

بِسْمِ اللَّهِ الرَّحْمَنِ الرَّحِيمِ

In the name of Allah, Most Gracious, Most Merciful

# CSE 4303

## Data Structure

Topic: Introduction to data structures, Complexity Time-Space Tradeoff



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# What is Data Structure?

Data: Simply values or sets of values, raw facts or figure without any specific meaning.

Data Structure: The logical or mathematical model of a particular organization of data.

- Can store data

Example: Integers, Strings, Floats, ... ..

- Can answer some questions about the stored data

Example: What is the smallest value not greater than x?

- Can add or remove data

Example: add the element x after y, remove values less than x.

# Why Study Data Structure?

## Applications of Data Structure:

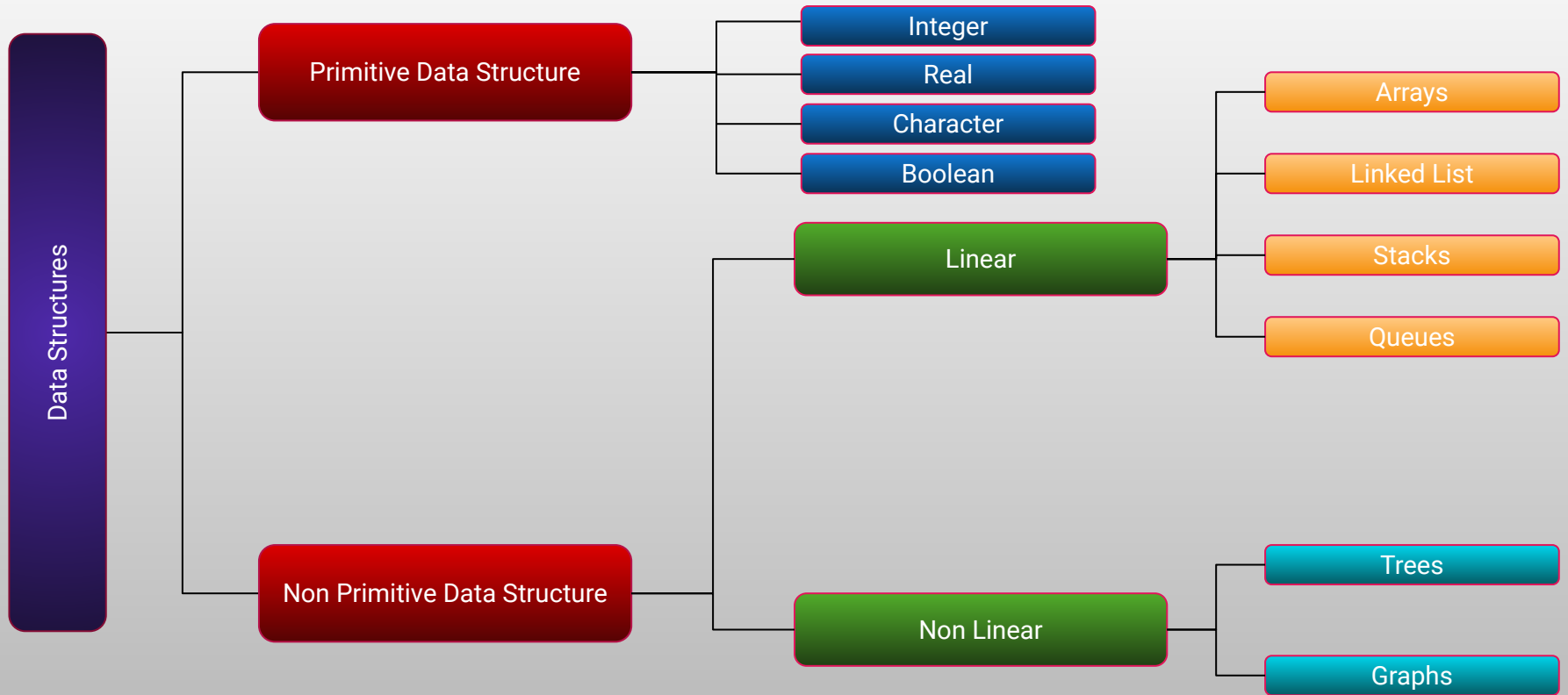
- Computer file system ( Data structure maps file names onto hard drive sectors)
- Google and other search engines (Data structure maps keywords on web pages containing those keywords)
- What is the longest common subsequence of two DNA can be found?
- Geographic systems (Data structure find data relevant to the current view/location)
- Finding large Prime Numbers
- Block chain (Linked list)
- Google Map (Finding shortest distances in terms of distance and time)
- Data Compression (Huffman's encoding)
- Natural Language Processing (Strings)
- ... ..

Many problems are solved efficiently just using the right data structure ...

# How do We Study Data Structures?

- What does the data structure represents?  
Computer file system (data structure maps file names onto hard drive track and sectors)
- What are the operations does it supports?
  - Reading: looking something up at a particular spot within the data structure.
  - Searching: looking for a particular value within a data structure.
  - Inserting: adding a new value to the data structure.
  - Deleting: removing a value from the data structure.
  - Sorting: rearranging element in some logical order.
  - Merging: Combining records of two different sorted files into one sorted files.
- What kind of performance does it have?
  - How long does each operation take? (Time complexity)
  - How much space does it use? (Memory complexity)

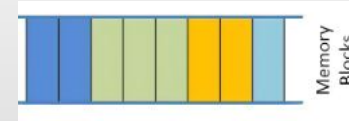
# Classification of Data Structure



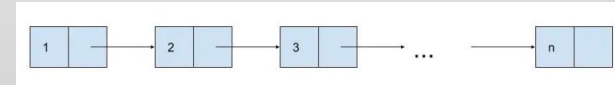
# Memory Allocation

Memory allocation can be classified into followings:

- Contiguous  
Example: arrays



- Linked  
Example: linked lists

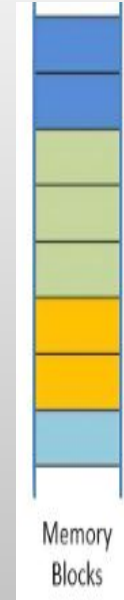


- Indexed  
Example: array of pointers.

