

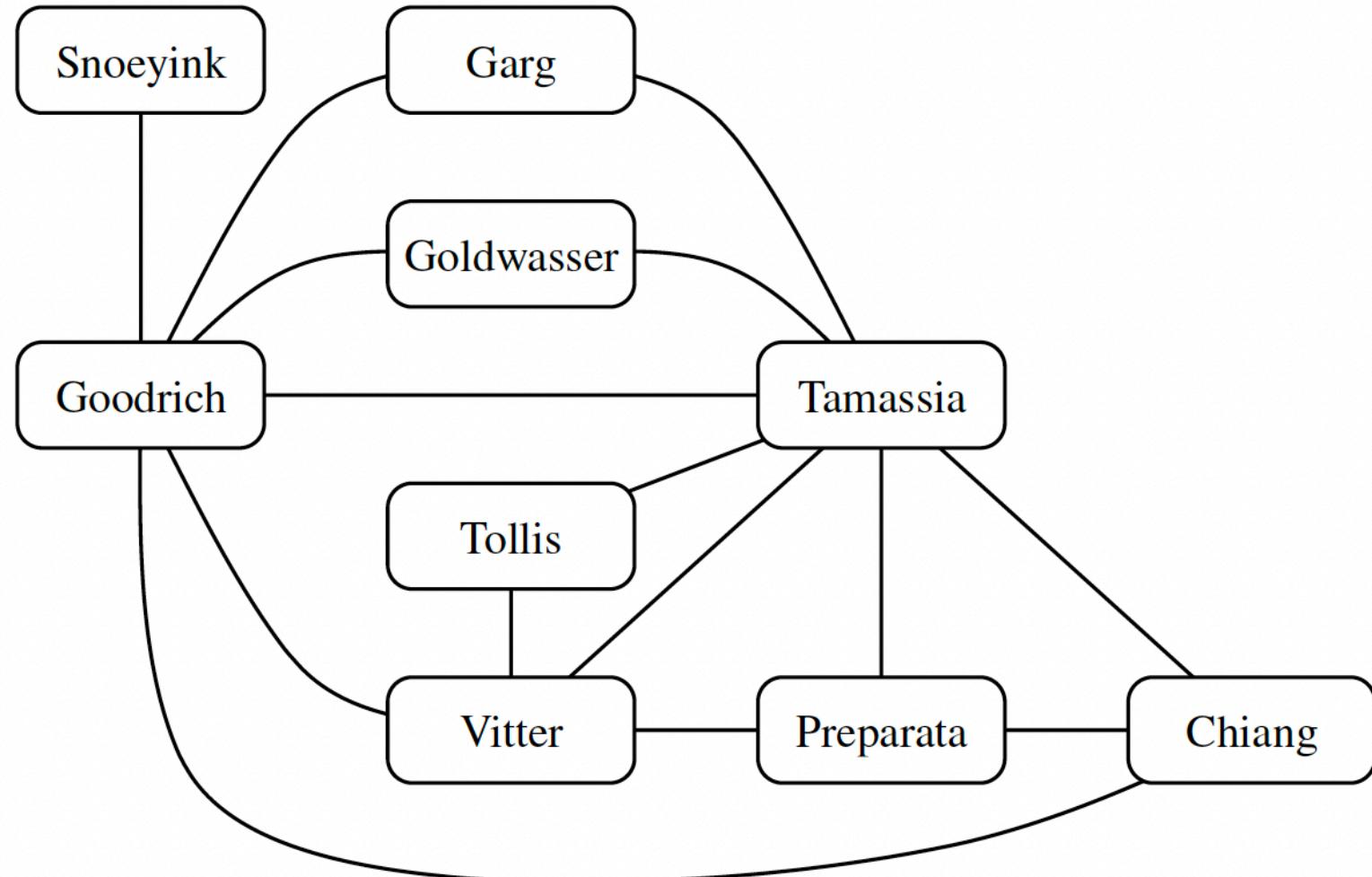
# **Graph Algorithms**

## Applied Algorithms

# Graph

- A graph is a structure to represent relationships that exist between pairs of objects.
- A graph consists of vertices and edges (directed or undirected edges).
- The vertices are visualized as ovals/rectangles and the edges are as segments/curves connecting pairs of ovals/rectangles.
- Some examples (domains): Transportation, computer networks, and electrical engineering.
- A graph is not a bar chart or function plot.

# Example: An Undirected Graph

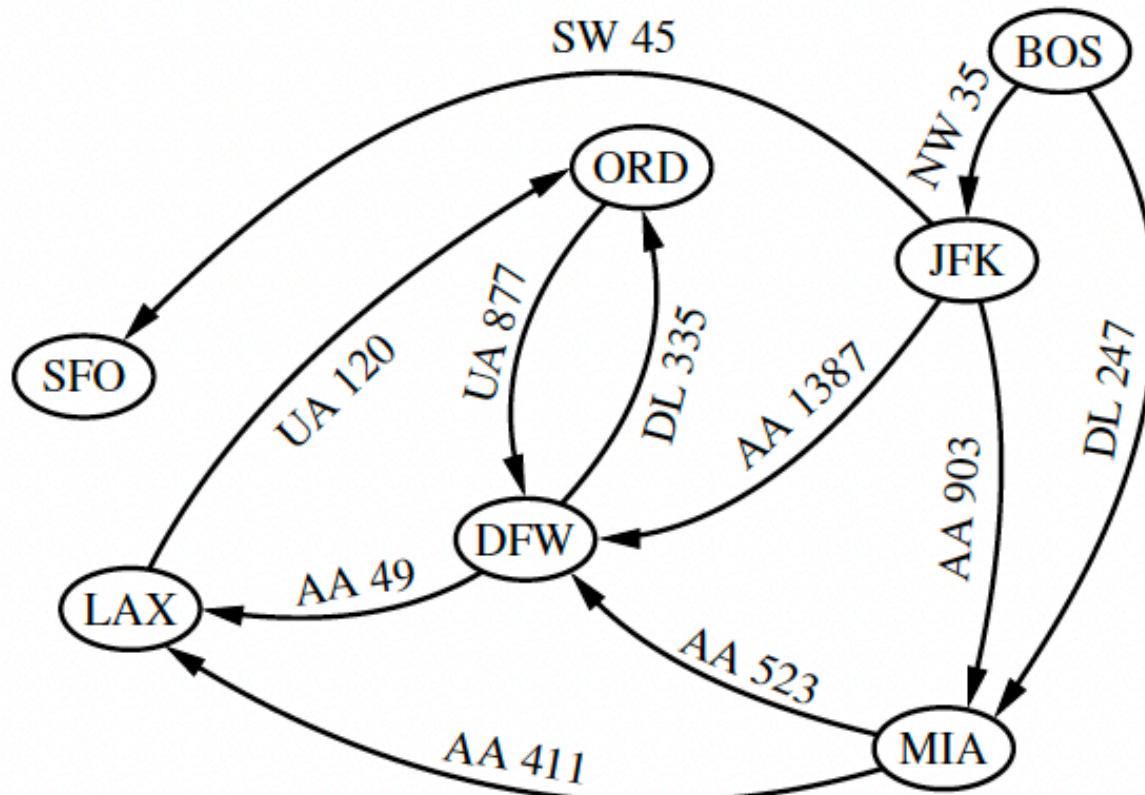


Co-authorship among some authors.

# Graph

- Undirected graph: all edges are undirected.
- Directed graph (Digraph): all edges are directed
- Mixed graph: All edges consist of both directed and undirected edges.
- Two vertices  $u$  and  $v$  are **adjacent** if there is an edge whose end vertices are  $u$  and  $v$ .
- The **degree** of a vertex: The number of incident edges of  $v$ .
- The **in-degree** and **out-degree** of a vertex: The number of incoming and outgoing edges, respectively.

# Example: A Directed Graph



A flight network.

# Graph

- The group of edges is a **collection**, not a set.
- **Parallel edges (multiple edges)**: two undirected edges which have the same end vertices, or two directed edges which have the same origin and the same destination.
- **Self-loop**: An edge is a self-loop if its two endpoints coincide.
- **Simple graph**: no parallel edges or self-loops.
- **cycle**: a path that starts and ends at the same vertex, and that includes at least one edge.
- A path is **simple** if each vertex in the path is distinct, and a **cycle** is simple if each vertex in the cycle is distinct, except for the first and last one.

# Graph

- A directed graph is **acyclic** if it has no directed cycles.
- Given vertices  $u$  and  $v$  of a (directed) graph  $G$ , we say that  $u$  **reaches**  $v$ , and that  $v$  is **reachable** from  $u$ , if  $G$  has a (directed) path from  $u$  to  $v$ .
- A graph is **connected** if, for any two vertices, there is a path between them.
- A directed graph  $G$  is **strongly connected** if for any two vertices  $u$  and  $v$  of  $G$ ,  $u$  reaches  $v$  and  $v$  reaches  $u$ .

# Graph

- A **subgraph** of a graph  $G$  is a graph  $H$  whose vertices and edges are subsets of the vertices and edges of  $G$ , respectively.
- **A spanning** subgraph of  $G$  is a subgraph of  $G$  that contains all the vertices of the graph  $G$ .
- **A forest** is a graph without cycles.
- A **tree** is a connected forest—a connected graph without cycles.
- A **spanning tree** of a graph is a spanning subgraph that is a tree

# Data Structures for Graphs

- Edge list:
- Adjacency list:
- Adjacency map
- Adjacency matrix

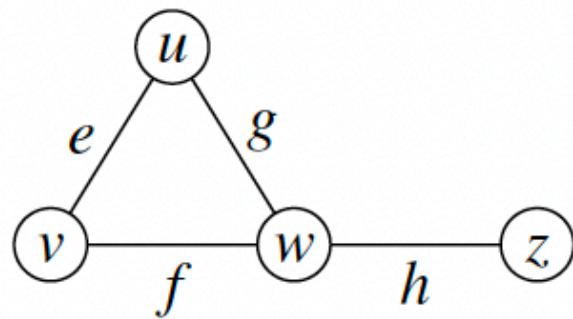
# Data Structures for Graphs

Operation	Edge List	Adj. List	Adj. Map	Adj. Matrix
vertex_count()	$O(1)$	$O(1)$	$O(1)$	$O(1)$
edge_count()	$O(1)$	$O(1)$	$O(1)$	$O(1)$
vertices()	$O(n)$	$O(n)$	$O(n)$	$O(n)$
edges()	$O(m)$	$O(m)$	$O(m)$	$O(m)$
get_edge( $u, v$ )	$O(m)$	$O(\min(d_u, d_v))$	$O(1)$ exp.	$O(1)$
degree( $v$ )	$O(m)$	$O(1)$	$O(1)$	$O(n)$
incident_edges( $v$ )	$O(m)$	$O(d_v)$	$O(d_v)$	$O(n)$
insert_vertex( $x$ )	$O(1)$	$O(1)$	$O(1)$	$O(n^2)$
remove_vertex( $v$ )	$O(m)$	$O(d_v)$	$O(d_v)$	$O(n^2)$
insert_edge( $u, v, x$ )	$O(1)$	$O(1)$	$O(1)$ exp.	$O(1)$
remove_edge( $e$ )	$O(1)$	$O(1)$	$O(1)$ exp.	$O(1)$

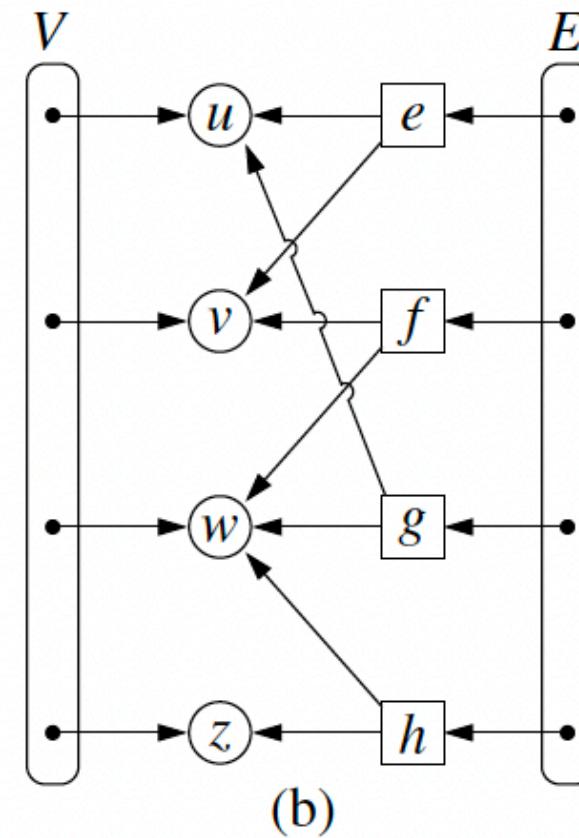
$n$ : the number of vertices,  $m$ : the number of edges, and  $d_v$ : the degree of vertex  $v$ . The adjacency matrix uses  $O(n^2)$  space, while all other structures use  $O(n+m)$  space.

