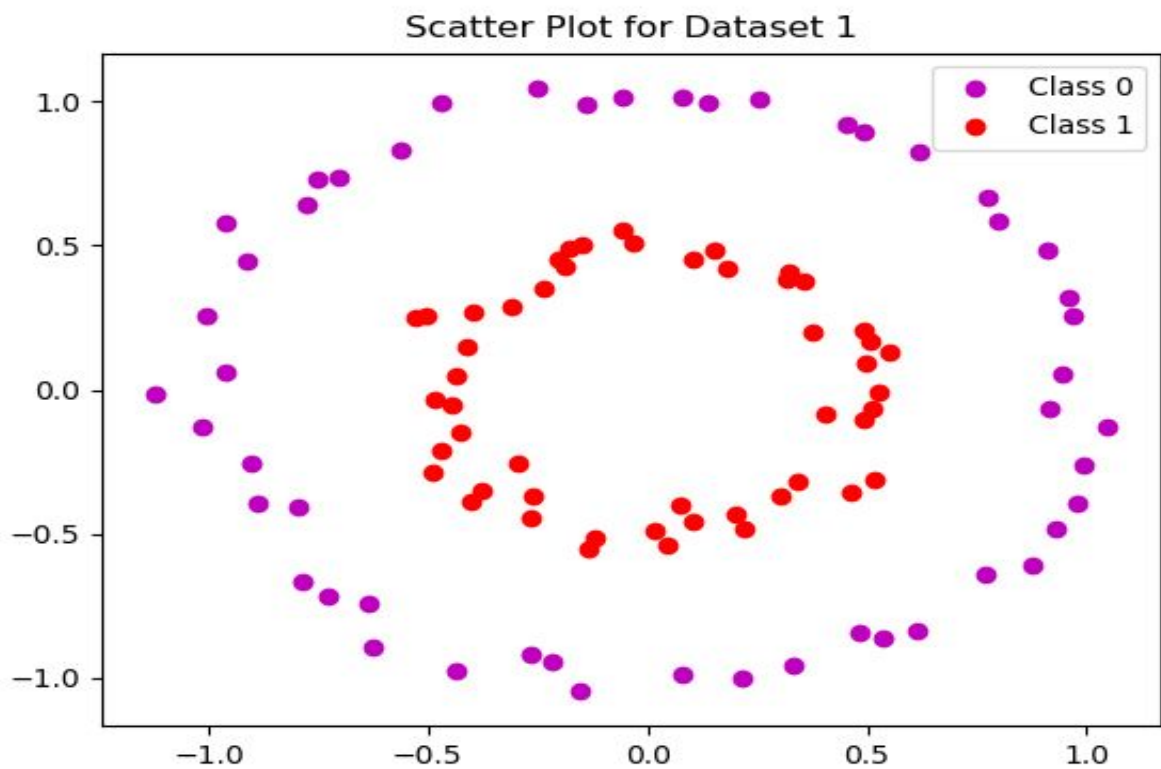


ML Assignment 2

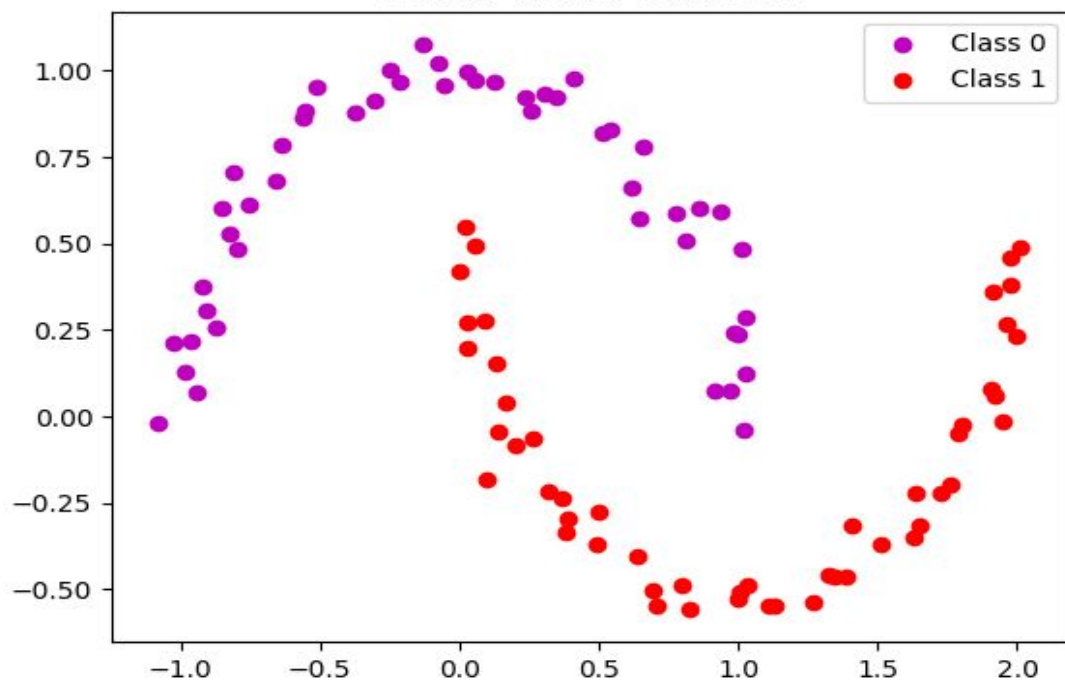
Question.1

1.1

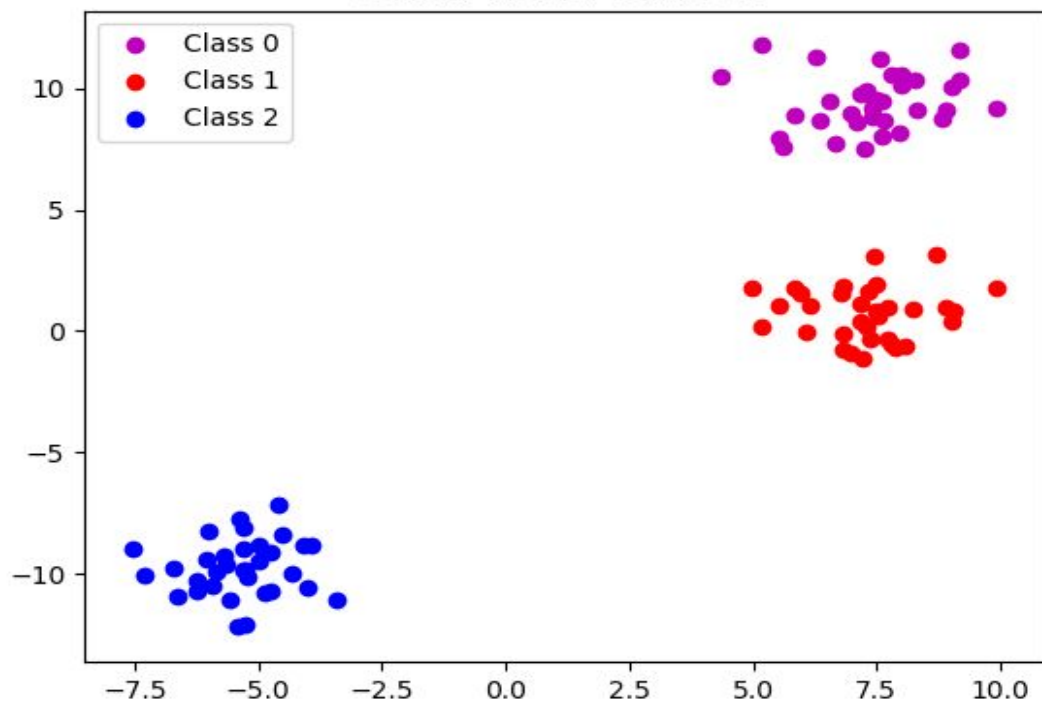
Dataset	Samples in each class	Number of classes	Number of samples	Outliers in each class
data_1.h5	50,50	2	100	0,0
data_2.h5	50,50	2	100	0,1
data_3.h5	34,33,33	3	100	3,4,6
data_4.h5	1000,1000	2	2000	7,49
data_5.h5	1000,1000	2	2000	61,54



