

# Artificial Intelligence Techniques

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*SEARCH*

# Outline

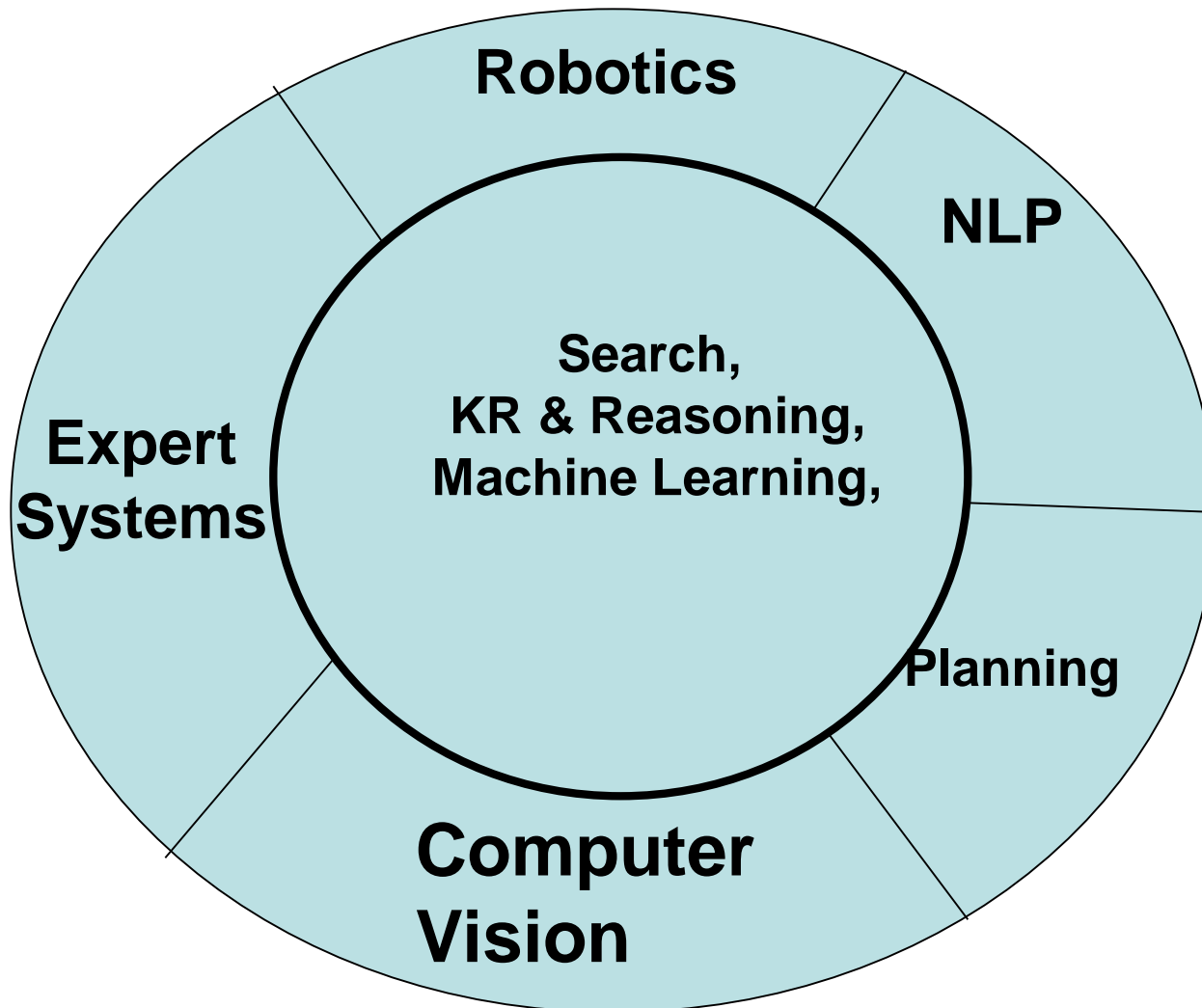
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- Search Problems
- Search trees and state space graphs
- Uninformed search
  - Depth-first, Breadth-first, Uniform cost
  - Search graphs
- Informed search
  - Greedy search,  $A^*$  search
  - Heuristics, admissibility
- Local search and optimization
  - Hill-climbing
  - Simulated annealing
  - Genetic algorithms

**Disciplines which form the core of AI- inner circle**

**Fields which draw from these disciplines- outer circle.**

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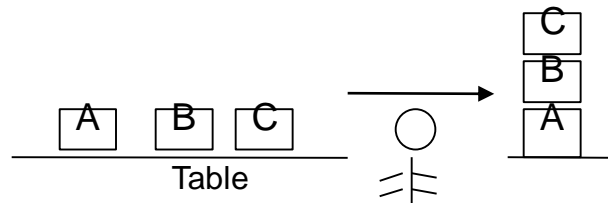
# Search: Everywhere

*Deciding on a sequence of actions  
to get to a goal*

# Planning

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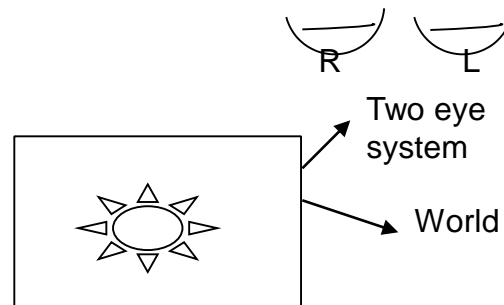
- (a) which block to *pick*, (b) which to *stack*, (c) which to *unstack*, (d) whether to *stack* a block or (e) whether to *unstack* an already stacked block. These options have to be searched in order to arrive at the right sequence of actions



# Vision

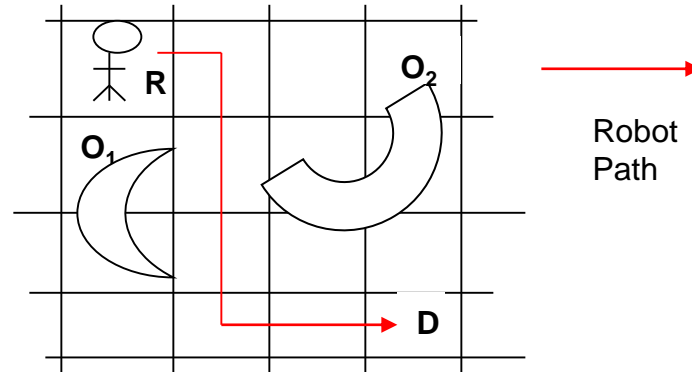
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- A search needs to be carried out to find which point in the image of  $L$  corresponds to which point in  $R$ . Naively carried out, this can become an  $O(n^2)$  process where  $n$  is the number of points in the retinal images.



# Robot Path Planning

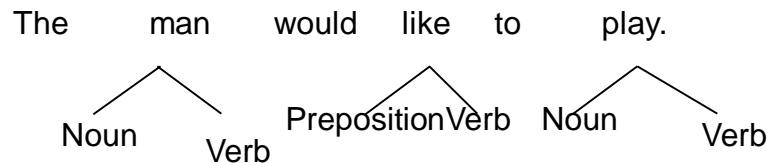
- searching amongst the options of moving *Left*, *Right*, *Up* or *Down*. Additionally, each movement has an associated cost representing the relative difficulty of each movement. The search then will have to find the *optimal*, i.e., the *least cost* path.



# Natural Language Processing

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- search among many combinations of parts of speech on the way to deciphering the meaning. This applies to every level of processing- *syntax*, *semantics*, *pragmatics* and *discourse*.





# Expert Systems

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Search among rules, many of which can apply to a situation:

If-conditions

*the infection is primary-bacteremia*

*AND the site of the culture is one of the sterile sites*

*AND the suspected portal of entry is the gastrointestinal tract*

*THEN*

*there is suggestive evidence (0.7) that infection is bacteroid*

(from MYCIN)

**MYCIN** was an early expert system designed to identify bacteria causing severe infections, such as bacteremia and meningitis, and to recommend antibiotics

# Is Search Intelligent?

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- **IBM's Deep Blue**

- Beats Chess Master Kasparov in 1997, 2 wins, 1 loss, 3 draws
- By Feng-Hsiung Hsu, Thomas Anantharaman, and Murray Campbell
- Massively parallel, RS/6000 SP Thin P2SC-based system with 30-nodes, with each node containing a 120 MHz P2SC microprocessors for a total of 30, enhanced with 480 special purpose VLSI chess chips
- Looks ahead at the consequences of its actions
- Brute force, but simplified search space
- Evaluates about 200 million positions per second
- Minimax search

*Details From Wikipedia*

# Is Search Intelligent?

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When people express the opinion that human grandmasters do not examine 200,000,000 move sequences per second, I ask them, "How do you know?" The answer is usually that human grandmasters are not *\*aware\** of searching this number of positions, or *\*are\** aware of searching many fewer. But almost everything that goes on in our minds we are unaware of. I tend to agree that grandmasters are not searching the way Deep Blue does, but whatever they are doing would, if implemented on a computer, seem equally "blind." Suppose most of their skill comes from an ability to compare the current position against 10,000 positions they've studied. (There is some evidence that this is at least partly true.) We call their behaviour insightful because they are unaware of the details; the right position among the 10,000 "just occurs to them." If a computer does it, the trick will be revealed; we will see how laboriously it checks the 10,000 positions. Still, if the unconscious version yields intelligent results, and the explicit algorithmic version yields essentially the same results, then they will be intelligent, too.

