

# CENG 3549 – Functional Programming

## Simply Typed $\lambda$ -Calculus ( $\lambda \rightarrow$ )

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# Outline

- 1  $\lambda$ -Calculus
- 2 Programming In  $\lambda$ -Calculus
- 3 Typing In General
- 4 STLC( $\lambda \rightarrow$ )

## Church's Thesis

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- **Effectively computable** is an intuitive notion not a mathematical one: Church's thesis cannot be proven
- Only refutable – by counterexample: give a function that could be computed with some model but not with a Turing machine

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- **The Halting Problem:** given an arbitrary Turing machine and its input tape, will the machine eventually halt?
- The Halting Problem is provably uncomputable – which means that it cannot be solved in practice.



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  - each function  $f$  has a fixed domain  $X$  and a co-domain  $Y$
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$$f, g: X \rightarrow Y, \quad f = g \iff \forall x \in X, f(x) = g(x)$$

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- A function  $f: A \rightarrow B$  is an abstraction  $\lambda x.e$ , where  $x$  is a variable name, and  $e$  is an expression, such that when a value  $a \in A$  is substituted for  $x$  in  $e$ , then this expression (i.e.,  $f(a)$ ) evaluates to some (unique) value  $b \in B$

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  - two functions are equal if they are defined by (essentially) the same abstraction/formula



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  - Function defined as  $f := x \mapsto x^2$  is written as  $\lambda x.x^2$
  - $f(5)$  is  $(\lambda x.x^2)(5)$ , and evaluates to 25 (called  $\beta$ -reduction)

## Definition ( $\lambda$ -terms)

Terms  $s, t, r :=$

- |  $x$       variable (countable many)
- |  $\lambda x. t$    function abstraction
- |  $s t$      function application

## Definition ( $\lambda$ -equations)

- 1  $\beta$ -equivalence – to get there, we first need to define  $\alpha$ -equivalence and substitution

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- A term  $e$  is called **closed** if  $FV(e) = \emptyset$

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 &= ((\{z, v\} \setminus \{z, v\}) \cup \{x, y\} \cup \{z, u\}) \setminus \{x, y\}
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- this issue is best dealt with at the level of syntax rather than semantics
- from now on we re-define  $\lambda$  term to mean not an abstract syntax tree but rather an equivalence class of such trees with respect to  $\alpha$ -equivalence  $s =_{\alpha} t$ :

$$\frac{}{x =_{\alpha} x}$$

$$\frac{s =_{\alpha} s' \quad t =_{\alpha} t'}{s\ t =_{\alpha} s'\ t'}$$

$$\frac{t \cdot (y\ x) =_{\alpha} t' \cdot (y\ x') \quad y \text{ does not occur in } \{x, x', t, t'\}}{\lambda x. t =_{\alpha} \lambda x'. t'}$$

where  $t \cdot (y\ x)$  denotes the result of replacing all occurrences of  $x$  with  $y$  in  $t$

### Example ( $\alpha$ -equivalence)

$$\begin{array}{llll} \lambda x. x x & =_{\alpha} & \lambda y. y y & \neq_{\alpha} \lambda x. x y \\ (\lambda y. y) x & =_{\alpha} & (\lambda x. x) x & \neq_{\alpha} (\lambda x. x) y \end{array}$$

## Definition (substitution)

- substitution  $t[s/x]$  denotes the result of replacing all **free occurrences** of variable  $x$  in term  $t$  (i.e. those not occurring within the scope of a  $\lambda x.$  binder) by the term  $s$



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- e.g.,  $(\lambda y. (y, x))[y/x]$  is  $\lambda z. (z, y)$  and is not  $\lambda y. (y, y)$
- the relation  $t[s/x] = t'$  can be inductively defined by the following rules:

$$\frac{}{x[s/x] = s} \qquad \frac{y \neq x}{y[s/x] = y}$$

$$\frac{t[s/x] = t' \quad y \neq x \text{ and } y \text{ does not freely occur in } s}{(\lambda y. t)[s/x] = \lambda y. t'}$$

$$\frac{t_1[s/x] = t'_1 \quad t_2[s/x] = t'_2}{(t_1 \ t_2)[s/x] = t'_1 \ t'_2}$$

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$$(\lambda x. \lambda y. x y z)[(\lambda x. x y)/z] = \lambda x. \lambda a. x a (\lambda x. x y)$$



## Definition ( $\beta$ -equivalence (or $\beta$ -reduction))

the relation  $s =_{\beta} t$  (where  $s$  and  $t$  over terms) is inductively defined by the following rules:

- $\beta$ -conversion

$$\overline{(\lambda x. t) s =_{\beta} t[s/x]}$$

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- congruence rules

$$\frac{t =_{\beta} t'}{\lambda x. t =_{\beta} \lambda x. t'} \qquad \frac{s =_{\beta} s' \quad t =_{\beta} t'}{s t =_{\beta} s' t'}$$