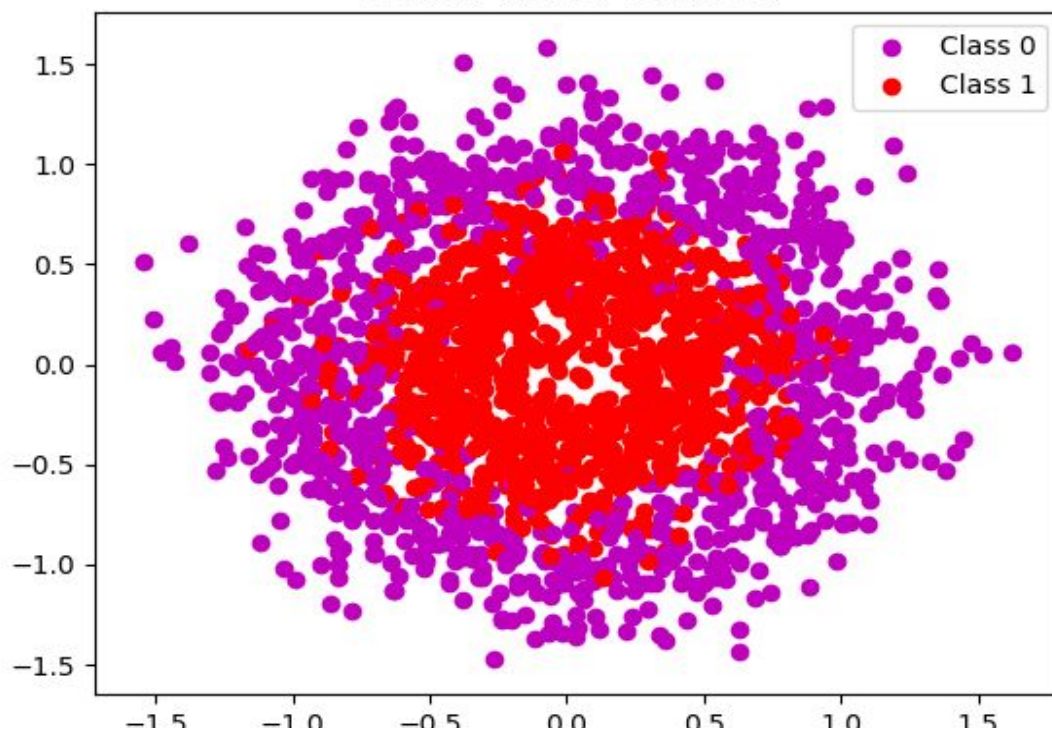
Scatter Plot for Dataset 2



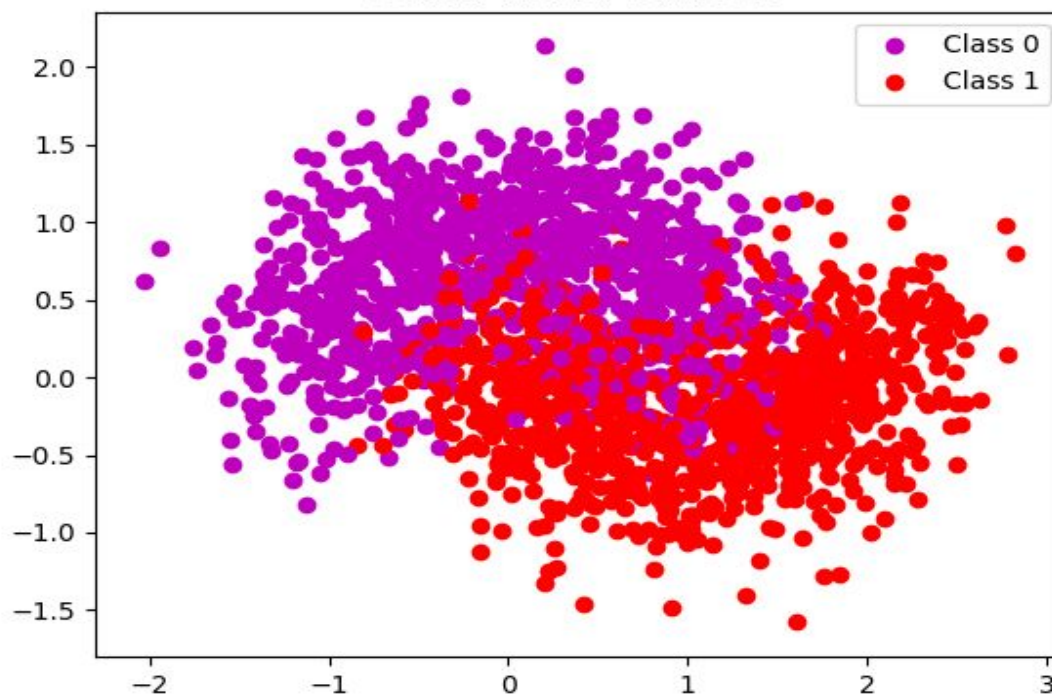
Scatter Plot for Dataset 3



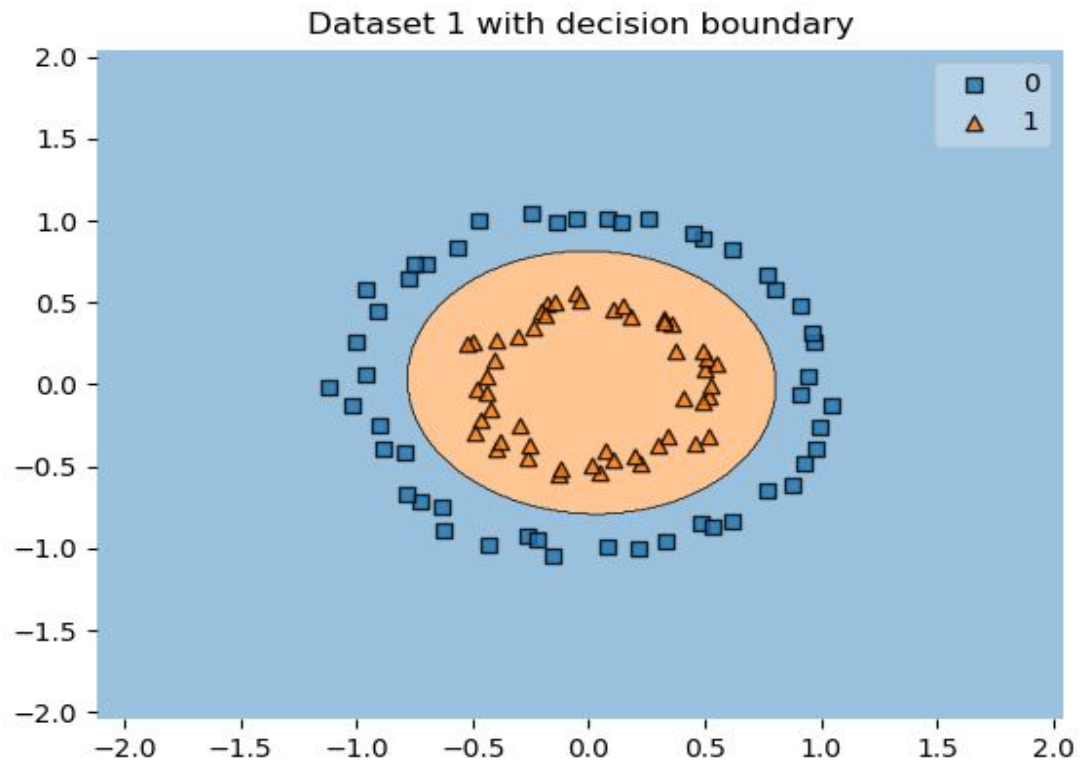
Scatter Plot for Dataset 4



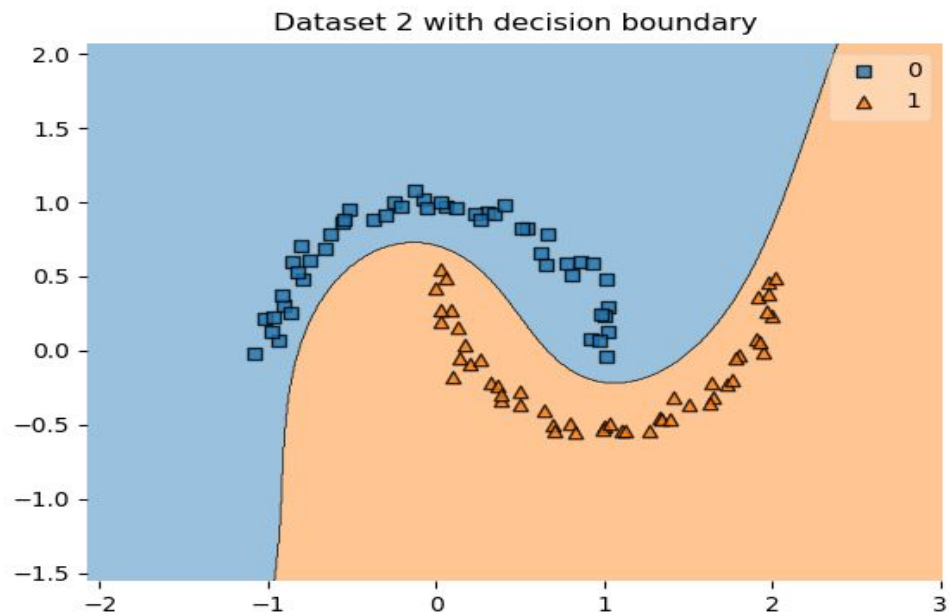
Scatter Plot for Dataset 5



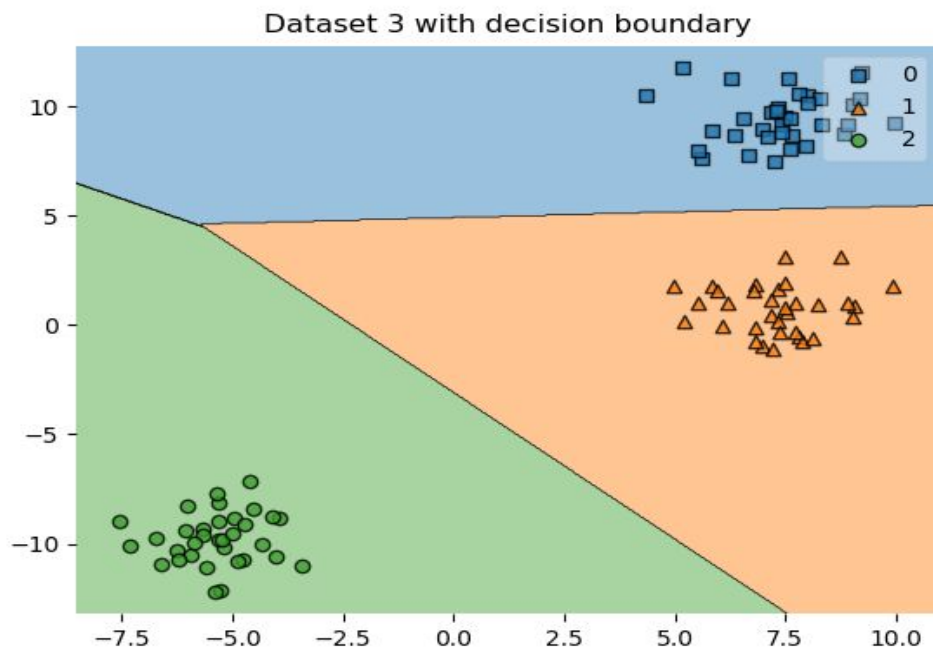
1.2



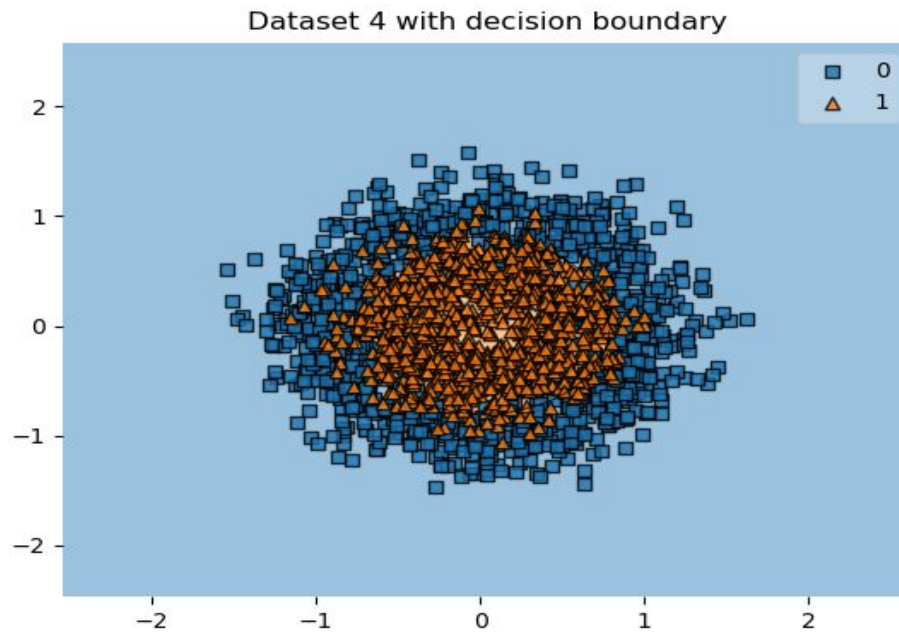
Reason: As the scatter-plot is in the form of a circle. Therefore a polynomial kernel of degree 2 can be used to separate the two classes. RBF kernel can also be used.



