



UNIVERSITY COLLEGE LONDON

MENG MECHANICAL ENGINEERING

MECH0013 MECHANICS OF SOLIDS AND STRUCTURES

## TOPIC NOTES

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# Contents

<b>List of Figures</b>	<b>v</b>
<b>List of Tables</b>	<b>ix</b>
<b>1 Basic Concepts of Structure Mechanics</b>	<b>1</b>
1.1 Actions and Deformations . . . . .	1
1.1.1 Vector Quantities . . . . .	1
1.1.2 Equilibrium State . . . . .	2
1.1.3 Deformations . . . . .	2
1.2 Degree of Freedom and Supports . . . . .	3
1.2.1 Constraint . . . . .	4
1.3 Beams and Sign Conventions . . . . .	4
1.3.1 Types of Structures . . . . .	4
1.3.2 Beams . . . . .	5
1.3.3 Internal Forces . . . . .	6
1.3.4 Sign Conventions . . . . .	7
<b>2 Bending of Beams</b>	<b>9</b>
2.1 Internal Forces and Diagrams . . . . .	9
2.1.1 Bending Moment . . . . .	9
2.1.2 Diagrams and Determination of Internal Forces . . . . .	9
2.2 Derivation of Bending Equations . . . . .	12
2.2.1 Equilibrium - Beam Bending Equations . . . . .	12
2.3 Differential Equations for Deflection . . . . .	14
2.3.1 Theory of Pure Bending . . . . .	14
2.3.2 Compatibility - Strains in Pure Bending . . . . .	14
2.3.3 Constitutive - Stress-Curvature Relation . . . . .	15
2.3.4 Equilibrium - Force-Stress Relation . . . . .	16
2.3.5 Equilibrium - Bending-Stress Relation . . . . .	16
2.3.6 Solid Mechanics Equations . . . . .	17
2.3.7 Geometric - Slope-Deflection Relation . . . . .	17
2.3.8 Stresses Due to Shear Force . . . . .	18
2.3.9 Summary of Beam Bending Equations . . . . .	18
2.3.10 Direct Integration Method . . . . .	19
2.4 Statically Determinate Beams . . . . .	21
2.4.1 Double Integration Method . . . . .	21
2.5 Macaulay's Method . . . . .	22
2.5.1 Step Function . . . . .	22
2.5.2 Examples . . . . .	23
2.6 Statically Indeterminate Beams . . . . .	25

<b>3 Plastic collapse</b>	<b>29</b>
3.1 Introduction . . . . .	29
3.1.1 Elastic and Plastic Regimes . . . . .	29
3.1.2 Stress-Strain Plastic Relationship . . . . .	30
3.2 Plastic Theory of Collapse . . . . .	30
3.2.1 Bending and Plastic Collapse . . . . .	30
3.2.2 Elastic Bending Moment . . . . .	31
3.2.3 Elasto-Plastic Bending Moment . . . . .	31
3.2.4 Plastic Bending Moment . . . . .	33
3.2.5 Shape Factor . . . . .	33
3.2.6 Plastic Collapse Under Bending . . . . .	34
3.2.7 Neutral Axis with Asymmetry . . . . .	34
3.2.8 Example: Shape factor for T-beam . . . . .	36
3.3 Plastic Hinges . . . . .	38
3.4 Collapse Mechanism . . . . .	42
3.4.1 Statically Determinate Beam . . . . .	42
3.4.2 Statically Indeterminate Beam . . . . .	43
3.5 Collapse Loads for Portal Frames . . . . .	45
3.6 Portal Frames with Hinged Bases . . . . .	46
3.6.1 Deformations and Bending Moments . . . . .	46
3.6.2 Bending Moment Distribution . . . . .	47
3.6.3 Plastic Hinge Sections . . . . .	48
3.6.4 Possible Plastic Collapse Mechanisms . . . . .	49
3.7 Principle of Virtual Works . . . . .	50
3.7.1 Energy . . . . .	50
3.7.2 Principle of Virtual Works . . . . .	50
3.7.3 Applications to Portal Frames . . . . .	50
3.7.4 Collapse Mechanism . . . . .	53
3.8 Portal Frames with Fixed Bases . . . . .	53
3.8.1 Deformations and Bending Moments . . . . .	54
3.8.2 Bending Moment Distribution . . . . .	54
3.8.3 Possible Plastic Collapse Mechanisms . . . . .	56
<b>4 Energy methods</b>	<b>60</b>
4.1 Strain energy . . . . .	60
4.1.1 Bending moment . . . . .	62
4.2 Castigliano's Theorem . . . . .	63
4.2.1 Application of Castigliano's theorem . . . . .	64
4.3 Examples and applications . . . . .	64
4.3.1 Dummy loads . . . . .	64
4.3.2 Curved beams . . . . .	65
<b>5 Beam buckling</b>	<b>71</b>
5.1 Euler's analysis of buckling . . . . .	72
5.1.1 Euler buckling theory . . . . .	72
5.1.2 Buckling modes . . . . .	75
5.1.3 Stress in buckling . . . . .	75
5.1.4 Struts with other end conditions . . . . .	77
5.1.5 Effective length . . . . .	77
5.1.6 Design against buckling . . . . .	78
<b>6 Principal stress</b>	<b>81</b>
6.1 Plane stress state . . . . .	81

6.1.1	State of equilibrium . . . . .	81
6.1.2	Stress components . . . . .	82
6.1.3	Normal and shear stress in a plane $\sigma$ & $\tau @ \theta$ . . . . .	83
6.2	Principal stress . . . . .	84
6.2.1	Maximum and minimum stress . . . . .	84
6.3	Mohr's circles . . . . .	85
6.3.1	Construction of Mohr's circles - A . . . . .	86
6.3.2	Construction of Mohr's circles - B . . . . .	87
6.4	Application of Mohr's circles . . . . .	88
6.4.1	Determination of principal stresses . . . . .	88
6.4.2	Determination of max shear stress . . . . .	88
6.5	Tri-axial state of stress . . . . .	89
6.5.1	Plane stress state . . . . .	89
6.5.2	Tri-axial stress state . . . . .	89
6.5.3	Complete Mohr's circles . . . . .	90
6.6	Stress strain relations . . . . .	91
6.6.1	Principal strains . . . . .	91
6.6.2	What is the Poisson's ratio? ( $\nu$ ) . . . . .	91
6.6.3	Uni-axial case . . . . .	91
6.6.4	Bi-axial case . . . . .	92
6.6.5	Tri-axial case . . . . .	92
<b>7</b>	<b>Failure criteria</b> . . . . .	<b>93</b>
7.1	Failure modes . . . . .	93
7.1.1	Forms of failure . . . . .	93
7.1.2	Micro-structural failures . . . . .	93
7.1.3	Ductile and brittle materials . . . . .	94
7.1.4	Brittle materials . . . . .	94
7.1.5	Ductile materials . . . . .	94
7.1.6	Brittle - ductile . . . . .	95
7.1.7	Hydrostatic stress state . . . . .	95
7.2	Failure criteria . . . . .	96
7.3	Maximum principal stress theory . . . . .	96
7.3.1	Max & min principal stress theory . . . . .	96
7.3.2	Maximum normal strain theory . . . . .	97
7.4	Maximum shear stress theory . . . . .	99
7.4.1	Criteria for ductile materials . . . . .	99
7.5	Maximum energy theories . . . . .	101
7.5.1	Maximum strain energy theory . . . . .	101
7.5.2	Distortion-energy theory . . . . .	102
7.5.3	Comparison with experiments . . . . .	104
7.6	Experimental criteria . . . . .	104
7.6.1	Mohr's criterion . . . . .	104
7.6.2	Coulomb-Mohr's criterion . . . . .	105
7.7	Conclusion . . . . .	106
<b>8</b>	<b>Axisymmetric stresses and strains</b> . . . . .	<b>107</b>
8.1	Thin-walled cylinders . . . . .	107
8.2	Thick-walled cylinders - radial and hoop stresses . . . . .	109
8.2.1	Failure of thick wall cylinders . . . . .	109
8.2.2	Radial and hoop stresses & strains . . . . .	109
8.2.3	Solid mechanics equations . . . . .	109
8.2.4	Assumptions . . . . .	109

8.2.5	Equilibrium equations . . . . .	110
8.2.6	Compatibility relations . . . . .	111
8.2.7	Constitutive laws . . . . .	111
8.2.8	Solid mechanics equations . . . . .	112
8.2.9	Stress variation . . . . .	114
8.3	Thick-walled cylinders - longitudinal stress . . . . .	115
8.3.1	Thick vs thin cylinders . . . . .	116
8.4	Thick wall cylinders . . . . .	116
8.5	Compound cylinders . . . . .	117
8.5.1	Containing high pressures . . . . .	117
8.5.2	Compound or 'built-up' cylinders . . . . .	118
8.5.3	Stress state at the interface . . . . .	119
8.5.4	Analysis of compound cylinders . . . . .	121
8.6	Rotating discs . . . . .	122
8.6.1	Solid mechanics equations . . . . .	123
8.6.2	Differential equation . . . . .	124
8.6.3	Axisymmetric stresses . . . . .	124
8.6.4	Continuous discs . . . . .	125
8.6.5	Discs with central hole . . . . .	126

# List of Figures

1.1 Solid Mechanics Equation: When dealing with mechanical action problems, the actions listed in the flowchart above occur, starting with external/internal forces and ending with displacements/deformations . . . . .	3
1.2 On the left: Simply supported beam (rotating support + roller support), On the right: Cantilever beam (with a fixed end) . . . . .	6
2.1 Horizontal beam with vertical distributed load. We focus a section of the beam with the very small length $\delta x$ . . . . .	12
2.2 The shear force and bending moment varying along $x$ . . . . .	14
2.3 Strains are distributed linearly across the section . . . . .	15
2.4 The relationships between Force, Shear Force, Bending Moment, Stress, Strain, Curvature . .	17
2.5 The geometry of the beam under bending. The direction of the angle $\theta$ is based on the "right-hand rule". . . . .	17
2.6 Different loads/moment applied to beams, their respective moment distributions and step functions . . . . .	22
2.7 Depending on the loading, the profile of the deflection will be different. Maximum deflection occurs when $a = b = \frac{L}{2}$ . . . . .	28
3.1 Solid Mechanics Equations: The relationship between actions, stresses, strains and deformations	29
3.2 Typical elasto-plastic material . . . . .	30
3.3 Perfectly elasto-plastic material . . . . .	30
3.4 In the elasto-plastic phase, the neutral axis shifts from the centroid (centre of gravity) to the centre of area of the section . . . . .	35
3.5 The shape factors of Rectangular, T-shaped and I-shaped cross sections . . . . .	38
3.6 As $W$ increases the bending moment raise until the yielding moment is reached at midspan. Yielding starts at midspan, at the top and bottom edges of the section, and spreads inwards to the NA and at either sides. . . . .	39
3.7 By the time plastic hinge forms at the midspan, yielding is quite widespread in the beam. . . .	40
3.8 Experimental Load-Deflection Characteristic . . . . .	40
3.9 The moment increases as the load increases while keeping profile the same, reaching $M_Y$ at a certain point. . . . .	41
3.10 As the load is further increased, $M_P$ is reached. The spread of the plastic region occurs on both the cross-section and to the sides. Plastic hinge formations occurs. . . . .	41
3.11 The beam under working condition, at yielding onset, and at hinge formation. The moment should be kept at/below the working condition for safety reasons. . . . .	42
3.12 The moment diagrams when the load is increased to the yielding onset/moment . . . . .	43
3.13 The moment diagrams when the highest moment (on the left) reaches plastic moment, and the moment at the midpoint surpasses the yielding moment. At this point, a plastic hinge is formed at the left end of this beam, hence the boundary condition changes. However, the plastic moment $M_P$ to resist the bending still exists. . . . .	44

3.14	The additional load $W''$ will further increase the bending moment at the midspan, that will eventually reach the plastic moment and form another hinge: the structure will become labile and collapse.	44
3.15	Experimental Load-Deflection Characteristic	45
3.16	Left - rectangular portal frame, Right - pitched-roof portal frame	46
4.1		60
4.2		61
4.3		61
4.4		62
4.5		62
4.6		63
4.7		63
4.8		64
4.9		65
4.10		66
4.11		66
4.12		68
4.13		69
4.14		69
5.1		71
5.2		72
5.3		72
5.4		74
5.5		74
5.6		75
5.7		75
5.8		76
5.9		76
5.10		77
5.11		77
5.12		78
5.13	Hollow sections provide a greater critical load for a given cross-sectional area.	78
5.14	If the $\frac{D}{t}$ ratio is too high, then local instability in the wall will occur.	79
5.15		79
5.16		80
6.1		81
6.2		82
6.3		82
6.4		82
6.5		83
6.6		83
6.7		85
6.8		85
6.9		86
6.10		86
6.11		87
6.12		87
6.14		88
6.13		88
6.15		89

6.16	. . . . .	89
6.17	. . . . .	90
6.18	. . . . .	90
6.19	. . . . .	90
6.20	. . . . .	91
6.21	. . . . .	91
6.22	. . . . .	92
6.23	. . . . .	92
6.24	. . . . .	92
7.1	. . . . .	94
7.2	. . . . .	94
7.3	. . . . .	95
7.4	. . . . .	95
7.5	. . . . .	95
7.6	. . . . .	95
7.7	. . . . .	96
7.8	. . . . .	97
7.9	. . . . .	97
7.10	. . . . .	97
7.11	. . . . .	98
7.12	. . . . .	98
7.13	. . . . .	99
7.14	. . . . .	99
7.15	. . . . .	99
7.16	. . . . .	99
7.17	. . . . .	100
7.18	. . . . .	100
7.19	. . . . .	101
7.20	. . . . .	101
7.22	. . . . .	102
7.23	. . . . .	102
7.21	. . . . .	102
7.24	. . . . .	103
7.25	. . . . .	103
7.26	. . . . .	104
7.27	. . . . .	104
7.28	. . . . .	105
7.29	. . . . .	105
7.30	. . . . .	105
7.31	. . . . .	106
8.1	. . . . .	107
8.2	Hoop stress - equilibrium of transversal forces.	107
8.3	Longitudinal stress - equilibrium of axial forces.	108
8.4	. . . . .	108
8.5	. . . . .	109
8.6	. . . . .	109
8.7	. . . . .	110
8.8	. . . . .	110
8.9	. . . . .	111
8.10	. . . . .	112
8.11	. . . . .	112

8.12	. . . . .	114
8.13	. . . . .	114
8.14	. . . . .	115
8.15	. . . . .	116
8.16	. . . . .	116
8.17	The required thickness increases non-linearly with the pressure.	117
8.18	. . . . .	117
8.19	. . . . .	118
8.20	. . . . .	118
8.21	. . . . .	119
8.22	. . . . .	119
8.23	. . . . .	120
8.24	. . . . .	121
8.25	. . . . .	122
8.26	. . . . .	122
8.27	. . . . .	123
8.28	. . . . .	123
8.29	. . . . .	125
8.30	. . . . .	125
8.31	. . . . .	126
8.32	. . . . .	126
8.33	. . . . .	127
8.34	. . . . .	127

# **List of Tables**

# Chapter 1

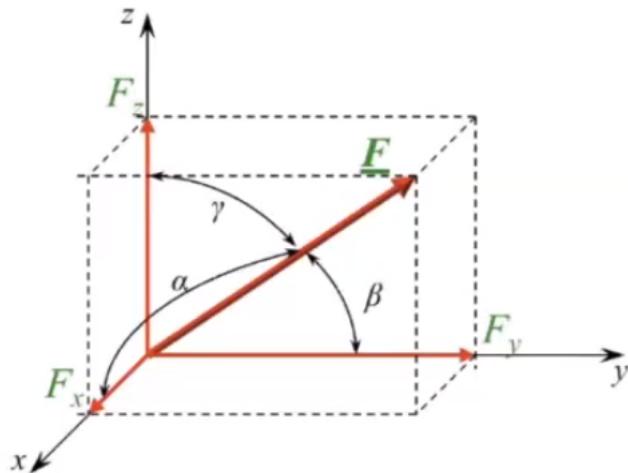
## Basic Concepts of Structure Mechanics

### 1.1 Actions and Deformations

#### 1.1.1 Vector Quantities

A vector is a quantity defined by **magnitude** and **direction**. Mechanical actions (forces and moments) can be represented as **vectors**.

Vector quantities can be decomposed in components, that can be conveniently oriented with the Cartesian reference system.

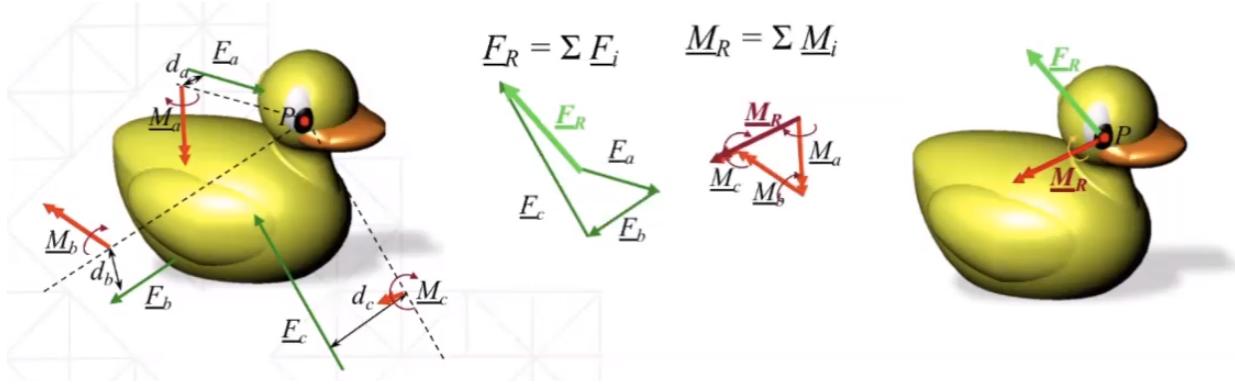


$$F_x = F \cdot \cos \alpha \quad M_x = M \cdot \cos \alpha \quad (1.1)$$

$$F_y = F \cdot \cos \beta \quad M_y = M \cdot \cos \beta \quad (1.2)$$

$$F_z = F \cdot \cos \gamma \quad M_z = M \cdot \cos \gamma \quad (1.3)$$

On the other hand, a set of vector forces can be composed in a resultant force applied to any point P, and the moment they produce about P.



$$\vec{F}_R = \sum \vec{F}_i \quad \vec{M}_R = \sum \vec{M}_i \quad (1.4)$$

$$F_R = \sqrt{(F_x)^2 + (F_y)^2 + (F_z)^2} \quad (1.5)$$

$$M_R = \sqrt{(M_x)^2 + (M_y)^2 + (M_z)^2} \quad (1.6)$$

### 1.1.2 Equilibrium State

If a configuration is in equilibrium, the resultant of all external forces and moments is zero. This can be expressed mathematically in the following 6 equations:

$$\begin{aligned} \sum F_x &= 0 & \sum F_y &= 0 & \sum F_z &= 0 \\ \sum M_x &= 0 & \sum M_y &= 0 & \sum M_z &= 0 \end{aligned}$$

These equations have to be valid for the entire body, and for any of its portions.

### 1.1.3 Deformations

Mechanical actions produce **deformations** in the body. These can be:

- Tension
- Compression
- Bending
- Twisting

These deformations translate into local strains and are opposed and balanced by internal reaction forces (and stresses), that guarantee the structural congruence of the body.

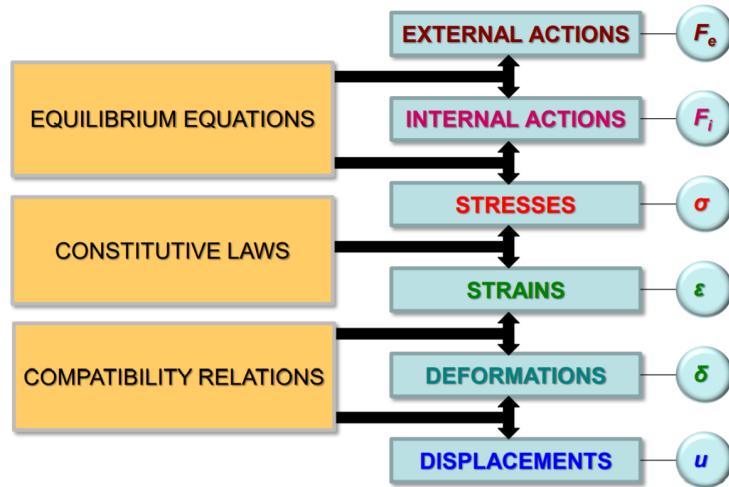
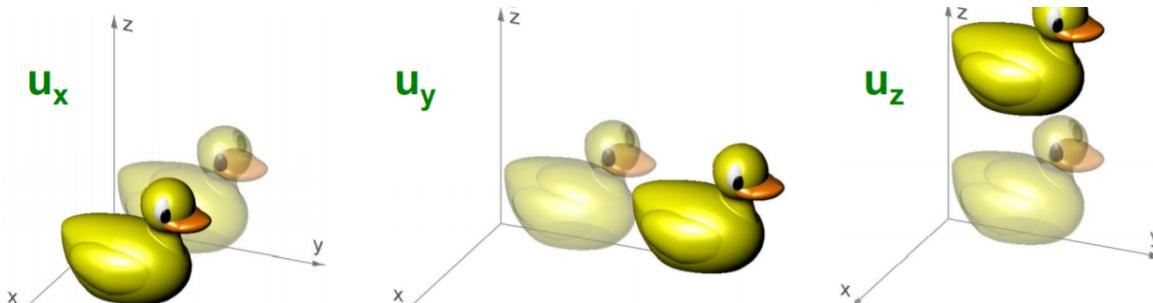


Figure 1.1: Solid Mechanics Equation: When dealing with mechanical action problems, the actions listed in the flowchart above occur, starting with external/internal forces and ending with displacements/deformations

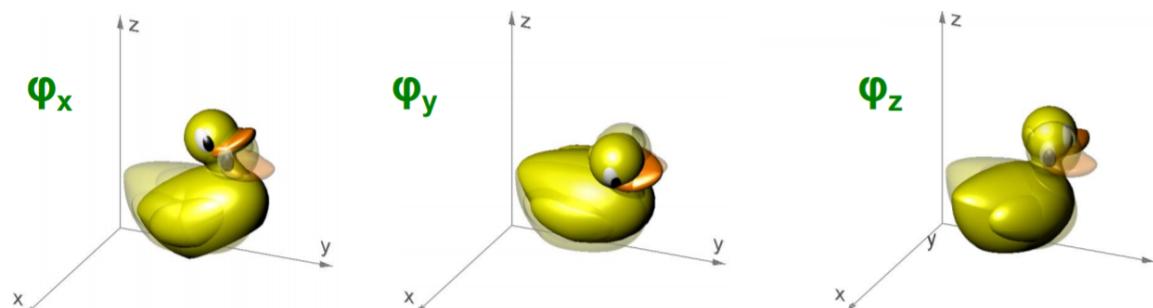
## 1.2 Degree of Freedom and Supports

We define **degree of freedom** of a system as all the basic kinematical parameters (or all the forms of movement) allowed. A rigid body in the space, in a coordinate system, has 6 degrees of freedom:

3 translations along the coordinate axes  $x$ ,  $y$  and  $z$



3 rotations about the coordinate axes  $x$ ,  $y$  and  $z$



The total translational and rotational movement of an object can be shown with the following expression:

$$\vec{u} = \begin{bmatrix} u_x \\ u_y \\ u_z \\ \phi_x \\ \phi_y \\ \phi_z \end{bmatrix}$$

In a 2D plane, the degree of freedom reduces to only 3 variables:

$$\vec{u} = \begin{bmatrix} u_x \\ u_y \\ \phi_z \end{bmatrix}$$

### 1.2.1 Constraint

We define **constraint** as a limitation of the degree of freedom of the system. The most common constraints are:

- Supports providing the required reacting forces to maintain overall equilibrium
- Connections providing reaction forces between two components of the system

The table below summarizes the different types of supports (constraints) that will be used throughout the course:

Fixed	Rotating	Roller	Sliding
$\vec{u} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$	$\vec{u} = \begin{bmatrix} 0 \\ 0 \\ \phi \end{bmatrix}$	$\vec{u} = \begin{bmatrix} u_x \\ 0 \\ \phi \end{bmatrix}$	$\vec{u} = \begin{bmatrix} u_x \\ 0 \\ 0 \end{bmatrix}$
$\vec{R} = \begin{bmatrix} R_x \\ R_y \\ M_z \end{bmatrix}$	$\vec{R} = \begin{bmatrix} R_x \\ R_y \\ 0 \end{bmatrix}$	$\vec{R} = \begin{bmatrix} 0 \\ R_y \\ 0 \end{bmatrix}$	$\vec{R} = \begin{bmatrix} 0 \\ R_y \\ M \end{bmatrix}$

## 1.3 Beams and Sign Conventions

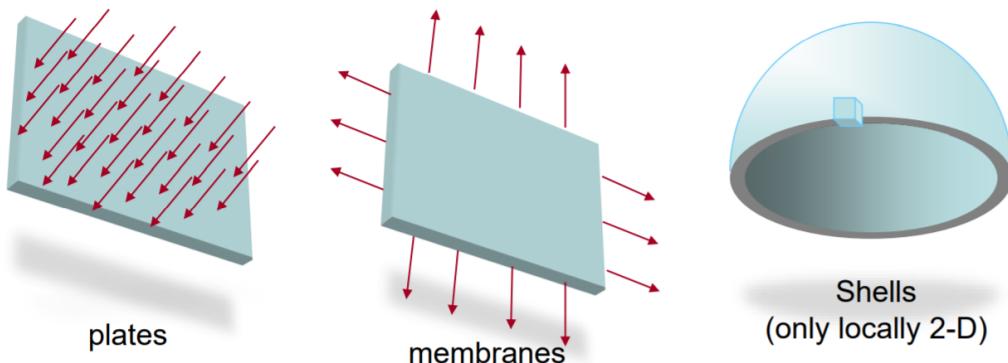
Structures are sets of solid bodies components with the function of carrying loads. All solid bodies are 3-dimensional, however, often it is possible to identify some dimension that is more relevant. Many structures can be analysed as bi-dimensional (2D) or mono-dimensional (1D).

### 1.3.1 Types of Structures

#### Bi-dimensional Structures

If one of the dimensions is negligible compared to the other two, the structure can be studied as bi-dimensional. Some examples are:

- Plates
- Membranes
- Shells (Only locally 2D)

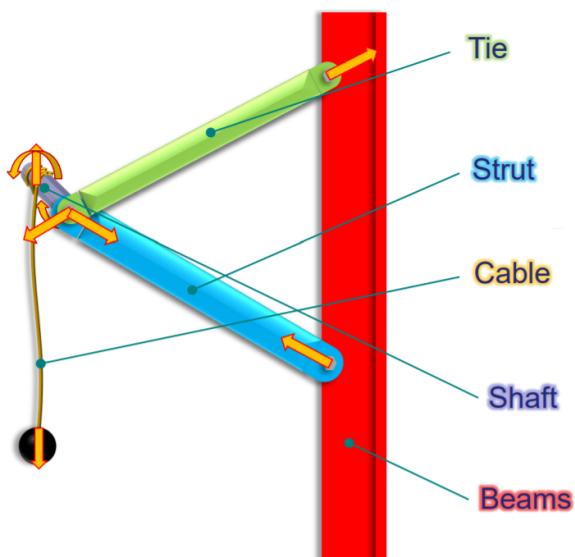


For shells to be considered bi-dimensional, they need to be looked at very closely where they can resemble a plate. The difference between plates and membranes is the bending rigidity; force is required to bend plates while membranes are really floppy.

### Mono-dimensional Structures

If two of the dimensions are negligible compared to the other one, the structure can be studied as mono-dimensional. Some examples are:

- Tie - Prevents two parts of the structure from moving away
- Strut - Prevents two parts of the structure from moving forward
- Cable - Flexible string that stands only tensile loads
- Shaft - Is used for the transmission of torque
- Beams - Can carry also transverse loads



#### 1.3.2 Beams

The generic mono-dimensional components of structures, able to carry also transverse loads are called **beams**. Beams are between the most common and important components in structures. In order to study beams as mono-dimensional structures, all mechanical actions have to act on the **centre of gravity (CG)** of the beam section. If there is a case where a force isn't acting on the CG, it will be converted to act on it, so that the beam can be analysed in a simple manner.

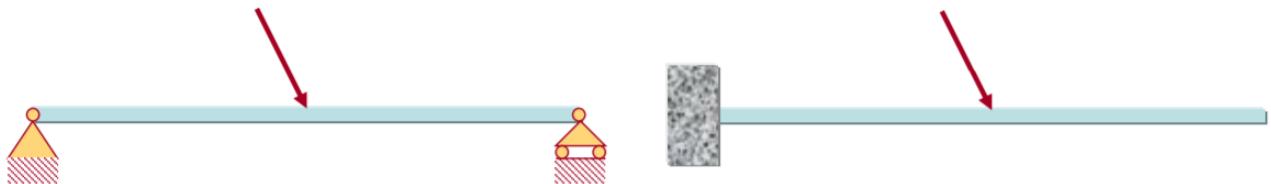
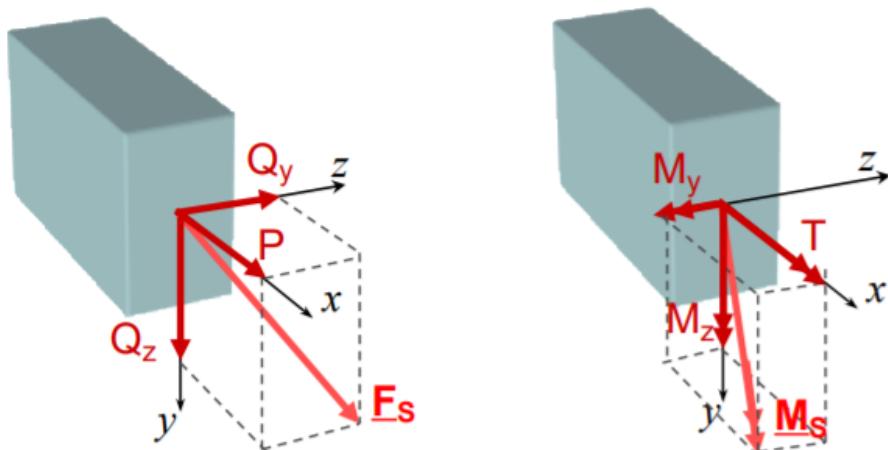


Figure 1.2: On the left: Simply supported beam (rotating support + roller support), On the right: Cantilever beam (with a fixed end)

### 1.3.3 Internal Forces

Each point of the beam is characterised by a specific set of internal forces. We consider a cross section of the beam to investigate these forces.

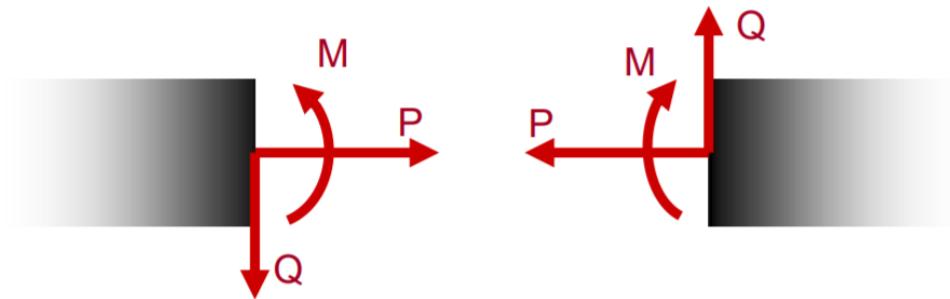


- $P$  - Longitudinal force
- $Q_y$  -  $y$  shear forces
- $Q_z$  -  $z$  shear forces
- $T$  - Torque
- $M_y$  -  $y$  bending moment
- $M_z$  -  $z$  bending moment

The internal forces at every point of the beam can be characterised with the following expression:

$$\vec{F} = \begin{bmatrix} P \\ Q_y \\ Q_z \\ T \\ M_y \\ M_z \end{bmatrix}$$

In a 2D plane, it simplifies to:

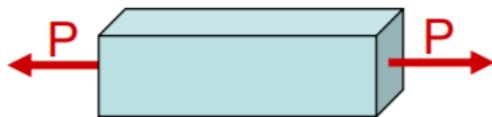


- $P$  - Longitudinal force
- $Q$  - Shear forces
- $M$  - Bending moment

$$\vec{F} = \begin{bmatrix} P \\ Q \\ M \end{bmatrix}$$

### 1.3.4 Sign Conventions

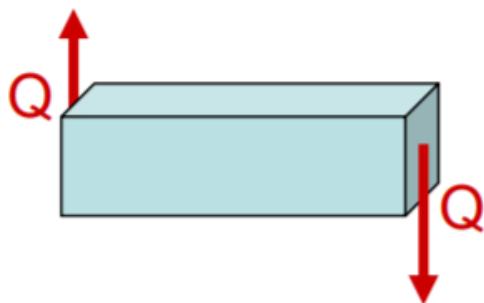
#### Longitudinal Force



The direction of pulling is considered to be positive.

The direction of compression is considered to be negative.

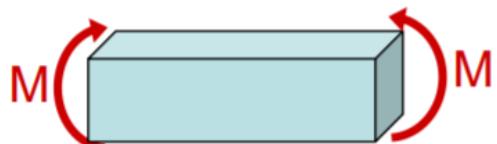
#### Shear Force



The left side pointing upwards and right side pointing downwards are taken as positive.

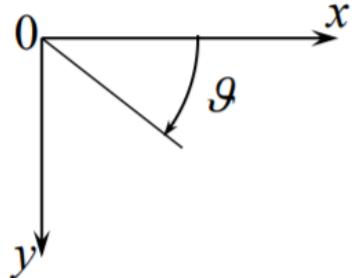
The left side pointing downwards and right side pointing upwards are taken as negative.

#### Bending Moment



If the bending moment is making the beam a upwards concave shape (like U), it is considered to be positive.  
If the bending moment is making the beam a downwards concave shape, it is considered to be negative.

### Reference System



$x$  axis (horizontal direction) to the right is taken as positive.  
 $y$  axis (vertical direction) downwards is taken as positive.

# Chapter 2

## Bending of Beams

### 2.1 Internal Forces and Diagrams

#### 2.1.1 Bending Moment

The **bending moment** is by far the most relevant of the internal forces, since it produces the largest levels of deformations and stress into the beam. Therefore, it is essential to be able to determine the distribution of the bending moment along the members, in order to assess the mechanical and functional safety of the structure.

#### 2.1.2 Diagrams and Determination of Internal Forces

To determine the internal forces of a body, and draw the relevant diagrams, the following steps are followed:

##### Step 1

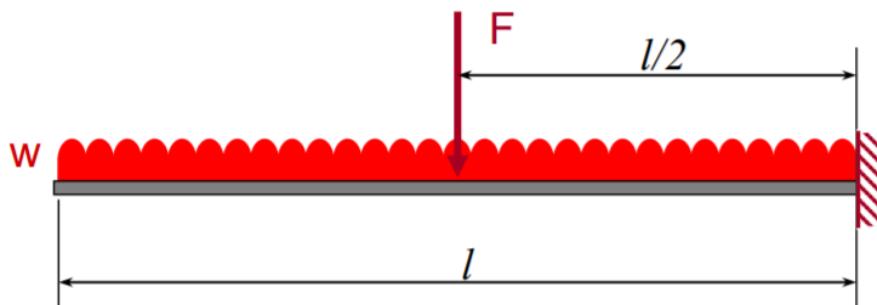
Apply to the body the force and moment equilibrium equations to find support reactions (it is possible only if the system is statically determinate)

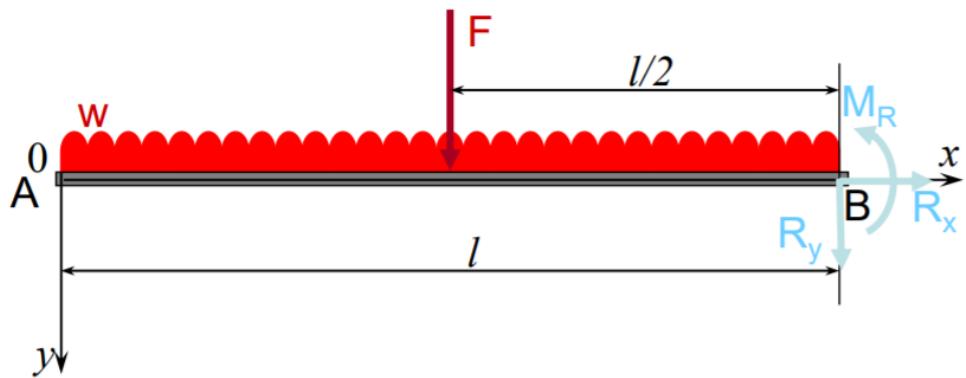
##### Step 2

Imagine to cut the beam at a section at a distance  $x$  from the beam extreme. Balance the forces and moments of the rigid body by applying the required forces and moment at the cut section

- The **Shear Force** on any given section of a structural member is the algebraic sum of the forces to **one side only** of the section considered.
- The **Bending Moment** on any given section of a structural member is the algebraic sum of the moments of all the forces to **one side only** of the section, about the section
- The maximum value of bending moment occurs at the point where the Shear Force is zero

#### Example: Cantilever beam having combined concentrated and distributive loads



**Determination of Support Reactions:**

$$\vec{R}_B = \begin{bmatrix} R_x \\ R_y \\ M \end{bmatrix}$$

$$\sum F_x : R_x = 0 \quad (2.1)$$

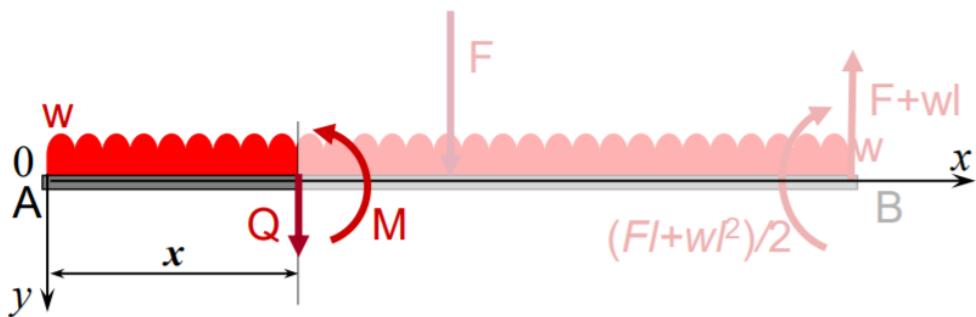
$$\sum F_y : R_y + F + wl = 0 \quad (2.2)$$

$$R_y = -(F + wl) \quad (2.3)$$

$$\sum M : M - F \frac{l}{2} - wl \frac{l}{2} = 0 \quad (2.4)$$

$$M = F \frac{l}{2} + wl \frac{l}{2} = \frac{Fl + wl^2}{2} \quad (2.5)$$

**Determination of internal forces (from  $x = 0$  to  $x = \frac{l}{2}$ )**



$$\sum F_y : Q + wx = 0 \quad (2.6)$$

$$Q = -wx \quad (2.7)$$

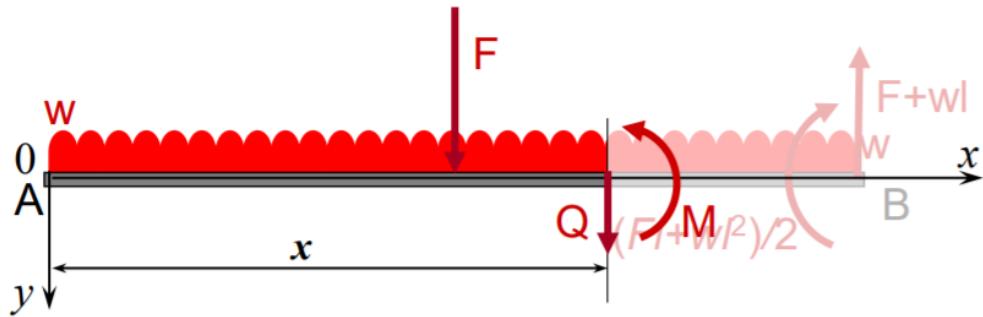
$Q$  varies linearly: it is zero at  $x = 0$  and is  $\frac{-wl}{2}$  at  $x = \frac{l}{2}$ .

$$\sum M : M_x + wx \frac{x}{2} = 0 \quad (2.8)$$

$$M_x = \frac{-wx^2}{2} \quad (2.9)$$

$M$  varies parabolically: it is zero at  $x = 0$  and  $\frac{-wl^2}{8}$  at  $x = \frac{l}{2}$ .

Determination of internal forces (from  $x = \frac{l}{2}$  to  $x = l$ )



$$\sum F_y : Q + wx + F = 0 \quad (2.10)$$

$$Q = -wx - F \quad (2.11)$$

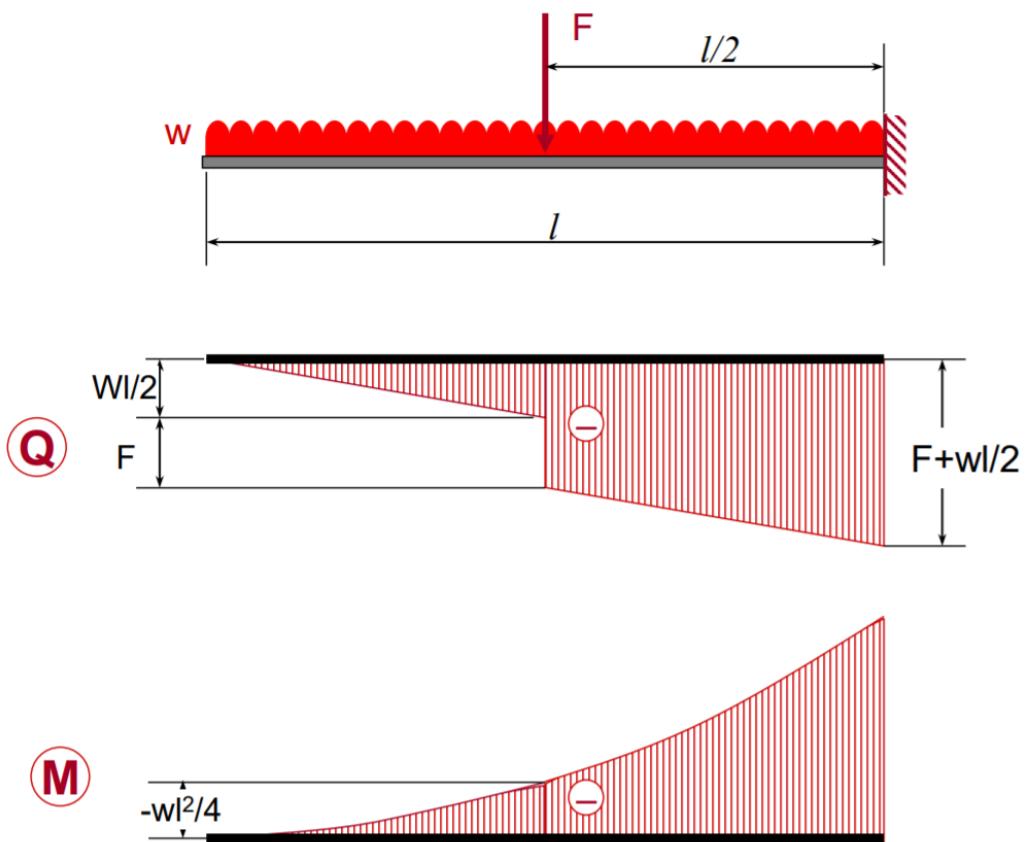
$Q$  varies linearly between  $x = \frac{l}{2}$  (where it is  $-(\frac{wl}{2} + F)$ ) and  $x = l$  (where it is  $-(F + wl)$ )

$$\sum M : M_x + wx \frac{x}{2} + F(x - \frac{l}{2}) = 0 \quad (2.12)$$

$$M_x = \frac{-wx^2}{2} - F(x - \frac{l}{2}) \quad (2.13)$$

At  $x = \frac{l}{2}$ ,  $M$  distribution changes into a parabola with a steeper slope

### Diagram of Internal Forces



## 2.2 Derivation of Bending Equations

### 2.2.1 Equilibrium - Beam Bending Equations

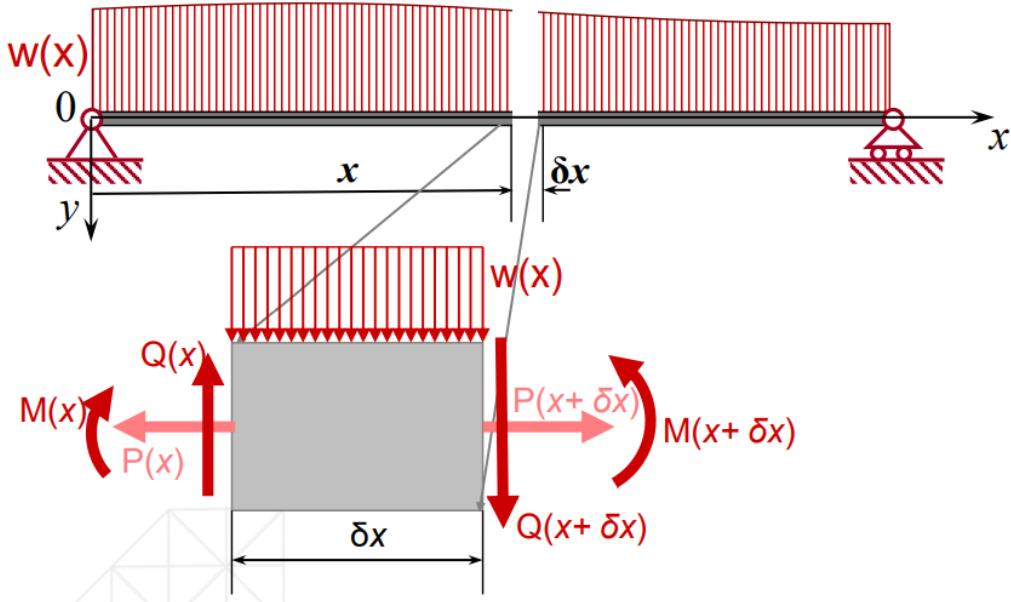


Figure 2.1: Horizontal beam with vertical distributed load. We focus a section of the beam with the very small length  $\delta x$ .

#### y-direction

$$Q(x + \delta x) - Q(x) + w(x)\delta x = 0 \quad (2.14)$$

#### x-direction

$$M(x + \delta x) - M(x) - Q(x)\delta x + w(x) \cdot \delta x \cdot \frac{\delta x}{2} = 0 \quad (2.15)$$

We assume that  $\delta x$  is so small that no matter the distribution of the load on the beam,  $w(x)$  is constant along the investigated beam section.

