$



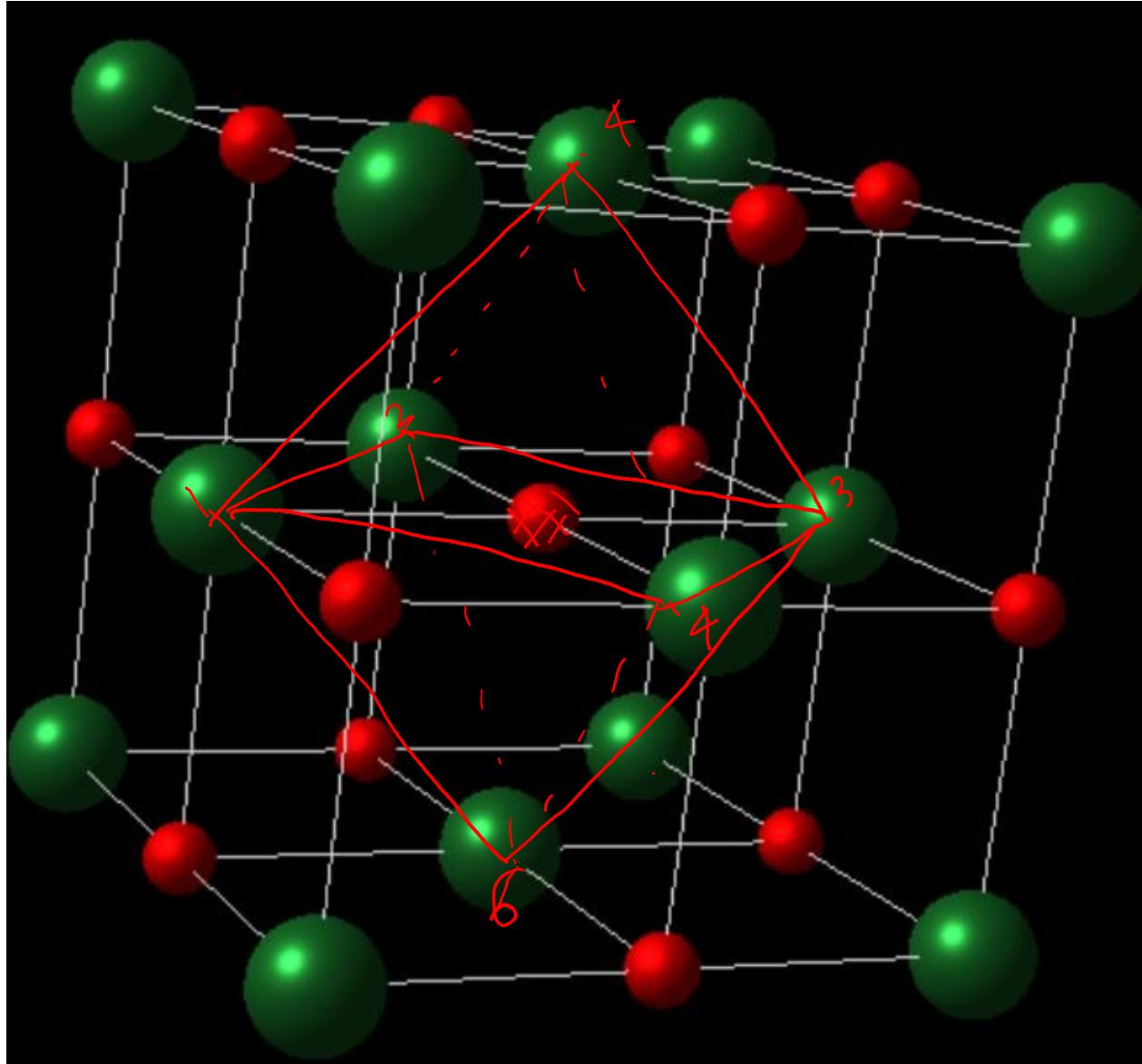


$$\frac{r_c}{r_a} = 0.732 - 1.0$$

$$(2r_c + 2r_a) = \text{Body diagonal} \\ = \sqrt{3} a = \sqrt{3} (2r_a)$$

$$\therefore \left( \frac{r_c}{r_a} \right) = 0.732$$

$\left( \frac{r_c}{r_a} \right)$	Co-ordination number (CN)	Geometry	Crystal structures
0 – 0.155	2	Linear	
0.155 – 0.225	3	Triangular	
0.225 – 0.414	4	Tetrahedron	
0.414 – 0.732	6	Octahedron	
0.732 – 1.0	8	Cube	

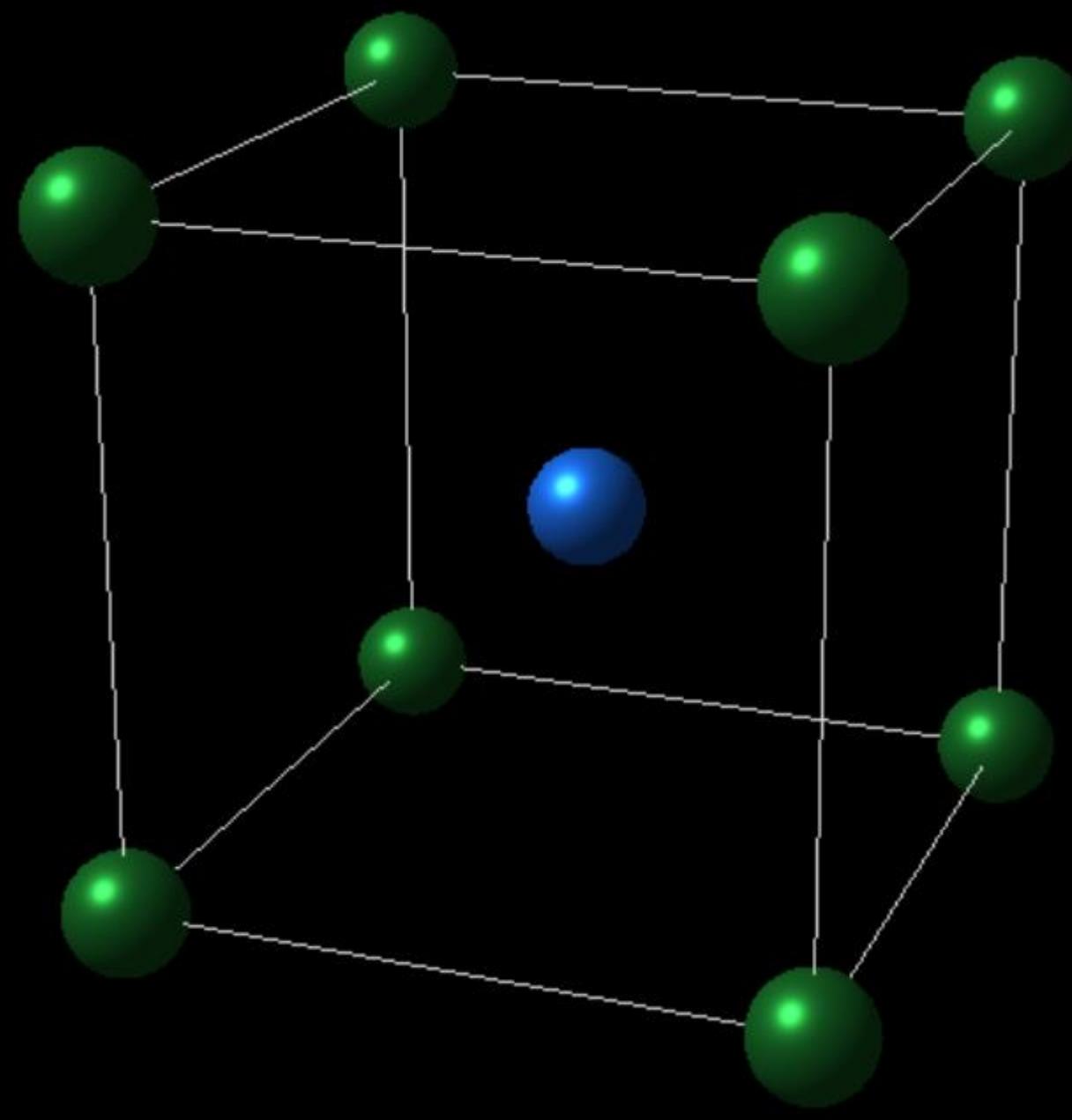


$$r_{Na^+} = 0.102 \text{ nm}$$

$$r_{Cl^-} = 0.181 \text{ nm}$$

$$\frac{r_{Na^+}}{r_{Cl^-}} = 0.56$$

$(\frac{r_c}{r_a})$	Co-ordination number (CN)	Geometry	Crystal structures
0 – 0.155	2	Linear	
0.155 – 0.225	3	Triangular	
0.225 – 0.414	4	Tetrahedron	
0.414 – 0.732	6	Octahedron	
0.732 – 1.0	8	Cube	

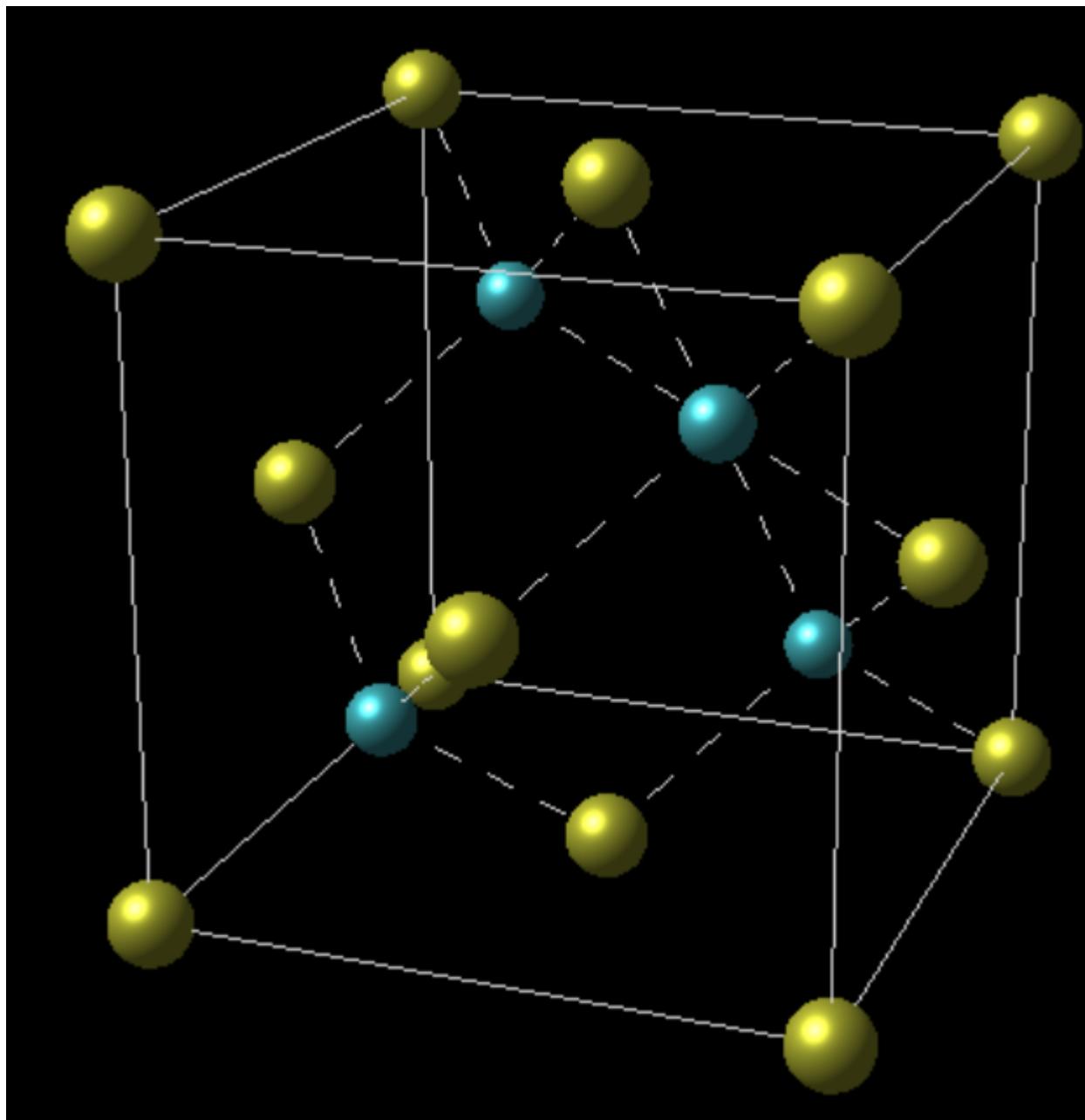


$$r_{Cs^+} = 0.167 \text{ nm}$$

$$r_{Cl^-} = 0.181 \text{ nm}$$

$$\frac{r_{Cs^+}}{r_{Cl^-}} = 0.92$$

$(\frac{r_c}{r_a})$	Co-ordination number (CN)	Geometry	Crystal structures
0 – 0.155	2	Linear	
0.155 – 0.225	3	Triangular	
0.225 – 0.414	4	Tetrahedron	
0.414 – 0.732	6	Octahedron	NaCl
0.732 – 1.0	8	Cube	



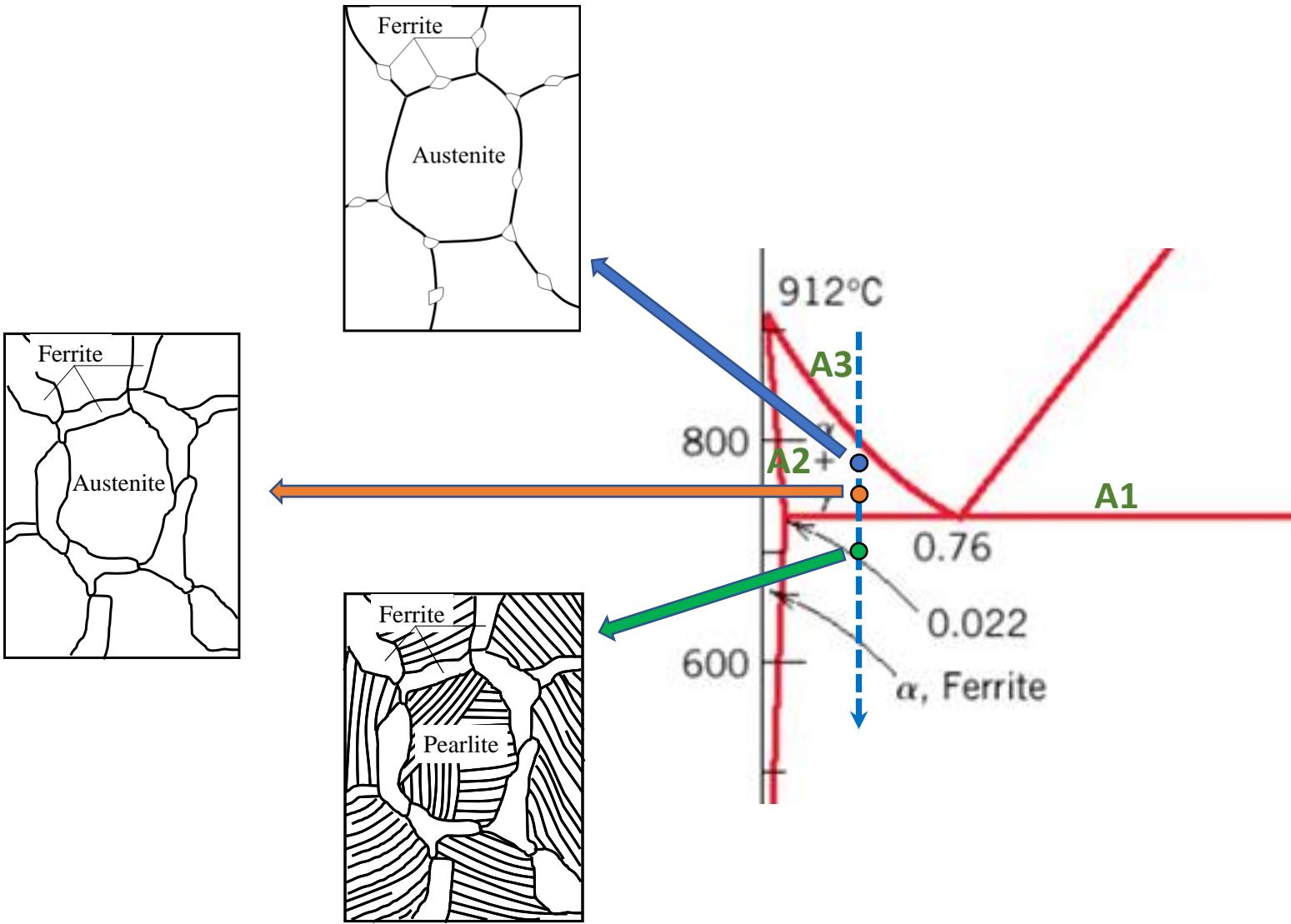
$$r_{Zn^{2+}} = 0.074 \text{ nm}$$
$$r_{S^{2-}} = 0.184 \text{ nm}$$

$$\frac{r_{Zn^{2+}}}{r_{S^{2-}}} = 0.40$$

$(\frac{r_c}{r_a})$	Co-ordination number (CN)	Geometry	Crystal structures
0 – 0.155	2	Linear	
0.155 – 0.225	3	Triangular	
0.225 – 0.414	4	Tetrahedron	
0.414 – 0.732	6	Octahedron	NaCl
0.732 – 1.0	8	Cube	CsCl

**How does different phases evolve  
microstructurally in an Fe-C system?**

# Microstructural evolution of a hypoeutectic alloy

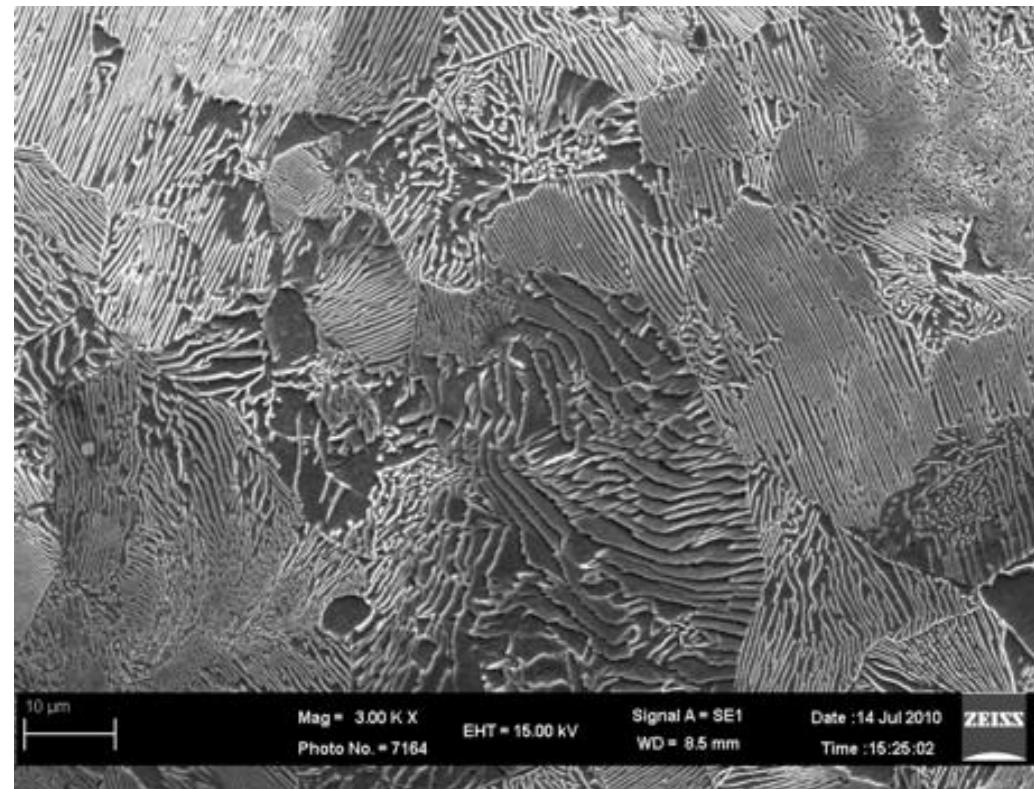
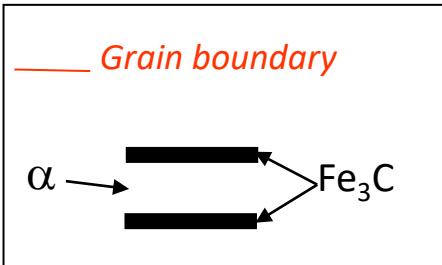
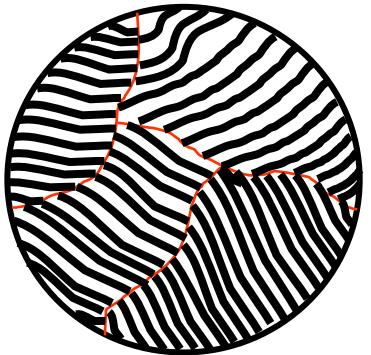


- On crossing the 'A3' line, the ferrite phase starts appearing on the austenite grain boundaries.
- *Why at the grain boundaries?*

- The ferrite phase grows along the grain boundaries, and slowly protrude inside the grains of austenite.