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- $=_\beta$  is reflexive, symmetric and transitive

$$\frac{}{t =_\beta t} \qquad \frac{s =_\beta t}{t =_\beta s} \qquad \frac{r =_\beta s \quad s =_\beta t}{r =_\beta t}$$

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- Functions with many arguments: curriffication

## Representing Booleans

```
true      :=  $\lambda x. \lambda y. x$ 
false     :=  $\lambda x. \lambda y. y$ 
not        :=  $\lambda x. \lambda y. \lambda z. xzy$ 
and        :=  $\lambda x. \lambda y. xyx$ 
or         :=  $\lambda x. \lambda y. xxy$ 
if a then b else c :=  $\lambda a. \lambda b. \lambda c. abc$ 
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if $a$ then $b$ else $c$	:=	$\lambda a. \lambda b. \lambda c. abc$

For example:

$$(\lambda a. \lambda b. \lambda c. abc)(\lambda x. \lambda y. x) =_{\beta} \lambda b. \lambda c. (\lambda x. \lambda y. x)(bc) =_{\beta} \lambda b. \lambda c. (\lambda y. b)(c) =_{\beta} \lambda b. \lambda c. b$$

## Representing Booleans

true	:=	$\lambda x. \lambda y. x$
false	:=	$\lambda x. \lambda y. y$
not	:=	$\lambda x. \lambda y. \lambda z. xzy$
and	:=	$\lambda x. \lambda y. xyx$
or	:=	$\lambda x. \lambda y. xxy$
if $a$ then $b$ else $c$	:=	$\lambda a. \lambda b. \lambda c. abc$

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## Representing Booleans

```

true           :=  λx.λy.x
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not            :=  λx.λy.λz.xzy
and            :=  λx.λy.xyx
or             :=  λx.λy.xxy
if a then b else c  :=  λa.λb.λc.abc
    
```

For example:

$$(\lambda a. \lambda b. \lambda c. a \, b \, c)(\lambda x. \lambda y. x) =_{\beta} \lambda b. \lambda c. (\lambda x. \lambda y. x) \, (b \, c) =_{\beta} \lambda b. \lambda c. (\lambda y. b) \, (c) =_{\beta} \lambda b. \lambda c. b$$

$$(\lambda a. \lambda b. \lambda c. a \, b \, c)(\lambda x. \lambda y. y) =_{\beta} \lambda b. \lambda c. (\lambda x. \lambda y. y) \, (b \, c) =_{\beta} \lambda b. \lambda c. (\lambda y. y) \, (c) =_{\beta} \lambda b. \lambda c. c$$

```

not true      :=  (λx.λy.λz.xzy) (λx.λy.x)
               =β  (λy.λz.(λx.λy.x) zy)
               =β  (λy.λz.z)
    
```

## Representing Natural Numbers

- Church numerals:

$0 \quad := \quad \lambda s. \lambda z. z$



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## Example (addition)

$$\begin{aligned} \text{add } 2 \ 3 &:= \lambda s. \lambda z. (\lambda s. \lambda z. sssz) s ((\lambda s. \lambda z. ssz) sz) \\ &=_{\beta} \lambda s. \lambda z. (\lambda z. sssz) ((\lambda z. ssz) z) \\ &=_{\beta} \lambda s. \lambda z. sss ((\lambda z. ssz) z) \\ &=_{\beta} \lambda s. \lambda z. sssssz \end{aligned}$$

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$$\begin{aligned}\text{mult } 3 \ 2 &:= \lambda s. \lambda z. (\lambda s. \lambda z. ssz) ((\lambda s. \lambda z. sssz) s) z \\ &=_{\beta} \lambda s. \lambda z. (\lambda z. ((\lambda s. \lambda z. sssz) s) ((\lambda s. \lambda z. sssz) s) z) z \\ &=_{\beta} \lambda s. \lambda z. ((\lambda s. \lambda z. sssz) s) ((\lambda s. \lambda z. sssz) s) z \\ &=_{\beta} \lambda s. \lambda z. (\lambda z. sssz) (\lambda z. sssz) z \\ &=_{\beta} \lambda s. \lambda z. sss (\lambda z. sssz) z \\ &=_{\beta} \lambda s. \lambda z. ssssssz\end{aligned}$$

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`pair :=  $\lambda e_1. \lambda e_2. \lambda z. z\ e_1\ e_2$`

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$$\text{tuple} \quad := \quad \lambda e_1. \dots. \lambda e_n. \lambda z. z \ e_1 \ \dots \ e_n$$



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- $i^{\text{th}}$  projection

$$\text{proj}_i := \lambda u. u (\lambda x_1. \dots \lambda x_n. x_i)$$

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- constructors: cons and nil

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```

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```

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```
hd     :=  λx.first (second x)
tl     :=  λx.second (second x)
isNil  :=  first
```

## Encoding Recursion: the $\mathcal{Y}$ Combinator

- to encode recursion, we are looking for a combinator that, given an argument some function  $F$ , would not only reproduce itself but also pass  $F$  on itself.

