

1. Review of digital repr. of analog signals
2. Baseband Trans. (binary and multi) and optimum receivers
3. Matched filter and correlator and optimum detection
4. Error prob. for binary transmission
5. ISI and RC spectrum
6. Equalization
7. Recitation
8. Midterm (22 Kasım)

- 30% midterm, 30 lab (project presentation + 5 lab), 40 final
- Simon Haykin Kitap
- Comm. Systems Proakis

9. Bandpass transmission
10. Bandpass M-ary transmission and QAM.
11. Entropy and source coding
12. Channel capacity and channel coding
13. Recitation
14. Project presentations

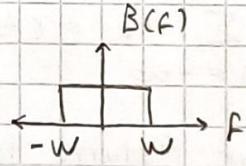
1. Analog Signals  $\rightleftharpoons$  analog transmission schemes (Am, FM, PM)



Analog to digital conversion (A/D)



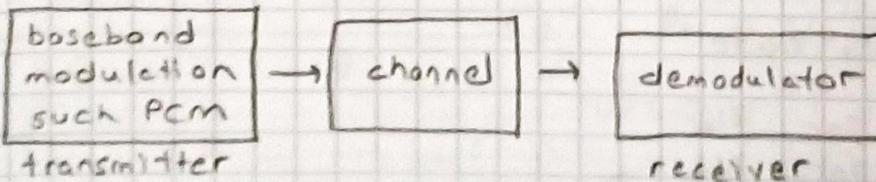
digital modulation schemes



- First step is sampling the analog source.
- $B_m = W$  (the spectrum of the positive side)
- at discrete time,  $T_s = \frac{1}{F_s} = \frac{1}{2W}$  (Nyquist Rate  $= 2W$ )
- analog pulse amplitude mod.
- analog pulse width mod.
- analog pulse positioning mod.
- Second step is Quantization
- For each sample, you will have  $k$  bits.  $k$  bits for  $M = 2^k$  levels
- Digital is less sensitive than the analog
- Multiplexing techniques

2. Base band Transmission (no carrier frequency)

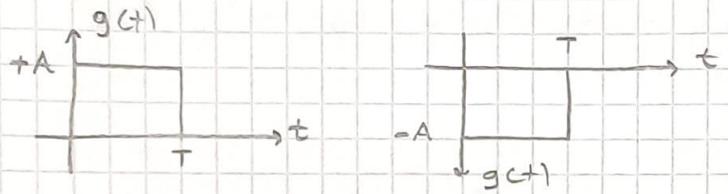
- pulse (PCM)



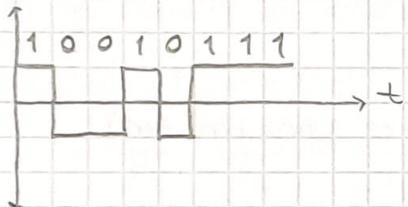
- Non-return to zero (NRZ) code.
- Transmitted bits  $b_k \in \{0, 1\}$
- symbol period  $T$
- $[kT, (k+1)T]$  where  $\frac{T_s}{k} = T$

after line codes  
 $s_{k(t)}$

- +A for bit 1
- A for bit 0



$s_{k(t)}$

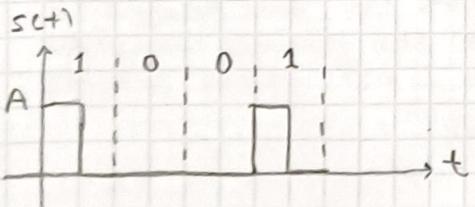


$$s_{k(t)} = \sum_{k=-\infty}^{\infty} a_k g(t - kT)$$

- Unipolar Return to Zero Code (on/off keying)

$$\begin{array}{lll} d_k = 1 & A & T/2 \\ d_k = 0 & 0 & \end{array}$$

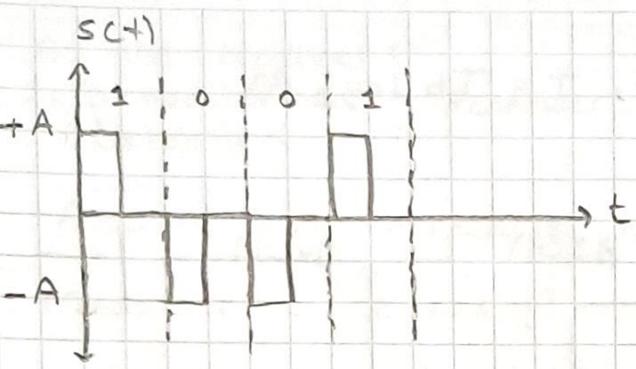
$$g(t) = \begin{cases} A & \text{if } t \in [0, T/2] \\ 0 & \text{if } t \in [T/2, T] \end{cases}$$



- Bipolar Return to Zero Code

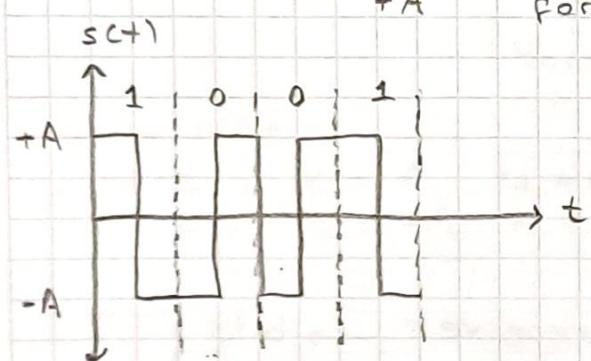
$$\begin{array}{lll} \text{If } d_k = 1, & A & \text{for } T/2 \\ & 0 & \text{for } T/2 \end{array}$$

$$\begin{array}{lll} \text{If } d_k = 0, & -A & \text{for } T/2 \\ & 0 & \text{for } T/2 \end{array}$$