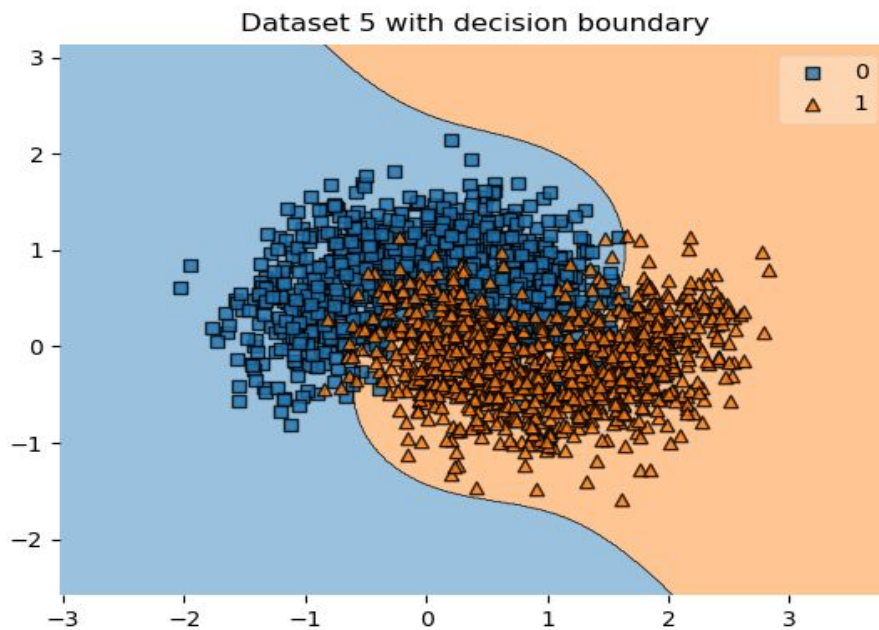
Reason: As the scatter-plot is in the form of a cubic function. Therefore a polynomial kernel of degree 3 can be used to separate the two classes.



Reason: It is intuitive that 3 classes can be separated by 3 lines. Therefore a linear kernel can be used to separate the three classes. Polynomial kernel of degree 2 can also be used.

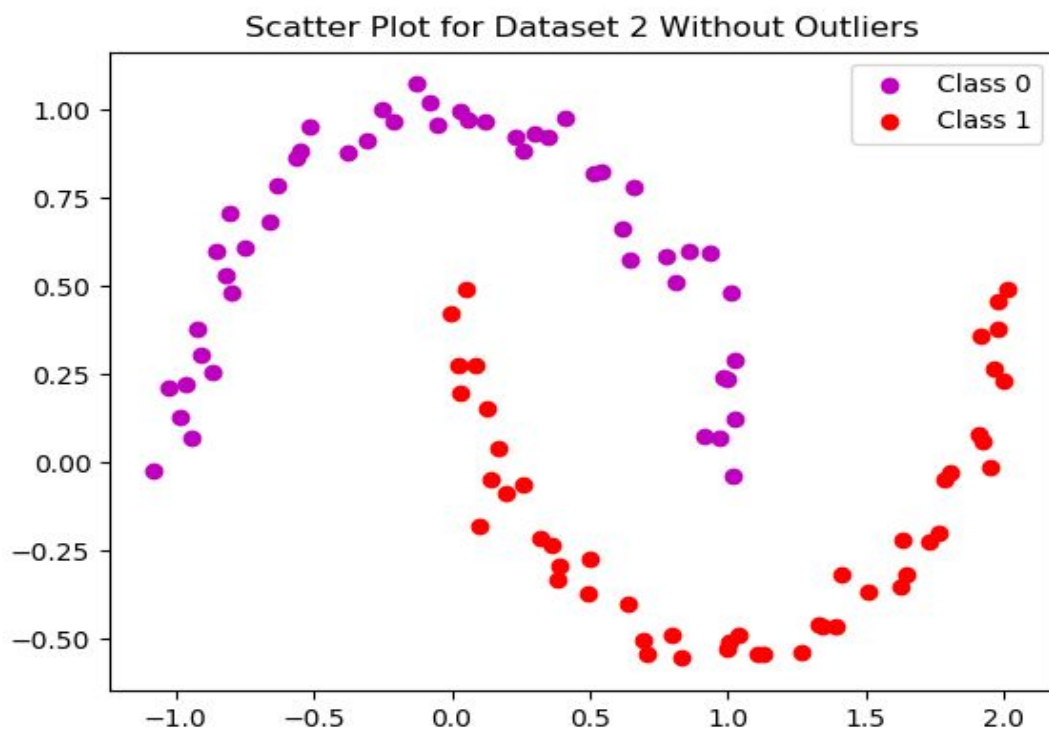
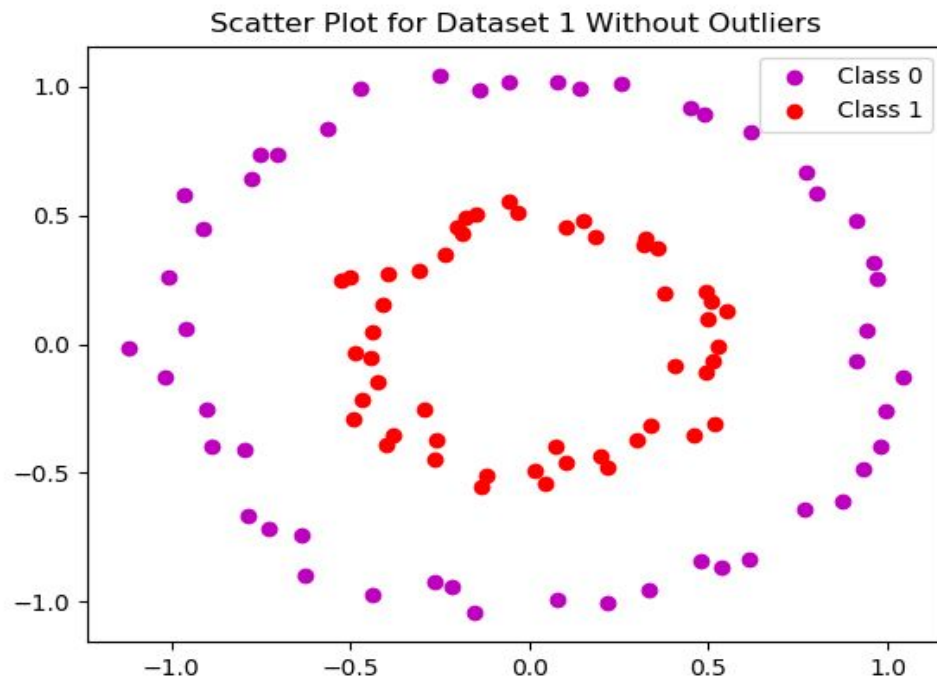