# Contiguous Memory Allocation

An array stores  $n$  objects in a single contiguous space of memory.

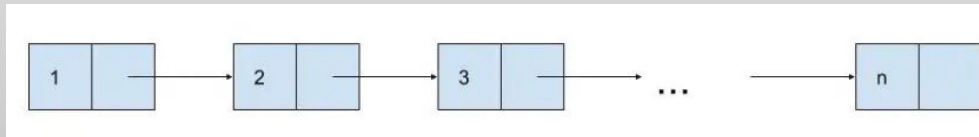
- Can directly access any point randomly. Random access is possible.
- Unfortunately, if more memory is required, a request for new memory usually requires copying all information into the new memory.
- In general, you cannot request for the operating system to allocate to you the next  $n$  memory locations



# Linked Memory Allocation

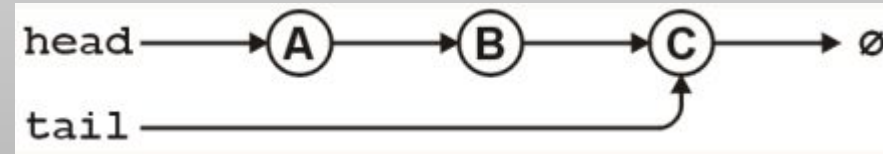
Linked storage such as a linked list associates two pieces of data with each item being stored:

- The object itself, and
  - A reference to the next item
- Random access to any data apart from the beginning is not possible since the address of a particular data is only stored to its previous data.



The actual linked list class must store two pointers

- A head and tail:
  - ◆ Node \*head;
  - ◆ Node \*tail;



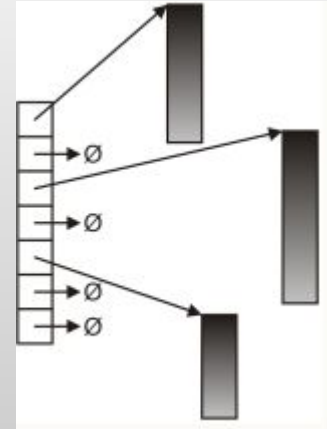
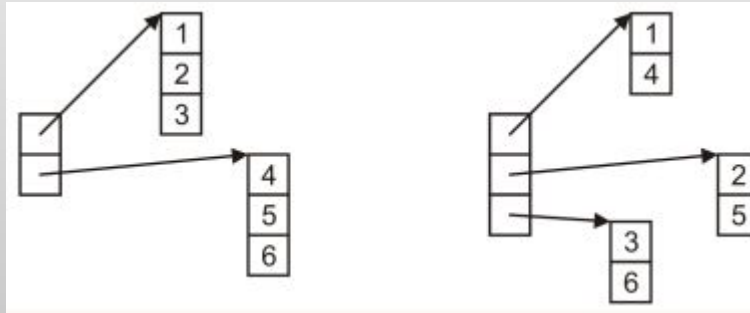


# Indexed Memory Allocation

With indexed allocation, an array of pointers (possibly NULL) link to a sequence of allocated memory locations.

Matrices can be implemented using indexed allocation:

$$\begin{pmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \end{pmatrix}$$

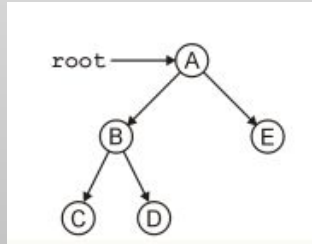


# Other Memory Allocations

We will look at some varieties or hybrids of these memory allocations including:

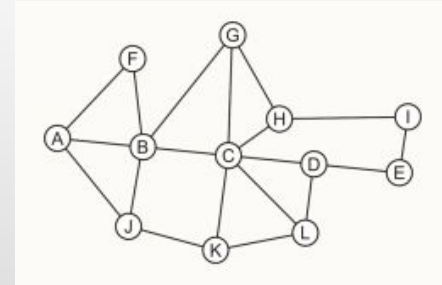
- Trees
- Graphs

A rooted tree is similar to a linked list but with multiple next pointers



Tree

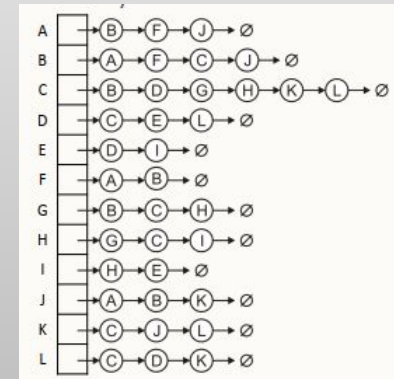
Arbitrary relations among the objects in a container



Graph

	A	B	C	D	E	F	G	H	I	J	K	L
A		x				x				x		
B	x		x			x	x				x	
C		x		x			x	x			x	x
D			x		x							x
E				x					x			
F	x	x										
G		x	x					x				
H			x				x		x			
I				x				x				
J	x	x									x	
K			x								x	x
L				x	x							x

adjacency matrix



adjacency list

# Complexity, Time-space tradeoff

- A function that estimates the running time/space with respect to the input size.
- Less time and space requirement is a blessing!
- Deals with large input size.
- Tradeoff: Increased amount of space to store data can sometimes reduce time requirement (or vice-versa).