Manchester Code

If $d_k = 1$	+A	for $T/2$
	-A	for $T/2$
If $d_k = 0$	-A	for $T/2$
	+A	for $T/2$

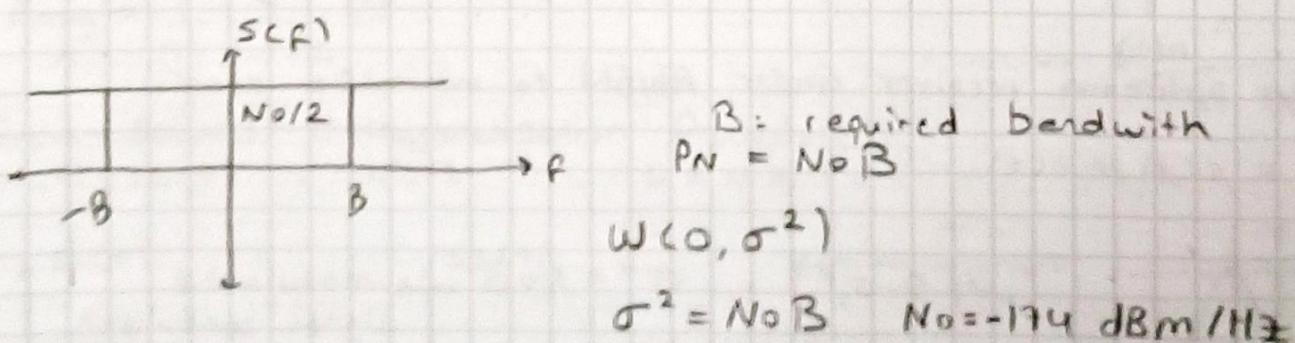


page 364, figure 7.25

$\frac{s(t)}{PCM} \rightarrow$  channel  $\rightarrow$  demodulator  
 ↳ AWGN channel + band limited channel

$$s(t) \xrightarrow{\oplus} s(t) + n(t)$$

$\uparrow$   
n(t)

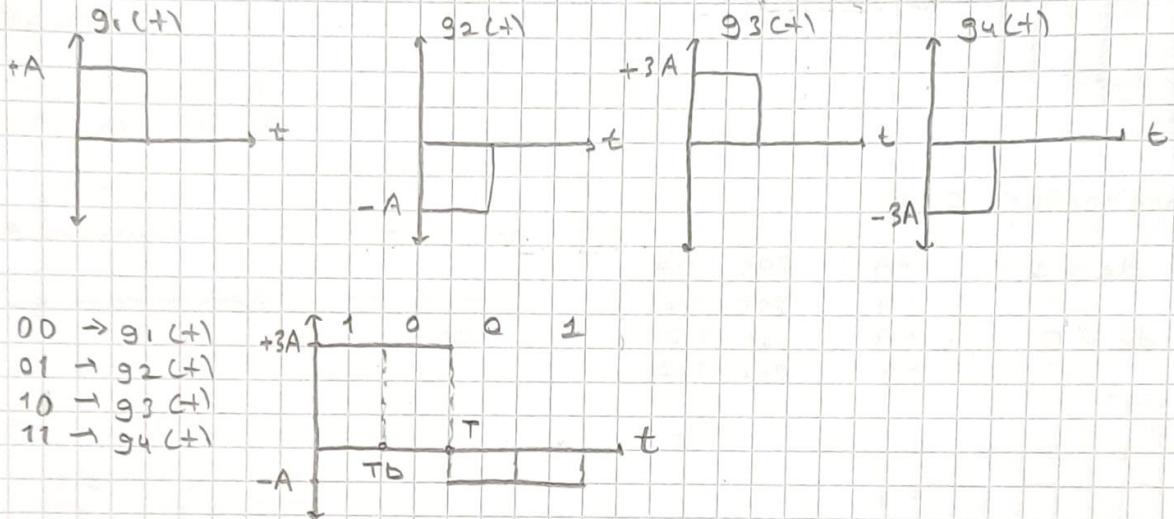


• Z-transform for filter design (hardware-embedded)

M-ary NRZ code

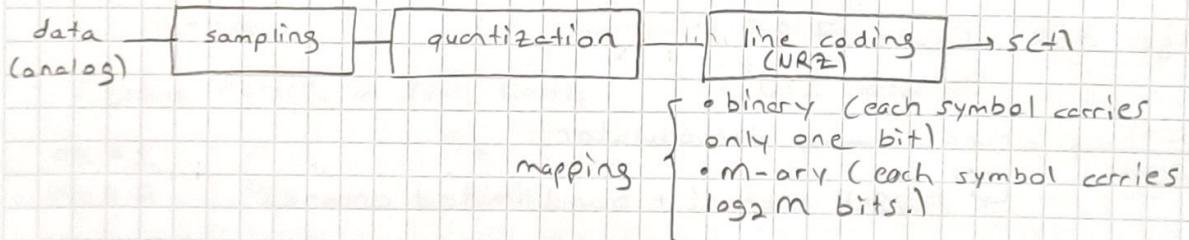
Instead of transmitting binary NRZ,  $T = T_b \log_2 M$

$$A = \{ \pm 1, \pm 3 \} \text{ if } m=4$$



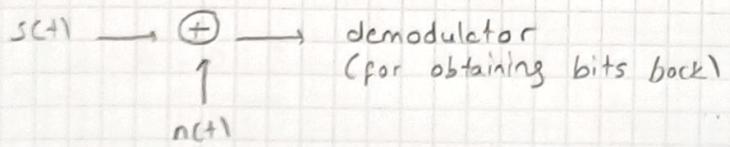
Review of 1<sup>st</sup> week

Transmitter  $\longrightarrow$  channel  $\longrightarrow$  receiver  $\longrightarrow$  bits