# Edge List Structure

- $V$  is an unordered list and includes all vertex objects and  $E$  is another unordered list which stores all edges.



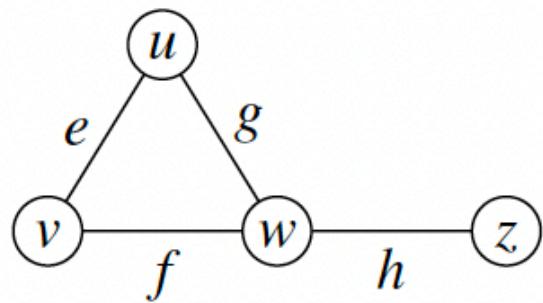
(a)



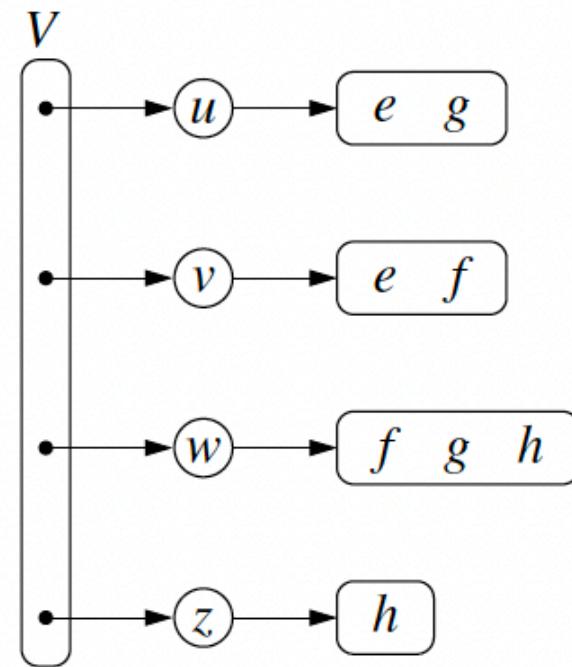
(b)

# Adjacency List Structure

- The adjacency list structure stores edges in smaller, secondary containers which are associated with each individual vertex.



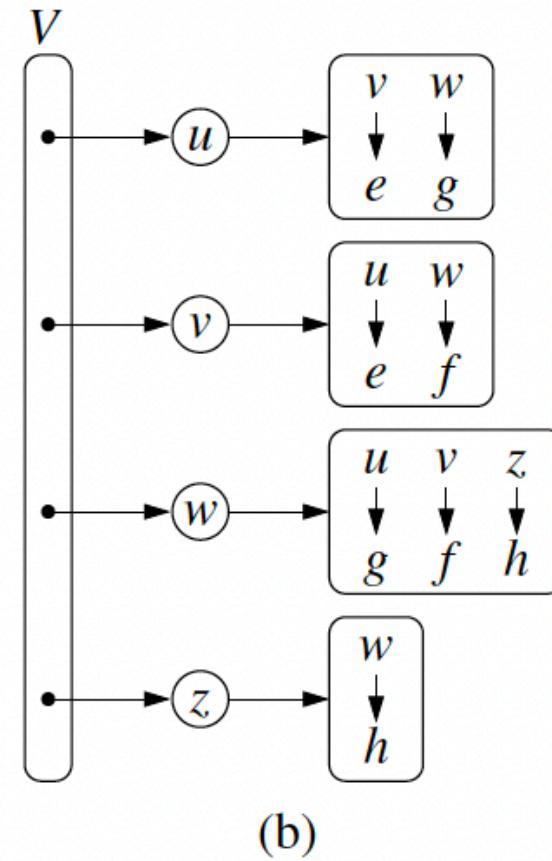
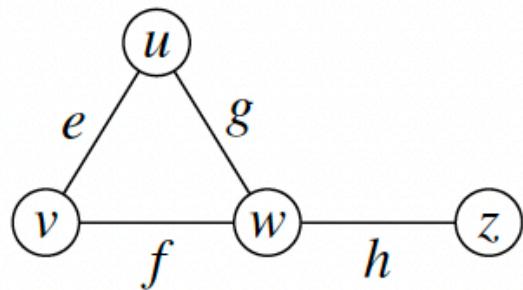
(a)



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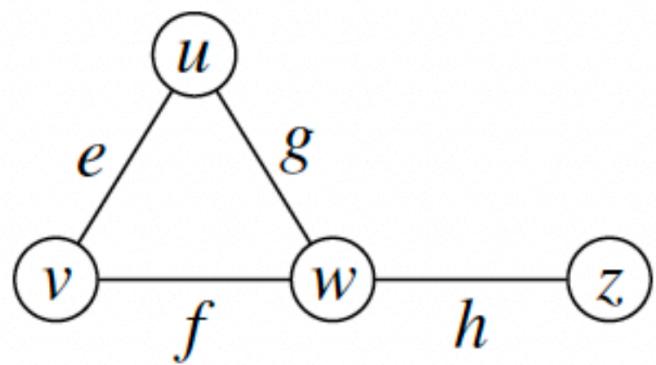
# Edge Map Structure

- The secondary incidence collections are implemented as unordered linked lists



# Edge Matrix Structure

- Allows us to locate an edge between a given pair of vertices in worst-case constant time.



(a)

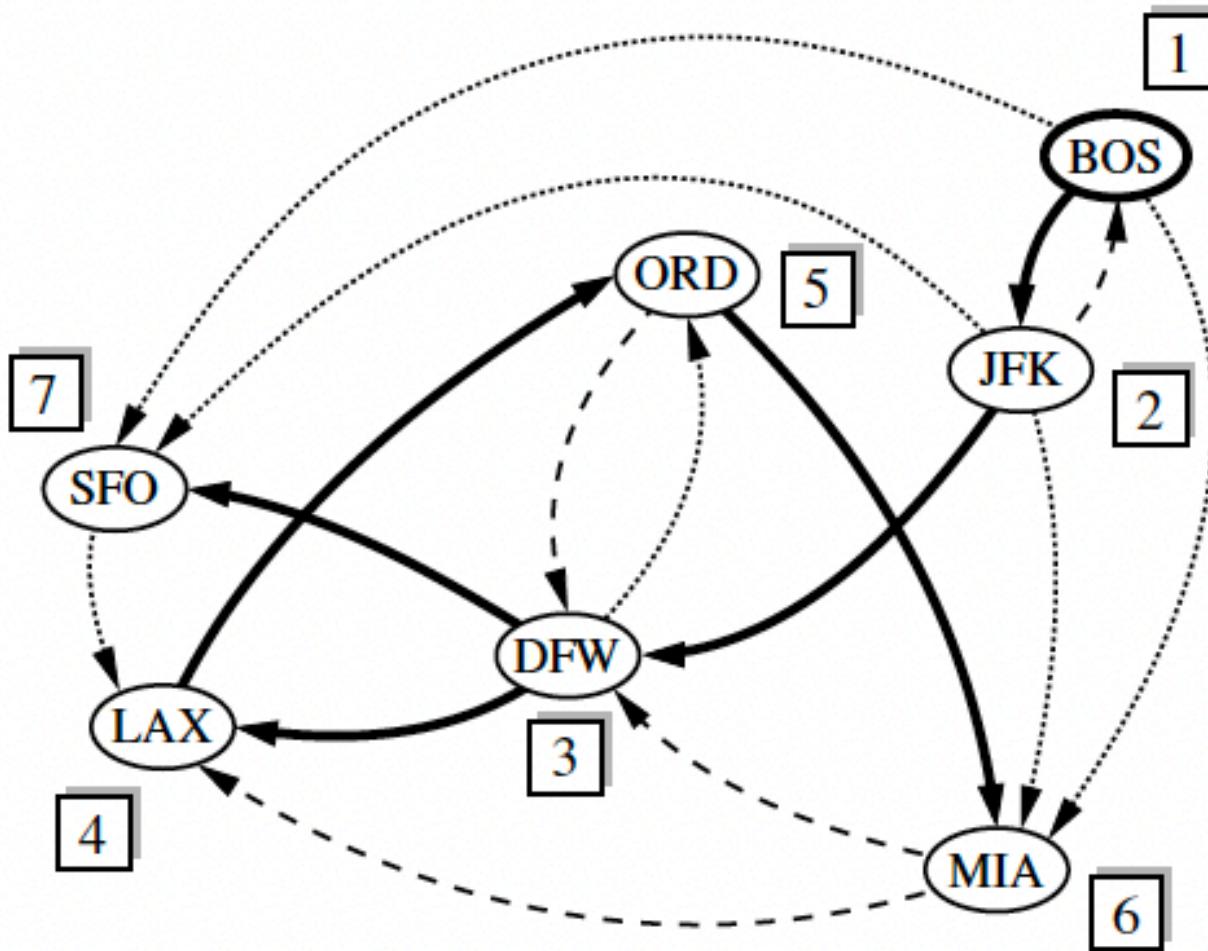
	0	1	2	3
$u \rightarrow$		$e$	$g$	
$v \rightarrow$	$e$		$f$	
$w \rightarrow$	$g$	$f$		$h$
$z \rightarrow$			$h$	

(b)

