

COL 351:

Analysis and Design of Algorithms

Lecture 1

Grading Policy

1. Quizzes - 10%
(surprised / announced)
2. Assignments - $4 \times 5\% = 20\%$
(must be typed in word/latex)
(group of size at most ~~two~~)
3. Exams - 30% + 35%
4. Attendance - 5%

Audit paas criteria (if audit allowed): 40%

Academic Honesty

Cheating or allowing anyone to copy in quizzes, exams, or assignments would lead to strict disciplinary action.

Reference Books

1. ***Algorithm Design*** by Jon Kleinberg and Eva Tardos
2. ***Algorithms*** by Dasgupta, Papadimitriou, and Vazirani

Tutorials

The course calendar is available at - <https://web.iitd.ac.in/~keerti/calendar.html>

S.No.	Date	Day	Lectures	Tutorials	Groups	Remarks
2	3.8.2022	Wednesday	L1			
3	4.8.2022	Thursday				
4	5.8.2022	Friday	L2			
5	6.8.2022	Saturday	L3			Tuesday's Timetable
6	7.8.2022	Sunday				
7	8.8.2022	Monday		1	Group 4	
8	9.8.2022	Tuesday	Muharram			
9	10.8.2022	Wednesday	L4			
10	11.8.2022	Thursday	Raksha Bandhan			
11	12.8.2022	Friday	L5	1	Group 3	
12	13.8.2022	Saturday				

COL 106 (DS)

→ Arrays, Link lists, Stacks

→ Trees, Binary trees, AVL trees, KD trees

→ How to use these data structures
in computational problems?

- Queues are used in BFS
- Stacks are used in DFS
- Priority queues are used in Dijkstra's algorithm

This Course

- Designing algorithms ✓

- Different algorithm paradigms

1. Greedy algorithms

2. Dynamic programming ✓

3. Divide & Conquer ✓

- Hard Problems:
Problems which are unlikely to
have an efficient solution.

poly time

- How to prove that a problem is hard?

Today's Lecture

1. Asymptotic Bounds (O , Ω , Θ)
2. Examples of Time complexity
3. Merge Sort
4. Computing n^{th} Fibonacci Number efficiently

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Asymptotic Bound (Big O notation)

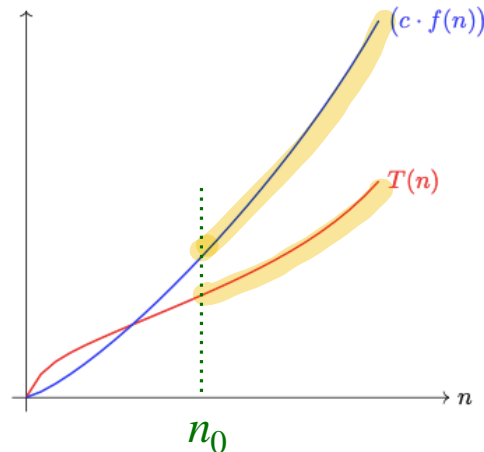
$T(n)$ = Number of steps taken by an algorithm on an input of size n .

~~D_2^n~~

$$T(n) = O(f(n)) \quad \text{for } T(n), f(n) \geq 0$$

$$\forall n \geq n_0$$

$$\text{if } \exists c, n_0 > 0 \quad T(n) \leq c \cdot f(n)$$



Asymptotic Bound (Ω notation)

$T(n)$ = Number of steps taken by an algorithm on an input of size n .

Defⁿ

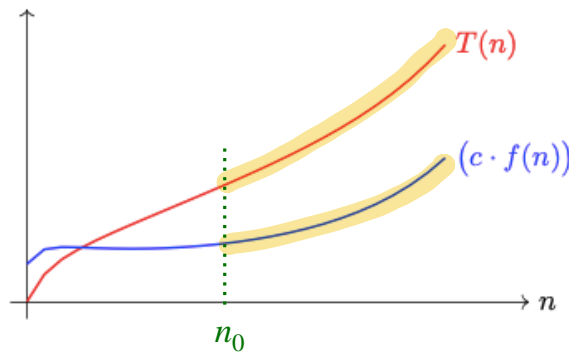
$$T(n) = \Omega(f(n)) \quad \text{for } T(n), f(n) \geq 0$$