Rearranging the terms in the  $y$  direction yields:

$$\frac{Q(x + \delta x) - Q(x)}{\delta x} = -w(x) \quad (2.16)$$

Taking  $\delta x$  to the smallest limit (0), the above equation can be written as:

$$\delta x = 0 \quad (2.17)$$

$$\frac{dQ}{dx} = -w(x) \quad (2.18)$$

$$w(x) = -\frac{dQ}{dx} \quad (2.19)$$

The load  $w$  in the  $y$  direction is the derivative of the shear force  $Q$ . Integrating equation (2.19):

$$Q = - \int w \, dx \quad (2.20)$$

We consider the bending moment at the distance  $\delta x$  (right-side for the example). Rearranging the terms in the  $x$  direction yields:

$$\frac{M(x + \delta x) - M(x)}{\delta x} = Q(x) - \frac{1}{2}w(x)\delta x \quad (2.21)$$

Taking  $\delta x$  to the smallest limit (0), the above equation can be written as:

$$\delta x = 0 \quad (2.22)$$

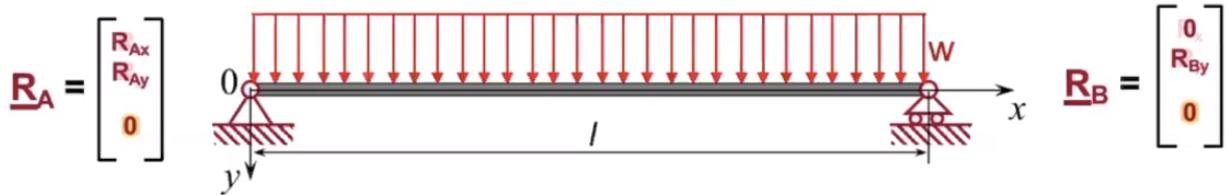
$$Q(x) = \frac{dM}{dx} \quad (2.23)$$

$$(2.24)$$

The shear force  $Q$  is the derivative of the bending Moment  $M$ . Integrating equation (2.24):

$$M = \int Q dx \quad (2.25)$$

**Example:**



$$Q = - \int w dx \quad (2.26)$$

$$= -wx + Q_0 \quad (2.27)$$

$$(2.28)$$

$$M = \int Q dx \quad (2.29)$$

$$= \int (-wx + Q_0) dx \quad (2.30)$$

$$= -\frac{1}{2}wx^2 + Q_0 \cdot x + M_0 \quad (2.31)$$

Applying the boundary conditions:

$$M(0) = 0 \rightarrow -\frac{1}{2}w \cdot 0^2 + Q_0 \cdot 0 + M_0 = 0 \quad (2.32)$$

$$M_0 = 0 \quad (2.33)$$

$$(2.34)$$

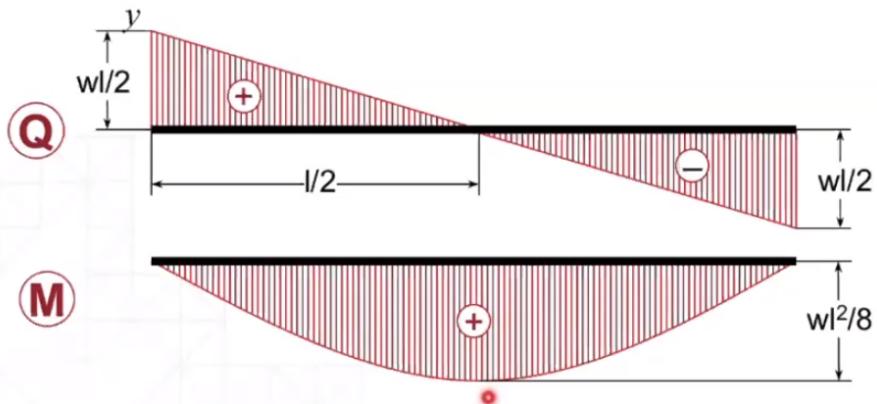
$$M(l) = 0 \rightarrow -\frac{1}{2}wl^2 + Q_0 \cdot l + 0 = 0 \quad (2.35)$$

$$Q_0 = \frac{1}{2}wl \quad (2.36)$$

Overall:

$$Q = -wx + \frac{1}{2}wl \quad (2.37)$$

$$M = -\frac{1}{2}wx^2 + \frac{1}{2}wlx \quad (2.38)$$

Figure 2.2: The shear force and bending moment varying along  $x$ 

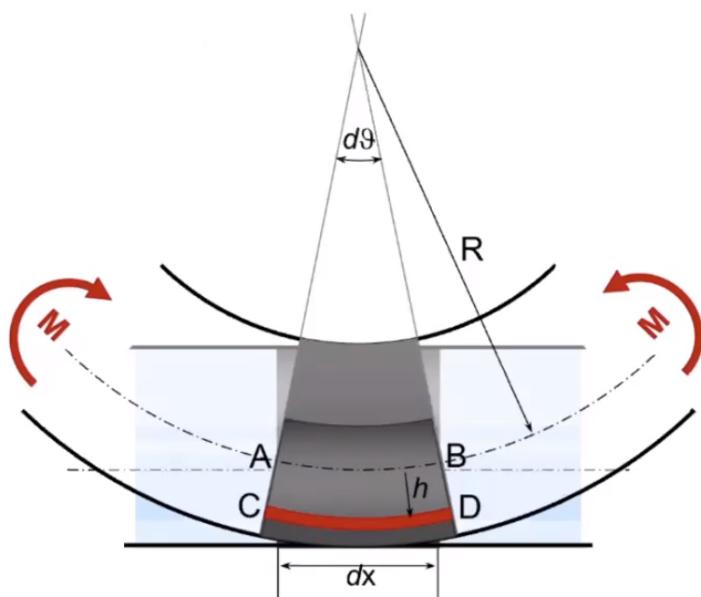
## 2.3 Differential Equations for Deflection

### 2.3.1 Theory of Pure Bending

- The beam is initially straight and unstressed;
- The beam material is perfectly homogeneous and isotropic;
- Plane cross-sections remain plane before and after bending;
- Every cross-section in the beam is symmetrical about the plane of bending;
- There is no resultant force perpendicular to any cross-section;
- The elastic limit is nowhere exceeded;
- Young's Modulus for the material is the same in tension and compression;

### 2.3.2 Compatibility - Strains in Pure Bending

Consider a portion of the length  $dx$  from the beam subject to uniform bending.



Lower fibres stretch and upper fibres shorten. Hence, since cross-sections remain plane, there must be a plane where the fibre elongation is zero. This is called **neutral plane** (it is a plane because section is symmetrical) and the intersection with the plane of bending is called **neutral axis**.

If we indicate with  $R$  the radius of curvature of the neutral axis, along the neutral plane:

$$\overline{AB} = \widehat{AB} \quad (2.39)$$

$$\widehat{AB} = dx = R d\theta \quad (2.40)$$

For a generic plane  $CD$ , distant  $h$  from N.A.:

$$\widehat{CD} = (R + h) d\theta \quad (2.41)$$

The longitudinal strain of  $CD$  is given by:

$$\epsilon(h) = \frac{\text{elongation}}{\text{initial length}} = \frac{\widehat{CD} - \overline{CD}}{\overline{CD}} \quad (2.42)$$

(2.43)

$$= \frac{(R + h) \cdot d\theta - R \cdot d\theta}{R \cdot d\theta} = \frac{h}{R} \quad (2.44)$$

(2.45)

$$\epsilon(h) = \frac{h}{R} \quad (2.46)$$

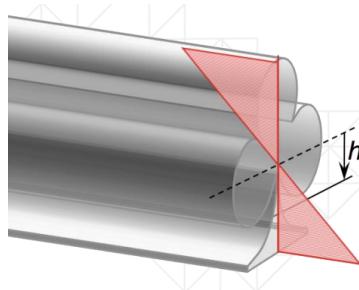


Figure 2.3: Strains are distributed linearly across the section

Stresses and strains can be associated with each other. For most materials, under small deformation:

$$\sigma = E \cdot \epsilon \quad (2.47)$$

Where:

- $\sigma$  is the stress
- $E$  is the Young Modulus
- $\epsilon$  is the strain

Therefore, from equations (2.46) and (2.47):

$$\sigma_x(h) = E \frac{h}{R} \quad (2.48)$$

### 2.3.3 Constitutive - Stress-Curvature Relation

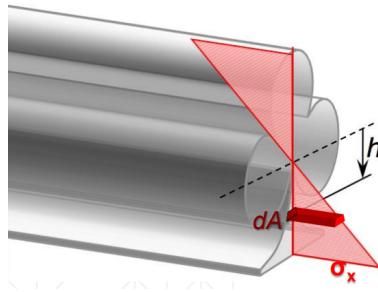
Stress is also distributed linearly across the section, being 0 at the neutral plane and maximum (in tension and compression) at the outer surfaces, where the distance from the neutral plane is maximum.

$$\sigma_{min} = E \frac{h_{min}}{R} \quad (2.49)$$

$$\sigma_{max} = E \frac{h_{max}}{R} \quad (2.50)$$

The minimum value is at the compression and the maximum value is at the tension. This just follows the convention; the tension is taken as positive and compression is taken as negative, in terms of the stress direction.

### 2.3.4 Equilibrium - Force-Stress Relation



Considering an elemental area  $dA$ , the force associated with bending stress is:

$$dF_x = \sigma_x \cdot dA \quad (2.51)$$

$$= E \frac{h}{R} \cdot dA \quad (2.52)$$

For the force equilibrium of the entire section:

$$\int_A dF_x = \int_A E \frac{h}{R} \cdot dA = 0 \quad (2.53)$$

$$(2.54)$$

$E$  and  $R$  are constants, so they don't affect the integral and can be taken out. Hence the first moment of area is:

$$\int_A h \cdot dA = 0 \quad (2.55)$$

The first moment of area of a section is zero if it is calculated about the centroid. **The neutral axis corresponds to the centroid of the section.**

### 2.3.5 Equilibrium - Bending-Stress Relation

Considering an elemental area  $dA$ , the internal moment produced by the bending stress is:

$$dM = \sigma_x \cdot h \cdot dA \quad (2.56)$$

$$= dF_x \cdot h \quad (2.57)$$

$$= E \frac{h}{R} \cdot h \cdot dA \quad (2.58)$$

Moment of the entire section:

$$M = \int_A dM = \int_A E \frac{h^2}{R} \cdot dA = \frac{E}{R} \int_A h^2 \cdot dA \quad (2.59)$$

The second moment of area is:

$$\int_A h^2 \cdot dA = I \quad (2.60)$$

The second moment of area represents how easy or difficult it is to bend a beam, depending on the shape of the cross section. The overall relation is:

$$M = \frac{EI}{R} \quad (2.61)$$

This defines how the cross section, elastic modulus, curvature, and bending moment are related to each other.

### 2.3.6 Solid Mechanics Equations

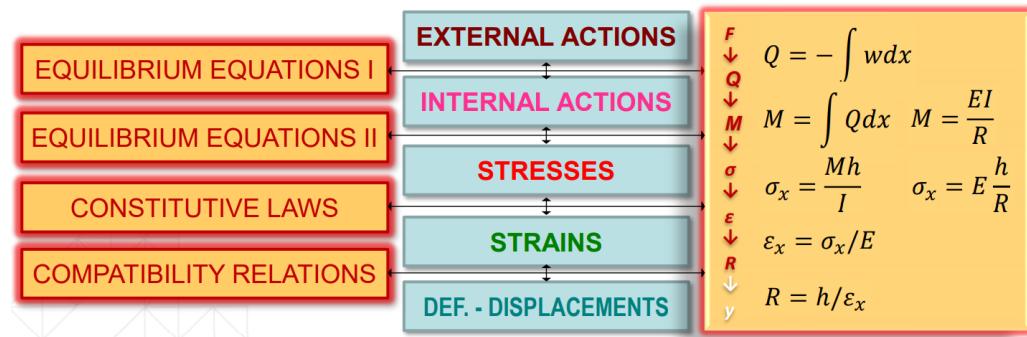


Figure 2.4: The relationships between Force, Shear Force, Bending Moment, Stress, Strain, Curvature

### 2.3.7 Geometric - Slope-Deflection Relation

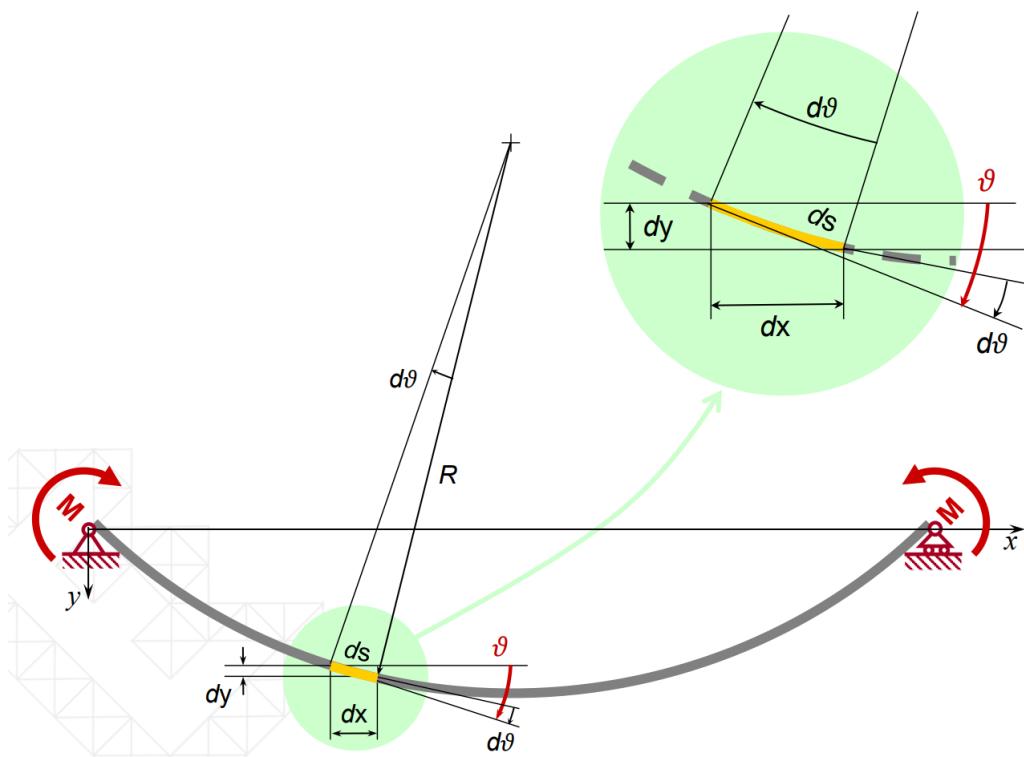


Figure 2.5: The geometry of the beam under bending. The direction of the angle  $\theta$  is based on the "right-hand rule".

For infinitesimal deformations:

$$dx \approx ds = -R \cdot d\theta \quad (2.62)$$

$$\frac{1}{R} = -\frac{d\theta}{dx} \quad (2.63)$$

The negative sign comes from the situation that the  $x$  axis direction is towards right while the angle ( $\theta$ ) direction is to the left. Hence, they are opposite. Since:

$$M = \frac{EI}{R} \quad (2.64)$$

$$\rightarrow M = -EI \frac{d\theta}{dx} \quad (2.65)$$

Assuming angle  $\theta$  is small:

$$\theta \approx \tan(\theta) = \frac{dy}{dx} \quad (2.66)$$

- The load  $w$  in the  $y$  direction is the derivative of the shear force  $Q$ .
- The shear force  $Q$  is the derivative of the bending moment  $M$ .
- The bending moment  $M$  is proportional to the derivative of the slope  $\theta$ .
- The slope  $\theta$  is the derivative of the deflection  $y$ :  $\theta = \frac{dy}{dx}$

### 2.3.8 Stresses Due to Shear Force

The shear force also produces stresses into the section (shear stresses). However:

- They are much lower than bending stresses
- They are zero at surfaces, where bending stresses are maximum
- Their effect on the deformation is negligible compared to bending stresses

In general, neglecting the shear stresses due to the shear force is a good approximation for both the calculation of failure and deflections.

### 2.3.9 Summary of Beam Bending Equations

**Deflection:**

$$y \quad (2.67)$$

**Slope:**

$$\theta = \frac{dy}{dx} \quad (2.68)$$

**Bending Moment:**

$$M = -EI \frac{d\theta}{dx} = -EI \frac{d^2 y}{dx^2} \quad (2.69)$$

**Shear Force:**

$$Q = \frac{dM}{dx} = -EI \frac{d^3 y}{dx^3} \quad (2.70)$$

**Load Distribution:**

$$w = -\frac{dQ}{dx} = EI \frac{d^4 y}{dx^4} \quad (2.71)$$

The equations above give a scenario of being given deflection  $y$ , and eventually finding load distribution  $w$ . However, the inverse can also occur where load distribution  $w$  is given, and the  $y$  can be found through integration:

**Load Distribution**

$$w \quad (2.72)$$

**Shear Force:**

$$Q = - \int w \cdot dx \quad (2.73)$$

**Bending Moment:**

$$M = \int Q \cdot dx = - \int \int w \cdot dx \, dx \quad (2.74)$$

**Slope:**

$$\theta = -\frac{1}{EI} \int M \cdot dx = \frac{1}{EI} \int \int \int w \cdot dx \, dx \, dx \quad (2.75)$$

**Deflection:**

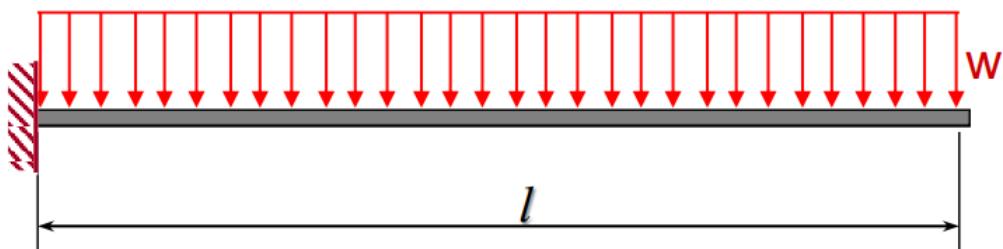
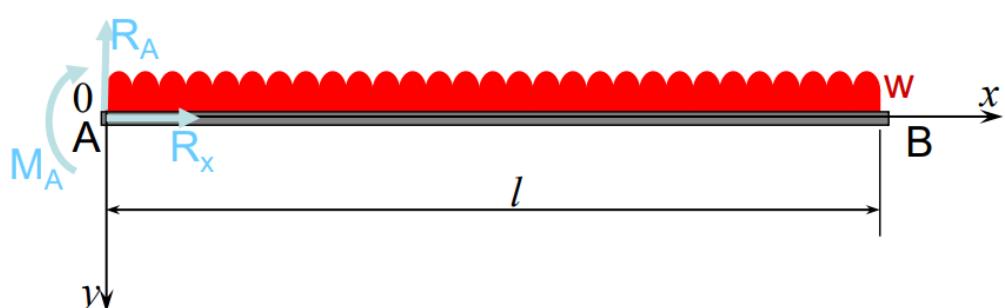
$$y = \int \theta \cdot dx = \frac{1}{EI} \int \int \int \int w \cdot dx \, dx \, dx \, dx \quad (2.76)$$

**2.3.10 Direct Integration Method****STEP 1: Determination of Support Reactions**

Apply to the body the force and moment equilibrium equations to find support reactions (it is possible only if the system is statically determinate).

**STEP 2: Determination of Deflection**

1. Write bending moment expression
2. Use double integration on bending moment expression. This would result in 2 constants of integration.
3. Use boundary conditions to determine constant of integration.

**Example: Uniformly Distributed Load on a Cantilever Beam****Determination of Support Reactions:**

$$\vec{R}_A = \begin{bmatrix} R_x \\ R_y \\ M_A \end{bmatrix}$$

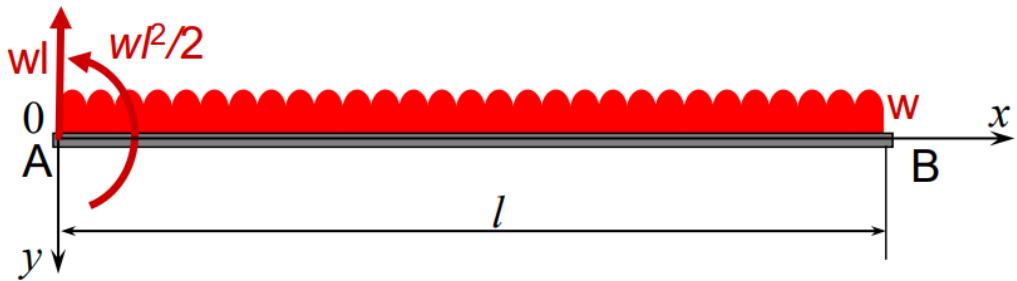
$$\sum F_x : R_x = 0 \quad (2.77)$$

$$\sum F_y : R_y = wl \quad (2.78)$$

$$\sum M : M_A + wl \frac{l}{2} = 0 \quad (2.79)$$

$$M_A = -\frac{wl^2}{2} \quad (2.80)$$

### Determination of Deflection:



Direct Integration:

$$Q = - \int w \cdot dx = -wx + Q_0 \quad (2.81)$$

$$(2.82)$$

$$M = \int Q \cdot dx \quad (2.83)$$

$$= \int (-wx + Q_0) dx \quad (2.84)$$

$$= -\frac{1}{2}wx^2 + Q_0x + M_0 \quad (2.85)$$

Boundary Conditions:

$$Q(0) = R_y = wl \quad (2.86)$$

$$\rightarrow Q_0 = wl \quad (2.87)$$

$$M(0) = M_A = -\frac{1}{2}wl^2 \quad (2.88)$$

$$\rightarrow M_0 = -\frac{1}{2}wl^2 \quad (2.89)$$

Therefore:

$$Q = -w(x + l) \quad (2.90)$$

$$M = -\frac{1}{2}wx^2 + wlx - \frac{1}{2}wl^2 \quad (2.91)$$

Relating Deflection to the Bending Moment:

$$M = -\frac{1}{2}wx^2 + wlx - \frac{1}{2}wl^2 \quad (2.92)$$

$$\theta = -\frac{1}{EI} \int M \cdot dx = -\frac{1}{EI} \left( -\frac{1}{6}wx^3 + \frac{1}{2}wlx^2 - \frac{1}{2}wl^2x \right) + \theta_0 \quad (2.93)$$

$$y = \int \theta \cdot dx = -\frac{1}{EI} \left( -\frac{1}{24}wx^4 + \frac{1}{6}wlx^3 - \frac{1}{4}wl^2x^2 \right) + \theta_0x + y_0 \quad (2.94)$$

$$(2.95)$$

Boundary Conditions:

$$\theta(0) = 0 \rightarrow \theta_0 = 0 \quad (2.96)$$

$$y(0) = 0 \rightarrow y_0 = 0 \quad (2.97)$$

Therefore:

$$y = \frac{1}{EI} \left( \frac{1}{24}wx^4 - \frac{1}{6}wlx^3 + \frac{1}{4}wl^2x^2 \right) \quad (2.98)$$

$$y_{max} = y(l) = \frac{wl^4}{8EI} \quad (2.99)$$

## 2.4 Statically Determinate Beams

**Statically determinate** (or isostatic) beams are characterised by the fact that all unknown supports reactions can be determined from the forces and equilibrium equations: the number of supports reactions is equal to the degree of freedom.

A beam in 2D plane has 3 DOF. If only lateral loads and moments act (horizontal actions and reactions = 0), the beam has only 2 degree of freedom.

Beams subjected to lateral loads are statically determinate if they have **only 2 unknown support reactions**: the two equilibrium equation for lateral forces and moments are sufficient to solve the system. If the supports produce **three or more unknown reactions**, the beams are **statically indeterminate**.

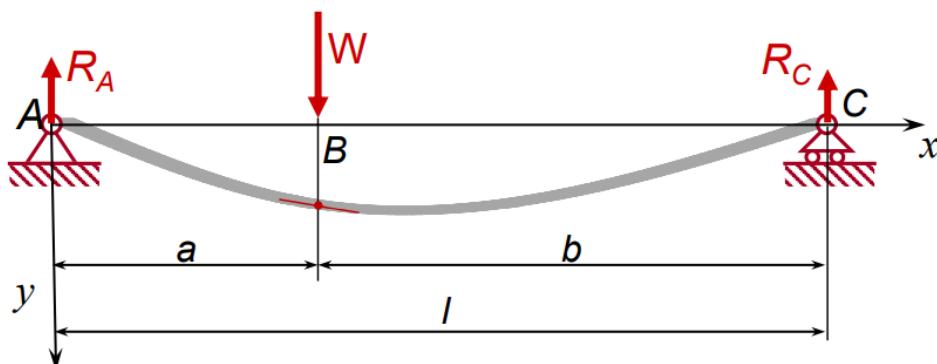
### 2.4.1 Double Integration Method

In more complex cases, such as discontinuous loads, the multiple integration method can still be used:

1. Write one bending moment expression for each part of the beam (e.g.  $AB$  and  $BC$ ).
2. Use double integration on each bending moment expression. This would result in 2 constants of integration for each part of the beam (in this case, 4 constants).
3. Use boundary conditions to determine constant of integration.

The boundary conditions at the supports are generally not sufficient to obtain all the constants of integration (in our case we need 4 and they are 2).  $\therefore$  We need other boundary conditions.

#### Example: Concentrated Load on a Simply Supported Beam



We know that, though the load is discontinuous; the slope and deflection of the beam must be continuous from one section to the next.  $\therefore$  at C:

$$\theta_{AC} = \theta_{CB} \quad (2.100)$$

$$y_{AC} = y_{CB} \quad (2.101)$$

The 1st equation refers to continuous slope, while the 2nd equation refers to continuous deflection. Continuity of slope and deflection of the beam from adjacent sections provides the supplementary equations that allow us to determine all constant of integration. By solving the system of equations, the following can be obtained:

$$y_a = -\frac{x}{6EI} [R_{AL}(x^2 - l^2) + W(l - a)^3] \quad (2.102)$$

$$y_b = -\frac{1}{6EI} [R_{AL}x(x^2 - l^2) - Wl(x - a)^3 + Wx(l - a)^3] \quad (2.103)$$

Memorising or fully understanding these equations are not that important; it is more crucial to understand the whole process of double integration method.

If a system is composed of  $n$  parts:

- Determine  $n$  equations of the bending moment
- Solve  $2n$  integrals
- Solve a system of  $2n$  equations in  $2n$  unknowns

## 2.5 Macaulay's Method

### 2.5.1 Step Function

We define the step function:

$$\langle x - a \rangle^n = \begin{cases} (x - a)^n & x - a \geq 0 \\ 0 & x - a < 0 \end{cases}$$

The step function can easily describe the common forms of bending moment distribution. The expression of the bending moment can be obtained, moving from the left extreme of the beam to the right, adding every time we find a new load the quantities:

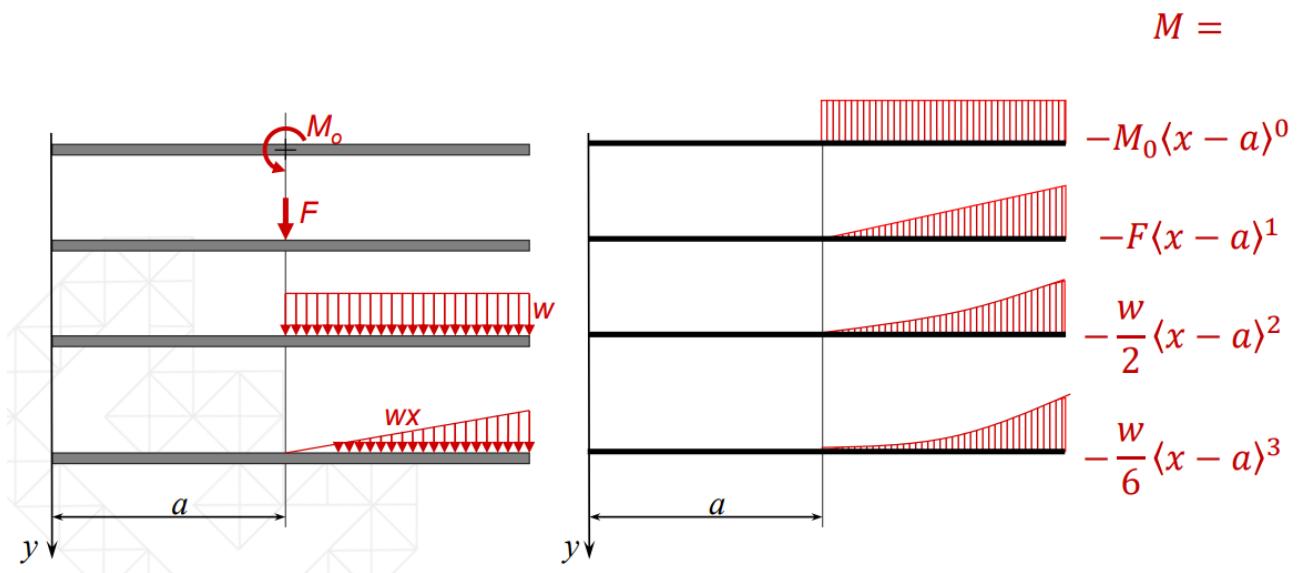


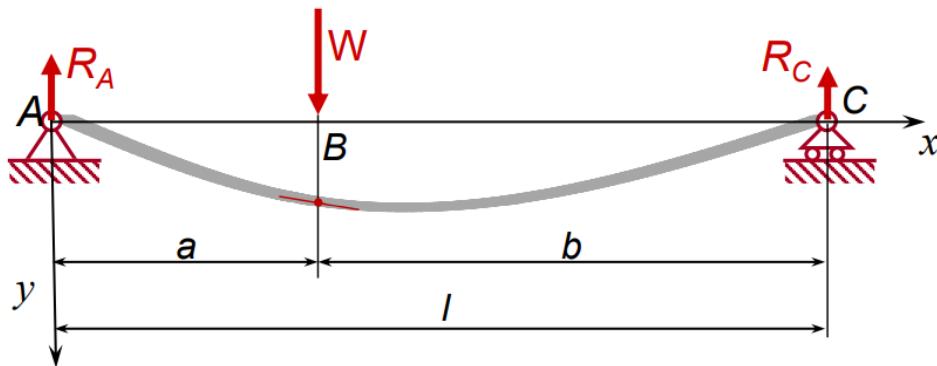
Figure 2.6: Different loads/moment applied to beams, their respective moment distributions and step functions

The crucial property of the step function is that it can be integrated just like an ordinary polynomial expression:

$$\int \langle x - a \rangle^n dx = \frac{\langle x - a \rangle^{n+1}}{n + 1} + C \quad (2.104)$$

Using the step function, the expressions of the different parts of a beam (under discontinuous load) can be put together into one single expression.

### Example: Simply Supported Beam with a Concentrated Load



The bending distribution in AB and BC respectively is:

$$M_a = R_A x \quad (2.105)$$

$$M_b = R_A x - W(x - a) \quad (2.106)$$

Using the step function, the bending distribution in the entire beam is:

$$M = R_A x - W\langle x - a \rangle \quad (2.107)$$

$$\theta = -\frac{1}{EI} \int M dx = -\frac{1}{EI} \left[ R_A + \int x dx - W \int \langle x - a \rangle dx \right] \quad (2.108)$$

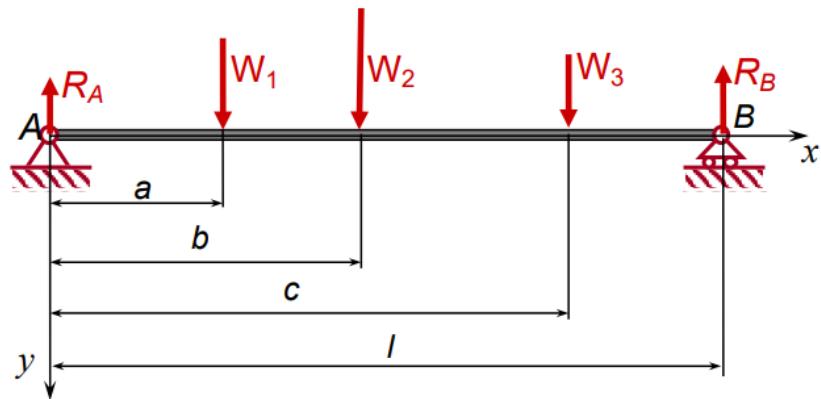
$$= -\frac{1}{EI} \left( \frac{R_A x^2}{2} - \frac{W\langle x - a \rangle^2}{2} \right) + \theta_0 \quad (2.109)$$

$$y = \int \theta dx = -\frac{1}{EI} \left[ \frac{R_A x^3}{6} - \frac{W\langle x - a \rangle^3}{6} \right] + \theta_0 x + y_0 \quad (2.110)$$

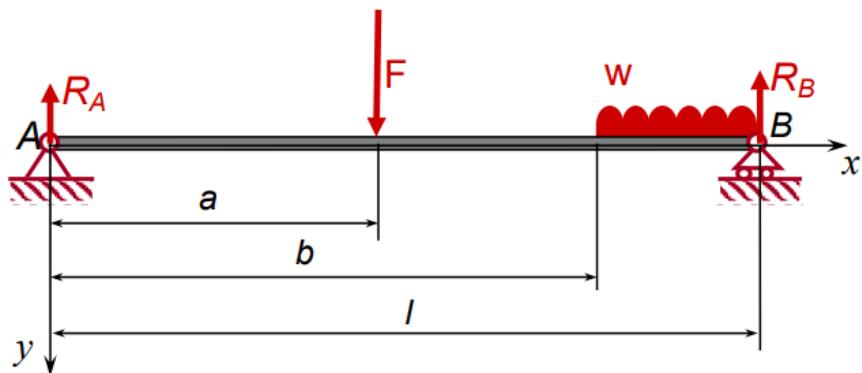
There are only 2 integration constants  $\therefore$  2 boundary conditions are sufficient to solve the equation.

### 2.5.2 Examples

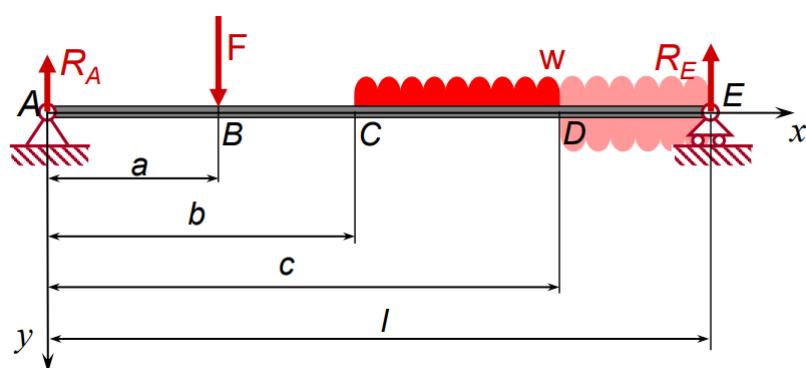
The following figures and equations are just examples of generic loads on simply supported beams, and what their step functions are. To find the deflection, the functions need to be integrated twice, and the boundary conditions must be substituted to find the integration constants.



$$M = R_Ax - W_1(x - a) - W_2(x - b) - W_3(x - c) \quad (2.111)$$



$$M = R_Ax - F(x - a) - \frac{w}{2}(x - b)^2 \quad (2.112)$$



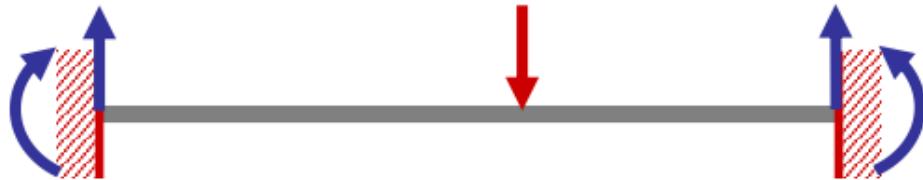
In this example, the distributed load is not acting all the way to the end point  $E$ . ∵ A counteracting distributed load is added to cancel the contribution of the distributed load between  $DE$ .

$$M = R_Ax - F(x - a) - \frac{w}{2}(x - b)^2 + \frac{w}{2}(x - c)^2 \quad (2.113)$$

## 2.6 Statically Indeterminate Beams

So far, we have analysed statically-determinate beams. These are characterised by only **two unknown support reactions**, that can be determined from the force and moment equilibrium equations.

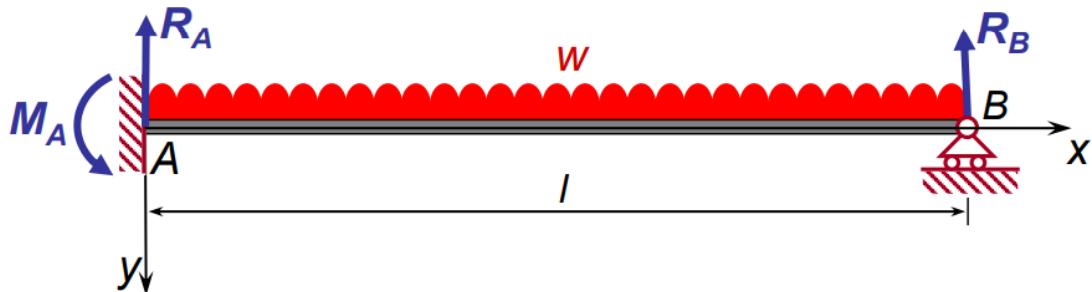
If the supports produce **three or more unknown reactions**, the beam is **statically indeterminate**.



The analysis of statically indeterminate structures requires the calculation of displacements to find a sufficient number of equations to solve for all unknown reactions.

The double integration method or the Macaulay's method can be used to find the support reactions and the solution in statically indeterminate problems.

### Example: Proped Cantilever with Uniformly Distributed Load (Double Integration Method)



The equilibrium equations:

$$R_A - wl + R_B = 0 \quad (2.114)$$

$$R_A l - M_A - \frac{1}{2}wl^2 = 0 \quad (2.115)$$

Moment at the point distance  $x$  away from the left end:

$$M(x) = -M_A - \frac{1}{2}wx^2 + R_Ax \quad (2.116)$$

Integrating the moment equation:

$$\theta(x) = \frac{dy}{dx} = -\frac{1}{EI} \int \left( -M_A - \frac{1}{2}wx^2 + R_Ax \right) dx \quad (2.117)$$

$$= -\frac{1}{EI} \left( -M_Ax - \frac{1}{6}wx^3 + \frac{1}{2}R_Ax^2 \right) + \theta_0 \quad (2.118)$$

Boundary Condition:  $\theta(0) = 0 \rightarrow \theta_0 = 0$

$$\therefore \theta(x) = -\frac{1}{EI} \left( -M_Ax - \frac{1}{6}wx^3 + \frac{1}{2}R_Ax^2 \right) \quad (2.119)$$

Integrating further:

$$y(x) = -\frac{1}{EI} \int \left( -M_A x - \frac{1}{6} w x^3 + \frac{1}{2} R_A x^2 \right) dx \quad (2.120)$$

$$= -\frac{1}{EI} \left( -\frac{1}{2} M_A x^2 - \frac{1}{24} w x^4 + \frac{1}{6} R_A x^3 \right) + y_0 \quad (2.121)$$

Boundary Condition:  $y(0) = 0 \rightarrow y_0 = 0$

$$\therefore y(x) = -\frac{1}{EI} \left( -\frac{1}{2} M_A x^2 - \frac{1}{24} w x^4 + \frac{1}{6} R_A x^3 \right) \quad (2.122)$$

Applying another boundary condition  $y(l) = 0$  (to consider the other end of the beam):

$$y(l) = -\frac{1}{EI} \left( -\frac{1}{2} M_A l^2 - \frac{1}{24} w l^4 + \frac{1}{6} R_A l^3 \right) = 0 \quad (2.123)$$

$$\rightarrow \frac{1}{2} M_A l^2 + \frac{1}{24} w l^4 - \frac{1}{6} R_A l^3 = 0 \quad (2.124)$$

$$\rightarrow 12 M_A + w l^2 - 4 R_A l = 0 \quad (2.125)$$

The  $R_A$ ,  $R_B$ , and  $M_A$  are still **unknowns** at this point. Combining the equilibrium conditions with the double integration (equation (2.125)):

$$R_A - w l + R_B = 0 \quad (2.126)$$

$$R_A l - M_A - \frac{1}{2} w l^2 = 0 \quad (2.127)$$

$$4 R_A l - 12 M_A - w l^2 = 0 \quad (2.128)$$

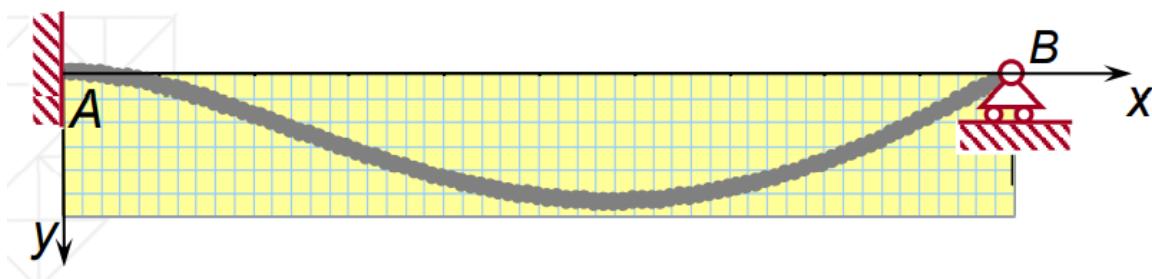
Solving for the above system of equations yields:

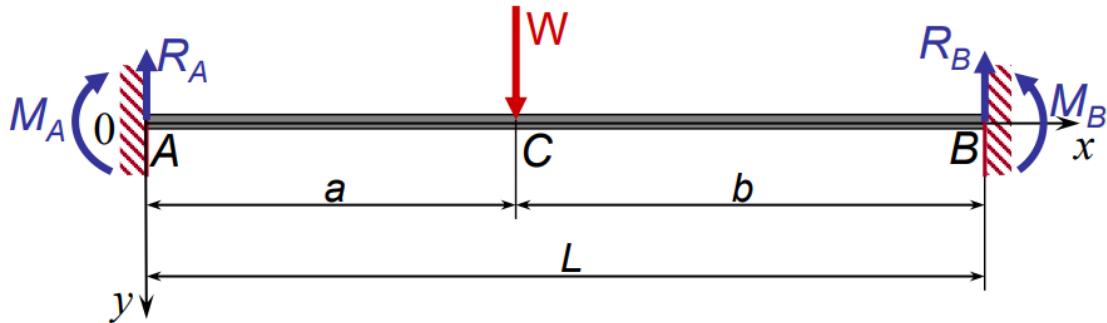
$$R_A = \frac{5}{8} w l \quad (2.129)$$

$$R_B = \frac{3}{8} w l \quad (2.130)$$

$$M_A = \frac{w l^2}{8} \quad (2.131)$$

Using these values in all previous equations, all quantities can be determined.



**Example: Fixed Beam with Concentrated Load (Macaulay's Method)**

The equilibrium conditions:

$$R_A - W + R_B = 0 \quad (2.132)$$

$$M_A - Wb + R_AL - M_B = 0 \quad (2.133)$$

Moment at the point distance  $x$  away from the left end:

$$M(x) = M_A + R_Ax - W(x - a) \quad (2.134)$$

Integrating the moment equation:

$$\theta(x) = \frac{dy}{dx} = -\frac{1}{EI} \int (M_A + R_Ax - W(x - a)) dx \quad (2.135)$$

$$= -\frac{1}{EI} \left( M_Ax + \frac{1}{2}R_Ax^2 - \frac{1}{2}W(x - a)^2 \right) + \theta_0 \quad (2.136)$$

Boundary Condition:  $\theta(0) = 0 \rightarrow \theta_0 = 0$

$$\therefore \theta(x) = -\frac{1}{EI} \left( M_Ax + \frac{1}{2}R_Ax^2 - \frac{1}{2}W(x - a)^2 \right) \quad (2.137)$$

Integrating further:

$$y(x) = -\frac{1}{EI} \int \left( M_Ax + \frac{1}{2}R_Ax^2 - \frac{1}{2}W(x - a)^2 \right) dx \quad (2.138)$$

$$= -\frac{1}{EI} \left( \frac{1}{2}M_Ax^2 + \frac{1}{6}R_AX^3 - \frac{1}{6}W(x - a)^3 \right) + y_0 \quad (2.139)$$

Boundary Condition:  $y(0) = 0 \rightarrow y_0 = 0$

$$\therefore y(x) = -\frac{1}{EI} \left( \frac{1}{2}M_AX^2 + \frac{1}{6}R_AX^3 - \frac{1}{6}W(x - a)^3 \right) \quad (2.140)$$

Applying other boundary conditions  $\theta(L) = 0$ ,  $y(L) = 0$  (to consider the other end of the beam):

$$\theta(L) = -\frac{1}{EI} \left( M_AL + \frac{1}{2}R_AL^2 - \frac{1}{2}W(L - a)^2 \right) = 0 \quad (2.141)$$

$$y(L) = -\frac{1}{EI} \left( \frac{1}{2}M_AL^2 + \frac{1}{6}R_AL^3 - \frac{1}{6}W(L - a)^3 \right) = 0 \quad (2.142)$$

Overall: 
$$\begin{cases} R_A - W + R_B = 0 \\ M_A - Wb + R_AL - M_B = 0 \\ 2M_AL + R_AL^2 - W(L - a)^2 = 2M_AL + R_AL^2 - Wb^2 = 0 \\ 3M_AL^2 + R_AL^3 - W(L - a)^3 = 3M_AL^2 + R_AL^3 - Wb^3 = 0 \end{cases} \quad (2.143)$$

The  $R_A$ ,  $R_B$ ,  $M_A$ , and  $M_B$  are the 4 **unknowns** with 4 equations. Hence, they can be solved:

$$R_A = \frac{Wb^2}{L^3}(3a + b) \quad (2.144)$$

$$M_A = -\frac{Wab^2}{L^2} \quad (2.145)$$

$$R_B = \frac{Wa^2}{L^3}(3b + a) \quad (2.146)$$

$$M_B = -\frac{Wa^2b}{L^2} \quad (2.147)$$

The equation of deflection:

$$y = -\frac{W}{2EI} \left[ \frac{b^2(3a + b)x^3}{3L^3} - \frac{ab^2x^2}{L^2} - \frac{(x - a)^3}{3} \right] \quad (2.148)$$

$$y(a) = \frac{Wa^3b^3}{3EIL^3} \quad (2.149)$$

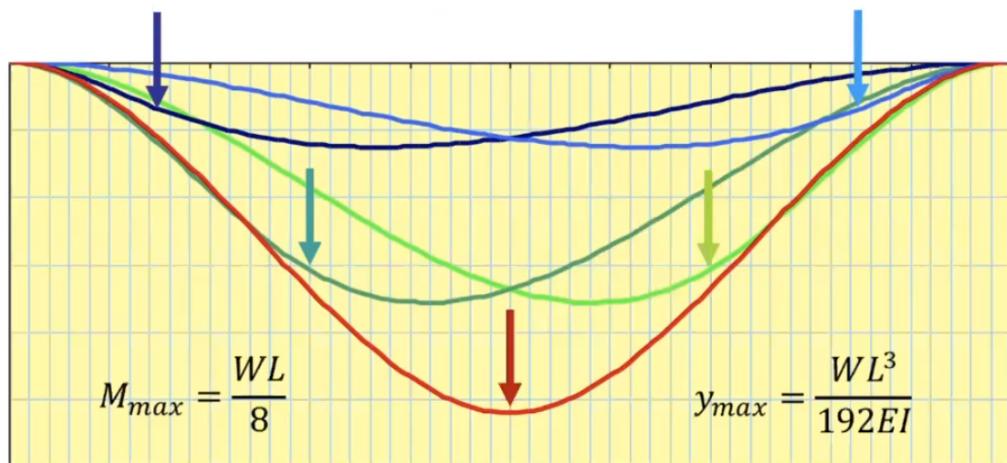
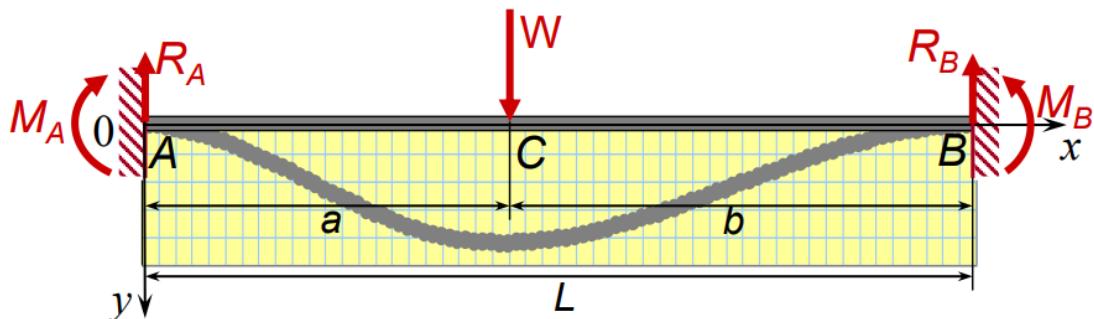


Figure 2.7: Depending on the loading, the profile of the deflection will be different. Maximum deflection occurs when  $a = b = \frac{L}{2}$

Statically indeterminate structures produce **lower displacement and stress levels**, resulting in savings in material. **Failure** of a member in a statically determinate structure **leads to collapse**. In case of failure of a member, statically indeterminate structures can find **alternative load paths**, at least temporarily.

# Chapter 3

## Plastic collapse

What you already know on bending is sufficient to enable the basic engineering design of static beam structures. Basic engineering design is primarily concerned with:

- Controlling elastic deformations
- Maintaining structures within their elastic range

However, for safety reasons, it is essential for engineers to be able to predict how a structure would fail if unexpected loading conditions occur.

### 3.1 Introduction

#### 3.1.1 Elastic and Plastic Regimes

Stressing within the **elastic regime**:

- Material returns to original state upon removal of external actions
- Deformation depends solely upon stress and not upon load history

Exceeding the elastic regime, **plastic regime** is reached:

- Permanent distortions take place in the material
- Deformation depends upon stress and load history

Equations of solid mechanics apply to both cases.

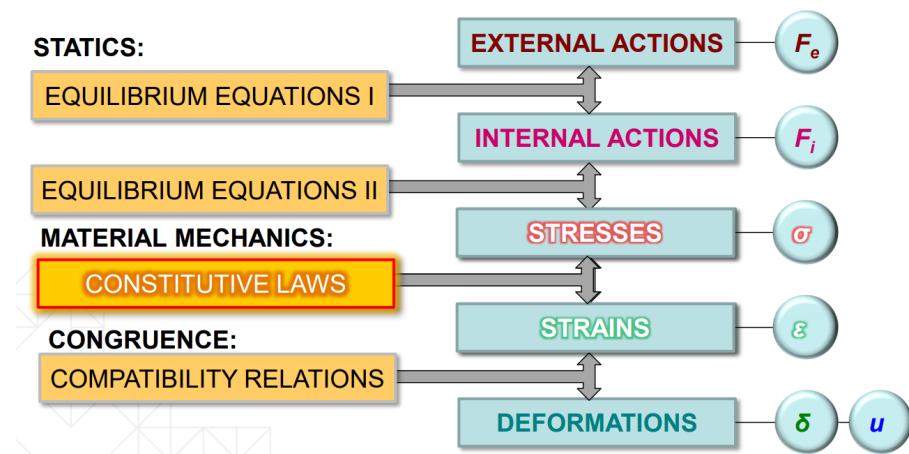


Figure 3.1: Solid Mechanics Equations: The relationship between actions, stresses, strains and deformations

### 3.1.2 Stress-Strain Plastic Relationship

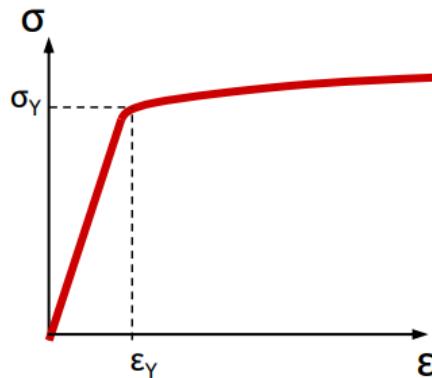


Figure 3.2: Typical elasto-plastic material

Typical elasto-plastic material:

- Region 1 - linear elastic behaviour up to yield stress  $\sigma_y$
- Region 2 – non linear development with strain-hardening

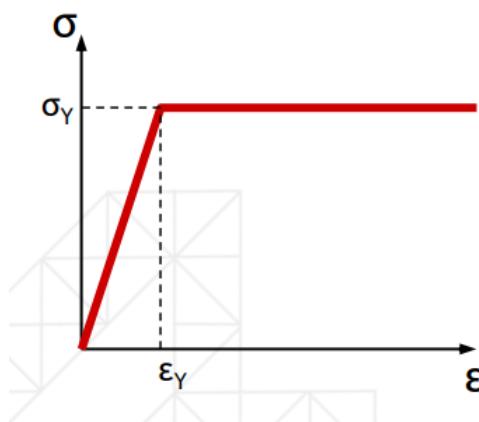


Figure 3.3: Perfectly elasto-plastic material

Perfectly elasto-plastic material:

- Region 1 - linear elastic behaviour up to yield stress  $\sigma_y$
- Region 2 – strain increases at constant stress

**Structural steels** are elastoplastic materials and can be modelled as perfectly elastoplastic (neglecting the strain-hardening is conservative for safety).