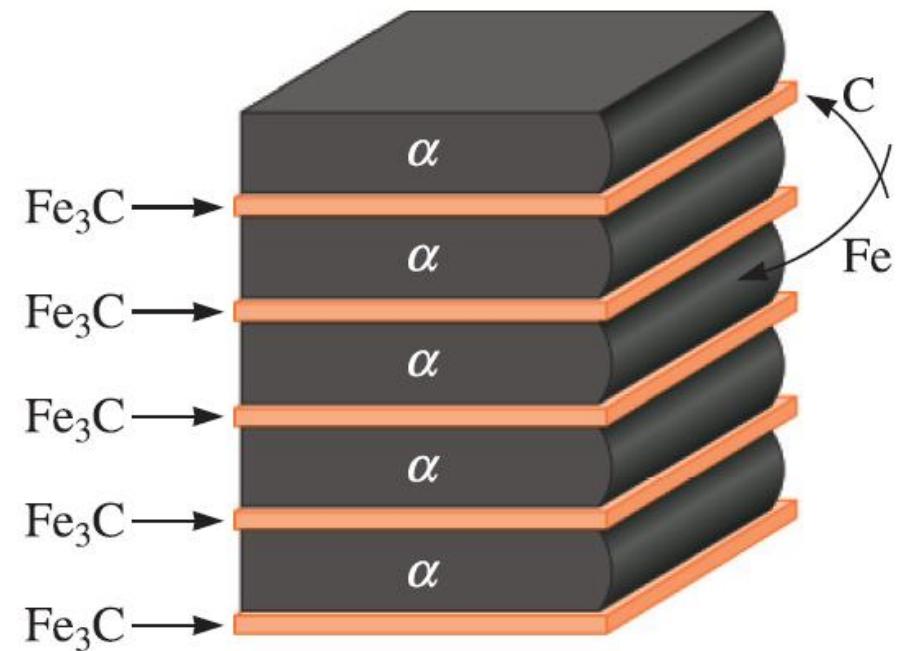
- On crossing the 'A1' line, the remaining austenite transforms to 'ferrite and cementite'.
- *Why 'pearlite' is mentioned in the schematic microstructure?*

# Pearlite

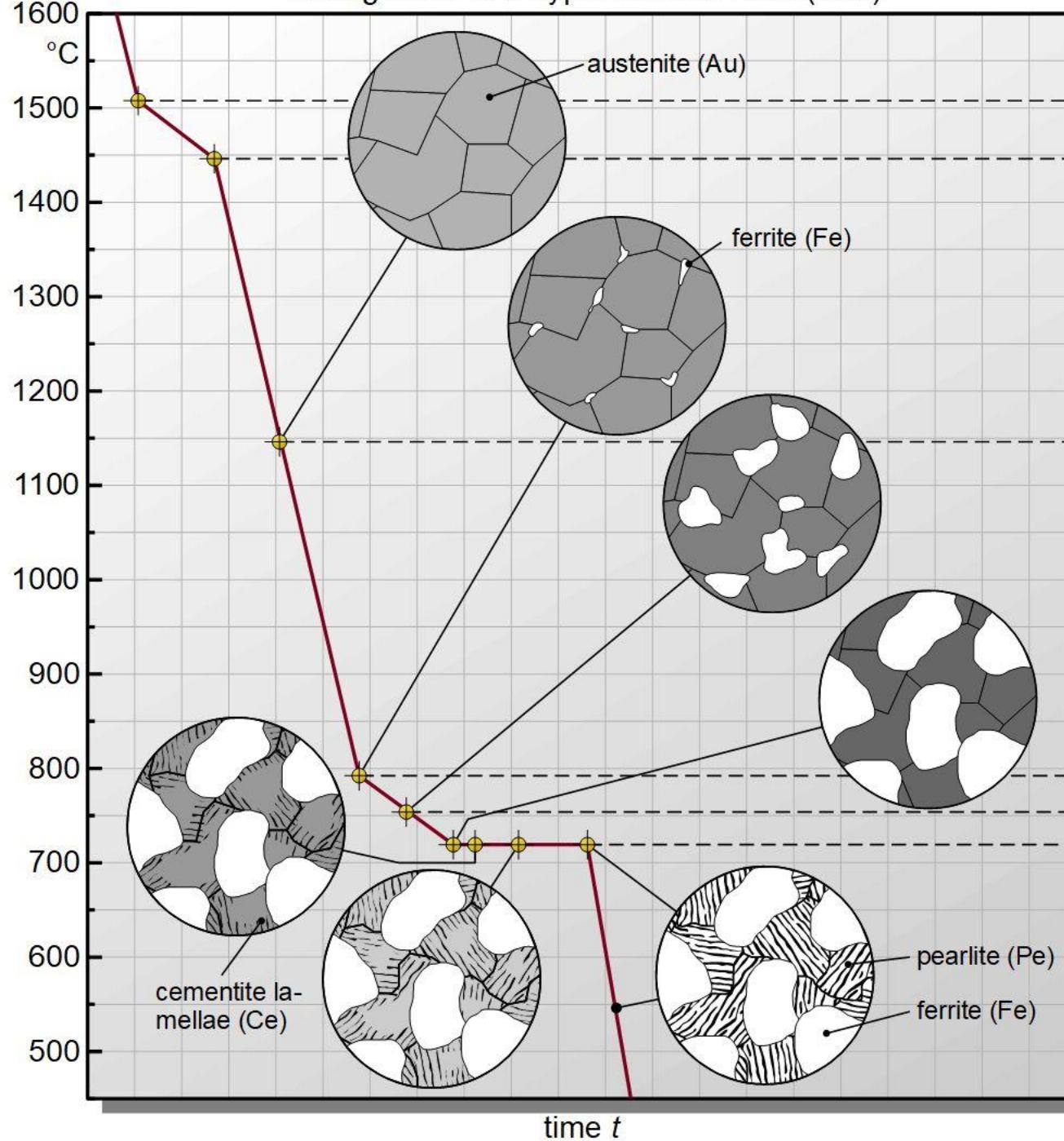


- Pearlite is a micro-constituent with alternating lamellae of cementite and ferrite.
- Pearlite is not a phase.

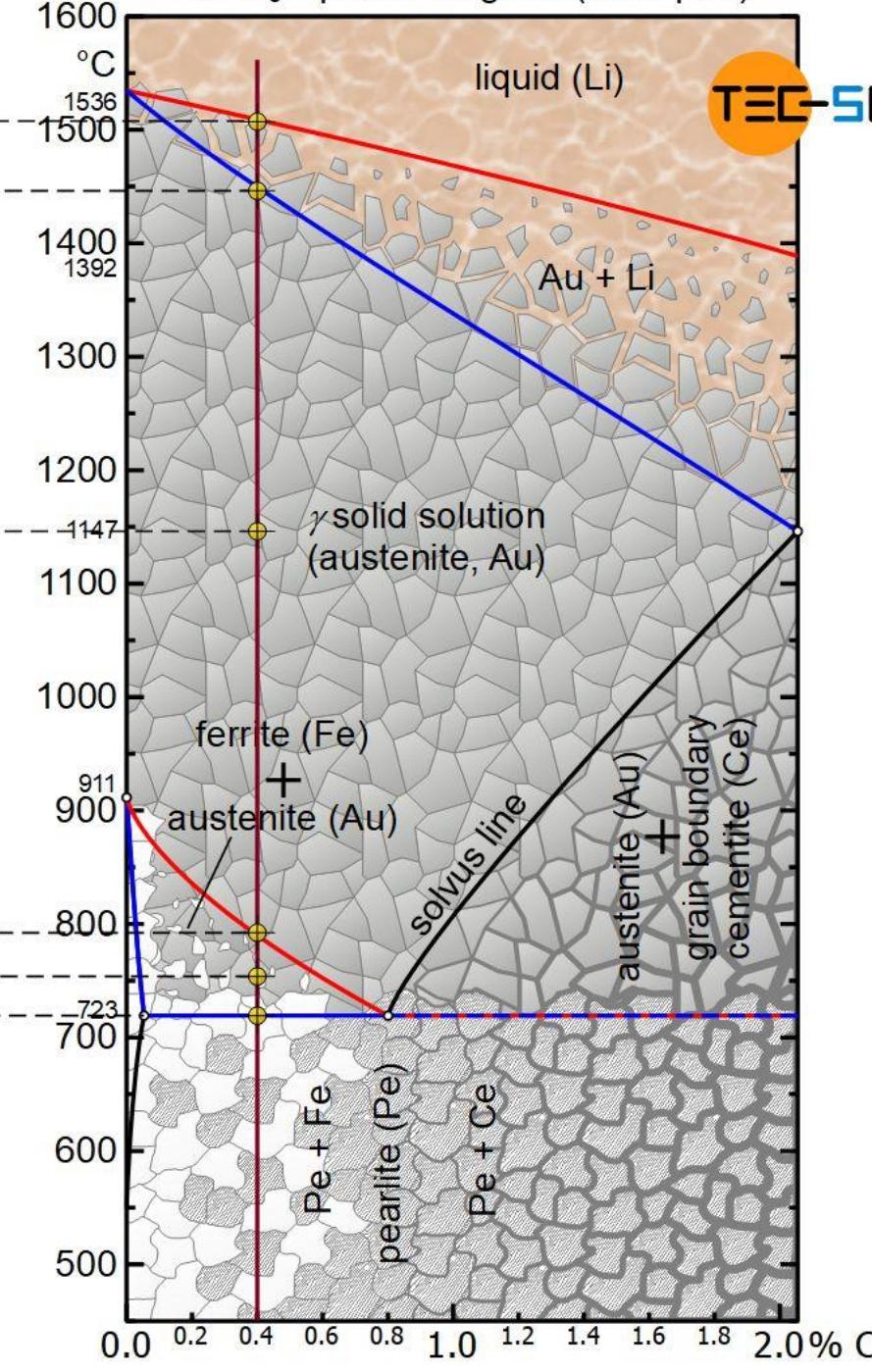
Surrounding matrix of  $\gamma$  phase



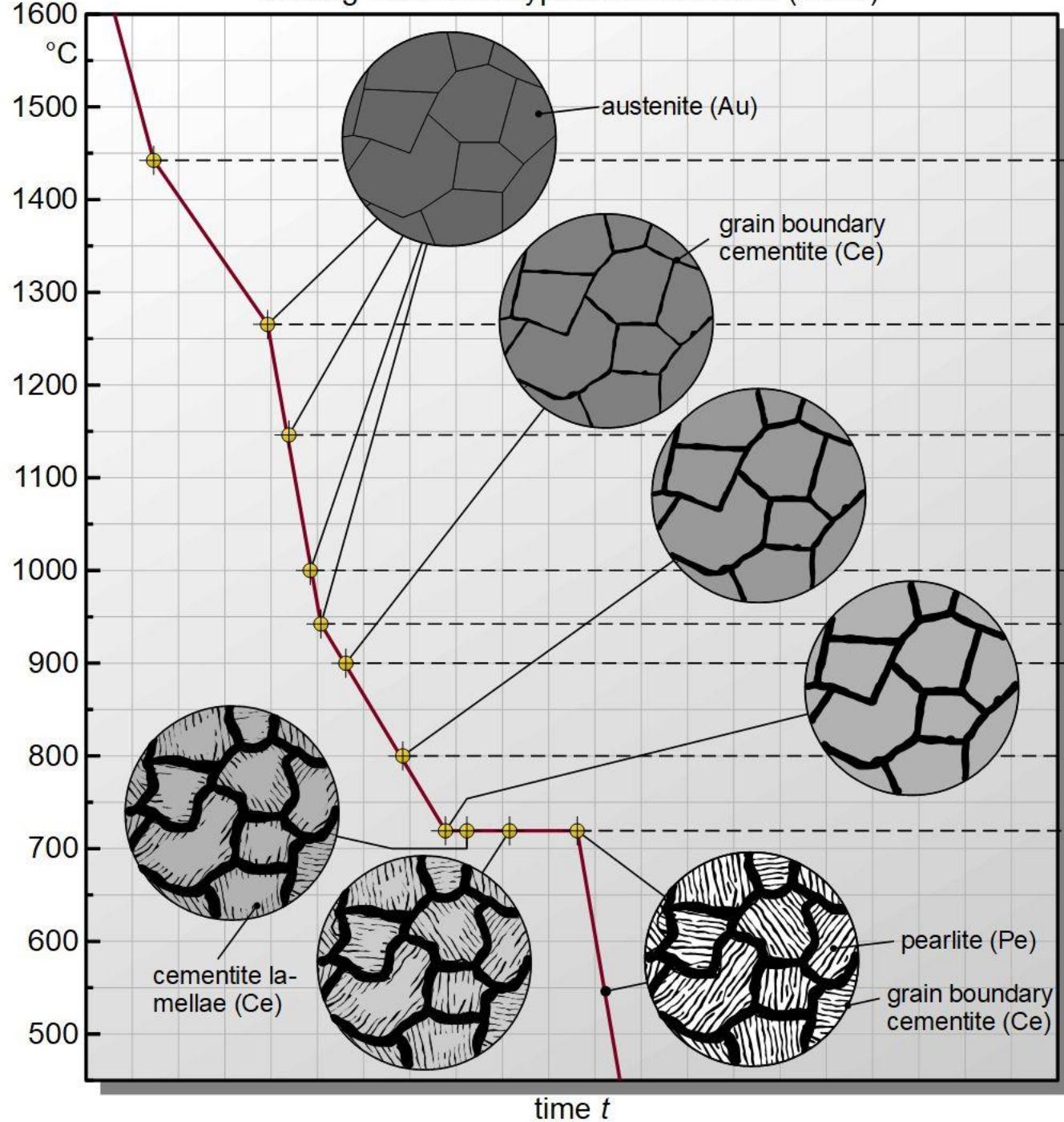
cooling curve of a hypoeutectoid steel (C40)



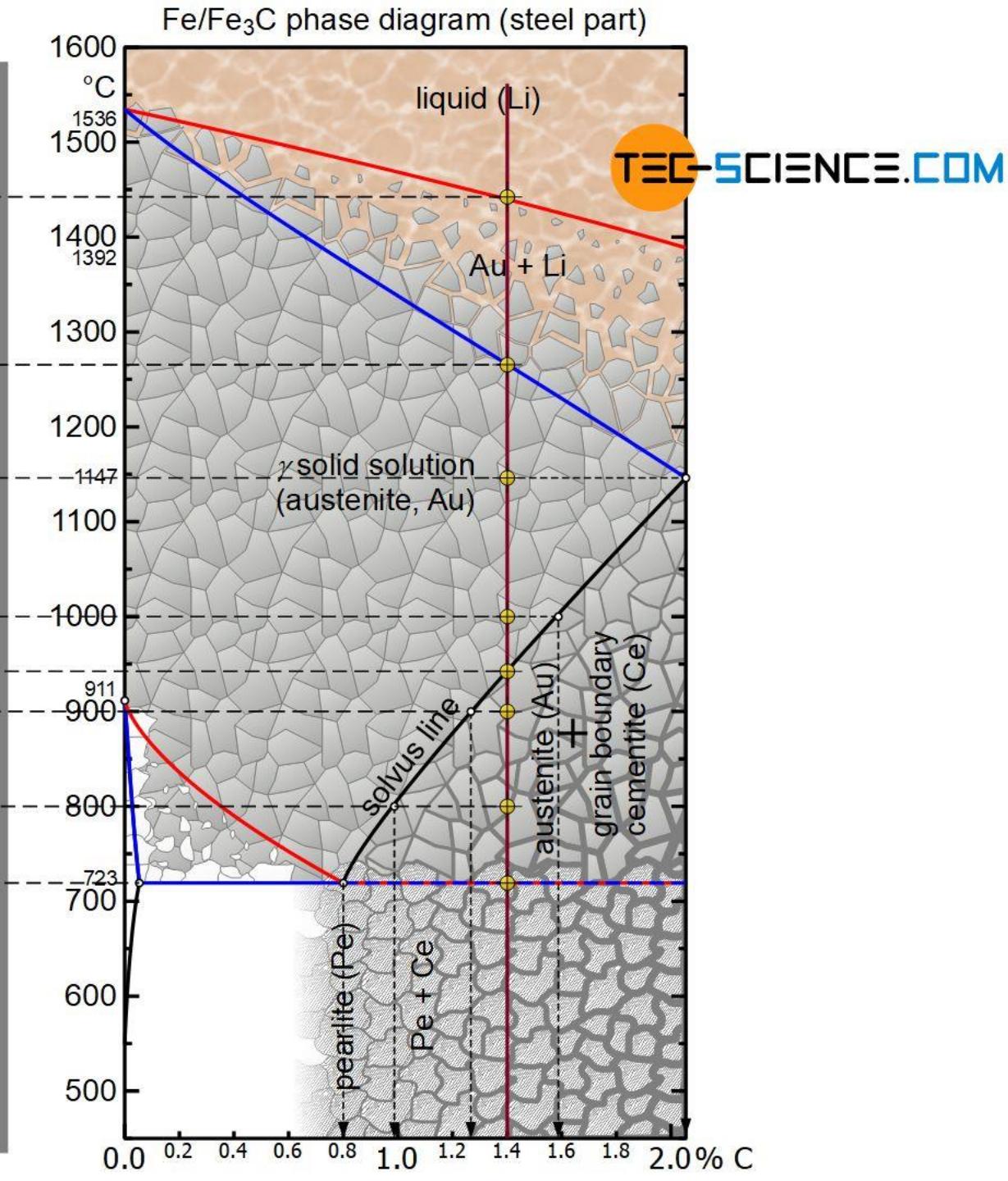
Fe/Fe<sub>3</sub>C phase diagram (steel part)