$$\forall n \geq n_0$$

c

$$c, n_0 > 0$$

$$T(n) \geq c \cdot f(n)$$

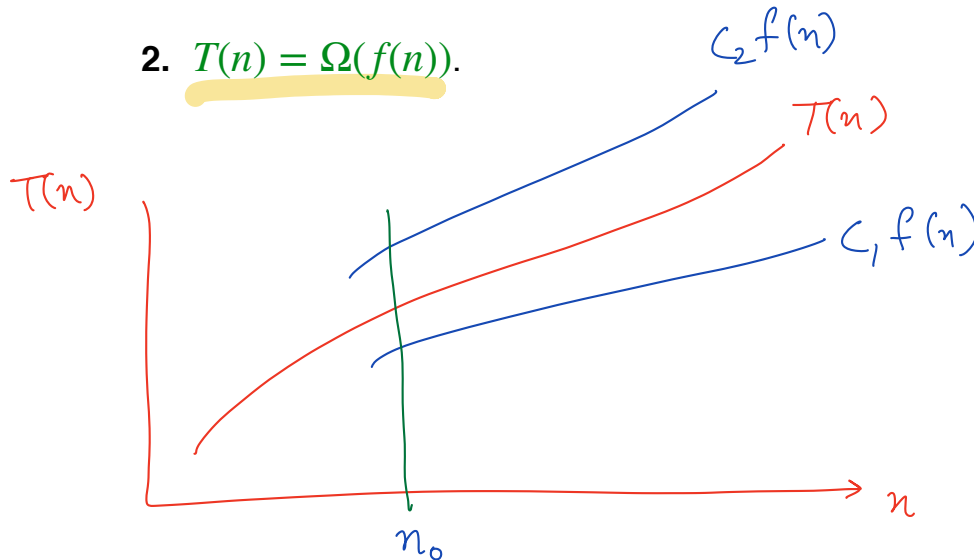


Asymptotic Bound (Θ notation)

$T(n)$ = Number of steps taken by an algorithm on an input of size n .

Definition: For any non-negative functions $T(n)$ and $f(n)$, we say $T(n) = \Theta(f(n))$ if

1. $T(n) = O(f(n))$, and
2. $T(n) = \Omega(f(n))$.



$$n_0, c_1, c_2 > 0$$

Example:

Problem:

Given an array A of size n, output sum of all entries if n is even, and -1 otherwise.

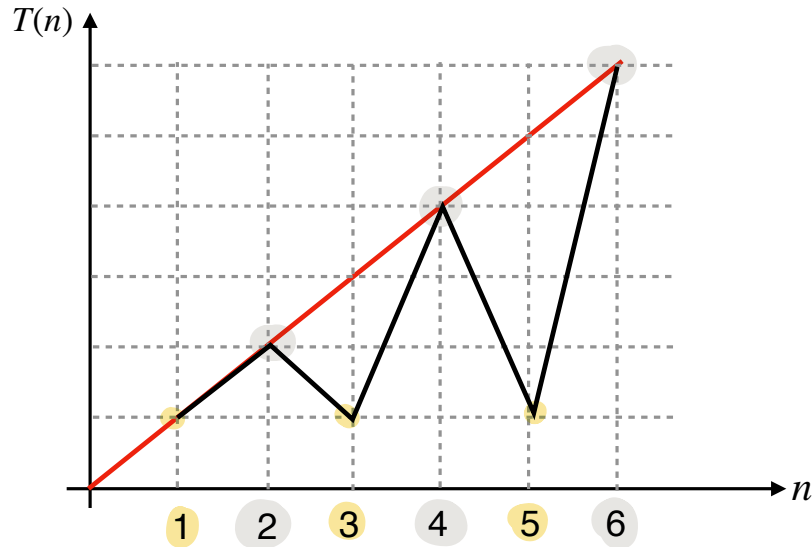
$T(n)$, the number of steps is.

$$T(n) = \begin{cases} n, & \text{if } n \text{ is even} \\ 1, & \text{if } n \text{ is odd} \end{cases}$$

H.W.