Reason: As the scatter-plot is in the form of a circle. Therefore a polynomial kernel of degree 2 can be used to separate the two classes. RBF kernel can also be used.

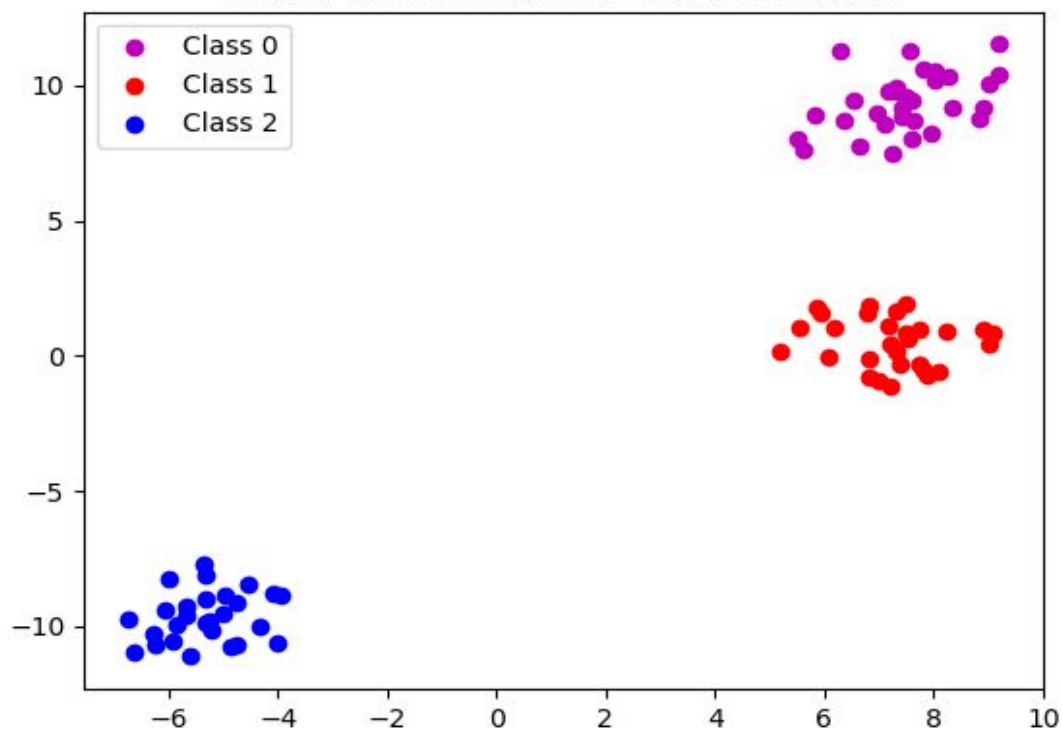


Reason: As the scatter-plot is in the form of a cubic function. Therefore a polynomial kernel of degree 3 can be used to separate the two classes.

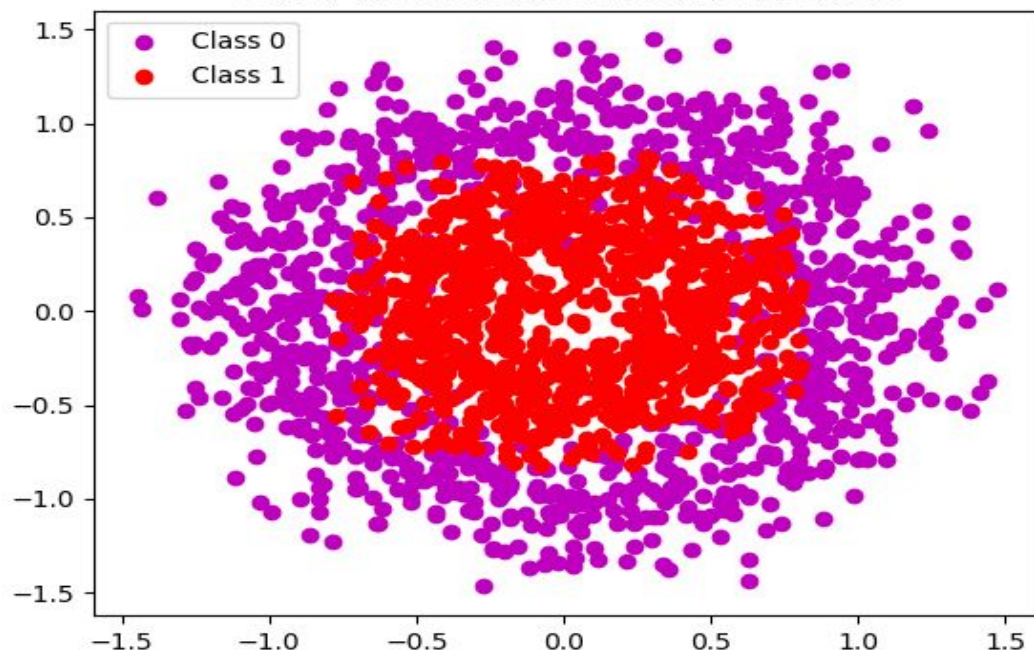
1.3

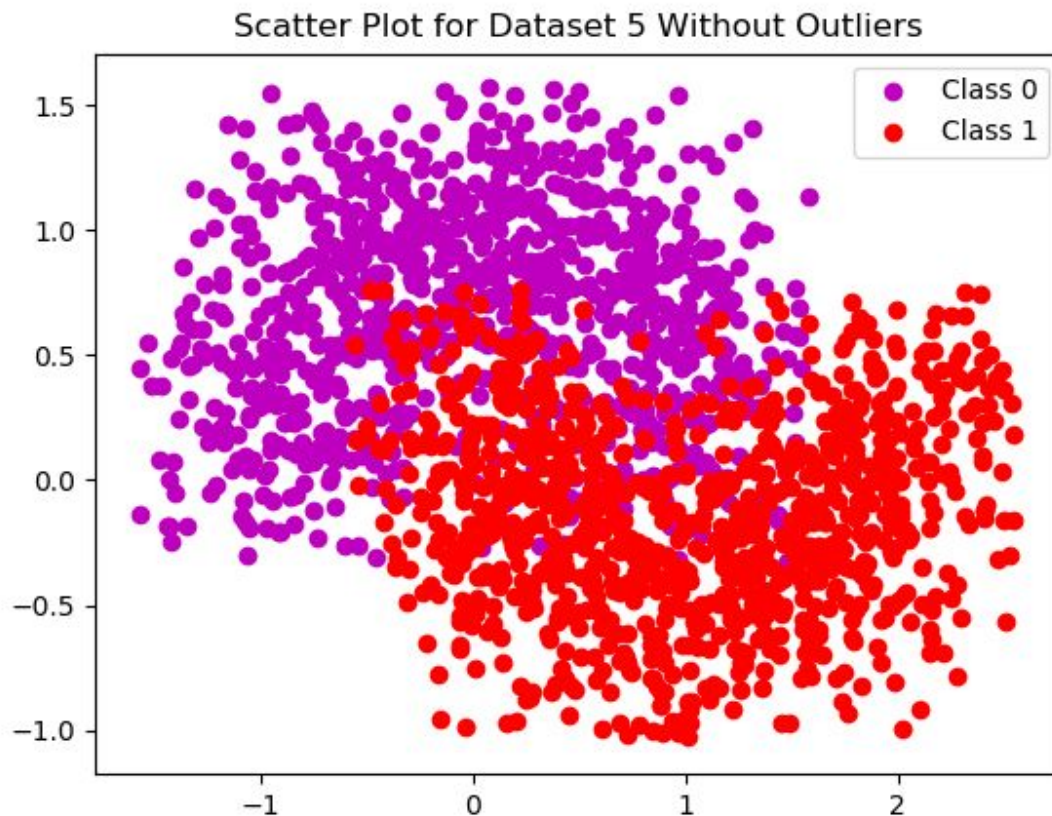


Scatter Plot for Dataset 3 Without Outliers



Scatter Plot for Dataset 4 Without Outliers





1.4

Data Set 4

Accuracy on training Data with implemented predict function (Linear SVM): 54.0

Accuracy on training Data with Sklearn predict function (Linear SVM): 54.0

Accuracy on testing Data with implemented predict function (Linear SVM): 52.75

Accuracy on testing Data with Sklearn predict function (Linear SVM): 52.75

Data Set 4

Accuracy on training Data with implemented predict function (RBF Kernel): 89.375

Accuracy on training Data with Sklearn predict function (RBF Kernel): 89.375

Accuracy on testing Data with implemented predict function (RBF Kernel): 86.25

Accuracy on testing Data with Sklearn predict function (RBF Kernel): 86.25

Data Set 5

Accuracy on training Data with implemented predict function (Linear SVM): 85.4375

