# Representation Theoretic Realization of Exact Categories

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## Outline

- Introduction
- Three Morita-type Results
  - Exact Category with a Progenerator
  - + Injective Cogenerator
  - + Higher Kernels
- Applications
  - (mod Λ)/[Sub M] as Torsionfree-class
  - Classification of CM-finite IG algebras

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# Characterization of Module Categories

### Theorem (Morita Theorem)

The following are equivalent for a category  $\mathcal{E}$  and a field k.

- **1**  $\mathcal{E} \simeq \operatorname{mod} \Lambda$  for some f.d. k-algebra  $\Lambda$ .
- 2 E is an abelian Hom-finite k-category with a projective generator P and an injective cogenerator I.

#### Proof.

- (1)  $\Rightarrow$  (2):  $P := \Lambda$  and  $I := D\Lambda = \operatorname{Hom}_k(\Lambda, k)$ .
- (2)  $\Rightarrow$  (1):  $\Lambda := \operatorname{End}_{\mathcal{E}}(P)$  and Consider the functor

$$\mathcal{E}(P,-):\mathcal{E} \to \mathsf{mod}\,\Lambda.$$

This is equivalence.



### Motivation

#### Problem

Find a similar condition for an exact category  $\mathcal{E}$ .

- **1** (R):  $\mathcal{E} \simeq$  (Representation theoretic category)
- (C):  $\mathcal{E}$  is an exact cat. with (Categorical property)
- i.e. Morita type theorem for exact categories.

### Assumption:

k: field. All algebras are f.d. over k and All Categories are:

- skeletally small
- additive Hom-finite k-categories
- idempotent complete.



- + Injective Cogenerator
- + Higher Kernels

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# (R): Extension-Closed Subcategory

 $\Lambda$ : f.d. algebra. mod  $\Lambda$ : the category of f.d. right  $\Lambda$ -modules.

#### Definition

 $\mathcal{E} \subset \mathsf{mod}\,\Lambda$ : subcategory is extension-closed : $\Leftrightarrow$  for every exact sequence in  $\mathsf{mod}\,\Lambda$ 

$$0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$$
,

 $L \in \mathcal{E}$  and  $N \in \mathcal{E}$  implies  $M \in \mathcal{E}$ .

#### Example

For  $U \in \text{mod } \Lambda$ ,

$$^{\perp}U := \{M \in \operatorname{mod} \Lambda \mid \operatorname{Ext}_{\Lambda}^{i}(M, U) = 0 \text{ for all } i > 0 \}$$



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# (R): Resolving Subcategory

# Definition (Auslander-Bridger 1969)

 $\mathcal{E} \subset \mathsf{mod} \, \Lambda$ : subcategory is resolving : $\Leftrightarrow$ 

- $\bigcirc$   $\mathcal{E}$  is ext-closed in mod  $\Lambda$ .
- 2 All projective  $\Lambda$ -modules are in  $\mathcal{E}$ .
- **③** For every  $M ∈ \mathcal{E}$ , there is an exact sequence in mod  $\Lambda$

$$0 \rightarrow \Omega M \rightarrow P \rightarrow M \rightarrow 0$$

where *P* is projective  $\Lambda$ -module and  $\Omega M \in \mathcal{E}$ .

### Example

For  $U \in \text{mod } \Lambda$ , the subcat.  $^{\perp}U$  is always resolving.



#### Exact Category with a Progenerator

- + Injective Cogenerator
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# (C): Exact Category

### Definition (Quillen 1973)

An exact category consists of the pair  $(\mathcal{E}, F)$ , where  $\mathcal{E}$  is the category and F is the class of short exact sequences in  $\mathcal{E}$  satisfying some conditions.

#### Remark

- Any ext-closed subcat.  $\mathcal{E} \subset \operatorname{mod} \Lambda$  is an exact category, whose s.e.s. are those in  $\operatorname{mod} \Lambda$  with all terms in  $\mathcal{E}$ .
- Conversely, any exact cat. is an ext-closed subcat. of some big abelian category (Gabriel-Quillen).



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# 1st Morita-type Theorem

## Proposition (folklore?)

For an exact category  $\mathcal{E}$ , TFAE.