• $T(n) = O(n)$

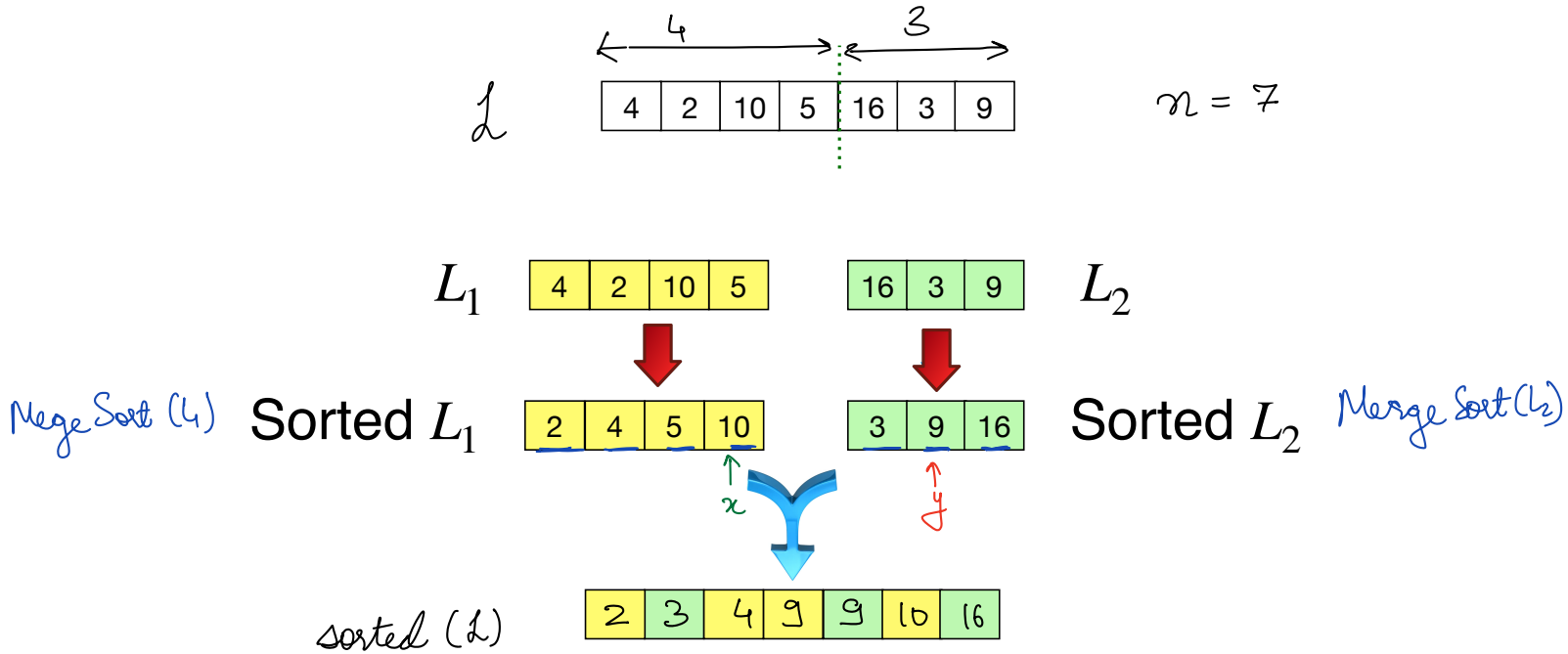
• $T(n) \neq \Theta(n)$



Exercises

- $n + b = O(n)$
- $2 \lceil \log_{10} n \rceil + c = O(\log_2 n)$
- $\frac{n^3}{2} + n^{1.5} = \Theta(n^3)$
- $a_d n^d + \dots + a_1 n + a_0 = O(n^d)$, for $a_d > 0$
- $4n = O(n \log n)$
- $n^c = O(2^n)$, for each $c > 0$
- $n \neq O(\log^k n)$, for each integer $k > 0$
- $n \neq O(1)$

Example: Merge Sort



$$\begin{aligned} \text{Merge time} &= O(|L_1| + |L_2|) \\ &= O(n) \end{aligned}$$

Example: Merge Sort

MergeSort(L)

$n = \text{length}(L);$

If $n = 1$ then Return;

$A =$ new list of size n ;

Set $L_1 = L[0, \frac{n}{2}]$ and $L_2 = L[\frac{n}{2} + 1, n - 1]$; SPLIT $O(n)$

✓ MergeSort(L_1);

✓ MergeSort(L_2);

Set $x, y, pos = 0$;

While ($x < \text{length}(L_1)$ or $y < \text{length}(L_2)$)

 If ($L_1[x] \leq L_2[y]$ and $x < \text{length}(L_1)$) then

 Set $A[pos] = L_1[x]$, and increment pos and x by 1;

 Else

 Set $A[pos] = L_2[x]$, and increment pos and y by 1;

✓ Merge.