[\*ftp://ftp.cs.yale.edu/pub/mcdermott/papers/deepblue.txt\*](ftp://ftp.cs.yale.edu/pub/mcdermott/papers/deepblue.txt)

*How Intelligent is Deep Blue?*

*Drew McDermott, Yale University*

# Search building blocks

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- **State Space**: Graph of states (Express constraints and parameters of the problem)
- **Operators** : Transformations applied to the states
- **Successor function**: for a state  $x$ , it returns a set of  $\langle \text{action}, \text{successor} \rangle$  ordered pairs, where each action is one of the legal actions in state  $x$  and each successor is a state that can be reached from  $x$  by applying the action
- **Start state** :  $S_0$  (Search starts from here)
- **Goal state** :  $\{G\}$  - Search terminates here
- **Cost** : Effort involved in using an operator
- **Optimal path** : Least cost path

# Examples (8 – puzzle)

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4	3	6
2	1	8
7		5

S

1	2	3
4	5	6
7	8	

G

Tile movement represented as the movement of the blank space.

Operators:

L : Blank moves left

R : Blank moves right

U : Blank moves up

D : Blank moves down

$$C(L) = C(R) = C(U) = C(D) = 1$$

# 8-Puzzle: Successor Function

---

8	2	7
3	4	
5	1	6

SUCC(state)  $\rightarrow$  subset of states

The **successor function** is knowledge about the 8-puzzle game, but it does not tell us which outcome to use

8	2	
3	4	7
5	1	6

8	2	7
3	4	6
5	1	

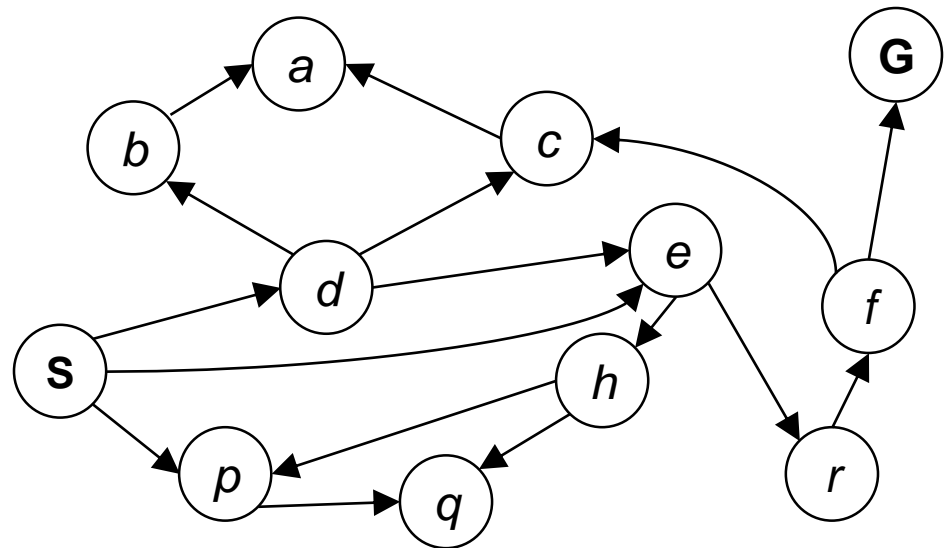
8	2	7
3		4
5	1	6

Search is about the  
exploration of alternatives

# State Space Graphs

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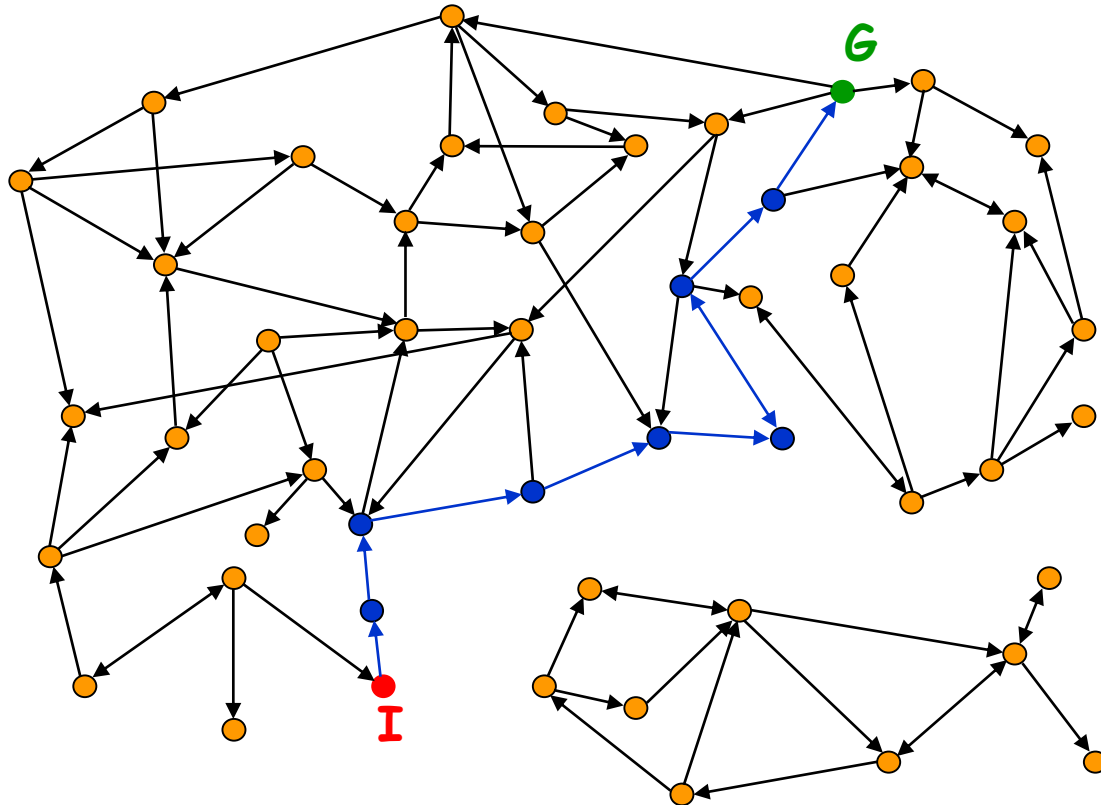
- State space graph: A mathematical representation of a search problem
  - For every search problem, there's a corresponding state space graph
  - The successor function is represented by arcs
- This can be large or infinite, so we won't create it in memory



# Solution to the Search Problem

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- A **solution** is a path connecting the initial node to a goal node (any one)

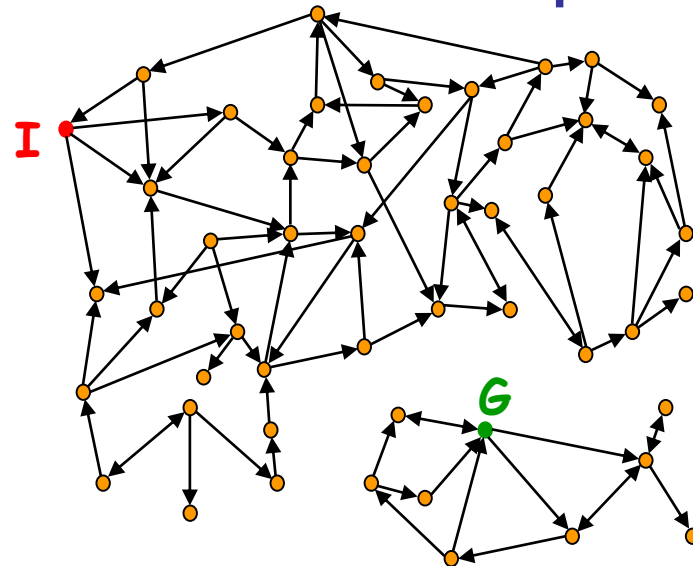




# Solution to the Search Problem

---

- A **solution** is a path connecting the initial node to a goal node (any one)
- The **cost** of a path is the sum of the arc costs along this path
- An **optimal** solution is a solution path of minimum cost
- There might be no solution !