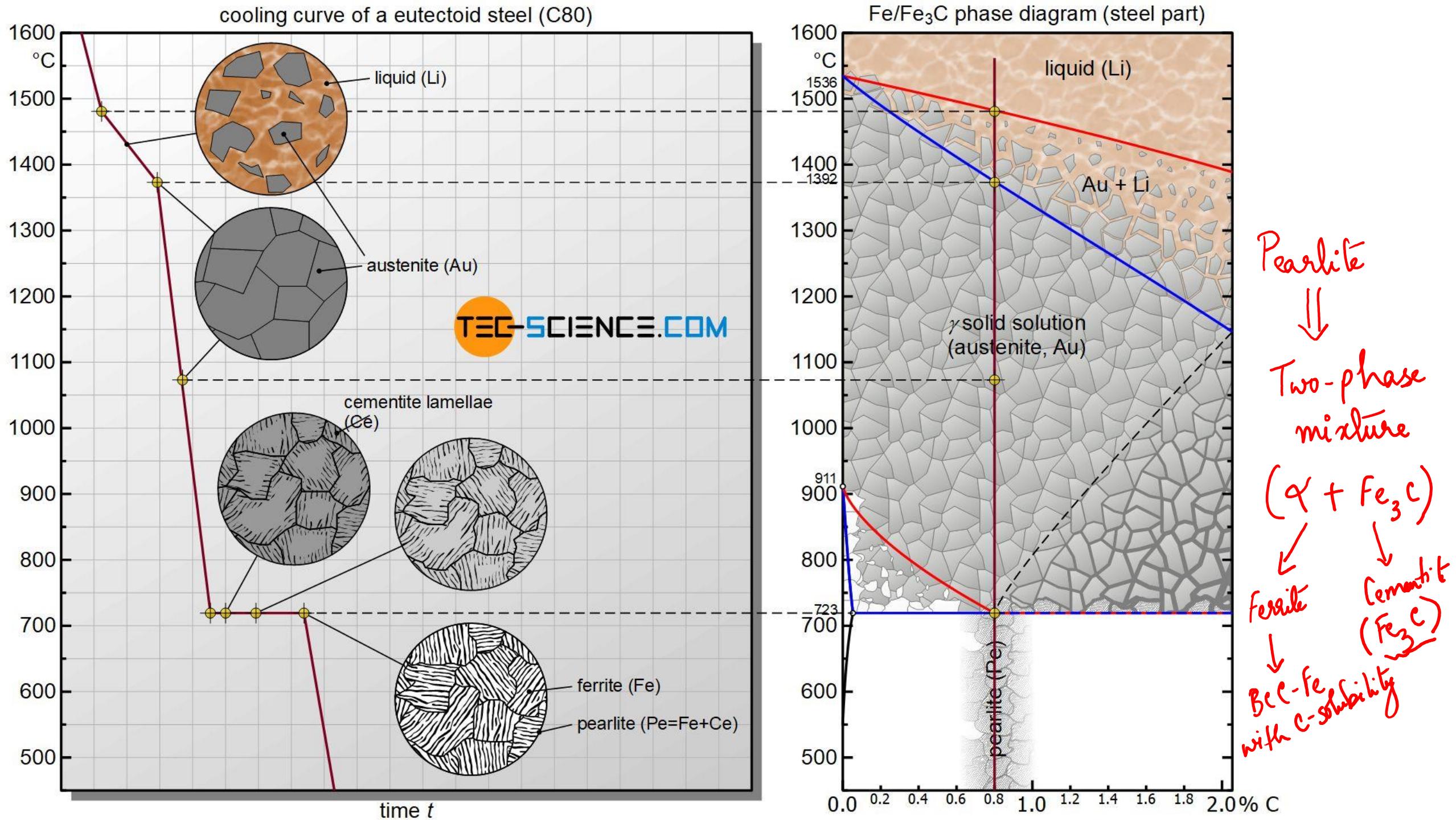


cooling curve of a hypereutectoid steel (C140)

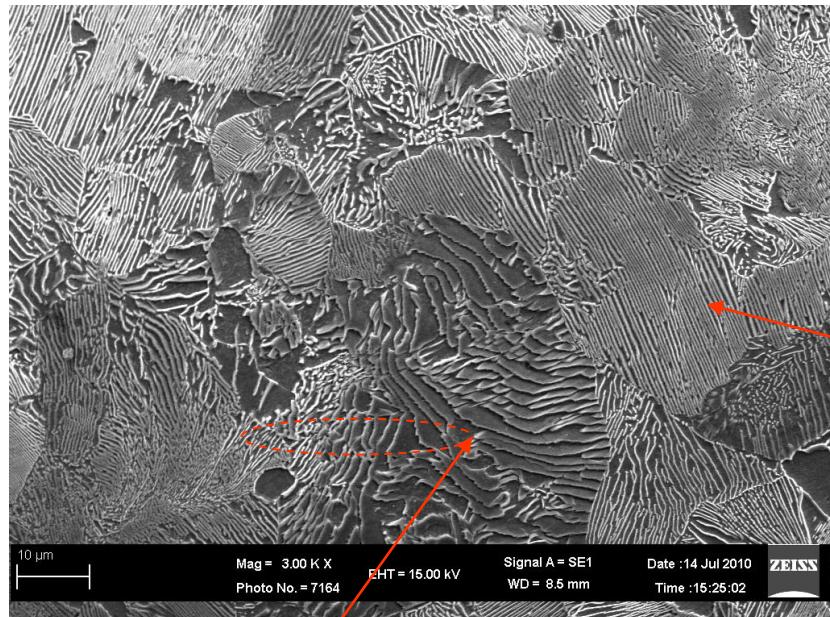


Fe/Fe<sub>3</sub>C phase diagram (steel part)



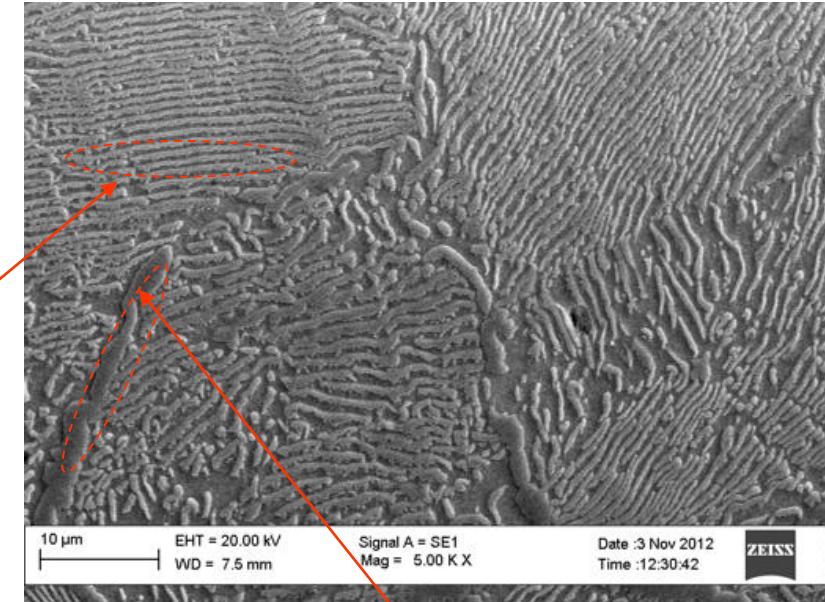


## SEM micrograph of pearlite in eutectoid composition (0.8% C) of steel



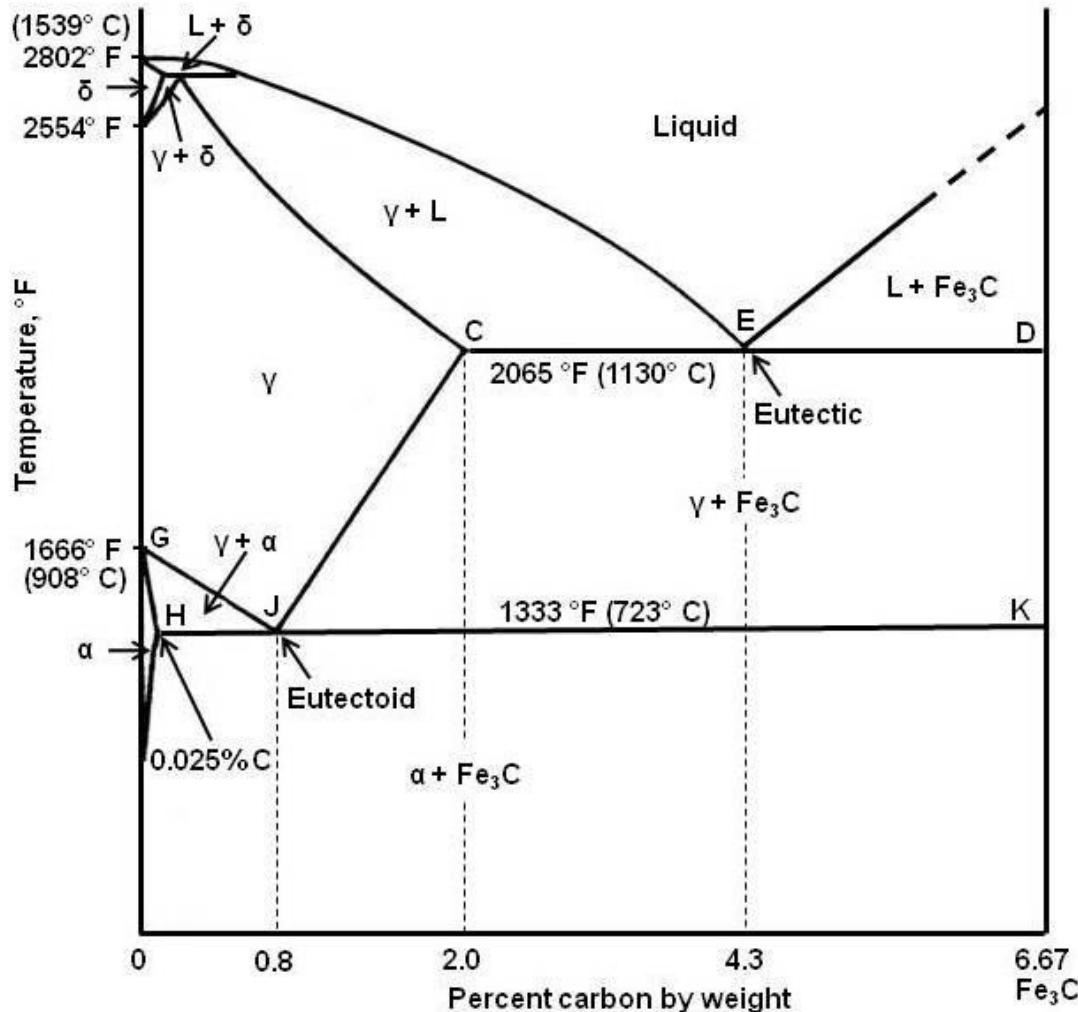
lamellar spacing in the micrograph:  
different orientation of the lamellae

## SEM micrograph of pearlite in hypo-eutectoid composition (1% C) of steel

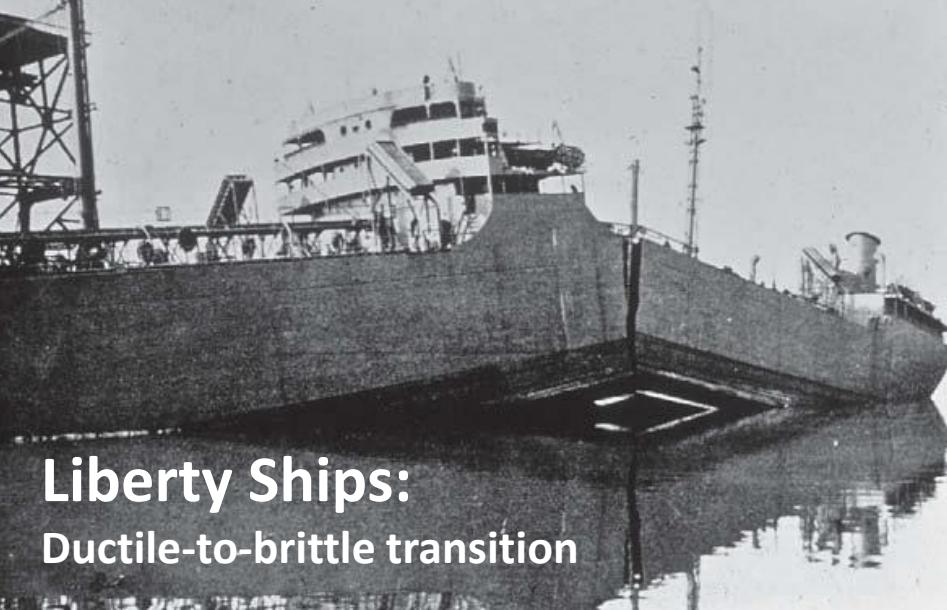


Pro-eutectoid Cementite along prior austenite grain boundaries

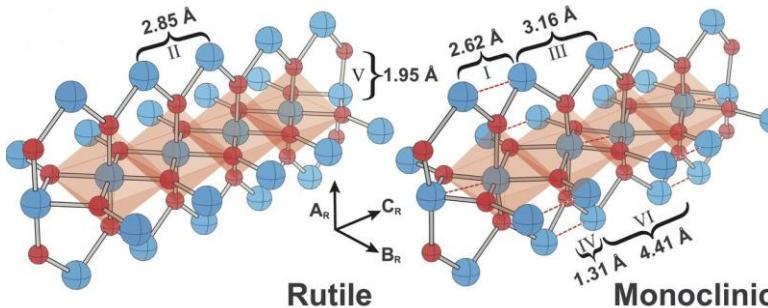
A steel contains 20% pearlite and 80% pro-eutectoid (primary) ferrite at room temperature. Is the steel hypoeutectoid or hypereutectoid?



# **Phase Transformation**

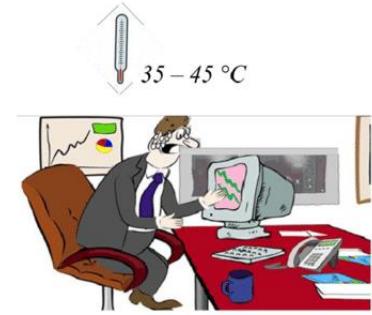
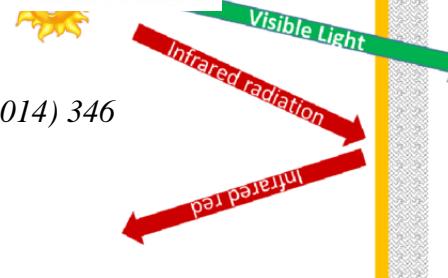


## Smart windows

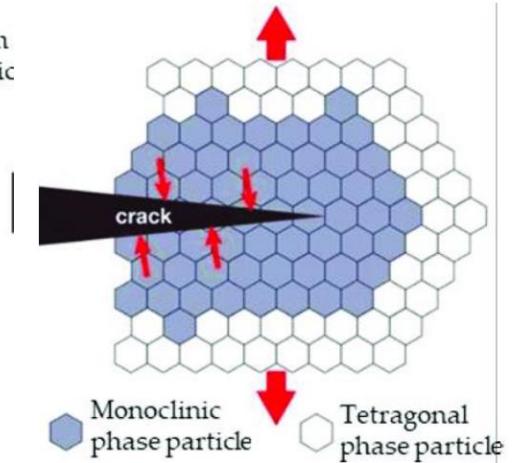
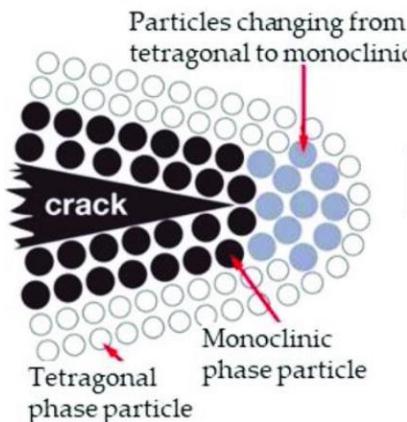


Morrison et al., Science (2014) 346

Normal glass window



$VO_2$  based smart window



# Why should one learn phase transformation?

- ❑ What causes phase transformation? -----> Temperature and Pressure
- ❑ Knowledge about phase transformation will aid at designing the material.



# MLL 100

# Introduction to

# Materials Science and Engineering

***Lecture-15 (February 09, 2022)***

Dr. Sangeeta Santra ([ssantra@mse.iitd.ac.in](mailto:ssantra@mse.iitd.ac.in))



IIT Delhi

Department of Materials Science and Engineering

# What have we learnt in Lecture-14?

- Radius ratio rule (Pauling's rule) for ionic structure
- Pearlite

# Phase transformation

## Change in 'state'



Solid



Liquid



Gas

## Change in 'Property'

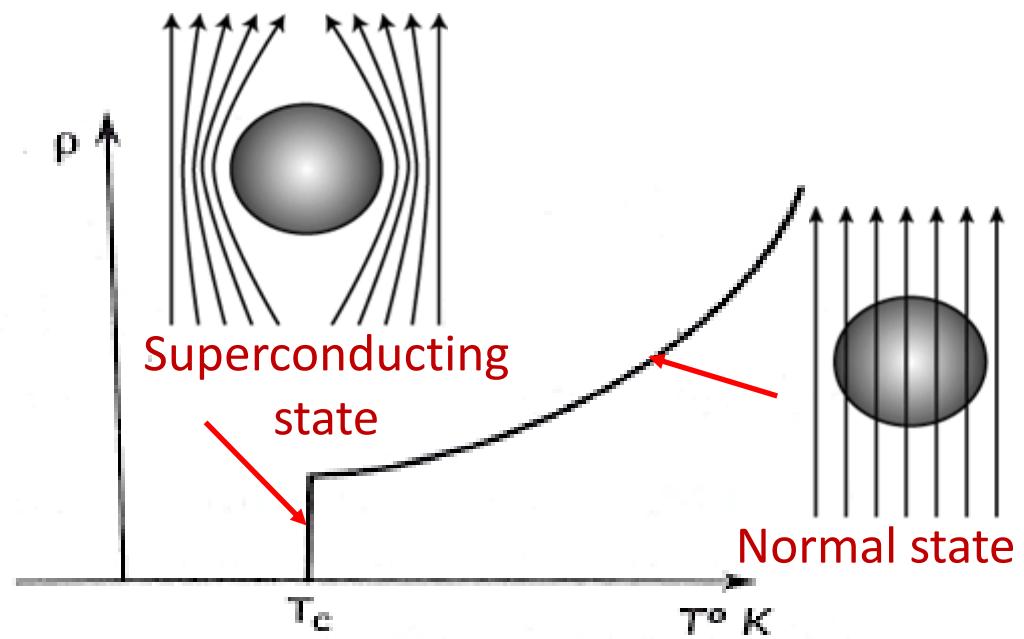
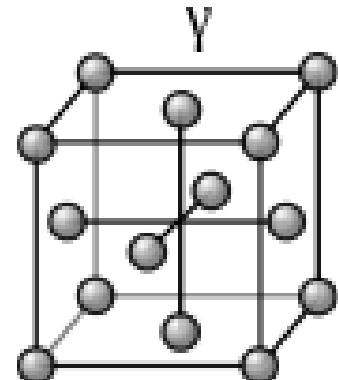
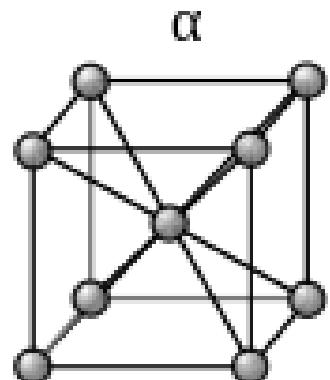