$O(n)$ time

Recurrence

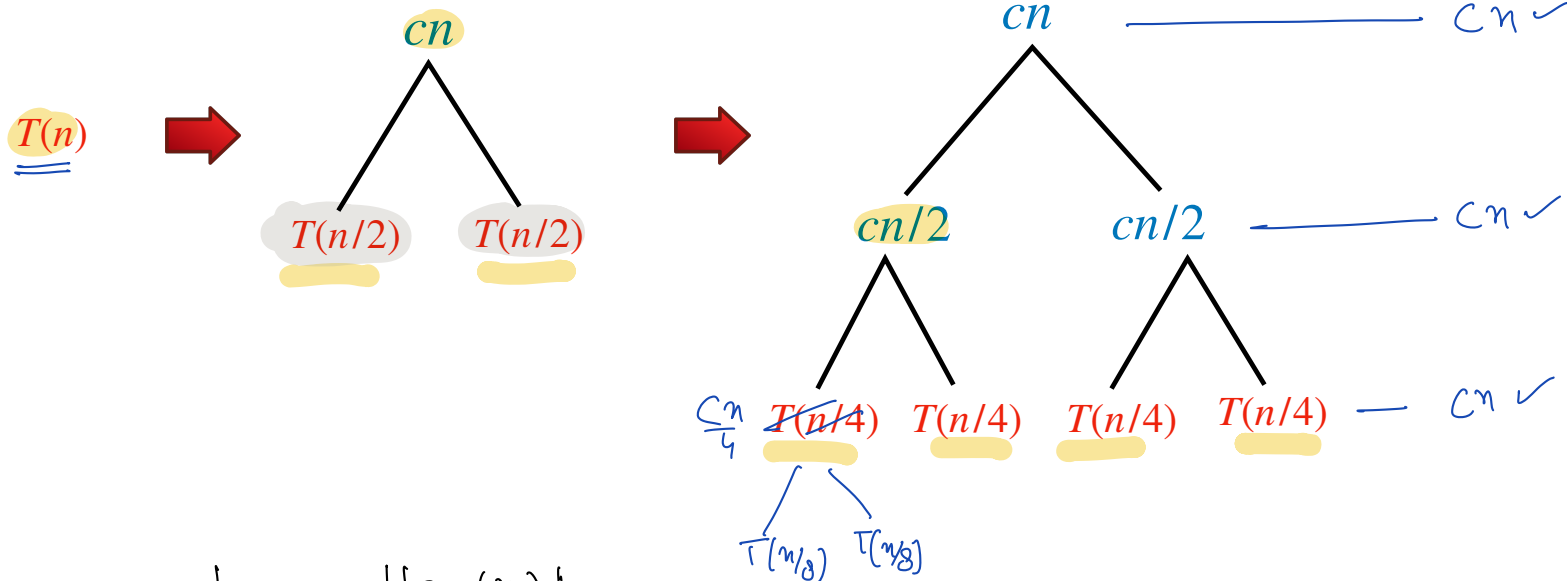
$$T(n) = 2 T\left(\frac{n}{2}\right) + \underline{O(n)}$$

Time complexity = $O(n \log n)$

Example: Merge Sort

$$T(n) \leq 2 T(n/2) + cn$$

Let $T(n)$ = number of steps taken by the algorithm. Then, $T(n) \leq 2 T\left(\frac{n}{2}\right) + cn$



$$\text{height} = \lfloor \log_2(n) \rfloor$$

There will be $\Theta(n)$ terms of value $T(1)$

$$cn \log_2 n$$

Merge Sort - Unequal balance

Let $T(n)$ = number of steps taken by the algorithm.

What if $|L_1| = n/3$, and $|L_2| = 2n/3$?

$$\frac{|L_1|}{|L_2|} = \frac{1}{2}$$

$$T(n) = T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + O(n)$$

H.W. $T(n) = O(n \log n)$

Merge Sort - Unequal balance

Let $T(n)$ = number of steps taken by the algorithm.