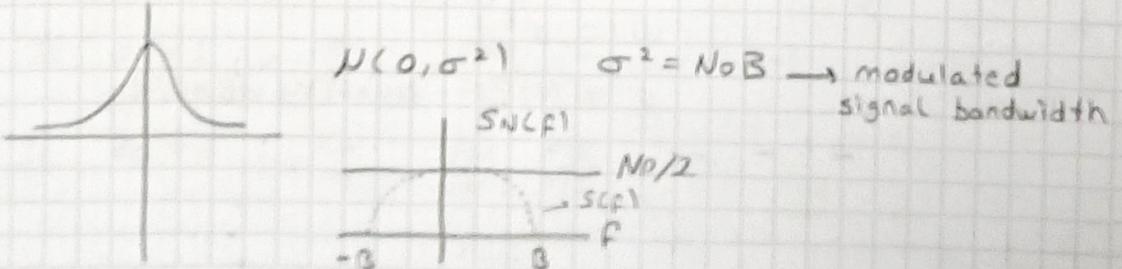
channel:

- bandlimited channel
- AWGN



• design optimum receiver under AWGN to minimize error.

$$r(t) = s(t) + n(t)$$

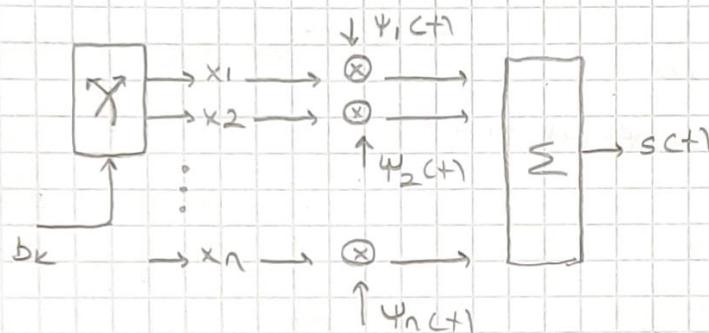


At the receiver:

1. Demodulation  $\rightarrow$  Receiver Design
2. Detection

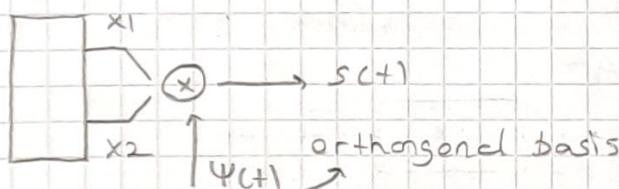
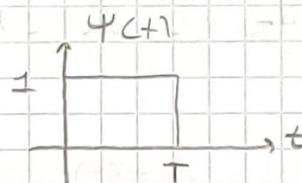
Assume that the receiver is coherent (frequency, the symbol timing is known)

Modulator (line coding)



• binary NRZ:

$$\begin{array}{ll} \text{bit 1}, & x_1 = A \quad x_2 = 0 \\ \text{bit 0}, & x_2 = -A \quad x_1 = 0 \end{array}$$



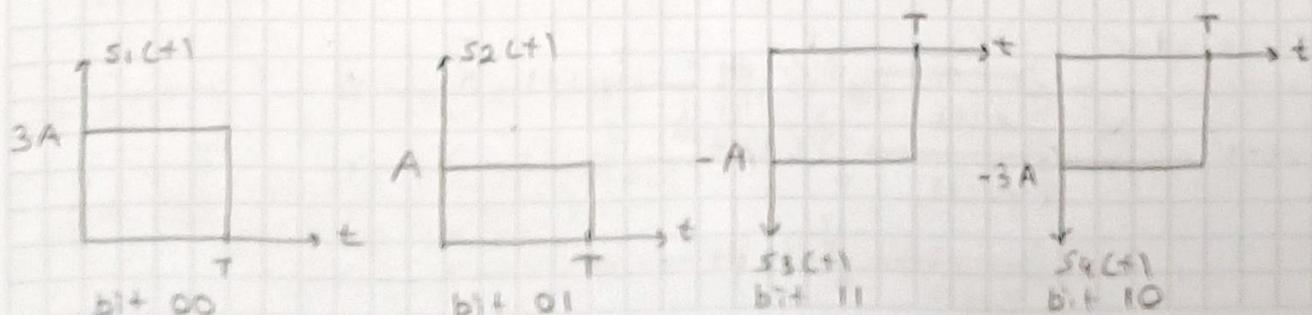
$$\bullet \int_0^T \Psi_i(t) \cdot \Psi_j(t) dt = 0 \quad i \neq j \quad (\text{birbirlerine dik bazlar})$$

$$\bullet s(t) = \sum_{i=1}^N x_i \Psi_i(t)$$

$$\bullet E_i = \int_0^T s_i^2(t) dt$$

$$\bullet E_{avg} = \frac{1}{M} \sum_{m=1}^M E_i$$

Gram-Schmidt Orthogonalization Procedure  
 $s_1(t), s_2(t), \dots, s_n(t) \quad 0 \leq t \leq T$



