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GOCE High Level Processing Facility

GOCE Level 2 Product Data Handbook

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Prepared by: The European GOCE Gravity Consortium EGG-C





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Document Information Sheet

Document Name
GOCE Level2 Product Data Handbook

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AR 3	YES		
AR3.1	YES		





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Document Change Record

ISSUE	CLASS	DATE	REASON FOR	CHANGED PAGES /
/REV.	(R=Review		CHANGE	PARAGRAPHS
	/A=Approval)			
1.0	R	23/06/2004	Initial Issue for CDR	All
2.0	A	11/11/2005	Update for AR1 taking CDR RID's into account	Chapter 4: Editorial update. Chapter 4.4.1: LNOF description included. Chapter 5.2: SST_PSO_2 and EGG_NOM_2 temporal coverage changed to 1 day. RID-029: Chapter 4.1 updated. Chapters 5.3.1 to 5.3.3 updated.
2.1	A	18/01/2006	Update after AR1 and internal HPF document review.	AR-1 RID#18 – Items # in RID: 1. Issue numbers of applicable documents updated. 2. Figure 4.4 updated. 3. Chapter 4.4.1: Description for EFRF included. Chapter 5.2: Table for EGG_TRF_2 updated. 4. CTRS included in abbreviations list. 5. Chapter 4.4.2, page 22: Typo eliminated. 6. Chapter 4.4.2, equation 4-2 updated. 7. Chapter 5.2: Typo eliminated. 8. Chapter 5.2: Typo eliminated. 9. MPH and SPH included in abbreviations list. 10. no update required 11. Chapter 6: Typo eliminated. Internal Review: 1. List of abbreviations updated. 2. Chapter 1.1: Text updated. 3. Chapter 2.1: Applicable documents updated. 4. Chapter 4: Editorial update. 5. Chapter 4.1, Table 4-2: Description for calibration





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				improved.
				6. Chapter 4.1, page 18:
				Acronym description for
				IERS updated.
				7. Chapter 4.3: Table 4-3:
				Geoid slopes replaced by
				deflections of the vertical.
				8. Chapter 4.4.2:
				Quaternions description completely updated and
				interpolation formulas
				included. Explanation of
				terms in formulas
				improved. New reference
				paper.
				9. Chapter 4.4.3: Definition
				of spherical harmonics
				improved.
				10. Chapter 5.2: Specification for EGG_NOM_2 product
				updated.
3.0	Α	22/09/2006	Issue for data	Chapter 4.3: Table 4-3 updated.
	, ,		exploitation AO	Complete update of chapters
			'	4.4.2 and 4.4.3.
				Complete update of chapter 5.3
				and new chapter 5.4.
3.1	R	27/11/2006	Release after AR-2	RID#6: Table 1-1: Team member
				list updated.
				RID#12: Chapter 5.2: Table for product EGG_NOM_2 updated
				with description of external
				calibration technique.
				RID#25: Chapter 5.3.2.3 &
				5.3.3.3: Explanation for tag
				'Gregorian' included.
				Chapter 5.3.3: Data block format
				for SST_PSO_2 updated for
3.2	R	26/02/2007	Release for	missing velocities (Al'203). Chapter 2.1: List of applicable
J. Z	7	20/02/2007	Bridging Phase CDR	documents updated.
			Driaging mase obt	Chapter 4.4.2.1, Equation 4-5:
				Value for Earth rotation rate
				adopted from GOCE standards.
3.3	R	08/05/2007	Update after	Document Information Sheet:
			Bridging Phase CDR	Data Package mini table updated
				for BP milstones.
				BP-CDR-RID RF01: Chapter 2.1:
				HPF-BP SoW included as applicable document.
				Chapter 4.4.3.2: Equation (4-39)
				corrected.
				Chapter 5.3.3.3: Data block table
				for SST_PSO_2 updated (wrong





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				sequence of tags removed)
4.0	R	09/06/2008	Update before	List of abbreviations and
			launch.	acronyms updated.
				Chapter 1.3: Table 1-1 updated.
				Chapter 2.1: List of applicable
				documents updated.
				Chapter 4.1: Editorial update of
				GOCE mission description.
				Chapter 4.2: Data processing
				overview updated.
				Chapter 4.3: L2 products
				summary updated.
				Chapter 4.4: Editorial update of
				conventions.
				Chapter 4.4.2.2 newly included.
				Follow-up chapters renumbered.
				Chapter 5.2: Product descriptions
				updated.
				Chapter 5.3: XML declaration for
				HPF L2 products updated.
				Chapter 5.4: Format description
				of GVC product updated.





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Abbreviations and Acronyms

AD		Applicable Document	GRS80	Geodetic Reference System 1980
ADI	R	Architectural Design and Interface	GS	Ground Segment
		Review	HPF	High level Processing Facility
AO		Announcement of Opportunity	ICD	Interface Control Document
AR		Acceptance Review	IERS	International Earth Rotation and
ARF		Accelerometer Reference Frame		Reference Systems Service
CCN	ſ	Contract Change Notice	IGS	International GNSS Service
CDA	F	Command and Data Acquisition	ILRS	International Laser Ranging Service
		Facility	IRF	Inertial Reference Frame
CDR		Critical Design Review	LEOP	Launch and Early Orbit Phase
CFI		Customer Furnished Item	LORF	Local Orbital Reference Frame
CMF	7	Calibration and Monitoring Facility	LRR	Laser Retro-Reflector
CPF		Central Processing Facility	LTA	Long-Term Archive
CTR	S	Conventional Terrestrial Reference	MBW	Measurement BandWidth
		System	MPH	Main Product Header
DFA	.CS	Drag-Free and Attitude Control	PDS	Payload Data Segment
		System	POD	Precise Orbit Determination
DPA		Data Processing Archive	PSO	Precise Science Orbit
ECM	1WF	European Centre for Medium-range	QL	Quick-Look
		Weather Forecast	RD	Reference Document
ECP		External Calibration Products	RERF	Radial Earth-pointing Reference
ECS	S	European Cooperation for Space		Frame
		Standardization	RMS	Root-Mean Square
EFR	F	Earth Fixed Reference Frame	SF	Scale Factor
EGG		Electrostatic Gravity Gradiometer	SGG	Satellite Gravity Gradiometer
EGG		European GOCE Gravity Consortium	SLR	Satellite Laser Ranging
EOQ		Earth Orientation Quaternions	SOW	Statement Of Work
ESA		European Space Agency	SPH	Specific Product Header
FOS		Flight Operations Segment	SRD	System Requirements Document
GG		Gravity Gradients	SST	Satellite-to-Satellite Tracking
GOC	Έ.	Gravity Gladients Gravity field and steady-state Ocean	SSTI	Satellite-to-Satellite Tracking
000	L	Circulation Explorer	5511	Instrument
GPS		Global Positioning System	UTC	Universal Time Coordinated
GRA	CE	Gravity Recovery And Climate	WGS84	World Geodetic System 1984
		Experiment	WP	Work Package
GRF		Gradiometer Reference Frame	XML	eXtensible Markup Language





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1. INTRODUCTION

1.1 PURPOSE

The purpose of this document is to provide a detailed description of the GOCE level 2 products generated by the High Level Processing Facility and distributed to the GOCE users. These products include GOCE gravity gradients, GOCE orbit solutions as well as the GOCE gravity field models including supporting information. The document descibes everything required to make use of the level 2 products for further processing or application.

1.2 APPLICABILITY

This document is part of the Deliverable Items List [AD-3]. The first issue is delivered at CDR and classifies for review by the Agency. An update of the document shall be delivered at AR-1 and AR-2 for approval by the Agency. The document applies to the development phase and to the actual implementation and operational phases of the HPF.

1.3 DEFINITIONS

The term "Contract" is used to indicate the HPF implementation contract. The term "the Contractor" is used to indicate the entity in charge of implementing the HPF. The term "Agency" is used to indicate the European Space Agency (ESA).

EGG-C is composed by 10 European institutions. Institutions and team members contributing to the HPF project are defined in Table 1-1.





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 Table 1-1: EGG-C Team Members in Alphabetical Order

Acronym	Institution	Function	Team Members
AIUB	Astronomical Institute, University of	WP4000 Partner	G. Beutler
	Bern, Switzerland		H. Bock
CNES	Centre National d'Etudes Spatiales,	WP5000 Manager	G. Balmino
	Groupe de Recherche de Géodésie		S. Bruinsma
	Spatiale, Toulouse, France		
FAE/A&S	Faculty of Aerospace Engineering,	WP 4000 Manager	P. Visser
	Astrodynamics & Satellite systems,	WP 3000 Partner	
	Delft University of Technology,	WP 8000 Partner	
	Delft, The Netherlands	WP 6000 Consultant	
GFZ	GeoForschungsZentrum Potsdam,	WP 5000 Partner	M. Rothacher
	Department 1 Geodesy and Remote		Ch. Förste
	Sensing, Potsdam, Germany		
IAPG	Institute of Astronomical and	Principal Investigator	R. Rummel
	Physical Geodesy, Technical	Management	Th. Gruber
	University Munich, Germany	WP 3000 Manager	U. Hugentobler
		WP 4000 Partner	J. Bouman
		WP 6000 Partner	
		WP 8000 Manager	
ITG	Institute of Theoretical Geodesy,	WP 6000 Partner	W.D. Schuh
	University Bonn, Germany		
POLIMI	DIIAR – Sezione Rilevamento,	WP 7000 Manager	F. Sanso
	Politecnico di Milano, Italy		F. Migliaccio
SRON	SRON National Institute for Space	Management	R. van Hees
	Research, Utrecht, The Netherlands	WP3000 Partner	S. Rispens
TUG	Institute of Navigation and Satellite	WP 6000 Manager	H. Sünkel
	Geodesy, Graz University of		R. Pail
	Technology		E. Höck
UCPH	Department of Geophysics,	WP 3000 Partner	Ch. Tscherning
	University of Copenhagen, Denmark	WP 7000 Partner	





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2. APPLICABLE AND REFERENCE DOCUMENTS

2.1 APPLICABLE DOCUMENTS

- [AD-1] GO-SW-ESA-GS-0079: GOCE High Level processing Facility, Statement of Work, Issue 2.0, 4. May 2004
- [AD-2] GO-RS-ESA-GS-0080: GOCE High Level processing Facility, Statement of Work Appendix 1, Management Requirements, Issue 2.0, 4. May 2004
- [AD-3] GO-LI-ESA-GS-0081: GOCE High Level Processing Facility, Statement of Work Appendix 2, Deliverable Items List, Issue 2.0, 4. May 2004
- [AD-4] GO-RS-ESA-GS-0082: GOCE High Level Processing Facility, Statement of Work Appendix 3, Technical Requirements Specification, Issue 2.0, 4. May 2004
- [AD-5] GO-LI-ESA-GS-0087: GOCE High Level Processing Facility, Statement of Work Appendix 4, List of CFI, Issue 1.0, 5. December 2003
- [AD-6] GO-TN-ESA-GS-0085: GOCE High Level Processing Facility, Statement of Work Appendix 5, Tailoring of ECSS Standards, Issue 1.0, 5. December 2003
- [AD-7] ECSS-E-40B: Space Engineering, Software Standards, Draft Issue, 28. July 2000
- [AD-8] ECSS-Q-80B: Space Product Assurance, Software Product Assurance, Issue 3. April 2000
- [AD-9] PE-TN-ESA-GS-0001: Earth Explorer Ground Segment File Format Standard, Issue 1.4, 13.6.2003
- [AD-10] GO-ID-ACS-GS-0109: PDS Product Specification Document, Issue 3.3, 30.7.2007
- [AD-11] GO-ID-HPF-GS-0041: Product Specification for L2 Products and Auxiliary Data Products, Issue 6.0, April 2008
- [AD-12] GO-TN-HPF-GS-0111: GOCE Standards, Issue 3.0, April 2008
- [AD-13] GO-RS-ESA-GS-0158: HPF Bridging Phase (HPF-BP) Statement of Work, Issue 1.0, 2.10.2006
- [AD-14] GO-SW-ESA-GS-0194: HPF Bridging Phase 2 (HPF-BP2) Statement of Work, Issue 1.0, 30.10.2007

2.2 REFERENCE DOCUMENTS

- [RD-1] ESA-SP-1233(1): Gravity Field and Steady-State Ocean Circulation Mission
- [RD-2] GO-RS-ESA-SY-0001: GOCE Mission requirements Document
- [RD-3] GO-TN-ESA-GS-0017: GOCE Ground Segment Concept and Architecture
- [RD-4] GO-SP-AI-0004: GPS Receiver Ground Processing Algorithms Specification
- [RD-5] GO-SP-AI-0003: Gradiometer Ground Processing Algorithms Specification
- [RD-6] GO-TN-AI-0067: Gradiometer Ground Processing Algorithms Documentation
- [RD-7] GO-TN-AI-0068: Gradiometer Ground processing Analysis
- [RD-8] GO-PL-AI-0039: Gradiometer Calibration Plan
- [RD-9] GO-TN-AI-0069: Gradiometer On-Orbit Calibration Procedure Analysis
- [RD-10] GO-RP-AI-0014: Mission Analysis Report
- [RD-11] CS-MA-DMS-GS-0001: Earth Explorer Mission Conventions Document
- [RD-12] GO-MA-AI-0002: GOCE User's Manual





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[RD-13] GO-TN-AI-0027: Performance Requirements and Budgets for the Gradiometric Mission

[RD-14] GO-TN-IAPG-0001: Detailed Processing Model for EGG

[RD-15] GO-TN-IAPG-0002: Detailed Processing Model for SSTI

[RD-16] GO-ID-ESC-FS-5070: FOS/PDS – PDS/SLR: Predicted Orbit File

[RD-17] GO-RS-ESA-GS-0052: Product Requirement Document

[RD-18] ECSS-M-00A: Policy Principles

[RD-19] ECSS-M-10A: Project Breakdown and Structures

[RD-20] ECSS-M-20A: Project Organization

[RD-21] ECSS-M-30A: Project Phasing and Planning

[RD-22] ECSS-M-40A: Configuration Management

[RD-23] ECSS-M-50A: Information / Documentation Management

[RD-24] ECSS-M-60A: Cost Schedule Management

[RD-25] ECSS-M-70A: Integrated Logistics Support

[RD-26] GO-MI-ESA-0101: Minutes of the HPF Negotiation Meeting

[RD-27] GO-AI-HPF-GS-0008: Action Item Reply of HPF Negotiation Meeting

[RD-28] GO-AI-HPF-GS-0013: Action Item Reply of HPF Negotiation Meeting

[RD-29] GO-ID-ESC-FS-5070: FOS/FDS to PDS/SLR: Predicted Orbit File Interface Control Document

[RD-30] DTOS-FDOS-FDIS-ICD-0250-TOS-GFM: Flight Dynamics Infrastructure Software Napeos Interface Control Document

[RD-31] PE-TN-ESA-GS-0001: Earth Explorer Ground Segment File Format Standard

[RD-32] GO-ID-ESA-GS-0037: GOCE Ground Segment Master ICD

As a general rule it holds that the latest approved issue of the document is applicable, except if the issue number and the document date is specified.





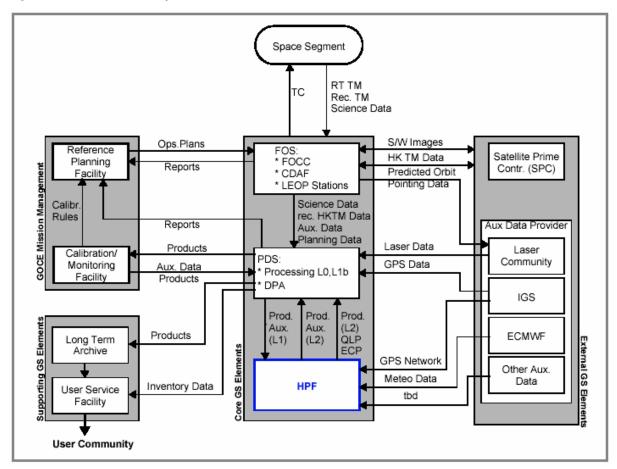
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3. GOCE GROUND SEGMENT

3.1 OVERVIEW GOCE GROUND SEGMENT

The GOCE ground segment concept and architecture is described in [RD-3]. The following gives a brief summary of all ground segment elements, depicted in Figure 3-1.

Figure 3-1: GOCE Ground System



3.2 HIGH-LEVEL PROCESSING FACILITY

Within the GOCE GS the HPF is one of the Core GS Elements (ESA-controlled), and it is charged with the generation of L2 products and acquisition of the external (auxiliary) data needed to generate these products, the delivery of these products (auxiliary, intermediate and final) to the PDS/DPA and/or the LTA and the generation of Quick-Look Products and External Calibration Products for the purpose of the activities of the CMF.





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4. MISSION AND PRODUCTS OVERVIEW

4.1 THE GOCE MISSION

Mission Goals

GOCE is the acronym for "Gravity field and steady-state Ocean Circulation Explorer mission". It is the first core satellite mission of the newly defined ESA "Living Planet" programme. The objective of GOCE is the determination of the stationary part of the Earth gravity field and geoid with highest possible spatial detail and accuracy. The gravity and geoid model derived from the GOCE mission will serve science and application in the fields of solid earth physics, oceanography, geodesy and glaciology, compare (Johannessen et al, 2003; Rummel et al, 2002).

