Empathy Detection from Text, Audiovisual, Audio or Physiological Signals: A Systematic Review of Task Formulations and Machine Learning Methods

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Abstract—Empathy indicates an individual's ability to understand others. Over the past few years, empathy has drawn attention from various disciplines, including but not limited to Affective Computing, Cognitive Science, and Psychology. Detecting empathy has potential applications in society, healthcare and education. Despite being a broad and overlapping topic, the avenue of empathy detection leveraging Machine Learning remains underexplored from a systematic literature review perspective. We collected 849 papers from 10 well-known academic databases, systematically screened them and analysed the final 82 papers. Our analyses reveal several prominent task formulations – including empathy on localised utterances or overall expressions, unidirectional or parallel empathy, and emotional contagion – in monadic, dyadic and group interactions. Empathy detection methods are summarised based on four input modalities – text, audiovisual, audio and physiological signals – thereby presenting modality-specific network architecture design protocols. We discuss challenges, research gaps and potential applications in the Affective Computing-based *empathy* domain, which can facilitate new avenues of exploration. We further enlist the public availability of datasets and codes. This paper, therefore, provides a structured overview of recent advancements and remaining challenges towards developing a robust empathy detection system that could meaningfully contribute to enhancing human well-being.

Index Terms—Empathy, Empathy Computing, Deep Learning, Machine Learning, Pattern Recognition, Systematic Review

1 Introduction

E MPATHY, an involuntary and vicarious reaction to emotional signals from another individual or their circumstances [1], is essential for effective communication in many aspects of human life, including social dynamics [2], healthcare [3] and education [4]. Empathy towards other individuals is essential for the survival of our species and contributes significantly to enhancing the quality of life and the depth of social interactions [5], [6]. Research on empathy has been a major topic across various disciplines, including Social Science, Psychology, Neuroscience, Health and, most recently, Computer Science [7], [8]. Although contexts of empathy research vary across disciplines, all agree on its crucial role in human well-being [7]. In Computer Science, a significant body of literature deals with operationalising empathy using Machine Learning (ML) tools.

ML-based empathy computing remains underexplored compared to more established domains in Affective Computing, such as emotion and facial expression recognition [9], [10]. Existing reviews on empathy recognition [8], [11]–[15] are fragmented and largely limited to specific contexts. For instance, Paiva *et al.* [8] and Yalcin and DiPaola [11]

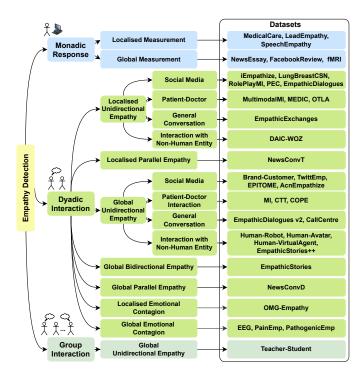


Fig. 1. Hierarchy of empathy detection task formulations and representative datasets.

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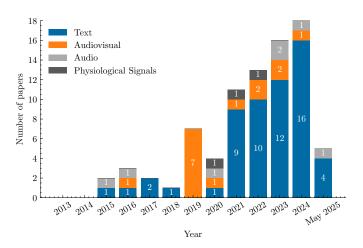


Fig. 2. Growth of ML-based empathy detection literature from 2013 to 2025 (May). There are 57 text-based, 15 audiovisual-based, 7 audio-based and 3 physiological signal-based studies.

focused on artificial agents (published in 2017 and 2018, respectively). In 2022, Park and Whang [12] reviewed empathy in social robots, and Raamkumar and Yang [13] concentrated on empathic response generation in conversational systems. The more recent reviews – Lahnala *et al.* [14] in 2022 and Shetty *et al.* [15] in 2023 – restricted their scope to Natural Language Processing (NLP)-based approaches. In contrast, our work presents the first comprehensive *systematic* literature review of empathy detection across four modalities (text, audio, video and physiological signals) and various interaction contexts (e.g., social robots, healthcare and education). We capture studies up to May 2025, and unlike prior reviews, we screen *all* published works instead of cherry-picking.

Our method adopts relevant aspects of the PRISMA 2020 guidelines [16], which are applicable to typical systematic reviews in Affective Computing. Refer to the *Supplementary Material – PRISMA 2020 Checklist* for a mapping of how each relevant guideline has been considered in our study. We first devised search keywords and examined ten databases, including Scopus, Web of Science and IEEE Xplore. We screened the resulting 849 records against seven inclusion and exclusion criteria. Through rigorous title-and-abstract and full-text screenings, the final 82 papers are thoroughly reviewed in this paper. As shown in Figure 2, the distribution of papers reveals a predominant focus on text-based empathy detection (n = 57), followed by audiovisual (n = 15), audio (n = 7), and physiological signals (n = 3). Refer to Appendix A for the details of our paper selection strategy.

We categorise datasets based on various task formulations found in the literature (Figure 1) and analyse ML methods across four input modalities: text, audiovisual, audio and physiological signals. The overarching objective of this study is to systematically review all ML-based empathy detection works published between 2013 and May 2025. Our main contributions are as follows:

- Systematic categorisation of task formulations in empathy computing.
- Comparative analysis of 45 existing datasets, including their composition and accessibility.

- iii. Survey of modelling approaches, highlighting commonly used ML models and code availability.
- Identification of high-performing methods frequently applied to benchmark datasets.
- v. Synthesis of application domains where empathy detection can be deployed.
- vi. Discussion of key challenges and open opportunities across task types and modelling strategies.

The paper is organised as follows. Section 2 defines empathy and empathy detection based on several seminal works in Psychology. Section 3 introduces various task formulations with a comprehensive overview of representative datasets. Our dataset analysis includes their statistics, annotation protocol and public availability of the whole annotated dataset. Section 4 presents ML models specific to four input modalities – text sequences, audiovisual content, audio signals and physiological signals - where we discuss studies involving the datasets introduced in Section 3. In presenting empathy detection works, we report public availability of the software code, best-performing models and their performance. Both Section 3 and Section 4 end with a consolidating discussion on findings, challenges and opportunities. We present some applications of empathy detection in Section 5 and conclude the paper in Section 6.

2 PRELIMINARIES

2.1 Empathy and Related Concepts

With broad usage across various disciplines, the definition of empathy varies. Cuff et al. [17] reviewed 43 discrete definitions of empathy and identified eight themes related to its nature. Themes include distinguishing empathy from similar concepts and determining whether it is cognitive or affective. The term 'empathy', as defined by Hoffman [1], is predominantly an involuntary and vicarious reaction to emotional signals from another individual or their circumstances. In another work, Hoffman [5] defines empathy as 'an affective response more appropriate to another's situation than one's own'. Empathy is a multifaceted concept that involves perceiving, understanding and sharing the emotional thoughts of others [18]. It can also be defined as a multidimensional concept, such as four-dimensional empathy (perspective taking, fantasy, empathic concern and personal distress) [19], and two-dimensional empathy (empathic concern and personal distress) [20].

Numerous endeavours have been made to disentangle empathy from other similar concepts [17]. Some scholars (e.g., [20], [21]) conceptualise empathy as a comprehensive category including emotional contagion, sympathy and compassion. Empathy is defined as comprehending another's emotions through adopting their perspective; other related psychological states include compathy (feelings shared due to shared circumstances), mimpathy (copying another's emotions without personally experiencing them), sympathy (intentionally responding emotionally), transpathy (emotional contagion, where one becomes 'infected' by another's emotions) and unipathy (an elevated form of emotional contagion) [22], [23]. Despite the inherent ambiguity in defining empathy, scholars such as Ickes [22] and Blair [24] advocated separating these terms. The two most related terms are empathy and sympathy, which can be described as 'feeling *as*' versus 'feeling *for*', respectively [25]. Neuroscientific evidence supports the distinction between empathy and sympathy as they have distinct neural processes [26].

While distinctions in empathy are well-recognised in Psychology, empathy computing literature often overlooks these nuances during dataset collection and labelling. This is likely because the primary focus has been on detecting the *presence* of empathy in any form, typically categorised into levels such as 'not empathic', 'somewhat empathic', and 'very empathic' [27] or as binary classifications like 'empathic' and 'not empathic'. Binary labelling, in particular, is the most prevalent approach in the literature [28]–[31]. Given that empathy computing literature does not always adopt a formal definition of empathy, we chose not to exclude any studies based on their operational definitions of the concept.

Within the domain of empathy, perhaps the two most common forms are cognitive empathy and emotional (also known as affective) empathy [32]. Understanding someone's thoughts and perspective is known as cognitive empathy, whereas vicarious sharing of emotion is known as emotional empathy [32]. Cognitive empathy is closely related to the theory of mind, that is, understanding another person's mental state, such as wants, beliefs and intentions [24]. In other words, cognitive empathy is 'I *understand* what you feel', whereas emotional empathy is 'I *feel* what you feel' [33]. Although most empathy computing studies treat empathy as a single, consolidated construct, Dey and Girju [34] explicitly distinguishes between cognitive and affective empathy in a patient-doctor interaction setup.

Empathy detection differs from emotion detection, although both involve analysing human responses. Emotion detection focuses on recognising an *individual*'s emotional state, such as happiness or sadness [35]. In contrast, empathy detection goes into a deeper analysis of the interactions between *multiple* individuals. It considers the initial emotion expressed by one person and the emotional response of the other, whose empathy is being measured. For example, if someone expresses sadness, empathy detection would analyse how the listener emotionally supports the speaker in response [36].