## 3.2 Plastic Theory of Collapse

### 3.2.1 Bending and Plastic Collapse

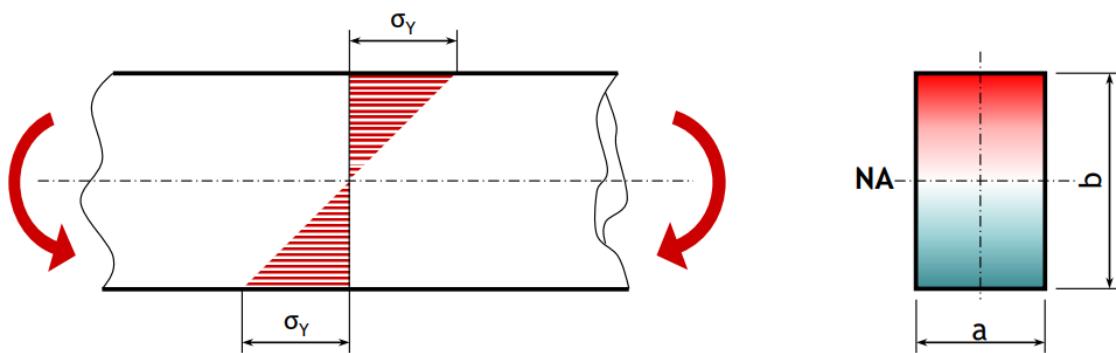
**Bending moment** is by far the most relevant of the internal forces, since it produces the largest levels of deformations and stress into the beam. Therefore, **plastic collapse** of beam structures is commonly associated with **plastic bending**. Assumptions:

- Material is perfectly elasto-plastic. (in the plastic region stress will be constant)

- Yield stress is the same in tension and compression
- Transverse cross-sections remain plane (strain is proportional to the distance from the NA)
- When a cross-section is fully plastic (plastic hinge), its resisting moment remains constant until collapse of the whole structure
- Loads increase monotonically

### 3.2.2 Elastic Bending Moment

Consider a beam of rectangular cross-section, subjected to pure bending.



Maximum stress reaches the elastic limit  $\sigma_y$  when the bending moment is equal to:

$$M = \frac{EI}{R} \quad (3.1)$$

$$\sigma = \frac{Eb}{2R} \quad (3.2)$$

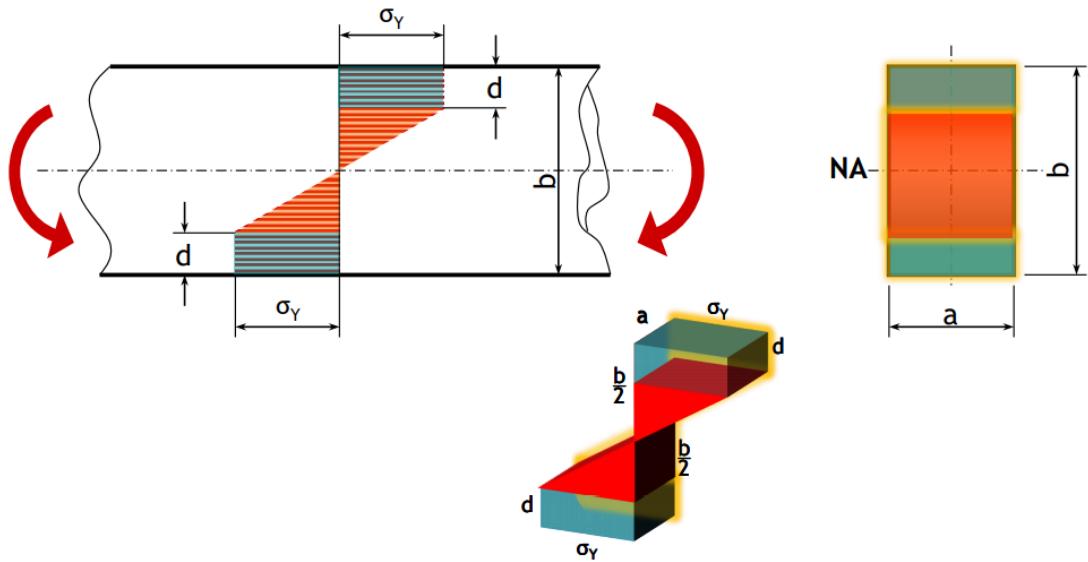
$$I = \frac{ab^3}{12} \quad (3.3)$$

$$\therefore \text{The yield bending moment : } M_Y = \sigma_Y \frac{ab^2}{6} \quad (3.4)$$

At the elastic moment, all fibres are still in the elastic condition. If moment increases further, external fibres exceed the elastic limit and yield: deformation increases but stress keeps constant and equal to  $\sigma_y$ . With increasing bending moment, the plastic region penetrates deeper toward the Neutral Axis (NA).

### 3.2.3 Elasto-Plastic Bending Moment

Consider a beam of rectangular cross-section, subjected to pure bending.



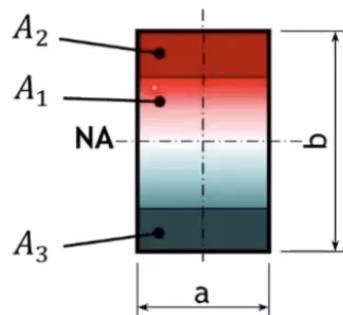
The moment is given by:

$$M = \frac{\sigma I}{h} \quad (3.5)$$

$$I = ? \quad (3.6)$$

$$\therefore \text{Elasto-plastic bending moment : } M = \frac{\sigma_Y ab^2}{6} \left[ 1 + 2 \frac{d}{b} \left( 1 - \frac{d}{b} \right) \right] \quad (3.7)$$

### The derivation of the bending moment:



The cross-section is divided into 3 parts:

1. Elastic part in the middle ( $A_1$ )
2. Plastic part at the top ( $A_2$ )
3. Plastic part at the bottom ( $A_3$ )

$$M = \int_A dM = \int_A h \cdot \sigma dA \quad (3.8)$$

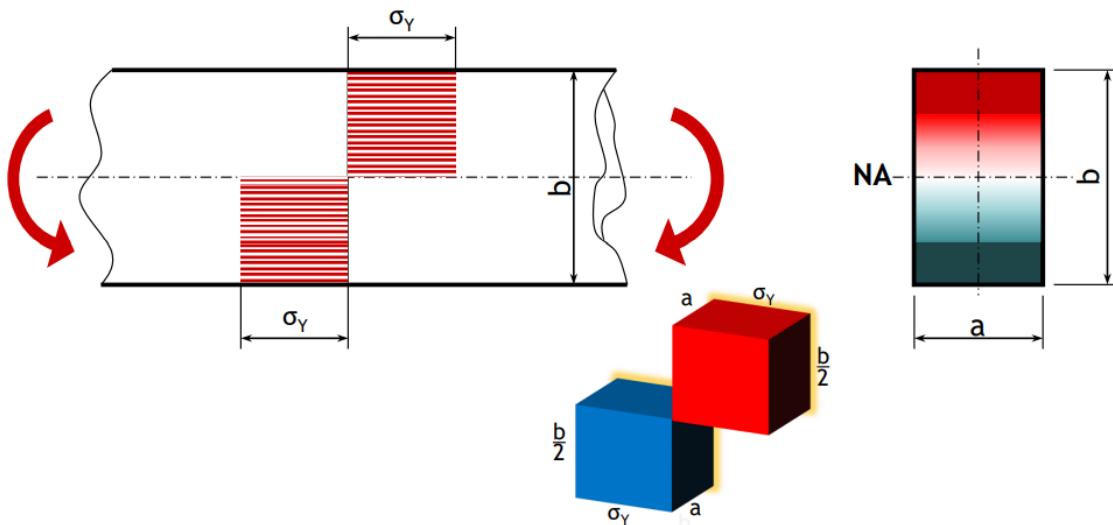
$$= \int_{A_1} \frac{\sigma_Y h}{b/2 - d} h dA + \int_{A_2} \sigma_Y h dA + \int_{A_3} \sigma_Y h dA \quad (3.9)$$

$$= \int_{-b/2+d}^{b/2-d} \frac{\sigma_Y a}{b/2 - d} h^2 dh + \int_{b/2-d}^{b/2} \sigma_Y ah dh + \int_{-b/2}^{-b/2+d} \sigma_Y ah dh \quad (3.10)$$

$$= \frac{\sigma_Y ab^2}{6} \left[ 1 + 2 \frac{d}{b} \left( 1 - \frac{d}{b} \right) \right] \quad (3.11)$$

### 3.2.4 Plastic Bending Moment

Consider a beam of rectangular cross-section, subjected to pure bending.



If bending moment keeps increasing, the plastic region propagate to the NA. In this case the moment is equal to:

$$\text{Plastic bending moment : } M_P = \sigma_Y \frac{ab^2}{4} \quad (3.12)$$

In practice, the plastic moment is given by the product of the yield stress by (the 1st moment of area (of the cross section) above the plastic NA + the 1st moment of area below the plastic NA).

### 3.2.5 Shape Factor

The yielding and plastic bending moments are different. In this case (rectangular cross-section) they are:

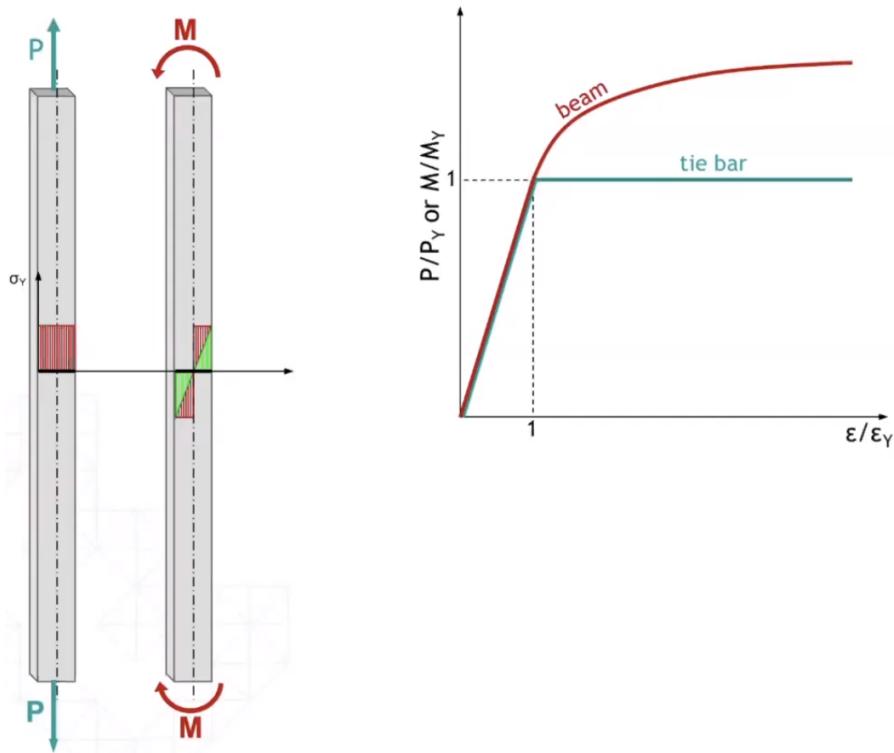
$$M_Y = \sigma_Y \frac{ab^2}{6} \quad M_P = \sigma_Y \frac{ab^2}{4} \quad (3.13)$$

The ratio  $f = \frac{M_P}{M_Y}$  between the yielding bending moment and the plastic bending moment is solely a function of the shape of the cross-section, and it is called **shape factor**. In this case (rectangular cross-section) it is:

$$f = \frac{M_P}{M_Y} = \frac{\sigma_Y ab^2}{4} \frac{6}{\sigma_Y ab^2} = 1.5 \quad (3.14)$$

The shape factor is an indicator of the reserve strength that the beam can offer after yielding first begins.

### 3.2.6 Plastic Collapse Under Bending

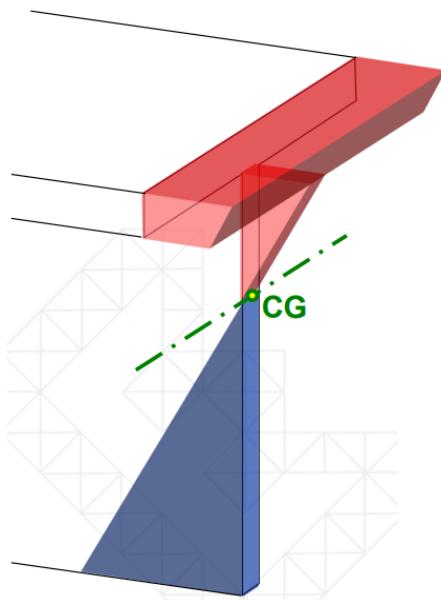


Contrary to the case of normal load, the plastic collapse of a beam under pure bending occurs at a moment greater than the yield moment.

For a rectangular cross section, it will occur at a moment 50% higher than the one that initiate yielding.

### 3.2.7 Neutral Axis with Asymmetry

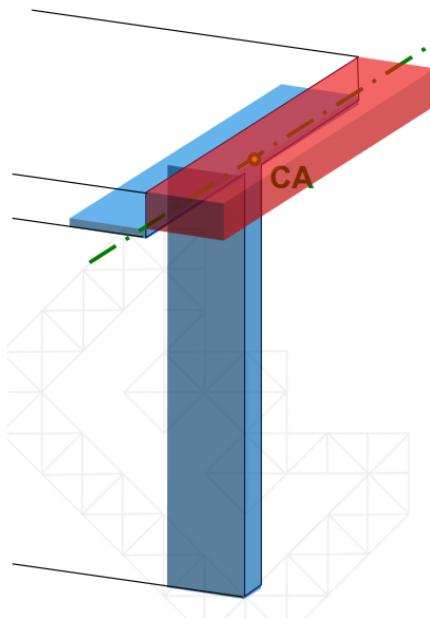
In the case of asymmetrical sections (though still singly symmetric), the analysis becomes more complex:



Equilibrium of forces in the ELASTIC case:

$$F = \int_A \sigma \cdot dA = \int_A \frac{M}{I} h \cdot dA = \frac{M}{I} \int_A h \cdot dA = 0 \quad (3.15)$$

The  $\int_A h \cdot dA$  is the 1st moment of area. The **elastic neutral axis** corresponds to the centroid of the section (centre of gravity).



Equilibrium of forces in the PLASTIC case:

$$F = \int_A \sigma_Y \cdot dA = \int_{A_T} \sigma_Y \cdot dA - \int_{A_C} \sigma_Y \cdot dA = 0 \quad (3.16)$$

$$\therefore \sigma_Y A_T = \sigma_Y A_C \quad (3.17)$$

$$\therefore A_T = A_C \quad (3.18)$$

The **plastic neutral axis** corresponds to the centre of area (belongs to the line that divides the section into 2 equal areas).

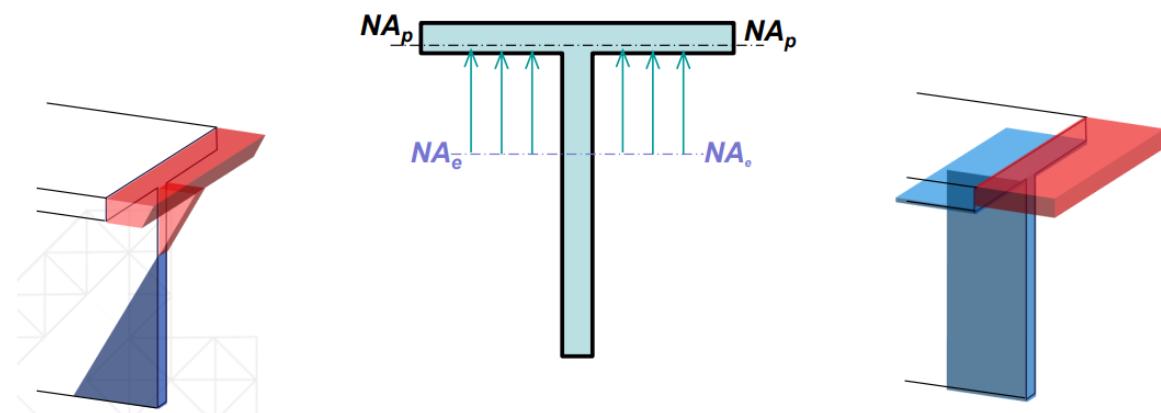
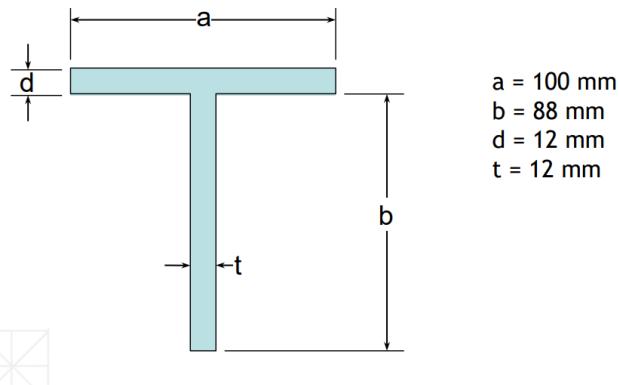


Figure 3.4: In the elasto-plastic phase, the neutral axis shifts from the centroid (centre of gravity) to the centre of area of the section

### 3.2.8 Example: Shape factor for T-beam

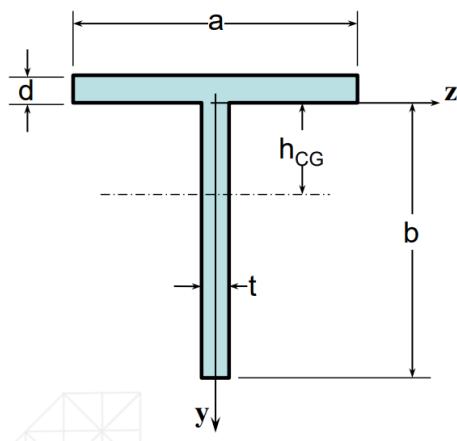


Yield moment  $M_Y$  and plastic moment  $M_P$  need to be calculated:

$$M_Y = \frac{\sigma_Y \cdot I}{h_{max}} \quad (3.19)$$

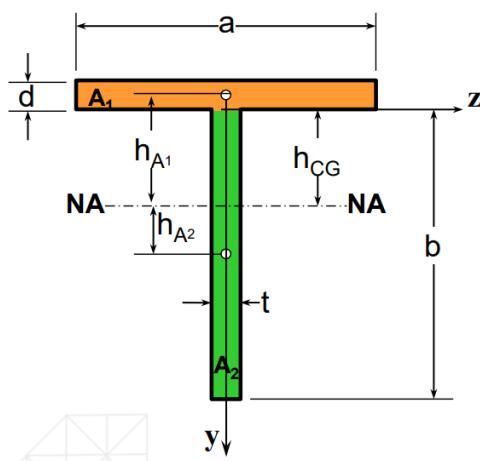
$$M_P = \sigma_Y(Q_1 + Q_2) \quad (3.20)$$

#### Elastic Neutral Axis:



$$\text{Centre of Gravity} = h_{CG} = \frac{\int_A h \cdot dA}{A} \quad (3.21)$$

#### 2nd Moment of Area:



$$h_{A1} = h_{CG} + \frac{d}{2} = 23.4\text{mm} \quad (3.22)$$

$$h_{A2} = \frac{b}{2} - h_{CG} = -26.6\text{mm} \quad (3.23)$$

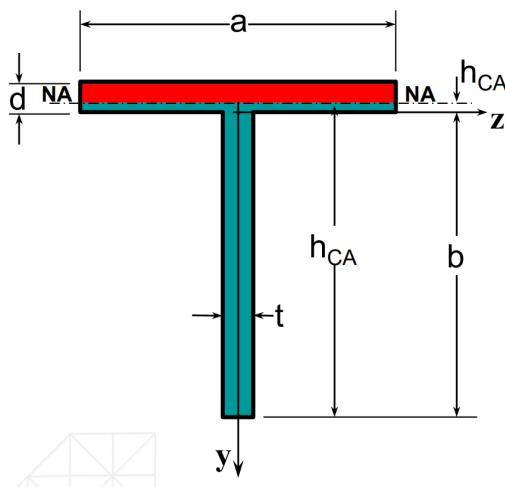
2nd moment of area (parallel axis theorem):

$$I_{zz} = (I_{A_1} + A_1 \cdot h_{A_1}^2) + (I_{A_2} + A_2 \cdot h_{A_2}^2) = 2.10 \cdot 10^6 \text{mm}^4 \quad (3.24)$$

### **Yield Moment:**

$$M_Y = \frac{\sigma_Y \cdot I_{zz}}{h_{CG}} = 29745 \cdot \sigma_Y \quad (3.25)$$

### **Plastic Neutral Axis:**

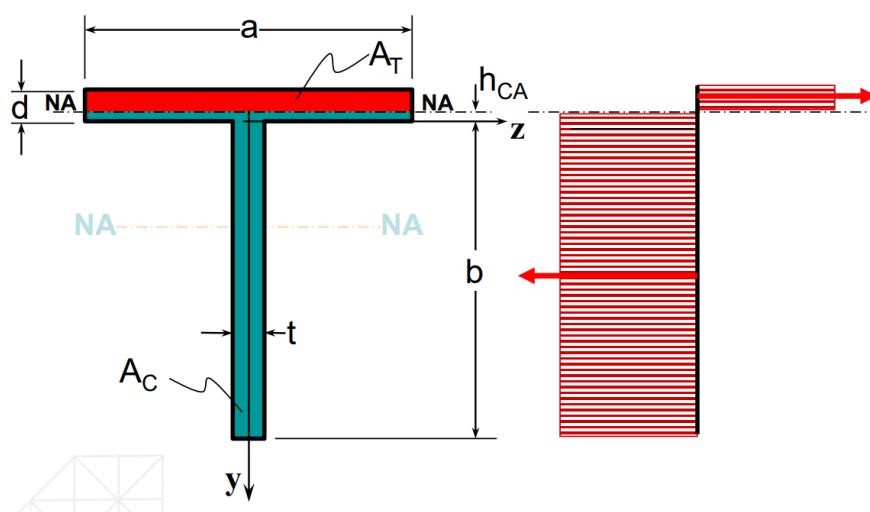


$$A_T = A_C = \frac{A_{total}}{2} \quad (3.26)$$

$$\text{Centre of Area} = h_{CA} = 0.72\text{mm} \quad (3.27)$$

Where  $A_T$  and  $A_C$  represent the areas of the top and bottom parts.

### **1st Moment of Area:**



1st moment of the areas in tension & compression:

$$Q_{A_T} = \int_{A_T} h \cdot dA = 6362 \text{ mm}^3 \quad (3.28)$$

$$Q_{A_C} = \int_{A_C} h \cdot dA = 46846 \text{ mm}^3 \quad (3.29)$$

### Plastic Moment:

$$M_P = \sigma_Y (Q_{A_T} + Q_{A_C}) = \sigma_Y \cdot 6362 + \sigma_Y \cdot 46846 = 53208 \cdot \sigma_Y \quad (3.30)$$

### Shape Factor:

Calculated yield and plastic moments:

$$M_Y = \frac{\sigma_Y \cdot I_{zz}}{y_{CG}} = 29745 \cdot \sigma_Y \quad (3.31)$$

$$M_P = \sigma_Y (Q_{A_T} + Q_{A_C}) = 53208 \cdot \sigma_Y \quad (3.32)$$

$$\therefore \text{Shape Factor: } f = \frac{M_P}{M_Y} = 1.79 \quad (3.33)$$

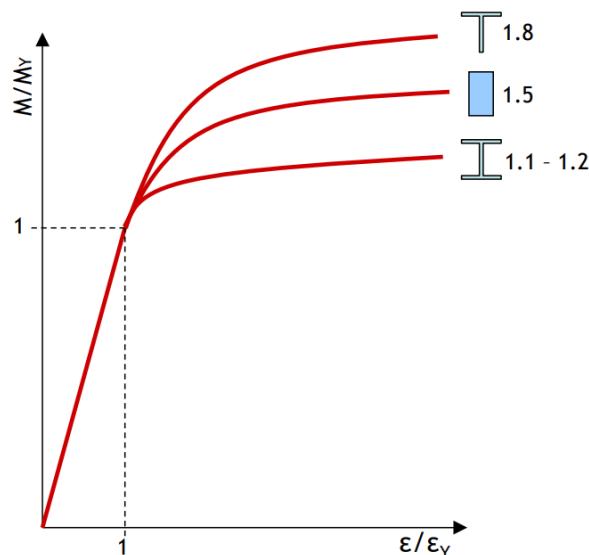
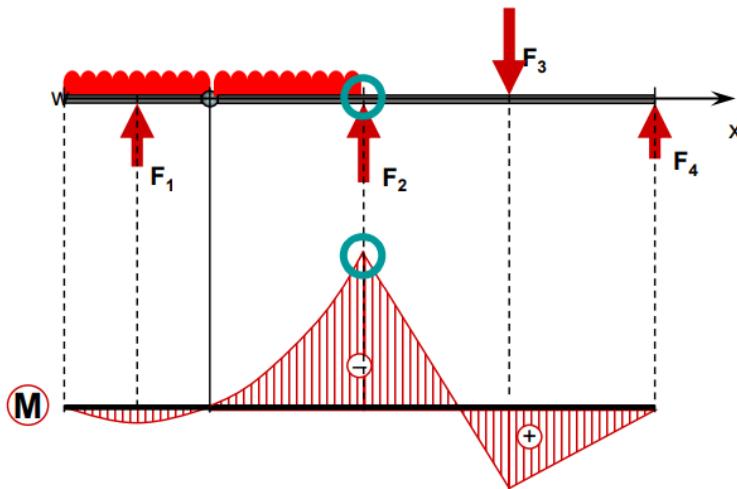


Figure 3.5: The shape factors of Rectangular, T-shaped and I-shaped cross sections

### 3.3 Plastic Hinges

So far we have considered the case of pure bending: predicted propagation of plastic region occurs at all sections in same way. However, pure bending rarely occurs. Distribution of bending moment is commonly variable along beam length.

In this case, the section with highest bending moment would reach fully plastic state first. The fully plastic section can not react to further increase of moment: **it loses its capability of reacting to rotations and its curvature can increase indefinitely**. The section behaves like a hinge and is called **PLASTIC HINGE**.



A plastic hinge is defined as a hinge which can undergo rotation when the bending moment reaches the plastic moment  $M_P$ .

- for  $M < M_P \rightarrow$  No Rotation
- for  $M = M_P \rightarrow$  Rotation
  - $M_P$  is the limiting value for the bending moment

The effect of the plastic hinge is equivalent to introducing in the section an additional pin joint and a concentrated bending moment equal to  $M_P$ .

### Simply Supported Beam with Central Load

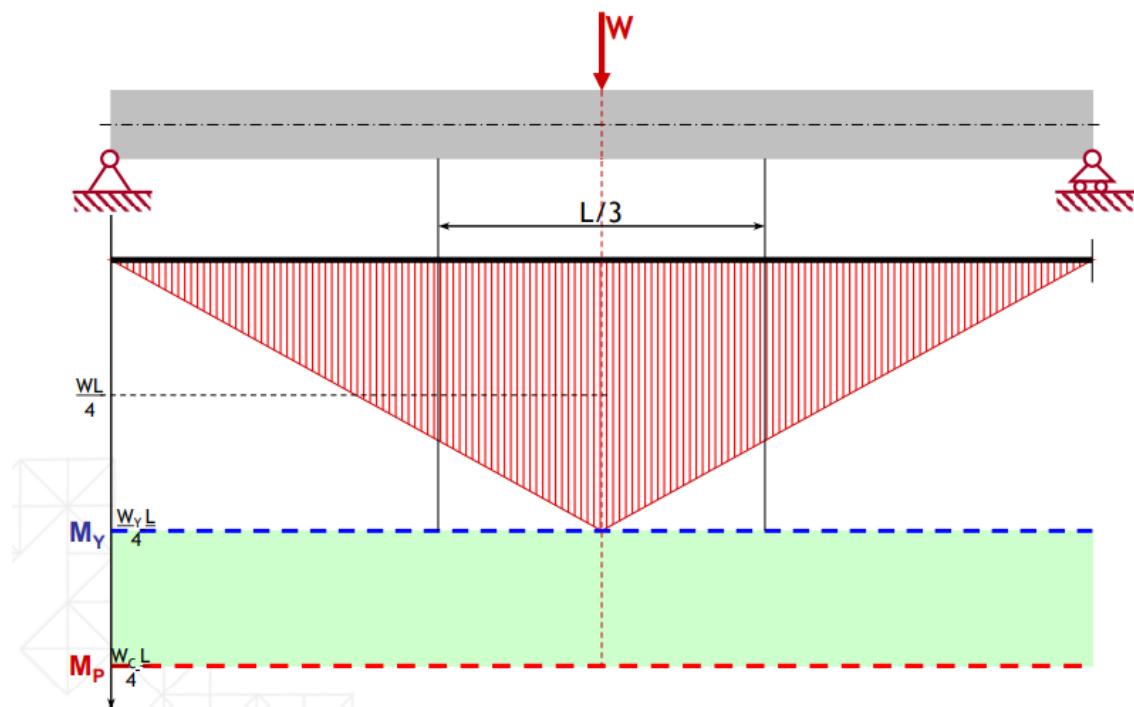


Figure 3.6: As  $W$  increases the bending moment raise until the yielding moment is reached at midspan. Yielding starts at midspan, at the top and bottom edges of the section, and spreads inwards to the NA and at either sides.

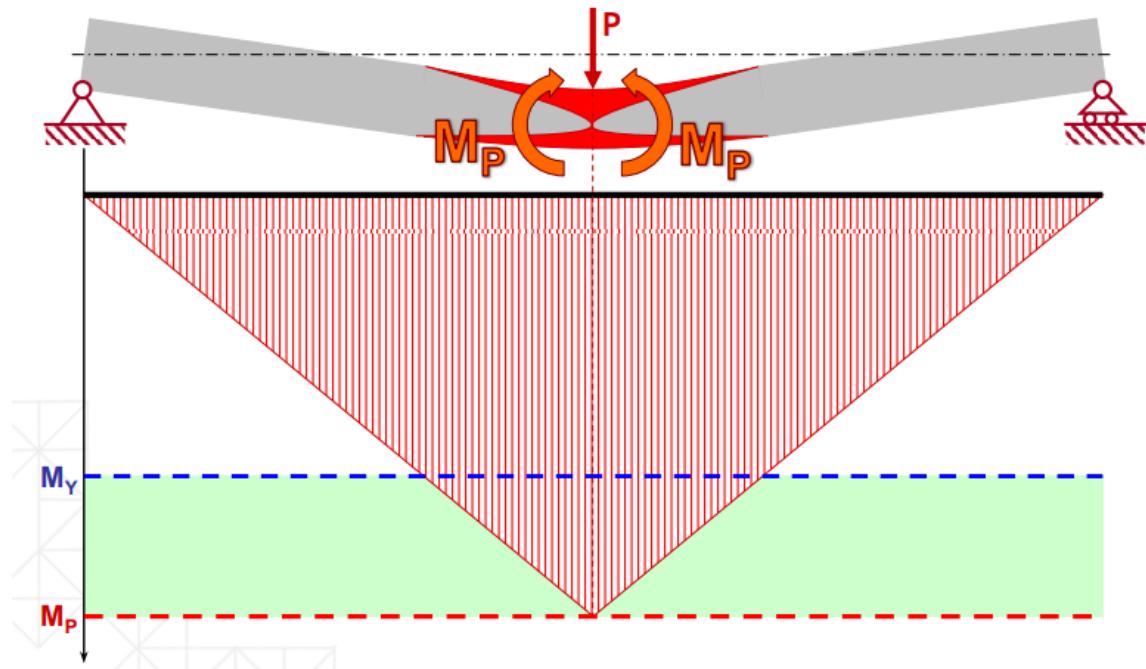


Figure 3.7: By the time plastic hinge forms at the midspan, yielding is quite widespread in the beam.

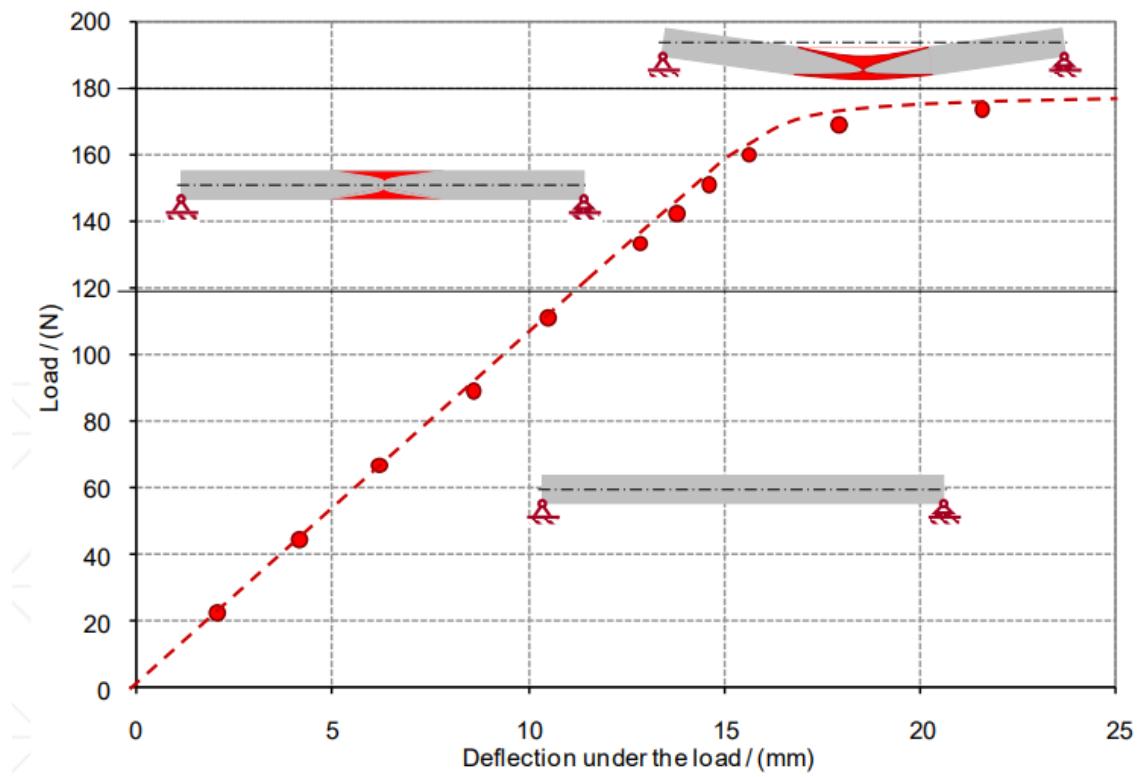


Figure 3.8: Experimental Load-Deflection Characteristic

### Simply Supported Beam with Uniform Load

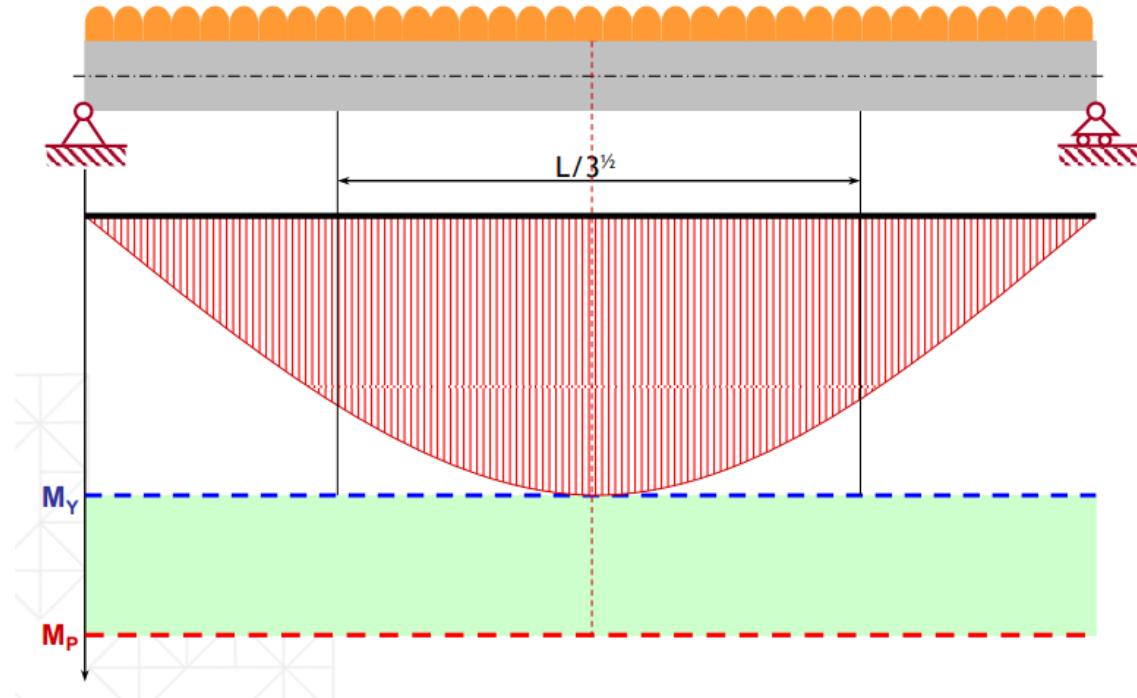


Figure 3.9: The moment increases as the load increases while keeping profile the same, reaching  $M_Y$  at a certain point.

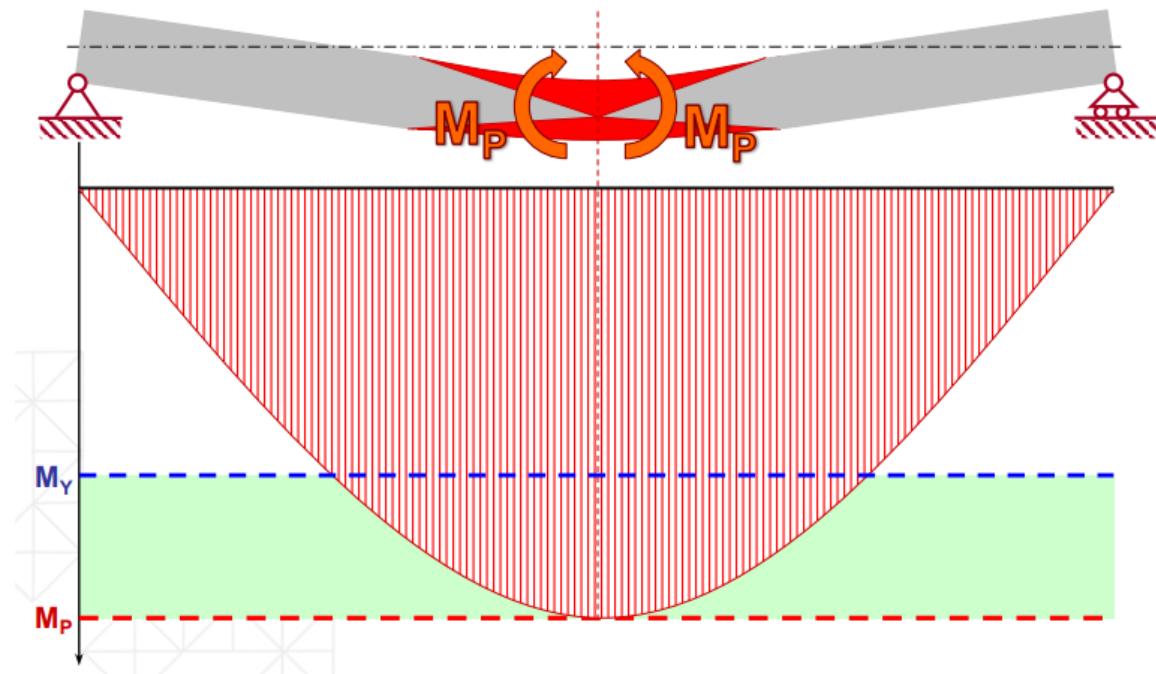


Figure 3.10: As the load is further increased,  $M_P$  is reached. The spread of the plastic region occurs on both the cross-section and to the sides. Plastic hinge formations occurs.

## 3.4 Collapse Mechanism

In the following discussion we will also assume that:

- Cross sections behave elastically up to the plastic moment
- Plastic hinges affect only the section of maximum bending (partial yielding at points away from the plastic hinge is neglected)
- Failure modes other than plastic collapse are prevented
- Effect of normal and shear forces can be neglected

### 3.4.1 Statically Determinate Beam

**Collapse** occurs when a sufficient number of hinges have developed to reduce a structure to a mechanism. If the structure is **statically determinate** the development of a **single hinge** leads to collapse.

#### Case 1: load factor for a beam with a concentrated central point load on a simple support

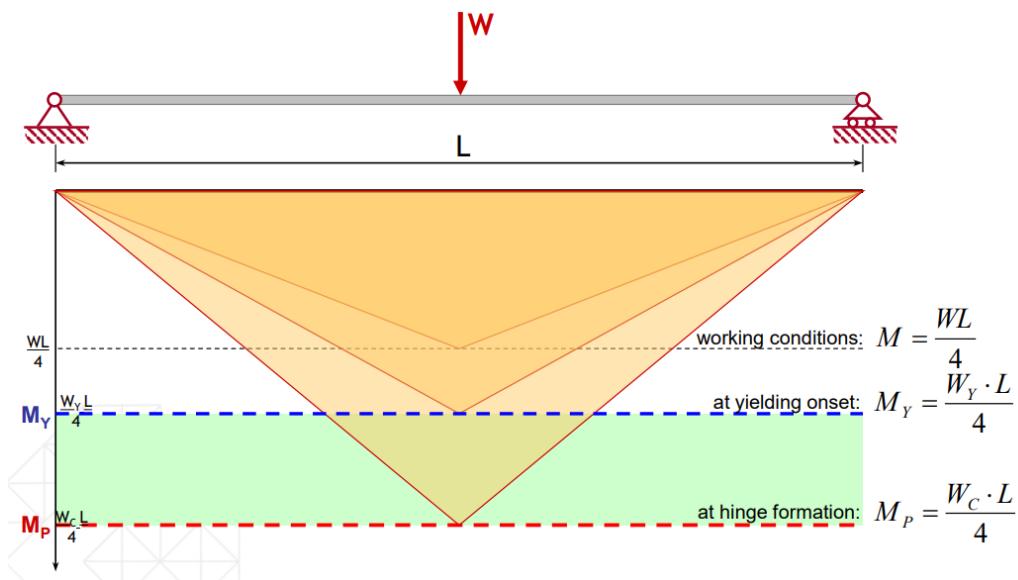


Figure 3.11: The beam under working condition, at yielding onset, and at hinge formation. The moment should be kept at/below the working condition for safety reasons.

The load that initiates yielding is:

$$W_Y = \frac{4M_Y}{L} \quad (3.34)$$

Collapse occurs at load:

$$W_C = \frac{4M_P}{L} \quad (3.35)$$

### Load Factor and Safety Factor

The safety factor  $K_S$ , used when conventional elastic approach is adopted, is defined as the **ratio between the load that initiate yielding  $W_Y$  and the maximum working load  $W_{max}$** :

$$K_S = \frac{W_Y}{W_{max}} \longrightarrow \text{This is what you decide} \quad (3.36)$$

Similarly, the load factor  $K_L$  is defined as the **ratio between the critical load  $W_C$  that produces the structure's collapse and the maximum working load  $W_{max}$** :

$$K_L = \frac{W_C}{W_{max}} \quad (3.37)$$

For a statically determinate structure, the load factor  $K_L$  corresponds to the product between the shape factor  $f$  and the safety factor  $K_S$ :

$$K_L = f \cdot K_S \quad (3.38)$$

In the case of statically indeterminate structures, more than one hinge is required, and therefore:

$$K_L \geq f \cdot K_S \quad (3.39)$$

### 3.4.2 Statically Indeterminate Beam

Collapse occurs when a sufficient number of hinges have developed to reduce a structure to a mechanism. If the structure is **statically determinate** the development of a **single hinge** leads to **collapse**.

If the structure is **statically indeterminate** the development of a **single hinge** leads to an **alternative structure, with higher degree of freedom**. In this case, before structure collapses, a sufficient number of hinges have to develop, in order to make the structure labile.

#### Case 2: load factor for a propped cantilever with concentrated central load

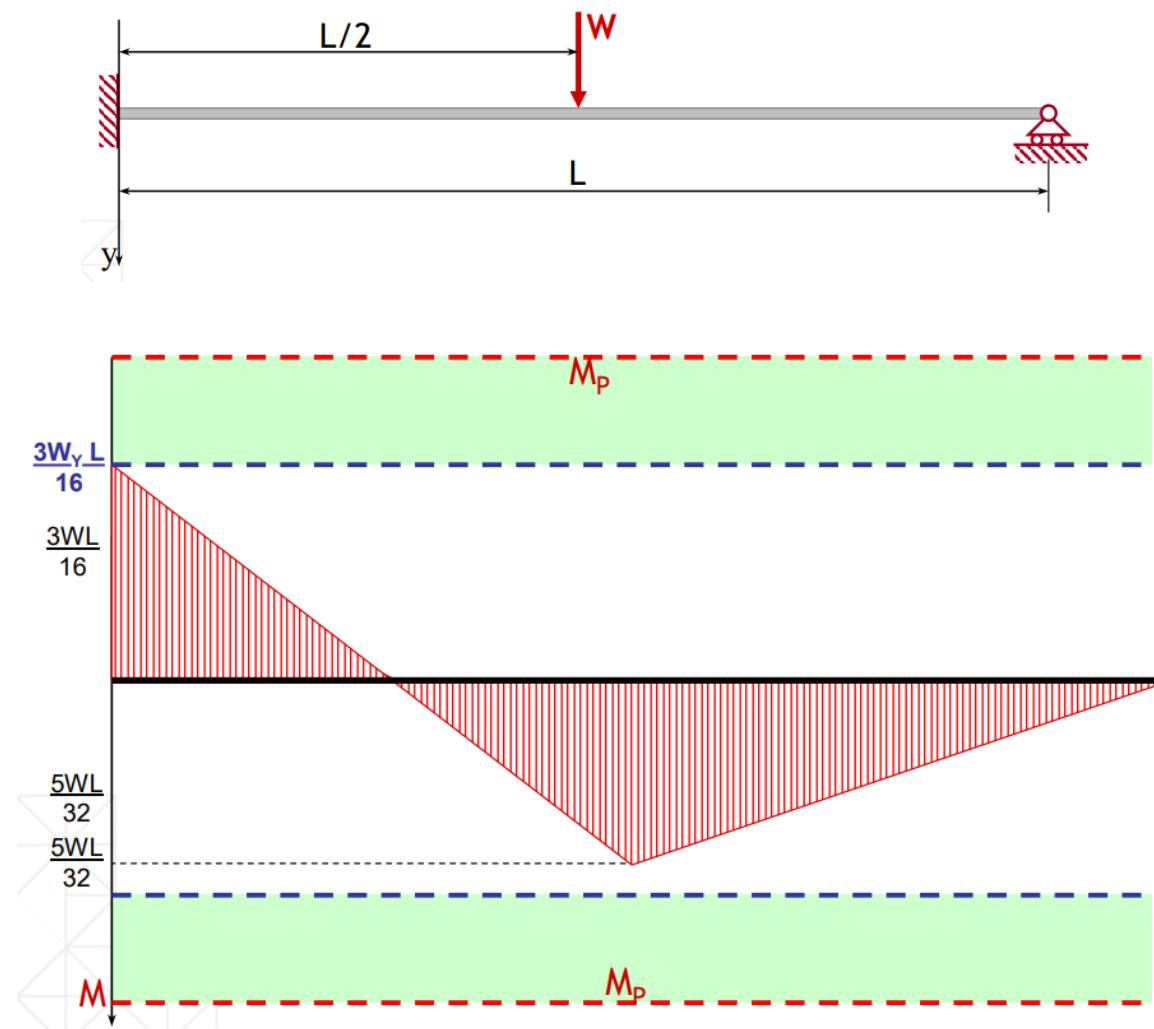


Figure 3.12: The moment diagrams when the load is increased to the yielding onset/moment

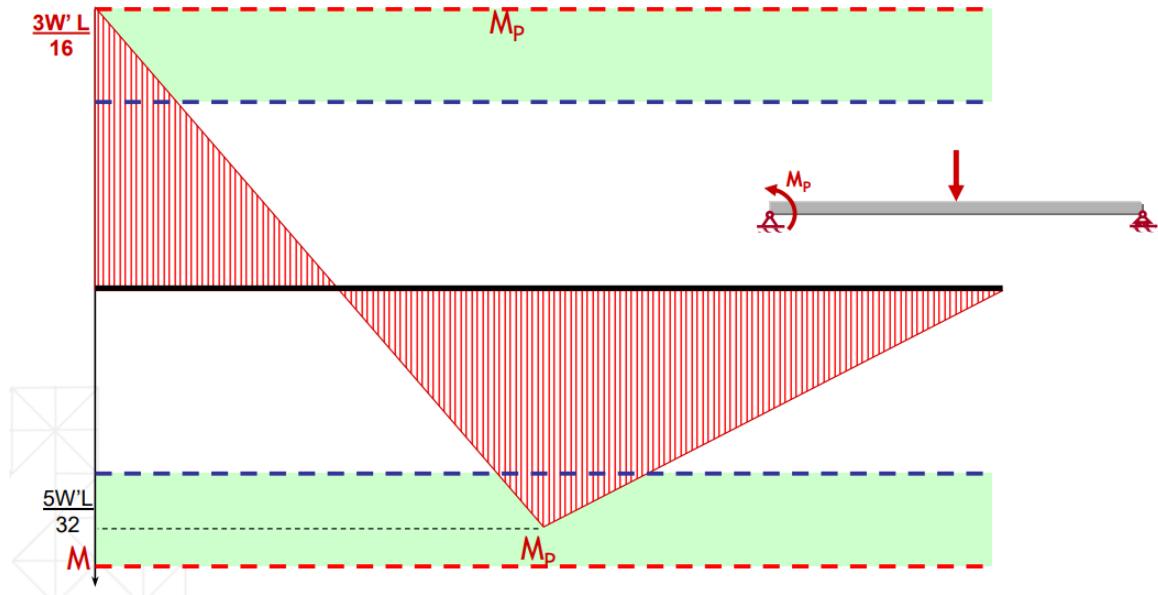


Figure 3.13: The moment diagrams when the highest moment (on the left) reaches plastic moment, and the moment at the midpoint surpasses the yielding moment. At this point, a plastic hinge is formed at the left end of this beam, hence the boundary condition changes. However, the plastic moment  $M_p$  to resist the bending still exists.