Two main uses can be distinguished. Firstly, the spatial variations of gravity and geoid are directly related to density anomalies in lithosphere and upper mantle, respectively, and consequently to interior stresses and ultimately to mass motion. In this respect GOCE provides important new information to studies of continental and oceanic lithosphere and upper mantle. Its information is complementary to that of seismic tomography, magnetic field models, geokinematic studies and laboratory results. Secondly, a detailed geoid surface when combined with satellite altimetry yields sea surface topography, the quasi-stationary deviation of the ocean surface from its hypothetical surface of rest. Under the assumption of geostrophic balance ocean topography can be directly translated into a global map of surface ocean circulation. Thus, ocean surface circulation becomes directly measurable, globally and uninterruptedly. In conjunction with higher resolution ocean models and ocean measurements, GOCE is expected to improve significantly estimates of global mass and heat transport in the oceans. Furthermore, the global geoid will permit height systems to be connected globally with almost cm-precision. Sea level variations in Australia, or East Asia will become directly comparable with those measured in Europe or America. These and other expected scientific benefits from GOCE gravity and geoid models demonstrate that this mission represents an important element of global observation of mass anomalies, mass transport and mass exchange. The science goals and the requirements on the mission performance are summarized in Table 4-1.

The science goals as summarized in Table 4-1 can be reached on the condition that GOCE can determine gravity and geoid with a precision of 10⁻⁶·g (corresponding to 1 mgal) and 1-2 cm, respectively, with a spatial resolution of better of 100 km half wavelength and that these results are achieved free of long wavelength systematic errors. The mission performance depends on the gravity sensor system on-board GOCE.





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Table 4-1: Science Goals of the GOCE Mission (ESA, 1999)

	Ac	ccuracy	Spatial Resolution	
Application	Geoid	Gravity	(half wavelength – D in	
	[cm]	[mgal]	km)	
SOLID EARTH				
Lithosphere and upper mantle density structure		1-2	100	
Continental lithosphere:				
 Sedimentary basins 		1-2	50-100	
Rifts		1-2	20-100	
 Tectonic motions 		1-2	100-500	
Seismic hazards		1	100	
Ocean lithosphere and interaction with asthenosphere		0.5-1	100-200	
OCEANOGRAPHY				
Short scale	1-2		100	
	0.2		200	
 Basin scale 	≈0.1		1000	
ICE SHEETS				
 Rock basement 		1-5	50-100	
 Ice vertical movements 	2		100-1000	
GEODESY				
 Levelling by GPS 	1		100-1000	
 Unification of worldwide height systems 	1		100-20000	
 Inertial navigation system 		1-5	100-1000	
Orbits		1-3	100-1000	
SEA LEVEL CHANGE	Many of the above applications, with their specific			
		ents, are relevan	t to studies of sea-level	
	change.			

GOCE Sensor System

The GOCE satellite and its instruments are shown in Figure 4-1. Core instrument is a three axis gravity gradiometer. It consists of three pairs of orthogonally mounted 3-axis accelerometers, i.e. an orthogonal arrangement of three one-axis gradiometers, with the xaxis nominally in the along track direction, the y-axis cross track and the z-axis roughly in the radial direction. The gradiometer baseline of each pair is about 50 cm. The accelerometer precision is $10^{-12} ms^{-2} / \sqrt{Hz}$ along two axes with the third axis less sensitive. From the measured gravitational acceleration differences the three main diagonal terms of the gravitational tensor (Γ_{xx} , Γ_{yy} , Γ_{zz}) as well as the off-diagonal term Γ_{xz} can be determined with high precision, whereas the off-diagonal terms Γ_{xy} and Γ_{yz} have a lower precision. The extremely high performance of the gradiometer instrument is confined to the so-called measurement bandwidth (MBW). In addition, the gradiometer yields the required information of the angular acceleration about the out-of-plane axis of the gradiometer. This information in combination with the angular rates as derived from the star sensor readings is used as control signal for angular control of the spacecraft. The satellite has to be guided well controlled and smoothly around the Earth in an Earth pointing mode, i.e. with one full revolution per orbit revolution. Angular control is attained via magneto-torquers. This implies that active angular motion is possible only over part of each orbit revolution. In order to prevent nongravitational forces to "sneak" into the measured differential accelerations as secondary





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effect, the satellite is kept "drag-free" in along track direction by means of a pair of ion thrusters. The necessary control signal is derived from the available "common-mode" accelerations (=mean accelerations) along the three orthogonal axes of the accelerometer pairs of the gradiometer. The gradiometric and angular signal part of the common mode acceleration is a result of the imperfect symmetry of the gradiometer relative to the spacecraft centre of mass and has to be modelled.

The second gravity sensor device is a newly developed GPS receiver. From its measurements the orbit trajectory is computed to within a few centimetres, either purely geometrically, a so-called kinematic orbit, or by the method of reduced dynamic orbit determination. As the spacecraft is kept in an almost drag-free mode (at least along track) the orbit motion is purely gravitational. The observations from the GPS receiver are complementary to those of the gravity gradiometer. They provide high quality information about the long wavelength gravity field, which is outside the measurement bandwidth of the gradiometer. By a joint analysis of data from both gravity field sensors the final GOCE gravity field models are determined.

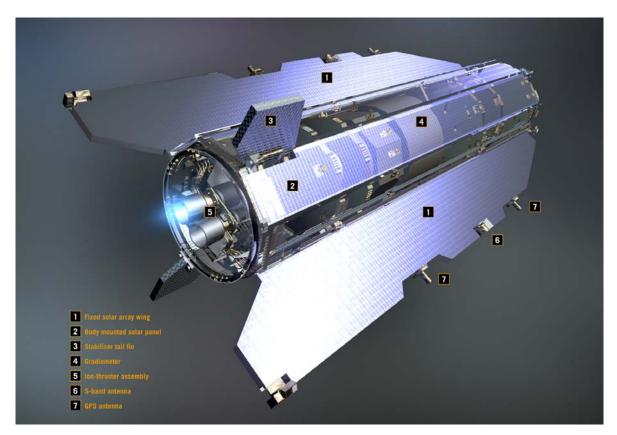


Figure 4-1: The GOCE Satellite and its Instruments

In summary, GOCE is a technologically very complex and demanding mission. The gravitational field sensor system consists of a three-axis gravitational gradiometer and GPS receiver as core instruments. Orientation in inertial space is derived from star sensors in combination with gradiometer observations. Common mode mode accelerations from the gradiometer and orbit positions from GPS are used together with ion thrusters for drag-free





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control and with magneto-torquers for angular control. The principle of the system is shown in Figure 4-2 and, the system elements are summarized in Table 4-2.

Table 4-2: Elements of the Gravity Sensor System of GOCE

Element	Purpose
3-axis gravity gradiometer	Measures diagonal gravity gradients Γ_{xx} , Γ_{yy} , Γ_{zz} and off-diagonal gravity gradients Γ_{xy} , Γ_{xz} , Γ_{yz} in instrument system. Diagonal gravity gradients and Γ_{xz} are highly accurate in MBW (measurement bandwidth) Measures angular accelerations (highly accurate around y-axis, which is the satellite spin axis and less accurate around x, z axes)
	Measures common mode accelerations
Star sensors	Measure high rate and high precision inertial orientation
GPS receiver	Measures orbit trajectory with cm-precision
Laser retro	Used for orbit validation.
reflector	
Ion thrusters	Used for drag control. Control signal from common mode accelerations from gradiometer
Magneto-torquers	Angular control based on angular rates from star sensors and gradiometer
Cold gas thrusters	Gradiometer is calibrated in-flight with cold gas thrusters generating random pulses. The quadratic factors (non-linearities in accelerometer control system) are determined with sinusoidal motion of test masses of the accelerometers.

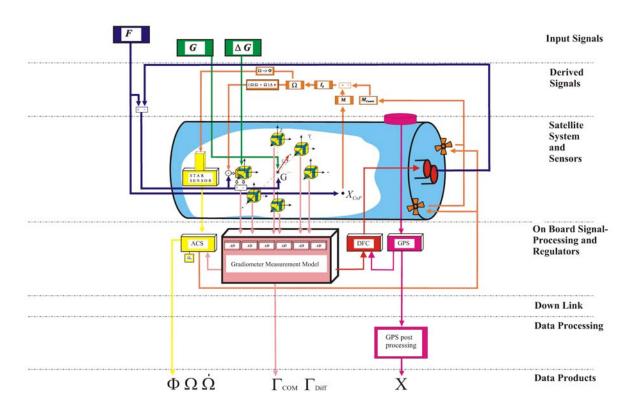


Figure 4-2: The GOCE Sensor System





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4.2 GOCE DATA PROCESSING OVERVIEW

Operational Ground Segment Elements

The European Space Agency has defined a ground system, which will prepare and process the GOCE observations up to so-called level 2 products. Level 2 products will be precise orbits, gravity gradients and GOCE gravity field models as well as quality indicators associated to them. These level 2 products will be available to the users in solid Earth physics, oceanography, geodesy and others. Figure 3-1 shows the structure of the ESA GOCE ground system elements and their interrelations.

FOS: The Flight Operation System controls the satellite and receives the raw telemetry data on ground.

PDS: The Payload Data System processes from raw observations (level 0) corrected and internally calibrated instrumental data sets with physical meaning (e.g. GPS range and phase observations, gravity gradients)(level 1b).

CMF: The Calibration and Monitoring Facility permanently monitors the quality of the level 1b data by applying dedicated test algorithms.

HPF: The High level Processing Facility generates from level 1b data the final GOCE orbits and gravity field products (level 2).

Supporting elements to these four kernel elements are the satellite prime contractor providing support on the instrument performance analysis, the auxiliary data providers providing supporting data sets to perform the processing tasks and the long-term archive and user service facility providing finally the GOCE products to the user community.

The GOCE High Level Processing Facility (HPF)

The HPF is as a distributed system developed and operated by the European GOCE Gravity Consortium (EGG-C). In order to fulfil the mission objectives, a dedicated scientific data analysis and processing system is implemented (Rummel et al, 2004). The major processing tasks of the HPF are as follows:

The generation of calibrated GOCE gravity gradient products (external calibration of gradiometer data, transformation to terrestrial reference frame): The level 1b gradiometer products are already internally calibrated. This means on the hand that the non-linearity in the accelerometers feedback loop has been determined and physically adjusted to zero. On the other hand calibration parameters are applied to the accelerations. The calibration parameters and non-linearities are derived from a dedicated data analysis of observations taken during satellite and electronic shaking manoeuvres. However, at this point the relationship between the observed gravity gradients and real gravity field is still to be established. In order to do so an external scientific calibration of the gravity gradients is performed by comparison with existing gravity information. In addition, these level 2 gravity gradients in the gradiometer reference frame are transformed to a terrestrial reference frame.





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• The generation of GOCE validation products (quick-look gravity field and orbit solutions for data validation): Level 1b products from both sensors, SSTI and SGG, have to be validated in order to warrant a continuously high quality data flow, which is required to meet the mission objectives. For this reason the HPF implements several validation tools, which permanently monitor the quality of the level 1b products by derivation of so-called quick-look or rapid products on a level 2 basis. This means orbit and gravity field solutions are systematically generated from partial data sets of new GOCE observations with latencies of a few days. These solutions are further evaluated in order to find out whether the mission performance requirements are met.

- The generation of orbits and gravity fields from level 1b products generated by the PDS (nominal and calibrated products from the gradiometer and the GPS-receiver): The level 1b products consist mainly of gravity gradients in the gradiometer reference frame, gradiometer attitude quaternions and pseudo-ranges and phases from the GPS receiver. They require a comprehensive scientific data processing before they can be used to compute satellite positions and velocities and gravity information in terms of a set of spherical harmonic coefficients (including their estimated full variance-covariance matrix), geoid heights, geoid height errors, geoid slopes and gravity anomalies.
- The acquisition of auxiliary data needed for level 2 products generation: The most important are Earth rotation parameters from the International Earth Rotation and Reference Systems Service (IERS), GPS orbit, clock and ground station data from the International GNSS Service (IGS), satellite laser ranging data from the International Laser Ranging Service (ILRS) and atmospheric parameters from the European Centre for Medium Range Weather Forecast (ECMWF). Apart from this a variety of supporting data like planetary ephemerides, solar flux, geomagnetic indices, tide models, digital terrain models, external gravity field information and others have to be acquired. The HPF will acquire all ancillary data, check their quality and store them in a local HPF processing archive as well as in the long term GOCE archive, in case they are required for reprocessing purposes.

In summary one can state that the HPF represents the interface between the pure satellite system (which is represented by the pre-processed level 1b products) and the science level 3 users. It applies scientific analysis techniques to the satellite observations in order to derive quantities adequate for level 3 analyses. This enables the exploitation of the mission in a multi-disciplinary approach.

4.3 GOCE LEVEL 2 PRODUCTS SUMMARY

During the level 2 processing various intermediate level 2 products are generated. They contain intermediate results necessary for the next processing step in sequence. These products are not foreseen to be provided as standard GOCE products to the users. Final level 2 products, which will be available to the GOCE user community are listed in Table 4-3. The latencies of the final products are related to data availability of the level 1b products from the PDS. Pre-processed and calibrated gradiometer data and precise science orbits will be available with a latency of two weeks and precise orbits with a latency of four weeks. Both products represent the main input to the gravity field processors. The final gravity field





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solution will be available about 6 months after completion of each measurement operations phase. The transformed gravity gradients in an Earth fixed frame will be available after approximately 1 month.

All GOCE level 2 products are available to the user community via the ESA GOCE user service interface. The products are provided in XML format in order to enhance the products readability and the in-file data definitions (see chapter 5.3).

Table 4-3: List of GOCE Level-2 End User Data Products.

Product Name	Product Definition	Remarks
Gravity Gradients		
EGG_NOM_2_	L2 gravity gradients in GRF (see chapter 4.4.1) with corrections: • Externally calibrated and corrected gravity gradients	Latency 2 weeks
	 Corrections to gravity gradients due to temporal gravity variations Flags for outliers, fill-in gravity gradients for data gaps 	
	with flags • Gravity gradient error estimates	
EGG_TRF_2_	L2 gravity gradients in LNOF (see chapter 4.4.1) with corrections: • Externally calibrated gravity gradients in local north	Latency 1 month
	oriented frame including corrections to gravity gradients due to temporal gravity variations • Flags for outliers, fill-in gravity gradients for data gaps with flags	
	Gravity gradient error estimates	
GOCE Orbits	Section of the sectio	
SST_PSO_2_	 Precise science orbits Reduced-dynamic and kinematic precise science orbits Rotation matrices between IRF and EFRF (see chapter 4.4.1) Variance-covariance information for kinematic positions Quality report for precise orbits 	Latency 4 weeks
GOCE Gravity Fields		
EGM_GOC_2_	 Final GOCE gravity field model Spherical harmonic series including error estimates Grids of geoid heights, gravity anomalies and deflections of the vertical Propagated error estimates in terms of geoid heights Quality report for GOCE gravity field model 	Latency 6 months
EGM_GVC_2_	Variance-covariance matrix for the final gravity field in terms of spherical harmonic series	Latency 6 months, Only on physical media
SST_AUX_2_	Time variable gravity field due to non-tidal mass variations. 6-hourly time series of gravity field spherical harmonic series.	Latency 2 weeks





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4.4 GENERAL DEFINITIONS AND CONVENTIONS

All details about general definitions and conventions applied for level 2 data processing are specified in [AD-12]. In the following a short summary describing the most essential definitions and conventions is provided.

4.4.1 Reference Frames and Time System

GRF - Gradiometer Reference Frame & ARF - Accelerometer Reference Frame

GRF is the coordinate system in which the components of the gravity gradient tensor are measured by GOCE (see Figure 4-3). The GRF represents the Three-Axis Gradiometer common reference for the mutual positioning and alignment of the three One Axis Gradiometers and for the positioning and orientation of the whole instrument with respect to external reference frames. Nominally the origins of all one-axis gradiometer reference frames (OAGRF) coincide in one intersection point. The corresponding axes of each of the 3 OAGRF's are parallel and point in the same directions. The corresponding 6 accelerometer reference frames (ARF) are parallel and point in the same direction.

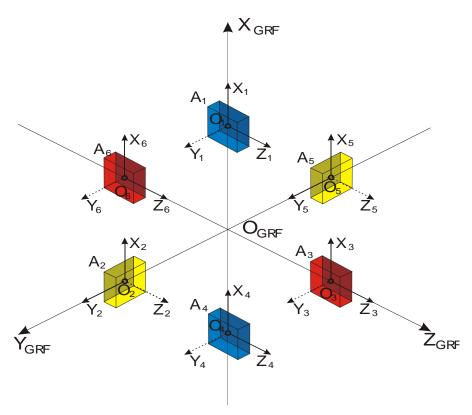


Figure 4-3: : Notation and location of the 6 accelerometers of the GOCE gradiometer in the GRF and with all 6 ARF's. The axes of the ARF shown by solid arrows are aligned with ultra sensitive axes of the accelerometer. The axes of the ARF shown by dashed arrows are aligned to the less sensitive axes of the accelerometer. Each colour represents a one-axis gradiometer. The shadowed surfaces represent the locations of the lower plates (and the sole plates).





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LORF - Local Orbital Reference Frame

The origin O_{LORF} is located at the actual satellite centre of mass; X_{LORF} axis (roll) is parallel to instantaneous direction of the orbital velocity vector (\underline{V}) with the same sign as this vector. Y_{LORF} axis (pitch) is parallel to instantaneous direction of the orbital angular momentum (\underline{N}), with the same sign as \underline{N} (\underline{V} and \underline{N} are orthogonal by definition, since $\underline{N} = \underline{R} \times \underline{V}$, where \underline{R} is the vector from the Earth centre to the origin). The Z_{LORF} (yaw) axis is parallel to $\underline{V} \times \underline{N}$, with the same sign as $\underline{V} \times \underline{N}$

Orientation of GRF/LORF in Space

Figure 4-4 shows how the fundamental reference frames are related to each other. The GRF does not fully coincide with the LORF. In science mode the satellite will operate in drag-free mode for the flight direction only with platform attitude controlled by the magneto-torquers over the poles. Since the magneto-torquers can only operate close to the pole, the yaw steering mode allows the roll angle to accumulate at the equator by a few degrees. The maximum yaw angle value of 3.5° is reached at the equator due to out-of-plane forces caused by Earth rotation. Thus the satellite will be yaw steered to within $\pm 3.5^{\circ}$ (with respect to the LORF) in order to minimise lateral forces and torques.