Paramagnetic



Ferromagnetic

## Change in 'crystal structure'



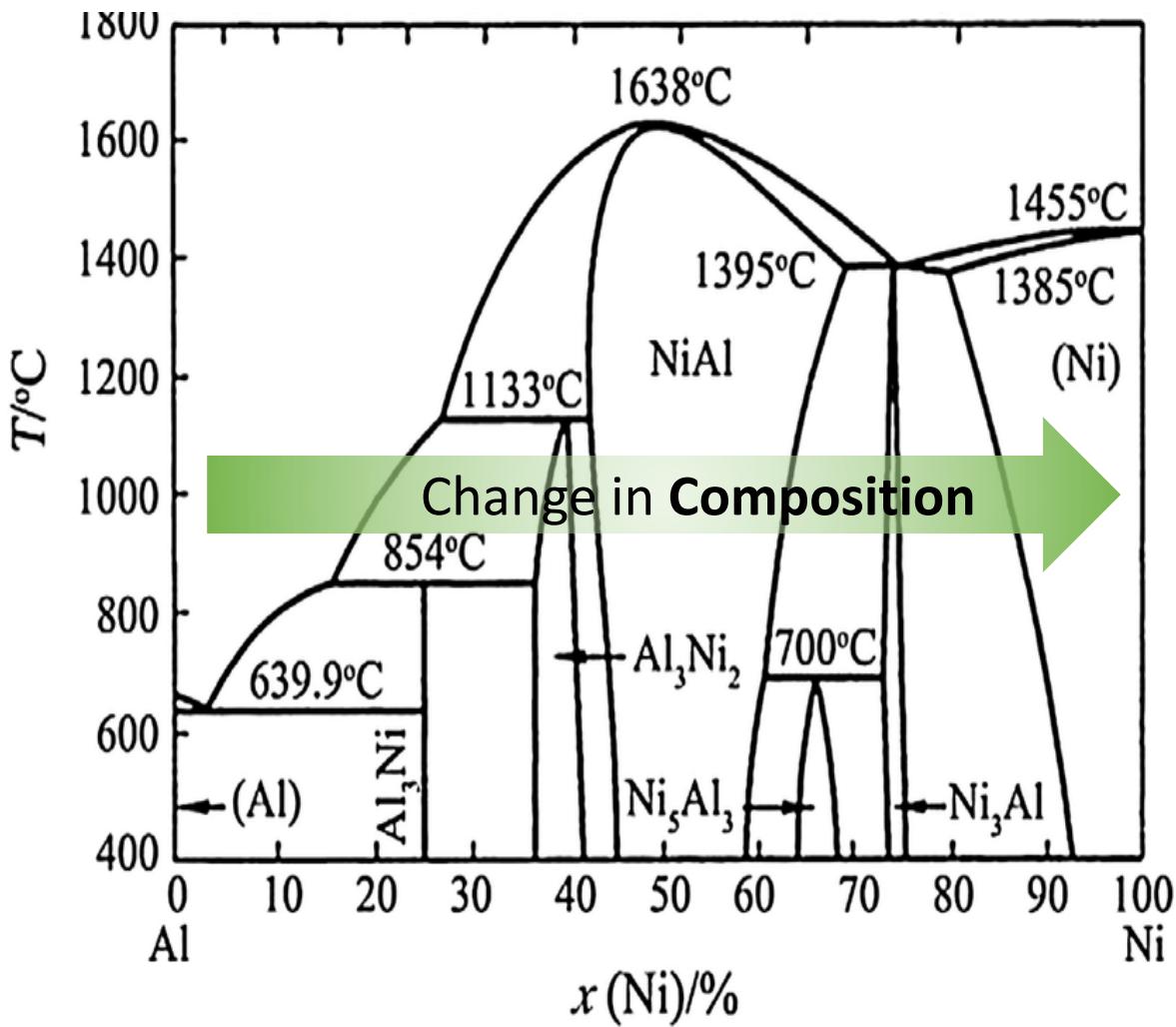
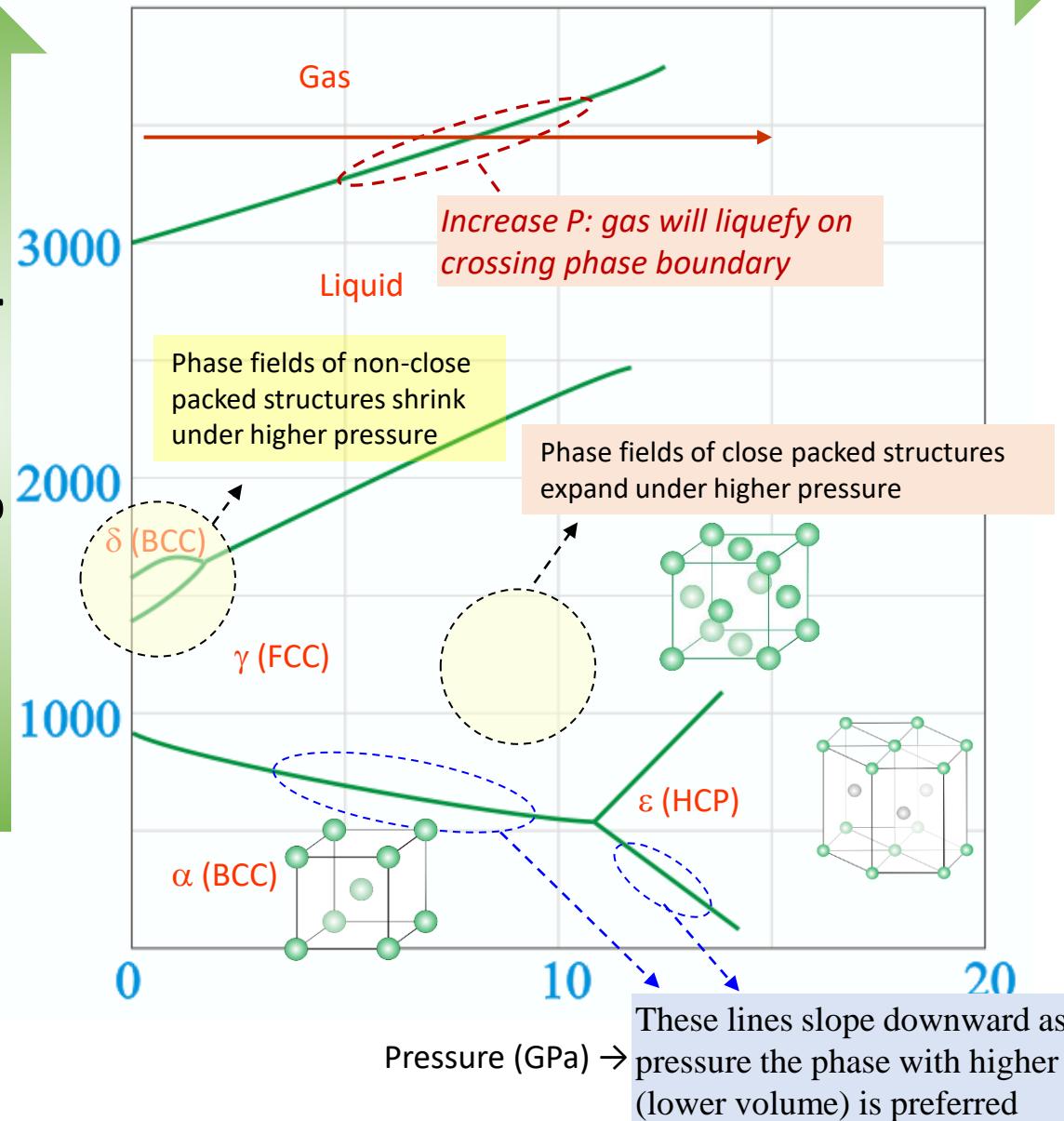
***Transformation of one phase to another involving a change in chemical, structural, or physical properties caused by any external stimulus.***

What could be those external stimuli?

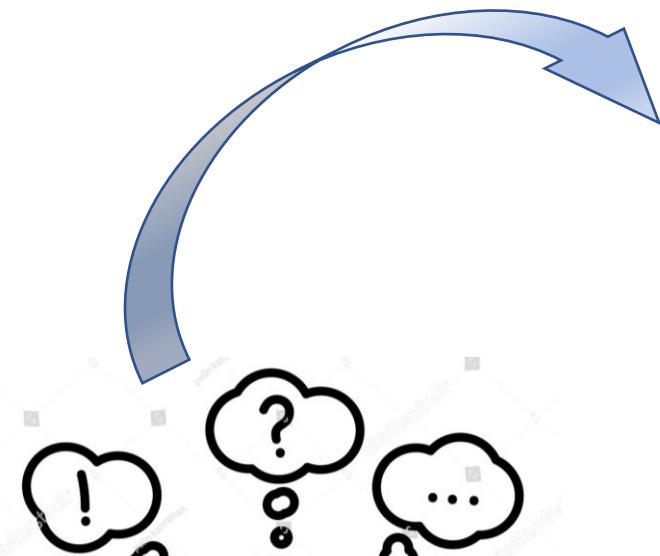
# Factors aiding phase transformation

Change in Temperature (°C) ↑

## Change in Pressure



# Why does 'Phase transformation' occur?



You are bit unhappy, or down ----> Not 'stable'

Restless (**Unstable state**)



Working very hard

Put an extra effort to transform yourself

**Saddle point**

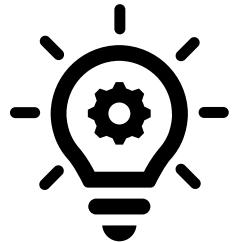


Very high motivation

State after transformation

**Stable state**

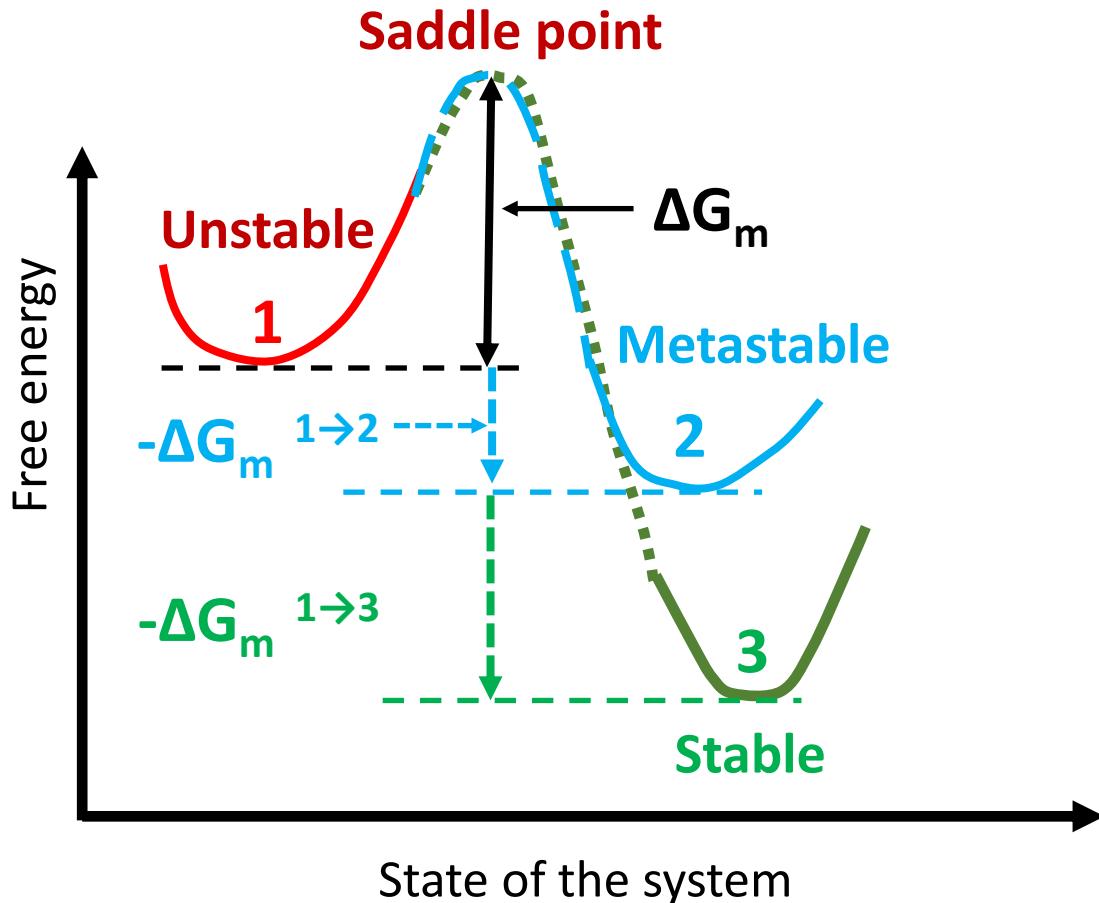
**Initial state of the system is 'unstable' relative to the final state of the system**



**When will the phase be called in an ‘unstable’ state?**

**Is it necessary for a transformation to reach the stable state directly from an unstable state?**

# How is stability of a system measured?



- Thermodynamic control involves lowering of Gibbs free energy,  $\mathbf{G} = \mathbf{G}_m (T, P, n)$

$$dG = -SdT + VdP + \sum_{i=1}^N \mu_i dN_i$$

$$\left( \frac{dG}{dN_i} \right)_{T,P} = \mu_i$$

Chemical potential

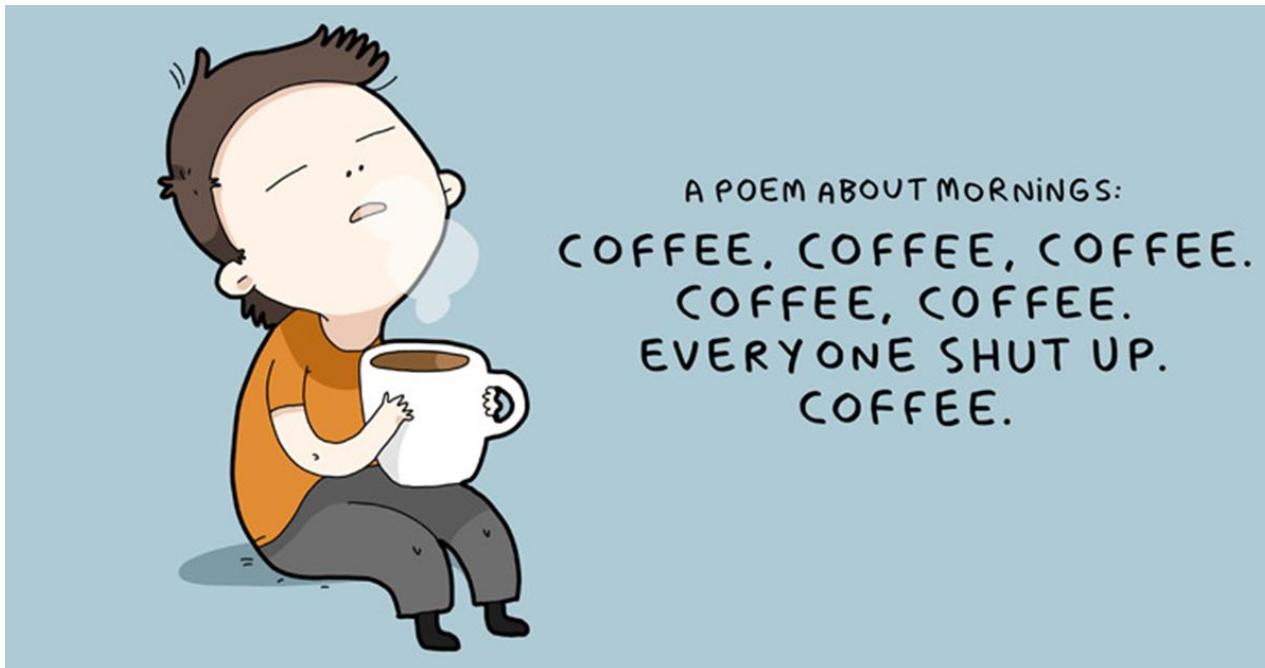
Does only 'Gibbs free energy' dictate the phase stability?



The dictating thermodynamic potential depends on the state variables which are held constant during the process.

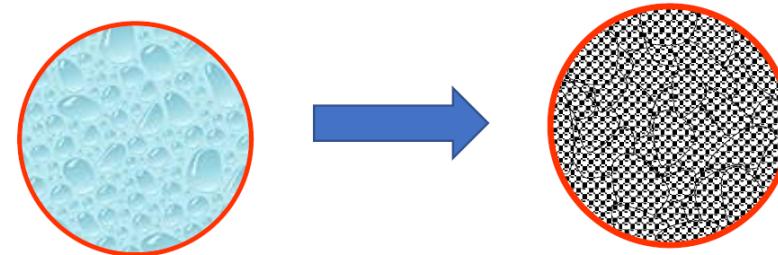
On cooling just below  $T_m$  solid becomes stable, i.e.  $G_{\text{Liquid}} > G_{\text{Solid}}$

However, when we are just below  $T_m$  solidification does not 'start'.  
E.g. liquid Ni can be undercooled 250 K below  $T_m$ .



# Driving force for phase transformation

Liquid → Solid ( $\alpha$ )



Thermodynamic driving force for a phase transformation?

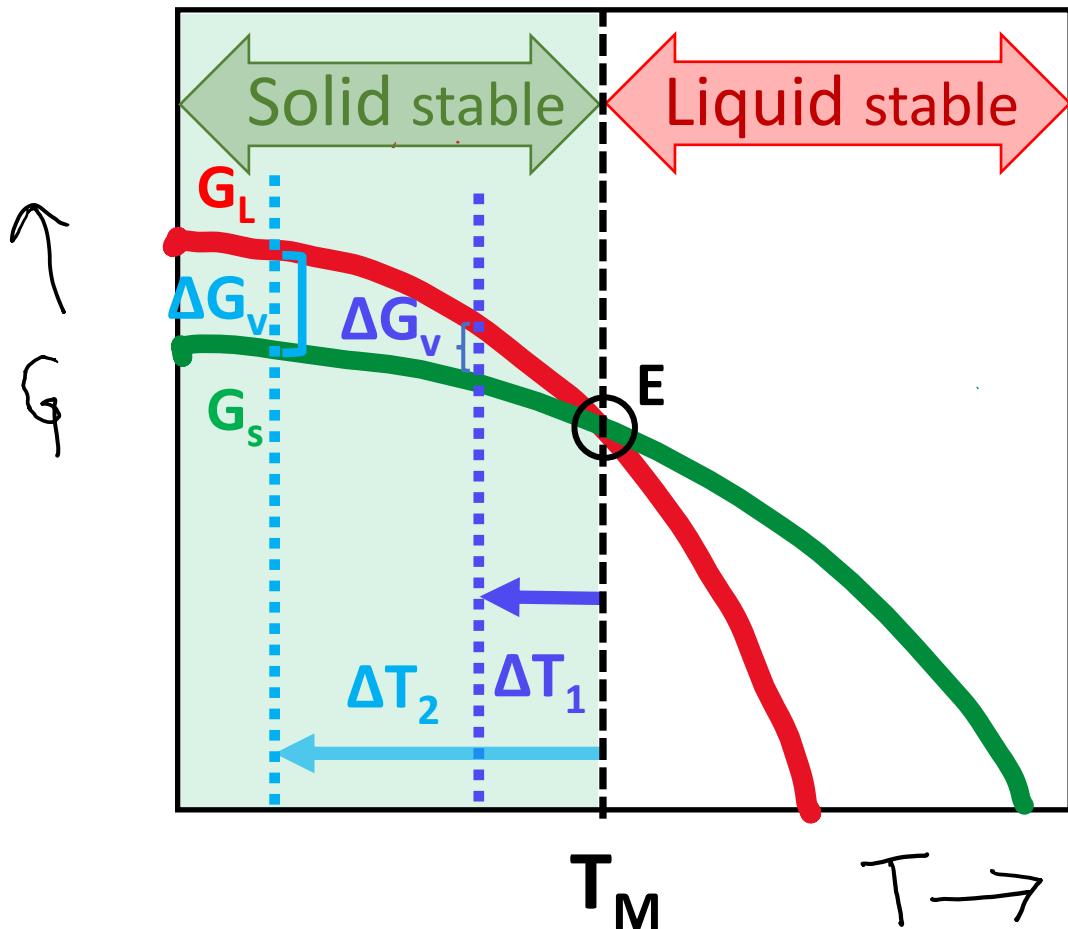
Decrease in Gibbs free energy

Liquid  $\rightarrow$  solid

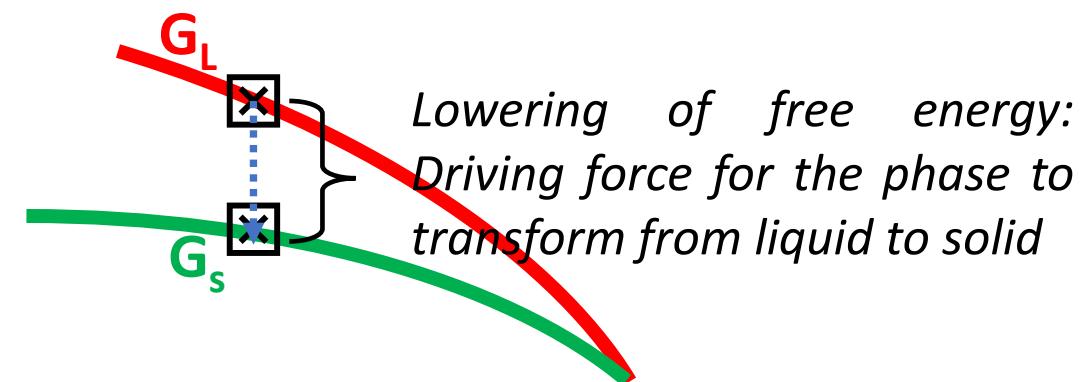
$$G_s - G_l = \Delta G = -ve$$

***Lower the free energy, stable is the phase.***

$$\Delta G = (G_s - G_l) < 0$$



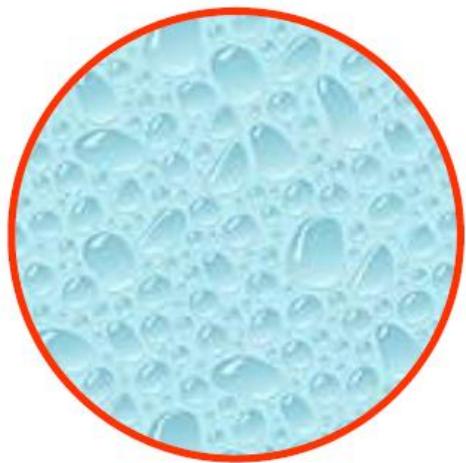
- $G_s$ : Free energy of solid
- $G_L$ : Free energy of liquid
- At 'E',  $G_s = G_L$
- $\Delta T$  : Undercooling or supercooling



When will the solid transform to the liquid?