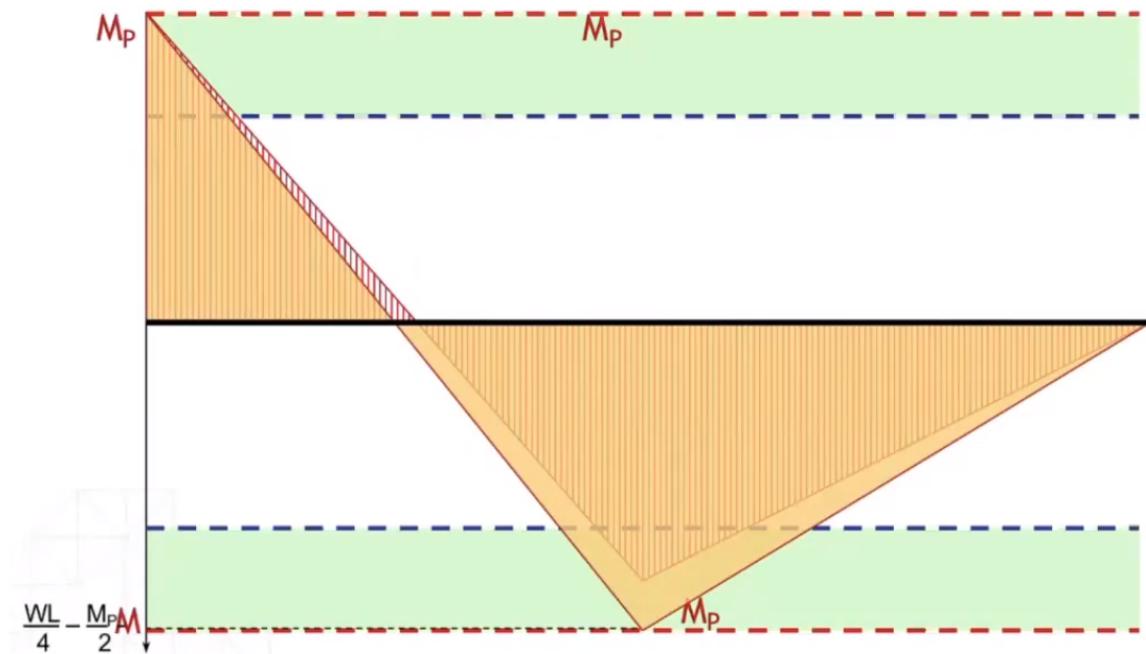


Figure 3.14: The additional load  $W''$  will further increase the bending moment at the midspan, that will eventually reach the plastic moment and form another hinge: the structure will become labile and collapse.

The load that initiates yielding is:

$$W_Y = \frac{16M_Y}{3L} \quad (3.40)$$

First hinges formation occurs at load:

$$W' = \frac{16M_p}{3L} \quad (3.41)$$

Collapse occurs at load:

$$W_C = \frac{6M_P}{L} \quad (3.42)$$

The Load Factor is:

$$K_L = \frac{9}{8} f \cdot K_S \quad (3.43)$$

$$K_L = \frac{W_C}{W_Y} K_S = \frac{6M_P/L}{16M_Y/3L} K_S = \frac{9}{8} f \cdot K_S \quad (3.44)$$

Where:

- $\frac{9}{8}$  depends on the beam cross section
- $f$  depends on loading and boundary conditions

### Load Deflection Curve

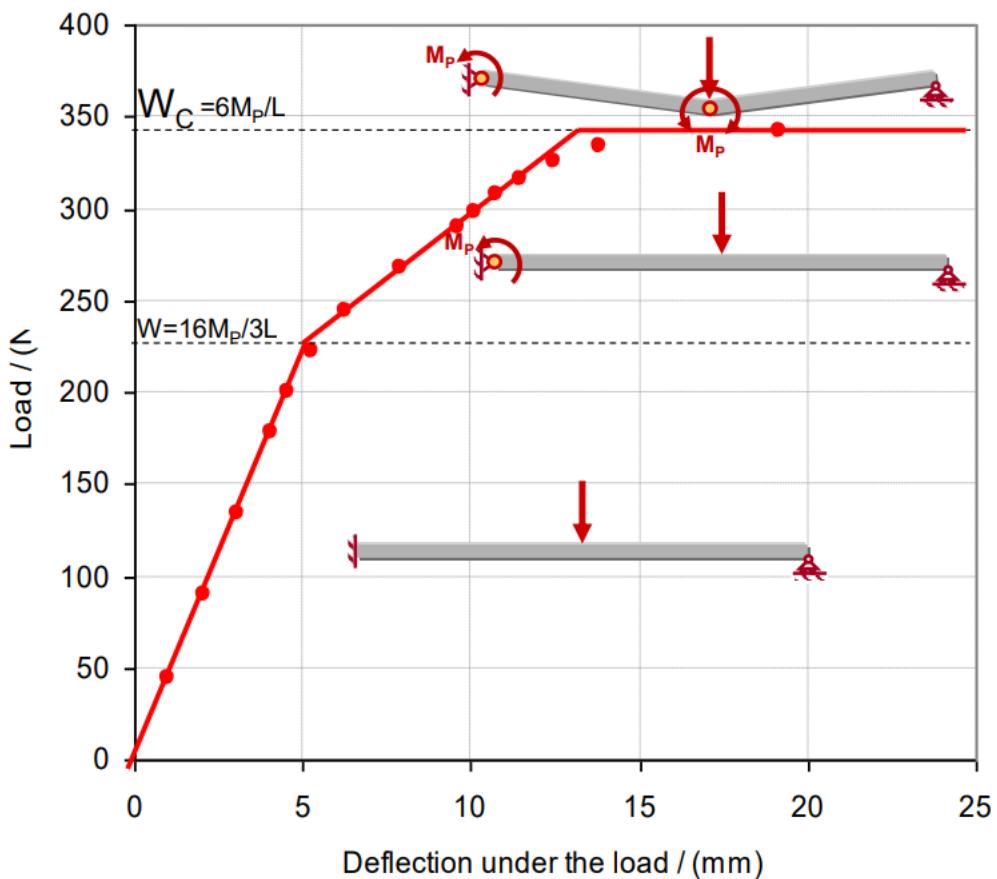


Figure 3.15: Experimental Load-Deflection Characteristic

### 3.5 Collapse Loads for Portal Frames

Portal frames are rigid structures designed to offer rigidity and stability in their plane.

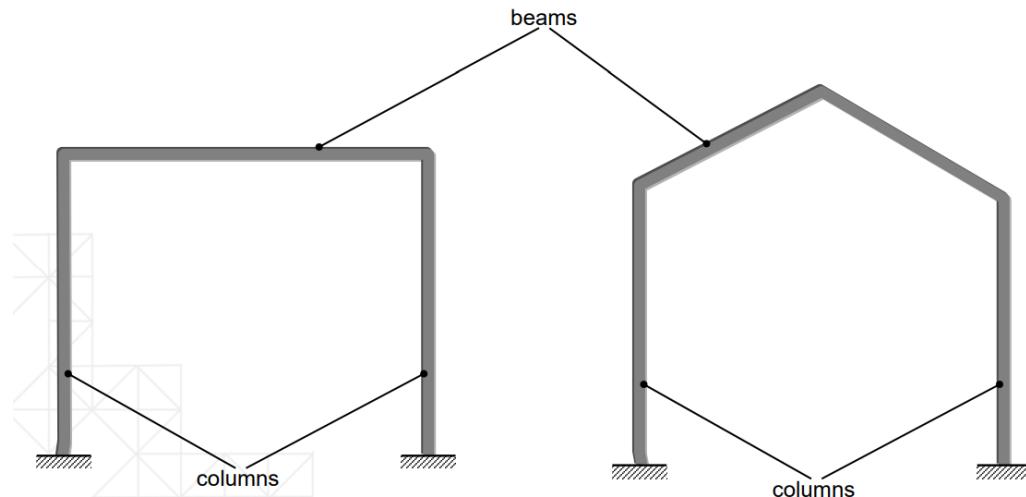
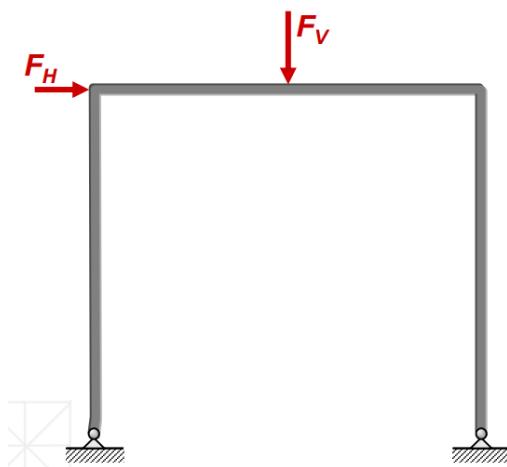


Figure 3.16: Left - rectangular portal frame, Right - pitched-roof portal frame

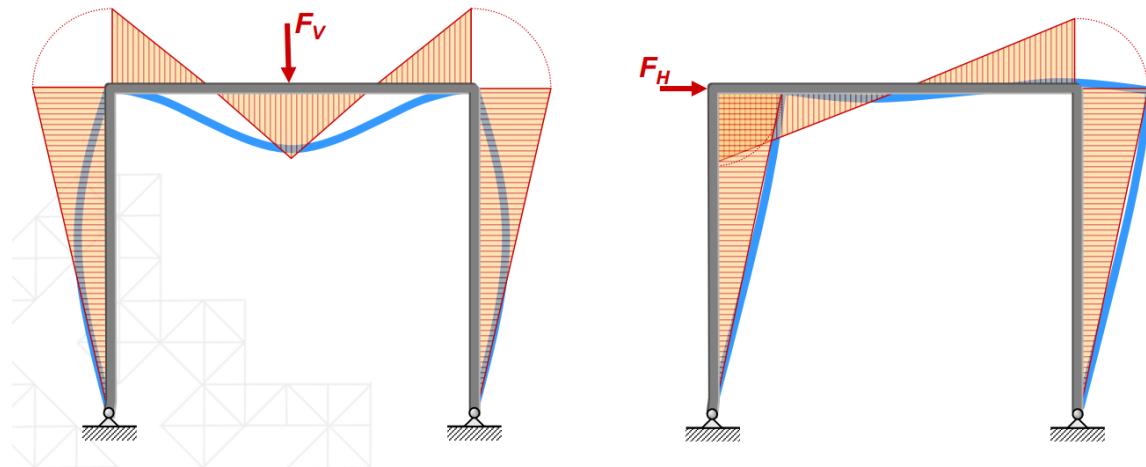
### 3.6 Portal Frames with Hinged Bases

Consider a flat-roofed portal frame with pinned feet, carrying a vertical concentrated load  $F_V$  at the centre of the beam and an horizontal concentrated load  $F_H$  at the top of one column.



#### 3.6.1 Deformations and Bending Moments

In this case, the deformations and bending moment distribution produced by the horizontal and vertical loads considered independently are respectively:



### Vertical Loads

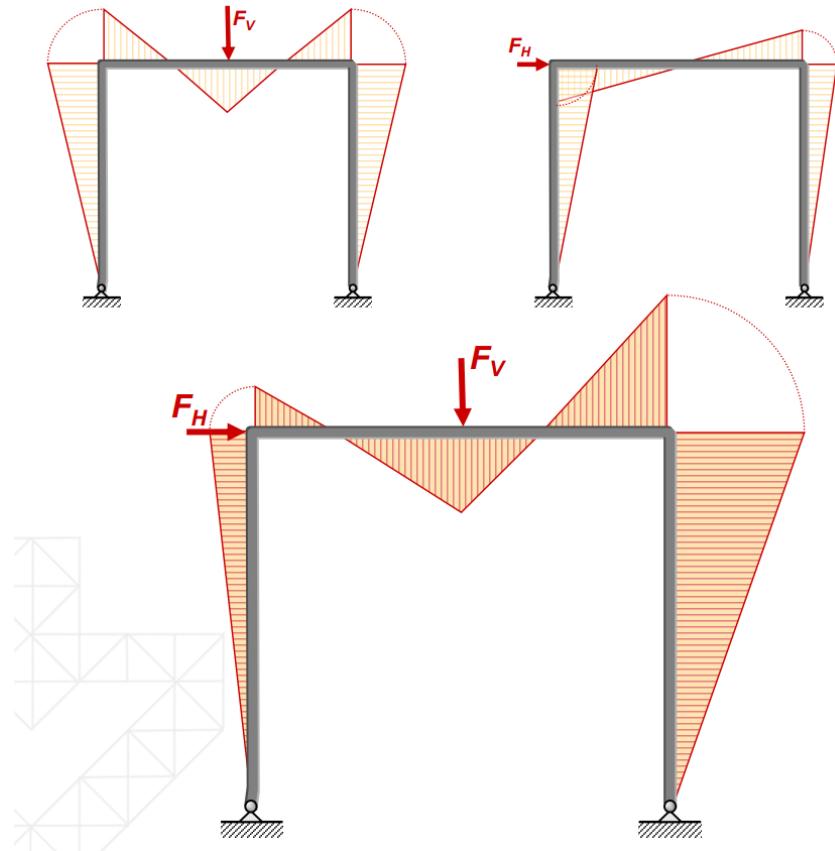
- The mid span off the horizontal beam deforms downwards
- The corners are rigid joints
- The corner points are rotated (from the vertical column's POV)
  - Right Corner - CCW
  - Left Corner - CW
- The bending moment is highest at the mid-span
- The magnitude of the bending moments at the corner (left & right) are the same
  - The arc indicates that they are the same

### Horizontal Loads

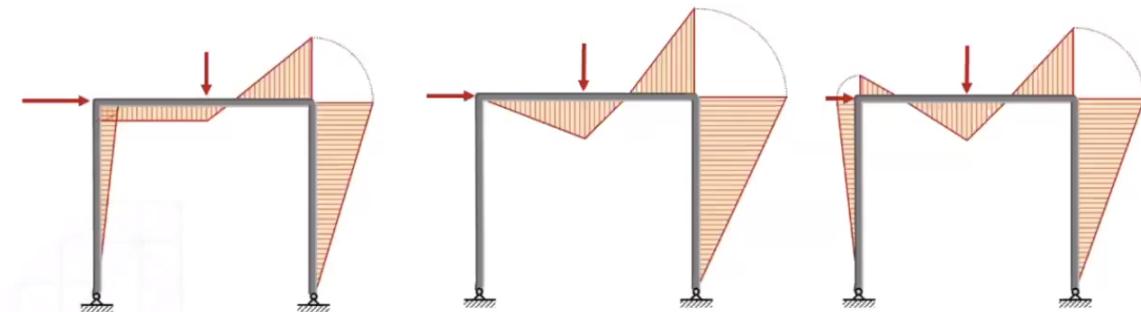
- The frame is displaced to the side
- The corners are rigid joints
- Due to the rigidity of the beam, the top corners are rotated
- The magnitude of the bending moments at the corner (right) are the same

#### 3.6.2 Bending Moment Distribution

By adding the contributions together, we obtain the bending moment distribution below:



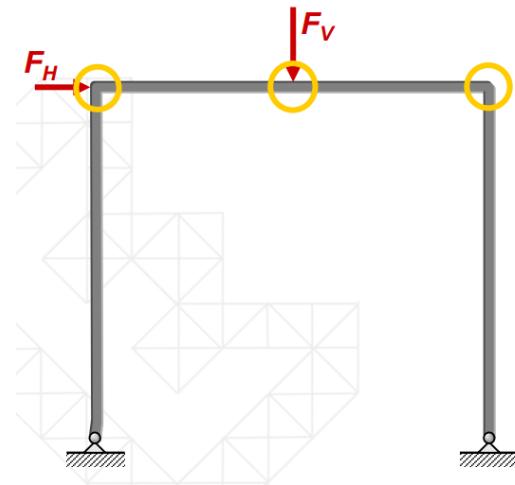
Of course, the exact distribution will depend on the relative magnitude of the two acting forces, and may vary significantly:



However, for any distribution, the points of maximum bending moment are located at the joints or/and at the points of application of the load.

### 3.6.3 Plastic Hinge Sections

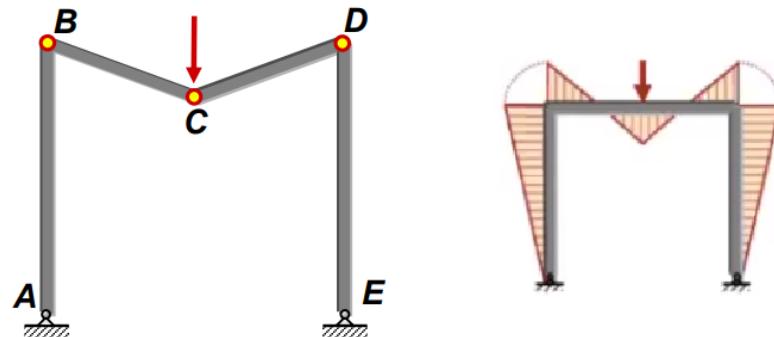
In a framework with rigid joints, the points of local maximum bending moment will occur at the joints as well as under any applied loads.



As a consequence, if plastic collapse is reached, the plastic hinges develop in some of these sections

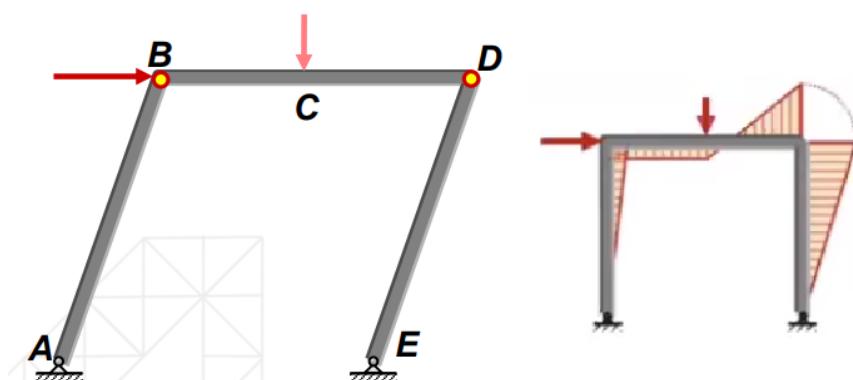
### 3.6.4 Possible Plastic Collapse Mechanisms

**Beam Collapse:**



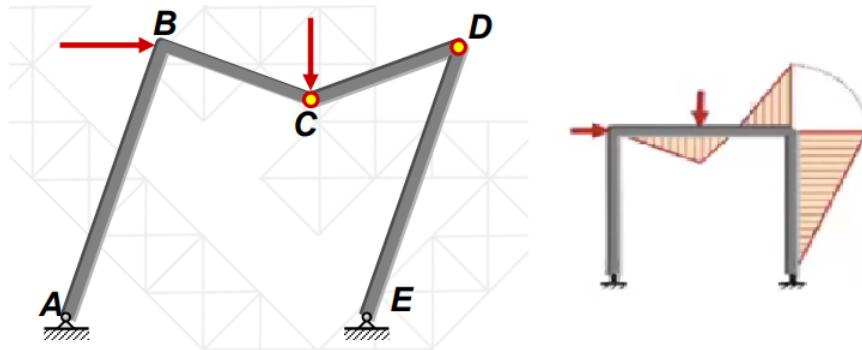
Similar mechanism to fixed-ended beams – hinges form at sections B, C and D. (vertical load much larger than horizontal)

**Sway Collapse:**



Occurs by overall sway of the frame – hinges form at sections B and D. (horizontal load much larger than vertical)

### Combined Beam and Sway Collapse:



Combined beam and sway collapse – hinges form at sections C and D and section B keeps elastic. (horizontal and vertical loads comparable)

## 3.7 Principle of Virtual Works

### 3.7.1 Energy

Energy of a system is the ability of the system to do work. Energy may exist in many forms, and can be transformed from one form to another. All forms of energy can be put into two main categories:

1. Kinetic Energy - Motion (of waves, electrons, atoms, molecules, substances and objects)
2. Potential Energy - Stored energy and energy of position (gravitational)

### 3.7.2 Principle of Virtual Works

If the possible collapse mechanisms are easy to recognise (as in this case), the **principle of virtual works** can be very useful to analyse the collapse mechanisms.

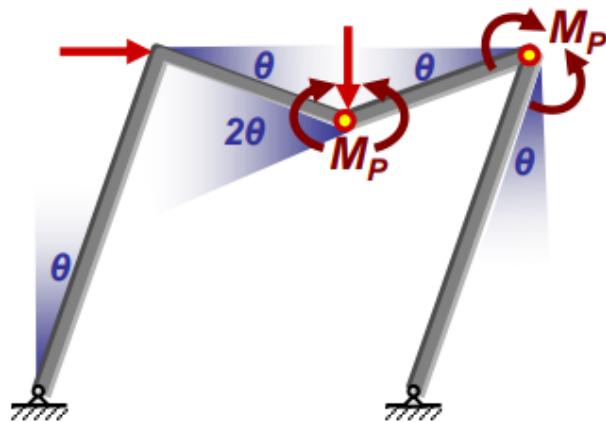
Consider a structure subjected to a set of external mechanical actions. Assume for the structure a set of virtual (imaginary) displacements. The principle of virtual works states that:

**The virtual work done by the real external actions as effect of the virtual displacements is equal to the virtual work done by the real internal reactions as effect of the virtual deformations.**

### 3.7.3 Applications to Portal Frames

In the case of portal frames:

- The set of external mechanical actions corresponds to the real forces applied to the structure;
- The set of internal reactions are the internal forces and moments reacting to the forces.
  - Shear force
  - Bending moment
- It is convenient to choose the possible collapse mechanisms as set virtual displacements (only one of them will occur as effect of the applied load).
- In this case the deformations are concentrated at plastic hinges, and are the rotations produced by the internal plastic moments.

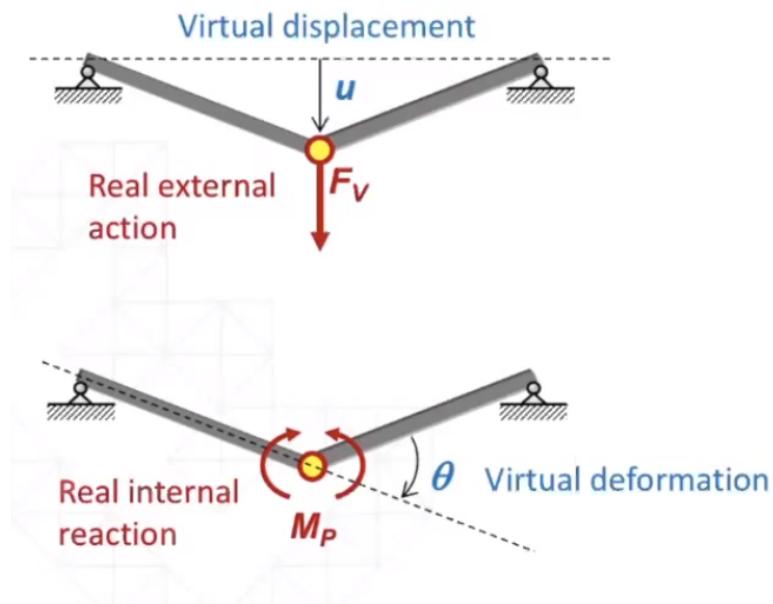


Then, the virtual work principle can be written as:

$$\sum_{i=1}^n F_i \cdot u_i = \sum_{j=1}^m M_{Pj} \cdot \theta_j \quad (3.45)$$

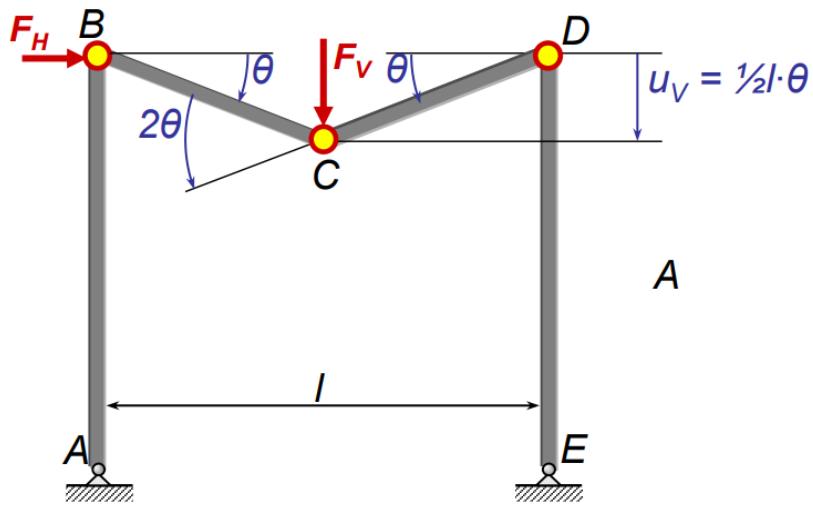
Where:

- $F_i$  are the applied forces
- $M_{Pj}$  are the plastic moments at the hinges
- $u_i$  is the relative linear displacement
- $\theta_i$  is the relative angular displacement



We do this to find out the level of  $F_V$  such that the frame is collapse:

$$F_V = f(M_P) \quad (3.46)$$

**Beam Collapse:**

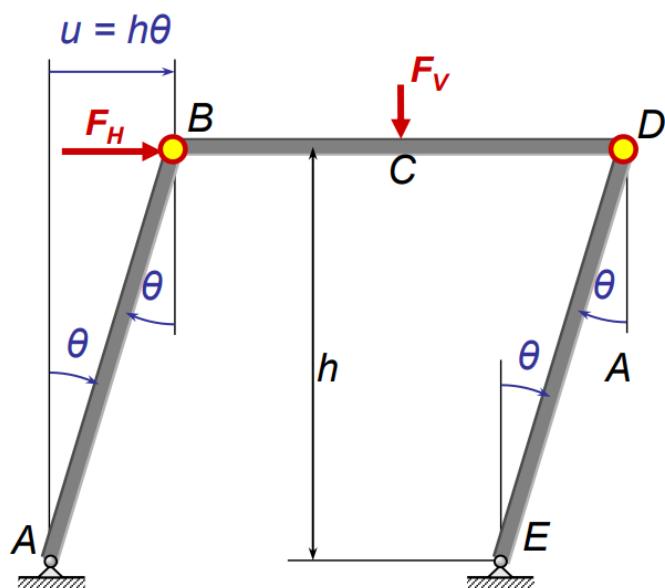
- $F_H$  is ignored in this example as it is not causing any sort of displacement
- $F_i$  is the  $F_V$  in this example, causing a vertical displacement at the middle
- The linear displacement  $u_i$  is considered for point C
- The angular displacement  $\theta$  is assumed to be very small  $\therefore |CD| = \frac{l}{2}$

$$F_V \cdot \frac{l}{2} \theta = M_P \cdot \theta + M_P \cdot 2\theta + M_P \cdot \theta \quad (3.47)$$

The RHS is considered for points B,C,D respectively.

$$F_V \cdot \frac{l}{2} \theta = 4M_P \cdot \theta \quad (3.48)$$

$$F_V = 8 \frac{M_P}{l} \quad (3.49)$$

**Sway Collapse:**

- $F_V$  is ignored in this example as it is not causing any sort of displacement
- $F_i = F_H$ , causing a horizontal displacement
- $u_i = h\theta$
- $\theta \approx 0 \therefore |CD| = \frac{l}{2}$

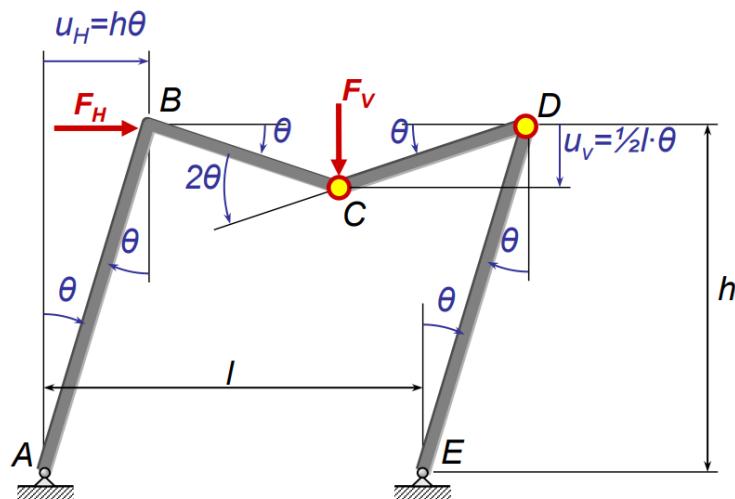
$$F_H \cdot h\theta = M_P \cdot \theta + M_P \cdot \theta \quad (3.50)$$

The RHS is considered for points B and D.

$$F_H \cdot h\theta = 2M_P \cdot \theta \quad (3.51)$$

$$F_H = 2 \frac{M_P}{h} \quad (3.52)$$

### Combined Collapse:



- Only points C and D are under collapse
- $F_i = F_H$  and  $F_V$
- $u_h = h\theta$
- $u_v = \frac{l}{2}\theta$
- $\theta \approx 0 \therefore |CD| = \frac{l}{2}$

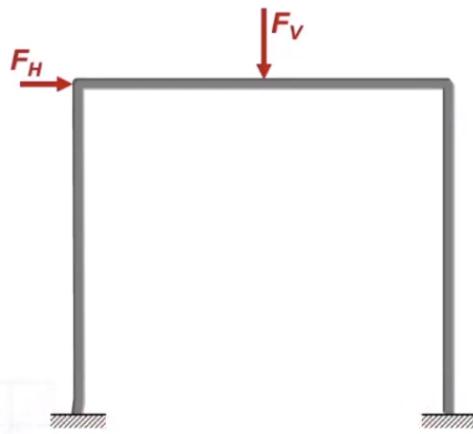
$$F_H h + F_V \frac{l}{2} = 4M_P \quad (3.53)$$

### 3.7.4 Collapse Mechanism

The collapse mechanism of the portal frame, under the effect of the external forces considered is **the one that requires lower critical forces** (the one that is reached first).

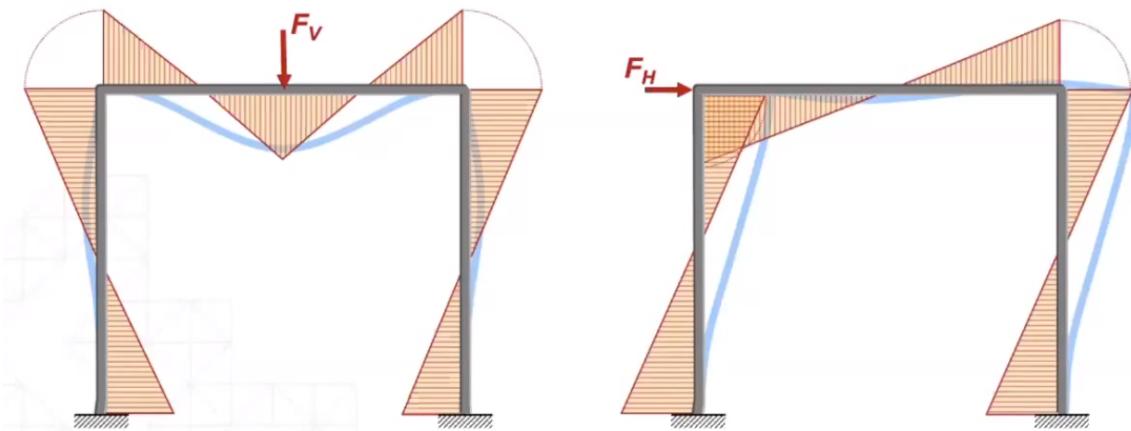
## 3.8 Portal Frames with Fixed Bases

Consider a flat-roofed portal frame with pinned feet, carrying a vertical concentrated load  $F_V$  at the centre of the beam and an horizontal concentrated load  $F_H$  at the top of one column.



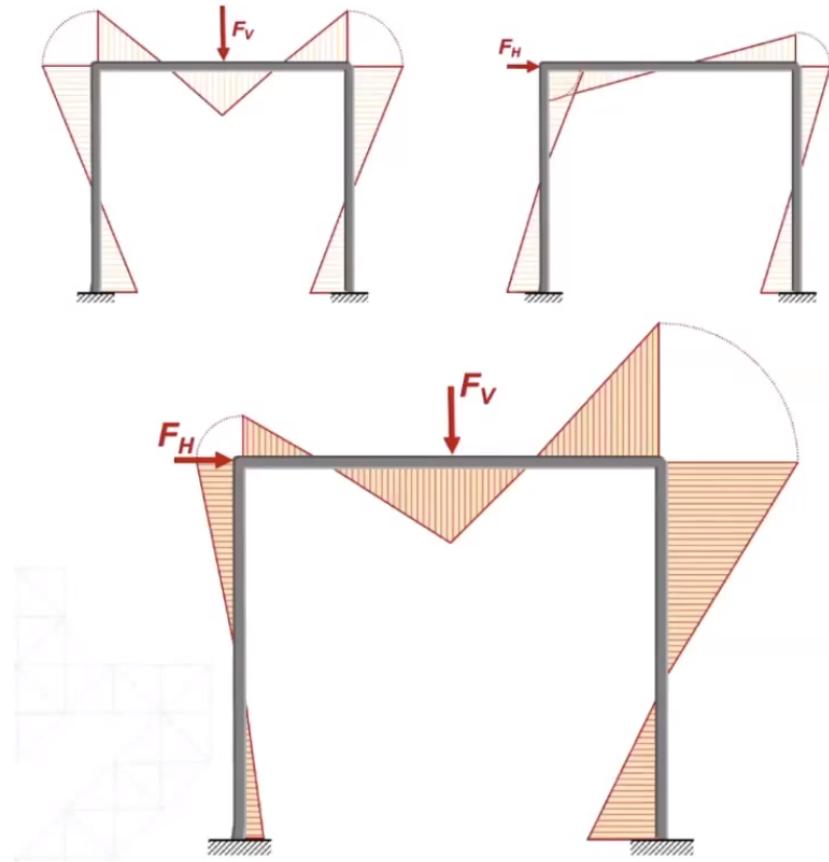
### 3.8.1 Deformations and Bending Moments

In this case, the deformations and bending moment distribution produced by the horizontal and vertical loads considered independently are respectively:

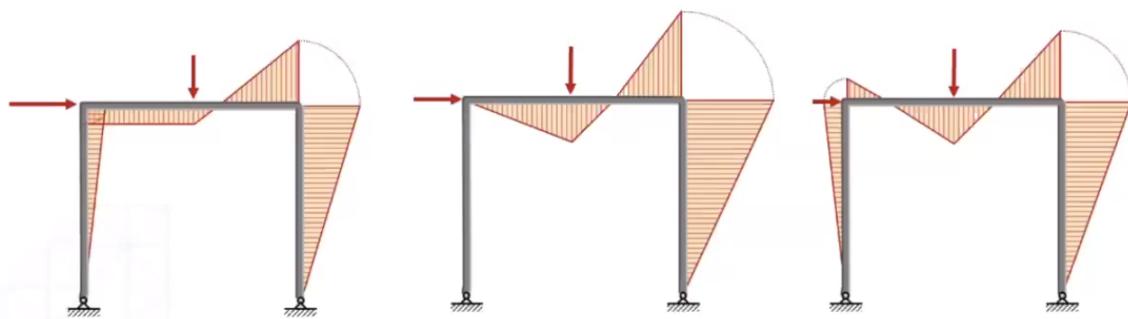


### 3.8.2 Bending Moment Distribution

By adding the contributions together, we obtain the bending moment distribution below:



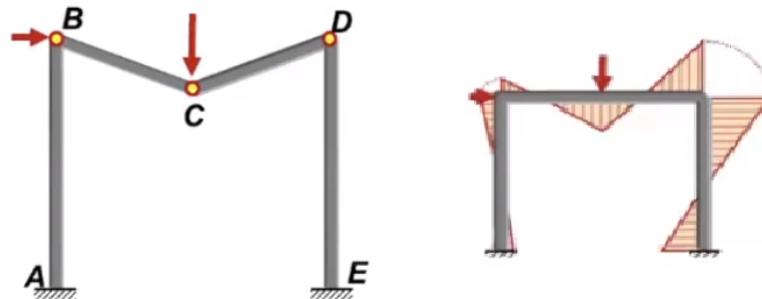
Again, the exact distribution will depend on the relative magnitude of the two acting forces, and may vary significantly (with one column left unstressed in one of the possible configurations):



It is confirmed again that the sections of maximum bending moment are located at the joints or/and at the points of application of the load. Therefore, the plastic hinges develop in some of these sections.

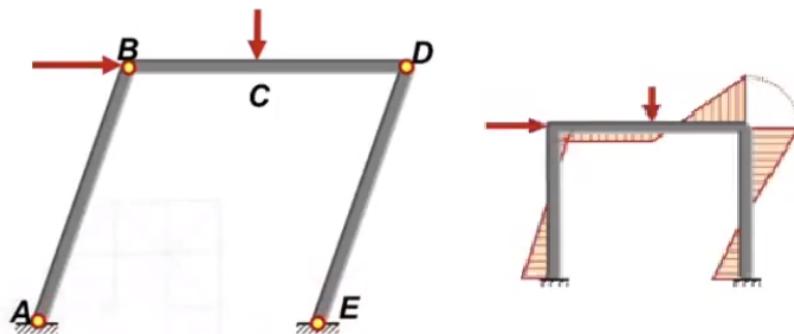
### 3.8.3 Possible Plastic Collapse Mechanisms

**Beam Collapse:**



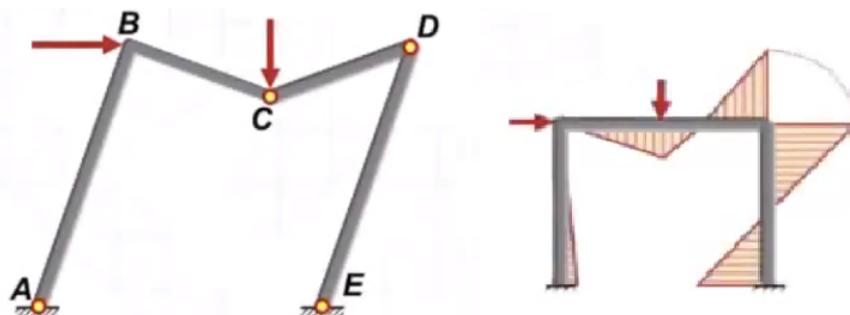
Similar mechanism to fixed-ended beams – hinges form at sections B, C and D. (occurs when vertical load is dominant)

**Sway Collapse:**

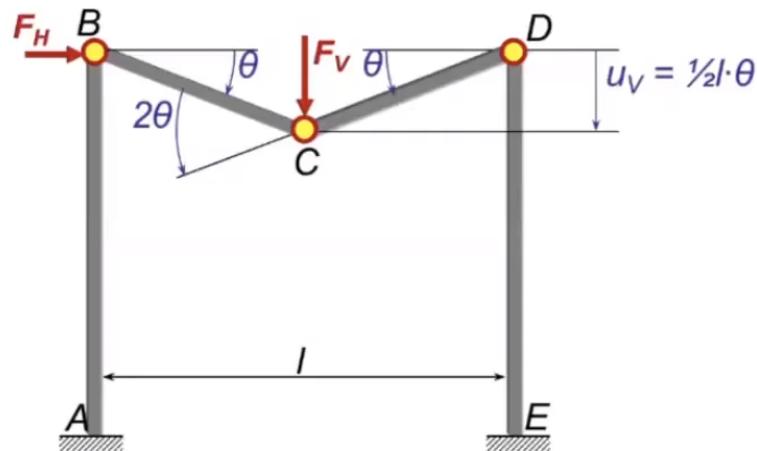


Occurs by overall sway of the frame – hinges form at sections A, B, D and E. (due to a dominant horizontal load)

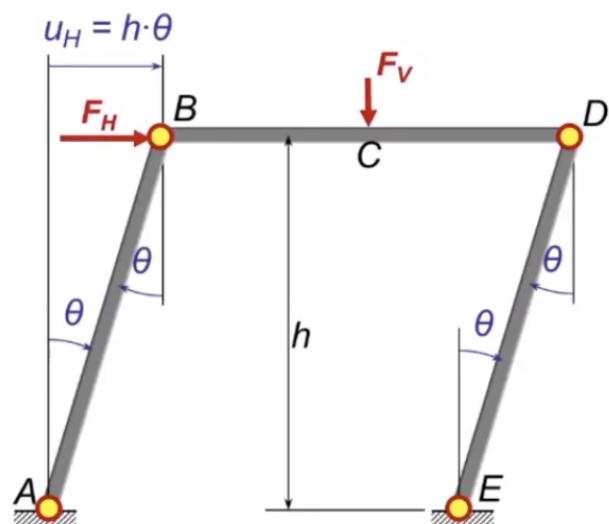
**Combined Beam and Sway Collapse:**



Combined beam and sway collapse – hinges form at sections A, C, D and E and section B keeps elastic. (horizontal and vertical loads comparable)

**Beam Collapse:**

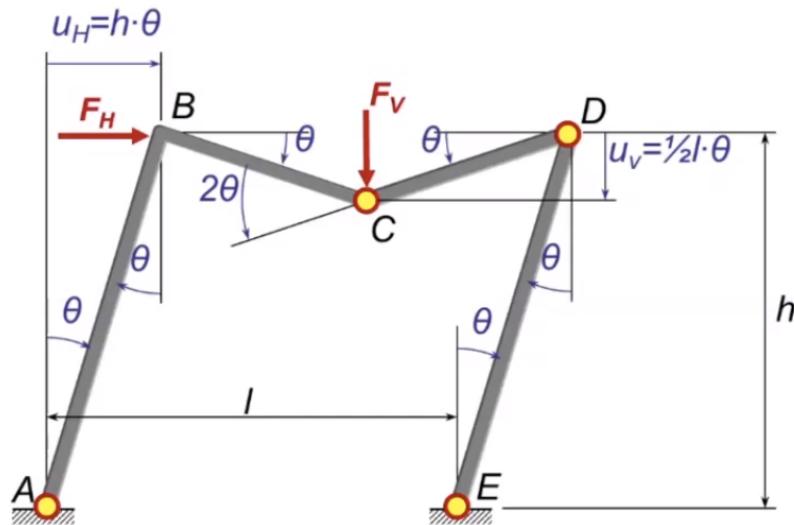
$$F_V = 8 \frac{M_P}{l} \quad (3.54)$$

**Sway Collapse:**

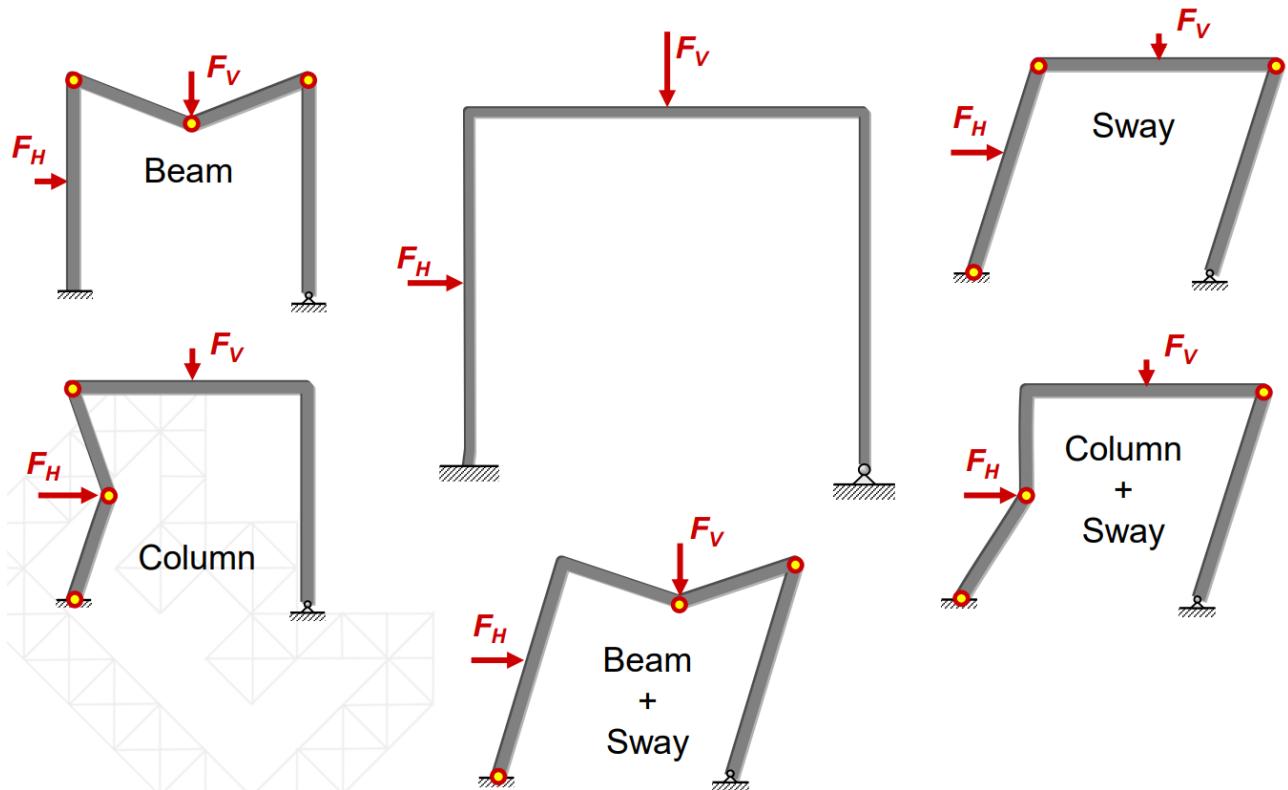
$$F_H \cdot h\theta = M_P\theta + M_P\theta + M_P\theta + M_P\theta \quad (3.55)$$

$$F_H \cdot h\theta = 4M_P\theta \quad (3.56)$$

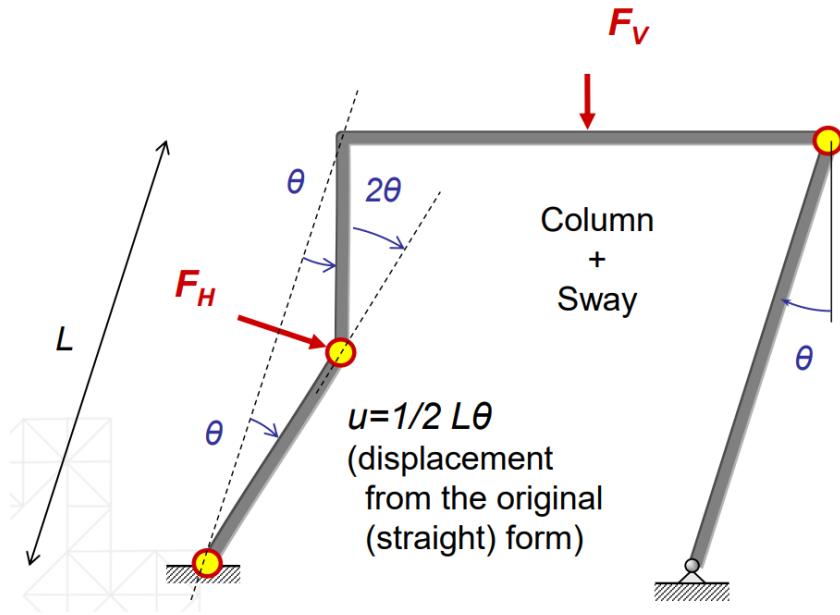
$$F_H = 4 \frac{M_P}{h} \quad (3.57)$$

**Combined Collapse:**

$$F_H h + F_V \frac{l}{2} = 6M_P \quad (3.58)$$

**Example**

These are all possible modes of collapse. Find out which mode is the easiest to occur and under what level of load.



