

# Interpreting, Training, and Distilling Seq2Seq Models

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(with Yoon Kim, Sam Wiseman, Yuntian Deng, Allen Schmaltz, Hendrik Strobelt)



at



## Sequence-to-Sequence

- Machine Translation (Kalchbrenner and Blunsom, 2013; Sutskever et al., 2014; Cho et al., 2014; Bahdanau et al., 2014; Luong et al., 2015)
- Question Answering (Hermann et al., 2015)
- Conversation (Vinyals and Le, 2015) (Serban et al., 2016)
- Parsing (Vinyals et al., 2014)
- Argument Generation (Wang and Yang, 2015)
- Sentence Compression (Filippova et al., 2015)
- Speech (Chorowski et al., 2015)
- Summarization (Rush et al., 2015)
- Caption Generation (Karpathy and Li, 2015; Xu et al., 2015)
- Video-to-Text (Venugopalan et al., 2015)

What's ML aspects have defined NLP problems?

① Large, discrete input state spaces.

- Vocabulary sizes in 10,000 – 100,000

② Long-term dependencies

- *Sasha is giving a talk today at IBM, . . . , he is excited.*

③ Variable-length output spaces

- e.g. sentences, documents, conversations

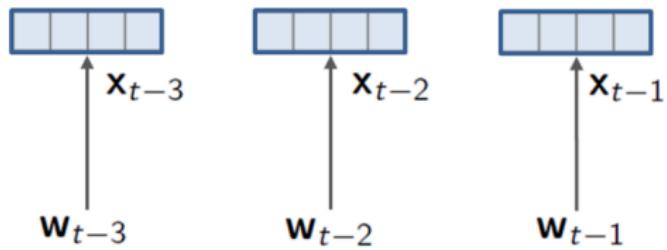
## Seq2Seq Neural Network Toolbox

Embeddings      sparse features       $\Rightarrow$       dense features

RNNs      feature sequences       $\Rightarrow$       dense features

Softmax      dense features       $\Rightarrow$       discrete predictions

Embeddings      sparse features     $\Rightarrow$     dense features



police

March

expected

group

state

made

network

city

group

first

author

percent

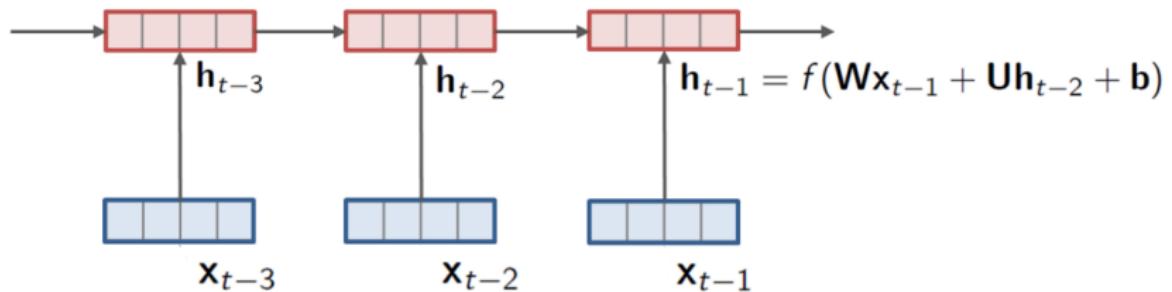
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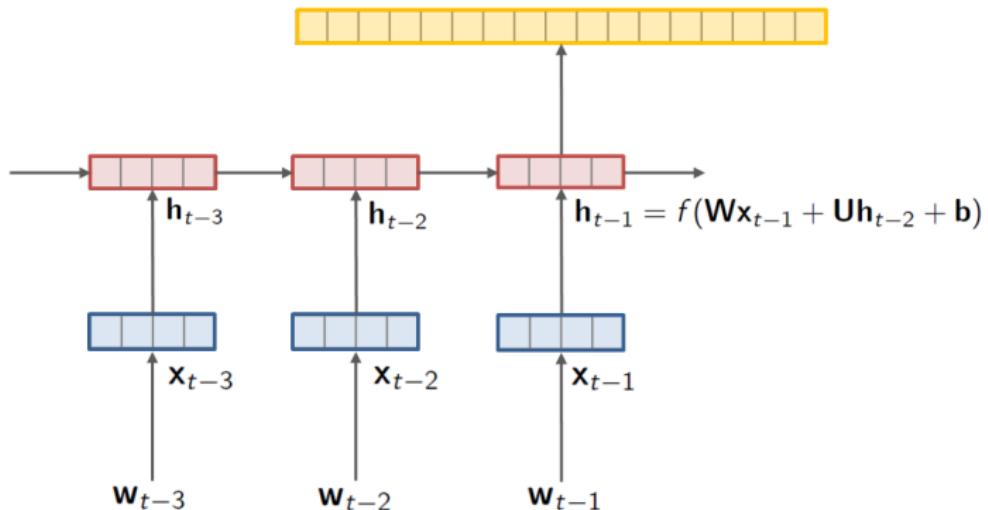
will