Accuracy on training Data with Sklearn predict function (Linear SVM): 85.4375

Accuracy on testing Data with implemented predict function (Linear SVM): 80.75

Accuracy on testing Data with Sklearn predict function (Linear SVM): 80.75

Data Set 5

Accuracy on training Data with implemented predict function (RBF Kernel): 88.5625

Accuracy on training Data with Sklearn predict function (RBF Kernel): 88.5625

Accuracy on testing Data with implemented predict function (RBF Kernel): 85.25

Accuracy on testing Data with Sklearn predict function (RBF Kernel): 85.25

Question.2

FOLD: 1

Accuracy of Linear kernel for one-vs-one: 29.9

Accuracy of Linear kernel for one-vs-all: 29.9

Accuracy of RBF kernel for one-vs-one: 40.9

Accuracy of RBF kernel for one-vs-all: 40.9

Accuracy of Polynomial kernel for one-vs-one: 38.3

Accuracy of Polynomial kernel for one-vs-all: 38.3

FOLD: 2

Accuracy of Linear kernel for one-vs-one: 30.099999999999998

Accuracy of Linear kernel for one-vs-all: 30.099999999999998

Accuracy of RBF kernel for one-vs-one: 40.699999999999996

Accuracy of RBF kernel for one-vs-all: 40.699999999999996

Accuracy of Polynomial kernel for one-vs-one: 39.900000000000006

Accuracy of Polynomial kernel for one-vs-all: 39.900000000000006

FOLD: 3

Accuracy of Linear kernel for one-vs-one: 30.4
Accuracy of Linear kernel for one-vs-all: 30.4
Accuracy of RBF kernel for one-vs-one: 42.3
Accuracy of RBF kernel for one-vs-all: 42.3
Accuracy of Polynomial kernel for one-vs-one: 38.6
Accuracy of Polynomial kernel for one-vs-all: 38.6

FOLD: 4

Accuracy of Linear kernel for one-vs-one: 30.0
Accuracy of Linear kernel for one-vs-all: 30.0
Accuracy of RBF kernel for one-vs-one: 41.8
Accuracy of RBF kernel for one-vs-all: 41.8
Accuracy of Polynomial kernel for one-vs-one: 37.7
Accuracy of Polynomial kernel for one-vs-all: 37.7

FOLD: 5

Accuracy of Linear kernel for one-vs-one: 27.900000000000002
Accuracy of Linear kernel for one-vs-all: 27.900000000000002
Accuracy of RBF kernel for one-vs-one: 40.5
Accuracy of RBF kernel for one-vs-all: 40.5
Accuracy of Polynomial kernel for one-vs-one: 38.6
Accuracy of Polynomial kernel for one-vs-all: 38.6

Mean Accuracy

- Mean accuracy over 5 folds of Linear kernel: **29.658%**
- Mean accuracy over 5 folds of RBF kernel: **41.238%**
- Mean accuracy over 5 folds of the quadratic polynomial kernel: **38.62%**

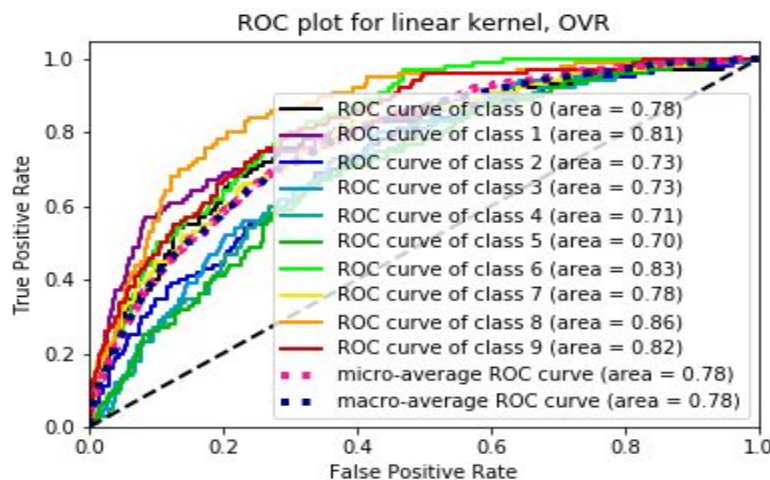
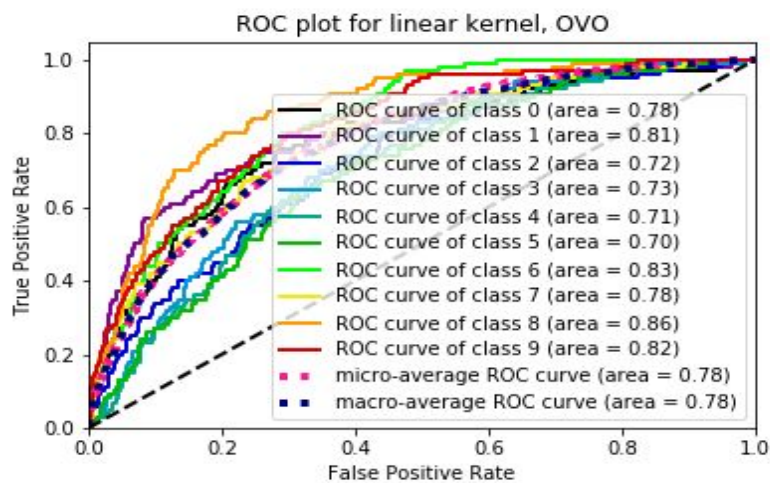
From the accuracy, we can see that the RBF kernel performed the best.

Mean F-Score

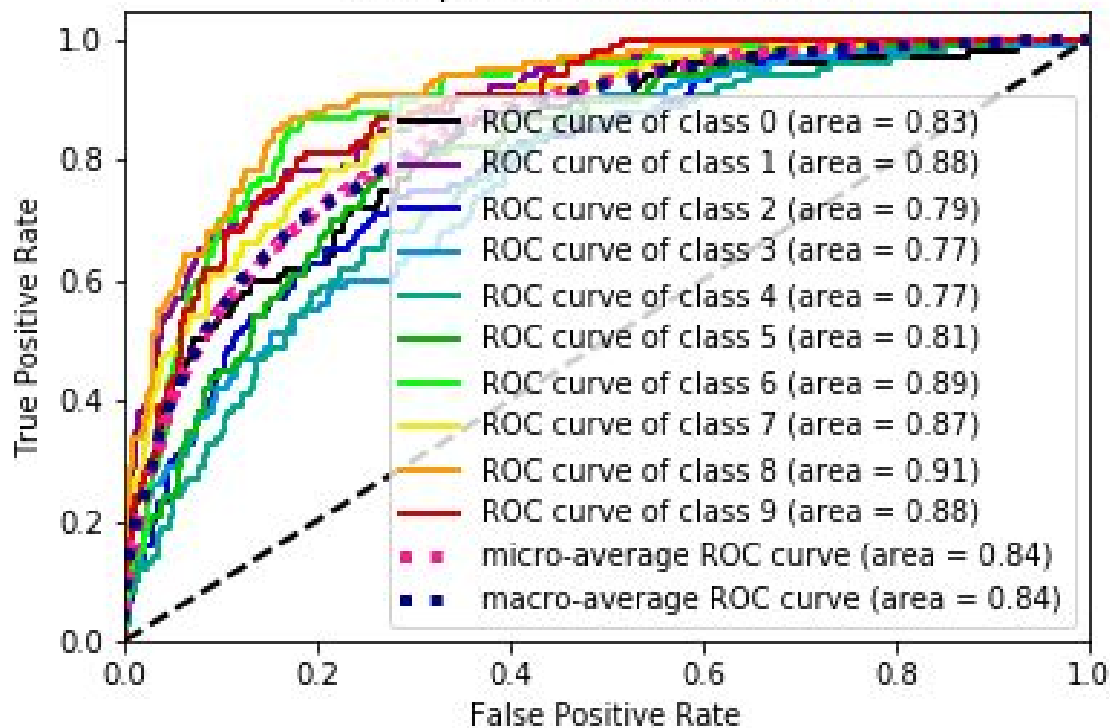
- Mean F-Score over 5 folds of Linear kernel: **29.85671673006336%**
- Mean F-Score over 5 folds of RBF kernel: **41.03430923324565%**
- Mean F-Score over 5 folds of the quadratic polynomial kernel: **38.26563098903506%**

From the F-Score, we can see that the RBF kernel performed the best.