Other modes are the same as previous examples.

# Chapter 4

## Energy methods

### 4.1 Strain energy

The energy of a system is the ability of the system to do work.

Energy may exist in many forms and can be transformed from one form to another. All forms of energy can be put into two main categories:

- Kinetic energy - motion (of waves, electrons, atoms, molecules, substances and objects)
- Potential energy - stored energy

Strain energy is a form of potential energy that is stored within a material which has been subjected to strain (deformation). If the material remains **elastic** whilst the deformation is applied, then it is capable of doing an amount of work equal to the stored strain energy when returning to its original dimensions.

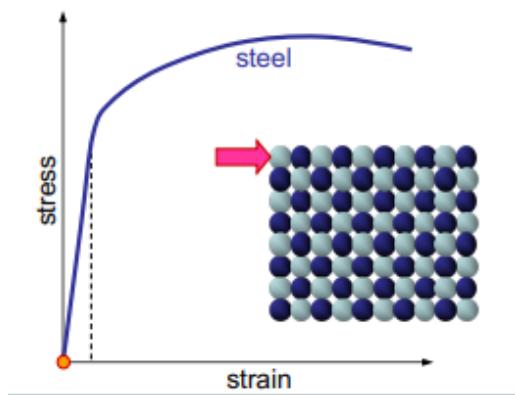


Figure 4.1:

The work done  $W$  by the external actions on the structure as effect of the displacements, is equal to the strain energy  $U$  stored by internal reaction forces as effect of the deformations within the structure:

$$W = U \quad (4.1)$$

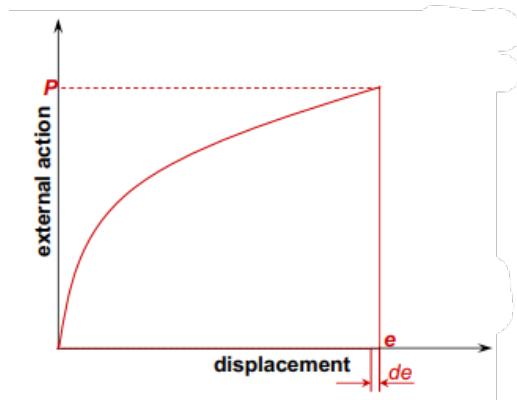


Figure 4.2:

For a gradually applied load, the work done by a force acting on the structure, is given by the area under the force - displacement curve:

$$W = \int_0^e P \, de \quad (4.2)$$

If displacement is proportional to load (Hookean behaviour)

$$W = \frac{1}{2}Pe \quad (4.3)$$

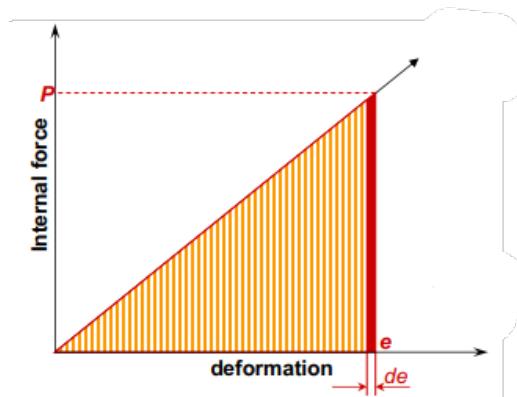


Figure 4.3:

For a gradually applied load, the energy stored by the internal actions into the structure, is given by the area under the internal force - deformation curve:

$$U = \int_0^e P \, de \quad (4.4)$$

If deformation is proportional to load (Hookean behaviour):

$$U = \frac{1}{2}Pe \quad (4.5)$$

### 4.1.1 Bending moment

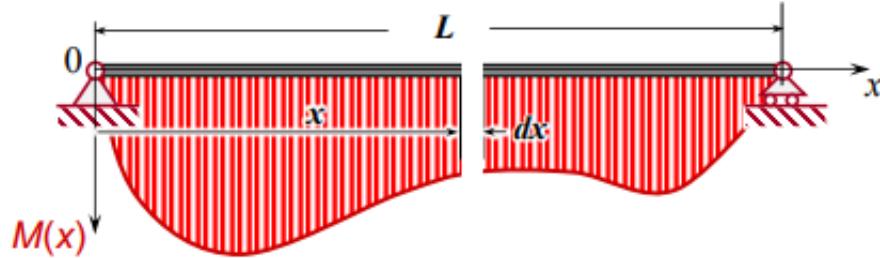


Figure 4.4:

We can determine through various methodologies the distribution of the bending moment. If we want to determine the strain energy at a particular point along the beam, we can extract an elemental portion of the beam with length  $dx$  from a position  $x$  in our coordinate system. This is subjected to a certain bending moment and this will produce a  $d\theta$  with radius  $R$ .

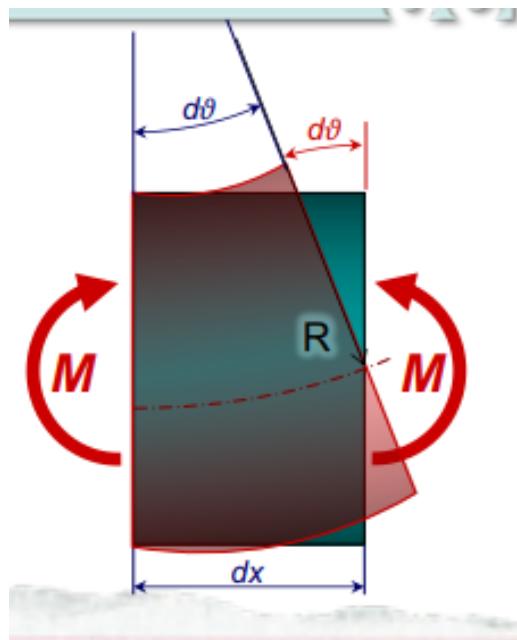


Figure 4.5:

We then know that:

$$dU_M = \frac{1}{2} M d\theta \quad (4.6)$$

It is inconvenient to use  $\theta$  in our equations and we would like to substitute this for  $x$ . We know that:

$$d\theta = \frac{dx}{R} \text{ and } \frac{1}{R} = \frac{M}{EI} \quad (4.7)$$

(from theory of bending). Therefore:

$$d\theta = \frac{M}{EI} dx \quad (4.8)$$

Substituting:

$$dU_M = \frac{1}{2} \frac{M^2}{EI} dx \quad (4.9)$$

$$U_M = \int_0^L \frac{M^2}{2EI} dx \quad (4.10)$$

## 4.2 Castigliano's Theorem

Castigliano stated in his engineering thesis that:

... the partial derivative of the strain energy, considered as a function of the applied forces acting on a linear elastic structure, with respect to one of these forces, is equal to the displacement in the direction of the force of its point of application.

This simply means that if we want to know the displacement from an applied force at the point of application, we can simply take the partial derivative of the strain energy.

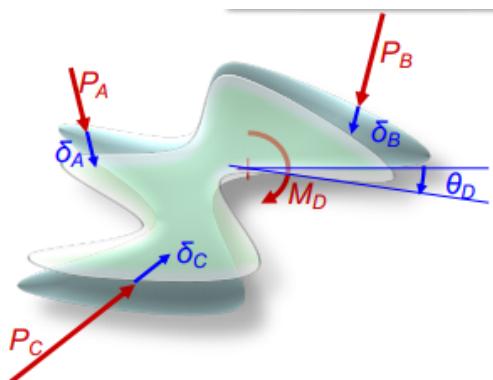


Figure 4.6:

$$\delta_A = \frac{\partial U}{\partial P_A}, \quad \delta_B = \frac{\partial U}{\partial P_B} \quad (4.11)$$

$$\delta_C = \frac{\partial U}{\partial P_C}, \quad \theta_D = \frac{\partial U}{\partial M_D} \quad (4.12)$$

In practice, the displacement  $\delta_i$  at any load  $P_i$  acting upon a system is given by:

$$\delta_i = \frac{\partial U}{\partial P_i} \quad (4.13)$$

where  $U$  is the strain energy of the system due to all loads including  $P_i$ . The load may be a force, in which case a linear displacement is obtained; or a rotational moment, in which case an angular displacement is obtained. The displacement (linear or angular) will result positive in the same sense as the applied action (force or moment).

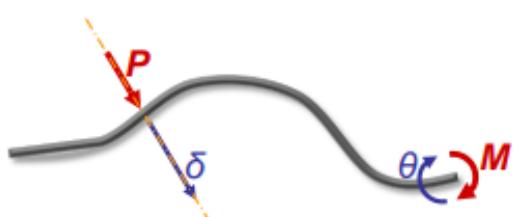


Figure 4.7:

### 4.2.1 Application of Castigiano's theorem

We know that:

$$\delta_i = \frac{\partial U_M}{\partial P_i} \quad (4.14)$$

We also know that:

$$U_M = \int_0^L \frac{M^2}{EI} dx \quad (4.15)$$

Substituting:

$$\delta_i = \frac{\partial}{\partial P_i} \int_0^L \frac{M^2}{2EI} dx \quad (4.16)$$

$$\delta_i = \int_0^L \frac{\partial}{\partial P_i} \left( \frac{M^2}{2EI} \right) dx \quad (4.17)$$

An alternative expression using the chain rule can be derived as:

$$\delta_i = \frac{\partial U_M}{\partial P_i} = \frac{\partial U_M}{\partial M} \cdot \frac{\partial M}{\partial P_i} = \int_0^L \frac{\partial}{\partial M} \left( \frac{M^2}{2EI} \right) \frac{\partial M}{\partial P_i} dx \quad (4.18)$$

This leads to:

$$\frac{\partial}{\partial M} \frac{\partial M^2}{2EI} = \frac{M}{EI} \quad (4.19)$$

$$\delta_i = \int_0^L \frac{M}{EI} \frac{\partial M}{\partial P_i} dx \quad (4.20)$$

## 4.3 Examples and applications

### 4.3.1 Dummy loads

#### Example 1

Determine the deflection of the free end of the beam in the direction of  $P$ .

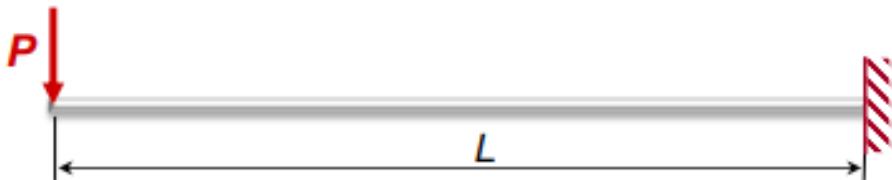


Figure 4.8:

Bending moment:

$$M = -Px \quad (4.21)$$

Castigiano's theorem:

$$\delta = \int_0^L \frac{\partial}{\partial P} \left( \frac{M^2}{2EI} \right) dx = \int_0^L \frac{\partial}{\partial P} \left( \frac{P^2 x^2}{2EI} \right) dx = \int_0^L \frac{P x^2}{EI} dx = \frac{PL^3}{3EI} \quad (4.22)$$

$$\delta = \frac{PL^3}{3EI} \quad (4.23)$$

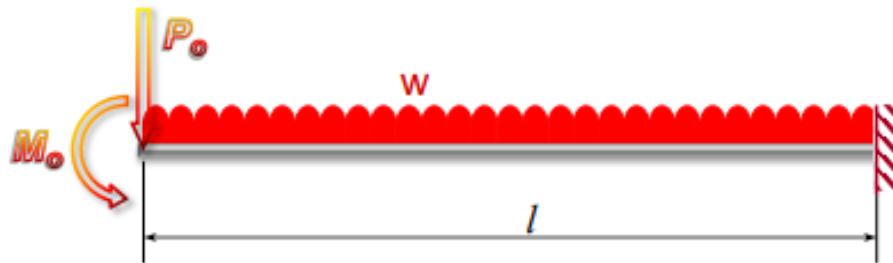
**Example 2**

Figure 4.9:

We can utilise dummy loads in order to analyse our system. The bending moment is:

$$M = -\frac{wx^2}{2} - P_0x - M_0 \quad (4.24)$$

Castigliano's theorem (alternative equation):

$$\delta = \int_0^L \frac{M}{EI} \frac{\partial M}{\partial P_0} dx \text{ with } \frac{\partial M}{\partial P_0} = \frac{\partial}{\partial P_0} \left( -\frac{wx^2}{2} - P_0x - M_0 \right) = -x \quad (4.25)$$

Substituting:

$$\delta = \int_0^L \frac{1}{EI} \left( -\frac{wx^2}{2} \right) (-x) dx = \frac{w}{2EI} \int_0^L x^3 dx = \frac{wL^4}{8EI} \quad (4.26)$$

Note: as  $P_0x$  and  $M_0$  are dummy loads, they do not need to be included in our final equation for  $\delta$ .

**4.3.2 Curved beams**

In many applications beams are curved in the form of circular arcs, rather than straight. Standard formulae used for straight beam deflections cannot be used for curved beams. In this case the application of Castigliano's theorem allows for a solution.

**Thin curved beams** are characterised by a beam depth which is small compared to the radius of curvature.

As a result:

- curvature effects and stress concentration in the cross-section are negligible: stresses are essentially linear like those of a straight beam
- effects of normal and shear forces are typically negligible

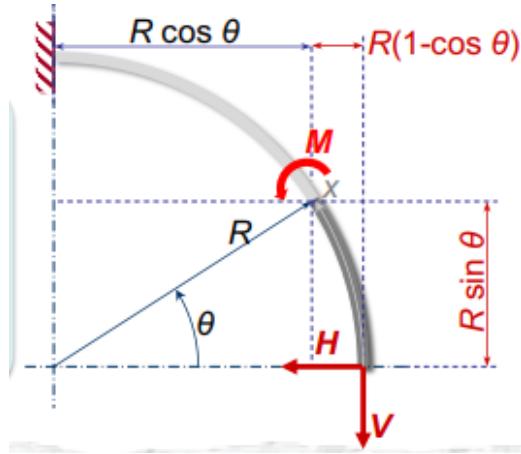


Figure 4.10:

In the case of curved beams, it is more convenient to refer to the angle  $\theta$  than to the beam length. The bending moment can be characterised as:

- Contribution to bending moment from horizontal force  $H$ :  $M_H = \text{force} \cdot \text{distance} = H \cdot R \sin \theta$
- Contribution to bending moment from vertical force  $V$ :  $M_V = \text{force} \cdot \text{distance} = V \cdot R (1 - \cos \theta)$

$$M(\theta) = HR \sin \theta + VR (1 - \cos \theta) \quad (4.27)$$

### Example 3

Determine the displacement at the free end.

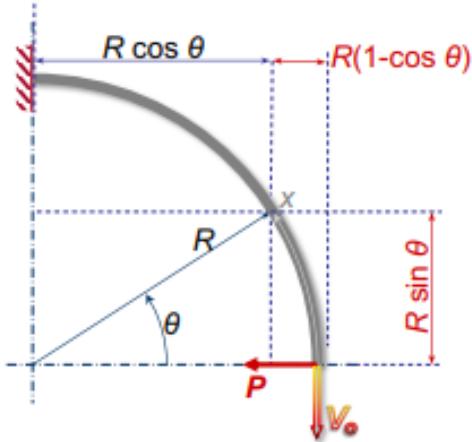


Figure 4.11:

Bending moment:

$$M = PR \sin \theta + V_0 R (1 - \cos \theta) \quad (4.28)$$

Horizontal displacement:

$$\delta_H = \frac{\partial U}{\partial P} = \int_0^L \frac{M}{EI} \frac{\partial M}{\partial P} dx \quad (4.29)$$

$$\frac{\partial M}{\partial P} = \frac{\partial}{\partial P} (PR \sin \theta + V_0 R (1 - \cos \theta)) = R \sin \theta \quad (4.30)$$

Converting reference system to polar ( $dx = R d\theta$ ):

$$\delta_H = \int_0^{\frac{\pi}{2}} \frac{1}{EI} (PR \sin \theta + V_0 R(1 - \cos \theta)) (R \sin \theta)(R) d\theta \quad (4.31)$$

$V_0 R(1 - \cos \theta)$  is a dummy load and can be ignored.

$$= \frac{PR^3}{EI} \int_0^{\frac{\pi}{2}} \sin^2 \theta d\theta \quad (4.32)$$

$$= \frac{PR^3}{EI} \left[ \frac{\theta}{2} - \frac{1}{4} \sin 2\theta \right]_0^{\frac{\pi}{2}} = \frac{PR^3}{EI} \left[ \frac{\pi}{4} - 0 \right] \quad (4.33)$$

$$\delta_H = \frac{\pi PR^3}{4EI} \quad (4.34)$$

Vertical displacement:

$$\delta_V = \frac{\partial U}{\partial V_0} = \int_0^L \frac{M}{EI} \frac{\partial M}{\partial V_0} dx \quad (4.35)$$

$$\frac{\partial M}{\partial V_0} = \frac{\partial}{\partial V_0} (PR \sin \theta + V_0 R(1 - \cos \theta)) = R(1 - \cos \theta) \quad (4.36)$$

Converting reference system to polar ( $dx = R d\theta$ ):

$$\delta_V = \int_0^{\frac{\pi}{2}} \frac{1}{EI} (PR \sin \theta + V_0 R(1 - \cos \theta)) \cdot R(1 - \cos \theta) d\theta \quad (4.37)$$

$V_0 R(1 - \cos \theta)$  is a dummy load and can be ignored.

$$= \frac{PR^3}{EI} \int_0^{\frac{\pi}{2}} (\sin \theta - \sin \theta \cos \theta) d\theta \quad (4.38)$$

$$= \frac{PR^3}{EI} \left[ -\cos \theta - \frac{1}{2} \sin^2 \theta \right]_0^{\frac{\pi}{2}} = \frac{PR^3}{EI} \left[ \left( 0 - \frac{1}{2} \right) - (-1 - 0) \right] \quad (4.39)$$

$$\delta_v = \frac{PR^3}{2EI} \quad (4.40)$$

#### Example 4 - proving ring

Determine the maximum diametrical deflection. These setups are often used to calibrate strain gauges as these systems are purely mechanical. We can use two lines of symmetry to simplify our problem. We assume that the bending does not extend past  $90^\circ$  as shown in the middle figure, as this would imply there is a plastic hinge.

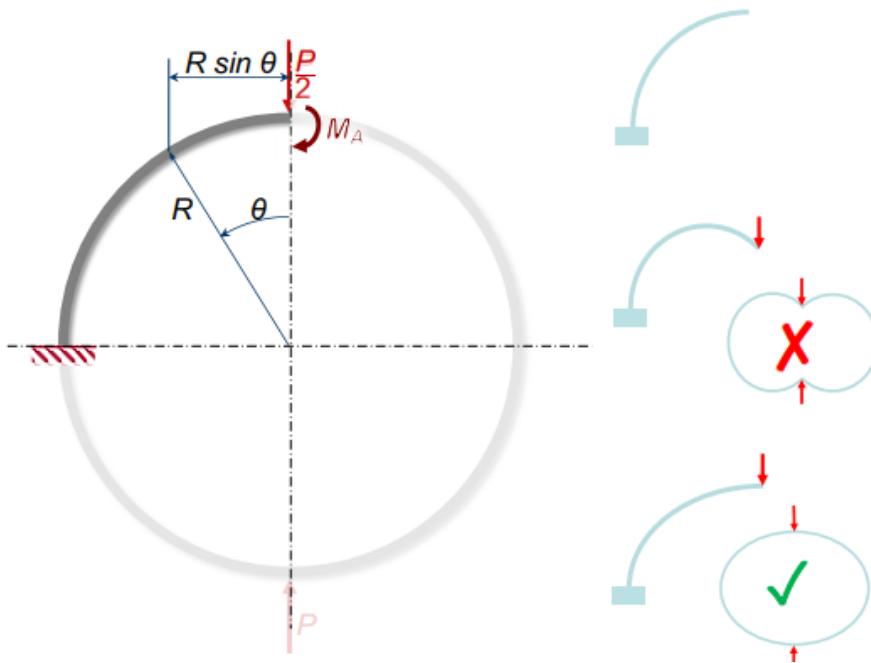


Figure 4.12:

Bending moment:

$$M = M_A + \frac{PR}{2} \sin \theta \quad (4.41)$$

Boundary condition:

$$\varphi_A = \frac{\partial U}{\partial M_A} = \int_0^L \frac{M}{EI} \frac{\partial M}{\partial M_A} dx = 0 \quad (4.42)$$

$$\frac{\partial M}{\partial M_A} = \frac{\partial}{\partial M_A} \left( M_A + \frac{PR}{2} \sin \theta \right) = 1 \quad (4.43)$$

Where  $\varphi_A$  is our rotation. Converting reference system to polar ( $dx = R d\theta$ ):

$$\varphi_A = \int_0^{\frac{\pi}{2}} \frac{1}{EI} \left( M_A + \frac{PR}{2} \sin \theta \right) (1)(R) d\theta \quad (4.44)$$

$$= \frac{R}{EI} \int_0^{\frac{\pi}{2}} \left( M_A + \frac{PR}{2} \sin \theta \right) d\theta \quad (4.45)$$

$$= \frac{R}{EI} \left( M_A \frac{\pi}{2} + \frac{PR}{2} \right) = 0 \quad (4.46)$$

$$M_A = -\frac{PR}{\pi} \quad (4.47)$$

Thus, our bending moment becomes:

$$M = -\frac{PR}{\pi} + \frac{PR}{2} \sin \theta = \frac{PR}{2} \left( \sin \theta - \frac{2}{\pi} \right) \quad (4.48)$$

Vertical displacement:

$$\delta = \frac{\partial U}{\partial \frac{P}{2}} = \int_0^L \frac{M}{EI} \frac{\partial M}{\partial \frac{P}{2}} dx \quad (4.49)$$

$$\frac{\partial M}{\partial \frac{P}{2}} = \frac{\partial}{\partial \frac{P}{2}} \left( \frac{P}{2} R \left( \sin \theta - \frac{2}{\pi} \right) \right) = R \left( \sin \theta - \frac{2}{\pi} \right) \quad (4.50)$$

Converting reference system to polar ( $dx = R d\theta$ ):

$$\delta = \int_0^{\frac{\pi}{2}} \frac{1}{EI} \left( \frac{PR}{2} \left( \sin \theta - \frac{2}{\pi} \right) \right) \left( R \left( \sin \theta - \frac{2}{\pi} \right) \right) (R) d\theta \quad (4.51)$$

$$= \frac{PR^3}{2EI} \int_0^{\frac{\pi}{2}} \left( \sin^2 \theta - \frac{4}{\pi} \sin \theta + \frac{4}{\pi^2} \right) d\theta \quad (4.52)$$

$$= \frac{PR^3}{2EI} \left( \frac{\pi}{4} - \frac{2}{\pi} \right) \quad (4.53)$$

Therefore our diametrical deflection is:

$$D = 2\delta = \frac{PR^3}{EI} \left( \frac{\pi}{4} - \frac{2}{\pi} \right) \quad (4.54)$$

### Example 5 - split rings

Consider a piston ring. We must be wary that we do not have two axes of symmetry and only one in this case. Hence, we must integrate from  $0 - \pi$ .



Figure 4.13:

### Example 6 - discontinuous loads

Develop an expression for the deflection at the free end.

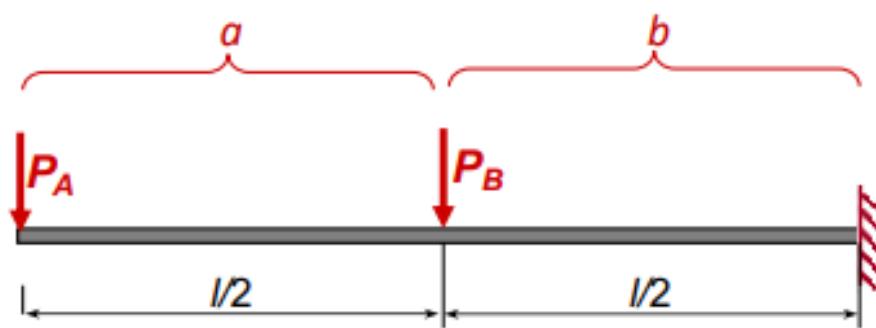


Figure 4.14:

If expressions for the internal forces are discontinuous, the structure is divided into sections (over which the internal forces are continuous) and the integration processes are summed to give the total strain energy of the

structure/member.

$$\text{a. } 0 \leq x \leq \frac{l}{2} \rightarrow M_a = -P_a x \rightarrow \frac{\partial M_a}{\partial P_A} = -x \quad (4.55)$$

$$\text{b. } \frac{l}{2} \leq x \leq l \rightarrow M_b = -P_a x - P_b \left( x - \frac{l}{2} \right) \rightarrow \frac{\partial M_b}{\partial P_A} = -x \quad (4.56)$$

We take the derivative with respect to  $P_A$  as we want to find the deflection at that point.

$$\delta_A = \frac{\partial U}{\partial P_A} = \int_0^{\frac{l}{2}} \frac{\partial M_A}{EI} \frac{\partial M_A}{\partial P_A} dx + \int_{\frac{l}{2}}^l \frac{M_b}{EI} \frac{\partial M_b}{\partial P_A} dx \quad (4.57)$$

$$= \int_0^{\frac{l}{2}} \frac{Px^2}{EI} dx + \int_{\frac{l}{2}}^l \frac{1}{EI} \left( Px^2 + P \left( x^2 - \frac{l}{2}x \right) \right) dx \quad (4.58)$$

$$= \frac{P}{EI} \left( \int_0^{\frac{l}{2}} x^2 dx + \int_{\frac{l}{2}}^l \left( 2x^2 - \frac{l}{2}x \right) dx \right) \quad (4.59)$$

$$\delta_A = \frac{7}{16} \frac{PL^3}{EI} \quad (4.60)$$

# Chapter 5

## Beam buckling

### Potential energy

We know from everyday life that external loads of tension and compression produce very different responses in beams (not just a change in the stress sign). This is due to the fact that tension and compression are associated with different behaviour in terms of equilibrium.

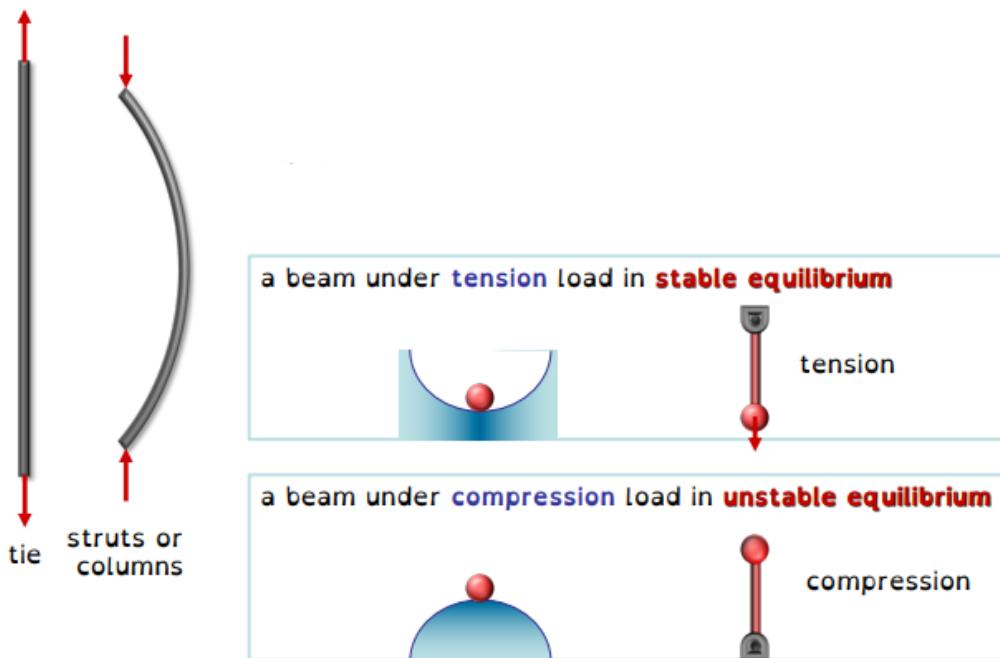


Figure 5.1:

### Buckling

This phenomenon, that produces the sudden bow of long struts, is known as instability or buckling, as the member is said to buckle. It is essential that for the design of safe structures we have to be able to predict if a member under compressive load would buckle.

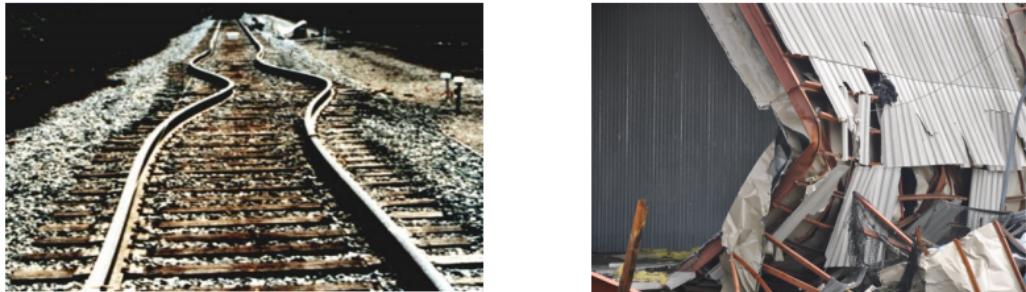


Figure 5.2:

## 5.1 Euler's analysis of buckling

### 5.1.1 Euler buckling theory

Assumptions:

- The strut is ideal and perfectly straight when unloaded
- Axial load is applied at the centroid of cross-section
- The dimensions of the section are much smaller than the strut length
- All main assumptions of bending theory apply
- The beam material is perfectly homogeneous and isotropic
- The elastic limit is nowhere exceeded
- Young's Modulus for the material is the same in tension and compression
- Plane cross-sections remain plane before and after bending
- Every cross-section in the beam is symmetrical about the plane of bending

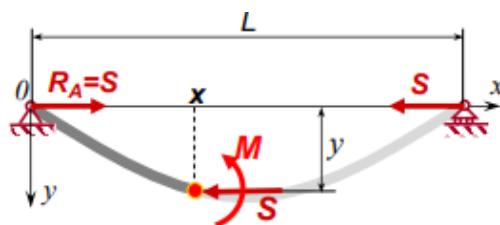


Figure 5.3:

Internal forces:

$$x) P = -S \quad (5.1)$$

$$X) M = S \cdot y \quad (5.2)$$

From the theory of bending:

$$\frac{d^2 y}{dx^2} = -\frac{1}{EI} M = -\frac{S}{EI} y \quad (5.3)$$

$$\frac{d^2 y}{dx^2} + \frac{S}{EI} y = 0 \quad (5.4)$$

To solve a second order differential equation, we have to:

1. find the complementary function  $y_{CF}$
2. find the particular integral  $y_{PI}$
3. the general solution is given by  $y = y_{CF} + y_{PI}$
4. find the particular solution using boundary conditions

For differential equations in the form:

$$\frac{d^2y}{dx^2} + \alpha^2 y = f(x) \quad (5.5)$$

$y_{CF}$  has standard solution:

$$y_{CF} = A \sin \alpha x + B \cos \alpha x \quad (5.6)$$

Then, using substitution:

$$\alpha^2 = \frac{S}{EI} \quad (5.7)$$

This becomes:

$$\frac{d^2y}{dy^2} + \alpha^2 y = 0 \quad (5.8)$$

With standard solution:

$$y_{CF} = A \sin \alpha x + B \cos \alpha x \quad (5.9)$$

Since  $f(x) = 0$ , the particular integral is:

$$y_{PI} = 0 \quad (5.10)$$

Therefore, the complementary function corresponds to the general solution:

$$y = A \sin \alpha x + B \cos \alpha x \quad (5.11)$$

Boundary conditions:

$$\begin{cases} y(0) = 0 \\ y(L) = 0 \end{cases} \quad (5.12)$$

First boundary condition:

$$y(0) = A \sin 0 + B \cos 0 \quad (5.13)$$

$$\therefore B = 0 \quad (5.14)$$

Our equation becomes:

$$y = A \sin \alpha x \quad (5.15)$$

Second boundary condition:

$$y(L) = A \sin \alpha L = 0 \quad (5.16)$$

Equations accepts infinite solutions:

$$\begin{cases} A = 0 \\ \alpha L = n\pi \text{ with } n = 0, 1, 2, \dots \end{cases} \quad (5.17)$$

Trivial solutions:

$$A = 0 \rightarrow y(x) = 0 \text{ no buckling} \quad (5.18)$$

$$\alpha L = 0 \rightarrow y(x) = 0 \text{ no buckling} \quad (5.19)$$

$$\alpha^2 = \frac{S}{EI} \rightarrow \alpha L = \sqrt{\frac{S}{EI}} \cdot L = 0 \rightarrow \begin{cases} L = 0 \\ S = 0 \\ E = \infty \\ I = \infty \end{cases} \quad (5.20)$$

Solutions of interest:

$$\text{Solution } \alpha L = \pi \quad (n = 1) \quad (5.21)$$

$$\rightarrow y(x) = A \sin\left(\frac{\pi}{L}x\right) \quad (5.22)$$

$$\alpha^2 = \frac{S}{EI} \rightarrow \alpha L = \sqrt{\frac{S}{EI}} \cdot L = \pi \quad (5.23)$$

Critical load (mode 1):

$$S_{cr} = \frac{\pi^2 EI}{L^2} \quad (5.24)$$

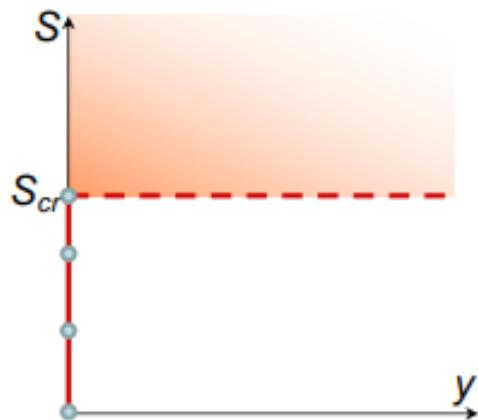


Figure 5.4:

Critical load (higher modes)

$$S_{cr} = \frac{n^2 \pi^2 EI}{L^2} \quad (5.25)$$

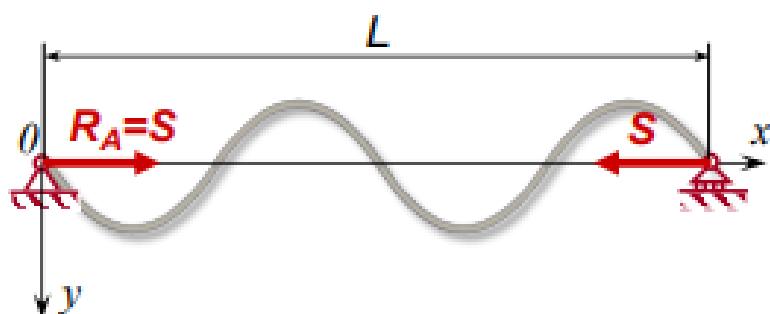


Figure 5.5:

### 5.1.2 Buckling modes

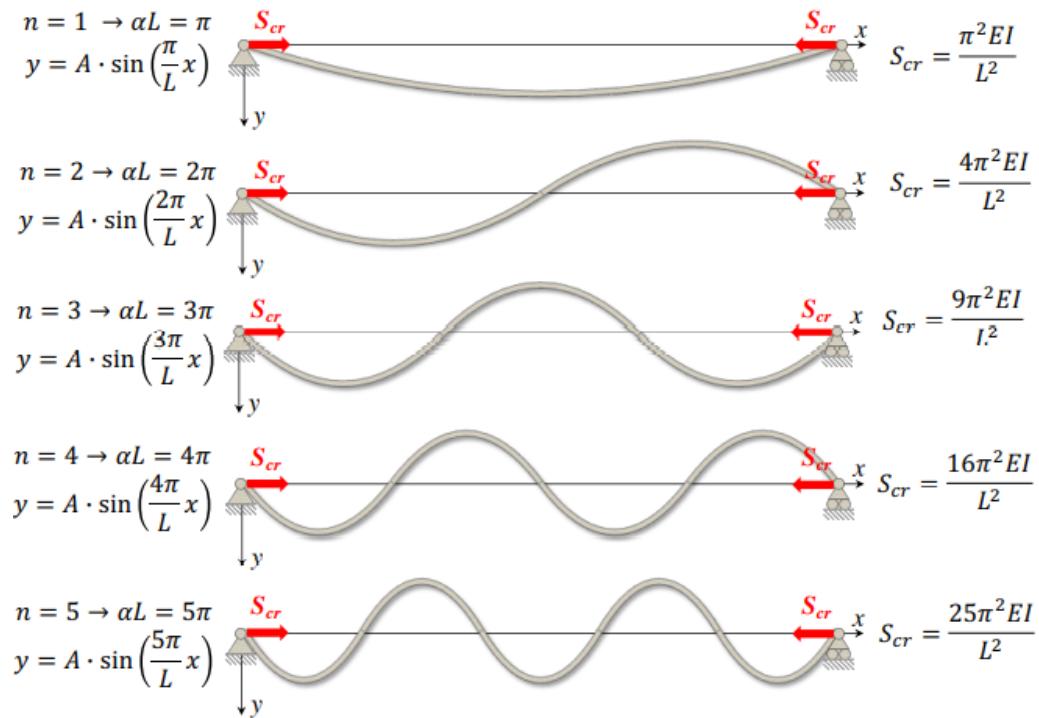


Figure 5.6:

The first buckling mode ( $n=1$ ) is reached at the lowest critical load, and therefore is the one that occurs in normal conditions. Higher modes can be produced by applying external constraints at the points of contraflexure.

### 5.1.3 Stress in buckling

For the equilibrium of internal forces, stresses at each section will have to balance:

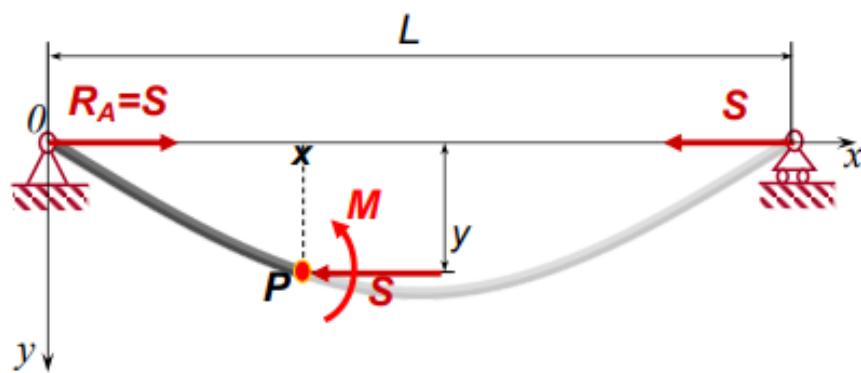


Figure 5.7:

Normal force contribution:

$$\sigma'_x = -\frac{S}{A} \quad (5.26)$$

Where  $A$  is the area of the section.

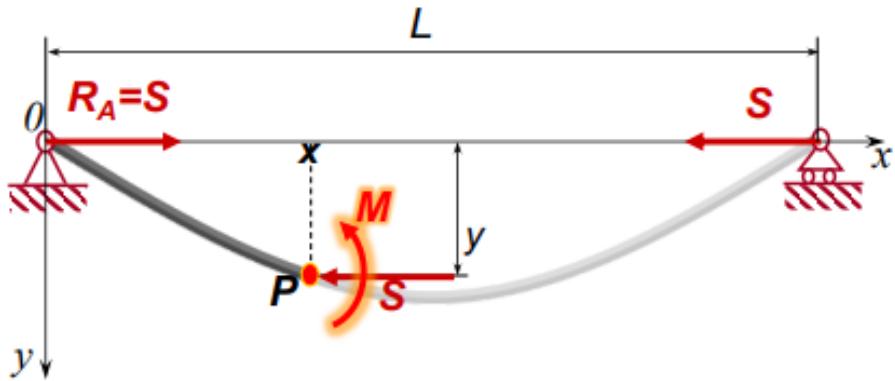


Figure 5.8:

Bending moment contribution:

$$\sigma_x'' = \frac{M}{I}h = \frac{Sh}{I}y \quad (5.27)$$

Where  $I$  is the area of the section.

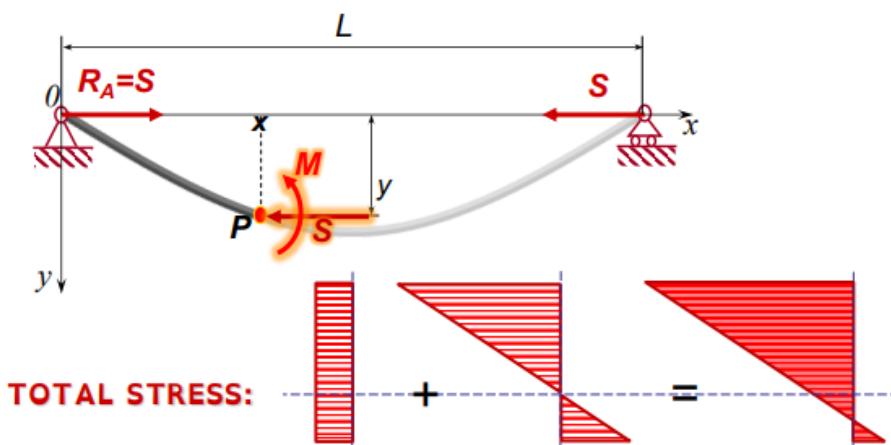


Figure 5.9:

$$\sigma_x = \sigma'_x + \sigma''_x = -\frac{S}{A} + \frac{Sh}{I}y = S \left( \frac{h}{I}y - \frac{1}{A} \right) \quad (5.28)$$

### 5.1.4 Struts with other end conditions

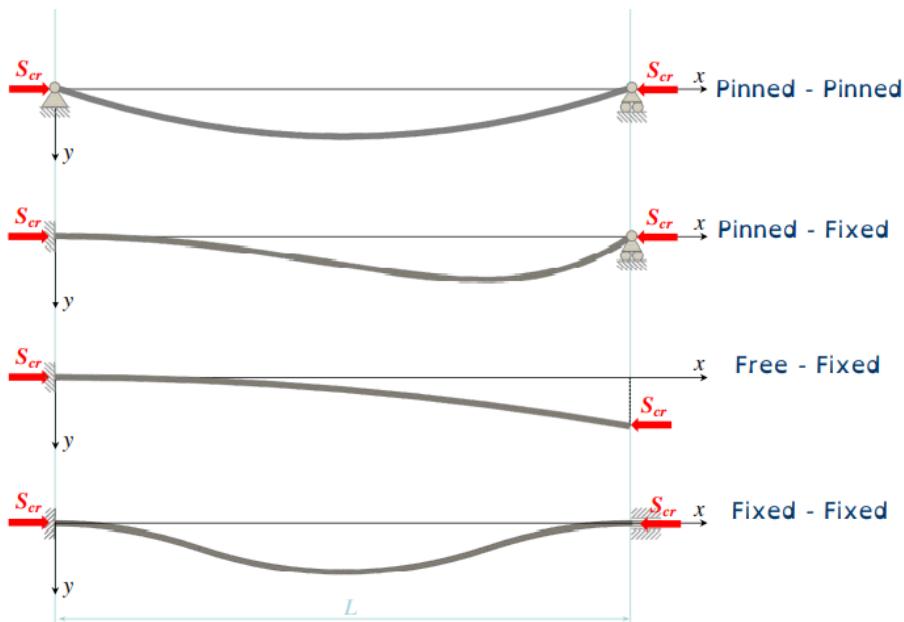


Figure 5.10:

### 5.1.5 Effective length

Expressions for the critical load for each end condition can be calculated adopting the same approach as for the pinned-pinned case. The form of expression is identical irrespective of the end conditions.

$$S_{cr} = \frac{\pi^2 EI}{L_e^2} \quad (5.29)$$

Where  $L_e$  is the **effective length**.

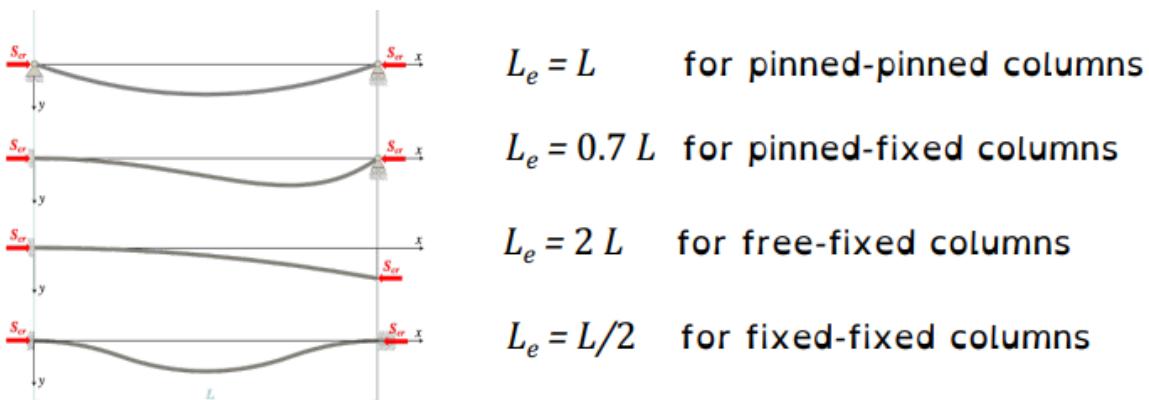


Figure 5.11:

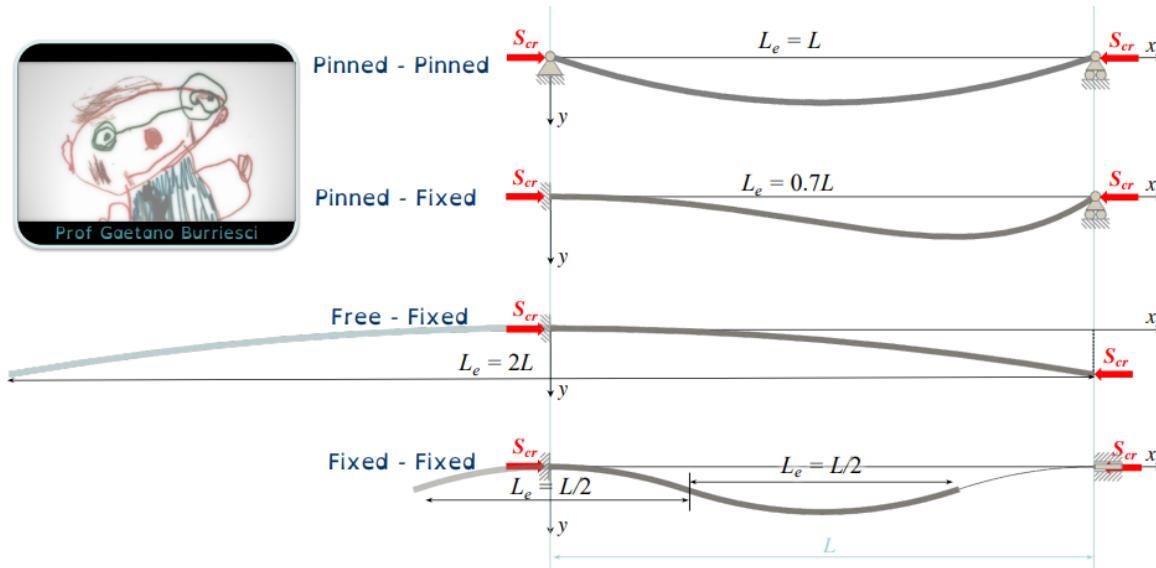


Figure 5.12:

### 5.1.6 Design against buckling

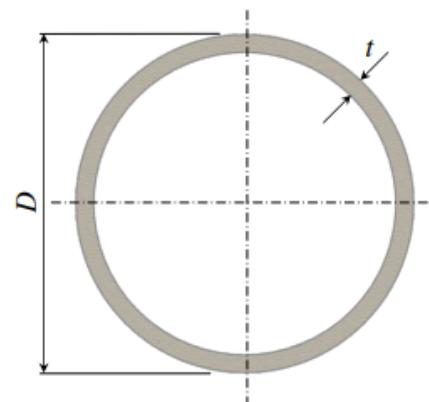
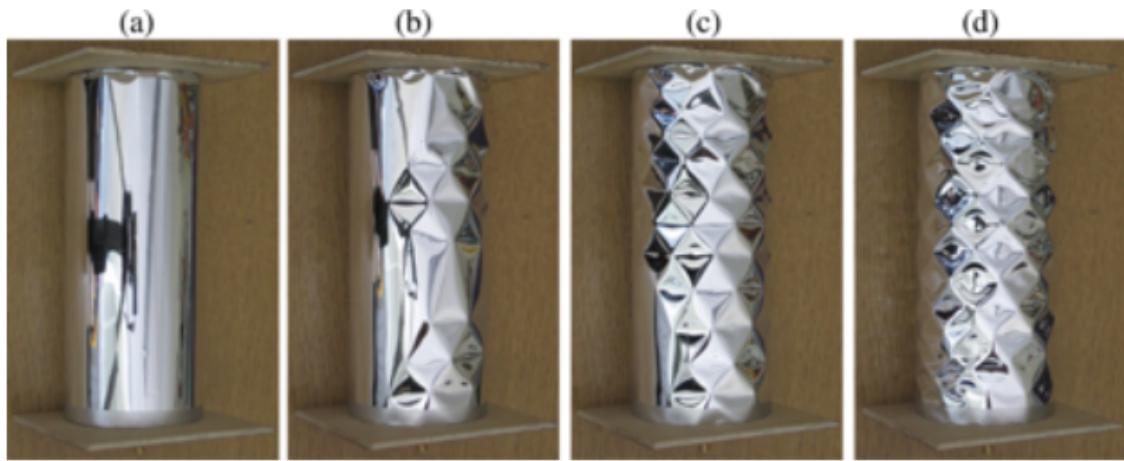


Figure 5.13: Hollow sections provide a greater critical load for a given cross-sectional area.