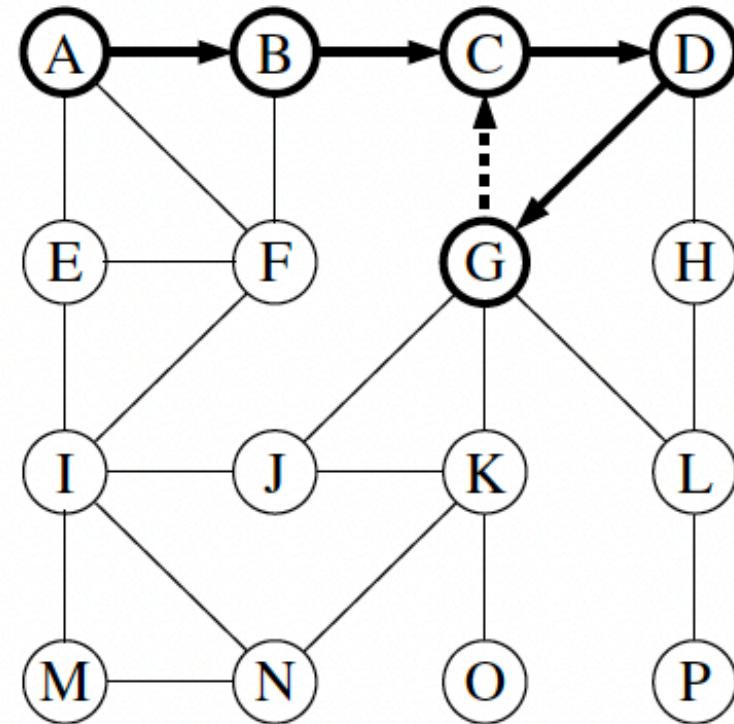
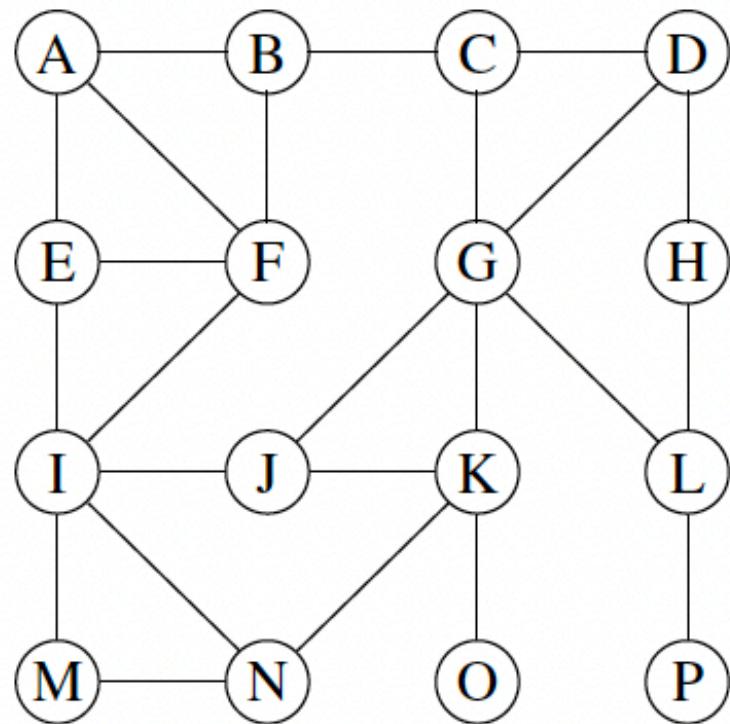
# Graph Traversals

- A systematic procedure for exploring a graph by examining all of its vertices and edges.
- A traversal is efficient if it visits all the vertices and edges in time proportional to their number (linear time).
- Depth-first search (DFS) and breadth-first search (BFS).
- Video: [https://www.youtube.com/watch?v=62lcXF\\_OF3k](https://www.youtube.com/watch?v=62lcXF_OF3k)

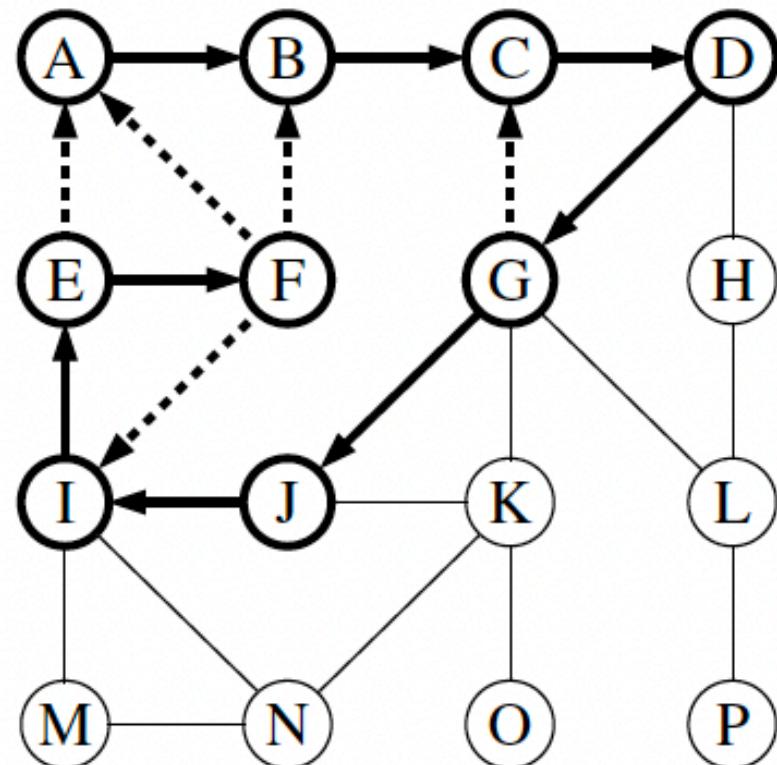
# Example: Depth-First Search (DFS)



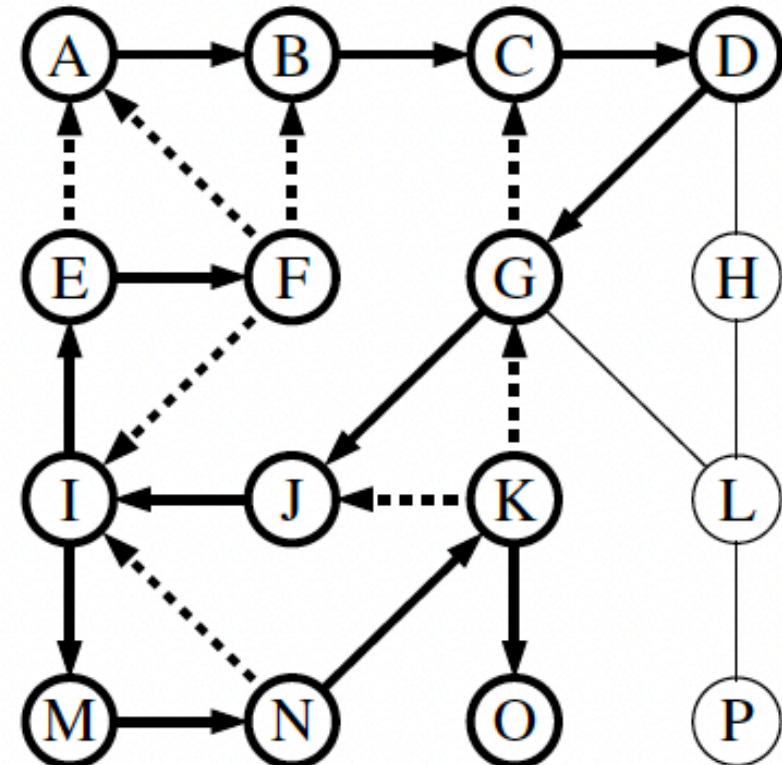
# Example: Depth-First Search (DFS)



# Example: Depth-First Search (DFS)

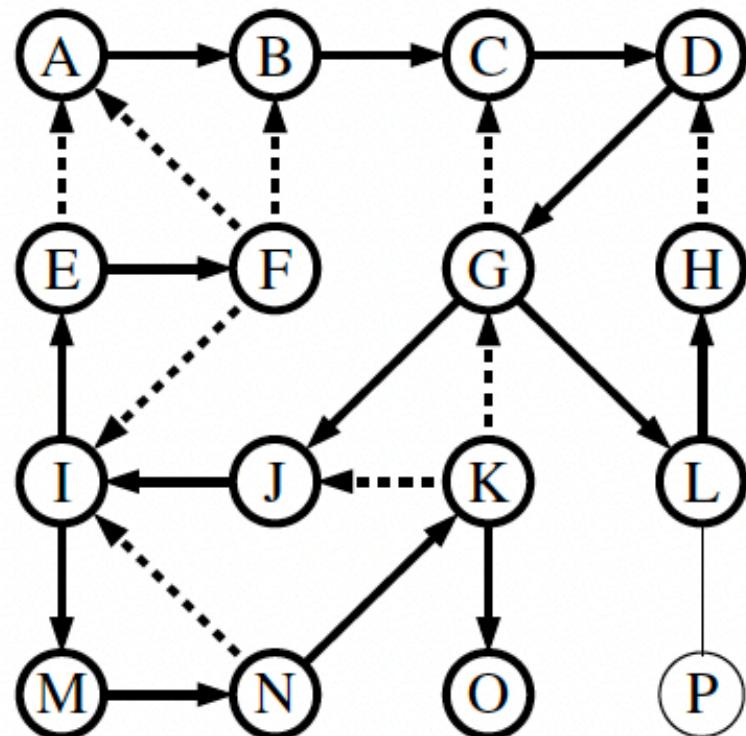


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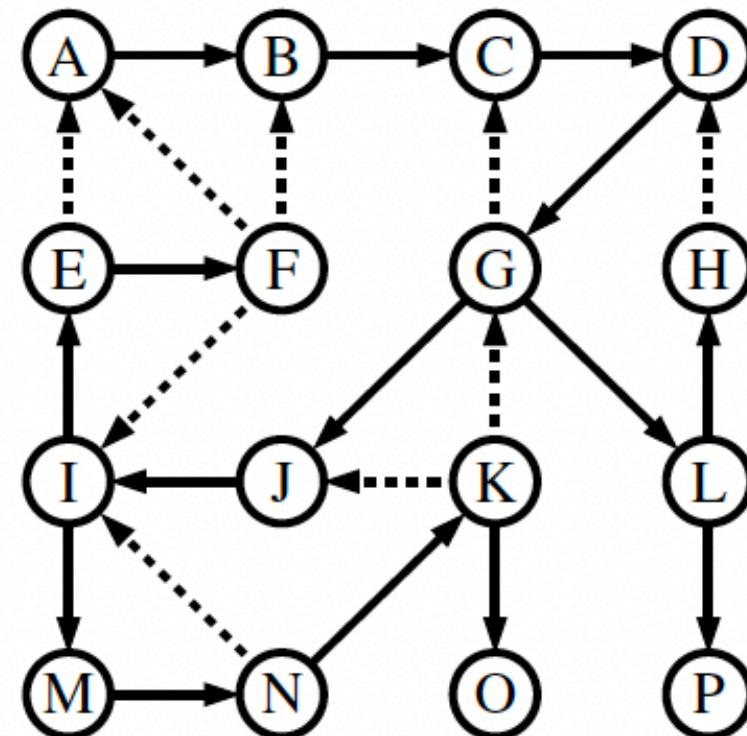


(d)

# Example: Depth-First Search (DFS)



(e)

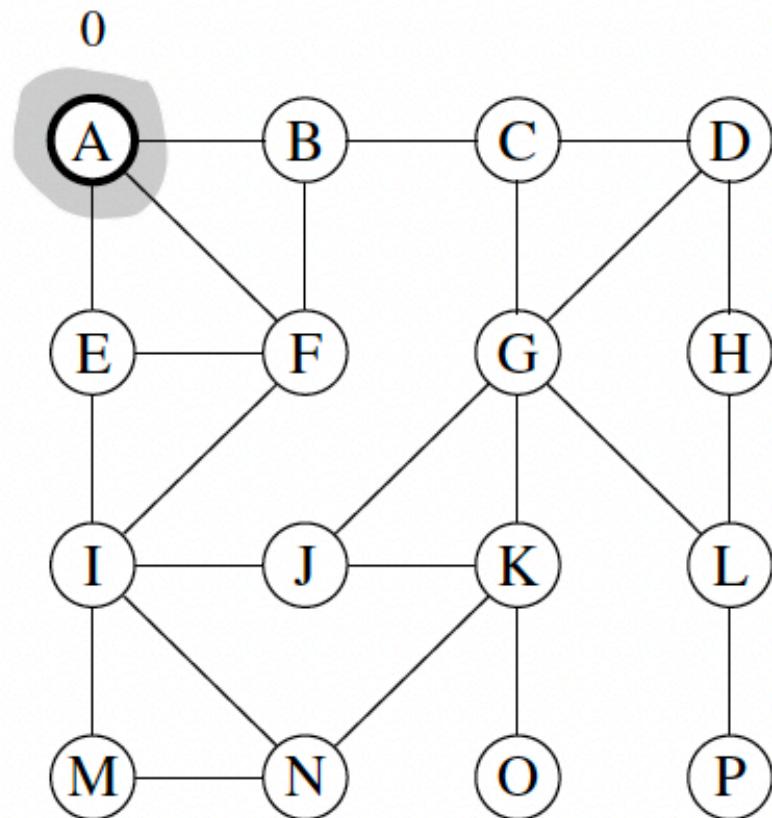


(f)