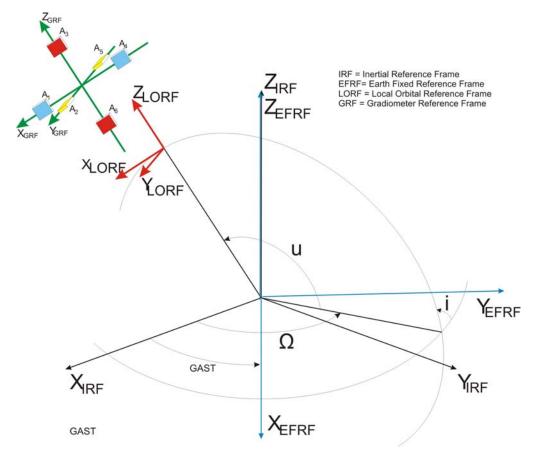


Figure 4-4: Definition of fundamental Reference Systems for GOCE





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Inertial Reference Frame (IRF)

The inertial reference frame (IRF) is a spatial reference system, which is fixed in space. The IRF is an orthogonal, right-handed system. Its origin is at the Earth's center of mass (geocenter), the orientation is equatorial, where the z-axis is the direction of the celestial pole. The x-axis is fixed in the equatorial plane in direction to the vernal equinox. A detailed description of the inertial reference system and the transformation between the inertial (celestial) and terrestrial (Earth-fixed) reference system is provided in [AD-12].

Earth-Fixed Reference Frame (EFRF)

The Earth-Fixed Reference System (EFRF) is a spatial reference system co-rotating with the Earth in its diurnal motion in space. The EFRF is an orthogonal, right-handed system. Its origin is at the Earth's center of mass (geocenter), the orientation is equatorial (z-axis is the direction of the pole). The x-axis is fixed in the equatorial plane in direction to the Greenwich meridian. The angle between the x-axis of the inertial reference frame (defined by the vernal equinox) and the Greenwich meridian is the Greenwich Apparent Sideral Time (GAST).

Local North Oriented Frame (LNOF)

The Local North Oriented Frame (LNOF) is a right-handed North-West-Up frame with the X-axis pointing North, the Y-axis pointing West and the Z-axis Up. The calibrated gravity gradients of the EGG_TRF_2 products are provided in this system.

- The origin O_{LNOF} is located at the nominal satellite centre of mass
- Z_{LNOF} is defined as the vector from the geocenter to the origin O_{LNOF} , pointing radially outward,
- Y_{LNOF} is parallel to the normal vector to the plane of the geocentric meridian of the satellite center of mass, pointing westward,
- X_{LNOF} is parallel to the normal vector to the plane defined by Y_{LNOF} and Z_{LNOF} .

With respect to the geocentric latitude and East longitude (φ , λ) of the GOCE center of mass in the CTRS (Conventional Terrestrial Reference System, see [AD-12]) the 3 axes are defined as follows:

$$Z_{LNOF} = \begin{pmatrix} \cos\varphi\cos\lambda \\ \cos\varphi\sin\lambda \\ \sin\varphi \end{pmatrix} \; ; \; Y_{LNOF} = \begin{pmatrix} \sin\lambda \\ -\cos\lambda \\ 0 \end{pmatrix} \; ; \; X_{LNOF} = \begin{pmatrix} -\sin\varphi\cos\lambda \\ -\sin\varphi\sin\lambda \\ \cos\varphi \end{pmatrix}$$

Time System

All GOCE products are time-tagged in GPS time. This time will be derived by correlating the on-board time with the GPS time. In case no GPS time is available (due to receiver outage) the GPS time is automatically determined by correlating the on-board time with UTC and applying the constant and leap second time shift.





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4.4.2 Mathematical Conventions

4.4.2.1 Earth Orientation Quaternions

The rotation matrix provided together with the precise science orbit is defined in terms of quaternions. Earth Orientation Quaternions (EOQ) define the rotation between the Earth-Fixed Reference Frame (EFRF) and Inertial Reference Frame (IRF). Quaternions are used in the following way e.g. for a rotation of a vector from EFRF to IRF.

The quaternion q is defined in terms of Euler symmetric parameters q_1 , q_2 , q_3 , q_0 :

$$q = q_0 + iq_1 + jq_2 + kq_3$$

$$q_1 = e_1 \sin \frac{\Phi}{2}, \quad q_2 = e_2 \sin \frac{\Phi}{2}, \quad q_3 = e_3 \sin \frac{\Phi}{2} \quad q_0 = \cos \frac{\Phi}{2}$$
(4.1)

where e_1 , e_2 , e_3 are components of the Euler axis and Φ is the corresponding rotation angle (the angle of the frame rotation around the axis defined by the unit vector (e_1, e_2, e_3)). The quantity q_0 is the real or scalar part of the quaternion and $iq_1 + jq_2 + kq_3$ is the imaginary or vector part. The i, j, k are the hyperimaginary numbers satisfying the conditions:

$$i^{2} = j^{2} = k^{2} = -1$$

$$ij = -ji = k$$

$$jk = -kj = i$$

$$ki = -ik = j$$

$$(4.2)$$

The transformation of the orbit position and velocity given in the EFRF (Earth-Fixed Reference Frame) into the IRF (Inertial Reference Frame) is defined as:

$$X_{IRF} = R X_{EFRF}$$

$$\dot{X}_{IRF} = R \dot{X}_{EFRF} + \dot{R} X_{EFRF}$$

$$\dot{X}_{IRF} = R \dot{X}_{EFRF} + \Omega R X_{EFRF}$$
(4.3)

where the rotation matrix R is computed by:

$$R = \begin{bmatrix} q_1^2 - q_2^2 - q_3^2 + q_0^2 & 2(q_1q_2 + q_3q_0) & 2(q_1q_3 - q_2q_0) \\ 2(q_1q_2 - q_3q_0) & -q_1^2 + q_2^2 - q_3^2 + q_0^2 & 2(q_2q_3 + q_1q_0) \\ 2(q_1q_3 + q_2q_0) & 2(q_2q_3 - q_1q_0) & -q_1^2 - q_2^2 + q_3^2 + q_0^2 \end{bmatrix}$$
(4.4)

The skew-symmetric matrix Ω can be approximated with sufficient accuracy by:





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$$\Omega = \begin{bmatrix}
0 & \omega_3 & -\omega_2 \\
-\omega_3 & 0 & \omega_1 \\
\omega_2 & -\omega_1 & 0
\end{bmatrix} \approx \begin{bmatrix}
0 & \omega_3 & 0 \\
-\omega_3 & 0 & 0 \\
0 & 0 & 0
\end{bmatrix}$$

$$\omega_3 = -7.2921151567 \cdot 10^{-5} \text{ rad / s}$$
(4.5)

Where $(\omega_1, \omega_2, \omega_3)$ are components of the instantaneous Earth rotation velocity in the IRF.

Using quaternions, computation of the rotation matrix can be avoided and the transformation can be replaced by the multiplication:

$$X_{IRF} = q * X_{EFRF} q \tag{4.6}$$

where q^* denotes the conjugate or inverse quaternion q^* defined as

$$q^* = q_0 - iq_1 - jq_2 - kq_3 \tag{4.7}$$

and $X_{\rm EFRF}$ the position vector written in the form of a quaternion (scalar part is zero). The transformation from the EFRF into the IRF can be then computed as follows:

$$\begin{bmatrix} 0 \\ X_{IRF} \\ Y_{IRF} \\ Z_{IRF} \end{bmatrix} = \begin{bmatrix} q_0 & q_1 & q_2 & q_3 \\ -q_1 & q_0 & q_3 & -q_2 \\ -q_2 & -q_3 & q_0 & q_1 \\ -q_3 & q_2 & -q_1 & q_0 \end{bmatrix} \begin{bmatrix} q_0 & -q_1 & -q_2 & -q_3 \\ q_1 & q_0 & q_3 & -q_2 \\ q_2 & -q_3 & q_0 & q_1 \\ q_3 & q_2 & -q_1 & q_0 \end{bmatrix} \begin{bmatrix} 0 \\ X_{EFRF} \\ Y_{EFRF} \\ Z_{EFRF} \end{bmatrix}$$
(4.8)

The Earth orientation quaternions (EOQ's) are provided for every integer second (t_0) of GPS time together with the orbit product. To get quaternion information for the actual epoch time $t_{\rm epo}$, kinematic equation can be used to propagate quaternion information from the nearest integer second $q_{\rm dyl}(t_0)$:

$$q_{\scriptscriptstyle 4\times I}(t_{\scriptscriptstyle epo}) = S_{\scriptscriptstyle 4\times 4}q_{\scriptscriptstyle 4\times I}(t_{\scriptscriptstyle 0})$$

$$\Delta t = t_{epo} - t_{0} \quad [s] \qquad \omega = -7292115.1567 \cdot 10^{-11} \quad [rad / s]$$

$$S_{4 \times 4} = \begin{bmatrix} \cos\left(\frac{1}{2}\omega\Delta t\right) & -\sin\left(\frac{1}{2}\omega\Delta t\right) & 0 & 0 \\ \sin\left(\frac{1}{2}\omega\Delta t\right) & \cos\left(\frac{1}{2}\omega\Delta t\right) & 0 & 0 \\ 0 & 0 & \cos\left(\frac{1}{2}\omega\Delta t\right) & -\sin\left(\frac{1}{2}\omega\Delta t\right) \\ 0 & 0 & \sin\left(\frac{1}{2}\omega\Delta t\right) & \cos\left(\frac{1}{2}\omega\Delta t\right) \end{bmatrix}$$

$$(4.9)$$





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4.4.2.2 Interpolation of Quaternions

Given are two arbitrary normalized quaternions q_a and q_b defined in (4.1) referring to epochs t_a and t_b . Linear interpolation to an arbitrary epoch t may then be performed with the following algorithm. Due to the sign ambiguity and assuming that the angle between the two rotation axes described by q_a and q_b is smaller than 90°, the sign of all components of one of the quaternions has in a first step to be flipped if the scalar product of the vector parts of the two quaternions is negative:

$$q_b = -q_b$$
 if $q_{a1}q_{b1} + q_{a2}q_{b2} + q_{a3}q_{b3} < 0$ (4.10)

The quaternion describing the differential rotation between the rotations q_b and q_a (rotation from epochs t_a to t_b) can be written by

$$q_{ab} = q_a^* q_b \tag{4.11}$$

or in components

$$q_{ab0} = q_{a0}q_{b0} + q_{a1}q_{b1} + q_{a2}q_{b2} + q_{a3}q_{b3}$$

$$q_{ab1} = q_{a0}q_{b1} - q_{a1}q_{b0} + q_{a3}q_{b2} - q_{a2}q_{b3}$$

$$q_{ab2} = q_{a0}q_{b2} - q_{a2}q_{b0} + q_{a1}q_{b3} - q_{a3}q_{b1}$$

$$q_{ab3} = q_{a0}q_{b3} - q_{a3}q_{b0} + q_{a2}q_{b1} - q_{a1}q_{b2}$$

$$(4.12)$$

If $q_{ab} = 1$, interpolation is not necessary, the two quaternions q_a and q_b are equal. The rotation angle corresponding to the rotation described by q_{ab} is

$$\Phi_{ab} = 2\arccos(q_{ab0}) \tag{4.13}$$

This angle may be linearly interpolated

$$\Phi_{at} = \Phi_{ab} \frac{t - t_a}{t_b - t_a} \tag{4.14}$$

The quaternion corresponding to this interpolated rotation (rotation from epochs t_a to t) may be written as

$$q_{at0} = \cos\frac{\Phi_t}{2}, \quad q_{at1} = q_{ab1} \frac{\sin\frac{\Phi_t}{2}}{\sin\frac{\Phi_{ab}}{2}}, \quad q_{at2} = q_{ab2} \frac{\sin\frac{\Phi_t}{2}}{\sin\frac{\Phi_{ab}}{2}}, \quad q_{at3} = q_{ab3} \frac{\sin\frac{\Phi_t}{2}}{\sin\frac{\Phi_{ab}}{2}}$$
 (4.15)

The quaternion q_t interpolating the quaternions q_a and q_b to epoch t can then be written as

$$q_t = q_a q_{at} \tag{4.16}$$





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or in components

$$q_{t0} = q_{a0}q_{at0} - q_{a1}q_{at1} - q_{a2}q_{at2} - q_{a3}q_{at3}$$

$$q_{t1} = q_{a0}q_{at1} + q_{a1}q_{at0} - q_{a3}q_{at2} + q_{a2}q_{at3}$$

$$q_{t2} = q_{a0}q_{at2} + q_{a2}q_{at0} - q_{a1}q_{at3} + q_{a3}q_{at1}$$

$$q_{t3} = q_{a0}q_{at3} + q_{a3}q_{at0} - q_{a2}q_{at1} + q_{a1}q_{at2}$$

$$(4.17)$$

4.4.2.3 Spherical Harmonic Series

The GOCE gravity field models and the non-tidal time variable gravity field corrections are provided in terms of a set of dimensionless coefficients of a spherical harmonic series up to a maximum degree of the gravity potential. These coefficients are the result of the gravity field determination process. All other quantities delivered together with the gravity field models are derived from these coefficients. The variance-covariance matrix product consists of the variances and covariances of and between all individual coefficients of the spherical harmonic series.

The gravitational potential spherical harmonic series is defined by (see e.g. Torge, 2001, p. 70 or Heiskanen/Moritz, 1967, p.59):

$$V(r,\theta,\lambda) = W(r,\theta,\lambda) - Z(r,\theta,\lambda) =$$

$$= \frac{GM}{r} \sum_{n=0}^{N_{max}} \left(\frac{a}{r}\right)^{n} \sum_{m=0}^{n} \left(\overline{C}_{nm} \cos m\lambda + \overline{S}_{nm} \sin m\lambda\right) \overline{P}_{nm} \left(\cos \theta\right)$$
(4.18)

where: V gravitational potential at computation point

W Earth's gravity potential (including the centrifugal potential)

Z centrifugal potential

GM gravitational constant times total mass of Earth (solid Earth,

atmosphere, ocean) (Note: The factor GM is provided together with

the spherical harmonic series)

a equatorial radius of the Earth ellipsoid used for the determination of

the harmonic coefficients (\overline{C}_{nm} , \overline{S}_{nm}); (Note: This value is provided

together with the spherical harmonic series)

n degree of spherical harmonic coefficient

 N_{max} maximum degree of spherical harmonic series

m order of spherical harmonic coefficient

r radial distance of computation point from geocenter

 θ geocentric co-latitude of computation point

 λ (geocentric) longitude of computation point

 $\bar{P}_{...}$ normalized associated Legendre functions of degree n and order m

 $\overline{C}_{nm}, \overline{S}_{nm}$ coefficients of the spherical harmonic series (normalized and dimensionless)





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The coefficients of the spherical harmonic series are normalized. The following convention is used (Heiskanen/ Moritz, 1967, p.32; Torge, 2001, p.72):

$$\overline{C}_{n0} = \frac{1}{\sqrt{2n+1}} C_{n0}, \qquad \overline{P}_{n0}(\cos\theta) = \sqrt{2n+1} \times P_{n0}(\cos\theta),$$

$$\left\{ \overline{C}_{nm} \atop \overline{S}_{nm} \right\} = \sqrt{\frac{(n+m)!}{2(2n+1)(n-m)!}} \times \left\{ C_{nm} \atop S_{nm} \right\}, \quad \overline{P}_{nm}(\cos\theta) = \sqrt{\frac{2(2n+1)(n-m)!}{(n+m)!}} \times P_{nm}(\cos\theta),$$

$$(4.19)$$

where: C_{nm} , S_{nm} unnormalized coefficients of spherical harmonic series P_{nm} unnormalized associated Legendre functions of degree n and order m

The set of coefficients C_{nm} , S_{nm} or \overline{C}_{nm} , \overline{S}_{nm} together with the chosen value GM and a form the spherical harmonic set.