2.2 Empathy Measurement

In Psychology, questionnaires are widely employed to measure self-reported empathy levels [19]. These instruments typically present participants with statements or scenarios, prompting them to indicate their level of agreement or emotional response. Examples of widely used empathy questionnaires include the Interpersonal Reactivity Index (IRI) [37], the Empathy Quotient [38], Batson's Empathy Scale [20] and the Toronto Empathy Questionnaire [39].

Empathic accuracy is another method of operationalising empathy in Psychology, which assesses how accurately an individual can infer another person's thoughts and emotions. Experimentally, it is often determined by comparing one person's reported thoughts and emotions with their partner's in a dyadic interaction [40].

In Affective Computing, computational methods are developed to objectively measure empathy levels from verbal and non-verbal cues, such as facial expressions, tone of voice

and body language. To achieve this, self-reported empathy levels through psychological questionnaires often provide necessary ground truths for training ML algorithms. Studies that use such self-reported annotations typically align with a specific definition of empathy as defined by the chosen questionnaire. For instance, Batson *et al.* [20]'s definition has been employed for measuring empathy in written essays [30], [41], [42], while the IRI questionnaire [19], [37] has been used in studies such as [43]. However, not all studies explicitly adhere to a specific definition of empathy. For example, datasets like RolePlayMI [44] and PEC adopt heuristic labelling approaches based on the origin of the data rather than examining each sample.

3 Task Formulations in Detecting Empathy

Let X be the input content on which empathy y will be measured. The content can be multimodal, i.e., $X \in \{X^s, X^a, X^v\}$, where X^s, X^a, X^v refer to text, audio, and video sequences, respectively. The empathy label y can be formulated as different levels of empathy, as in a classification problem, or a degree of empathy, as in a regression problem. The content X can consist of N segments X_i , where $i \in [1, N]$. Accordingly, measuring empathy on some segments of the content $X_i = [x_i, x_{i+1}, \cdots, x_{i+m}]$, where $0 \le m < N$, can be interpreted as localised measurement, whereas measuring empathy on the whole content X can be interpreted as global measurement.

A range of experimental setups have been proposed in the literature to define and structure the specific goals for detecting empathy. Firstly, in *Monadic Response*, the focus is on measuring empathy on self-contained, individual responses of a person. Secondly, in *Dyadic Interaction*, empathy is measured from the interactions between two individuals. Expanding beyond dyads, in *Group Interaction*, empathy is measured on multiple individuals engaging in an interaction. Task formulations in each of these setups and corresponding datasets are summarised in the following subsections.

3.1 Monadic Response

Several studies explored monadic response-based empathy computing in either the localised or global manner (Table 1).

3.1.1 Localised Measurement

In detecting empathy at a localised level of monadic responses, Shi et al. [28] proposed MedicalCare dataset, which consists of 774 narrative essays of simulated patient-doctor interactions written by pre-med students. Sentences of the essays were labelled as either 'empathic' or 'non-empathic' by six trained undergraduate students, followed by two meta-annotators. Samples were considered 'empathic' if they displayed cognitive or affective empathy. As an extension of this task formulation, Dey and Girju [34] selected 440 essays from the pool of 774 MedicalCare essays and re-annotated them into four labels: cognitive empathy, affective empathy, prosocial behaviour and non-empathy, hereinafter referred to as the MedicalCare v2 dataset. Dey and Girju [34]'s task formulation, therefore, aims to measure different types of empathy rather than

TABLE 1
Task Formulations and Corresponding Datasets for Empathy Detection from **Monadic Responses**.

| SL | Name | Data | Statistics | Output labela | Anno.b | Public |
|------|--|---|---|---|--------|--------------|
| Loca | alised Measuremen | nt | | | | |
| 1 | MedicalCare [28] Essays on simulated patient-doctor interaction 774 essays | | 774 essays | {0, 1} | T | × |
| 2 | 2 MedicalCare v2 Re-annotation of a subset of MedicalCare dataset [34] | | 440 essays | {Cognitive, Affective, Prosocial, None} | T | × |
| 3 | MedicalCare v3 [29] | Re-annotation of MedicalCare v2 dataset | 440 essays | {0, 1} | T | × |
| 4 | LeadEmpathy [45] | Leaders' email to their subordinates | 770 emails, 385 participants | $\{-4, -3, \dots, 6, 7\}$ | T | \checkmark |
| 5 | | | 346 videos (total 53h) | {0, 1} | T | ✓ |
| Glok | oal Measurement | | | | | |
| 6 | NewsEssay [30] | Written essays in response to news articles | 403 participants, 1,860 essays, 418 articles | $[1.0, 7.0], \{0, 1\}$ | S | ✓ |
| 7 | NewsEssay v2 [41] | Extension of the NewsEssay dataset | 564 participants, 2,655 essays, 418 articles | [1.0, 7.0] | S | ✓ |
| 8 | NewsEssay v3 [42] | New essays based on a subset of articles of NewsEssay dataset | 140 participants, 1,100 essays, 100 news articles | [1.0, 7.0] | S | ✓ |
| 9 | NewsEssay v4 [47] | Extension of NewsEssay dataset | 192 participants, 1,146 essays, 100 news articles | [1.0, 7.0] | S | ✓ |
| 10 | FacebookReview [31] | Comments from Facebook pages | 900 reviews, 48 hospitals | {0, 1} | T | × |
| 11 | fMRI [43] | Resting-state fMRI data from cocaine-dependent subjects | 24 subjects | \mathbb{R} | S | ✓ |

^a Output labels [x, y] and $\{x, ..., y\}$ refer to continuous and discreet labels, respectively. $\{0, 1\}$ means binary labels $\{No \text{ Empathy}, \text{ Empathy}\}$. \mathbb{R} – real number, unspecified in the paper.

different levels of generalised empathy like Shi et al. [28]. As a further extension of the MedicalCare v2 dataset, Dey and Girju [29] formulated an empathy versus non-empathy classification problem by collapsing cognitive, affective and prosocial labels into a single 'empathic' class, hereinafter referred to as the MedicalCare v3 dataset. In this updated dataset, the authors also identified four themes that a health-care provider might express during the interactions: (1) empathic language, (2) medical procedural information, (3) both empathy and information and (4) neither empathy nor information. Such a thematic approach can help healthcare providers communicate effectively, given that they need to empathise as well as deliver procedural information.

LeadEmpathy is another dataset modelling localised measurement, which consists of emails from participants acting as leaders in a business organisation [45]. In the first phase of data collection, each participant wrote an email to their subordinate employees regarding a hypothetical concern that resulted in losing a customer. In the second phase of the experiment, the participants were asked to rewrite their earlier emails to increase empathy. Both emails were considered for empathy detection, and exploratory data analysis supports increased empathy in the second email. The annotation protocol considers empathy success and failure in both cognitive and affective empathy. Segments of emails are annotated into discrete empathy scores ranging from -4 to +7, which facilitates modelling it either as a

classification task or a regression task. In addition, scores of 1 and below can be mapped to 'low' empathy and scores of 2 and higher to 'high' empathy in a binary classification setup [45].

3.1.2 Global Measurement

In predicting empathy on the whole content level, the NewsEssay dataset consists of essays written by Amazon Mechanical Turk participants about news articles involving harm to individuals, groups or nature. In addition to the essays, the dataset consists of participants' demographic information, such as age, gender, income and education level. This dataset has gone through a series of enhancements, serving empathy detection challenges in a conference workshop named Workshop on Computational Approaches to Subjectivity, Sentiment & Social Media Analysis (WASSA)¹. The WASSA 2021 and 2022 challenges use the same NewsEssay v2 dataset [41], whereas the WASSA 2023 challenge release the updated NewsEssay v3 dataset [42], both of which extend from the inaugural NewsEssay dataset [30] by involving new participants in the data collection experiment. The NewsEssay v4 dataset, used in WASSA 2024 challenge, includes samples from the NewsEssay v3 dataset and further extends it with additional data. Empathy labels in these datasets came from the essay writers themselves as they filled in Batson's empathy

1. https://workshop-wassa.github.io/

^b Annotation: S – Self; T – Third party

and distress scale [20]. This scale includes questions related to six empathy-related emotions (sympathetic, compassionate, tender, etc.) and eight personal distress-related emotions (alarmed, upset, worried, etc.). The responses were collected on a 7-point Likert scale, where a value of one and seven means the participant is not feeling the emotion at all and is feeling the emotion extremely, respectively. After averaging the scores across questions, this dataset's ground truth degree of empathy ranges from 1 to 7 for each written essay.

People often leave reviews on products or services through online forums, including for hospitals. A. Rahim *et al.* [31] collected people's reviews on the official Facebook pages of 48 public hospitals. Two hospital quality managers labelled the reviews of this FacebookReview dataset into 'yes' or 'no' empathy. This task formulation aimed to analyse the service quality of the hospitals in addition to other characteristics such as assurance, responsiveness and reliability.

Apart from individuals' expressed contents like written essays, empathy can be measured from physiological signals since these indicate individuals' internal emotional states [48]. The fMRI dataset [43] consists of resting-state functional Magnetic Resonance Imaging (fMRI) data from 24 cocaine-addicted subjects. The subjects filled in the well-known IRI questionnaire [19], [37], which provides continuous empathy scores for empathy assessment.

