Database Management Systems INFO 210

NoSQL – Recent developments in database research and application Lecture 14

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Notes

This slides are provided from Prof. Dr. Reinhard Pichler from the Institute of Logic and Computation, Databases & Artificial Intelligence Group, TU Vienna.

The content is not completely representing all aspects of today's database research and applications but at least the main streams

References

- Textbooks:
 - Pramod J. Sadalage and Martin Fowler: NoSQL Distilled. Addison-Wesley 2013
 - Lena Wiese: Advanced Data Management for SQL, NoSQL, Cloud and Distributed Databases. de Gruyter, 2015
- Scientific Articles:
 - Felix Gessert, Wolfram Wingerath, Steffen Friedrich, Norbert Ritter: NoSQL database systems: a survey and decision guidance. Computer Science - R&D 32(3-4): 353-365 (2017).

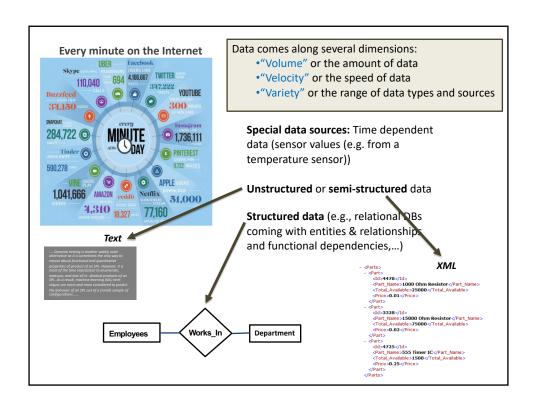
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References (cont.)

- Further Scientific Articles:
 - ➤ Eric A. Brewer: CAP twelve years later: How the "rules" have changed. IEEE Computer 45(2): 23-29 (2012)
 - Daniel Abadi: Consistency Tradeoffs in Modern Distributed Database System Design: CAP is Only Part of the Story. IEEE Computer 45(2): 37-42 (2012)
 - ▶ Dan Pritchett: BASE: An ACID Alternative. ACM Queue 6(3): 48-55 (2008)
- Copyright of all figures is with one of these resources.

Contents

- Motivation
- Four Categories of NoSQL Systems
- Further Aspects of Data Modelling
- Document Stores
- MongoDB Primer



Relational DBMSs

- Strengths:
 - Strong formal foundation (logic, relational algebra)
 - Standard data model and query language (SQL)
 - Concurrency: support multi-user access; transactions
 - Data integration: store data of many applications in one database
- Previous alternative proposals:
 - XML Stores, Object-Oriented DBMSs
 - Have been integrated into RDBMSs and complement/extend now their functionality

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ACID property of Relational DBMS

- Transactions have the following four properties:
 - Atomicity Either a transaction completes or nothing happens at all.
 - Consistency A transaction must start in a consistent state and leave the system in a consistent state.
 - Isolation Intermediate results of a transaction are not visible outside the current transaction.
 - Durability Once a transaction was committed, the effects are persistent, even after a system failure.

Limitations of Relational DBMSs

- Schema: must be known before any data can be added
- Data structure:
 - RDBMS are designed for structured data; limited support for unstructured data (text, pictures/videos)
 - Requires horizontal and vertical homogeneity of data (same structure per row, same type per column).
 - > Data belonging to an entity is split into several tables
 - ➤ Impedance Mismatch: relational model vs. in-memory data structures (e.g.: OO, nested structures)
- Cost:
 - major vendors: closed source with strict licensing fees
 - usual licensing model: pay per user / computing node

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Time Consumption in RDBMSs

- M. Stonebraker et al. have found that in a typical RDBMS, only 6.8% of the execution time is spent on "useful work".
- The rest is spent on:
 - buffer management (34.6%): disk I/O
 - locking (16.3%): to control concurrency
 - ➤ latching (14.2%): to protect shared data structures from race conditions caused by multi-threading
 - logging (11.9%): to guarantee durability
 - > other code parts (16.2%)
- Conclusion: the end of the "one-size-fits-all" era

Reference: Michael Stonebraker, et al.: The End of an Architectural Era (It's Time for a Complete Rewrite). VLDB 2007: 1150-1160