# Mechanism (Steps) of a phase transformation

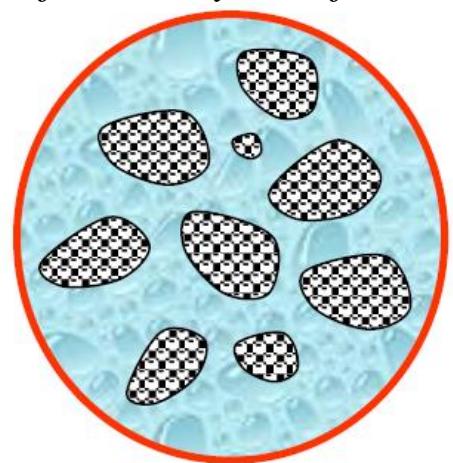
## Solidification



=

## Nucleation

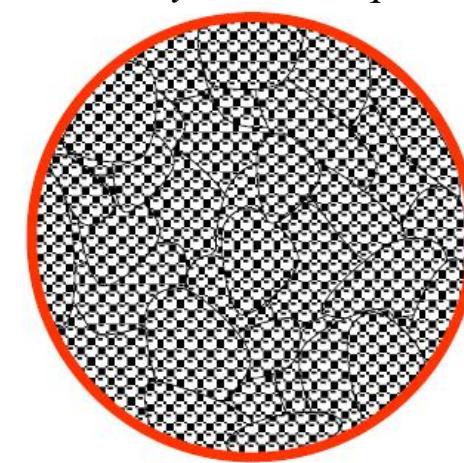
*of solid crystals from melt*



+

## Growth

*of nucleated crystals till liquid is exhausted*



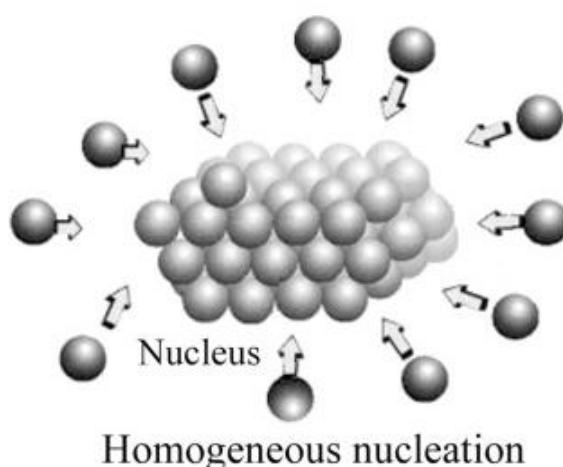
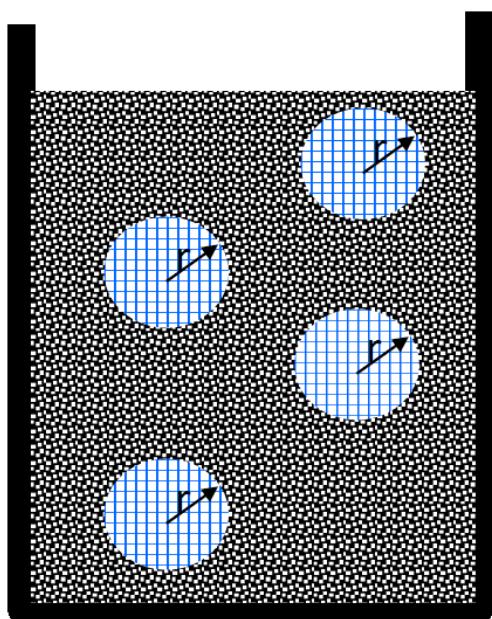
*Formation of stable  
particles of solid*

*Increase in size of the formed  
stable particles of solid*

- ❑ Formation of a nucleus of a phase from a second phase (in general, for any kind of phase transformation).
- ❑ Formation of a solid nucleus from liquid phase (in particular, for solidification).

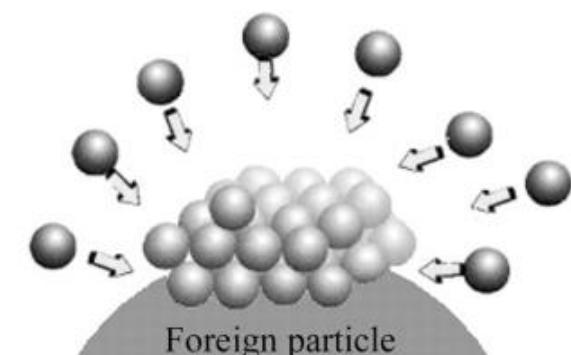
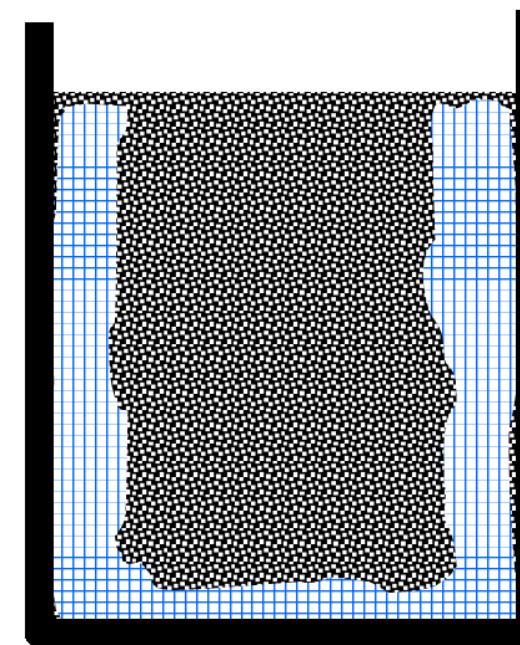
# Types of Nucleation

## Homogeneous Nucleation



*Probability of nucleation occurring at any given site in volume of parent phase is identical to that any other site*

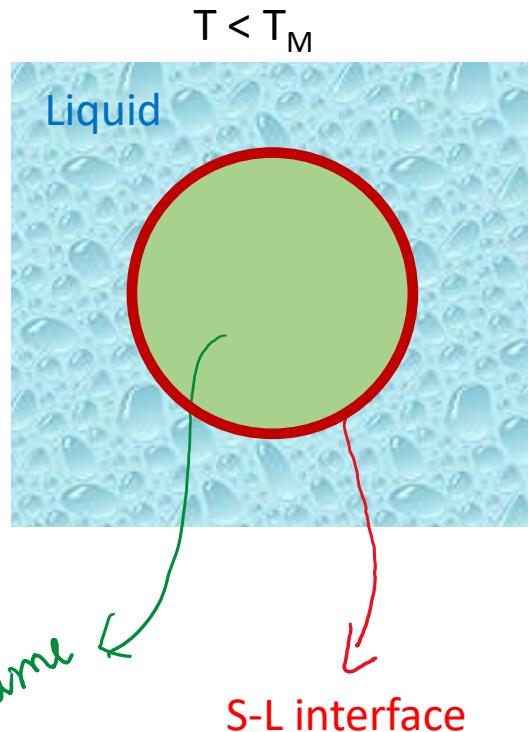
## Heterogeneous Nucleation



*Probability of nucleation occurring at preferred site (e.g. grain boundaries, dislocations, inclusions, mould wall) is much more than that at any other site*

# Homogeneous nucleation

- Consider L→S transformation taking place by homogenous nucleation.
- Let the system be undercooled to a fixed temperature.
- Let us consider the formation of a spherical crystal of radius 'r' from the melt.
- The change in 'G' during the process, if be,  $\Delta G$ .



Total change in energy,

$$\Delta G = - (V \cdot \Delta G_v) + (A \cdot \sigma_{sl})$$

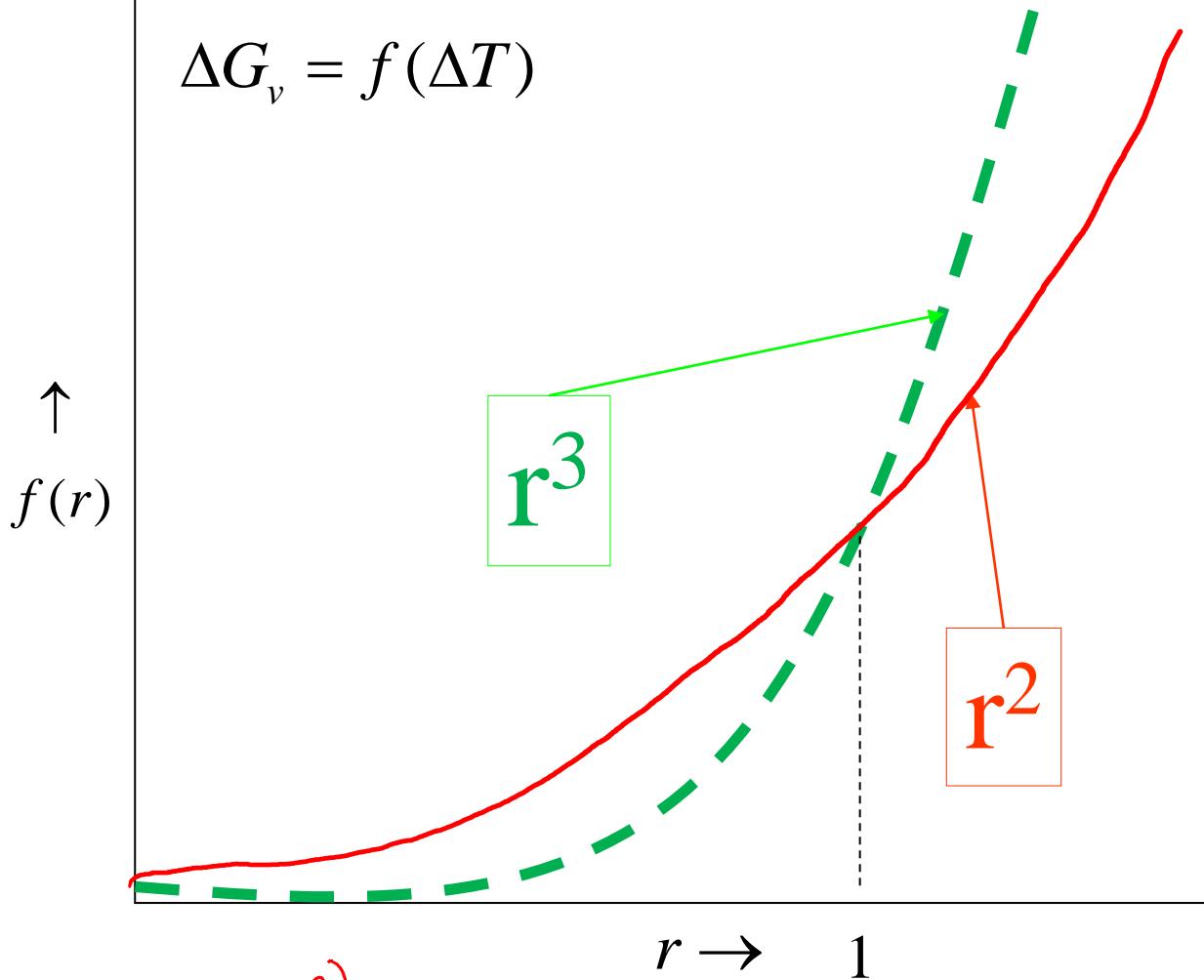
Transformation of a liquid portion to  
a solid portion with lower energy

Creation of an interface

Considering the nucleus to have a spherical shape with radius 'r':

$$\Delta G = - \left( \frac{4}{3} \pi r^3 \cdot \Delta G_v \right) + (4\pi r^2 \cdot \sigma_{sl})$$

$$\Delta G_v = f(\Delta T)$$



$$\begin{aligned} & (r^2 > r^3) \quad r=0.1 \quad r^2 = 0.01, \quad r^3 = 0.001 \\ & (r^2 < r^3) \quad r=10 \quad r^2 = 100, \quad r^3 = 1000 \end{aligned}$$

$$\Delta G = - \left( \frac{4}{3} \pi r^3 \cdot \Delta G_v \right) + (4\pi r^2 \cdot \sigma_{sl})$$

- Smaller radius ( $r$ ) : ' $r^2$ ' term will be greater than ' $r^3$ ', therefore, the interfacial energy term will dominate the nucleation.
- Larger radius ( $r$ ) : ' $r^3$ ' term will be greater than ' $r^2$ ', therefore, the volume free energy term will dominate the nucleation.

$$\Delta G = - \left( \frac{4}{3} \pi r^3 \cdot \Delta G_v \right)$$

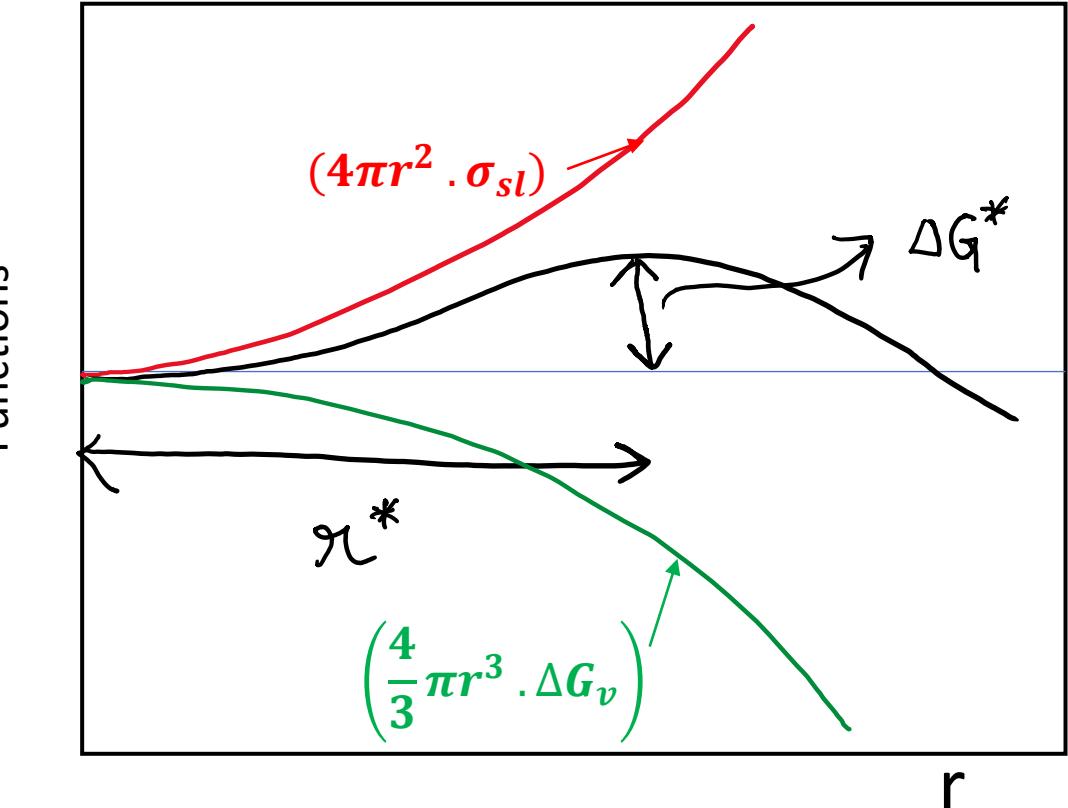
*supports  $L \rightarrow S$*

The energy which a system already has

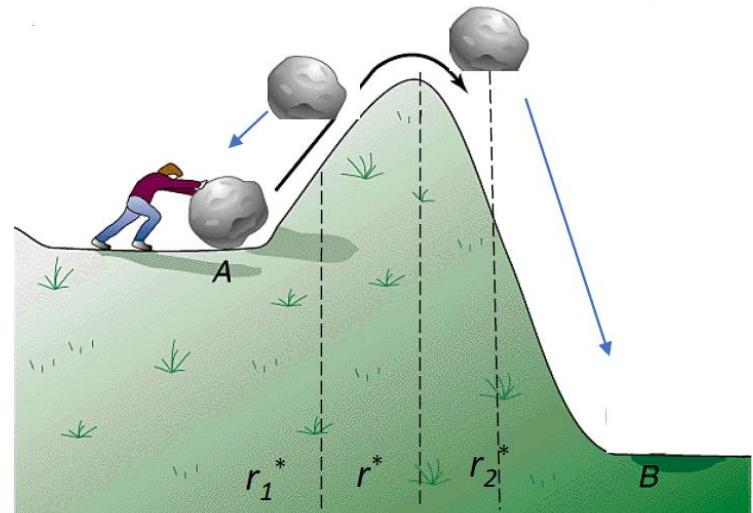
$$+ (4\pi r^2 \cdot \sigma_{sl})$$

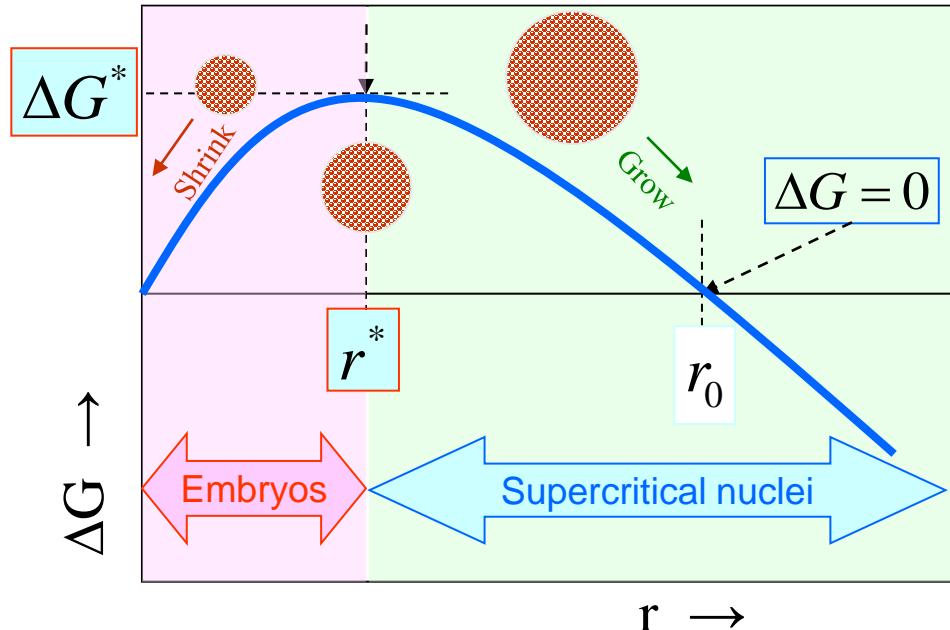
*Opposes  $L \rightarrow S$*

Additional energy which a system needs to be supplied with



- There should be a minimum or maximum in the function of the free energy change for  $L \rightarrow S$ .
- What governs that minimum/maximum? ....  $\sigma_{sl}$  and  $\Delta G_v$
- $f(\sigma_{sl}) \propto r^2$  and  $f(\Delta G_v) \propto r^3$
- For lower limit of 'r',  $\sigma_{sl}$  dominates, and higher limit of 'r',  $\Delta G_v$  dominates





- $\Delta G$  vs  $r$  plot goes through a maximum: ‘ $G$ ’ increases when a small crystal forms and would tend to dissolve.
- Maximum of  $\Delta G$  vs  $r$  plot is obtained by setting  $d\Delta G/dr = 0$ . The maximum value of  $\Delta G$  corresponds to a value of ‘ $r$ ’ called the critical radius ( $r^*$ ).
- If because of some ‘*statistical random fluctuation*’ a crystal of ‘preferred’ crystal structure with  $\text{size} > r^*$  (*called supercritical nuclei*) forms, then it can grow because of a favourable ‘ $G$ ’ trend. Crystals smaller than  $r^*$  (*called embryos*) will tend to shrink to reduce ‘ $G$ ’. The critical value of  $\Delta G$  at  $r^*$  is called  $\Delta G^*$ .
- Reduction in  $G$  (below the liquid state) is obtained only after  $r_0$  is obtained (which can be obtained by setting  $\Delta G = 0$ ).