3.2 Dyadic Interaction

Similar to monadic response-based empathy computing, dyadic interactions are explored both in localised and global measurements. Table 2 and Table 3 categorise datasets derived from such interactions into subcategories based on their task formulations.

3.2.1 Localised Unidirectional Empathy

Localised measurement of unidirectional empathy (i.e., empathy flowing in one direction) has been studied across various contexts Table 2, such as social media, healthcare and general conversations.

3.2.1.1 Social Media: In empathising by one person towards another, several studies leverage dyadic interaction from online forums. For example, the <code>iEmpathize</code> dataset [36] consists of discussion threads from a website named Cancer Survivors Network². Collected from the same website, the <code>LungBreastCSN</code> dataset [49] focuses on lung and breast cancer data. While the <code>iEmpathize</code> dataset aims to detect empathy *direction* (seeking, providing or none), the <code>LungBreastCSN</code> dataset aims to detect the presence of empathy (empathic and non-empathic sentences).

The RolePlayMI dataset [44] consists of counselling conversations from video-sharing platforms, such as YouTube and Vimeo, which were originally collected in a separate study on counselling quality analysis [50]. Wu *et al.* [44] later annotated this dataset into utterance-level empathic and non-empathic categories.

Being a versatile platform, Reddit is often used for analysing data across different topics of interest. The PEC dataset [44], [51] consists of general conversations

2. https://csn.cancer.org/

from three subreddits (forums dedicated to specific topics in Reddit), which are labelled heuristically. Utterances from the 'Happy' and 'OffMyChest' subreddits and the EmpathicDialogues corpus (dyadic conversations regarding any personal situation) [52] are considered empathic labels, whereas samples from the 'CasualConversation' subreddit are considered non-empathic labels.

3.2.1.2 Patient-Doctor Interaction: The MultimodalMI dataset [53], [54] consists of two realworld motivational interviewing sessions: (Dataset 1) students assigned to MI sessions due to alcohol-related matters, and (Dataset 2) volunteering heavy drinkers aged 17–20 years. The therapists' empathy (through a sequence of texts) is annotated primarily through a Likert scale, which is also converted to binary labels (low vs high empathy). This dataset, therefore, allows empathy detection as either a classification problem [54] or as a regression problem [53]. Annotation protocols utilise Motivational Interviewing Skill Code (MISC) 2.5 guidelines [60] for Dataset 1 and Motivational Interviewing Treatment Integrity (MITI) 3.1 code [61] for Dataset 2. The dataset consists of speech transcripts and audio, enabling it to model a multimodal empathy detection problem.

Another multimodal dataset is the MEDIC dataset [55], which consists of video, audio and text sequences of counselling case videos. It evaluates counsellors' empathy through three mechanisms: expression of experience, emotional reaction and cognitive reaction. The 'expression of experience' mechanism aims to measure a client's expression to trigger empathy from a counsellor. In contrast, the 'emotional reaction' and 'cognitive reaction' mechanisms aim to measure the empathy of counsellors. Five trained students annotated the speech turns into none, weak and strong expressions for each mechanism.

Lastly, mental health helpline counsellors' empathy is being measured from telephone conversations in a suicide helpline service named On The Line, Australia (OTLA) [56]. Segments of the audio conversations are annotated by two expert mental health practitioners using the Carkhuff and Truax Empathy scale [62], which is later converted to binary labels (low vs high empathy) for empathy detection.

3.2.1.3 Interaction with Non-Human Entity: The DAIC-WOZ dataset comprises semi-structured interviews between human participants and a virtual agent, which aims to measure the empathy of the virtual agent towards the human participants. The conversations are segmented into small time windows and annotated by third-party annotators into three classes: negative empathy, positive empathy and no empathy [57], [58]. Responses such as 'That sounds really hard' are considered 'negative empathy', whereas no responses, expressed fillers or responses without sentiment are considered 'no empathy'.

3.2.2 Localised Parallel Empathy

In one study covering localised empathy measurements, both persons provide empathy to someone else (parallel empathy), such as two persons conversing and empathising with some disadvantaged people. This NewsConvT dataset [42] consists of dyadic conversations regarding newspaper articles featuring harm to individuals, entities or nature.

TABLE 2
Task Formulations and Corresponding Datasets for Empathy Detection from **Dyadic Interactions (Localised Measurement)**.

| SL | Name | Data | Statistics | Output label ^a | Anno.b | Public |
|------|---------------------------------------|---|--|---------------------------------------|--------|--------------|
| Loca | alised Unidirect | ional Empathy | | | | |
| 1 | iEmpathize [36] | Discussions from online cancer survivors network | 5,007 sentences | {Seeking, Providing, None} | T | ✓ |
| 2 | LungBreastCSN [49] | astCSN Discussions from online cancer survivors 2,107 messages {0, 1} network (lung and breast) | | T | × | |
| 3 | RolePlayMI ^c [44], [50] | Counselling conversations from online video sharing platforms | 253 conversations | {0, 1} | T | ✓ |
| 4 | PEC ^c [44], [51] | General conversations from Reddit | 355K conversations | {0, 1} | T | \checkmark |
| 5 | EmpathicDialogues [44], [52] | Dyadic conversations regarding any personal situation | 810 participants and 24,850 conversations | {0, 1} | T | \checkmark |
| 6 | MultimodalMI [53], [54] | Real-world motivational interviewing psychotherapy sessions | 301 patients, 16 therapists and 301 sessions (each 50–60 minutes) | [0, 1], {High, Low} | T | × |
| 7 | MEDIC [55] | Psychological counselling | 771 video clips (total 11 hours) | {None, Weak, Strong} Expression | T | \checkmark |
| 8 | OTLA [56] | Telephone counselling conversation from On The Line, Australia | 57 calls, 643 segments | {High, Low} | T | × |
| 9 | DAIC-WOZ [57], [58] | Semi-structured interviews with virtual agent | 186 participants and 2,185 conversations | {Negative, Positive, None} | T | × |
| 10 | EmpathicExchanges [59] | Conversation between two people about emotional situations | 4949 samples | {Little to no, Somewhat, Empathic} | T | \checkmark |
| Loca | alised Parallel | Empathy | | | | |
| 11 | NewsConvT [42] | Conversation about news articles | 140 participants and 12,601 speech-turns | [0.0, 5.0] | T | ✓ |
| 12 | NewsConvT v2 [47] | New data similar to NewsConvT | 192 participants and 14,472 speech-turns | [0.0, 5.0] | T | \checkmark |

^a Output labels [x, y] and $\{x, ..., y\}$ refer to continuous and discreet labels, respectively. $\{0, 1\}$ means binary labels $\{No \text{ Empathy}\}$.

^b Annotation: S – Self; T – Third party

Speech turns of the conversations are annotated by independent annotators on a scale of 0 to 5. It is worthwhile to note that the same news articles were used in the NewsEssay v3 dataset, which aims to measure the empathy of individual study participants towards others through written essays. In contrast, the NewsConvT dataset aims to measure the empathy of two persons in the conversations.

3.2.3 Global Unidirectional Empathy

Similar to the localised measurement of unidirectional empathy, global measurement has been explored in various contexts (Table 3), including social media and healthcare.

3.2.3.1 Social Media: Several studies assess empathy globally from social media data – primarily Twitter, Reddit and Facebook – which are annotated by trained annotators. For example, the Brand-Customer dataset [63] consists of Twitter threads about customer service-related queries and corresponding brand responses. The authors [63] aimed to estimate engagement between brands and customers into three categories of empathy: none, weak and strong empathy (of brand agents).

The TwittEmp dataset [64] consists of cancer-related tweets labelled into three categories: seeking, providing and no empathy. In a binary classification setting, the 'seeking' and 'providing' samples are considered positive, and the no empathy samples are considered negative.

Apart from these, various online forums facilitate consultations and mental health support. Sharma *et al.* [65] proposed a widely-recognised empathy detection framework, named EPITOME, which consists of three communication mechanisms: emotional reactions, interpretations and explorations. Mental health-related help-seeking posts were collected from Reddit and TalkLife (a dedicated mental health support network) and annotated into three categories – none, weak and strong – for each of the three mechanisms. EPITOME was relabelled by Hosseini and Caragea [66] into two classes: weak and strong communication as the positive samples, and no communication as the negative samples, hereinafter referred to as the EPITOME v2 dataset.

The Acnempathize dataset consists of discussions from an online acne-related forum³. Adopting the annotation principle of EPITOME [65], three annotators labelled each of the discussion components (posts, replies and quotes) as either 'empathic' or 'not empathic'. A discussion component is labelled as empathic if any part exhibits any of the three communication mechanisms (emotional reactions, interpretations and explorations) of the EPITOME framework.