New Data Management Challenges

- Volume: processing "big data"
- Complex data structures:
 - Nested structures
 - Complex relationships (graph structure)
- Schema Independence / Schema Evolution:
 - > to facilitate integration of data from various sources
 - > coping with changing structure of the data
 - > allow self-descriptiveness
- Sparseness:
 - > Many data items are unknown or non-existent
 - > Would result in many NULLs in relational tables

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Reasons for Emergence of New DB Systems

- Application vs. integration database:
 - Shift from idea of integration DB to application DB, i.e.: each application maintains its own database
 - Data exchange via web services
 - Use rich structure for communication (to reduce number of round trips in the interaction)
 - advantages of application DB: more freedom in data modelling, shift features to application (e.g. security)
- Use of Clusters
 - Scale out to cope with huge amounts of data;
 - RDBMSs are not well-suited for running on clusters.

The Term "NoSQL"

- History:
 - June 2009: meetup in San Francisco for "open-source, distributed, non-relational databases".
 - organized at the time of the Hadoop Summit 2009;
 - search for a Twitter hashtag without many Google hits;
 - NoSQL was chosen
- Some of the Systems presented at this 2009 meetup: https://blog.oskarsson.nu/post/22996140866/nosql-debrief
 - Voldemort, Cassandra, Dynomite, HBase, Hypertable, CouchDB, MongoDB

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The Term "NoSQL" (cont.)

- ❖ NoSQL = "Not only SQL"
- NoSQL as an umbrella term for all those DB systems that share most of the following properties:
 - > open-source, distributed, non-relational
 - schemaless
 - > restricted querying capabilities (e.g., no joins)
 - horizontally scalable; running well on clusters
 - no (or weaker form of) transactions, not guaranteeing ACID properties, use BASE instead

Basically Available, Soft state, Eventual consistency

Pioneering Contributions by Companies

- Early systems:
 - Google: Bigtable (column family store)
 - Amazon: DynamoDB (key-value store)
 - Facebook: Cassandra (column family store), later used by Twitter
 - LinkedIn: Project Voldemort (open-source implementation of Amazon's DynamoDB)
- Characteristics of early systems:
 - > Huge number of users
 - Huge number of reads/writes
 - Non-critical transactional systems (ACID not needed)

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Benefits of NoSQL Database Systems

- High performance:
 - > Handling huge amounts of data
 - Specific optimizations (e.g.: compression in column stores; path queries in graph databases)
- Horizontal scalability:
 - Add nodes to the cluster as load or DB grows
- Schemaless:
 - Simpler storage mechanisms: performance
 - > No schema needed upfront, flexible when data changes
- No (or weaker forms of) transactions:
 - Simpler transaction handling; not blocking
 - Reduced logging requirements

Disadvantages of NoSQL Systems

- No standardized Query Language:
 - Users need to learn a separate query language for each system (even within the same category)
- Weaker form (or no support of) transactions
 - > no ACID support (in particular, consistency)
- Limited support of ensuring integrity:
 - Responsibility for uniqueness constraints, referential integrity, etc. is shifted to the application.

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Four Categories

- Key-value stores:
 - > Stores values indexed by keys
- Document stores:
 - Similar to key-value stores but DBMS can understand the format of the value; typically JSON, XML
- Columnar databases, column family stores:
 - Stores data organized in columns
 - Can be thought of as two-dimensional indexing of values: first by id (like key) then by column name
- Graph Databases:
 - Uses graphs to represent data; vertices represent entities; edges represent (directed) relationships

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Examples of Systems in the Four Categories

See http://nosql-database.org/ (over 200 systems); (see also https://db-engines.com/en/ranking)

- Key-value stores:
 - Riak, Redis, BerkeleyDB, DynamoDB (Amazon); open source versions: Dynomite, Project Voldemort
- Document stores:
 - > MongoDB, CouchDB, Terrastore
- Columnar databases, column family stores:
 - MonetDB, HBase, Cassandra, Hypertable, Accumulo
- Graph Databases:
 - Neo4J, HyperGraphDB, Infinite Graph

Complex Structures

- "Aggregate Orientation":
 - Data organization in RDBMS: by tuples
 - many applications: access to more complex structures
 - aggregate: = collection of objects that we want to treat as a unit, e.g. unit of access to storage, unit of updates, unit of communication
 - > in data modelling: denormalization
 - main goal of NoSQL data modelling: read optimization
- Consequences of aggregate orientation:
 - Well-suited for running on a cluster
 - Consequence for transactions: easy to support atomic manipulation of single aggregate; difficult to support atomic manipulation of several aggregates