What is that minimum/maximum?:

$$\frac{d\Delta G}{dr} = - (4\pi r^2 \cdot \Delta G_v) + (8\pi r \cdot \sigma_{sl})$$

$$\frac{d}{dr} \left( \frac{4\pi r^3}{3} \right)_v + 8\pi r \cdot \sigma_{sl}$$

At either minimum/maximum:

$$r^* = \frac{2\sigma_{sl}}{\Delta G_v}$$

$$\frac{d\Delta G}{dr} = 0 \quad 0 = - (4\pi r^2 \cdot \Delta G_v) + (8\pi r \cdot \sigma_{sl})$$

$$(4\pi r^2 \cdot \Delta G_v) = (8\pi r \cdot \sigma_{sl})$$

**Critical nucleus size**

The change in free energy for the critical nucleus size:

$$\begin{aligned} \Delta G &= - \left( \frac{4}{3} \pi r^3 \cdot \Delta G_v \right) + (4\pi r^2 \cdot \sigma_{sl}) \\ &= - \left[ \frac{4}{3} \pi \left( \frac{2\sigma}{\Delta G_v} \right)^3 \cdot \Delta G_v \right] + \left[ 4\pi \left( \frac{2\sigma}{\Delta G_v} \right)^2 \cdot \sigma_{sl} \right] \\ &= \left( \frac{4}{3} \pi \cdot \frac{8\sigma^3}{\Delta G_v^3} \cdot \Delta G_v \right) + \left( 4\pi \cdot \frac{4\sigma^2}{\Delta G_v^2} \cdot \sigma_{sl} \right) \\ &= - \frac{8\pi \sigma^3}{\Delta G_v^2} \left( \frac{4}{3} - 2 \right) = \frac{16\pi \sigma^3}{3 \Delta G_v^2} = \Delta G^* \end{aligned}$$

# 2<sup>nd</sup> Quiz of MLL100

- Date: 12-02-2022 (Saturday)
- Time: 10:30 am – 10:45 am
- Duration: 15 minutes
- Via: Moodle
- Question type: Multiple-choice
- Negative marking: No
- Navigation: Sequential
- Syllabus: Phase diagram, equilibria and transformation

# Minor exam of MLL100

- Date: 16-02-2022 (Wednesday)
- Time: 3:45 pm – 4:45 pm
- Duration: 1 h
- Via: Moodle
- Question type: Multiple-choice
- Negative marking: Yes
- Navigation: Off
- Syllabus: Content covered until 12-02-2022

# MLL 100

# Introduction to

# Materials Science and Engineering

***Lecture-16 (February 11, 2022)***

Dr. Sangeeta Santra ([ssantra@mse.iitd.ac.in](mailto:ssantra@mse.iitd.ac.in))



IIT Delhi

Department of Materials Science and Engineering

# What have we learnt in Lecture-15?

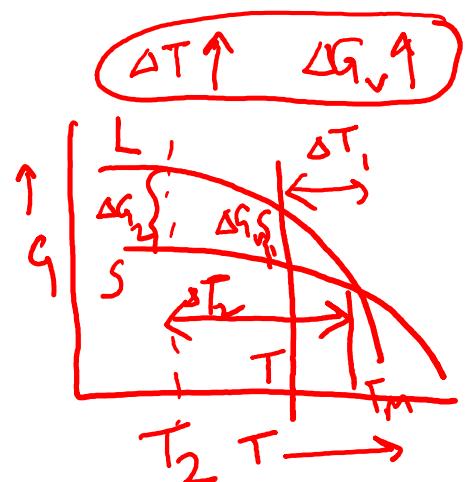
- Steps involved in Phase transformation
- Gibb's free energy
- Chemical potential
- Types of nucleation
- Critical radius and critical free energy of homogeneous nucleation

# Variation of $r^*$ and $\Delta G^*$ with undercooling

Critical nucleus size,  $r^* = \frac{2 \cdot \gamma_{sl}}{\Delta G_v}$

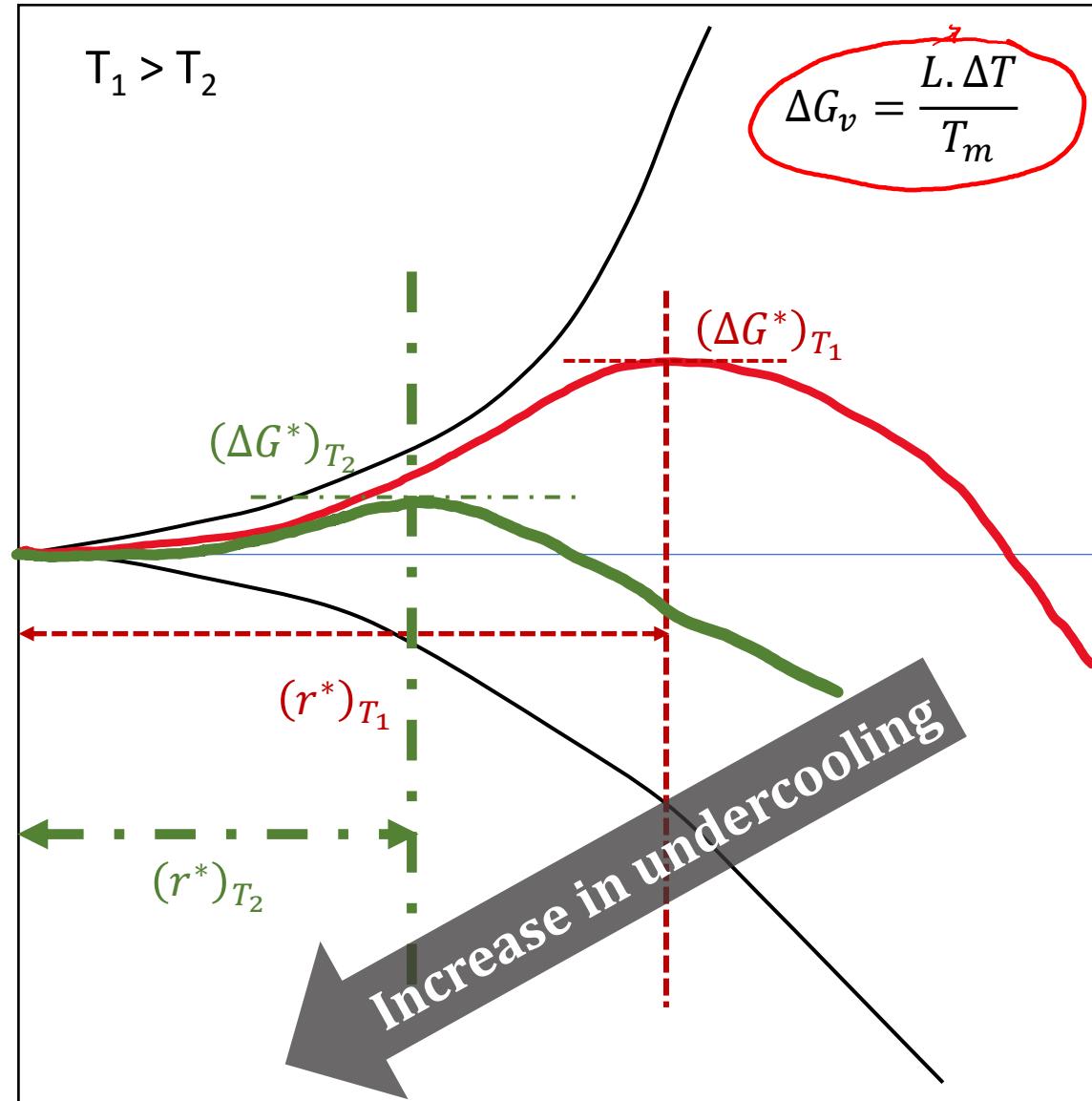
$$r^* = \frac{2 \cdot \gamma_{sl} T_m}{L \cdot \Delta T}$$

Free energy change for a nucleus with critical size,



$$\Delta G^* = \frac{16\pi\gamma_{sl}^3}{3 \cdot (\Delta G_v)^2}$$

$$\Delta G^* = \frac{16\pi\gamma_{sl}^3 T_m^2}{3 \cdot L^2 (\Delta T)^2}$$



Both the 'critical nucleus size' and the 'free energy required to form that critical nucleus' decrease with undercooling.

$$\boxed{\Delta G = \Delta H - T\Delta S}$$

①  $G \Rightarrow$  Gibbs free energy  
 $H \Rightarrow$  Enthalpy

At  $T = T_m, G = 0$

$$(\because G_s = G_L)$$

$T \Rightarrow$  Temperature

$S \Rightarrow$  Entropy

$$\therefore \Delta G = 0 = (\Delta H - T_m \Delta S)$$

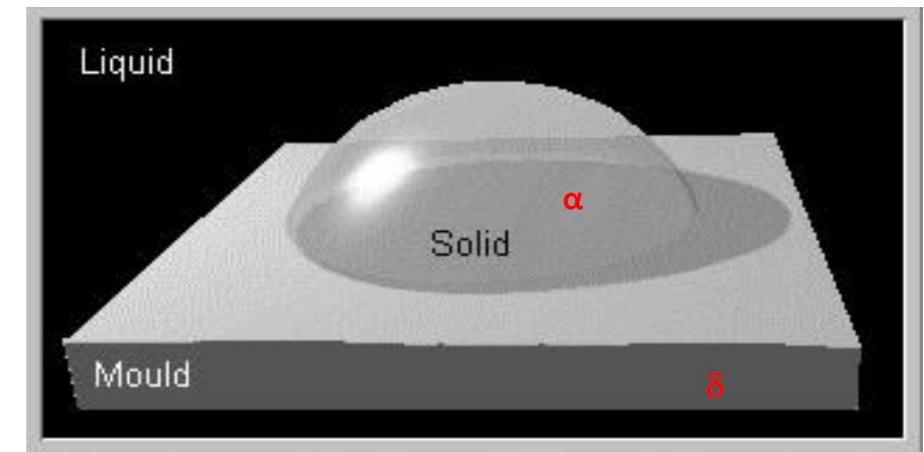
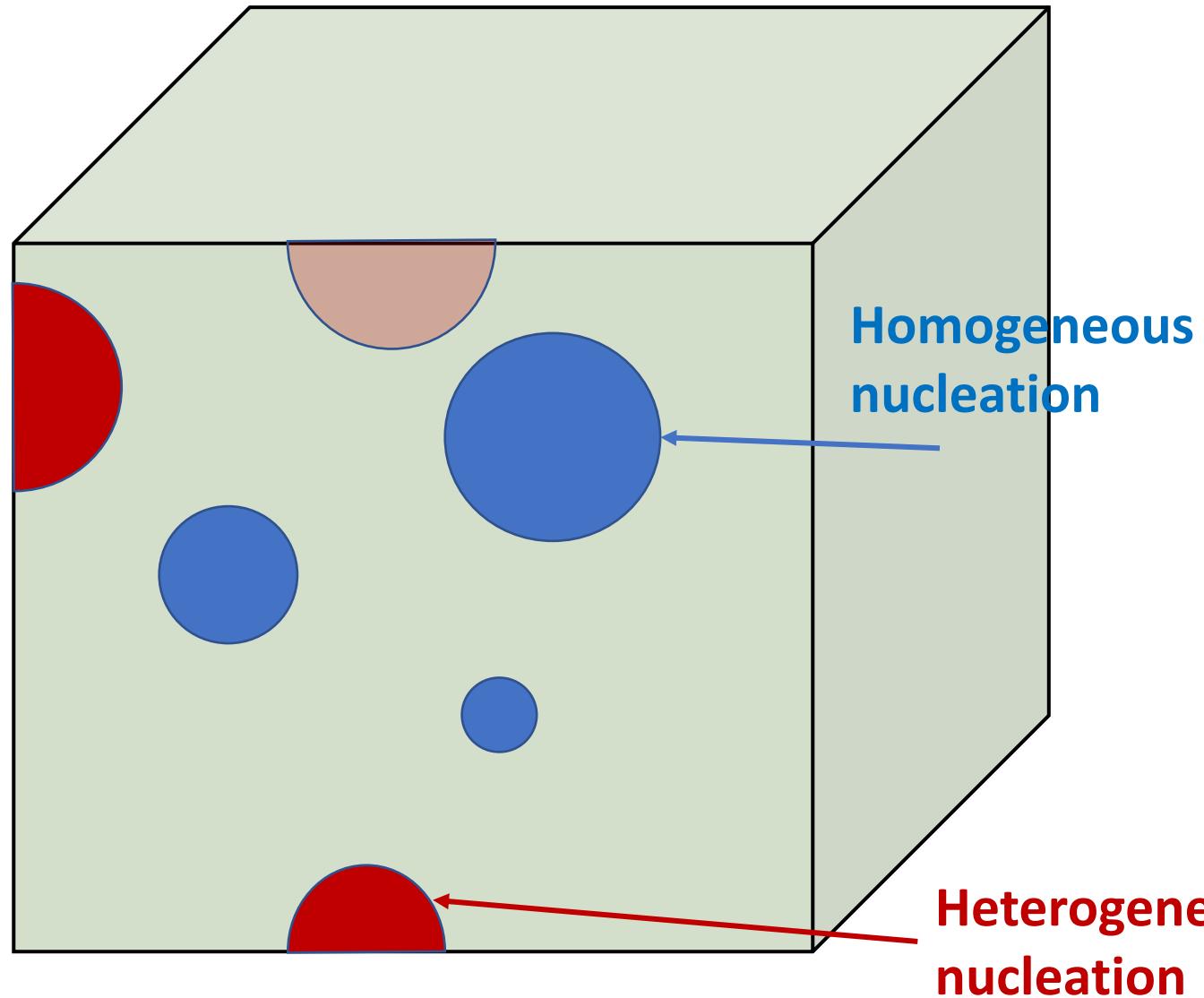
At  $T = T_m, H = \text{Latent heat } (L)$

$$\therefore L = T_m S \quad \therefore S = \left( \frac{L}{T_m} \right) - ②$$

Substitute ② in ①;

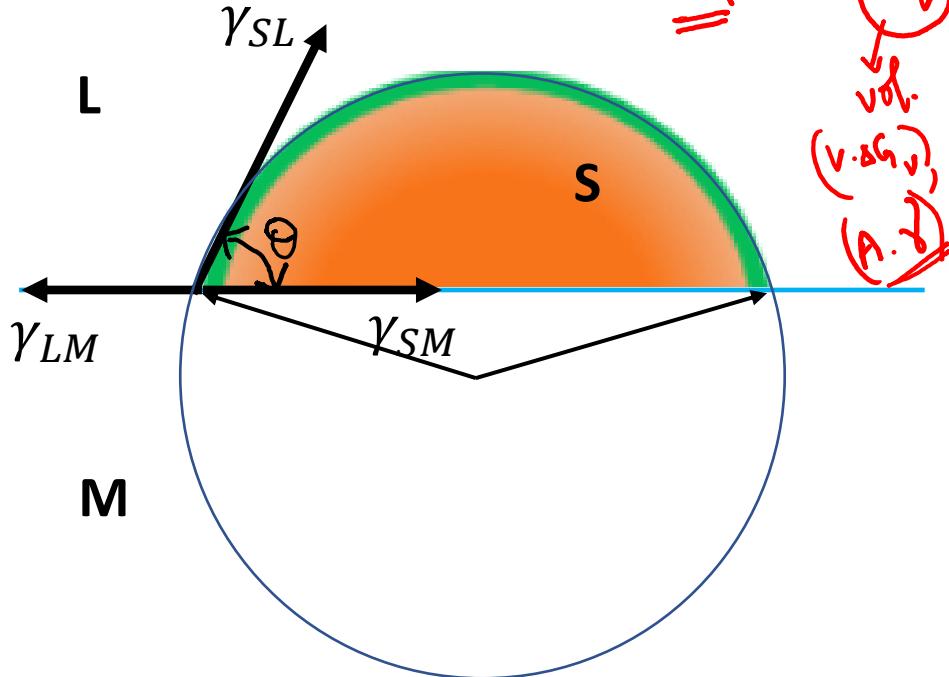
$$\Delta G = L - T \left( \frac{L}{T_m} \right) = L \left[ 1 - \frac{T}{T_m} \right] = L \left[ \frac{T_m - T}{T_m} \right] = \left( \frac{L \cdot \Delta T}{T_m} \right)$$

# Heterogeneous nucleation



$$\Delta G_{het} = -V_s(\Delta G_v) + A_{SL}\gamma_{SL} + A_{SM}\gamma_{SM} - A_{LM}\gamma_{LM}$$

$$\Delta G \propto \gamma_{SL}$$

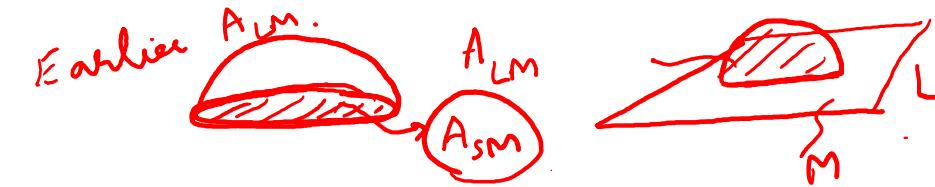


Surface tension force balance parallel to the mould surface

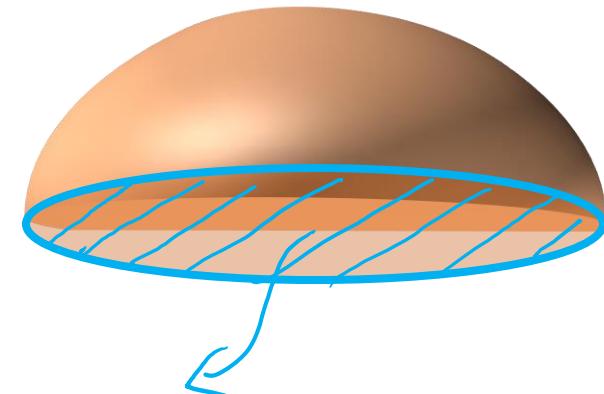
$$\gamma_{LM} = \gamma_{SM} + \gamma_{SL} \cdot \cos \theta$$

$$\cos \theta = \frac{(\gamma_{LM} - \gamma_{SM})}{\gamma_{SL}}$$

- Consider a solid nucleus (S) (of cap-shaped) on a mould (M) surface forming from liquid (L).