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## Example ( $\mathcal{Y}$ Combinator)

Let  $F$  be  $\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x - 1))$

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$$Y F 3 \quad =_{\beta}^+ \quad F(Y F) 3$$



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Let  $F$  be  $\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x - 1))$

$$\begin{aligned} YF3 & \stackrel{+}{=}_{\beta} F(YF)3 \\ & := \lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x - 1)) (YF)3 \end{aligned}$$

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 & =_{\beta} \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (YF)(x - 1)) 3 \\
 & =_{\beta} \text{if } 3 == 0 \text{ then } 1 \text{ else } 3 * (YF)(3 - 1)
 \end{aligned}$$

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 & =_{\beta} \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (YF)(x - 1)) 3 \\
 & =_{\beta} \text{if } 3 == 0 \text{ then } 1 \text{ else } 3 * (YF)(3 - 1) \\
 & =_{\beta} 3 * (YF)2
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 & \stackrel{}{=}_{\beta} 3 * (YF)2 \\
 & \stackrel{+}{=}_{\beta} 3 * F(YF)2 \\
 & \stackrel{}{=}_{\beta} 3 * (\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x-1)) (YF)2)
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 & =_{\beta} 3 * (YF)2 \\
 & \stackrel{+}{=}_{\beta} 3 * F(YF)2 \\
 & =_{\beta} 3 * (\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x-1)) (YF)2) \\
 & =_{\beta} 3 * (\lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (YF)(x-1)) 2)
 \end{aligned}$$

## Example ( $\mathcal{Y}$ Combinator)

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 \mathcal{Y}F3 & \stackrel{+}{=}_{\beta} F(\mathcal{Y}F)3 \\
 & := \lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x-1)) (\mathcal{Y}F)3 \\
 & =_{\beta} \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (\mathcal{Y}F)(x-1)) 3 \\
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 & =_{\beta} 3 * (\mathcal{Y}F)2 \\
 & \stackrel{+}{=}_{\beta} 3 * F(\mathcal{Y}F)2 \\
 & =_{\beta} 3 * (\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x-1)) (\mathcal{Y}F)2) \\
 & =_{\beta} 3 * (\lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (\mathcal{Y}F)(x-1)) 2) \\
 & =_{\beta} 3 * (\text{if } 2 == 0 \text{ then } 1 \text{ else } 2 * (\mathcal{Y}F)(2-1))
 \end{aligned}$$



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 &=_{\beta} 3 * (YF)2 \\
 &=_{\beta}^{+} 3 * F(YF)2 \\
 &=_{\beta} 3 * (\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x-1)) (YF)2) \\
 &=_{\beta} 3 * (\lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (YF)(x-1)) 2) \\
 &=_{\beta} 3 * (\text{if } 2 == 0 \text{ then } 1 \text{ else } 2 * (YF)(2-1)) \\
 &=_{\beta} 3 * 2 * (YF)1
 \end{aligned}$$

## Example (Y Combinator)

Let  $F$  be  $\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x-1))$

$$\begin{aligned}
 YF3 &=_{\beta}^{+} F(YF)3 \\
 &:= \lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x-1)) (YF)3 \\
 &=_{\beta} \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (YF)(x-1)) 3 \\
 &=_{\beta} \text{if } 3 == 0 \text{ then } 1 \text{ else } 3 * (YF)(3-1) \\
 &=_{\beta} 3 * (YF)2 \\
 &=_{\beta}^{+} 3 * F(YF)2 \\
 &=_{\beta} 3 * (\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x-1)) (YF)2) \\
 &=_{\beta} 3 * (\lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (YF)(x-1)) 2) \\
 &=_{\beta} 3 * (\text{if } 2 == 0 \text{ then } 1 \text{ else } 2 * (YF)(2-1)) \\
 &=_{\beta} 3 * 2 * (YF)1 \\
 &=_{\beta} 6 * (YF)1
 \end{aligned}$$