*J. Appl. Mech* 81(6), 061001

Figure 5.14: If the  $\frac{D}{t}$  ration is too high, then local instability in the wall will occur.

Structural sections are often made to have a preferred failure plane: buckling occurs in the plane of least second moment of area of the cross-section.

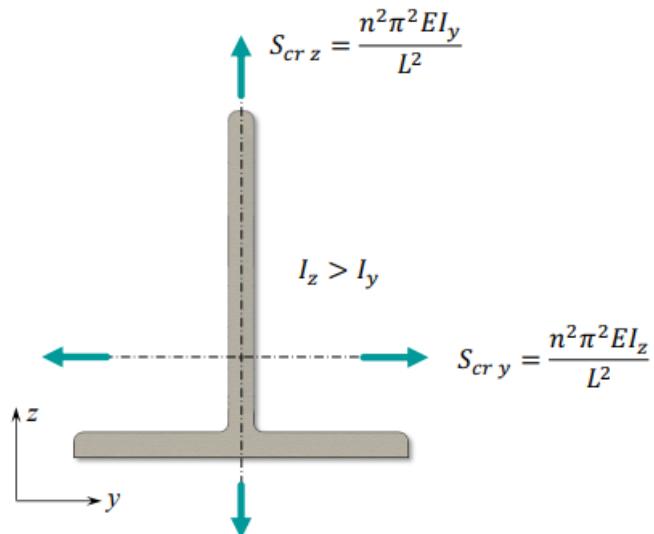
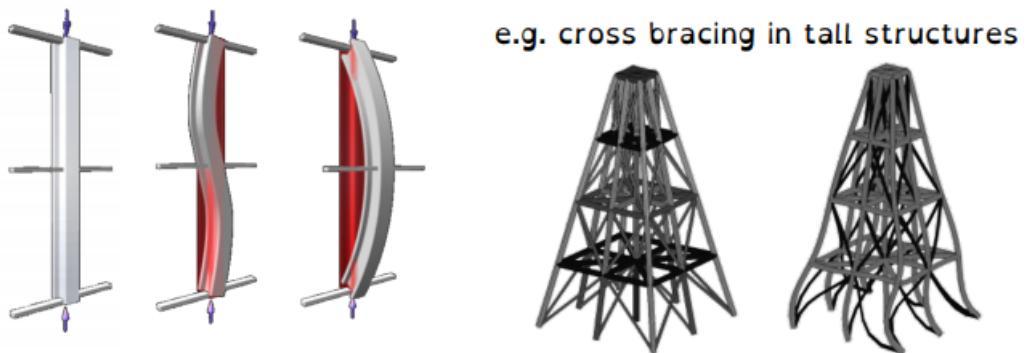


Figure 5.15:

Additional supports shorten the effective length of the column (structure has to buckle following higher critical loads modes).



Buckling starts at  $S = 4 S_{cr1}$

Figure 5.16:

# Chapter 6

## Principal stress

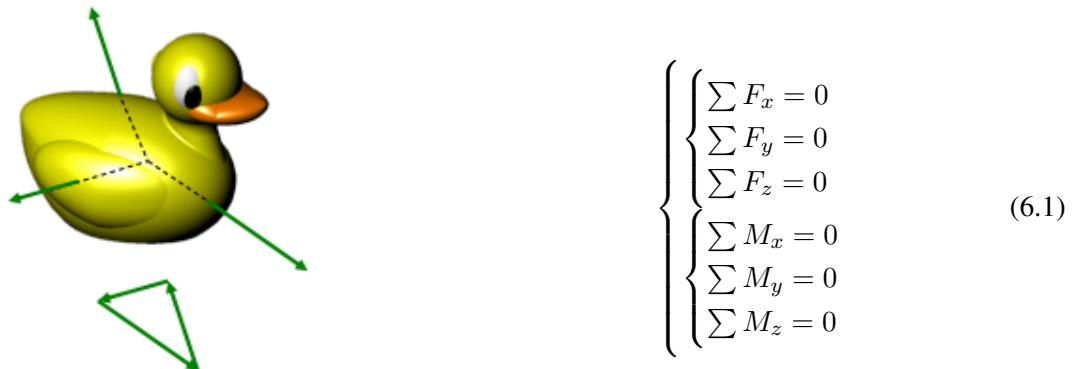
### 6.1 Plane stress state

#### 6.1.1 State of equilibrium

The basis of structural analysis is the **equilibrium state**:

If a configuration is in equilibrium, the resultant of all external forces and moments is zero.

This can be expressed mathematically in the following six equations:

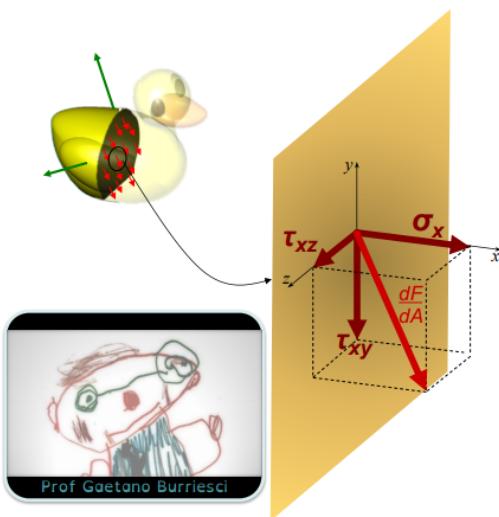


$$\left\{ \begin{array}{l} \sum F_x = 0 \\ \sum F_y = 0 \\ \sum F_z = 0 \\ \sum M_x = 0 \\ \sum M_y = 0 \\ \sum M_z = 0 \end{array} \right. \quad (6.1)$$

Figure 6.1:

These equations have to be valid for or any portion of the body.

### 6.1.2 Stress components



The force distribution, in a generic point of a section, will have components in the *normal* and *tangential* direction. If a Cartesian reference system is fixed, with the  $x$  direction normal to the section, the normal and shear stresses can be expressed in the coordinate system.

The stress can be decomposed into *normal component*  $\sigma_x$ ; and two *shear components*  $\tau_{xy}$  and  $\tau_{xz}$ .

Figure 6.2:

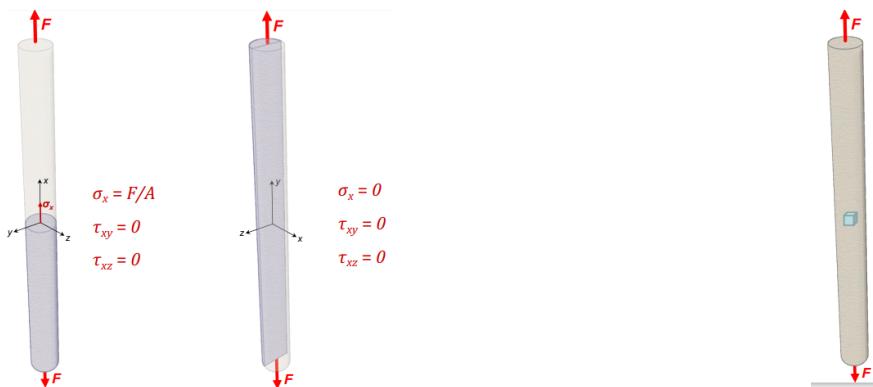


Figure 6.3:

Stress components associated with a specific direction are not sufficient to describe the stress state at one point, as they depend on the selected reference system. To define the stress state at one point, we need to consider all surfaces surrounding the point.

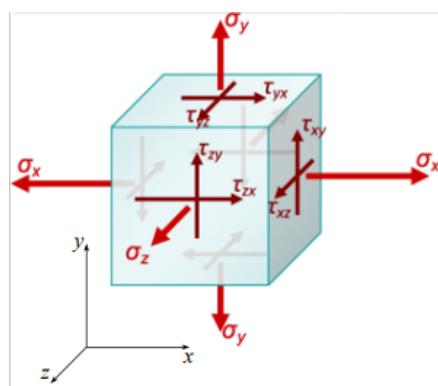


Figure 6.4:

Extract from the body an infinitesimal element, sectioned along the defined Cartesian planes, of dimensions  $dx$ ,  $dy$ ,  $dz$ . The *stress state* of the point where the element is extracted can be described by the 18 stress

components (3 per each face):

$$\sigma = \begin{bmatrix} \sigma_x & \tau_{xy} & \tau_{xz} \\ \tau_{yx} & \sigma_y & \tau_{yz} \\ \tau_{zx} & \tau_{zy} & \sigma_z \end{bmatrix} \quad \begin{aligned} \tau_{xy} &= -\tau_{yx} \\ \tau_{xz} &= -\tau_{zx} \\ \tau_{yz} &= -\tau_{zy} \end{aligned} \quad (6.2)$$

Equilibrium of forces and moments reduces the independent components to six. The complete *state of stresses* at a point is defined by the six stress components:

$$\sigma_x, \sigma_y, \sigma_z; \tau_{xy}, \tau_{yz}, \tau_{zx} \quad (6.3)$$

### Bidimensional case

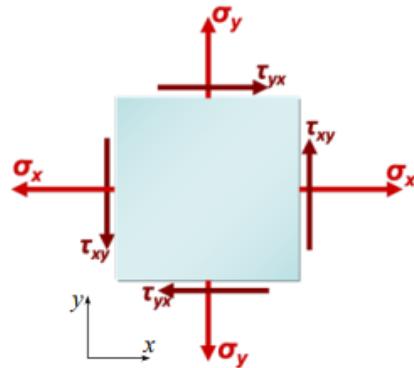


Figure 6.5:

In the common cases of plane state of stress (all stress components lay on a plane), the entire state of stress can be defined by only three stress components:

$$\sigma_x, \sigma_y; \tau_{xy} \quad \sigma = \begin{bmatrix} \sigma_x & \tau_{xy} \\ \tau_{yx} & \sigma_y \end{bmatrix} \quad (6.4)$$

#### 6.1.3 Normal and shear stress in a plane $\sigma$ & $\tau @ \theta$

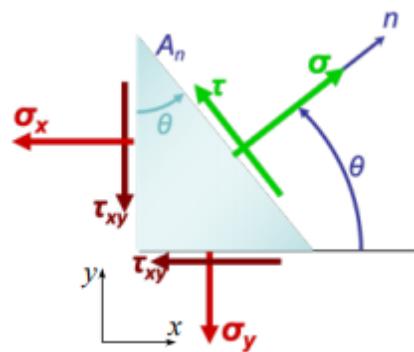


Figure 6.6:

Imagine to cut the infinitesimal element with a plane parallel to z, with normal  $n$  at an arbitrary angle  $\theta$  from x. Due to equilibrium, the new section of area  $A_n$  will be characterised by normal and shear stresses  $\sigma$  and  $\tau$ .

**Normal component of the stress (equilibrium along  $n$ )**

$$\sigma \cdot A_n \quad (6.5)$$

$$-\sigma_x (A_n \cos \theta) \cos \theta - \tau_{xy} (A_n \cos \theta) \sin \theta \quad (6.6)$$

$$-\sigma_y (A_n \sin \theta) \sin \theta - \tau_{xy} (A_n \sin \theta) \cos \theta \quad (6.7)$$

$$= 0 \quad (6.8)$$

$$\sigma = \sigma_x \cos^2 \theta + \sigma_y \sin^2 \theta + 2\tau_{xy} \sin \theta \cdot \cos \theta \quad (6.9)$$

**Shear component of the stress (equilibrium along normal to  $n$  in the plane)**

$$\tau \cdot A_n \quad (6.10)$$

$$+ \sigma_x (A_n \cos \theta) \sin \theta - \tau_{xy} (A_n \cos \theta) \cos \theta \quad (6.11)$$

$$- \sigma_y (A_n \sin \theta) \cos \theta + \tau_{xy} (A_n \sin \theta) \sin \theta \quad (6.12)$$

$$= 0 \quad (6.13)$$

$$\tau = -(\sigma_x - \sigma_y) \sin \theta \cdot \cos \theta + \tau_{xy} (\cos^2 \theta - \sin^2 \theta) \quad (6.14)$$

**Normal and shear component of the stress**

Since:

$$\cos^2 \theta = \frac{1}{2} (1 + \cos 2\theta) \quad (6.15)$$

$$\sin^2 \theta = \frac{1}{2} (1 - \cos 2\theta) \quad (6.16)$$

$$\sin \theta \cdot \cos \theta = \frac{1}{2} \sin 2\theta \quad (6.17)$$

Expressions of  $\sigma$  and  $\tau$  become:

$$\sigma = \frac{1}{2} \sigma_x (1 + \cos 2\theta) + \frac{1}{2} \sigma_y (1 - \cos 2\theta) + \tau_{xy} \sin 2\theta \quad (6.18)$$

$$\tau = -(\sigma_x - \sigma_y) \left( \frac{1}{2} \sin 2\theta \right) + \frac{1}{2} \tau_{xy} [(1 + \cos 2\theta) - (1 - \cos 2\theta)] \quad (6.19)$$

Further simplification:

$$\sigma = \frac{\sigma_x + \sigma_y}{2} + \frac{\sigma_x - \sigma_y}{2} \cos 2\theta + \tau_{xy} \sin 2\theta \quad (6.20)$$

$$\tau = -\frac{\sigma_x - \sigma_y}{2} \sin 2\theta + \tau_{xy} \cos 2\theta \quad (6.21)$$

Given a specific state of stress, at a point  $P$ , these expressions give the normal and tangential stress to any plane passing through the point.

## 6.2 Principal stress

### 6.2.1 Maximum and minimum stress

$\sigma$  and  $\tau$  vary as the selected plane changes inclination. The maximum and minimum of  $\sigma$  when:

$$\frac{d\sigma}{d\theta} = 0 \rightarrow \frac{d\sigma}{d\theta} = -(\sigma_x - \sigma_y) \sin 2\theta + 2\tau_{xy} \cos 2\theta = 0 \quad (6.22)$$

$$\tan 2\theta = \frac{2\tau_{xy}}{\sigma_x - \sigma_y} \quad (6.23)$$

The function  $\tan 2\theta$  defines 2 orientations in the range  $0-360^\circ$  with  $90^\circ$  inclination with respect to each other. The max and min normal stresses are called **principal stresses** (respectively  $\sigma_1$  and  $\sigma_2$ ) and their planes **principal planes**. The minimum of the tangential shear stresses are zero on the **principal planes**.

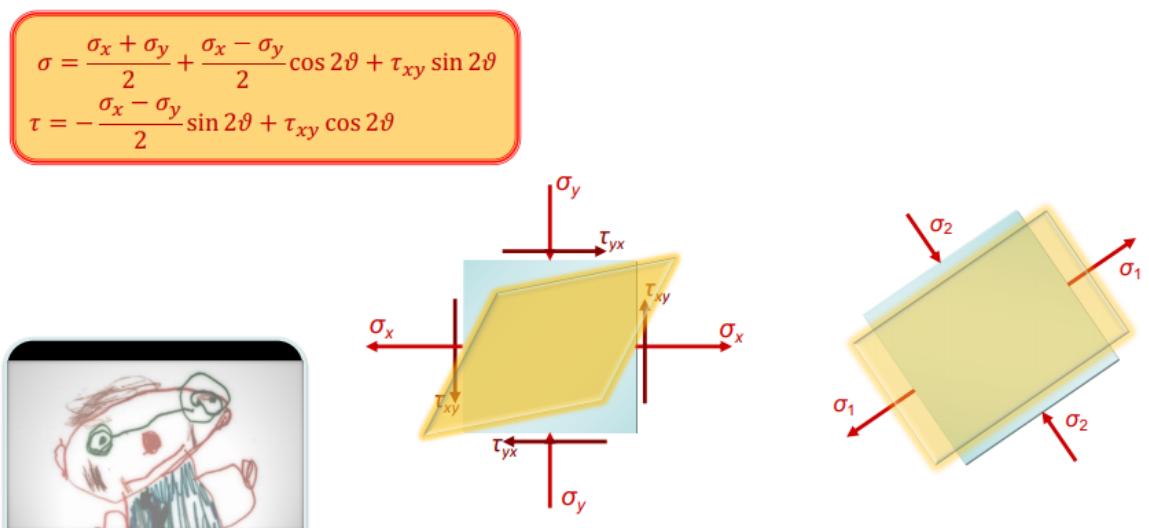


Figure 6.7:

### 6.3 Mohr's circles

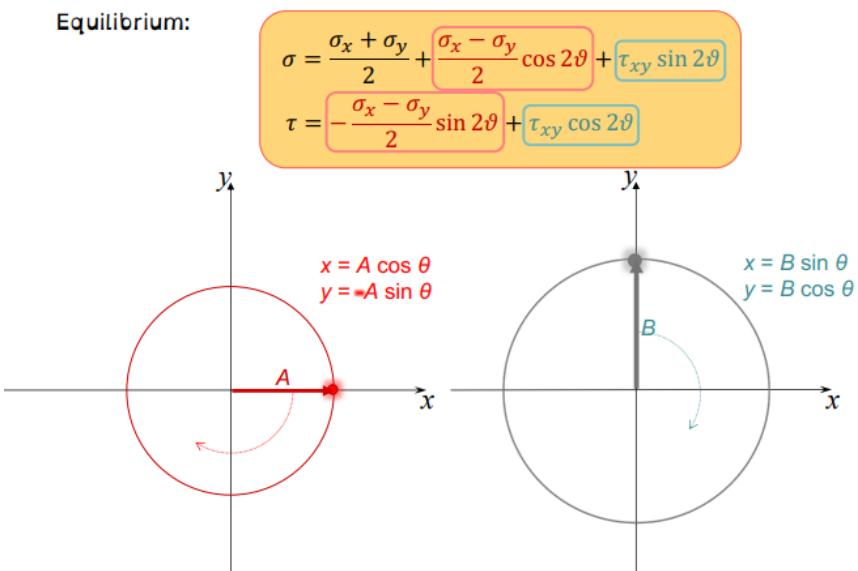


Figure 6.8:

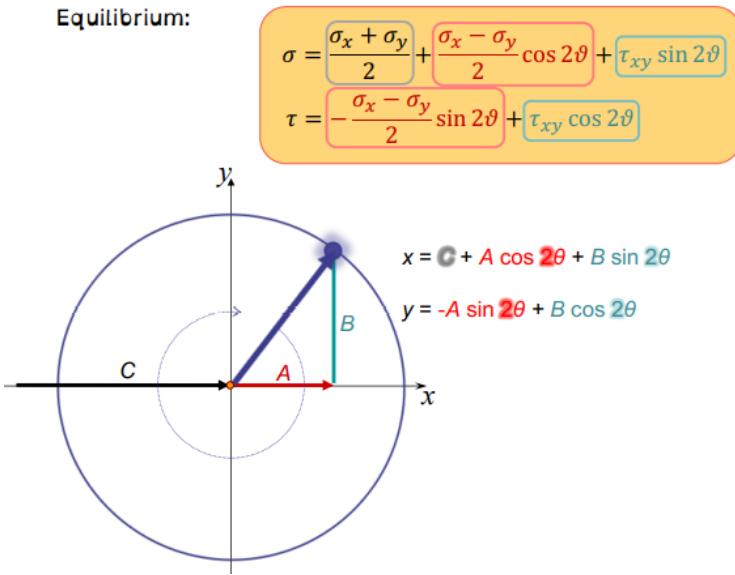


Figure 6.9:

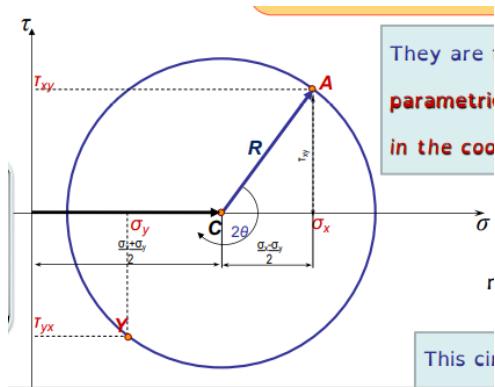


Figure 6.10:

Mohr's circles are the equations of a circle in parametric form (with parameter  $\theta$ , in the coordinates  $\sigma$  and  $\tau$ ).

$$\text{centre: } C \equiv \left( \frac{\sigma_x + \sigma_y}{2}, 0 \right) \quad (6.24)$$

$$\text{radius: } R = \sqrt{\left( \frac{\sigma_x - \sigma_y}{2} \right)^2 + \tau_{xy}^2} \quad (6.25)$$

Mohr's circles give a graphical representation of the state of stress in one point, describing the normal and tangent component of the stress for any plane passing through the point. The points on the Mohr's circles represent combinations of normal and shear stress that exist at all possible orientations.

Note that angles in Mohr's circles are double angles and their direction is opposite to the physical one.

This can be fixed by inverting the  $\tau$  axis.

### 6.3.1 Construction of Mohr's circles - A

The knowledge of the tensors,  $\sigma_x$ ,  $\sigma_y$  and  $\tau_{xy}$  relative to any plane is sufficient to build the Mohr's circle:

1. Plot the coordinates  $\sigma_x$  and  $\tau_{xy}$  (relative to position 'X') in the  $\sigma - \tau$  plane. This is along the  $x$ -axis and then corresponds to the angle  $\theta = 2\theta = 0$ .
2. Plot the coordinates  $\sigma_y$  and  $\tau_{yx}$  (relative to position 'Y') in the  $\sigma - \tau$  plane. This is along the  $y$ -axis and corresponds to the angle  $\theta = 90^\circ$  ( $2\theta = 180^\circ$ ).
3. The intersection of the line joining 'X' and 'Y' with  $\sigma$ -axis identifies the centre  $C$  of the circle ( $C$  has abscissa equal to the average of  $\sigma_x$  and  $\sigma_y$ ).
4. Use 'C' and 'X' (or 'Y') to build the circle.

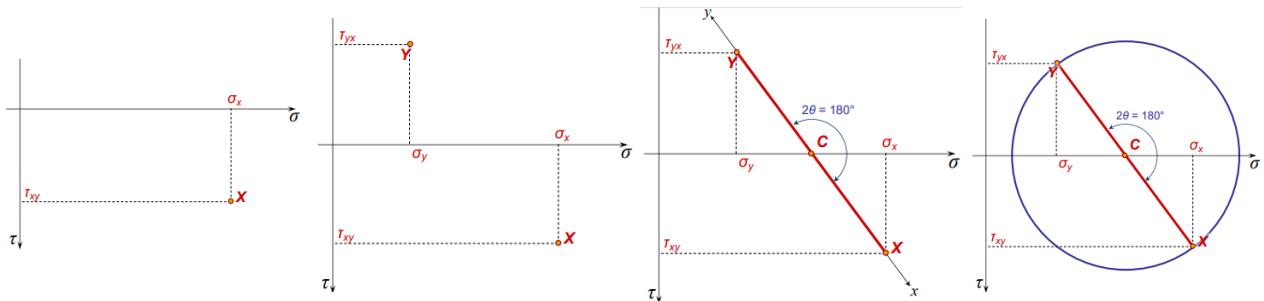


Figure 6.11:

### 6.3.2 Construction of Mohr's circles - B

The knowledge of the tensors,  $\sigma_x$ ,  $\sigma_y$  and  $\tau_{xy}$  relative to any plane is sufficient to build the Mohr's circle:

1. Determine the centre  $C$  of the circle from:  $C \equiv \left( \frac{\sigma_x + \sigma_y}{2}, 0 \right)$ .
2. Determine the radius  $R$  of the circle from:  $R = \sqrt{\left( \frac{\sigma_x - \sigma_y}{2} \right)^2 + \tau_{xy}^2}$
3. Draw a circle of centre  $C$  and radius  $R$ .
4. Position on the circle points relative to 'X' (and 'Y') to identify  $x$ -axis.

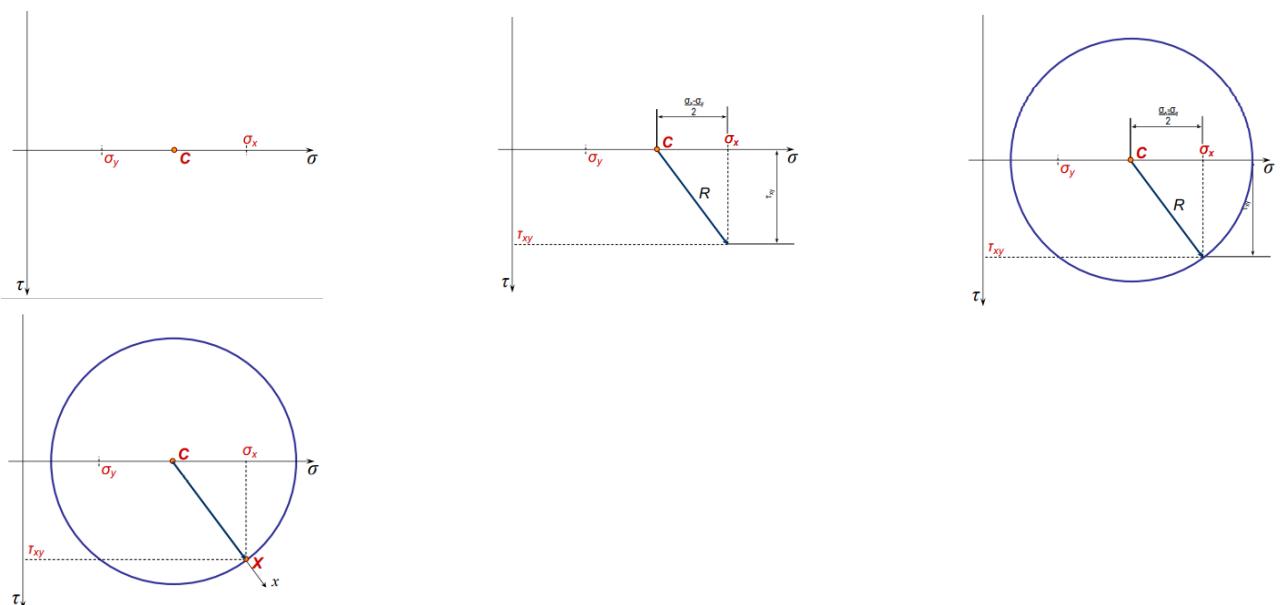


Figure 6.12:

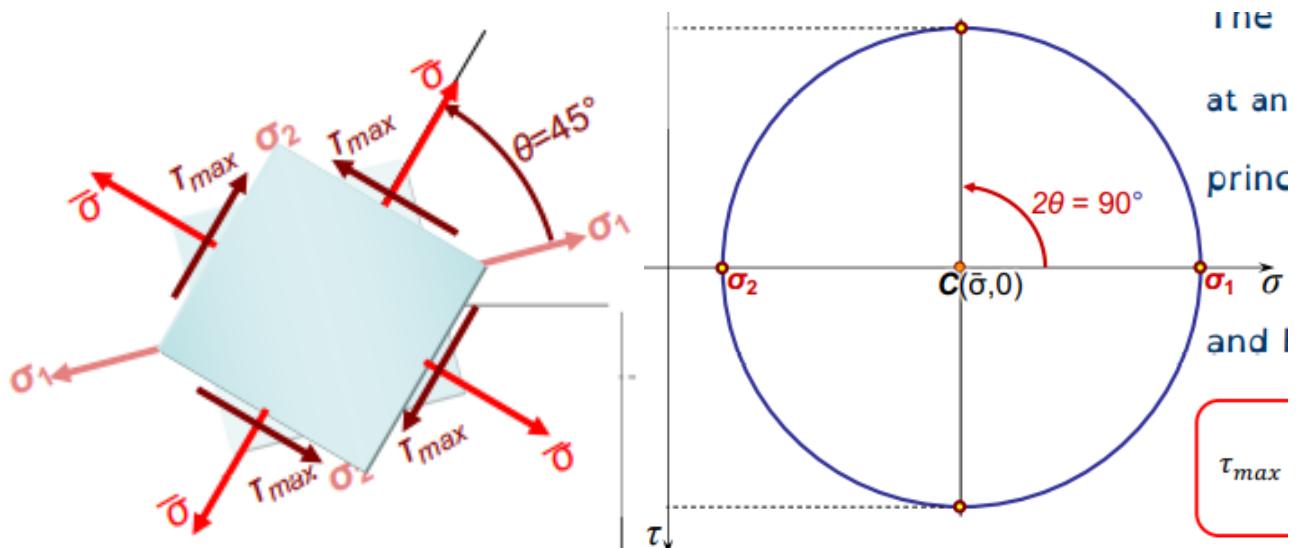


Figure 6.14:

## 6.4 Application of Mohr's circles

### 6.4.1 Determination of principal stresses

The most important application of Mohr's circles is the geometrical determination of the value and direction of the principal stress.

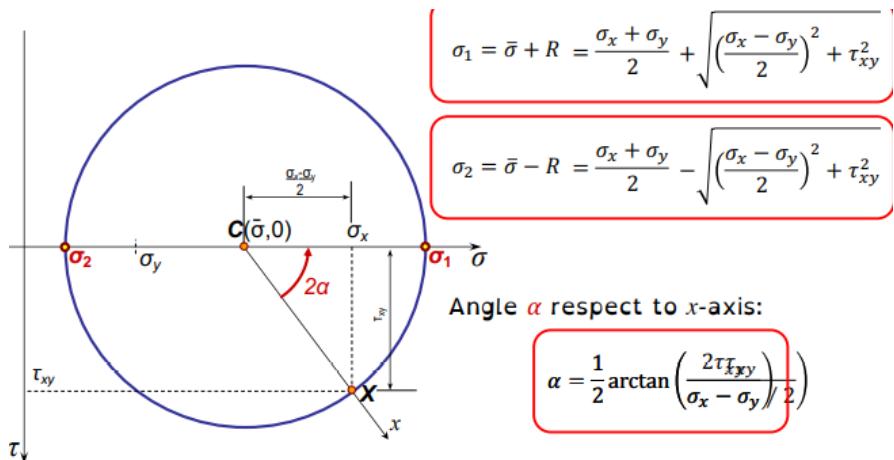


Figure 6.13:

### 6.4.2 Determination of max shear stress

Another Mohr's circle application is the geometrical determination of the value and direction of the maximum shear stresses. The *maximum shear stress* is always at an angle  $\theta = 45^\circ$  ( $2\theta = 90^\circ$ ) with the principal stress directions and has magnitude equal to:

$$\tau_{max} = R = \sqrt{\left(\frac{\sigma_x - \sigma_y}{2}\right)^2 + \tau_{xy}^2} = \frac{\sigma_1 - \sigma_2}{2} \quad (6.26)$$

Mohr's circles allow to calculate the stress tensors for any plane.

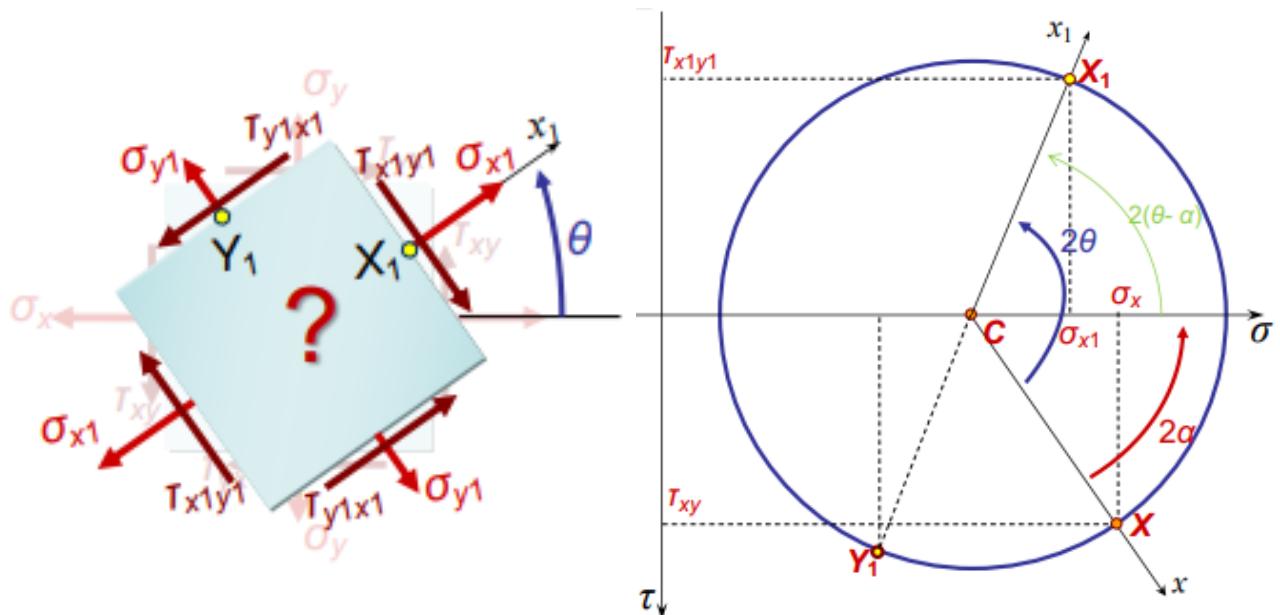


Figure 6.15:

$$\sigma_{x1} = \bar{\sigma} + R \cos 2(\theta - \alpha) \quad (6.27)$$

$$\sigma_{y1} = \bar{\sigma} - R \cos 2(\theta - \alpha) \quad (6.28)$$

$$\tau_{x1y1} = -R \sin 2(\theta - \alpha) \quad (6.29)$$

With  $\bar{\sigma} = \frac{\sigma_x + \sigma_y}{2} = \frac{\sigma_1 + \sigma_2}{2}$  and  $R = \sqrt{\left(\frac{\sigma_x - \sigma_y}{2}\right)^2 + \tau_{xy}^2} = \frac{\sigma_1 - \sigma_2}{2}$ .

## 6.5 Tri-axial state of stress

### 6.5.1 Plane stress state

We have seen that if we only consider two stresses in the plane, the state of stress is defined by 3 stress components (tensors).

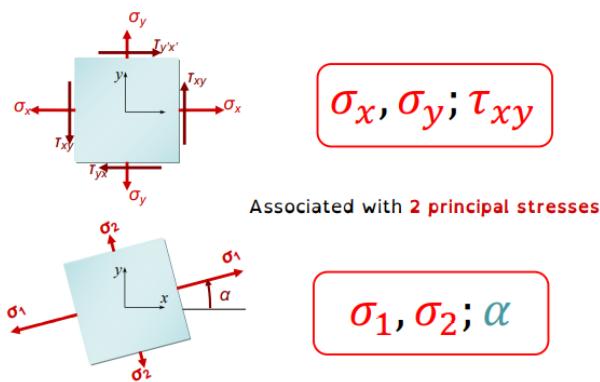


Figure 6.16:

### 6.5.2 Tri-axial stress state

In the most generic state of stress (tri-axial) is defined by 6 stress components (tensors):

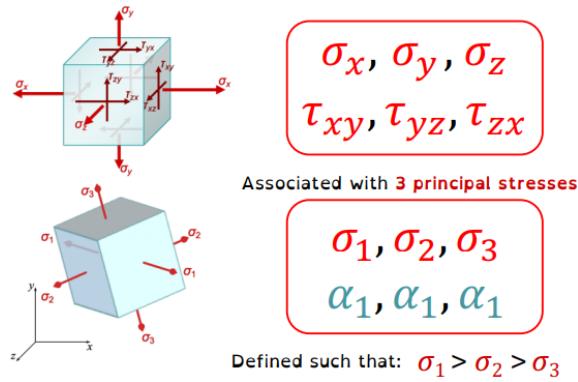


Figure 6.17:

### 6.5.3 Complete Mohr's circles

Mohr's representation will be characterised by 3 circles, relative to the three orthogonal principal planes:

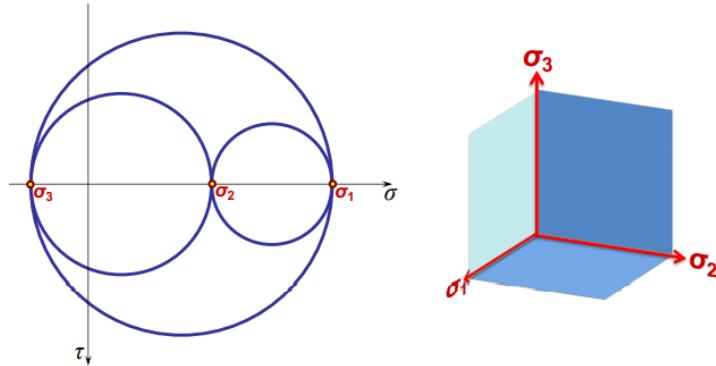


Figure 6.18:

Maximum shear stresses in the three principal planes will be:

$$(\tau_{max})_3 = \frac{|\sigma_1 - \sigma_2|}{2} \quad (\tau_{max})_1 = \frac{|\sigma_2 - \sigma_3|}{2} \quad (\tau_{max})_2 = \frac{|\sigma_3 - \sigma_1|}{2} \quad (6.30)$$

Absolute maximum equal to the largest value.

$$\tau_{max} = (\tau_{max})_2 = \frac{\sigma_1 - \sigma_3}{2} \quad (6.31)$$

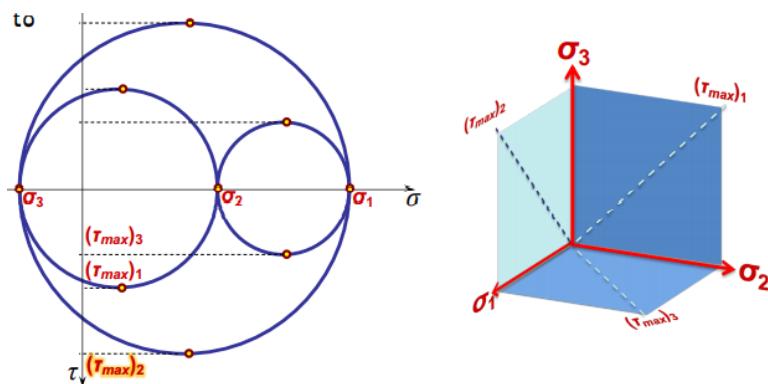


Figure 6.19:

Also a plane state of stress ( $\sigma_1 \neq 0, \sigma_2 \neq 0, \sigma_3 = 0$ ) is associated with three Mohr's circles. The maximum shear stress is not necessarily in the plane of the stresses that are different from zero!

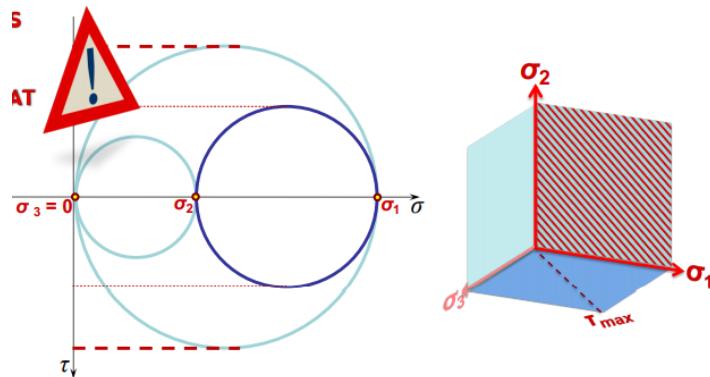


Figure 6.20:

## 6.6 Stress strain relations

### 6.6.1 Principal strains

In the case of an isotropic, linear-elastic material, the *principal strains* are defined as the strains in the directions of the principal stresses.

$$\varepsilon_1, \varepsilon_2, \varepsilon_3 \quad (6.32)$$

Principal stress and principal strains are linked through the Young's Modulus and the Poisson ratio (constitutive relations).

### 6.6.2 What is the Poisson's ratio? ( $\nu$ )

The Poisson's Ratio is the negative ratio of the transverse to longitudinal strain:

$$\nu = -\frac{\text{transverse strain}}{\text{longitudinal strain}} = -\frac{\varepsilon_t}{\varepsilon_l} \quad (6.33)$$

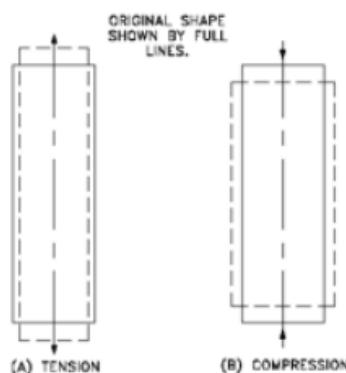


Figure 6.21:

### 6.6.3 Uni-axial case

$$\begin{aligned} \varepsilon_1 &= \frac{\sigma_1}{E} & \sigma_1 &= E\varepsilon_1 \\ \varepsilon_2 &= -\frac{\nu}{E}\sigma_1 & \sigma_2 &= 0 \\ \varepsilon_3 &= -\frac{\nu}{E}\sigma_1 & \sigma_3 &= 0 \end{aligned} \quad (6.34)$$

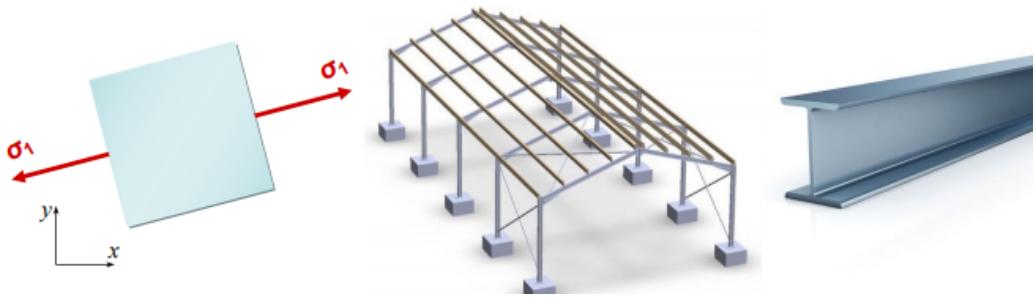


Figure 6.22:

#### 6.6.4 Bi-axial case

$$\begin{aligned}\varepsilon_1 &= \frac{1}{E} [\sigma_1 - \nu \sigma_2] & \sigma_1 &= \frac{E}{1-\nu^2} [\varepsilon_1 + \nu \varepsilon_2] \\ \varepsilon_2 &= \frac{1}{E} [\sigma_2 - \nu \sigma_1] & \sigma_2 &= \frac{E}{1-\nu^2} [\varepsilon_2 + \nu \varepsilon_1] \\ \varepsilon_3 &= -\frac{\nu}{E} (\sigma_1 + \sigma_2) & \sigma_3 &= 0\end{aligned}\quad (6.35)$$



Figure 6.23:

#### 6.6.5 Tri-axial case

$$\begin{aligned}\varepsilon_1 &= \frac{1}{E} [\sigma_1 - \nu (\sigma_2 + \sigma_3)] & \sigma_1 &= \frac{E}{(1+\nu)(1-2\nu)} [(1-\nu) \varepsilon_1 + \nu (\varepsilon_2 + \varepsilon_3)] \\ \varepsilon_2 &= \frac{1}{E} [\sigma_2 - \nu (\sigma_3 + \sigma_1)] & \sigma_2 &= \frac{E}{(1+\nu)(1-2\nu)} [(1-\nu) \varepsilon_2 + \nu (\varepsilon_3 + \varepsilon_1)] \\ \varepsilon_3 &= \frac{1}{E} [\sigma_3 - \nu (\sigma_1 + \sigma_2)] & \sigma_3 &= \frac{E}{(1+\nu)(1-2\nu)} [(1-\nu) \varepsilon_3 + \nu (\varepsilon_1 + \varepsilon_2)]\end{aligned}\quad (6.36)$$



Figure 6.24:

# Chapter 7

## Failure criteria

### 7.1 Failure modes

Structural failure occurs when a structural component is unable to fulfil its designed purpose.

#### 7.1.1 Forms of failure

- Elastic failure - excessive elastic deformation (e.g. buckling)
- Brittle failure - sudden fracture of the component
- Plastic failure - permanent variation of geometry
- Progressive failure - sudden fracture due to fatigue loading
- Creep - ductile failure due to high loads prolonged in time
- Corrosion - loss of surface integrity due to environmental factors
- Wearing - loss of surface integrity due to abrasive contact

#### 7.1.2 Micro-structural failures

At microscopic level, most forms of mechanical failure occur according to two main mechanisms.

- Brittle failure - characterised by the breaking of the atomic bonds
- Plastic failure - characterised by the spreading of dislocations through the crystal structure

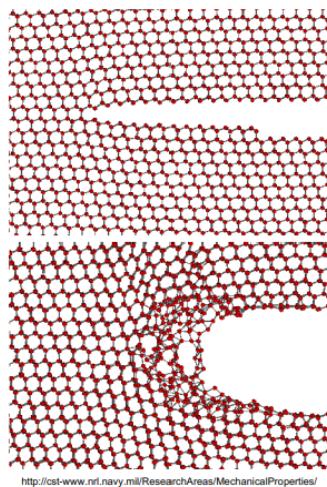


Figure 7.1:

**Failure criteria** have the function to give indication on the possibility that one of these mechanisms may occur, for a known state of stress.

### 7.1.3 Ductile and brittle materials

It is essential, first, to identify which of the two failing mechanisms is going to occur! In static conditions, the selection is simple: it depends essentially on the material subject to the stress state. There are materials that fail according to the brittle failure mechanism in the most of the cases, called **brittle materials**. There are materials that fail according to the plastic failure mechanism in the most of the cases, called ductile materials.

### 7.1.4 Brittle materials

In brittle materials, atoms have low mobility. Failure occurs without visible permanent deformations, when energy is sufficient to break the atomic bonds.



Figure 7.2:

### 7.1.5 Ductile materials

In ductile materials, for energy lower than the one producing the spreading of dislocations, atoms undergo reciprocal displacements without breaking atomic bonds: Ductile materials can store large amounts of energy in the form of *recoverable elastic distortion*. This mechanism is called **elastic behaviour**. For higher levels of energy, dislocations spread and the crystal structure changes. Macroscopically, the component undergoes *permanent deformations*, eventually leading to *fracture*.

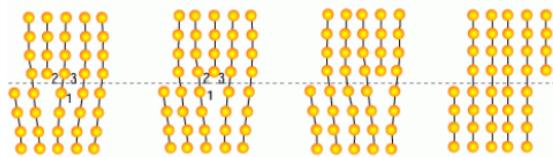


Figure 7.3:

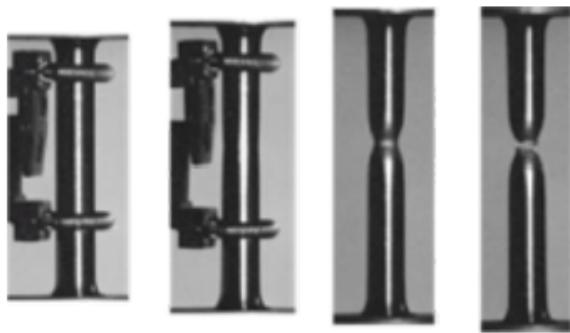


Figure 7.4:

### 7.1.6 Brittle - ductile

Brittle and ductile materials are only ideal extremes: All materials have an intermediate behaviour. Moreover, under specific states of stress, typically ductile materials can undergo brittle failure and typically brittle materials can fail plastically.