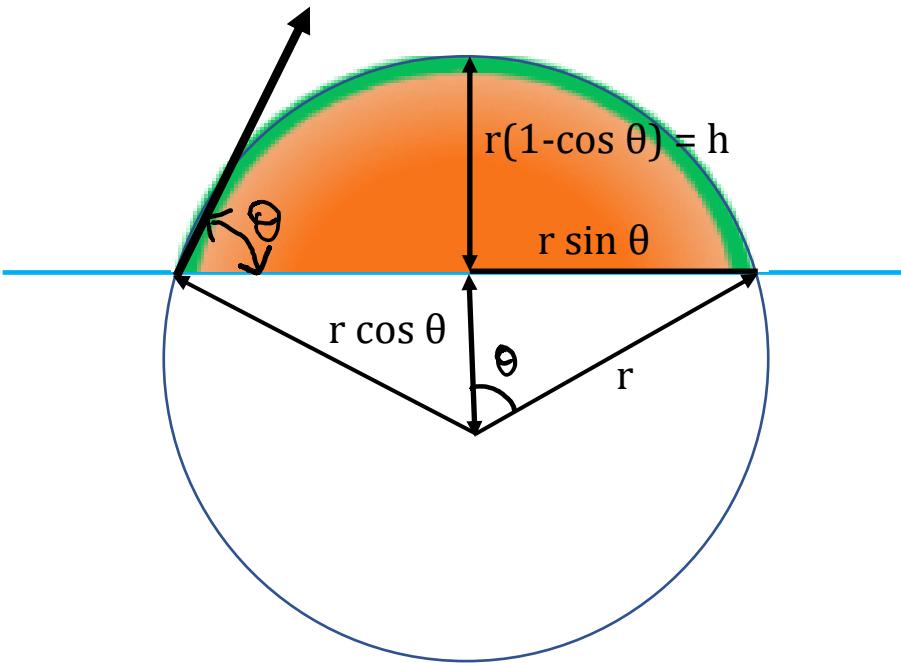
$$\Delta G_{het} = -V_s \Delta G_v + A_{SL} \gamma_{SL} + A_{SM} \gamma_{SM} - A_{SM} \gamma_{LM}$$



*Creation of  $A_{SM}$*

*Destruction of  $A_{LM}$*

$$\Delta G_{het} = -V_s \Delta G_v + A_{SL} \gamma_{SL} + A_{SM} (\gamma_{SM} - \gamma_{LM})$$



- Area of solid-liquid interface:

$$\begin{aligned}
 A_{SL} &= 2 \cdot \pi \cdot r \cdot h \\
 &= 2 \cdot \pi \cdot r \cdot (r - r \cos \theta) \\
 &= 2 \cdot \pi \cdot r^2 \cdot (1 - \cos \theta)
 \end{aligned}$$

- Area of solid-mould interface:

$$A_{SM} = \pi(r \sin \theta)^2$$



- Volume of the solid nucleus cap:

$$\begin{aligned}
 V &= \frac{\pi}{6} h (3r^2 + h^2) \\
 &= \frac{\pi}{3} r^3 (2 - 3 \cos \theta + \cos^3 \theta)
 \end{aligned}$$

- Substitution of these values in:

$$\Delta G_{het} = -V_S \Delta G_v + A_{SL} \gamma_{SL} + A_{SM} (\gamma_{SM} - \gamma_{LM})$$

$$\cos \theta = \frac{(\gamma_{LM} - \gamma_{SM})}{\gamma_{SL}}$$

- Re-arranging the terms in:

$$\Delta G_{het} = -\frac{4}{3}\pi r^3 \Delta G_v \left( \frac{2 - 3 \cos \theta + \cos^3 \theta}{4} \right) + 4\pi r^2 \gamma_{SL} \left( \frac{2 - 3 \cos \theta + \cos^3 \theta}{4} \right)$$

- Simplifying by taking the shape factor in common:

$$\Delta G_{het} = \left[ -\frac{4}{3}\pi r^3 \Delta G_v + 4\pi r^2 \gamma_{SL} \right] \left( \frac{2 - 3 \cos \theta + \cos^3 \theta}{4} \right)$$

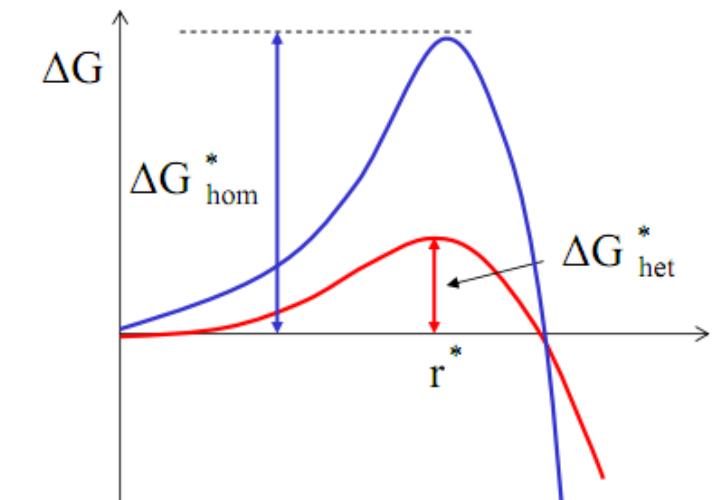
- The relation between free energy change for heterogeneous and homogeneous nucleation:

$$\Delta G_{het} = [\Delta G_{hom}] \left( \frac{2 - 3 \cos \theta + \cos^3 \theta}{4} \right)$$

$$\frac{\Delta G_{het}}{\Delta G_{hom}} = \left( \frac{2 - 3 \cos \theta + \cos^3 \theta}{4} \right)$$

- Free energy change at critical nucleus size for heterogeneous nucleation:

$$\Delta G_{het}^* = \frac{4\pi \gamma_{SL}^3}{3(\Delta G_v)^2} (2 - 3 \cos \theta + \cos^3 \theta)$$



- Free energy change at critical nucleus size for heterogeneous nucleation:

$$\Delta G_{het}^* = \frac{4\pi\gamma_{SL}^3}{3(\Delta G_v)^2} (2 - 3\cos\theta + \cos^3\theta)$$

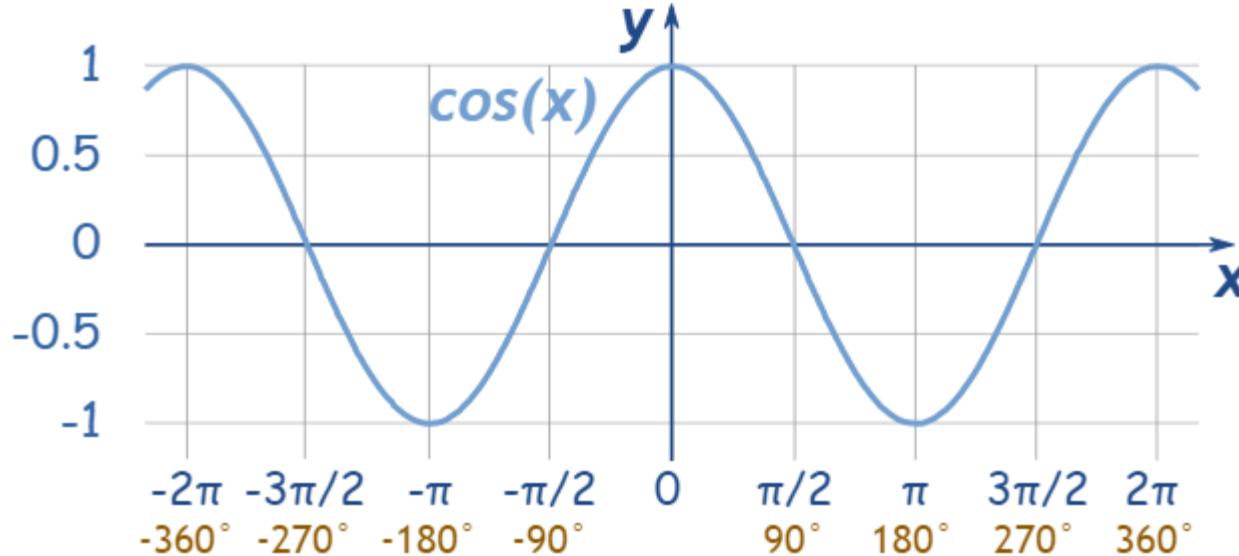
- Free energy change at critical nucleus size for homogeneous nucleation:

$$\Delta G_{hom}^* = \frac{16\pi\gamma_{SL}^3}{3(\Delta G_v)^2}$$

***How can you relate the free energy changes for homogeneous and heterogeneous nucleation?***

$$\Delta G_{het}^* = \frac{(\Delta G_{hom}^*)}{4} (2 - 3\cos\theta + \cos^3\theta)$$

$$\frac{\Delta G_{het}}{\Delta G_{hom}} = \left( \frac{2 - 3\cos\theta + \cos^3\theta}{4} \right)$$



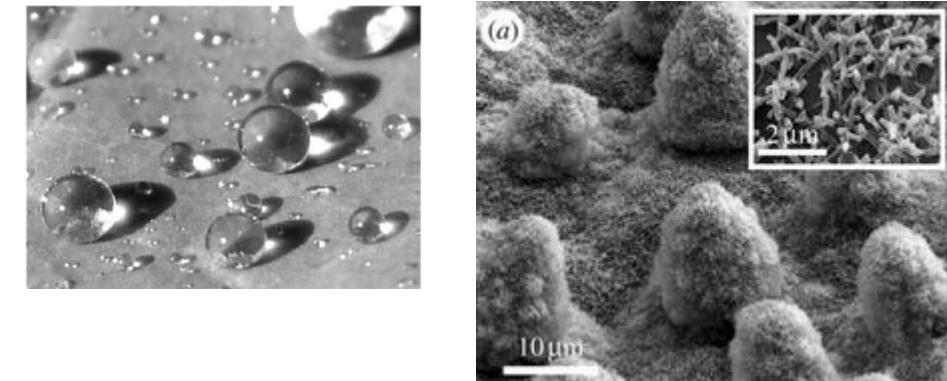
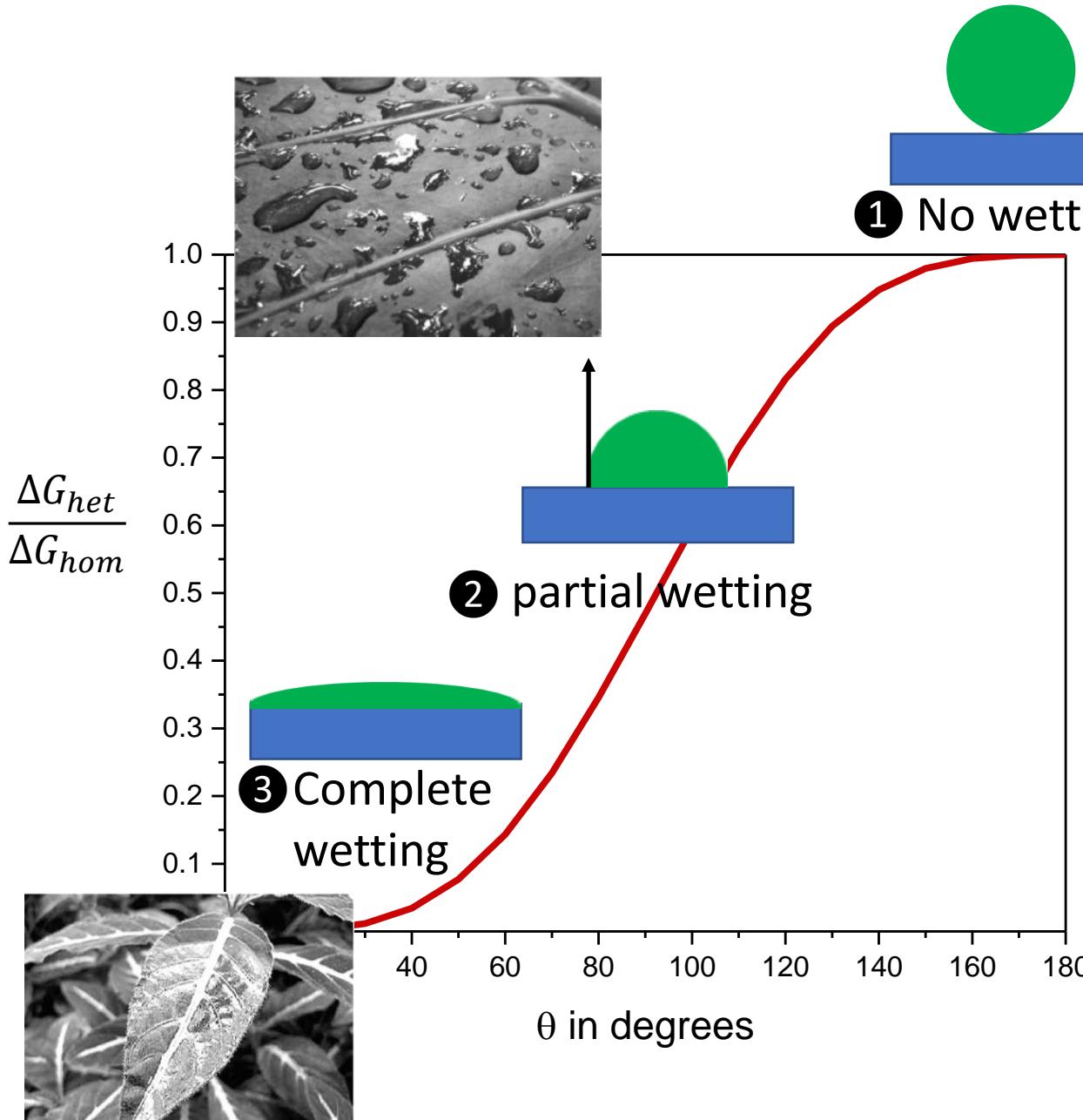
$$\Delta G_{het}^* = \frac{4\pi\gamma_{SL}^3}{3(\Delta G_v)^2} (2 - 3\cos\theta + \cos^3\theta)$$

What happens when contact angle ( $\theta$ ) increases?



*Tendency to wet the surface decreases*

# Wetting behaviour



$$\frac{\Delta G_{het}}{\Delta G_{hom}} = \left( \frac{2 - 3 \cos \theta + \cos^3 \theta}{4} \right)$$

- 1  $\theta = 180^\circ : \Delta G_{het} = \Delta G_{hom}$ , such a surface does not favour heterogeneous nucleation.
- 2  $\theta = 90^\circ : \Delta G_{het} = 0.5 \Delta G_{hom}$ , energy barrier for heterogeneous nucleation is half of the homogeneous one.
- 3  $\theta = 0^\circ : \Delta G_{het} = 0$ , no energy barrier for heterogeneous nucleation.

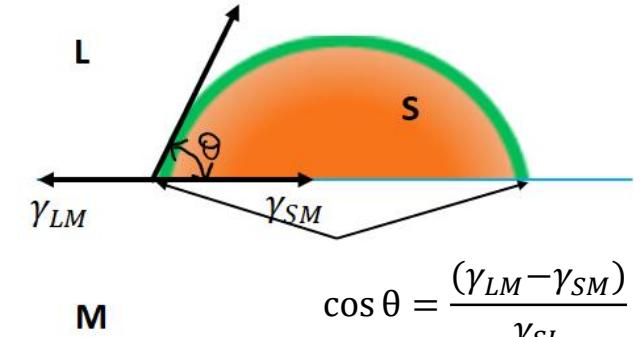
# Selection of 'heterogeneous nucleation site'

## □ How to make the contact angle ( $\theta$ ) small?

- By obtaining  $(\gamma_{LM} - \gamma_{SM})$  closer to 1 ----> larger  $\gamma_{LM}$  and smaller  $\gamma_{SM}$
- Choose an inoculant which can form a S-M interface with low  $\gamma_{SM}$

Wetting ↑		
	$\cos 0^\circ$	1
	$\cos 90^\circ$	0
	$\cos 180^\circ$	-1

$$\Delta G_{het}^* = \frac{4\pi\gamma_{SL}^3}{3(\Delta G_v)^2} (2 - 3\cos\theta + \cos^3\theta)$$



## □ How to achieve a lower $\gamma_{SM}$ ?

- Solid and inoculant have the same or a similar crystal structure
- Solid and inoculant have a similar lattice parameter, so as to have a fairly good matching at the interface.
- Nickel (FCC, 3.52 Å) as an inoculant in graphite (DC, 3.57 Å): helps producing artificial diamonds; TiB<sub>2</sub> to Al alloys

## □ Orientation relationship

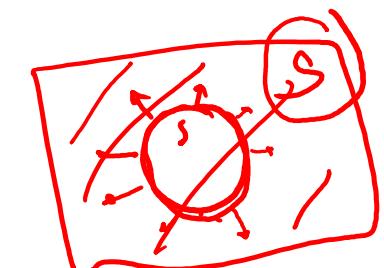
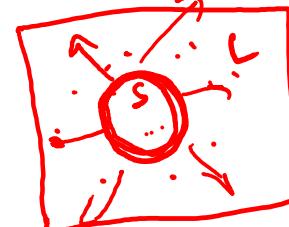
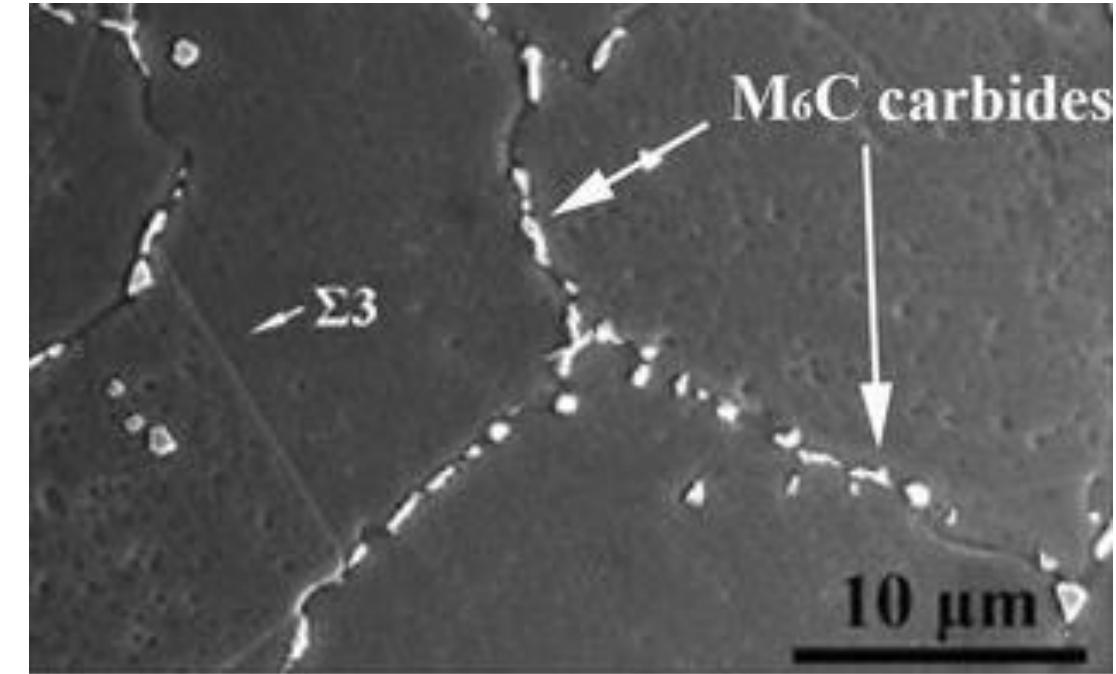
- Orientation relationship (OR) between certain crystallographic planes and directions at the interface: OR between parent and product phases helps achieving a coherent interface, and promotes heterogeneous nucleation.