## Why Do We Care?

### solution#1

```
for i=2 to n-1
  if i divides n
    n is not a prime
```

$(n - 2)$  divisions in worst case

### solution#2

```
for i=2 to  $\sqrt{n}$ 
  if i divides n
    n is not a prime
```

$(\sqrt{n} - 1)$  divisions in worst case

# Complexity, Time-space tradeoff

Assuming 1 ms to perform a division

	<u>Solution #1</u>	<u>Solution#2</u>
n=11	9 ms	~2 ms
n=101	99 ms	~9 ms
n=1000003 =10 <sup>6</sup> +3	~10 <sup>6</sup> ms =1000 sec =16.66min	~10 <sup>3</sup> ms = 1sec
n=10 <sup>10</sup>	10 <sup>10</sup> ms =10 <sup>7</sup> sec =115 days	~10 <sup>5</sup> ms = 100sec = 1.66 mins

# Complexity, Time-space tradeoff



Two functions plotted in this graph:

$$f(x) = x \text{ (red)}$$

$$f(x) = \sqrt{x} \text{ (blue)}$$

Blue function is a bit costly in the beginning, but cheaper as  $x$  increases.

# Time Complexity Analysis

Measures how fast the time requirement of a program grows when the input size increases.

Running time of program may depend on:

- Single vs multi processor
- Read/write speed of memory
- 32-bit or 64-bit
- Size of input

For time complexity analysis, we are only interested in (size of input)

- Takes same amount of time regardless of input size
- Constant time algorithm
- Time Complexity  $O(1)$

```
Sum(a,b) {  
    return a+b  
}
```

Let's think about  
this function

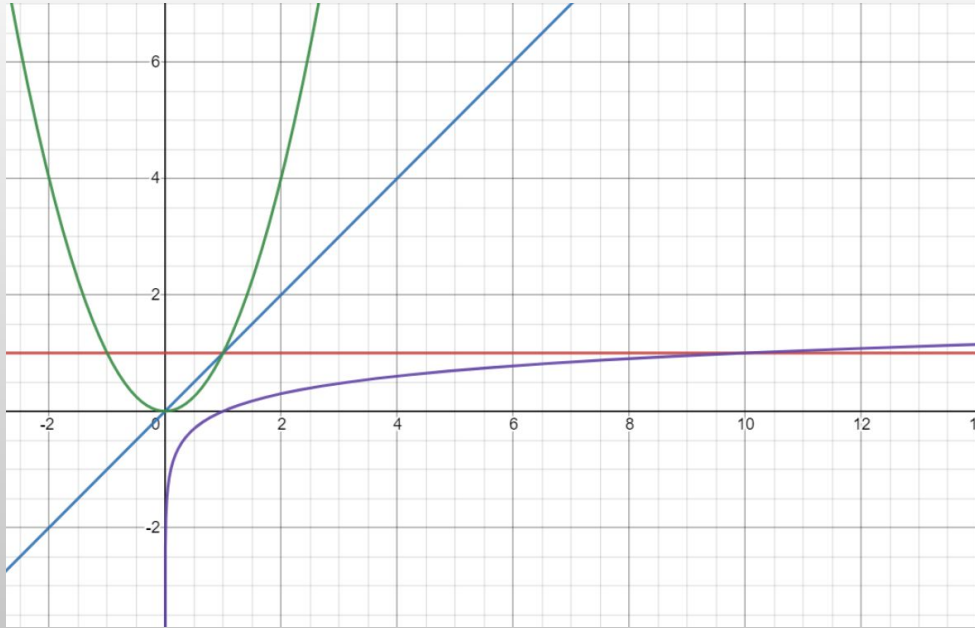
Time requirement:  $\sim 2$  time-units  
(1 unit for addition, 1 unit for  
return statement)

# Time Complexity Analysis

	# times	Cost unit	Comments
1. sumOfList (A, n) {			<u>In line 3:</u>
2.   total=0	1	1 (c1)	- Executes n+1 times. One extra checking for breaking condition.
3.   for i=0 to n-1	n+1	2 (c2)	- c2: 1 unit for increment, 1 unit for assignment.
4.     total = total + A[i]	n	2 (c3)	<u>In line 4:</u>
5.   return total	1	1 (c4)	- 1 unit for addition, 1 for assignment.
6. }			

- $T(n) = 1 + 2(n + 1) + 2n + 1 = 4n + 4$
- In other words,  $T(n) = c_1 + c_2(n + 1) + c_3n + c_4 = \mathbf{cn} + \mathbf{c'}$ 
  - here ( $c = c_2 + c_3$ , &  $c' = c_1 + c_3 + c_4$ )
- Don't care much about value of  $c$  or  $c'$ , focus on the rate of growth.
- Here the growth is linear. Termed as  **$O(n)$** , AKA 'Big-oh of n' AKA 'Order of n'.

# Some Growth Functions



$f(x) = 1$  (red),

$f(x) = x$  (blue),

$f(x) = x^2$  (green),

$f(x) = \log x$  (purple)

Check the growth of  
function as values in  $x$   
axis grows!