# 8-Puzzle: Successor Function

---

8	2	7
3	4	
5	1	6

SUCC(state)  $\rightarrow$  subset of states

The **successor function** is knowledge about the 8-puzzle game, but it does not tell us which outcome to use

8	2	
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8	2	7
3	4	6
5	1	

8	2	7
3		4
5	1	6

Search is about the  
exploration of alternatives

# How big is the state space of the $(n^2-1)$ -puzzle?

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- 8-puzzle  $\rightarrow 9! = 362,880$  states
- 15-puzzle  $\rightarrow 16! \sim 2.09 \times 10^{13}$  states
- 24-puzzle  $\rightarrow 25! \sim 10^{25}$  states

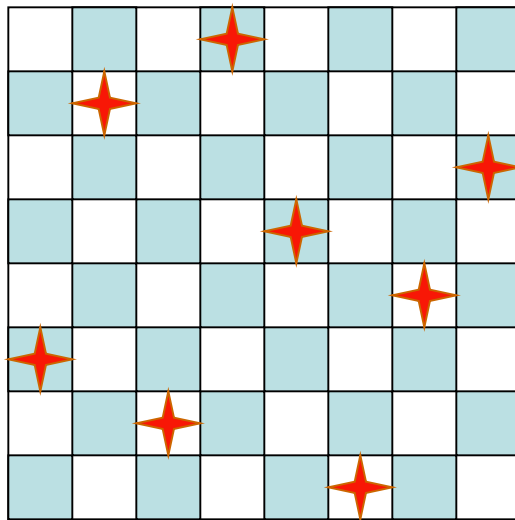
Only half of these states are reachable from any given state !

we don't know in advance !

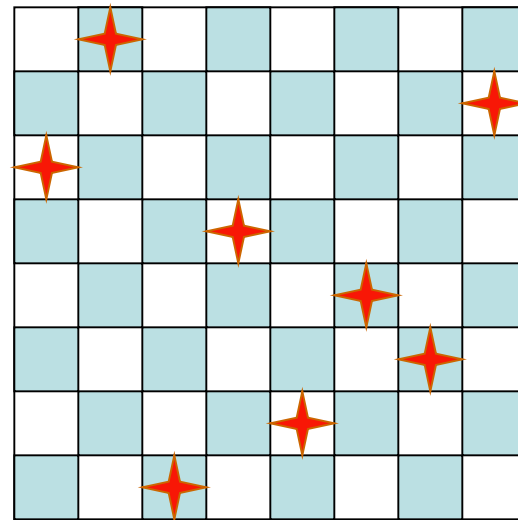
# 8-Queens Problem

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Place 8 queens in a chessboard so that no two queens are in the same row, column, or diagonal

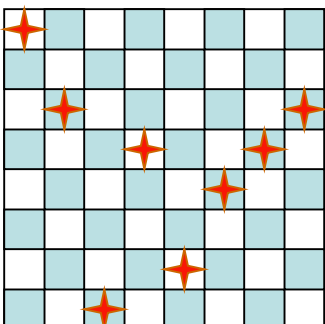
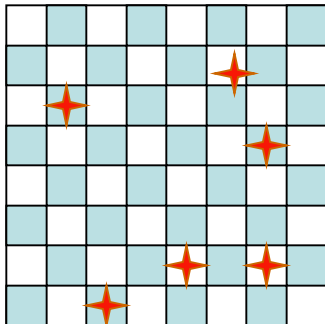
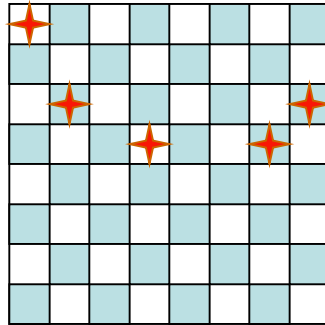
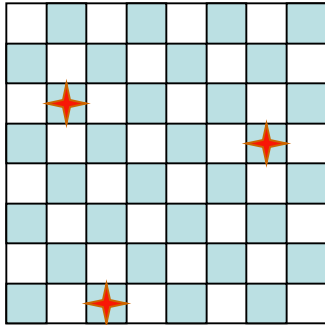


A solution



Not a solution

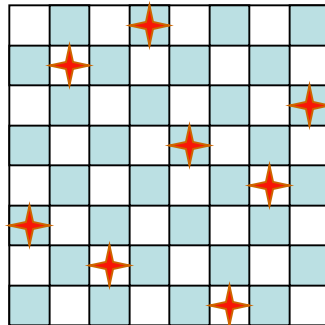
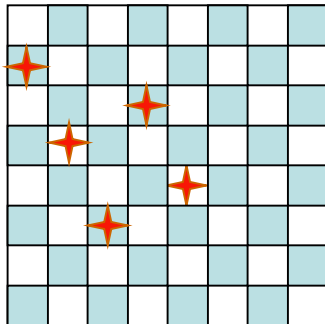
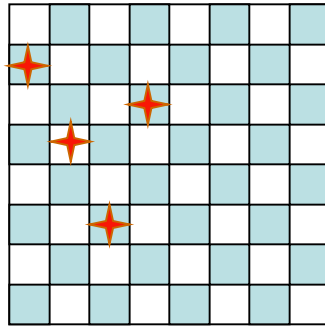
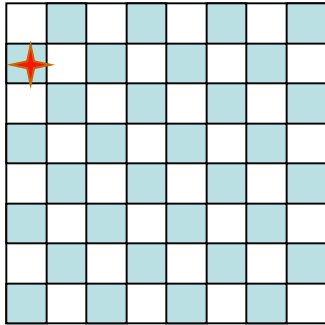
# Formulation #1



- **States:** all arrangements of 0, 1, 2, ..., 8 queens on the board
- **Initial state:** 0 queens on the board
- **Successor function:** each of the successors is obtained by adding one queen in an empty square
- **Arc cost:** irrelevant
- **Goal test:** 8 queens are on the board, with no queens attacking each other

→  $\sim 64 \times 63 \times \dots \times 57 \sim 3 \times 10^{14}$  states

# Formulation #2



→ 2,057 states

- **States:** all arrangements of  $k = 0, 1, 2, \dots, 8$  queens in *the  $k$  leftmost columns with no two queens attacking each other*
- **Initial state:** 0 queens on the board
- **Successor function:** each successor is obtained by adding one queen in any square that is not attacked by any queen already in the board, in the leftmost empty column
- **Arc cost:** irrelevant
- **Goal test:** 8 queens are on the board

# Representation of nodes

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- **State**: the state in the state space
- **Parent**: node in the search tree that generated the node
- **Action**: action applied to the parent to generate the node
- **Path-cost**: cost of the path from the initial state to the node
- **Depth**: # steps along the path from the initial state

# General Tree Search

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```
function TREE-SEARCH(problem, strategy) returns a solution, or failure
  initialize the search tree using the initial state of problem
  loop do
    if there are no candidates for expansion then return failure
    choose a leaf node for expansion according to strategy
    if the node contains a goal state then return the corresponding solution
    else expand the node and add the resulting nodes to the search tree
  end
```

- Important ideas:
  - Frontier (aka fringe)
  - Expansion
  - Exploration strategy
- Main question: which frontier nodes to explore?  
(*search strategy*)



# Implementation: general tree search

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- Implemented as a queue
  - *MAKE-QUEUE (element, ...)*: creates a queue with given elements
  - *EMPTY?(queue)*: returns true only if there are no more elements in the queue
  - *FIRST (queue)*: return the first element in the queue
  - *REMOVE-FRONT (queue)*: returns FIRST (queue) and removes from the queue
  - *INSERT (element, queue)*: inserts an element into the queue and returns the resulting queue
  - *INSERT-ALL (elements, queue)*: inserts a set of elements into the queue and returns the resulting queue

# Implementation: general tree search

---

```
function TREE-SEARCH(problem, fringe) returns a solution, or failure
  fringe ← INSERT(MAKE-NODE(INITIAL-STATE[problem]), fringe)
  loop do
    if fringe is empty then return failure
    node ← REMOVE-FRONT(fringe)
    if GOAL-TEST[problem](STATE[node]) then return SOLUTION(node)
    fringe ← INSERTALL(EXPAND(node, problem), fringe)
```

---