4.4.2.4 Error Propagation

Together with the coefficients of the spherical harmonic series the variance-covariance matrix for the estimated coefficients is available. Error propagation from the spherical harmonic coefficients variance-covariance matrix to that of the observations is performed by:

$$Q_{II} = AQ_{xx}A^{T} \tag{4.20}$$

with

 Q_{II} Variance-covariance matrix of the observations

A Coefficient matrix (partial derivatives of observation equation) connecting the coefficients with the observations

 Q_{xx} Variance-covariance matrix of the spherical harmonic series

4.4.3 Gravity Field Conventions

The gravity potential expressed by the spherical harmonic series represents the total effect of the solid Earth mass, the atmospheric and the oceanic masses. The constants of the spherical harmonic series GM (gravitational constant times mass) and a (equatorial radius of the Earth) are provided together with the model coefficients. The atmosphere is assumed to be condensed at the surface of the sphere with radius a. All time variable mass variations are subtracted by models during the estimation procedure. This includes direct tides from Sun, Moon and planets, solid Earth tides, ocean tides and solid Earth pole tides. The product information includes an indicator whether permanent tides are included in the model (zerotide) or not (tide free). Also non-tidal mass variations in the atmosphere and the oceans are taken into account by using an atmospheric and oceanic model as well as by applying a recent GRACE gravity field time series. Details about the correction models and how they are applied are described in [AD-12].



with



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From the gravity potential derived gravity quantities such as geoid heights, gravity anomalies and deflections of the vertical are directly computed using the spherical harmonic series and subtracting the spherical harmonic coefficients of the adopted reference potential. Before subtraction, the gravity field spherical harmonic series has to be scaled to the constants of the reference potential (see (4.21)). This includes the coefficient \overline{C}_{00} representing the zero-order part of the gravitational potential. The name of the adopted reference potential is provided in the header of the product files. When computing the derived gravity quantities the following pre-computations and assumptions are made:

1. In order to take into account the scale difference between the GOCE derived and the reference potential all coefficients are transformed to the constants of the reference potential. Equation (4.21) is based on the assumption that at any point above the Earth's surface the gravity potential W is constant and does not change due to the coefficients transformation. (The superscript "REF" indicates a constant taken from the adopted reference potential, "GOCE" means the constant is part of the gravity potential spherical harmonic solution).

$$\left\{ \frac{\overline{C}_{nm}^{ELL}}{\overline{S}_{nm}^{ELL}} \right\} = \left(\frac{GM^{GOCE}}{GM^{REF}} \right) \left(\frac{a^{GOCE}}{a^{REF}} \right)^n \left\{ \overline{C}_{nm}^{GOCE} \right\}$$
(4.21)

Factor GM for the reference ellipsoid potential

 GM^{REF} GM^{GOCE} Factor GM for the GOCE gravity spherical harmonic series Equatorial radius for the reference ellipsoid a^{GOCE} Equatorial radius for the GOCE gravity spherical harmonic series $\overline{C}_{nm}^{ELL}, \overline{S}_{nm}^{ELL}$ Normalized spherical harmonic coefficients referring to the constants of the reference ellipsoid $\overline{C}_{nm}^{GOCE}, \overline{S}_{nm}^{GOCE}$ Normalized spherical harmonic coefficients referring to

the constants of the GOCE gravity spherical harmonic series

2. After rescaling the spherical harmonic coefficients to the set of constants of the reference potential the disturbing potential at a point P can be computed by (see for example Torge, 2001, p. 214, Heiskanen/Moritz, 1967, p.82):

$$T_p = W_p - U_p \tag{4.22}$$

gravity potential at point P (including centrifugal with

potential)

 U_{P} normal potential of reference ellipsoid at point P

(including centrifugal potential)

disturbing potential at point P T_{P}





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3. By subtracting the normal potential from the gravity potential the centrifugal potential drops out. As the gravitational potential (see (4.18)) also the normal potential can be expanded into a spherical harmonic series (compare Torge, 2001, p. 107):

$$U(r,\theta,\lambda)-Z(r,\theta,\lambda) = \frac{GM^{REF}}{r} \left(1 + \sum_{n=2(2)}^{8} \left(\frac{a^{REF}}{r} \right)^{n} \overline{C}_{n}^{REF} \overline{P}_{n} \left(\cos \theta \right) \right)$$
(4.23)

with \overline{C}_n^{REF} normalized coefficient of spherical harmonic series of the reference ellipsoid of degree n and order 0 \overline{P}_n normalized Legendre polynomial of degree n

4. The normalized coefficients of the spherical harmonic series of the reference ellipsoid are computed by:

$$\overline{C}_{2k}^{REF} = (-1)^k \frac{3(e^{REF})^{2k}}{(2k+3)(2k+1)\sqrt{4k+1}} \left[1 + \frac{2}{3}k \left(1 - \frac{m_{\gamma}e^{\prime REF}}{q_0} \right) \right]$$
(4.24)

The series is rapidly convergent and in practical computations it can be truncated at k=4 without loosing accuracy.

with
$$n=2k$$
, $(k=1,2,3,4)$
 e^{REF} as defined in (4.26)
 e^{rREF} , m_{ν} , q_0 as defined in (4.34) and follow-on equations

5. The spherical harmonic series of the disturbing potential for the point P with the coordinates r, θ, λ then becomes:

$$T(r,\theta,\lambda) = \frac{GM^{REF}}{r} \sum_{n=0}^{N_{max}} \left(\frac{a^{REF}}{r} \right)^n \sum_{m=0}^n \left(\Delta \overline{C}_{nm} cosm\lambda + \Delta \overline{S}_{nm} sinm\lambda \right) \overline{P}_{nm} \left(cos\theta \right)$$
(4.25)

with $\Delta \overline{C}_{nm}$, $\Delta \overline{S}_{nm}$ residual coefficients of the spherical harmonic series after subtracting the coefficients of the normal potential from the gravitational potential.

Employing an ellipsoidal reference field this means for all residual coefficients except for degrees 0,2,4,6,8 and zero order that the following relation holds:

$$\Delta \overline{C}_{nm} = \overline{C}_{nm}^{ELL}$$
 ; $\Delta \overline{S}_{nm} = \overline{S}_{nm}^{ELL}$

For the coefficients of degree n=2,4,6,8 and zero order the residual coefficients are defined by:





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$$\Delta \overline{C}_{n0} = \overline{C}_{n0}^{ELL} - \overline{C}_{n}^{REF}$$

And for the degree 0 term the residual coefficient is defined by:

$$\Delta \overline{C}_{00} = \overline{C}_{00}^{\it ELL}$$
 - 1

4.4.3.1 Approximations for Derived Quantities

The series expansion of the normal potential (see equation (4.23) is truncated at n=8. This means that for the normal potential only the spherical harmonic coefficients \bar{C}_0^{REF} , \bar{C}_2^{REF} , \bar{C}_4^{REF} , \bar{C}_6^{REF} , \bar{C}_8^{REF} are taken into account (with $\bar{C}_0^{REF}=1$).

In order to obtain gravity field quantities like geoid heights, gravity anomalies and deflections of the vertical from the GOCE gravity potential harmonic series some approximations are introduced. This is done in order to avoid any dependency on a topography data set. In the following it is described how these derived products are computed and what approximations are applied. For a rigorous formulation see chapter 4.4.3.2.

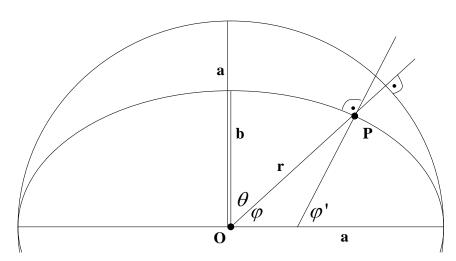


Figure 4-5: Geometry of the ellipsoid (the ellipsoidal longitude λ ' and geocentric longitude λ are identical. It holds for all points P: $\lambda = \lambda$ ')

Note: the coordinates of the grid data files described in chapter 5.3 are given as geodetic (ellipsoidal) latitude and longitude.

The computation points P for the derived quantities are located on the reference ellipsoid (see Figure 4-5). Each point on the ellipsoid is defined by the set of geodetic (ellipsoidal) coordinates (φ',λ',r) (see Figure 4-5). The radial distance r from the geocenter to the computation point P is computed for each given geocentric latitude φ (or co-latitude θ). First the geodetic (ellipsoidal) latitude φ' is transformed to the corresponding geocentric value φ applying formula (4.27)(see Torge, 2001, equations 4.1b and 4.11a).





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$$\frac{b^{REF}}{a^{REF}} = \sqrt{1 - \left(e^{REF}\right)^2} \; ; \; e^{REF} = \frac{\sqrt{\left(a^{REF}\right)^2 - \left(b^{REF}\right)^2}}{a^{REF}}$$
(4.26)

$$\tan \varphi = \left(1 - \left(e^{REF}\right)^2\right) \tan \varphi' \tag{4.27}$$

where: φ' geodetic (ellipsoidal) latitude

 φ geocentric latitude

 a^{REF} semi major axis of the reference ellipsoid b^{REF} semi minor axis of the reference ellipsoid e^{REF} first eccentricity of the reference ellipsoid

The geocentric distance of the computation point P on the reference ellipsoid can be calculated as a function of the geodetic latitude by the following formulas (compare Torge, 2001, equations 4.6, 4.8, 4.9):

$$\overline{X} = \frac{\left(a^{REF}\right)^{2} \cos\varphi' \cos\lambda'}{\sqrt{\left(a^{REF}\right)^{2} \cos^{2}\varphi' + \left(b^{REF}\right)^{2} \sin^{2}\varphi'}}$$

$$\overline{Y} = \frac{\left(a^{REF}\right)^{2} \cos\varphi' \sin\lambda'}{\sqrt{\left(a^{REF}\right)^{2} \cos^{2}\varphi' + \left(b^{REF}\right)^{2} \sin^{2}\varphi'}}$$

$$\overline{Z} = \frac{\left(b^{REF}\right)^{2} \sin\varphi'}{\sqrt{\left(a^{REF}\right)^{2} \cos^{2}\varphi' + \left(b^{REF}\right)^{2} \sin^{2}\varphi'}}$$
(4.28)

$$r = \sqrt{p^2 + \overline{Z}^2}$$

$$p = \sqrt{\overline{X}^2 + \overline{Y}^2}$$
(4.29)

Alternatively one can compute it as a function of the geocentric latitude as:

$$r = a^{REF} \sqrt{\frac{1 - \left(e^{REF}\right)^2}{1 - \left(e^{REF}\cos\varphi\right)^2}}$$

$$(4.30)$$

For evaluating equation (4.25) the geocentric co-latitude θ , the longitude λ and the geocentric distance r is used. As the geocentric distance (r) is always equal or less than the semi major axis of the reference ellipsoid a^{REF} formula (4.25) is evaluated on or inside the sphere with radius a^{REF} . Over the oceans the computation points P are always close to the real ocean surface, while over continental areas they refer to points that are in reality located inside the continental masses. They have to be regarded as downward continued (to the ellipsoid) mathematical values. For any geophysical interpretation of these derived quantities this fact





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must be taken into account. (Note: in case of evaluation of equation (4.25) for an equi-angular grid on the reference ellipsoid the degree dependent term as well as the associated Legendre polynomials only have to be recomputed once for each parallel).

The geoid height is defined as the distance between the ellipsoid and the geoid. The geoid is defined by the condition that its potential equals to the constant normal potential of the corresponding point on the reference ellipsoid. By applying Bruns formula to equation (4.25) we get the relation between geoid heights and the disturbing potential ((Heiskanen / Moritz, 1967, p. 85, Torge, 2001, p. 258).

$$N = \frac{T}{\gamma_0} \tag{4.31}$$

where: N geoid height T disturbing potential on the geoid

normal gravity at reference ellipsoid

The normal gravity at the reference ellipsoid is computed by the formula of Somigliana (see Torge, 2001, p. 106, equation 4.41b):

$$\gamma_0(\varphi') = \gamma_a \frac{1 + k \sin^2 \varphi'}{\sqrt{1 - \left(e^{REF}\right)^2 \sin^2 \varphi'}} ; \quad k = \frac{b^{REF} \gamma_b}{a^{REF} \gamma_a} - 1$$

$$(4.32)$$

 γ_a normal gravity at the equator with:

normal gravity at the pole

The normal gravity at the equator and at the poles are computed by (see Heiskanen / Moritz, 1967, p. 69, equations 2-73 and 2-74):

$$\gamma_{a} = \frac{GM^{REF}}{a^{REF}} \left(1 - m_{\gamma} - \frac{m_{\gamma}}{6} \frac{e^{iREF} q_{0}'}{q_{0}} \right)$$

$$\gamma_{b} = \frac{GM^{REF}}{\left(a^{REF}\right)^{2}} \left(1 + \frac{m_{\gamma}}{3} \frac{e^{iREF} q_{0}'}{q_{0}} \right)$$
(4.33)

with
$$m_{\gamma} = \frac{\left(\omega^{REF}\right)^2 \left(a^{REF}\right)^2 b^{REF}}{GM^{REF}}$$
(4.34)

rotational velocity of reference ellipsoid (provided together with ellipsoid parameters)

$$e^{rREF} = \frac{\sqrt{(a^{REF})^2 - (b^{REF})^2}}{b^{REF}}$$
 second eccentricity of ellipsoid





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$$q_{0} = \frac{1}{2} \left[\left(1 + \frac{3}{\left(e^{\prime REF} \right)^{2}} \right) \tan^{-1} e^{\prime REF} - \frac{3}{e^{\prime REF}} \right]$$

$$q'_{0} = 3 \left(1 + \frac{1}{\left(e^{\prime REF} \right)^{2}} \right) \left(1 - \frac{\tan^{-1} e^{\prime REF}}{e^{\prime REF}} \right) - 1$$

By applying equation (4.31) to equation (4.25) the geoid heights as defined above can be written as a spherical harmonic series.

$$N(r,\theta,\lambda) = \frac{GM^{REF}}{r\gamma_0} \sum_{n=0}^{N} \left(\frac{a^{REF}}{r} \right)^n \sum_{m=0}^{n} \left(\Delta \overline{C}_{nm} \cos m\lambda + \Delta \overline{S}_{nm} \sin m\lambda \right) \overline{P}_{nm} \left(\cos \theta \right)$$
(4.35)

Gravity anomalies are derived from the fundamental equation of physical geodesy introducing the derivatives along the plumb line of the disturbing potential and the normal gravity (see Heiskanen / Moritz, 1967, p.86).

$$\Delta g = g_P - \gamma_0 = -\frac{\partial T}{\partial h} + \frac{1}{\gamma_0} \frac{\partial \gamma}{\partial h} T \tag{4.36}$$

with g_P magnitude of gravity at the geoid

In spherical approximation (by approximating the real plumb line with the geocentric vector) it becomes (Heiskanen / Moritz, 1967, p. 88):

$$\Delta g = -\frac{\partial T}{\partial r} - \frac{2}{a^{REF}}T\tag{4.37}$$

By applying the spherical approximation of (4.37) to equation (4.25) we get the spherical harmonic series for the gravity anomalies:

$$\Delta g(r,\theta,\lambda) = \frac{GM^{REF}}{r^2} \sum_{n=0}^{N} (n-1) \left(\frac{a^{REF}}{r} \right)^n \sum_{m=0}^{n} \left(\Delta \overline{C}_{nm} cosm\lambda + \Delta \overline{S}_{nm} sinm\lambda \right) \overline{P}_{nm} \left(cos\theta \right)$$
(4.38)

The above formulas are given in spherical approximation.

In a similar way the deflections of the vertical are defined in spherical approximation as (see Heiskanen / Moritz, 1967, p. 112, equation 2-204):

$$\xi = -\frac{I}{a^{REF}} \frac{\partial N}{\partial \varphi} \quad ; \quad \eta = -\frac{I}{a^{REF} \cos \varphi} \frac{\partial N}{\partial \lambda}$$
 (4.39)





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where

- δ North-South deflection of the vertical at computation point positive towards North
- η East-West deflection of the vertical at computation point positive towards East

By applying the formulas (4.39) to (4.35) we get the spherical harmonic series expansions for both components of the vertical deflections.

$$\xi(r,\theta,\lambda) = -\frac{GM^{REF}}{a^{REF}} \sum_{n=0}^{N} \left(\frac{a^{REF}}{r} \right)^{n} \sum_{m=0}^{n} \left(\Delta \overline{C}_{nm} \cos m\lambda + \Delta \overline{S}_{nm} \sin m\lambda \right) \frac{\partial \overline{P}_{nm} \left(\cos \theta \right)}{\partial \theta}$$
(4.40)

$$\eta(r,\theta,\lambda) = \frac{GM^{REF}}{a^{REF}r\gamma_0\cos\varphi} \sum_{n=0}^{N} \left(\frac{a^{REF}}{r}\right)^n \sum_{m=0}^{n} \left(-m\Delta \overline{C}_{nm}\sin m\lambda + m\Delta \overline{S}_{nm}\cos m\lambda\right) \overline{P}_{nm}\left(\cos\theta\right)$$

$$(4.41)$$

The derivative of the associated Legendre functions can be computed with the following formula (see Kautzleben, 1965):

$$2\frac{\partial \overline{P}_{n,m}(\cos\theta)}{\partial \theta} = \begin{cases} -\sqrt{2n(n+1)}\overline{P}_{n,l}(\cos\theta) & (m=0)\\ \sqrt{2n(n+1)}\overline{P}_{n,0}(\cos\theta) - \sqrt{(n-1)(n+1)}\overline{P}_{n,2}(\cos\theta) & (m=1)\\ \sqrt{(n+m)(n-m+1)}\overline{P}_{n,m-l}(\cos\theta) - \sqrt{(n-m)(n+m+1)}\overline{P}_{n,m+l}(\cos\theta) & (m>1) \end{cases}$$

$$(4.42)$$

4.4.3.2 More Accurate Formulations for Derived Quantities

In order to avoid downward continuation inside the masses to the reference ellipsoid, Molodensky introduced the Earth surface as boundary surface, where the spherical harmonic series is evaluated. This implies that several approximations introduced before are not applicable anymore and a more rigorous formulation has to be applied.





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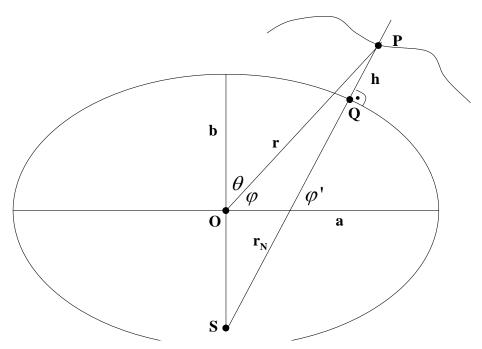


Figure 4-6: Geometry for a point P on the Earth's surface and the ellipsoid. (the ellipsoidal longitude λ ' and geocentric longitude λ are identical. It holds for all points P: $\lambda = \lambda$ ')

The computation points P for the derived quantities are located on the Earth's surface (see Figure 4-6). As for the case when P is located on the ellipsoid the radial distance r from the geocenter to the computation point P is computed for each given geocentric latitude φ (or colatitude θ) and for the height h of the point above the ellipsoid (distance point Q-P).