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Complex Structures: Example Customer Order name billing Address order payment street Order Item Payment city shipping Address price ccinfo post code billing Address name 22

Complex Structures: Example (cont.)

```
// in customers
{
  "id":1,
  "name":"Martin",
  "billingAddress":[{"city":"Chicago"}]
}

// in orders
{
  "id":99,
  "customerId":1,
  "orderItems":[
  {
    "productId":27,
    "price": 32.45,
    "productName": "NoSQL Distilled"
    }
  ],
  "shippingAddress":[{"city":"Chicago"}]
```

Aggregates in NoSQL Systems

- Key Value Stores:
 - arbitrary structure of values: opaque to DB system; only known to the application.
- Document Stores:
 - > nested structure (XML, JSON) visible to the DB system
- Column-Oriented DBs:
 - Organize columns that are usually accessed together in column families (pioneer: Bigtable)
- Graph Databases:
 - aggregate-ignorant (like RDMBSs)
 - little information stored per entity; most important information lies in the relationships.

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Relationships

Data accesses:

- aggregates help to put together data that is commonly accessed together.
- Relationships between aggregates: usually, not all related data is combined in one aggregate; in particular, applications may have different aggregate boundaries.

* Example:

- Customers vs. orders: one application may access customer data together with order information; other application may access only the orders.
- > Shall we store customers and orders as one aggregate or as separate aggregates?

Relationships (cont.)

- Modelling inter-aggregate relationships:
 - IDs in one aggregate to reference other aggregates
 - ➤ In general, this reference-semantics is not visible to the DB system; however, e.g. Riak allows link information in metadata and supports link-walking
 - Graph DBs are optimized for traversing relationships.
- Challenge with inter-aggregate relationships:
 - how to ensure atomicity of changes to related aggregates (ACID property of transactions in RDMBS)?

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Materialized Views

- Materialized Views in RDBMSs:
 - Lack of aggregate structure in RDBMS: easier to support different ways of accessing the data
 - Views: virtual tables; convenient for encapsulation
 - > Materialized views: views cached on disk
- NoSQL Systems:
 - Typically don't have views but some allow precomputed and cached queries (referred to as materialized views)
- Update strategies:
 - Eagerly: whenever base data is updated
 - Lazily: update materialized views at regular intervals

Schemaless Databases

- Freedom and flexibility in NoSQL Systems:
 - key-value store: arbitrary contents in value
 - document store: arbitrary structure of documents
 - Column-oriented: arbitrary combination of columns
 - flexibility mainly applies to data within an aggregate, not when aggregate boundaries have to be modified.
- "Schemaless":
 - > schema on write (RDBMS) vs. schema on read
 - implicit schema: DB system is ignorant of schema but application programs assume a schema
 - may be difficult to maintain: requires understanding of the schema in the application code.

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Document Stores

Document stores:

- Similar to key-value stores but DBMS can understand the format of the value
- Typical data formats: XML, JSON (JavaScript Object Notation), BSON (binary JSON)
- Allows advanced querying (e.g.: filters on field values) and relationships (references) between data objects
- > Joins usually not supported for performance reasons; consequence: denormalizing data when modelling or combining documents on application level.
- > Atomicity usually (only) of documents guaranteed
- ➤ In this lecture: look at MongoDB

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Introduction to MongoDB

- Open Source
- Data format: stores data in BSON
- ❖ Name: from "humongous ": = enormous, gigantic.
- ❖ Brief History:

2007: started as part of developing cloud computing stack

2009: version 1 of MongoDB released

Constantly extended feature set, e.g.:

- Sharding (v1.6, 2010), Journaling (v1.8, 2011)
- Aggregation framework (v2.2, 2012)
- Document validation (v3.2, 2015)
- Multi-document ACID transactions (v.4.0, 2018)