```
function EXPAND(node, problem) returns a set of nodes
  successors ← the empty set
  for each action, result in SUCCESSOR-FN[problem](STATE[node]) do
    s ← a new NODE
    PARENT-NODE[s] ← node; ACTION[s] ← action; STATE[s] ← result
    PATH-COST[s] ← PATH-COST[node] + STEP-COST(node, action, s)
    DEPTH[s] ← DEPTH[node] + 1
    add s to successors
  return successors
```

# Uninformed vs. informed search

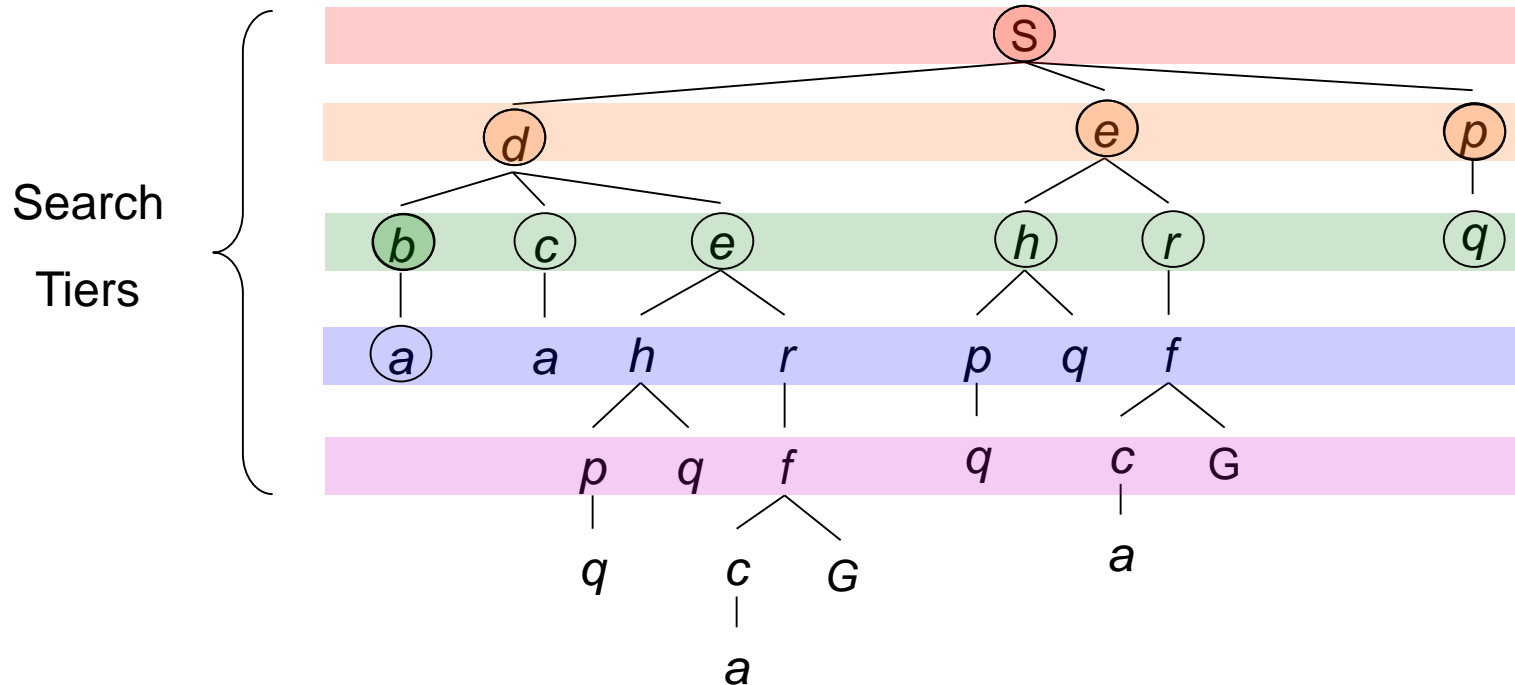
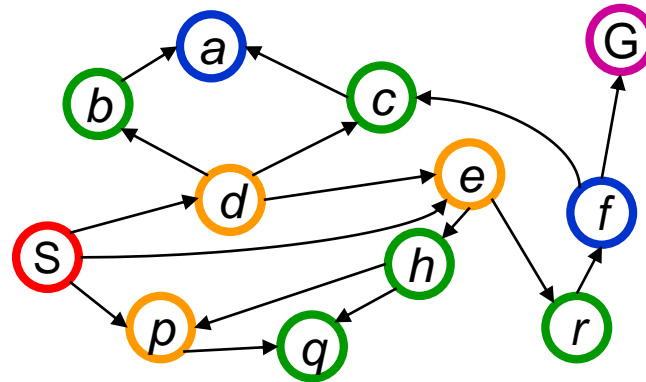
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- **Uninformed search (or, blind search)**
  - Uses only the information available in the problem definition
  - Search through the space of possible solutions
  - Uses no knowledge about which path is likely to be best
  - E.g. BFS, DFS, uniform cost etc.
- **Informed search (or, heuristic search)**
  - Knows whether a non-goal state is more promising
  - E.g. Greedy search, A\* Search etc.

# Breadth First Search

**Strategy:** expand shallowest node first

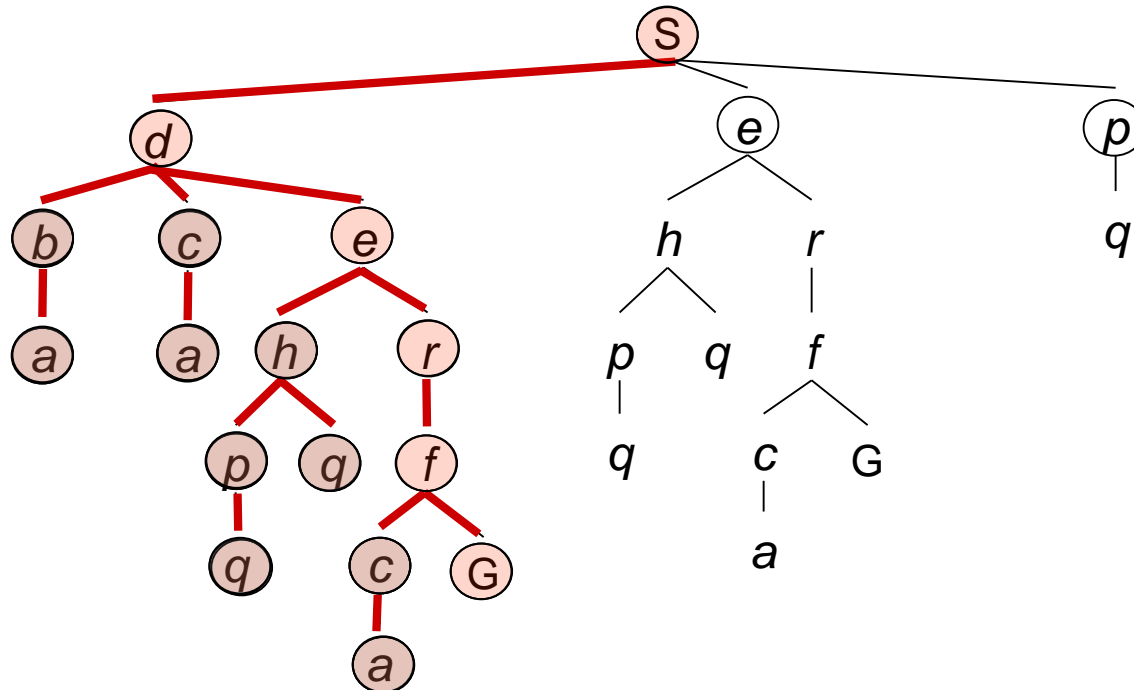
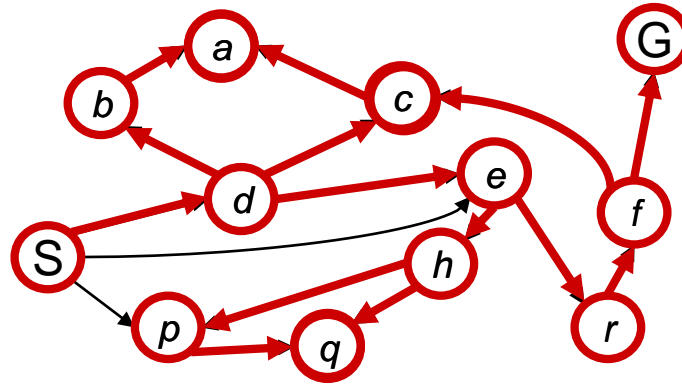
**Implementation:**  
Fringe is a FIFO queue



# Depth First Search

*Strategy: expand deepest node first*

*Implementation:  
Frontier is a LIFO stack*



# Comparisons

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- When will BFS outperform DFS?
- When will DFS outperform BFS?

# Search Algorithm Properties

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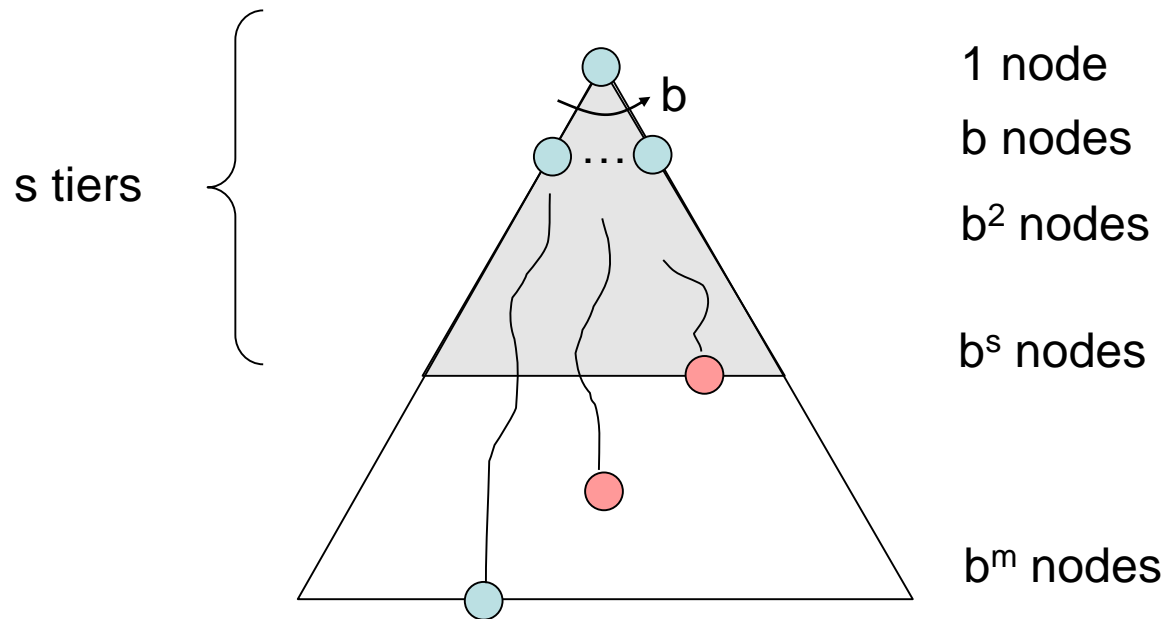
- **Complete?** Guaranteed to find a solution if one exists?
- **Optimal?** Guaranteed to find the least cost path?
- **Time complexity?** How long it takes to find a solution?
- **Space complexity?** How much memory required to perform search?

Variables:

$n$	Number of states in the problem
$b$	The average branching factor $B$ (the average number of successors)