## Example ( $\mathcal{Y}$ Combinator)

Let  $F$  be  $\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x-1))$

$$\begin{aligned}
 \mathcal{Y} F 3 & \stackrel{+}{=}_{\beta} F(\mathcal{Y} F) 3 \\
 & := \lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x-1)) (\mathcal{Y} F) 3 \\
 & =_{\beta} \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (\mathcal{Y} F)(x-1)) 3 \\
 & =_{\beta} \text{if } 3 == 0 \text{ then } 1 \text{ else } 3 * (\mathcal{Y} F)(3-1) \\
 & =_{\beta} 3 * (\mathcal{Y} F) 2 \\
 & \stackrel{+}{=}_{\beta} 3 * F(\mathcal{Y} F) 2 \\
 & =_{\beta} 3 * (\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x-1)) (\mathcal{Y} F) 2) \\
 & =_{\beta} 3 * (\lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (\mathcal{Y} F)(x-1)) 2) \\
 & =_{\beta} 3 * (\text{if } 2 == 0 \text{ then } 1 \text{ else } 2 * (\mathcal{Y} F)(2-1)) \\
 & =_{\beta} 3 * 2 * (\mathcal{Y} F) 1 \\
 & =_{\beta} 6 * (\mathcal{Y} F) 1 \\
 & \stackrel{+}{=}_{\beta} 6 * F(\mathcal{Y} F) 1
 \end{aligned}$$

## Example (Y Combinator (cont'd))

$6 * F(YF) 1$

### Example (Y Combinator (cont'd))

$$\begin{aligned} & 6 * F(Y F) 1 \\ =_{\beta} & 6 * (\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x - 1))) (Y F) 1 \end{aligned}$$

### Example ( $\mathcal{Y}$ Combinator (cont'd))

$6 * F (\mathcal{Y} F) 1$   
 $=_{\beta} 6 * (\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f (x - 1))) (\mathcal{Y} F) 1$   
 $=_{\beta} 6 * (\lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (\mathcal{Y} F) (x - 1))) 1$

### Example ( $\mathcal{Y}$ Combinator (cont'd))

$6 * F(\mathcal{Y}F) 1$   
 $=_{\beta} 6 * (\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x - 1))) (\mathcal{Y}F) 1$   
 $=_{\beta} 6 * (\lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (\mathcal{Y}F)(x - 1))) 1$   
 $=_{\beta} 6 * (\text{if } 1 == 0 \text{ then } 1 \text{ else } 1 * (\mathcal{Y}F)(1 - 1))$

### Example ( $\mathcal{Y}$ Combinator (cont'd))

```
6 * F ( $\mathcal{Y} F$ ) 1
=β 6 * (λf.λx.(if x == 0 then 1 else x * f (x - 1)) ( $\mathcal{Y} F$ ) 1)
=β 6 * (λx.(if x == 0 then 1 else x * ( $\mathcal{Y} F$ ) (x - 1)) 1)
=β 6 * (if 1 == 0 then 1 else 1 * ( $\mathcal{Y} F$ ) (1 - 1))
=β 6 * ( $\mathcal{Y} F$ ) 0
```



### Example ( $\mathcal{Y}$ Combinator (cont'd))

$$\begin{aligned} & 6 * F(\mathcal{Y}F) 1 \\ \stackrel{=_{\beta}}{=} & 6 * (\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x - 1))) (\mathcal{Y}F) 1 \\ \stackrel{=_{\beta}}{=} & 6 * (\lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (\mathcal{Y}F)(x - 1))) 1 \\ \stackrel{=_{\beta}}{=} & 6 * (\text{if } 1 == 0 \text{ then } 1 \text{ else } 1 * (\mathcal{Y}F)(1 - 1)) \\ \stackrel{=_{\beta}}{=} & 6 * (\mathcal{Y}F) 0 \\ \stackrel{=_{\beta}^{+}}{=} & 6 * F(\mathcal{Y}F) 0 \end{aligned}$$

### Example (Y Combinator (cont'd))

```
6 * F (Y F) 1
=β 6 * (λf.λx.(if x == 0 then 1 else x * f (x - 1)) (Y F) 1)
=β 6 * (λx.(if x == 0 then 1 else x * (Y F) (x - 1)) 1)
=β 6 * (if 1 == 0 then 1 else 1 * (Y F) (1 - 1))
=β 6 * (Y F) 0
=β+ 6 * F (Y F) 0
=β 6 * (λf.λx.(if x == 0 then 1 else x * f (x - 1)) (Y F) 0)
```

### Example (Y Combinator (cont'd))

$$\begin{aligned} & 6 * F(YF) 1 \\ \Rightarrow_{\beta} & 6 * (\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x - 1))) (YF) 1 \\ \Rightarrow_{\beta} & 6 * (\lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (YF)(x - 1))) 1 \\ \Rightarrow_{\beta} & 6 * (\text{if } 1 == 0 \text{ then } 1 \text{ else } 1 * (YF)(1 - 1)) \\ \Rightarrow_{\beta} & 6 * (YF) 0 \\ \Rightarrow_{\beta}^{+} & 6 * F(YF) 0 \\ \Rightarrow_{\beta} & 6 * (\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x - 1))) (YF) 0 \\ \Rightarrow_{\beta} & 6 * (\lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (YF)(x - 1))) 0 \end{aligned}$$