$\Psi_1(t) = \frac{s_1(t)}{\sqrt{E_1}}$  → the first waveform is constructed by using  $s_1(t)$

$$c_{21} = \int_{-\infty}^{\infty} s_2(t) \Psi_1(t) dt$$

$$\Psi'_2(t) = s_2(t) - c_{21} \Psi_1(t)$$

↓

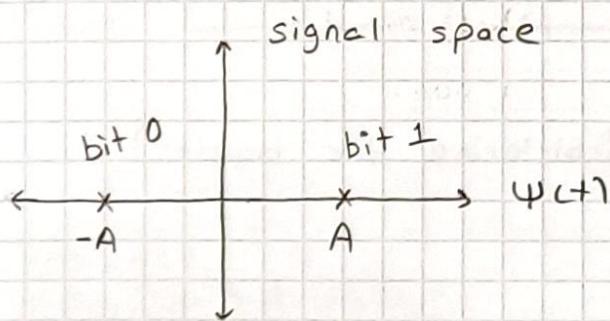
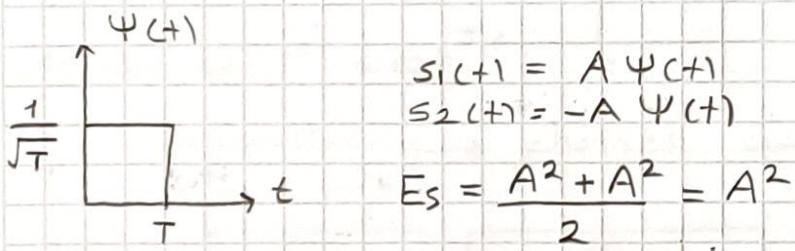
similarly  $c_{31}$  ve  $c_{32}$

$$\Psi'_3(t) = s_3(t) - c_{31} \Psi_1(t) - c_{32} \Psi_2(t)$$

### Normalization

$$\Psi_2(t) = \frac{\Psi'_2(t)}{\sqrt{E_{\Psi_2(t)}}}$$

Binary NRZ



Optimum Receiver for AWGN

at the receiver input:

$$r(t) = s_i(t) + n(t) \quad 0 \leq t < T$$

$s_i(t)$ ,  $i$ th transmitted symbol among  $N$  possible symbols

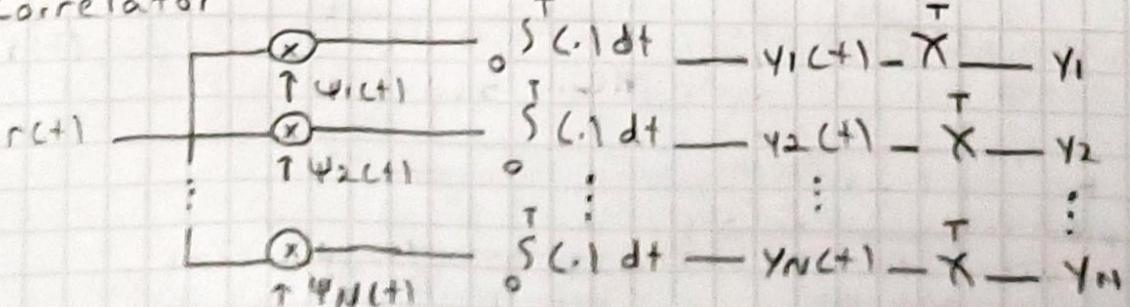
First phase: demodulation (optimum)

- correlator based demod.

- matched filter based demod.

⇒ to maximize signal to noise ratio (SNR)

Correlator



## Second phase : Detection (optimum)

$$\begin{array}{c} y_1 \\ y_2 \\ \vdots \\ y_N \end{array} \left[ \begin{array}{c} t \\ 0 \\ jT \\ T \end{array} \right] \text{ bits}$$

$$y_j = y(jT) = \int_0^T r(t) \psi_j(t) dt$$

$$y(t) = \int_0^T s_i(t) \psi_j(t) dt + \int_0^T n(t) \psi_j(t) dt$$

after sampled at  $t=jT$

$$y_j = s_i j + n_j \quad j = 1, \dots, N$$

$$E[n_j] = 0$$

$$\sigma^2 = N_0 B$$



$$y(t) = g_o(t) + n(t)$$

signal to noise (SNR) power  $\frac{|g_o(t)|^2}{E[n^2(t)]} \Rightarrow$  instantaneous power in the output  
 average noise power

- Design a filter to maximize SNR at the output.

frequency response  $H(f)$  at matched filter  
 pulse response  $G(f)$

$$g_o(t) = \int_{-\infty}^{\infty} G(f) \cdot H(f) \exp(j2\pi f t) df$$

impulse response of the filter  $h(t)$

Filter output is sampled at  $t=T$

$$|g_o(T)| = \left| \int_{-\infty}^{\infty} H(f) G(f) \exp(j2\pi f T) df \right|$$

$$SNR = \frac{N_0}{2} |H(f)|^2$$

$$E[n^2(t)] = \int_{-\infty}^{\infty} SNR(f) df = \frac{N_0}{2} \int_{-\infty}^{\infty} |H(f)|^2 df$$

$$\frac{1}{2} \int_{-\infty}^{\infty} G(f) H(f) \exp(j2\pi f T) df|^2$$

- problem is to find  $H(f)$  for a given  $G(f)$  to maximize  $Z$ .