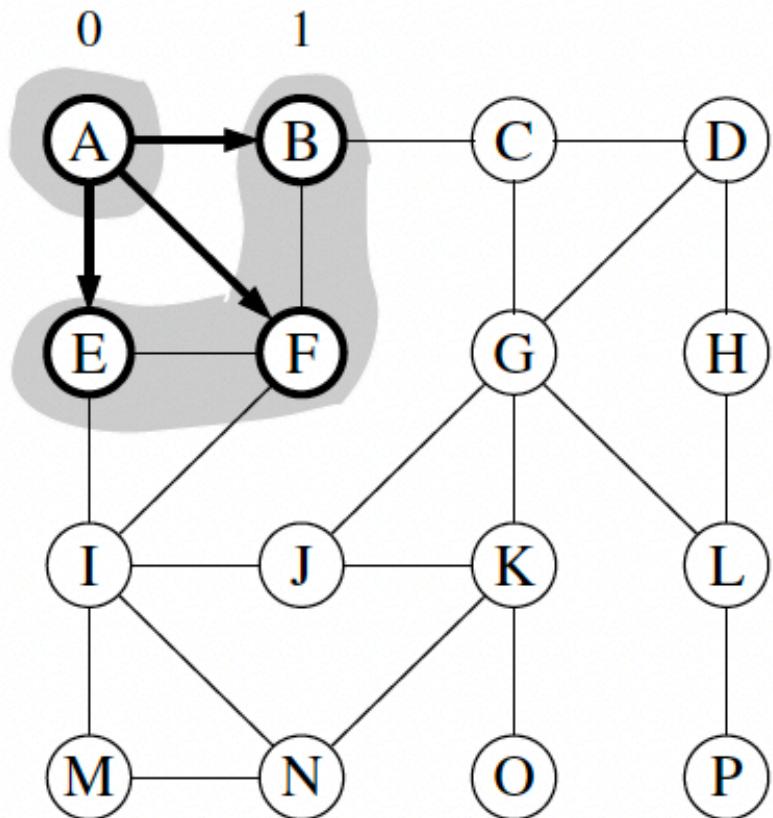
# Depth-First Search (DFS)

```
1 def DFS(g, u, discovered):
2     """ Perform DFS of the undiscovered portion of Graph g starting at Vertex u.
3
4     discovered is a dictionary mapping each vertex to the edge that was used to
5     discover it during the DFS. (u should be "discovered" prior to the call.)
6     Newly discovered vertices will be added to the dictionary as a result.
7     """
8     for e in g.incident_edges(u):          # for every outgoing edge from u
9         v = e.opposite(u)
10        if v not in discovered:            # v is an unvisited vertex
11            discovered[v] = e             # e is the tree edge that discovered v
12            DFS(g, v, discovered)         # recursively explore from v
```

# Breadth-First Search(BFS)

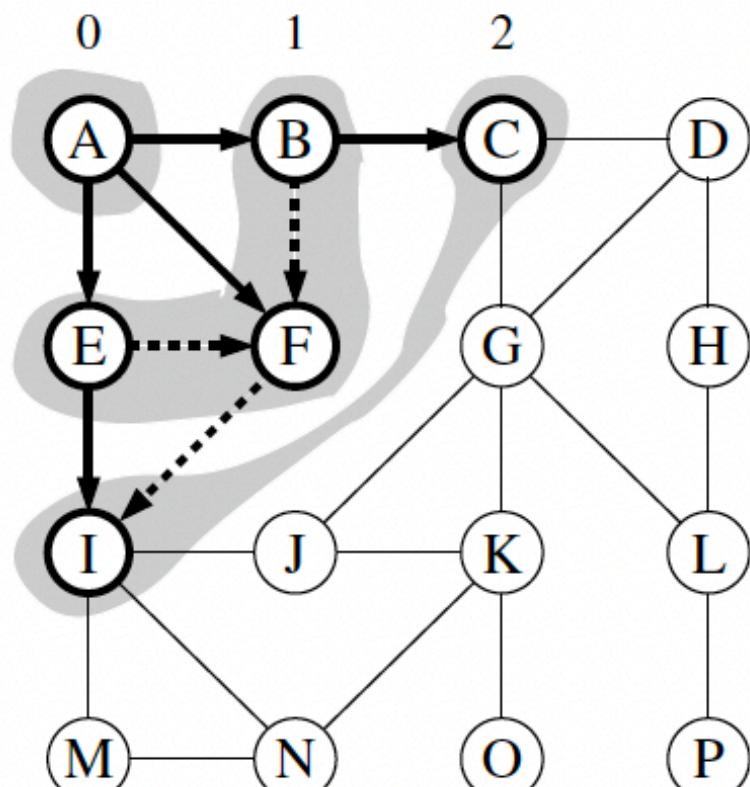


(a)

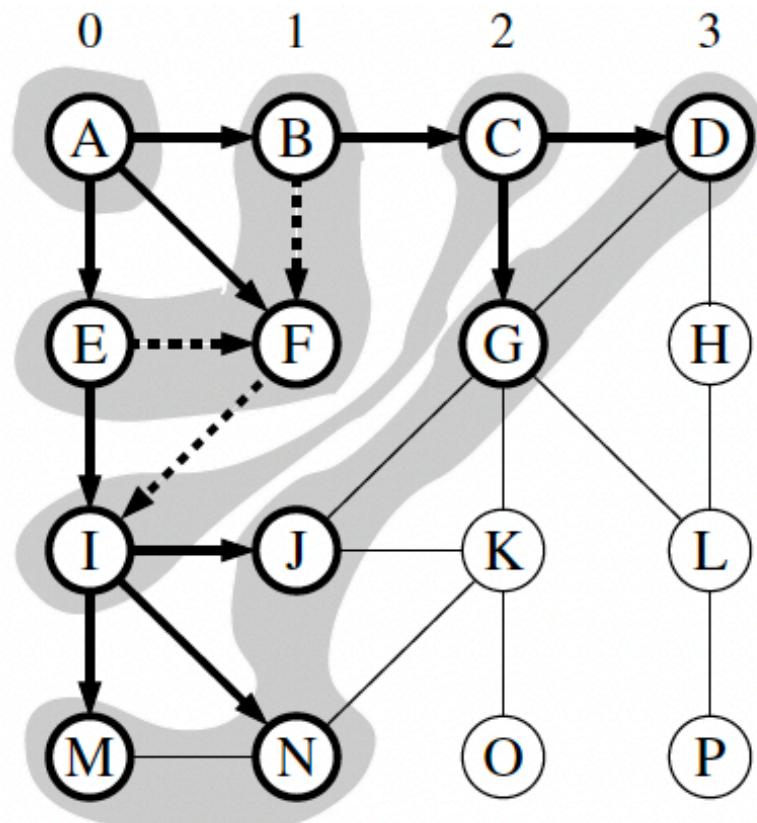


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# Breadth-First Search(BFS)

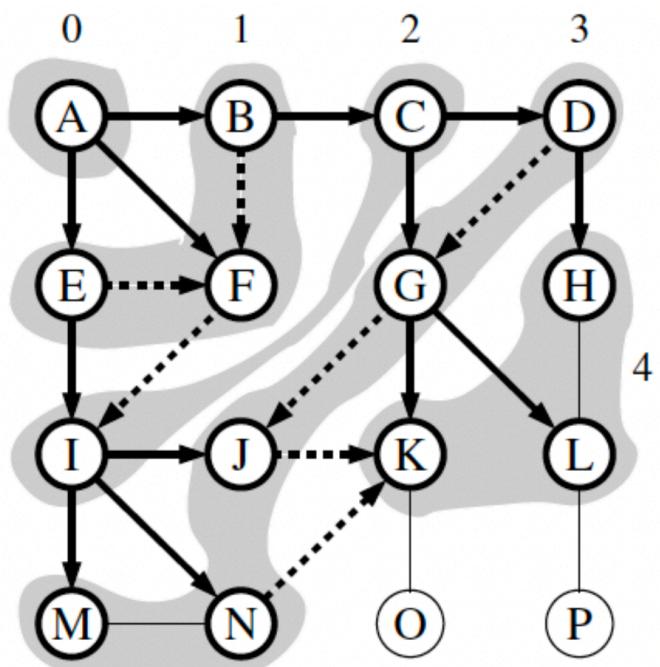


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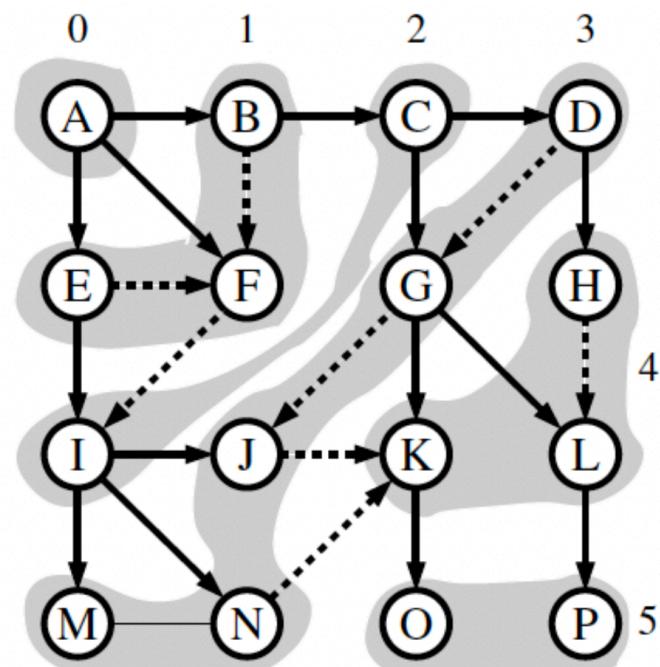


(d)

# Breadth-First Search(BFS)



(e)

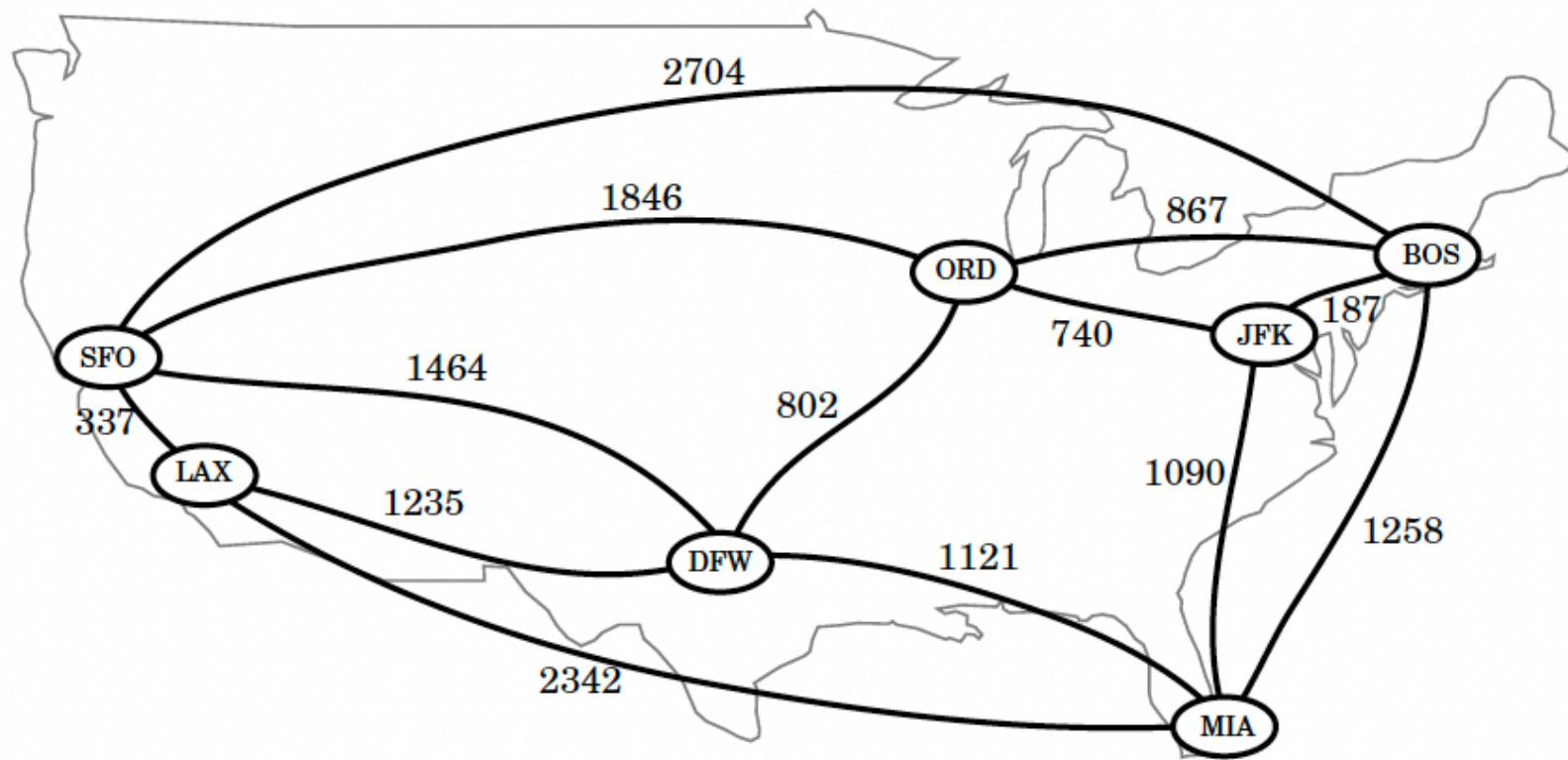


(f)