3.2.3.2 Patient-Doctor Interaction: Global measurement has been formulated in several datasets of counselling sessions between therapists and patients. For example, the motivational interviewing dataset, named MI

3. https://www.acne.org/

TABLE 3
Task Formulations and Corresponding Datasets for **Dyadic Interaction (Global Measurement)**.

| SL | Name | Data | Statistics | Output labela | Anno. | Public P |
|------|--------------------------------|---|---|---|-------|----------|
| Glob | oal Unidirection | nal Empathy | | | | |
| 1 | Brand-Customer [63] | Customer queries and brand response from Twitter | 108 brands, 667.7K customers, and 2M tweets | {None, Weak, Strong} | T | × |
| 2 | TwittEmp [64] | Cancer and 200 high-rating empathy words-related tweets | 3,000 tweets | {Seeking, Providing, None} | T | ✓ |
| 3 | EPITOME [65] | Responses towards help-seeking posts in TalkLife and Reddit | 8M posts and 26M interactions | {None, Weak, Strong} | T | ✓ |
| 4 | EPITOME v2 [66] | EPITOME, relabelled into two classes | 8M posts and 17M interactions | {Positive, Negative} | T | ✓ |
| 5 | AcnEmpathize [67] | Posts, quotes and replies from an online acne support forum | 12,212 samples | {0, 1} | T | ✓ |
| 6 | MI [68] | Motivational interviews between therapists and patients of drug or alcohol use | 176 therapists and 348 sessions | {High, Low}, [1.0, 7.0] | T | × |
| 7 | MI v2 [69] | Same as the MI dataset | 348 sessions | {High, Low} | _ | × |
| 8 | CTT [70], [71] | | | [1, 7], {High, Low} | T | × |
| 9 | COPE [72], [73] | Conversations between cancer patient and healthcare provider(s) | 425 sessions | {0, 1} | T | × |
| 10 | EmpathicDialogues v2 [27] | Samples from a dialogue generation dataset [52], re-annotated into five labels | 400 conversations | {Not, A Little, Somewhat, Empathic, Very Much} | T | ✓ |
| 11 | CallCentre [74] | Human-human conversation in call centre | 905 conversations | {0, 1} | T | × |
| 12 | Human-Robot [75] | Human participants listen to six scripted stories from a robot | 46 participants and 6.9 hours audiovisual data | {Empathy, Less Empathy} | S | ✓ |
| 13 | Human-Avatar [76] | Interaction between avatar and normotypical (empathic), Down syndrome and intellectual disability people (non-empathic) | 50 participants and 24,000 interactions | {0, 1} | Ο | × |
| 14 | Human- VirtualAgent [77] | Human participants watched a sad virtual character in virtual reality | 28 participants and 56 surveys | [0, 20] | S | × |
| 15 | EmpathicStories++ [78] | Humans telling personal stories and reading others' stories with AI agent | 41 participants, 269 sessions, 53h video, 5380 utterances | {1, 2, 3, 4, 5} | S | ✓ |
| Glok | oal Parallel Emp | pathy | | | | |
| 16 | NewsConvD [47] | Dialogue and perceived empathy of other person | 192 participants, 600 dialogues | {1, 2,, 7} | S | ✓ |
| Glob | oal Bidirectiona | al Empathy | | | | |
| 17 | EmpathicStories [79] | Personal stories from social media sites, crowdsourcing and spoken narratives | 2,000 similar story pairs | {1, 2, 3, 4} | T | ✓ |

^a Output labels [x, y] and $\{x, ..., y\}$ refer to continuous and discreet labels, respectively. $\{0, 1\}$ means binary labels $\{No \text{ Empathy}\}$.

^b Annotation: S – Self; T – Third party; O – Other

[68], comprises interview sessions from clinical interviews with patients of drug or alcohol use from six clinical studies. Another similar dataset (MI v2) [69] also evaluates session-level empathy in motivational interviewing. The CTT dataset [70], [71] includes 200 sessions between therapists and patients of drug and alcohol abuse. The annotation includes a continuous degree of empathy between 1 and 7 to model a regression problem and a low or high empathy level to model a classification problem.

The COPE dataset [72], [73] consists of 425 oncology encounters between cancer patients and healthcare providers. The task of this dataset is to detect empathic interactions and filter out non-empathic ones. Two trained annotators labelled this dataset into binary labels, where empathic

interaction refers to when a patient expressed negative emotions and the oncologists responded empathically.

3.2.3.3 General Conversation: Apart from specialised peer support communities and patient-doctor interactions, some studies aim to measure empathy in general conversations. For example, the EmpathicDialogues dataset – consisting of conversations regarding any personal situation and earlier used in localised measurement [44], [52] – was relabelled into five levels of empathy (EmpathicDialogues v2) and used for global measurement [27].

The quality of support provided by call centre staff can be measured by measuring their empathy. Alam *et al.* [74] proposed CallCentre dataset consisting of human-

to-human call-centre conversation, where conversations are labelled either empathic if the session contains at least one empathic segment or non-empathic otherwise.

3.2.3.4 Interaction with Non-Human Entity: Several global empathy detection datasets include interactions between humans and non-human entities, such as avatars and robots. The Human-Robot data collection includes a robot telling scripted stories to human participants [75]. Stories were told in either first-person or third-person point of view. To measure the participants' empathy towards the robot or story content, the participants answered a custom questionnaire of eight questions on a 5-point Likert scale. Thresholding based on median statistics is used to binarise the empathy scores into two labels ('empathic' and 'less empathic').

The Human-Avatar dataset aims to assess the empathy of human participants interacting with an avatar expressing six types of emotion [76]. Rather than self-reported annotation or third-party annotation, each interaction is labelled as empathic for normotypical participants and non-empathic for participants having social communication disorders such as Down syndrome and intellectual disability. Such a labelling approach was formulated to diagnose social communication disorders through empathy assessment.

In Human-VirtualAgent dataset [77], human participants watched a virtual character expressing sadness in a virtual reality environment. Participants fill in two questionnaires, including the Toronto empathy questionnaire [39], to reflect how much empathy they feel towards the agents. The questionnaire responses are leveraged as self-assessed ground truth empathy scores on a scale of 0 to 20.

Lastly, the EmpathicStories++ dataset features human participants telling personal stories to a ChatGPT-based AI agent and reading stories shared by the agent [78]. Collected over a month-long in-the-wild deployment, the dataset includes multimodal recordings (video, audio, and text) and several state and trait surveys from 41 participants. The empathy labels are based on participants' self-reported ratings of their empathy toward each story on a sliding scale from 1 to 5.

3.2.4 Global Parallel Empathy

Building on the turn-level empathy annotations in the NewsConvT dataset [42], Giorgi *et al.* [47] released NewsConvD, which provides dialogue-level annotations of perceived empathy. While both datasets feature conversations between two participants discussing newspaper articles, this new annotation specifically captures each participant's perception of their partner's empathy towards the articles.

3.2.5 Global Bidirectional Empathy

Bidirectional empathy can be defined as two individuals empathising with each other. Shen *et al.* [79] introduced EmpathicStories, which features bidirectional empathy in personal stories. Pairs of personal stories are labelled in terms of how two persons empathise with each other's experiences. The authors operationalise *empathic similarity* in terms of three key aspects: main event, emotion and moral of the story [79].

3.2.6 Localised Emotional Contagion

Emotional contagion – the process by which one person's emotions and behaviours trigger similar emotions and behaviours in others – is an element of empathy [20], [21]. Some studies exclusively aim to measure emotional contagion, and, as such, they are discussed in this separate category of task formulation.

One such dataset, named OMG-Empathy [80], consists of audiovisual conversations with semi-scripted stories in a speaker-listener setup. Following the conversations, the listeners answered how the story impacted their emotional state in terms of a valence score between -1 to +1. Using this dataset, an empathy detection challenge⁴ was organised, and accordingly, several empathy detection models are proposed for this dataset. This dataset offers two detection protocols: a personalised protocol, which detects the valence score of each listener across all conversations, and a generalised protocol, which detects the valence score towards each story by all listeners.

3.2.7 Global Emotional Contagion

Three datasets aim to measure emotional contagion at the global level. These datasets are collected through passive dyadic interaction, where the subjects often look at some stimuli, for example, still images, video or text sequences (Table 4).

Some studies use subjects' physiological signals during a passive interaction. For example, the EEG dataset [81] contains Electroencephalogram (EEG) signals from 52 participants watching an emotional video (a young girl being abused as a domestic enslaved person) in virtual reality. The EEG signals were collected from the frontal, central and occipital regions of the brain before, during and after watching the video. Before the experiment, the participants filled in the Toronto empathy questionnaire [39]. Although the questionnaire allows empathy annotations to range from 0 to 96, participants' responses fell within a narrower range of 49 to 86, indicating a moderate to high level of empathy reported by the participants. Using a median split, samples are also grouped into high and low classes. Both regression and classification tasks in this dataset offer empathy detection at all three times when the EEG was collected: before, during and after.

The PainEmp dataset [82] comprises Electrocardiogram (ECG) and skin conductance data from 36 participants with different levels of autistic traits. After viewing pictures of individuals with different pain levels, the participants filled in a questionnaire regarding cognitive and affective empathy. Although it may sound a little frightening, the painful pictures (24 in total) were, in fact, collected from eight individuals going through different levels of electrical stimulation on the back of their hands. This dataset's task is to classify cognitive and affective empathy into high or low levels.

Finally, the PathogenicEmp dataset aims to measure emotional contagion from Facebook posts. Abdul-Mageed et al. [83] defines 'pathogenic empathy' as the automatic contagion of negative emotions from others, which may lead

4. https://www2.informatik.uni-hamburg.de/wtm/omgchallenges/omg_empathy_description_19.html

TABLE 4
Task Formulations and Corresponding Datasets for **Emotional Contagion** and **Group Interactions**.

| SL | Name | Data | Statistics | Output labela | Anno. | Public |
|------|--------------------------|--|--|-----------------------------------|-------|--------|
| Emot | ional Contagio | on | | | | |
| 1 | OMG-Empathy [80] | Speaker-listener conversations based on eight semi-scripted stories | 4 speakers, 10 listeners and 80 samples (total 80h) | [-1, +1] | S | ✓ |
| 2 | EEG [81] | Participants' EEG while watching an emotional video in virtual reality | 52 participants and 52 EEG samples | [0, 96], {High, Low} | S | × |
| 3 | PainEmp [82] | Participants viewing pictures of individuals with pain or no pain | 36 participants, 36 ECG and skin conductance data | {High, Low} | S | × |
| 4 | PathogenicEmp [83] | Facebook posts and responses to a questionnaire | 2,405 participants and 1.8M posts | \mathbb{R} | S | × |
| Grou | p Interaction | | | | | |
| 5 | Teacher- Student [84] | Class lecture from one teacher to 5-10 students | 10 teachers, 63 lectures, 338 samples | [0.0, 10.0], {Excellent, Good} | T | × |

 $^{^{\}rm a}$ Output labels [x,y] and $\{x,...,y\}$ refer to continuous and discreet labels, respectively

to stress and burnout. The authors argued that this negative side of empathy is risky for the health and well-being of empathic people. In their data collection, participants answered a questionnaire, which consisted of eight questions on a Likert scale, with 'not at all like me' on one end and 'very much like me' on the other end of the scale. The average of the responses is considered the ground truth pathogenic empathy score.

3.3 Group Interaction

There is only one dataset where empathy is measured from more than two persons as a group (Table 4). This dataset, hereinafter referred to as the Teacher-Student dataset, consists of 63 online audiovisual lectures in a one-to-many teaching setup [84]. Expert annotators label each lecture session on a scale of 0 to 10 (regression task), which is then thresholded to binarise into 'Excellent' and 'Good' categories (classification task). The broader aim of Pan *et al.* [84]'s work is to evaluate teaching quality through five characteristics of a good lecture: empathy, clarity, interaction, technical management and time management.

3.4 Discussion: Findings, Challenges and Research Gaps

The varieties in task formulations and trends across all datasets result in several key findings and opportunities, which are discussed in the following subsections.

3.4.1 Prospective Task Formulations

Although unidirectional empathy is well studied in localised and global measurements (Figure 1), there is a notable gap in exploring parallel empathy. We found only one study on parallel empathy in localised measurement and another in global measurement. Parallel empathy is particularly relevant in understanding collective emotional dynamics, such as in team collaborations or group therapy sessions. Investigating these scenarios could reveal insights into how empathy propagates in multi-person interactions.

Similarly, studies are scarce in group interactions, with only one study addressing the global measurement of unidirectional empathy. Group settings capture naturalistic social environments, and therefore, empathy computing in group scenarios could advance effective collaboration and social cohesion. Overall, investigating these new task formulations could significantly enrich our understanding of the evolution and change in empathy during complex social interactions.

3.4.2 Empathy from Observer's Physiological Signals

Physiological signals contain essential affective cues in detecting internal states of people, which are often difficult to detect in other ways, such as classifying posed smiles [85] and pretended anger [86] from their real counterparts. Hossain *et al.* [85]'s observer-based smile veracity detection shows that it is possible to objectively measure subjective reactions. Considering the subjective nature of empathy, accurately assessing someone's *actual* (ground truth) empathy level can be challenging, making physiological signals potentially valuable.

Out of all the task formulations we review in this paper, three studies measured empathy from subjects' physiological signals, including ECG, EEG, fMRI and skin conductance. Firstly, other types of physiological signals that showed effectiveness in Affective Computing, such as pupillary response [86] and blood volume pulse [85], may be experimented with for empathy detection. Secondly, instead of physiological signals from one person, we could leverage signals from all parties involved, for example, both people in a dyadic interaction. Thirdly, detecting empathy from an observer's physiological signal could be an interesting avenue of exploration. This way, physiological signals can be collected from an observer observing the interaction in which we want to measure empathy. Then, we could investigate if the signals correlate with participants' empathy. Studying observer-based physiological signals could uncover how empathy is perceived and processed by third parties, a perspective that has implications for training empathy in professionals such as counsellors, educators and healthcare workers.

 $^{^{}a}$ \mathbb{R} – real number, unspecified in the paper

^c Annotation: S – Self; T – Third party

3.4.3 Annotation Protocol

The performance of supervised ML models is inherently tied to the quality of the labelled data. Empathy detection datasets are annotated primarily in two ways: self-annotation and third-party annotation. Self-rated annotation is a popular way to get the data labelled for Affective Computing tasks [87]. In empathy computing, it refers to study participants filling in empathy-related questionnaires such as the IRI [19], [37] and the Toronto [39] questionnaires. In contrast, third-party annotation refers to annotations by third-party trained annotators instead of the study participants from whom the data is collected.

The choice between self-annotation and third-party annotators remains a debated topic in the literature. Of all the datasets we examine in this paper, 13 used self-annotation, and 30 used third-party annotation. Buechel *et al.* [30] argued that self-annotation provides a more appropriate measure of empathy than third-party annotators. Shi *et al.* [28] used MedicalCare dataset, annotated by trained third-party annotators, and NewsEssay dataset, annotated by study participants themselves. One interesting conclusion of their study is that third-party annotation could be more robust than self-rated annotation [28]. Using ensemble methods to combine the results of multiple third-party annotators is likely to be the most robust, which should be investigated thoroughly.

The NewsEssay v3 and NewsConvT datasets use the same participants but differ in annotation protocols, with self-assessment for essays and third-party annotation for conversations, respectively. As shown in Table 5, studies have achieved higher empathy detection performance in the NewsConvT dataset than in the NewsEssay v3 dataset, potentially suggesting that third-party annotation provides greater consistency.

Judgment varies across individuals; for example, a certain empathic interaction can be felt as 'high' by someone, whereas the same can be felt as 'medium' by someone else. In this case, employing multiple third-party annotators to label many of the samples separately and subsequently testing their inter-rater reliability to reach a consensus for confounding samples should be preferred. However, a study has found that third-party annotators' conscious labelling of subjective reactions is worse than their non-conscious judgement [85]. Therefore, it can be argued that a third-party annotator may be unable to accurately assess the perceived empathy of the subject because empathy is subjective. To come to a conclusion, both self-annotation and third-party annotation, while fixing the other aspects (such as dataset and model), would be a prospective research domain to understand more about annotation and simultaneously find an appropriate annotation scheme.

3.4.4 Public Availability of Datasets

Data availability facilitates reproducibility, comparative studies and benchmarking research. Among the 45 empathy detection datasets reviewed, 25 are publicly available. Challenges associated with making data public include privacy and ethical considerations. Ensuring the anonymisation of sensitive information and obtaining proper consent from participants are crucial steps, supposedly for which patient

data such as MI, CTT, and COPE are unavailable. Additionally, there may be legal and institutional restrictions that prevent sharing of certain datasets. Addressing these challenges is essential during the early stage of planning to ensure data availability. We urge the authors of the 20 non-public datasets to take active steps towards making them public.

4 MODALITY-SPECIFIC EMPATHY DETECTION METHODS

Design protocols for empathy detection methods, including the choice of preprocessing techniques and specific ML models, are primarily influenced by the input data modality. This section outlines methods based on four input modalities – text sequences, audiovisual contents, audio signals and physiological signals – observed across different task formulations.

4.1 Text Sequence

In NLP research, empathy is detected from various textual content, such as essays, conversations and social media discussions. Such text-based datasets are predominantly employed with transformer-based Deep Learning (DL) algorithms and, to a lesser extent, with classical ML algorithms. Figure 3 illustrates the usage of algorithms in text-based empathy detection studies. With the recent successes of fine-tuning pre-trained language models in a variety of NLP tasks [88], it comes as no surprise that pre-trained language models dominate the landscape of text-based empathy detection studies. Among different variants of pre-trained language models, the Bidirectional Encoder Representations from Transformers (BERT)-based Robustly Optimised BERT Pretraining Approach (RoBERTa) is mostly used, followed by the BERT base model itself.

Both a continuous degree of empathy (regression) and a distinct level of empathy (classification) detection tasks are described in the literature, which are discussed in the following subsections.

4.1.1 Regression Task (Degree of Empathy)

Table 5 summarises regression studies on common benchmark textual datasets and highlights the best-performing models in each benchmark. Refer to Appendix Table B2 for studies on datasets used in a single published work. Most works used NewsEssay and its variants to detect empathy as a continuous value. The average performance in detecting empathy in essays from the v4 and v3 datasets is relatively lower than that observed in the v1 and v2 datasets, which may be attributed to the smaller size of the v3 and the v4 datasets.

Out of 30 studies on NewsEssay datasets, 28 of them leverage pre-trained word-embedding or language models. Buechel *et al.* [30] leveraged fastText [119], a pre-trained word-embedding model, for text embeddings, followed by a Convolutional Neural Network (CNN) regression model, achieving a Pearson correlation coefficient of 0.404 in the NewsEssay dataset. Vettigli and Sorgente [91] employed Linear Regression (LR) classical ML method on the v2 dataset and reported a Pearson correlation coefficient of

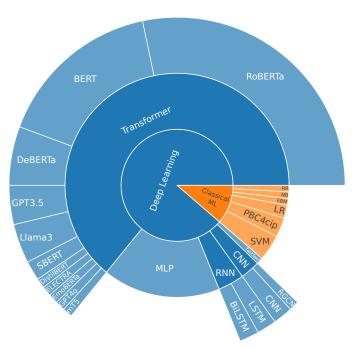


Fig. 3. Usage of ML algorithms in text-based empathy detection studies, demonstrating a substantial use of pre-trained language models.

0.516. This performance is competitive with studies utilising transformer-based language models such as BERT and RoBERTa, where the Pearson correlation coefficient ranges from 0.470 to 0.558 [93], [94]. This exceptional performance using classical ML can be attributed to incorporating handcrafted features, such as lexicon-based, n-gram and demographic-based features [91]. Handcrafted features combined with additional raw data could be experimented with transformer architectures, as this might yield even better performance.

Instead of traditional ML and DL models, some recent studies [89], [107], [109]-[111] leverage Large Language Model (LLM). For example, Hasan et al. [89] introduces a system called LLM-Guided Empathy (LLM-GEm) that leverages Generative Pre-trained Transformer (GPT)-3.5 LLM for three distinct purposes: converting numerical demographic numbers into semantically meaningful text, augmenting text sequences and rectifying label noises. Experiments on NewsEssay v1, v2 and v3 datasets demonstrate that LLM-GEm achieves state-of-the-art performance on the v1 and v3 datasets using a RoBERTa-based pretrained language model as the prediction model. On the v2 dataset where LLM-GEm underperformed, Mundra et al. [93] reported the best result (Pearson correlation coefficient: 0.558) using an ensemble of ELECTRA and RoBERTa models. Such a higher performance can be attributed to the ensemble of two language models (ELECTRA and RoBERTa). Overall, RoBERTa appears to be the best method in detecting empathy within the NewsEssay datasets.

Performance on the NewsConvT dataset is higher than NewsEssay datasets, with 0.708 as the highest Pearson correlation coefficient using a Decoding-Enhanced BERT with Disentangled Attention (DeBERTa) model [102]. Plausible reasons could be the annotation protocols (as discussed

TABLE 5
Summary of Empathy Detection Studies Modelled as a *Regression*Task (Degree of Empathy) on Common Benchmark Text Datasets.

| Dataset | Study | Best Model | PCC _p | Code Avail.ª |
|------------------|-------------------------|-------------------------------|-----------------------|-----------------|
| NewsEssay | [30] | fastText-CNN | 0.404 | √ |
| | [89] | GPT3.5-RoBERTa-MLP | 0.924 | ✓ |
| NewsEssay v2 | [90] | BERT-MLP | 0.473 | \checkmark |
| | [91] | LR | 0.516 | ✓ |
| | [92] | RoBERTa-MLP | 0.517 | ✓ |
| | [93] | ELECTRA + RoBERTa | 0.558 | ✓ |
| | [94] | RoBERTa-MLP | 0.470 | U |
| | [95] | BERT-MLP | 0.479 | × |
| | [96] | RoBERTa | 0.504 | Ũ |
| | [97] | RoBERTa | 0.524 | ✓ |
| | [98] | RoBERTa | 0.537 | × |
| | [99] [89] | RoBERTa GPT3.5-RoBERTa-MLP | 0.541 0.505 | × |
| NewsEssay v3 | [100] | BERT | 0.187 | √ |
| TrewsEssay vo | [101] | RoBERTa-MLP | 0.270 | × |
| | [102] | RoBERTa-MLP | 0.329 | × |
| | [103] | RoBERTa | 0.331 | × |
| | [104] | RoBERTa | 0.348 | √ · |
| | [105] | RoBERTa-SVM | 0.358 | × |
| | [106] | {RoBERTa, EmoBERTa}-MLP | 0.415 | × |
| | [42] | RoBERTa | 0.536 | × |
| | [89] | GPT3.5-RoBERTa-MLP | 0.563 | \checkmark |
| NewsEssay v4 | [107] | {BERT, GPT3.5-BERT}-MLP | 0.290 | × |
| | [108] | MLP | 0.345 | × |
| | [109] | RoBERTa-MLP | 0.375 | × |
| | [110] | Llama3 | 0.474 | \checkmark |
| | [111] | GPT3.5 | 0.523 | × |
| | [47] | RoBERTa | 0.629 | × |
| | [112] | Llama3-RoBERTa | 0.648 | ✓ |
| NewsConvT | [100] | BERT | 0.573 | ✓, |
| | [104] | RoBERTa | 0.652 | \checkmark |
| | [42] | RoBERTa | 0.660 | × |
| | [101] | RoBERTa-MLP | 0.665 | × |
| | [106] | {RoBERTa, EmoBERTa}-MLP | 0.669 | × |
| | [103] [102] | DeBERTa DeBERTa-MLP | 0.674 0.708 | × × |
| NewsConvT v2 | [109] | RoBERTa-MLP | 0.034 | × |
| THE WISCORN T VZ | [113] | GPT3.5 | 0.534 | × |
| | [114] | GPT4o-DeBERTa | 0.534 | × |
| | [107] | {BERT, BERT}-MLP | 0.541 | × |
| | [115] | DeBERTa | 0.544 | ✓ |
| | [116] | mT5-DeBERTa-MLP | 0.559 | × |
| | [117] | {BERT, RoBERTa, DeBERTa} | 0.561 | ✓ |
| | [118] | RoBERTa | 0.577 | \checkmark |
| | [110] | Llama3 | 0.582 | ✓ |
| | [47] | RoBERTa | 0.694 | × |
| NewsConvD | [110] | Llama3 | 0.172 | ✓_ |
| | [118] | RoBERTa | 0.191 | \checkmark |
| | [109] | RoBERTa-MLP | 0.193 | × |

Note: Studies using common datasets are sorted year-wise chronologically, followed by performance, where best results and methods are **bolded**.

earlier, NewsConvT uses third-party annotation, which is likely to be more consistent and reduce the noise in the labels) and the size of the datasets (12,601 samples in the NewsConvT dataset compared to 1,100–2,655 samples in the NewsEssay datasets).

4.1.2 Classification Task (Level of Empathy)

In the case of modelling empathy as a classification task, four benchmark datasets are used in multiple studies to allow comparative analysis (Table 6). Refer to Appendix Table B3 for classification studies on datasets used in a single published work.

Sharma et al. [65] used both unsupervised learning (domain adaptive pre-training) and RoBERTa-based supervised

^a U – Unofficially available on the Internet but not provided with the paper

^b PCC – Pearson correlation coefficient

TABLE 6
Summary of Empathy Detection Studies Modelled as a *Classification*Task (Level of Empathy) on Common Benchmark Text Datasets.

| Dataset | Study | Best Model | Performance ^a | Code Avail. |
|------------|-------|-----------------------|--|----------------|
| EPITOME | [65] | RoBERTa | $Acc \in [79.4\%, 92.6\%],$ $F1 \in [62.6\%, 74.5\%]$ | ✓ |
| | [120] | SBERT, EBM | $Acc \in [88.3\%, 95.3\%],$ $F1 \in [59.5\%, 62.7\%]$ | ✓ |
| iEmpathize | [36] | BERT | $F1 \in [78.9\%, 85.8\%]$ | × |
| | [121] | BERT, BiLSTM, RGCN | Acc: 77.83% | × |
| | [122] | RoBERTa | Acc: 81.1% | × |
| TwittEmp | [64] | BERT-MLP | F1 ∈ [68.6%, 85.7%] | × |
| | [121] | BERT, BiLSTM, RGCN | Acc: 74.89% | × |
| Empathic- | [123] | PBC4cip | CEM: 0.593 | × |
| Exchanges | [59] | PBC4cip | CEM: 0.597, F1: 0.452 | \checkmark |

Note: Studies using common datasets are sorted year-wise chronologically, followed by performance, where best results are **bolded**.

learning on their EPITOME framework. In contrast, Lee *et al.* [120] used Micromodels [124] as an attempt towards explainable ML. Lee *et al.* [120]'s approach first calculates semantic similarity scores between the Sentence BERT (SBERT) representations of some fixed seed utterances and dataset samples. The similarity scores are then used as a feature in an Explainable Boosting Machine (EBM) model to classify empathy in each of the mechanisms of EPITOME. In terms of quantitative scores, Lee *et al.* [120]'s model provides better accuracy (a maximum of 95.3% vs 92.6%) but less F1 score (a maximum of 62.7% vs 74.5%) than Sharma *et al.* [65]'s models on the EPITOME dataset. However, one important insight from Lee *et al.* [120]'s study is that the current empathy detection models probably consider surface-level information rather than the whole conversation context.

In detecting empathy on the iEmpathize dataset, Hosseini and Caragea [36], [122] leveraged BERT and RoBERTa models, respectively. Despite being the same dataset, their reported classification performances are on different evaluation metrics: a maximum F1 score of 85.9% is reported in [36], and a classification accuracy of 81.1% is reported in [122]. The key contribution of Hosseini and Caragea [122]'s work is a data-agnostic technique for prompt-based fewshot learning to improve the performance of pre-trained language models on empathy and emotion classification tasks, especially when training data is limited and noisy.