$C^*$	Cost of least cost solution
$s$	Depth of the shallowest solution
$m$	Max depth of the search tree

# BFS

Algorithm		Complete	Optimal	Time	Space
DFS	w/ Path Checking	Y	N	$O(b^{m+1})$	$O(bm)$
BFS		Y	N*	$O(b^{s+1})$	$O(b^{s+1})$





# BFS

---

- When complete?
  - Shallowest goal node is at  $d$ , a finite depth
  - Will eventually find after expanding all the shallower nodes
    - $b$  (branching factor) should be finite
- When optimal? (*when all step costs are equal*)
  - If path cost is a non-decreasing function of the depth of the node

# Why BFS hard?

---

- Huge requirement of time and space !
- Time?  $b + b^2 + b^3 + \dots + b^s + b(b^s - 1) = O(b^{s+1})$
- Space?  $O(b^{s+1})$  (keeps every node in memory)
- **Space** is the bigger problem (more than time)

# Why BFS hard?

Assuming  $b=10$ , 10,000 nodes/sec, 1000 bytes/node

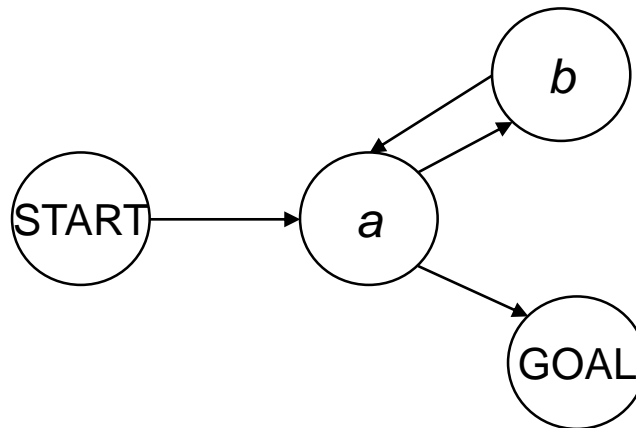
Depth of Solution	Nodes to Expand	Time	Memory
2	1100	.11 seconds	1 megabytes
4	111,100	11 seconds	106 megabytes
8	$10^9$	28 hours	1 terabytes
12	$10^{13}$	35 years	10 petabytes
14	$10^{15}$	3,523 years	1 exabyte

*Un-informed search is not good for problems having exponential complexity*

# DFS

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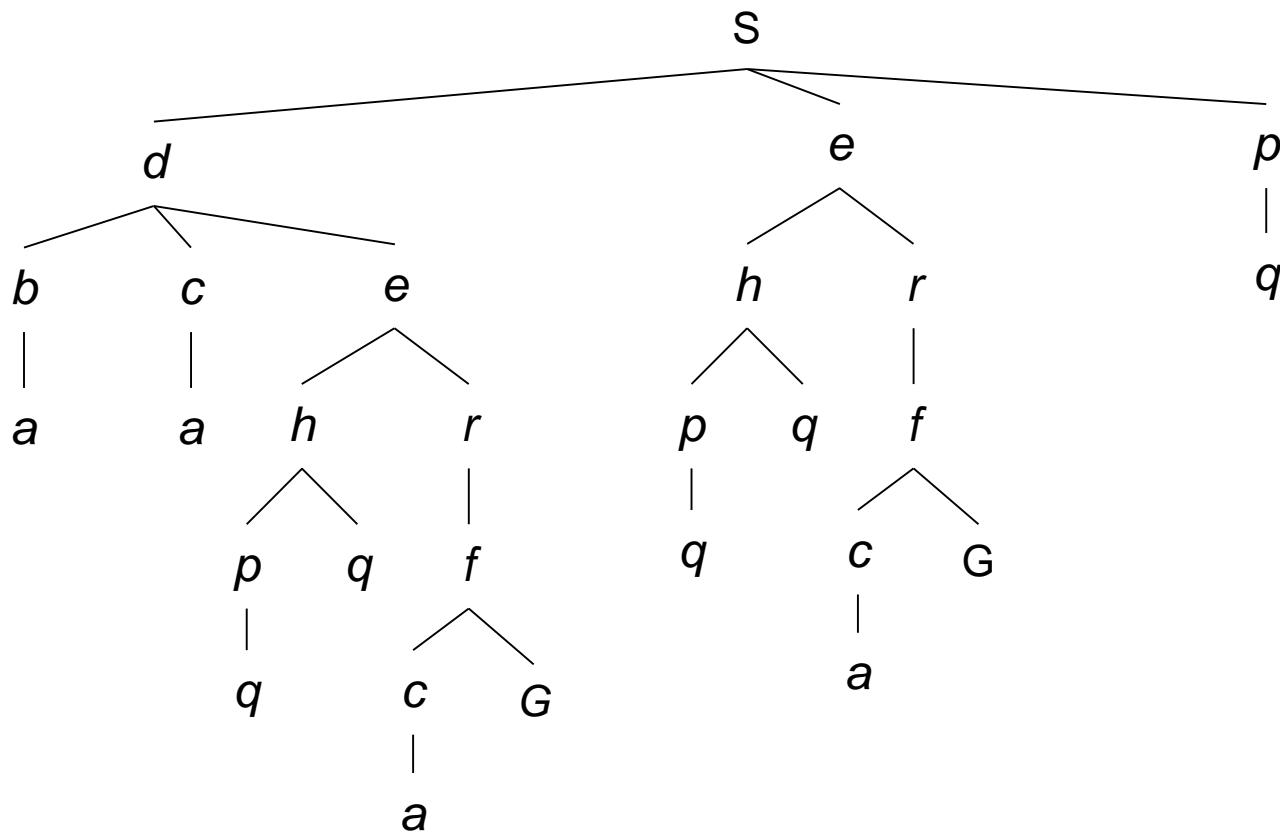
Algorithm		Complete	Optimal	Time	Space
DFS	Depth First Search	N	N	Infinite	Infinite



- Infinite paths make DFS incomplete...
- How can we fix this? (*checking of cycles!*)

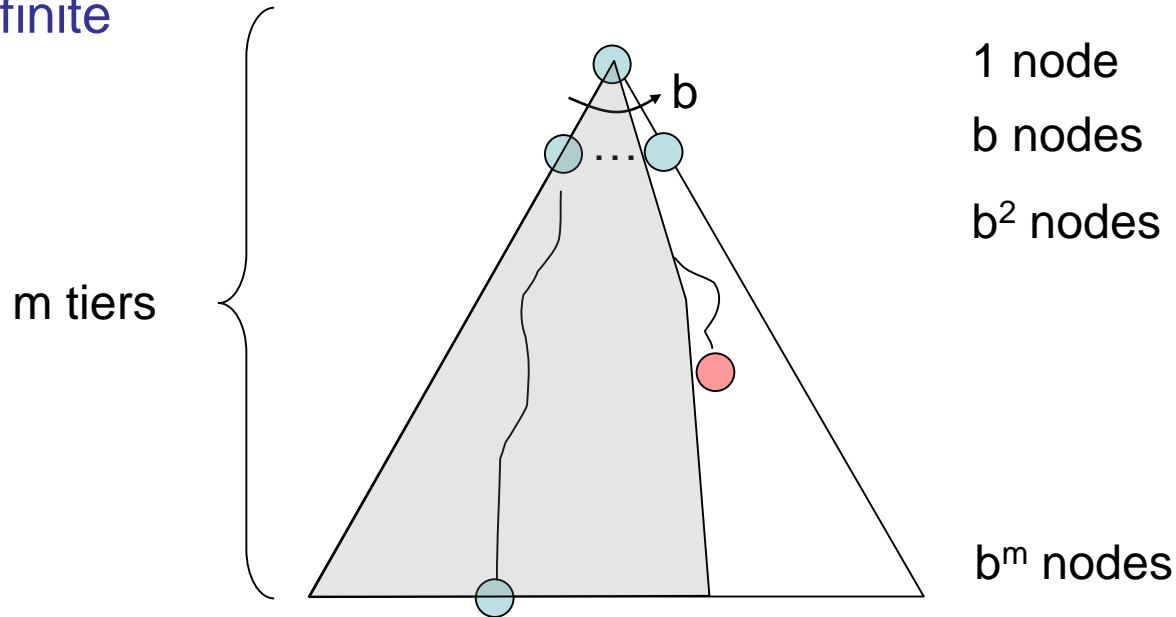
# DFS

- Can make wrong choice and can go down infinite length
- Alternative choice would lead to a solution near the root
- **Not optimal!**



# DFS

- With cycle checking and **graph search** (*avoid repeated state and redundant state*), DFS is complete
- d: finite



Algorithm		Complete	Optimal	Time	Space
DFS	w/ Path Checking	Y	N	$O(b^{m+1})$	$O(bm)$

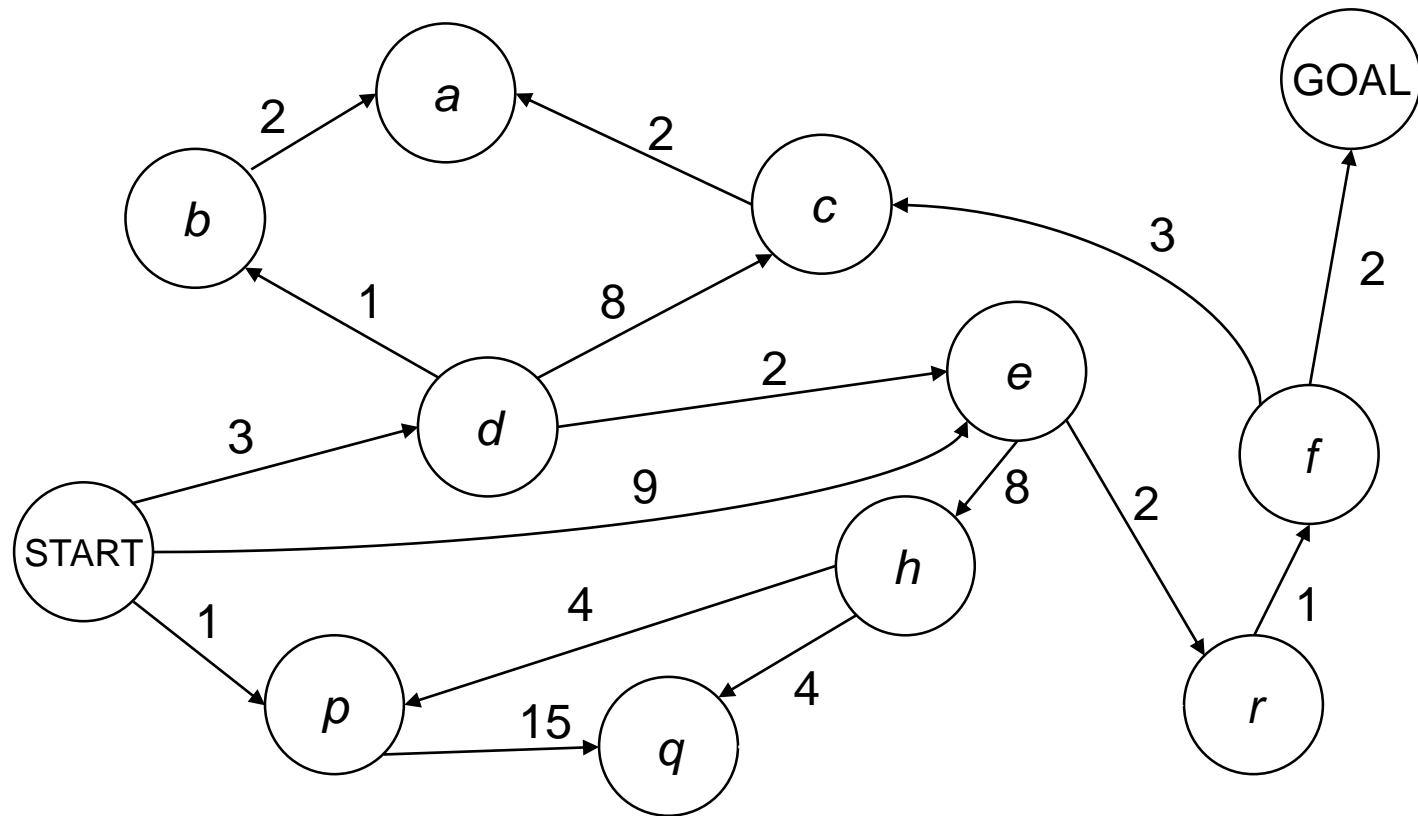