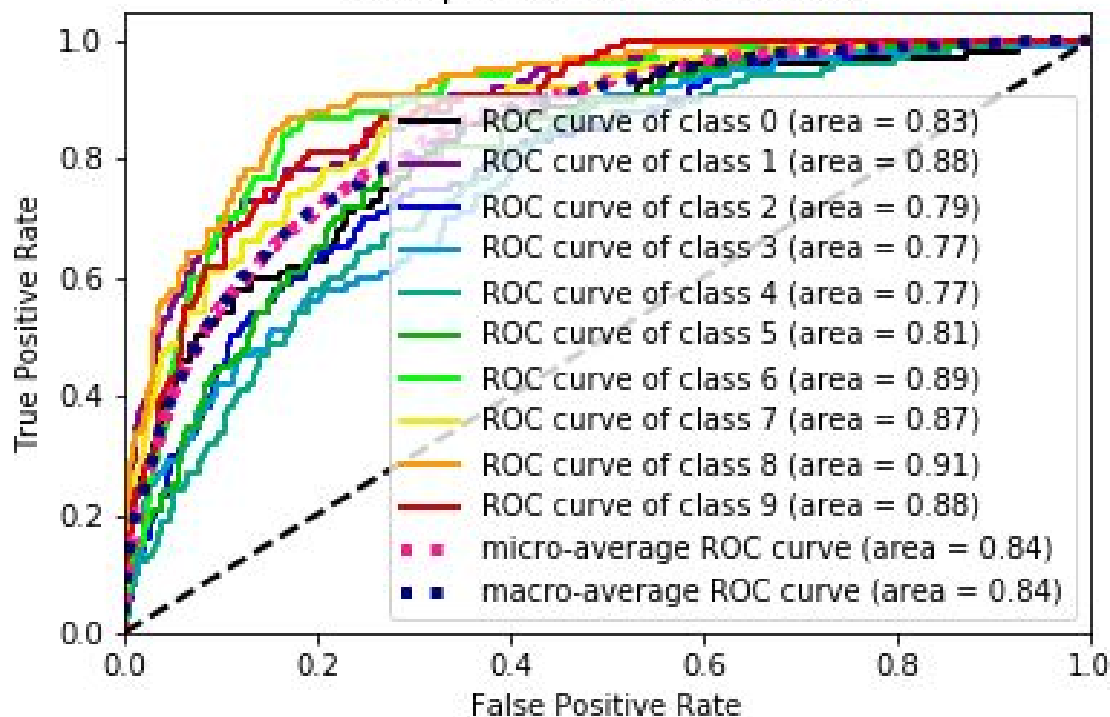
ROC Curve



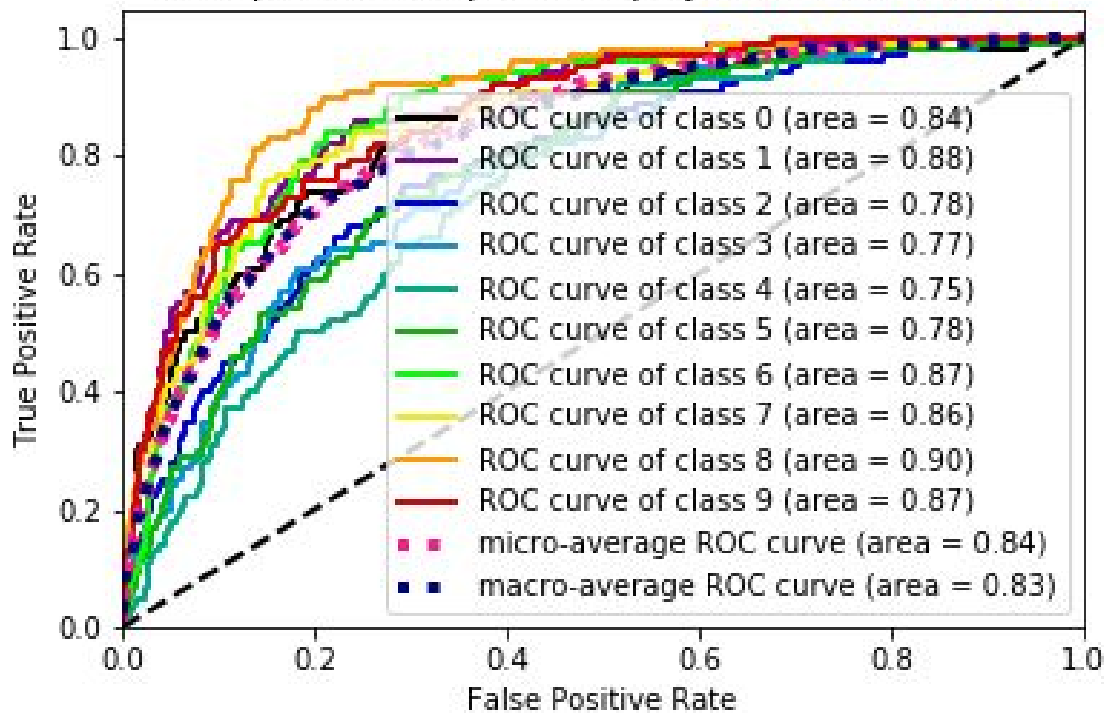
ROC plot for RBF kernel, OVO



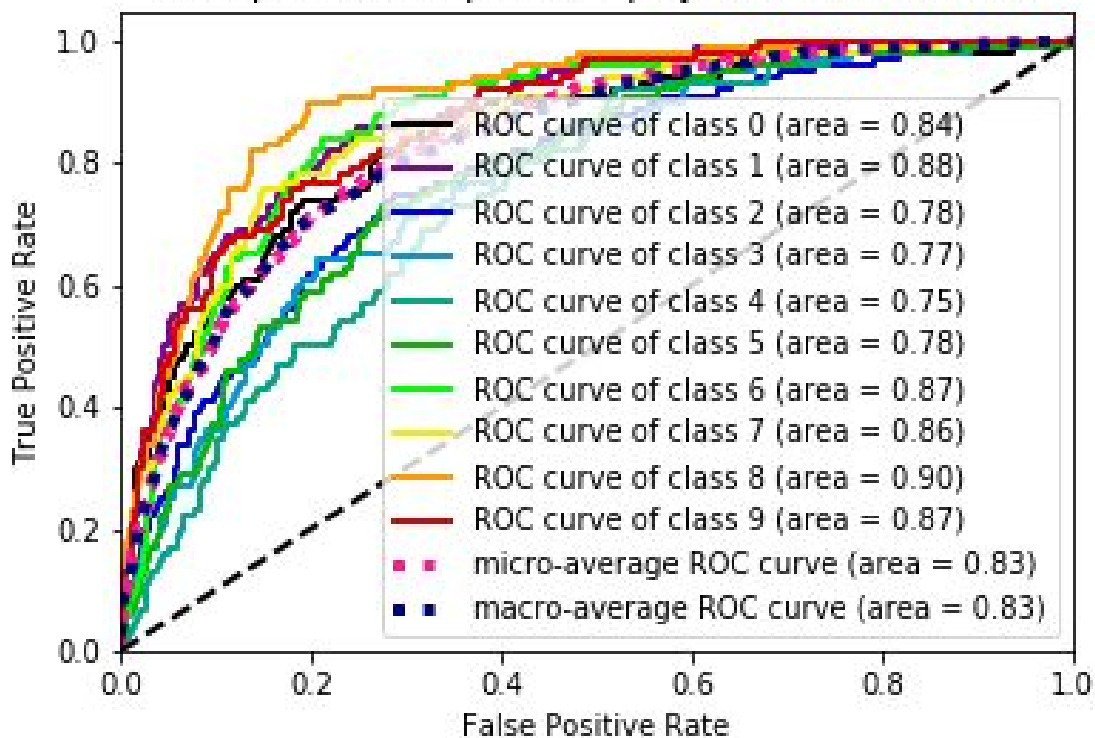
ROC plot for RBF kernel, OVR



ROC plot for the quadratic polynomial kernel, OVO



ROC plot for the quadratic polynomial kernel, OVR



Mean Confusion Matrix

- Mean Confusion Matrix over 5 folds of Linear kernel:

```
[ [37.4  2.4  9.8  5.2  3.4  1.2  3.4  6.8 21.8  8.6]
  [ 7.  42.8  7.   6.2  4.   5.   3.2  5.4  8.6 10.8]
  [14.8  4.8 30.2 11.2 12.   7.8  7.   4.6  6.   1.6]
  [ 4.2  7.6 10.   22.4 14.6 16.2 10.2  5.6  5.4  3.8]
  [ 9.   4.  23.   10.2 22.2  6.6  8.8 10.2  3.4  2.6]
  [ 9.   6.4 13.4 22.8 15.8 13.6  8.8  5.4  3.8  1. ]
  [ 3.8  3.8  9.2 21.   14.2 10.   29.4  3.6  3.8  1.2]
  [ 9.2  4.8 11.2  7.2 11.6 10.8  4.8 32.   3.8  4.6]
  [22.2  9.2  2.4  3.2  4.6  5.2  1.2  2.  43.4  6.6]
  [ 7.8 24.6  3.8  4.2  3.6  3.   2.2  9.8 15.4 25.6] ]
```