## Example (Y Combinator (cont'd))

$$\begin{aligned}
 & 6 * F(YF) 1 \\
 =_{\beta} & 6 * (\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x - 1))) (YF) 1 \\
 =_{\beta} & 6 * (\lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (YF)(x - 1))) 1 \\
 =_{\beta} & 6 * (\text{if } 1 == 0 \text{ then } 1 \text{ else } 1 * (YF)(1 - 1)) \\
 =_{\beta} & 6 * (YF) 0 \\
 =_{\beta}^{+} & 6 * F(YF) 0 \\
 =_{\beta} & 6 * (\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x - 1))) (YF) 0 \\
 =_{\beta} & 6 * (\lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (YF)(x - 1))) 0 \\
 =_{\beta} & 6 * (\text{if } 0 == 0 \text{ then } 1 \text{ else } 1 * (YF)(0 - 1))
 \end{aligned}$$

### Example (Y Combinator (cont'd))

```
6 * F (Y F) 1
=β 6 * (λf.λx.(if x == 0 then 1 else x * f (x - 1)) (Y F) 1)
=β 6 * (λx.(if x == 0 then 1 else x * (Y F) (x - 1)) 1)
=β 6 * (if 1 == 0 then 1 else 1 * (Y F) (1 - 1))
=β 6 * (Y F) 0
=β+ 6 * F (Y F) 0
=β 6 * (λf.λx.(if x == 0 then 1 else x * f (x - 1)) (Y F) 0)
=β 6 * (λx.(if x == 0 then 1 else x * (Y F) (x - 1)) 0)
=β 6 * (if 0 == 0 then 1 else 1 * (Y F) (0 - 1))
=β 6 * 1
```

## Example (Y Combinator (cont'd))

$$\begin{aligned}
 & 6 * F(YF) 1 \\
 =_{\beta} & 6 * (\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x - 1))) (YF) 1 \\
 =_{\beta} & 6 * (\lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (YF)(x - 1))) 1 \\
 =_{\beta} & 6 * (\text{if } 1 == 0 \text{ then } 1 \text{ else } 1 * (YF)(1 - 1)) \\
 =_{\beta} & 6 * (YF) 0 \\
 =_{\beta}^{+} & 6 * F(YF) 0 \\
 =_{\beta} & 6 * (\lambda f. \lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * f(x - 1))) (YF) 0 \\
 =_{\beta} & 6 * (\lambda x. (\text{if } x == 0 \text{ then } 1 \text{ else } x * (YF)(x - 1))) 0 \\
 =_{\beta} & 6 * (\text{if } 0 == 0 \text{ then } 1 \text{ else } 1 * (YF)(0 - 1)) \\
 =_{\beta} & 6 * 1 \\
 =_{\beta} & 6
 \end{aligned}$$

# Outline

- 1  $\lambda$ -Calculus
- 2 Programming In  $\lambda$ -Calculus
- 3 Typing In General**
- 4 STLC( $\lambda \rightarrow$ )

## Need for Types

- In (untyped)  $\lambda$ -Calculus, we can easily misuse terms:

false    :=    $\lambda x. \lambda y. y$

0        :=    $\lambda s. \lambda z. z$



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- there is an obvious need to guarantee that function parameters are “valid” in term of function application to prevent errors during the evaluation
- this is in fact the fundamental purpose of **type systems**

## Type Systems in General

- **type system** is a set of rules that assigns a property called a **type** to the terms (perhaps to other various constructs) in a program with a purpose to reduce possibilities for bugs, and evaluation errors

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- some mechanism to distinguish “good” and “bad” programs

$0 + 1$	is	well-typed	good
$0 + \text{false}$	is	ill-typed	bad
$\text{if false then } 10 \text{ else } 20$	is	well-typed	good
$1 + (\text{if true then } 10 \text{ else false})$	is	ill-typed	bad

## Type Systems in General (cont'd)

- main point is to **classify terms into types**
- given a set of (inductively generated) types

$$Ty \quad := \quad T_1 \mid T_2 \mid T_3 \mid \dots$$

- a term  $t$  might be of type  $T_1, T_2, T_3, \dots$

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- formally, a **typing relation** is a partial binary predicate “ $:$ ” :  $\mathcal{E} \times \mathcal{T}y \rightarrow Bool$  where
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- some related notions:

language	$:=$	as a set $\mathcal{E}$ of all possible terms
type language	$:=$	as a set $\mathcal{T}y$ of all possible types
typing relation	$:=$	as a partial relation “ $:$ ” $\subseteq \mathcal{E} \times \mathcal{T}y$