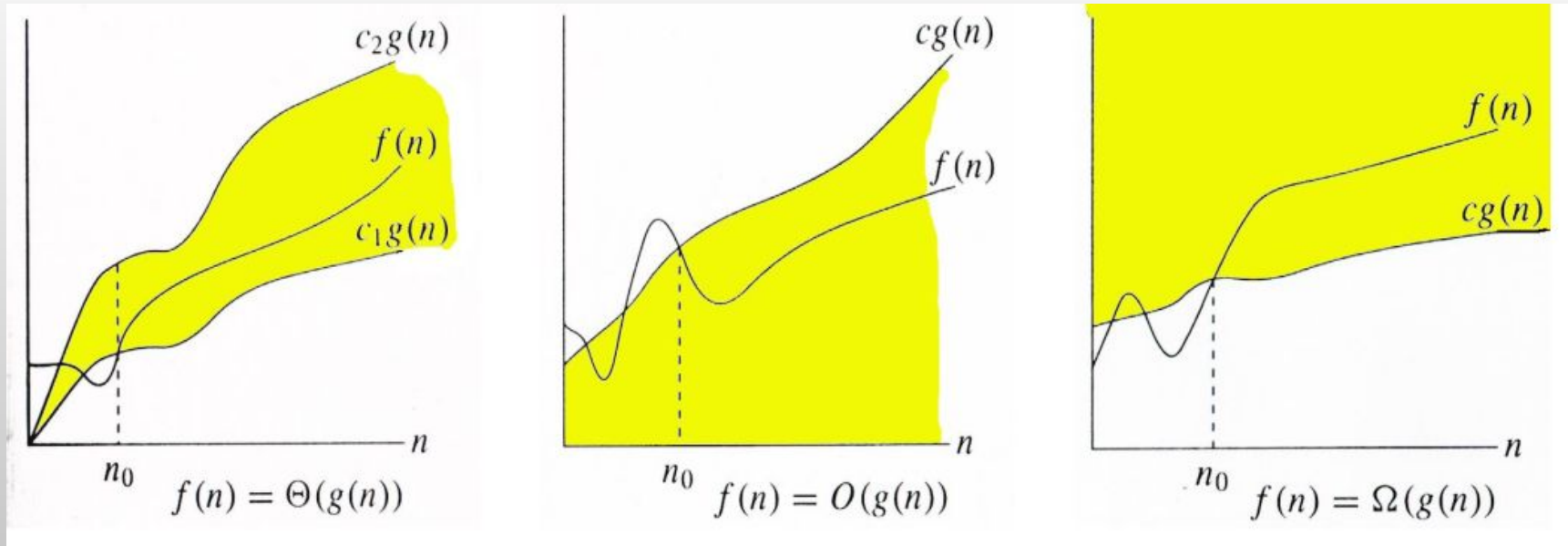


# Asymptotic Analysis

- Asymptotic Analysis is the big idea that helps to analyze algorithms.
- In Asymptotic Analysis, we evaluate the performance of an algorithm in terms of input size (we don't measure the actual running time).
- Define mathematical bound of how the time (or space) taken by an algorithm increases with the input size.
- An algorithm that is asymptotically more efficient will be the best choice for all but very small inputs.

[Generally, the term 'asymptotic' means approaching but never connecting with a line or curve.]

# Asymptotic Analysis



# The Big 'O'

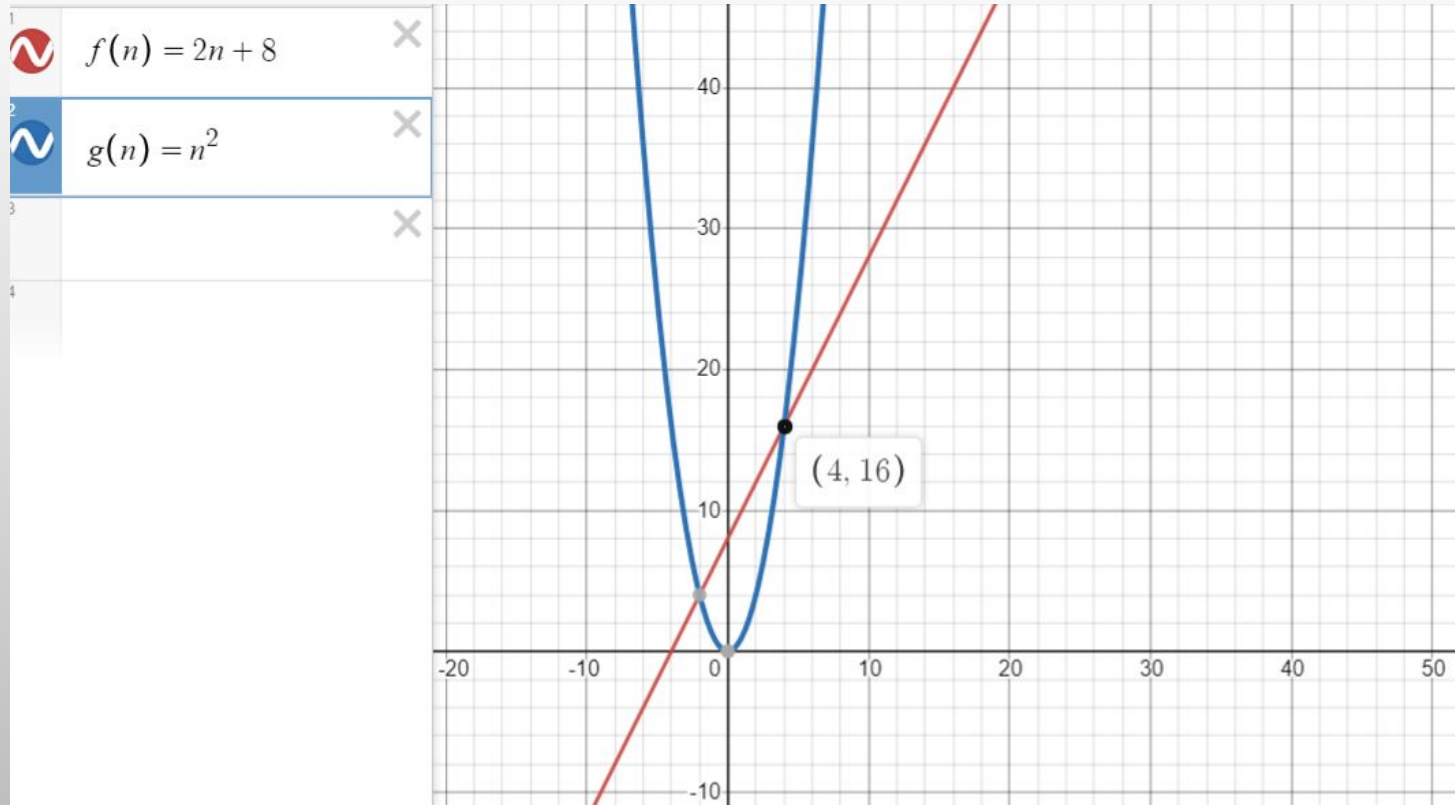
- The 'O' Notation

- A function  $f(n) = O(g(n))$  if there exists  $n_0$  and  $c$  such that  $f(n) < cg(n)$
- Whenever,  $n > n_0$ 
  - $O$  (pronounced big-oh) is the formal method of expressing upper bound of an algorithm's running time.
  - Measures the longest amount of time it could possibly take.
  - $g(n)$  is an asymptotic upper bound for  $f(n)$ .