- Mean Confusion Matrix over 5 folds of RBF kernel:

```
[ [43.4  1.6  3.   3.8  5.   4.   3.2  2.8 22.4 10.8]
  [ 4.6 49.2  3.   5.4  2.2  1.4  6.4  2.8  7.8 17.2]
  [14.6  2.4 31.8  8.4 16.8  6.   10.4  4.2  3.4  2. ]
  [ 8.   5.4  7.8 24.4  9.8 18.   14.8  6.2  0.8  4.8]
  [ 6.   4.4 23.   3.4 25.4  7.4 15.2  7.   3.4  4.8]
  [ 3.4  3.8 11.2 21.2  9.4 31.8 10.2  4.2  2.6  2.2]
  [ 2.6  2.  10.   5.6 19.   3.8 48.8  3.4  1.   3.8]
  [ 4.6  3.4  6.8  8.4 12.6  7.2  7.8 41.4  1.4  6.4]
  [ 8.8  2.2  1.8  2.   3.   6.   0.   1.8 64.  10.4]
  [ 4.6 10.6  1.2  3.4  0.4  3.4  3.8  7.2 12.4 53. ] ]
```

- Mean Confusion Matrix over 5 folds of the quadratic polynomial kernel:

```
[ [45.6  1.6  7.2  3.2  5.4  2.2  5.   4.4 18.   7.4]
  [ 4.6 49.2  7.4  3.2  4.   3.   3.4  4.  10.2 11. ]
 [12.8  2.  35.8  9.2 14.6  4.4 10.8  5.6  4.2  0.6]
 [ 2.8  5.2 10.6 29.   9.  16.4 16.6  3.6  2.8  4. ]
 [ 6.2  3.6 22.6  5.8 28.6  4.8 14.2  9.   3.   2.2]
 [ 3.   3.6 13.2 23.  13.2 20.  11.8  8.   3.   1.2]
 [ 1.8  1.6  9.8 12.8 17.   1.8 50.8  2.8  1.   0.6]
 [ 4.6  0.8  9.4  7.4 17.4  6.   6.4 42.2  1.6  4.2]
 [20.   5.   1.2  3.6  2.8  3.8  1.2  1.6 53.4  7.4]
 [ 6.6 19.2  3.2  4.8  4.2  2.   4.   5.4 12.  38.6] ]
```

From the mean accuracy, F-Score, ROC Curves and Confusion Matrix, we can see that the RBF kernel performed the best as compared to linear and quadratic polynomial kernel.

Question 5

Name - Harshit R. ad
Roll No. - 2017152

①

classmate

Date _____
Page _____

Ans 5

$X \rightarrow$ has 2 features, x_1 and x_2

\therefore we will get 2 weights $\Rightarrow w_1$ and w_2

$$\text{Ag, } W = \sum_{i=1}^N d_i \cdot d_i \cdot x_i$$

$$W_1 = \sum_{i=1}^{10} d_i \cdot d_i \cdot x_{1i}$$

$$\text{and, } W_2 = \sum_{i=1}^{10} d_i \cdot d_i \cdot x_{2i}$$

$$\text{So, } W_1 = (4 \times 0.414 \times 1) + 0 - 0 - (2.5 \times 1.18 \times 1) + 0 - 0 + (3.5 \times 1.18) - 0 - (2 \times 0.414) + 0$$

$$W_1 = 2.008 \quad \text{①}$$

$$\text{Similarly, } W_2 = (2.9 \times 0.414 \times 1) + 0 - 0 - (1 \times 1.18 \times 1) + 0 - 0 + (4 \times 1.18) - 0 - (2.1 \times 0.414) + 0$$

$$W_2 = 3.8712 \quad \text{②, } w = [2.008 \quad 3.8712]^T$$

Now, we know that our decision ~~function~~ is given by \Rightarrow
function

$$1 = w^T X + b$$

~~4/17/2017~~



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P.T.O.

②

$$\text{At } (4, 2.9) \Rightarrow +1 = (2.008 \times 4) + (2.9 \times 3.8712) + b_1$$

$$b_1 = 1 - 19.25848 = \boxed{-18.25848}$$

$$\text{At } (2.5, 1) \Rightarrow +1 = (2.008 \times 2.5) + (1 \times 3.8712) + b_2$$

$$b_2 = \boxed{-7.8912}$$

$$\text{At } (3.5, 4) \Rightarrow +1 = (2.008 \times 3.5) + (4 \times 3.8712) + b_3$$

$$b_3 = \boxed{-21.5128}$$

$$\text{At } (2, 2.1) \Rightarrow +1 = (2.008 \times 2) + (2.1 \times 3.8712) + b_4$$

~~scribbles~~

$$b_4 = \boxed{-11.14552}$$

$$b = \frac{b_1 + b_2 + b_3 + b_4}{4} = \boxed{-14.702}$$

(A) $b = \boxed{-14.702}$

(B) $x_1 (4, 2.9)$

$x_4 (2.5, 1)$

$x_2 (3.5, 4)$

$x_3 (2, 2.1)$

\Rightarrow These are the support vectors.

Because their coefficients or " α " $\neq 0$.

Question 6

(c)

$$W = \begin{bmatrix} 2.008 \\ 3.8712 \end{bmatrix}$$

Now, $(2.008)x_1 + (3.8712)x_2 - 14.702 = Y$

Equation of optimal Hyperplane or O.H.P.

for, $X = \begin{bmatrix} 3 \\ 3 \end{bmatrix} \Rightarrow Y = 2.9356 > 0$

$\therefore X(3, 3) \Rightarrow Y = 1$

and, $d = 0 \Rightarrow$ ~~not a support vector~~ As it is not a support vector.

Ans. b)

we have RBF kernel given by,

$$K(u, v) = e^{-\gamma \|u - v\|^2}$$

It is given that " u " and " v " have only 1 feature i.e.

u and v are real Numbers.

P.T.O.

(4)

Date _____
Page _____for simplicity, $\gamma=1$

$$\text{Now, } K(u, v) = (e)^{-\langle u-v \rangle^2}$$

$$\left[|u-v|^2 = (u-v)^2, \text{ as both are real No.} \right]$$

$$K(u, v) = (e)^{-u^2 - v^2 + 2uv}$$

$$K(u, v) = (e)^{-u^2 - v^2} \cdot (e)^{2uv}$$

$$K(u, v) = (e)^{-(u^2 + v^2)} \cdot (e)^{2uv} \quad \text{--- (1)}$$

Now, using Taylor series expansion of

$$(e)^x = 1 + \frac{x}{1!} + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots$$

$$\therefore (e)^{2uv} = 1 + \frac{2uv}{1!} + \frac{(2uv)^2}{2!} + \frac{(2uv)^3}{3!} + \dots + \frac{(2uv)^n}{n!}$$

Put this in (1)



(5)

classmate

Date

Page

$$K(u, w) = (e)^{-\frac{(u^2 + w^2)}{2}} \cdot \left[1 + \frac{2uw}{1!} + \frac{(2uw)^2}{2!} + \frac{(2uw)^3}{3!} + \dots + \frac{(2uw)^n}{n!} \right]$$

$$K(u, w) = (e)^{-\frac{(u^2 + w^2)}{2}} \cdot \left[(1 \cdot 1) + \left(\frac{u \sqrt{2}}{\sqrt{1!}} \cdot \frac{w \sqrt{2}}{\sqrt{1!}} \right) + \left(\frac{u^2 \sqrt{2^2}}{\sqrt{2!}} \cdot \frac{w^2 \sqrt{2}}{\sqrt{2!}} \right) + \dots \right. \\ \left. \dots + \left(\frac{u^n \sqrt{2^n}}{\sqrt{n!}} \cdot \frac{w^n \sqrt{2^n}}{\sqrt{n!}} \right) \right]$$

~~$$K(u, w) = (e)^{-\frac{(u^2 + w^2)}{2}}$$~~

This can be written in the form of \Rightarrow

$$K(u, w) = \phi^T(u) \cdot \phi(w) = \{ \phi(u), \phi(w) \}$$

↑
Dot Product.

where, $\phi(u) = (e)^{-\frac{u^2}{2}} \cdot \left[1, \frac{u \sqrt{2}}{\sqrt{1!}}, \frac{u^2 \sqrt{2^2}}{\sqrt{2!}}, \frac{u^3 \sqrt{2^3}}{\sqrt{3!}}, \dots, \frac{u^n \sqrt{2^n}}{\sqrt{n!}} \right]$

Ans //

(6)

CLASSMATE

Date _____

Page _____

$$\text{Now, coefficient of "u" = } (e)^{-u^2} \cdot \frac{(2)^n}{n!}$$

when, $n \rightarrow \infty$

$$\text{coeff. of "u" = } \lim_{n \rightarrow \infty} (e)^{-u^2} \frac{(2)^n}{n!} \quad \text{--- (2)}$$

we have to check that whether (2) converges or
diverges as $n \rightarrow \infty$

$$\Rightarrow T_n = (e)^{-u^2} \frac{(2)^n}{n!}$$

$$T_{n+1} = (e)^{-u^2} \frac{(2)^{n+1}}{(n+1)!}$$

$$\frac{T_{n+1}}{T_n} = \sqrt{\frac{2}{n+1}} = R \quad (\text{radius of convergence}).$$

as $n \rightarrow \infty$

$$R = \sqrt{\frac{2}{\infty}} = 0 \quad \left[\text{as } \frac{1}{\infty} = 0 \right]$$

Question 4

7

classmate
Date _____
Page _____

As $R=0 \Rightarrow$ it converges.

or we can say that at higher values say $(100000, =n)$.

$$(e) \frac{\mu^2}{n!} \int_2^n = 0$$

Therefore, The coefficients of higher order

terms of " μ " present in $\phi(\mu)$ becomes 0 or $=0$

Ans

Ans.4)

$$K(x, x') = [1 + x^T x']^2 - 1$$

↑
Polynomial Kernel of degree 2.

$$\text{Given, } X = [x_1, x_2]^T$$

$$X' = [x'_1, x'_2]^T$$

Putting X and x' in the Kernel ①.