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typing relation	:=	as a partial relation “:” $\subseteq \mathcal{E} \times \mathcal{T}y$

- **categorical approach** is slightly different:

language	:=	internal language of a certain category $\mathcal{C}$
types	:=	objects of $\mathcal{C}$
terms	:=	arrows of $\mathcal{C}$

# Outline

- 1  $\lambda$ -Calculus
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## Definition (Simply Typed $\lambda$ -Calculus ( $\lambda \rightarrow$ ))

Types  $A, B, C, \dots :=$

- |  $G, G', G'', \dots$  “ground” types
- |  $\text{unit}$  unit type
- |  $A \times B$  product type
- |  $A \rightarrow B$  function type

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**Terms**  $s, t, r :=$

- |  $c^A$  constants (of given type  $A$ )
- |  $x$  variable (countable many)
- |  $()$  unit value
- |  $(s, t)$  pair
- |  $\text{fst } t$  first pair projection
- |  $\text{snd } t$  second pair projection
- |  $\lambda x: A. t$  function abstraction
- |  $s t$  function application

### Example (term examples)

- $\lambda z: (A \rightarrow B) \times (A \rightarrow C). \lambda x: A. ((\text{fst } z) x, (\text{snd } z) x)$

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## Definition ( $\lambda \rightarrow$ typing relation: $\Gamma \vdash t : A$ )

$\Gamma$  ranges over **typing environments (or typing contexts)**

$\Gamma :=$

- |  $[]$  “empty” environment
- |  $\Gamma, x : A$  “non-empty” environment

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## Notation

- $\Gamma$  ok means that no variable occurs more than once in  $\Gamma$
- $\text{dom } \Gamma$  denotes the finite set of variables occurring in  $\Gamma$

## Definition (λ→ typing relation: $\Gamma \vdash t : A$ (cont'd))

$$\frac{\Gamma \text{ ok} \quad x \notin \text{dom } \Gamma}{\Gamma, x : A \vdash x : A} \text{ (var)}$$

$$\frac{\Gamma \vdash x : A \quad x' \notin \text{dom } \Gamma}{\Gamma, x' : A \vdash x : A} \text{ (var')}$$

$$\frac{\Gamma \text{ ok}}{\Gamma \vdash c^A : A} \text{ (const)}$$

$$\frac{\Gamma \text{ ok}}{\Gamma \vdash () : \text{unit}} \text{ (unit)}$$

$$\frac{\Gamma \vdash s : A \quad \Gamma \vdash t : B}{\Gamma \vdash (s, t) : A \times B} \text{ (pair)}$$

$$\frac{\Gamma \vdash t : A \times B}{\Gamma \vdash \text{fst } t : A} \text{ (fstT)}$$

$$\frac{\Gamma \vdash t : A \times B}{\Gamma \vdash \text{snd } t : B} \text{ (sndT)}$$

$$\frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x : A. t : A \rightarrow B} \text{ (fun)}$$

$$\frac{\Gamma \vdash s : A \rightarrow B \quad \Gamma \vdash t : A}{\Gamma \vdash s \ t : B} \text{ (app)}$$

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- $[] \vdash \lambda z: A \rightarrow (B \times C). \lambda x: A. ((\text{fst } z) x, (\text{snd } z) x)$  has no type (ill-typed term)



## Example (typing derivation)

in a typing context  $\Gamma = [], f: A \rightarrow B, g: B \rightarrow C$ , we have an example derivation of a term  $s: A \rightarrow C$  as follows:

$$\begin{array}{c}
 \frac{}{\Gamma \vdash g: B \rightarrow C} \text{ (var)} \quad \frac{\frac{}{[\ ], f: A \rightarrow B \vdash f: A \rightarrow B} \text{ (var)}}{\Gamma \vdash f: A \rightarrow B} \text{ (var')} \quad \frac{}{\Gamma, x: A \vdash x: A} \text{ (var)} \\
 \frac{}{\Gamma, x: A \vdash g: B \rightarrow C} \text{ (var')} \quad \frac{\Gamma \vdash f: A \rightarrow B}{\Gamma, x: A \vdash f: A \rightarrow B} \text{ (var')} \quad \frac{}{\Gamma, x: A \vdash x: A} \text{ (var)} \\
 \frac{}{\Gamma, x: A \vdash g: B \rightarrow C} \text{ (var')} \quad \frac{\Gamma, x: A \vdash f: A \rightarrow B}{\Gamma, x: A \vdash f x: B} \text{ (app)} \quad \frac{}{\Gamma, x: A \vdash x: A} \text{ (var)} \\
 \frac{}{\Gamma, x: A \vdash g: B \rightarrow C} \text{ (var')} \quad \frac{\Gamma, x: A \vdash f x: B}{\Gamma, x: A \vdash g (f x): C} \text{ (app)} \\
 \frac{}{\Gamma, x: A \vdash g (f x): C} \text{ (fun)} \\
 \frac{}{\Gamma \vdash \lambda x: A. g (f x): A \rightarrow C} \text{ (fun)}
 \end{array}$$

## Remark

the  $\lambda^{\rightarrow}$  typing rules are “syntax-directed”, by the structure of terms  $t$  and then in the case of variables  $x$ , by the structure of typing environments  $\Gamma$ .