# Heterogeneous nucleation

Nucleation in the liquid



Nucleation in the solid



skew (Oppose transj)  
(Change  $\Delta G$ )  $\alpha$   $\times G$   $\gamma$   
(solid surf) +  $A$   $\xrightleftharpoons{S}$   $\gamma$

# Why does we often encounter heterogeneous nucleation?

- The pre-exponential term is a function of the number of nucleation sites.
- The term that dominates is the exponential term and due to a lower  $\Delta G^*$  the heterogeneous nucleation rate is typically higher.

$$I_{\text{homo}} \propto I_{\text{homo}}^0 e^{-\left(\frac{\Delta G_{\text{homo}}^*}{kT}\right)}$$

= f(number of nucleation sites)  
 $\sim 10^{42}$

$$I_{\text{hetero}} \propto I_{\text{hetero}}^0 e^{-\left(\frac{\Delta G_{\text{hetero}}^*}{kT}\right)}$$

= f(number of nucleation sites)  
 $\sim 10^{26}$

BUT  
*the exponential term dominates*

$I_{\text{hetero}} > I_{\text{homo}}$

# MLL 100

# Introduction to

# Materials Science and Engineering

***Lecture-17 (February 12, 2022)***

Dr. Sangeeta Santra ([ssantra@mse.iitd.ac.in](mailto:ssantra@mse.iitd.ac.in))



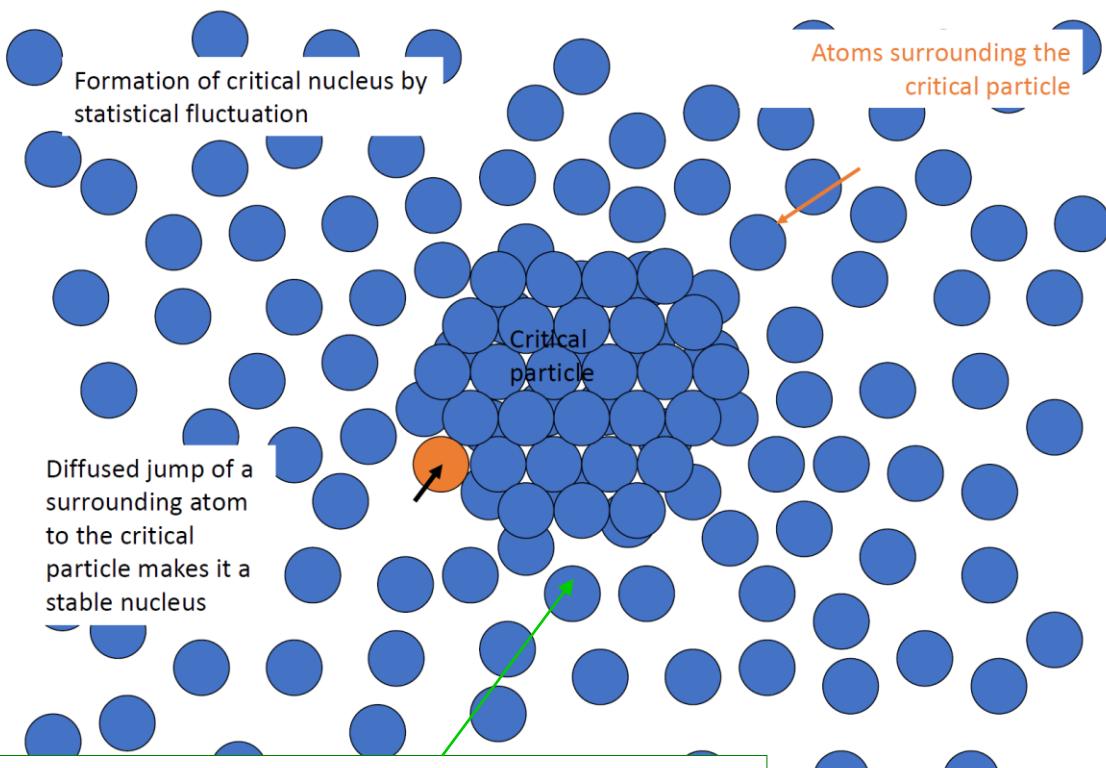
IIT Delhi

Department of Materials Science and Engineering

# What have we learnt in Lecture-16?

- ❑ Heterogeneous nucleation
- ❑ Wetting property

# Parameters influencing nucleation rate



Jump taking particle to supercriticality  
→ nucleated (*enthalpy of activation* =  $\Delta H_d$ )

- Potential atoms capable of jumping to make a critical nucleus supercritical are the atoms which are just 'adjacent' to the liquid, say  $S^*$ .
- If the ***lattice vibration frequency*** is  $\nu$  and the ***activation barrier*** for an atom facing the nucleus (i.e. atom belonging to  $s^*$ ) to jump into the nucleus (to make it supercritical) is  $\Delta H_d$ , the frequency with which nuclei become supercritical due atomic jumps into the nucleus

$$\nu' = s^* \nu e^{\left( -\frac{\Delta H_d}{kT} \right)}$$

Rate of nucleation

$$I = \frac{dN}{dt}$$

No. of critical sized particles

$$N^* = N_t e^{\left( -\frac{\Delta G^*}{kT} \right)}$$

No. of particles/volume

Frequency with which they become supercritical

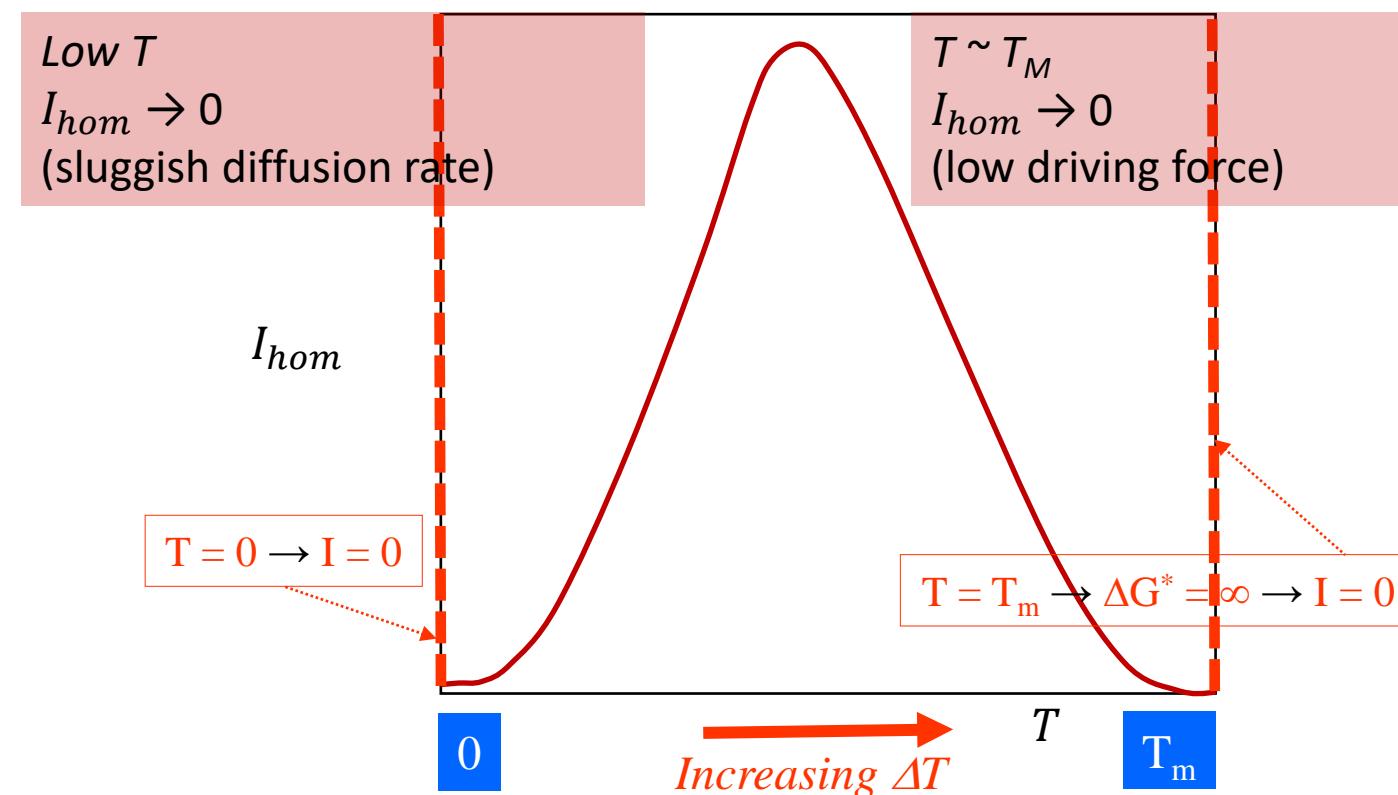
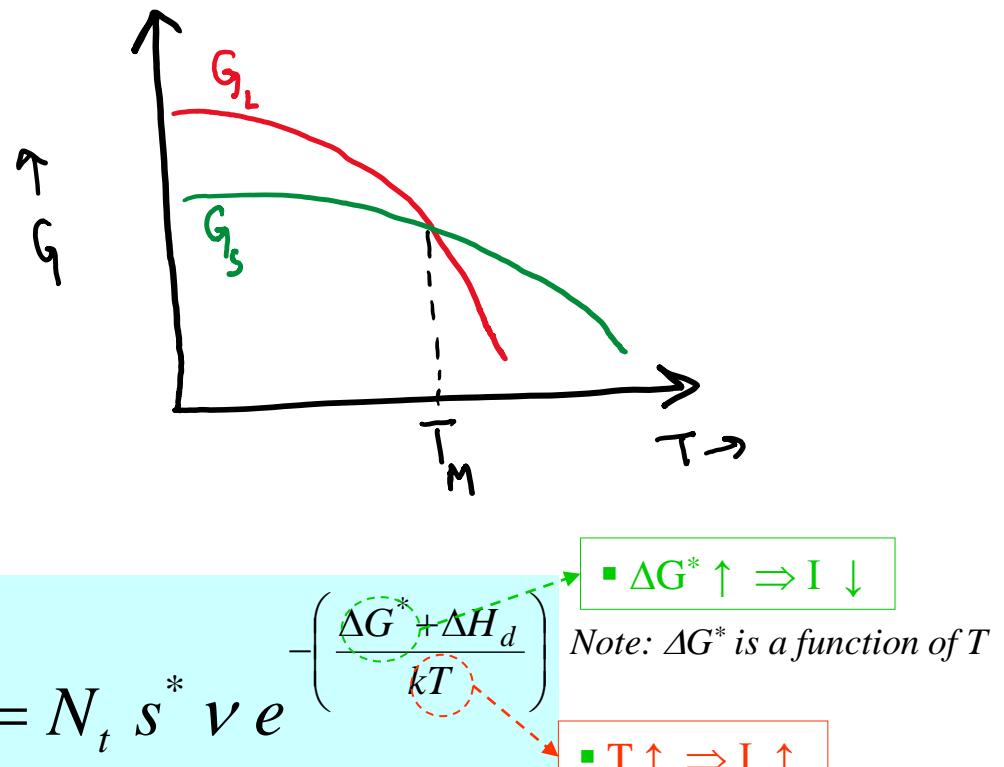
$$\nu' = s^* \nu e^{\left( -\frac{\Delta H_d}{kT} \right)}$$

$\nu \rightarrow$  lattice vibration frequency ( $\sim 10^{13}$  /s)

# Dependence of Nucleation rate on Temperature

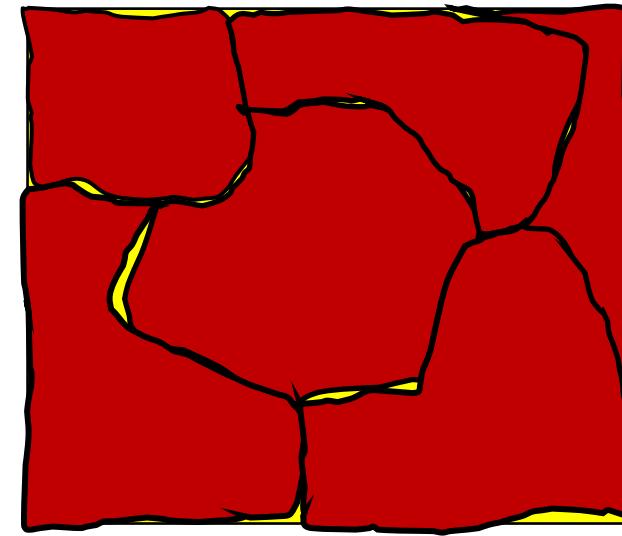
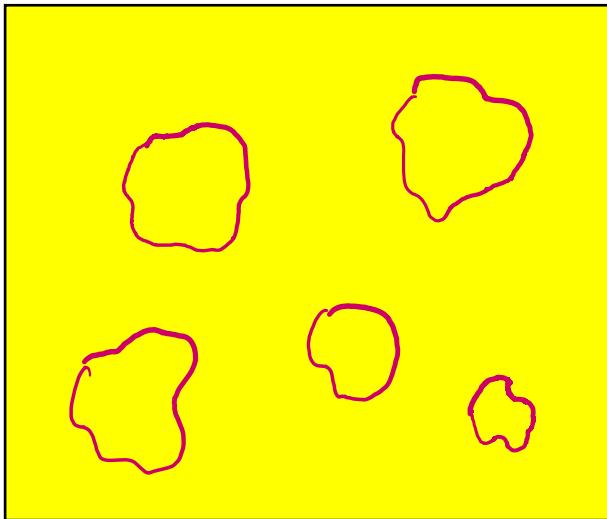
- ❑ How does the plot of nucleation rate vary with temperature?
  - At  $T_m$ ,  $\Delta G^*$  is  $\infty \Rightarrow I = 0$  (*if there is no undercooling there is no nucleation*).
  - At  $T = 0$  K again  $I = 0$
- ❑ This implies that the function should reach a maximum between  $T = T_m$  and  $T = 0$ .
- ❑ Nucleation rate is not a monotonic function of undercooling.

$$\Delta G^* = \frac{16\pi\gamma_{sl}^3}{3 \cdot (\Delta G_v)^2}$$



# Growth rate

- Fraction of the product phase (solid phase) forming with time  
→ the sigmoidal growth curve



- Overall transformation rate,**  $\frac{dX}{dt}$ ( $s^{-1}$ ): Fraction transformed (X) per second.
- Nucleation Rate, I** ( $m^{-3}s^{-1}$ ): No of nucleation events per unit volume per second.
- Growth Rate,**  $G = \frac{dR}{dt}$ ( $ms^{-1}$ ): Rate of increase of the size of growing particle.

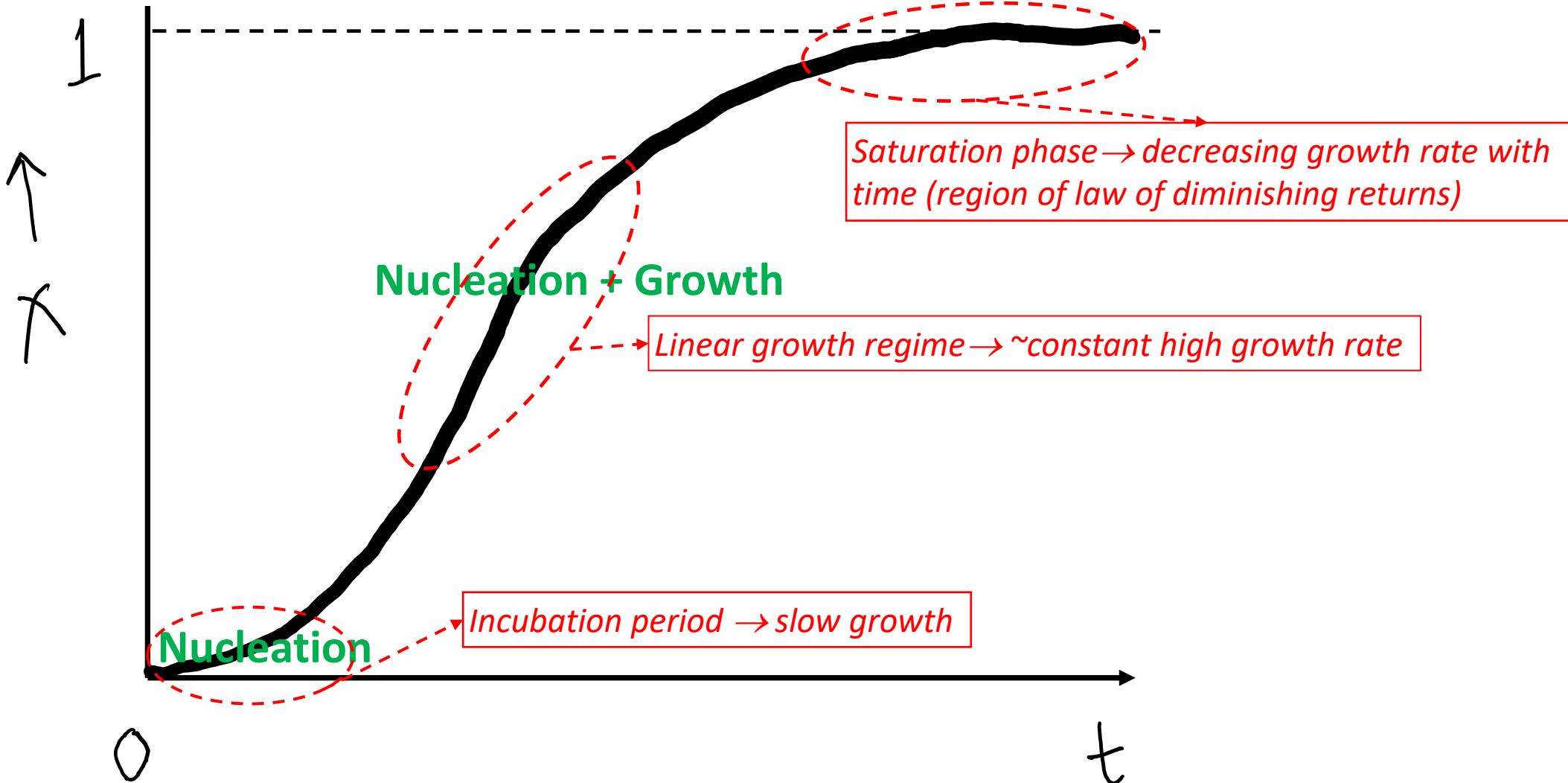
$$\frac{dX}{dt} = f(I, G)$$

$$X = 1 - \exp\left(-\frac{\pi}{3}IG^3t^4\right)$$

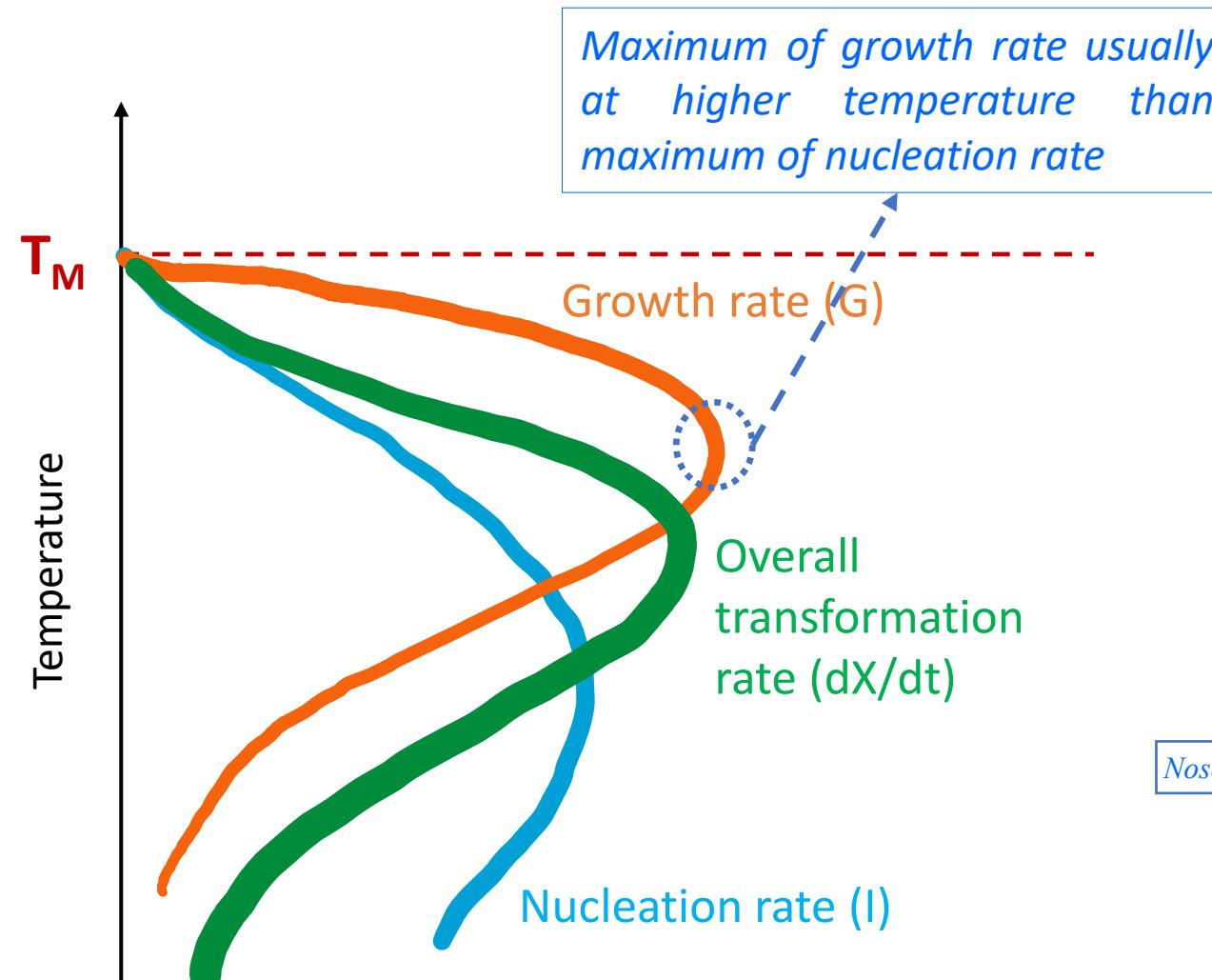
$$\frac{dX}{dt} = f(I, G)$$

$$X = 1 - \exp\left(-\frac{\pi}{3}IG^3t^4\right)$$

Nucleation slows down because of reduction in driving force (less liquid)  
Growth slows down because of grain impingement



# Transformation rate



$$\frac{dx}{dt} \propto f(I, G)$$