# The Big 'O'

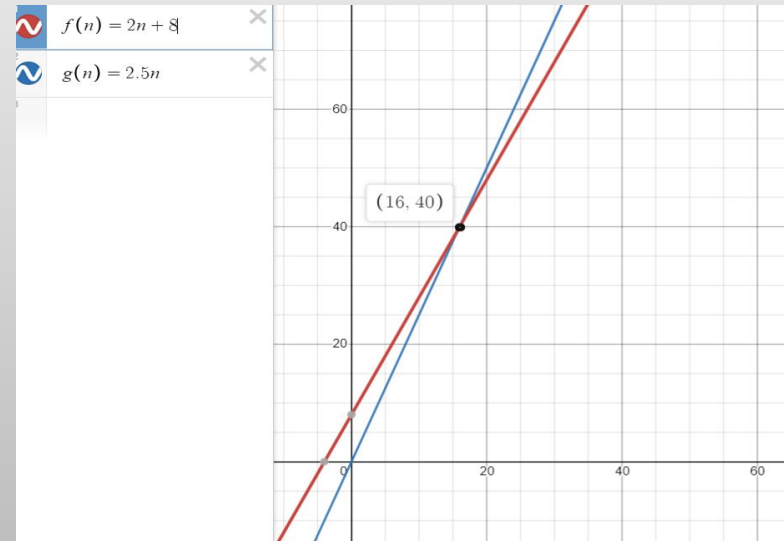
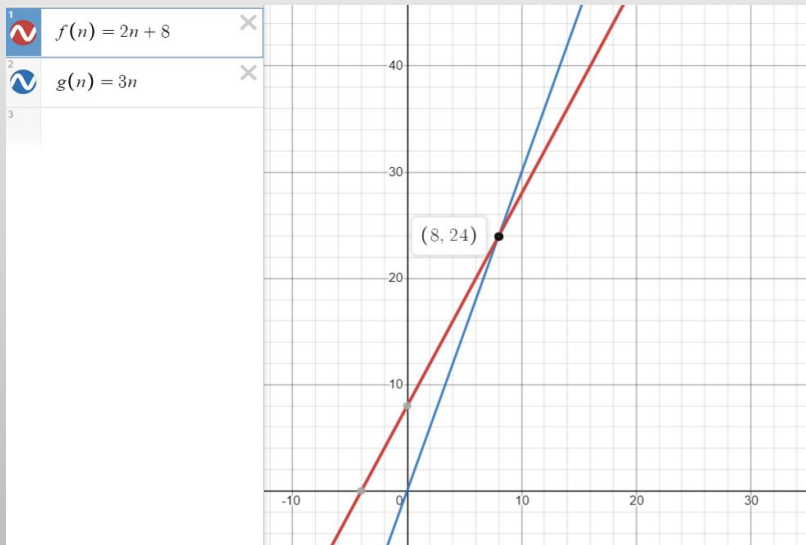
- Example of ' $O$ ' notation:
  - Suppose,  $f(n) = 2n + 8$  and  $g(n) = n^2$
  - Can we find a constant  $n_0$ , so that  $2n + 8 \leq n^2$ ?
  - $n_0 = 4$  works here!
  - For any number  $n$  greater than 4, this will still work. Since we are trying to generalize this for large values of  $n$ 
    - $f(n)$  is bounded by  $g(n)$  and will always be less. (here  $c = 1$  is good enough.)
    - Conclusion,  $f(n) = O(g(n))$ , for all  $n > 4$
    - Thus here,  $f(n) = O(n^2)$

# The Big 'O'



# The Big 'O'

- Can we bound  $f(n) = 2n + 8$  using  $g(n) = n$  ? (meaning, can  $f(n) = O(n)$  be true? )
  - Yes! Pick the value of 'c' carefully!
  - if  $c = 3$ ,  $f(n) = O(n)$  for all  $n \geq 8$
  - We can also define, if  $c = 2.5$ ,  $f(n) = O(n)$  for all  $n \geq 16$



# The Big 'Ω'

- Big-Omega Notation:

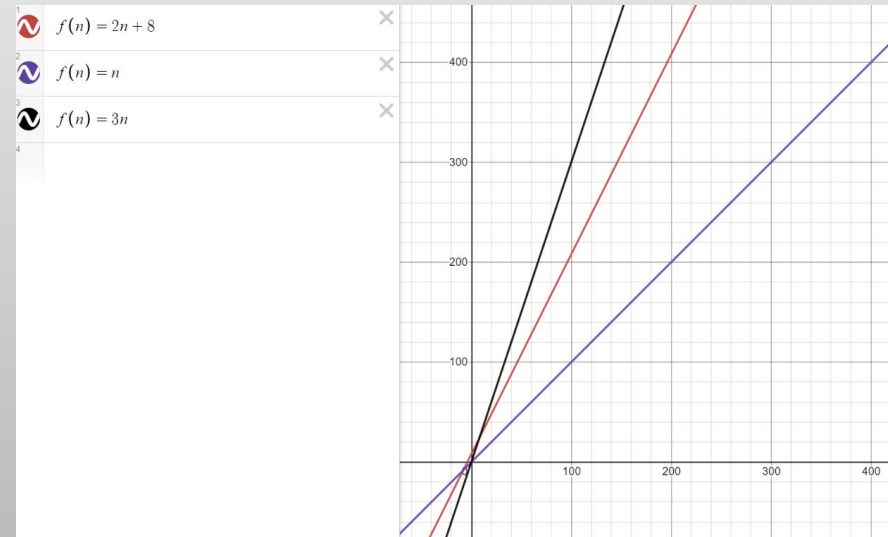
- A function  $f(n) = \Omega(g(n))$  if there exists  $n_0$  and  $c$  such that  $f(n) > cg(n)$
- Whenever  $n > n_0$ :
  - Almost same definition as Big-Omega, except that ' $f(n) > cg(n)$ '
  - This makes  $g(n)$  a lower bound function, instead of an upper bound function.
  - $g(n)$  is an asymptotic lower bound for  $f(n)$
  - Describes the best that can happen for a given data size.



# The Big 'θ'

- Big-Theta Notation:

- A function  $f(n) = \Theta(g(n))$  if and only if  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$
- $f(n)$  is bounded both from the top and bottom by the same function  $g(n)$ .
- Thus,  $g(n)$  is an asymptotic tight bound for  $f(n)$
- Tight bounds are obtained from asymptotic upper and lower bounds.
- $3n + 3$  is:
  - $O(n)$  (let's say for  $c = 4$ )
  - $\Omega(n)$  (let's say for  $c = 1$ )
  - So it can be written as  $\Theta(n)$
- $3n + 3$  is
  - $O(n^2)$  (for all  $n \geq 4$ )
  - ~~$\Omega(n^2)$~~  (only true for  $n = 1, 2, 3$ )
  - So it **can not** be written as  ~~$\Theta(n^2)$~~

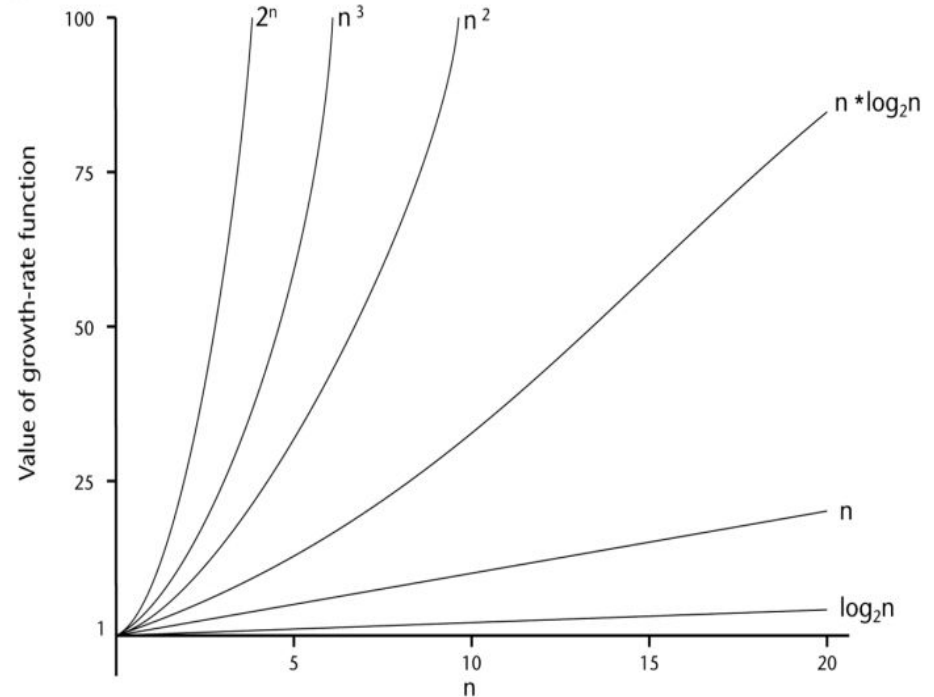




# Most Common Growth Functions

The most common classes are given names: (b)

$\Theta(1)$	constant
$\Theta(\ln(n))$	logarithmic
$\Theta(n)$	linear
$\Theta(n \ln(n))$	" $n \log n$ "
$\Theta(n^2)$	quadratic
$\Theta(n^3)$	cubic
$2^n, e^n, 4^n, \dots$	exponential



# Growth Rate of Functions

- If an algorithm takes 1 second to run with problem size = 8, what is the time requirement (approximately) for with the problem size = 16 ?

# Running Time on Operators

- Each machine instruction can be executed in a fixed number of cycles,
  - We assume each operation requires a fixed number of cycles.
- Time required for any operator is  $\theta(1)$ .

Operation type	Symbols
Retrieving/ storing variables from memory	
Variable assignment	=
Integer operations	+ - * / % ++ --
Bitwise operations	&   ^ ~
Relational operations	== != < <= > >=
Logical operations	&&    !
Memory allocation and deallocation	new delete

# Running Time on Block of operations

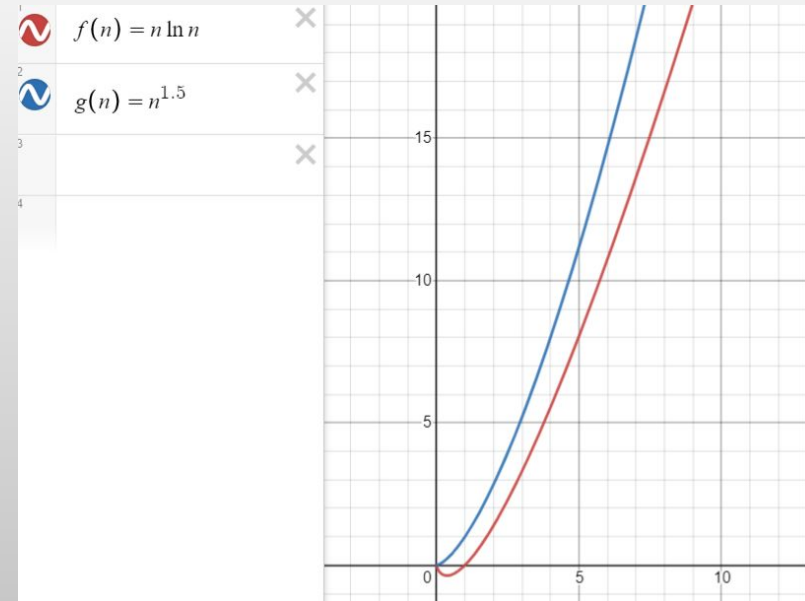
- If each operation runs in  $\theta(1)$  time, any fixed number of operations also run in  $\theta(1)$  time.

```
// swap variables a and b
int temp = a;
a = b;
b = temp;
```

```
// update a sequence of values
++index;
prev_modulus = modulus;
modulus = next_modulus;
next_modulus = modulus_table[index];
```

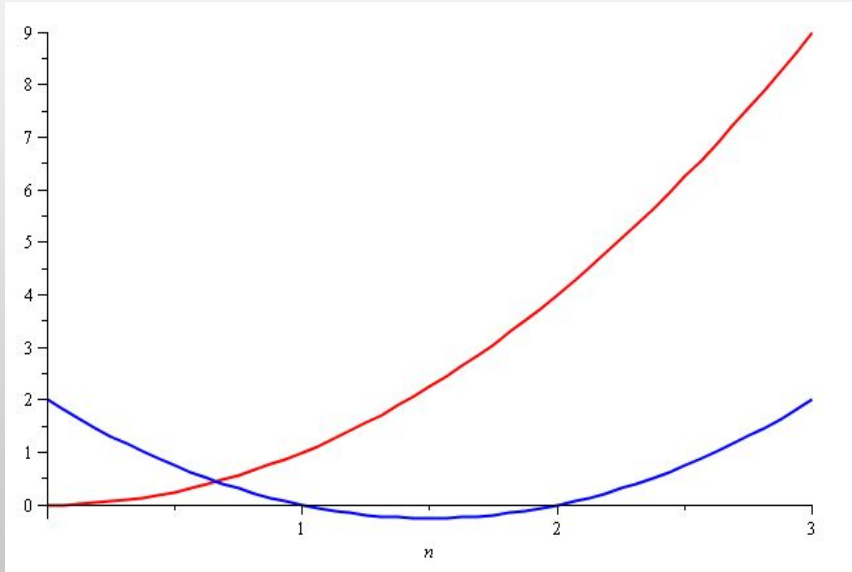
# Running Time on Block of Sequence

- Other examples include:
  - Run three blocks of code which are  $\Theta(1)$ ,  $\Theta(n^2)$  and  $\Theta(n)$ 
    - Total runtime  $\Theta(1 + n^2 + n) = \Theta(n^2)$
  - Run two blocks of code which are  $\Theta(n \log n)$  and  $\Theta(n^{1.5})$ 
    - Total runtime  $\Theta(n \log n + n^{1.5}) = \Theta(n^{1.5})$
  - While considering a sum, take the dominant term.

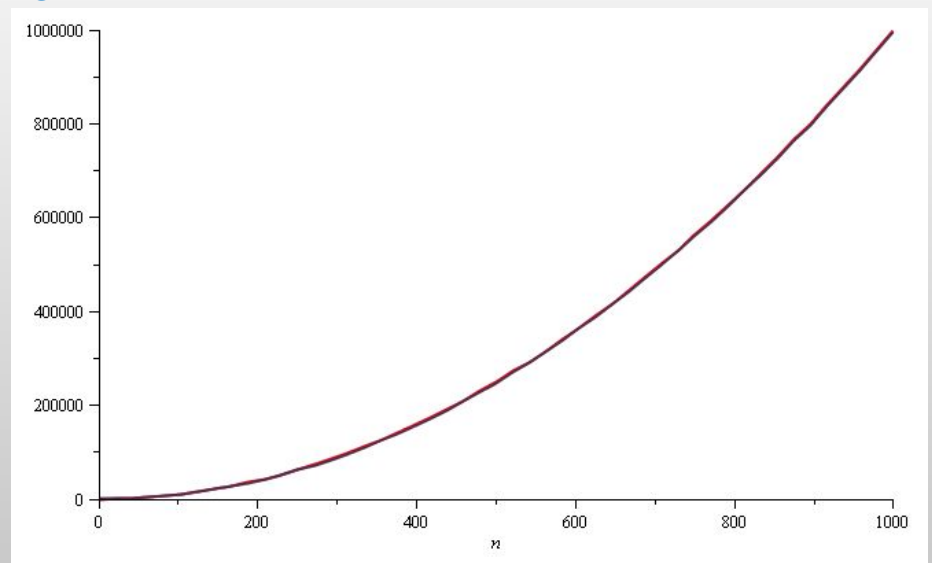


# Quadratic Growth

$$f(n) = n^2$$



$$g(n) = n^2 - 3n + 2$$

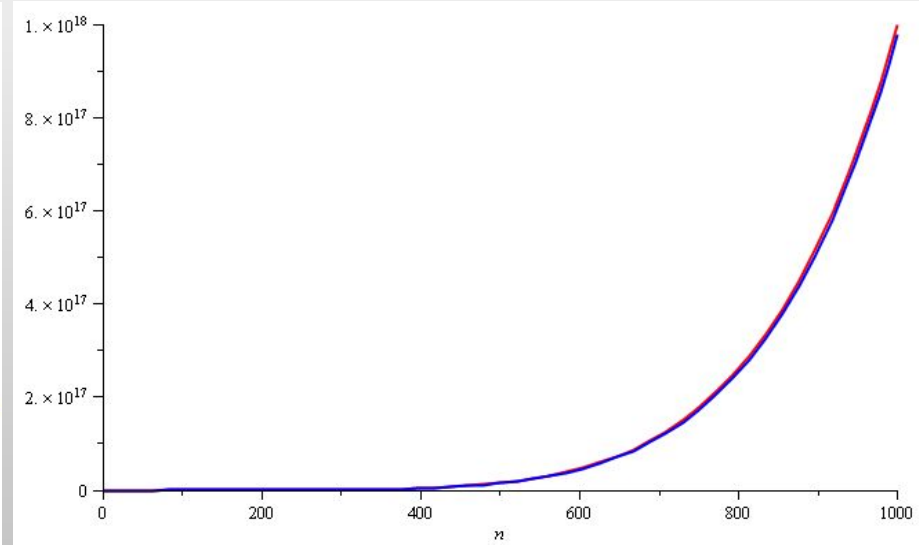
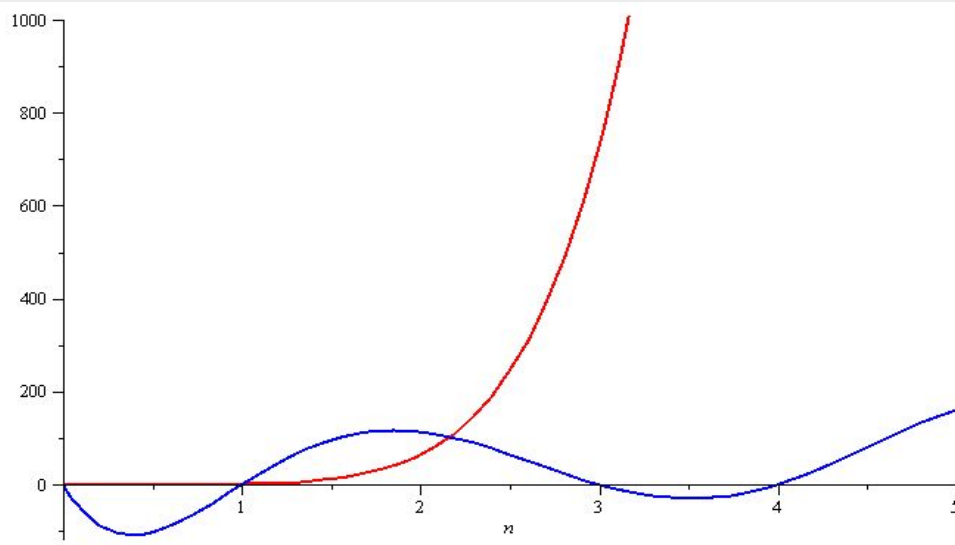


- Close to  $n = 0$ , they look very different
- Yet on the range  $n = [0, 1000]$ , they are (relatively) indistinguishable.

# Polynomial Growth

$$f(n) = n^6$$

$$g(n) = n^6 - 23n^5 + 19n^4 - 729n^3 + 126n^2 - 648n$$



- Around  $n = 0$ , they look very different
- Still, around  $n = 1000$ , the relative difference is less than 3%

# Running Time on Control Statement

Next we will look at the following control statements

- These are statements which *potentially alter the execution of instructions*
  - Conditional statements
    - if, switch
  - Condition-controlled loops
    - for, while, do-while

```
if (condition) {  
    // true body  
}  
else {  
    // false body  
}
```

Runtime of a conditional statement =  
*The runtime of the condition (the test) +*  
The runtime of the body



# Condition Controlled Loops

```
for (int i=0; i<N; ++i) {  
    // ...  
}
```

```
int i=0;           // initialization  
while ( i<N ) {    // condition  
    // ...  
    ++i;           // increment  
}
```

- The initialization, condition-checking and increment statements are usually  $\theta(1)$
- But repetitive condition checking increases overall cost !
- Assuming there are no break or return statements in the loop, the runtime is  $\Omega(n)$

# Condition Controlled Loops

```
1  /**
2   * Cubic maximum contiguous subsequence sum algorithm.
3   */
4  int maxSubSum1( const vector<int> & a )
5  {
6      int maxSum = 0;
7
8      for( int i = 0; i < a.size( ); ++i )
9          for( int j = i; j < a.size( ); ++j )
10             {
11                 int thisSum = 0;
12
13                 for( int k = i; k <= j; ++k )
14                     thisSum += a[ k ];
15
16                 if( thisSum > maxSum )
17                     maxSum = thisSum;
18             }
19
20     return maxSum;
21 }
```

$$\sum_{k=i}^j 1 = j - i + 1$$

Most Inner Loop

$$\sum_{j=i}^{N-1} (j - i + 1) = \frac{(N - i + 1)(N - i)}{2}$$

Middle Loop

$$\begin{aligned} \sum_{i=0}^{N-1} \frac{(N - i + 1)(N - i)}{2} &= \sum_{i=1}^N \frac{(N - i + 1)(N - i + 2)}{2} \\ &= \frac{1}{2} \sum_{i=1}^N i^2 - \left(N + \frac{3}{2}\right) \sum_{i=1}^N i + \frac{1}{2} (N^2 + 3N + 2) \sum_{i=1}^N 1 \\ &= \frac{1}{2} \frac{N(N+1)(2N+1)}{6} - \left(N + \frac{3}{2}\right) \frac{N(N+1)}{2} + \frac{N^2 + 3N + 2}{2} N \\ &= \frac{N^3 + 3N^2 + 2N}{6} \end{aligned}$$

Outer Loop

# Controlled Statements

```
switch (i) {  
    case 1: /* do stuff */ break;  
    case 2: /* do other stuff */ break;  
    case 3: /* do even more stuff*/ break;  
    case 4: /* tired yet? */ break;  
    case 5: /* do stuff */ break;  
    default: /* do default stuff */  
}
```

```
if (i==1){ /* do stuff */ }  
else if (i==2){ /* do other stuff */ }  
else if (i==3){ /* do even more stuff*/ }  
else if (i==4){ /* tired yet? */ }  
else if (i==5){ /* do stuff */ }  
else { /* do default stuff */}
```

- Switch statements appear to be nested if statements.
- Thus a switch statement would appear to run in  $O(\#cases)$  time. (assuming each block takes  $O(1)$  time to execute.

# Cases

- As well as determining the runtime of an algorithm, if the data may not be deterministic, we may be interested in:
  - Best-case runtime
  - Average-case runtime
  - Worst-case runtime
- In many cases, they will be significantly different
- Example:
  - Searching a list linearly is simple enough

# Cases

## Searching a list linearly is simple enough.

We will count the number of comparisons

- Best case:
  - The first element is the one we're looking for:  $O(1)$
- Worst case:
  - The last element is the one we're looking for, or it is not in the list:  $O(n)$
- Average case?
  - We need some information about the list...

Assume the case we are looking for is in the list and equally likely distributed. If the list is of size  $n$ , then there is a  $1/n$  chance of it being in the  $i$ th location :

If summing the probabilities

$$\frac{1}{n} \sum_{i=1}^n i = \frac{1}{n} \frac{n(n+1)}{2} = \frac{n+1}{2}$$

So its  $O(n)$



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