(8)

classmate

Date _____

Page _____

$$X^T X = \underset{(1 \times 2)}{[x_1 \ x_2]} \underset{(2 \times 1)}{\begin{bmatrix} x_1' \\ x_2' \end{bmatrix}} = \underset{(1 \times 1)}{[x_1 x_1' + x_2 x_2']}$$

$$\text{Now, } K(X, X') = [1 + X^T X]^2$$

$$K(X, X') = [1 + x_1 x_1' + x_2 x_2']^2$$

$$K(X, X') = 1 + x_1^2 x_1'^2 + x_2^2 x_2'^2 + 2 x_1 x_1' + 2 x_2 x_2' + 2 x_1 x_2 x_1' x_2'$$

$$K(X, X') = (1.1) + (x_1^2 \cdot x_1'^2) + (x_2^2 \cdot x_2'^2) + (\sqrt{2} x_1 \cdot \sqrt{2} x_1') + (\sqrt{2} x_2 \cdot \sqrt{2} x_2') + (\sqrt{2} x_1 x_2 \cdot \sqrt{2} x_1' x_2')$$

$$K(X, X') = \phi^T(X) \cdot \phi(X') = \{ \phi(X), \phi(X') \}$$

Dot Product.

$$\text{where, } \phi(X) = [1, x_1^2, x_2^2, \sqrt{2} x_1, \sqrt{2} x_2, \sqrt{2} x_1 x_2]^T$$

And \Rightarrow

" $\phi(X)$ " contains "6" features. (1 bias and 5 actual features).

Question 3

(9)

CLASSMATE

Date

Page

Ans 3)

Yes we can model the XOR operation using an S.V.M.

Input vector, x	Desired response, d
$(-1, -1)$	-1
$(-1, +1)$	$+1$
$(+1, -1)$	$+1$
$(+1, +1)$	-1

We will be choosing a Polynomial kernel of degree 2 for this problem, which is given by \Rightarrow

$$K(x, x_i) = [1 + x^T x_i]^2 - 1$$

our " x " has two features, $x = [x_1, x_2]^T$

and, $x_i = [x_{i1}, x_{i2}]^T$

Put these in ①

$$K(x, x_i) = [1 + x_1 x_{i1} + x_2 x_{i2}]^2$$

$$K(x, x_i) = 1 + x_1^2 x_{i1}^2 + x_2^2 x_{i2}^2 + 2 x_1 x_{i1} + 2 x_2 x_{i2} + 2 x_1 x_{i1} x_2 x_{i2}$$

(10)

classmate

Date _____

Page _____

Now, the image of the input vector " x " induced in the feature space is therefore deduced to be \Rightarrow

$$\Phi(x) = [1, x_1^2, \sqrt{2} x_1 x_2, x_2^2, \sqrt{2} x_1, \sqrt{2} x_2]^T$$

Similarly, $\Phi(x_i) = [1, x_{i1}^2, \sqrt{2} x_{i1} x_{i2}, x_{i2}^2, \sqrt{2} x_{i1}, \sqrt{2} x_{i2}]^T$
 $i = 1, 2, 3, \dots$

we define a Kernel-gram matrix " K "



$$K = \begin{bmatrix} 9 & 1 & 1 & 1 \\ 1 & 9 & 1 & 1 \\ 1 & 1 & 9 & 1 \\ 1 & 1 & 1 & 9 \end{bmatrix} = \begin{bmatrix} x_1 \cdot x_1 & x_1 \cdot x_2 & x_1 \cdot x_1 & x_1 \cdot x_2 \\ x_2 \cdot x_1 & x_2 \cdot x_2 & x_2 \cdot x_1 & x_2 \cdot x_2 \\ x_1 \cdot x_1 & x_1 \cdot x_2 & x_1 \cdot x_1 & x_1 \cdot x_2 \\ x_2 \cdot x_1 & x_2 \cdot x_2 & x_2 \cdot x_1 & x_2 \cdot x_2 \end{bmatrix}$$

(A)

The objective function for the dual form is given by \Rightarrow

$$\Theta(\alpha) = \sum_{i=1}^N d_i - \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N d_i d_j K(x_i, x_j)$$

(11)

classmate

Date _____

Page _____

$$\theta(d) = d_1 + d_2 + d_3 + d_4 - \frac{1}{2} \left[9d_1^2 - 2d_1d_2 - 2d_1d_3 + 2d_1d_4 + 9d_2^2 + 2d_2d_3 - 2d_2d_4 + 9d_3^2 - 2d_3d_4 + 9d_4^2 \right]$$

Now, optimizing $\theta(d)$ with respect to the Lagrange multiplier λ (B)

$$\begin{aligned} \frac{d\theta}{dd_1} = 0 &\Rightarrow 9d_1 - d_2 - d_3 + d_4 = 1 \\ \frac{d\theta}{dd_2} = 0 &\Rightarrow -d_1 + 9d_2 + d_3 - d_4 = 1 \\ \frac{d\theta}{dd_3} = 0 &\Rightarrow -d_1 + d_2 + 9d_3 - d_4 = 1 \\ \frac{d\theta}{dd_4} = 0 &\Rightarrow d_1 - d_2 - d_3 + 9d_4 = 1 \end{aligned}$$

Solving these 4 equations

we get,

$$d_1 = d_2 = d_3 = d_4 = \frac{1}{8}$$

The optimum value of $\theta(d) = \frac{1}{4}$

(2)

Date _____
Page _____

We may write $\frac{\|w_o\|^2}{2} = o(d) = \frac{1}{4}$

$\|w_o\| = \frac{1}{\sqrt{2}}$, $w_o \rightarrow$ optimal weight vector.

Now, we know that the optimal weight vector is given by \Rightarrow

$$w_o = \sum_{i=1}^N d_i \cdot d_i \cdot \phi(x_i)$$

$$w_o = \frac{1}{8} \left[(-1) \phi(x_1) + (1) \phi(x_2) + (1) \phi(x_3) + (-1) \phi(x_4) \right]$$

$$w_o = \left(\frac{1}{8} \right) \left\{ \begin{bmatrix} 1 \\ 1 \\ 1 \\ \sqrt{2} \\ -\sqrt{2} \end{bmatrix} - \begin{bmatrix} 1 \\ 1 \\ 1 \\ -\sqrt{2} \\ \sqrt{2} \end{bmatrix} + \begin{bmatrix} 1 \\ 1 \\ 1 \\ \sqrt{2} \\ -\sqrt{2} \end{bmatrix} - \begin{bmatrix} 1 \\ 1 \\ 1 \\ \sqrt{2} \\ \sqrt{2} \end{bmatrix} \right\}$$

$$w_o = \left(\frac{1}{8} \right) \begin{bmatrix} 0 \\ 0 \\ -4\sqrt{2} \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ -1/\sqrt{2} \\ 0 \\ 0 \end{bmatrix}$$

(13)

classmate

Date _____

Page _____

The first element ~~den~~ of w_0 denotes the bias "b" which is "0" in this case.

Therefore equation of optimal hyperplane is given by $\Rightarrow w_0^T \phi(x) = 0$

$$\text{or, } \begin{bmatrix} 0 & 0 & -\frac{1}{\sqrt{2}} & 0 & 0 & 0 \end{bmatrix}_{(1 \times 6)} \begin{bmatrix} 1 \\ x_1^2 \\ \sqrt{2} x_1 x_2 \\ x_2^2 \\ \sqrt{2} x_1 \\ \sqrt{2} x_2 \end{bmatrix}_{(6 \times 1)} = 0$$

$$\left(\frac{-1}{\sqrt{2}} \right) (\sqrt{2} x_1 x_2) = 0$$

$$\boxed{-x_1 x_2 = 0} \quad \text{--- (C)}$$

Ans \Rightarrow

Therefore the XOR problem can be modelled using

S.V.M. with the polynomial function (C)

given above.