- When is DFS optimal? (*iterative deepening search*)

# DFS with Backtracking

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- Generate only one successor
- Each partially expanded node remembers which successor to explore next
- Undo modification when going back to generate next successor
- Space =  $O(m)$
- Techniques are suitable for problems with large state descriptions (robotic path assembly)

# Costs on Actions



Notice that BFS finds the shortest path in terms of number of transitions. It does not find the least-cost path.

We will quickly cover an algorithm which does find the least-cost path.



# Uniform Cost Search

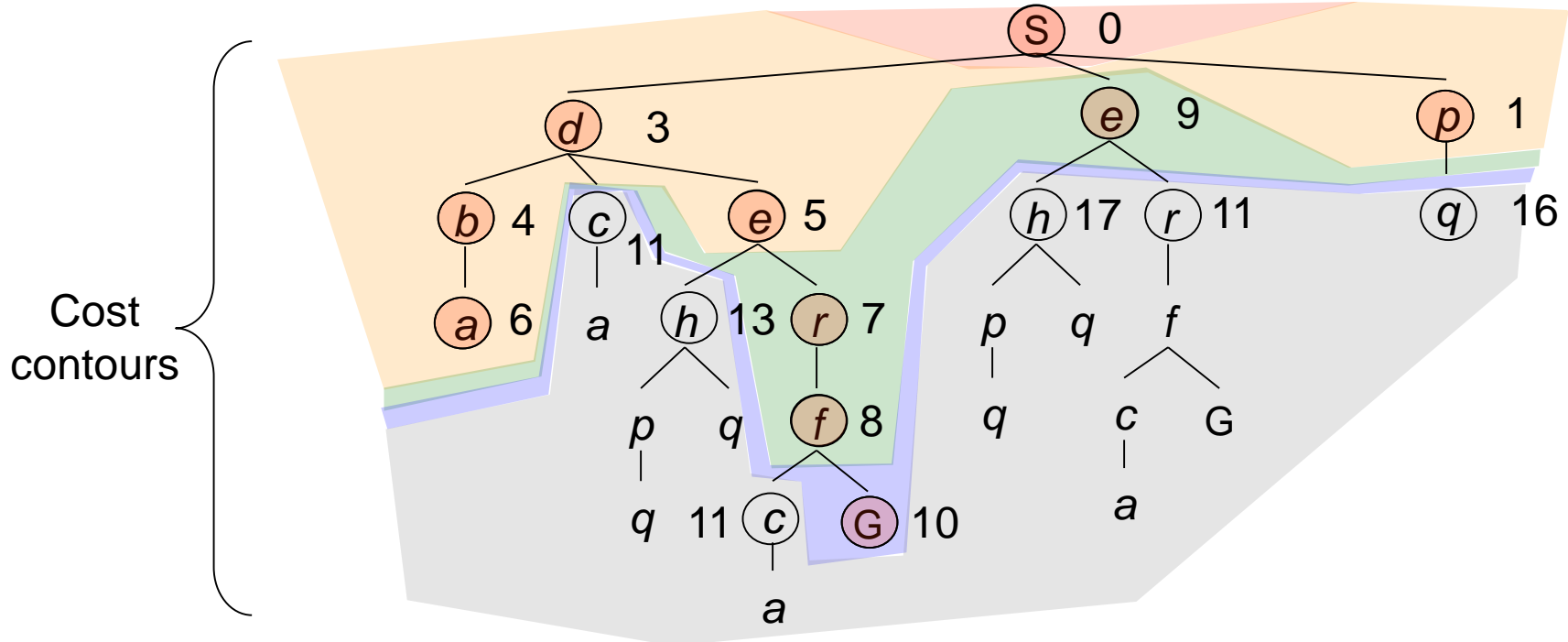
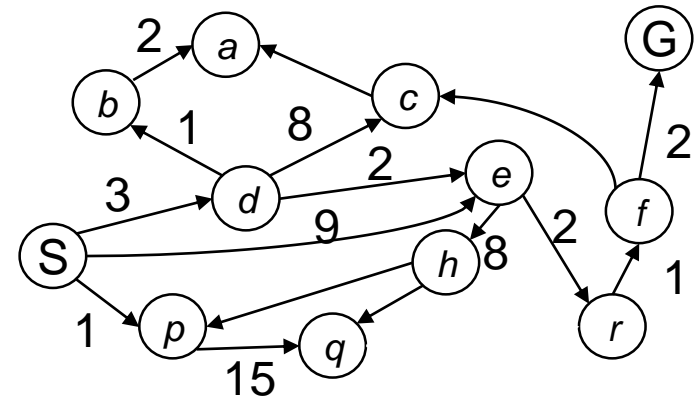
*BFS: all step costs are equal*

*UCS: optimal with any step costs*

*Expand cheapest node first:*

*Frontier is a priority queue*

*What about zero-cost action?*



# Uniform Cost Search

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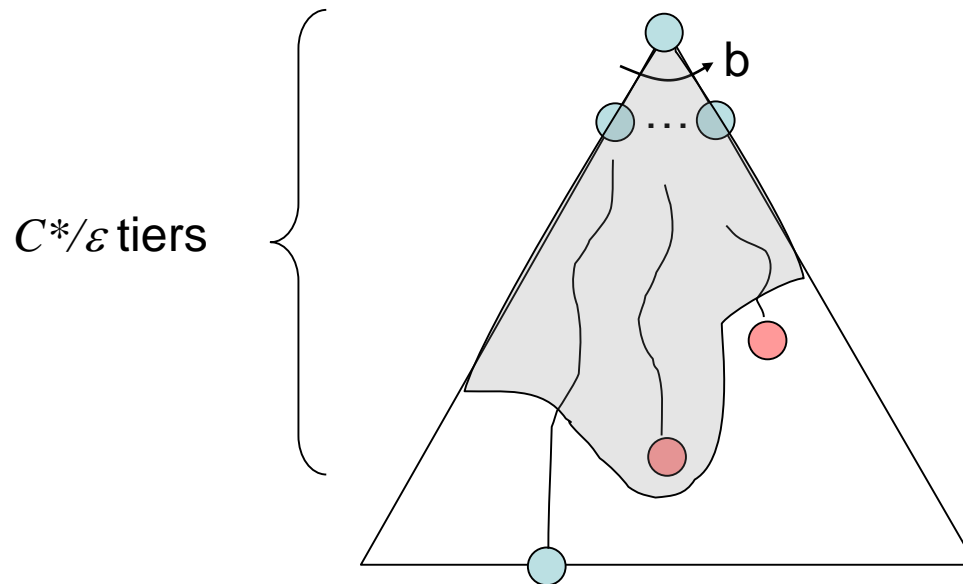
- Zero-cost action: leads back to the same state (*infinite loop!*)
- Cost must be greater than some small positive constant
  - *Sufficient for optimality and guarantees completeness*
  - Cost always increases as we proceed
- Algorithm always expands nodes in order of increasing path cost

*Thus, first goal node always yields the optimal solution!*

- *Guided by path costs rather than depths*
  - *Complexity can't be characterized by  $d$  and  $b$*

# Uniform Cost Search

Algorithm		Complete	Optimal	Time	Space
DFS	w/ Path Checking	Y	N	$O(b^{m+1})$	$O(bm)$
BFS		Y	N	$O(b^{s+1})$	$O(b^s)$
UCS		Y*	Y	$O(b^{C^*/\epsilon})$	$O(b^{C^*/\epsilon})$



*\* UCS can fail if actions can get arbitrarily cheap*

# Depth-limited search

---

- Problem of unbounded trees
  - depth-first search with depth limit  $L$ , i.e., nodes at depth  $L$  treated to have no successors