What if $|L_1| = \sqrt{n}$, and $|L_2| = n - \sqrt{n}$?

$$\frac{|L_1|}{|L_2|} \approx \frac{1}{\sqrt{n}}$$

$$T(n) = T(\sqrt{n}) + T(n - \sqrt{n}) + O(n).$$

H.W. Obtain a tight bound on the $T(n)$. ← Tut 1.

Algorithm Paradigms

1. Divide and Conquer

- Divide the problem into smaller problems
- Solve the smaller problems
- Combine

Merge Sort.
 $n/2, n/2$
 $T(n/2), T(n/2)$
Merge $- O(n)$

2. Dynamic Programming

Reduce the problem on an input of size n into problems of size

$n-1, n-2, n-3, \dots$ etc.

✓ Fibonacci numbers.

Recursion
exp time

Memoization
 $O(n^2)$

3. Greedy Strategy

Build solution greedily.

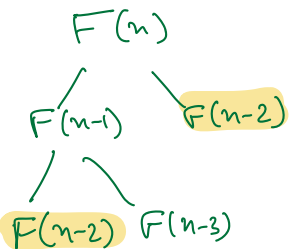
└ see 2-3-4

$n-\alpha$
 $m-\beta$

Nth Fibonacci Number

0 1 1 2 3 5 8 12 ...
 ↑
 F₂

Defined by recurrence relation $F_0 = 0$, $F_1 = 1$, and $F_n = F_{n-2} + F_{n-1}$ for $n > 1$.



Recursion

ALGO

If $n = 0$ then Return 0;

If $n = 1$ then Return 1;

$x = \text{Fibonacci}(n - 1);$ ✓

$y = \text{Fibonacci}(n - 2);$ ✓

Return $x + y$;

Fibonacci(n)

← BAD algo.

Time complexity is too large

$$T(n) = T(n-2) + T(n-1) + \text{Time-to-add-two-large-numbers}$$

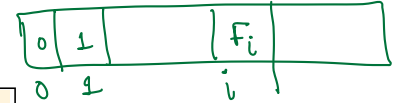
$$T(n) \geq F_n \geq \sqrt{2}^n$$

$$\Rightarrow T(n) = \Omega(\sqrt{2}^n)$$

H.W. $F_n \geq \sqrt{2}^n, \forall n \geq 2$

Nth Fibonacci Number - Improved algorithm

Defined by recurrence relation $F_0 = 0$, $F_1 = 1$, and $F_n = F_{n-2} + F_{n-1}$ for $n > 1$.



Storing all solⁿ

$O(n)$
iterations

```
Allocate an array A of size n + 1;  
Set A[0] = 0 and A[1] = 1;  
For (i = 2 to n) do  
    A[i] = A[i - 1] + A[i - 2];  
Return A[n];
```

Fibonacci-Improved(n)

$$6^n \geq F_n \geq \sqrt{2}^n$$

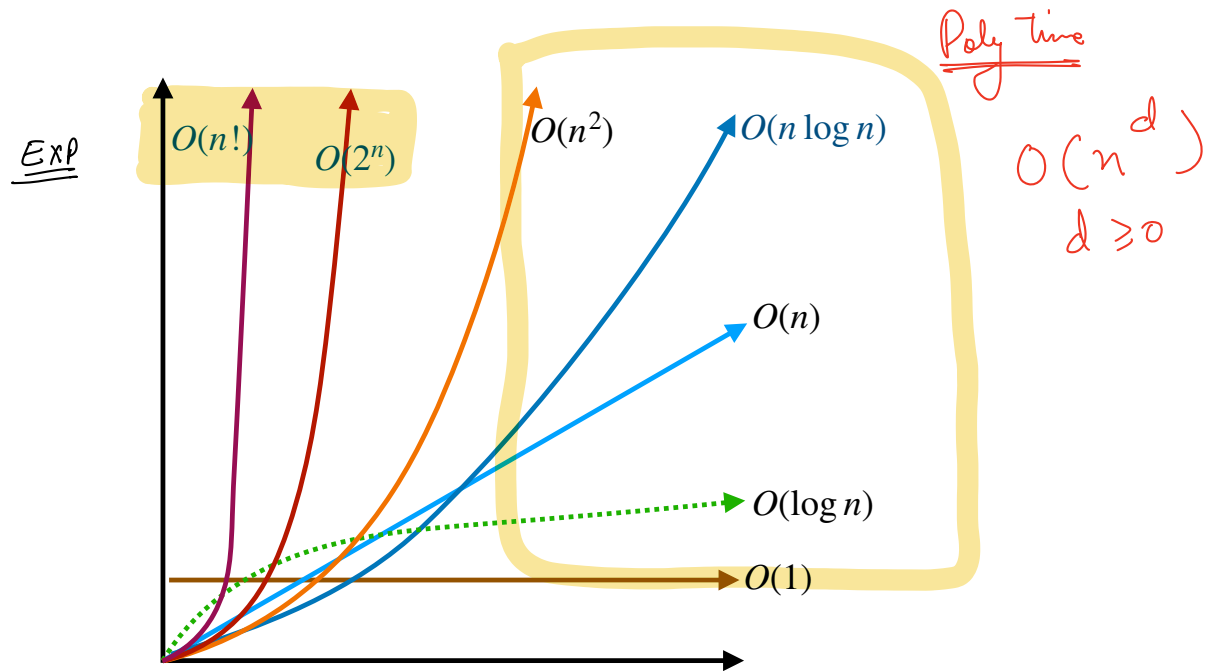
* No of bits needed to express F_n will be $\Theta(n)$