# Breadth-First Search(BFS)

```
1 def BFS(g, s, discovered):
2     """ Perform BFS of the undiscovered portion of Graph g starting at Vertex s.
3
4     discovered is a dictionary mapping each vertex to the edge that was used to
5     discover it during the BFS (s should be mapped to None prior to the call).
6     Newly discovered vertices will be added to the dictionary as a result.
7     """
8     level = [s]                                # first level includes only s
9     while len(level) > 0:
10        next_level = []                         # prepare to gather newly found vertices
11        for u in level:
12            for e in g.incident_edges(u):          # for every outgoing edge from u
13                v = e.opposite(u)
14                if v not in discovered:             # v is an unvisited vertex
15                    discovered[v] = e              # e is the tree edge that discovered v
16                    next_level.append(v)           # v will be further considered in next pass
17        level = next_level                      # relabel 'next' level to become current
```

# Weighted Graphs



- A weighted graph: Vertices are U.S. airports and edges are distances in miles.

# Shortest Paths

- BFS can be used to find a shortest path from some starting vertex to every other vertex in a connected graph when each edge is as good as any other.
- When edges are weighted, this approach is not appropriate. For example, finding the fastest way to travel cross-country.
- One pair, single source, all pairs.

# Defining Shortest Paths in a Weighted Graph

Let  $G$  be a weighted graph. The *length* (or weight) of a path is the sum of the weights of the edges of  $P$ . That is, if  $P = ((v_0, v_1), (v_1, v_2), \dots, (v_{k-1}, v_k))$ , then the length of  $P$ , denoted  $w(P)$ , is defined as

$$w(P) = \sum_{i=0}^{k-1} w(v_i, v_{i+1}).$$

The *distance* from a vertex  $u$  to a vertex  $v$  in  $G$ , denoted  $d(u, v)$ , is the length of a minimum-length path (also called *shortest path*) from  $u$  to  $v$ , if such a path exists.

# Dijkstra's Algorithm

**Algorithm** ShortestPath( $G, s$ ):

**Input:** A weighted graph  $G$  with nonnegative edge weights, and a distinguished vertex  $s$  of  $G$ .

**Output:** The length of a shortest path from  $s$  to  $v$  for each vertex  $v$  of  $G$ .

Initialize  $D[s] = 0$  and  $D[v] = \infty$  for each vertex  $v \neq s$ .

Let a priority queue  $Q$  contain all the vertices of  $G$  using the  $D$  labels as keys.

**while**  $Q$  is not empty **do**

{pull a new vertex  $u$  into the cloud}

$u$  = value returned by  $Q.\text{remove\_min}()$

**for** each vertex  $v$  adjacent to  $u$  such that  $v$  is in  $Q$  **do**

{perform the *relaxation* procedure on edge  $(u, v)$ }

**if**  $D[u] + w(u, v) < D[v]$  **then**

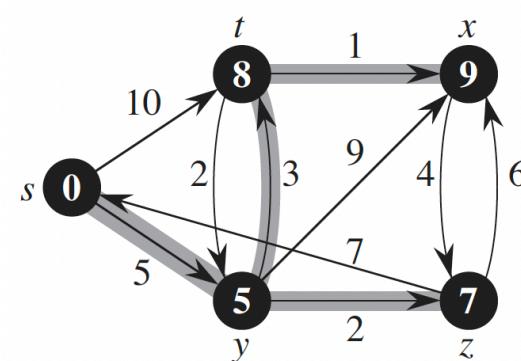
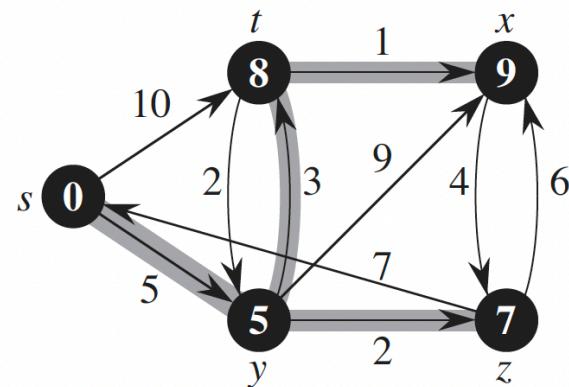
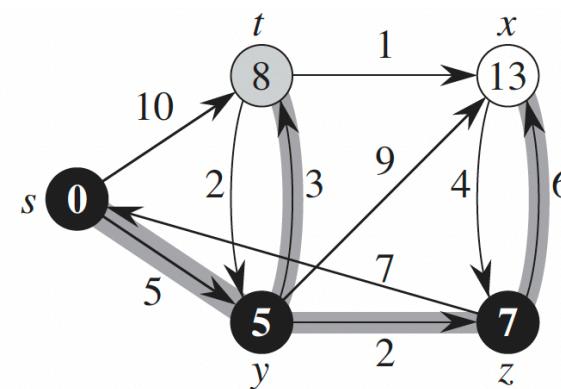
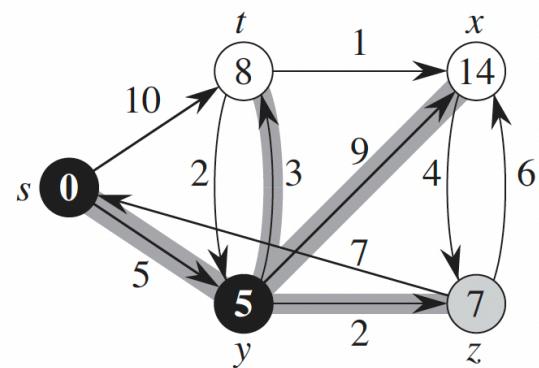
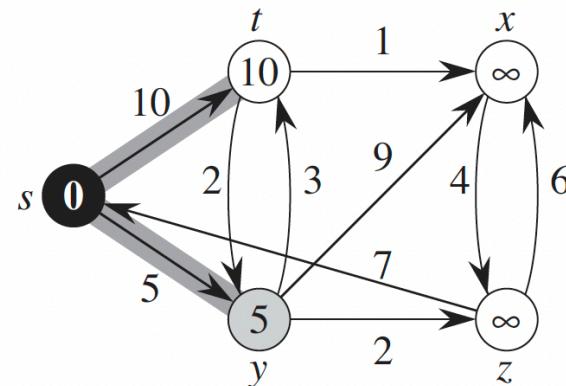
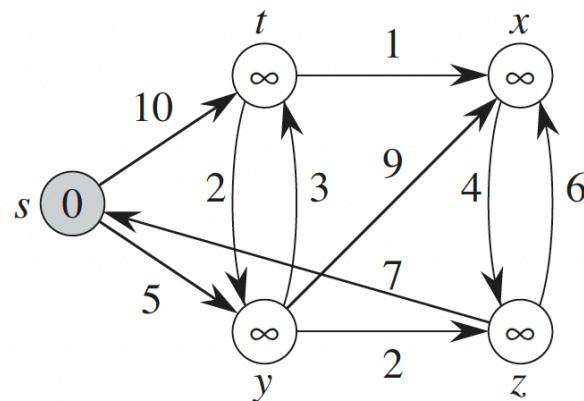
$D[v] = D[u] + w(u, v)$

Change to  $D[v]$  the key of vertex  $v$  in  $Q$ .

**return** the label  $D[v]$  of each vertex  $v$

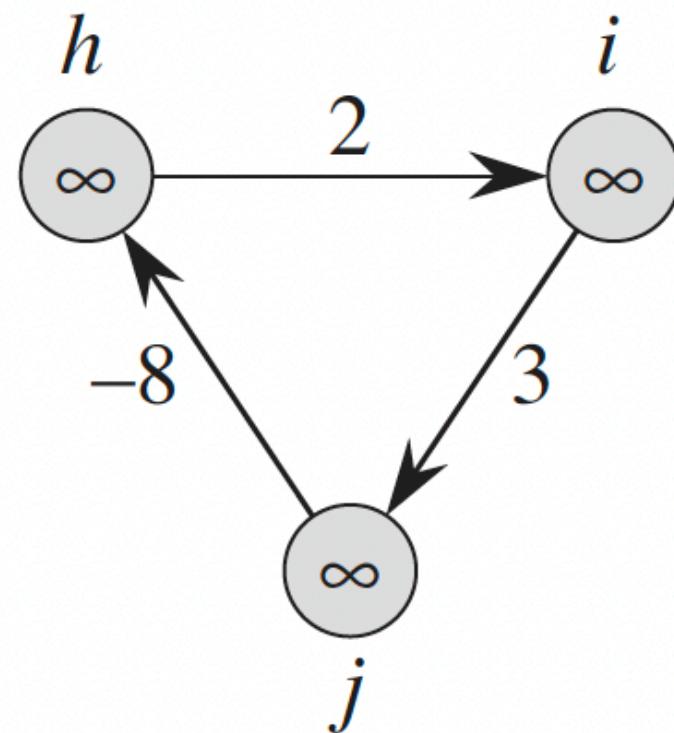
- Video: <https://www.youtube.com/watch?v=pVfj6mxhdMw>

# Class Exercise: Dijkstra's Algorithm



# The Bellman-Ford Algorithm

- Negative-weight cycle and relaxation.