```
function DEPTH-LIMITED-SEARCH(problem, limit) returns soln/fail/cutoff
  RECURSIVE-DLS(MAKE-NODE(INITIAL-STATE[problem]), problem, limit)

function RECURSIVE-DLS(node, problem, limit) returns soln/fail/cutoff
  cutoff-occurred?  $\leftarrow$  false
  if GOAL-TEST[problem](STATE[node]) then return SOLUTION(node)
  else if DEPTH[node] = limit then return cutoff
  else for each successor in EXPAND(node, problem) do
    result  $\leftarrow$  RECURSIVE-DLS(successor, problem, limit)
    if result = cutoff then cutoff-occurred?  $\leftarrow$  true
    else if result  $\neq$  failure then return result
  if cutoff-occurred? then return cutoff else return failure
```

# Depth limited search

---

- Incomplete if  $L < d$  (d: depth of the solution)
  - Time complexity =  $O(b^L)$
  - Space complexity =  $O(bL)$
  - For  $L = \infty$ , depth-limited search = depth-first search
- Choice of L is important !!*
- Route-finding problem
    - For 20 cities,  $L=19$  is a possible choice (if there is any feasible solution)
    - But, with 9 (a, better depth limit) steps can be reached!
      - Diameter of search space (***not possible to predict until we solve the problem***)

# Iterative Deepening Search

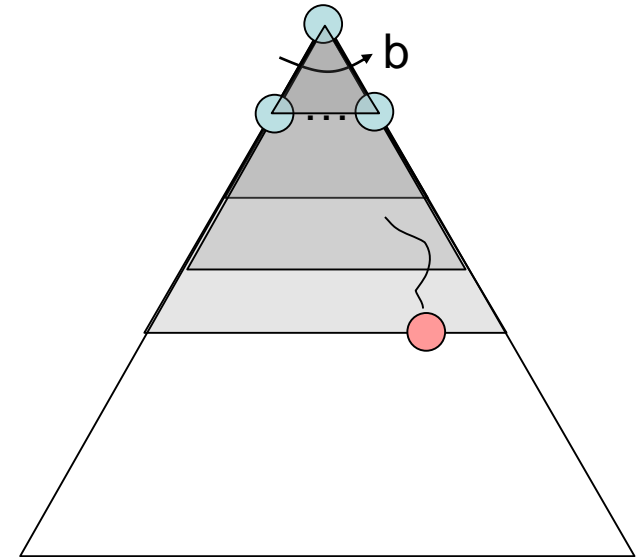
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- General strategy often used in combination with DFS
  - Finds best depth limit
- Combines benefits of both BFS and DFS
  - Like DFS, memory is modest:  $O(bd)$
  - Like BFS, complete when  $b$  is finite
  - Like BFS, optimal when path cost is an increasing function of the depth of a node

# Iterative Deepening

Iterative deepening uses DFS as a subroutine:

1. Do a DFS which only searches for paths of length 1 or less.
2. If “1” failed, do a DFS which only searches paths of length 2 or less.
3. If “2” failed, do a DFS which only searches paths of length 3 or less.  
....and so on.



Algorithm		Complete	Optimal	Time	Space
DFS	w/ Path Checking	Y	N	$O(b^{m+1})$	$O(bm)$
BFS		Y	$N^*$	$O(b^{s+1})$	$O(b^s)$
ID		Y	$N^*$	$O(b^{s+1})$	$O(bs)$

# Iterative deepening search / =0

---

Limit = 0

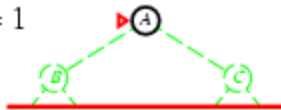




# Iterative deepening search / =1

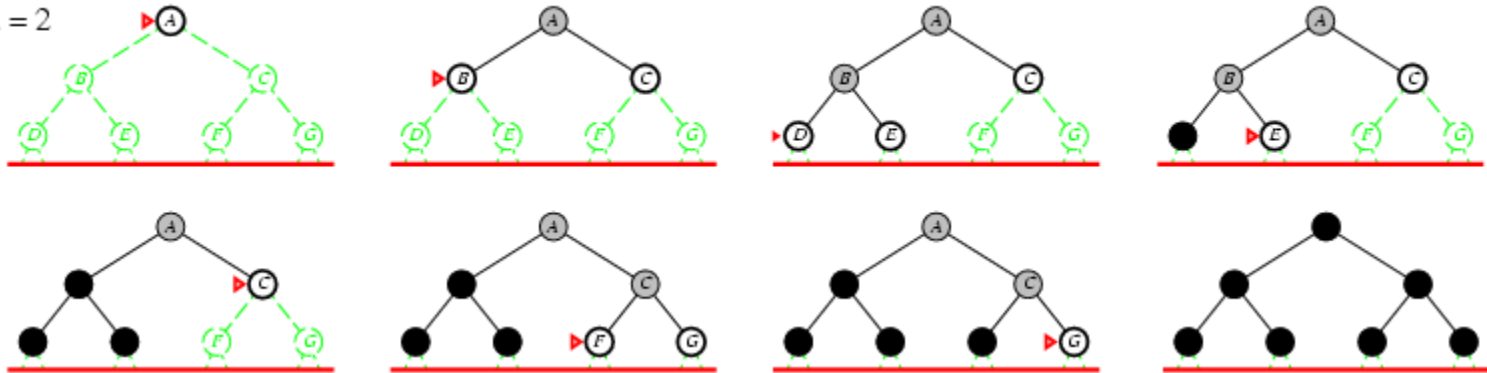
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Limit = 1



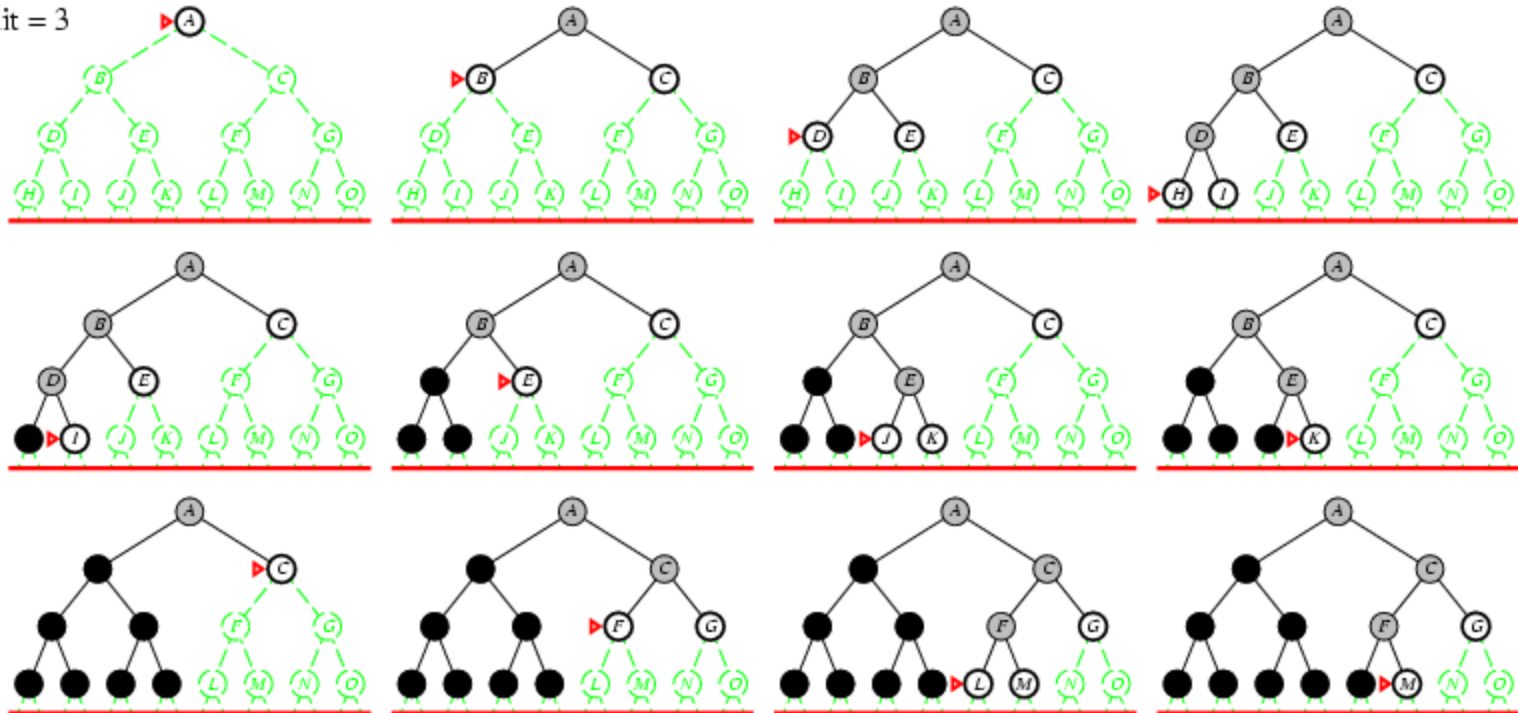
# Iterative deepening search $l=2$

Limit = 2



# Iterative deepening search / =3

Limit = 3



# Iterative deepening search

---