First, the normal radius of the curvature r_N^{REF} (distance point S-Q) is computed by (see Torge, 2001, p. 96, equation 4-15) (with e^{REF} defined by equation (4.26)):

$$r_N^{REF} = \frac{a^{REF}}{\sqrt{1 - \left(e^{REF}\right)^2 \sin^2 \varphi'}} \tag{4.43}$$

The geocentric latitude and the radial distance are computed by (Torge, 2001, p. 100, equation 4-27):





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$$\overline{X} = (r_N^{REF} + h)\cos\varphi'\cos\lambda'$$

$$\overline{Y} = (r_N^{REF} + h)\cos\varphi'\sin\lambda'$$

$$\overline{Z} = \left(\left(1 - \left(e^{REF}\right)^2\right)r_N^{REF} + h\right)\sin\varphi'$$

$$\varphi = \arctan\frac{\overline{Z}}{\sqrt{\overline{X}^2 + \overline{Y}^2}} \; ; \; \theta = 90^0 - \varphi$$

$$\lambda = \arctan\frac{\overline{Y}}{\overline{X}}$$

$$r = \sqrt{\overline{X}^2 + \overline{Y}^2 + \overline{Z}^2}$$
(4.44)

In order to compute the disturbing potential at the Earth's surface, equation (4.25) is evaluated using the geocentric co-latitude θ , the longitude λ and the geocentric distance r as they are defined in equations (4.44). This means that for each point on the Earth's surface the degree dependent term in equation (4.25) $\left(a^{REF}/r\right)^n$ as well as the normalized associated Legendre polynomials $\overline{P}_{nm}(\cos\theta)$ have to be recomputed, because φ and r are dependent on the height h of each point. (Note: in case of evaluation of equation (4.25) for an equi-angular grid on the reference ellipsoid the degree dependent term as well as the associated Legendre polynomials only have to be recomputed only once for each parallel).

Before gravity quantities derived from the disturbing potential can be computed, the different height systems have to be introduced (see Figure 4-7).

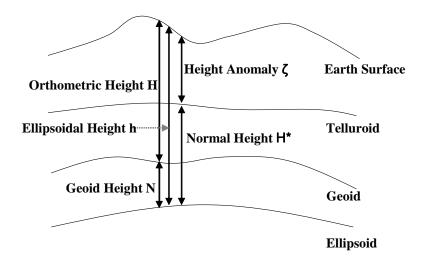


Figure 4-7: Definition of Height Systems

The height anomaly is defined as the distance between the telluroid and the Earth's surface. The telluroid is defined by the condition that the normal potential at the telluroid point equals to the gravity potential of the corresponding point on the Earth's surface. By applying Bruns formula to equation (4.25) for the disturbing potential on the Earth's surface we get the





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relation between height anomalies and the disturbing potential ((Heiskanen / Moritz, 1967, p. 293, equation 8-10).

$$\varsigma = \frac{T}{\gamma} \tag{4.45}$$

where: ς height anomaly

T disturbing potential on the Earth's surface

 γ normal gravity acceleration at telluroid

By applying equation (4.45) to equation (4.25) the height anomalies as defined above are written as a spherical harmonic series:

$$\varsigma(r,\theta,\lambda) = \frac{GM^{REF}}{r\gamma} \sum_{n=0}^{N} \left(\frac{a^{REF}}{r} \right)^{n} \sum_{m=0}^{n} \left(\Delta \overline{C}_{nm} \cos m\lambda + \Delta \overline{S}_{nm} \sin m\lambda \right) \overline{P}_{nm} \left(\cos \theta \right) \tag{4.46}$$

where r now is the distance from the geocenter to the point on the Earth's surface (compare Figure 4-6) and where γ is computed at topographic height H^* (see Heiskanen / Moritz, p. 79, equation 2-123):

$$\gamma = \gamma_0 \left(1 - \frac{2}{a^{REF}} \left(1 + f^{REF} + m_\gamma - 2f^{REF} \sin^2 \varphi' \right) H^* + \frac{3}{\left(a^{REF} \right)^2} \left(H^* \right)^2 \right)$$
(4.47)

with γ_0 normal gravity on the reference ellipsoid (see equation (4.32))

 f^{REF} flattening of the ellipsoid $f^{REF} = \frac{a^{REF} - b^{REF}}{a^{REF}}$

 m_{γ} centrifugal force at equator divided by gravitational

acceleration at the equator (see equation (4.34))

H* normal height

In order to compute height anomalies from a spherical harmonic series of the disturbing potential we have to know the normal heights of the telluroid points. Since not for all areas in the world normal heights are available (depending on the height system used in each country) they could be approximated by orthometric heights, which are also often used as height system. The difference between the normal and orthometric heights is equal to the difference between height anomalies and geoid heights (see Heiskanen / Moritz, 1967, page 327, equation 8-102). It can reach up to a few decimeters or more in mountainous areas (e.g. 0.5 meters for Mont Blanc with an elevation of 4807 meters).

Free-air gravity anomalies (referring to ground level) are defined by the difference of the scalar gravity on the surface of the Earth and the normal gravity on the telluroid (see Heiskanen / Moritz, 1967, p.293, equation 8-7).





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$$\Delta g = g_P - \gamma \tag{4.48}$$

with g_P magnitude of gravity at Earth' surface magnitude of normal gravity at telluroid

In order to avoid spherical approximation (as in equation (4.37)) the magnitudes of both vectors are computed by the gradients of the gravitational potential and the normal potential, respectively, applying the following local right handed rectangular coordinate system: x positive towards the North, y positive towards the West, z: from geocenter to local origin pointing radially outward (compare LNOF system defined in 4.4.1).

$$g_{P} = \left| \operatorname{grad} W_{P} \right| = \sqrt{\left(\frac{\partial W}{\partial x} \right)_{P}^{2} + \left(\frac{\partial W}{\partial y} \right)_{P}^{2} + \left(\frac{\partial W}{\partial z} \right)_{P}^{2}}$$

$$\gamma = \left| \operatorname{grad} U \right| = \sqrt{\left(\frac{\partial U}{\partial x} \right)^{2} + \left(\frac{\partial U}{\partial y} \right)^{2} + \left(\frac{\partial U}{\partial z} \right)^{2}}$$

$$(4.49)$$

from (4.18), after transformation of the coefficients to the constants of the reference ellipsoid applying equation (4.21) and from (4.23), after some rearrangement and introduction of geocentric spherical coordinates, the gravity and normal potentials are defined as spherical harmonic series including the centrifugal potential by (see Torge, 2001, p. 55, equation 3.38 for the centrifugal potential):

$$W(r,\theta,\lambda) = \frac{GM^{REF}}{a^{REF}} \sum_{n=0}^{N_{max}} \left(\frac{a^{REF}}{r}\right)^{n+1} \sum_{m=0}^{n} \left(\overline{C}_{nm}^{ELL} \cos m\lambda + \overline{S}_{nm}^{ELL} \sin m\lambda\right) \overline{P}_{nm} \left(\cos \theta\right) + \frac{1}{2} \left(\omega^{REF}\right)^{2} r^{2} \sin^{2} \theta$$

$$(4.50)$$

$$U(r,\theta,\lambda) = \frac{GM^{REF}}{a^{REF}} \left(I + \sum_{n=2(2)}^{8} \left(\frac{a^{REF}}{r} \right)^{n+1} \overline{C}_{n}^{REF} \overline{P}_{n} \left(\cos \theta \right) \right) + \frac{I}{2} \left(\omega^{REF} \right)^{2} r^{2} \sin^{2} \theta$$

$$(4.51)$$

The derivatives of the gravity potential, after introducing spherical coordinates for equation (4.49), then are computed by (compare to equations (4.40) and (4.41)):





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$$\begin{split} \frac{\partial W}{\partial x} &= \frac{\partial W}{r \partial \varphi} = -\frac{GM^{REF}}{ra^{REF}} \sum_{n=0}^{N_{max}} \left(\frac{a^{REF}}{r} \right)^{n+1} \sum_{m=0}^{n} \left(\overline{C}_{nm}^{ELL} \cos m\lambda + \overline{S}_{nm}^{ELL} \sin m\lambda \right) \frac{\partial \overline{P}_{nm} \left(\cos \theta \right)}{\partial \theta} + \\ &+ \left(\omega^{REF} \right)^{2} r \sin \theta \cos \theta \\ \frac{\partial W}{\partial v} &= -\frac{\partial W}{r \cos \varphi \partial \lambda} = -\frac{GM^{REF}}{r \cos \varphi a^{REF}} \sum_{n=0}^{N_{max}} \left(\frac{a^{REF}}{r} \right)^{n+1} \sum_{m=0}^{n} \left(-m \overline{C}_{nm}^{ELL} \sin m\lambda + m \overline{S}_{nm}^{ELL} \cos m\lambda \right) \overline{P}_{nm} \left(\cos \theta \right) \end{split}$$

$$\frac{\partial W}{\partial z} = \frac{\partial W}{\partial r} = -\frac{GM^{REF}}{ra^{REF}} \sum_{n=0}^{N_{max}} (n+I) \left(\frac{a^{REF}}{r}\right)^{n+1} \sum_{m=0}^{n} \left(\overline{C}_{nm}^{ELL} \cos m\lambda + \overline{S}_{nm}^{ELL} \sin m\lambda\right) \overline{P}_{nm} \left(\cos \theta\right) + \left(\omega^{REF}\right)^{2} r \sin^{2} \theta$$

$$(4.52)$$

In analogy the derivatives of the normal potential can be computed.

$$\frac{\partial U}{\partial x} = \frac{\partial U}{r\partial \varphi} = -\frac{GM^{REF}}{ra^{REF}} \sum_{n=2(2)}^{8} \left(\frac{a^{REF}}{r}\right)^{n+1} \overline{C}_{n}^{REF} \frac{\partial \overline{P}_{n}(\cos\theta)}{\partial \theta} + \left(\omega^{REF}\right)^{2} r \sin\theta \cos\theta$$

$$\frac{\partial U}{\partial y} = -\frac{\partial U}{r \cos\varphi\partial \lambda} = 0$$

$$\frac{\partial U}{\partial z} = \frac{\partial U}{\partial r} = -\frac{GM^{REF}}{ra^{REF}} \sum_{n=2(2)}^{8} (n+1) \left(\frac{a^{REF}}{r}\right)^{n+1} \overline{C}_{n}^{REF} \overline{P}_{n}(\cos\theta) + \left(\omega^{REF}\right)^{2} r \sin^{2}\theta$$
(4.53)

The deflection of the vertical is defined as the angle between the real plumb line direction and the ellipsoidal normal vector for a point on the Earth surface. The two components of the deflection of the vertical are computed by the following formulas (see Heiskanen / Moritz, 1967, p. 83, equation 2-140):

$$\xi = \Phi - \varphi'$$
; $\eta = (\Lambda - \lambda)\cos\Phi$ (4.54)

where ξ North-South deflection of the vertical at computation point positive towards North

 η East-West deflection of the vertical at computation point positive towards East

 Φ, Λ Astronomical coordinates representing the real plumb line φ', λ Ellipsoidal coordinates representing the ellipsoid normal

The astronomical coordinates are related to the gravity potential at the surface of the Earth by the following relations (see Heiskanen / Moritz, 1967, p. 57, equation 2.29). As for equation (4.49) the same local orthogonal right handed coordinate system is applied (x positive towards the North, y positive towards the East, z: from geocenter to local origin pointing radially outward).





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$$\Phi = \arctan\left(\frac{-\frac{\partial W}{\partial z}}{\sqrt{\left(\frac{\partial W}{\partial x}\right)^{2} + \left(\frac{\partial W}{\partial y}\right)^{2}}}\right); \quad \Lambda = \arctan\left(-\frac{\frac{\partial W}{\partial y}}{\frac{\partial W}{\partial x}}\right)$$
(4.55)

In analogy the ellipsoidal coordinates are related to the normal potential by the following formulas:

$$\varphi' = \arctan\left(\frac{-\frac{\partial U}{\partial z}}{\sqrt{\left(\frac{\partial U}{\partial x}\right)^{2} + \left(\frac{\partial U}{\partial y}\right)^{2}}}\right); \quad \lambda' = \arctan\left(-\frac{\frac{\partial U}{\partial y}}{\frac{\partial U}{\partial x}}\right)$$
(4.56)

The derivatives of the gravity potential and the normal potential are computed by equations (4.52) and (4.53). After solving equations (4.55) and (4.56) finally the deflections of the vertical are computed by equations (4.54).





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5. LEVEL-2 PRODUCT DEFINITIONS

5.1 PRODUCT IDENTIFIER

The product file names within the GOCE project follow the Earth Explorer standard [AD-9]. Each product file name is composed of the following components:

 $\verb|MM_CCCCC_TTTTTTTTTT_yyyymmddThhmmss_YYYYMMDDTHHMMSS_vvvv.XXX| \\$

The meaning of these components is:

MM Mission identifier: GO for GOCE
CCCC File class: CONS for final products

TTTTTTTTT File type (see Table 4-3): e.g. EGG_NOM_2_, EGM_GOC_2_, SST_PSO_2_

vvvv Version number

xxx File extension: e.g. HDR for XML header file, DBL for XML data block

yyyymmddThhmmss Product validity start time in year, month, day and time in hours, minutes, seconds

[UTC]

YYYYMMDDTHHMMSS Product validity stop time in year, month, day and time in hours, minutes, seconds

[UTC]





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5.2 PRODUCT DESCRIPTIONS

5.2.1 EGG_NOM_2_

Calibrated and corrected gravity gradients in the GRF are provided in daily files in the product EGG_NOM_2_ with a latency of 2 weeks. These gravity gradients (GG) are a level 2 product by themselves and they are used in the gravity field analysis to produce EGM_GOC_2_ (see below). They are also provided in a Local North Oriented Frame in the product EGG_TRF_2 (see below). A summary of EGG_NOM_2_ is given in the table below.

Input

As input for EGG_NOM_2_ the internally calibrated GOCE GG are used (EGG_NOM_1b) as well as the GRF to IRF attitude quaternions (EGG_IAQ_1b) and the reduced dynamic precise science orbit SST_PSO_2, which also includes the EFRF to IRF quaternions. In addition, a state-of-the-art priori global gravity field model is used.

Output

The product EGG_NOM_2_ consists of corrected and calibrated gravity gradients in the GRF. Besides the gravity gradients, temporal corrections are given (direct tide, Earth tide, ocean tide, pole tide and non-tidal correction for each of the 6 GGs at each epoch). In addition, calibration parameters are given, GG error estimates and flags for data expected to be an outlier or when a data gap has been filled by interpolation. The GPS time of each epoch is also given.

Algorithm

First, temporal corrections are computed for all GGs. Then outliers and data gaps are searched for and flagged if detected. Fill-in values are provided for these epochs if the number of consecutive epochs with outliers or data gaps is small (< 5 s along track). The corresponding GG is flagged. Next, gravity gradient calibration parameters (scale factors) are determined using global gravity field models and the GG error is assessed. In addition, the GG calibration is validated using terrestrial gravity data and GOCE SST data. The GG scale factors determined using global gravity field models and the GG scale factors (SF) determined with GOCE SST are directly compared. Both methods deliver GG SFs with an accuracy of 10⁻³. Terrestrial gravity data are used to validate the GG SFs determined using global gravity field models. In the validation the GG SFs should be equal to one with an accuracy at the 10⁻² level. A final selection of the GG SFs is done after analysis of the results from the different methods.





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Summary Table

Product Name	EGG NOM 2				
Product Description	Gravity Gradients in the Gradiometer Reference Frame (GRF) (see 4.4.1)				
_	corrected for temporal gravity field variations. Outliers and data gaps are				
	identified and external calibration is applied.				
Representation	Time series				
Reference Frame	GRF (HPF GOCE standards apply, see chapter 4.4.1)				
Time System	GPS time (HPF GOCE standards apply, see chapter 4.4.1)				
Spatial Coverage	N/A				
Temporal Coverage	1 day				
Spatial Resolution	≈ 8 km along-track				
Temporal Resolution	1 s				
Input Data	Internally calibrated gravity gradients from the PDS (EGG_NOM_1b)				
	product)				
	2. GRF to IRF rotation matrix (from EGG_NOM_1b, EGG_IAQ_1b				
	measurement data set)				
	3. GOCE precise science orbit & EFRF to IRF rotation matrix (SST_PSO_2_				
	4. Spherical harmonic series for temporal corrections (SST_AUX_2_)				
	5. A priori gravity gradient error model				
	6. A priori gravity field model which is used in the outlier detection and the				
	external calibration (external)				
	7. Indirectly: GOCE SST, terrestrial gravity data				
Output Data	1. Externally calibrated gravity gradients in GRF and GG calibration corrections				
	2. Corrections to gravity gradients due to temporal gravity field variations				
	3. Flags for outliers, fill-in gravity gradients for data gaps with flags				
	4. Gravity gradient error estimates				
	5. Gravity gradient external calibration parameters (separate product, not part of				
	EGG_NOM_2_)				
	6. Inertial attitude quaternions from L1B product EGG_NOM_1B (EGG_IAQ).				
Units	S.I. $(1/s^2)$ for the gravity gradients and the corrections)				
Data Format	See chapter 5.3				
Latency	2 weeks				
Volume	230 MB uncompressed, 22 MB compressed				





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5.2.2 EGG_TRF_2_

Calibrated gravity gradients in the LNOF are provided in monthly files in the product EGG_TRF_2_ with a latency of 1 month after each MOP. A summary of EGG_TRF_2_ is given in the table below.

Input

As input for EGG_TRF_2_ the externally calibrated GOCE GG are used (EGG_NOM_2_) as well as the GRF to IRF attitude quaternions (EGG_IAQ_1b) and the reduced dynamic precise science orbit SST_PSO_2_ which also includes the EFRF to IRF quaternions is used. In addition, a state-of-the-art a priori global gravity field model and a GOCE QL global gravity field model is used.