# The Bellman-Ford algorithm

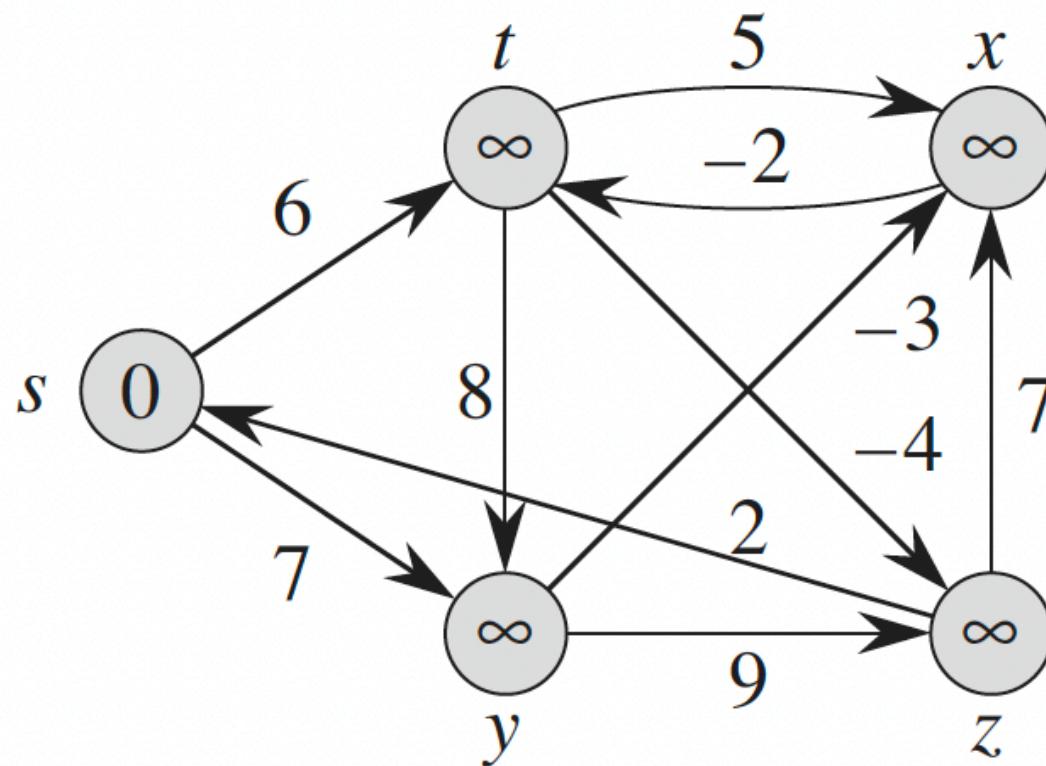
BELLMAN-FORD( $G, w, s$ )

```
1  INITIALIZE-SINGLE-SOURCE( $G, s$ )
2  for  $i = 1$  to  $|G.V| - 1$ 
3    for each edge  $(u, v) \in G.E$ 
4      RELAX( $u, v, w$ )
5    for each edge  $(u, v) \in G.E$ 
6      if  $v.d > u.d + w(u, v)$ 
7        return FALSE
8  return TRUE
```

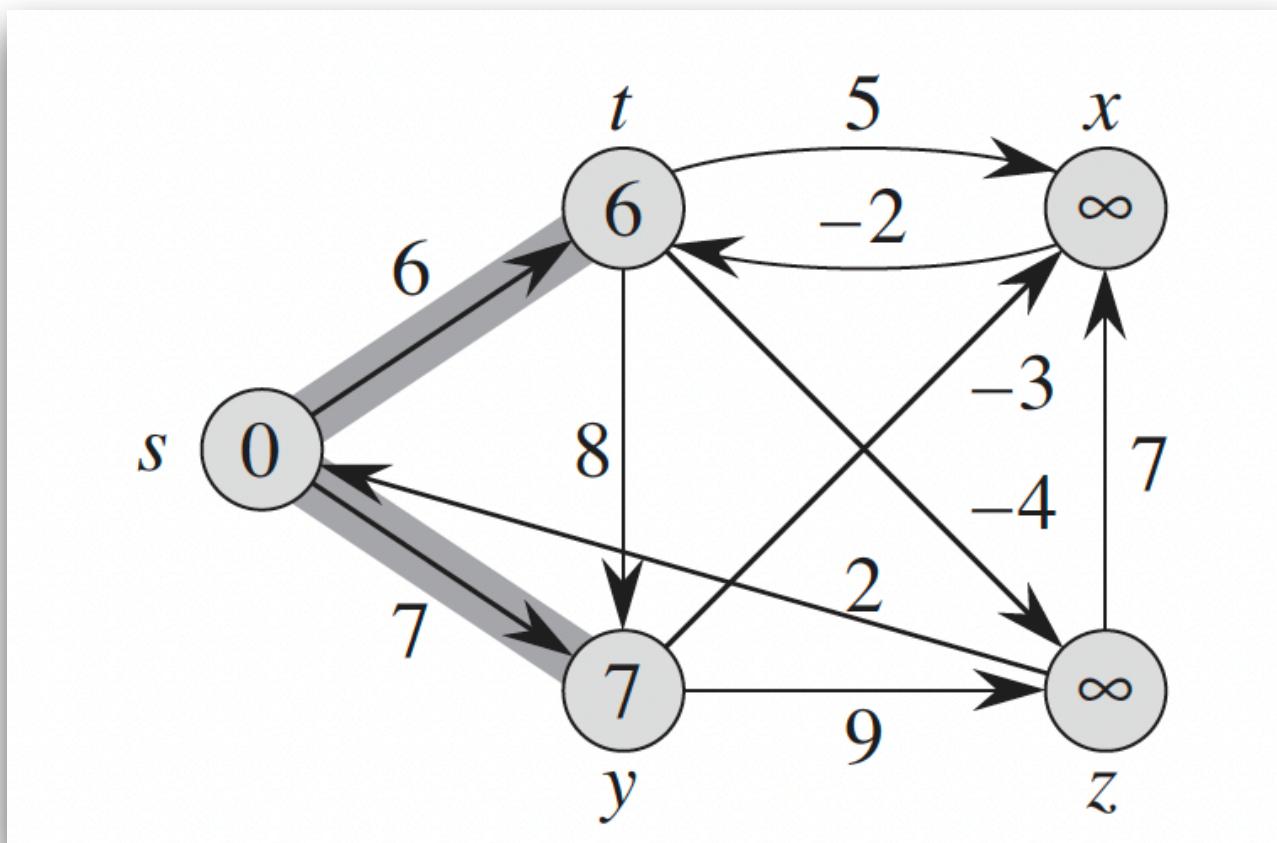
# The Bellman-Ford Algorithm: Run-time

- The Bellman-Ford algorithm runs in time  $O(EV)$
- The initialization takes  $O(V)$  time, each of the  $|V-1|$  passes over the edges  $\Theta(E)$  time, and the for loop of takes  $O(E)$  time.
- <https://www.youtube.com/watch?v=obWXjtg0L64>

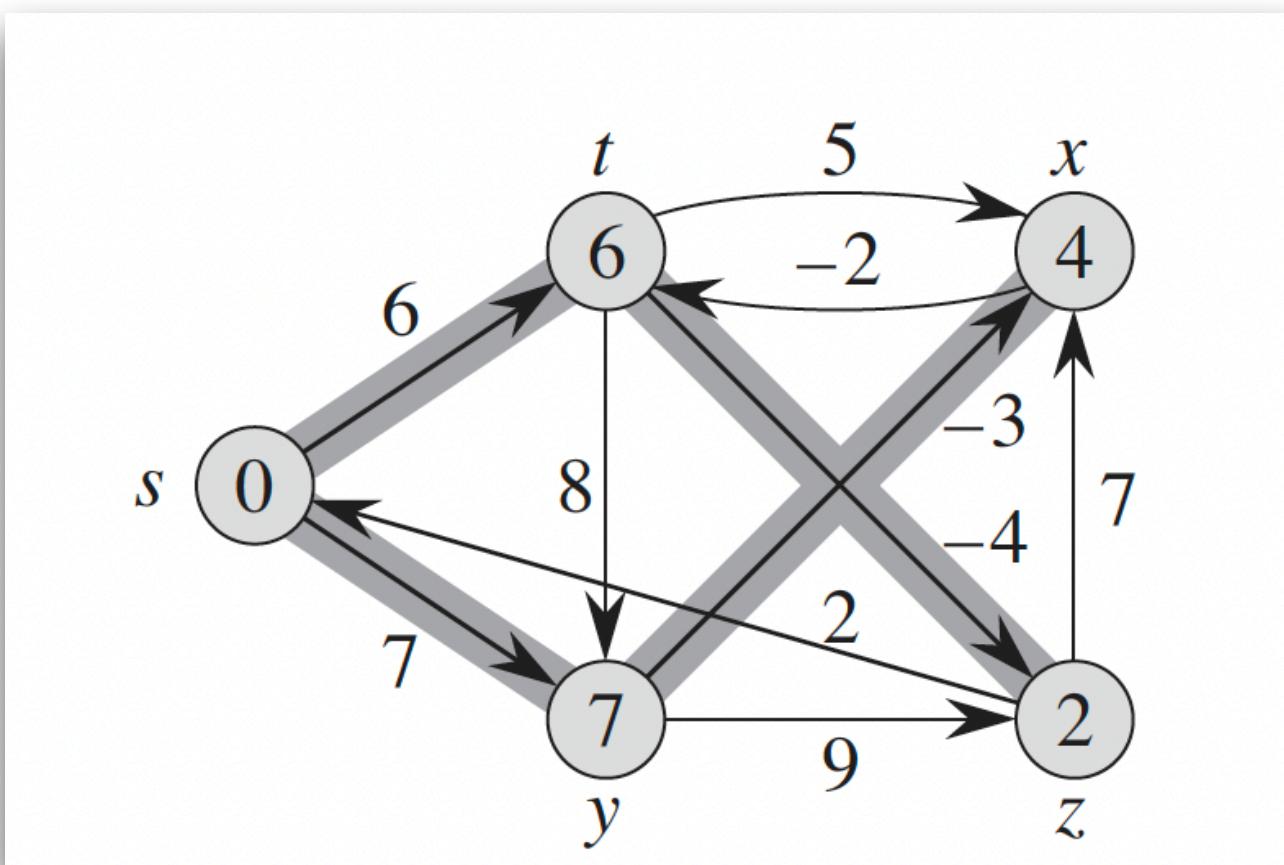
# Class Exercise: The Bellman-Ford algorithm



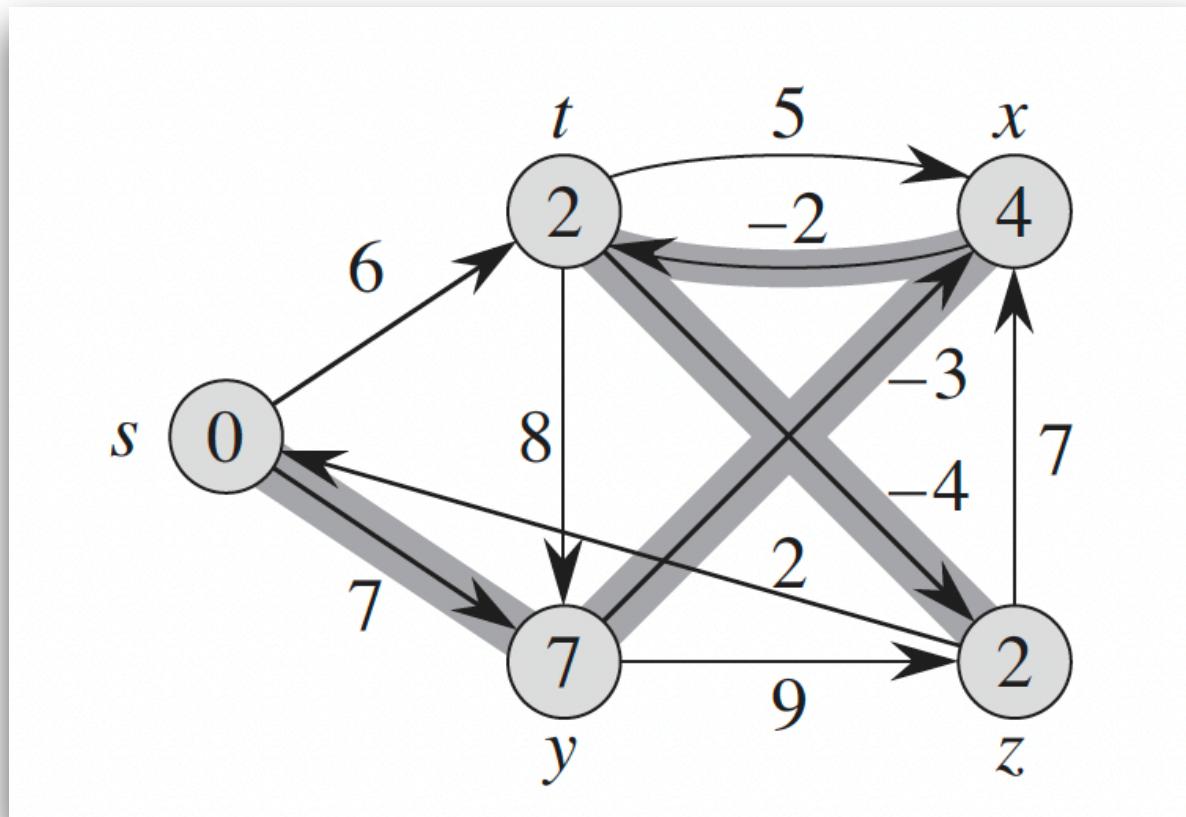
# Class Exercise: The Bellman-Ford algorithm