```
function ITERATIVE-DEEPENING-SEARCH( problem) returns a solution, or fail-  
ure  
  inputs: problem, a problem  
  for depth  $\leftarrow$  0 to  $\infty$  do  
    result  $\leftarrow$  DEPTH-LIMITED-SEARCH( problem, depth)  
    if result  $\neq$  cutoff then return result
```

# Iterative deepening search

---

- Number of nodes generated in a depth-limited search to depth  $d$  with branching factor  $b$ :

$$N_{DLS} = b^0 + b^1 + b^2 + \dots + b^{d-2} + b^{d-1} + b^d$$

- Number of nodes generated in an iterative deepening search to depth  $d$  with branching factor  $b$ :

$$N_{IDS} = d b^1 + (d-1) b^2 + \dots + 3b^{d-2} + 2b^{d-1} + 1b^d$$

- For  $b = 10$ ,  $d = 5$ ,
  - $N_{DLS} = 1 + 10 + 100 + 1,000 + 10,000 + 100,000 = 111,111$
  - $N_{IDS} = 50 + 400 + 3,000 + 20,000 + 100,000 = 123,450$
  - $N_{BFS} = 10 + 100 + 1,000 + 10,000 + 100,000 + 999,990 = 1,111,100$
- Overhead =  $(123,450 - 111,111)/111,111 = 11\%$  (approx.)

# Properties of iterative deepening search

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- Complete? Yes
- Time?  $d b^1 + (d-1)b^2 + \dots + b^d = O(b^d)$
- Space?  $O(bd)$
- Optimal? Yes, if step cost = 1
- Wasteful as states generated multiple times
- Not very costly
  - With the same branching factor at each level, most of the nodes are at the bottom
  - Upper level nodes don't cost much

# When to use what?

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- **Depth-First Search:**

- Many solutions exist
- Know (or have a good estimate of) the depth of solution

- **Breadth-First Search:**

- Some solutions are known to be shallow

- **Uniform-Cost Search:**

- Actions have varying costs
- Least cost solution is the required

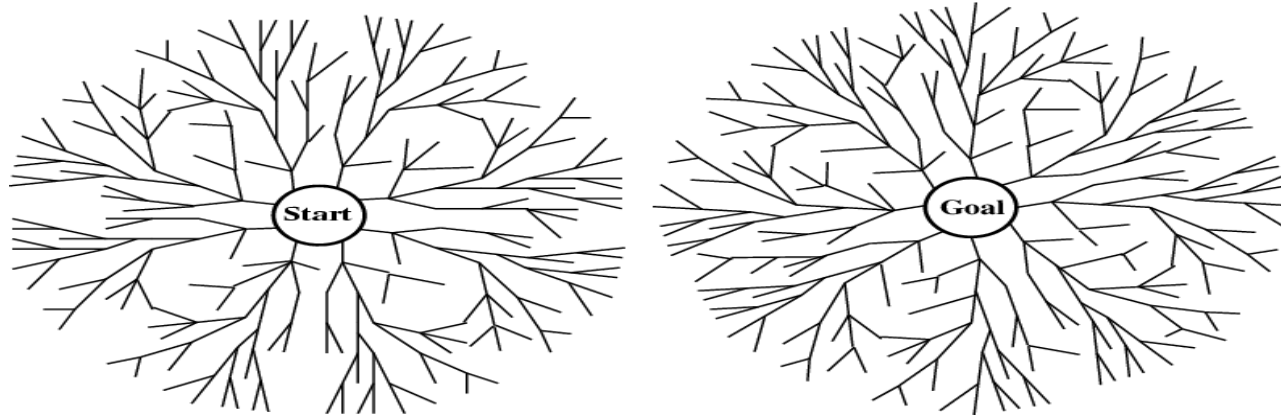
*This is the only uninformed search that worries about costs*

- **Iterative-Deepening Search:**

- Large search space and depth of the solution is not known

# Bi-directional search

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- Alternate searching from the start state toward the goal and from the goal state toward the start
- Stop when the frontiers intersect
- Works well only when there are unique start and goal states and when actions are reversible
- Can lead to finding a solution more quickly



# Bi-directional search

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- Motivation:  $b^{d/2} + b^{d/2} < b^d$
- E.g. if solution depth =6 and each direction runs BFS
  - Worst case: two searches meet when each has expanded all the nodes at 3
  - For  $b=10$ ,  
 $b+b^2+b^3 = 10+100+1000=1110 *2=2220$

$$b^2 + b^3 + b^4 + b^5 + b^6 + b^7 = 111,111,00$$

- One tree kept in memory to check membership
- Space complexity =  $O(b^{d/2})$
- Time complexity =  $O(b^{d/2})$

# Bi-directional search

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- Complete
  - If  $b$  is finite
  - If both directions use BFS (*check why not DFS?*)
- Optimal
  - If step costs are all identical
  - If both directions use BFS