① (C):  $\mathcal{E}$  has a projective generator P, i.e. P is projective in  $\mathcal{E}$  and for every  $M \in \mathcal{E}$ , there is a s.e.s. in  $\mathcal{E}$ 

$$0 \to \Omega M \to P^n \to M \to 0$$
.

② (R):  $\mathcal{E} \simeq$  (resolving subcat) of mod  $\Lambda$  for some f.d. alg.  $\Lambda$ .

Categorical Property	Rep. Theoretic Property
Exact Cat with Progen.	Resolving subcat of $M$



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# (R): Wakamatsu-tilting Module

For  $W \in \text{mod } \Lambda$ ,  $^{\perp}W := \{M \in \text{mod } \Lambda | \text{Ext}_{\Lambda}^{>0}(M, W) = 0\}$ .  $X_W \subset ^{\perp}W \subset \text{mod } \Lambda \text{ consists of } X \in ^{\perp}W$  such that there is an exact sequence

$$0 \to X \to W^{a_0} \xrightarrow{f^1} W^{a_1} \xrightarrow{f^2} W^{a_2} \to \cdots$$

with  $\operatorname{Im} f^i \in {}^{\perp}W$ .

(W behaves like injective cogenerator in  $X_W$ )

### Definition (Wakamatsu 1988)

 $W \in \text{mod } \Lambda$  is called Wakamatsu-tilting (or semi-dualizing) :  $\Leftrightarrow$ 

- $\bullet$   $\Lambda_{\Lambda} \in X_{W}$ .



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# (R): Properties of Wakamatsu-tilting Module

### Proposition

For  $W \in \text{mod } \Lambda$ : Wakamatsu-tilting,

- X<sub>W</sub> ⊂ mod ∧ is resolving, hence exact category.
- X<sub>W</sub> has a progenerator Λ and an injective cogenerator W.

### Example

- Λ<sub>Λ</sub> is always Wakamatsu-tilting.
  - $\Rightarrow$  X<sub>\Lambda</sub> =: GP \Lambda, the cat. of Gorenstein projective modules. This is Frobenius exact category
  - (:⇔ progenerator and inj. cogen. exist and coincide).
- Tilting and Cotilting modules are Wakamatsu-tilting.



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# 2nd Morita-type Theorem

#### Theorem

For an exact category  $\mathcal{E}$ , TFAE.

- ② (R):  $\mathcal{E} \simeq$  (resolving-coresolving subcat) of  $X_W$  for some f.d. algebra  $\Lambda$  and Wakamatsu-tilting  $\Lambda$ -module W.

Exact Cat. with	Rep. Theoretic Property
Progenerator	Resolving subcat of $mod \Lambda$ .
Progen. and Inj. cogen.	Resolving-Coresolving subcat of $X_W$ for Wak. tilting $W$ .



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# (R): Cotilting Module

### Definition (Miyashita 1986)

 $U \in \text{mod } \Lambda \text{ is } n\text{-cotilting for } n \geq 0 : \Leftrightarrow$ 

- Ext $^{>0}_{\Lambda}(U,U)=0.$
- ② id  $U_{\Lambda} \leq n$ .
- There exists an exact sequence in mod Λ

$$0 \rightarrow U^{a_n} \rightarrow \cdots \rightarrow U^{a_1} \rightarrow U^{a_0} \rightarrow D\Lambda \rightarrow 0$$
.

where  $D\Lambda = \operatorname{Hom}_k(\Lambda, k)$ .

#### Remark

Cotilting module U is always Wakamatsu-tilting and  $X_U = {}^{\perp}U$ .



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# (R): Properties of Cotilting Module

# Proposition

For U: a cotilting  $\Lambda$ -module,  $^{\perp}U(=X_U)$  is an exact category with a projective generator  $\Lambda$  and an injective cogenerator U.

# Example

- 0-cotilting module = inj. cogen. of mod  $\Lambda = D\Lambda$ .
- Cotilting module = dual of (Miyashita) tilting module.
- $\Lambda_{\Lambda}$  is cotilting  $\Leftrightarrow \Lambda$  is Iwanaga-Gorenstein, i.e. id  $\Lambda_{\Lambda} = id_{\Lambda}\Lambda < \infty$ . In this case,  ${}^{\perp}\Lambda =: CM \Lambda$ , the category of Cohen-Macaulay  $\Lambda$ -modules, which is Frobenius category.

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# (C): n-Kernels for $n \ge 1$

 $\mathcal{E}$ : category.

### Definition (Jasso 2016)

 $\mathcal{E}$  has *n*-kernels : $\Leftrightarrow$  for every  $M \to N$  in  $\mathcal{E}$ , there is a cpx. in  $\mathcal{E}$ 

$$0 \to K_n \to \cdots \to K_1 \to M \to N$$

such that for every  $X \in \mathcal{E}$ ,

$$0 \to \mathcal{E}(X,K_n) \to \cdots \to \mathcal{E}(X,K_1) \to \mathcal{E}(X,M) \to \mathcal{E}(X,N)$$

is exact.

*n*-cotilting 
$$\leftrightarrow$$
  $(n-1)$ -kernel,

so we need 0-kernel and (-1)-kernel!



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# (C): n-Kernels for n = 0, -1

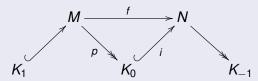
 $\mathcal{E}$ : exact category.

 $Y \rightarrow Z$  in  $\mathcal{E}$  is admissible epi

: $\Leftrightarrow$  there is a short exact sequence  $0 \to X \to Y \to Z \to 0$  in  $\mathcal{E}$ .

### Definition (E)

- $\mathcal{E}$  has 0-kernel : $\Leftrightarrow$  every  $f: M \to N$  can be written as  $f = i \circ p$  with p: adm. epi and i:mono.
- $\mathcal{E}$  has (-1)-kernel : $\Leftrightarrow$  every  $f: M \to N$  can be written as  $f = i \circ p$  with p: adm. epi and i: adm. mono.



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# (C): Properties of Higher Kernels

 $\mathcal{E}$ : exact category.

The lower kernel  $\mathcal{E}$  has, the better  $\mathcal{E}$  behaves!

### Proposition

- $\mathcal{E}$  has (-1)-kernel  $\Leftrightarrow \mathcal{E}$  is abelian with usual exact str.
- $\mathcal{E}$  has n-kernel  $\Rightarrow \mathcal{E}$  has m-kernels for all  $m \geq n$ .

#### Remark

For  $n \ge 1$ ,  $\mathcal{E}$  has n-kernel  $\Leftrightarrow \mathcal{E}$  has the global dimension  $\le n + 1$  as an algebra  $\mathcal{E}$ .



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# Theorem (E)

Let  $\mathcal{E}$  be an exact cat and  $n \ge 0$ . The following are equivalent:

- ① (C):  $\mathcal{E}$  has projective generator P, injective cogenerator I and (n-1)-kernel.
- ② (R):  $\mathcal{E} \simeq {}^{\perp}U \subset \text{mod } \Lambda$  for some *n*-cotilting module *U* over some f.d. algebra  $\Lambda$ .

### Example

For n = 0, " $\mathcal{E}$  has (-1)-kernel  $\Leftrightarrow \mathcal{E}$  is abelian" and  $^{\perp}(0\text{-cotilting}) = \text{mod } \Lambda$ . Thus

- $\bigcirc$  (C):  $\mathcal E$  has progen, inj cogen and abelian.
- (R):  $\mathcal{E} \simeq \operatorname{mod} \Lambda$  for some alg  $\Lambda$ .

This equivalence is our first observation.

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Exact Cat. with	Rep. Theoretic Property
Progenerator	Resolving subcat of mod $\Lambda$ .
+ Inj. Cogen.	Resolving-Coresolving subcat
	of $X_W$ for Wak. tilting $W$ .
+ (n – 1)-Kernel	$^{\perp}U$ for <i>n</i> -cotilting <i>U</i> .

### Corollary (KIWY)

Let  $\mathcal{E}$  be an exact cat and  $n \geq 0$ . The following are equivalent:

- **1** (C):  $\mathcal{E}$  is Frobenius and has (n-1)-kernel.
- **②** (R):  $\mathcal{E} \simeq \mathsf{CM} \, \Lambda$  for some n-Iwanaga-Gorenstein algebra  $\Lambda$ .