Output

The product EGG_TRF_2_ consists of calibrated gravity gradients in the LNOF. Besides the gravity gradients, GG error estimates are given and flags for data expected to be an outlier or when a data gap has been filled by interpolation. The GPS time of each epoch as well as the geocentric coordinates (latitude, longitude, r) are also given.

Algorithm

The gravity gradients in the LNOF are obtained by a direct point-wise rotation. However, 4 of the GOCE gravity gradients have high accuracy in the MBW, whereas the other 2 are less accurate. A direct point-wise rotation without any additional processing would project the larger error of the less accurate GGs into the accurate GGs in the LNOF, which is undesirable. The GOCE Γ_{xy} and Γ_{yz} gradients are therefore not used but replaced by GGs computed from a GOCE QL gravity field model. Furthermore, the 1/f error of the GOCE GGs, that is, the increasing error for long wavelengths, may leak into the MBW due to the point-wise rotation. In order to prevent this leakage, all six gravity gradients (4 directly from GOCE and 2 from a GOCE QL model) are high-pass filtered such that the signal in and above the MBW is kept. The GG signal below the MBW is replaced by model GG using a state-of-the-art a priori global gravity model which is expected to be accurate at long wavelengths. In short, the algorithm is as follows:

- Compute model GG components Γ_{xy} and Γ_{yz} using a GOCE QL gravity field model.
- High-pass filter the four accurate GOCE GGs (EGG_NOM_2_) and the two model GGs
- Replace the signal below the MBW with GG signal from a state-of-the-art gravity field model.
- Rotate from GRF to LNOF.

Note

The EGG_TRF_2_ gravity gradients should be used for local applications. Because of the use of (external) gravity field models to compute the long wavelength part of these gravity gradients, they should not be used for global gravity field analysis. Or at least the results from such an analysis should be interpreted with care.





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Summary Table

Product Name	EGG TRF 2			
Product Description	Gravity Gradients in Local North-Oriented reference Frame (LNOF) (see chapter			
P	4.4.1) corrected for temporal gravity field variations. Outliers and data gaps are			
	identified and external calibration is applied.			
Representation	Time series			
Reference Frame	LNOF: Local north-oriented reference frame (HPF GOCE standards apply, see			
	chapter 4.4.1)			
Time System	GPS time (HPF GOCE standards apply)			
Spatial Coverage	Global coverage without polar caps (depends on inclination of GOCE orbit)			
Temporal Coverage	1 month			
Spatial Resolution	≈ 8 km along-track			
Temporal Resolution	1 s			
Input Data	1. GRF to IRF rotation matrix (EGG_IAQ_1b)			
	2. Externally calibrated gravity gradients in GRF (EGG_NOM_2_)			
	3. GOCE precise science orbit & EFRF to IRF rotation matrix (SST_PSO_2_)			
	4. GOCE QL gravity field model.			
	5. A priori gravity field model.			
Output Data	1. Externally calibrated gravity gradients in LNOF			
	2. Flags for outliers, fill-in gravity gradients for data gaps with flags			
	3. Gravity gradient error estimates			
Units	S.I. (1/s² for the gravity gradients)			
Data Format	See chapter 5.3			
Latency	1 month			
Volume	2.3 GB uncompressed, 140 MB compressed			





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5.2.3 SST_PSO_2_

The SST_PSO_2_-product consists of two different orbits (reduced-dynamic and kinematic) in the EFRF. They are generated using a tailored HPF version of the Bernese GPS Software (see Dach et al., 2007). The determination of both orbit solutions is realized in one processing scheme using undifferenced dual-frequency carrier phase observations from the on-board GPS receiver. The GPS orbit and Earth orientation parameter information is taken from the final orbit solution of the CODE (Center for Orbit Determination in Europe, located at AIUB) analysis center of the IGS. The high-rate GPS clock corrections (5 sec) are based on the CODE final clock solution (30 sec) and are generated in a separate procedure within the HPF. Both orbit solutions are based on the same set of screened carrier phase observations.

The daily reduced-dynamic orbit solution (10 sec sampling) is parameterized as follows:

- six initial osculating elements,
- three constant empirical accelerations in radial, along-track, and cross-track direction, and
- additional (constrained) piece-wise constant accelerations over six minutes in radial, along-track, and cross-track direction.

The kinematic orbit solution (1 sec sampling) is represented by unconstrained position estimates for each observation epoch. The variance-covariance information for the position estimates is included for a moving window covering nine consecutive epochs.

In order to support the use of the orbit solutions in the IRF, a rotation matrix between the EFRF and the IRF is included into the PSO product.

Finally, the quality report gives information about the accuracy of the PSO solutions. It also includes an independent quality assessment of the orbits using the SLR measurements to the GOCE satellite as well as a comparison with independently derived quick-look orbit solutions, referred to as Rapid Science orbits (RSO).

More details about the generation of the SST_PSO_2_ can be found in (Bock et al., 2007) and (Visser et al., 2006).





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Summary Table

Product Name	SST PSO 2	
Product Description	Precise science orbits from reduced-dynamic approach (positions and velocities) and kinematic approach (positions), both in EFRF (see section 4.4.1). Additionally included is variance-covariance information for the kinematic orbits (a moving window is used for the covariances with a length of 9 consecutive epochs) and the rotation matrix for each epoch from the EFRF to the IRF in terms of quaternions according to section 4.4.2.1. Furthermore a quality report based on the daily orbit solutions is included in the product.	
Representation	Orbits, variance-covariance matrices and rotation matrices are provided as time series, the quality report in PDF format.	
Reference Frame	EFRF	
Time System	GPS time	
Reference System	Not applicable	
Spatial Coverage	Global along satellite tracks	
Temporal Coverage	1 day	
Spatial Resolution	Not applicable	
Temporal Resolution	1 sec	
Input Data	GPS data; ancillary data from several sources (e.g. Earth rotation parameters)	
Output Data	Several sub-product files to be combined to one product	
Units	Positions [m], velocities [m/s], rotation angles [dimensionless]	
Data Format	See chapter 5.3	
Latency	4 weeks	
Volume	480 MB uncompressed, 21 MB compressed	





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5.2.4 EGM_GOC_2_

The EGM_GOC_2 products contain GOCE gravity field solutions in different representations. The elementary sub-product is a spherical harmonic series of the gravitational potential of the Earth up to a specific degree and order (EGM_GCF_2_). These coefficients and their standard deviations (computed from error variances) are estimated from GOCE SSTI and gradiometer observations taken within a specific period. For each measurement operational phase (MOP) as well as for the complete mission data set one gravity field model is computed.

The coefficients data set is accompanied by several derived gravity field quantities. These are geoid heights, gravity anomalies and deflections of the vertical. All sub-products are provided on equi-angular grids with a spatial resolution of 30'x30' within the coverage of the GOCE orbit (±83° latitude). They are computed by spherical harmonic synthesis from the complete series and refer to the chosen reference ellipsoid as specified in [AD-12] (see chapter 4.4.3 for formulas and their application). In order to avoid any dependency on external surface elevation data sets, which often have significant uncertainties, all derived quantities are computed by applying the approximations as explained in chapter 4.4.3.1. For highest accuracy requirements a precise digital elevation model for the computation area is required and the formulas as described in chapter 4.4.3.2 have to be applied. In this case the provided grids of derived gravity field quantities shall not be used and all computations shall start from the set of spherical harmonic coefficients.

For quality analysis of the gravity field solution additional information is provided. Standard deviations for the spherical harmonic coefficients are provided together with the series. Further-on the full variance co-variance matrix is provided in a separate product (see chapter 5.2.5). By full error propagation geoid height errors (standard deviations) are computed from the coefficient variance co-variance matrix. These errors are provided on the same grid as the derived gravity field quantities as described above. Finally, in order to assess the overall internal and external quality of the model a quality report is attached to the product. This report contains results of comparisons to independently derived gravity field models (from various data and missions) as well as to in-situ observations of gravity field quantities.





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Summary Table

Product Name	EGM_GOC_2_			
Product Description	GOCE gravity field model:			
-	The coefficients of the spherical harmonic series of the gravitational potential are			
	provided as defined in equation (4.18) (sub-product EGM GCF 2).			
	As derived quantities grids of geoid heights, gravity anomalies and deflections of			
	the vertical are additionally included in the product. These quantities are defined			
	as follows:			
	Geoid heights are defined in equation (4.35) (sub-product EGM_GEO_2_). Gravity anomalies are defined in equation (4.38) (sub-product EGM_GAN_2_). Deflections of the vertical are defined in equations (4.40) and (4.41) (sub-products			
	EGM_GVE_2_ and EGM_GVN_2_).			
	Errors are provided as variances and covariances of the coefficients of the			
	spherical harmonic series of the gravitational potential. Variances are included in			
	the spherical harmonic series while the full variance-covariance matrix is provided			
	in product EGM_GVC_2. The full variance-covariance matrix of the coefficients			
	is propagated to geoid height errors on a grid applying equation (4.20) (sub-			
	product EGM_GER_2_).			
	Further-on a quality report based on an extensive product validation is included in			
	the product (sub-product EGM_GRP_2_).			
Representation	The gravity field is provided as spherical harmonic series up to a specific degree			
-	and order. Derived quantities are provided on equi-angular grids. The quality			
	report is provided in PDF format.			
Reference Frame	EFRF			
Time System	Not applicable			
Reference System	WGS84 reference ellipsoid for geoid heights (and errors), gravity anomalies and			
	deflections of the vertical.			
Spatial Coverage	Spherical harmonic series: global by definition			
	Grids in the area $\pm 83^{\circ}$ latitude: limited by GOCE inclination (96,7°).			
Temporal Coverage	At least 1 measurement operational phase. It is planned to release one GOCE			
	gravity field model for each measurement operational phase and the final model			
	based on all GOCE data.			
Spatial Resolution	Spherical harmonic series are provided up to a maximum degree and order, which			
	depends on the data coverage (approximately degree and order 250). Derived			
	quantities are provided as point values on 30'x30' equi-angular grids.			
Temporal Resolution	Not applicable			
Input Data	GOCE gradiometer and GPS data; Ancillary data from different sources.			
Output Data	Several sub-product files to be combined to one product			
Units	Spherical harmonic coefficients are dimensionless, Geoid heights and standard			
	deviations in [m], gravity anomalies in [m/s ²], deflections of the vertical in [arc			
	sec].			
Data Format	See chapter 5.3			
Latency	6 months after completion of each measurement operational phase			
Volume	144 MB uncompressed, 33 MB compressed			





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5.2.5 EGM_GVC_2_

The GOCE gravity field models (EGM_GOC_2_) are estimated by an adjustment process. Apart from the solution the full variance-co-variance matrix is estimated within this process. This matrix on one hand provides the error variances of the estimated coefficients of the spherical harmonic series and on the other hand it also provides the co-variances (or correlations) between the estimated coefficients. By further analyzing and processing this matrix a complete error analysis for the GOCE gravity field models can be performed. It shall be noted that the error variances and co-variances represent an internal error measure, which can not always be regarded as a realistic absolute error. But, during computation of the GOCE gravity field models it will be taken care that the provided errors are at least close to realistic absolute errors. As GOCE provides completely new information in the mid frequency range of the gravity field also comparisons to independent gravity field solutions. Such analyses are provided in the quality report of the EGM_GOC_2_ product (see chapter 5.2.4).

Summary Table

Product Name	EGM_GVC_2_	
Product Description	Variance-covariance matrix for the coefficients of the spherical harmonic series of the GOCE gravity field model EGM_GOC_2 The product contains the full variance and covariance information for the model.	
Representation	The matrix is provided for the complete spherical harmonic series.	
Reference Frame	EFRF	
Time System	Not applicable	
Reference System	Not applicable	
Spatial Coverage	Spherical harmonic series: global by definition	
Temporal Coverage	At least 1 measurement operational phase. The variance-covariance matrix is released each time a new GOCE gravity field product is finalized.	
Spatial Resolution	Variance-co-varoiance matrix is provided up to a maximum degree and order, which depends on the EGM_GOC_2 product	
Temporal Resolution	Not applicable	
Input Data	GOCE gradiometer and GPS data; ancillary data from different sources.	
Output Data	Full variance-covariance matrix	
Units	Variances and co-variances of spherical harmonic coefficients are dimensionless.	
Data Format	See chapter 5.4	
Latency	6 months after completion of each measurement operational phase	
Volume	Several GB	





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5.2.6 SST_AUX_2_

The goal of the GOCE mission is to determine the static part of the Earth gravity field. Due to the space time sampling of the GOCE orbits time variable mass variations in the system Earth have to be taken into account during data analysis in order to eliminate them. For the final GOCE gravity field solutions (EGM_GOC_2_) the complete set of tidal and non-tidal variations is taken into account. For tidal effects the models as specified in [AD-12] are applied in data analysis and are provided as corrections to the gravity gradients (see EGG_NOM_2_ in chapter 5.2.1). For non-tidal effects atmospheric, oceanic and remaining long wavelength annual mass variations are considered. They are computed from atmospheric data from the ECMWF operational analysis, from bottom pressure estimates from the OMCT model (Thomas, 2002) and from a GRACE monthly gravity field time series.

6-hourly atmospheric mass variations are estimated from surface pressure and 3-D temperature and specific humidity fields all referenced to a long-term mean field, which represents the static atmosphere contribution. The ocean model is driven by atmospheric parameters from the same model and provides 6-hourly estimates of ocean bottom pressure also referenced to a long-term mean field. Both sets are combined over the oceans and a spherical harmonic analysis is performed, such that residual gravity field spherical harmonic series are computed. Finally, from the GRACE gravity field time series annual amplitudes and phases are estimated for the long wavelength coefficients. As the same atmospheric and oceanic mass variations are eliminated in the GRACE fields, they represent remaining mass variation effects due to hydrology, ice mass variations and others. For each 6-hourly time step the annual GRACE variations are interpolated and added to the atmosphere/ocean coefficients.

In the SST_AUX_2_ product every 6 hours a set of residual gravity potential spherical harmonic series is provided. This series can be regarded as a correction to the static gravity field. In the EGG_NOM_2_ product the non-tidal corrections for all 6 gravity gradients are computed based on these spherical harmonic series. For the analysis of the SSTI data for gravity field determination the SST_AUX_2_ product shall be used as correction.





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Summary Table

Product Name	SST AUX 2		
Product Description	Non-tidal time variable gravity field potential with respect to a mean value in		
_	terms of a spherical harmonic series determined from atmospheric and oceanic		
	mass variations as well as from a GRACE monthly gravity field time series.		
Representation	The non-tidal time variable gravity field is provided as spherical harmonic series		
	up to a specific degree and order.		
Reference Frame	EFRF		
Time System	UTC		
Reference System	Not applicable		
Spatial Coverage	Spherical harmonic series: global by definition		
Temporal Coverage	All mission operation phases.		
Spatial Resolution	Spherical harmonic series are provided up to a maximum degree and order		
	sufficient to take into account all relevant time variable signals (e.g. 100).		
Temporal Resolution	6 hours		
Input Data	ECMWF atmospheric model data.		
	Ocean bottom pressure from OMCT model.		
Output Data	Gravity potential spherical harmonic series		
Units	Spherical harmonic coefficients are dimensionless,		
Data Format	See chapter 5.3		
Latency	2 weeks		
Volume	1 MB		





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5.3 XML PRODUCT FORMATS

This chapter contains the description of the XML format of all level 2 products except for the variance-covariance matrix product EGM_GVC_2, which are_generated by the HPF for the GOCE mission. The description of the EGM_GVC_2 format is provided in chapter 5.4. The purpose of the chapter is to define the product structure and content of each level 2 product generated in the HPF identifying for each data section and field the meaning and the format to be used for its representation.

5.3.1 File Structure

The file structure of any Level 2 file produced by the HPF must follow the requirements of the [AD-9].

Each level 2 product is composed by two files:

- XML Header file, with extension ".HDR"
- XML Product file, with extension ".DBL".

Both files are packed using tar/gzip for distribution, with extension ".TGZ".

All Level 2 files produced by the HPF in XML format begin with the following XML declaration:

```
<?xml version="1.0" encoding="US-ASCII"?>
```

The XML Header file is an auxiliary ASCII file (in XML format) that users can easily access for identifying the product without needs to look inside the XML Product file.

The XML Product file is the real product containing meaningful instrument data or data used by ad hoc development standard tools for inspecting the product's content.

5.3.2 XML Header File

The XML Header file contains information identifying the product and is easy to read as based on a standard syntax accessed by common tools available for visualizing its content. The XML header file consists of:

- A fixed header
- A variable header

The Fixed Header (hereafter called Standard GOCE Header) is the common header for all files produced by the HPF. The Variable Header (hereafter called Product Header) is the header with the format and content depending on the file type and kind of product.

Standard GOCE Header (Fixed Header)





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The standard GOCE header is completely ASCII and based on XML and conventions proposed in [AD-9].

The fixed header fields are specified in the following table:

Tag Name	Туре	Comment
File_Name	string	file name without extension
File_Description	string	restricted number of possible values
Notes	string	reserved for future use
Mission	string	shall always be "GOCE"
File_Class	string	
File_Type	string	
Validity_Period	structure	
+ Validity_Start	string	UTC=yyyy-mm-ddThh:mm:ss
+ Validity_Stop	string	UTC=yyyy-mm-ddThh:mm:ss
File_Version	integer	
Source	structure	
+ System	string	"GOCE High Level Processing Facility (HPF)"
+ Creator	string	"HPF's Central Processing Facility (CPF) using
+ Cleator		cpf_eef_create"
+ Creator_Version	string	version of the creator tool
+ Creation_Date	string	UTC=yyyy-mm-ddThh:mm:ss

Product Header (Variable Header)

The Product Header for level 2 products is composed by:

- a XML Main Product Header (MPH)
- a XML Specific Product Header (SPH) which includes Data Set Descriptors for reference to external input files and Measurement Data of the Product.