$$T(n) = n \times \underbrace{\text{Time-to-add-two-large-numbers}}_{O(n)}$$

$$T(n) = O(n^2)$$

Memoization

Plots of different time complexities



Merge Sort: $O(n \log n) = O(n^{1.00000001})$

Homework: Prove that $n \log n = \underline{O(n^{1+\epsilon})}$, for each constant $\epsilon > 0$

Challenge Problem

Given : A histogram consisting of n bars of unit length.

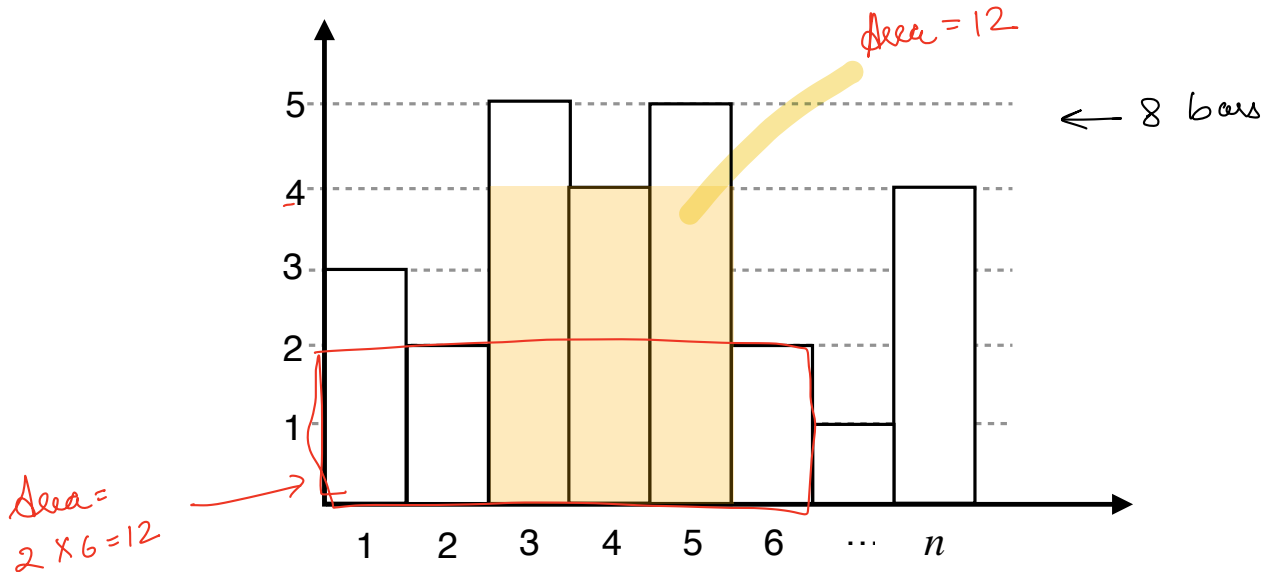
Find : The axis-parallel rectangle of maximum area which is covered by the histogram.

— $O(n^2)$ ← easy

— subquadratic?
 $O(n^{2-\epsilon})$

— $O(n \log n)$?

— $O(n)$?



What is the best possible time complexity?