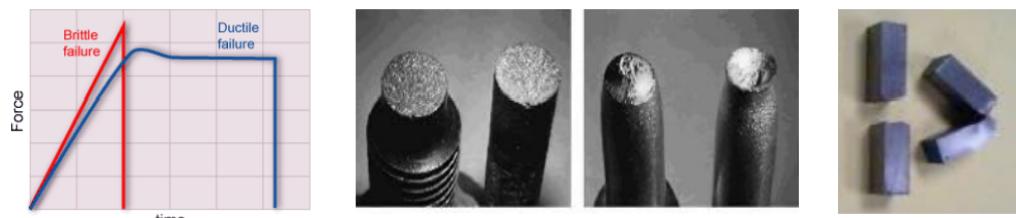


Figure 7.5:

### 7.1.7 Hydrostatic stress state

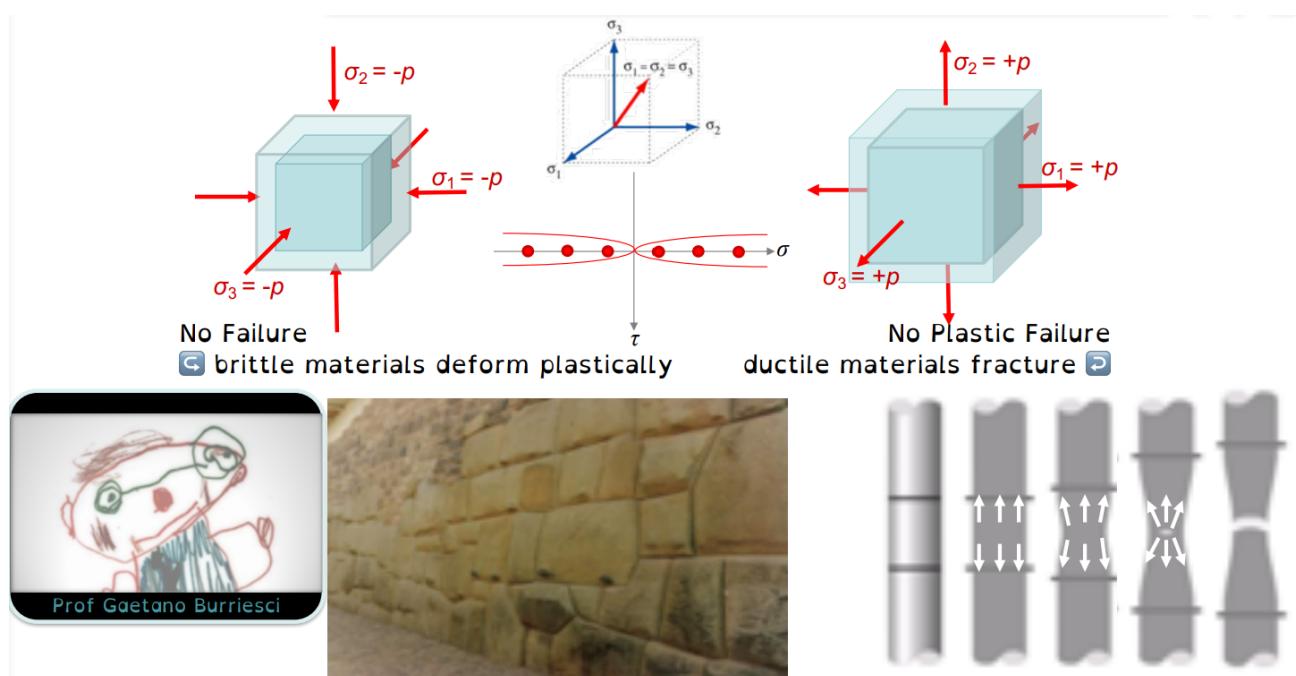


Figure 7.6:

## 7.2 Failure criteria

Experience has shown that failure consistently occurs when the *components of the stress tensor* at a point of the structure reach specific values, depending on the *material*. The state of stress can be easily determined analytically or numerically. However, since infinite different states of stress can occur, *it is impossible to analyse what is the limiting level for all cases*. A possible solution would be to convert a specific state of stress into a single equivalent scalar value, called **effective stress**, which can represent it and can be directly compared with a limit value, associated with failure.

**Failure criteria suggest how to combine the components of a specific state to obtain an effective stress.**

Once the appropriate failure criterion has been identified, the *limit for the effective stress* can be easily measured by determining when failure occurs in a simplified case, e.g. unidirectional tensile or compressive test.

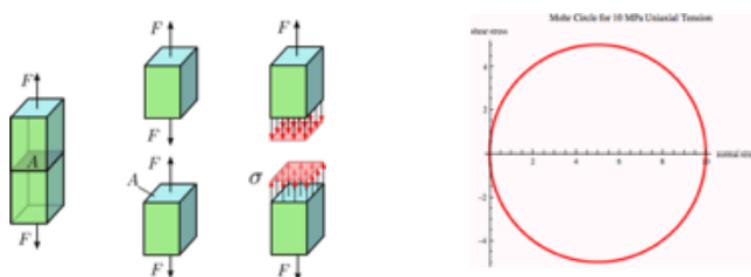


Figure 7.7:

For ductile materials, failure is commonly associated with yielding. For brittle materials it is associated with brittle fracture.

## 7.3 Maximum principal stress theory

The first failure criterion can be attributed to Galileo Galilei who observed (from the studies on stone ties) that there is a limit to the maximum principal stress.

**Failure occurs whenever the maximum principal stress equals the strength of the material.**

The structure is safe if at all points it is:

$$\sigma_1 < \sigma_f \quad \& \quad \sigma_2 < \sigma_f \quad \& \quad \sigma_3 < \sigma_f \quad (7.1)$$

### 7.3.1 Max & min principal stress theory

The *maximum and minimum principal stress theory*, usually attributed to Rankine, includes in the previous criterion a limit to the compressive stress.

**Failure occurs whenever the maximum principal stress equals the tensile strength or the minimum principal stress equals the compressive strength.**

$$\sigma_{fc} < \sigma_1 < \sigma_{ft} \quad \& \quad \sigma_{fc} < \sigma_2 < \sigma_{ft} \quad \& \quad \sigma_{fc} < \sigma_3 < \sigma_{ft} \quad (7.2)$$

Representing the points of safety in a plane  $\sigma_1, \sigma_2$ , a square is described. Representing the points of safety in a space  $\sigma_1, \sigma_2, \sigma_3$ , a cube is described. In the Mohr's representation, all circles between two vertical lines of abscissas  $\sigma_{ft}$  and  $\sigma_{fc}$  described safe states of stress.

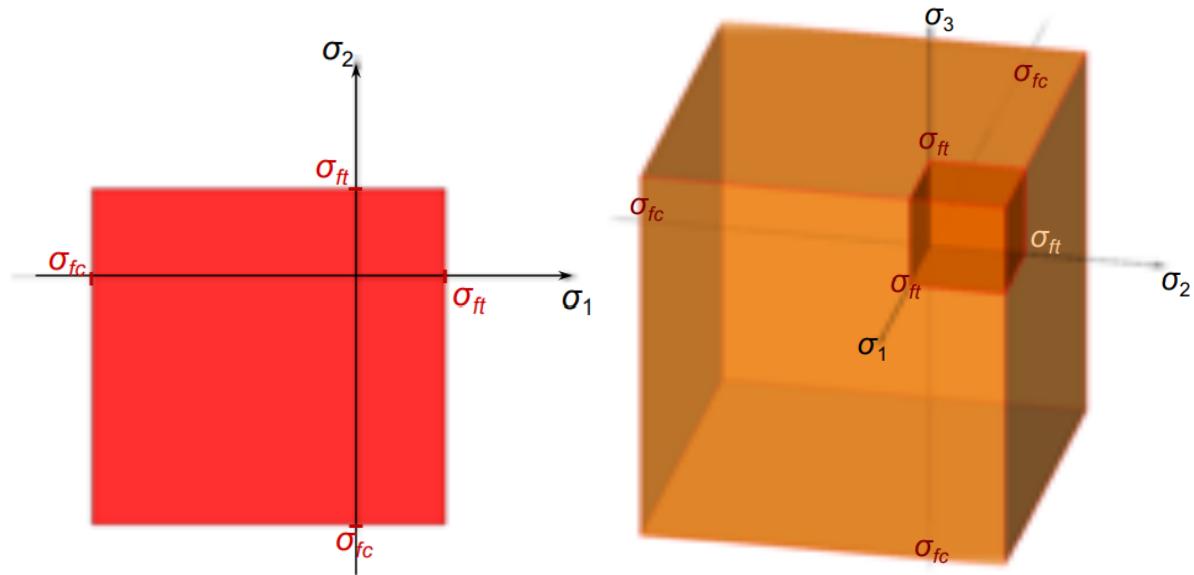


Figure 7.8:

Figure 7.9:

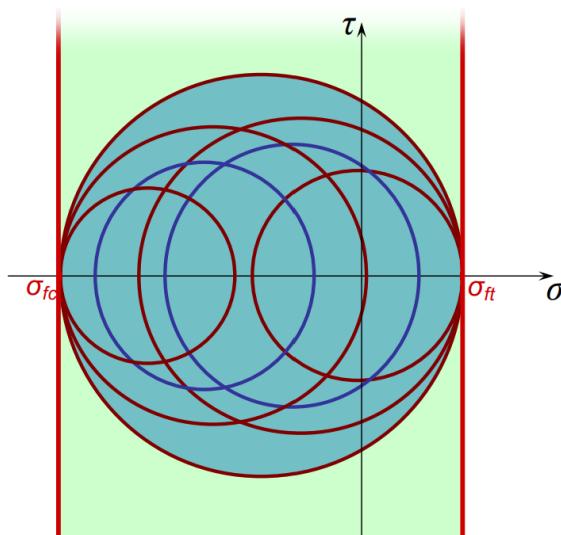


Figure 7.10:

The main limitations of the maximum principle stress theory are:

- interaction between the principal stress is not considered
- does not predict unlimited resistance under hydrostatic compression.

Applicability:

**The maximum principal stress theory can be reliable only for the study of brittle materials subjected to states of stress different from the hydrostatic compression.**

### 7.3.2 Maximum normal strain theory

The *maximum normal strain theory*, usually attributed to Saint-Venant, is conceptually different, in that it limits the strain instead of the stress. It was developed to justify the vertical cracks that break stone columns.

**Failure occurs whenever the maximum principal strain equals the strain corresponding**

in

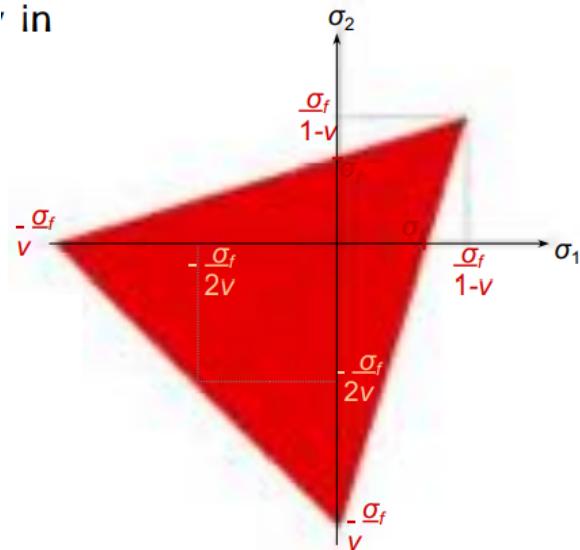


Figure 7.11:

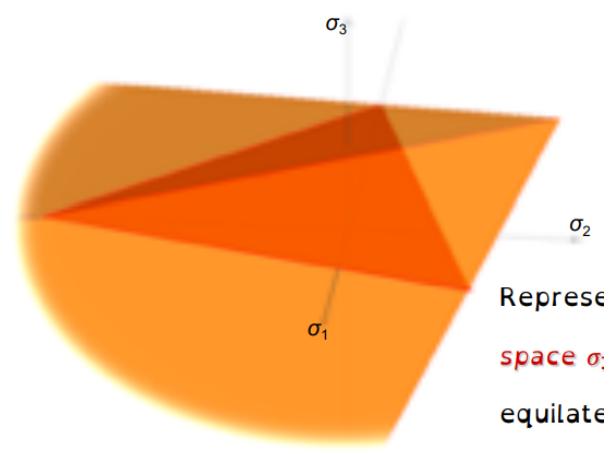


Figure 7.12:

### to the tensile strength.

The structure is safe if at all points it is:

$$\epsilon_1 < \epsilon_f; \epsilon_2 < \epsilon_f; \epsilon_3 < \epsilon_f \quad (7.3)$$

Since:

$$\epsilon_1 = \frac{1}{E} [\sigma_1 - \nu(\sigma_2 + \sigma_3)] \quad (7.4)$$

$$\epsilon_2 = \frac{1}{E} [\sigma_2 - \nu(\sigma_1 + \sigma_3)] \quad (7.5)$$

$$\epsilon_3 = \frac{1}{E} [\sigma_3 - \nu(\sigma_1 + \sigma_2)] \quad (7.6)$$

and, from a uni-axial tensile test:

$$\epsilon_f = \frac{\sigma_f}{E} \quad (7.7)$$

We can derive that:

$$\sigma_1 - \nu(\sigma_2 + \sigma_3) < \sigma_f \quad (7.8)$$

$$\sigma_2 - \nu(\sigma_1 + \sigma_3) < \sigma_f \quad (7.9)$$

$$\sigma_3 - \nu(\sigma_1 + \sigma_2) < \sigma_f \quad (7.10)$$

Representing the points of safety in the plane  $\sigma_1, \sigma_2$ , a triangle is obtained. Representing the points of safety in a space  $\sigma_1, \sigma_2, \sigma_3$ , a pyramid of triangular equilateral section is obtained. The *maximum normal strain theory* was completed by Grashof, who introduced a limit to the maximum negative strain also. The main limitations of the maximum strain theory are:

- though very used in the past, it does not give results in agreement with experimental evidence
- does not predict unlimited resistance under hydrostatic compression.

Applicability:

**the maximum normal strain theory is reliable for the study of brittle materials with tensile and compressive strengths not very different, subjected to states of stress different from the hydrostatic compression.**

It was the first principle to consider the interaction between principal stresses.

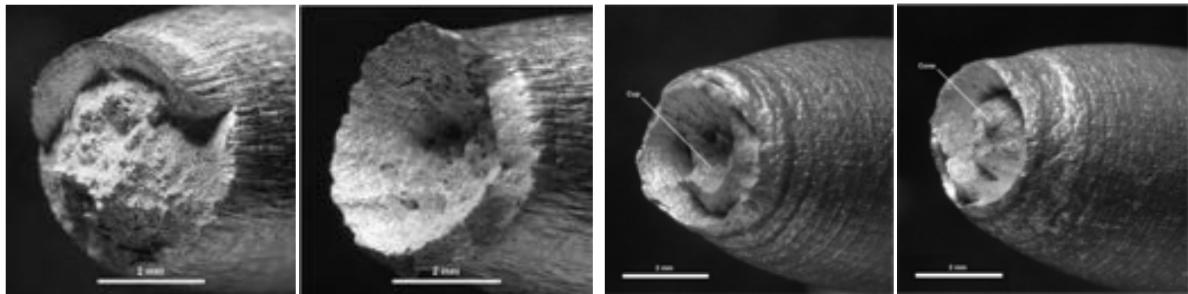


Figure 7.13:

Figure 7.14:

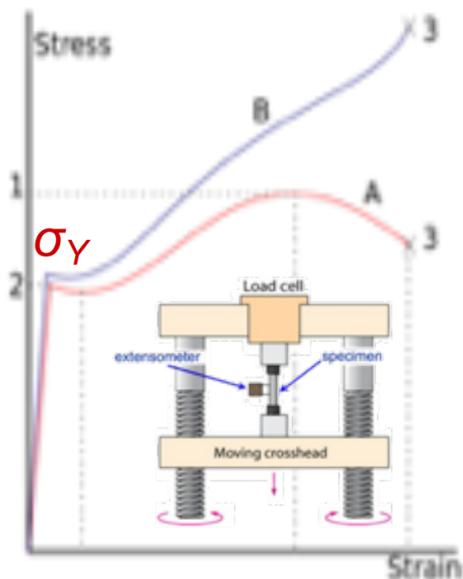


Figure 7.15:

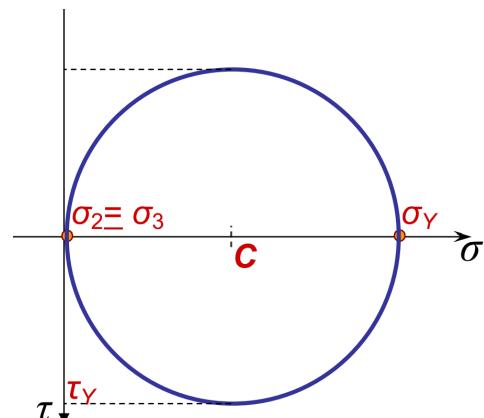


Figure 7.16:

## 7.4 Maximum shear stress theory

### 7.4.1 Criteria for ductile materials

Previous criteria were developed when the materials of main interest were brittle (stones, bricks and timbers); and therefore they are suitable to describe brittle failure. When the use of metals became more relevant, new criteria had to be developed, in order to better describe the ductile failure due to yielding. The maximum-shear-stress theory (also called Tresca theory) assumes that plastic failure (yielding) is produced by the shear stresses.

**Yielding occurs whenever the maximum shear stress equals the maximum shear stress  $\tau_y$  produced in a tension-test specimen of the same material when that specimen begins to yield.**

The structure is safe if at all points it is:

$$\tau_{max} < \tau_y \quad (7.11)$$

The maximum shear stress  $\tau_y$  produced in a tension-test specimen when that specimen begins to yield is given by:

$$\tau_y = \frac{1}{2}\sigma_y \quad (7.12)$$

Maximum shear stresses in the principal planes of the component will be the maximum of:

$$(\tau_{max})_3 = \frac{|\sigma_1 - \sigma_2|}{2} \quad (\tau_{max})_1 = \frac{|\sigma_2 - \sigma_3|}{2} \quad (\tau_{max})_2 = \frac{|\sigma_3 - \sigma_1|}{2} \quad (7.13)$$

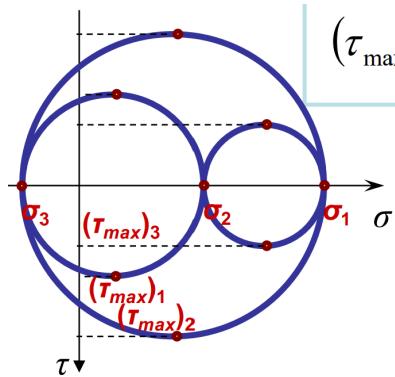


Figure 7.17:

Therefore:

$$|\sigma_1 - \sigma_2|; |\sigma_2 - \sigma_3|; |\sigma_3 - \sigma_1| < \sigma_Y \quad (7.14)$$

For a plane stress state ( $\sigma_3 = 0$ ):

$$\tau_{max} < \sigma_Y \rightarrow |\sigma_1 - \sigma_2|; |\sigma_2 - \sigma_3|; |\sigma_3 - \sigma_1| < \sigma_Y \quad (7.15)$$

$$|\sigma_1 - \sigma_2| < \sigma_Y \rightarrow \frac{\sigma_2 - \sigma_Y}{\sigma_1 - \sigma_Y} < \sigma_1 < \sigma_2 + \sigma_Y \quad (7.16)$$

$$|\sigma_2| < \sigma_Y \rightarrow -\sigma_Y < \sigma_2 < \sigma_Y \quad (7.17)$$

$$|\sigma_1| < \sigma_Y \rightarrow -\sigma_Y < \sigma_1 < \sigma_Y \quad (7.18)$$

An irregular hexagon is described.

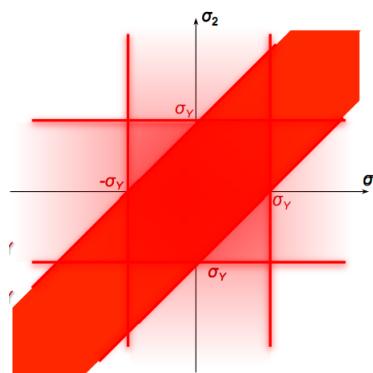


Figure 7.18:

For a tri-axial stress state:

$$\tau_{max} < \sigma_Y \rightarrow |\sigma_1 - \sigma_2|; |\sigma_2 - \sigma_3|; |\sigma_3 - \sigma_1| < \sigma_Y \quad (7.19)$$

Representing the points of safety in a space  $\sigma_1, \sigma_2, \sigma_3$ , a prism of regular hexagonal section, with axis along the trisector to the planes is described.

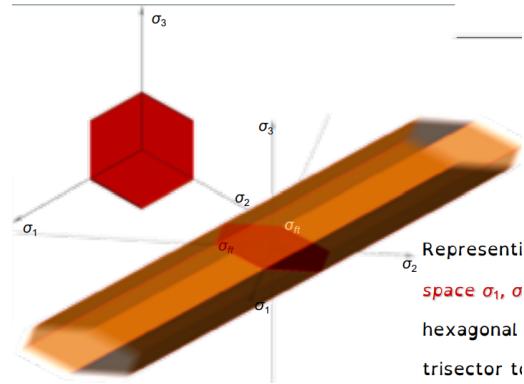


Figure 7.19:

In the Mohr's representation, all circles between the two horizontal lines of ordinate  $\frac{1}{2}\sigma_Y$  and  $-\frac{1}{2}\sigma_Y$  describe the safe state of stress.

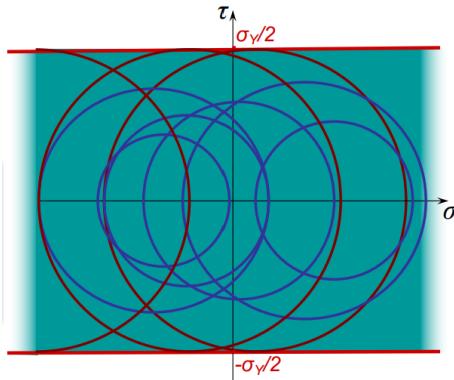


Figure 7.20:

Applicability:

**The maximum shear stress theory is reliable for the study of ductile materials. For these material it is conservative (does not consider the benefit given by the intermediate principal stress). It does not limit hydrostatic compressive loads (in agreement with experimental evidence).**

The main limitation of the maximum shear stress theory is that it does not predict the failure under hydrostatic tension.

## 7.5 Maximum energy theories

### 7.5.1 Maximum strain energy theory

The criterion proposed by Beltrami assumes that a material can store a limited amount of elastic energy as strain before undergoing plastic deformations (failure).

**Yielding occurs whenever the strain energy density equals the strain energy density that produces yielding in a tension-test specimen of the same material.**

$$\frac{dW}{dV} = \frac{1}{2} (\sigma_1 \cdot \epsilon_1 + \sigma_2 \cdot \epsilon_2 + \sigma_3 \cdot \epsilon_3) \quad (7.20)$$

$$= \frac{1}{2E} [\sigma_1^2 + \sigma_2^2 - 2\nu (\sigma_1 \cdot \sigma_2 + \sigma_2 \cdot \sigma_3 + \sigma_3 \cdot \sigma_1)] \quad (7.21)$$

$$\sigma_Y^2$$

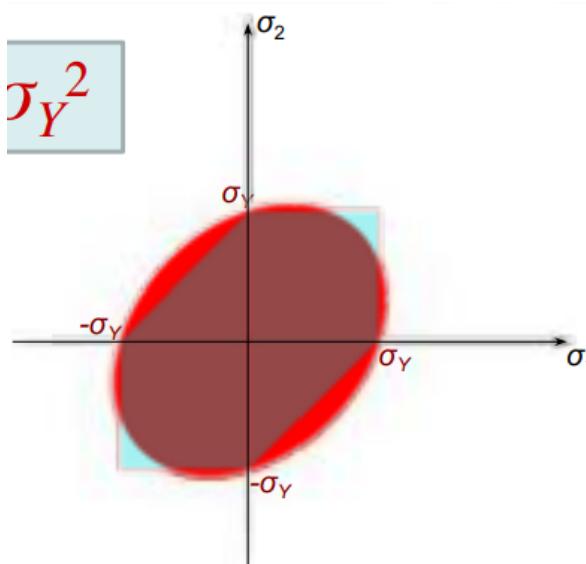


Figure 7.22:

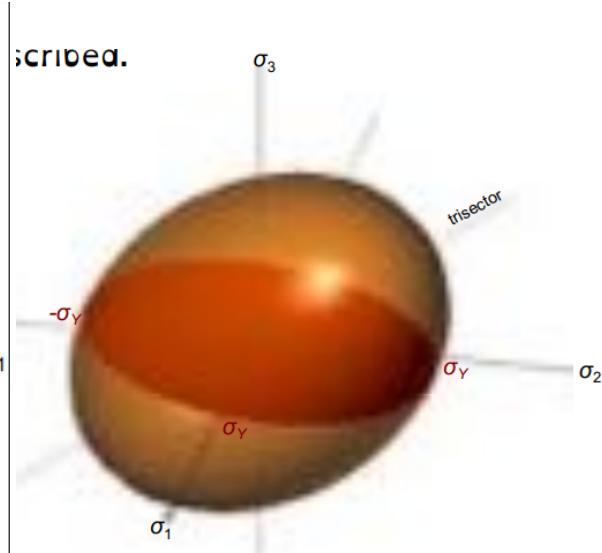


Figure 7.23:

$$\begin{aligned} \epsilon_1 &= \frac{1}{E} [\sigma_1 - \nu(\sigma_2 + \sigma_3)] \\ \epsilon_2 &= \frac{1}{E} [\sigma_2 - \nu(\sigma_1 + \sigma_3)] \\ \epsilon_3 &= \frac{1}{E} [\sigma_3 - \nu(\sigma_1 + \sigma_2)] \end{aligned} \quad \text{and} \quad \frac{dW_Y}{dV} = \frac{1}{2} \sigma_Y \cdot \epsilon_Y = \frac{1}{2} \sigma_Y \cdot \frac{\sigma_Y}{E} \quad (7.22)$$

$$\sigma_1^2 + \sigma_2^2 + \sigma_3^2 - 2\nu(\sigma_1 \cdot \sigma_2 + \sigma_2 \cdot \sigma_3 + \sigma_3 \cdot \sigma_1) < \sigma_Y^2 \quad (7.23)$$

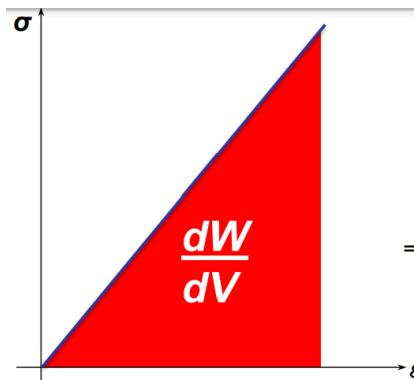


Figure 7.21:

Representing the points of safety in a plane  $\sigma_1, \sigma_2, \sigma_3 = 0$ , an ellipse is described. Representing the points of safety in a space  $\sigma_1, \sigma_2, \sigma_3$ , an axial-symmetric ellipsoid with an axis along the trisector to the planes is described. Applicability:

**The maximum strain energy theory can be used for the study of ductile materials. It is usually very conservative, especially close the hydrostatic states of stress.**

It was the first principle based on energetic considerations.

### 7.5.2 Distortion-energy theory

Any three-dimensional state of deformation can be modelled as the result of two components.

- a **hydrostatic component**, producing only a volume change
- a **distortional component**, producing only angular distortions, without volume changes

one  $\sigma_1, \sigma_2$

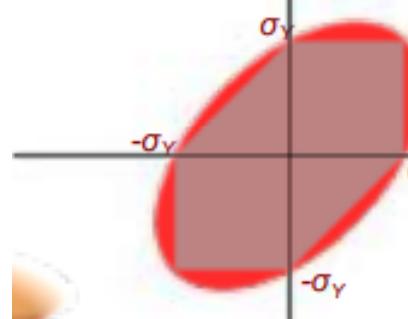


Figure 7.24:

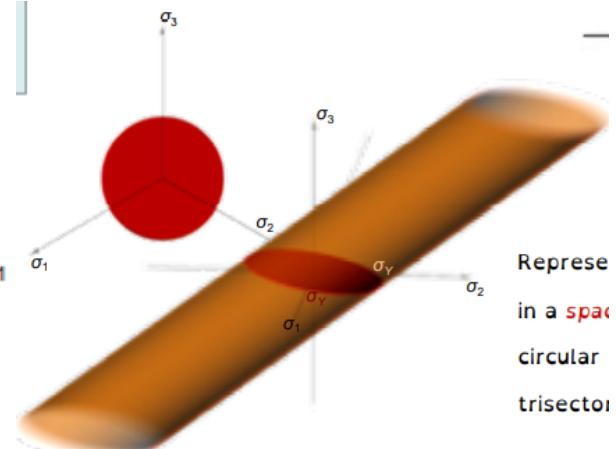


Figure 7.25:

The criterion proposed by von Mises assumes that not all elastic-energy contributes to yielding, but only the distortional component.

**Yielding occurs whenever the distortion strain energy density equals the distortion strain energy density that produces yielding in a tension-test specimen of the same material.**

$$\frac{dW_{DY}}{dV} < \frac{dW_{DY}}{dV} \frac{dW}{dV} = \frac{1}{2E} [\sigma_1^2 + \sigma_2^2 + \sigma_3^2 - 2\nu(\sigma_1 \cdot \sigma_2 + \sigma_2 \cdot \sigma_3 + \sigma_1 \cdot \sigma_3)] \quad (7.24)$$

Hydrostatic stress component is:

$$\sigma_{oct} = \frac{\sigma_1 + \sigma_2 + \sigma_3}{3} \quad (7.25)$$

Then, strain energy associated with change of volume is:

$$\frac{dW_V}{dV} = \frac{1}{2} (\sigma_{oct} \cdot \epsilon_1 + \sigma_{oct} \cdot \epsilon_2 + \sigma_{oct} \cdot \epsilon_3) = \frac{\sigma_{oct}}{2} (\epsilon_1 + \epsilon_2 + \epsilon_3) \quad (7.26)$$

$$= \frac{1-2\nu}{6E} [\sigma_1^2 + \sigma_2^2 + \sigma_3^2 + 2(\sigma_1 \cdot \sigma_2 + \sigma_2 \cdot \sigma_3 + \sigma_1 \cdot \sigma_3)] \quad (7.27)$$

The strain energy associated with distortion (change of shape) is:

$$\frac{dW}{dV} - \frac{dW_V}{dV} = \frac{1+\nu}{6E} [(\sigma_1 - \sigma_2)^2 + (\sigma_2 - \sigma_3)^2 + (\sigma_3 - \sigma_1)^2] \quad (7.28)$$

$$\frac{dW_{DY}}{dV} = \frac{1+\nu}{6E} 2\sigma_Y^2 \rightarrow \sqrt{\frac{(\sigma_1 - \sigma_2)^2 + (\sigma_2 - \sigma_3)^2 + (\sigma_3 - \sigma_1)^2}{2}} < \sigma_Y \quad (7.29)$$

Representing the points of safety in a plane  $\sigma_1, \sigma_2, \sigma_3 = 0$ , an ellipse is described. Representing the points of safety in a space  $\sigma_1, \sigma_2, \sigma_3$ , a cylinder of circular section, with axis along the trisection to the planes is defined. In the Mohr's representation, the result is similar to the one obtained with Tresca representation, but in the region close to the  $\tau$ -axis the advantage due to interaction between the principal stress becomes significant.

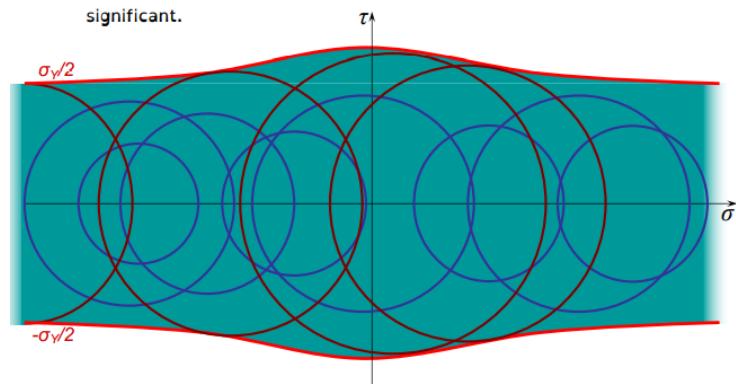


Figure 7.26:

Applicability:

The distortion-energy theory is the one that better describes the experimental behaviour of ductile materials. It does not limit hydrostatic compressive loads (in agreement with experimental evidence). Contrary to Tresca theory, it does consider the benefit given by the intermediate principal stress.

The main limitation of the distortion-energy theory is that it does not predict the failure under hydrostatic tension.

### 7.5.3 Comparison with experiments

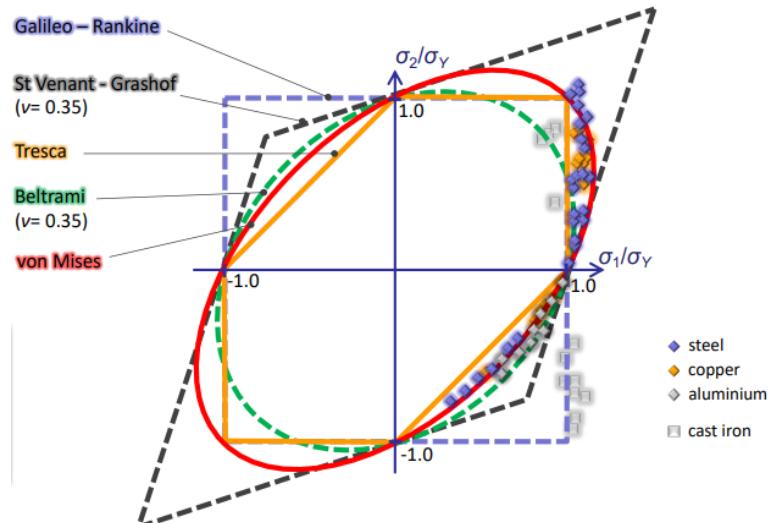


Figure 7.27:

## 7.6 Experimental criteria

### 7.6.1 Mohr's criterion

The failure criterion proposed by Mohr differs from previous criteria because it is purely experimental.

**The Mohr's circles from a number of tests, under different stress conditions, can be enveloped by a failure curve. Failure occurs whenever the Mohr's circle describing the state of stress in the material becomes tangent to the failure envelope.**

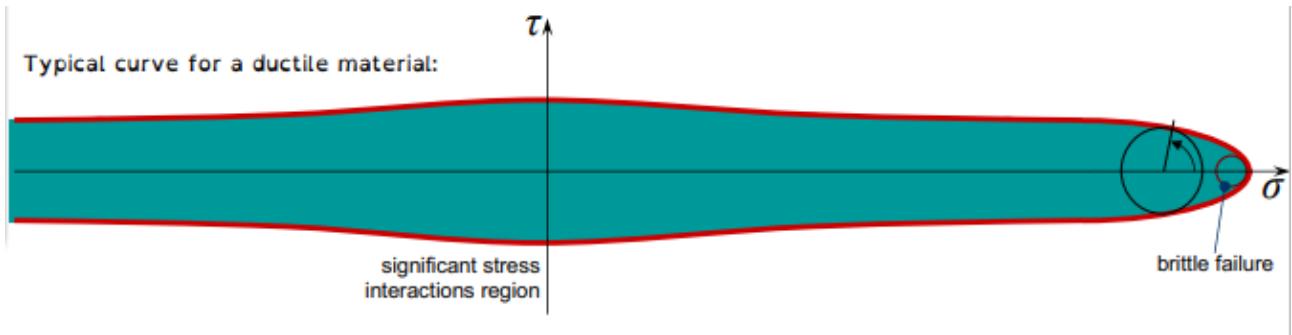


Figure 7.28:

In the case of brittle materials, the failure envelope has a shape similar to the one in Fig.7.29.

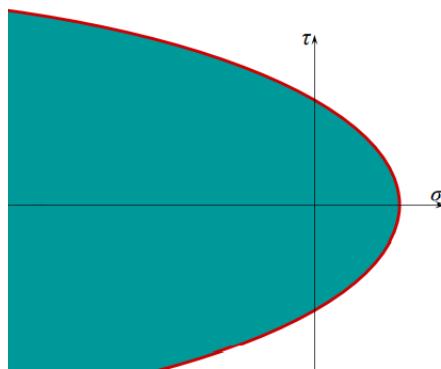


Figure 7.29:

### 7.6.2 Coulomb-Mohr's criterion

For this case, *Coulomb-Mohr* criterion uses a simplified envelope, obtained by simply performing one tensile test and one compressive test. The two Mohr's circles relative to the tests are joined with straight tangent lines.

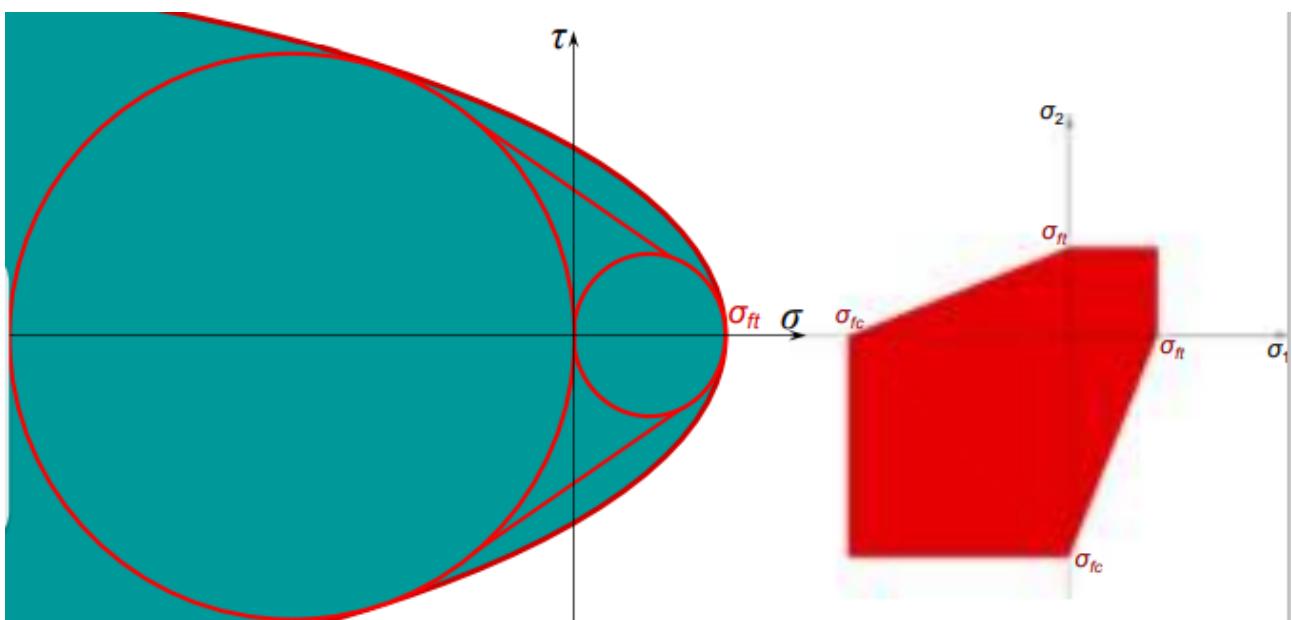


Figure 7.30:

Applicability:

**The Coulomb-Mohr criterion is reliable for the study of brittle materials, and gives conservative results.**

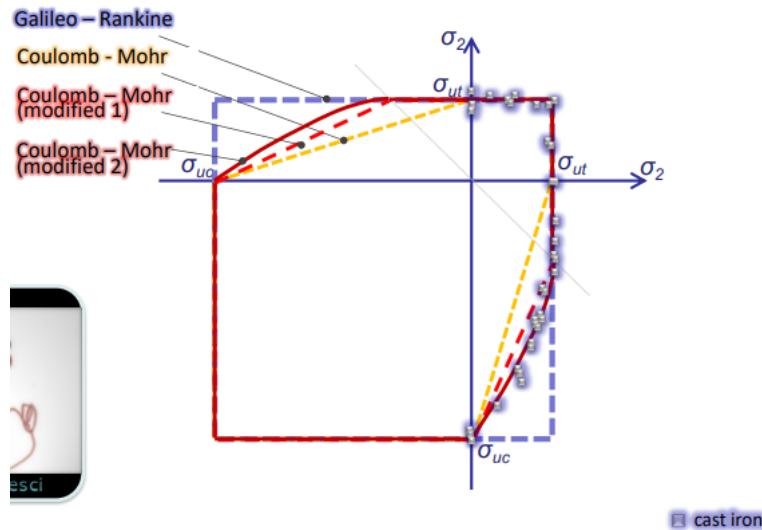


Figure 7.31:

## 7.7 Conclusion

For brittle materials, the maximum principal stress or Coulomb-Mohr criteria are most suitable. For bi-axial stress conditions, the Coulomb-Mohr modified criteria are preferable, provided that reliable test data are available for tension, compression and torsion. For ductile materials, the von Mises "distortion-energy" theory is the most reliable. The Tresca maximum shear stress theory is more conservative (i.e. the safest) and has a simple formula.

# Chapter 8

## Axisymmetric stresses and strains

### 8.1 Thin-walled cylinders

**Thin walled cylinders** have a wall thickness  $t$  much smaller than the cylinder radius  $R$  (at least one twentieth).

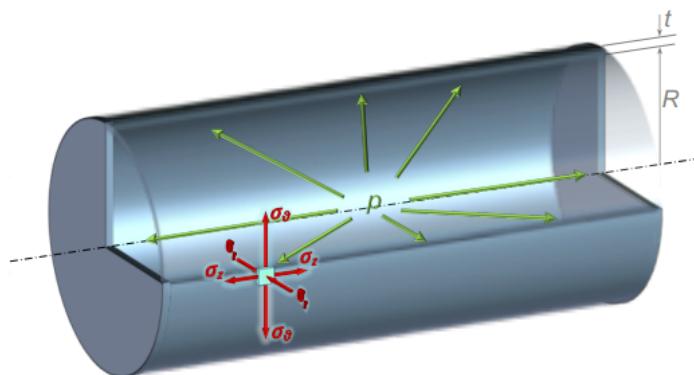


Figure 8.1:

The **hoop stresses**  $\sigma_\theta$  can be considered **constant** across the wall thickness  $t$ . The **radial stresses**  $\sigma_t$  is **negligible** in comparison to the hoop stresses  $\sigma_\theta$ . Under these conditions, the state of stress at each point of the cylinder can be estimated to good accuracy by simple equilibrium considerations.

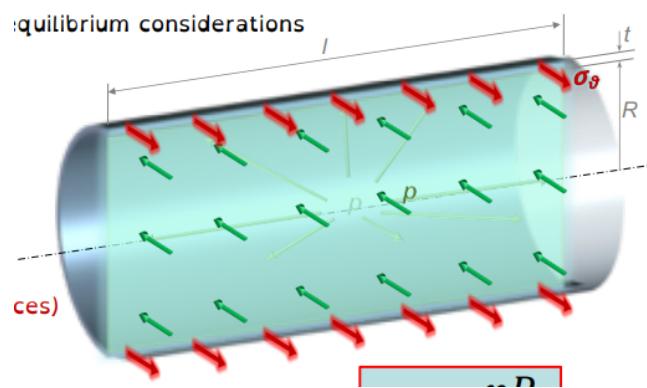


Figure 8.2: Hoop stress - equilibrium of transversal forces.

$$p \cdot l \cdot 2R = 2(\sigma_\theta tl) \sigma_\theta = \frac{pR}{t} \quad (8.1)$$

Under these conditions, the state of stress at each point of the cylinder can be estimated to good accuracy by simple equilibrium considerations.

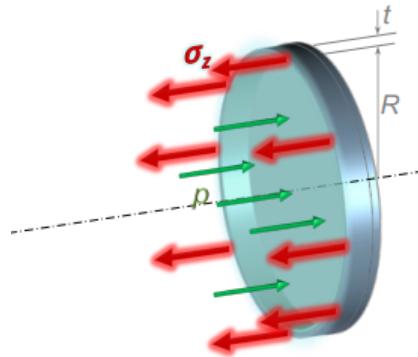


Figure 8.3: Longitudinal stress - equilibrium of axial forces.

$$p \cdot \pi R^2 = \sigma_z \cdot 2\pi R \cdot t \quad (8.2)$$

$$\sigma_z = \frac{pR}{2t} \quad (8.3)$$

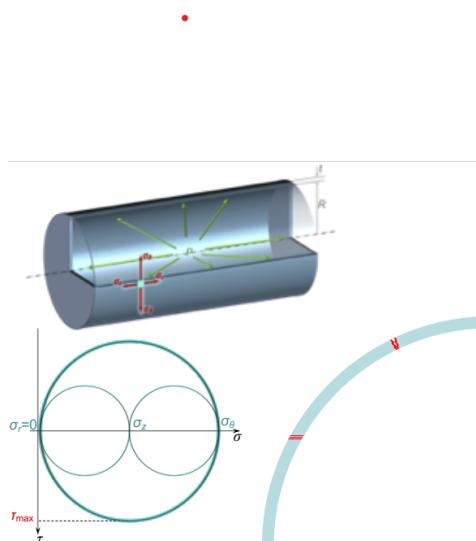


Figure 8.4:

$$\sigma_\theta = \frac{pR}{t} \quad (8.4)$$

$$\sigma_z = \frac{pR}{2t} = \frac{\sigma_\theta}{2} \quad (8.5)$$

## 8.2 Thick-walled cylinders - radial and hoop stresses

### 8.2.1 Failure of thick wall cylinders



Figure 8.5:

When thickness increases with respect to radius, the radial variation of hoop stress becomes considerable and the radial stress is no longer available.

### 8.2.2 Radial and hoop stresses & strains

For the determination of radial and circumferential stresses and strains, equilibrium equations on their own are not sufficient.

### 8.2.3 Solid mechanics equations

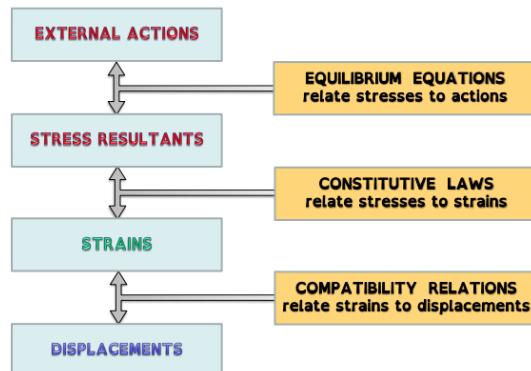


Figure 8.6:

### 8.2.4 Assumptions

For long cylinder , far from the ends, we can assume that the deformation of the cylinder is symmetrical respect to the axis:

- Cross-sections remain plane when subjected to pressure: longitudinal strain  $\epsilon_z$  is independent of radius. i.e.  $\epsilon_z = \text{constant}$
- Displacements in each cross-section are purely radial
- Displacement is constant with circumferential co-ordinate and varies only with radial co-ordinate i.e.  $u = f(r)$
- $\sigma_r$ ,  $\sigma_\theta$  and  $\sigma_z$  are principal stress

- Cross-sections remain plane when subjected to pressure: longitudinal strain  $\epsilon_z$  is independent of radius.  
i.e.  $\epsilon_z = \text{constant}$

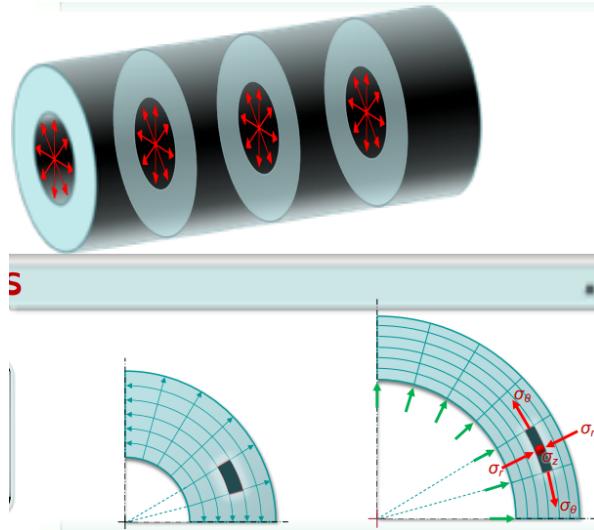


Figure 8.7:

### 8.2.5 Equilibrium equations

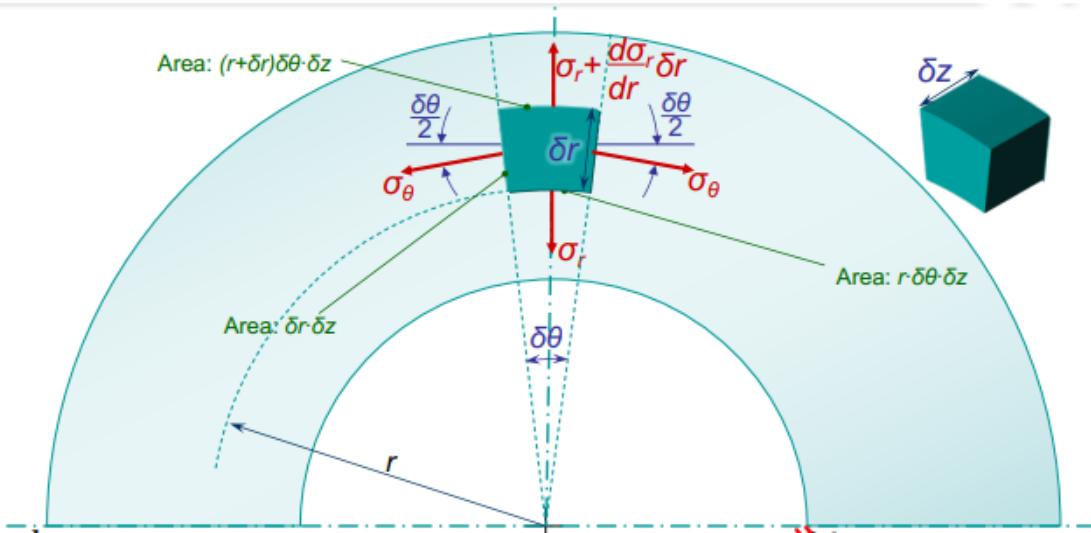


Figure 8.8:

The equilibrium of radial forces is given by the following equation:

$$-\sigma_r (r \cdot \delta\theta \cdot \delta z) + \left( \sigma_r + \frac{d\sigma_r}{dr} \delta r \right) [(r + \delta r) \cdot \delta\theta \cdot \delta z] - 2\sigma_\theta (\delta r \cdot \delta z) \cdot \sin \frac{\delta\theta}{2} = 0 \quad (8.6)$$

$$\rightarrow -\sigma_r r + \sigma_r r + \sigma_r \delta r + r \frac{d\sigma_r}{dr} \delta r + \frac{d\sigma_r}{dr} \delta r^2 - \sigma_\theta \delta r = 0 \quad (8.7)$$

$$\rightarrow \sigma_r + r \frac{d\sigma_r}{dr} - \sigma_\theta = 0 \quad (8.8)$$

### 8.2.6 Compatibility relations

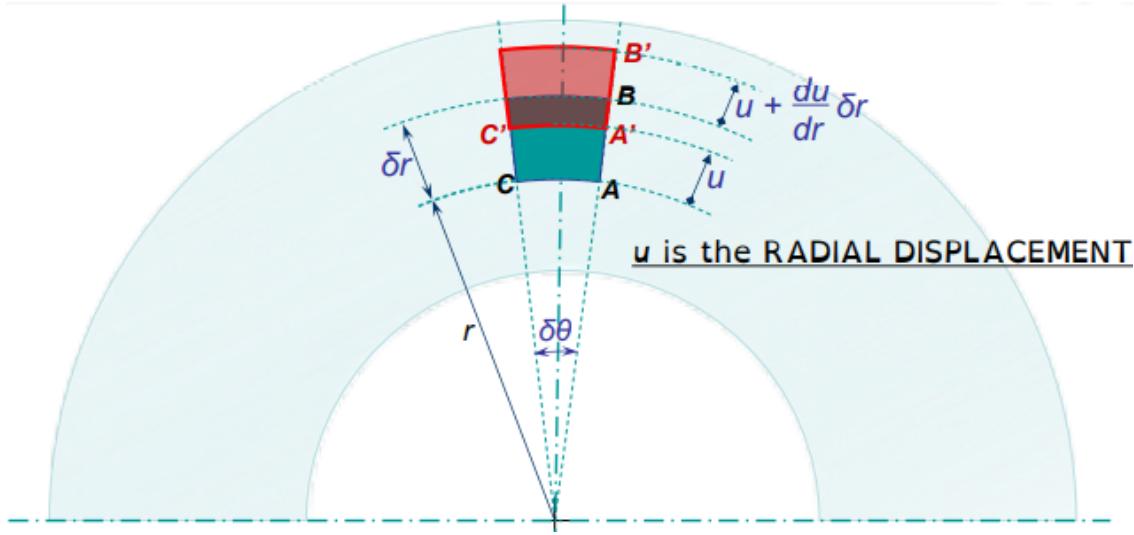


Figure 8.9:

The radial strain is given by the following equation:

$$\epsilon_r = \frac{\overline{A'B'} - \overline{AB}}{\overline{AB}} \quad (8.9)$$

with:

$$\overline{AB} = \delta r \quad (8.10)$$

and

$$\overline{A'B'} = \overline{AB} + \overline{BB'} - \overline{AA'} = \delta r + \left( \alpha + \frac{du}{dr} \delta r \right) - \alpha = \delta r + \frac{du}{dr} \delta r \quad (8.11)$$

Leading to:

$$\epsilon_r = \frac{\left( \alpha + \frac{du}{dr} \delta r \right) - \alpha}{\delta r} \quad (8.12)$$

$$\epsilon_\theta = \frac{u}{r} \quad (8.13)$$

### 8.2.7 Constitutive laws

Uniaxial:

$$\begin{aligned} \epsilon_1 &= \frac{\sigma_1}{E} & \sigma_1 &= E \epsilon_1 \\ \epsilon_2 &= -v \epsilon_1 & \sigma_2 &= 0 \\ \epsilon_3 &= -v \epsilon_1 & \sigma_3 &= 0 \end{aligned} \quad (8.14)$$

Biaxial:

$$\begin{aligned} \epsilon_1 &= \frac{1}{E} (\sigma_1 - v \sigma_2) & \sigma_1 &= \frac{E}{1-v^2} (\epsilon_1 + v \epsilon_2) \\ \epsilon_2 &= \frac{1}{E} (\sigma_2 - v \sigma_1) & \sigma_2 &= \frac{E}{1-v^2} (\epsilon_2 + v \epsilon_1) \\ \epsilon_3 &= -\frac{v}{E} (\sigma_1 + \sigma_2) & \sigma_3 &= 0 \end{aligned} \quad (8.15)$$

Triaxial:

$$\begin{aligned} \epsilon_1 &= \frac{1}{E} [\sigma_1 - v(\sigma_2 + \sigma_3)] & \sigma_1 &= \frac{E}{(1+v)(1-2v)} [(1-v)\epsilon_1 + v(\epsilon_2 + \epsilon_3)] \\ \epsilon_2 &= \frac{1}{E} [\sigma_2 - v(\sigma_3 + \sigma_1)] & \sigma_2 &= \frac{E}{(1+v)(1-2v)} [(1-v)\epsilon_2 + v(\epsilon_1 + \epsilon_3)] \\ \epsilon_3 &= \frac{1}{E} [\sigma_3 - v(\sigma_1 + \sigma_2)] & \sigma_3 &= \frac{E}{(1+v)(1-2v)} [(1-v)\epsilon_3 + v(\epsilon_1 + \epsilon_2)] \end{aligned} \quad (8.16)$$

The analytical solution of the stress distribution is possible, in simple form, only in the case of biaxial state of stress.