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For  $M \in \text{mod } \Lambda$  over f.d. algebra  $\Lambda$ ,

Sub  $M \subset \text{mod } \Lambda$ : subcat consisting of submodules of  $M^n$ .

 $(\text{mod }\Lambda)/[\text{Sub }M]$ : the ideal quotient.

## Corollary (E)

There is another algebra  $\Gamma$  and 1-cotilting  $U \in \text{mod } \Gamma$  s.t.

 $(\operatorname{\mathsf{mod}}\nolimits\Lambda)/[\operatorname{\mathsf{Sub}}\nolimits M] \simeq {}^\perp U(=\operatorname{\mathsf{Sub}}\nolimits U) \subset \operatorname{\mathsf{mod}}\nolimits \Gamma.$ 

#### Proof.

Construct an exact str. on  $(\text{mod }\Lambda)/[\text{Sub }M]$  with 0-kernel.

#### Remark

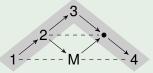
If M is simple, then [Sub M] = [M]. This is a generalization of APR tilting.

 $\Lambda$ : the path algebra of *a* ← *b* ← *c*, *M*: simple at *b*.

mod Λ:

Sub *M* consists only of *M*.

 $(\text{mod }\Lambda)/[\text{Sub }M]$ : shaded.



$$(\operatorname{\mathsf{mod}}\nolimits\Lambda)/[\operatorname{\mathsf{Sub}}\nolimits M] \simeq {}^\perp U \subset \operatorname{\mathsf{mod}}\nolimits \Gamma.$$

 $\Gamma := \text{End}(1 \oplus 2 \oplus 3 \oplus 4)$ 

modΓ:

U: orange

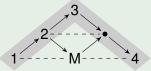


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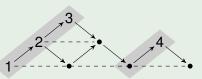


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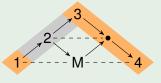


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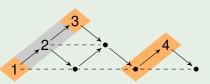


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# Classification of CM-finite IG algebras

#### **Definition**

- $\Lambda$  is Iwanaga-Gorenstein : $\Leftrightarrow$  id  $\Lambda_{\Lambda} = id_{\Lambda}\Lambda < \infty$ . ( $\Leftrightarrow \Lambda_{\Lambda}$  is cotilting module.)
- CM  $\Lambda := {}^{\perp}\Lambda := \{M \in \text{mod } \Lambda | \text{Ext}_{\Lambda}^{>0}(M, \Lambda) = 0\}$  (This is Frobenius category with progen. =  $\Lambda$ ).
- Λ is CM-finite if CM Λ has finitely many indecomposables.

### Example

- Algebra with finite global dimension Λ
  (CM Λ = proj Λ, the cat. of f.g. proj Λ-modules).
- Representation-finite self-injective algebra Λ (CM Λ = mod Λ).

# Corollary (E, in preparation)

We can 'classify' all CM-finite Iwanaga-Gorenstein algebras.

#### Proof.

- There's a bijection {CM-finite IG alg. Λ} ↔
  {alg. Γ with fin. gl.dim. and Frobenius exact str. on proj Γ}.
- $\Lambda \mapsto its \ CM$ -Auslander alg  $\Gamma$ , then proj  $\Gamma \simeq CM \Lambda$ .  $\Gamma \mapsto End \ of \ progenerator \ of \ proj \ \Gamma$ .
- We cannot classify algebra with finite global dimension,
  BUT we can classify Frobenius exact str. on proj Γ by

```
Set of stable \tau-orbits of proj \Gamma }.
```

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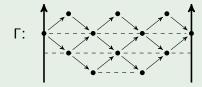
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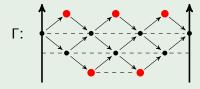




with commutativity and zero relation.

Thus proj  $\Gamma$  has 2 stable  $\tau$ -orbits.

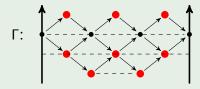
 $\Rightarrow$  proj  $\Gamma$  has 4 Frobenius exact structure.



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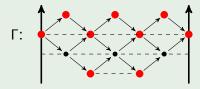
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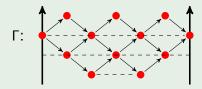
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