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- this issue is best dealt with at the level of syntax rather than semantics
- from now on we re-define  $\lambda^{\rightarrow}$  term to mean not an abstract syntax tree but rather an equivalence class of such trees with respect to **α-equivalence**  $s =_{\alpha} t$ :

$$\begin{array}{c}
 \overline{c^A =_{\alpha} c^A} \qquad \overline{x =_{\alpha} x} \qquad \overline{() =_{\alpha} ()} \\
 \\
 \frac{s =_{\alpha} s' \quad t =_{\alpha} t'}{(s, t) =_{\alpha} (s', t')} \qquad \frac{t =_{\alpha} t'}{\text{fst } t =_{\alpha} \text{fst } t'} \qquad \frac{t =_{\alpha} t'}{\text{snd } t =_{\alpha} \text{snd } t'} \\
 \\
 \frac{s =_{\alpha} s' \quad t =_{\alpha} t'}{s\ t =_{\alpha} s'\ t'} \qquad \frac{t \cdot (y\ x) =_{\alpha} t' \cdot (y\ x') \quad y \text{ does not occur in } \{x, x', t, t'\}}{\lambda x: A. t =_{\alpha} \lambda x': A. t'}
 \end{array}$$

where  $t \cdot (y\ x)$  denotes the result of replacing all occurrences of  $x$  with  $y$  in  $t$

### Example ( $\alpha$ -equivalence)

$$\begin{array}{llll} \lambda x:A. x\ x & =_{\alpha} & \lambda y:A. y\ y & \neq_{\alpha} \lambda x:A. x\ y \\ (\lambda y:A. y)\ x & =_{\alpha} & (\lambda x:A. x)\ x & \neq_{\alpha} (\lambda x:A. x)\ y \end{array}$$

## Definition (substitution)

- substitution  $t[s/x]$  denotes the result of replacing all **free occurrences** of variable  $x$  in term  $t$  (i.e. those not occurring within the scope of a  $\lambda x: A.$  binder) by the term  $s$

## Definition (substitution)

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- e.g.,  $(\lambda y: A. (y, x))[y/x]$  is  $\lambda z: A. (z, y)$  and is not  $\lambda y: A. (y, y)$
- the relation  $t[s/x] = t'$  can be inductively defined by the following rules:

$$\frac{}{c^A[s/x] = c^A}$$

$$\frac{}{x[s/x] = s}$$

$$\frac{y \neq x}{y[s/x] = y}$$

$$\frac{}{() [s/x] = ()}$$

$$\frac{t_1[s/x] = t'_1 \quad t_2[s/x] = t'_2}{(t_1, t_2)[s/x] = (t'_1, t'_2)}$$

$$\frac{t[s/x] = t'}{(\text{fst } t)[s/x] = \text{fst } t'}$$

$$\frac{t[s/x] = t'}{(\text{snd } t)[s/x] = \text{snd } t'}$$

$$\frac{t_1[s/x] = t'_1 \quad t_2[s/x] = t'_2}{(t_1 \ t_2)[s/x] = t'_1 \ t'_2}$$

$$\frac{t[s/x] = t' \quad y \neq x \text{ and } y \text{ does not freely occur in } s}{(\lambda y: A. t)[s/x] = \lambda y: A. t'}$$

## Definition ( $\beta\eta$ -equality)

the relation  $\Gamma \vdash s =_{\beta\eta} t : A$  (where  $\Gamma$  ranges over typing environments,  $s$  and  $t$  over terms and  $A$  over types) is inductively defined by the following rules:

- $\beta$ -conversion

$$\frac{\Gamma, x : A \vdash t : B \quad \Gamma \vdash s : A}{\Gamma \vdash (\lambda x : A. t) s =_{\beta\eta} t[s/x] : B}$$

$$\frac{\Gamma \vdash s : A \quad \Gamma \vdash t : B}{\Gamma \vdash \text{fst}(s, t) =_{\beta\eta} s : A}$$

$$\frac{\Gamma \vdash s : A \quad \Gamma \vdash t : B}{\Gamma \vdash \text{snd}(s, t) =_{\beta\eta} t : B}$$