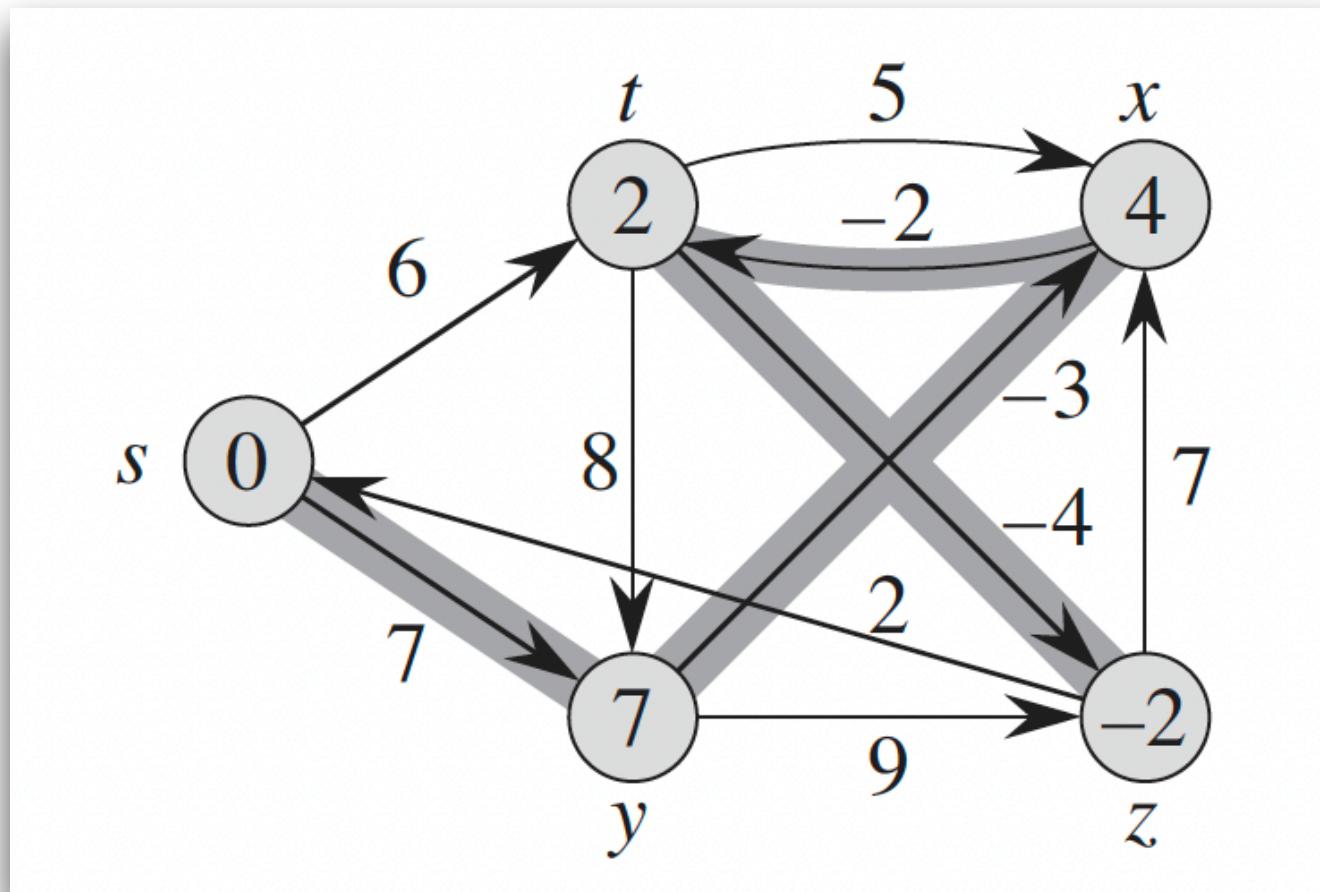
# Class Exercise: The Bellman-Ford algorithm



# Class Exercise: The Bellman-Ford algorithm



# Class Exercise: The Bellman-Ford algorithm



# Minimum Spanning Trees

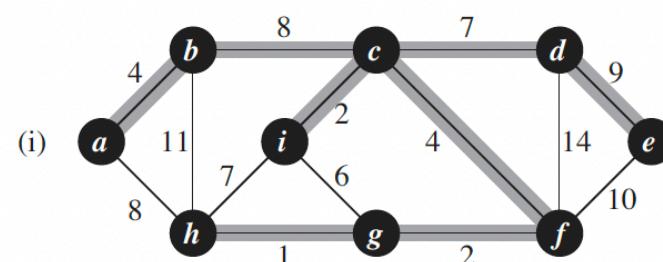
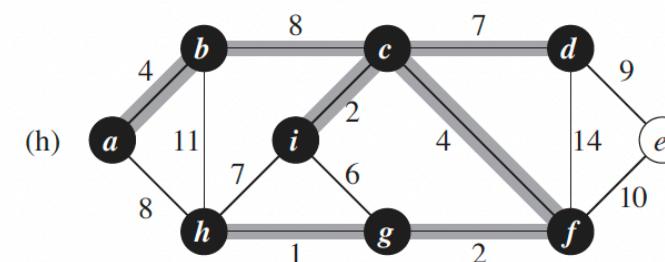
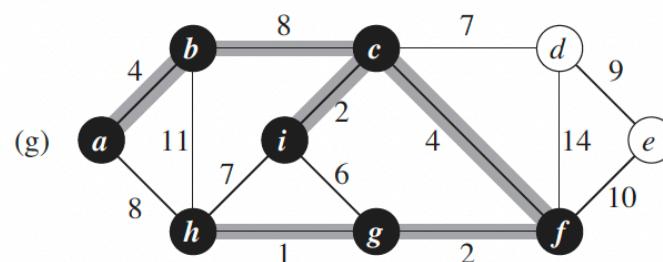
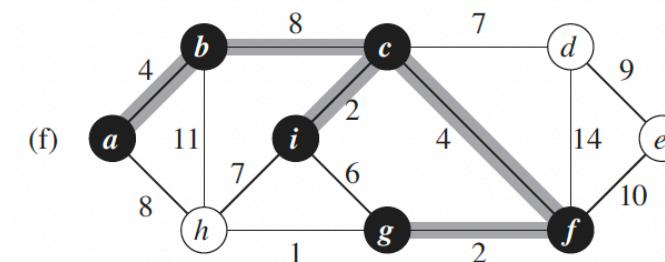
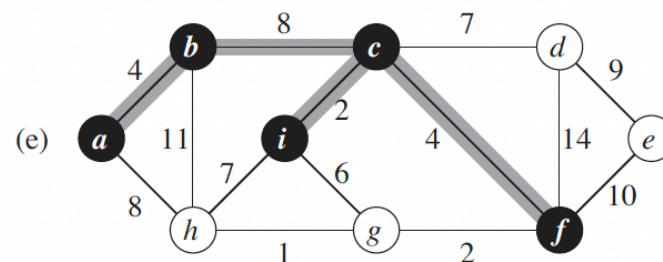
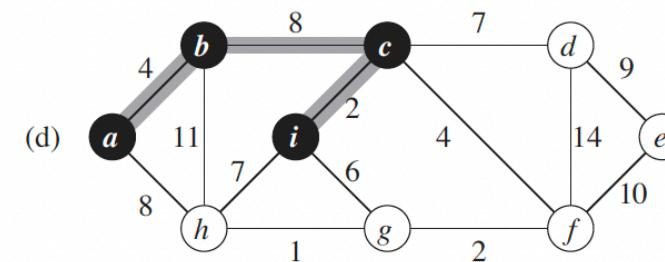
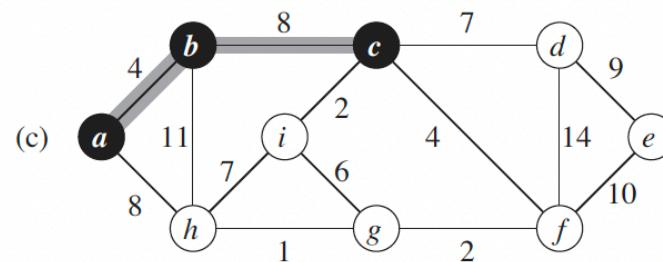
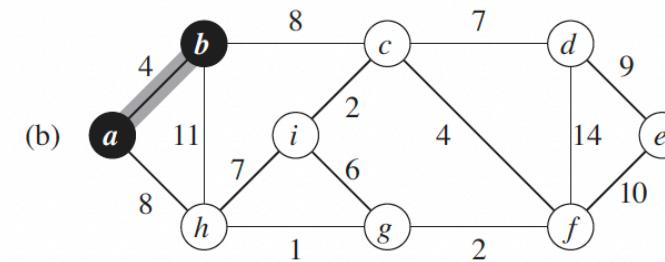
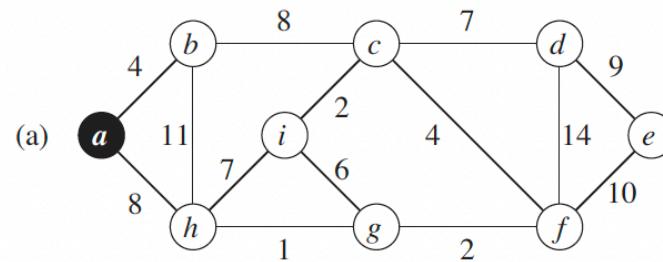
- Example: Connect all the computers in this building using the least amount of cable.
- Vertices are the computers, and edges represent all the possible pairs  $(u,v)$  of computers
- Weight  $w(u,v)$  of an edge: the amount of cable we need to connect computer  $u$  and  $v$ .
- This time, we are interested instead in finding a tree that contains all the vertices of  $G$  and has the minimum total weight over all such trees.

# Minimum Spanning Trees

- Two classic algorithms for solving the MST problem: Prim's and Kruskal's MST algorithms. Both of them are greedy.
- Prim's algorithm: Grow the MST from a single root vertex. (similar to Dijkstra's shortest-path algorithm).
- Kruskal's algorithm: Grow the MST in clusters by considering edges in nondecreasing order of their weights.
- Both run  $O(E \times \lg V)$  in time using ordinary binary heaps.
- Prim's algorithm runs  $O(E + V \times \lg V)$  in time using Fibonacci heaps (if  $|V|$  is much smaller than  $|E|$ , this is more efficient).

# Prim-Jarnik Algorithm

- Grow a MST from a single cluster starting from some vertex.
- In each iteration, we choose a minimum-weight edge  $e = (u,v)$ , connecting a vertex  $u$  in the cloud  $C$  to a vertex  $v$  outside of  $C$ . The vertex  $v$  is then brought into the cloud  $C$  and the process is repeated until a spanning tree is formed.
- Video: <https://www.youtube.com/watch?v=Uj47dxYPow8>



# Prim-Jarnik Algorithm

**Algorithm** PrimJarnik( $G$ ):

**Input:** An undirected, weighted, connected graph  $G$  with  $n$  vertices and  $m$  edges

**Output:** A minimum spanning tree  $T$  for  $G$

Pick any vertex  $s$  of  $G$

$D[s] = 0$

**for** each vertex  $v \neq s$  **do**

$D[v] = \infty$

Initialize  $T = \emptyset$ .