Typical Use Cases

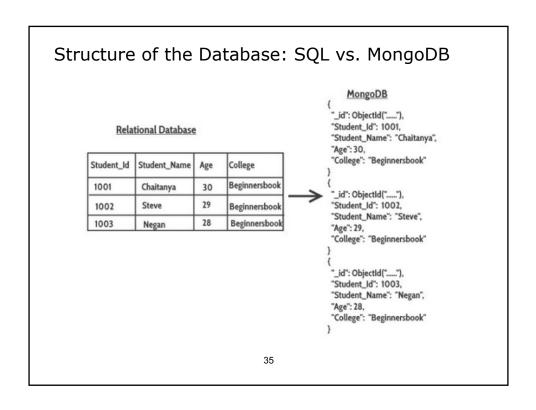
- Log data: rather than storing pure text, extract the various fields from a log file (e.g., host, user, time, path, etc.)
- ❖ e-commerce, e.g.:
 - product catalog
 - > shopping cart
- Inventory management
- Content management:
 - > storing content and metadata of web sites
 - > storing users' comments

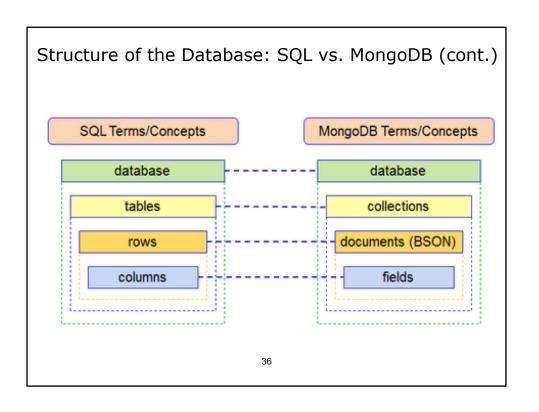
see also https://docs.mongodb.com/ecosystem/use-cases/

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JSON (JavaScript Object Notation),

- Sytnax (cf. www.json.org):
 - JSON object = collection of name/value pairs in { }
 - > the name is a string
 - > the value can be
 - of a simple type: a number, string, true/false
 - an array: = sequence of values in []
 - a nested JSON object: in { }
- * Remark:
 - Two fields with the same name in principle allowed (in particular, also in BSON used by MongoDB)
 - most MongoDB interfaces disallow duplicate names because of the used representation (e.g., hash table)





Data Modelling

Basic principles:

- Keep intended data access in mind
- > aim for atomic reads/writes if possible
- various ways to model 1:n and n:m relationships (choose the most suitable one for expected workload)
- > denormalization is an option
- > respect the max. document size of 16 MB
- use document validation (e.g.: warnings if a document gets an unexpected field: check if this is a bug or a feature of the schema design)
- decide which indexes shall be created

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Modelling 1:n Relationships

Relational model:

- typically 2 tables (one for each of the entities)
- model 1:n relationship with a foreign key

MongoDB: several possibilities:

- two collections with reference (analogous to a foreign key); reference can be _id or some key attribute: recommended for "one-to-millions"
- array of references in the "one-side" document, e.g: array with _ids or order-numbers in customer document recommended for "one-to-thousands"
- embed an array of documents in the other document, e.g.: array of order-documents in a customer document; for "one-to-few"

Modelling 1:n Relationships (cont.)

- Combination of two referencing methods:
 - useful if fast access from "both sides" is required

```
db.person.findOne()
                                    db.tasks.findOne()
                                       _id: ObjectID("ADF9"),
  id: ObjectID("AAF1"),
                                      description: "Write lesson plan",
  name: "Kate Monster",
                                      due date: ISODate("2014-04-01"),
  tasks [
                                      owner: ObjectID("AAF1")
        ObjectID("ADF9"),
                                      // Reference to Person document
        ObjectID("AE02"),
        ObjectID("AE73")
        // etc
  1
                                  39
```

Modelling n:m Relationships

- * Relational model:
 - typically three tables: auxiliary table for the relationship, one table for each entity,
 - > foreign keys from auxiliary table into each of the others
- MongoDB: several possibilities, e.g.:
 - > three collections with references as in relational case
 - references as for 1:n relationship, but from both sides, e.g.: store references to courses in student document (i.e., array of course IDs) and references to students in course document (i.e., array of student IDs).

Denormalization

- Idea:
 - > allow redundancy to avoid joins when querying
 - disadvantage: updates are not atomic anymore
 - > makes most sense for high read-to-write ratio
- Example 1: denormalizing into "1-side"

Denormalization (cont.)

- Example 2: denormalizing into "many-side"
 - > increases the cost of updates yet further
 - > high read-to-write ratio even more important

```
db.parts.findOne()
{ _id : ObjectID('AAAA'),
    partno : '123-aff-456',
    name : '#4 grommet',
    product_name : 'left-handed smoke shifter',
    product_catalog_number: 1234,
    qty: 94,
    cost: 0.94,
    price: 3.99
}
```

Document Validation

- MongoDB allows to specify rules for validating documents:
 - defined for a collection
 - > in the form of a JSON Schema or a query
 - > allows specification of action: error/warning

```
name: {
db.createCollection( "contacts", {
                                                     bsonType: "string",
    validator: { $jsonSchema: {
                                                     description: "string; required"
     bsonType: "object",
     required: [ "phone", "name" ],
     properties: {
                                                }},
      phone: {
       bsonType: "string",
                                                validationAction: "warn"
                                              })
        description: "string; required"
      },
                                         43
```

Replication

MongoDB uses Master-Slave distribution:

- MongoDB allows the definition of replica sets, i.e.: one primary (master) and several secondaries (slaves)
- Writes are only executed at the master and then propagated to the slaves.
- ➤ The secondary (slave) servers are in hot standby, i.e. they keep an up-to-date copy of the data and are ready to take over when the primary server goes down.
- When the secondary servers do not hear from the primary for more than 10 seconds (configurable), they hold an election to vote for a new primary.
- A replica set may also contain an arbiter, i.e.: a node that has a vote in an election but carries no data.

Read Preferences

- MongoDB allows definition of Read preferences to define the read behaviour of a replica set:
 - primary resp. secondary: reads are exclusively executed on primary resp. secondary server;
 - primaryPreferred resp. secondaryPreferred: reads are executed on primary resp. secondary if available;
 - nearest: reads are executed on server which is nearest in terms of network latency.
 - ➤ For a transaction with reads & writes: read preference primary required
- Example: db.getMongo().setReadPref('secondaryPreferred');

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Write Concerns

Write concerns:

- ➤ A write operation is acknowledged in a replica set once the write is acknowledged by the primary server;
- This behavior can be changed by write concerns on different levels (single operation, transaction, session)
- > Values, in particular "majority" or number of servers ("majority" = $\lfloor N/2 \rfloor + 1$ with N = #servers in replica set)
- Example: db.books.insert(

```
{ name: "Mastering MongoDB 4.x", isbn: "1001" }, 
{ writeConcern: {w: 2, wtimeout: 5000} } )
```

write is acknowledged when it is confirmed by 2 servers (i.e., primary plus one secondary). If the timeout of 5000 ms is exceeded, an error is returned.

Read Concerns

- Read concerns:
 - Can be defined for read operations (queries), e.g.: find(), aggregate(), count(), etc.;
 - Values, in particular: "local": current value on the read target, "majority" (most recent value on a majority of the servers).
 - default: "local".
- Example: db.persons.find({ age: 22}). readConcern("majority").maxTimeMS(5000)

read the most recent values from a majority of servers; returns an error, if the timeout of 5000 ms is exceeded.

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Transactions

- Multi-document transactions with ACID properties have been introduced in version 4.0 (2018).
- Atomicity of single document access is guaranteed also without transactions (and recommended for performance).
- Example:

Sharding

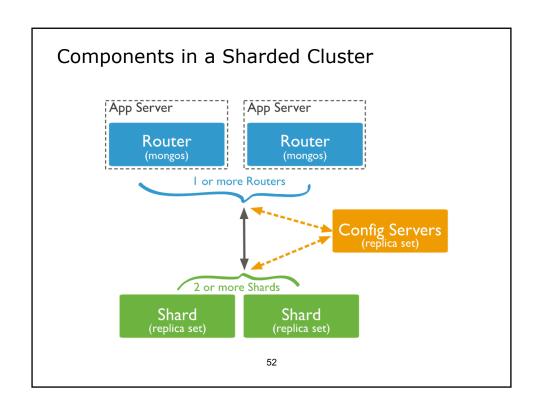
- Sharding is a method for distributing data across multiple machines. MongoDB uses sharding to support deployments with very large data sets and high throughput operations.
- Database systems with large data sets or high throughput applications can challenge the capacity of a single server. For example, high query rates can exhaust the CPU capacity of the server. Working set sizes larger than the system's RAM stress the I/O capacity of disk drives.

Two methods for addressing system growth

- Vertical Scaling involves increasing the capacity of a single server, such as using a more powerful CPU, adding more RAM, or increasing the amount of storage space.
- Horizontal Scaling involves dividing the system dataset and load over multiple servers, adding additional servers to increase capacity as required.

Sharding

- MongoDB supports sharding on collection level (for horizontal scaling)
- ❖ A sharded cluster consists of the following elements:
 - > Two or more shards, which can be replica sets
 - One or more query routers ("mongos")
 - A replica set of config servers (for metadata and configuration data of the entire cluster).
- Sharding is defined via a shard key (= field that exists in every document in the collection to be sharded)
- Sharding Strategies:
 - > Hashed sharding: chunks defined by hash values
 - Ranged sharding: chunks as ranges of shard key values



Advantages of Sharding

· Reads / Writes

MongoDB distributes the read and write workload across the shards in the sharded cluster. For queries that include the shard key or the prefix of a compound shard key, mongos can target the query at a specific shard or set of shards.

Storage Capacity

Sharding **distributes data across the shards** in the cluster, allowing each shard to contain a subset of the total cluster data.

High Availability

A sharded cluster can **continue to perform partial read / write** operations even if one or more shards are unavailable. You can deploy config servers as **replica sets**

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Basic MongoDB Commands (1)

To do this	Run this command	Example
Start server	mongod	mongod
Start shell	mongo	mongo
Show current database	db	db
Select or switch database [1]	use <database name=""></database>	use mydb
Execute a JavaScript file	load(<filename>)</filename>	load (myscript.js)
Display help	help	help
show all databases	show dbs	show dbs
Show all collections in current database	show collections	show collections

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Basic MongoDB Commands (2)

To do this	Run this command	Example
Insert new document in a collection [db.collection.insert(<document>)</document>	db.books.insert({"isbn": 9780, "title": "Alice in Wonderland",})
Insert multiple documents into a collection	db.collection.insertMany([db.books.insertMany([{"isbn": 9780, "title": "Alice in Wonderland",}, {"isbn": 9781, "title":}])
Show all documents in the collection	db.collection.find()	db.books.find()
Filter documents by field value condition	db.collection.find(<query>)</query>	db.books.find({"title":"Alice in Wonderland"})

Basic MongoDB Commands (3)

To do this	Run this command	Example
Show only some fields of matching documents	db.collection.find(<query>, <projection>)</projection></query>	db.books.find({"title":"Alice in Wonderland"}), {title:true, _id:false})
Show first document that matches the query condition	db.collection.findOne(<query>, <projection>)</projection></query>	db.books.findOne({}, {_id:false})
Update specific fields of a single document	db.collection.update(<query>, <update>)</update></query>	db.books.update({title : Alice in Wonderland"}, {\$set : {category :"Fiction"}})
Remove certain fields of a single document the query condition	db.collection.update(<query>, <update>)</update></query>	db.books.update({title: "Alice in Wonderland"}, {\$unset: {author:""}})

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Basic MongoDB Commands (4)

To do this	Run this command	Example
Remove certain fields of all documents that match the query condition	db.collection.update(<query>, <update>, {multi:true})</update></query>	db.books.update({title: "Alice in Wonderland"}, {\$unset: {author:""}}, {multi:true})
Delete all documents matching a query condition	db.collection.remove(<query>)</query>	db.books.remove({"category" :"Fiction"})
Delete all documents in a collection	db.collection.remove({})	db.books.remove({})
Create an index	db.collection.createIndex({indexField:type}) Type 1 means ascending; -1 means descending	db.books.createIndex({title:1})

Basic MongoDB Commands (5)

To do this	Run this command	Example
Create an index on multiple fields (compound index)	db.collection.createIndex({index Field1:type1, indexField2:type2,})	db.books.createIndex({title:1, author:-1})
Show all indexes in a collection	db.collection.getIndexes()	db.books.getIndexes()
Drop an index	db.collection.dropIndex({indexField:type})	db.books.dropIndex({author:-1})
number of documents in the collection	cursor.count()	db.books.find().count()
Display formatted result	cursor.pretty()	db.books.find({}). pretty()

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Next Class

• Summarizing lecture content