## Cylinders with internal pressure

A pressure difference can be maintained inside the cylinder in one of the following methods:

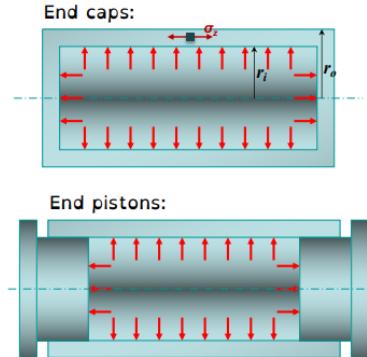


Figure 8.10:

Only in the case of end pistons, can we find an analytical solution for the stress distributions in thick cylinders: no axial force acts on the cylinder and the state of stress is plane.

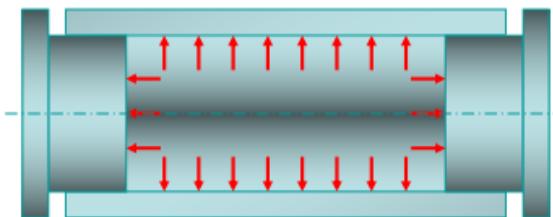


Figure 8.11:

### Plane stress state

Biaxial:

$$\begin{aligned}\varepsilon_1 &= \frac{1}{E} (\sigma_1 - v\sigma_2) & \sigma_1 &= \frac{E}{1-v^2} (\varepsilon_1 + v\varepsilon_2) \\ \varepsilon_2 &= \frac{1}{E} (\sigma_2 - v\sigma_1) & \sigma_2 &= \frac{E}{1-v^2} (\varepsilon_2 + v\varepsilon_1) \\ \varepsilon_3 &= -\frac{v}{E} (\sigma_1 + \sigma_2) & \sigma_3 &= 0\end{aligned}\tag{8.17}$$

### Cylindrical

In the biaxial case, in a cylindrical coordinate system  $r, \theta, z$ .

$$\begin{aligned}\varepsilon_r &= \frac{1}{E} (\sigma_r - v\sigma_\theta) & \sigma_r &= \frac{E}{1-v^2} (\varepsilon_r + v\varepsilon_\theta) \\ \varepsilon_\theta &= \frac{1}{E} (\sigma_\theta - v\sigma_r) & \sigma_\theta &= \frac{E}{1-v^2} (\varepsilon_\theta + v\varepsilon_r) \\ \varepsilon_z &= -\frac{v}{E} (\sigma_r + \sigma_\theta) & \sigma_z &= 0\end{aligned}\tag{8.18}$$

### 8.2.8 Solid mechanics equations

Equilibrium equations relate stresses to actions:

$$\frac{d\theta_r}{dr} + \frac{\sigma_r - \sigma_\theta}{r} = 0\tag{8.19}$$

Constitutive laws relate stresses to strains:

$$\sigma_r = \frac{E}{1-v^2} (\varepsilon_r + v\varepsilon_\theta)\tag{8.20}$$

$$\sigma_\theta = \frac{E}{1-v^2} (\varepsilon_\theta + v\varepsilon_r)\tag{8.21}$$

Compatibility relations relate strains to displacements:

$$\varepsilon_r = \frac{du}{dr} \quad (8.22)$$

$$\varepsilon_\theta = \frac{u}{r} \quad (8.23)$$

Combining the equations together, we arrive at Euler's differential equation:

$$\frac{d^2 u}{dr^2} + \frac{1}{r} \cdot \frac{du}{dr} - \frac{u}{r^2} = 0 \quad (8.24)$$

$$r^2 u'' + r u' - u = 0 \quad (8.25)$$

Euler's differential equation is characterised by the fact that its coefficients depend on the variable  $r$ . The general solution to Euler's differential equation is:

$$u = Ar + \frac{B}{r} u' = A - \frac{B}{r^2} \quad (8.26)$$

The constitutive laws hence become:

$$\sigma_r = \frac{E}{1-v^2} \left( \frac{du}{dr} + v \frac{u}{r} \right) \quad (8.27)$$

$$\sigma_\theta = \frac{E}{1-v^2} \left( \frac{u}{r} + v \frac{du}{dr} \right) \quad (8.28)$$

$$\sigma_r = \frac{E}{1-v^2} \left[ A - \frac{B}{r^2} + v \left( A + \frac{B}{r^2} \right) \right] = C - \frac{D}{r^2} \quad (8.29)$$

$$\sigma_\theta = \frac{E}{1-v^2} \left[ A + \frac{B}{r^2} + v \left( A - \frac{B}{r^2} \right) \right] = C + \frac{D}{r^2} \quad (8.30)$$

Note that:

$$\sigma_r + \sigma_\theta = 2C = \text{const} \quad (8.31)$$

### Boundary conditions

$$\sigma_r = C - \frac{D}{r^2} = \frac{p_i r_i^2 - p_0 r_0^2}{r_0^2 - r_i^2} - \frac{(p_i - p_0) r_i^2 r_0^2}{(r_0^2 - r_i^2) r^2} \quad (8.32)$$

$$\sigma_\theta = C + \frac{D}{r^2} = \frac{p_i r_i^2 - p_0 r_0^2}{r_0^2 - r_i^2} + \frac{(p_i - p_0) r_i^2 r_0^2}{(r_0^2 - r_i^2) r^2} \quad (8.33)$$

If we have an internal pressure ' $p_i$ ' and an external pressure is ' $p_0$ ':

$$\sigma_r(r_i) = -p_i = C - \frac{D}{r_i^2} \quad (8.34)$$

$$C = \frac{p_i r_i^2 - p_0 r_0^2}{r_0^2 - r_i^2} \quad (8.35)$$

$$\sigma_r(r_i) = -p_0 = C - \frac{D}{r_0^2} \quad (8.36)$$

$$D = \frac{(p_i - p_0) r_i^2 r_0^2}{(r_0^2 - r_i^2) r^2} \quad (8.37)$$

## Radial and hoop stresses

Lame's equations

$$\sigma_r = \frac{p_i r_i^2 - p_0 r_0^2}{r_0^2 - r_i^2} - \frac{(p_i - p_0) r_i^2 r_0^2}{(r_0^2 - r_i^2) r^2} \quad (8.38)$$

$$\sigma_\theta = \frac{p_i r_i^2 - p_0 r_0^2}{r_0^2 - r_i^2} + \frac{(p_i - p_0) r_i^2 r_0^2}{(r_0^2 - r_i^2) r^2} \quad (8.39)$$

### 8.2.9 Stress variation

General case

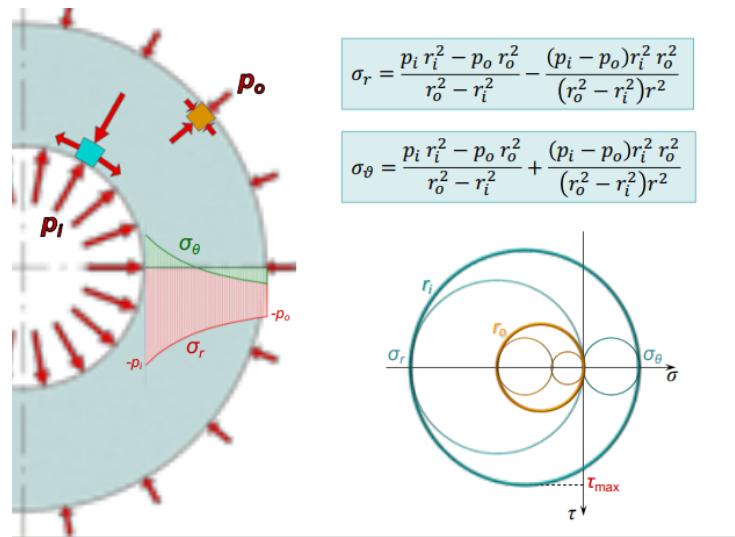


Figure 8.12:

$p_i$  only

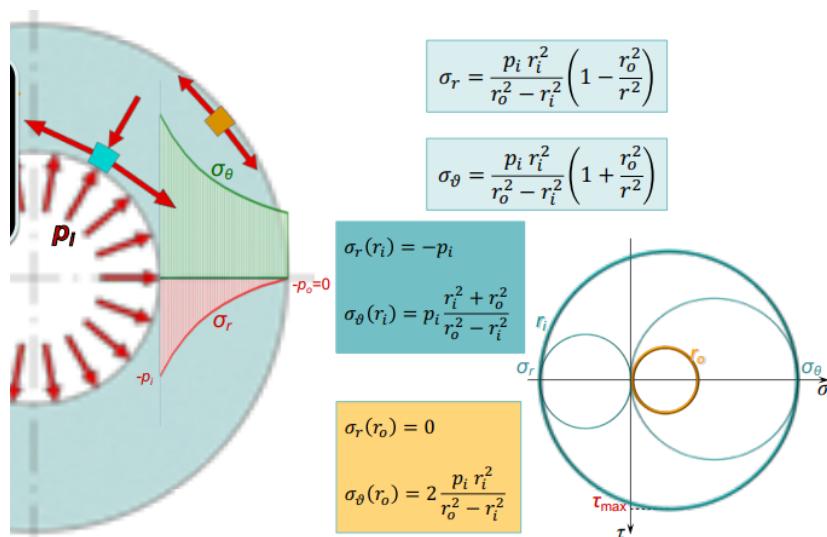


Figure 8.13:

$p_0$  only

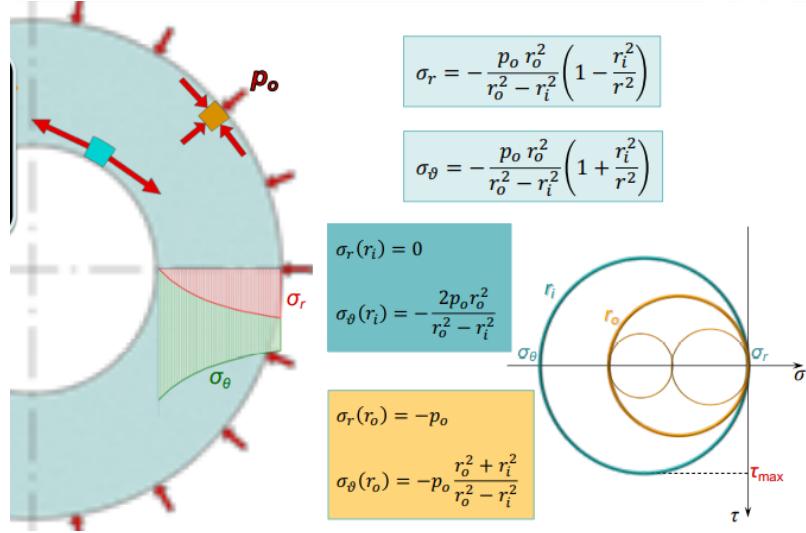


Figure 8.14:

### 8.3 Thick-walled cylinders - longitudinal stress

How do we deal with the case of end caps pistons? (Triaxial stress state). We know:

$$\sigma_r = C - \frac{D}{r^2} \quad (8.40)$$

$$\sigma_\theta = C + \frac{D}{r^2} \quad (8.41)$$

$$\sigma_r + \sigma_\theta = 2C = \text{const} \quad (8.42)$$

Triaxial stress state:

$$\begin{aligned} \varepsilon_r &= \frac{1}{E} [\sigma_r - v(\sigma_\theta + \sigma_z)] & \sigma_r &= \frac{E}{(1+v)(1-2v)} [(1-v)\varepsilon_r + v(\varepsilon_\theta + \varepsilon_z)] \\ \varepsilon_\theta &= \frac{1}{E} [\sigma_\theta - v(\sigma_z + \sigma_r)] & \sigma_\theta &= \frac{E}{(1+v)(1-2v)} [(1-v)\varepsilon_\theta + v(\varepsilon_r + \varepsilon_z)] \\ \varepsilon_z &= \frac{1}{E} [\sigma_z - v(\sigma_r + \sigma_\theta)] & \sigma_z &= \frac{E}{(1+v)(1-2v)} [(1-v)\varepsilon_z + v(\varepsilon_\theta + \varepsilon_r)] \end{aligned} \quad (8.43)$$

We know that  $\varepsilon_z$ ,  $\frac{1}{E}$  and  $v(\sigma_r + \sigma_\theta)$  are constant, therefore  $\sigma_z$  is also constant. Longitudinal stresses can be estimated by simple equilibrium considerations.

$$p \cdot \pi r_i^2 = \sigma_z (\pi r_0^2 - \pi r_i^2) \quad (8.44)$$

$$\sigma_z = p \frac{r_i^2}{r_0^2 - r_i^2} \quad (8.45)$$

with  $p = p_i - p_0$ . In the case of end pistons, the longitudinal component of the stress can be superimposed.

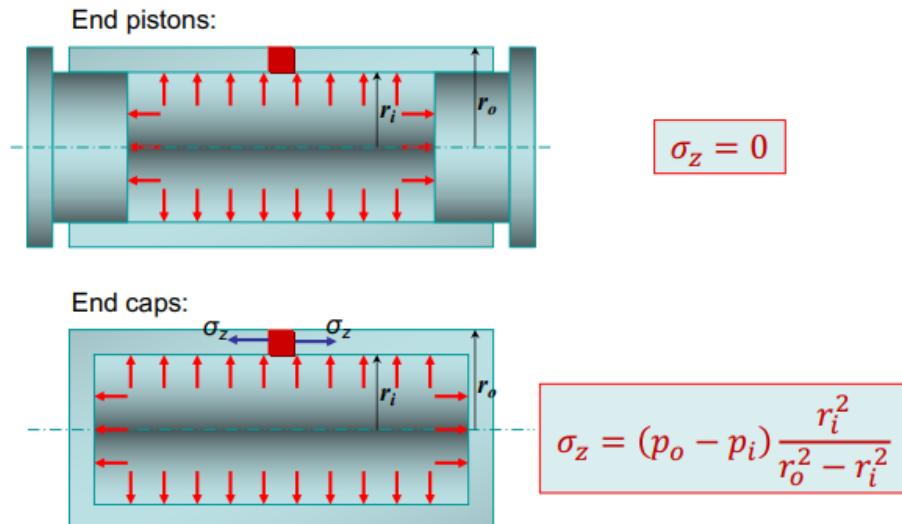


Figure 8.15:

### 8.3.1 Thick vs thin cylinders

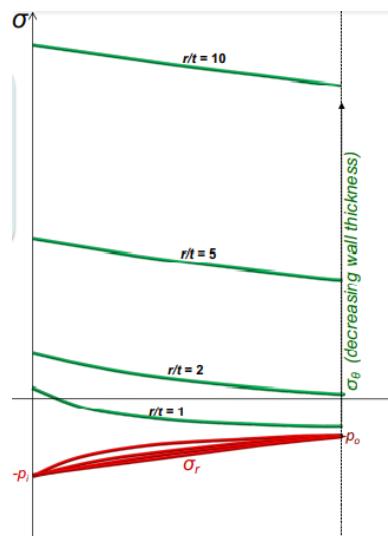


Figure 8.16:

Decreasing wall thickness with respect to radius, the hoop stress increases dramatically, but the radial stress does not change significantly. Assumptions of thin wall theories become more accurate:

- constant hoop stresses
- negligible radial stresses

## 8.4 Thick wall cylinders

Lame's equations

$$\sigma_r = \frac{p_i r_i^2 - p_o r_o^2}{r_o^2 - r_i^2} - \frac{(p_i - p_o) r_i^2 r_o^2}{(r_o^2 - r_i^2) r^2} \quad (8.46)$$

$$\sigma_\theta = \frac{p_i r_i^2 - p_o r_o^2}{r_o^2 r_i^2} + \frac{(p_i - p_o) r_i^2 r_o^2}{(r_o^2 - r_i^2) r^2} \quad (8.47)$$

We have seen that a thick cylinder subjected to internal pressure experiences very high peaks of stress at the inner surface.

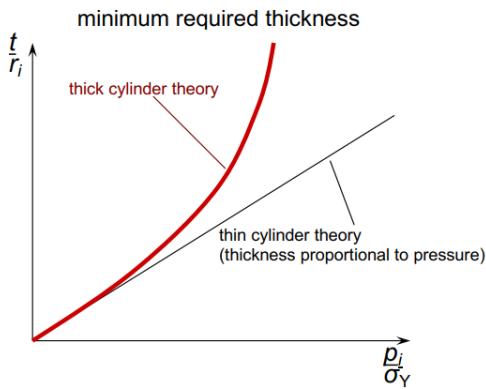


Figure 8.17: The required thickness increases non-linearly with the pressure.

The design of cylinders that have to maintain high levels of pressure requires specific strategies, aimed at reducing the hoop stress levels at the inside surface.

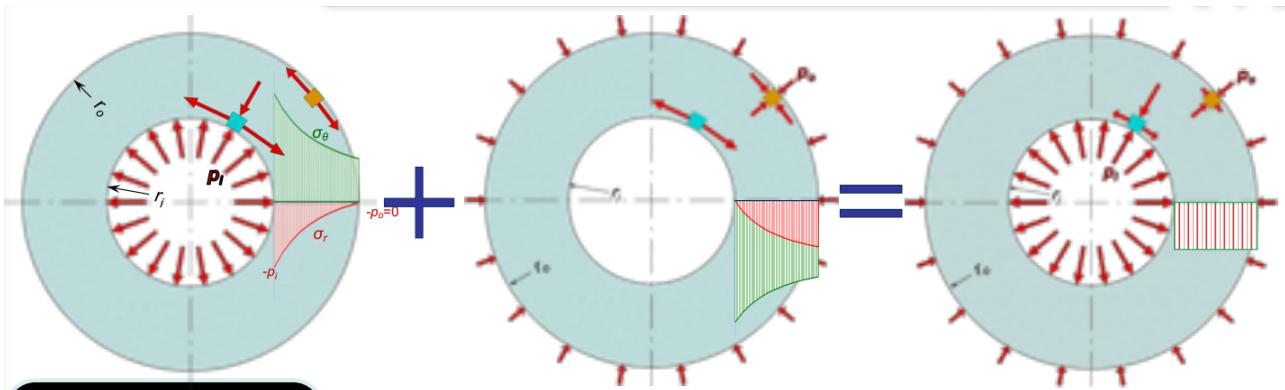


Figure 8.18:

A possible solution could be reducing the level of hoop stress by increasing the pressure at the outer surface.

## 8.5 Compound cylinders

### 8.5.1 Containing high pressures

This can be done by shrinking another tube on the outside of the original one:

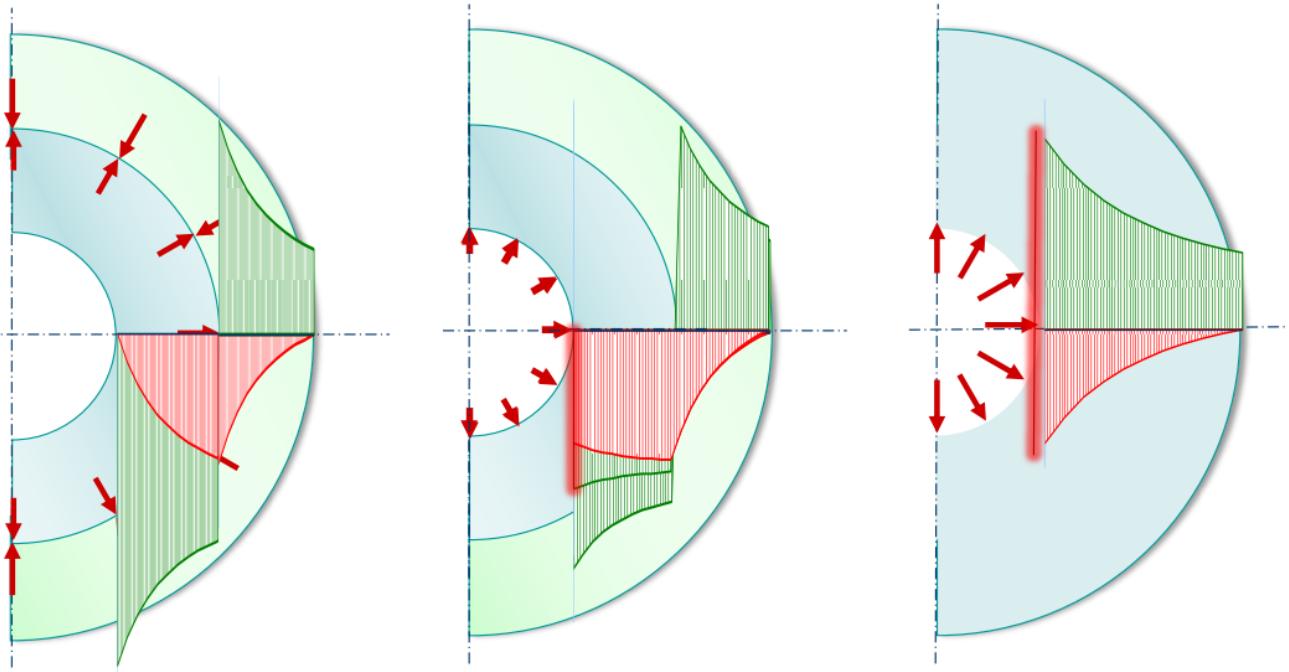


Figure 8.19:

### 8.5.2 Compound or 'built-up' cylinders

This compound (or built-up) arrangement is obtained by assembling two cylinders with initial diametrical interference  $\delta$  at room temperature. The outer cylinder is heated and the inner cylinder is cooled, in order to compensate for the interference by thermal expansion/contraction and allow assembly. Returning the components to room temperature, a set of residual radial and hoop stress is introduced, which locks the two components firmly together.

The interference between the two cylinders will produce an external pressure  $p_{int}$  acting on the internal cylinder, and an equal internal pressure  $p_{int}$  acting on the external cylinder, function of the interference  $\delta$ .

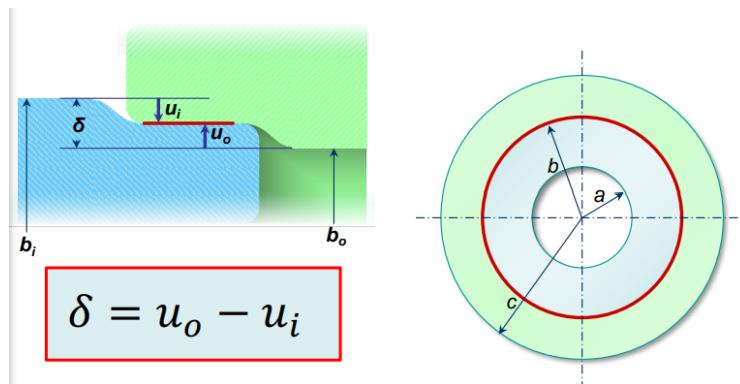


Figure 8.20:

Interface pressure can be expressed as a function of the interference, by using the solid mechanics equations.

## Other applications

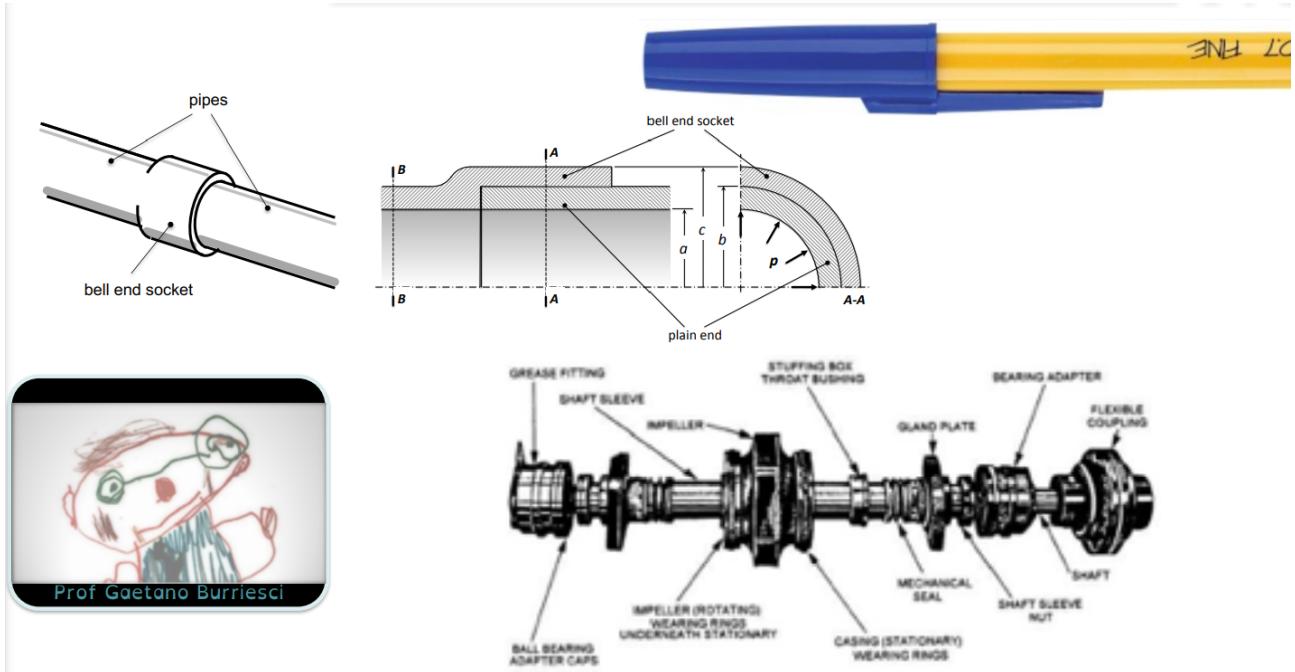


Figure 8.21:

### 8.5.3 Stress state at the interface

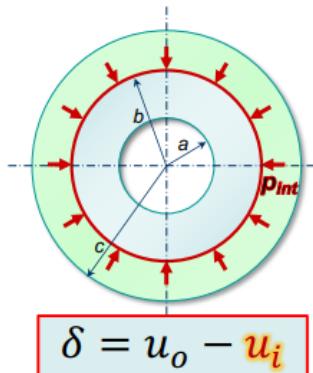


Figure 8.22:

$$u = \frac{r}{E} (\sigma_\theta - v \sigma_r) \quad (8.48)$$

$$\rightarrow u_i = \frac{b}{E_i} [\sigma_{\theta,i}(b) - v_i \sigma_{r,i}(b)] \quad (8.49)$$

Lame's equations:

$$\sigma_r = A - \frac{B}{r^2} \quad (8.50)$$

$$\sigma_\theta = A + \frac{B}{r^2} \quad (8.51)$$

Boundary conditions:

$$\sigma_{r,i}(a) = 0 = A - \frac{B}{a^2} \quad (8.52)$$

$$\sigma_{r,i}(b) = -p_{int} = A - \frac{B}{b^2} \quad (8.53)$$

$$A = -p_{int} \frac{b^2}{b^2 - a^2} \quad (8.54)$$

$$B = -p_{int} \frac{a^2 \cdot b^2}{b^2 - a^2} \quad (8.55)$$

Substituting:

$$\sigma_{\theta,i} = A + \frac{B}{r^2} = -p_{int} \frac{b^2}{B^2 - a^2} \left( 1 + \frac{a^2}{r^2} \right) \quad (8.56)$$

Therefore:

$$\sigma_{r,i}(b) = -p_{int} \quad \sigma_{\theta,i}(b) = -p_{int} \frac{b^2 + a^2}{b^2 - a^2} \quad (8.57)$$

$$u_i = -p_{int} \frac{b}{E_i} \left( \frac{b^2 + a^2}{b^2 - a^2} - v_i \right) \quad (8.58)$$

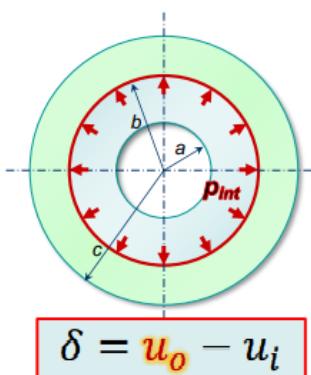


Figure 8.23:

$$u_o = \frac{b}{E_o} [\sigma_{\theta,o}(b) - v_o \sigma_{r,o}(b)] \quad (8.59)$$

Lame's equations:

$$\sigma_r = A - \frac{B}{r^2} \quad (8.60)$$

$$\sigma_\theta = A + \frac{B}{r^2} \quad (8.61)$$

Boundary conditions:

$$\sigma_{r,o}(b) = -p_{int} = A - \frac{B}{b^2} \quad (8.62)$$

$$\sigma_{r,o}(c) = 0 = A - \frac{B}{c^2} \quad (8.63)$$

$$A = -p_{int} \frac{b^2}{b^2 - c^2} \quad (8.64)$$

$$B = -p_{int} \frac{b^2 \cdot c^2}{c^2 - b^2} \quad (8.65)$$

Substituting:

$$\sigma_{\theta,o} = A + \frac{B}{r^2} = -p_{int} \frac{b^2}{c^2 - b^2} \left( 1 + \frac{c^2}{r^2} \right) \quad (8.66)$$

Therefore:

$$\sigma_{r,o}(c) = 0 \quad \sigma_{\theta,o}(b) = p_{int} \frac{c^2 + b^2}{c^2 - b^2} \quad (8.67)$$

$$u_o = p_{int} \frac{b}{E_o} \left( \frac{c^2 + b^2}{c^2 - b^2} + v_o \right) \quad (8.68)$$

#### 8.5.4 Analysis of compound cylinders

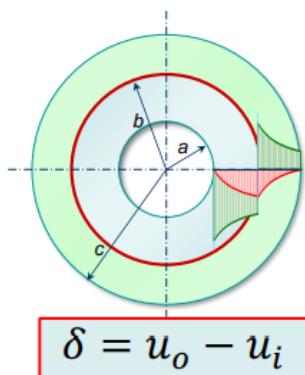


Figure 8.24:

$$u_i = -p_{int} \frac{b}{E_i} \left( \frac{b^2 + a^2}{b^2 - a^2} - v_i \right) \quad (8.69)$$

$$u_o = p_{int} \frac{b}{E_o} \left( \frac{c^2 + b^2}{c^2 - b^2} + v_o \right) \quad (8.70)$$

$$\delta = p_{int} b \left[ \frac{1}{E_o} \left( \frac{c^2 + b^2}{c^2 - b^2} + v_o \right) + \frac{1}{E_i} \left( \frac{b^2 + a^2}{b^2 - a^2} - v_i \right) \right] \quad (8.71)$$

For a single material:  $E_i = E_o = E$ , and  $v_i = v_o = v$ :

$$\delta = p_{int} \frac{b}{E} \left[ \frac{2b^2 (c^2 - a^2)}{(c^2 - b^2)(b^2 - a^2)} \right] \quad (8.72)$$

$$p_{int} = E \delta \left[ \frac{(c^2 + b^2)(b^2 - c^2)}{2b^3(c^2 - a^2)} \right] \quad (8.73)$$

One  $p_{int}$  is known, it can be used in the Lame's expressions, to determine the stress state in the two cylinders.

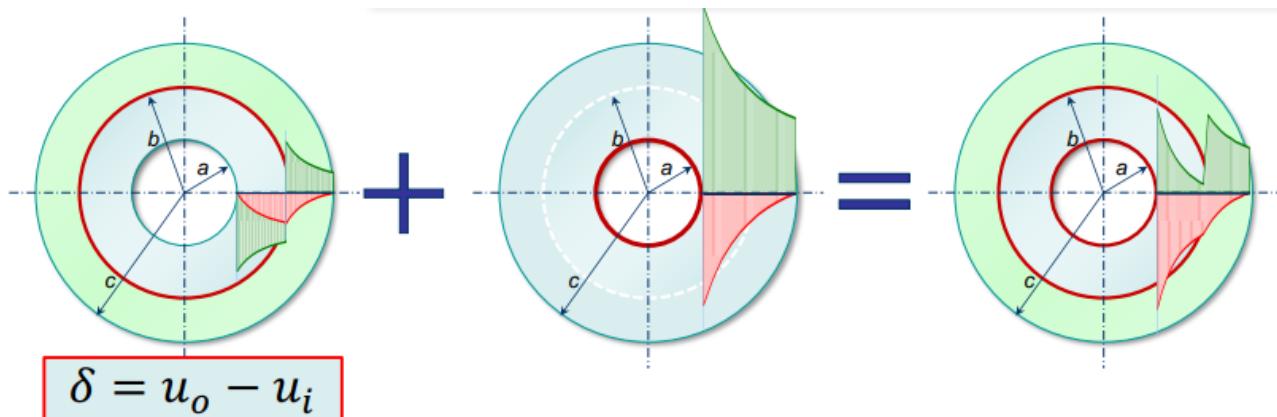


Figure 8.25:

In the case of internal pressure, under linear elastic conditions, the stress distribution can be obtained by selecting suitable BCs, or by superposition with the distribution of a single cylinder of equivalent wall thickness subjected to the internal pressure.

## 8.6 Rotating discs

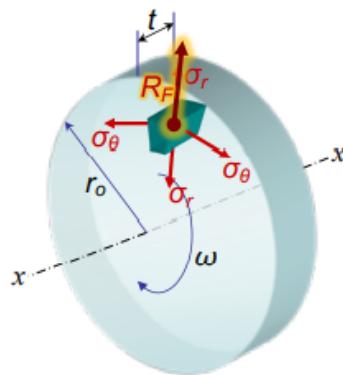


Figure 8.26:

Consider a circular disc of outer radius  $r_o$ , rotating about an axis of symmetry with constant angular velocity  $\omega$ . Centripetal acceleration can produce high stresses that may compromise the disc integrity. If the disc is thin, longitudinal stresses can be neglected and the state of stress is plane. Under these conditions the same approach used for thick cylinders can be used.

### 8.6.1 Solid mechanics equations

#### Equilibrium equations

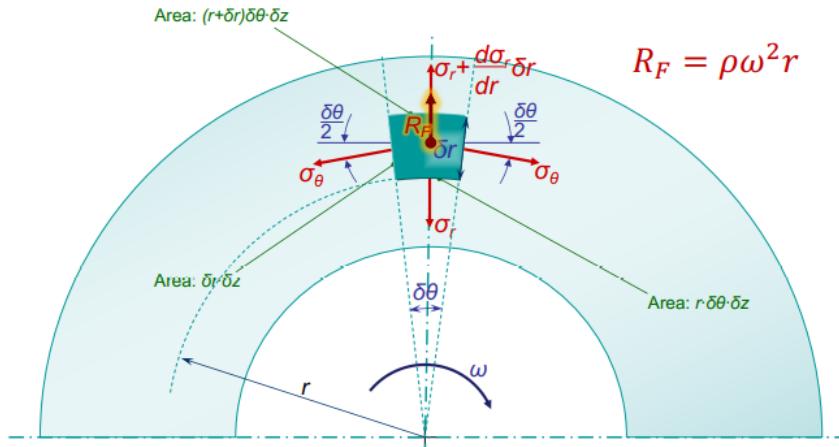


Figure 8.27:

For thick cylinders:

$$\frac{d\theta_r}{dr} + \frac{\sigma_r - \sigma_\theta}{r} = 0 \quad (8.74)$$

Radial equilibrium:

$$\frac{d\theta_r}{dr} + \frac{\sigma_r - \sigma_\theta}{r} + \rho \omega^2 r = 0 \quad (8.75)$$

#### Compatibility relations

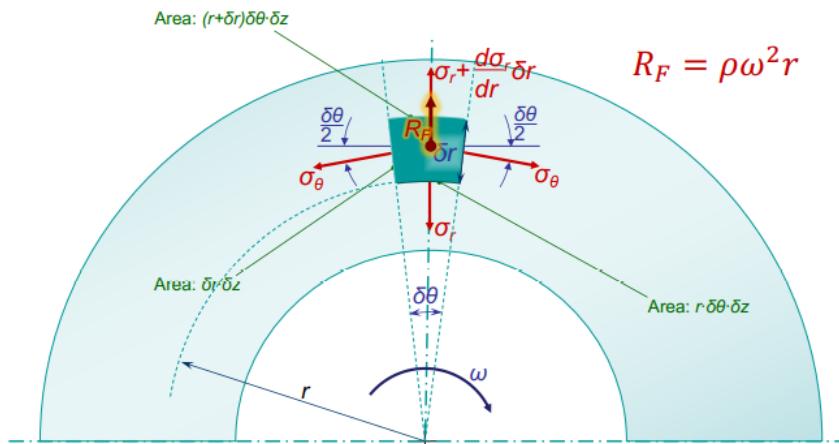


Figure 8.28:

Same as in thick cylinders:

$$\varepsilon_r = \frac{du}{dr} \quad (8.76)$$

$$\varepsilon_\theta = \frac{u}{r} \quad (8.77)$$

### Constitutive laws

Plane stress state:

$$\sigma_r = \frac{E}{1-v^2} (\varepsilon_r + v\varepsilon_\theta) \quad (8.78)$$

$$\sigma_\theta = \frac{E}{1-v^2} (\varepsilon_\theta + v\varepsilon_r) \quad (8.79)$$

### 8.6.2 Differential equation

Combining all of our solid mechanics equations we can form an Euler's differential equation.

$$u''r^2 + u'r - u = -\frac{1-v^2}{E}\rho\omega^2r^3 \quad (8.80)$$

Complementary function (same as for thick cylinders):

$$u_{CF} = Ar + \frac{B}{r} \quad (8.81)$$

Particular integral, general form:

$$u = Ar^3 + Br^2 + Cr + D \quad (8.82)$$

$$u' = 3Ar^2 + 2Br + C \quad (8.83)$$

$$u'' = 6Ar + 2B \quad (8.84)$$

Substituting:

$$6Ar^3 + 2Br^2 + 3Ar^3 + 2Br^2 + Cr - Ar^3 - Br^2 - Cr - D = -\frac{1-v^2}{E}\rho\omega^2r^3 \quad (8.85)$$

$$8Ar^3 + 4Br^2 - D = -\frac{1-v^2}{E}\rho\omega^2r^3 \quad (8.86)$$

Comparing these terms:

$$B = C = D = 0; A = -\frac{1-v^2}{8E}\rho\omega^2 \quad (8.87)$$

$$u_{PI} = -\frac{1-v^2}{8E}\rho\omega^2r^3 \quad (8.88)$$

General solution:

$$u = Ar + \frac{B}{r} - \frac{1-v^2}{E}\frac{\rho\omega^2r^3}{8} \quad (8.89)$$

### 8.6.3 Axisymmetric stresses

Differentiating:

$$u = Ar + \frac{B}{r} - \frac{1-v^2}{E}\frac{\rho\omega^2r^3}{8} \quad (8.90)$$

$$u' = A - \frac{B}{r^2} - \frac{1-v^2}{E}\frac{\rho\omega^2r^3}{8} \quad (8.91)$$

Substituting into constitutive laws:

$$\sigma_r = C - \frac{D}{r^2} - \frac{3+v}{8}\rho\omega^2r^2 \quad (8.92)$$

$$\sigma_\theta = C + \frac{D}{r^2} - \frac{1+3v}{8}\rho\omega^2r^2 \quad (8.93)$$

### 8.6.4 Continuous discs

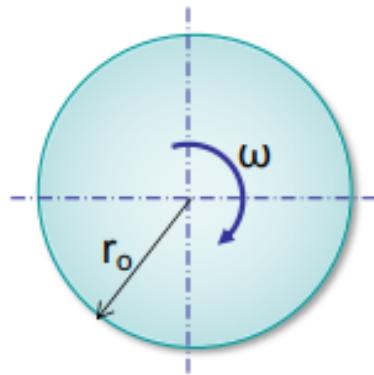


Figure 8.29:

$$\sigma_r = C - \frac{D}{r^2} - \frac{3+v}{8}\rho\omega^2r^2 \quad (8.94)$$

$$\sigma_\theta = C + \frac{D}{r^2} - \frac{1+3v}{8}\rho\omega^2r^2 \quad (8.95)$$

Boundary conditions:

$$\sigma_r(0) \neq \infty \text{ & } \sigma_\theta(0) \neq \infty \rightarrow D = 0 \rightarrow \sigma_r = C - \frac{3+v}{8}\rho\omega^2r^2 \quad (8.96)$$

$$\sigma_r(r_0) = 0 \rightarrow C - \frac{3+v}{8}\rho\omega^2r_o^2 = 0 \rightarrow C = \frac{3+v}{8}\rho\omega^2r_o^2 \quad (8.97)$$

Substituting:

$$\sigma_r = \frac{3+v}{8}\rho\omega^2(r_o^2 - r^2) \quad (8.98)$$

$$\sigma_\theta = \frac{3+v}{8}\rho\omega^2r_o^2 - \frac{1+3v}{8}\rho\omega^2r^2 \quad (8.99)$$

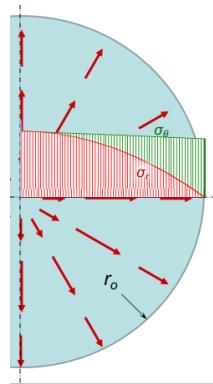


Figure 8.30:

Max stress at the centre of the disc ( $r = 0$ ):

$$\sigma_{MAX} = \sigma_r(0) = \sigma_\theta(0) = \frac{3+v}{8}\rho\omega^2r_o^2 \quad (8.100)$$

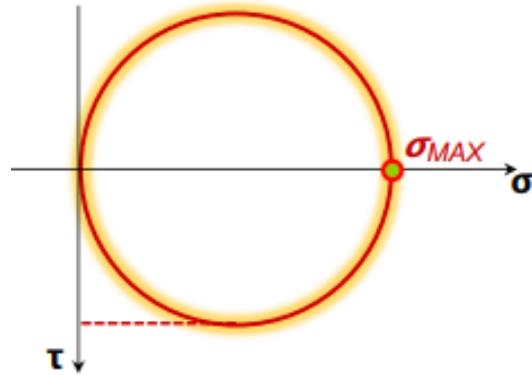


Figure 8.31:

### 8.6.5 Discs with central hole

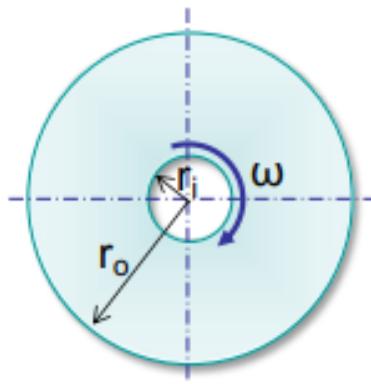


Figure 8.32:

$$\sigma_r = C - \frac{D}{r^2} - \frac{3+v}{8} \rho \omega^2 r^2 \quad (8.101)$$

$$\sigma_\theta = C + \frac{D}{r^2} - \frac{1+3v}{8} \rho \omega^2 r^2 \quad (8.102)$$

Boundary conditions:

$$\sigma_r(r_i) = 0 \rightarrow C - \frac{D}{r_i^2} - \frac{3+v}{8} \rho \omega^2 r_i^2 = 0 \rightarrow C = \frac{3+v}{8} \rho \omega^2 (r_i^2 + r_o^2) \quad (8.103)$$

$$\sigma_r(r_o) = 0 \rightarrow C - \frac{D}{r_o^2} - \frac{3+v}{8} \rho \omega^2 r_o^2 = 0 \rightarrow D = \frac{3+v}{8} \rho \omega^2 r_i^2 r_o^2 \quad (8.104)$$

Substituting:

$$\sigma_r = \frac{3+v}{8} \rho \omega^2 \left( r_i^2 + r_o^2 - \frac{r_i^2 r_o^2}{r^2} - r^2 \right) \quad (8.105)$$

$$\sigma_\theta = \frac{3+v}{8} \rho \omega^2 \left( r_i^2 + r_o^2 + \frac{r_i^2 r_o^2}{r^2} - \frac{1+3v}{3+v} r^2 \right) \quad (8.106)$$

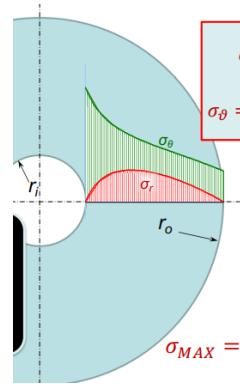


Figure 8.33:

Max stress at inner radius ( $r = r_i$ ):

$$\sigma_{MAX} = \sigma_\theta(r_i) = \frac{\rho\omega^2}{4} \left[ (3 + v) r_o^2 + (1 - v) r_i^2 \right] \quad (8.107)$$

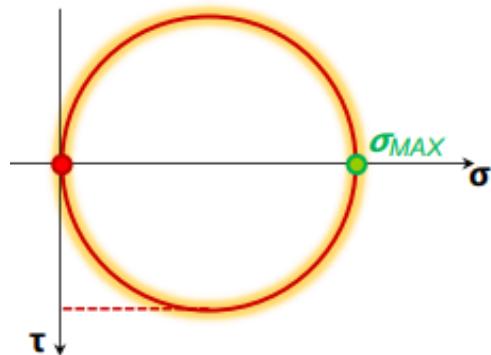


Figure 8.34: