

# CENG 3549 – Functional Programming

## Mathematical Induction & Induction over Lists & Structural Induction & Formal Verification of Functional Programs

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# Outline

1 Mathematical Induction

2 Structural Induction

3 The Coq Proof Assistant

## When to use Mathematical Induction?

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assume  $P(k)$  (induction hypothesis), show  $P(k+1)$

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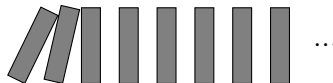
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## Induction Principle

$$(P(m) \wedge \forall k \geq m. (P(k) \implies P(k+1))) \implies \forall n \geq m. P(n)$$

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$$= \frac{(k+1)(k+2)}{2}$$

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- proof principle similar to mathematical induction
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## Remark (notes)

- lists are recursive structures
- non-recursive constructor (base case): `[]`
- recursive constructor (step case): `x:xs`



## Induction Principle for Lists – Informally

- to show  $P(l)$  for all lists  $l$
- show base case:  $P([])$
- show step case:  $P(xs) \implies P(x:xs)$  for arbitrary  $x$  and  $xs$

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## Remark

- for lists,  $P$  can be seen as function  $p :: [a] \rightarrow \text{Bool}$

## Definition (Append)

$$\begin{aligned} [] & \mathrel{++} l_2 = l_2 \\ (x:xs) & \mathrel{++} l_2 = x : (xs \mathrel{++} l_2) \end{aligned}$$

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### Lemma (Append is associative)

$++$  is associative, that is,

$$(l_1 ++ l_2) ++ l_3 = l_1 ++ (l_2 ++ l_3)$$

## Definition (Length)

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length []      = 0
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## Lemma (Length and append)

length of combined list is sum of lengths, that is,

$$\text{length } (l_1 ++ l_2) = \text{length } l_1 + \text{length } l_2$$



## Example (Binary Trees)

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data BTree a where
  Empty  :: BTree a
  Node   :: a -> BTree a -> BTree a -> BTree a
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$$(P(\text{Empty}) \wedge \forall x. \forall l. \forall r. ((P(l) \wedge P(r)) \implies P(\text{Node } x \ l \ r))) \implies \forall t. P(t)$$

## Example (Perfect Binary Trees)

- a binary tree is **perfect** if all leaf nodes have same depth

```
perfect Empty      = True
perfect (Node _ l r) =
  height l == height r && perfect l && perfect r
```

```
height Empty      = 0
height (Node _ l r) =
  max (height l) (height r) + 1
```

```
size Empty      = 0
size (Node _ l r) = size l + size r + 1
```

## Lemma

a perfect binary tree  $t$  of height  $n$  has exactly  $2^n - 1$  nodes, that is,

$$P(t) = (\text{perfect } t \implies \text{size } t = 2^{\text{height } t} - 1)$$

## Example

```
data Term where
  Var    :: String -> Term
  Lambda :: String -> Term -> Term
  App    :: Term   -> Term -> Term
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## General Structures – Induction Principle

- for every non-recursive constructor, show base case
  - base case:  $P(\text{Var } x)$
- for every recursive constructor, show step case
  - step case 1:  $(P(s) \wedge P(t)) \implies P(\text{App } s \ t)$
  - step case 2:  $P(t) \implies P(\text{Lambda } x \ t)$

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## Curry-Howard Isomorphism

Logic	~	Type Theory
Proposition		Type
Proof		Program
⋮		⋮



## The Coq Proof Assistant 🐔

- by Thierry Coquand (1985)
  - implements Curry-Howard Isomorphism
  - provides recursors + inductors





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- CIC is **higher-order**: quantifiers over predicates (propositional valued function) allowed

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- **vernacular command language**: to query and interact with the Coq type system

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## Coq Features 🧑 (Stratification of Type)

$Type_0 : Type_1 : Type_2 : Type_3 \dots$

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- inductive types must be **well-founded** assured by the **strict positivity**

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- terms can also be definitionally equal (next slide,  $\iota$ -reduction )

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  - **Program Fixpoint**: takes a measure as an argument and generates a proof obligation that the measure decreases in each recursive call

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exact	gives directly the exact proof term of the goal



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specialize	instantiates universally quantified hypotheses by concrete terms
simpl	performs evaluation and simplifies the goal or hypotheses in the context, if applicable
rewrite	rewrites a goal using an equality
reflexivity	applies reflexivity property for equality
symmetry	applies symmetry property for equality
transitivity	applies transitivity property for equality
assumption	match conclusion with an hypothesis
exact	gives directly the exact proof term of the goal
left / right	replaces a goal consisting of a disjunction $P \vee Q$ with just $P$ or $Q$

## Coq Features 🧑🔧 (Tactics)

intro / intros	introduces $\forall$ quantified variables and premises of implications into the context
apply	employs implications to transform goals and hypotheses
induction	performs induction on a given identifier, and generates a sub-goal for every constructor of an inductive type and provides an induction hypothesis for recursively defined constructors
destruct	generates a sub-goal for every constructor of an inductive type skipping potential induction hypotheses
specialize	instantiates universally quantified hypotheses by concrete terms
simpl	performs evaluation and simplifies the goal or hypotheses in the context, if applicable
rewrite	rewrites a goal using an equality
reflexivity	applies reflexivity property for equality
symmetry	applies symmetry property for equality
transitivity	applies transitivity property for equality
assumption	match conclusion with an hypothesis
exact	gives directly the exact proof term of the goal
left / right	replaces a goal consisting of a disjunction $P \vee Q$ with just $P$ or $Q$
split	replaces a goal consisting of a conjunction $P \wedge Q$ with two sub-goals $P$ and $Q$

## Coq Features 🧙 (Proofs: Basic Logical Reasoning)

**Lemma** `ex1_v1`:  $\forall (A\ B: \text{Prop}), A \vee B \rightarrow B \vee A$ .

**Proof.** `intros A B H.`  
    `destruct H as [ H | H ].`  
    - `apply or_intror.`  
      `exact H.`  
    - `apply or_introl.`  
      `exact H.`

**Qed.**

## Coq Features 🧙 (Proofs: Basic Logical Reasoning)

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      `exact H.`

**Qed.**

**Lemma** `ex1_v2`:  $\forall (A B: \text{Prop}), A \vee B \rightarrow B \vee A$ .

**Proof.** `intros A B H.`  
    `destruct H as [ H | H ].`  
    - `right.`  
      `exact H.`  
    - `left.`  
      `exact H.`

**Qed.**

## Coq Features 🧑 (Proofs: Basic Logical Reasoning)

**Lemma** `ex1_v1`:  $\forall (A\ B: \text{Prop}), A \vee B \rightarrow B \vee A$ .

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**Lemma** `ex1_v2`:  $\forall (A\ B: \text{Prop}), A \vee B \rightarrow B \vee A$ .

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    - `right.`  
      `exact H.`  
    - `left.`  
      `exact H.`

**Qed.**

**Lemma** `ex2_v1`:  $\forall (A\ B: \text{Prop}), A \wedge B \rightarrow B \vee A$ .

**Proof.** `intros A B H.`  
    `destruct H as (H1, H2).`  
    `left.`  
    `exact H2.`

**Qed.**

## Coq Features 🧙 (Proofs: Basic Logical Reasoning)

**Lemma** `ex1_v1`:  $\forall (A\ B: \text{Prop}), A \vee B \rightarrow B \vee A$ .

**Proof.** `intros A B H.`  
    `destruct H as [ H | H ].`  
    - `apply or_intror.`  
      `exact H.`  
    - `apply or_introl.`  
      `exact H.`

**Qed.**

**Lemma** `ex1_v2`:  $\forall (A\ B: \text{Prop}), A \vee B \rightarrow B \vee A$ .

**Proof.** `intros A B H.`  
    `destruct H as [ H | H ].`  
    - `right.`  
      `exact H.`  
    - `left.`  
      `exact H.`

**Qed.**

**Lemma** `ex2_v1`:  $\forall (A\ B: \text{Prop}), A \wedge B \rightarrow B \vee A$ .

**Proof.** `intros A B H.`  
    `destruct H as (H1, H2).`  
    `left.`  
    `exact H2.`

**Qed.**

**Lemma** `ex2_v2`:  $\forall (A\ B: \text{Prop}), A \wedge B \rightarrow B \vee A$ .

**Proof.** `intros A B H.`  
    `destruct H as (H1, H2).`  
    `right.`  
    `exact H1.`

**Qed.**

## Coq Features 🧑🏻 (Proofs: Basic Logical Reasoning (cont'd))

```
Theorem ex3:  $\forall (X: \text{Type}) (P: X \rightarrow \text{Prop}),$   
   $\sim (\exists (x: X), P\ x) \rightarrow (\forall (x: X), \sim P\ x).$   
Proof. intros X P H x.  
      unfold not.  
      unfold not in H.  
      intro px.  
      apply H.  
       $\exists$  x.  
      exact px.  
Qed.
```

## Coq Features 🧑🔧 (Proofs: Basic Logical Reasoning (cont'd))

**Theorem ex3:**  $\forall (X: \text{Type}) (P: X \rightarrow \text{Prop}),$   
 $\sim (\exists (x: X), P x) \rightarrow (\forall (x: X), \sim P x).$

**Proof.** intros X P H x.  
    unfold not.  
    unfold not in H.  
    intro px.  
    apply H.  
     $\exists$  x.  
    exact px.

**Qed.**

**Theorem ex4:**  $\forall (X: \text{Type}) (P: X \rightarrow \text{Prop}),$   
 $(\forall (x: X), \sim P x) \rightarrow \sim (\exists (x: X), P x).$

**Proof.** intros X P H.  
    unfold not.  
    intro He.  
    unfold not in H.  
    destruct He as (x, He).  
    specialize (H x He).  
    exact H.

**Qed.**



## Coq Features 🧑🔧 (Proofs: Basic Classical Logical Reasoning)

**Axiom** LEM:  $\forall P: \text{Prop}, P \vee \neg P.$

## Coq Features 🧑 (Proofs: Basic Classical Logical Reasoning)

**Axiom** LEM:  $\forall P: \text{Prop}, P \vee \neg P.$

**Theorem** dne:  $\forall P, \neg\neg P \rightarrow P.$

**Proof.** intros P H.  
 unfold not in H.  
 specialize (LEM P); intro HL.  
 destruct HL as [ HL | HL ].  
 - exact HL.  
 - unfold not in HL.  
 specialize (H HL).  
 contradiction.

**Qed.**

## Coq Features 🧑 (Proofs: Basic Classical Logical Reasoning)

**Axiom** LEM:  $\forall P: \text{Prop}, P \vee \neg P$ .

**Theorem** dne:  $\forall P, \neg\neg P \rightarrow P$ .

**Proof.** intros P H.  
 unfold not in H.  
 specialize (LEM P); intro HL.  
 destruct HL as [ HL | HL ].  
 - exact HL.  
 - unfold not in HL.  
 specialize (H HL).  
 contradiction.

**Qed.**

**Theorem** ex5:  $\forall (X: \text{Type}) (P: X \rightarrow \text{Prop}),$   
  $\sim (\forall (x: X), \sim P x) \rightarrow (\exists (x: X), P x)$ .

**Proof.** intros X P H.  
 specialize (LEM  $(\exists (x: X), P x)$ ); intros HL.  
 destruct HL as [ HL | HL ].  
 - exact HL.  
 - unfold not in \*.  
 destruct H.  
 intros x px.  
 apply HL.  
  $\exists$  x.  
 exact px.

**Qed.**

## Coq Features 🧑 (Proofs: Basic Equational Reasoning)

```
Lemma eq_trans_v1:  
  ∀ (A: Type) (a b c: A), a = b → b = c → a = c.  
Proof. intros A a b c Ha Hb.  
      specialize (@eq_ind A b (fun x ⇒ a = x) Ha c Hb); intro H.  
      simpl in H.  
      exact H.  
Qed.
```

## Coq Features 🧑 (Proofs: Basic Equational Reasoning)

**Lemma** `eq_trans_v1:`

$\forall (A: \text{Type}) (a\ b\ c: A), a = b \rightarrow b = c \rightarrow a = c.$

**Proof.** `intros A a b c Ha Hb.`  
`specialize (@eq_ind A b (fun x  $\Rightarrow$  a = x) Ha c Hb); intro H.`  
`simpl in H.`  
`exact H.`

**Qed.**

**Lemma** `eq_trans_v2:`

$\forall (A: \text{Type}) (a\ b\ c: A), a = b \rightarrow b = c \rightarrow a = c.$

**Proof.** `intros A a b c Ha Hb.`  
`induction Ha.`  
`exact Hb.`

**Qed.**

## Coq Features 🧑 (Proofs: Basic Equational Reasoning)

**Lemma** `eq_trans_v1:`

```
  ∀ (A: Type) (a b c: A), a = b → b = c → a = c.  
Proof. intros A a b c Ha Hb.  
      specialize (@eq_ind A b (fun x ⇒ a = x) Ha c Hb); intro H.  
      simpl in H.  
      exact H.  
Qed.
```

**Lemma** `eq_trans_v3:`

```
  ∀ (A: Type) (a b c: A), a = b → b = c → a = c.  
Proof. intros A a b c Ha Hb.  
      rewrite Ha.  
      exact Hb.  
Qed.
```

**Lemma** `eq_trans_v2:`

```
  ∀ (A: Type) (a b c: A), a = b → b = c → a = c.  
Proof. intros A a b c Ha Hb.  
      induction Ha.  
      exact Hb.  
Qed.
```

## Coq Features 🧑🔧 (Proofs: Basic Equational Reasoning (cont'd))

```
Lemma add_comm:  $\forall (a\ b:\text{nat}),\ a + b = b + a.$ 
```

Thanks! & Questions?