Initialize a priority queue  $Q$  with an entry  $(D[v], (v, \text{None}))$  for each vertex  $v$ , where  $D[v]$  is the key in the priority queue, and  $(v, \text{None})$  is the associated value.

**while**  $Q$  is not empty **do**

$(u, e) =$  value returned by  $Q.\text{remove\_min}()$

    Connect vertex  $u$  to  $T$  using edge  $e$ .

**for** each edge  $e' = (u, v)$  such that  $v$  is in  $Q$  **do**

        {check if edge  $(u, v)$  better connects  $v$  to  $T$ }

**if**  $w(u, v) < D[v]$  **then**

$D[v] = w(u, v)$

            Change the key of vertex  $v$  in  $Q$  to  $D[v]$ .

            Change the value of vertex  $v$  in  $Q$  to  $(v, e')$ .

**return** the tree  $T$

```

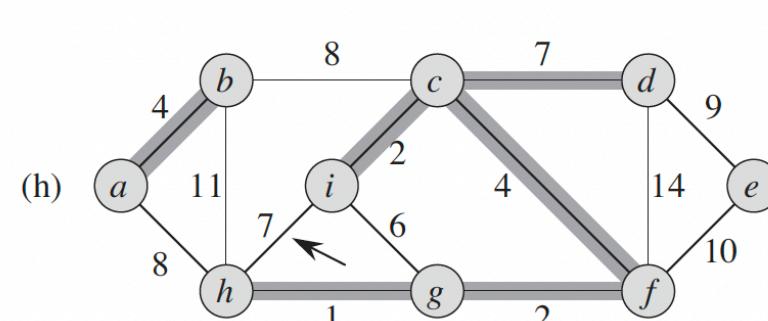
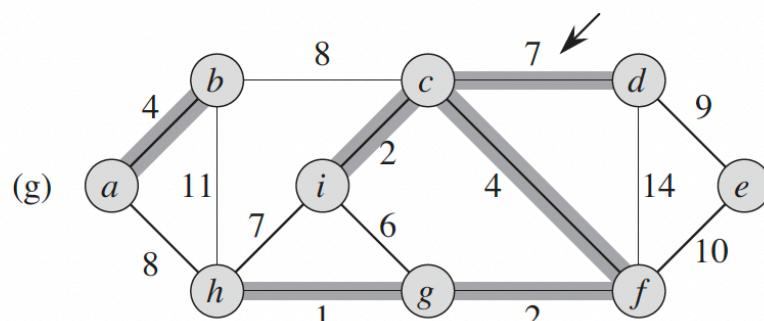
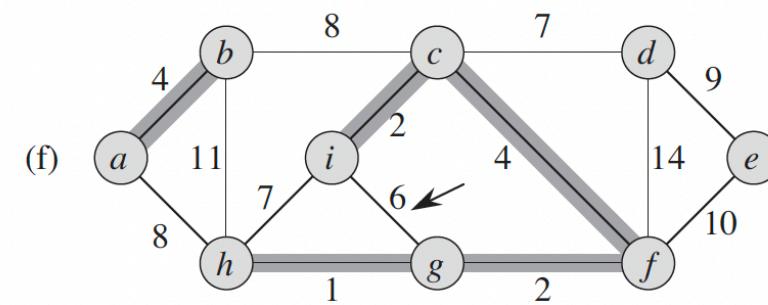
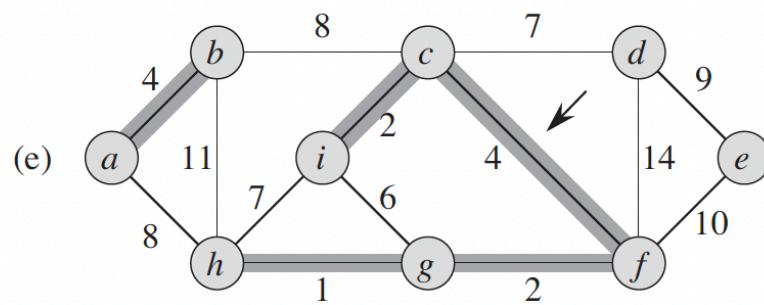
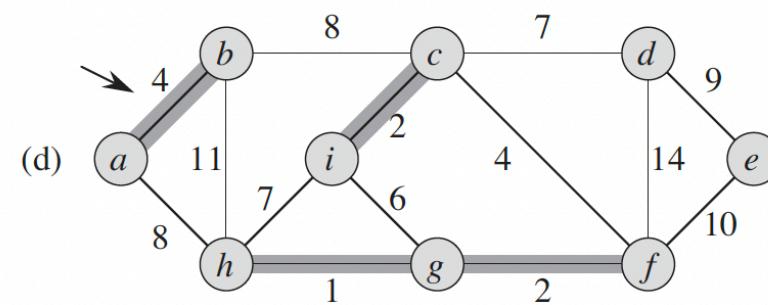
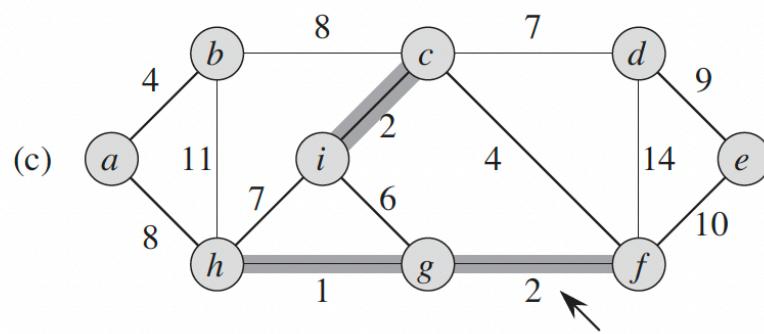
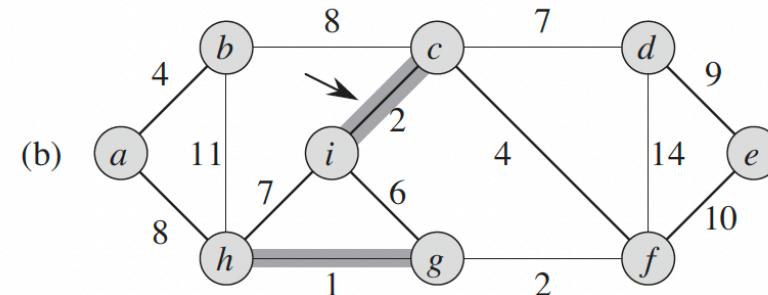
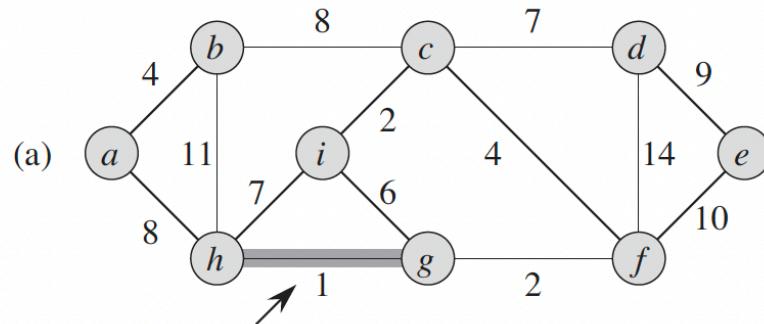
1 def MST_PrimJarnik(g):
2     """ Compute a minimum spanning tree of weighted graph g.
3
4     Return a list of edges that comprise the MST (in arbitrary order).
5 """
6     d = { }                      # d[v] is bound on distance to tree
7     tree = []                     # list of edges in spanning tree
8     pq = AdaptableHeapPriorityQueue( ) # d[v] maps to value (v, e=(u,v))
9     pqlocator = { }               # map from vertex to its pq locator
10
11    # for each vertex v of the graph, add an entry to the priority queue, with
12    # the source having distance 0 and all others having infinite distance
13    for v in g.vertices( ):
14        if len(d) == 0:           # this is the first node
15            d[v] = 0              # make it the root
16        else:
17            d[v] = float('inf')   # positive infinity
18            pqlocator[v] = pq.add(d[v], (v, None))
19
20    while not pq.is_empty( ):
21        key,value = pq.remove_min() # unpack tuple from pq
22        u,edge = value
23        del pqlocator[u]         # u is no longer in pq
24        if edge is not None:
25            tree.append(edge)    # add edge to tree
26            for link in g.incident_edges(u):
27                v = link.opposite(u)
28                if v in pqlocator:   # thus v not yet in tree
29                    # see if edge (u,v) better connects v to the growing tree
30                    wgt = link.element()
31                    if wgt < d[v]:    # better edge to v?
32                        d[v] = wgt      # update the distance
33                        pq.update(pqlocator[v], d[v], (v, link)) # update the pq entry
34
return tree

```

# Kruskal's algorithm

- This algorithm maintains a forest of clusters and iteratively merges pairs of clusters until a single cluster spans the graph.
- Each vertex is a cluster in the first iteration.
- The algorithm first orders edges by increasing weight.
- When an edge  $e$  connects two different clusters, then  $e$  is added to the set of edges of the minimum spanning tree, and the two clusters connected by  $e$  are merged into a single cluster.
- If  $e$  connects two vertices that are already in the same cluster, then  $e$  is discarded.
- Once the algorithm has added enough edges to form a spanning tree, it terminates. The output is a minimum spanning tree.

# Kruskal's algorithm



# Kruskal's algorithm

**Algorithm** Kruskal( $G$ ):

**Input:** A simple connected weighted graph  $G$  with  $n$  vertices and  $m$  edges

**Output:** A minimum spanning tree  $T$  for  $G$

**for** each vertex  $v$  in  $G$  **do**

Define an elementary cluster  $C(v) = \{v\}$ .

Initialize a priority queue  $Q$  to contain all edges in  $G$ , using the weights as keys.

$$T = \emptyset \quad \{T \text{ will ultimately contain the edges of the MST}\}$$

**while**  $T$  has fewer than  $n - 1$  edges **do**

$(u, v)$  = value returned by  $Q.\text{remove\_min}()$

Let  $C(u)$  be the cluster containing  $u$ , and let  $C(v)$  be the cluster containing  $v$ .

**if**  $C(u) \neq C(v)$  **then**

Add edge  $(u, v)$  to  $T$ .

Merge  $C(u)$  and  $C(v)$  into one cluster.

**return** tree  $T$

# Kruskal's algorithm

```
1 def MST_Kruskal(g):
2     """ Compute a minimum spanning tree of a graph using Kruskal's algorithm.
3
4     Return a list of edges that comprise the MST.
5
6     The elements of the graph's edges are assumed to be weights.
7     """
8     tree = []                      # list of edges in spanning tree
9     pq = HeapPriorityQueue( )        # entries are edges in G, with weights as key
10    forest = Partition( )          # keeps track of forest clusters
11    position = { }                # map each node to its Partition entry
12
13    for v in g.vertices():
14        position[v] = forest.make_group(v)
15
16    for e in g.edges():
17        pq.add(e.element(), e)      # edge's element is assumed to be its weight
18
19    size = g.vertex_count()
20    while len(tree) != size - 1 and not pq.is_empty():
21        # tree not spanning and unprocessed edges remain
22        weight,edge = pq.remove_min()
23        u,v = edge.endpoints()
24        a = forest.find(position[u])
25        b = forest.find(position[v])
26        if a != b:
27            tree.append(edge)
28            forest.union(a,b)
29
30    return tree
```

# References

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4. Cormen, T. H., Leiserson, C. E., Rivest, R. L., & Stein, C. (2009). *Introduction to algorithms*. MIT press.
5. Sedgewick, R., & Wayne, K. (2011). *Algorithms*. Addison-wesley professional.