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$$\frac{\Gamma \vdash s : A \quad \Gamma \vdash t : B}{\Gamma \vdash \text{snd}(s, t) =_{\beta\eta} t : B}$$

- η-conversion

$$\frac{\Gamma \vdash t : A \rightarrow B \quad x \text{ does not occur in } t}{\Gamma \vdash t =_{\beta\eta} (\lambda x : A. t x) : A \rightarrow B}$$

$$\frac{\Gamma \vdash t : A \times B}{\Gamma \vdash t =_{\beta\eta} (\text{fst } t, \text{snd } t) : A \times B}$$

$$\frac{\Gamma \vdash t : \text{unit}}{\Gamma \vdash t =_{\beta\eta} () : \text{unit}}$$

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- β-conversion

$$\frac{\Gamma, x : A \vdash t : B \quad \Gamma \vdash s : A}{\Gamma \vdash (\lambda x : A. t) s =_{\beta\eta} t[s/x] : B} \quad \frac{\Gamma \vdash s : A \quad \Gamma \vdash t : B}{\Gamma \vdash \text{fst}(s, t) =_{\beta\eta} s : A} \quad \frac{\Gamma \vdash s : A \quad \Gamma \vdash t : B}{\Gamma \vdash \text{snd}(s, t) =_{\beta\eta} t : B}$$

- η-conversion

$$\frac{\Gamma \vdash t : A \rightarrow B \quad x \text{ does not occur in } t}{\Gamma \vdash t =_{\beta\eta} (\lambda x : A. t x) : A \rightarrow B} \quad \frac{\Gamma \vdash t : A \times B}{\Gamma \vdash t =_{\beta\eta} (\text{fst } t, \text{snd } t) : A \times B} \quad \frac{\Gamma \vdash t : \text{unit}}{\Gamma \vdash t =_{\beta\eta} () : \text{unit}}$$

- congruence rules

$$\frac{\Gamma, x : A \vdash t =_{\beta\eta} t' : B}{\Gamma \vdash \lambda x : A. t =_{\beta\eta} \lambda x : A. t' : A \rightarrow B} \quad \frac{\Gamma \vdash s =_{\beta\eta} s' : A \rightarrow B \quad \Gamma \vdash t =_{\beta\eta} t' : A}{\Gamma \vdash s t =_{\beta\eta} s' t' : B}$$

## Definition (βη-equality)

the relation  $\Gamma \vdash s =_{\beta\eta} t : A$  (where  $\Gamma$  ranges over typing environments,  $s$  and  $t$  over terms and  $A$  over types) is inductively defined by the following rules:

- β-conversion

$$\frac{\Gamma, x : A \vdash t : B \quad \Gamma \vdash s : A}{\Gamma \vdash (\lambda x : A. t) s =_{\beta\eta} t[s/x] : B} \quad \frac{\Gamma \vdash s : A \quad \Gamma \vdash t : B}{\Gamma \vdash \text{fst}(s, t) =_{\beta\eta} s : A} \quad \frac{\Gamma \vdash s : A \quad \Gamma \vdash t : B}{\Gamma \vdash \text{snd}(s, t) =_{\beta\eta} t : B}$$

- η-conversion

$$\frac{\Gamma \vdash t : A \rightarrow B \quad x \text{ does not occur in } t}{\Gamma \vdash t =_{\beta\eta} (\lambda x : A. t x) : A \rightarrow B} \quad \frac{\Gamma \vdash t : A \times B}{\Gamma \vdash t =_{\beta\eta} (\text{fst } t, \text{snd } t) : A \times B} \quad \frac{\Gamma \vdash t : \text{unit}}{\Gamma \vdash t =_{\beta\eta} () : \text{unit}}$$

- congruence rules

$$\frac{\Gamma, x : A \vdash t =_{\beta\eta} t' : B}{\Gamma \vdash \lambda x : A. t =_{\beta\eta} \lambda x : A. t' : A \rightarrow B} \quad \frac{\Gamma \vdash s =_{\beta\eta} s' : A \rightarrow B \quad \Gamma \vdash t =_{\beta\eta} t' : A}{\Gamma \vdash s t =_{\beta\eta} s' t' : B}$$

- $=_{\beta\eta}$  is reflexive, symmetric and transitive

$$\frac{\Gamma \vdash t : A}{\Gamma \vdash t =_{\beta\eta} t : A} \quad \frac{\Gamma \vdash s =_{\beta\eta} t : A}{\Gamma \vdash t =_{\beta\eta} s : A} \quad \frac{\Gamma \vdash r =_{\beta\eta} s : A \quad \Gamma \vdash s =_{\beta\eta} t : A}{\Gamma \vdash r =_{\beta\eta} t : A}$$

Thanks! & Questions?