Schwarz Inequality

$$\int_{-\infty}^{\infty} |\phi_1(x)|^2 dx < \infty, \quad \int_{-\infty}^{\infty} |\phi_2(x)|^2 dx < \infty$$

then we can write

$$\left| \int_{-\infty}^{\infty} \phi_1(x) \phi_2(x) dx \right|^2 \leq \int_{-\infty}^{\infty} |\phi_1(x)|^2 dx \cdot \int_{-\infty}^{\infty} |\phi_2(x)|^2 dx$$

$$\phi_1(x) = H(f)$$

$$\phi_2(x) = G(f) \cdot \exp(j2\pi f T)$$

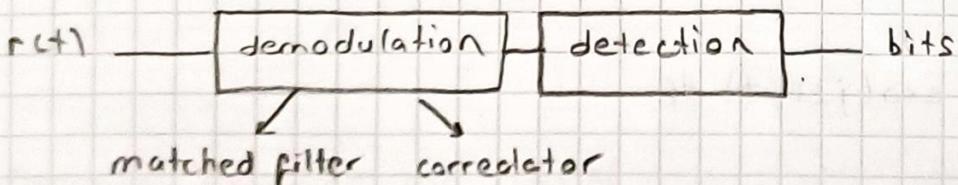
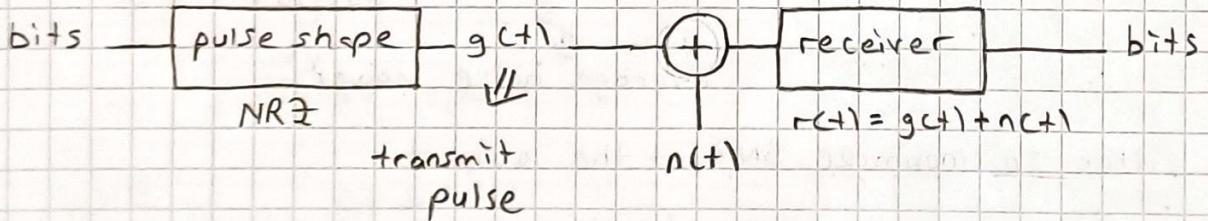
$$\left| \int_{-\infty}^{\infty} H(f) G(f) \exp(j2\pi f T) df \right|^2 \leq \int_{-\infty}^{\infty} |H(f)|^2 df \cdot \int_{-\infty}^{\infty} |G(f)|^2 df$$

$$Z = \frac{2}{N_0} \int_{-\infty}^{\infty} |G(f)|^2 df = 2 \max \text{ (depends on signal pulse shape and noise)}$$

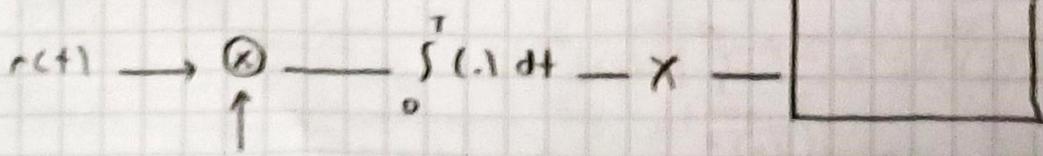
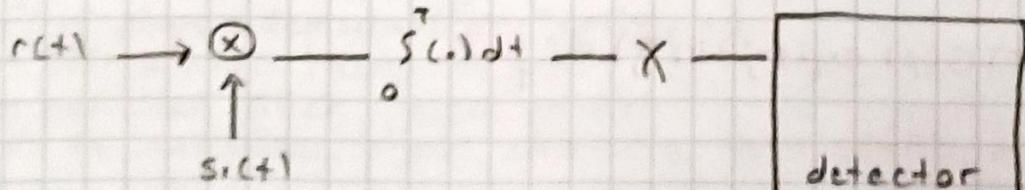
$$\phi_1(x) = k \phi_2^*(x) \quad (\text{Schwarz inequality is valid if and only if this})$$

$$H(f) = K G^*(f) \exp(-j2\pi f T)$$

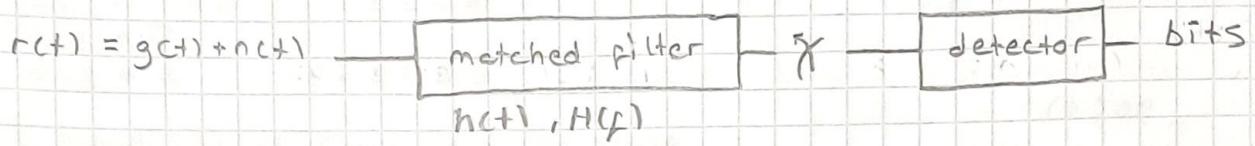
SUMMARY



different kind of correlator



(In different correlator, öncelikle  $N=1$  correlator var! Integrator sayısı gibi bir şey)



If  $\phi_1(x) = k \phi_2^*(x)$  (reference to Schwartz inequality)

- For real signals,  $G(f) = G^*(f)$

$$h_{opt}(t) = k \int_{-\infty}^{\infty} G^*(f) \exp(-j2\pi f t) \exp(j2\pi f T) df$$

$h_{opt}(t) = k g(T-t)$   $\Rightarrow$  scale with  $k$ , time reversed and delayed by  $T$   
match to input ( $g(t)$ )  $\Rightarrow$  matched filter

$$H_{opt}(f) = k G^*(f) \exp(-j2\pi f T)$$

$$G(f) \longrightarrow [H(f)] \longrightarrow G_o(f) = G(f) \cdot H_{opt}(f) = k |G(f)|^2 \exp(-j2\pi f T)$$

M.F.