XML Main Product Header (MPH)

Tag Name	Туре	Comment
Product	string	file name without extension
Ref_Doc	string	shall always be "GO-ID-HPF-GS-0041"
Acquisition_Station	empty	
Processor	structure	
+ Proc_Stage	character	ENUM('O','T','R','C')
+ Proc_Center	string	"HPF" for L2, else Workpackage/Institute
+ Proc_Time	string	UTC=yyyy-mm-ddThh:mm:ss
+ Software_Ver	string	Processorname/VV.rr
Time_Information	structure	
+ Sensing	structure	
++ Start	string	UTC=yyyy-mm-ddThh:mm:ss
++ Stop	string	UTC=yyyy-mm-ddThh:mm:ss
+ Abs_Orbit	struture	
++ Start	integer	
++ Stop	integer	
Phase	character	not used, set to 'X'
Cycle	integer	not used, set to zero
Rel_Orbit	integer	not used, set to zero
Abs_Orbit	integer	not used, set to zero





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State_Vector_Time	empty	
X_Position	float	not used, set to '0.000'
Y_Position	float	not used, set to '0.000'
Z_Position	float	not used, set to '0.000'
X_Velocity	float	not used, set to '0.000000'
Y_Velocity	float	not used, set to '0.000000'
Z_Velocity	float	not used, set to '0.000000'
Vector_Source	empty	
Product_Err	integer	0: no errors; 1 errors have been reported
DBL_Size	integer	format='(013d)'
HDR_Size	integer	format='(011d)'
Num_DSD	integer	
Num_Data_Sets	integer	number of DSDs with Dta_Set_Type='O'
CRC	integer	not used, set to '-1'

XML Specific Header (SPH)

Tag Name	Туре	Comment		
SPH descriptor string		equal to File_Type (see fixed header)		
Original_Source	structure			
+ Product	string	Prod. name of orig. src. in HPF format		
Time_Information	structure			
+ GPS_Time	structure			
++ Start	string	format='(%20.9f)'		
++ Stop	string	format='(%20.9f)'		
+ Abs_Orbit	structure			
++ Start	integer			
++ Stop	integer			
<pre><pre><pre><pre></pre></pre></pre></pre>	structure	(main) product identifier		
Product Specific tags are included here (see paragraph Fehler! Verweisquelle konnte				
nicht gefunden werden. tillF		isquelle konnte nicht gefunden werden. for		
details)				
DSDs	structure			
+ List_of_DSDs	structure	attribute: 'count'		
++ Data_Set_Descriptor	structure	repeated 'count' times		
+++ Data_Set_Name	string			
+++ Data_Set_Type	character	ENUM('I','O','S')		
+++ File_Name	string	name of Reference File		
+++ Num_Epochs	integer			
+++ MD5	string			

5.3.2.1 SPH product specific tags: EGG_NOM_2

Tag Name	Туре	Comment
Original_Source	structure	
+ Format	structure	
+ + Name	string	'GG_time'
+ + Version	string	
Product_Type	string	"quick_look" or "final"
Input	structure	
+ L1	string	"fast", "consolidated", or "recomputed"
+ L2	string	"quick_look" or "precise"





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Reference_System	string	shall always be "GRF"
Tide_System	string	"zero_tide", "tide_free" or "unknown"
Gravity_Model	string	reference gravity model used
Errors	string	"formal" or "calibrated"

5.3.2.2 SPH product specific tags: EGG_TRF_2

Tag Name	Туре	Comment
Original_Source	structure	
+ Format	structure	
+ + Name	string	'GG_spatial'
+ + Version	string	
Product_Type	string	shall always be "final"
Input	structure	
+ L1	string	"consolidated", or "recomputed"
+ L2	string	shall always be "precise"
Reference_System	string	shall always be "TRF"
Tide_System	string	"zero_tide", "tide_free" or "unknown"
Gravity_Model	string	reference gravity model used
Errors	string	"formal" or "calibrated"

5.3.2.3 SPH product specific tags: SST_PSO_2

Tag Name	Type	Comment
SST_PRP_2	structure	sub-product
+ Original_Source	structure	
+ + Format	structure	
+++ Name	string	'PDF'
+++ Version	string	
SST_PKI_2	structure	sub-product
+ Original_Source	structure	
++ Format	structure	
+++ Name	string	'Sp3c'
+++ Version	string	
+++Type	character	
+ Pos_or_Vel	character	"P" or "V"
+ Time_Information	structure	
+ + System	structure	
+ + GPS_Time	structure	
+++ Start	structure	
++++GPS	structure	
+++++Week	integer	
+++++ Seconds_of_week	float	
++++ Mod_Jul_day	structure	
++++ Day	integer	
+++++ Fractional_Day	float	
++++ Gregorian	structure	Gregorian representation ¹

_

¹ The tag <Gregorian> under a <GPS_Time> tag means GPS time expressed in year, month, day, hours, minutes and seconds





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++++Year	integer	_
+++++ Month	integer	
+++++ Day_of_Month ++++ Hour	integer	
	integer	
++++ Minute	integer	
+++++ Second	float	
+ Epoch_Information	structure	
+ + Num_Epochs	integer	
+ + Interval	float	
+ Data_Used	string	
+ Coordinate_Sys	string	
+ Orbit_Type	string	
+ Agency	string	
+ List_of_Satellite_Descriptors	structure	attribute: 'count'
+ + Satellite_Descriptor	structure	repeated 'count' times
+++Satellite_ID	string	
+++ Accuracy	string	
+ Base_for_Pos_or_Vel	float	
+ Base_for_Clk_or_Rate	float	
+ Comments	string	
SST_PCV_2	structure	sub-product
+ Original_Source	structure	
+ + System	string	
+ + Creator	string	
+ + Creator_Version	string	
+ + Creation_Date	string	yyyy-mm-dd hh:mm:ss
+ + Format	structure	
+++ Name	string	'Covariance'
+++ Version	string	
+ Var_Cov_Matrix	structure	
+ + File_Name	string	
+ Corresponding Kinematic Orbit	structure	
+ + File_Name	string	
+ Time Information	structure	
+ + System	string	
++ Time Step Size	integer	attribute: 'unit'
++GPS Time	structure	
+++ Start	structure	
++++ Gregorian	structure	Gregorian representation (see footnote
		Fehler! Textmarke nicht definiert.)
++++Year	integer	
++++ Month	integer	
+++++ Day of Month	integer	
++++Hour	integer	
++++ Minute	integer	
++++ Second	float	
+++ Stop	structure	
+ RMS Of Unit Weight	float	
+ Parameters	string	
SST PRD 2	structure	sub-product
+ Original Source	structure	p. come.
+ + Format	structure	
+ + + Name	string	'Sp3c'
·······································	sumg	Sp30





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+++ Version	string	
+ + + Type	character	
+ Pos or Vel	character	
+ Time Information	structure	
+ + System	structure	
+ + GPS Time	structure	
+++ Start	structure	
++++GPS	structure	
+++++Week	integer	
+++++ Seconds of week	float	
++++ Mod Jul day	structure	
++++ Day	integer	
+++++ Fractional Day	float	
		Conservation representation (see Section)
++++Gregorian	structure	Gregorian representation (see footnote Fehler! Textmarke nicht definiert.)
++++ Year	integer	
++++ Month	integer	
+++++ Day_of_Month	integer	
++++ Hour	integer	
++++ Minute	integer	
++++ Second	float	
+ Epoch_Information	structure	
+ + Num_Epochs	integer	
+ + Interval	float	
+ Data_Used	string	
+ Coordinate_Sys	string	
+ Orbit_Type	string	
+ Agency	string	
+ Satellites	structure	attribute: 'count'
+ + Satellite_Descriptor	structure	attribute: 'id', repeated 'count' times
+++ Accuracy	string	
+ + Base_for_Pos_or_Vel	float	
+ + Base for Clk or Rate	float	
+ Comments	string	list lv1.dat
SST PRM 2	structure	sub-product
+ Original Source	structure	
+ + System	string	
+ + Creator	string	
++ Creator Version	string	
+ + Creation Date	string	yyyy-mm-dd hh:mm:ss
+ + Format	structure	
+++ Name	string	'Rotation'
+++ Version	string	
+ Transformation	structure	
++ File Name	string	
+ Time Information	structure	
+ + System	string	
++GPS Time	structure	
+ + + Start	structure	
++++ Gregorian	structure	Gregorian representation (see footnote
		Fehler! Textmarke nicht definiert.)
++++ Year	integer	
++++ Month	integer	
1		•





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+++++ Day of Month	integer
++++ Hour	integer
++++ Minute	integer
++++ Second	float
+ + + Stop	structure
+ Epoch_Information	structure
+ + Reference	string
+ Transformation	structure
+ + Direction	string
+ Pole_File	string
+ Nutation	structure
+ + Model	string
+ + Offset	string
+ Subdaily_Model	string

5.3.2.4 SPH product specific tags: EGM_GOC_2

Tag Name	Туре	Comment
EGM_GAN_2	structure	sub-product
+ Original_Source	structure	
++ Format	structure	
+++ Name	string	'Grid'
+++ Version	string	
+++ Fortran_Notation	string	
+ Data_Information	structure	
+ + Dataset_Name	string	
+ + Description	string	
+ + Unit	string	
+ Coordinate_Information	structure	attribute: count'
+ + Latitude	structure	repeated 'count' times
+ + + Northern_Border	float	attribute: 'unit'
+++ Southern_Border	float	attribute: 'unit'
+++ Cell_Information	structure	
++++ Number_of_Cells	integer	
++++Size	float	
+ + Longitude	structure	repeated 'count' times
+++ Western_Border	float	attribute: 'unit'
+++ Eastern_Border	float	attribute: 'unit'
+++ Cell_Information	structure	
++++ Number_of_Cells	integer	
++++Size	float	
+ Flags	structure	
+ + Mean_or_Point_Values	string	"mean" or "point"
+ + Geocentric_or_Geodetic_Latitudes	string	"geocentric" or "geodetic"
+ Reference_Ellipsoid	string	
+ Gap_Value	float	
EGM_GRP_2	structure	sub-product
+ Original_Source	structure	
++Format	structure	
+ + + Name	string	'PDF'
+++ Version	string	
EGM_GEO_2	structure	sub-product





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+ Original Source structure ++Format structure ++Format string 'Grid' ++Fortan Notation string ++Fortan Notation string ++Data Information structure ++Dataset Name string ++Dataset Name string ++Unit string ++Lunit string ++Lunit string ++Nordinate Information structure +++Northern Border float attribute: 'unit' +++Southern Border float attribute: 'unit' ++++Sumber of Cells integer ++++Number of Cells integer +++Haitibute structure ++++Newestern Border float attribute: 'unit' +++Fastern Border float attribute: 'unit' +++Fastern Border float attribute: 'unit' +++Fastern Border float attribute: 'unit' +++Cell Information structure ++++Number of Cells integer +++Cell Information structure ++++Sustern Border float attribute: 'unit' +++Cell Information structure ++++Number of Cells integer ++++Size float attribute: 'unit' +++Cell Information structure ++++Number of Cells integer +++Name string "mean" or "point" ++Geocentric or Geodetic Latitudes string +-Gap Value float EGM GVN 2 structure ++Format structure ++Fortan Notation string ++Fortan Notation string ++Fortan Notation string ++ Posteription string ++ Posteription string	Original Course		1
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+++++Size float structure repeated 'count' times +++ Western Border float attribute: 'unit' +++ Eastern Border float attribute: 'unit' +++ Cell Information structure ++++ Number of Cells integer ++++ Size float ++ Hean or Point Values string "mean" or "point" ++ Geocentric or Geodetic Latitudes string "geocentric" or "geodetic" ++ Geocentric or Geodetic Latitudes string "geocentric" or "geodetic" FReference Ellipsoid string "geocentric" or "geodetic" + Gap Value float EGM GVN 2 structure ++ Format structure ++ + Name string 'Grid' +++ Version string +++ Name string 'Grid' +++ Version string +++ Fortran Notation string ++ Dataset Name string + Data Information structure ++ Dataset Name string ++ Description string ++ Unit string +- Coordinate Information structure attribute: count' +++ Southern Border float attribute: 'unit' +++ Southern Border float attribute: 'unit' +++ Southern Border float attribute: 'unit' +++ Size float +++ Western Border float attribute: 'unit' +++ Western Border float attribute: 'unit' +++ Eastern Border float attribute: 'unit' +++ Eastern Border float attribute: 'unit' +++ Size float structure +++ Number of Cells integer ++++ Size float structure +++ Number of Cells integer ++++ Size float structure +++ Number of Cells integer ++++ Size float structure +++ Number of Cells integer ++++ Size float structure +++ Number of Cells integer ++++ Size float structure +++ Number of Cells integer ++++ Size float structure +++ Number of Cells integer ++++ Size float structure +++ Number of Cells integer ++++ Size float structure +++ Number of Cells integer +++ Size float structure +++ Number of Cells integer +++ Size float structure +++ Size float stru	_		
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+++Western Border float attribute: 'unit' +++ Eastern Border float attribute: 'unit' +++ Cell Information structure ++++ Number of Cells integer ++++ Name or Point Values string "mean" or "point" ++Gocentric or Geodetic Latitudes string "geocentric" or "geodetic" + Reference Ellipsoid string "geocentric" or "geodetic" + Reference Ellipsoid string "geocentric" or "geodetic" + Reference Ellipsoid string "float geocentric" or "geodetic" + Original Source structure + Format structure + ++ Name string "Grid" + ++ Version string "Grid" + ++ Fortran Notation string stri			
+++Eastern Border float attribute: 'unit' +++Cell Information structure ++++Number of Cells integer ++++ Size float ++Hean or Point Values string "mean" or "point" ++Geocentric or Geodetic Latitudes string "geocentric" or "geodetic" + Reference Ellipsoid string float EGM GVN 2 structure ++Format structure ++Format structure +++Version string 'Grid' +++Version string ford' +++Portran Notation string string string string +++Name string string string string string string ford' +++Fortran Notation string species string species string species string string species string string species string string string species string string species string species string species string string species string specie			
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++++Size float structure ++Hean or Point Values string "mean" or "point" ++Geocentric or Geodetic Latitudes string "geocentric" or "geodetic" +Reference Ellipsoid string +Gap Value float EGM GVN 2 structure sub-product ++Format structure ++Format structure +++Name string 'Grid' +++Version string string string +++ Notata Information string +++ Poataset Name string string string ++Dataset Name string string string ++ Unit string strin	+++ Cell_Information	structure	
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+ + Mean_or_Point_Values	++++ Size	float	
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FGM GVE 2	+ Gap Value	float	
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+ + Dataset_Name string + + Description string	+++ Fortran_Notation	string	
+ + Description string	+ Data_Information	structure	
	+ + Dataset_Name	string	
+ + I Init string	+ + Description	string	
	++Unit	string	





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+ Coordinate Information	structure	attribute: 'count'
+ + Latitude	structure	repeated 'count' times
+ + + Northern_Border	float	attribute: 'unit'
+++ Southern_Border	float	attribute: 'unit'
+++ Cell_Information	structure	
++++ Number_of_Cells	integer	
++++ Size	float	
+ + Longitude	structure	
+ + + Western_Border	float	attribute: 'unit'
+++ Eastern_Border	float	attribute: 'unit'
+++ Cell_Information	structure	
++++ Number_of_Cells	integer	
++++ Size	float	
+ Flags	structure	
+ + Mean_or_Point_Values	string	"mean" or "point"
+ + Geocentric_or_Geodetic_Latitudes	string	"geocentric" or "geodetic"
+ Reference_Ellipsoid	string	
+ Gap_Value	float	

5.3.2.5 SPH product specific tags: SST_AUX_2

Tag Name	Туре	Comment
Original_Source	structure	
+ Format	structure	
++ Name	string	'ICGEM'
++ Version	string	
Product_Type	string	
Model_Name	string	
Earth_Gravitiy_Constant	string	
Sperical_Harmonic_Development	structure	
+ Radius	float	
+ Max_Degree	integer	
Errors	string	
Normalization	string	
Tide_System	string	

5.3.3 XML Data Block File

This section contains the XML ASCII file syntax definition of the Data Block files of the L2 products send to the PDS/LTA by the HPF.