4.2 Audiovisual Content

Empathy detection from audiovisual contents is designed mostly as a multimodal system combining computer vision and NLP techniques, with inputs such as facial expressions, hand gestures and audio conversations. This section, therefore, includes some multimodal approaches, which utilise audio, video and sometimes text sequences. Figure 4 illustrates the application of algorithms in audiovisual-based empathy detection works. As usual, DL models are the predominant choice, although classical ML models are also widely employed. Within the DL category, CNN and Recurrent Neural Network (RNN)-based models are most fre-

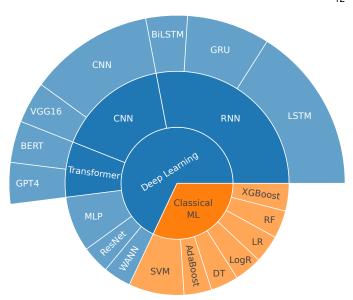


Fig. 4. Usage of ML algorithms in audiovisual dataset-based empathy detection studies.

TABLE 7
Summary of Empathy Detection Regression Studies on the Single Common Benchmark Audiovisual Dataset: OMG-Empathy.

| Study | Best Model | CCC ^a | Code Avail.b |
|-------|---------------------|------------------------|--------------|
| [125] | CNN, RF | 0.02 (P), 0.04 (G) | × |
| [126] | SVM | 0.08 (P) | U |
| [127] | BiLSTM | 0.11 (P), 0.06 (G) | \checkmark |
| [128] | LSTM | 0.14 (P, G) | \checkmark |
| [129] | GRU, LSTM, CNN, MLP | 0.17 (P, G) | ✓ |
| [80] | VGG16-LSTM-SVM | CCC 0.17 (P), 0.23 (G) | × |
| [130] | WANN | 0.25 (Validation set) | × |

Note: Studies using common datasets are sorted year-wise chronologically, followed by performance, where best results and methods are **bolded**.

quently used, whereas in the classical ML category, Support Vector Machine (SVM) enjoys a higher level of usage.

Table 7 summarises empathy detection studies on the only common benchmark audiovisual dataset, named OMG-Empathy. Refer to Appendix Table B4 for studies on audiovisual datasets used in a single published work.

4.2.1 Regression Task (Degree of Empathy)

The baseline model in the OMG-Empathy challenge [80] used VGG16 architecture to process facial expression and Long Short-Term Memory (LSTM) to process spatial-temporal features. The outputs of these two networks were then concatenated and fed to an SVM for empathy detection, which resulted in 0.17 and 0.23 correlation coefficients in the personalised and generalised empathy protocols, respectively. Challenge participants [125]–[129] did not surpass these baseline results. Among them, Barbieri *et al.* [129] performed the best, achieving a 0.17 correlation coefficient for both protocols. They used separate models for each modality – Gated Recurrent Unit (GRU) on audio signals, LSTM on audio transcripts (text) and CNN on vision (face and body images) – followed by Multi Layer Perceptrons (MLPs). To integrate the predictions across different modal-

^a Range of performance is reported when overall classification performance is unavailable. CEM – Closeness Evaluation Measure.

^a Performance refers to test-set performance unless otherwise stated. P – Personalised protocol; G – Generalised protocol; CCC – Concordance Correlation Coefficient

 $^{^{\}mathrm{b}}$ U – Unofficially available on the Internet but not provided with the paper

ities, they used a weighted average proportional to the validation score on each modality, followed by a Butterworth low-pass filter.

Tan et al. [128] extracted multimodal features using VGG-Face [131] on faces, openSMILE [132] on audio and GloVe embedding [133] on texts. Using a multimodal LSTM model, they reported a correlation coefficient of 0.14 on both personalised and generalised protocols. Mallol-Ragolta et al. [127] reported correlation coefficients of 0.11 and 0.06 in personalised and generalised protocols, respectively, using openSMILE for extracting audio features and OpenFace [134] for extracting video features, followed by a Bidirectional LSTM (BiLSTM) network.

In addition to verbal and non-verbal features from audio, image and text, Azari *et al.* [126] experimented with a different type of feature: mutual or contagious laughter as a measure of synchrony between the speaker and listener during the interaction. Hinduja *et al.* [125] used facial landmarks and spectrogram as hand-crafted features and CNN output as deep features in a Random Forest (RF) model. Lastly, Lusquino Filho *et al.* [130] leveraged a different type of model – Weightless Artificial Neural Network (WANN) – and reported a correlation coefficient of 0.25 on the validation set of the OMG-Empathy dataset.

Other works in empathy detection as regression tasks primarily utilised classical ML models (Appendix Table B4). Kroes *et al.* [77] leveraged a LR model on the Human-VirtualAgent dataset. With the Teacher-Student dataset, Pan *et al.* [84] comprehensively experimented with a wide range of features from audio and video in an AdaBoost model. Their extracted features include mid-level behavioural features – such as facial expression, head pose and eye gaze – and high-level interpretable features, such as video length, frequency of speaker switch and total number of words. Such feature extraction often yields good results but may require substantial computational resources and careful tuning to optimise the model.

4.2.2 Classification Task (Level of Empathy)

In classifying empathy levels, no studies used any common benchmark dataset (Appendix Table B4). On the DAIC-WOZ dataset, Tavabi et al. [57] leveraged pre-trained BERT to calculate text embedding and pre-trained Residual Network (ResNet) to calculate visual features in addition to action units and head pose features from OpenFace. As audio features, they extracted the extended Geneva minimalistic acoustic parameter set and Mel-Frequency Cepstral Coefficients (MFCC) [135] using OpenSMILE. With these features, they experimented with GRU and MLP in different fusion techniques, where GRU-based fusion of temporal audio and video sequences appeared to be the best fusion strategy in their setting, resulting an F1 score of 71%. Their ablation experiment shows that text modality is more effective than video and audio modalities: text alone resulted in an F1 score of 64%, whereas the video and audio individually provided F1 scores of 46% and 38%, respectively.

On the MEDIC dataset [55], the best result is achieved using SWAFN, a multimodal LSTM network proposed by Chen and Li [136]. The network uses three individual LSTMs to encode video, audio and textual modalities, followed by a novel aggregation strategy using a multi-task

learning framework. Among the three mechanisms of the MEDIC dataset, the client's expression of experience was better classified than the counsellor's empathy [55], which supports the difficult nature of empathy detection compared to expression (i.e., emotion) recognition.

4.3 Audio Signals

Audio-based empathy detection works include audio from conversations in various contexts, such as healthcare and call centres. By audio-based empathy detection studies, we refer to studies that exclusively leverage audio and sometimes transcripts, which differ from multimodal audiovisual studies presented earlier.

Processing audio includes two primary approaches: directly utilising audio as a signal or converting it into text and employing text-based methods. As shown in Appendix Table B5, none of the audio datasets are used across multiple studies to allow comparative analysis on model performance.

Given that audio-based datasets involve conversations between two persons, the works of Chen et al. [72] and Xiao et al. [70] include voice activity detection ('speech' or 'no speech') and speaker diarisation (i.e., speaker separation) in their empathy detection workflow. Xiao et al. [70], Chen et al. [72], and Alam et al. [74] converted the audio into text sequences, followed by extracting features from the text sequences. Chen et al. [72] and Alam et al. [74] extracted several lexical features, such as text embedding, from the audio transcripts and several acoustic features, such as MFCC, from the audio signal. Chen et al. [72] reported better performance of lexical features than acoustic features. Lastly, Xiao et al. [70]'s empathy detection model on audio-based CTT dataset is entirely text-based – leveraging uni-gram, bigram and tri-gram language models - without audio-based features.

On the recent MultimodalMI dataset, Tavabi et al. [53] used a distilled RoBERTa pre-trained language model, a bidirectional GRU layer followed by a two-head selfattention layer to predict a continuous empathy score between 0 and 1 (regression task). Using the same dataset, Tran et al. [54] proposes a multimodal empathy classification system utilising both audio and text transcripts to predict high vs low empathy. Features from the audio and texts are extracted using Hidden-Unit BERT (HuBERT) [137] and distilled RoBERTa pre-trained models, respectively. The features are then passed through a bidirectional GRU model, followed by modality fusion. They experimented with early and late fusion through MLP layers. A wide range of experiments supports the effectiveness of late fusion in most experimental conditions, early fusion in some cases and text-only prediction in very few cases.

4.4 Physiological Signals

Research in physiological signal-based empathy detection typically adopts feature extraction, followed by ML algorithms. Appendix Table B6 reports the studies and methods of physiological signal-based empathy detection. No datasets are used across multiple studies.

With the PainEmp dataset, Golbabaei et al. [82] extracted ten features and leveraged an SVM with radial basis function kernel to detect cognitive and affective empathy. Lastly,

Kuijt and Alimardani [81] extracted 15 features from the EEG data and leveraged multiple LR in the regression task and LR, SVM and Decision Tree (DT) in the classification task. In the classification task, they only used five best-performing features. In both regression and classification settings, the participants' empathy before the experiment is better detected than 'after' and 'during' the experiment.

4.5 Discussion: Findings, Challenges and Research Gaps

4.5.1 Lack of Benchmarking

Benchmarking and comparative analysis of empathy detection models are hindered by a lack of common benchmark dataset usage, particularly in the domains of audio and physiological signals. Code availability further impacts benchmarking and the broader adoption of methodologies, as minute details of proposed algorithms are often not fully captured in the papers. Among 77 text-based empathy detection models (treating each dataset-specific implementation as a separate model), only 29 have shared their code. Similarly, for audiovisual, audio signal, and physiological signal-based models, the proportion of publicly accessible code is even lower, with only 7 out of 17, 2 out of 7, and none out of 4 releasing their implementations. Mandating the publication of code alongside research findings can ensure transparency and facilitate progress in the field.

A variety of evaluation metrics have been employed in the literature for empathy detection. For regression tasks predicting a continuous degree of empathy, metrics such as Pearson's correlation, Spearman's correlation, concordance, mean squared error, and R² are commonly used. In classification tasks predicting discrete empathy levels, metrics include accuracy, F1 score, Area Under the receiver operating characteristics Curve (AUC), average precision, unweighted average recall, and the Matthews correlation coefficient. However, inconsistent use of these metrics across studies can complicate cross-study comparisons. For instance, despite both using the iEmpathize dataset, the metrics reported in [36] and [122] differ. While it is unreasonable to expect universal adoption of a single metric, efforts toward greater standardisation in evaluation frameworks and metric reporting would enhance comparability.

4.5.2 Multimodal Empathy Detection

The growth of empathy detection modalities, as illustrated in Figure 2, shows a dominant rising trend in text-based empathy detection since 2020. However, the current body of research lacks equivalent development in audiovisual, audio, and physiological signals. Empathy detection systems based on these modalities can be particularly effective in scenarios where such signals are available and potentially provide a more comprehensive measure of empathy. While spoken information from video and audio can be converted to text for text-based empathy detection, video and audio contain additional information such as facial expressions and pitch. These elements can significantly enhance the accuracy and quality of empathy detection, which necessitates dedicated research in these areas.

A multimodal empathy detection system can effectively integrate these different types of data. Additionally,

analysing the contributions of different modalities provides insights into the most important factors for an effective empathy detection system. Few studies [46], [54], [55], [57], [84] have shown proof of concept towards multimodal empathy detection. Overall, the multimodal approach holds promise for creating a robust empathy detection system by leveraging the strengths of various input modalities.

4.5.3 LLM in Empathy Detection

The recent success of LLMs presents an opportunity to utilise them in empathy detection tasks. LLMs can serve as the primary prediction model or as a supportive tool to enhance predictions made by conventional models. While LLMs may excel in empathy detection due to their extensive language understanding capabilities, their training and deployment often require substantial resources, which may be impractical for low-resource settings. Smaller optimised models like BERT and RoBERTa can offer reasonable performance with better resource efficiency and may be better suited for certain applications, such as remote areas with limited healthcare access, community counselling centres, education settings in low-income schools, and humanitarian aid and crisis response.

Even when not utilised as the primary prediction model, LLMs can contribute to empathy prediction tasks, particularly in data preprocessing tasks such as text rephrasing [102] and empathy annotation [89]. A recent study [138] has shown that LLMs achieve human-level performance in theory of mind tasks. Drawing on the close relationship between cognitive empathy and theory of mind [24], this indicates that LLMs possess (or can mimic) empathic skills that could potentially assist in empathy detection.

Multimodal LLMs hold promise for empathy detection in real-life audiovisual interactions, as suggested by Hasan et al. [139]. This approach capitalises on LLMs' advanced language understanding and multimodal abilities to interpret the nuances of natural conversations across audio, visual and text modalities. The emergence of multimodal LLMs - such as OpenAI's GPT-40 (omni), GPT-4V (vision) and Google's Gemini - enables both zero-shot (i.e., no finetuning) and few-shot (i.e., fine-tuning with a few examples) applications in empathy detection. A recent study on GPT-4V [140] assessed its performance on 21 emotion recognition datasets across six tasks, including sentiment analysis, facial emotion recognition and multimodal emotion recognition, all in zero-shot settings. Although GPT-4V demonstrated strong visual processing capabilities, it struggled with micro-expression recognition. Since GPT-4V is designed primarily for general domains, future studies could focus on fine-tuning it in few-shot settings. Nonetheless, this proof of concept for multimodal LLMs in general emotion recognition indicates potential for empathy detection. With the rapid advancement of multimodal LLMs, they are likely to become a stronger candidate for empathy detection in the days to come.

5 Applications of Empathy Detection

Empathy detection has the potential to bring transformative changes across various domains, such as healthcare, education and social media, Focusing on a few key domains, this section discusses potential benefits, associated challenges and ethical considerations.

Assessment of Communication Quality

Empathy detection can be used to evaluate the quality of interpersonal communication, which can be used as feedback to improve interactions. Consider *healthcare* as an example. A study on patient-doctor interaction found that 85% of 563 patients either changed or were considering changing their doctors due to a lack of effective communication related to empathy being one of the main reasons [141], [142]. Empathic doctors would be better equipped to communicate medical information in a fashion that the patient will attend to [3]. Therefore, the service quality of healthcare providers could be assessed in terms of empathy if we could detect empathy in the first place. Assessment of healthcare providers can be in various contexts – such as counselling sessions [44], [68]–[70], oncology encounters [72], and other general patient-doctor interactions [28], [34] – either through telehealth or in-person. Measurement of empathy can also facilitate effective empathy training programs for healthcare professionals. In the same vein, empathy detection can be applied in educational interactions in teaching, customer service in businesses, and even in human-robot interactions.

Empathy detection can also improve communication quality in long-distance communication, such as that between international students and their families or during online interviews for jobs or university admissions. Video conferencing applications like Zoom and Microsoft Teams could incorporate live empathy feedback, similar to live transcripts, which is common nowadays. This way, people can see how their words and expressions are perceived in real-time, which may help people adjust their communication to be more empathic and responsive.

Disease Diagnosis

Empathy detection systems can help diagnose diseases and cognitive disorders where a lack of empathy is a symptom, such as autism, psychopathy and alexithymia [143]. Several studies have shown proof of concepts in this regard, such as diagnosing social communication disorders (Down syndrome, intellectual disability) [76] and autism spectrum disorders [82].

Social Media Moderation

People often seek mental support through social media platforms. Accordingly, several works have detected empathy in various social media interactions, such as Reddit [65], Twitter [64], and cancer survivors networks [36], [49], [122]. We can envision a peer support platform where nonempathic responses are filtered out through an empathy detection system. This way, social media platforms can foster empathic responses while discouraging non-empathic ones.

Ethical Considerations and Challenges

Visibility of empathy scores might encourage individuals to feign empathy, similar to how fake facial expressions are a concern in emotion detection [85]. Developing robust methods to differentiate genuine empathy from feigned responses will be crucial to ensure the reliability and effectiveness of empathy detection systems. Continuous feedback on empathic behaviour may also inadvertently create undue pressure on individuals, which may affect their mental health or authenticity in interactions. Safeguards should be in place to mitigate such unintended consequences.

What is considered a normal conversation and what is perceived as harsh can vary greatly across cultures. Ensuring fairness, therefore, becomes a significant challenge. Systems may inherit biases from training data that predominantly represent a specific demographic, resulting in unfair or inaccurate assessments towards other demographic or cultural groups. Addressing these biases is essential to ensure fairness and inclusivity. Before deploying an empathy detection system, it is imperative to rigorously evaluate the generalisability of the system across diverse populations. Apart from these, other ethical considerations and challenges, such as data privacy and consent, commonly associated with general Affective Computing tasks, must also be carefully addressed to ensure the responsible and ethical deployment of empathy detection systems.

6 CONCLUSION

Empathy, an involuntary and vicarious reaction to emotional signals from another individual or their circumstances [1], has emerged as a promising research area across several disciplines. Empathy detection in Computer Science, particularly through ML methodologies, has grown substantially in recent years. This paper presents a rigorous, systematic literature review following relevant Preferred Reporting Items for Systematic reviews and Meta-Analyses (PRISMA) guidelines for reproducibility. Starting with an extensive search across ten scholarly databases, we select 82 papers after a thorough screening process, including abstract and full-text screening based on exclusion criteria. We discuss and group similar papers based on task formulations and ML methodologies. We present a task formulation hierarchy with representative datasets and their details, such as data collection, experiment details, statistics, annotation protocol and public availability. To describe ML methodologies, we group our findings based on four input modalities: text sequences, audiovisual data, audio signals and physiological signals. In each modality, we enumerate the algorithms used, their performance and code availability.

This review uncovers several new insights into the computational empathy domain and identifies critical avenues for future research and development. Exploring novel task formulations such as parallel and bidirectional empathy, particularly in group settings and global-level measurements, can advance our understanding of empathy in complex social interactions. Further research comparing self-annotation and third-party annotation under controlled conditions is necessary to determine the most appropriate annotation scheme for empathy detection. The limited public availability of datasets and codes poses significant challenges for reproducibility and benchmarking in the field. At the same time, the diversity and lack of standardisation in evaluation metrics complicate consistent model comparison. Physiological signals offer promising avenues for more

accurate empathy detection. Finally, the potential of LLMs and multimodal approaches to enhance empathy detection systems presents exciting opportunities for future research.

Studies we review in this paper operationalise the term 'empathy' in varying ways. For example, some define empathy purely in cognitive dimension, such as understanding another's perspective or capacity to comprehend [30], and some further integrate behavioural or prosocial dimensions, such as offering emotional support [34]. Future reviews may adopt a more critical lens to distinguish studies according to how they operationalise empathy.

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