For  $2^{max}$ ,

$$g_o(t) = k \int_{-\infty}^{\infty} |G(f)|^2 df \quad \Rightarrow \text{energy of pulse}$$

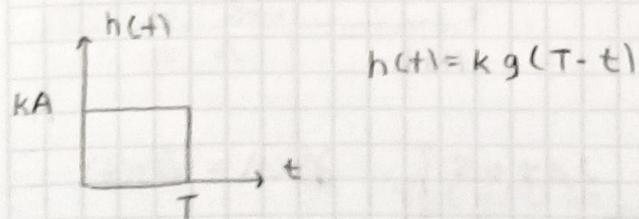
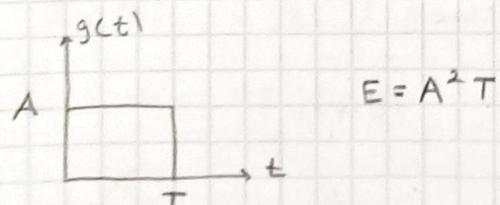
$\underbrace{\qquad\qquad\qquad}_{\text{Rayleigh Energy Theorem}}$

$$g_o(t) = k \cdot E$$

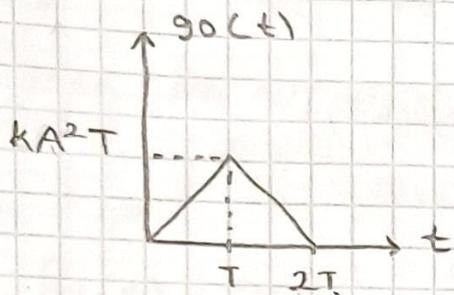
$$E \{ n^2(t) \} = \frac{k^2 N_0}{2} \int_{-\infty}^{\infty} |G(f)|^2 df = \frac{k^2 N_0 E}{2}$$

$$2^{max} = \frac{(kE)^2}{\frac{k^2 N_0 E}{2}} = \frac{2E}{N_0}$$

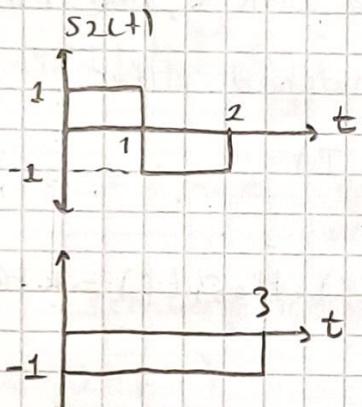
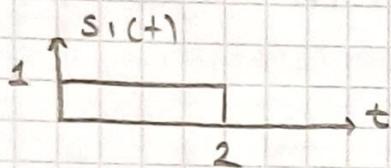
Example: Matched Filter for Rectangular Pulse



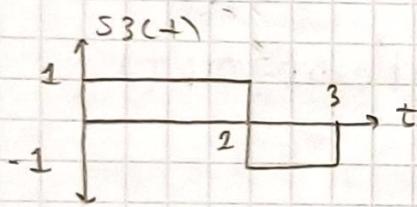
$$g(t) \xrightarrow{h(t)} g_0(t) = g(t) * h(t)$$



Example:

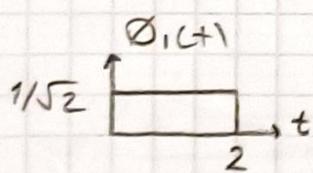


construct signal space diagram  
m=4 N=?



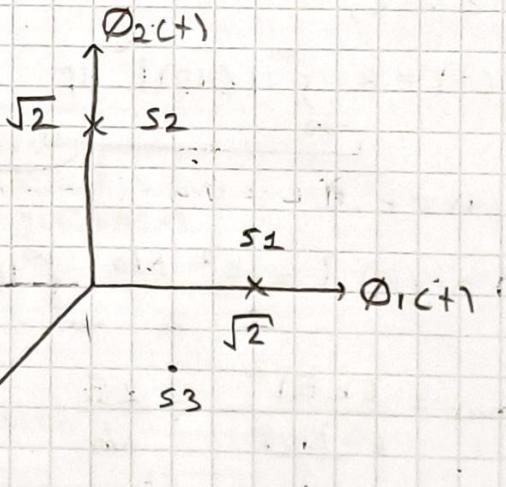
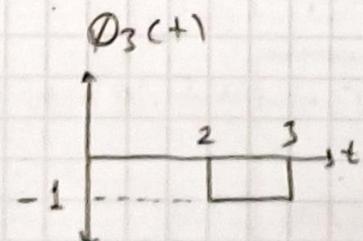
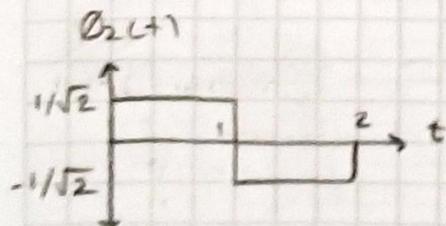
$$\varepsilon_1 = 2 \quad \phi_1(t) = \frac{s_1(t)}{\sqrt{\varepsilon_1}}$$

$$c_{21} = \int_0^2 s_2(t) \phi_1(t) dt = 0$$



$$\varepsilon_2 = 2$$

$$\phi_2(t) = \frac{s_2(t)}{\sqrt{\varepsilon_2}}$$



$$c_{31} = \sqrt{2}$$

$$c_{32} = 0$$

$$\phi_3(t) = s_3(t) - c_{31} \phi_1(t) = \begin{cases} -1 & 2 \leq t \leq 3 \\ 0 & \text{else} \end{cases} \quad \phi_3(t) = \phi_3(t)$$

$$\begin{aligned} c_{41} &= -\sqrt{2} & 0 \leq t \leq 2 \\ c_{42} &= 0 \\ c_{43} &= 1 & 2 \leq t \leq 3 \end{aligned}$$

#### 4. Probability of Error due to Noise

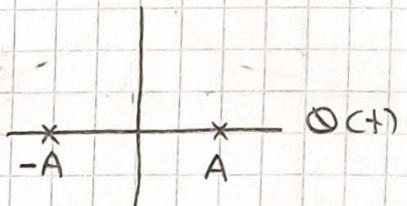
- Use polar NRZ signaling

bit 1  $\rightarrow +A$  } baseband  
 bit 0  $\rightarrow -A$  } pulse amplitude mod.

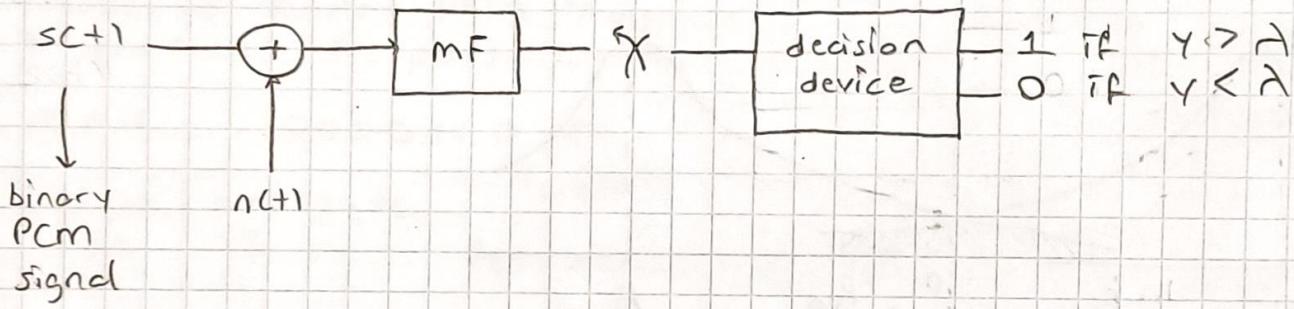
$$x(t) = \begin{cases} +A + w(t) & \text{if bit 1 is sent} \\ -A + w(t) & \text{if bit 0 is sent} \end{cases}$$

↓  
received signal

signal spec



- For a given noisy  $x(t)$ , the receiver makes a decision in each signaling interval to decide whether the transmitted bit is 1 or 0.



- 1101  
 1111  $P_b = 1/4$  (error probability)

There are two possibilities for errors:

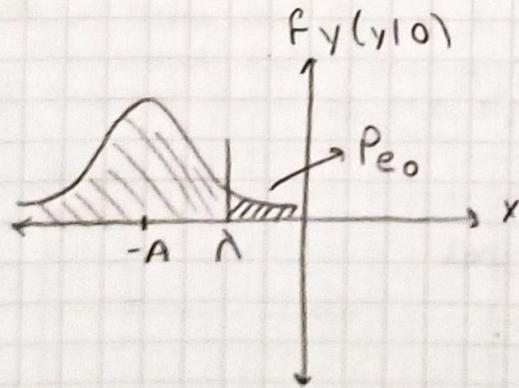
1. Symbol 1 is chosen when 0 was transmitted
2. else

$$x(t) = -A + w(t) \quad \text{bit 0} \quad 0 \leq t \leq T_b$$

$$y(t) = -A + \frac{1}{T_b} \int_0^{T_b} w(t) dt \Rightarrow \text{filtered noise}$$

(random variable)

$$\sigma_y^2 = ? \quad (\text{y is gaussian dist. with mean } -A, \text{ variance } \sigma_y^2)$$



$$\sigma_y^2 = E\{(y+A)^2\} = \frac{1}{T_b^2} \int_0^{T_b} \int_0^{T_b} E(w(t)w(v)) dt dv$$

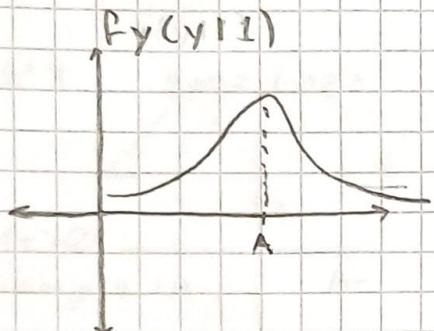
$R_{ww}(t, v)$

$$\begin{aligned} \text{white noise autocorrelation function} \\ = \frac{N_0}{2} g(t-u) \end{aligned}$$

$$f_y(y|0) = \frac{1}{\sqrt{\pi N_0/T_b}} \exp\left(-\frac{(y+A)^2}{N_0/T_b}\right)$$

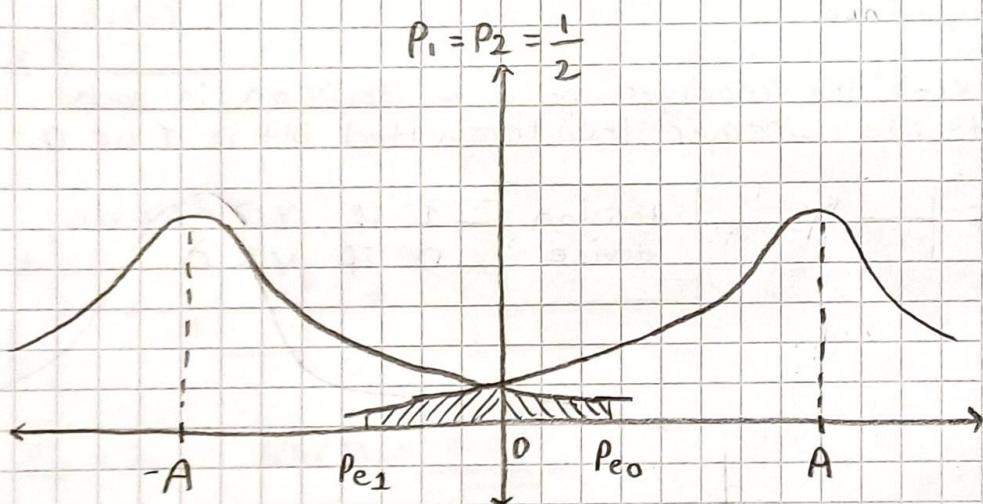
$$\sigma_y^2 = \frac{N_0}{2Tb}$$

$$P_{eo} = P(y > A) = \int_A^{\infty} f_y(y|0) dy \quad (\text{error prob. of bit } 0)$$



\* Bununla ilgili recitation sorusu var. Sinanda da silcebilir

$$w\left(A, \frac{N_0}{2Tb}\right)$$



$$P_e = \frac{1}{2} P_{e0} + \frac{1}{2} P_{e1} \Rightarrow P_{e0} = P_{e1}$$

$$P_{e0} = \int_{-\infty}^{\infty} f_y(y|0) dy$$

$$P_1 \neq P_2 \quad \lambda \neq 0$$

$$P_{e0} = \frac{1}{\sqrt{\pi N_0 / Tb}} \int_0^{\infty} \exp\left(-\frac{(y+A)^2}{N_0 / Tb}\right) dy$$

$$z = \frac{y+A}{\sqrt{N_0 / 2Tb}}$$

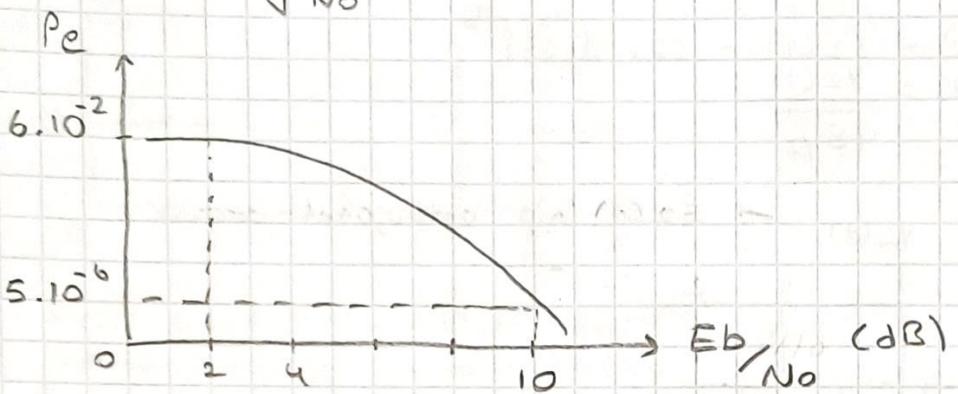
$$P_{e0} = \frac{1}{\sqrt{2\pi}} \int_{\sqrt{2Eb/N_0}}^{\infty} \exp\left(-\frac{z^2}{2}\right) dz$$

Q-function:

$$Q(u) = \frac{1}{\sqrt{2\pi}} \int_u^{\infty} \exp\left(-\frac{z^2}{2}\right) dz$$

$$Eb = A^2 Tb \Rightarrow \text{energy of transmitted signal}$$

$$P_e = Q\left(\sqrt{\frac{2E_b}{N_0}}\right) \quad \text{fig 8.7}$$

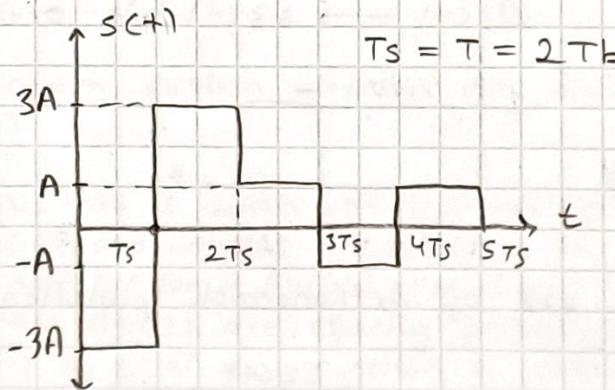


- Theoretical Result
- Monte Carlo Result  
 $10^6$  bits

Baseband M-Ary PAM Transmission  
 $\Rightarrow$  produces M possible amplitude levels

$$M = 4, \quad 0010110111$$

Digit	amplitude
00	-3
01	-1
11	+1
10	+3



$$R_s = \frac{1}{T_s}$$

$$R_b = k R_s \quad \text{for a given BW}$$

$$B_T = \frac{1}{T_s} \quad (\text{resources : BW, power})$$