5.3.3.1 EGG_NOM_2_

Tag Name	Туре	Comment
List_of_GG_time_Records	structure	attribute: 'count'
+ GG_time_Record	structure	repeated 'count' times
+ + Time_Information	structure	
+++GPS_Time	string	attribute: 'unit'
+ + Gravity_Gradients	structure	attribute: 'unit'
+++XX	string	





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+++YY	string	
+++ZZ	string	
+++XY	string	
+++XZ	string	
+++YZ	string	
++ Sigmas	structure	attribute: 'unit'
+++XX	string	attitute. unit
+++YY	string	
+++ZZ	string	
+++XY	string	
+++XZ	string	
+++YZ	string	
++Flags	structure	
+++XX	string	
+++YY	string	
+++ZZ	string	
+++XY	string	
+++XZ	string	
+++YZ	string	
+ + Corrections	structure	attribute: 'unit'
+++ Temporal	structure	attribute. unit
++++ Tidal	structure	
++++ Direct Tides	structure	
+++++XX	string	
+++++YY	string	
+++++ZZ	string	
+++++XY	string	
+++++XZ	string	
+++++YZ	string	
+++++ Solid Earth	structure	
+++++XX	string	
+++++YY	string	
+++++ZZ	string	
+++++XY	string	
+++++XZ	string	
+++++YZ	string	
+++++ Ocean Tides	structure	
+++++XX	string	
++++++YY	string	
+++++ZZ	string	
++++XY	string	
++++XZ	string	
+++++YZ	string	
+++++ Pole_Tides	structure	
++++XX	string	
++++++YY	string	
+++++ZZ	string	
+++++XY	string	
++++XZ	string	
+++++YZ	string	
++++ Non-Tidal	structure	
++++XX	string	
++++++YY	string	
+++++ZZ	string	





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+++++XY	string	
+++++XZ	string	
++++++YZ	string	
+++ Calibration	structure	
++++XX	string	
++++YY	string	
++++ZZ	string	
++++XY	string	
++++XZ	string	
++++YZ	string	
+ + Quaternions	structure	
+ + + Q1	string	
+++Q2	string	
+ + + Q3	string	
+++Q4	string	

5.3.3.2 EGG_TRF_2_

Tag Name	Туре	Comment
List_of_GG_spatial_Records	structure	attribute: 'count'
+ GG_spatial_Record	structure	repeated 'count' times
+ + Time_Information	structure	
+++GPS_Time	string	attribute: 'unit'
+ + Position	structure	
+++ Height	float	attribute: 'unit'
+++Phi	float	attribute: 'unit'
+++ Lambda	float	attribute: 'unit'
+ + Gravity_Gradients	structure	attribute: 'unit'
+++XX	string	
+++YY	string	
+++ZZ	string	
+++XY	string	
+++XZ	string	
+++YZ	string	
+ + Sigmas	structure	attribute: 'unit'
+++XX	string	
+++YY	string	
+++ZZ	string	
+++XY	string	
+++XZ	string	
+++YZ	string	
++Flags	structure	
+++XX	string	
+++YY	string	
+++ZZ	string	
+++XY	string	
+++XZ	string	
+++YZ	string	

5.3.3.3 SST_PSO_2_





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Tag Name	Type	Comment
SST PCV 2	structure	sub-product
+ List of Covariance Records	structure	attribute: 'count'
++ Covariance Record	float	attribute: 'row' and 'column'
SST PKI 2	structure	sub-product
+ List of SP3c Records	structure	attribute: 'count'
++SP3c Record	structure	repeated 'count' times
+++ Time Information	structure	- F
++++GPS Time	structure	
++++ Start	structure	
+++++ Gregorian	structure	Gregorian representation ²
++++++Year	integer	8
+++++ Month	integer	
+++++++ Day of Month	integer	
+++++ Hour	integer	
+++++ Minute	integer	
++++++ Second	float	
+++ List of Satellite IDs	structure	
++++ <satid></satid>	structure	ID of Satellite (see header)
+++++ Position	structure	attribute: 'unit'
+++++X	float	attribute. unit
+++++Y	float	
+++++Z	float	
+++++Clock	float	attribute: 'unit'
++++ Standard Deviations	structure	attribute, unit
+++++ Position	float	attribute: 'unit'
+++++X	float	attribute, unit
+++++Y	float	
+++++Z	float	
+++++ Clock	float	attribute: 'unit'
+++++ Clock	float	attribute: 'unit'
++++ Velocity	structure	attribute: 'unit', optional
+++++X	float	attribute. unit, optional
+++++Y	float	
+++++Z	float	
+++++ Clock Change Rate	float	attribute: 'unit'
++++ Standard Deviations		attribute, unit
_	structure	attributo: 'unit'
+++++ Velocity +++++ X	float	attribute: 'unit'
	float	
+++++Y	float	
+++++Z	float	attributa: 'unit'
+++++Clock_Change_Rate	float	attribute: 'unit'
SST_PRD_2	structure	sub-product
+ List of SP3c Records	structure	attribute: 'count'
++ SP3c_Record	structure	repeated 'count' times
+++Time_Information	structure	
++++GPS_Time	structure	
+++++ Start	structure	
+++++ Gregorian	structure	Gregorian representation (see footnote Fehler! Textmarke nicht definiert.)
++++++Year	integer	

_

 $^{^2}$ The tag <Gregorian> under a <GPS_Time> tag means GPS time expressed in year, month, day, hours, minutes and seconds





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++++++ Month	integer		
++++++ Day_of_Month	integer		

+++++ Honth	integer	
++++++Day_of_Month	integer	
+++++Hour	integer	
++++++ Minute	integer	
++++++ Second	float	
+++ List_of_Satellite_IDs	structure	
++++< <i>satID</i> >	structure	ID of Satellite (see header)
+++++ Position	structure	attribute: 'unit'
+++++X	float	
+++++Y	float	
+++++Z	float	
+++++Clock	float	attribute: 'unit'
++++ Standard_Deviations	structure	
+++++ Position	float	attribute: 'unit'
++++X	float	
+++++Y	float	
+++++Z	float	
+++++ Clock	float	attribute: 'unit'
+++++ Velocity	structure	attribute: 'unit', optional
++++X	float	
+++++Y	float	
+++++Z	float	
+++++ Clock_Change_Rate	float	attribute: 'unit'
++++ Standard_Deviations	structure	
+++++ Velocity	float	attribute: 'unit'
+ + + + + + X	float	
+ + + + + + + Y	float	
+++++Z	float	
+++++ Clock_Change_Rate	float	attribute: 'unit'
SST_PRM_2	structure	sub-product
+ List_of_Rotation_Records	structure	attribute: 'count'
++Rotation_Record	structure	repeated 'count' times
+++ Time_Information	structure	
++++ Time_Since_Reference_Epoch	float	attribute: 'unit'
+++ Quaternions	structure	
++++Q1	float	
++++Q2	float	
++++Q3	float	
++++Q4	float	
SST_PRP_2	structure	sub-product
+ List_of_PDF_Files	structure	attribute: 'count'
++PDF_File	string	attribute: 'encoding', encoded PDF file

5.3.3.4 EGM_GOC_2_

Tag Name	Туре	Comment
EGM_GAN_2	structure	sub-product
+ List_of_Latitudes	structure	attribute: 'count'
+ + Latitude	structure	attribute: 'degree', repeated 'count' times
+++List_of_Longitudes	structure	attribute: 'count'
++++ Longitude	structure	repeated 'count' times
+++++ Value	float	attribute: 'unit'





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EGM GCF 2	structure	sub-product
+ List of ICGEM Records	structure	attribute: 'count'
For a detailed description of an ICGEN		A record see SST AUX 2 in paragraph 5.3.3.5
EGM_GEO_2	structure	sub-product
+ List_of_Latitudes	structure	attribute: 'count'
+ + Latitude	structure	attribute: 'degree', repeated 'count' times
+++List_of_Longitudes	structure	attribute: 'count'
++++ Longitude	structure	repeated 'count' times
+++++ Value	float	attribute: 'unit'
EGM_GER_2	structure	sub-product
+ List_of_Latitudes	structure	attribute: 'count'
+ + Latitude	structure	attribute: 'degree', repeated 'count' times
+ + + List_of_Longitudes	structure	attribute: 'count'
++++ Longitude	structure	repeated 'count' times
+++++ Value	float	attribute: 'unit'
EGM_GRP_2	structure	sub-product
+ List_of_PDF_Files	structure	attribute: 'count'
+ + PDF_File	string	attribute: 'encoding', encoded PDF file
EGM_GVE_2	structure	sub-product
+ List_of_Latitudes	structure	attribute: 'count'
+ + Latitude	structure	attribute: 'degree', repeated 'count' times
+ + + List_of_Longitudes	structure	attribute: 'count'
++++ Longitude	structure	repeated 'count' times
+++++ Value	float	attribute: 'unit'
EGM_GVN_2	structure	sub-product
+ List_of_Latitudes	structure	attribute: 'count'
+ + Latitude	structure	attribute: 'degree', repeated 'count' times
+++List_of_Longitudes	structure	attribute: 'count'
++++ Longitude	structure	repeated 'count' times
+++++ Value	float	attribute: 'unit'

5.3.3.5 SST_AUX_2_

Tag Name	Туре	Comment
List_of_ICGEM_Records	structure	attribute: 'count'
+ ICGEM_Record	structure	attribute: 'type', repeated 'count' times For Earth gravity field models, type can be: 'GFC', 'GFCT' or 'DOT', For Ocean and Atmosphere tides, type can be: 'LOVNR', 'OCS', 'ACS', 'CCS', 'OAP', 'AAP' or 'CAP'
+ + Degree	integer	for all record types
+ + Order	integer	for all record types, except 'LOVNR'
+ + Load_Love_Number	integer	only for record type 'LOVNR'
+ + Pro_or_Retro	string	for all ocean and atmosphere tides record types, except 'LOVNR'
+ + Doodson_Number	integer	for all ocean and atmosphere tides record types, except 'LOVNR'
++Clm	float	for all record types, except 'LOVNR', 'OAP', 'AAP' and 'CAP'
++Slm	float	for all record types, except 'LOVNR', 'OAP', 'AAP' and 'CAP'
++ Alm	float	only for record types 'OAP', 'AAP' and 'CAP'





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++Plm	float	only for record types 'OAP', 'AAP' and 'CAP'
+ + Sigmas	structure	when errors != "no", for all record types, except 'LOVNR'
+++C	float or structure	float when errors = "calibrated" or "formal", structure when errors = "calibrated_and_formal", for all record types, except 'LOVNR', 'OAP', 'AAP' and 'CAP'
+++A	float or structure	float when errors = "calibrated" or "formal", structure when errors = "calibrated_and_formal", only for record types 'OAP', 'AAP' and 'CAP'
++++ Calibrated	float	only when errors = "calibrated_ and_ formal"
++++ Formal	float	only when errors = "calibrated_and_formal"
+++S	float or structure	float when errors = "calibrated" or "formal", structure when errors = "calibrated and formal"
+++P	float or structure	float when errors = "calibrated" or "formal", structure when errors = "calibrated_and_formal", only for record types 'OAP', 'AAP' and 'CAP'
++++ Calibrated	float	only when errors = "calibrated_ and_ formal"
++++ Formal	float	only when errors = "calibrated_ and_ formal"
+++Date	integer	only for record type 'GFCT'
+++ Comment	string	optional
+ Comment	string	optional

Example:

ICGEM record used in HPF gravity field products EGM_GOC_2_ and SST_AUX_2_):

Tag Name	Туре	Comment
ICGEM_Record	structure	type="GFC"
+ Degree	integer	
+ Order	integer	
+ Clm	float	
+ Slm	float	
+ Sigmas	structure	
+ + C	float	
++ S	float	





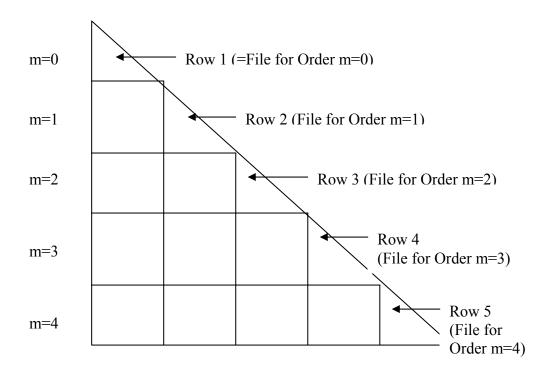
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5.4 EGM_GVC_2_ FORMAT

General Product Layout

Due to the size of the variance-covariance product it is not stored in XML format, but in a specific ASCII format specified below. A header in XML will be included. This product is not distributed by on-line access, but only via DVD copies.

Due to a maximum file size of 2 GB for some operating systems the matrix is distributed among several files. The splitting of the <u>lower triangle</u> shall be done <u>row-wise</u> (in order to facilitate the handling of block-diagonal approximations). The matrix entries of each harmonic order *m* is stored in a separate file. The numbering of the files is done by a consecutive numbering scheme in the file name. The following figure illustrates the file splitting for an example with maximum degree and order 4.



Meta Data File:

The set of files is complemented by a general-meta data ASCII file describing the whole file sequence. This general meta-data file contains all information what is required to identify and read the subsequent data files of the variance-covariance matrix. In the product this file has the extension ".IIH". The following list shows the entries provided in the general meta-data file. Each keyword has to be read with a fixed length of 30 characters, while the maximum length for the related parameter is fixed to 70 characters.





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Keyword [30 characters fixed length, filled with blanks]	Meaning of parameters [<70 characters]	
product_type	Variance-covariance matrix	
modelname	Name of the model	
earth_gravity_constant	Earth gravity constant multiplied by mass, which has been used for the gravity field model (GM). This value might be required for error propagation.	
radius	Radius of sphere which has been used for the gravity field model. This value might be required for error propagation.	
max_degree	Maximum degree of the spherical harmonic series	
errors	Must be set to: "formal", "calibrated" or "calibrated_and_formal" depending on the type of the errors	
covariance_matrix_type	Covariance file type: "full" or "block" Full means a full system, block means a block-diagonal system.	
sequence_number_entries	Number of coefficients in the matrix. After this entry the sequence of the coefficients is provided. Each coefficient is described in one line. The sequence of the coefficients must be according to the order of the spherical harmonic series (see figure above).	
[C,S]_nnn_mmm	Coefficient description with degree nnn and order mmm	
sequence_number_files	Number of files that belong to the variance-covariance matrix file. Each file	
	name is then provided in a subsequent record. Files are stored per harmonic order.	
	List of file names (each in a new record without keyword)	

The following example shows the meta data file for the example with a maximum degree and order 4 (see previous figure) for a specific ordering scheme inside the orders. The file name of this file is chosen as "meta data file 1.IIH"

```
product_type
                                       variance-covariance matrix
modelname
                                       EXAMPLE-MODEL-1
earth_gravity_constant
                                       0.3986004415E+15
                                       0.6378136460E+07
radius
max degree
errors
                                       formal
covariance matrix type
                                       full
sequence number entries
                                       25
                     C 000 000
                     C_001_000
                     C_002_000
C_003_000
C_004_000
                     C 001 001
                     C_002_001
C_003_001
C_004_001
S_001_001
                     S 002 001
                     S_003_001
                     S_004_001
C_002_002
                     C 003 002
                     C_004_002
                     S_002_002
S_003_002
S_004_002
                     C_003_003
                     C_004_003
                     S_003_003
S_004_003
```





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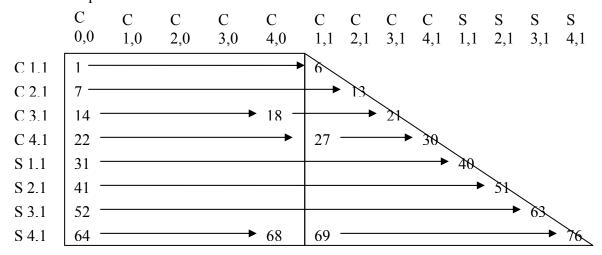
```
C_004_004
S_004_004
sequence_number_files 5
data_file_1_000
data_file_1_001
data_file_1_002
data_file_1_003
data_file_1_004
```

Data File:

The data files themselves are stored in ASCII and include in the first lines the following meta data. Each keyword has to be written with a fixed length of 30 characters, while the maximum length for the related parameter is fixed to 70 characters.

Keyword [30 characters fixed length, filled with blanks]	Meaning of parameters [<70 characters]
meta_data_file_name	Name of the meta data file
order	Harmonic order of the file
number_entries	Total number of data values in the file (for control information)
begin_data	Keyword indicating where the data section starts
end_data	Keyword indicating where the data section ends.

The data files are stored in row-wise order (one entry per line free ASCII formatted in order to avoid problems with the record length). The sequence for order 1 of the previous example with a maximum order of 4 is shown in the following figure. The numbers in the drawing indicate the sequence how the elements are stored in the file.



Below the example file for a subsequent data file is provided (the sample file is related to the figure above):

```
meta_data_file_name meta_data_file_1.IIH order 1
number_entries 76
begin_data
-0.7657887654320E-09
...
...
```





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```
... (all together 76 numbers in ASCII format)
...
+1.0567758766890E-14
end data
```

Example for Different Ordering:

It shall be noted that the sequence inside the orders is flexible and can be different from the example as shown above. For a different sequence of coefficients inside the orders the meta data file has to describe the correct sequence of the data as they are provided in the data file. Below an alternative example for a variance-covariance matrix with maximum degree of 4 and a different ordering is shown. Now the file name of this meta-data file is chosen as "meta data file 2.IIH"

```
product_type
                                      variance-covariance matrix
modelname
                                     EXAMPLE-MODEL-2
                                      0.3986004415E+15
earth_gravity_constant
radius
                                     0.6378136460E+07
max degree
                                     formal
errors
covariance matrix type
                                     full
sequence number entries
                                     25
                    C_000_000
C_002_000
                    C 004 000
                    C_001_000
                    C_003_000
C_001_001
                    C 003 001
                    C 002 001
                    C_004_001
S_001_001
S_003_001
                    S 002 001
                    S_004_001
                    C_002_002
C_004_002
                    C 003 002
                    S 002 002
                    S_004_002
                    S_003_002
C_003_003
                    C 004 003
                    S 003 003
                    S_004_003
                    C 004 004
                    S_004_004
sequence_number files
                    data_file_2 000
                    data_file_2_001
data_file_2_002
data_file_2_003
                    data file 2 004
```

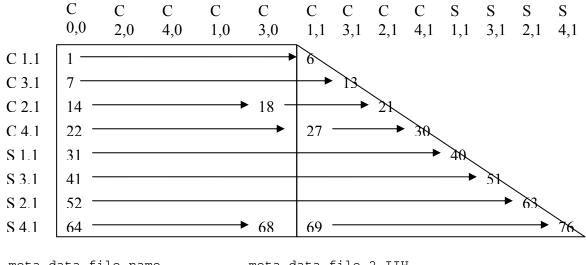
The data file for this example then has the following sequence:





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Estimation of File Sizes:

The maximum number of elements in a file for a maximum degree of 250 is 12173185 (at order 106) and 20993274 for maximum degree of 300 (at order 127). Under the assumption that we need not more than 20 bytes for 1 elements this sums up to a maximum file size of about 250 MB (for a maximum degree 250) and to 420 MB (for a maximum degree 300). These files can be easily handled by all computer systems.





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