police

RNNs/LSTMs      feature sequences     $\Rightarrow$     dense features



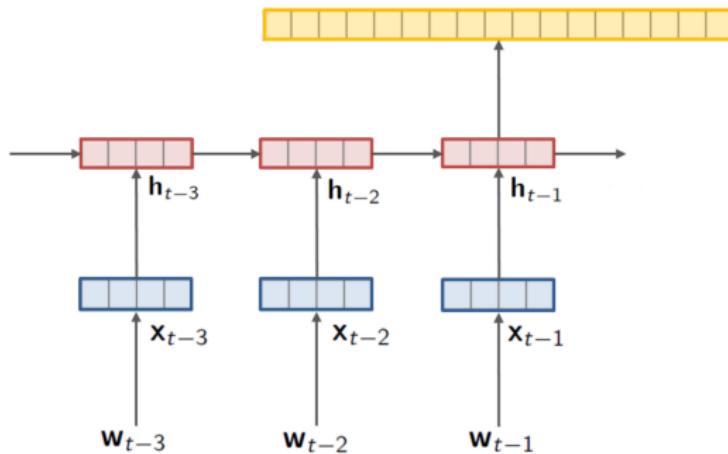
LM/Softmax      dense features     $\Rightarrow$     discrete predictions



$$p(\mathbf{w}_t | \mathbf{w}_1, \dots, \mathbf{w}_{t-1}; \theta) = \text{softmax}(\mathbf{W}_{out} \mathbf{h}_{t-1} + \mathbf{b}_{out})$$

$$p(\mathbf{w}_{1:T}) = \prod_t p(\mathbf{w}_t | \mathbf{w}_1, \dots, \mathbf{w}_{t-1})$$

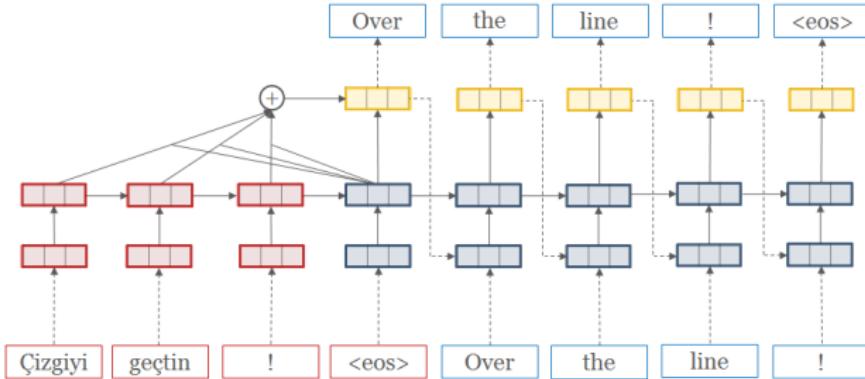
## Contextual Language Model / "seq2seq"



- Key idea, contextual language model based on encoder  $\mathbf{c}$ :

$$p(\mathbf{w}_{1:T} | \mathbf{c}) = \prod_t p(\mathbf{w}_t | \mathbf{w}_1, \dots, \mathbf{w}_{t-1}, \mathbf{c})$$

## Actual Seq2Seq / Encoder-Decoder / Attention-Based Models



- Different encoders, attention mechanisms, input feeding, ...
- Almost all models use LSTMs or other gated RNNs
- Large multi-layer networks necessary for good performance.
  - 4 layer, 1000 hidden dims is common for MT

## Seq2Seq-Attn: (Yoon Kim)

- HarvardNLP's open-source seq2seq/NMT implementation.

### Text Translation

This demo platform allows you to experience Pure Neural™ machine translation based on the last Research community's findings and SYSTRAN's R&D. You can translate up to 2000 characters of text in the languages proposed below. Check out the [information page](#) to learn more.

The screenshot shows a web-based machine translation interface. At the top, there are input fields for "English" and "German", with dropdown menus and a "Filter" button. Below the input fields, two large text boxes show the source text "Translation on the internet" and its German translation "Übersetzung im Internet". To the right of these boxes is a sidebar titled "Showing results for: Translation c". The sidebar lists several search terms with their phonetic transcriptions and definitions:

- translation [traen'zeljen] ✓
  - (↔ interpretation )
  - | english translation
  - | certified translation
  - | French translation
  - | machine translation
- Übersetzung [ü'bərzen] ✓
  - (↔ over )
- on [ɔn] /adv
  - darüber [dɑ:bərə] ✓
    - (↔ over )
  - spät [ʃpæ:t] ✓
    - (↔ late, subsequently )
  - daran [dɑ:ran] ✓
    - (↔ most )
  - danach [dɑ:nɑ:tʃ] ✓
    - (↔ then )

- Used by SYSTRAN to release NMT in 32 language (Crego et al., 2016)

## Seq2Seq Applications: Sentence Summarization (Rush et al., 2015)

### Source

*Russian Defense Minister Ivanov called Sunday for the creation of a joint front for combating global terrorism.*

### Target

*Russia calls for joint front against terrorism.*

- Improved upon by researchers here at IBM (Nallapati et al., 2016)
- Used by Washington Post to suggest headlines (Wang et al., 2016)

## Seq2Seq Applications: Grammar Correction (Schmaltz et al., 2016)

### Source

*There is no a doubt, tracking systems has brought many benefits in this information age .*

### Target

*There is no doubt, tracking systems have brought many benefits in this information age .*

- First-place on BEA 11 grammar correction shared task  
(Daudaravicius et al., 2016)

## Seq2Seq Applications: Im2Markup [In Submission]

$$r = \frac{\sqrt{Q_3}}{l} \sin\left(\frac{l}{\sqrt{Q_3}}u\right),$$

```
r = { \frac{ \sqrt{ Q _{ - \{ 3 \} } } } { l } } \sin \left( \frac{ l }{ \sqrt{ Q _{ - \{ 3 \} } } } u \right)
```

[Latex Example]

## This Talk

- How can we **interpret** these learned hidden representations?
- How should we **train** these style of models?
- How can we **shrink** these models for practical applications?

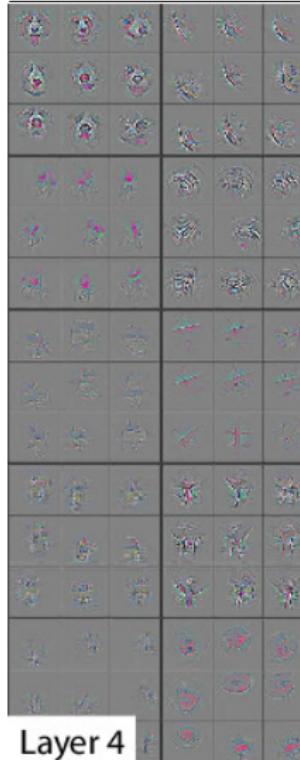
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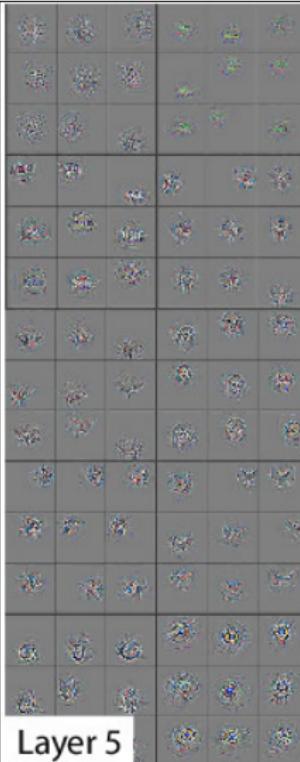
LSTMVis (Future IBMer!)

(Strobelt et al., 2016)

- How should we **train** these style of models? (Wiseman and Rush, 2016)
- How can we **shrink** these models for practical applications? (Kim and Rush, 2016)



Layer 4

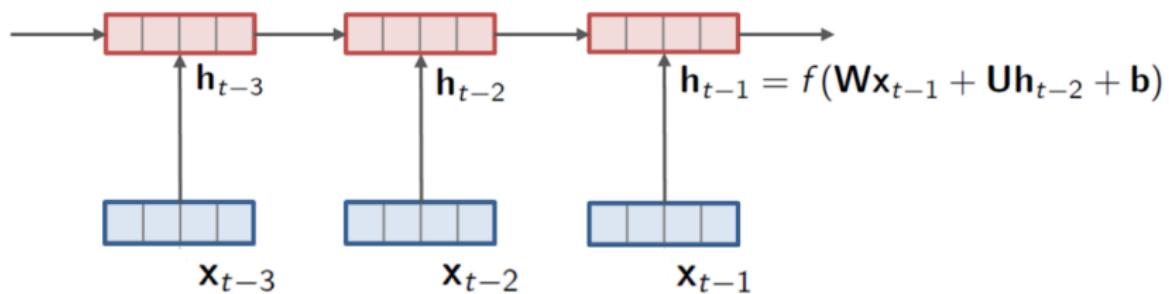
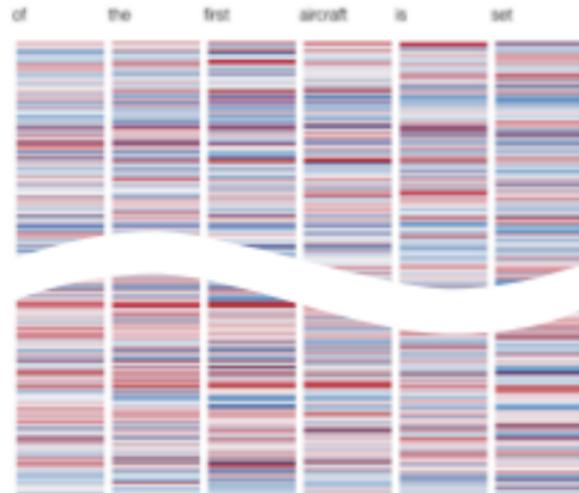


Layer 5



(Zeiler and Fergus, 2014)

# Vector-Space RNN Representation



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(Karpathy et al., 2015)

## Example 1: Synthetic (Finite-State) Language

alphabet: ( ) 0 1 2 3 4

corpus: ( 1 ( 2 ) () ) 0 ( ( ( 3 ) ) 1 )

- Numbers are randomly generated, must match nesting level.
  - Train a predict-next-word language model (decoder-only).

$$p(\mathbf{w}_t | \mathbf{w}_1, \dots, \mathbf{w}_{t-1})$$

## [Parens Example]

## Example 2: Real Language

**alphabet:** all english words

**corpus:** Project Gutenberg Children's books

- Train a predict-next-word language model (decoder-only).

$$p(\mathbf{w}_t | \mathbf{w}_1, \dots, \mathbf{w}_{t-1})$$

[LM Example]

### Example 3: Seq2Seq Encoder

**alphabet:** all english words

**corpus:** Summarization

- Train a full seq2seq model, examine *encoder* LSTM.

[Summarization Example]

## This Talk

- How can we **interpret** these learned hidden representations?  
(Strobelt et al., 2016)
- How should we **train** these style of models?

### Sequence-to-Sequence Learning as Beam-Search Optimization

(Wiseman and Rush, 2016)

- How can we **shrink** these models for practical applications (Kim and Rush, 2016)?

## Some More Seq2Seq **Details**

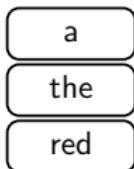
Training Objective: Local Multiclass NLL (for training targets  $y_{1:T}$ )

$$\text{NLL}(\theta) = - \sum_t \log p(\mathbf{w}_t = y_t | \mathbf{w}_{1:t-1} = y_{1:t-1}, \mathbf{c}; \theta)$$

Test Objective: Structured prediction

$$\mathbf{w}_{1:T}^* = \arg \max_{\mathbf{w}_{1:T}} \sum_t \log p(\mathbf{w}_t | \mathbf{w}_{1:t-1}, \mathbf{c}; \theta)$$

## Beam Search Example ( $K = 3$ )



For timesteps  $t$  from 1 to  $T$ :

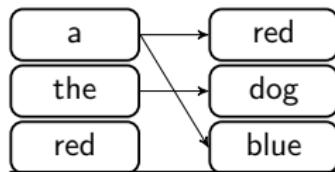
- ① Compute for all  $k, \mathbf{w}_t$

$$s(\mathbf{w}_t, \mathbf{w}_{1:t-1}^{(k)}) \leftarrow \log p(\mathbf{w}_t | \mathbf{w}_{1:t-1}^{(k)}, \mathbf{c}) + \log p(\mathbf{w}_{1:t-1}^{(k)} | \mathbf{c})$$

- ② Replace the  $K$  highest scoring target sequences

$$\mathbf{w}_{1:t}^{(1:K)} \leftarrow K \arg \max_{\mathbf{w}_{1:t}} s(\mathbf{w}_t, \mathbf{w}_{1:t-1}^{(k)})$$

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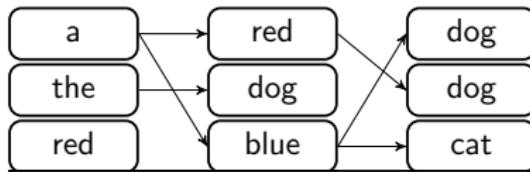
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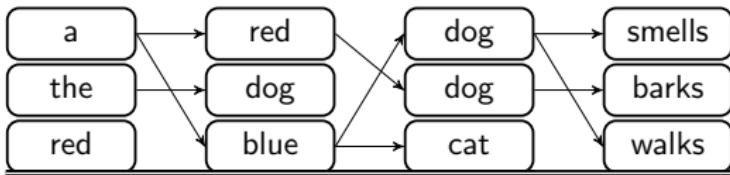
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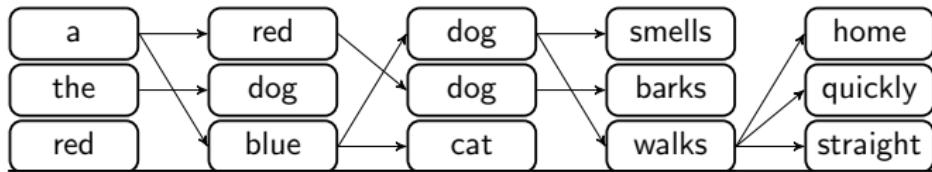
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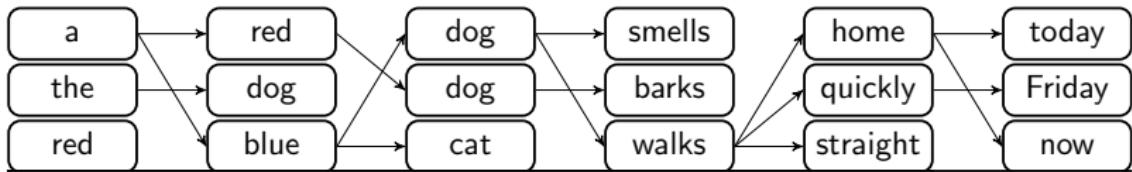
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## Theoretical **Issues** with Standard Setup

- Exposure Bias
  - Training by conditioning on true  $y_{1:t-1}$ ,  
$$p(\mathbf{w}_t = y_t | \mathbf{w}_{1:t-1} = y_{1:t-1}, \mathbf{c}; \theta)$$
- Train/Test Loss Mismatch
  - Training with local NLL, evaluate with hamming-style losses (BLEU)
- Label Bias (Lafferty et al., 2001)
  - Locally normalized models have known pathological issues

## Related Work:

- Data as Demonstrator (Venkatraman et al., 2015)
- Scheduled Sampling (Bengio et al., 2015)

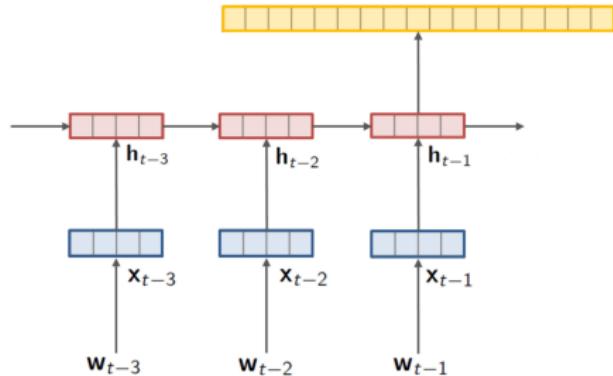
## Explicit Reinforcement Learning

- MIXER (Ranzato et al., 2016)
- Actor-Critic (Bahdanau et al., 2016)

## This Work: Seq2Seq Learning as Beam Search Optimization

- (Idea 1) Replace local softmax with sequence score  $f$
- (Idea 2) Run beam search during training time
- (Idea 3) Train with cost-sensitive margin

(Idea 1) Replace local softmax with sequence scorer  $f$



Normalized (Softmax)

Unnormalized

$$\log p(\mathbf{w}_t | \mathbf{w}_{1:t-1}^{(k)}, \mathbf{c}; \theta) \quad \Rightarrow \quad f(\mathbf{w}_t, \mathbf{w}_{1:t-1}^{(k)}, \mathbf{c}; \theta)$$

- Targets Label Bias

(Idea 2) Run beam search during training

- ➊ For timesteps  $t$  from 1 to  $T$ :

- ➊ Compute for all  $k, \mathbf{w}_t$

$$s(\mathbf{w}_t, \mathbf{w}_{1:t-1}^{(k)}) \leftarrow \log p(\mathbf{w}_t | \mathbf{w}_{1:t-1}^{(k)}, \mathbf{c}; \theta) + \log p(\mathbf{w}_{1:t-1}^{(k)} | \mathbf{c}; \theta)$$

- ➋ Replace the  $K$  highest scoring target sequences

$$\mathbf{w}_{1:t}^{(1:K)} \leftarrow K \arg \max_{\mathbf{w}_{1:t}} s(\mathbf{w}_t, \mathbf{w}_{1:t-1}^{(k)})$$

- Targets Exposure Bias

(Idea 2) Run beam search during training

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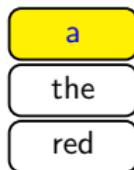
### (Idea 3) Train with cost-sensitive margin

Objective: Margin between target seq  $y$  and last seq on beam  $\mathbf{w}^{(K)}$

$$\mathcal{L}(\theta) = \sum_t \Delta(y_{1:t}, \mathbf{w}_{1:t}^K) \left[ 1 - f(y_t, y_{1:t-1}, \mathbf{c}) + f(\mathbf{w}_t^{(K)}, \mathbf{w}_{1:t-1}^{(K)}, \mathbf{c}) \right]$$

- Slack-rescaled, margin-based sequence criterion, at each time step.
- When violation occurs, target replaces current beam (learning as search optimization (Daumé III and Marcu, 2005))
- Cost-sensitivity targets Train/Test Mismatch

## Beam Search Optimization Example ( $K = 3$ )

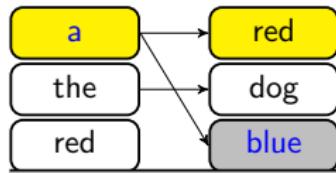


- Color Gold: target sequence  $y$
- Color Gray: violating sequence  $\mathbf{w}^{(K)}$

### Violation Criterion

$$\mathcal{L}(\theta) = \sum_t \Delta(y_{1:t}, \mathbf{w}_{1:t}^K) \left[ 1 - f(y_t, y_{1:t-1}, \mathbf{c}) + f(\mathbf{w}_t^{(K)}, \mathbf{w}_{1:t-1}^{(K)}, \mathbf{c}) \right]$$

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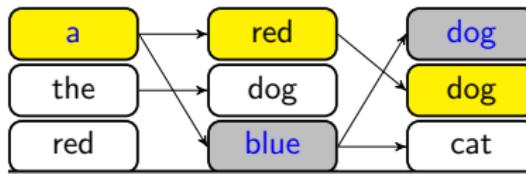


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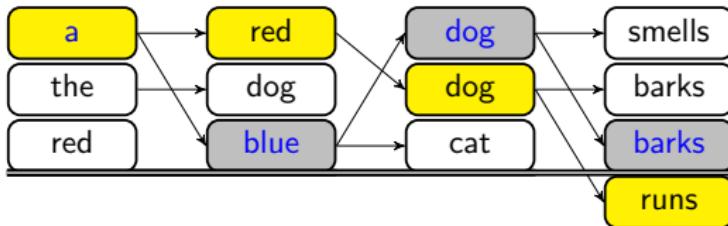


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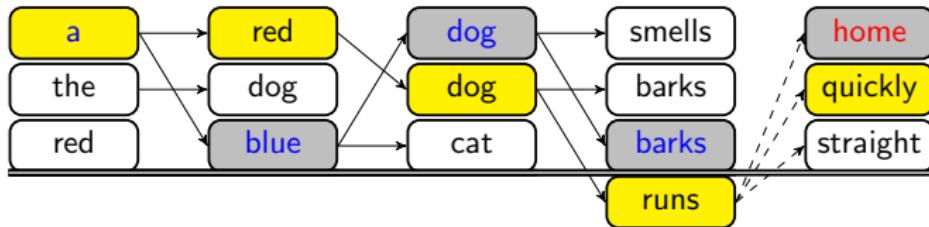


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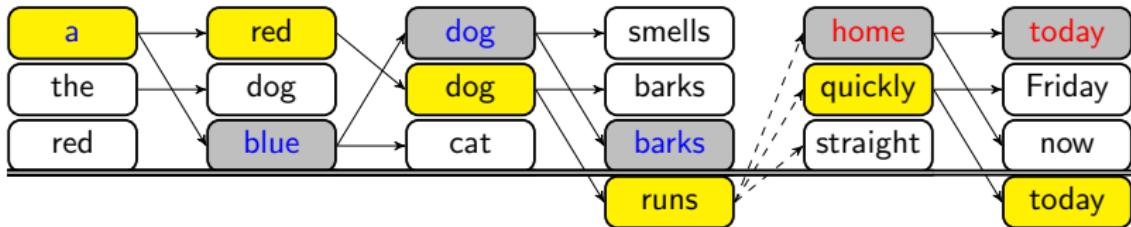


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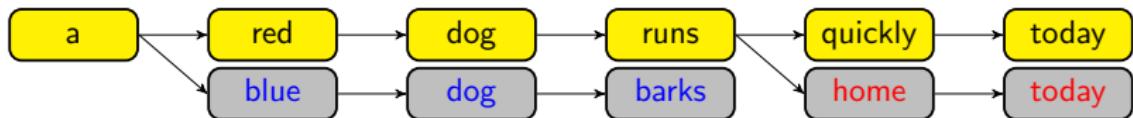
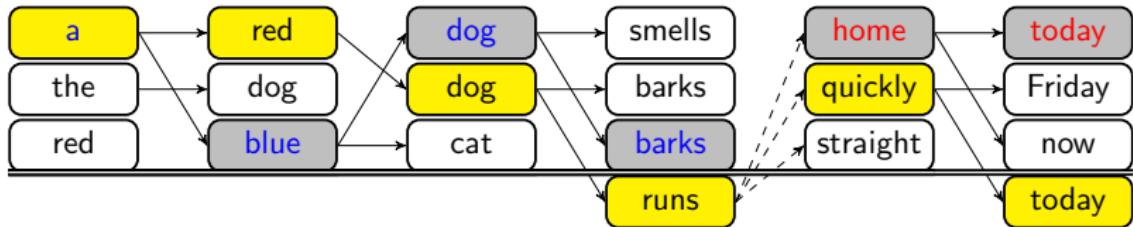


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## Backpropagation over Structure



- Margin gradients are sparse, only violating sequences get updates.
- Backprop only requires 2x time as standard methods.

## Experiments

Experiments run on three small seq2seq baseline tasks

- Word Ordering (PTB, Liu et al, 15)
- Dependency Parsing (Stanford, setup as Chen and Manning, 14)
- Machine Translation (IWSLT 2014, DE-EN)

Details:

- Utilize our *seq2seq-attn* strong attention-based system
- Pretrained with NLL.
- Trained with a curriculum to gradually increase beam size.
- Additionally include BSO-Con with training-time constraints.
- All models trained with  $K = 6$

	$K_e = 1$	$K_e = 5$	$K_e = 10$
Word Ordering (BLEU)			
seq2seq	25.2	29.8	31.0
BSO	28.0	33.2	34.3
BSO-Con	<b>28.6</b>	<b>34.3</b>	<b>34.5</b>
Dependency Parsing (UAS/LAS)			
seq2seq	<b>87.33/82.26</b>	88.53/84.16	88.66/84.33
BSO	86.91/82.11	91.00/ <b>87.18</b>	91.17/ <b>87.41</b>
BSO-Con	85.11/79.32	<b>91.25</b> /86.92	<b>91.57</b> /87.26
Machine Translation (BLEU)			
seq2seq	22.53	24.03	23.87
BSO, SB- $\Delta$ , $K_t=6$	<b>23.83</b>	<b>26.36</b>	<b>25.48</b>
XENT	17.74	$\leq 20.5$	$\leq 20.5$
DAD	20.12	$\leq 22.5$	$\leq 23.0$
MIXER	20.73	-	$\leq 22.0$

## This Talk

- How can we **interpret** these learned hidden representations?  
(Strobelt et al., 2016)
- How should we **train** these style of models? (Wiseman and Rush, 2016)
- How can we **shrink** these models for practical applications?

Sequence-Level Knowledge Distillation

(Kim and Rush, 2016)

## Issues

- Seq2Seq Models are really big
- Beam search can be quite slow

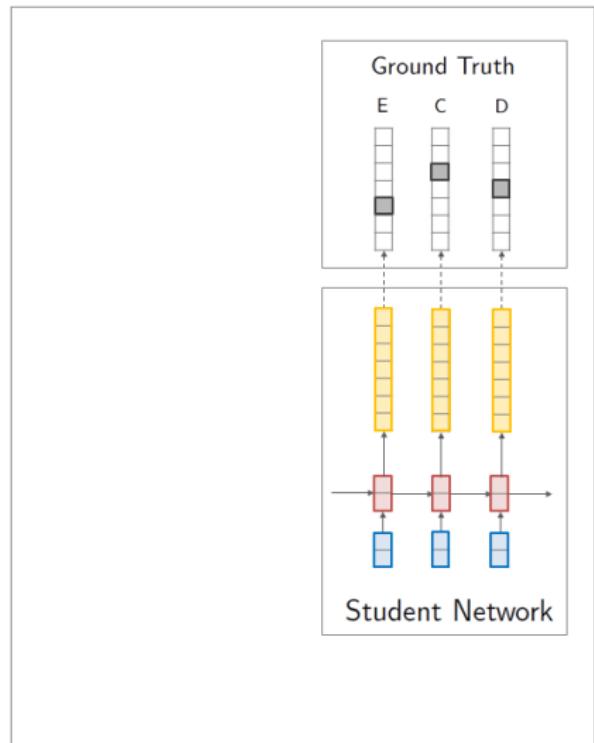
### Related Work: Compressing Deep Models

- **Pruning:** Prune weights based on importance criterion (LeCun et al., 1990; Han et al., 2016)
- **Knowledge Distillation:** Train a *student* model to learn from a *teacher* model (Bucila et al., 2006; Ba and Caruana, 2014; Hinton et al., 2015).
- Compressing NMT (See et al., 2016)

## Baseline Model

Standard model minimize NLL( $\theta$ ):

$$-\sum_t \log p(\mathbf{w}_t = y_t | \mathbf{w}_{1:t-1}, \mathbf{c}; \theta)$$

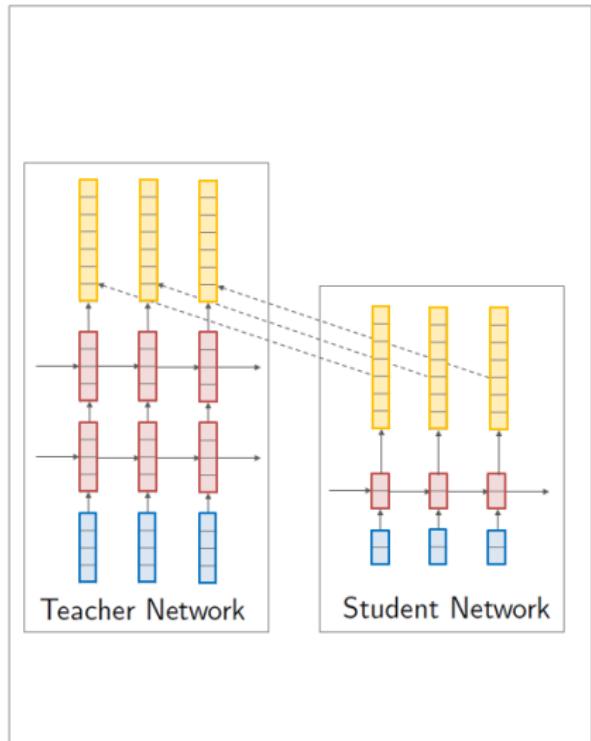


## (Word-Level) Knowledge Distillation

Teacher network:  $q(\mathbf{w}_t | \mathbf{w}_{1:t-1}, \mathbf{c}; \theta_T)$

Minimize cross-entropy with teacher

$$-\sum_t \sum_v q(\mathbf{w}_t = v | \mathbf{w}_{1:t-1}, \mathbf{c}; \theta_T) \times \log p(\mathbf{w}_t = v | \mathbf{w}_{1:t-1}, \mathbf{c}; \theta)$$



## This Work: Sequence-Level Knowledge Distillation

Instead of word NLL,

$$-\sum_t \sum_v q(\mathbf{w}_t = v \mid \mathbf{w}_{1:t-1}, \mathbf{c}; \theta_T) \times \log p(\mathbf{w}_t = v \mid \mathbf{w}_{1:t-1}, \mathbf{c}; \theta)$$

Minimize cross-entropy between  $q$  and  $p$  implied sequence-distributions

$$-\sum_{\mathbf{w}_{1:T}} q(\mathbf{w}_{1:T} | \mathbf{c}; \theta_T) \times \log p(\mathbf{w}_{1:T} | \mathbf{c}; \theta)$$

## A Simple Approximation

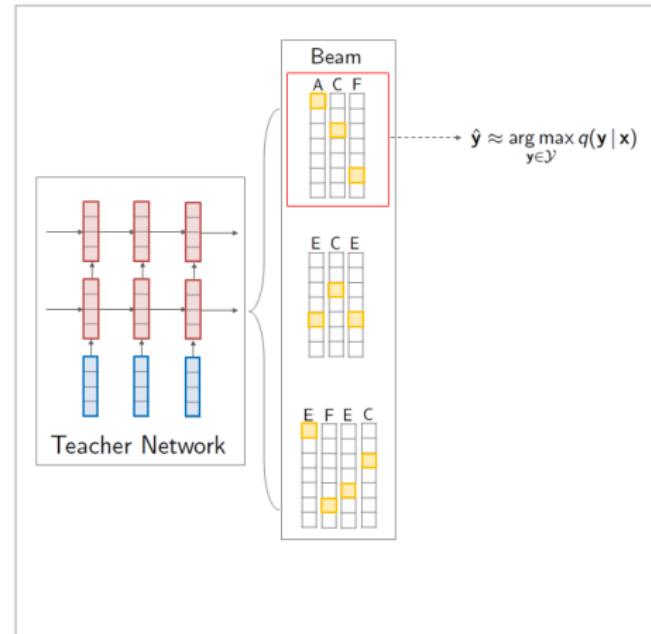
Approximate  $q(\mathbf{w}_{1:T} | \mathbf{c})$  with mode

$$q(\mathbf{w}_{1:T} | \mathbf{c}) \approx \mathbf{1}\{\arg \max_{\mathbf{w}} q(\mathbf{w}_{1:T} | \mathbf{c})\}$$

Roughly obtained with beam search

$$\mathbf{w}_{1:T}^* \approx \arg \max_{\mathbf{w}_{1:T}} q(\mathbf{w}_{1:T} | \mathbf{c})$$

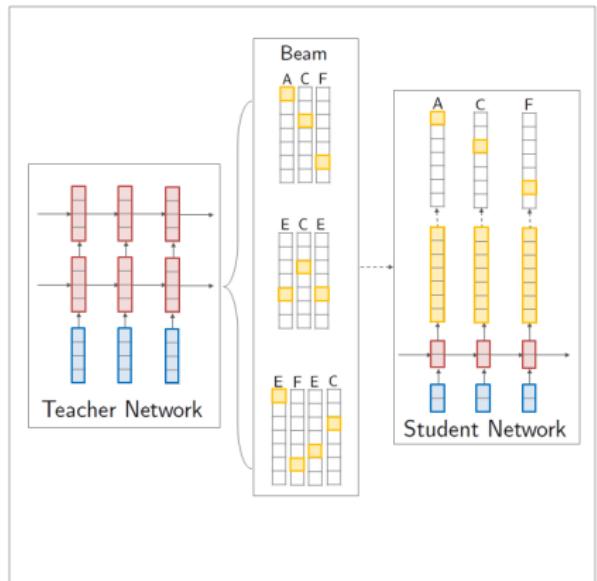
Empirically, point estimate captures significant mass



## Sequence-Level Knowledge Distillation

Simple Model: train student on  
 $w^*$  with NLL

Local updating (Liang et al., 2006)



## Results: English → German

Model	$\text{BLEU}_{K=1}$	$\Delta_{K=1}$	$\text{BLEU}_{K=5}$	$\Delta_{K=5}$	PPL	$p(\mathbf{w}^*)$
$4 \times 1000$						
Teacher	17.7	—	19.5	—	6.7	1.3%
Seq-Inter	19.6	+1.9	19.8	+0.3	10.4	8.2%
$2 \times 500$						
Student	14.7	—	17.6	—	8.2	0.9%
Word-KD	15.4	+0.7	17.7	+0.1	8.0	1.0%
Seq-KD	18.9	<b>+4.2</b>	19.0	+1.4	22.7	16.9%
Seq-Inter	18.9	<b>+4.2</b>	19.3	<b>+1.7</b>	15.8	7.6%

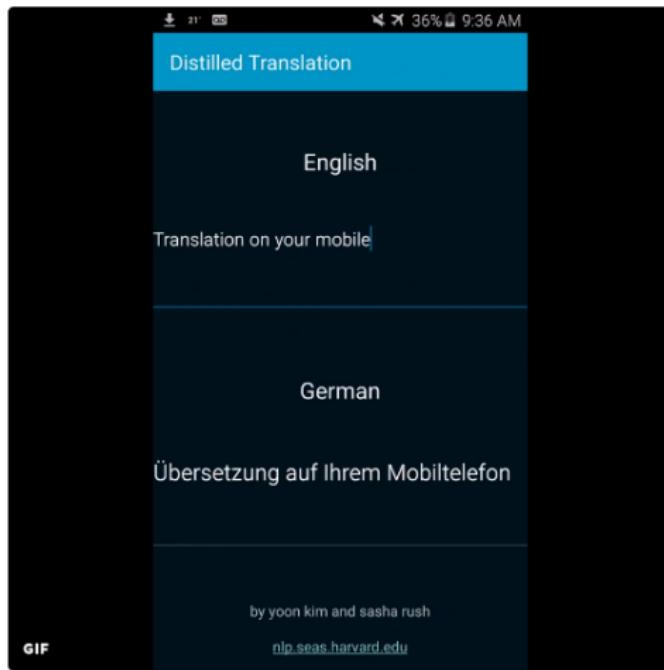
## Combining Knowledge Distillation and Pruning (See et al., 2016)

Model	Prune %	Params	BLEU	Ratio
$4 \times 1000$	0%	221 m	19.5	1×
$2 \times 500$	0%	84 m	19.3	3×
$2 \times 500$	50%	42 m	19.3	5×
$2 \times 500$	80%	17 m	19.1	13×
$2 \times 500$	85%	13 m	18.8	18×
$2 \times 500$	90%	8 m	18.5	26×



harvardnlp  
@harvardnlp

Seq KD ([arxiv.org/abs/1606.07947](https://arxiv.org/abs/1606.07947)): learn small  
LSTMs for fast translation. Runs on a phone  
([nlp.seas.harvard.edu/translation.apk](http://nlp.seas.harvard.edu/translation.apk))



# Thank You



## Graduate Students



Sebastian  
Gehrmann



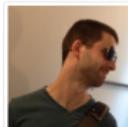
Yoon Kim



Victoria  
Krakovna



Allen  
Schmaltz



Sam Wiseman

## Undergraduate Researchers



Jeffrey Ling



Keyon Vafa



Alex Wang



Mike Zhai

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